

PHYSTAT2011

# Statistic methods used in the LHCb searches

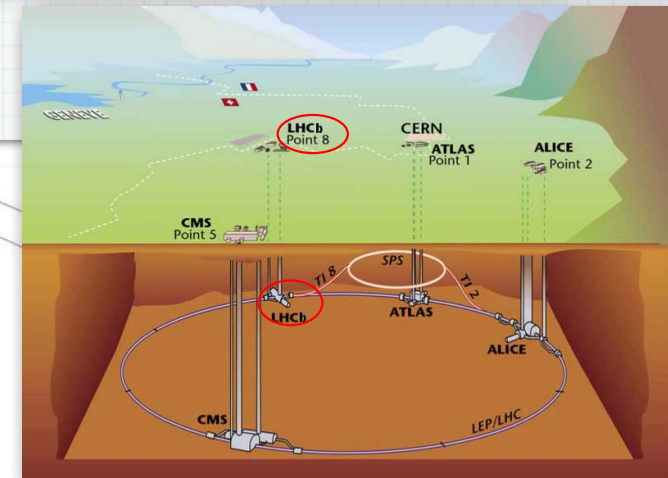
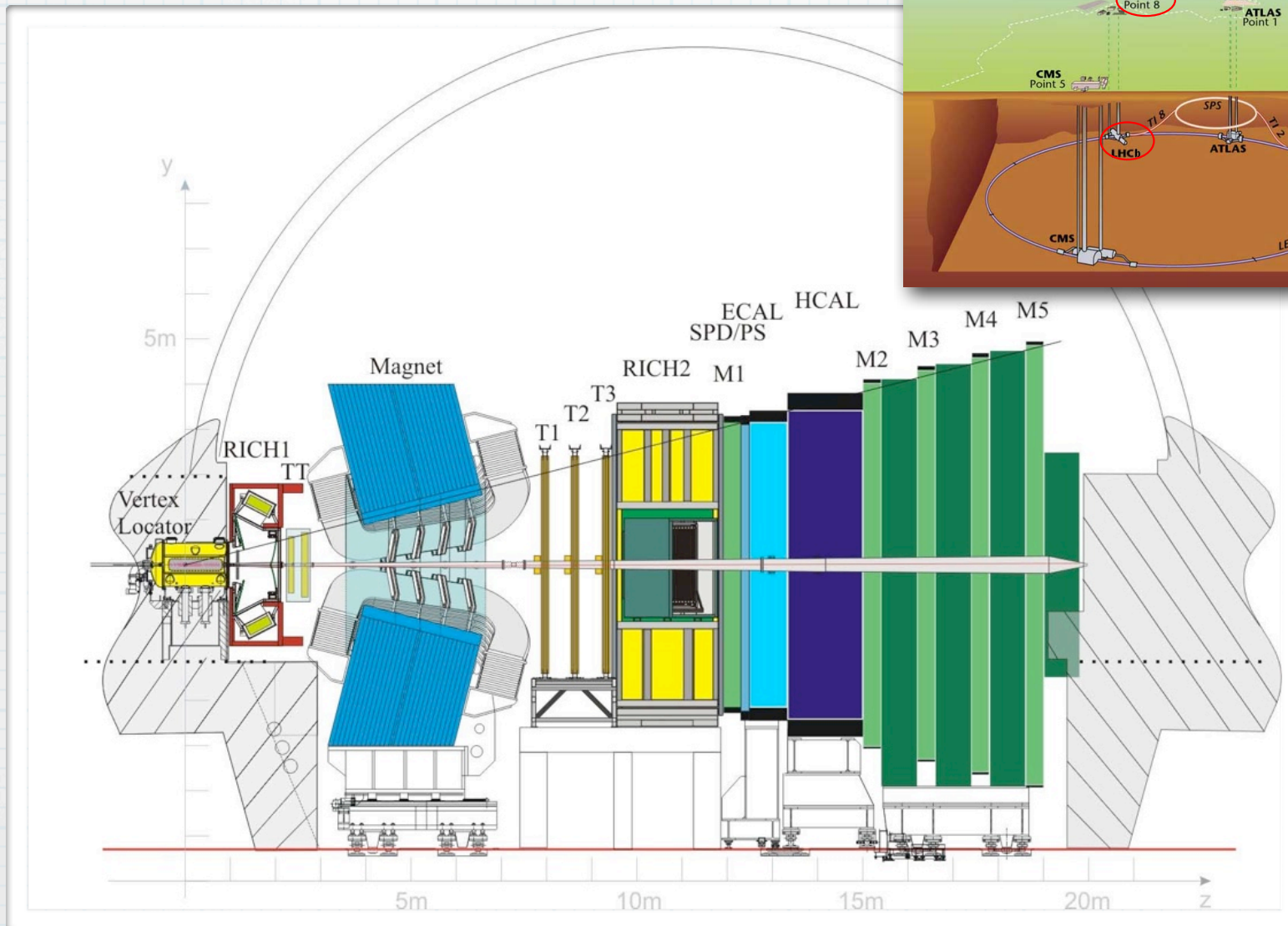
(with emphasis in the search of the rare decay  $B_s \rightarrow \mu\mu$ )

José Ángel Hernando Morata  
*Universidade de Santiago de Compostela, Spain*  
(on behalf of the **LHCb collaboration**)  
17/01/2011



# The LHCb experiment

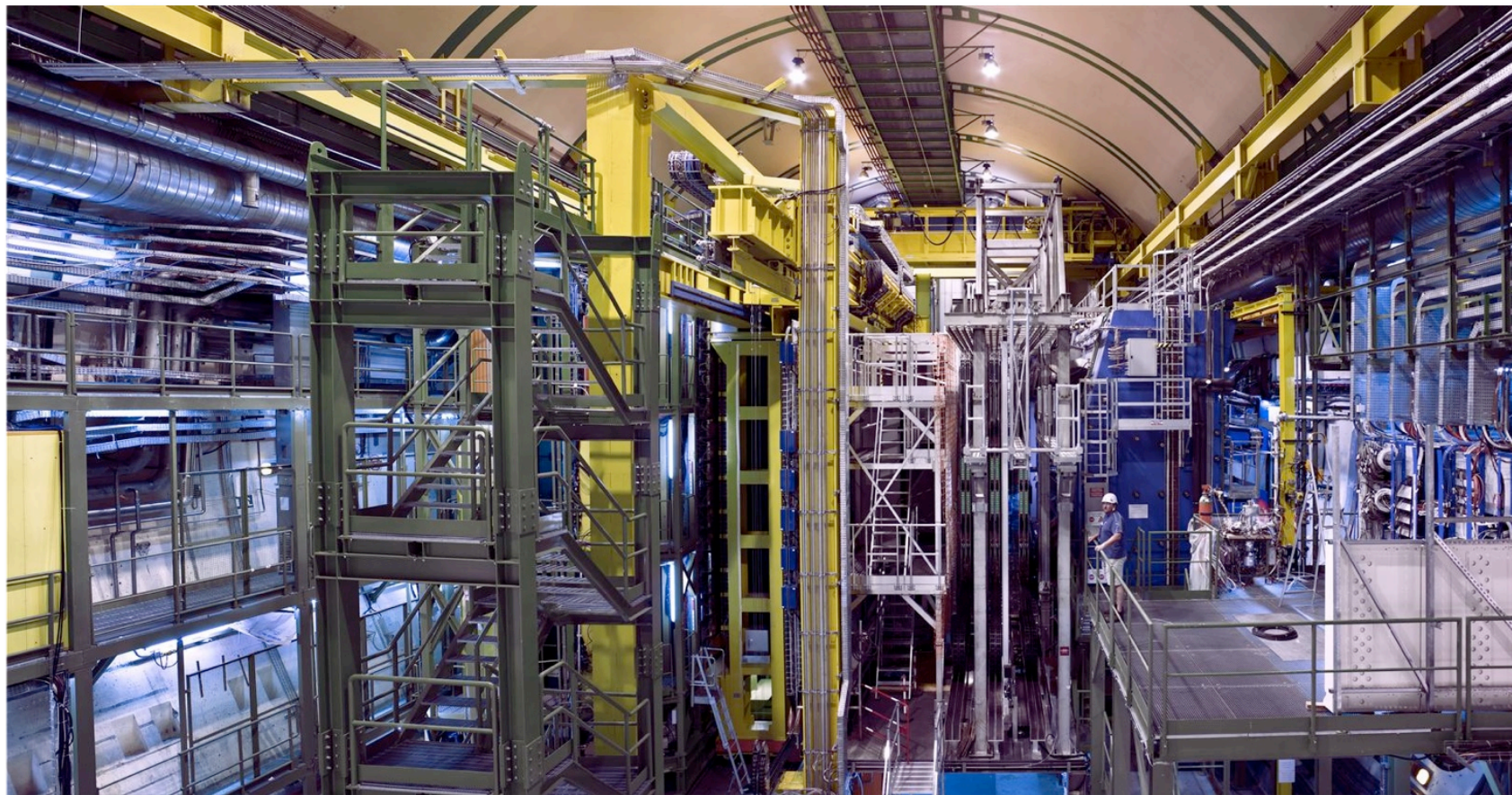
## ◆ The LHCb at LHC





# The LHCb detector

## ◆ The LHCb detector



Muon

Calo Rich

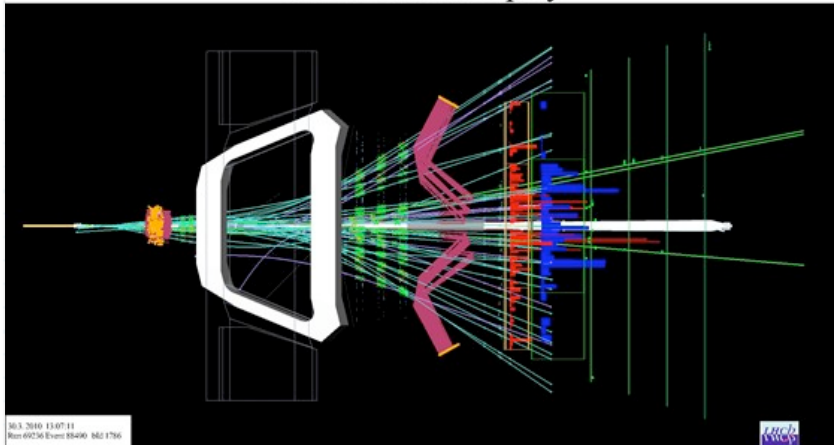
Tracking

Magnet

Velo

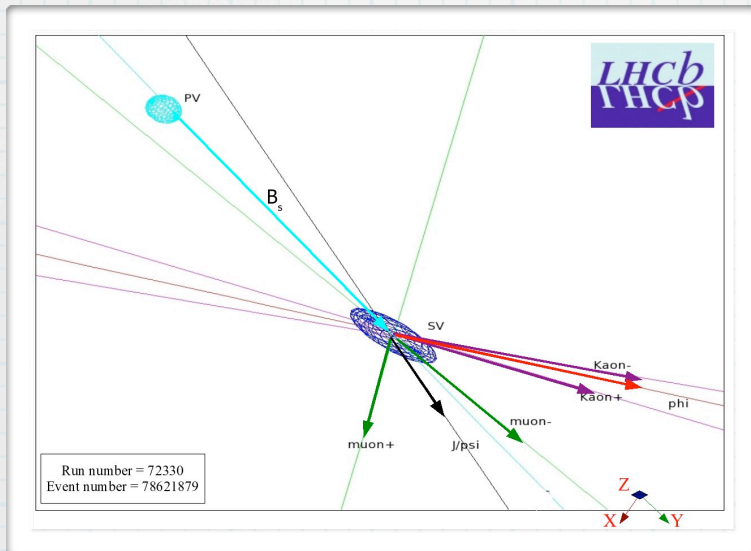
# An event display

LHCb Event Display



## ◆ LHCb designed to study B mesons

- Forward spectrometer
- good vertex, momentum resolution, PID
- Flexible and robust trigger



## ◆ First period of data

- The physics program does not suffer much for running at  $E = 3.5$  TeV
- Collected  $\sim 37 \text{ pb}^{-1}$  during 2010



# LHCb Physics objectives

- ◆ New Physics (NP) introduces new particles at higher energy scale that enter in the loop processes and can modify the SM predictions of observable

- ◆ FCNC

- $B_s$  phase of the mixing:  $\beta_s$
- **Rare Decays:**  $BR(B_s \rightarrow \mu\mu)$ ,  $A_{FB}(B \rightarrow K^* \mu\mu)$

- $BR(B_{s(d)} \rightarrow \mu\mu)$

- $BR(D \rightarrow \mu\mu)$

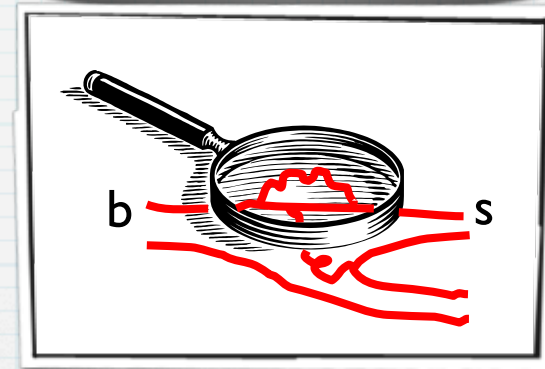
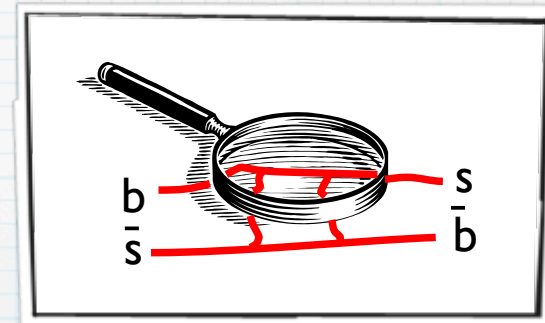
- LFV:  $B \rightarrow \mu e$



- D phase of the mixing:  $\Phi_D$

- ◆ CKM 'precision' measurements

- Compare two measurements of the same quantity sensitive and not to the NP (tree vs loop)
- $\gamma$  :  $B_{(s)} \rightarrow D_{(s)}K$ ,  $B_{(s)} \rightarrow hh$



# The importance of $B_s \rightarrow \mu\mu$



## ◆ Very rare decay (FCNC+helicity suppress)

- $BR(B_s \rightarrow \mu\mu) = (3.35 \pm 0.32) \cdot 10^{-9}$  (SM)

## ◆ High sensitivity to NP with new scalar or pseudoscalar interactions (i.e MSSM)

- $BR \propto \tan^6 \beta$

## ◆ Current limits by Tevatron:

- $BR < 3.6 \cdot 10^{-8}$  @ 90% CL (CDF  $3.7 \text{ fb}^{-1}$ )

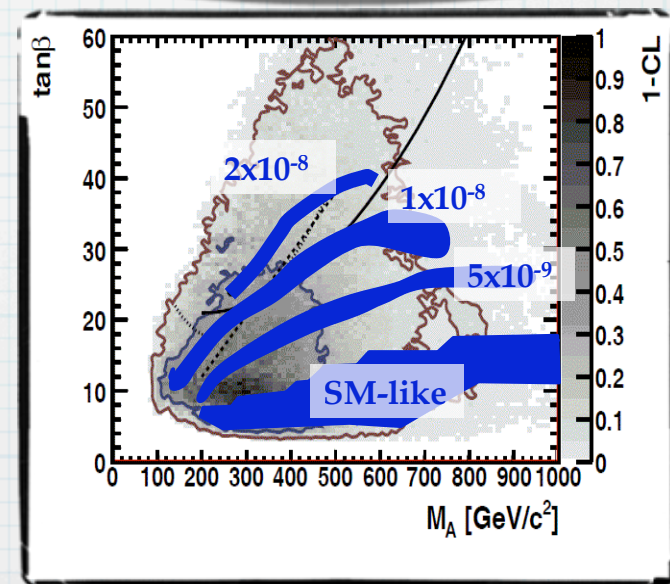
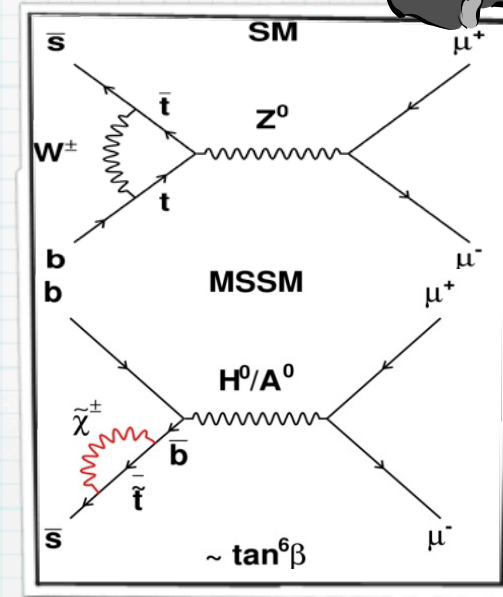
- $BR < 4.2 \cdot 10^{-8}$  @ 90% CL (D0  $6.1 \text{ fb}^{-1}$ )

## ◆ LHCb performance

- High efficient trigger

- Good muon identification, mass resolution, vertex capabilities

- Estimations to we could surpass current limit with  $50\text{-}70 \text{ pb}^{-1}$



# LHCb searches: characteristics

## ◆ Search of rare (and 'forbidden') decays:

- $BR(B_{s(d)} \rightarrow \mu\mu), B_s \rightarrow \mu e$

## ◆ Common characteristics:

- A tiny signal in a large background
  - After trigger and a 'soft' selection we expect 19  $B_s \rightarrow \mu\mu$  events per  $\text{fb}^{-1}$  for the SM BR!
  - And  $\sim 12$  k  $bb \rightarrow \mu\mu X$  semileptonics as the dominant bkg for the  $B_s \rightarrow \mu\mu$

## ◆ Some advantages:

- We know where to search: invariant mass of the resonances
  - $B_s$  mass 5366 MeV with resolution 22 MeV (MC)
- We can use other B decays to model the signal
  - $B_{s(d)} \rightarrow hh$
- And the background!
  - The sidebands of the  $B_s \rightarrow \mu\mu$  candidates!



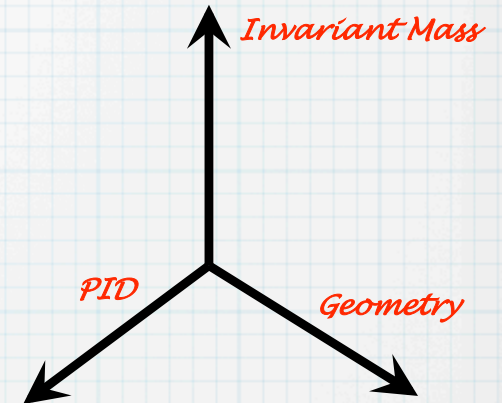
# LHCb searches: strategy

## ◆ Rather common approach

- Similar to the searches for  $B_s \rightarrow \mu\mu$  performed for at CDF, D0

## ◆ A 3(2) dimensional search space:

- Invariant mass
- Combine several discriminant geometrical variables
  - Use of MVA methods, GL (Geometrical Likelihood)
- Particle identification
  - Not needed at this stage, the main bkg is: double semileptonic decays:  $bb \rightarrow \mu\mu X$



## ◆ Normalize to a decay with known BR

- *i.e.*:  $BR(B^+ \rightarrow J/\psi K^+) = (5.98 \pm 0.20) 10^{-5}$

## ◆ Divide the plane mass, GL plane in bins

## ◆ Blind the sensitive region (SR) till the analysis is a robust state

- Estimation of the background and signal in the SR in each bins

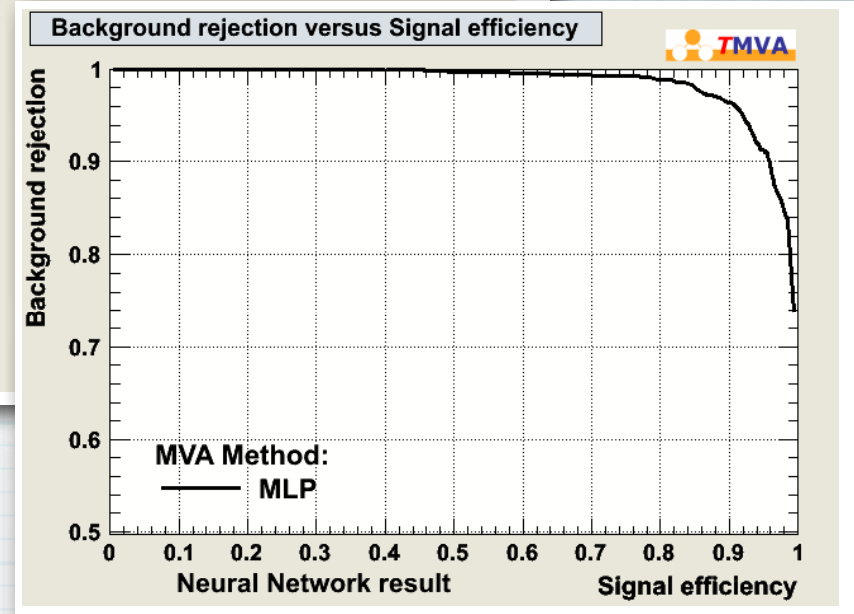
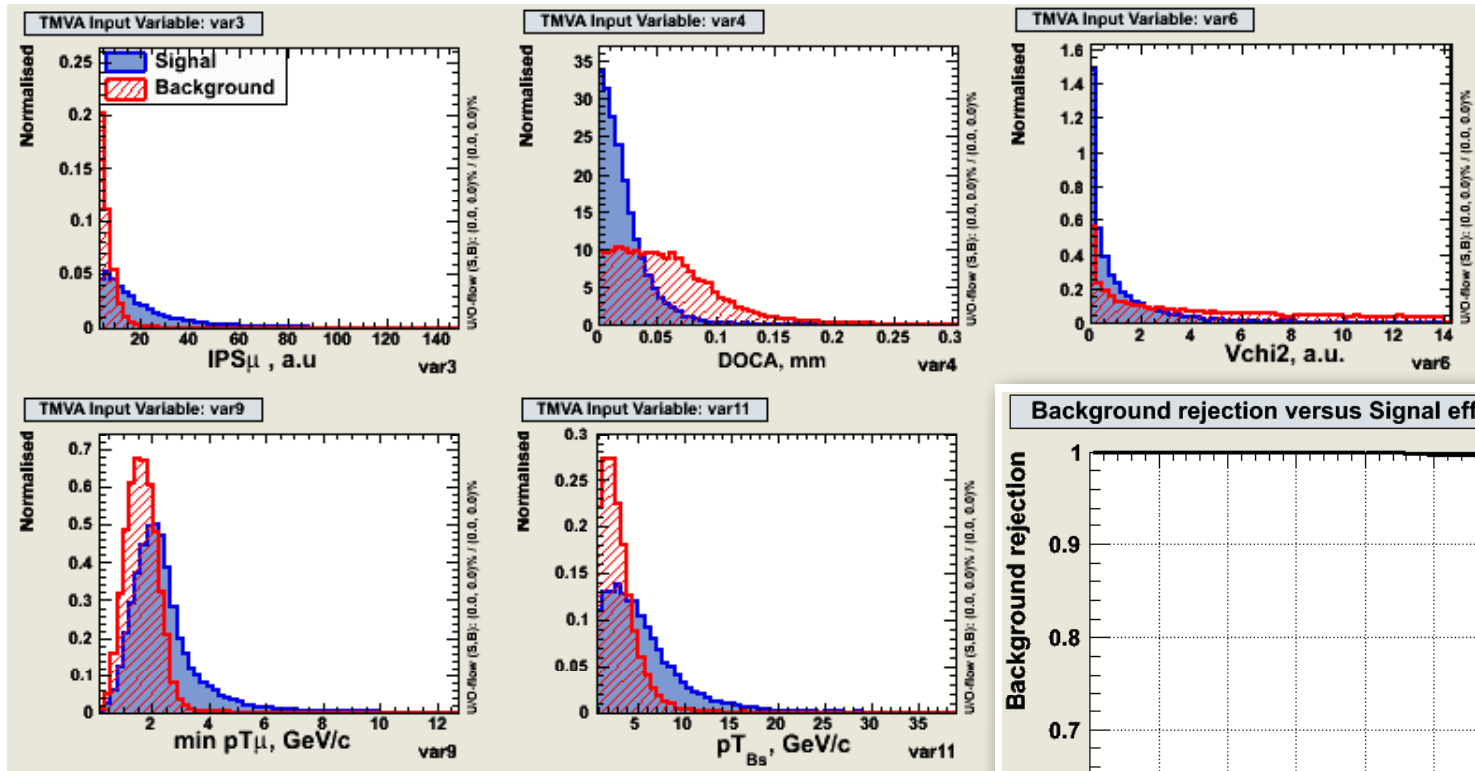
## ◆ Compute the limit using $CL_s$ method (n-bins counting experiments)

- Or the discovery with  $1-CL_b$



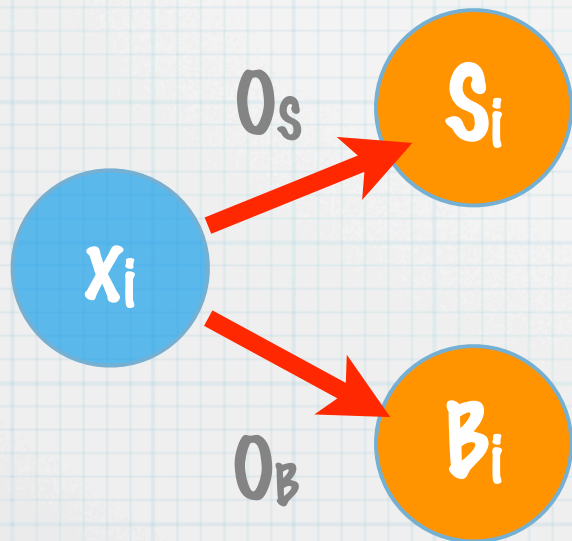
# Discrimination using TMVA

◆ An example:  $B_s \rightarrow \mu\mu$ : using TMVA: NN



# Geometry: GL and the $\Delta\chi^2$ method

- ◆ Geometrical axis of: 'GL' a MVA that combine several variables
  - We are currently using a  $\Delta\chi^2$  method
- ◆ The  $\Delta\chi^2$  method
  - Idea: transform the signal and bkg initial distributions into a n-dimensional gaussian



✓  $O_S, O_B$  transformations:

- OS(signal) gaussian distributed

✓ Use  $\Delta\chi^2$  as discriminant

- $\Delta\chi^2 = \chi^2_S - \chi^2_B$       $\chi^2_S = \sum S^2_i$     $\chi^2_B = \sum B^2_i$

✓ Uniform  $\Delta\chi^2$

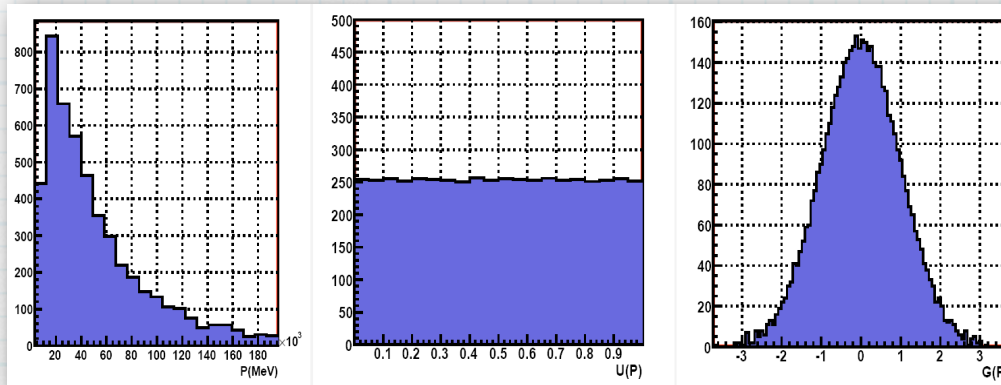
D.Karlen, Computers in Physics Vol 12, N.4, Jul/Aug 1998 .

D. Martínez Santos, J. A. Hernando, F. Teubert. LHCb-PUB-2007-033.

# The $\Delta\chi^2$ method (I)

## ◆ Gaussianization:

- transform into uniform, then into gaussian

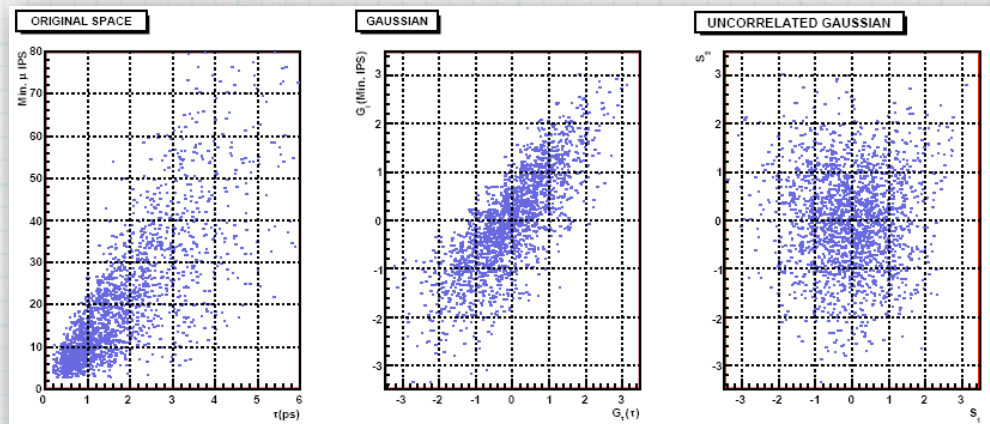


$$U_i(X_i) = \frac{\int_{X_{i\min}}^{X_i} \rho(x'_i) dx'_i}{\int_{X_{i\min}}^{X_{i\max}} \rho(x'_i) dx'_i}$$

$$G_i = \sqrt{2} \operatorname{Erf}^{-1}(2U_i(X_i) - 1)$$

## ◆ 'decorrelate' and re-gaussianize

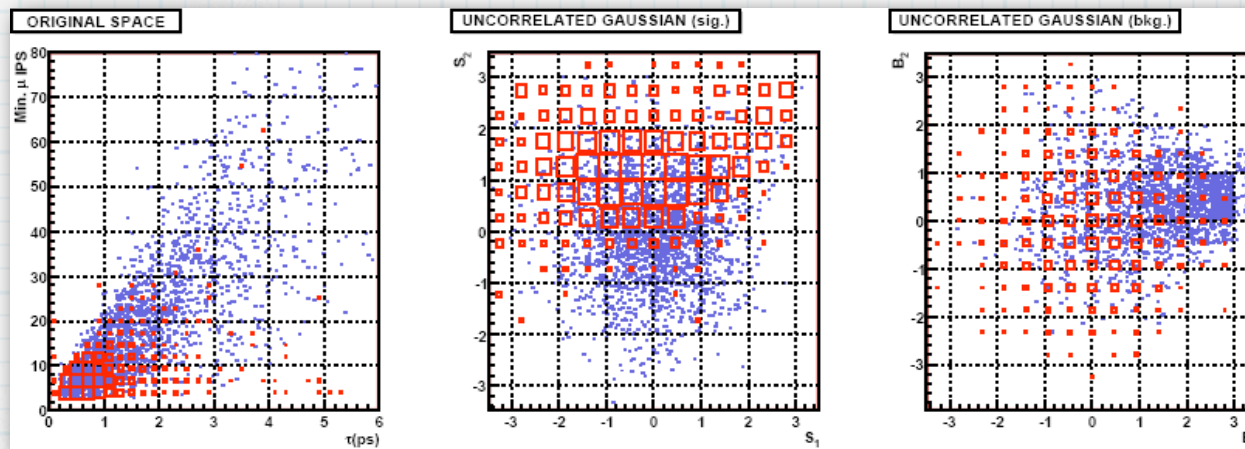
- rotate to the symmetry axis and gaussianize again



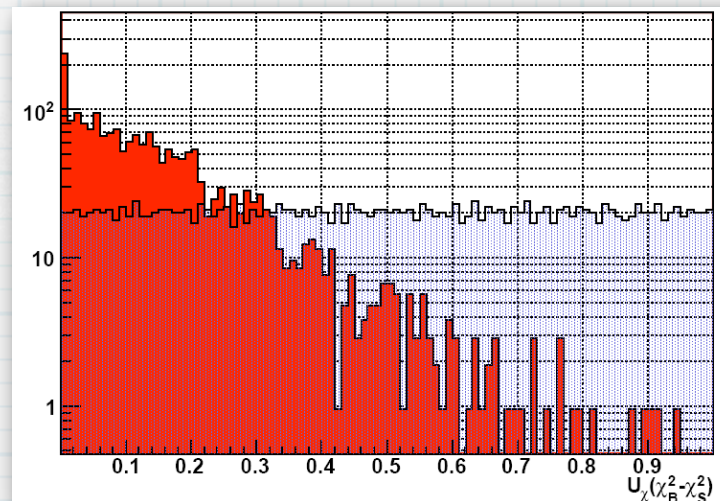


# The $\Delta\chi^2$ method (II)

- ◆ Do the transformation for signal and background

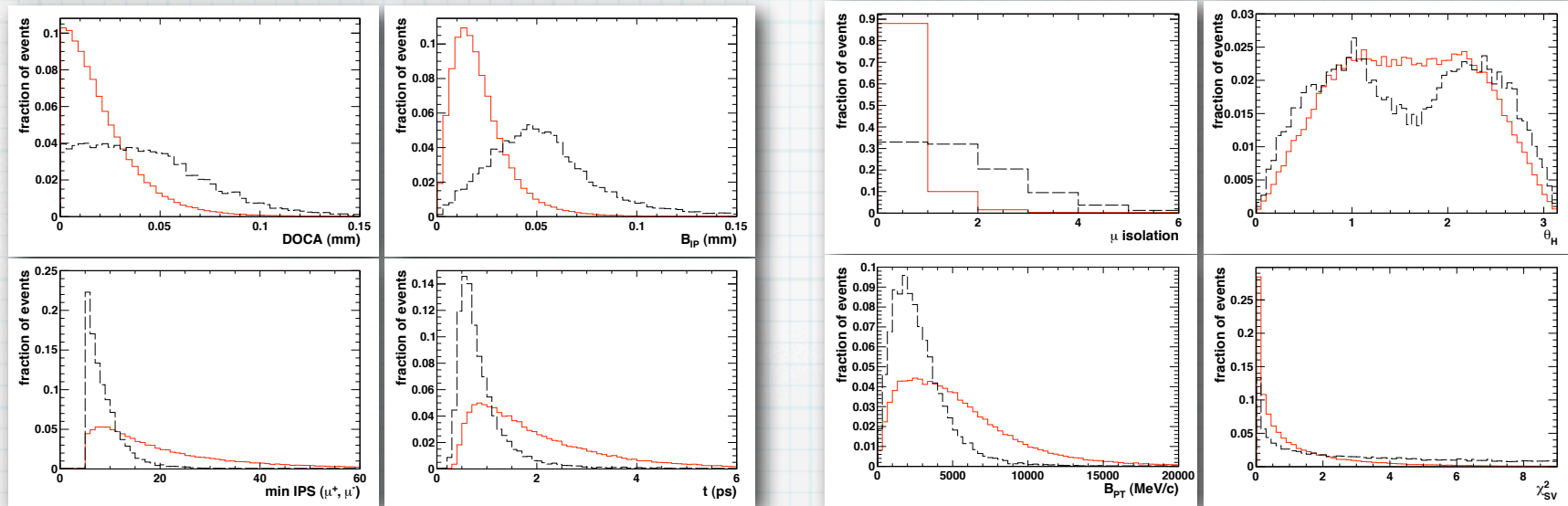


- ◆ Compute and uniformize  $\Delta\chi^2$



# The GL

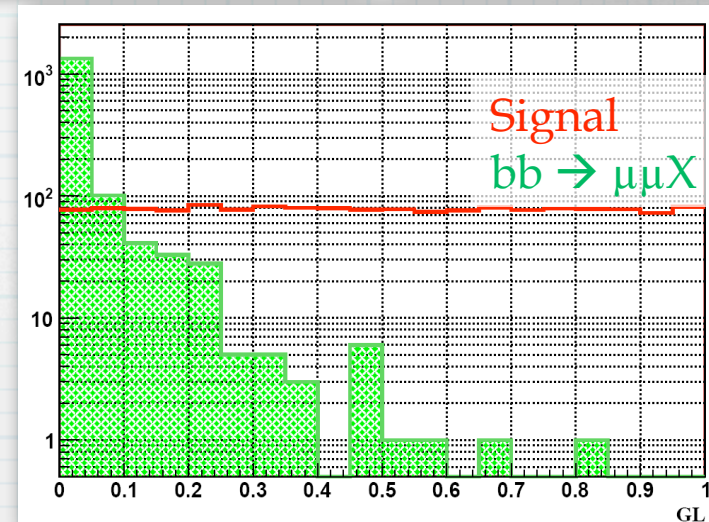
◆ Input variables: DOCA, IPS(mu), IP(B), PT(B), isolation,...



GL distribution

comparison MC and data  
sidebands

*to be shown soon....*



# Comparison GL with other TMVA methods

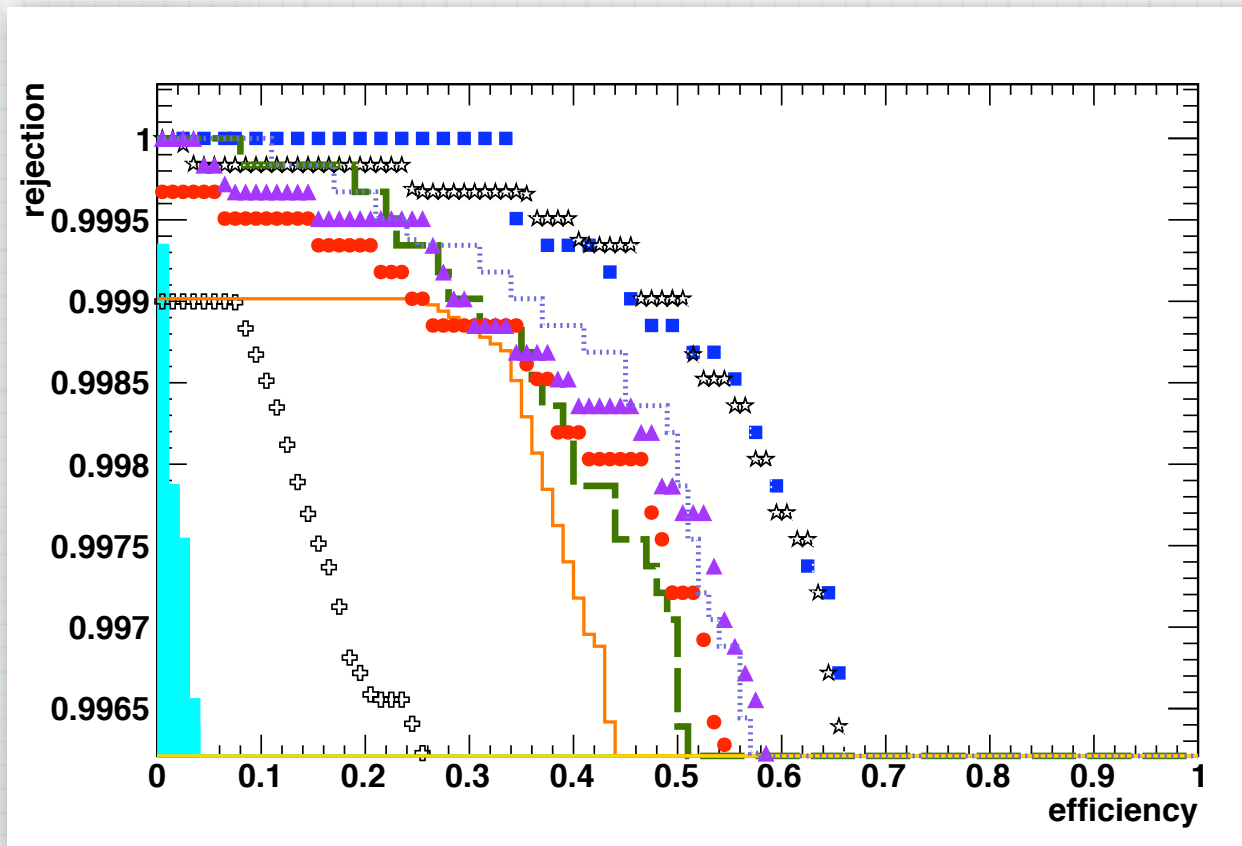


Figure 19: Performance of  $GL_K$  and set of other multivariate methods. The X axis shows the efficiency, and the Y axis the rejection. Blue squares:  $GL_K$ , Open stars: BDT. Short Dashed: PDERS-PCA. Violet triangles: Fisher Discriminant. Red circles: Best performant NN. Green dashed line: Support Vector Machine. Orange solid line: RuleFit. Open crosses: less performant NN. Filled Cyan histogram: FDA-SA

comparison done in identical conditions

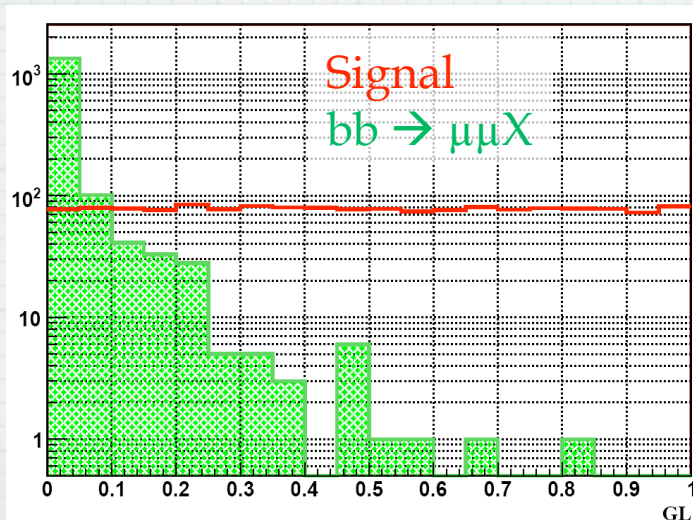


# GL vs mass plane

- ◆ Divide the GL and mass plane in bins
- ◆ Blind the sensitive region (GL > 0.5 and B mass in 60 MeV window)
- ◆ Normalize the number of signal events to a decay with known BR

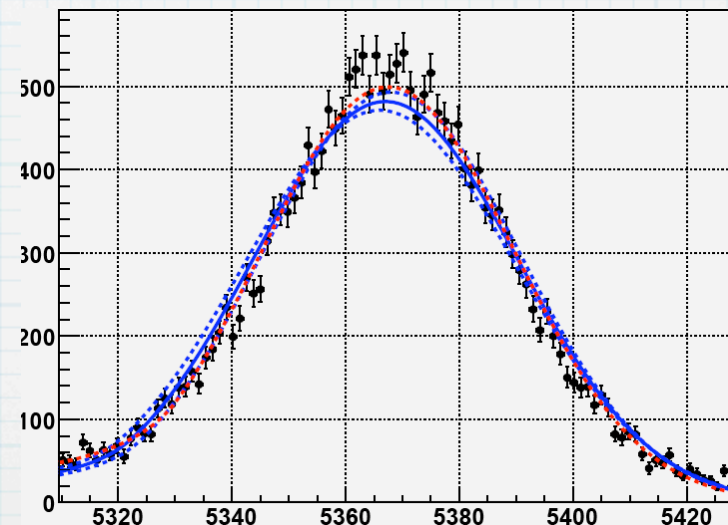
$$\text{BR} = \text{BR}_{\text{cal}} \times \frac{\epsilon_{\text{cal}}^{\text{REC}} \epsilon_{\text{cal}}^{\text{SEL|REC}} \epsilon_{\text{cal}}^{\text{TRIG|SEL}}}{\epsilon_{\text{sig}}^{\text{REC}} \epsilon_{\text{sig}}^{\text{SEL|REC}} \epsilon_{\text{sig}}^{\text{TRIG|SEL}}} \times \frac{f_{\text{cal}}}{f_{B_s^0}} \times \frac{N_{B_s^0 \rightarrow \mu^+ \mu^-}}{N_{\text{cal}}},$$

- ◆ Estimate the number of background and signal events per bin using the data itself: control channels and signal candidates in the sidebands



GL

MC



Mass  $B_s \rightarrow KK, B_s \rightarrow \mu\mu$

MC

# GL vs mass plane

- ◆ Divide the GL and mass plane in bins
- ◆ Blind the sensitive region (GL > 0.5 and B mass in 60 MeV window)
- ◆ Normalize the number of signal events to a decay with known BR

$$\text{BR} = \text{BR}_{\text{cal}} \times \frac{\epsilon_{\text{cal}}^{\text{REC}} \epsilon_{\text{cal}}^{\text{SEL|REC}} \epsilon_{\text{cal}}^{\text{TRIG|SEL}}}{\epsilon_{\text{sig}}^{\text{REC}} \epsilon_{\text{sig}}^{\text{SEL|REC}} \epsilon_{\text{sig}}^{\text{TRIG|SEL}}} \times \frac{f_{\text{cal}}}{f_{B_s^0}} \times \frac{N_{B_s^0 \rightarrow \mu^+ \mu^-}}{N_{\text{cal}}},$$

- ◆ Estimate the number of background and signal events per bin using the data itself: control channels and signal candidates in the sidebands

mass distribution  
(sidebands)

mass distribution  
( $B_{s(d)} \rightarrow hh$ )

to be shown soon...

GL distribution  
(sidebands)

GL distribution  
( $B_{s(d)} \rightarrow hh$ )

# Setting limits (or discovery)

## ◆ Using the CLs method (modified frequentist approach)

- robust: it does not provide an exclusion in the region where the experiment has no sensitivity
- common method in HEP (LEP, FNAL)

## ◆ Characteristics:

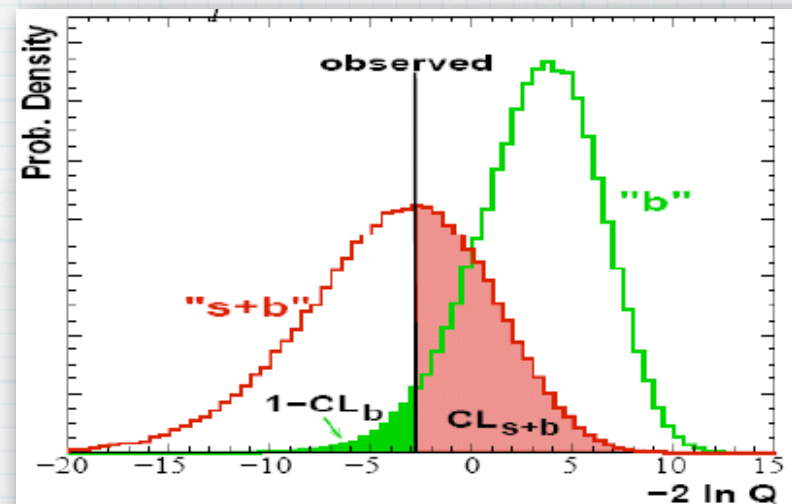
- *test-statistics: the likelihood ratio of s+b over b hypothesis of the event*
- Consider each bin as an independent experiment. The events in each bin follow a Poisson distribution for the s+b and b only hypothesis
- Consider the statistical and systematical errors of the signal and background estimation

$$X = -2 \ln \left( \frac{\prod_i \text{poisson}(d_i | s_i + b_i)}{\prod_i \text{poisson}(d_i | b_i)} \right)$$

$$CL_{s+b} = p_{s+b} = \int_{X_0}^{\infty} \rho_{s+b}(X) dX$$

$$CL_b = 1 - p_b = \int_{X_0}^{\infty} \rho_b(X) dX$$

$$CL_s = \frac{CL_{s+b}}{CL_b} = \frac{p_{s+b}}{1 - p_b}$$





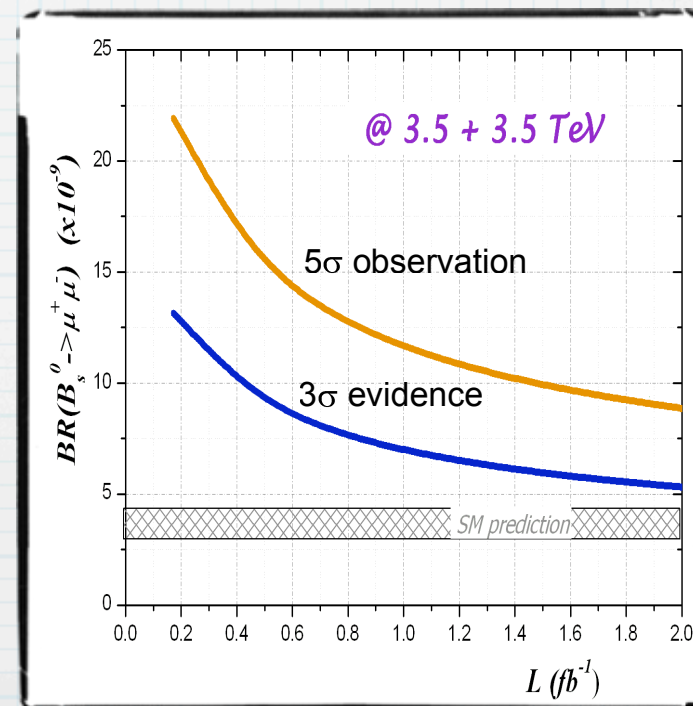
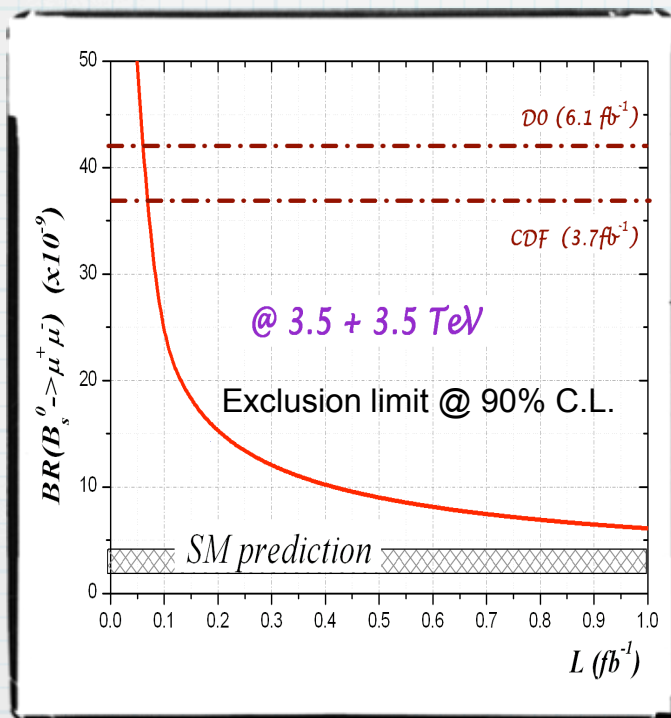
# Expectations according with MC

## ◆ Estimation of the LHCb limits

- Consider we observe the expected background events
- Scan the BR for a given luminosity till the observation has  $CL_s = 0.10$

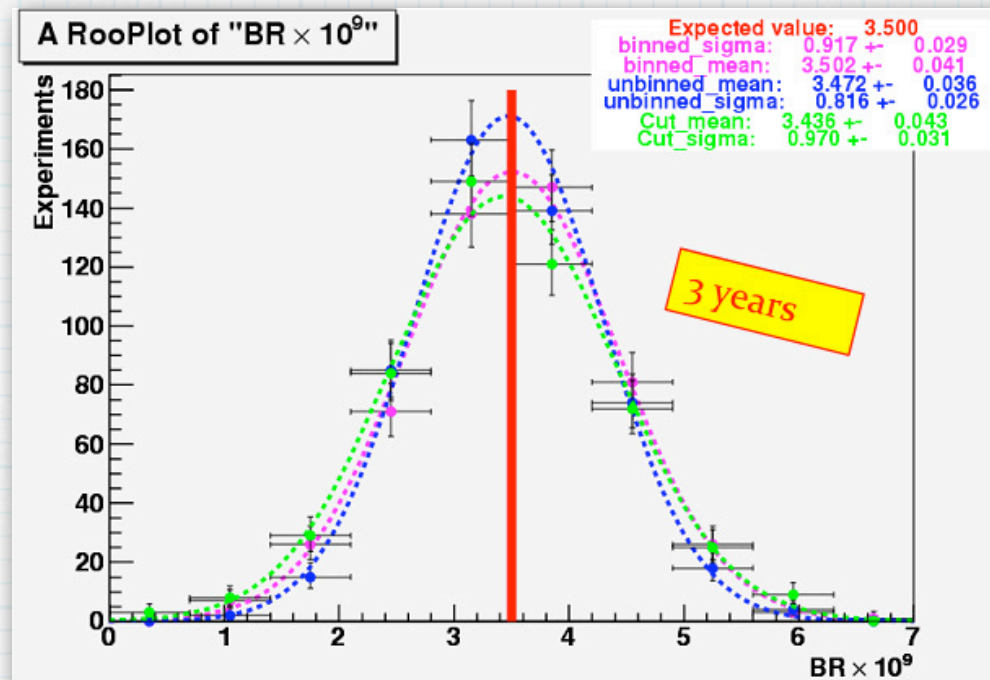
## ◆ Estimation of the discovery

- Consider we observe the expected signal + background events
- Scan the BR for a given luminosity till the observation has  $1-CL_b = (3 \text{ or } 5 \text{ sigmas})$



# Discovery comparison with other methods

- ◆ Study of other methods to measure the  $BR$ 
  - cut based, CLs method, unbinned likelihood fit s+b
- ◆ Similar results for measuring  $BR$  in toys MCs



# Errors

- ◆ Estimate statistical and systematics errors on the expected signal and background for each bin
- ◆ Errors in the signal and background pdf
- ◆ Errors in the normalization factor:

$$BR = BR_{cal} \times \frac{\epsilon_{cal}^{REC} \epsilon_{cal}^{SEL|REC} \epsilon_{cal}^{TRIG|SEL}}{\epsilon_{sig}^{REC} \epsilon_{sig}^{SEL|REC} \epsilon_{sig}^{TRIG|SEL}} \times \frac{f_{cal}}{f_{B_s^0}} \times \frac{N_{B_s^0 \rightarrow \mu^+ \mu^-}}{N_{cal}},$$

GL bin	bkg	sig
	GLK (smeared)	GLK (smeared)
0-0.25	2323±48	
0.25-0.5		
0.5-0.75		
0.75-1.0		

	Br (x10 <sup>-5</sup> )	$\frac{\epsilon_{cal}^{REC} \epsilon_{cal}^{SEL REC}}{\epsilon_{sig}^{REC} \epsilon_{sig}^{SEL REC}}$	$\frac{\epsilon_{cal}^{TRIG SEL}}{\epsilon_{sig}^{TRIG SEL}}$	fd	N <sub>cal</sub>	α(x10 <sup>-9</sup> )
		(sig)	(sig)	fs		
B <sup>+</sup> → J/ψ K <sup>+</sup>	5.98±0.22		0.95±0.05	3.59±0.49		
B <sub>s</sub> → J/ψ φ	3.35±0.9		0.95±0.05	1		
B → K <sup>+</sup> π <sup>-</sup>	1.94±0.06	0.99±0.02		3.59±0.49		

- ◆ Introduce the errors in the computation of the CLs as nuisance parameters



# Conclusions

- ◆ LHCb detector is in good shape, its performance is close to the one expected from MC and we are entering in an era of competitive results with FNAL
- ◆ Some of the key analysis of LHCb involve searches, one of the most important is the measurement of the  $BR(B_s \rightarrow \mu\mu)$  and we could improve the limit set by CDF with  $>50 \text{ pb}^{-1}$
- ◆ First results of  $BR(B_s \rightarrow \mu\mu)$  to be presented in the winter conferences 2011
- ◆ The  $B_s \rightarrow \mu\mu$  search strategy in LHCb is based on
  - In a binned plane where one axis is the mass and the other a 'geometrical likelihood' GL
  - For the GL we use the  $\Delta\chi^2$  method (a MVA method)
  - We use a blind technique
  - To set the limit in  $BR$  (or to claim evidence or discovery) we use the  $CL_s$  method