

Masse Fermioniche e Simmetrie Discrete

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based on

AF1 = Guido Altarelli and F. F. hep-ph/0504165

AF2 = Guido Altarelli and F. F. hep-ph/0512103

AFL = Guido Altarelli, F.F. and Yin Lin hep-ph/0610165

FHLM = F.F., Claudia Hagedorn, Yin Lin and Luca Merlo hep-ph/0702194

Lepton Mixing Angles

[Fogli, Lisi, Marrone, Palazzo 0608060]
[Schwetz 0606060]

[2σ errors (95% C.L.)]

$$\sin^2 \vartheta_{23} = 0.45 (1_{-0.20}^{+0.35})$$

$$\sin^2 \vartheta_{13} = 0.8_{-0.8}^{+2.3} \times 10^{-2}$$

$$\sin^2 \vartheta_{12} = 0.314 (1_{-0.15}^{+0.18})$$

$$\vartheta_{23} = (42.1_{-5.3}^{+9.1})^0 \quad [2\sigma]$$

[Hall, Murayama, Weiner 2000
De Gouvea, Murayama 0301050]

different viewpoints: - angles are all generically large [anarchy] ↗
- angles reflect an underlying order

$$\sin^2 \vartheta_{23} = \frac{1}{2}$$

$$\sin^2 \vartheta_{13} = 0$$

$$\sin^2 \vartheta_{12} = \frac{1}{3} \quad \vartheta_{12} = 35.3^0$$

$$\vartheta_{12} = (34.1_{-1.6}^{+1.7})^0 \quad [1\sigma]$$

[Harrison, Perkins and Scott (HPS) mixing pattern
or Tri-Bimaximal mixing]

not a bad 1st order approximation!

θ_{12} right within $1\sigma \approx 2^0 \leq 0.04 \text{ rad} \approx \lambda^2$, where $\lambda=0.22$
errors on θ_{23} and θ_{13} are still large...

future [< 10 yr] precision/sensitivity on θ_{23} and θ_{13} down to about λ^2
could confirm HPS mixing pattern

$$\vartheta_{13} \approx \delta\vartheta_{23} \approx \lambda^2 \approx 0.04 \div 0.05 \text{ rad} (2.1^0 \div 2.9^0)$$

[Gonzalez-Garcia, Maltoni, Smirnov 0408170]

If future data will confirm HPS down to about λ^2 precision

$$U_{PMNS} = \begin{pmatrix} \frac{2}{\sqrt{6}} & \frac{1}{\sqrt{3}} & 0 \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & -\frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \end{pmatrix} + O(\lambda^2)$$

quite symmetric!
also called
“Tri-Bimaximal=TB”

$$|\nu_3\rangle = \frac{1}{\sqrt{2}}(-|\nu_\mu\rangle + |\nu_\tau\rangle)$$

$$|\nu_2\rangle = \frac{1}{\sqrt{3}}(|\nu_e\rangle + |\nu_\mu\rangle + |\nu_\tau\rangle)$$

reminiscent of

$$\pi^0 = \frac{|uu\rangle - |dd\rangle}{\sqrt{2}} \quad \eta = \frac{|uu\rangle + |dd\rangle - 2|ss\rangle}{\sqrt{6}} \quad \eta' = \frac{|uu\rangle + |dd\rangle + |ss\rangle}{\sqrt{3}}$$

theoretical challenge:

- how to derive TB from a model?

(eventually modified by small, $O(\lambda^2)$, corrections)?

TB mixing from vacuum alignment

choose the basis where charged leptons are diagonal

$$m_l \propto \begin{pmatrix} y_e & 0 & 0 \\ 0 & y_\mu & 0 \\ 0 & 0 & y_\tau \end{pmatrix} \quad \text{minimal symmetry guaranteeing the diagonal pattern}$$

$$\mathbf{Z}_3 \text{ group: } G_T = \{1, T, T^2\}$$

$$T = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \omega^2 & 0 \\ 0 & 0 & \omega \end{pmatrix} \quad \omega \equiv e^{i\frac{2\pi}{3}} \quad T^+ m_l T = m_l$$

in this basis, TB mixing is entirely due to

$$m_\nu = \frac{m_3}{2} \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & -1 \\ 0 & -1 & 1 \end{pmatrix} + \frac{m_2}{3} \begin{pmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix} + \frac{m_1}{3} \begin{pmatrix} 4 & -2 & -2 \\ -2 & 1 & 1 \\ -2 & 1 & 1 \end{pmatrix} \quad m_3 \leftrightarrow \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ -1 \\ 1 \end{pmatrix} \quad m_2 \leftrightarrow \frac{1}{\sqrt{3}} \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix} \quad m_1 \leftrightarrow \frac{1}{\sqrt{6}} \begin{pmatrix} 2 \\ -1 \\ -1 \end{pmatrix}$$

eigenvectors

minimal symmetry guaranteeing this pattern

$$\mathbf{Z}_2 \times \mathbf{Z}_2 \text{ group: } G_{SU} = G_S \times G_U,$$

$$G_S = \{1, S\} \quad G_U = \{1, U\}$$

$$S = \frac{1}{3} \begin{pmatrix} -1 & 2 & 2 \\ 2 & -1 & 2 \\ 2 & 2 & -1 \end{pmatrix}$$

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$$

$$S^T m_\nu S = m_\nu$$

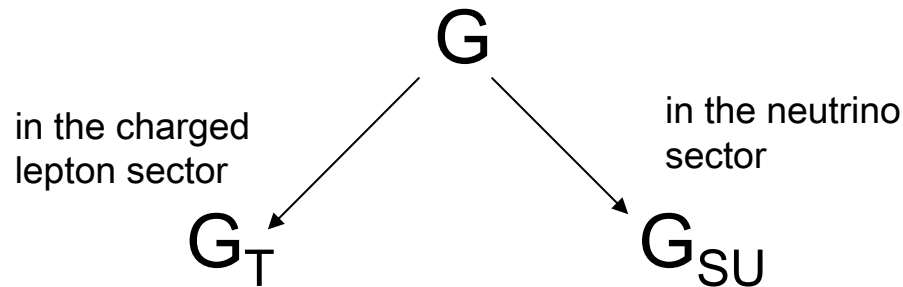
$$U^T m_\nu U = m_\nu$$

algorithm to reproduce TB mixing

1. start from a flavour symmetry group $G \supset G_T, G_{SU}$

2. arrange symmetry breaking of G :

[In practice, G_S is already sufficient]



spontaneous
symmetry
breaking

**vacuum
alignment
problem** $\langle \varphi_T \rangle, \langle \varphi_{SU} \rangle, \dots$

should have specific magnitudes and relative directions in flavour space.

minimal choice for G

[Ma, Rajasekaran 2001; Babu, Ma, Valle 2003; Hirsch, Romao, Skandage, Valle, Villanova de Moral 2003; Ma 0409075]

matrices S and T satisfy

$$S^2 = (ST)^3 = T^3 = 1$$

the group generated by S and T is A_4 and has 12 elements

$$A_4 = \{1, S, T, ST, TS, T^2, ST^2, STS, TST, T^2S, TST^2, T^2ST\}$$

- group of even permutation of four objects
- subgroup of SO(3) leaving a tetrahedron invariant

A_4 representations :

$$\begin{array}{l} 1 \quad S=1 \quad T=1 \\ 1' \quad S=1 \quad T=\omega^2 \\ 1'' \quad S=1 \quad T=\omega \end{array}$$

$$3 \quad S = \frac{1}{3} \begin{pmatrix} -1 & 2 & 2 \\ 2 & -1 & 2 \\ 2 & 2 & -1 \end{pmatrix} \quad T = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \omega^2 & 0 \\ 0 & 0 & \omega \end{pmatrix}$$

$$\omega \equiv e^{i\frac{2\pi}{3}}$$

a minimal model (lepton sector)

[AF1,AF2]

	l	e^c	μ^c	τ^c	h_u	h_d	φ_T	φ_S	ξ_i
A_4	3	1	1''	1'	1	1	3	3	1

matter fields

Higgses

A_4 breaking sector

SU(2)xU(1)x A_4 invariant Lagrangian:

[Λ is the cutoff]

$$L = y_e e^c \left(\frac{\varphi_T}{\Lambda} l\right) h_d + y_\mu \mu^c \left(\frac{\varphi_T}{\Lambda} l\right)' h_d + y_\tau \tau^c \left(\frac{\varphi_T}{\Lambda} l\right)'' h_d$$

$$+ x_a \frac{\xi}{\Lambda} (ll) \frac{h_u h_u}{\Lambda} + x_b \left(\frac{\varphi_S}{\Lambda} ll\right) \frac{h_u h_u}{\Lambda} + \dots$$

higher dimensional operators in $1/\Lambda$ expansion

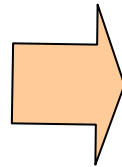
❖ some invariant is missing from L: $\begin{cases} \varphi_S \leftrightarrow \varphi_T \\ x(ll)h_u h_u \end{cases}$
 [can be forbidden by an additional Z_3]

under appropriate conditions
(e.g, SUSY + Z_3)
minimization of V
leads to

$$\begin{aligned}
 \langle \varphi_T \rangle &= (v_T, 0, 0) && \left. \vphantom{\langle \varphi_T \rangle} \right\} \rightarrow \text{breaks } A_4 \text{ down to } G_T \\
 \langle \varphi_S \rangle &= (v_S, v_S, v_S) && \left. \vphantom{\langle \varphi_S \rangle} \right\} \rightarrow \text{breaks } A_4 \text{ down to } G_S \\
 \langle \xi \rangle &= u \\
 [\langle h_{u,d} \rangle = v_{u,d} \ll v_T, v_S, u] & && v_T, v_S, u \leq \Lambda
 \end{aligned}$$

then:

$$m_l = \begin{pmatrix} y_e & 0 & 0 \\ 0 & y_\mu & 0 \\ 0 & 0 & y_\tau \end{pmatrix} v_d \left(\frac{v_T}{\Lambda} \right)$$



charged fermion masses

$$m_f = y_f v_d \left(\frac{v_T}{\Lambda} \right)$$

free parameters as in the SM
at this level

$$m_\nu = \begin{pmatrix} a + \frac{2}{3}b & -\frac{b}{3} & -\frac{b}{3} \\ -\frac{b}{3} & \frac{2}{3}b & a - \frac{b}{3} \\ -\frac{b}{3} & a - \frac{b}{3} & \frac{2}{3}b \end{pmatrix} \frac{v_u^2}{\Lambda}$$

$$a \equiv 2x_a \frac{u}{\Lambda}$$

$$b \equiv 2x_b \frac{v_S}{\Lambda}$$

2 complex
parameters in
 ν sector
(overall phase unphysical)

[has also an accidental invariance under G_U]

mixing angles entirely from ν sector:

$$U_{PMNS} = \begin{pmatrix} \sqrt{\frac{2}{3}} & \frac{1}{\sqrt{3}} & 0 \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & -\frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \end{pmatrix}$$

independent from
 $|a|$, $|b|$, $\Delta \equiv \arg(a) - \arg(b)$!!

ν masses: $m_1 = |a + b| \frac{v_u^2}{\Lambda}$ $m_2 = |a| \frac{v_u^2}{\Lambda}$ $m_3 = |a - b| \frac{v_u^2}{\Lambda}$

$m_2 > m_1$ \longrightarrow $-1 \leq \cos \Delta < -\left| \frac{b}{2a} \right|$ \longrightarrow ν spectrum always of **normal hierarchy type**

$$\left| \frac{b}{2a} \right| \approx \begin{cases} 1 & \text{[almost hierarchical spectrum]} \\ 0 & \text{[almost degenerate spectrum]} \end{cases}$$

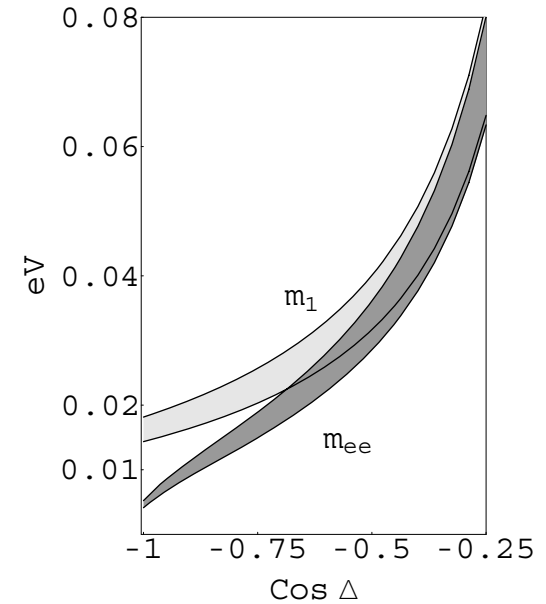
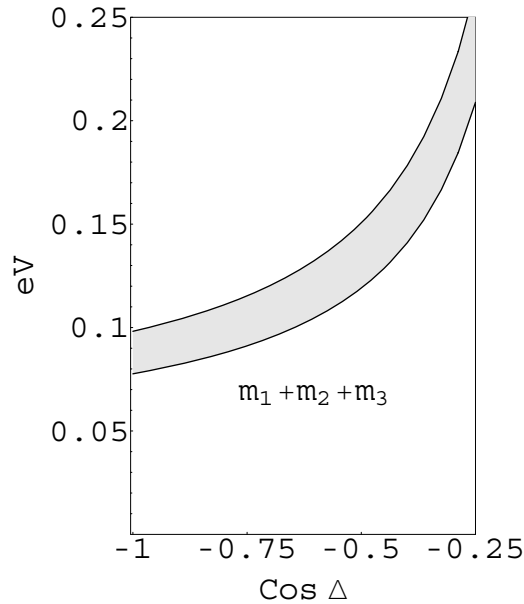
$r \equiv \frac{\Delta m_{sol}^2}{\Delta m_{atm}^2} \approx \frac{1}{35}$ requires a (moderate) tuning

predictions:

$$m_1 \geq 0.017 \text{ eV}$$

$$\sum_i m_i \geq 0.09 \text{ eV}$$

$$|m_3|^2 = |m_{ee}|^2 + \frac{10}{9} \Delta m_{atm}^2 \left(1 - \frac{\Delta m_{sol}^2}{\Delta m_{atm}^2} \right)$$

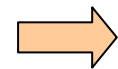
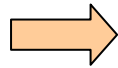


range of VEVs:

$$m_\tau = y_\tau v_d \left(\frac{v_T}{\Lambda} \right)$$

$$y_\tau < 4\pi$$

from ν spectrum



$$\frac{v_T}{\Lambda} > 0.002(0.02)$$

$$\tan \beta = 2.5(30)$$

$$\tan \beta = \frac{v_u}{v_d}$$

$$\Lambda = 1.8 \times 10^{15} \left(\frac{v_S}{\Lambda} \right) \sin^2 \beta \text{ GeV}$$

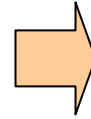
assuming all VEVs of the same order

$$0.002 < \frac{v_T}{\Lambda} \approx \frac{v_S}{\Lambda} \approx \frac{u}{\Lambda} < 1$$

$$\Lambda < 0.25 \times 10^{15} \text{ GeV}$$

sub-leading corrections

arising from higher dimensional operators, depleted by additional powers of $1/\Lambda$.



they affect m_l , m_ν and they can deform the VEVs.

result

$$U_{PMNS} = \begin{pmatrix} \sqrt{\frac{2}{3}} & \frac{1}{\sqrt{3}} & 0 \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & -\frac{1}{\sqrt{2}} \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \end{pmatrix} + O\left(\frac{\text{VEV}}{\Lambda}\right)$$

[leading corrections can be even smaller

$$O\left(\frac{\text{VEV}}{\Lambda}\right)^2$$

in particular cases]

and similarly for neutrino masses

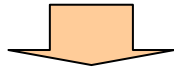
TB mixing is preserved if corrections are $\leq \lambda^2 \approx 0.04$

given the range $0.002 < (\text{VEV}/\Lambda) < 1$, corrections can be kept below λ^2

quark masses

simple and good first order approximation:

	q	u^c	c^c	t^c	d^c	s^c	b^c
A_4	3	1	1''	1'	1	1''	1'



quark mass matrices diagonal in the leading order mixing matrix $V_{CKM}=1$

- unfortunately, corrections induced by higher dimensional operators are **negligibly small**
- top mass from **dim 5 operator**

- same assignment as in the lepton sector
- compatible with $SU(4) \otimes SU(2)_L \otimes SU(2)_R$ partial unification

additional sources of A_4 breaking are needed in the quark sector

possible solution within T' , the double covering of A_4 [FHLM]

$$S^2 = R \quad R^2 = 1 \quad (ST)^3 = T^3 = 1$$

24 elements

representations: 1 1' 1'' 3 2 2' 2''

	$\begin{pmatrix} u & d \\ c & s \end{pmatrix}$	$\begin{pmatrix} u^c \\ c^c \end{pmatrix}$	$\begin{pmatrix} d^c \\ s^c \end{pmatrix}$	$(t \quad b)$	t^c	b^c	η	ξ''
T'	$2''$	$2''$	$2''$	1	1	1	$2'$	$1''$

[older T' models by
 Frampton, Kephart 1994
 Aranda, Carone, Lebed 1999, 2000
 Carr, Frampton 2007
 similar $U(2)$ constructions by
 Barbieri, Dvali, Hall 1996
 Barbieri, Hall, Raby, Romanino 1997
 Barbieri, Hall, Romanino 1997]

- lepton sector as in the A_4 model
- t and b masses at the renormalizable level (τ mass from higher dim operators)
 at the leading order [including dim 5 operators]

$$m_{u,d} \propto \begin{pmatrix} 0 & 0 & 0 \\ 0 & \times & \times \\ 0 & \times & \times \end{pmatrix} \xrightarrow{33 \gg 22, 23, 32} \langle \eta \rangle = \begin{pmatrix} v_1 \\ 0 \end{pmatrix}$$

$$m_t, m_b > m_c, m_s \neq 0$$

$$V_{cb}$$

- masses and mixing angles of 1st generation from higher-order effects
- despite the large number of parameters two relations are predicted

$$\sqrt{\frac{m_d}{m_s}} = |V_{us}| + O(\lambda^2)$$

$$\sqrt{\frac{m_d}{m_s}} = \left| \frac{V_{td}}{V_{ts}} \right| + O(\lambda^2)$$

$$0.213 \div 0.243 \quad 0.2257 \pm 0.0021$$

$$0.208^{+0.008}_{-0.006}$$

- vacuum alignment explicitly solved
- lepton sector not spoiled by the corrections coming from the quark sector

conclusion

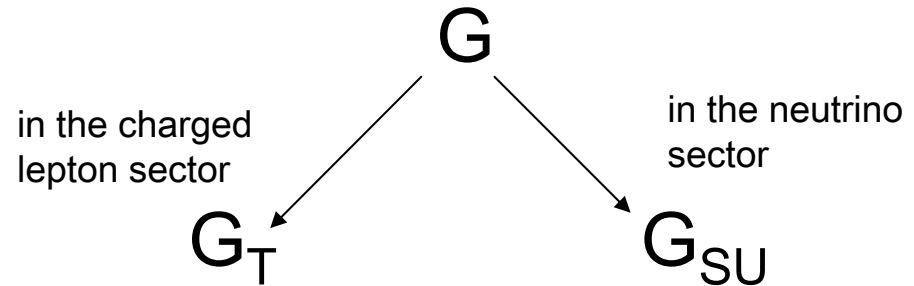
mixing in the lepton sector is well described by the TB pattern

$$\vartheta_{23} = 45^0 \quad \vartheta_{13} = 0 \quad \sin^2 \vartheta_{12} = \frac{1}{3}$$

errors on θ_{23} and θ_{13} are still large and future data are needed to confirm TB at the λ^2 level

TB mixing can arise from

**natural vacuum alignment
preserved by high-order effects**



Here: an existence proof based on the discrete group A_4 [T']
vacuum alignment, stability and extension to quarks are non-trivial
- neutrino spectrum is of normal hierarchy type

$$|m_3|^2 = |m_{ee}|^2 + (10/9)\Delta m_{atm}^2 \left(1 - \Delta m_{sol}^2 / \Delta m_{atm}^2\right)$$

$$m_1 > 0.017 \text{ eV} \quad \sum_i m_i > 0.09 \text{ eV}$$

$$\sqrt{\frac{m_d}{m_s}} = |V_{us}| + O(\lambda^2) \quad \sqrt{\frac{m_d}{m_s}} = \left| \frac{V_{td}}{V_{ts}} \right| + O(\lambda^2)$$

relation to the modular group

modular group $PSL(2, \mathbb{Z})$: linear fractional transformation

complex variable \rightarrow

$$z \rightarrow \frac{az + b}{cz + d} \quad \begin{array}{l} a, b, c, d \in \mathbb{Z} \\ ad - bc = 1 \end{array}$$

discrete, infinite group generated by two elements

$$z \rightarrow -\frac{1}{z}$$

S

$$z \rightarrow z + 1$$

T

obeying

$$S^2 = (ST)^3 = 1$$

the modular group is present everywhere in string theory

[any relation to string theory approaches to fermion masses?]

A_4 is a finite subgroup of the modular group and

$$A_4 = \frac{PSL(2, \mathbb{Z})}{H}$$



representations of A_4 are representations of $PSL(2, \mathbb{Z})$

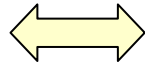
Ibanez; Hamidi, Vafa;
Dixon, Friedan, Martinec,
Shenker; Casas, Munoz;
Cremades, Ibanez,
Marchesano; Abel, Owen

infinite discrete normal subgroup of $PSL(2, \mathbb{Z})$

A_4 as a leftover of Poincare symmetry in $D > 4$

[AFL]

D dimensional
Poincare symmetry



usually broken by
compactification down
to 4 dimensions

a discrete subgroup of the $(D-4)$ euclidean group
can survive in specific geometries

Example: $D=6$

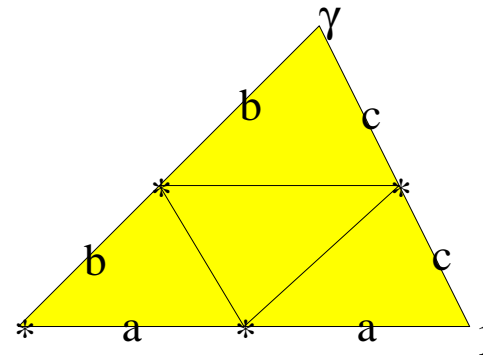
2 dimensions
compactified on T^2/Z_2

$$z \rightarrow z + 1$$

$$z \rightarrow z + \gamma$$

$$z \rightarrow -z$$

four fixed points



if $\gamma = e^{i\frac{\pi}{3}}$

regular tetrahedron
invariant under

$$S: z \rightarrow z + \frac{1}{2}$$

$$T: z \rightarrow \gamma^2 z$$

$$S^2 = T^3 = (ST)^3 = 1$$

$\sin^2\theta_{23}$

$\delta(\sin^2\theta_{23})$ reduced by future LBL experiments
from $\nu_{\mu} \rightarrow \nu_{\mu}$ disappearance channel

$$P_{\mu\mu} \approx 1 - \sin^2 2\vartheta_{23} \sin^2\left(\frac{\Delta m_{31}^2 L}{4E}\right)$$

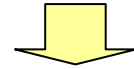
- no substantial improvements from conventional beams
- superbeams (e.g. T2K in 5 yr of run)

$$\delta P_{\mu\mu} \approx 0.01$$

$$\delta\vartheta_{23} \approx 0.05 \text{ rad} \leftrightarrow 2.9^\circ$$

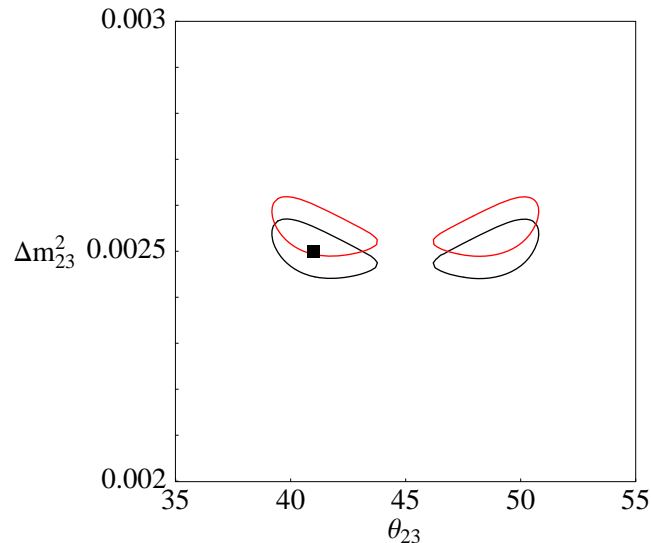
improvement by
about a factor 2

$$\vartheta_{23} \approx \frac{\pi}{4}$$



$$\delta\vartheta_{23} \approx \frac{\sqrt{\delta P_{\mu\mu}}}{2}$$

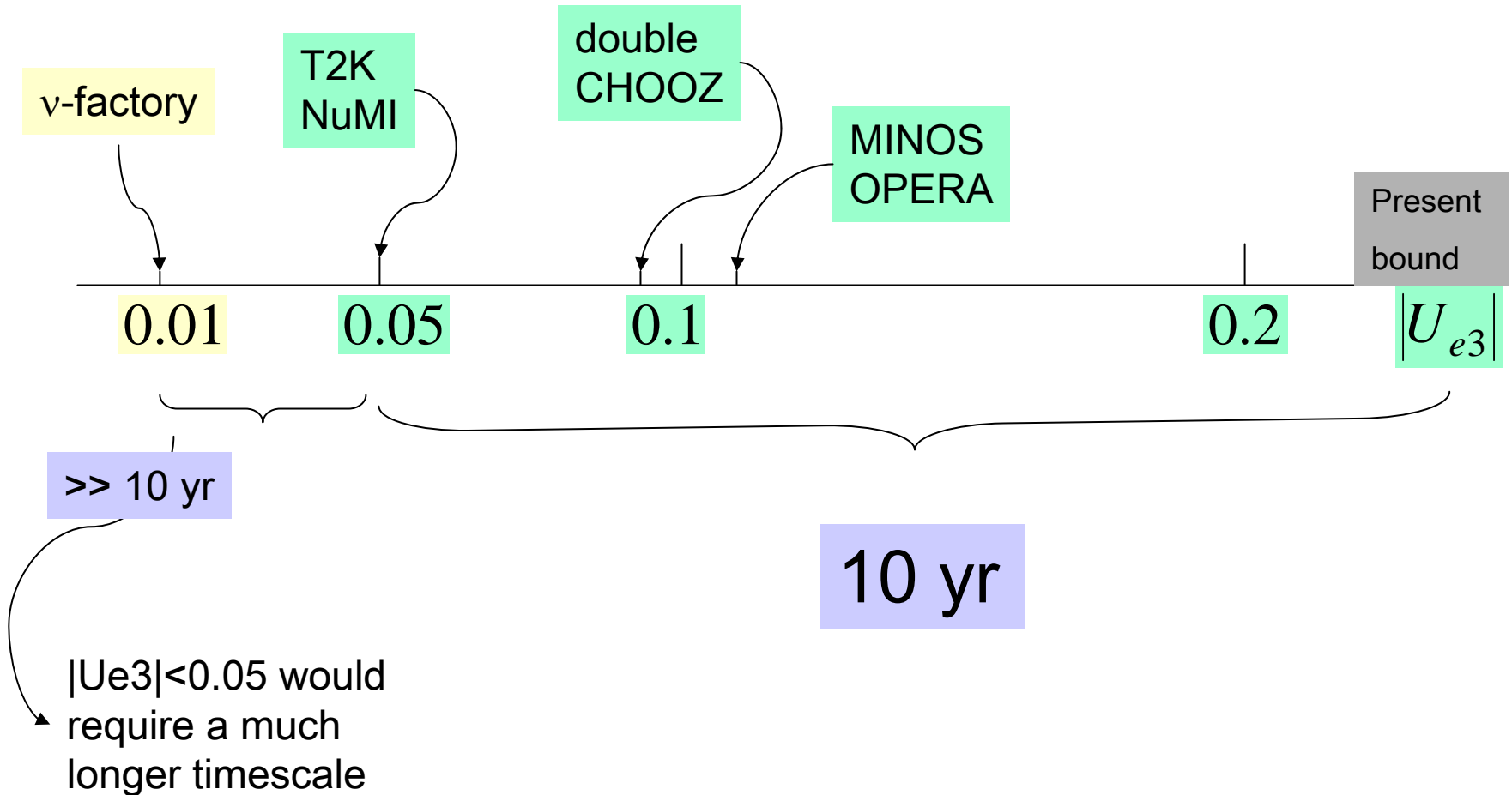
i.e. a small uncertainty
on $P_{\mu\mu}$ leads to a large
uncertainty on θ_{23}



T2K-1
90% CL
black = normal hierarchy
red = inverted hierarchy
true value 41°
[courtesy by
Enrique Fernandez]

$\sin \theta_{13}$

a similar sensitivity is expected on θ_{13} ($U_{e3} = \sin \theta_{13}$)



many models predicts a **large** but **not necessarily maximal** θ_{23}

an example: abelian flavour symmetry group $U(1)_F$

$$F(l) = (\times, 0, 0) \quad [\times \neq 0]$$

$$F(e^c) = (\times, \times, 0)$$

$$m_e = \begin{pmatrix} \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot \\ \cdot & O(1) & O(1) \end{pmatrix} v_d$$

$$m_\nu = \begin{pmatrix} \cdot & \cdot & \cdot \\ \cdot & O(1) & O(1) \\ \cdot & O(1) & O(1) \end{pmatrix} \frac{v_u^2}{\Lambda}$$



$$\mathcal{G}_{23} \approx O(1)$$

maximal only by a fine-tuning!

similarly for all other abelian charge assignments

$$F(l) = (1, -1, -1)$$

$$m_\nu = \begin{pmatrix} \cdot & O(1) & O(1) \\ O(1) & \cdot & \cdot \\ O(1) & \cdot & \cdot \end{pmatrix} \frac{v_u^2}{\Lambda}$$

$$\mathcal{G}_{23} \approx O(1) + \text{charged lepton contribution}$$

no help from the see-saw mechanism within abelian symmetries...

θ_{23} maximal by RGE effects?

[Ellis, Lola 1999
Casas, Espinoza, Ibarra, Navarro 1999-2003
Broncano, Gavela, Jenkins 0406019]

running effects important only for quasi-degenerate neutrinos

2 flavour case

boundary conditions at $\Lambda \gg$ e.w. scale

$$m_2, m_3, \mathcal{G}_{23}$$

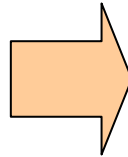
at $Q < \Lambda$

$$\mathcal{G}_{23}(Q) \approx \frac{\pi}{4} \iff \varepsilon \approx -\frac{\delta m}{m} \cos 2\mathcal{G}_{23}$$

$$\varepsilon \approx \frac{1}{16\pi^2} y_\tau^2 \log \frac{\Lambda}{Q}$$

$$[\text{possible only if } \delta m \equiv m_2 - m_3 \ll m_2 + m_3 \approx 2m]$$

gives the scale Q at which $\theta_{23}(Q)$ becomes maximal



$m_2, m_3, \mathcal{G}_{23}$ fine tuned to obtain Q at the e.w. scale

a similar conclusion also for the 3 flavour case:

$$\sin^2 2\mathcal{G}_{12} = \frac{\sin^2 \mathcal{G}_{13} \sin^2 2\mathcal{G}_{23}}{(\sin^2 \mathcal{G}_{23} \cos^2 \mathcal{G}_{13} + \sin^2 \mathcal{G}_{13})^2}$$

$$\text{if } \mathcal{G}_{23} = \frac{\pi}{4}$$

wrong!

$$\sin^2 2\mathcal{G}_{12} = \frac{4 \sin^2 \mathcal{G}_{13}}{(1 + \sin^2 \mathcal{G}_{13})^2} < 0.2 \text{ (Chooz)}$$

infrared stable fixed point

[Chankowski, Pokorski 2002]

patterns of symmetry breaking

A_4 triplet $\varphi = (\varphi_1, \varphi_2, \varphi_3)$

$$\langle \varphi \rangle \propto (1,1,1)$$

$$A_4 \rightarrow G_S$$

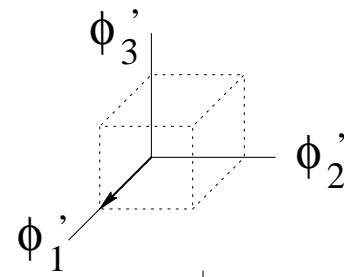
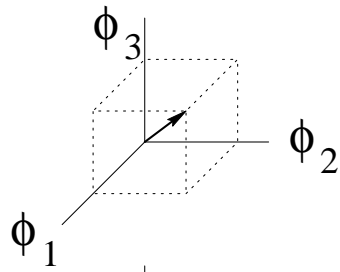
$$\langle \varphi \rangle \propto (1,0,0)$$

$$A_4 \rightarrow G_T$$

as we have seen

G_S is the low-energy symmetry in the ν sector

G_T is the low-energy symmetry in the charged lepton sector



low-energy parameters

ν masses

[3 light active ν]

$$m_1, m_2, m_3$$

order

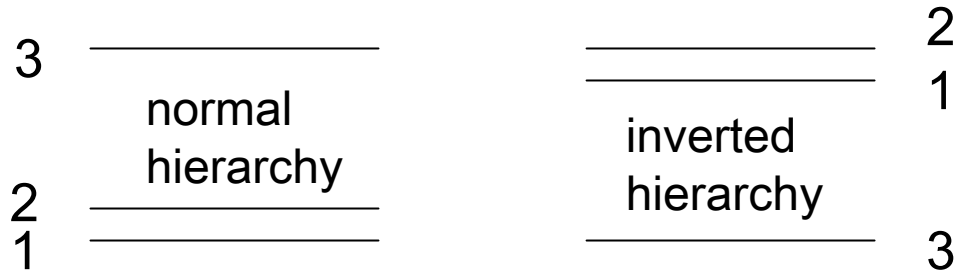
$$m_1 < m_2$$

$$\Delta m_{21}^2 < |\Delta m_{32}^2|, |\Delta m_{31}^2| \quad [\Delta m_{ij}^2 \equiv m_i^2 - m_j^2]$$

i.e. 1 and 2 are, by definition, the closest levels

two possibilities:

$$\left. \begin{aligned} \Delta m_{21}^2 &= 7.9 (1 \pm 0.09) \times 10^{-5} \text{ GeV}^2 \\ |\Delta m_{31}^2| &= 2.4 (1_{-0.26}^{+0.21}) \times 10^{-3} \text{ GeV}^2 \end{aligned} \right\} \text{at } 2\sigma$$



Mixing matrix (analogous to V_{CKM})

$$U_{PMNS} = \begin{pmatrix} c_{12} c_{13} & s_{12} c_{13} & s_{13} e^{i\delta} \\ -s_{12} c_{23} - c_{12} s_{13} s_{23} e^{-i\delta} & c_{12} c_{23} - s_{12} s_{13} s_{23} e^{-i\delta} & c_{13} s_{23} \\ -c_{12} s_{13} c_{23} + s_{12} s_{23} e^{-i\delta} & -s_{12} s_{13} c_{23} - c_{12} s_{23} e^{-i\delta} & c_{13} c_{23} \end{pmatrix} \times \underbrace{\begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\alpha} & 0 \\ 0 & 0 & e^{i\beta} \end{pmatrix}}$$

$$c_{12} \equiv \cos \vartheta_{12}, \dots$$

- only if ν are Majorana
- drops in oscillations

plan of the seminar

- lepton mixing angles and Tri-Bimaximal (TB) mixing
- maximal atmospheric mixing angle
- TB mixing from vacuum alignment, minimal model based on A_4
- extension to the quark sector: from A_4 to T'
- microscopic origin of A_4

based on

AF1 = Guido Altarelli and F. F. hep-ph/0504165

AF2 = Guido Altarelli and F. F. hep-ph/0512103

AFL = Guido Altarelli, F.F. and Yin Lin hep-ph/0610165

FHLM = F.F., Claudia Hagedorn, Yin Lin and Luca Merlo hep-ph/0702194

within **abelian flavour symmetries**

many models predicts a **large** but **not necessarily maximal** θ_{23}

$$\mathcal{G}_{23} = \frac{\pi}{4} \quad \text{only by a fine-tuning}$$

$\mathcal{G}_{23} = \frac{\pi}{4}$ is not an infrared stable fixed point of RGE evolution

initial conditions at high energy should be fine-tuned in order to achieve maximal atmospheric mixing angle at low energy

[Ellis, Lola 1999

Casas, Espinoza, Ibarra, Navarro 1999-2003

Broncano, Gavela, Jenkins 0406019

Chankowski, Pokorski 2002]

further requirements for the vacuum alignment

(1) alignment should be **natural**

no ad-hoc relations: desired VEVs from most general V
in a finite region of parameter space

(2) alignment **not spoiled by sub-leading terms**

$$\mathcal{G}_{13} = 0 + a_1 \frac{\langle \varphi \rangle}{\Lambda} + a_2 \frac{\langle \varphi \rangle^2}{\Lambda^2} + \dots$$

$$\mathcal{G}_{23} = \frac{\pi}{4} + b_1 \frac{\langle \varphi \rangle}{\Lambda} + b_2 \frac{\langle \varphi \rangle^2}{\Lambda^2} + \dots$$

from higher-dimensional operators compatible with gauge and flavour symmetries

often $\frac{\langle \varphi \rangle}{\Lambda} \approx \lambda$
then $a_1 = b_1 = 0$ needed

leading order

(3) alignment **compatible with mass hierarchies**

$$\frac{m_e}{m_\tau}, \quad \frac{m_\mu}{m_\tau}$$

should vanish in the limit of exact symmetry

(1) natural vacuum alignment

$$\begin{aligned} \langle \varphi_T \rangle &= (v_T, 0, 0) \\ \langle \varphi_S \rangle &= (v_S, v_S, v_S) \\ \langle \xi \rangle &= u \end{aligned}$$

it is not a local minimum of the most general renormalizable scalar potential V depending on $\varphi_S, \varphi_T, \xi$ and invariant under A_4

$$v_T \approx v_S \approx u$$

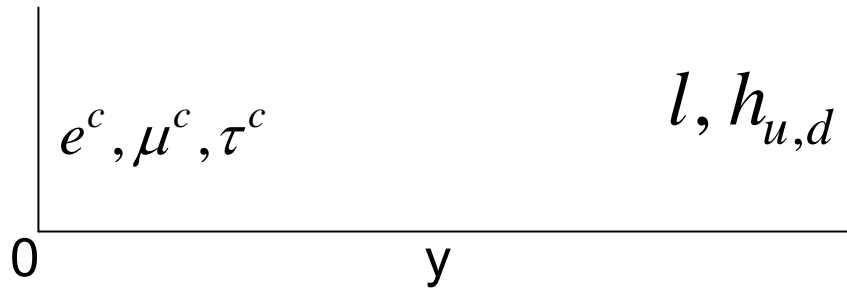
a simple solution in 1 extra dimension \equiv ED

[AF1]

[Altarelli, F. 0504165]

$$\langle \varphi_T \rangle = (v_T, 0, 0)$$

local minimum of V_0



$$\langle \varphi_S \rangle = (v_S, v_S, v_S)$$

$$\langle \xi \rangle = u$$

local minimum of V_L

ν masses arise from local operators at $y=L$

$$\frac{(\varphi_S l l) h_u h_u}{\Lambda^2}$$

$$\frac{\xi(l l) h_u h_u}{\Lambda^2}$$

this explains also the absence of the terms with $\varphi_S \leftrightarrow \varphi_T$

charged lepton masses from non-local operators

$$\frac{(f^c \varphi_T F) \delta(y)}{\sqrt{\Lambda}}$$

$$-M F F^c$$

$$\frac{(F^c l) h_d \delta(y-L)}{\sqrt{\Lambda}}$$

$$E \ll M$$

$$\frac{(f^c \varphi_T l) h_d}{\Lambda} e^{-ML}$$

bulk fermion $Y=-1$

(3) alignment and mass hierarchies

$$m_l = \begin{pmatrix} y_e & 0 & 0 \\ 0 & y_\mu & 0 \\ 0 & 0 & y_\tau \end{pmatrix} v_d \begin{pmatrix} v_T \\ \Lambda \end{pmatrix}$$

charged fermion masses
are already diagonal

$$m_e \ll m_\mu \ll m_\tau$$

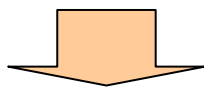
easily **reproduced** by
U(1) flavour symmetry

$$Q(e^c) = 4 \quad Q(\mu^c) = 2 \quad Q(\tau^c) = 0$$

$$Q(l) = 0$$

$$Q(\mathcal{G}) = -1 \quad \langle \mathcal{G} \rangle \neq 0$$

} compatible with A_4



$$y_e \approx \frac{\langle \mathcal{G} \rangle^4}{\Lambda^4} \quad y_\mu \approx \frac{\langle \mathcal{G} \rangle^2}{\Lambda^2} \quad y_\tau \approx 1$$

these models have a see-saw realization

by including right - handed neutrinos $\nu^c \approx 3$

$$m_\nu^D \propto 1$$

$$M \propto \begin{pmatrix} a + \frac{2}{3}b & -\frac{b}{3} & -\frac{b}{3} \\ -\frac{b}{3} & \frac{2}{3}b & a - \frac{b}{3} \\ -\frac{b}{3} & a - \frac{b}{3} & \frac{2}{3}b \end{pmatrix}$$

as m_ν before

$$m_\nu = -\left(m_\nu^D\right)M^{-1}m_\nu^D \propto M^{-1}$$

inverse of what was before

- mixing matrix is the same

- eigenvalues are the inverse and now also the case of inverted hierarchy is allowed

θ_{23} maximal from non-abelian flavour symmetries ?

an obstruction: $\mathcal{G}_{23} = 45^0$ can never arise in the limit of an **exact realistic** symmetry

charged lepton mass matrix:

$$m_l = m_l^0 + \delta m_l^0$$

symmetry breaking effects:
vanishing when flavour symmetry F is **exact**

symmetric limit

realistic symmetry:

(1) $|\delta m_l^0| < |m_l^0|$

(2) m_l^0 has rank ≤ 1

$$m_l^0 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & m_\tau \end{pmatrix}$$

\mathcal{G}_{12}^e undetermined

$$U_{PMNS} = U_e^+ U_\nu$$

[omitting phases]

$$\tan \mathcal{G}_{23}^0 = \tan \mathcal{G}_{23}^\nu \cos \mathcal{G}_{12}^e + \left(\frac{\tan \mathcal{G}_{13}^\nu}{\cos \mathcal{G}_{23}^\nu} \right) \sin \mathcal{G}_{12}^e$$

undetermined

$$\mathcal{G}_{23} = 45^0$$

determined entirely by the pattern of breaking effects
(different, in general, for ν and e sectors)