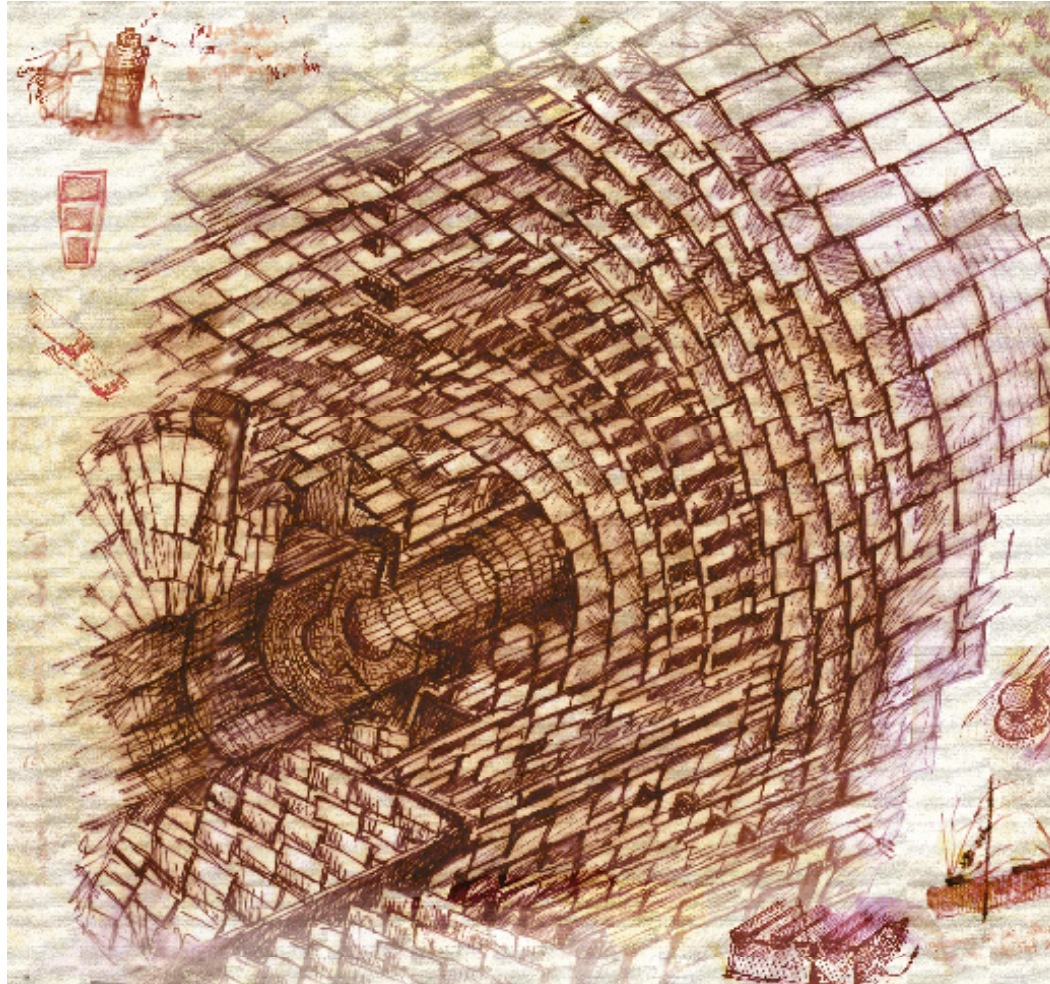




CMS SLHC Tracker Upgrade Thoughts, Challenges & Strategies



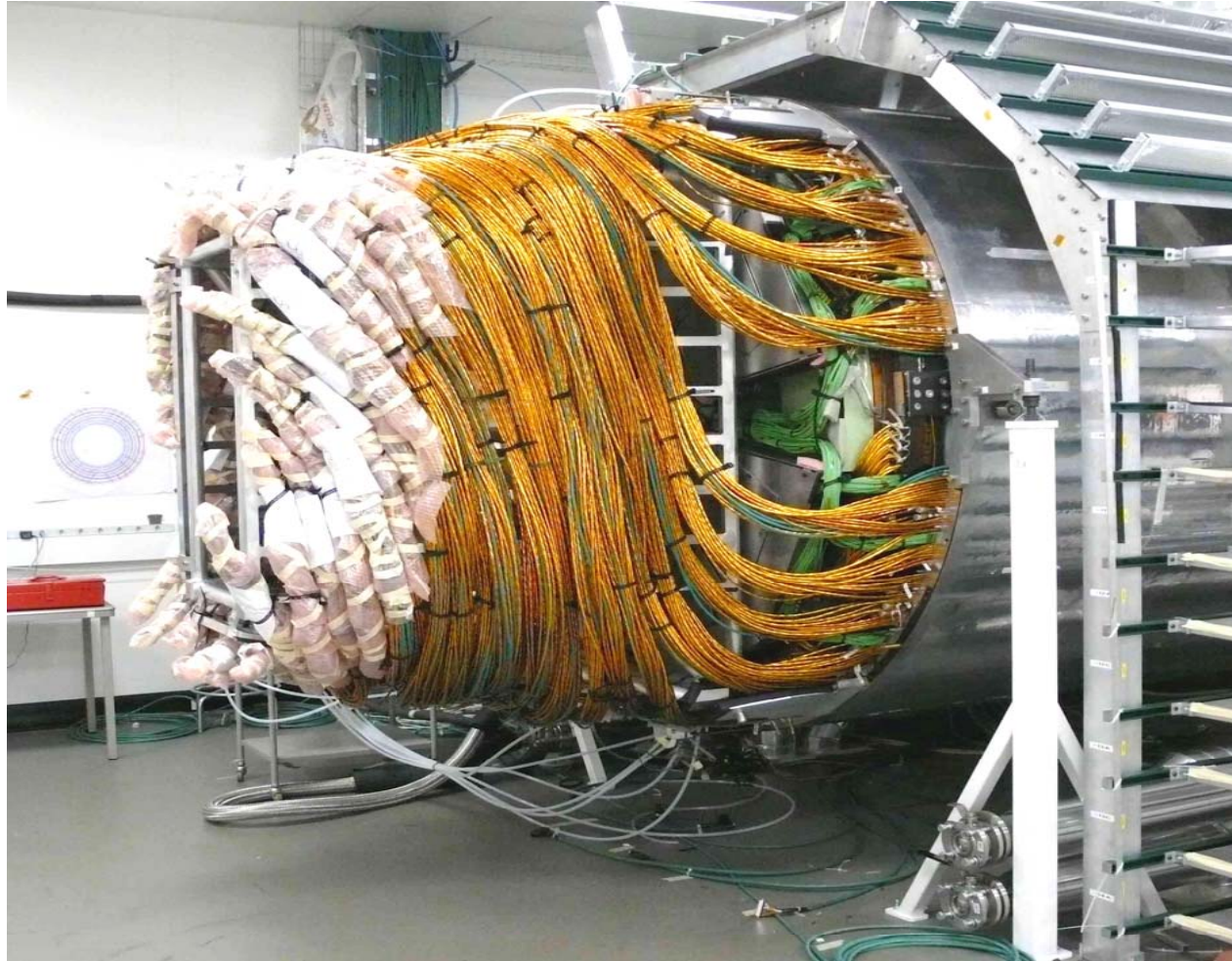
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CMS SLHC Tracker Upgrade Thoughts, Challenges & Strategies



- **Broad ranging discussion**
 - **First, Explore alternative ideas and approaches**
 - **Then, Focus on most promising ones**
 - 19 July Tracker-Trigger Joint meeting
 - 2 Aug Sensor WG meeting

 - 11 Sep Simulations WG
 - 12 Sep Readout WG
 - 13 Sep Material, cooling, engineering WG (1/2 day)
 - 13 Sep Steering Group

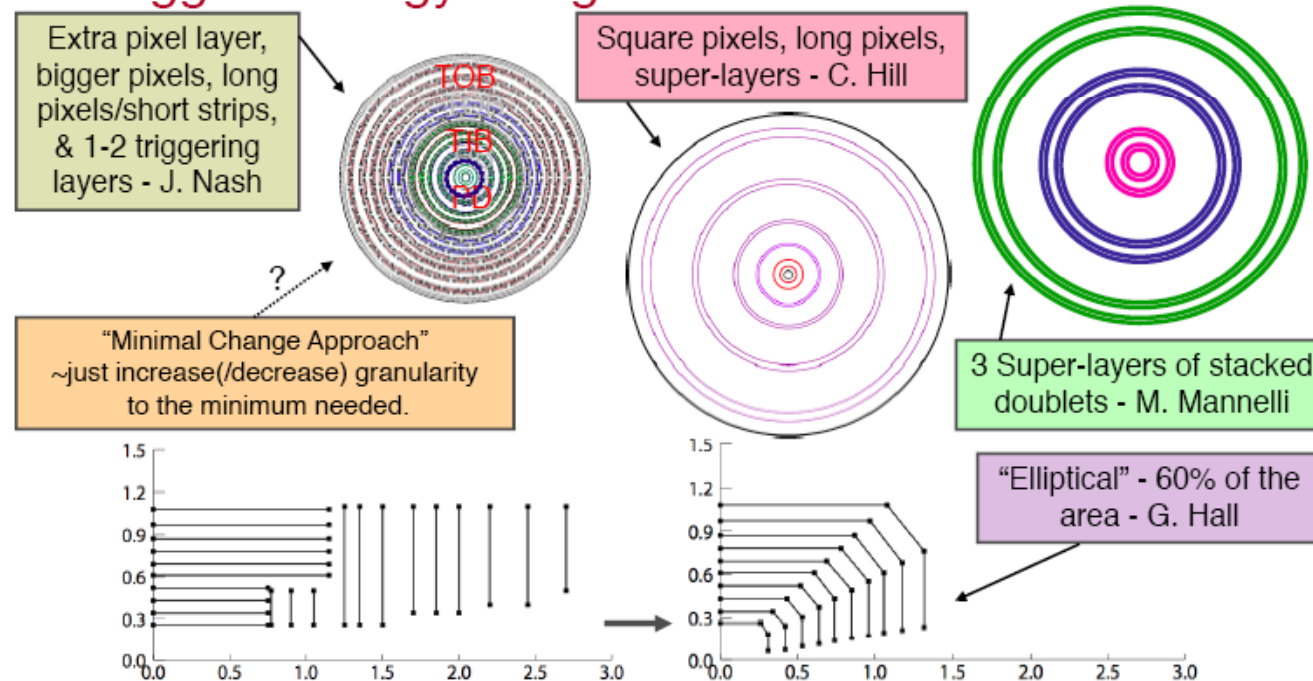
 - Expect follow ups in monthly Tracker meetings (Thursday)
 - 8 Nov Readout WG (tbc)
 - 6 Dec Simulations Sensors (tbc)
 - + Simulations video meeting every two weeks



CMS SLHC Tracker Upgrade Thoughts, Challenges & Strategies



- **Broad ranging discussion**
 - First, Explore alternative ideas and approaches
 - Then, Focus on most promising ones
- No single strawman tracking system or tracking trigger strategy/design



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CMS SLHC Tracker Upgrade Thoughts, Challenges & Strategies



- **Broad ranging discussion**
 - First, Explore alternative ideas and approaches
 - Then, Focus on most promising ones
- **This presentation will NOT be an Over-view**
- **Highlight Two sets of Thoughts concerning:**

Local Occupancy Reduction / Hit Discrimination

Granularity vs Power Consumption



SLHC CMS Tracker Upgrade Required Functionality



- **Fast, Robust, Efficient, High Resolution Tracking**
- **HLT Capability:**
 - The present CMS Tracker will be used in the HLT, immediately after the hardware Level-1 Trigger, to select Physics Objects and Event Topology with much better Resolution, Efficiency & Background Rejection
 - High Pt muons, electrons, b-jets, tau-jets, Missing ET, etc.
- **Level 1 Trigger Capability:**
 - Must keep ~ same L1 Trigger rate, despite 10 time higher event rate, 10 ~ 20 times higher occupancy, without sacrificing Efficiency and Background Rejection
 - Just raising thresholds will not work AND is NOT desirable: scale is set by Physics

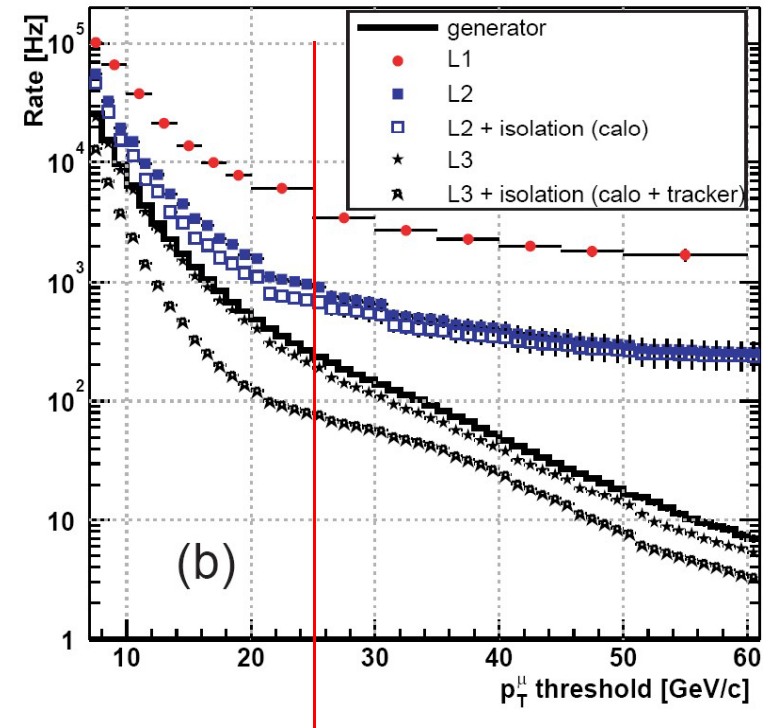
At SLHC need to transfer use of the Tracker from HLT to Level-1 Trigger



Tracker: From HLT to L1



- **Confirmation of Isolated High-pt μ Candidates**
 - Fast, Efficient & Clean Tracking
 - Excellent Pt resolution
- **Increased Rejection of fake e/γ Candidates**
 - Match with Track at Vertex
- **Rejection of Uncorrelated Combinations, from different primary vertex**
 - Match with Tracks at Vertex
- ...



Factor ~ 100 reduction
For same Pt threshold



Tracker: From HLT to L1

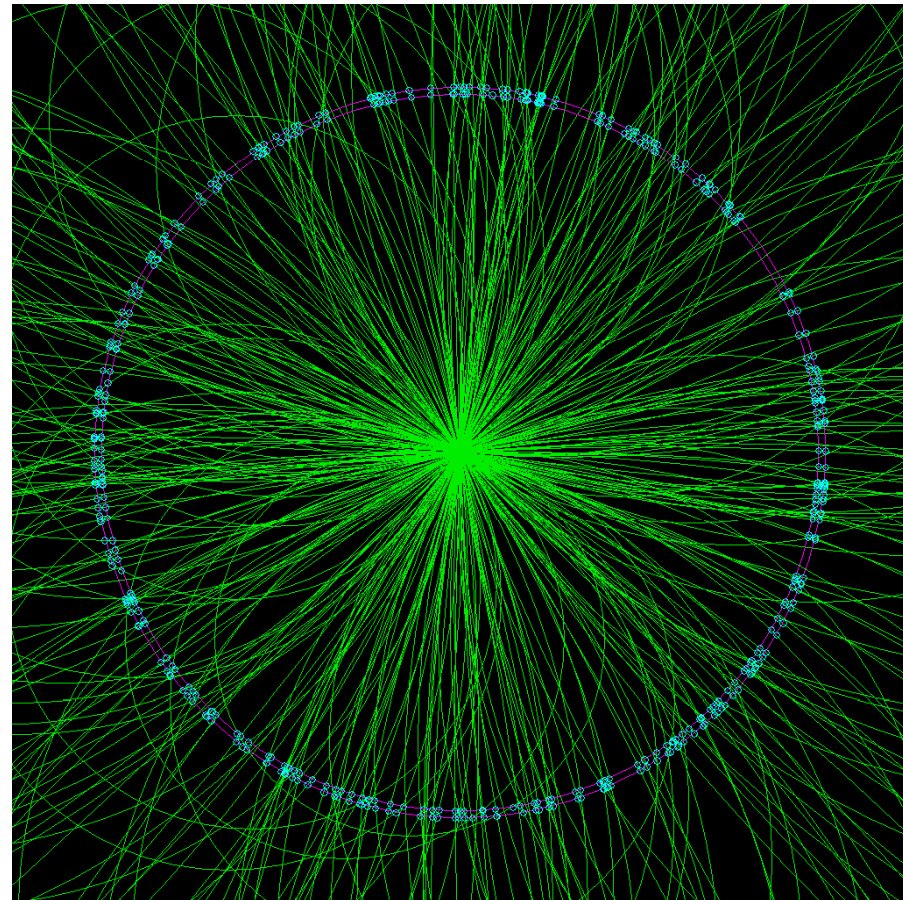
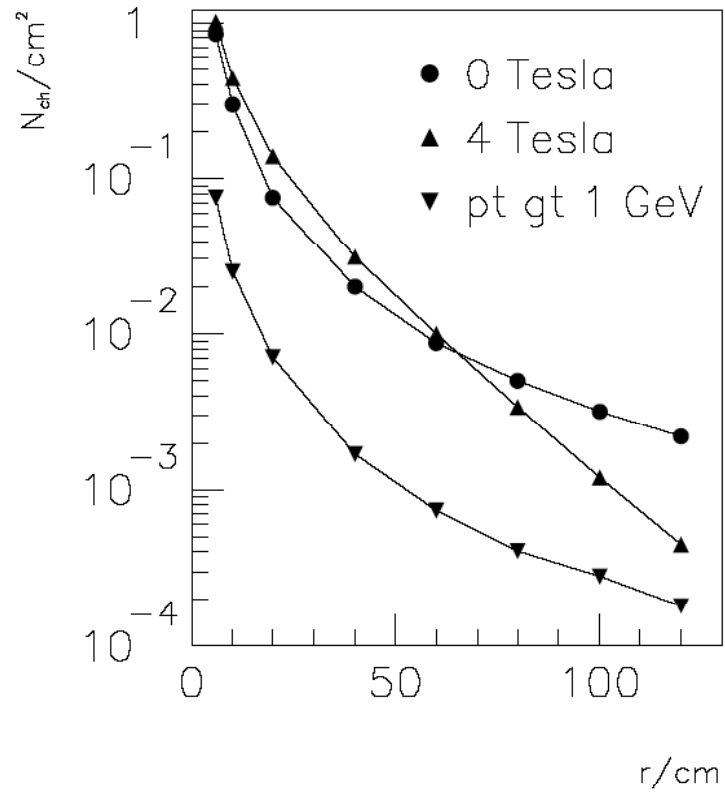


- **The CMS Tracker produces vast amounts of information for each L1 Triggered event**
 - For the Strip Tracker, the Analogue Information for each Strip is read-out at $\sim 100\text{kHz}$
 - For the Pixel Detector, the Analogue Information for each Cluster is read-out at $\sim 100\text{kHz}$
 - **Local Zero Suppression**
 - All hits in a given Region of Interest are taken into consideration in the HLT
- It is implausible to access this information at 10^{35} Luminosity & 20(40)MHz crossing rate, as input to the L1 Trigger Decision
- **Local Zero-Suppression will be required for the full Tracker.**
In addition consider

Local Occupancy Reduction / Hit Discrimination



SLHC CMS Tracker Upgrade Local Occupancy Reduction





SLHC CMS Tracker Upgrade Possible Local Occupancy Reduction Scheme



Possible Strategy: Local Pt Discrimination

- **Only read-out hits from tracks above a certain Pt threshold**
 - 1 GeV Pt threshold would reduce read-out hits by ~ factor 10
 - Tracks below 1 GeV Pt are usually not very interesting, especially in the early phases of event selection
- **For Level 1 Triggering purposes, need also to identify (muon) tracks above 10 ~ 20 GeV**
- **Consider a hierarchical scheme for Local Pt Discrimination**

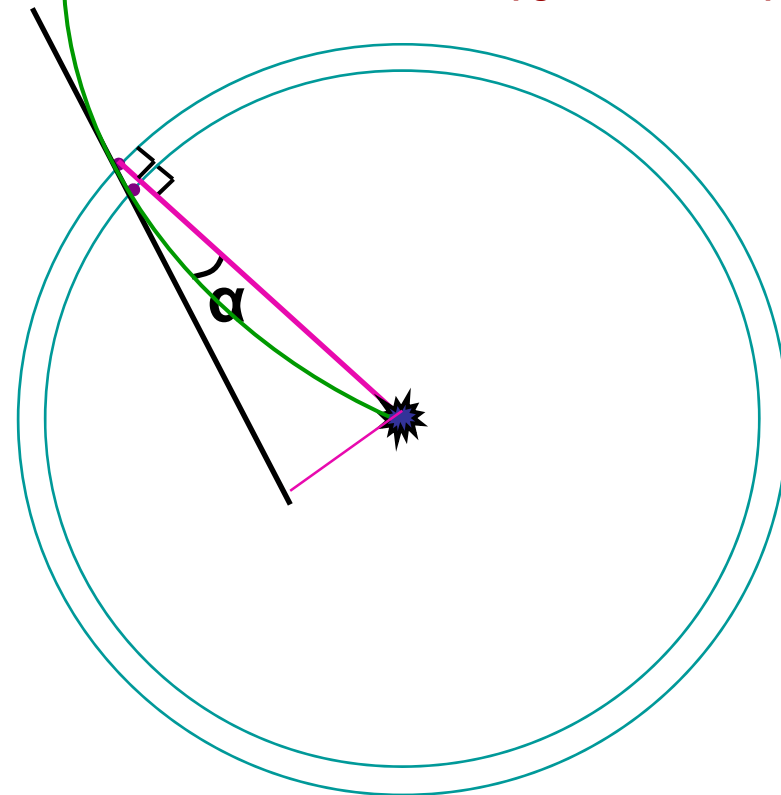


SLHC CMS Tracker Upgrade Possible Local Occupancy Reduction Scheme



- **Pairs of Sensor Planes, for local Pt measurement**
- **High Pt tracks point towards the origin, low Pt tracks point away from the origin**
- **Use a Pair of Sensor Planes, at ~ mm distance**
 - Pairs of Hits provide Vector, that measure angle of track with respect to the origin
 - Note: angle proportional to hit pair radius
- **Keep only Vectors corresponding to high Pt Tracks**

J. Jones (2005)
CMS Tracker SLHC Upgrade Workshops

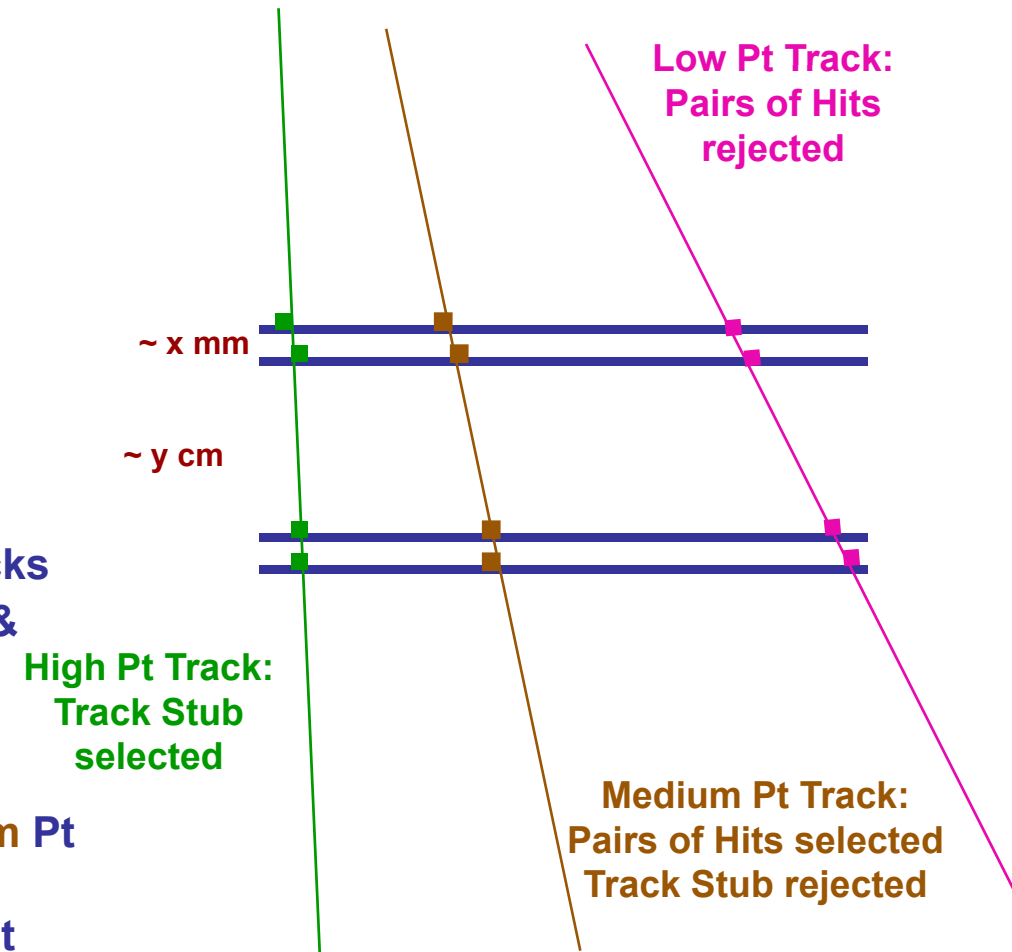




SLHC CMS Tracker Upgrade Possible Local High Pt Discrimination Scheme



- **Stacks of 2 Sensor Pairs, at \sim cm distance**
 - Redundancy
 - Track Stub provides higher resolution local Pt measurement
- **Two level data reduction**
- **Each Sensor Pair**
 - Rejects Hit Pairs from **low Pt** tracks
 - Accepts Hit Pairs from medium & high Pt track
- **The Stack of Sensor Pairs**
 - Rejects Track Stubs from **medium Pt** tracks
 - Accepts Track Stubs from **high Pt** tracks





SLHC CMS Tracker Upgrade Possible Local High Pt Discrimination Scheme



Local Information Gathering, and Processing Hierarchy



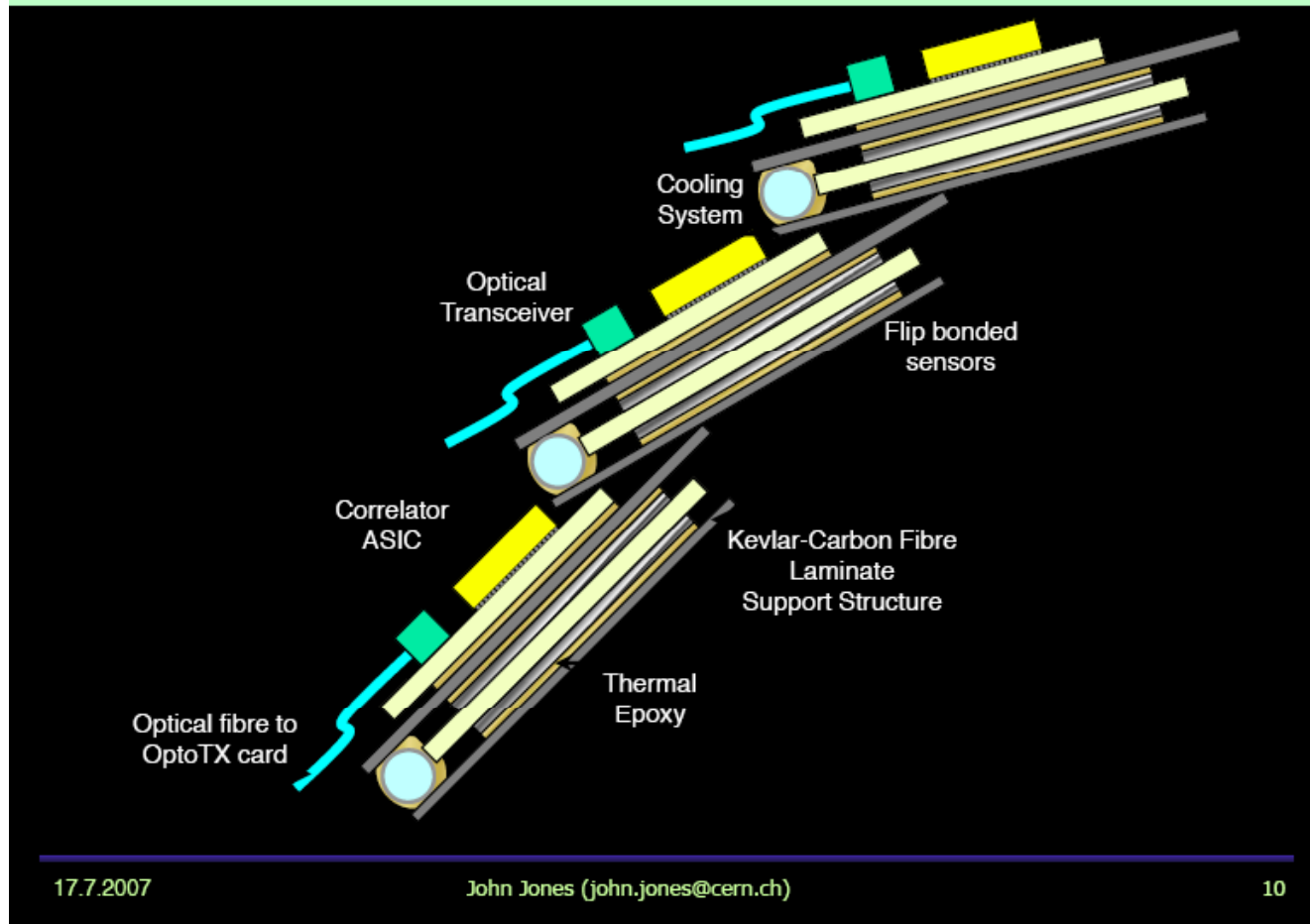
- **Collect hits from each Sensor in a Pair**
 - Match into Hit Pairs
 - Retain Hit Pairs from medium & high Pt Tracks
- **Collect Hit Pairs from each Sensor Pair in a Stack**
 - Match into Track Stubs
 - Retain Track Stubs from high Pt Tracks
 - Pass onto L2 Trigger



SLHC CMS Tracker Upgrade Possible Local High Pt Discrimination Scheme



Conceptual Design



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SLHC CMS Tracker Upgrade Straw-man Layout to examine scheme



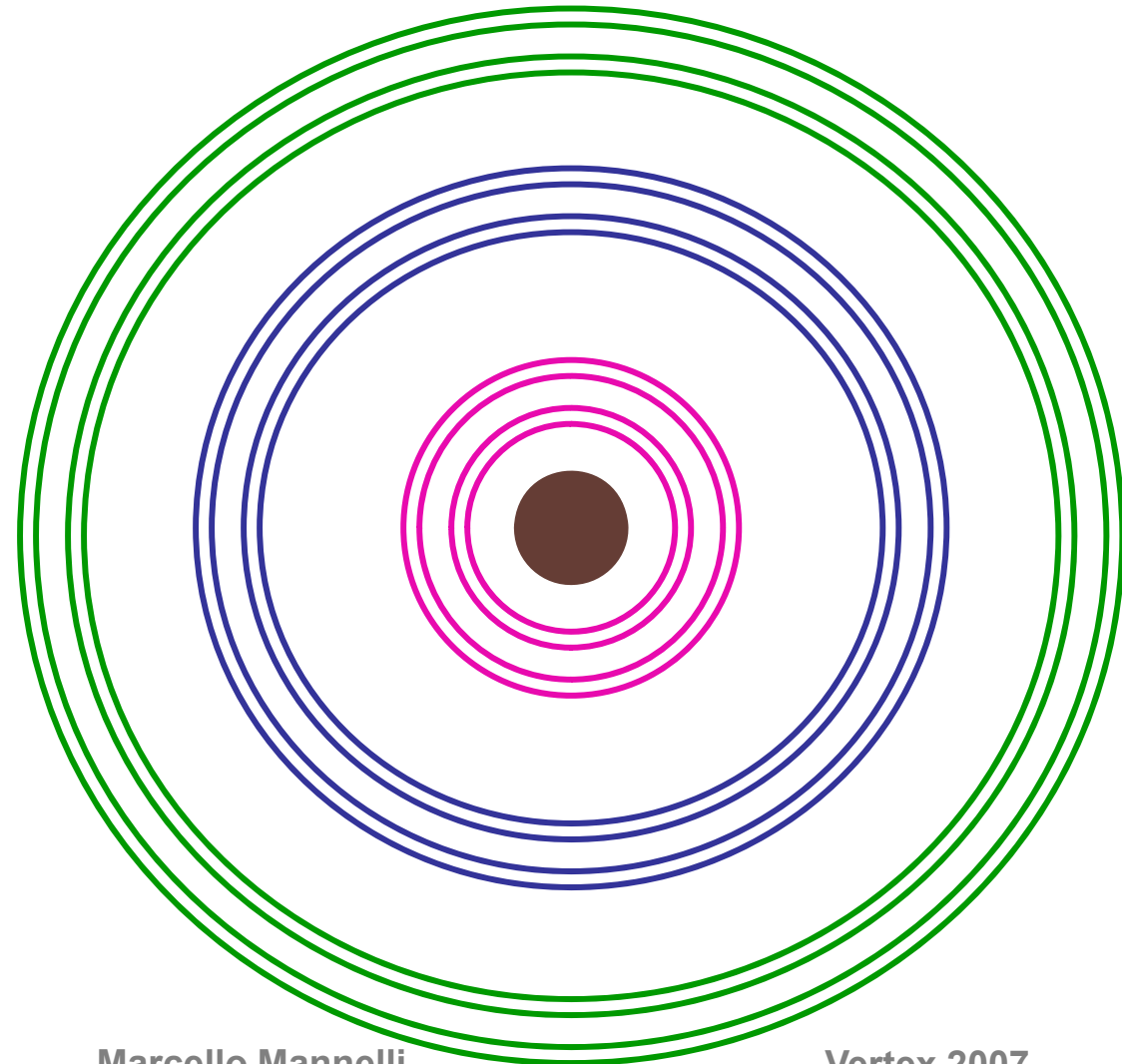
Straw-man Layout Example

12 Measurement Layers

Organized in 3 Super-Layers

Each Super-Layer consists of a
Stack of 2 Sensor Pairs
(4 measurement layers / Super-Layer)

- Inner Super-Layer ~ 20~40cm
(Geometry of Inner Vtx layers?)
- Middle Super-Layer ~ 60cm
- Outer Super-Layer ~ 100cm



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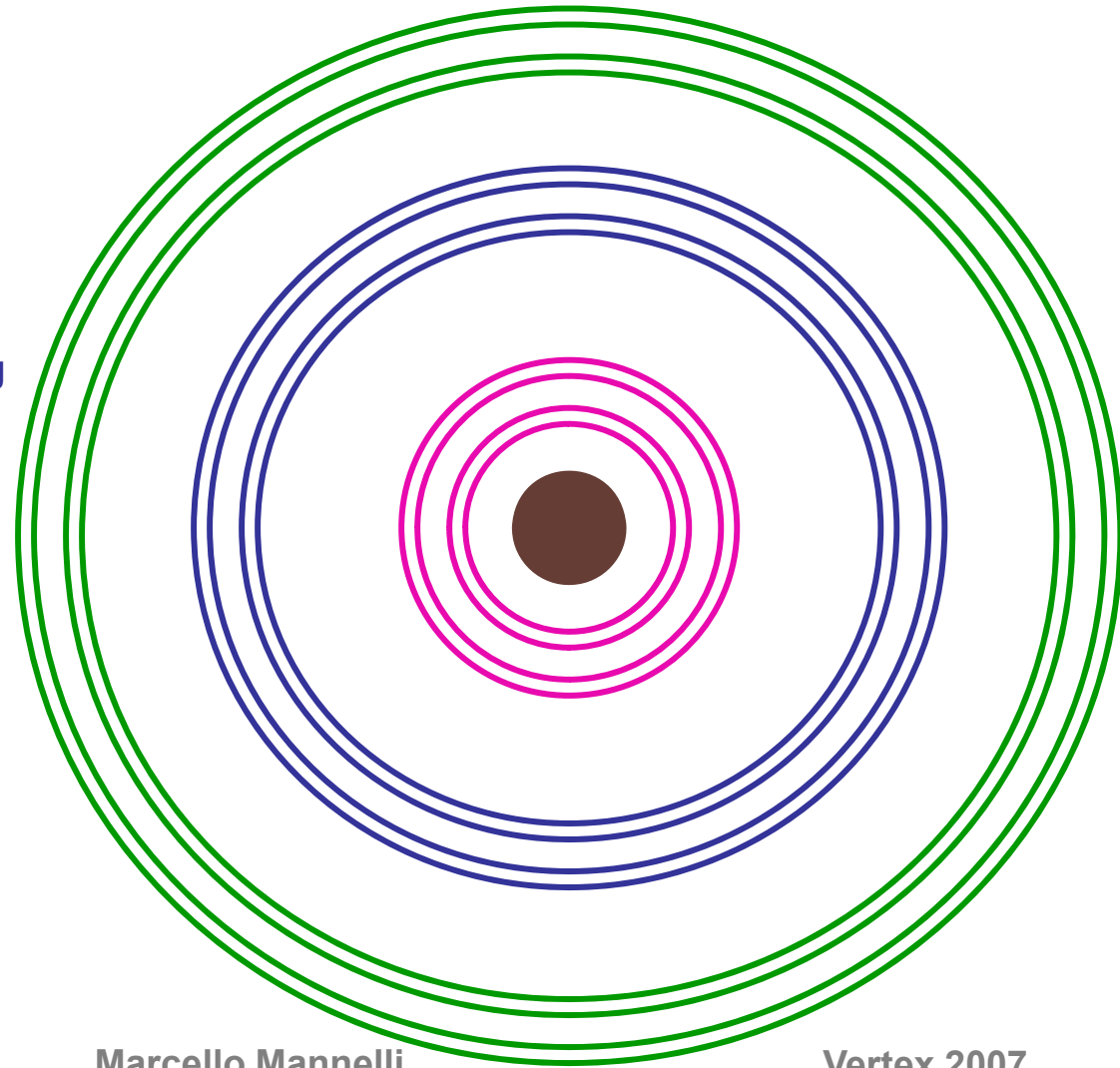
SLHC CMS Tracker Upgrade Straw-man Layout to examine scheme



Comments:

**Stack of Sensor Pairs provide
opportunity for shared mechanics
and services**

**=> May be useful approach for reducing
Material Budget, independent of Local
Occupancy Reduction scheme**



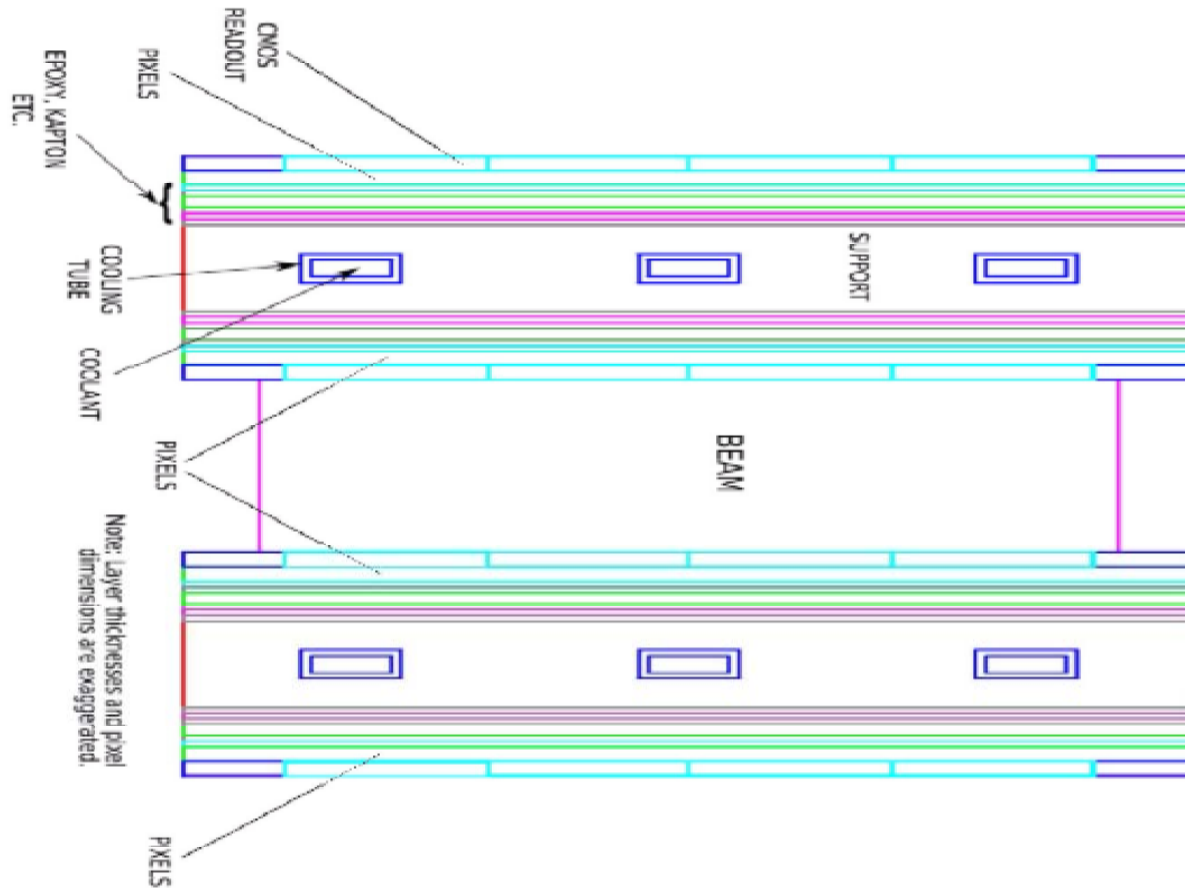
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SLHC CMS Tracker Upgrade Possible Local High Pt Discrimination Scheme



Roberto Rossin
SWG 11 Sep 2007

Aspect ratio
NOT to scale



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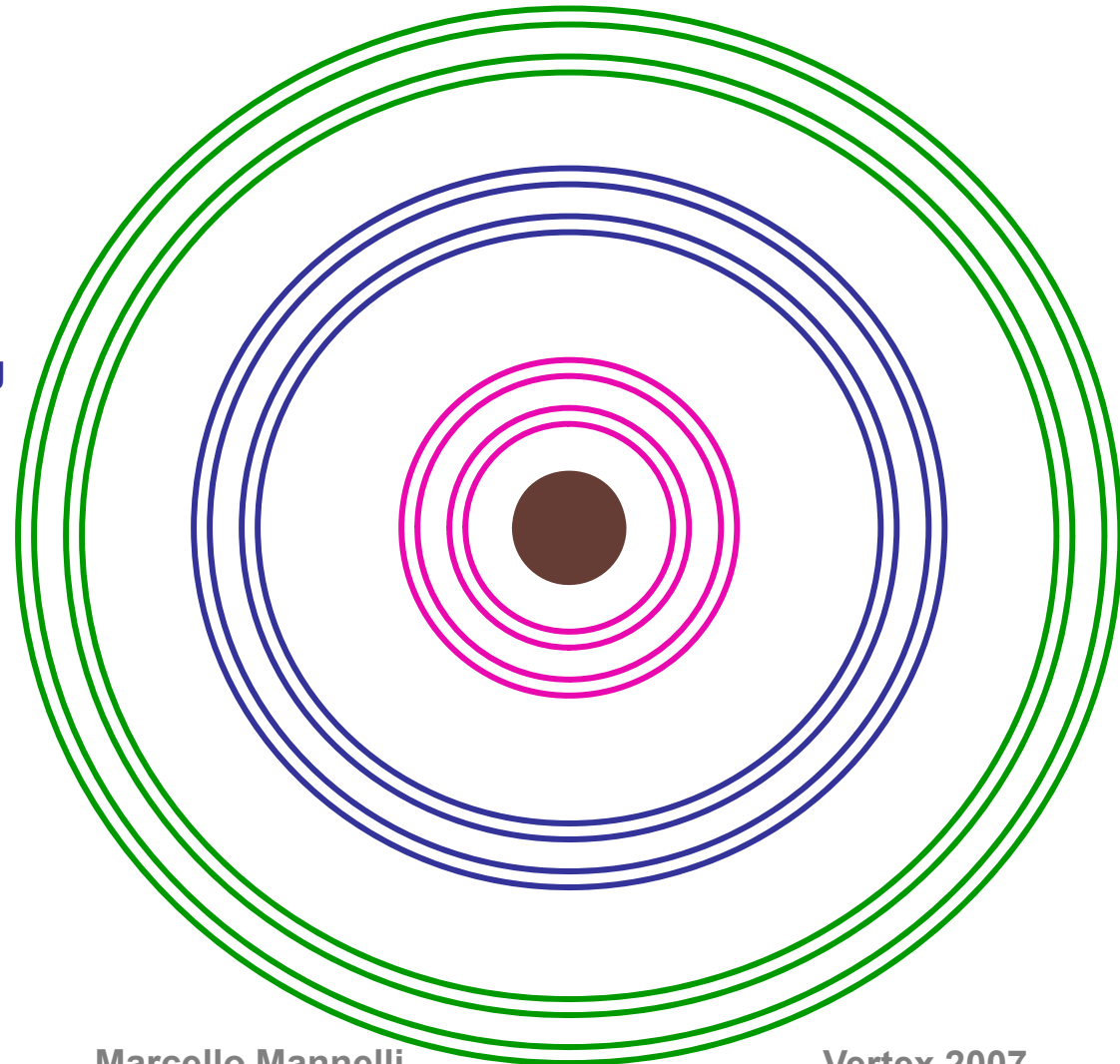
Comments:

Stack of Sensor Pairs provide opportunity for shared mechanics and services

=> May be useful approach for reducing Material Budget, independent of Local Occupancy Reduction scheme

Drawbacks if used throughout?

Optimal arrangement & Layout?





SLHC CMS Tracker Upgrade Straw-man Layout to examine scheme



Basic Parameters to Vary

- Cell Geometry:**

Pitch 60~120um, Length 1~2mm/2~4cm
Sensor Thickness 60~200um

- Single Hit Efficiency:**

95%~99.5%

- Sensor Pair Geometry**

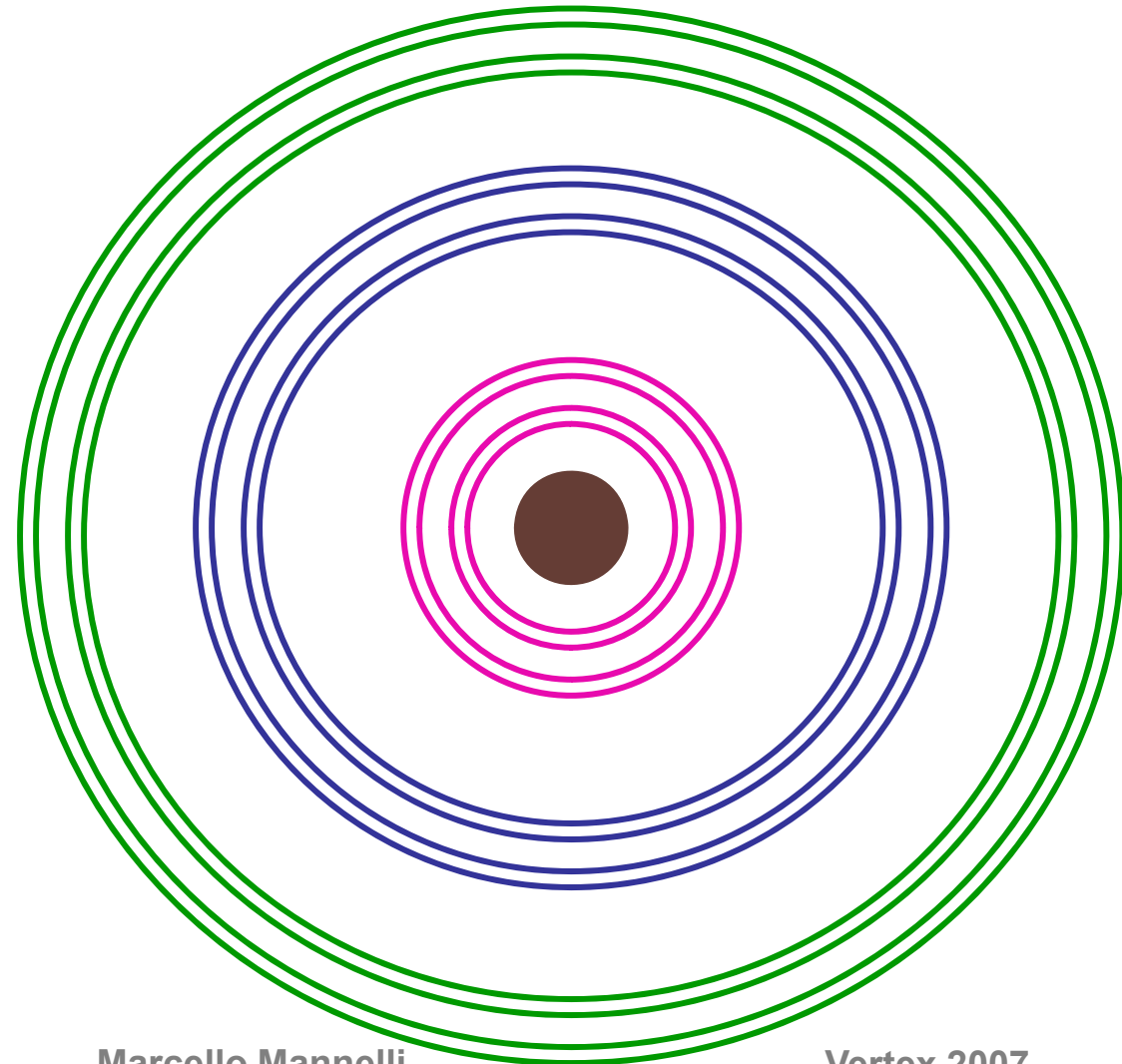
D ~ 1~4mm,
Align Transverse 20~200um,
Align Radial 50~200um

- Stack of Sensor Pairs:**

D ~ 10~100mm,
Align Transverse 100~400um,
Align Radial 100~1000um

- Radial Positions**

(10?) 20~40cm, 60~80cm, ~100cm



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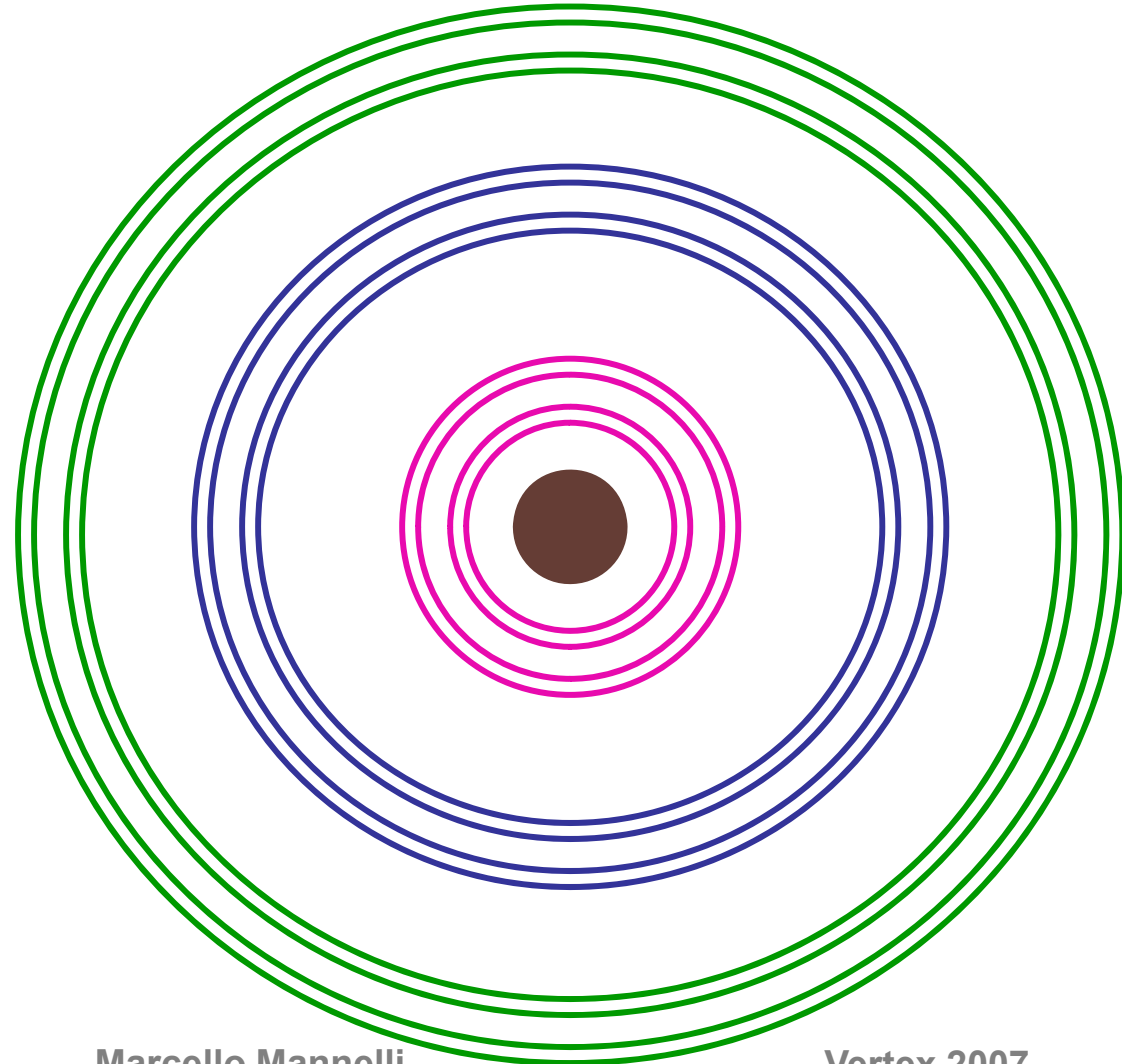


SLHC CMS Tracker Upgrade Straw-man Layout to examine scheme



Basic Things to Check

- Track Quality
- Hit Pair Pt Resolution, Data Volume & Efficiency vs Pt cut
- Track Stub Pt Resolution, Fake Rate & Efficiency vs Pt cut
- Min radius at which scheme works effectively
- Fake Rate & Efficiency if require
 - 3/4 vs 4/4 hits in a Track Stub
 - 1/3 vs 2/3 Track Stubs
- All the above varying the design parameters over the plausible range
 - Eg. Effect of cell geometry on occupancy and resulting effect on fake rate & efficiency



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SLHC CMS Tracker Upgrade Granularity vs Power Consumption (Mass)



- **The CMS Silicon Strip Tracker is extremely effective because:**
 - **Fine strip pitch, from 80um to 200um**
 - each hit has high resolution and track parameters are rapidly constrained
 - **Strip length, from 10cm to 20cm results in cell size $\sim 0.5\text{mm}^2$**
 - occupancy $\sim 2\%$ or less at 10^{34}
 - **Pattern recognition converges \sim unambiguously with first few hits \Rightarrow fast**
- **At SLHC occupancy 10~20 times higher**
 - **Strip length in range 1 ~ 2cm to maintain low occupancy**
 - **Short Strips**
 - **Pixel length in range 1~2mm \Rightarrow reduce occupancy, 3D info**
 - **Long Pixels**



SLHC CMS Tracker Upgrade Granularity vs Power Consumption (Mass)



The present CMS Silicon Strip Tracker is extremely effective

- The performance limiting factor is **NOT** intrinsic precision, and most likely will **NOT** be our ability to align etc.
- The performance limiting factor is the **Material Budget** of the Tracker
- This also limits the performance of the CMS ECAL
- There is much to be gained if we can lower the material budget, **AS WELL AS** achieving the performance requirements just mentioned

Low(er) Mass!



SLHC CMS Tracker Upgrade Granularity vs Power Consumption (Mass)



- **The Tracker Material Budget is strongly driven by**
 - Large Power Dissipation, and need for Efficient Cooling ($\sim 33\text{kA}$)
 - Large Current requirements ($\sim 20\text{kA}$)

Lower Power Dissipation and Current Consumption



Granularity: Short Strips vs Long Pixels



- **Cost and Manufacturability are a Key Input**
- **Implications on System, Read-Out Architecture etc.**
- **Comparative Performance Studies are Important Guidance**
- **Reliable projections of Power Dissipation/cm² are a Fundamental Input**
- **Short Strips vs Long Pixels**
 - **Extrapolate from Strip Tracker APV25 to reduced capacitance short strips**
 - **Extrapolate from Pixel ROC to larger capacitance long pixel**
 - **Compare: Power, Material, Cost, Feasibility, Performance**
- **Pursue both approaches until these points are sufficiently well understood to draw some conclusions**



Power Consumption of CMS Strip Tracker



- **Power Dissipation of Strip FE chip (APV25) ~ 350mW (128 channels)**
- **Total Number of APV25 chips in CMS LHC Strip Tracker ~ 73'000**
- **Total Power Dissipation of CMS LHC Strip Tracker ~ 26kW**
 - This is Nominal FE Chip Power dissipation
 - Actual Power dissipation is estimated at 33KW
- **Note:**
 - $210\text{m}^2 / 73'000 \text{ chips} \sim 28\text{cm}^2 / \text{chip}$
 - $350\text{mW} / 28\text{cm}^2 \sim 12\text{mW}/\text{cm}^2 (120\text{W}/\text{m}^2)$

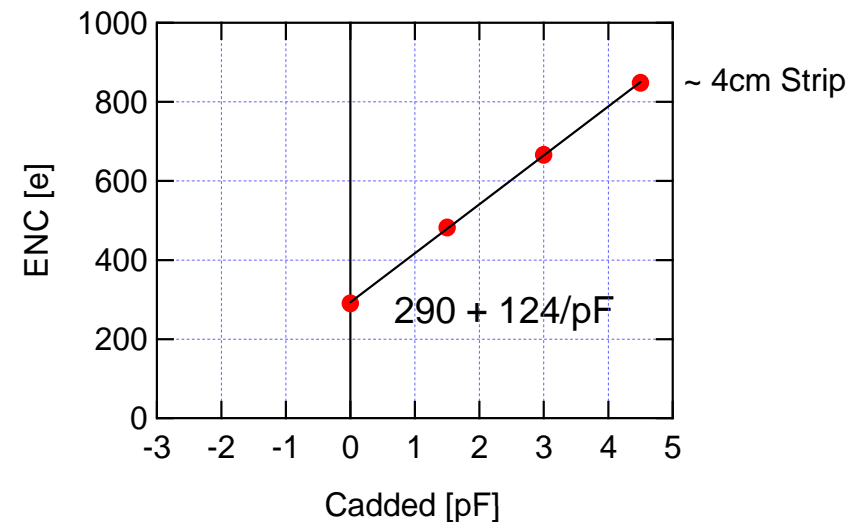


Power Consumption for Short Strip Tracker



- **Analogue Current Consumption of APV25 driven Strip Capacitance**
 - $C_{\text{strip}} \sim 1.2\text{pF/cm}$; Strip Length 10 ~ 20cm $\Rightarrow C_{\text{strip}} 12 \sim 24\text{pF}$
- **For Short Strips can accept higher Noise vs C slope \Rightarrow reduce power**

- **For example:**
 - “APV” tuned to Short Strips 1 ~ 4cm (M. Raymond IC)



- **Preamp/Shaper Power/Channel for “APV13”, tuned to short strips implemented in 0.13um, may be reduced from 1mW to 0.12mW ~ 1/8**



Power Consumption for Short Strip Tracker



- **Assume Preamp/Shaper Power/Channel can reduced by a factor $\sim 1/10$**
 - Is it realistic to expect overall FE chip Power Dissipation to decrease by such a factor?
- **Within this architecture, likely Lower Limit for Short Strip length 1 ~ 4 cm to maintain current Power Dissipation/cm²**
- **Are there different approaches to a Short Strip FE Chip which would allow to go to ~ 1 cm strip length AND significantly reduce Power Dissipation/cm² ?**



Power Consumption for Long Pixel Tracker



- **Power Dissipation of Present CMS Pixel FE Chip ~ 30uW/channel**
 - 30uW / 15'000um² ~ 200mW/cm² for current LHC Pixel
 - Compare to ~ 12mW/cm² for present LHC Strip Tracker (factor ~ 16)
- **Assume SLHC Pixel size ~ 120um * 2.0mm ~ 0.24mm²**
 - This implies ~ 4M Channels / m²
- **Which results in ~ 12.5mW/cm² (125W/m²) ~ present Strip Tracker**
- **Assume Total Sensitive Area is ~ 210m²**
- **This results in Total FE Chip Power Dissipation ~ 26kW vs 26kW now**
 - And 850M Channels...



Power Consumption for Long Pixel Tracker



- **Plausible SLHC 0.13um Long Pixel FE Chip Power Dissipation ~ 20uW/channel**
 - Private communication Roland Horisberger
- **IF True, this would:**
 - result in Total FE Chip Power Dissipation ~ 17kW (vs 26kW)
 - leave head room for additional power dissipation (L1 Trigger, etc)
 - open possibility for reduction of overall Power Budget
- **The Power consumption extrapolations shown here are speculative**
 - But Interesting, and worth pursuing until reliable conclusions can be drawn



Conclusions



- **The present CMS Tracker will be a powerful tool for LHC Physics**
- **“Novel” approach:**
 - Tracking with “few” high quality hits, in high occupancy environment
- **Technology extrapolation, at “large” radii:**
 - **Very Large Scale Deployment of Radiation Hard Strip Sensors, with Low Power Density, Low Mass, and Low Cost**
 - when proposed, the Strip Tracker represented ~ 2 Order of magnitude extrapolation from existing devices
- **Technology extrapolation, at “small” radii:**
 - **Large Scale Deployment of Very Radiation Hard Pixels, with Low Power, and acceptable cost**



Conclusions



- **The CMS SLHC Tracker will be based on the present experience**
 - but is required to provide Tracking and L1 Trigger capability in 10~20 times higher occupancy & radiation environment, and should be lighter
- **“Novel” approach:**
 - Explore schemes for local Occupancy Reduction & Pt Discrimination
- **Technology extrapolation, at “large” radii:**
 - Explore possibility of Very Large Scale deployment of Very Radiation Hard Long Pixels (Short Strips), with Lower Power Density, Lower Mass, and Low Cost
- **Technology extrapolation, at “small” radii:**
 - Large Scale Deployment of Extremely Radiation Hard Pixels, with Lower Power Density, and acceptable cost



Back-up Material



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SLHC CMS Tracker Upgrade Straw-man Layout to examine scheme



Straw-man Layout Example

- **Sensor Pair:**

D ~ 1mm, Cut ~ 200um

- **Stack of Sensor Pairs:**

D ~ 10mm, Cut ~ 400um

Pt Thresholds (back-of-envelope)

- **Inner Super-Layer ~ 20(40)cm**

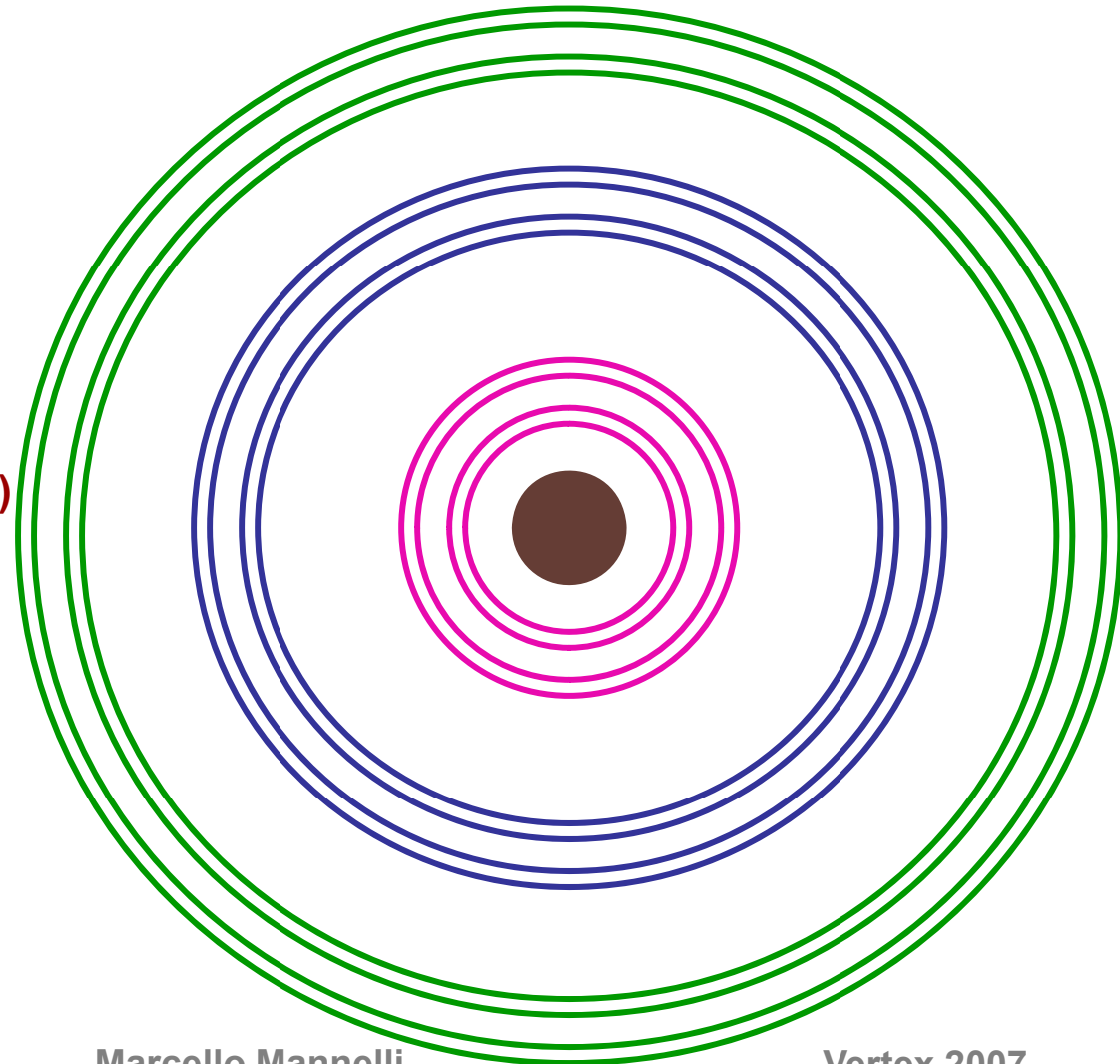
Pair ~ 1(3)GeV, Stack ~ 7(13)GeV

- **Middle Super-Layer ~ 60cm**

Pair ~ 4GeV, Stack ~ 20GeV

- **Outer Super-Layer ~ 100cm**

Pair ~ 7GeV, Stack ~ 30GeV



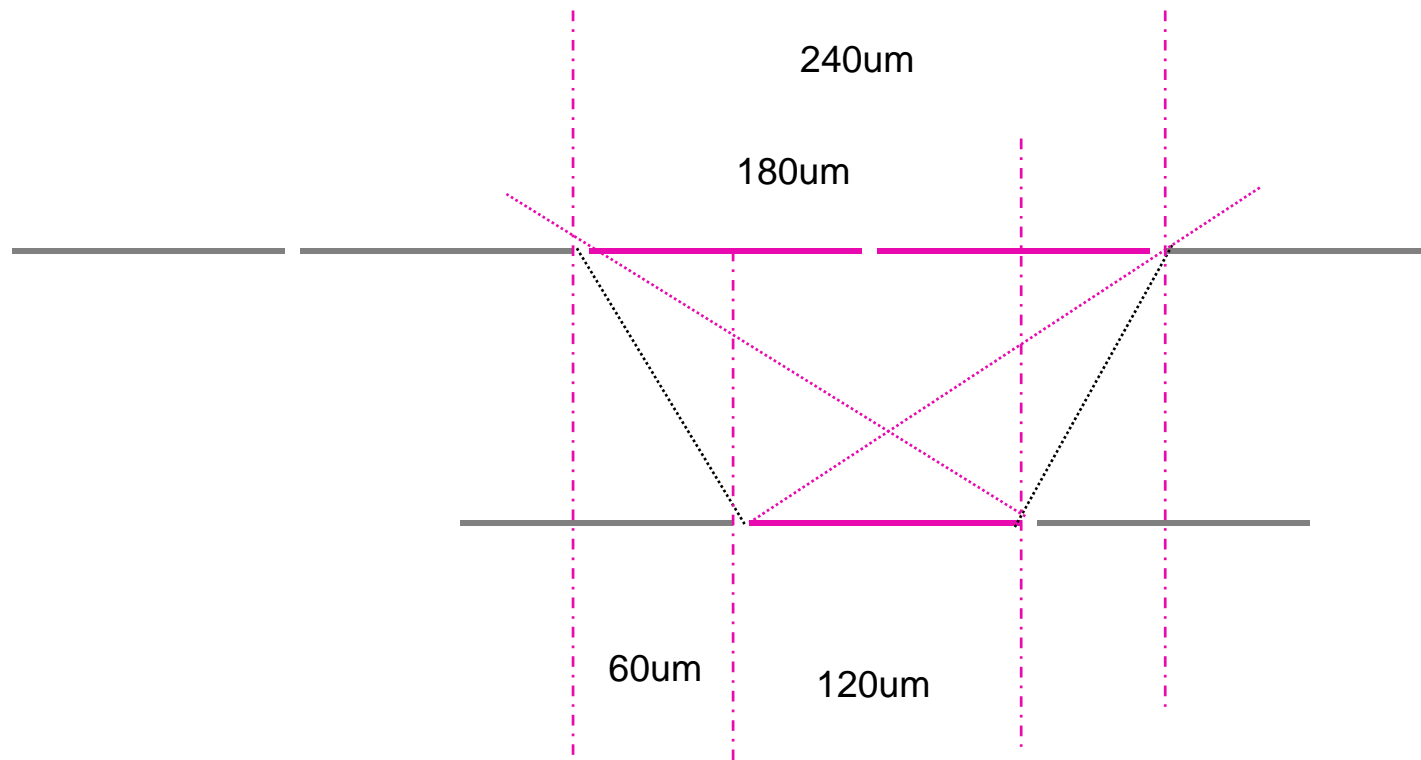
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Extension of Straw-man Layout in the End-Caps ?



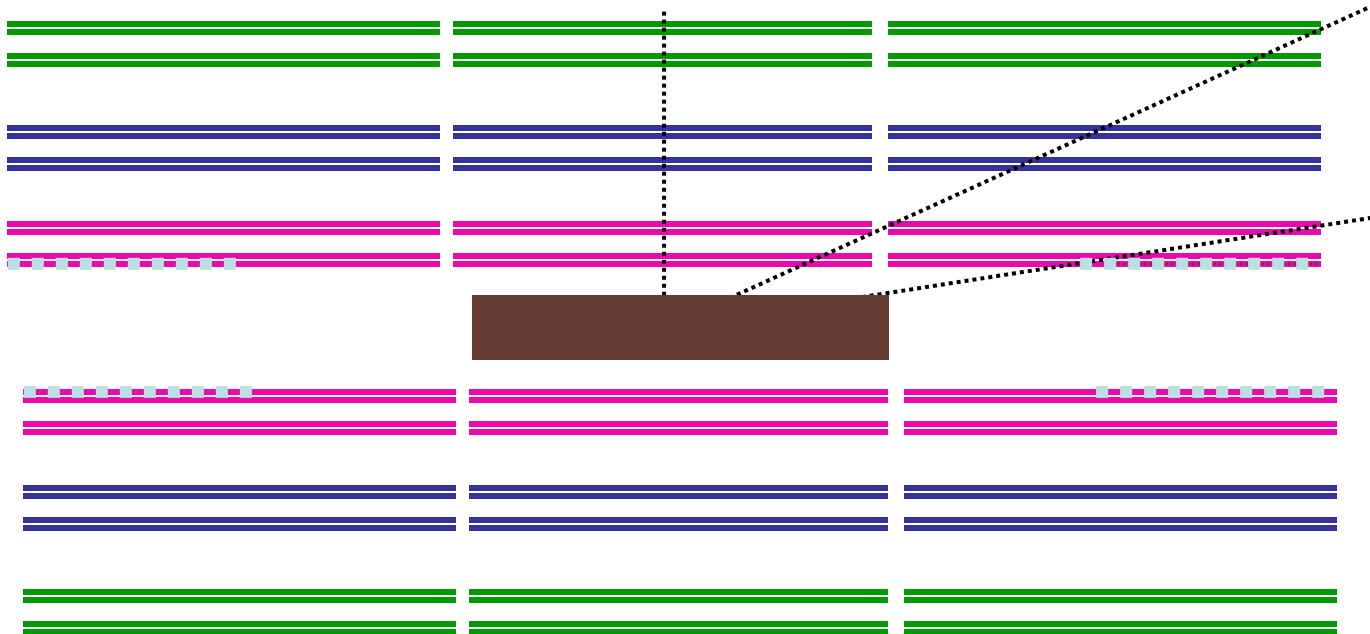
- **Extend Local Pt discrimination & Triggering Capability to End-Caps**
- **Provide homogeneous response, until loss of lever arm due to Tracker Acceptance**
- **Keep it “Simple”: Minimize number of variants**



Extension of Straw-man Layout in the End-Caps ?



- Consider a Central Barrel of 3 Stacks of Sensor Pairs ~ 170cm long
- Equip Forward and Backward End-Caps with identical Barrels
 - 3 ~ identical Barrels, ~ 170cm long, covering the full acceptance
- Back of envelope calculation: ~ 240m²



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Extension of Straw-man Layout in the End-Caps ?



Pros:

- **“Simple” (!)**
- **~ Homogenous Performance up to $\eta \sim 1.6$, in the r-phi projection**
 - **Constant number, Radius and Information content of hits**
 - Local Pt discrimination, Pattern recognition, Track Parameters

Cons:

- **Abrupt transition from 3 (2) to 2 (1) Super Layers at $\eta \sim 1.6$ (2.0)**
- **~ Inefficient use of sensor active area at large η**
 - **Material & Costs**
 - **Large Cluster length variation with η**
 - From ~ few um at low η -> ~ 1mm at high η (if ~ 100um thick sensors)

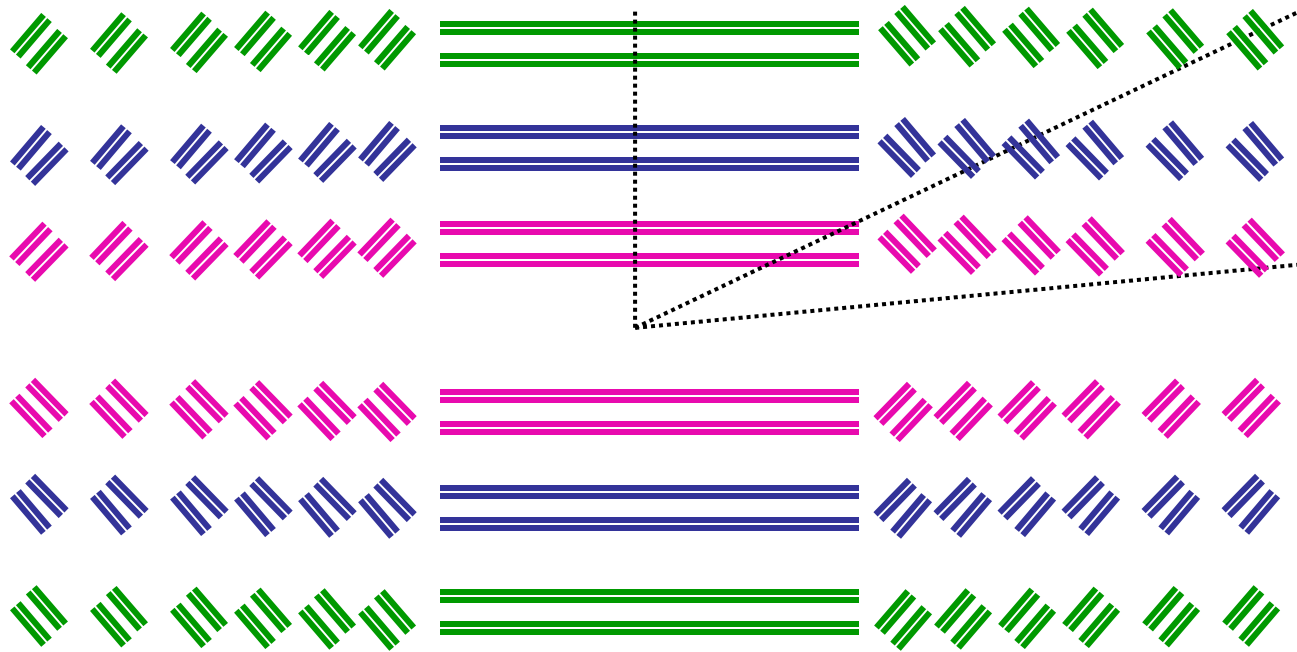
Is there scope for mitigating the Cons, while retaining the Pros?



Extension of Straw-man Layout in the End-Caps ?



- Consider a Central Barrel of 3 Stacks of Sensor Pairs ~ 170cm long
- Equip Forward and Backward End-Caps Barrels with Inclined Modules
 - 3 “similar” Barrels, ~170cm long, covering the full acceptance
- Back of envelope calculation: 160 ~ 190m²



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Extension of Straw-man Layout in the End-Caps ?



Pros:

- **Efficient use of sensor active area at large eta**
 - Significant reduction in active surface
 - Reduced Cluster length variation with eta
- **May also mitigate abrupt hit coverage transitions at large eta**

Cons:

- **Much less “Simple”!**
- **Less Homogenous Performance**

To be Understood



Straw-man Layout a Word of Caution



- **The Straw-man Layout shown here is Deliberately Extreme**
- **It is intended to highlight the Performance Potential AND the Pitfalls of new ideas, to allow informed decisions down the line**



Power Consumption for Long Pixel Tracker



- **Current LHC Pixel FE Chip Power Dissipation ~ 30uW/channel**
- **SLHC Pixel Analogue current consumption ~ same as LHC Pixel Chip**
 - * 2 due to higher pixel capacitance (0.3 ~ 0.4pf vs 0.1pf current pixel)
 - * 0.5 if go from 25ns to 50ns peaking time
 - Independent of technology
- **SLHC Pixel Digital current consumption ~ same as LHC Pixel Chip**
 - Driven by data rates
 - SLHC data rates at 20cm ~ 1/2 of LHC High Lumi data rates at 4cm
- **Analogue & Digital current consumption for SLHC Pixel ~ LHC Pixel**
- **Expected effect of moving from 0.25um to 0.13um**
 - Overall Current Budget ~ same as present Pixel chip
 - Overall Power Budget reduced by a factor ~ 1.5
 - Mainly affecting the Digital part of the chip
- **Plausible SLHC Pixel FE Chip Power Dissipation ~ 20uW/channel**