

# NEUTRINOS

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## Plan of Lectures

**I.** Standard Neutrino Properties and Mass Terms (Beyond Standard)

**II.** Effects of  $\nu$  Mass. Neutrino Oscillations in Vacuum and Matter

**III.** The Data: The Emerging Picture and Some Implications

# Summary I

- In the **SM**:
  - Accidental global symmetry:  $B \times L_e \times L_\mu \times L_\tau \leftrightarrow m_\nu \equiv 0$
  - neutrinos are **left** and  $m_\nu = 0 \Rightarrow$  chirality  $\equiv$  helicity  $\Rightarrow$  spinors  $u_-$  or  $v_+$
  - No distinction between **Majorana** or **Dirac** Neutrinos
- If  $m_\nu \neq 0 \rightarrow$  Need to extend SM
  - $\rightarrow$  different ways of adding  $m_\nu$  to the SM
    - **breaking** total lepton number ( $L = L_e + L_\mu + L_\tau$ )  $\rightarrow$  Majorana  $\nu: \nu = \nu^C$
    - **conserving** total lepton number  $\rightarrow$  Dirac  $\nu: \nu \neq \nu^C$
  - $\rightarrow$  Lepton Mixing  $\equiv$  breaking of  $L_e \times L_\mu \times L_\tau$
- From direct searches of  $\nu$ -mass: Tritium  $\beta$  decay:  $\sqrt{\sum m_i^2 |U_{ei}|^2} \leq 2.2\text{eV}$

## **Plan of Lecture II**

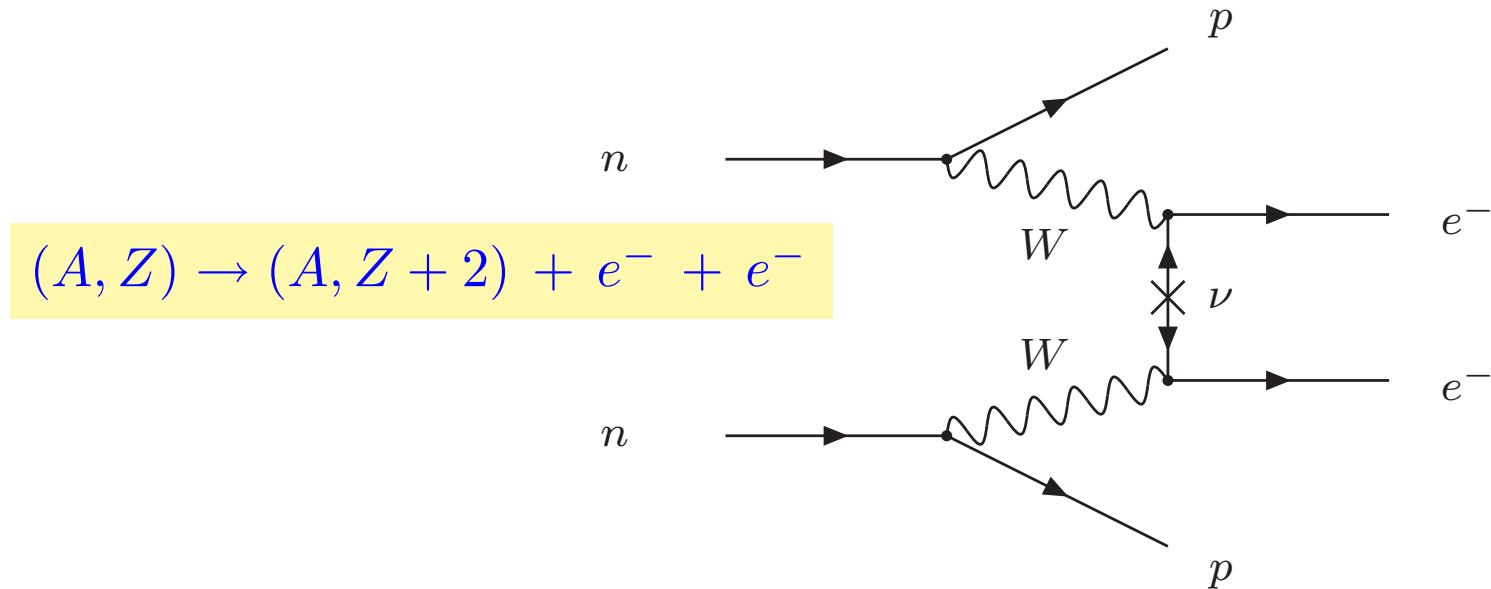
Other Direct Probes of Neutrino Mass Scale

Neutrino Oscillations in Vacuum

Matter Effects: MSW

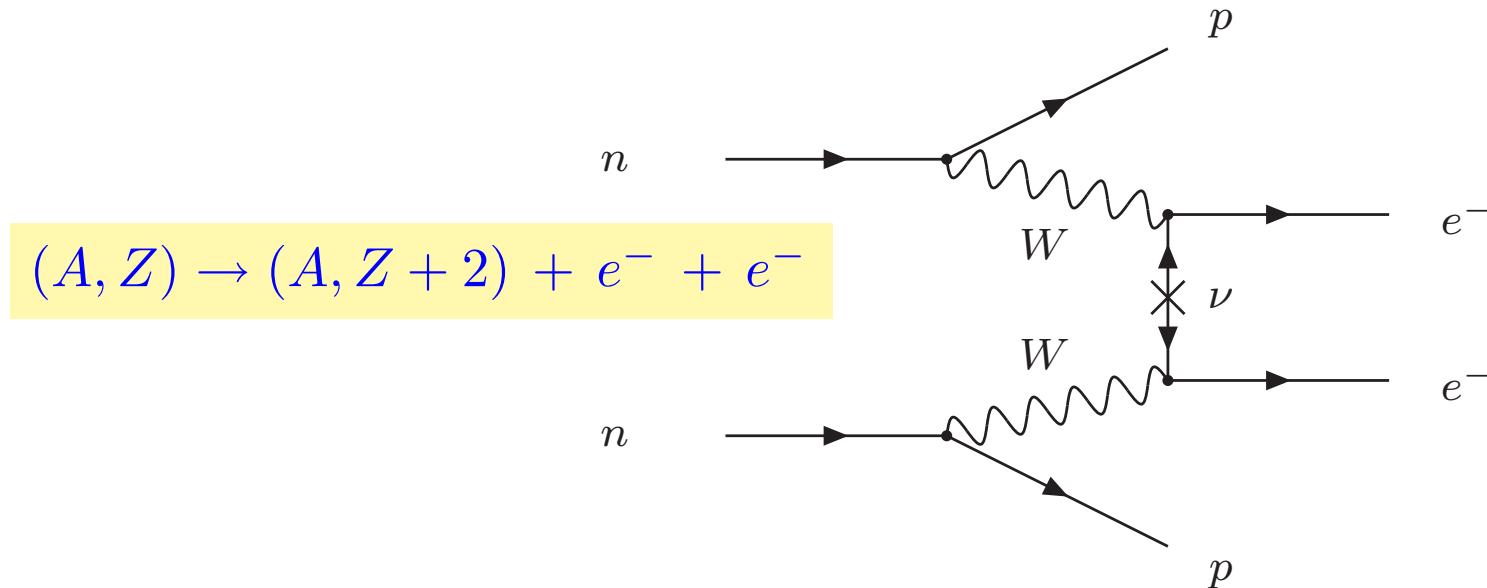
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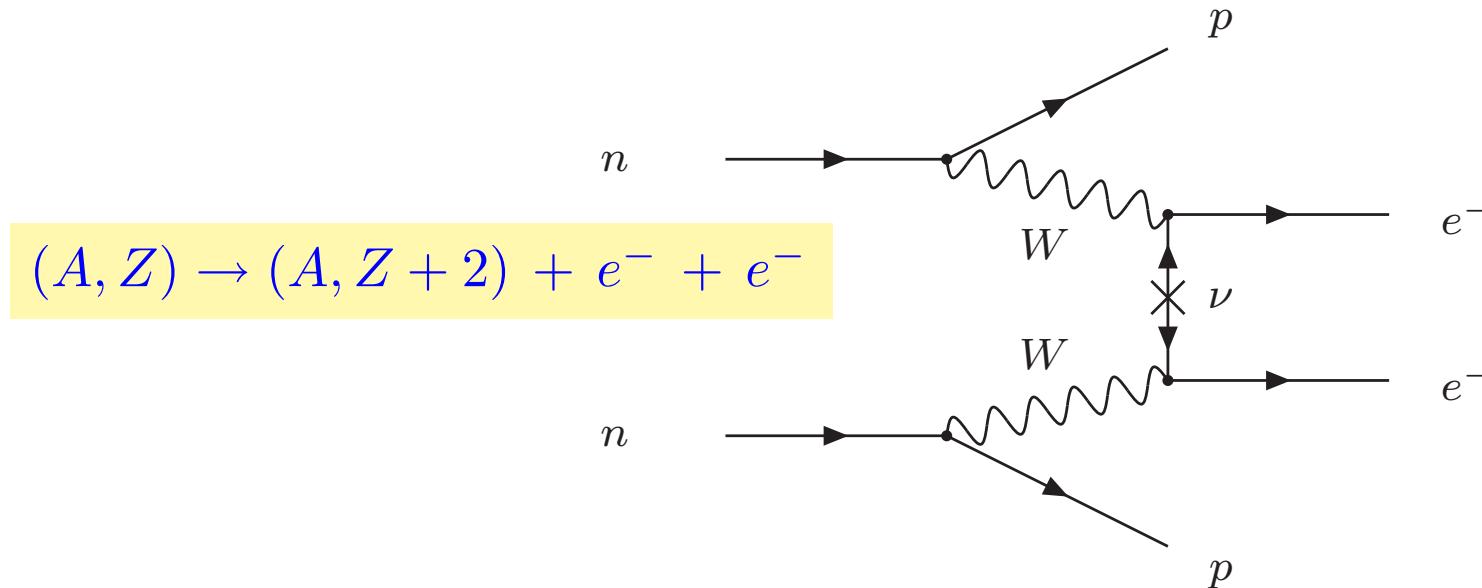
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 $\Rightarrow$  no same state  $\Rightarrow$  Amplitude = 0
  - If  $\nu$  Majorana  $\Rightarrow \nu = \nu^c$  annihilates and creates a neutrino=antineutrino  
 $\Rightarrow$  same state  $\Rightarrow$  Amplitude  $\propto \sqrt{\nu (\nu^c)^T} \neq 0$
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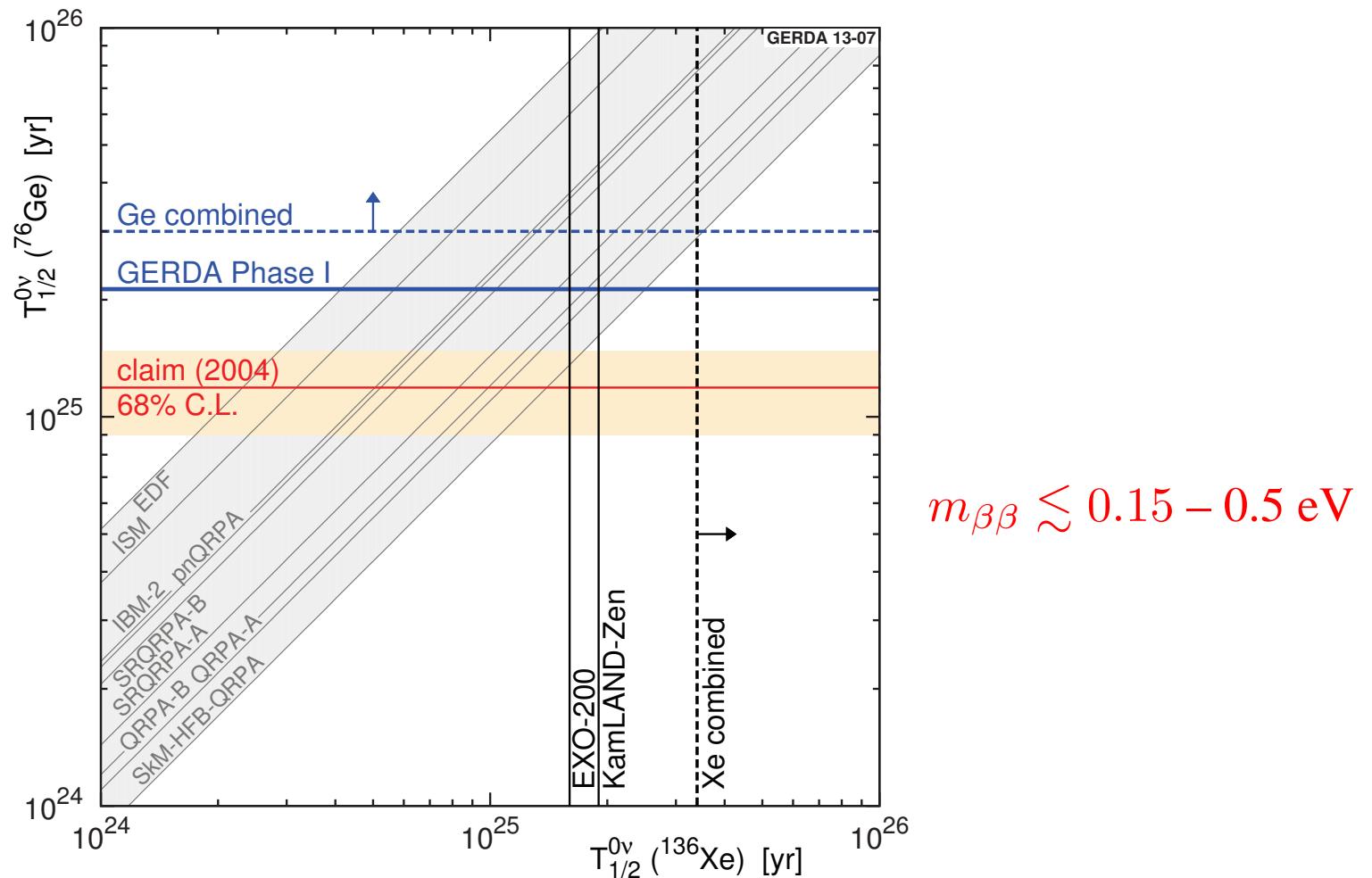
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- Problem is uncertainty in the nuclear matter elements

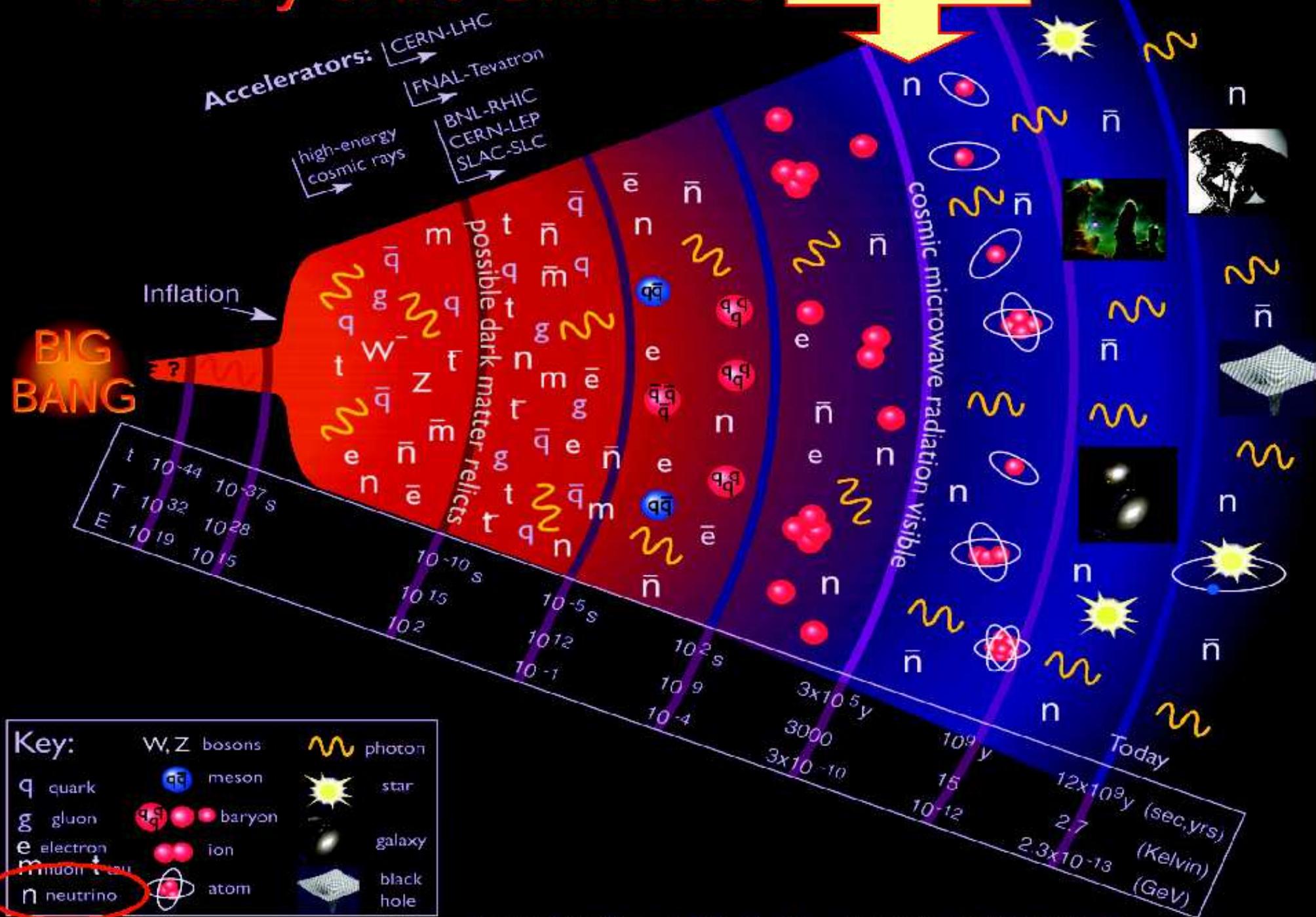
## 0 $\nu$ $\beta\beta$ Decay: Circa 2013

- Bounds from  $^{136}\text{Xe}$  exp EXO and KamLAND-ZEN, and from  $^{76}\text{Ge}$  exp Gerda



# History of the Universe

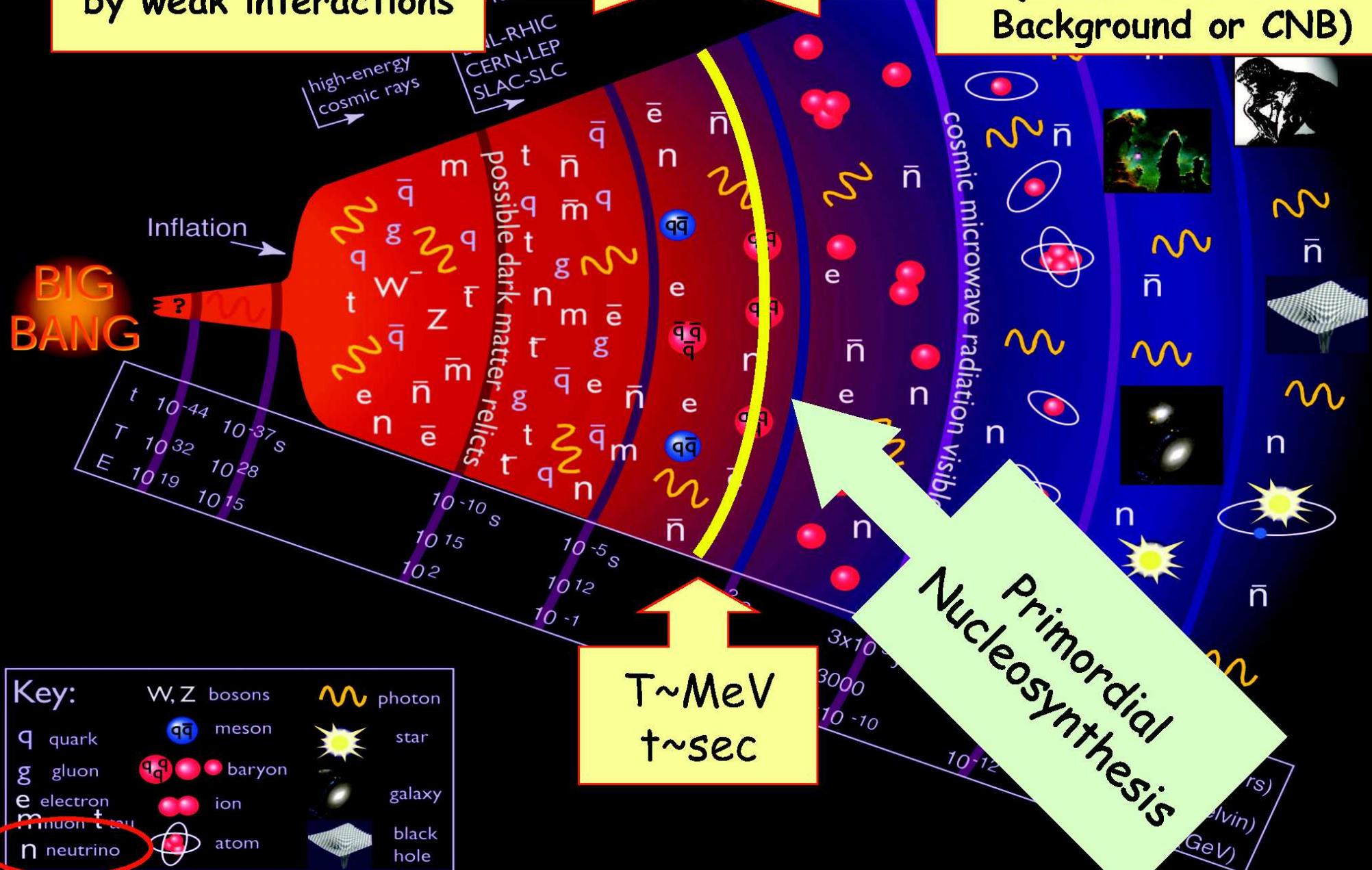
This is a neutrino!



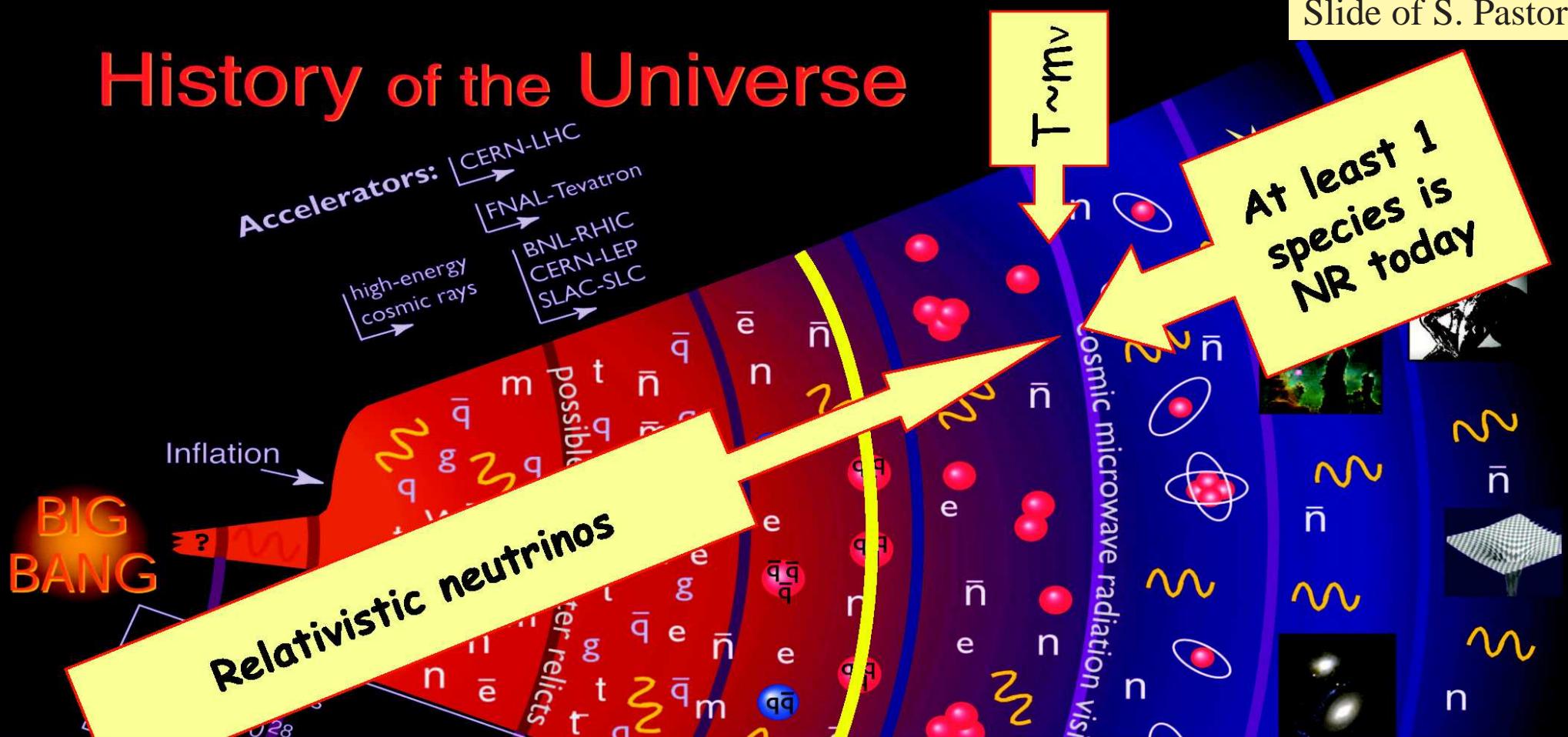
# History of the Universe

Neutrinos coupled by weak interactions

Decoupled neutrinos (Cosmic Neutrino Background or CNB)



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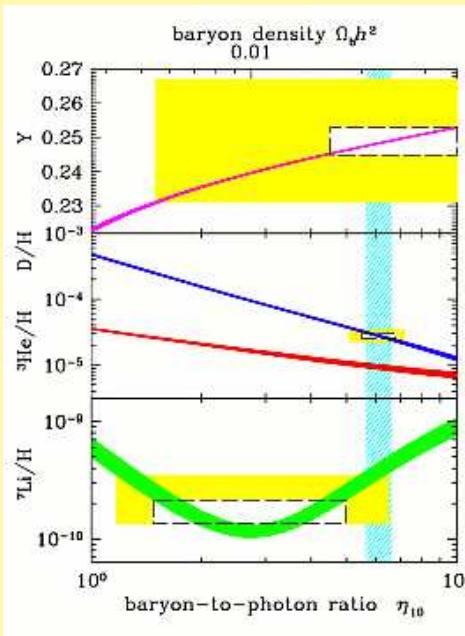
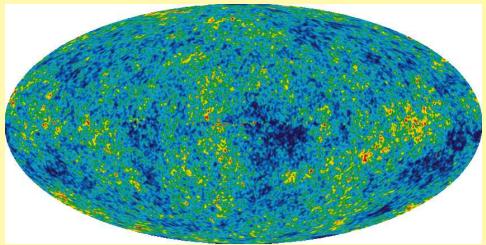
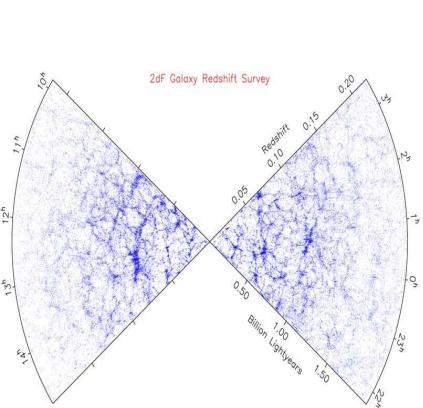


Neutrino cosmology is interesting because **Relic neutrinos are very abundant**:

- The CNB contributes to radiation at early times and to matter at late times (info on the number of neutrinos and their masses)
- Cosmological observables can be used to test standard or non-standard neutrino properties

# Massive $\nu$ in Cosmology

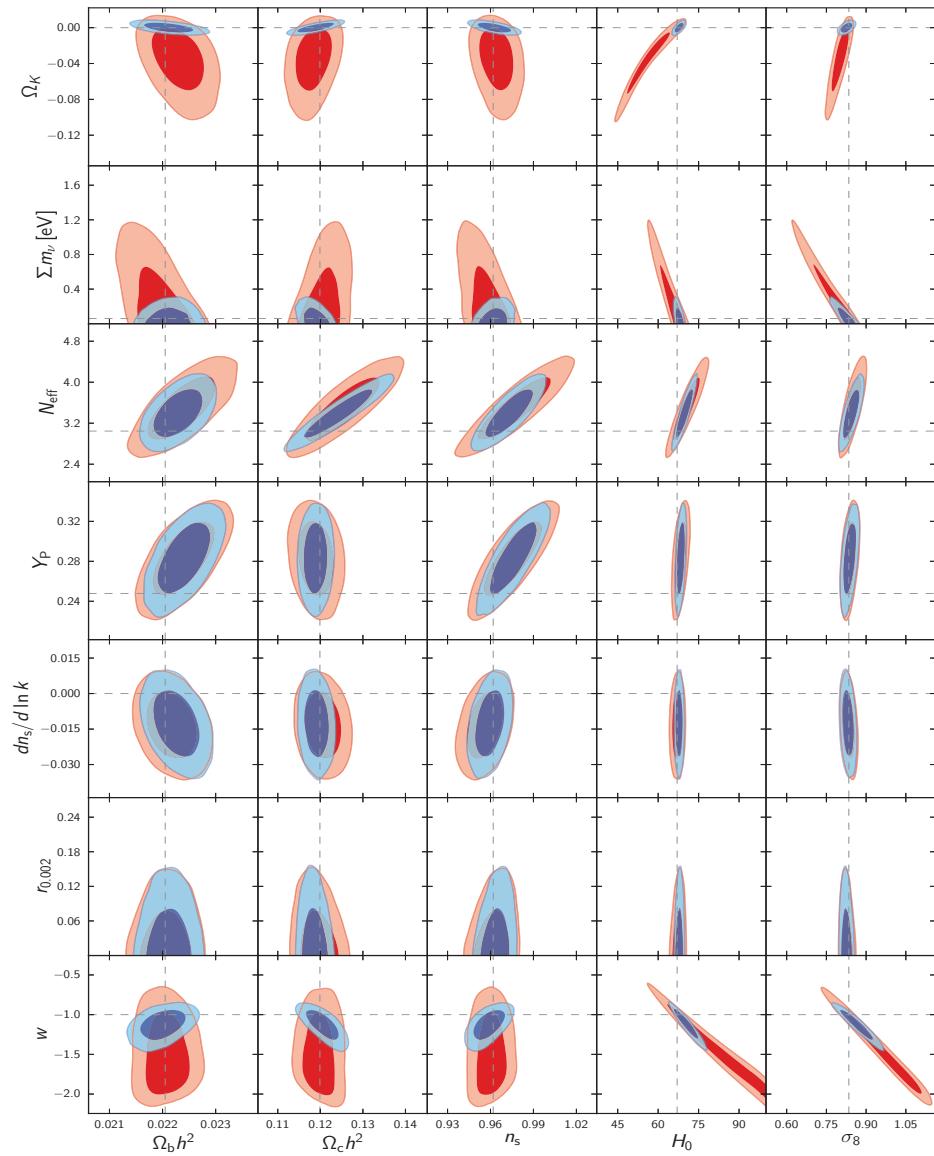
Relic  $\nu'$ s: Effects in several cosmological observations at several epochs

		
Primordial Nucleosynthesis BBN	Cosmic Microwave Background CMB	Large Scale Structure Formation LSS
$T \sim \text{MeV}$	$T \lesssim \text{eV}$	
Number of $\nu'$ s ( $N_{\text{rel}}$ )		$N_{\text{rel}}$ and $\sum m_\nu$

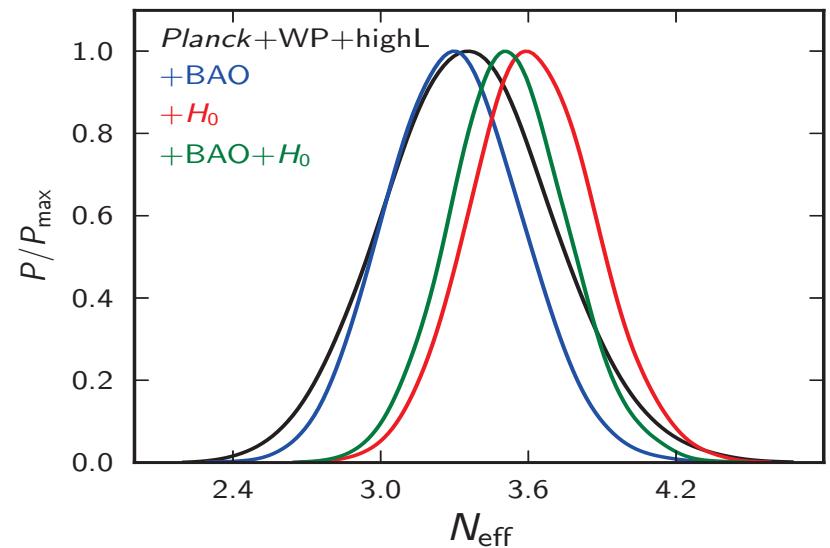
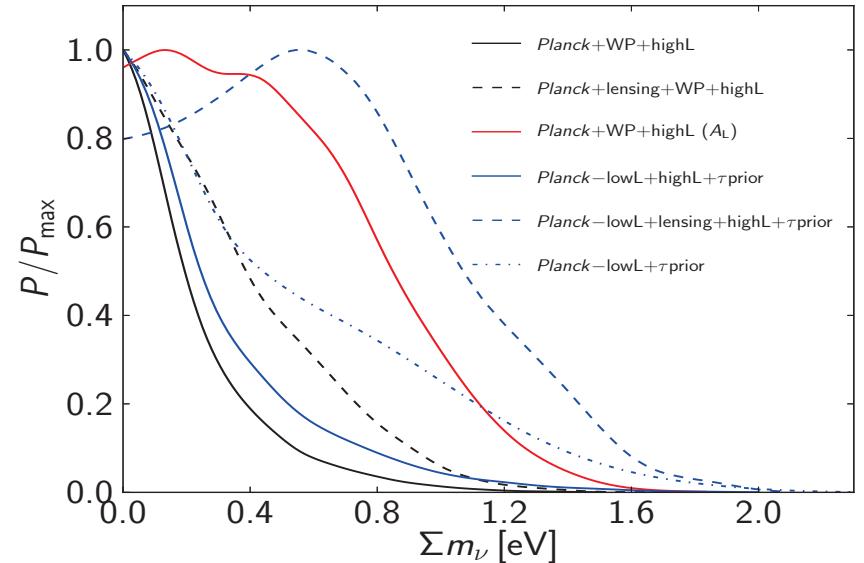
Observables also depend on all other cosmological parameters

# Cosmological Analysis by Planck

Correlations



Range of Bounds



## Example of cosmological bounds on $m_\nu$

Dependence on Data Samples and Cosmological Model

Model	Observables	$\Sigma m_\nu$ (eV) 95%
$\Lambda$ CDM + $m_\nu$	Planck-lowL+ $\tau$ prior	$\leq 1.31$
$\Lambda$ CDM + $m_\nu$	Planck+WP+highL( $A_L$ )	$\leq 1.08$
$\Lambda$ CDM + $m_\nu$	Planck+Lens+WP+highL( $A_L$ )	$\leq 0.85$
$\Lambda$ CDM + $m_\nu$	Planck+WP+highL	$\leq 0.66$
$o\Lambda$ CDM + $m_\nu$	Planck+WP+highL	$\leq 0.98$
$\Lambda$ CDM + $m_\nu$	Planck+Lens+WP+highL+BAO	$\leq 0.25$
$o\Lambda$ CDM + $m_\nu$	Planck+Lens+WP+highL+BAO	$\leq 0.36$

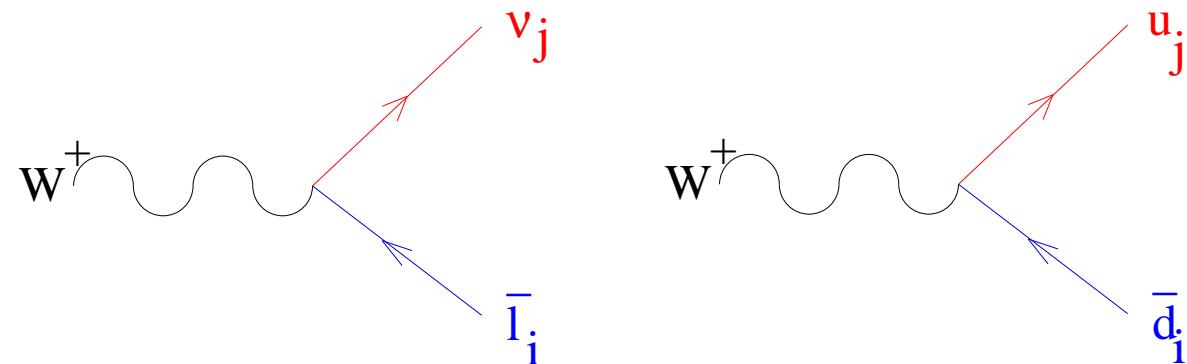
Lesson for Particle Physicists:

Careful with what you call *Cosmological bound on  $m_\nu$*

## Effects of $\nu$ Mass

- Neutrino masses can have kinematic effects
- Also if neutrinos have a mass the charged current interactions of leptons are not diagonal (same as quarks)

$$\frac{g}{\sqrt{2}} W_\mu^+ \sum_{ij} (U_{LEP}^{ij} \bar{\ell}^i \gamma^\mu L \nu^j + U_{CKM}^{ij} \bar{U}^i \gamma^\mu L D^j) + h.c.$$



## Effects of $\nu$ Mass: Flavour Transitions

- Flavour ( $\equiv$  Interaction) basis (production and detection):  $\nu_e$ ,  $\nu_\mu$  and  $\nu_\tau$
- Mass basis (free propagation in space-time):  $\nu_1$ ,  $\nu_2$  and  $\nu_3 \dots$

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- In general interaction eigenstates  $\neq$  propagation eigenstates

$$U_{\text{LEP}} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \\ \dots \end{pmatrix} = \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix}$$

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$\Rightarrow$  Flavour is not conserved during propagation  
 $\Rightarrow$   $\nu$  can be detected with different (or same) flavour than produced

- The probability  $P_{\alpha\beta}$  of producing neutrino with flavour  $\alpha$  and detecting with flavour  $\beta$  has to depend on:
  - Misalignment between interaction and propagation states ( $\equiv U$ )
  - Difference between propagation eigenvalues
  - Propagation distance

# Vacuum Mass Oscillations

## Vacuum Mass Oscillations

- If neutrinos have mass, a weak eigenstate  $|\nu_\alpha\rangle$  produced in  $l_\alpha + N \rightarrow \nu_\alpha + N'$  is a linear combination of the mass eigenstates ( $|\nu_i\rangle$ )

$$|\nu_\alpha\rangle = \sum_{i=1}^n U_{\alpha i} |\nu_i\rangle$$

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- it can be detected with flavour  $\beta$  with probability

$$P_{\alpha\beta} = |\langle \nu_\beta(t) | \nu_\alpha(0) \rangle|^2 = \left| \sum_{i=1}^n U_{\alpha i} U_{\beta i}^* \langle \nu_i(t) | \nu_i(0) \rangle \right|^2$$

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(1)  $|\nu\rangle$  is a *plane wave*  $\Rightarrow |\nu_i(t)\rangle = e^{-iE_i t} |\nu_i(0)\rangle$

$$P_{\alpha\beta} = \delta_{\alpha\beta} - 4 \sum_{j \neq i}^n \text{Re}[U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*] \sin^2 \left( \frac{\Delta_{ij}}{2} \right) + 2 \sum_{j \neq i} \text{Im}[U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*] \sin(\Delta_{ij})$$

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(3) Lowest order in mass  $p_i \simeq p_j = p \simeq E$

$$\frac{\Delta_{ij}}{2} = 1.27 \frac{m_i^2 - m_j^2}{\text{eV}^2} \frac{L/E}{\text{Km/GeV}}$$

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- $P_{\alpha\beta}$  depends on Theoretical Parameters

- $\Delta m_{ij}^2 = m_i^2 - m_j^2$  The mass differences
- $U_{\alpha j}$  The mixing angles  
(and Dirac phases)

and on Two *Experimental* Parameters:

- $E$  The neutrino energy
- $L$  Distance  $\nu$  source to detector

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- No information on mass scale nor Majorana phases

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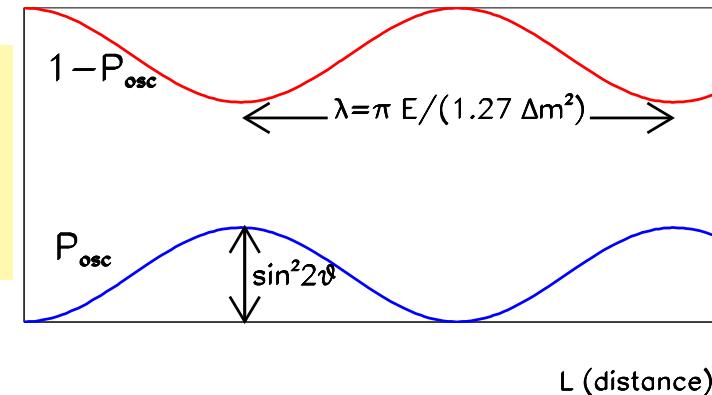
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$$P_{osc} = \sin^2(2\theta) \sin^2 \left( \frac{\Delta m^2 L}{4E} \right) \text{ Appear}$$

$$P_{\alpha\alpha} = 1 - P_{osc} \quad \text{Disappear}$$



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$$\Phi(x) = \Phi_e(x)|\nu_e\rangle + \Phi_X(x)|\nu_X\rangle = \Phi_1(x)|\nu_1\rangle + \Phi_2(x)|\nu_2\rangle$$

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- Evolution of  $\Phi$  is given by the Dirac Equations [ $\beta = \gamma_0$  ,  $\alpha_x = \gamma_0\gamma_x$  (assuming 1 dim)]

$$E_1 \Phi_1 = \left[ -i \alpha_x \frac{\partial}{\partial x} + \beta m_1 \right] \Phi_1$$

$$E_2 \Phi_2 = \left[ -i \alpha_x \frac{\partial}{\partial x} + \beta m_2 \right] \Phi_2$$

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$$\begin{aligned} E_1 \Phi_1 &= \left[ -i \alpha_x \frac{\partial}{\partial x} + \beta m_1 \right] \Phi_1 \\ E_2 \Phi_2 &= \left[ -i \alpha_x \frac{\partial}{\partial x} + \beta m_2 \right] \Phi_2 \end{aligned}$$

- We decompose  $\Phi_i(x) = \nu_i(x)\phi_i$        $\phi_i$  is the Dirac spinor part satisfying:

$$\left( \alpha_x \{E_i^2 - m_i^2\}^{1/2} + \beta m_i \right) \phi_i = E \phi_i \quad (1)$$

- $\phi_i$  have the form of free spinor solutions with energy  $E_i$

## Equations of Motion for Weak Eigenstates

- $\nu$  oscillations can also be understood from the eq. of motion of weak eigenstates
- A state mixture of 2 neutrino species  $|\nu_e\rangle$  and  $|\nu_X\rangle$  or equivalently of  $|\nu_1\rangle$  and  $|\nu_2\rangle$

$$\Phi(x) = \Phi_e(x)|\nu_e\rangle + \Phi_X(x)|\nu_X\rangle = \Phi_1(x)|\nu_1\rangle + \Phi_2(x)|\nu_2\rangle$$

- Evolution of  $\Phi$  is given by the Dirac Equations [ $\beta = \gamma_0$ ,  $\alpha_x = \gamma_0 \gamma_x$  (assuming 1 dim)]

$$\begin{aligned} E_1 \Phi_1 &= \left[ -i \alpha_x \frac{\partial}{\partial x} + \beta m_1 \right] \Phi_1 \\ E_2 \Phi_2 &= \left[ -i \alpha_x \frac{\partial}{\partial x} + \beta m_2 \right] \Phi_2 \end{aligned}$$

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- Using (1) in Dirac Eq. we can factorize  $\phi_i$  and  $\alpha_x$  and get:

$$\begin{aligned} -i \frac{\partial \nu_1(x)}{\partial x} &= \{E_1^2 - m_1^2\}^{1/2} \nu_1(x) \\ -i \frac{\partial \nu_2(x)}{\partial x} &= \{E_2^2 - m_2^2\}^{1/2} \nu_2(x) \end{aligned}$$

- In the relativistic limit and first order in mass  $\sqrt{E^2 - \textcolor{red}{m}_i^2} \simeq E - \frac{\textcolor{red}{m}_i^2}{2E}$

$$-i\frac{\partial}{\partial x} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix} = \begin{pmatrix} E - \frac{\textcolor{red}{m}_1^2}{2E} & 0 \\ 0 & \frac{E - \textcolor{red}{m}_2^2}{2E} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$$

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$$-i \frac{\partial}{\partial x} \begin{pmatrix} \nu_\alpha \\ \nu_\beta \end{pmatrix} = \left[ E - \frac{\textcolor{red}{m}_1^2 + \textcolor{red}{m}_2^2}{2E} \right] I - \begin{pmatrix} -\frac{\Delta m^2}{4E} \cos 2\theta & \frac{\Delta m^2}{4E} \sin 2\theta \\ \frac{\Delta m^2}{4E} \sin 2\theta & \frac{\Delta m^2}{4E} \cos 2\theta \end{pmatrix} \begin{pmatrix} \nu_\alpha \\ \nu_\beta \end{pmatrix}$$

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- And the flavour transition probability

$$P(\nu_\alpha \rightarrow \nu_\beta) = |\nu_\beta(L)|^2 = B_1^2 + B_2^2 + 2B_1 B_2 \cos(2\omega L) = \sin^2(2\theta) \sin^2\left(\frac{\Delta m^2 L}{4E}\right)$$

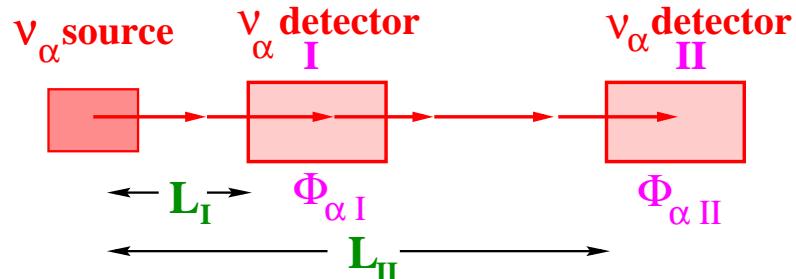
# $\nu$ Oscillations: Experimental Probes

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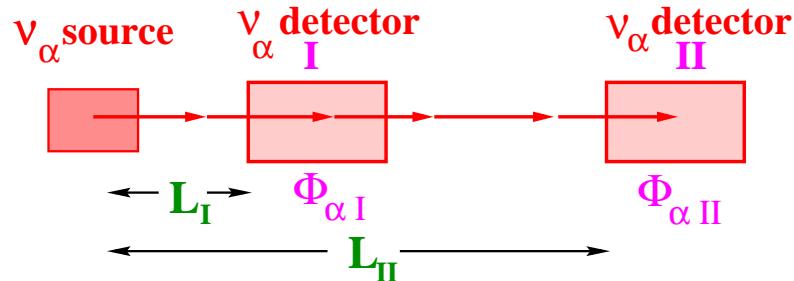


Compares  $\Phi_{\alpha I}$  and  $\Phi_{\alpha II}$  to look for loss

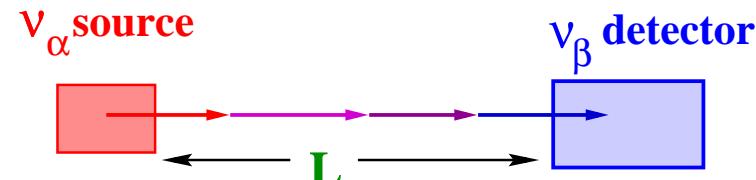
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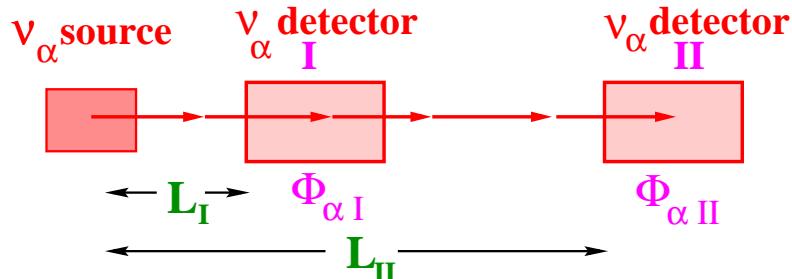
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 $\beta$  diff  $\alpha$

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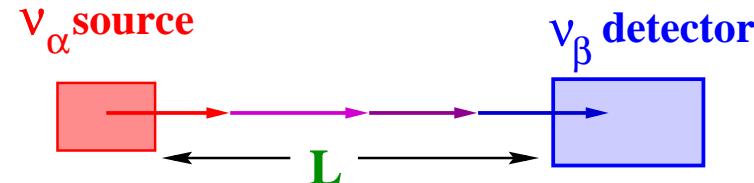
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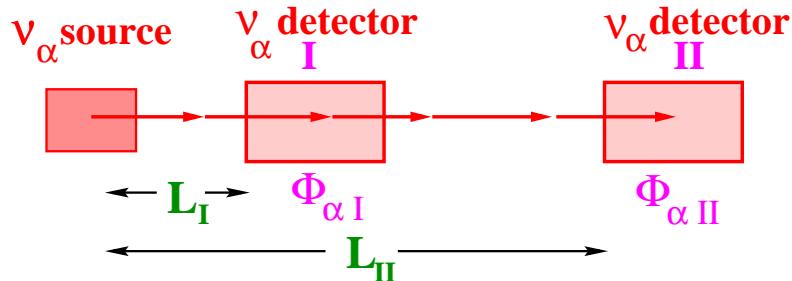
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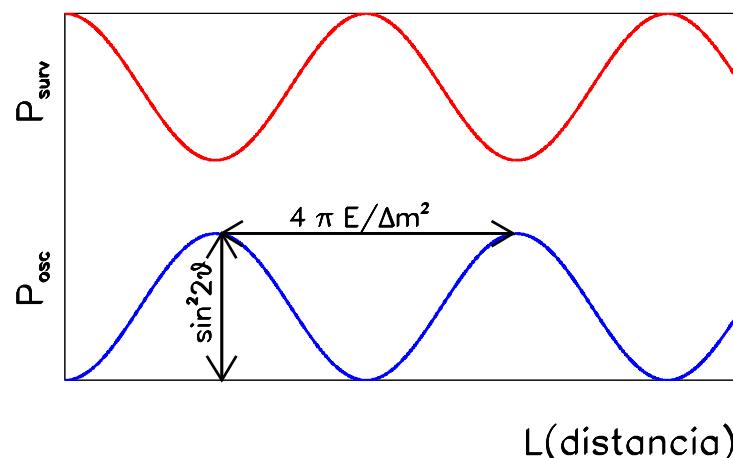


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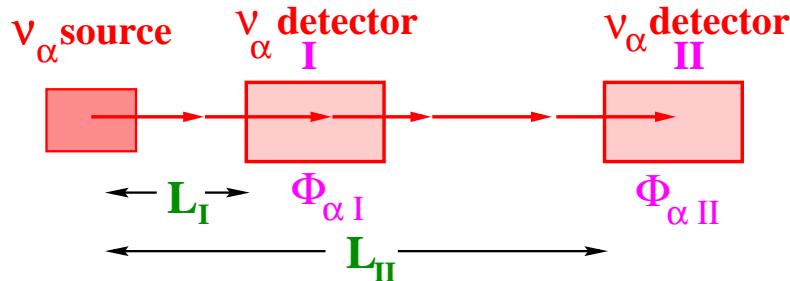
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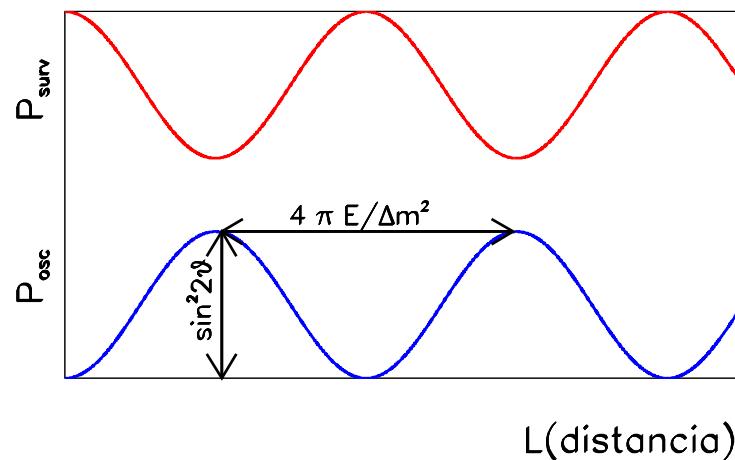


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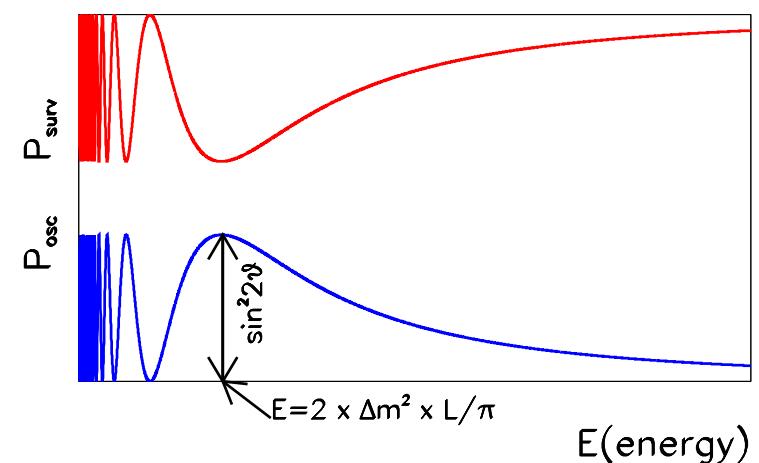
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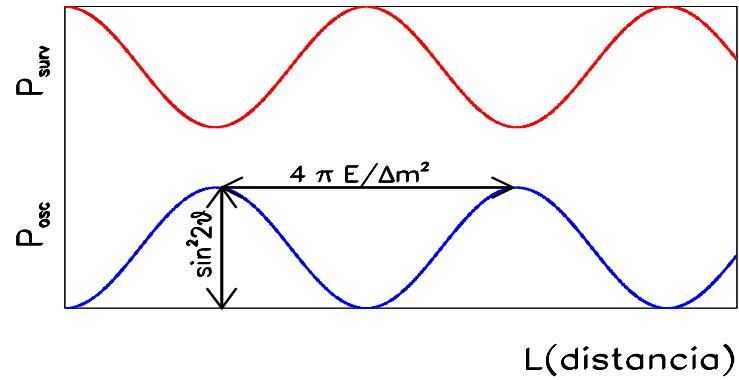
- To detect **oscillations** we can study **the neutrino flavour** as function of the **Distance** to the source



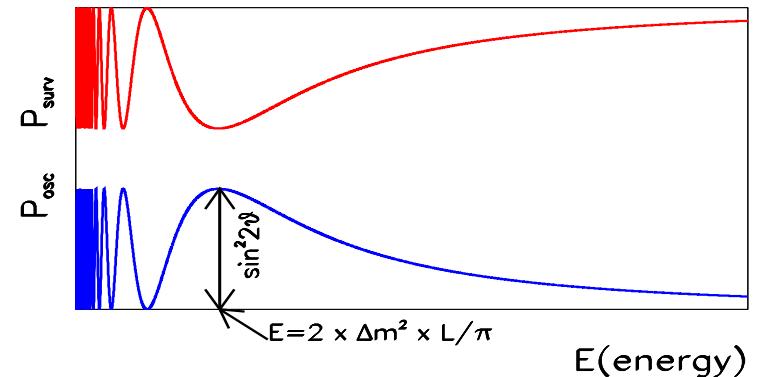
As function of the neutrino Energy



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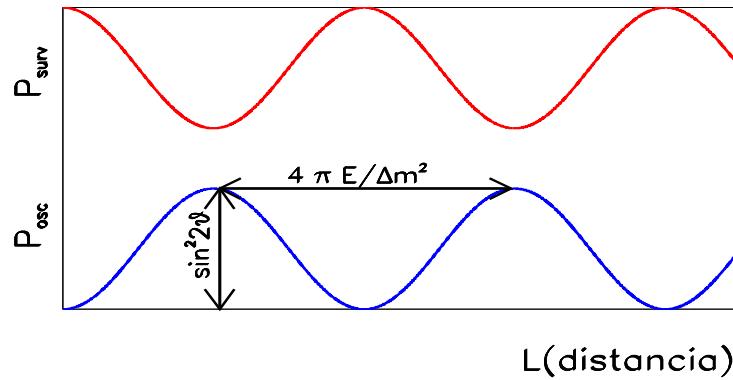


As function of the neutrino Energy

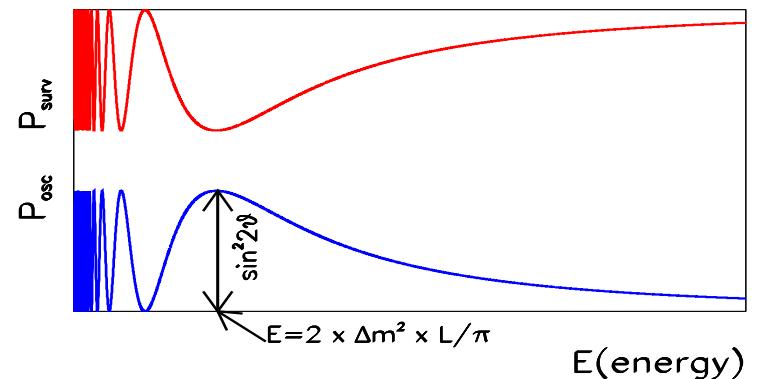


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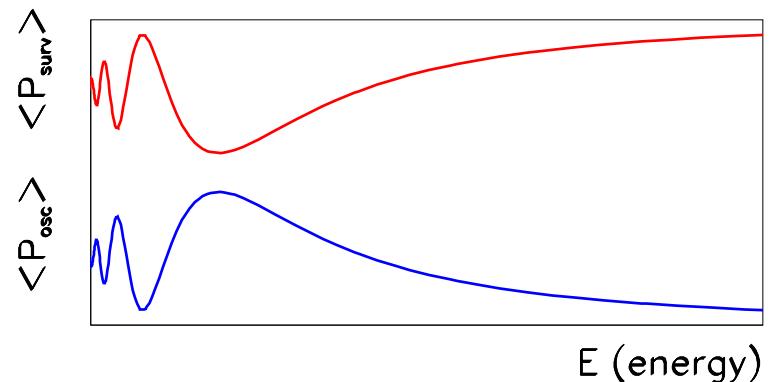
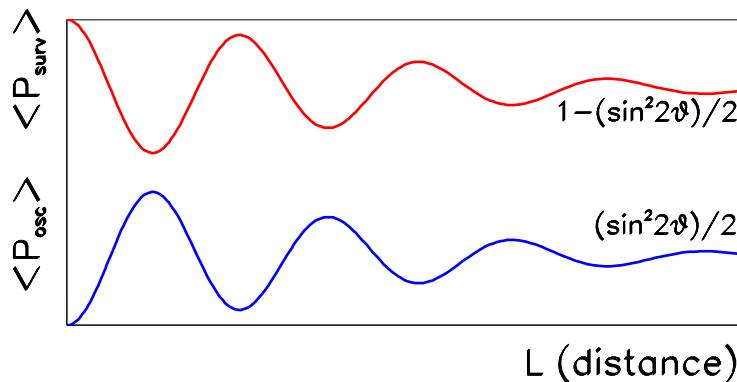
as function of the **Distance** to the source



As function of the neutrino **Energy**

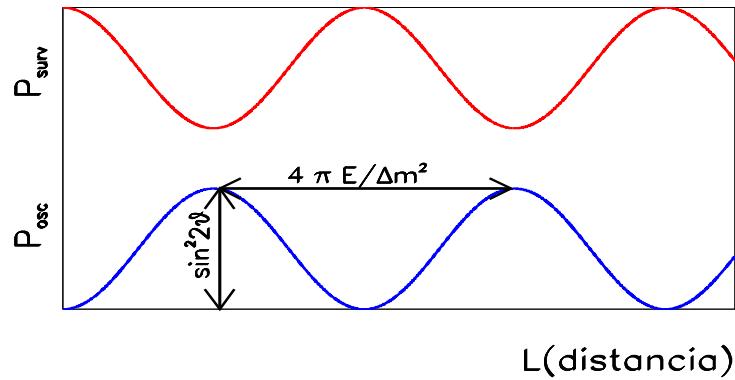


- In real experiments  $\Rightarrow \langle P_{\alpha\beta} \rangle = \int dE_\nu \frac{d\Phi}{dE_\nu} \sigma_{CC}(E_\nu) P_{\alpha\beta}(E_\nu)$

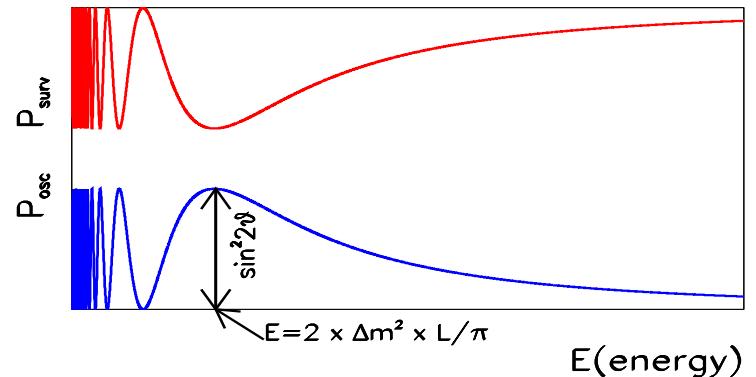


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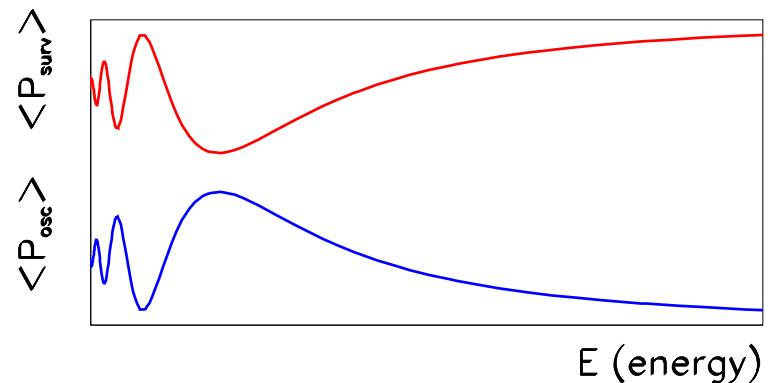
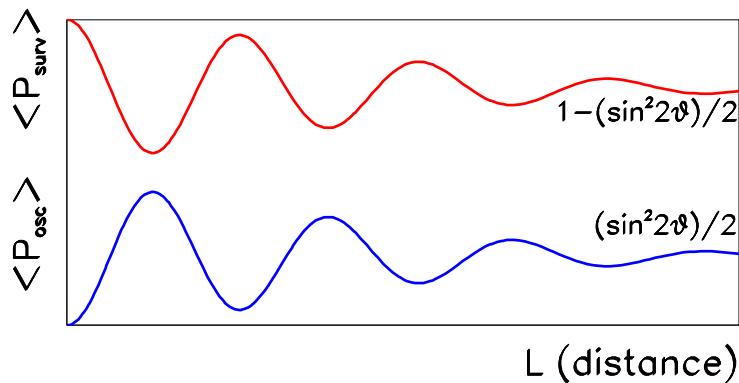
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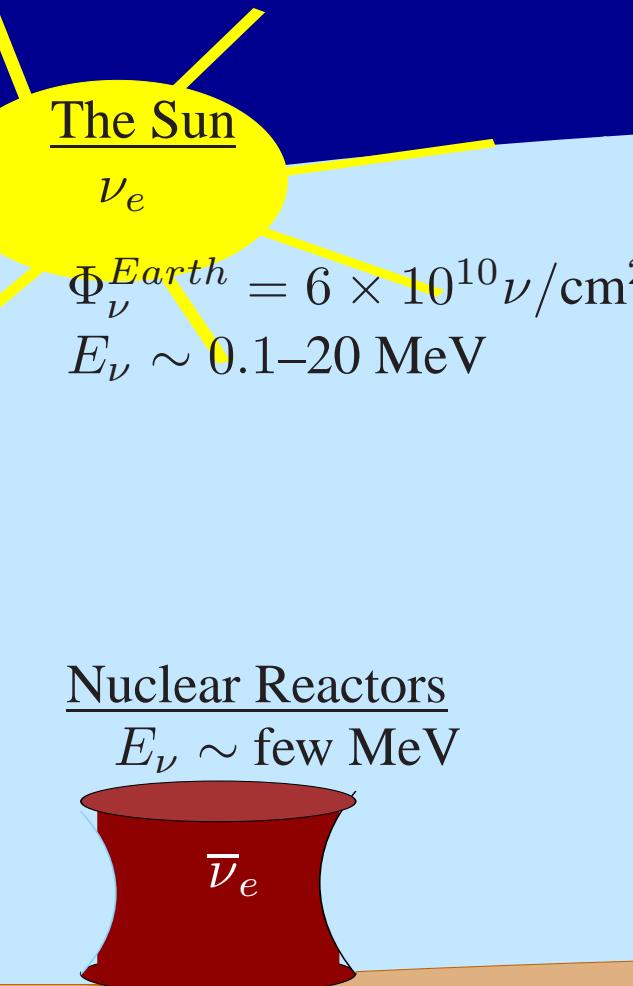


- Maximal sensitivity for  $\Delta m^2 \sim E/L$

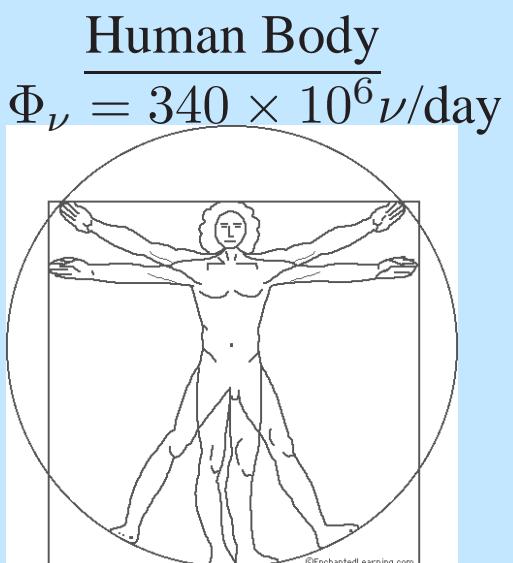
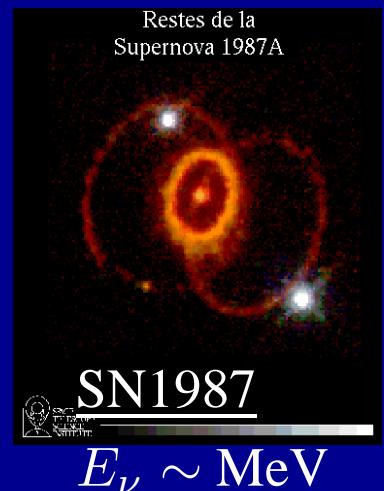
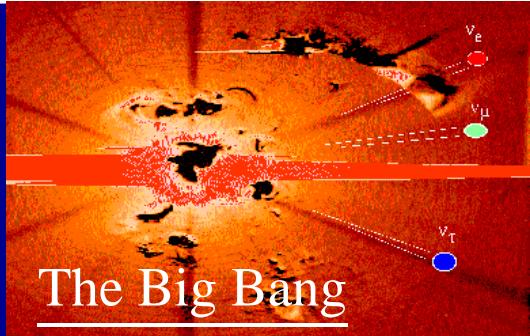
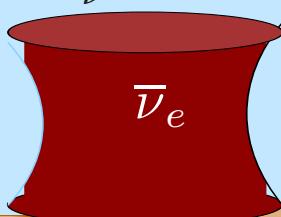
$-\Delta m^2 \ll E/L \Rightarrow \langle \sin^2(1.27\Delta m^2 L/E) \rangle \simeq 0 \rightarrow \langle P_{osc} \rangle \simeq 0$

$-\Delta m^2 \gg E/L \Rightarrow \langle \sin^2(1.27\Delta m^2 L/E) \rangle \simeq \frac{1}{2} \rightarrow \langle P_{osc} \rangle \simeq \frac{1}{2} \sin^2(2\theta)$

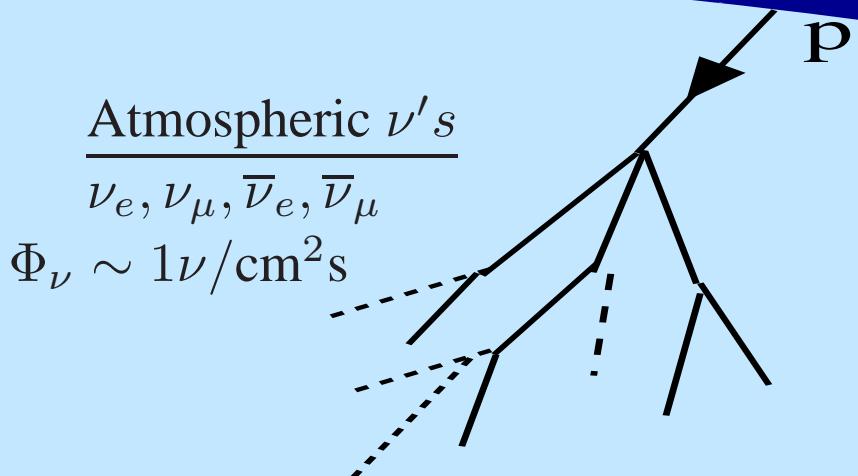
# Sources of $\nu$ 's



Nuclear Reactors  
 $E_\nu \sim \text{few MeV}$



Earth's radioactivity  
 $\Phi_\nu \sim 6 \times 10^6 \nu/\text{cm}^2\text{s}$

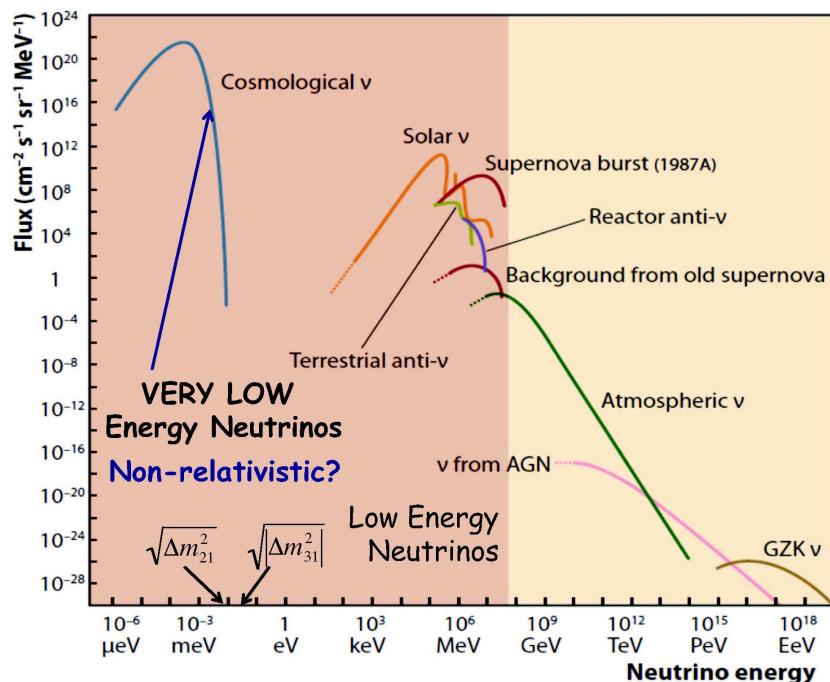


Accelerators  
 $E_\nu \simeq 0.3\text{--}30 \text{ GeV}$



To allow observation of neutrino oscillations:

- Nature has to be good:  $\theta \not\ll 0$
- Need the right set up ( $\equiv$  right  $\langle \frac{L}{E} \rangle$ ) for  $\Delta m^2$



Source	E (GeV)	L (Km)	$\Delta m^2$ (eV <sup>2</sup> )
Solar	$10^{-3}$	$10^7$	$10^{-10}$
Atmos	$0.1-10^2$	$10-10^3$	$10^{-1}-10^{-4}$
Reactor	$10^{-3}$	SBL: $0.1-1$ LBL: $10-10^2$	$10^{-2}-10^{-3}$ $10^{-4}-10^{-5}$
Accel	10	SBL: 0.1 LBL: $10^2-10^3$	$\gtrsim 0.01$ $10^{-2}-10^{-3}$

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⇒ Need huge detectors with Exposure ∼ KTon × year

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- But that cross section is for *inelastic* scattering  
Does not contain **forward elastic coherent** scattering
- In *coherent* interactions  $\Rightarrow \nu$  and medium remain **unchanged**  
Interference of scattered and unscattered  $\nu$  waves

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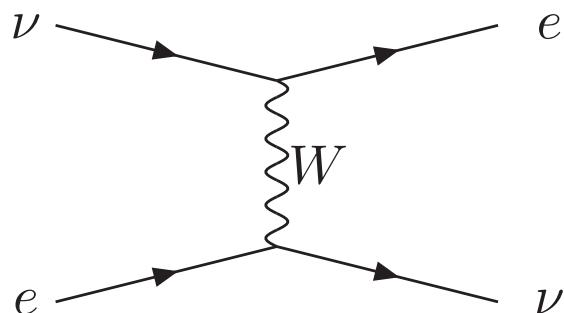
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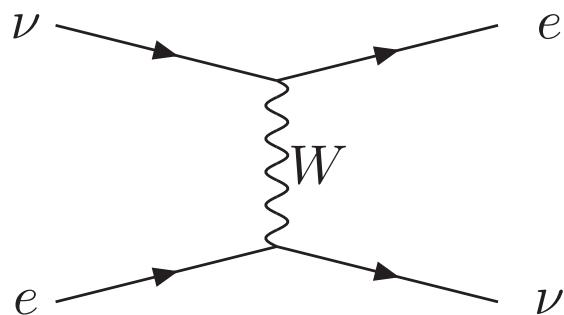


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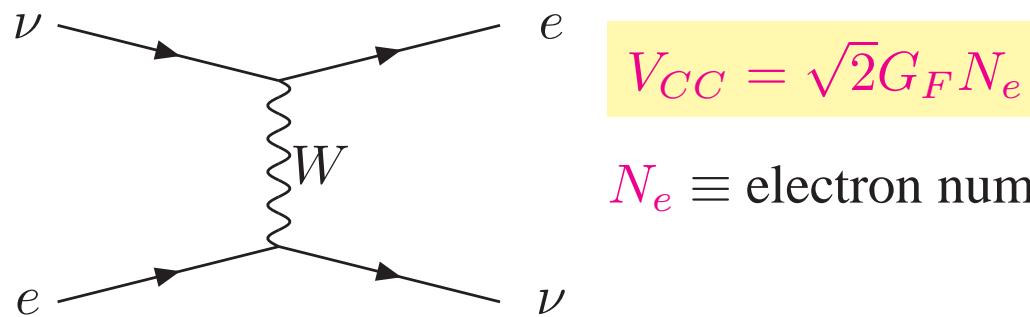
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- The effective potential has opposite sign for neutrinos y antineutrinos
- Other potentials for  $\nu_e$  ( $\bar{\nu}_e$ ) due to different particles in medium

medium	$V_C$	$V_N$
$e^+$ and $e^-$	$\pm\sqrt{2}G_F(N_e - N_{\bar{e}})$	$\mp\frac{G_F}{\sqrt{2}}(N_e - N_{\bar{e}})(1 - 4\sin^2\theta_W)$
$p$ and $\bar{p}$	0	$\pm\frac{G_F}{\sqrt{2}}(N_p - N_{\bar{p}})(1 - 4\sin^2\theta_W)$
$n$ and $\bar{n}$	0	$\mp\frac{G_F}{\sqrt{2}}(N_n - N_{\bar{n}})$
Neutral ( $N_e = N_p$ )	$\pm\sqrt{2}G_F N_e$	$\mp\frac{G_F}{\sqrt{2}} N_n$

## Neutrinos in Matter: Evolution Equation

Evolution Eq. for  $|\nu\rangle = \nu_1|\nu_1\rangle + \nu_2|\nu_2\rangle \equiv \nu_e|\nu_e\rangle + \nu_X|\nu_X\rangle$  ( $X = \mu, \tau, \text{sterile}$ )

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(a) In vacuum in the weak basis

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(b) In matter ( $e, p, n$ ) in weak basis

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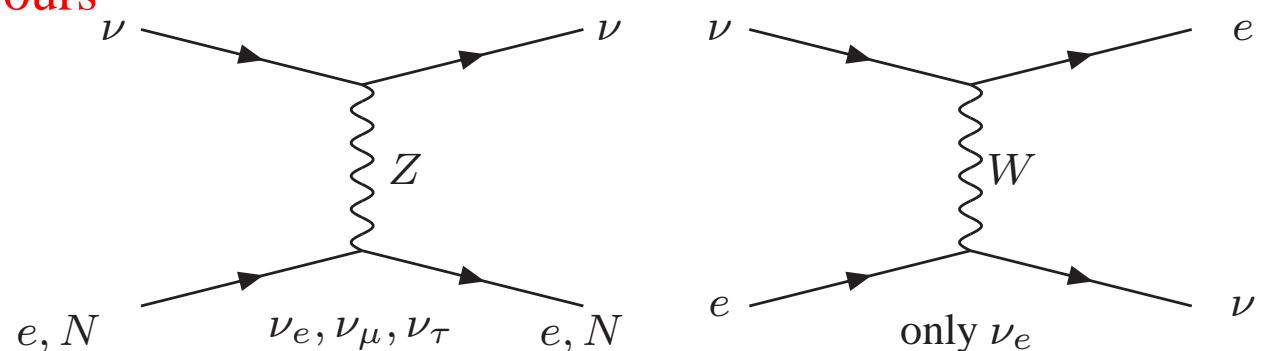
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(a)  $\neq$  (b) because different flavours have different interactions

For example  $X = \mu, \tau$ :

$$V_{CC} = V_e - V_X = \sqrt{2}G_F N_e$$

(opposite sign for  $\bar{\nu}$ )



- ⇒ Effective masses and mixing are different than in vacuum
- ⇒ If matter density varies along  $\nu$  trajectory the effective masses and mixing vary too

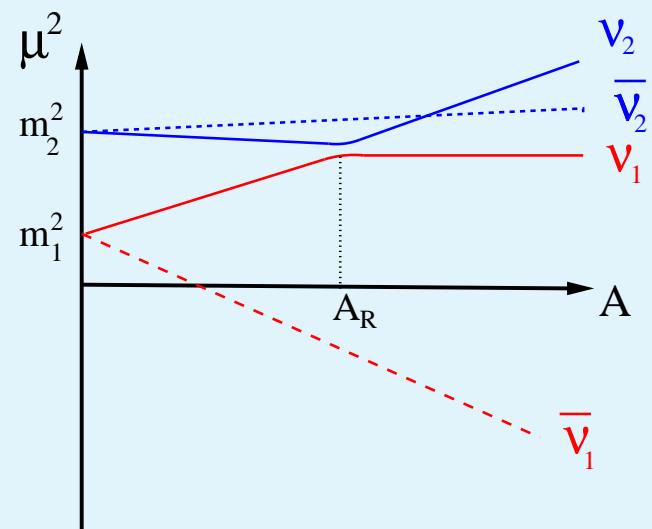
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The effective masses: ( $A = 2E(V_e - V_X)$ )

$$\mu_{1,2}(x) = \frac{m_1^2 + m_2^2}{2} + E(V_e + V_X)$$

$$\pm \frac{1}{2} \sqrt{(\Delta m^2 \cos 2\theta - A)^2 + (\Delta m^2 \sin 2\theta)^2}$$



At resonant potential:  $A_R = \Delta m^2 \cos 2\theta$

Minimum  $\Delta\mu^2 = \mu_2^2 - \mu_1^2$

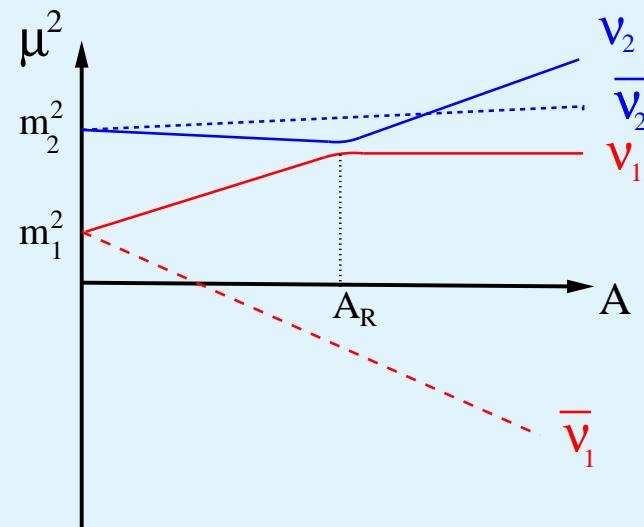
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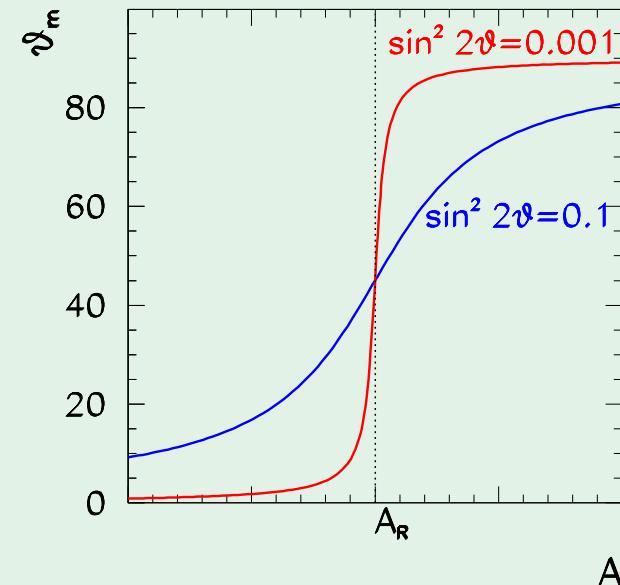


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The mixing angle in matter

$$\tan 2\theta_m = \frac{\Delta m^2 \sin 2\theta}{\Delta m^2 \cos 2\theta - A}$$



\* At  $A = 0$  (vacuum)  $\Rightarrow \theta_m = \theta$

\* At  $A = A_R \Rightarrow \theta_m = \frac{\pi}{4}$

\* At  $A \gg A_R \Rightarrow \theta_m = \frac{\pi}{2}$

The oscillation length in vacuum

$$L_0^{osc} = \frac{4\pi E}{\Delta m^2}$$

The oscillation length in matter

$$L^{osc} = \frac{L_0^{osc}}{\sqrt{(\Delta m^2 \cos 2\theta - A)^2 + (\Delta m^2 \sin 2\theta)^2}} \equiv \frac{4\pi E}{\Delta \mu^2}$$

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$L^{osc}$  presents a resonant behaviour

At the resonant point

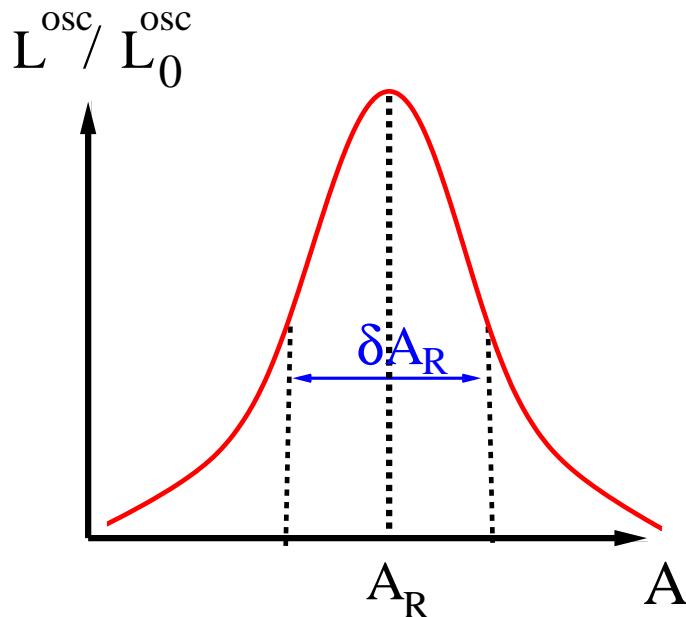
$$L_R^{osc} = \frac{L_0^{osc}}{\sin 2\theta}$$

The width of the resonance in potential:

$$\delta V_R = \frac{\Delta m^2 \sin 2\theta}{E}$$

The width of the resonance in distance:

$$\delta r_R = \frac{\delta V_R}{\left| \frac{dV}{dr} \right|_R}$$



- In terms of the mass eigenstates in matter:

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The adiabaticity condition

$$\left| \frac{1}{V} \frac{dV}{dx} \right|_R \ll \frac{\Delta m^2}{2E} \frac{\sin^2 2\theta}{\cos 2\theta} \equiv 2\pi \delta r_R \gg L_R^{osc}$$

$\Rightarrow$  Many oscillations take place in the resonant region

# Neutrinos in The Sun : MSW Effect

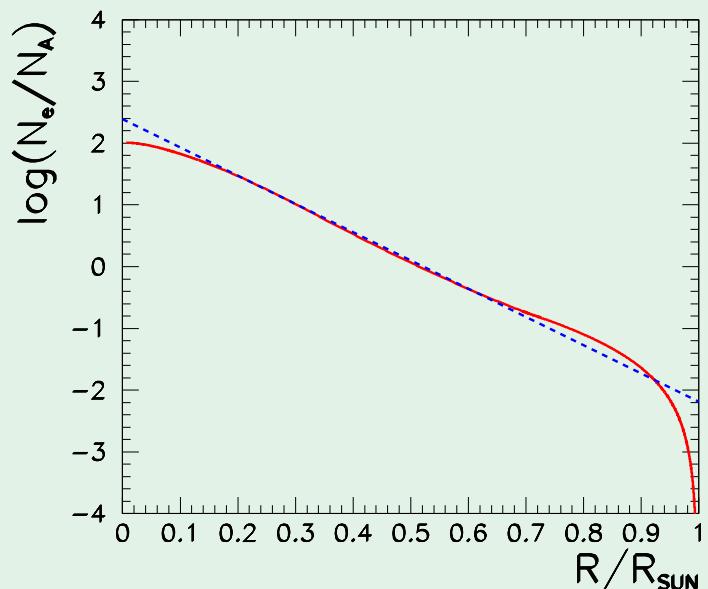
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The solar matter density



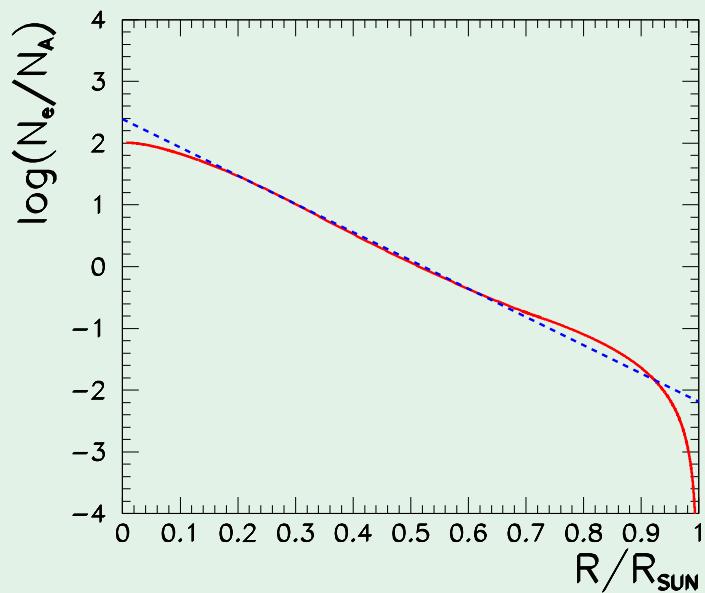
$$V_{CC} = \sqrt{2} G_F N_e \sim 10^{-14} \frac{N_e}{N_A} \text{ eV}$$

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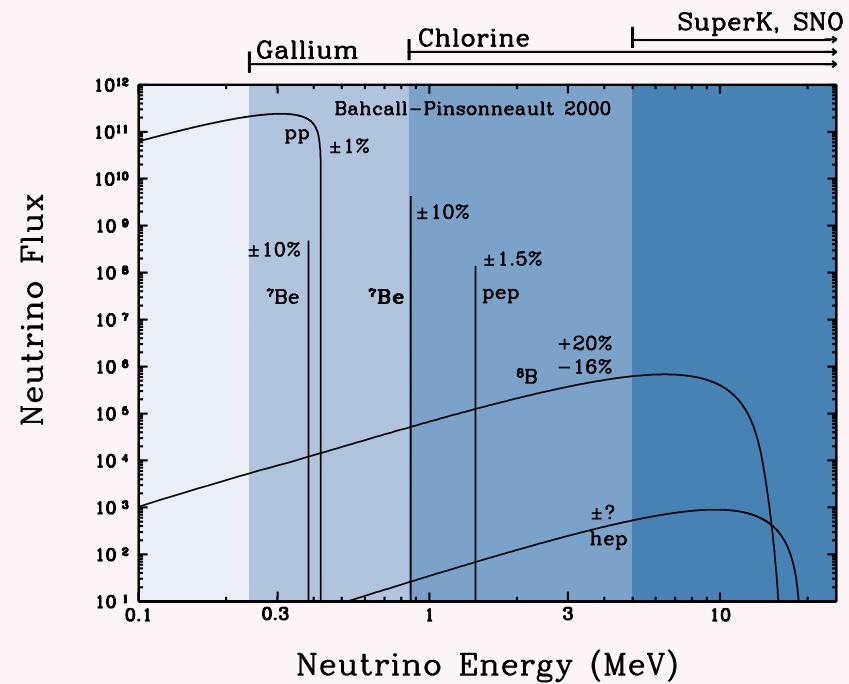
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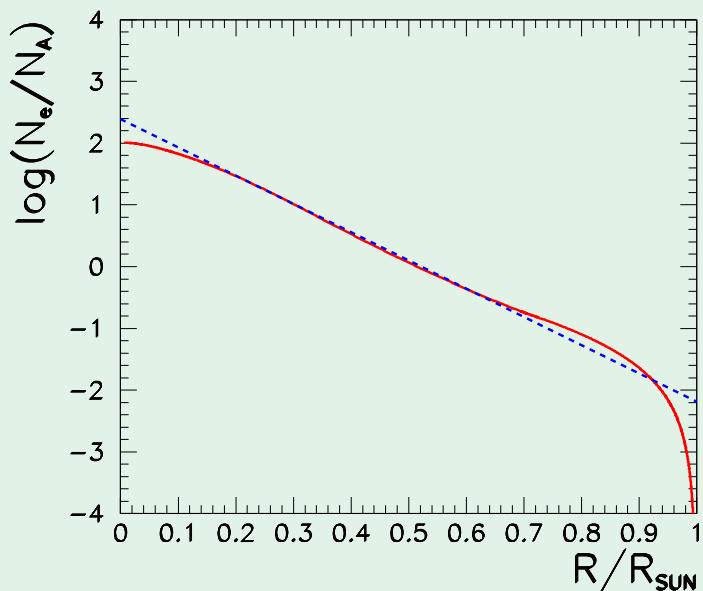


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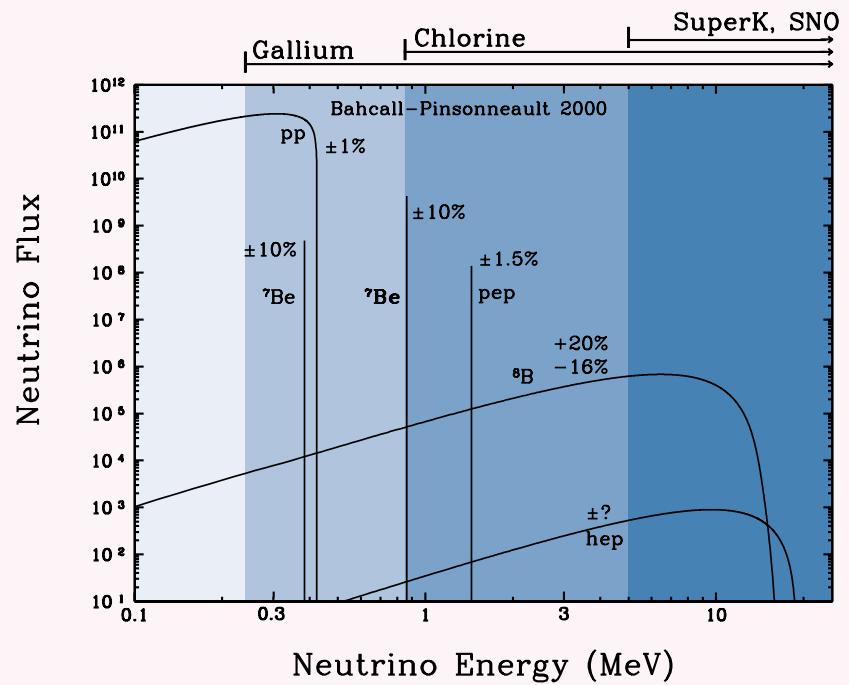
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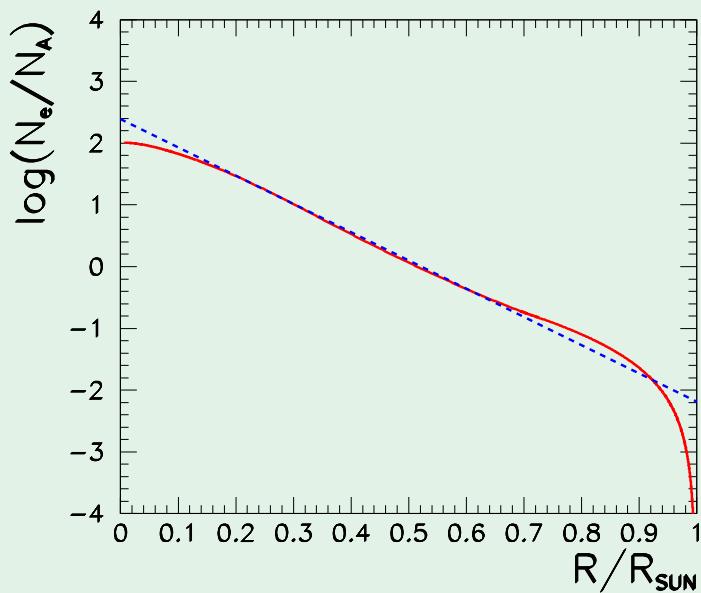
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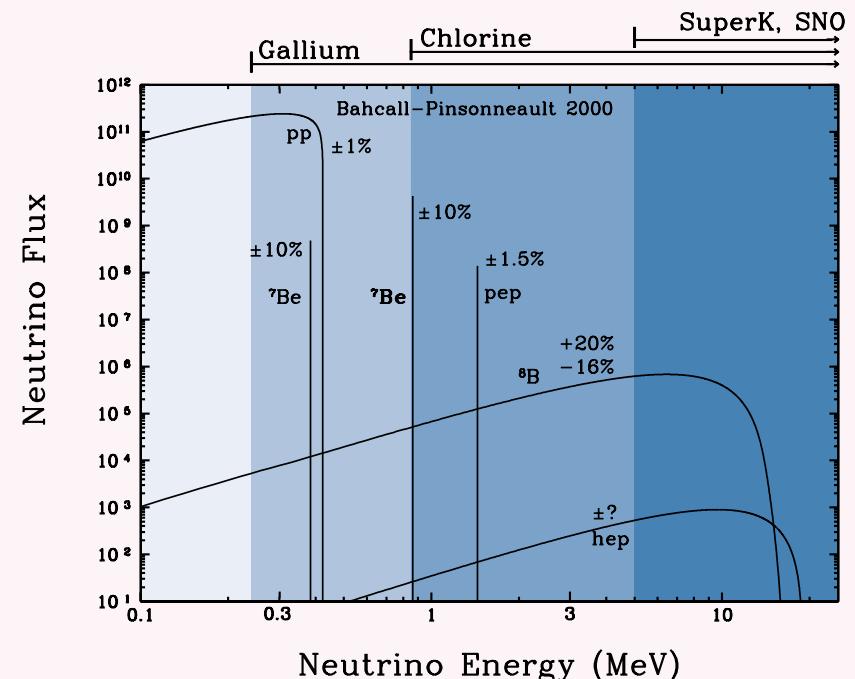
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$\Rightarrow \nu$  can cross resonance condition in its way out of the Sun

For  $\theta \ll \frac{\pi}{4}$ : In vacuum  $\nu_e = \cos \theta \nu_1 + \sin \theta \nu_2$  is mostly  $\nu_1$

In Sun core  $\nu_e = \cos \theta_{m,0} \nu_1 + \sin \theta_{m,0} \nu_2$  is mostly  $\nu_2$

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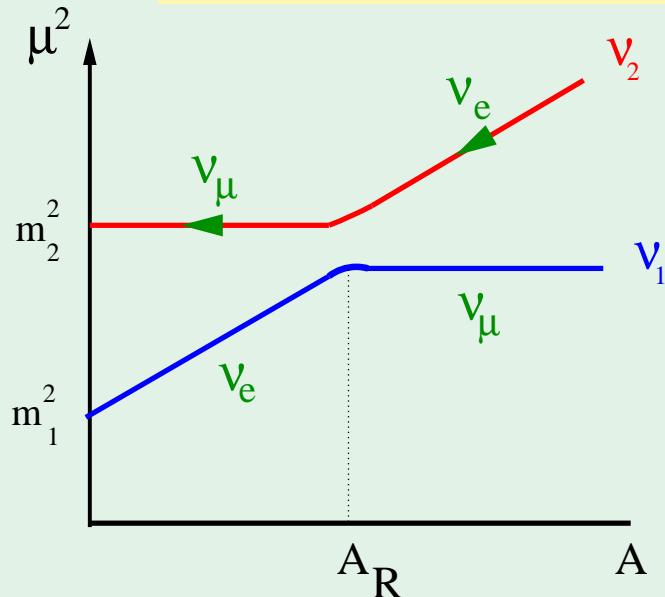
$\Rightarrow$  Adiabatic transition

\*  $\nu$  is mostly  $\nu_2$  before and after resonance

\*  $\theta_m \downarrow$  dramatically at resonance

$\Rightarrow \nu_e$  component  $\downarrow \Rightarrow P_{ee} \downarrow$

This is the MSW effect



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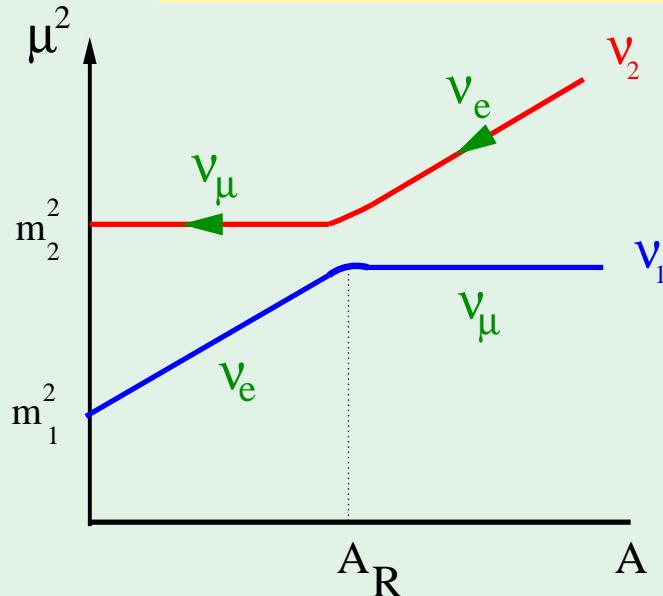
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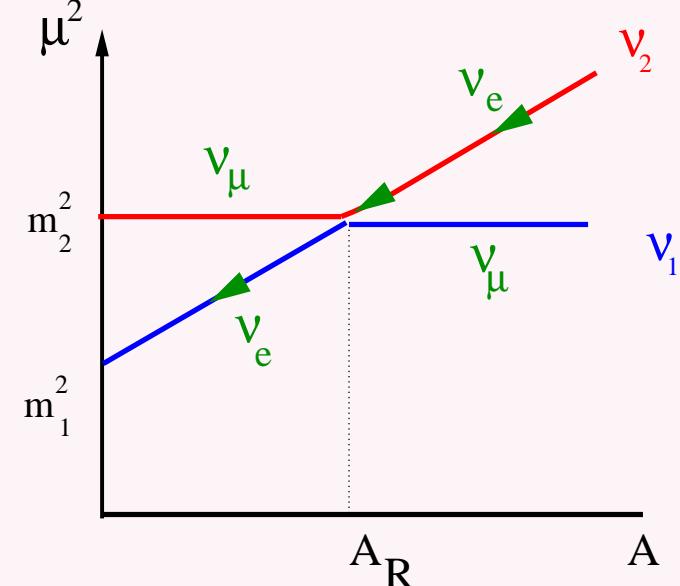
If  $\frac{(\Delta m^2/\text{eV}^2) \sin^2 2\theta}{(E/\text{MeV}) \cos 2\theta} \lesssim 3 \times 10^{-9}$

$\Rightarrow$  Non-Adiabatic transition

\*  $\nu$  is mostly  $\nu_2$  till the resonance

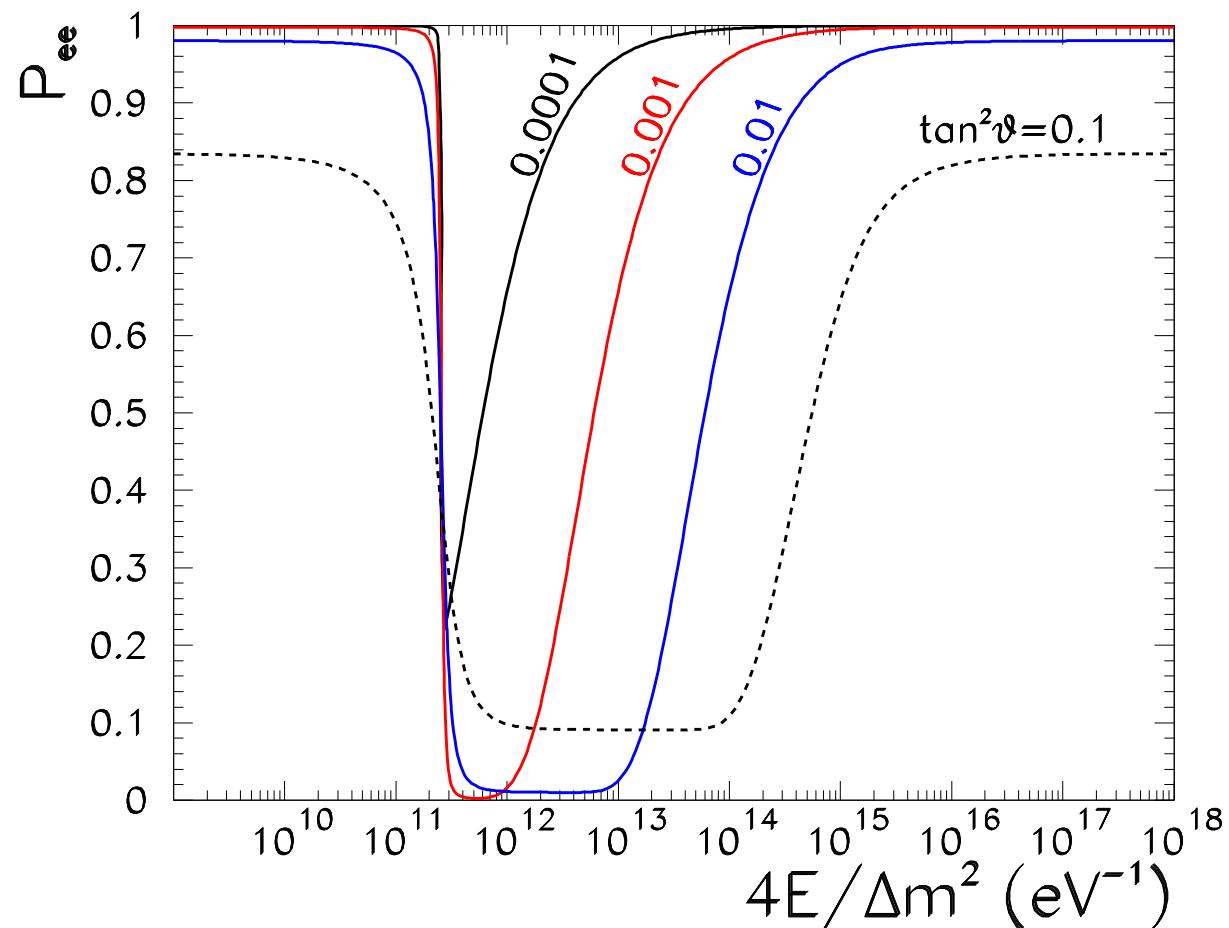
\* At resonance the state can jump into  $\nu_1$  (with probability  $P_{LZ}$ )

$\Rightarrow \nu_e$  component  $\uparrow \Rightarrow P_{ee} \uparrow$



$$P_{ee} = \frac{1}{2} [1 + (1 - 2P_{LZ}) \cos 2\theta_{m,0} \cos 2\theta]$$

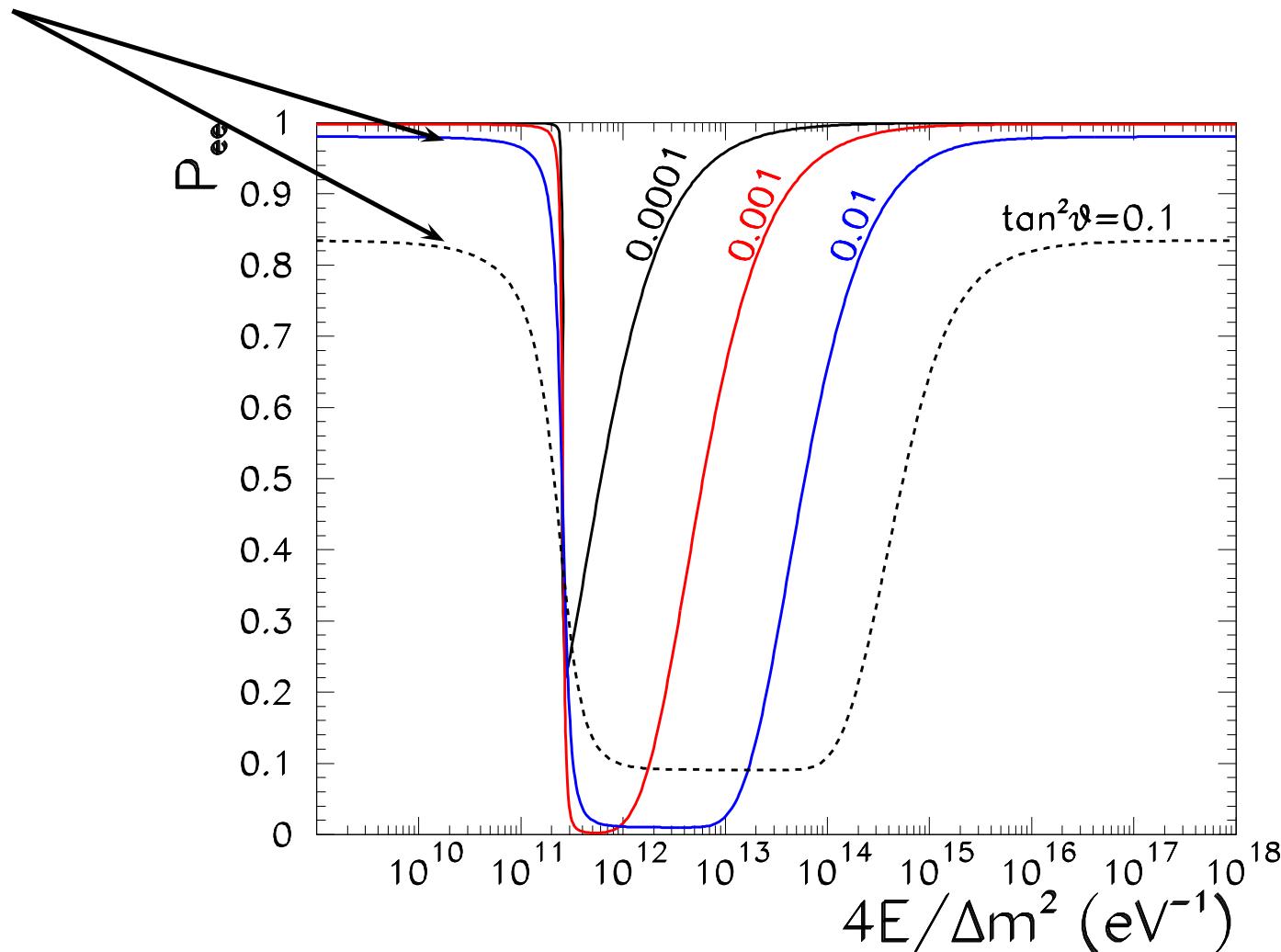
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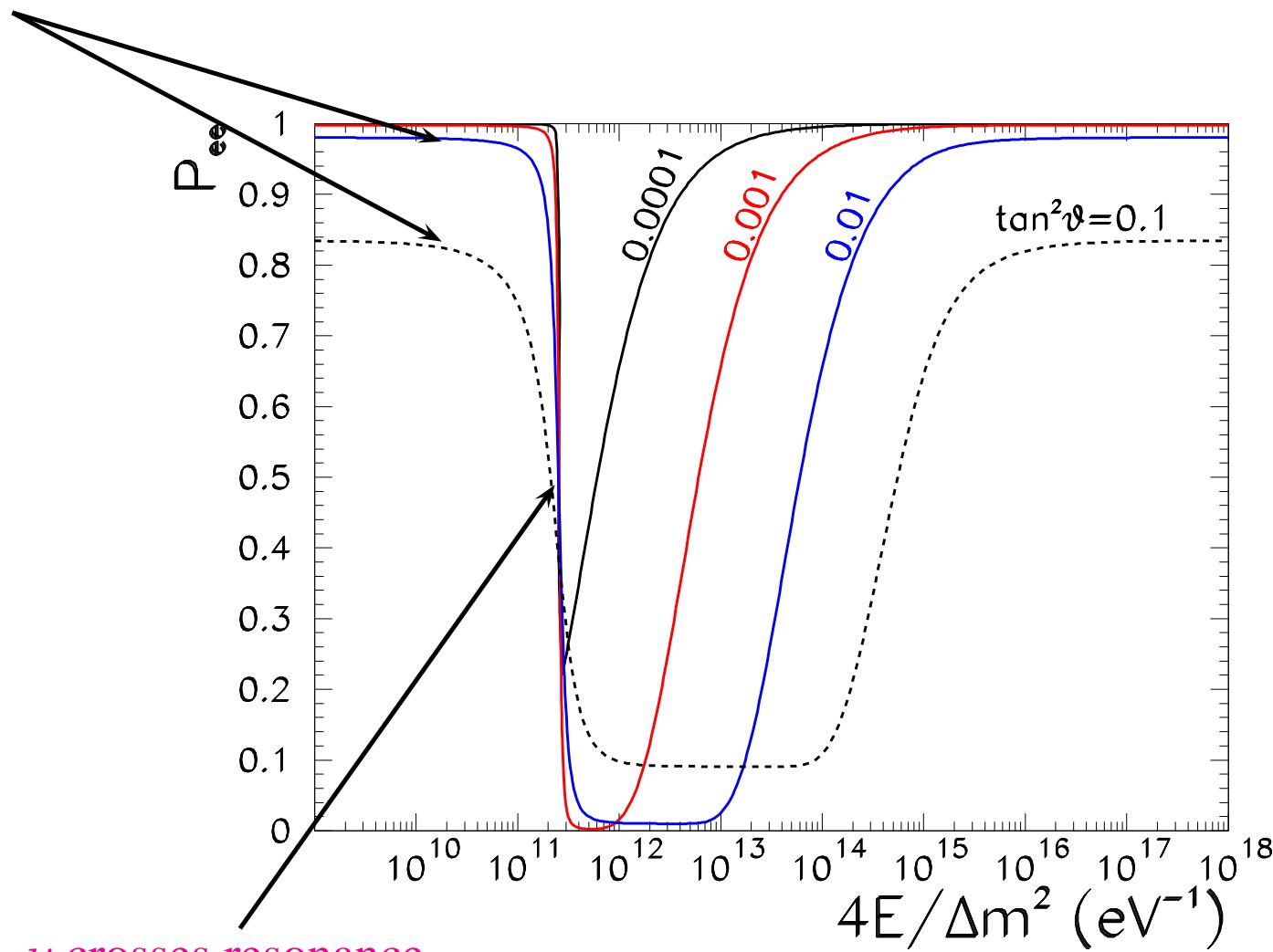
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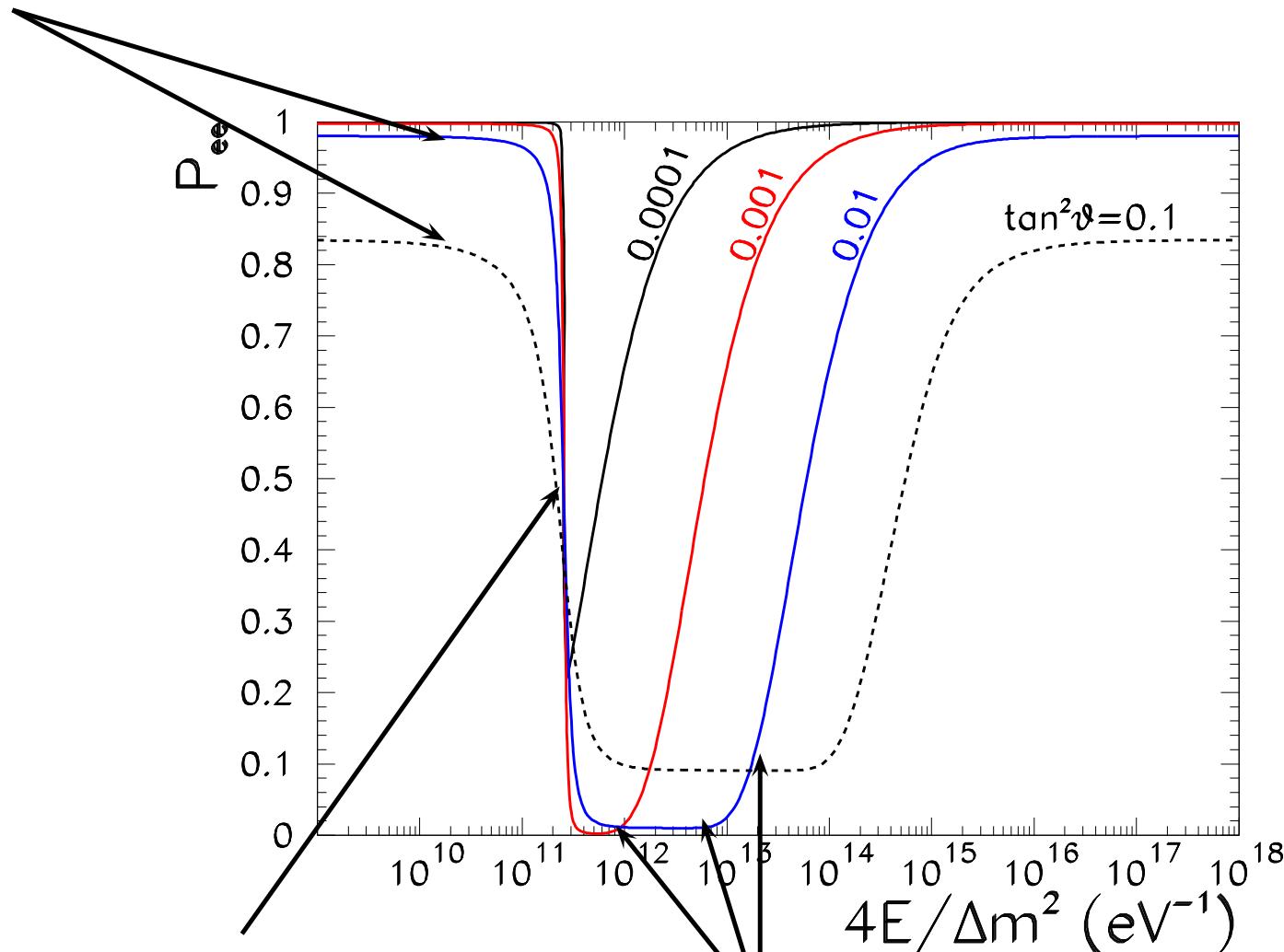
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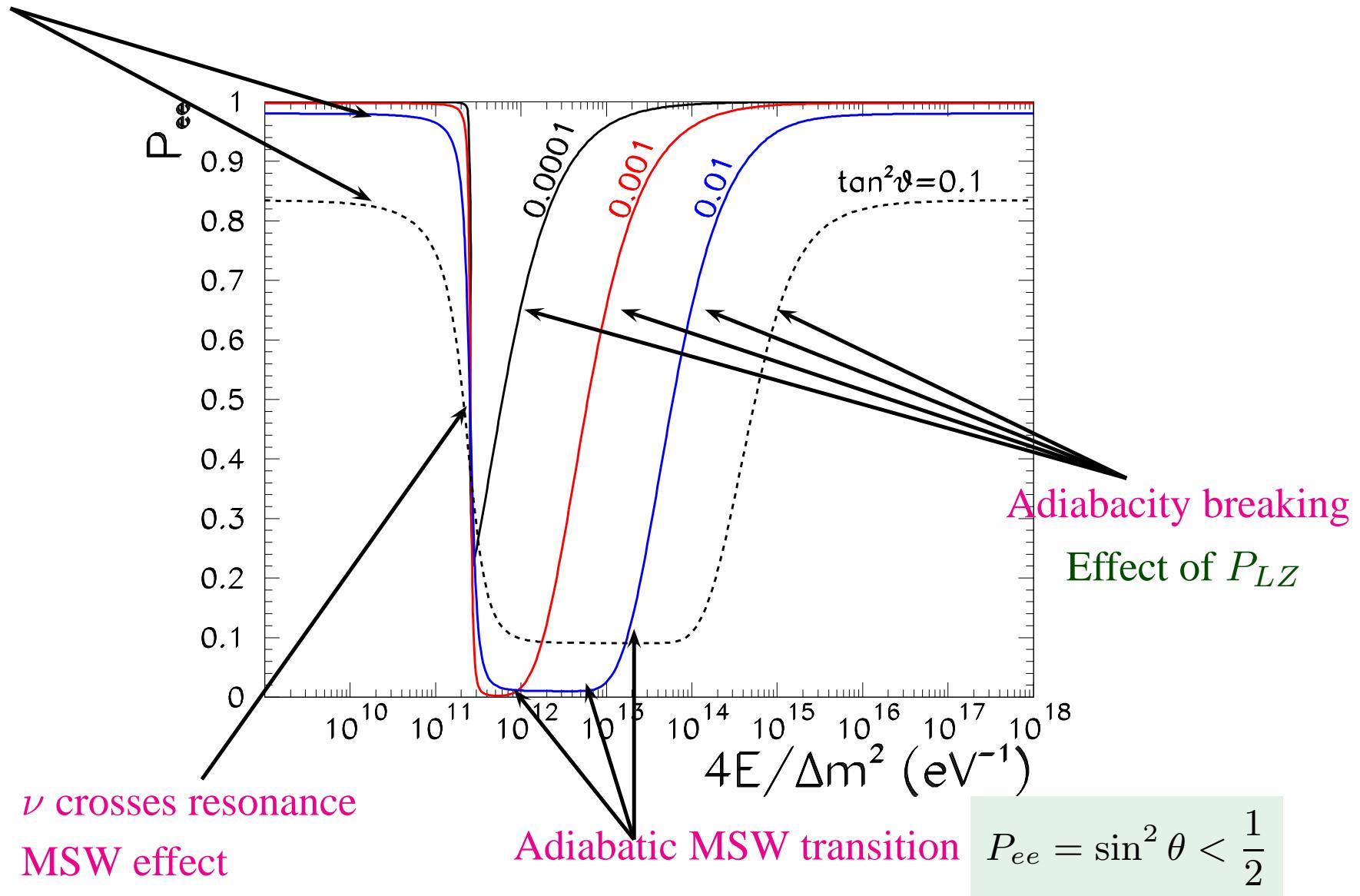
Adiabatic MSW transition

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- Matter effect is crucial to interpretation of solar data

# 0νββ Decay: Future

JJ Gomez-Cadenas, sl et al ArXiv:1109.5515

Experiment	$M_{\beta\beta}$ (kg $_{\beta\beta}$ )	$\varepsilon$	$\Delta E$ (keV)	$c$ (10 $^{-3}$ counts/(keV · kg $_{\beta\beta}$ · year))	Bgr/ROI (cts/yr)
EXO-200	141	0.34	100	0.78–5	11–71
GERDA-1	15.2	0.95	4.2	12–70	0.77–4.5
GERDA-2	30.4	0.84	2	1.2–7	0.07–0.43
CUORE-0	10.9	0.83	5	180–390	9.8–21.3
CUORE	206	0.83	5	36–130	37.1–134
KamLAND-Zen	357	0.61	250	0.22–1.8	19.6–161
MAJORANA Demonstrator	17.2	0.85	2	1.2–12	0.04–0.41
SNO+	44	0.50	220	9–70	87–680
NEXT	89.2	0.33	18	0.2–1	0.32–1.6
SuperNEMO Demonstrator	7	0.28	130	0.6–6	0.55–5.5

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$$\rho_\nu = g_\nu \int \frac{d^3 p}{(2\pi)^3} \sqrt{E^2 + m_\nu^2} f_\nu = \begin{cases} \frac{7\pi^2}{120} \left(\frac{4}{11}\right)^{\frac{4}{3}} T_{\text{CMB}}^4 & m_\nu = 0 \\ m_\nu n_\nu & m_\nu \gg T \end{cases}$$

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$\Rightarrow$  a conservative limit  $\Omega_\nu h^2 < 0.1 \Rightarrow \sum_i m_{\nu_i} < 9 \text{ eV}$

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$$\rho_r = \rho_\gamma + \rho_\nu = \frac{\pi^2}{15} T_\gamma^4 + 3 \frac{7}{8} \frac{\pi^2}{15} T_\nu^4 = \left[ 1 + \frac{7}{8} \times 3 \left( \frac{4}{11} \right)^{\frac{4}{3}} \right] \rho_\gamma$$

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- In general at  $T < m_e$  we can always write

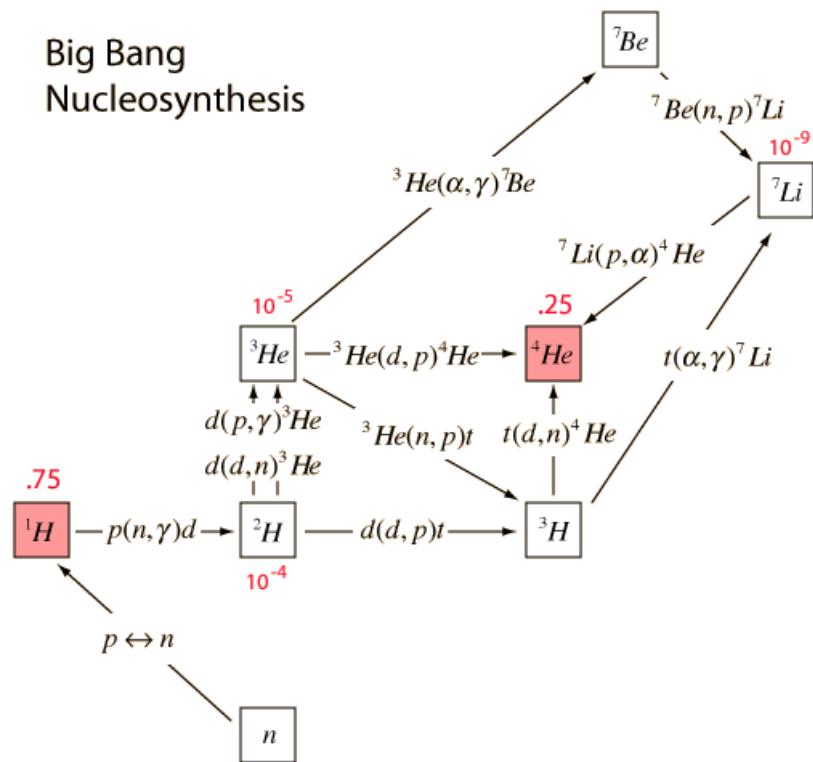
$$\rho_r = \left[ 1 + \frac{7}{8} \times \left( \frac{4}{11} \right)^{\frac{4}{3}} N_{\text{eff}} \right] \rho_\gamma$$

$\Delta N_{\text{eff}} = N_{\text{eff}} - 3$  (exactly -3.04) parametrizes;

- Any new relativistic states (accounting for their decoupling temperature)
- Possible new  $\nu$ -interactions (which change the relation between  $T_\gamma$  and  $T_\nu$ )

# Big Bang Nucleosynthesis

- **BBN:** Nuclear reactions producing D,  $^3\text{He}$ ,  $^4\text{He}$ ,  $^7\text{Li}$  from protons  $p$  and neutrons  $n$



- The produced abundances depend on:
  - The neutron life time: (well-known)  $\tau_n = 881.5 \pm 1.5 \text{ s}$
  - $G$ : (well-known)
  - $\eta_B = \frac{n_B}{n_\gamma}$ , baryon to photon # density: (independently measured in CMB)
  - Nuclear reaction rates (larger uncertainties)
  - New physics which affects the expansion of Universe ...

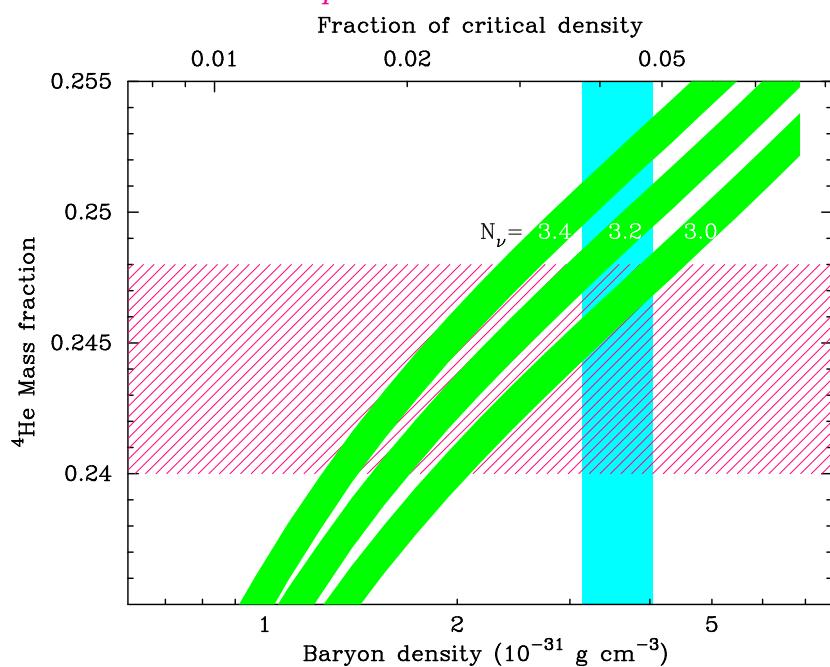
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  - ⇒ Weak Interac freeze-out earlier
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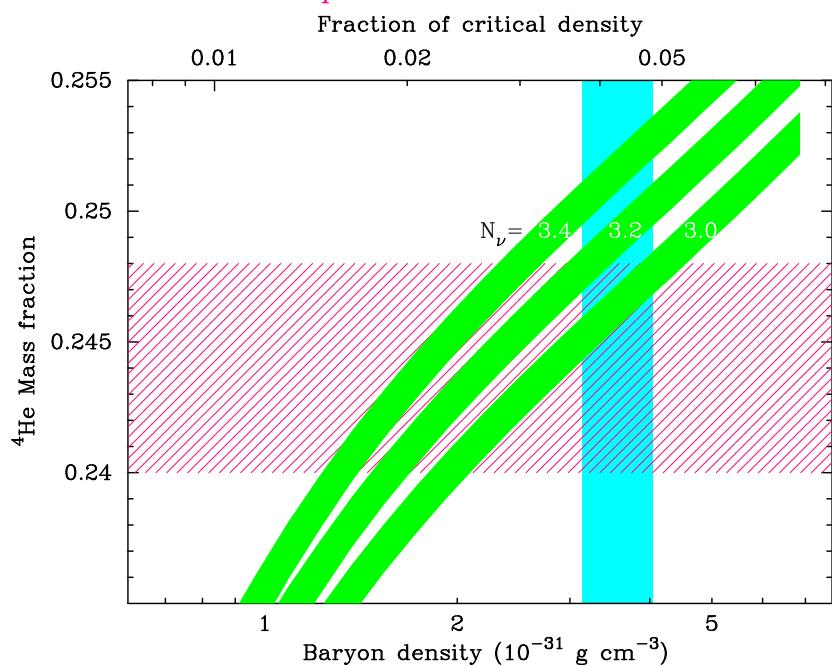


Burles, Nollet, Turner (1999)

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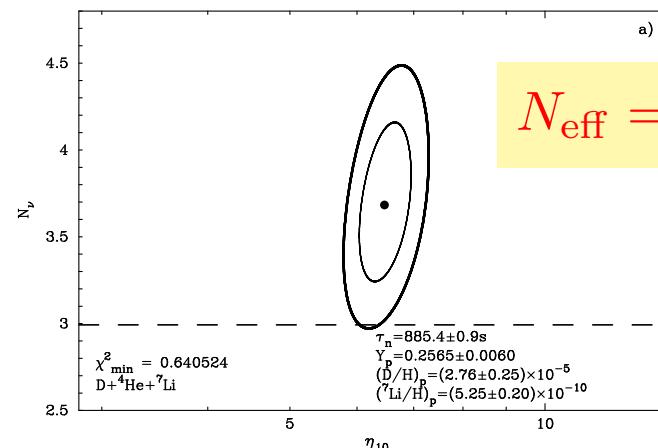
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With latest  $Y_P$  determination



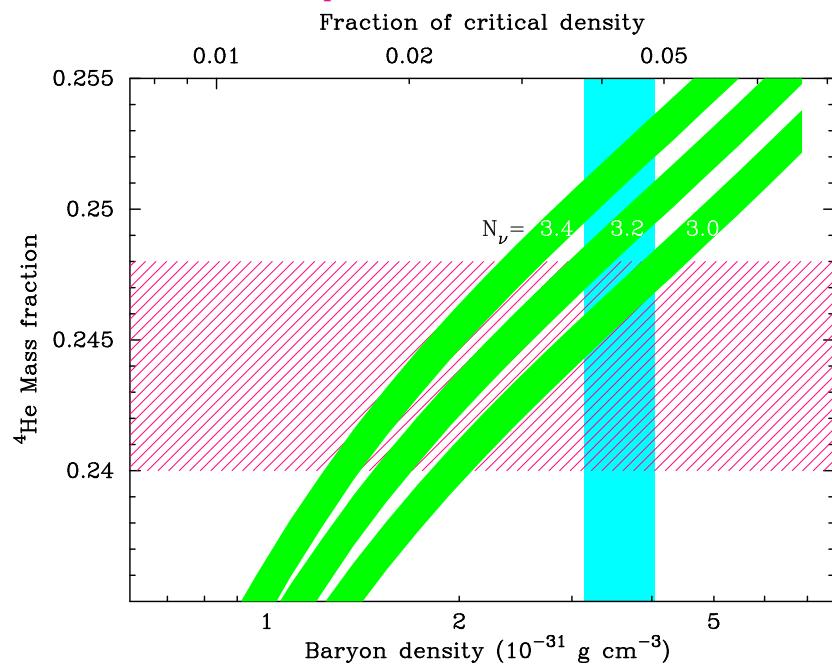
Izotov, Thuan (2010)

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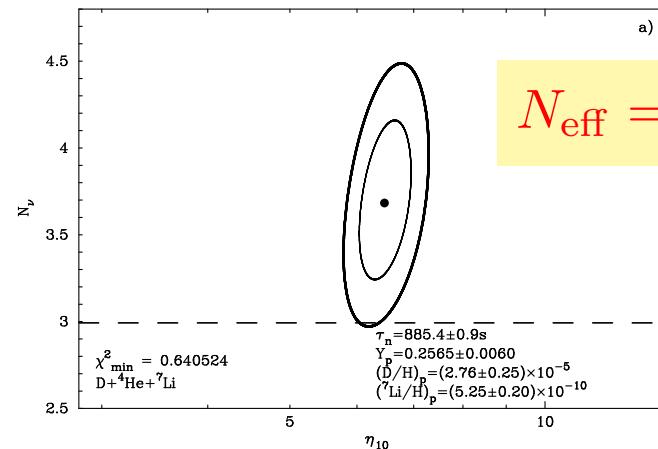
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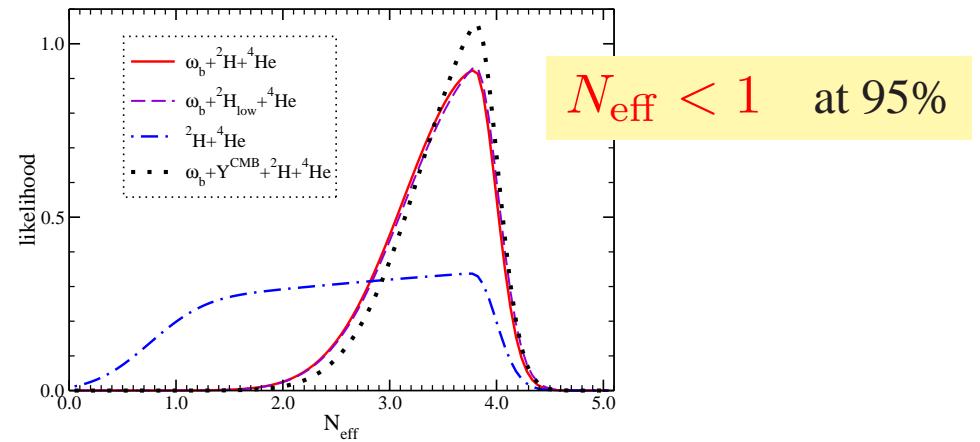
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Izotov, Thuan (2010)

More conservatively



Mangano, Serpico (2011)

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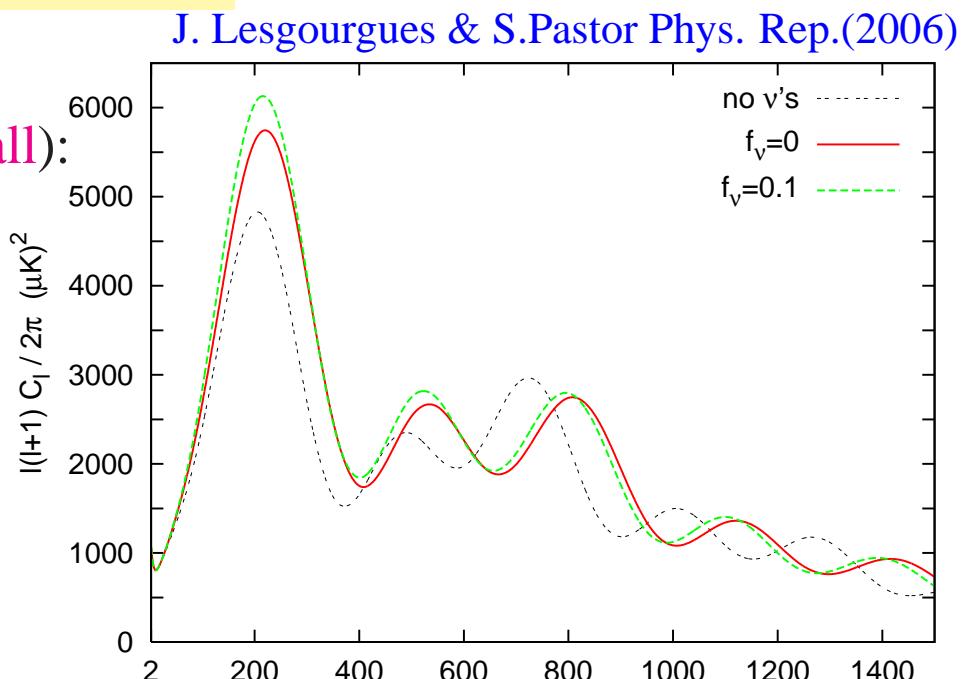
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- For lighter  $\nu$ 's effect is **indirect** (and **small**):  
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(time of matter-radiation equality)



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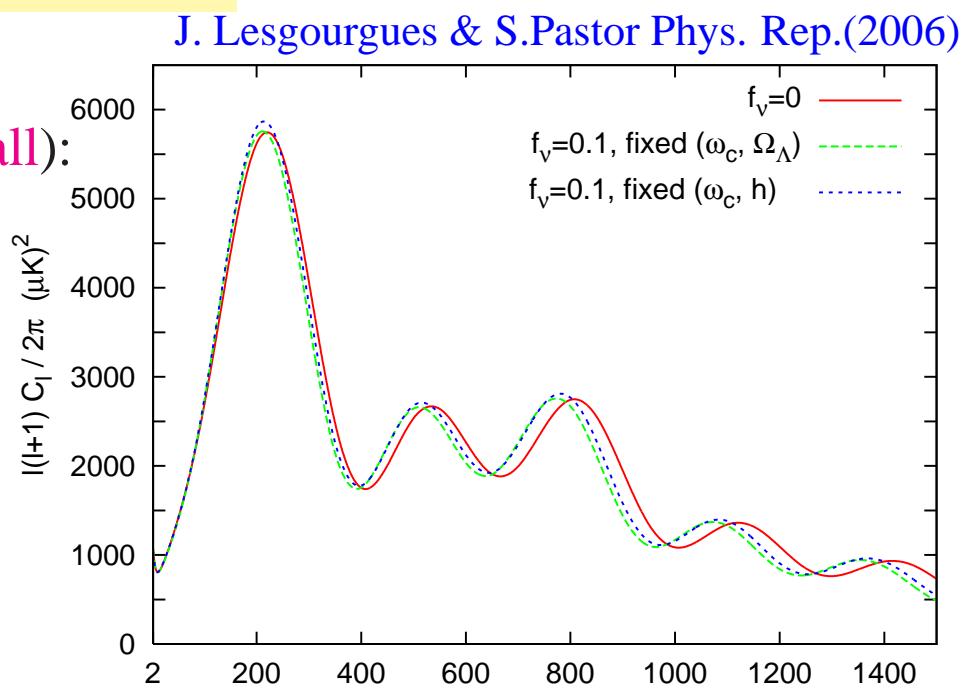
- CMB almost unaffected by  $\nu$ 's if they are **relativistic** at recombination  $z_{rec} = 1089$

At recombination  $T_\gamma^{rec} \simeq 1000 \text{ K} \simeq 0.26 \text{ eV} \Rightarrow T_\nu^{rec} = \left(\frac{4}{11}\right)^{\frac{1}{3}} T_\gamma^{rec} \simeq 0.18 \text{ eV}$

The mean momenta of the neutrino  $\langle p_\nu \rangle_{rec} = \frac{7\pi^4}{180\xi(3)} T_\nu^{rec} = 0.58 \text{ eV}$

So  $\nu$ 's direct effect of CMB if  $\sum m_{\nu_i} > 1.7 \text{ eV}$

- For lighter  $\nu$ 's effect is **indirect** (and **small**):  
they change **background** evolution  
(time of matter-radiation equality)
- But **parameter degeneracies**:  
Same effect by change of  
other cosmological parameters



## CMB: Effect $N_{\text{eff}}$

- In general at  $T < m_e$  we can always write

$$\rho_r = \left[ 1 + \frac{7}{8} \times \left( \frac{4}{11} \right)^{\frac{4}{3}} N_{\text{eff}} \right] \rho_\gamma$$

$\Delta N_{\text{eff}} = N_{\text{eff}} - 3$  (exactly -3.04) parametrizes;

- Any new relativistic states (accounting for their decoupling temperature)
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The conclusions is:

*Combined analysis of Several Observables to break Degeneracies*

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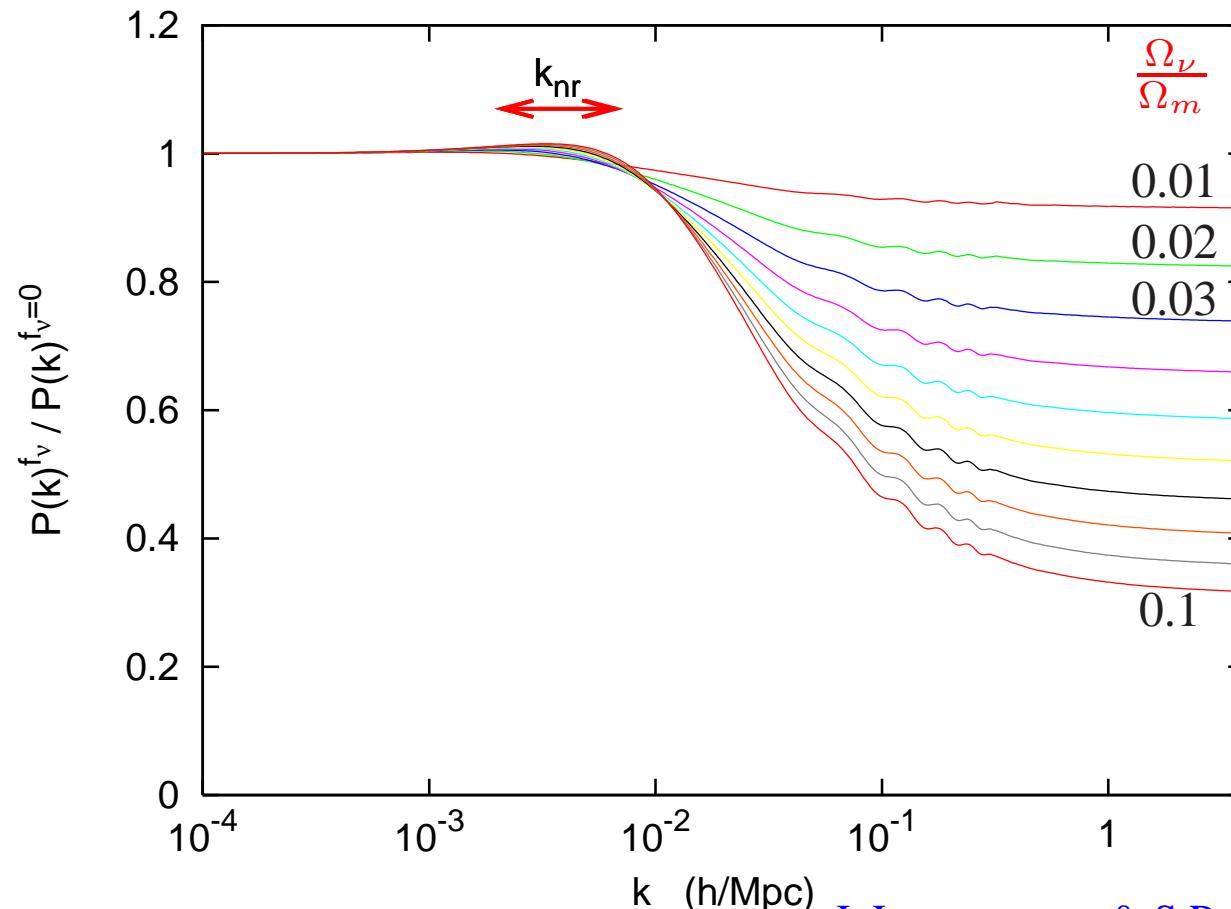
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$\Rightarrow$  Subdominant contribution of  $\nu$ 's to DM Constrained by Observations

# Formation of Structures: Effects of $\nu$ 's

- The matter power spectra  $\langle \delta_m(\vec{x}_1) \delta_m(\vec{x}_2) \rangle = \int \frac{d^3k}{(2\pi)^3} \exp^{i\vec{k}(\vec{x}_1 - \vec{x}_2)} P(k)$  is modified

$$\frac{\Delta P(k)}{P(k)} \simeq -8 \frac{\Omega_\nu}{\Omega_m} \simeq -0.09 \frac{\sum m_{\nu_i}}{1 \text{ eV}} \frac{1}{\Omega_m h^2} \quad \text{for } k \gg k_{nr}$$





- Lets consider  $\nu_e$  in a medium with  $e$ ,  $p$ , and  $n$ . The effective low-energy Hamiltonian:

$$H_W = \frac{G_F}{\sqrt{2}} [J^{(+)\alpha}(x) J_\alpha^{(-)}(x) + \frac{1}{4} J^{(N)\alpha}(x) J_\alpha^{(N)}(x)]$$

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- Example: The effect of CC with the  $e$  medium. The effective CC Hamiltonian:

$$\begin{aligned} H_C^{(e)} &= \frac{G_F}{\sqrt{2}} \int d^3 p_e f(E_e, T) \left\langle \langle e(s, p_e) | \bar{e} \gamma^\alpha (1 - \gamma_5) \nu_e \bar{\nu}_e \gamma_\alpha (1 - \gamma_5) | e(s, p_e) \rangle \right\rangle \\ \text{Fierz rearrange} &= \frac{G_F}{\sqrt{2}} \bar{\nu}_e \gamma_\alpha (1 - \gamma_5) \nu_e \int d^3 p_e f(E_e, T) \left\langle \langle e(s, p_e) | \bar{e} \gamma_\alpha (1 - \gamma_5) e | e(s, p_e) \rangle \right\rangle \end{aligned}$$

$f(E_e, T)$  statistical energy distribution of  $e$  in *homogeneous and isotropic* medium.

$$\int d^3 p_e f(E_e, T) = 1$$

$\langle \dots \rangle$   $\equiv$  averaging over electron spinors and summing over all  $e$ .

coherence  $\Rightarrow s, p_e$  same for initial and final  $e$

- Expanding the electron fields  $e$  in plane waves

$$\langle e(s, p_e) | \bar{e} \gamma_\alpha (1 - \gamma_5) e | e(s, p_e) \rangle = \langle e(s, p_e) | \bar{u}_s(p_e) a_s^\dagger(p_e) \gamma_\alpha (1 - \gamma_5) u_s(p_e) | e(s, p_e) \rangle$$

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- Since  $a_s^\dagger(p_e) a_s(p_e) = \mathcal{N}_e^{(s)}(p_e)$  (number operator) and assuming that there are the same number of electrons with spin 1/2 and -1/2

$$\left\langle \langle e(s, p_e) | a_s^\dagger(p_e) a_s(p_e) | e(s, p_e) \rangle \right\rangle \equiv N_e(p_e) \frac{1}{2} \sum_s$$

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- Isotropy  $\Rightarrow \int d^3 p_e \vec{p}_e f(E_e, T) = 0$
- Also  $\int d^3 p_e f(E_e, T) N_e(p_e) = N_e$  electron number density

- The effective charged current Hamiltonian due to electrons in matter is then:

$$H_C^{(e)} = \frac{G_F N_e}{\sqrt{2}} \bar{\nu}_e(x) \gamma_0 (1 - \gamma_5) \nu_e(x) = \sqrt{2} G_F N_e \bar{\nu}_{eL}(x) \gamma_0 \nu_{eL}(x)$$

which contributes a potential term to the Dirac Eq of the neutrinos

$$V_C = \sqrt{2} G_F N_e$$