

# Sherpa: new developments

[LoopFestVII]

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Jan Winter <sup>a</sup>

– Fermilab –



- *Monte Carlo event generator Sherpa*
- *New major release – Sherpa 1.1*
- *Sherpa projects – work in progress*



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<sup>a</sup> Sherpa authors: T. Gleisberg, S. Höche, F. Krauss, M. Schönherr, F. Siegert, S. Schumann, J. Winter

<http://www.sherpa-mc.de/>

# Physics at hadron colliders ...

... is largely influenced by *JET* physics.

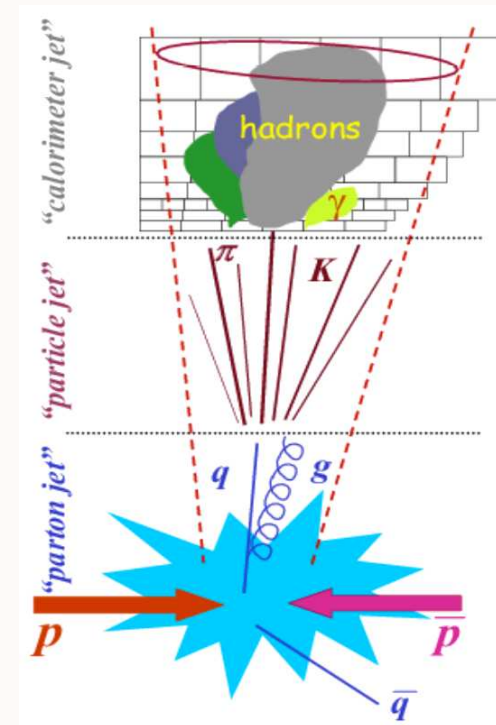
- Hadronic initial states: available phase space used to emit (additional) strong particles → *Multijets*
- Can lead to modifications of the signals.
- Can lead to additional and/or enhanced backgrounds.
- Can change signal-to-background ratios.
- $V$ ,  $VV$ ,  $Q\bar{Q}$ , single  $t$  production, VBF and  $g-g$  fusion, Higgs, SUSY particle production and decay chains ...  
... cannot be considered without jets.

*Need for tools that model...!!!*

*Jet production → Jet evolution → Hadronization*

*Sherpa project:*

- ➔ *provide a full-fledged, independent Monte Carlo event generator for collider physics – Sherpa 1.1 ✓*
- ➔ *with focus on an improved modelling of QCD multijet final states*
- ➔ *provide it as new (C++) code, which is modular, transparent, upgradeable and maintainable*



# Monte Carlo event generator Sherpa

[Gleisberg, Höche, Krauss, Schällicke, Schumann, Winter, JHEP 0402 (2004) 05]

➔ Factorization approach: divide jet simulation into different phases

➔ Current version: SHERPA 1.1.1 (released May/08).

● **Hard interactions: AMEGIC**

tree-level ME generator (SM, MSSM, ADD, ...)

● **QCD bremsstrahlung: IS + FS showers: APACIC**

virtuality ordered, Pythia-like showers

● **ME-PS merging according to CKKW**

● **Multiple parton interactions: AMISIC**

underlying event model à la Sjöstrand–Zijl (PRD36:2019,1987)

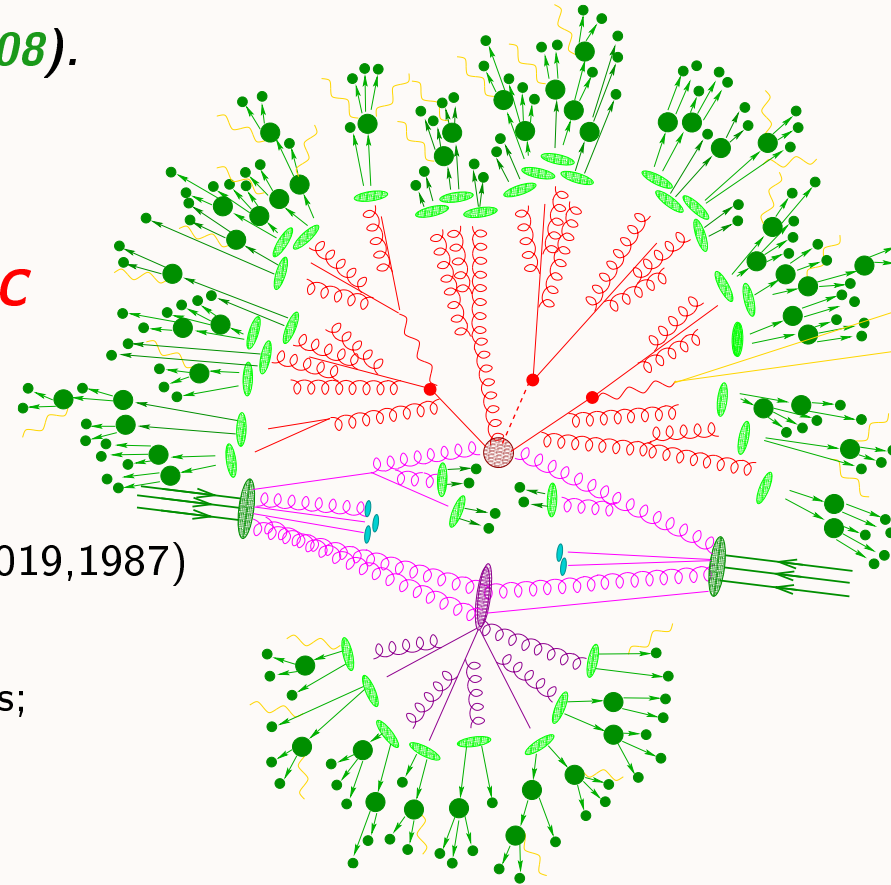
● **Hadronization: AHADIC**

cluster model converting partons into primary hadrons;  
interface to Pythia's string model still available

● **Hadron decays: HADRONS + PHOTONS**

phase-space or effective models plus YFS treatment;  
interface to Pythia's hadron decays still available

➔ predictions at the hadron-level – comparable to experimental data corrected for detector effects

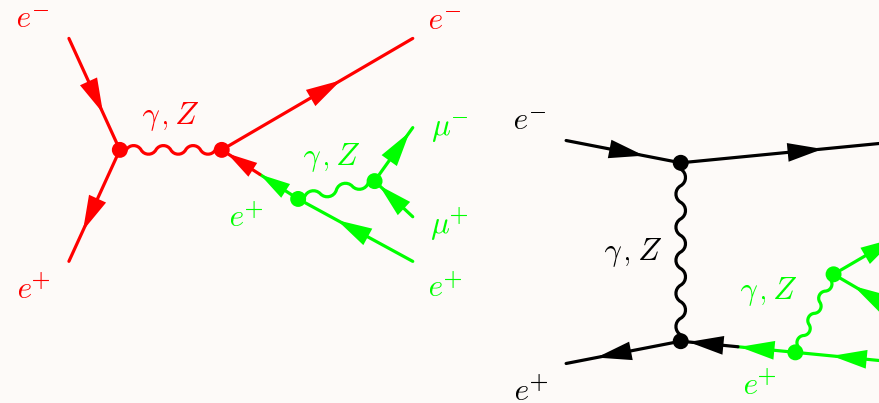


# AMEGIC

➔ **Key feature: *SHERPA* has its own, built-in tree-level ME generator.**

[Krauss, Kuhn, Soff, *JHEP* **0202** (2002) 04

- helicity-amplitude formalism
- factor out common parts
- multichanneling (singularities known!)
- VEGAS optimization of single channels
- well validated tool **...!!!!** SM, MSSM, ADD



➔ **Fully automated & efficient calculation of (polarized) cross sections.**

● Our new-physics tool is AMEGIC:

- SM+ggH+AGC+4th family; THDM; MSSM (fully general); ADD model of extra dimensions; additional scalar singlet.
- Expandable: dynamic add-on model libs, *FeynRules reader* (generic interface soon)

➔ **CSW recursion – MHV techniques in AMEGIC.**

- twistor-inspired methods (CSW vertex rules) help speed up the calculation of pure QCD M for higher multiplicities [Cachazo, Svrcek, Witten, *JHEP* **0409** (2004) 006]
  - feasible  $pp \rightarrow 5j$ ;  $2g \rightarrow 4g$  time for  $10^5$  points reduced by factor of 100
  - LHC,  $Q_{\text{cut}} = 20\text{GeV}$ ,  $pp \rightarrow 4j$ ,  $23.245\mu\text{b} \pm 0.27\%$ , MHV-enabled **7.6ks** vs no-MHV **35k**

# ME+PS merging ... à la CKKW

[Catani, Krauss, Kuhn, Webber, JHEP **0111** (2001) 063

[Krauss, JHEP **0208** (2002) 015

- combine parton-shower pros (soft emissions) +  
ME pros (hard emissions, quantum interferences, correlations)
- avoid double counting and missing phase space regions

# ME+PS merging ... à la CKKW

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[Krauss, JHEP 0208 (2002) 015

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*Divide multijet phase space into two regimes by  $k_T$  jet measure at  $Q_{jet}$ .*

- tree-level MEs: jet seed (hard parton) production above  $Q_{jet}$
- parton showers: (intra-)jet evolution  $Q_{jet} > Q > Q_{cut-off}$
- MEs regularized by  $Q_{ij} = 2 \min\{E_i, E_j\}(1 - \cos \theta_{ij}) > Q_{jet}$

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*Eliminate/sizeably reduce  $Q_{jet}$  dependence.*

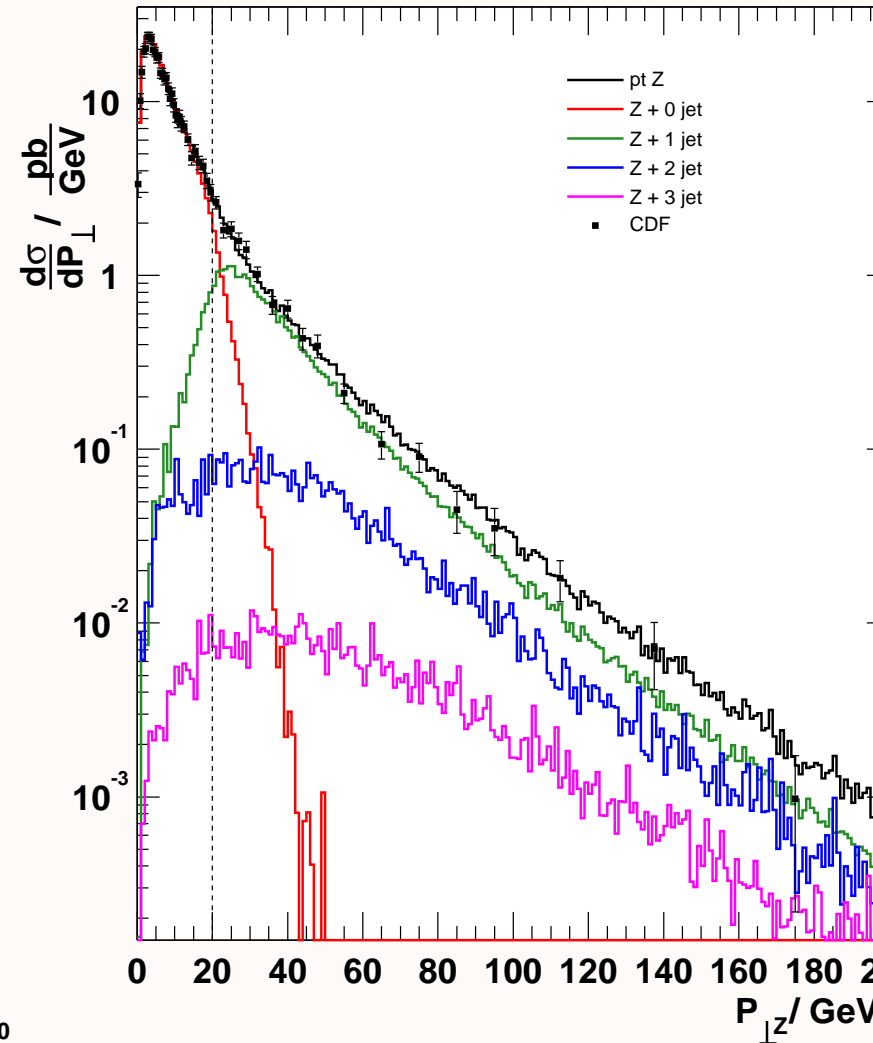
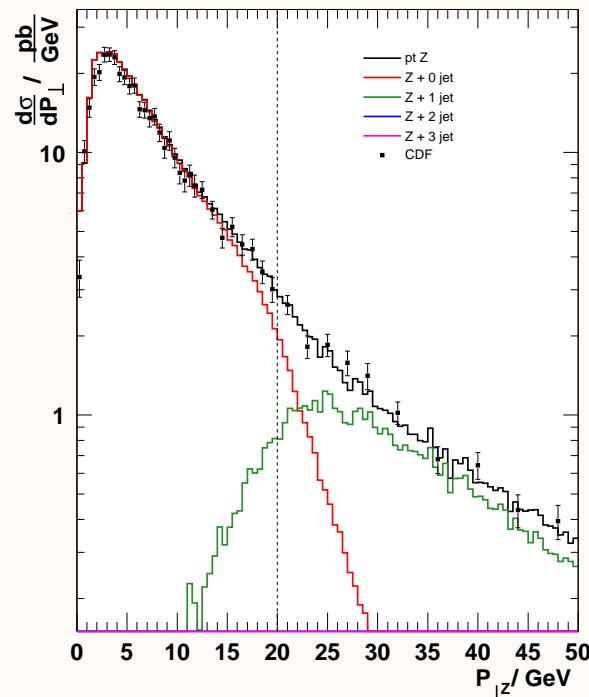
- identify a possible shower history of MEs via backward clustering
- accordingly reweight MEs by combined  $\alpha_s$  and Sudakov weight
- add showers to ME partons and veto emissions above  $Q_{jet}$

# CKKW – key feature of Sherpa

- ➔ *Method has been implemented within Sherpa in full generality.*
- ➔ *Uses built-in ME generator AMEGIC.*
- ➔ *Process-independent implementation.*
- ➔ **Validation and applications**

*W/Z/WW + jets, QCD jets, Zbb + X, VBF, tops, gg → H + X, b-associated Higgs*

constant K-factor and  
intrinsic  $k_T$ -smearing  
of order 0.8 GeV

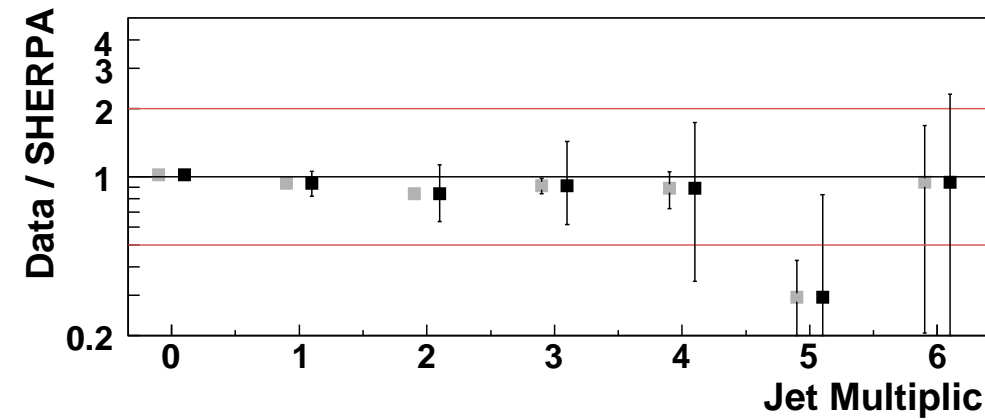
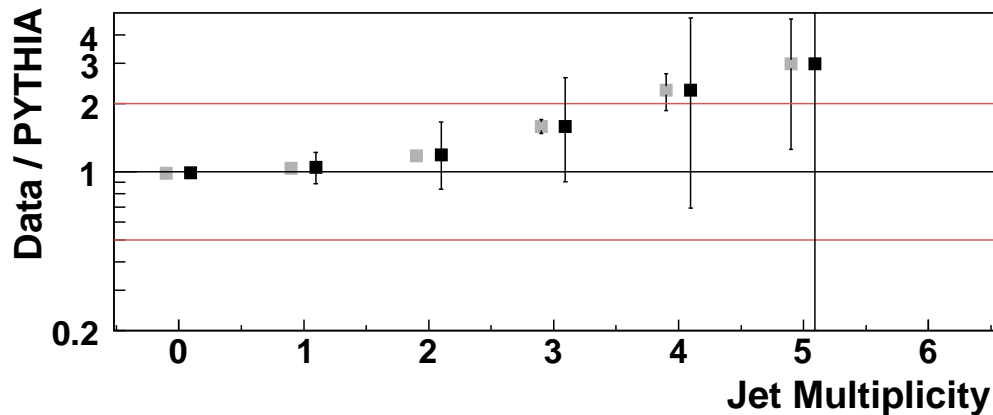
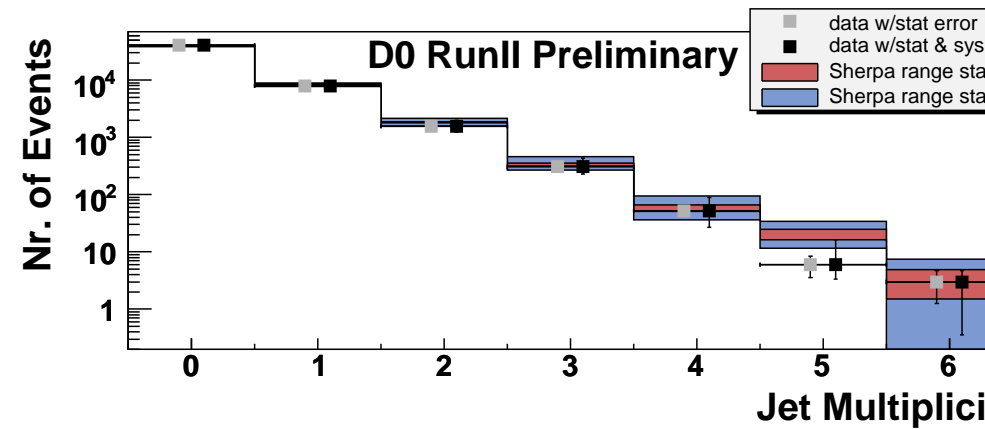
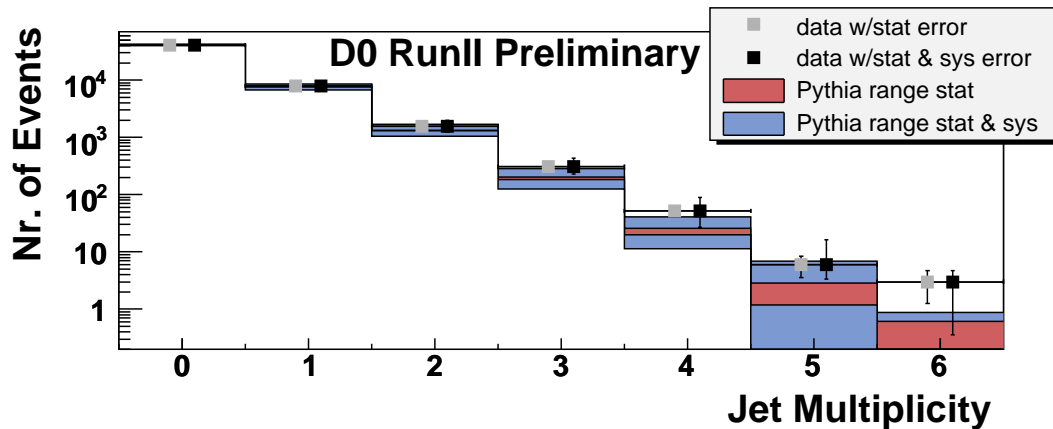




# Comparison with $D\emptyset$ data: Z+jet production

$D\emptyset$  collaboration,  $D\emptyset$  note 5066-CONF

→ Jet multiplicity, data vs. **Pythia** (left) and **Sherpa** (right).



- MC predictions are normalized to total number of events observed in data.
- Large systematic uncertainties arise from low  $p_T$  jets  $\Rightarrow$  both predictions are in agreement with data. Pythia tends to underestimate the data.

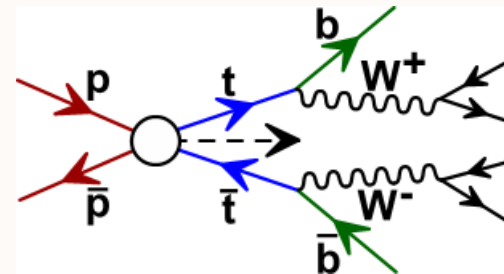
# News on CKKW: heavy-quark production + decays

➔ *Narrow width approximation* ➔

*full ME factorizes into production & decay parts*

- AMEGIC ... use its decay-chain mode projection onto relevant Feynman diagrams, Breit-Wigner intermediate particle masses
- APACIC ... enable production + decay showers based on massive splittings  
 e.g.  $e^+e^- \rightarrow t\bar{t}$  FS shower for tops  
 e.g.  $t \rightarrow W^+b$  IS shower for top, FS shower for bottom
- CKKW ... separate and independent merging of multiparton MEs & showers in production and any decay
- CKKW ... reweight and veto by respecting the factorization
- Schematically, e.g.:  $p\bar{p} \rightarrow t [\rightarrow W^+bg\{1\}] \bar{t} [\rightarrow W^-\bar{b}g\{1\}] g\{1\}$

$$\begin{aligned}
 & p\bar{p} \rightarrow t [\rightarrow W^+b] \bar{t} [\rightarrow W^-\bar{b}] \\
 & p\bar{p} \rightarrow t [\rightarrow W^+b] \bar{t} [\rightarrow W^-\bar{b}] g \\
 & p\bar{p} \rightarrow t [\rightarrow W^+b] \bar{t} [\rightarrow W^-\bar{b}] g \\
 & p\bar{p} \rightarrow t [\rightarrow W^+b] \bar{t} [\rightarrow W^-\bar{b}] g g \\
 & p\bar{p} \rightarrow t [\rightarrow W^+b g] \bar{t} [\rightarrow W^-\bar{b} g] g \\
 & \dots
 \end{aligned}$$



⇒ “CKKW 1-1-1”

# News on CKKW: top pair production & decays

➔ *Some preliminary LHC results ...*

$p_T$  of  $t\bar{t}$ -system

CKKW 1-1-1

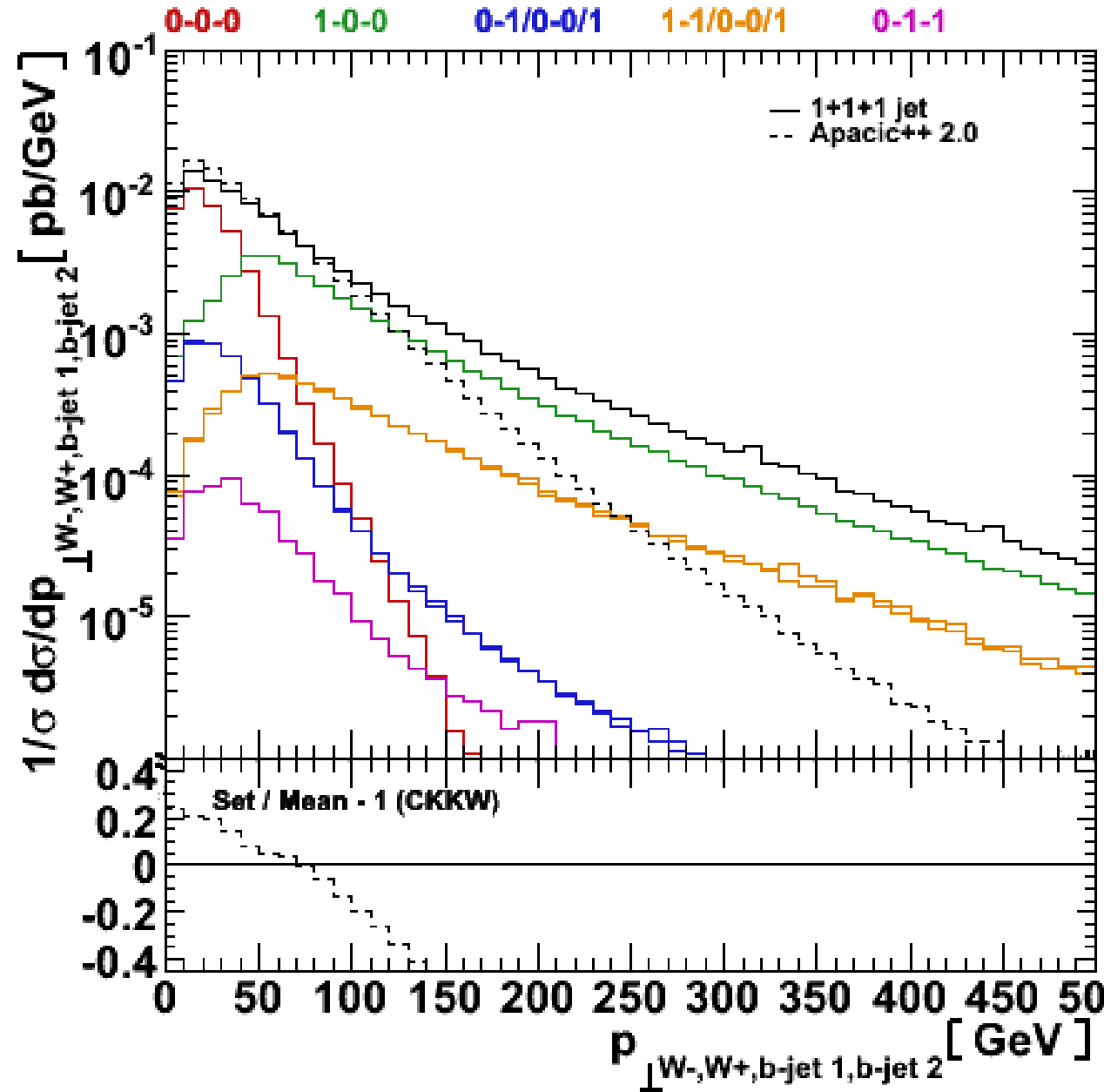
*compared to answer given by showering only.*

## ● *Signal studies*

*Experimentalists would like to better understand the impact of additional jets (ISR/FSR) and get an estimate on the uncertainty of available MC predictions.*

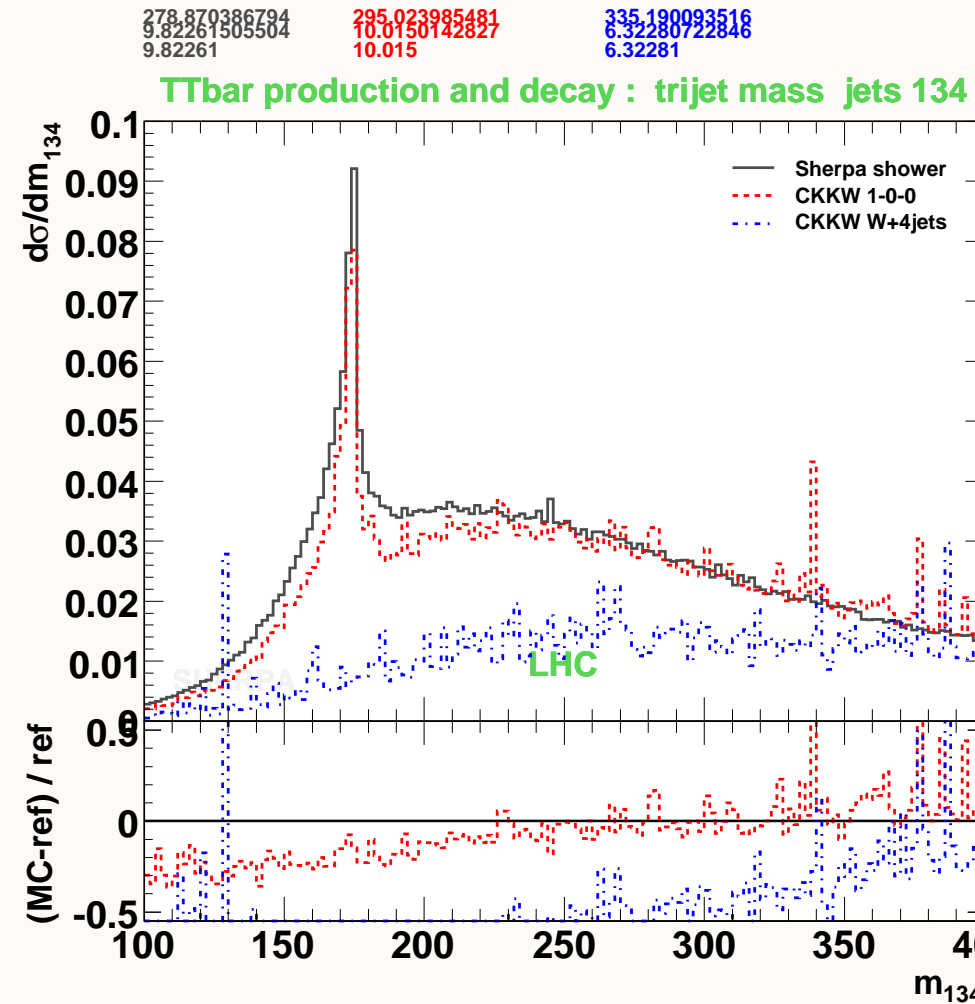
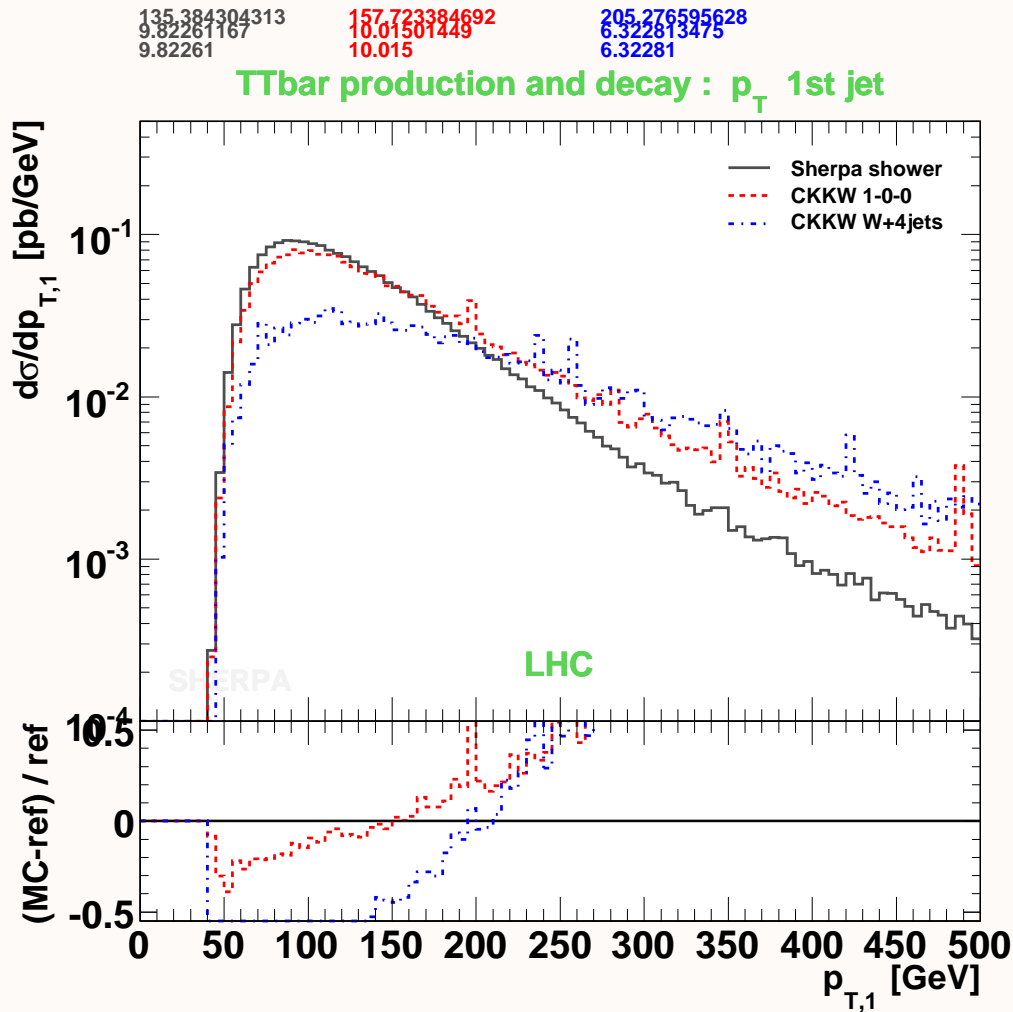
## ● *$t\bar{t}$ +jets as background*

*Accurate treatment required to specify new-physics searches.*



# News on CKKW: top pair production & decays

➔ Sherpa:  $pp \rightarrow t\bar{t} \rightarrow e^+ \nu_e jjjj$ :  $p_T$  of 1st jet & trijet mass combination 134.



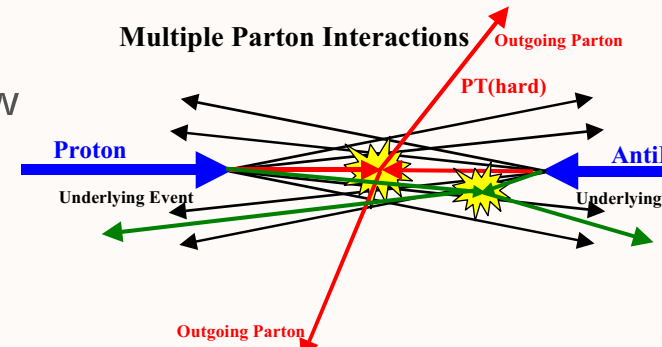
➔ require at least 4 jets ( $p_T > 40\text{GeV}$ ,  $|\eta| < 2.5$ ,  $D = 0.4$ ); lepton cuts ( $p_T > 20\text{GeV}$ ,  $|\eta| < 2.5$ ); missing energy ( $\cancel{E}_T > 20\text{GeV}$ ) ➔ PRELIMINARY RESULTS !!

# Multiple interactions (Model for UE)

[Sjöstrand, Zijl, Phys. Rev. D36 (1987) 2019]

## Observed in had. collisions:

enhanced (ch) particle multiplicities and transverse-energy flow scale with hardness of interaction



## Xsec of two-to-two QCD vs. total xsec $\sigma_{\text{ND}}$ :

supports  $\langle N \rangle = \sigma_{2 \rightarrow 2}(p_{\perp 0}) / \sigma_{\text{ND}} \dots$  additional incoherent parton-parton interactions  
 non-PT and confinement ... regularize  $2 \rightarrow 2$  QCD processes

$$\frac{d\sigma_{2 \rightarrow 2}}{dp_{\perp}^2} \propto \frac{\alpha_s(p_{\perp}^2)}{p_{\perp}^4} \rightarrow \frac{\alpha_s(p_{\perp}^2 + p_{\perp 0}^2)}{(p_{\perp}^2 + p_{\perp 0}^2)^2}$$

$p_{\perp 0}$  tuning parameter

**Ordering in  $p_{\perp}$ :** “Sudakov trick”  $d\mathcal{P}/dp_{\perp i} = d\sigma/(\sigma_{\text{ND}} dp_{\perp}) \Delta(p_{\perp i}, p_{\perp})$

**Colliding extended objects:** impact parameter model (peripheral collisions)

simple/double Gaussian matter distribution

**Energy extrapolation:**  $p_{\perp 0}(E_{\text{cms}}) = p_{\perp 0}(E_{\text{ref}}) \times (E_{\text{cms}}/E_{\text{ref}})^{0.16}$  (Landshoff-like)

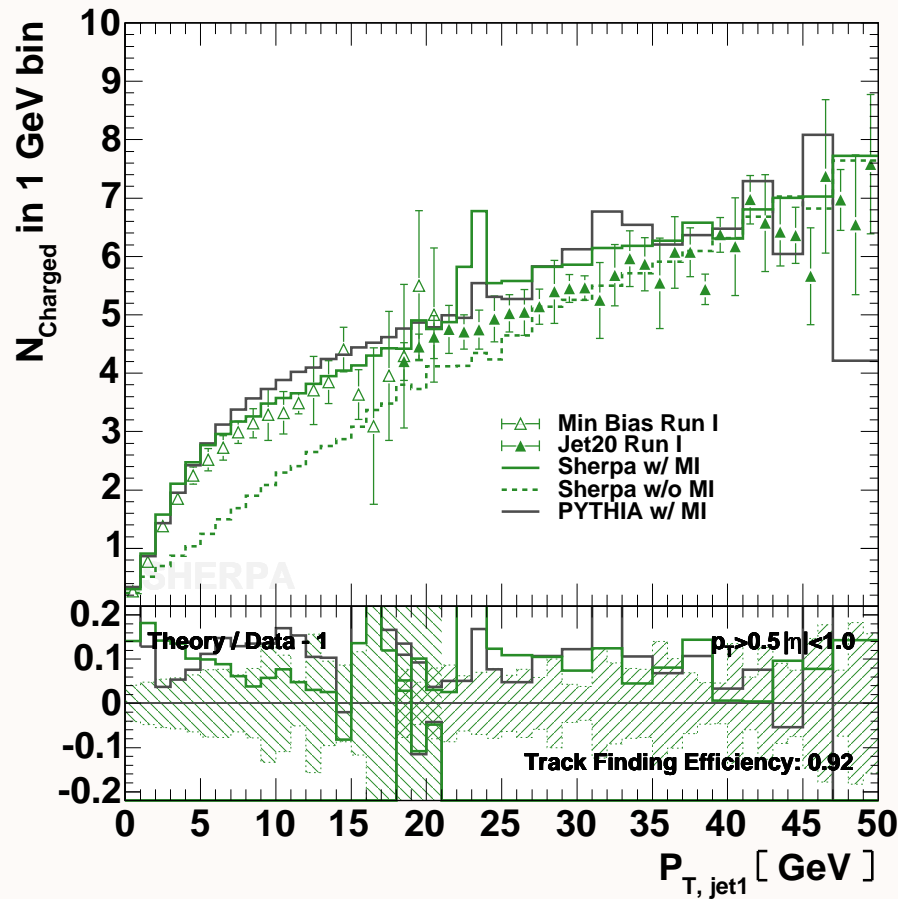
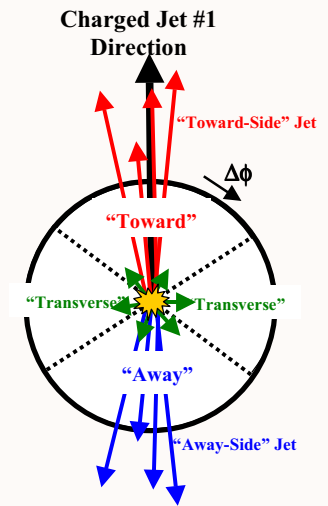
**Simulation in Sherpa  $\rightarrow$  AMISIC:** based on this model + [Höche]

- showers attached to secondary interactions: moderate  $\langle N \rangle \approx 2.1$ ;  $p_{\perp 0} \approx 2.4 \text{ GeV}$
- CKKW:  $k_T$ -algo to define core process, parton  $p_T$  sets MI scale & veto on shower emission

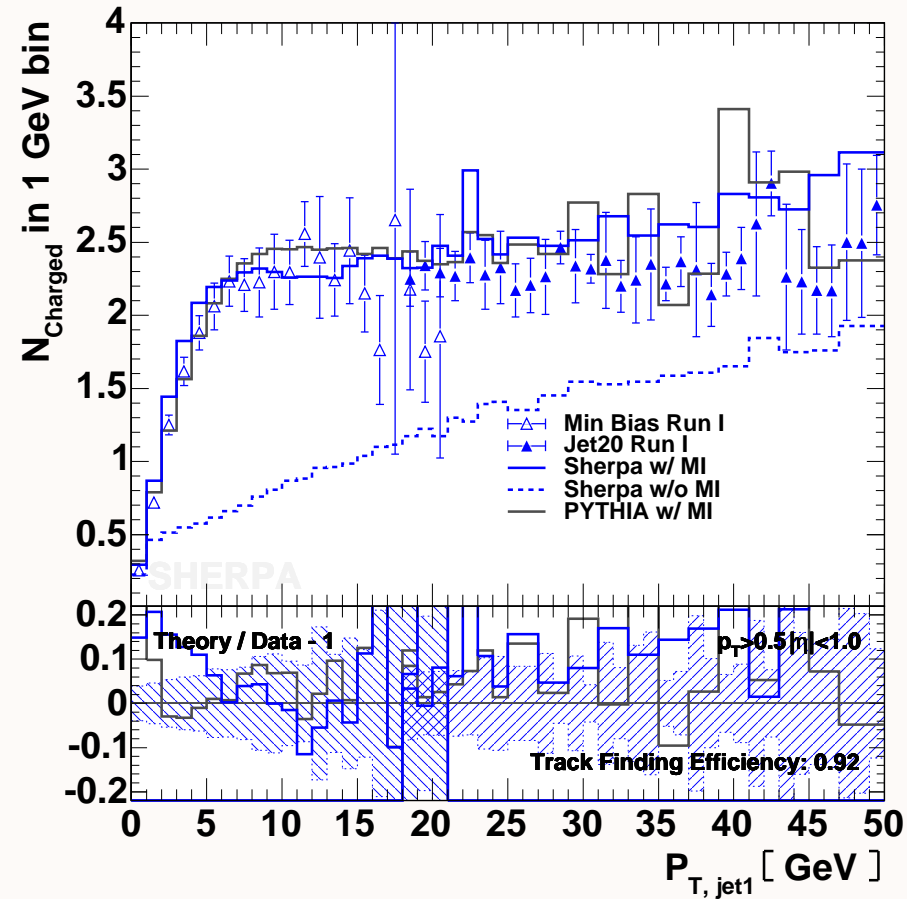
# AMISIC

- ➔ CDF analysis by R. Field to investigate structure of UE.
- ➔ Charged multiplicity vs.  $P_T$  of leading ch. jet in  $\Delta\Phi$  regions w.r.t. the leading ch. jet

[[hep-ph/0601012](https://arxiv.org/abs/hep-ph/0601012)]



Away

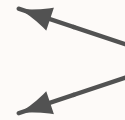


Transverse

# AHADIC: cluster-hadronization model for Sherpa

*modelling the non-perturbative dynamics primary-hadron generation*

- Cluster-formation model
- Cluster-decay model

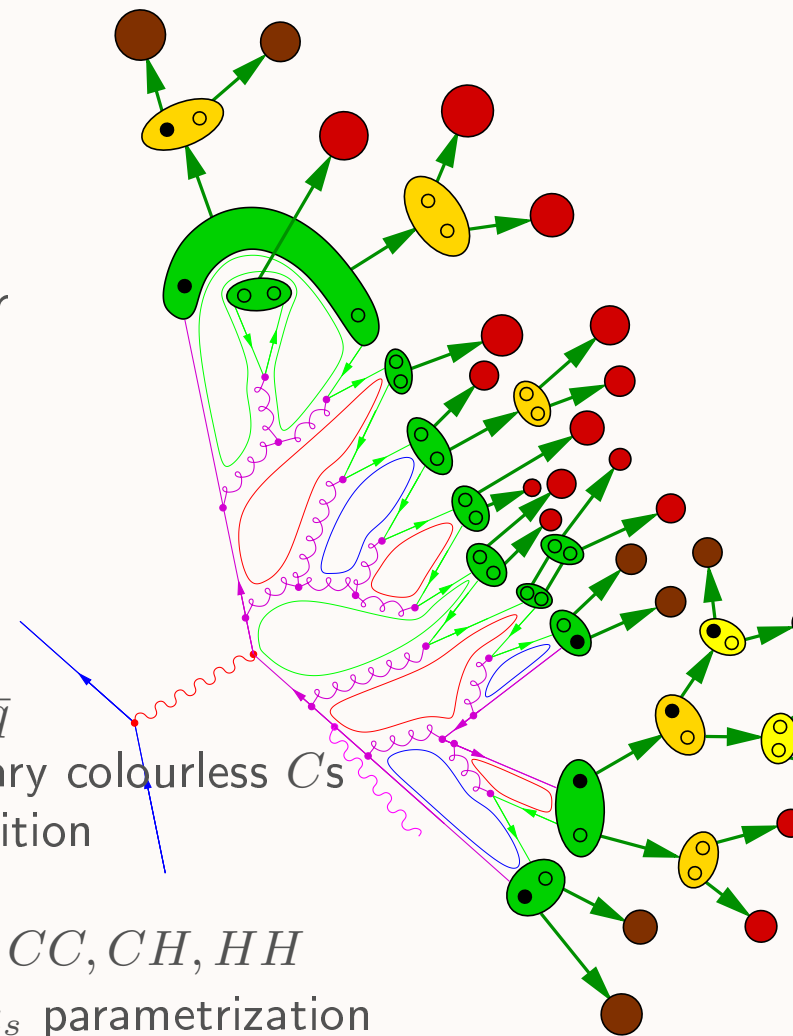


Leading particle effects  
Light-flavour pair production

- *LPHD* and *preconfinement*
- *Locality* and *universality*  $\Rightarrow$  modular structure
- Phenomenology: plateau in  $N_{\text{had}}$  vs rapidity, steep fall-off in  $N_{\text{had}}$  vs  $p_{\perp}$
- Hadronization effects are soft: low momentum transfer

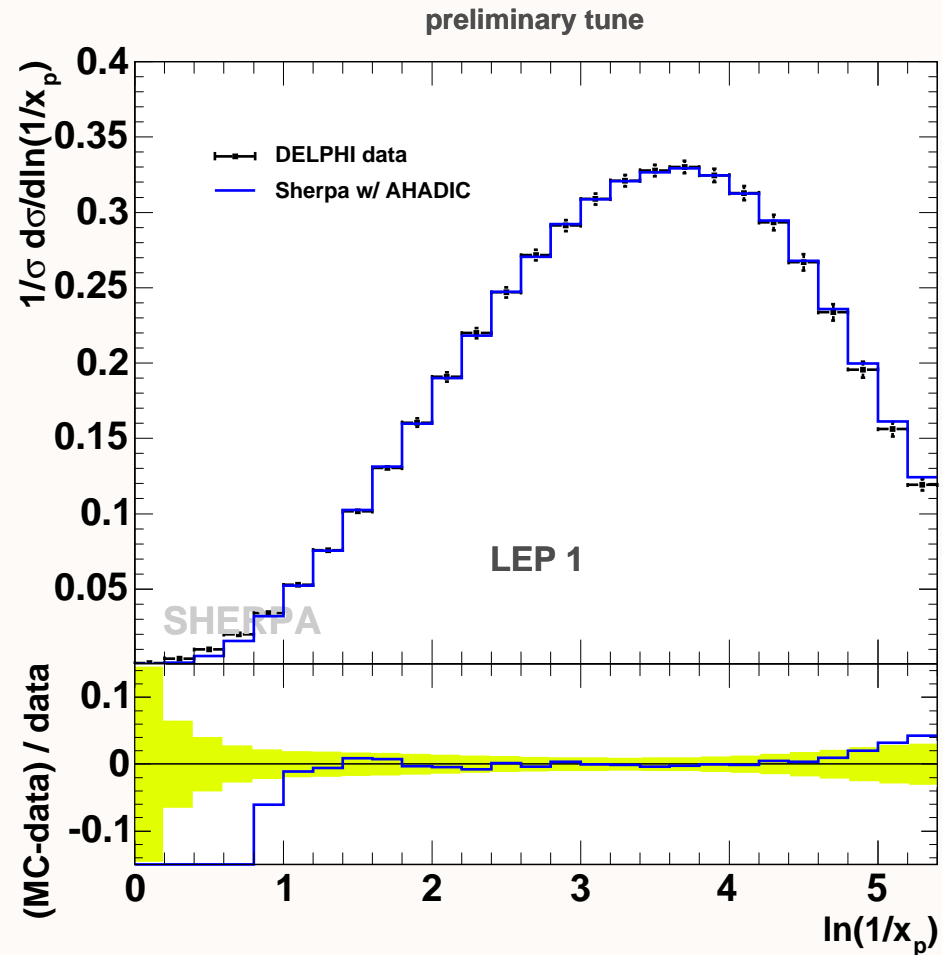
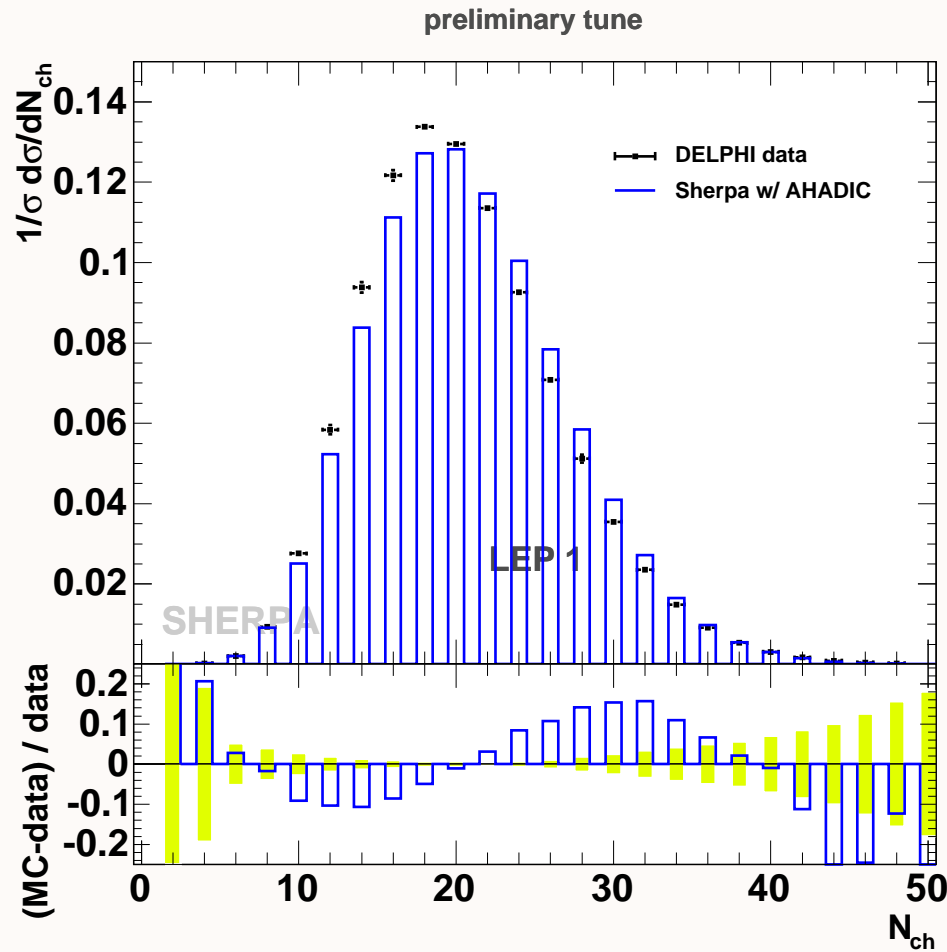
## AHADIC:

- based upon Field–Wolfram and Webber model
- modified cluster model, tested for light-quark sector [Winter, Krauss, Soff, 2004]
- constituent masses only for quarks, gluons split into  $q\bar{q}$
- shower colour ordering: adjacent parton pairs  $\rightarrow$  primary colourless  $C$ s
- cluster mass in hadron regime: cluster-to-hadron transition according to overlap with hadron wave-functions
- else: cluster splits and daughters are categorized  $C \rightarrow CC, CH, HH$
- all splittings dipole-like  $\propto \alpha_s(p_{\perp})/p_{\perp}^2$  with low-scale  $\alpha_s$  parametrization



# First AHADIC results

➔ Testbed: hadron production in electron-positron annihilations @ Z pole



- Example distributions: multiplicity (left panel) and scaled momentum of charged particles.
- Model parameters: so far just tuned by hand.
- Data: Abreu et al. Z. Phys. **C73** (1996) 11.



# HADRONS: hadron decays for Sherpa

➔ *SHERPA's package to accomplish hadron and  $\tau$  decays.*

- Branching ratios (e.g. from PDG) as input for decay tables.
- Decay kinematics à la  $d\Gamma(P \rightarrow p_1 \dots p_n) = \frac{1}{2M} \cdot |\mathcal{M}(P, p_1 \dots p_n)|^2 \cdot d\text{LiPS}$
- Different form factor models for many decay channels (see plot).
- $\tau$  decay lib ... uses elaborated models (KS,  $R_{\chi\text{PT}}$ ).
- Hadron decay lib ... heavily extended during last 2 years:  $B$ ,  $D$ , light mesons.
- 2-body decays according to spin.

Other features:

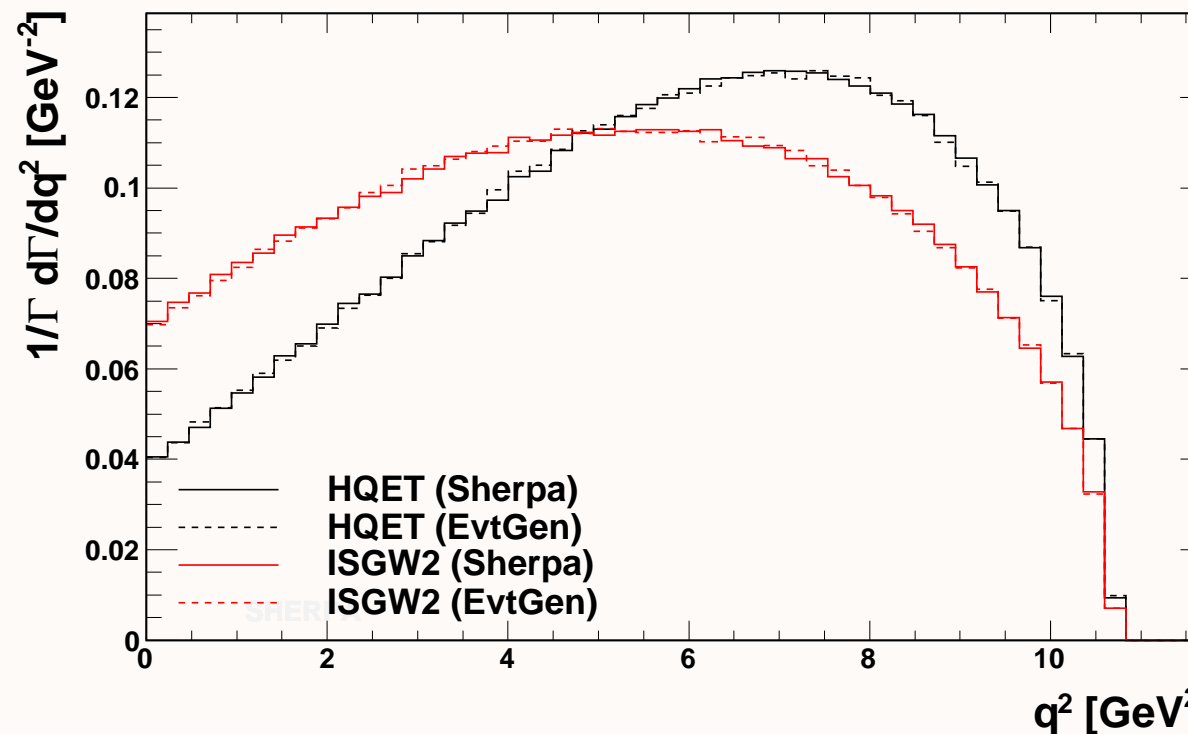
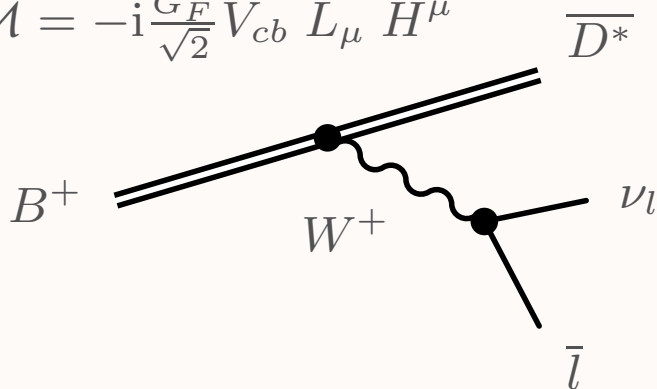
spin correlations,

neutral meson mixing ( $B\bar{B}$ ),

CP violation, even rare decays.

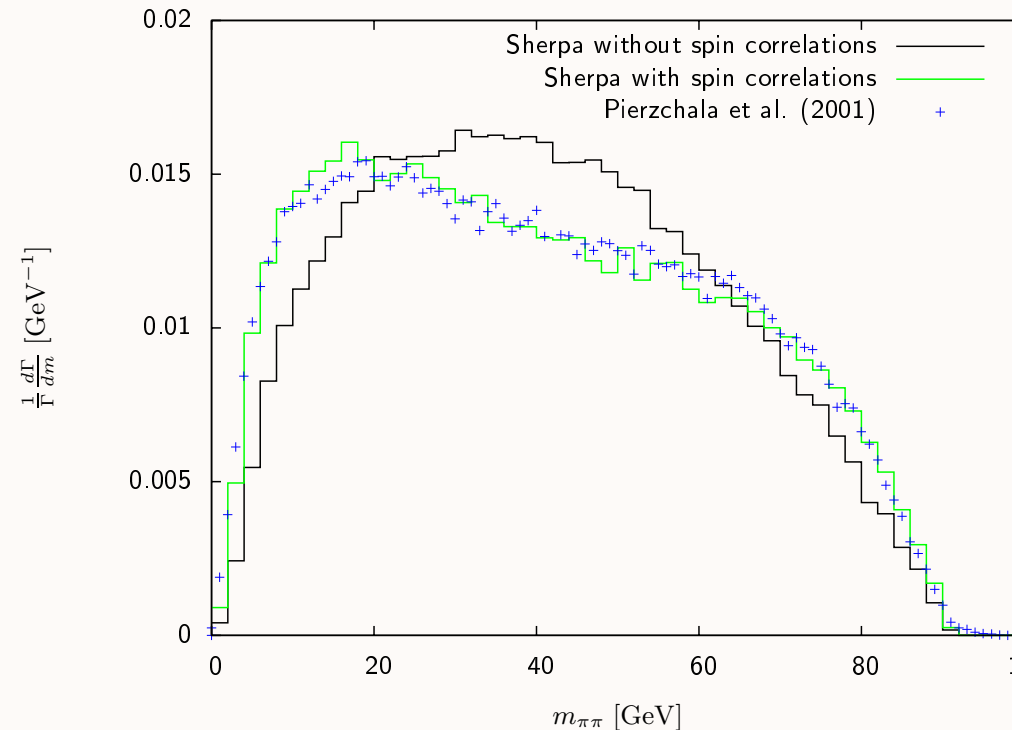
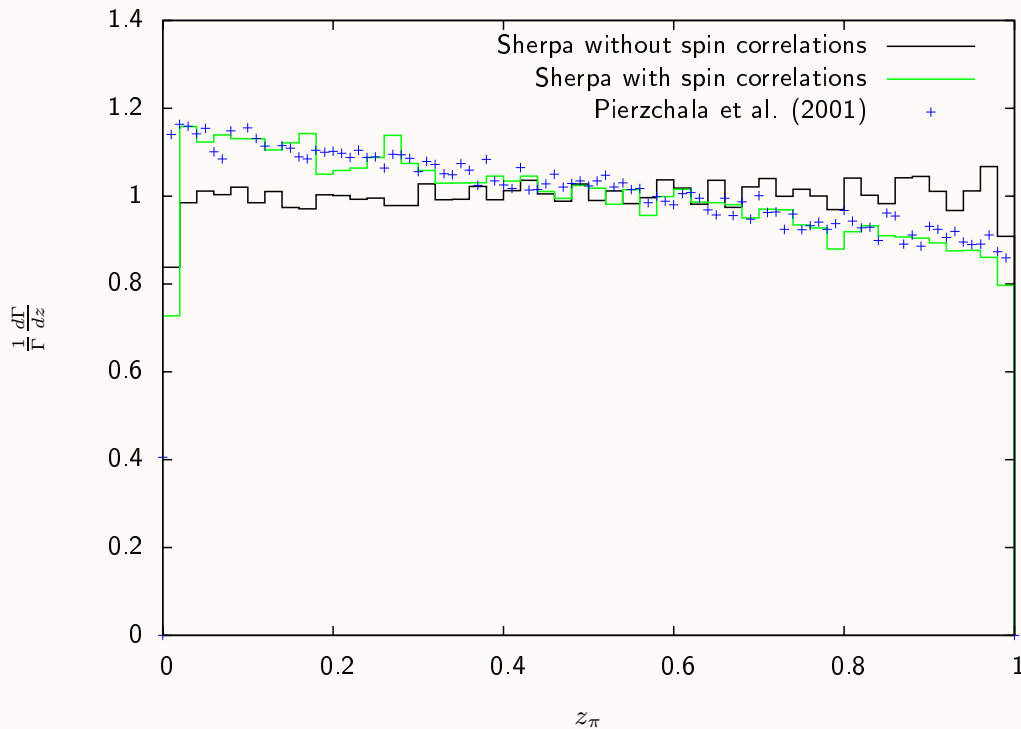
➔ Example:  $B^+ \rightarrow \bar{D}^*(2007)^0 \nu_e e^+$

$$\mathcal{M} = -i \frac{G_F}{\sqrt{2}} V_{cb} L_\mu H^\mu$$



# HADRONS results: comparison with Tauola

→ Spin correlations in  $Z \rightarrow \tau^- \tau^+ \rightarrow \pi^- \nu_\tau \pi^+ \bar{\nu}_\tau$



- Left: pion energy fraction in  $Z$  rest frame.
- Right: Invariant mass of outgoing pion pair.
- Tauola: Pierzchala et al. hep-ph/0101311.

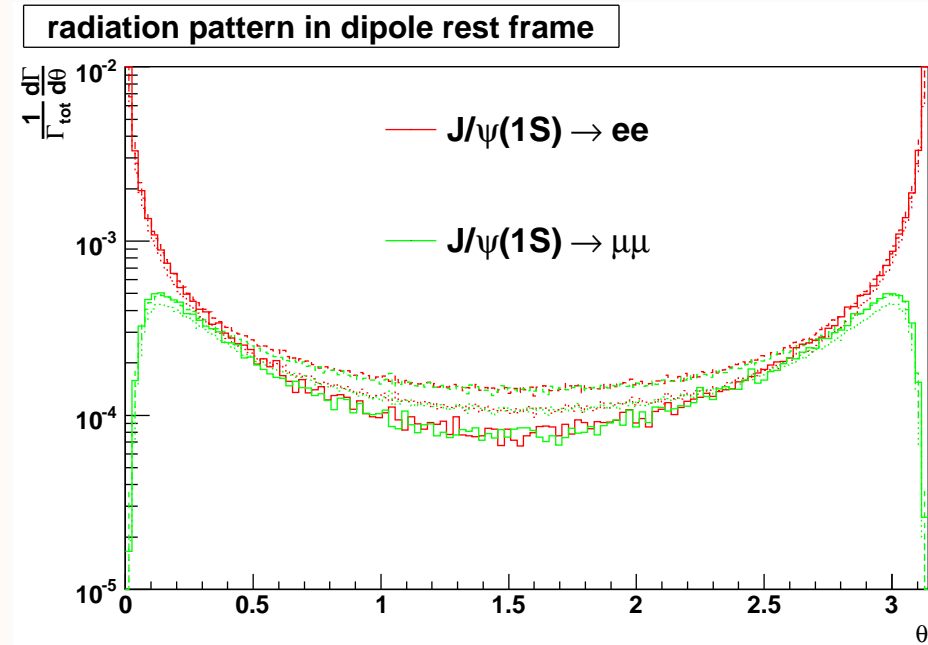
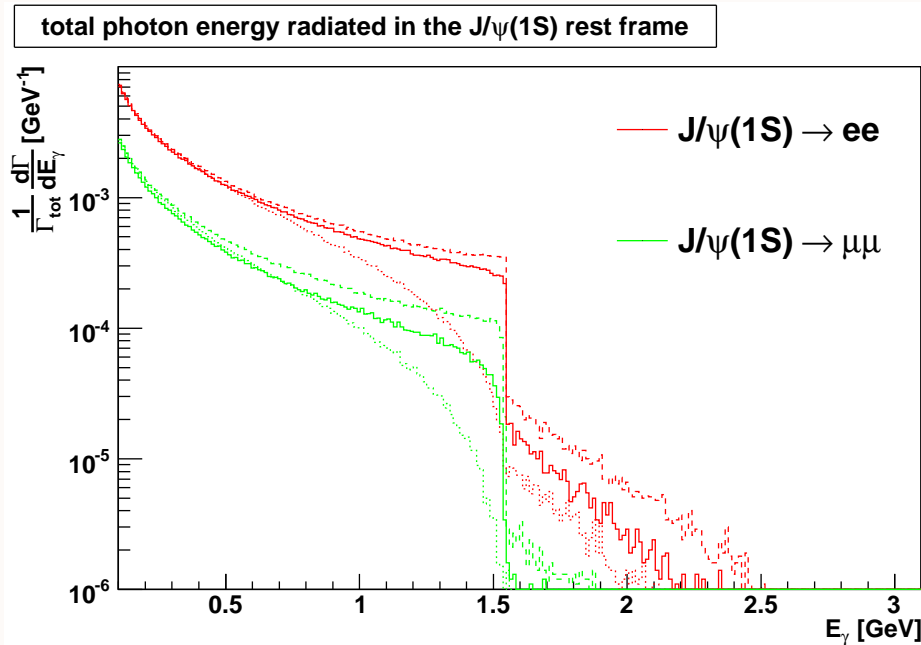
[Laubrich, Siegert, Krauss]

# PHOTONS

→  $U(1)_{em}$  *charged particles radiate off photons.*

● account for higher-order QED effects in hadron &  $\tau$  decays: YFS approach.

- exact for soft-photon radiation (real and virtual) ( $k \rightarrow 0$ )
- perturbative series for hard-emission effects
- hard emissions at  $\mathcal{O}(\alpha)$  by approx matrix elements (quasi-coll limit)  
⇒ some cases by exact  $\mathcal{O}(\alpha)$  real/virtual matrix elements



- Dotted: soft photons only.
- Dashed: coll approx ME. Solid: exact ME.

[Schönherr, Krauss]

# *Sherpa projects:*

*new developments ...*

*and work in progress.*

- *COMIX – tree-level SM ME generator based on colour-dressed Berends–Giele recursion relations.*
- *Automated Catani–Seymour dipole subtraction [\[Gleisberg,Krauss,2007\]](#) : see talk by Tanju Gleisberg.*
- *Parton shower based on Catani–Seymour dipole factorization.*
- *Colour-dipole shower for hadronic collisions.*
- *Multiple-interactions model based on  $k_T$ -factorization (BFKL evolution) see [\[Höche,Krauss,Teubner,2007\]](#)*

# COMIX

- new tree-level ME generator based on recursive methods
- employs colour-dressed Berends–Giele recursion relations  
*[Duhr,Höche,Maltoni,2006]*
- general implementation of SM interactions
- Key point: vertex decomposition of all four-particle vertices  
(Growth in computational complexity for CDBG solely determined by number of external legs at vertices)
- Phase-space generation: can be accomplished recursively on the same footing as ME calculation
- publication in preparation by [Höche & Gleisberg](#)



# COMIX: PERFORMANCE



T. Gleisberg, SH: in preparation

## ● Performance in QCD benchmarks

**World record !**

gg → ng	Cross section [pb]				
	8	9	10	11	12
n					
$\sqrt{s}$ [GeV]	1500	2000	2500	3500	5000
Comix	0.755(3)	0.305(2)	0.101(7)	0.057(5)	0.019(2)
Phys. Rev. D67(2003)014026	0.70(4)	0.30(2)	0.097(6)		
Nucl. Phys. B539(1999)215	0.719(19)				

## ● “Real life” example: b-pair + jets comparison with other ME generators

$\sigma$ [ $\mu\text{b}$ ]	Number of jets						
	0	1	2	3	4	5	6
$b\bar{b}$ + QCD jets							
Comix	470.8(5)	8.83(2)	1.826(8)	0.459(2)	0.151(2)	0.0544(6)	0.023(2)
ALPGEN	470.6(6)	8.83(1)	1.822(9)	0.459(2)	0.150(2)	0.053(1)	0.0215(8)
AMEGIC++	470.3(4)	8.84(2)	1.817(6)				

Setup: <http://mlm.home.cern.ch/mlm/mcwshop03/mcwshop.html>



# COMIX: PERFORMANCE

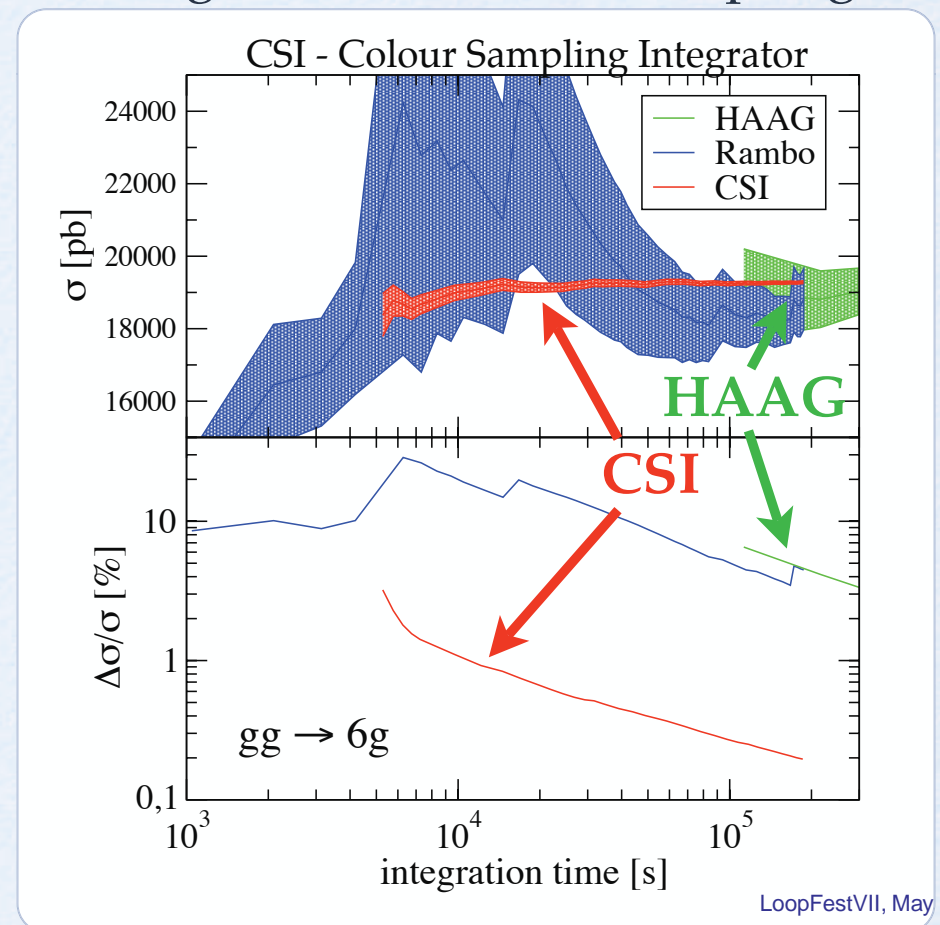


T. Gleisberg, SH: in preparation

- Efficiencies: LHC @ 14 TeV  
Cuts:  $66 \text{ GeV} \leq m_{\text{II}} \leq 116 \text{ GeV}$ ,  
CDF Run II  $K_T$ -algo @ 20GeV

- Also new: HAAG-based QCD integrator for colour sampling

Process	Efficiency
Z+0 jet	8.50%
Z+1 jet	1.05%
Z+2 jets	0.60%
Z+3 jets	0.15%
Process	Efficiency
W+0 jet	19.13%
W+1 jet	1.50%
W+2 jets	0.48%
W+3 jets	0.16%



# PS based on Catani–Seymour dipole factorization

**Catani–Seymour dipole subtraction** CATANI,SEYMOUR,1997; CATANI ET AL.,2002

- universal framework for jet cross sections @ NLO
- factorization formulae for real emission process (phase space & matrix element)
- construct subtraction terms from Born process using universal dipole terms
- yields local approximation for the real-emission process, correct in soft & coll limits

**Basic ideas for a new parton shower** [Nagy,Soper,2006; Dinsdale et al.,2007; Schumann,Krauss,2007]

- dipole terms can be used to describe splittings
- exponentiation in a Sudakov form factor (large- $N_C$  limit, spin averaging)
- correct soft & collinear limits, local four-momentum conservation
- formalism well worked out for massive emitters → shower will profit

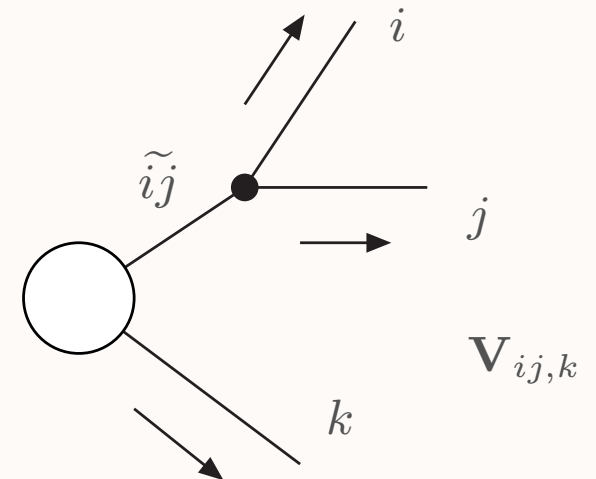
**Example: final-state final-state dipoles**

emitter+spectator:  $\tilde{p}_{ij} + \tilde{p}_k \rightarrow p_i + p_j + p_k$

variables:  $y_{ij,k} = \frac{p_i p_j}{p_i p_j + p_i p_k + p_j p_k}$ ,  $z_i = \frac{p_i p_k}{p_i p_k + p_j p_k}$

splitting function, e.g.  $q_{ij} \rightarrow q_i g_j$  →

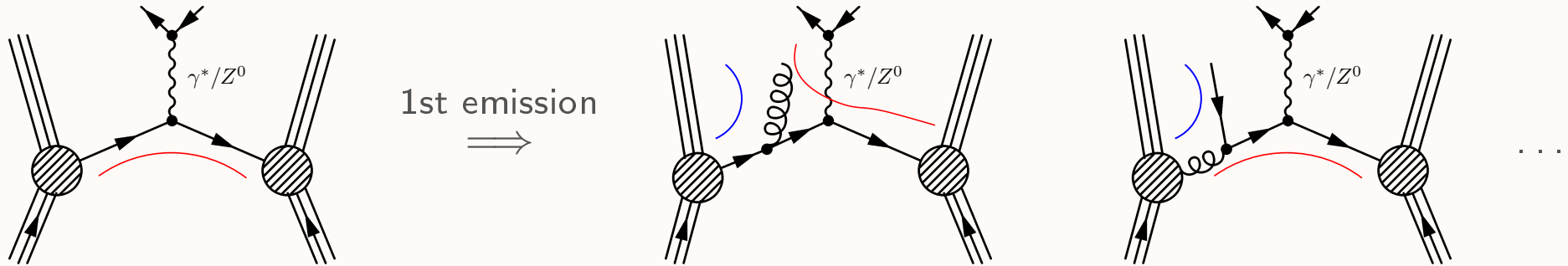
$$\langle V_{q_i g_j, k}(z_i, y_{ij, k}) \rangle = C_F \left\{ \frac{2}{1 - z_i + z_i y_{ij, k}} - (1 + z_i) \right\}$$



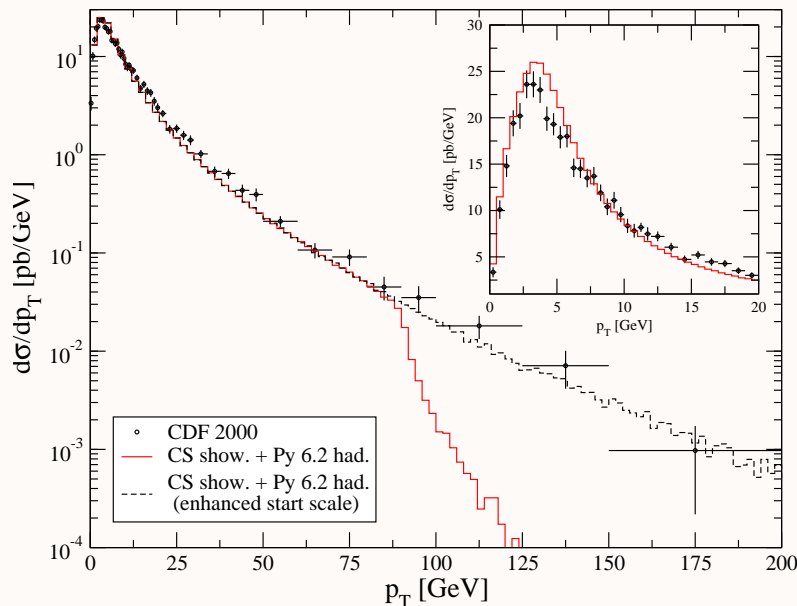


# Drell-Yan production

Consider  $p\bar{p} \rightarrow \gamma^*/Z^0 \rightarrow e^+e^-$



- hard scale fixed by  $M_{e^+e^-}^2 \Rightarrow \mathbf{k}_{\perp, \max}^2$
- transverse momentum of lepton-pair determined by QCD emissions

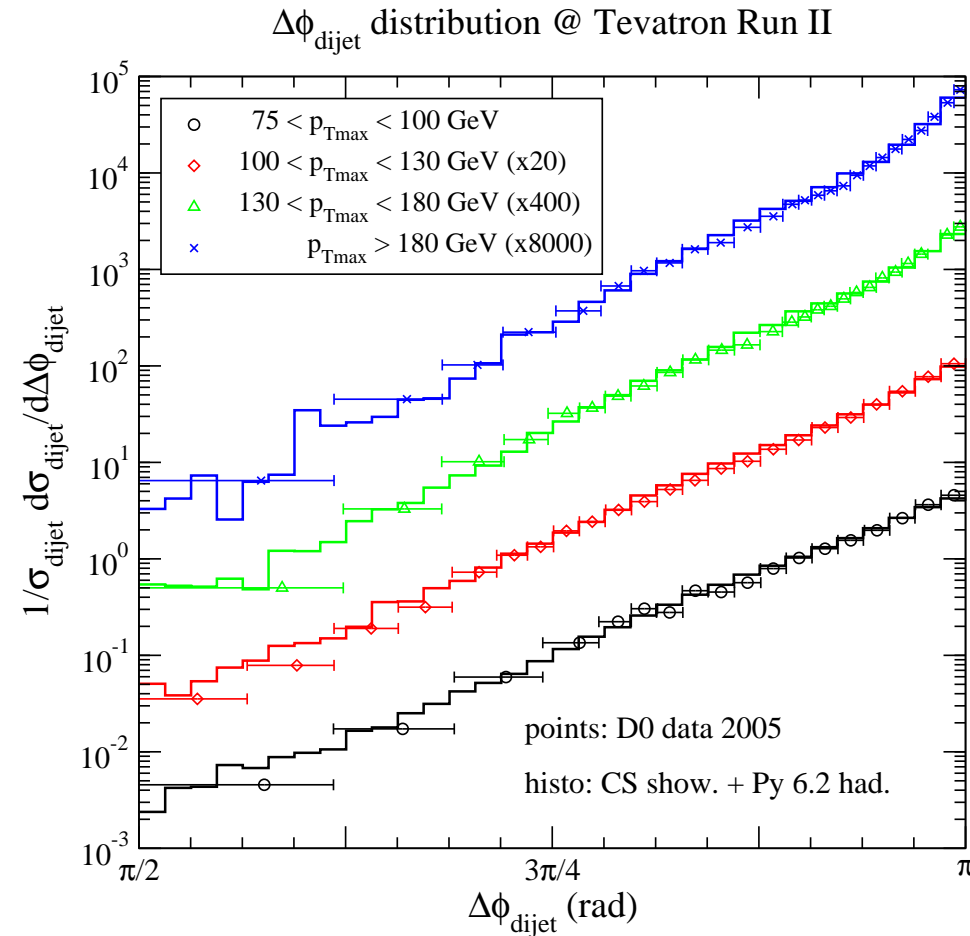
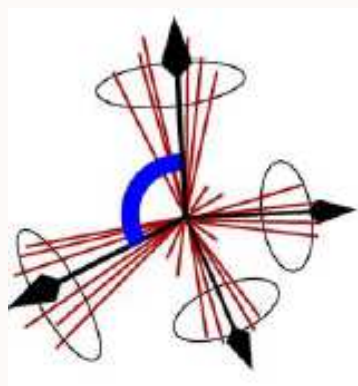
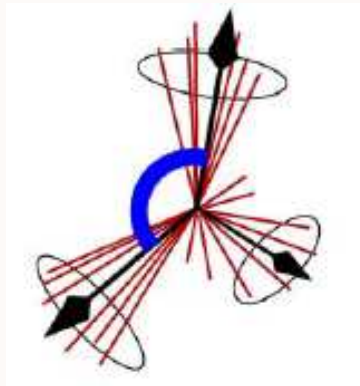
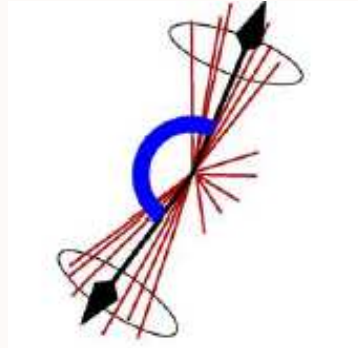


- Comparison with Tevatron CDF data
    - rate normalised to data
    - dominant contribution for  $p_T^{e^+e^-}$
    - Sudakov damping for  $p_T^{e^+e^-} \rightarrow 0$
    - hardest emission below  $\mathbf{k}_{\perp, \max}$
- $\hookrightarrow p_T^{e^+e^-} > \mathbf{k}_{\perp, \max}$  matrix element regime

[Schumann, Krauss, 2007]

# Inclusive jet production

## *Dijet azimuthal decorrelation* [data DØ 2005]



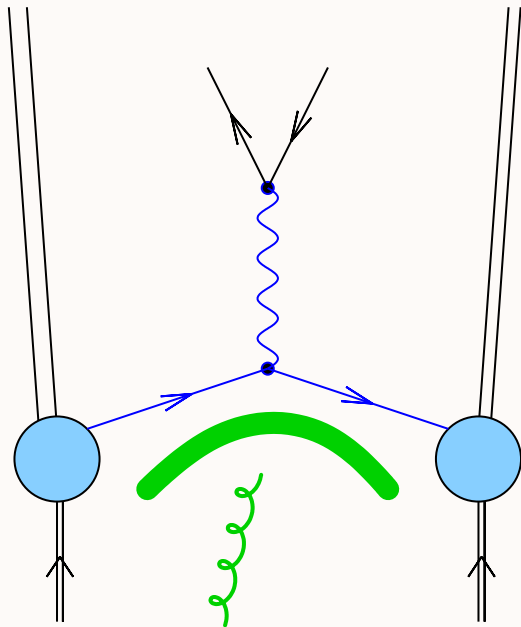
- ➔ only the two leading jets need to be reconstructed
- ➔ strong test of the initial- and final-state radiation pattern

# Colour dipole shower for hadronic collisions

WINTER, KRAUSS, ARXIV:0712.391

- ➔ Formulate IS emission completely perturbatively through colour dipoles (partly) spanned by incoming parton lines.
- ➔ Radiation that is associated to *initial*, *initial-final* and *final* colour lines.
- ➔ Keep beam remnants outside evolution as long as hadronization has not set in.

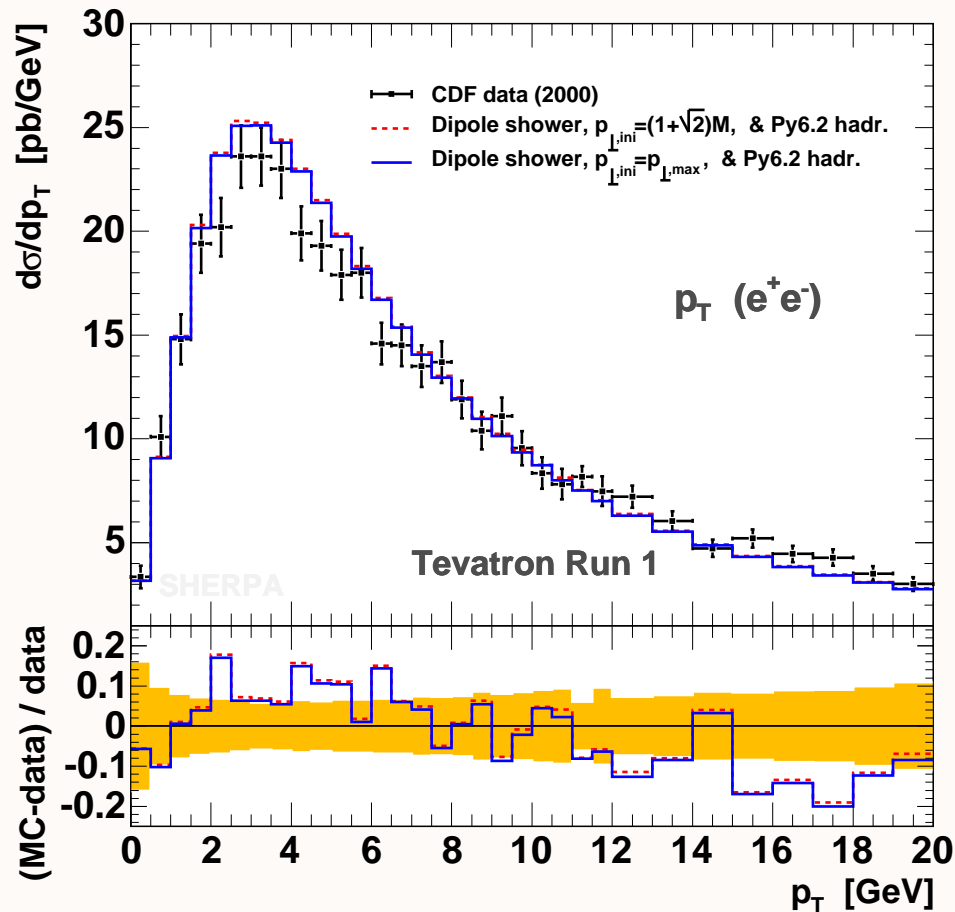
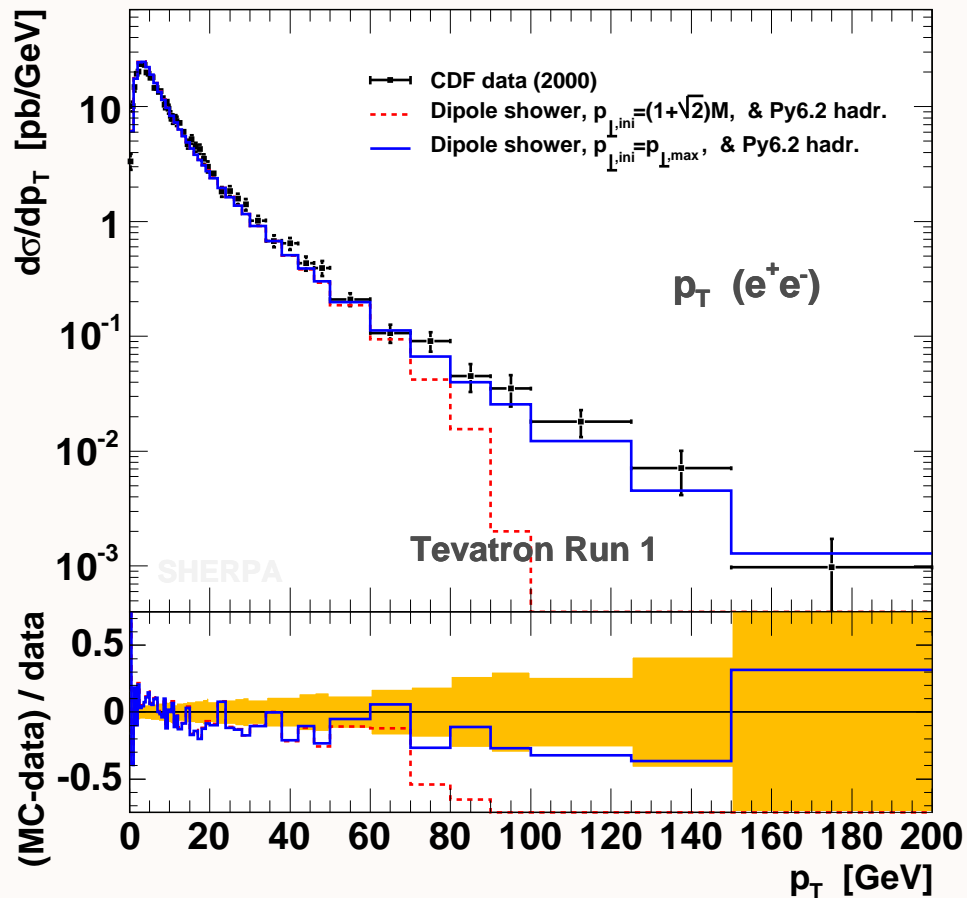
## Construction principles of perturbative CDM:



- new dipole types:  $\bar{q}_i q_i$ ,  $g_i q_i$ ,  $g_i g_i$  and  $q_f q_i$ ,  $q_f g_i$ ,  $g_f g_i$ .
- radiation pattern in terms of  $2 \rightarrow 3$  splittings.
- generalization of the kinematics setup to the new cases  
➔ *dipole phase-space factorization and invariant evolution variables.*
- *dipole ME factorization* ➔ re-calculate or use crossing symmetry of FF dipole MEs or use antenna functions.
- probabilistic interpretation of Sudakov form factor based on dipole splitting cross sections.
- large  $N_C$  limit, onshell kinematics, for all ISR apply backward evolution.

# Results for hadronic collisions

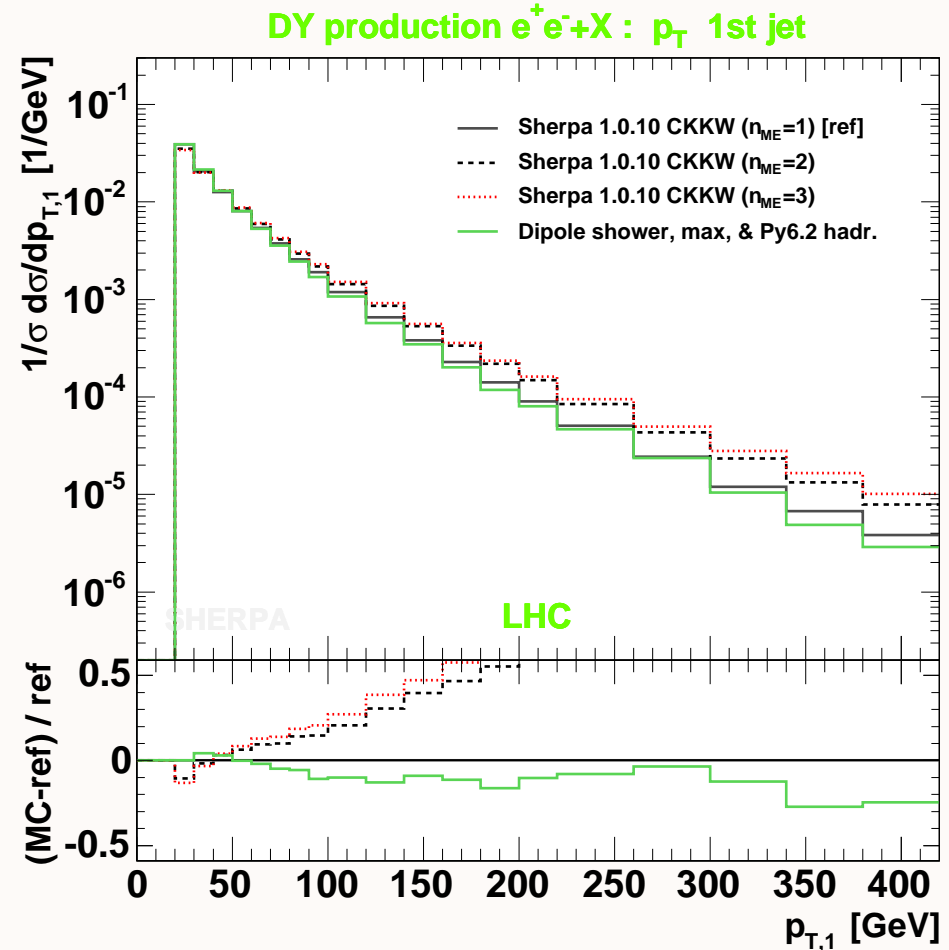
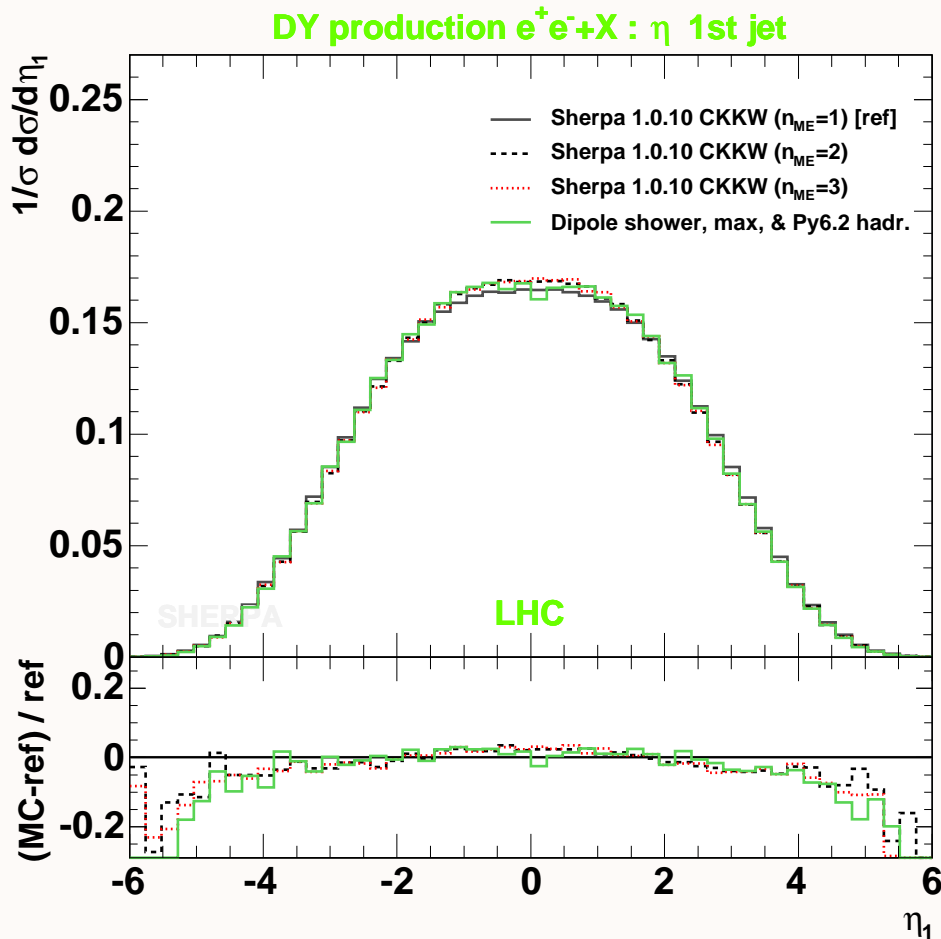
➔ Testbed: inclusive production of Drell–Yan lepton pairs @ Tevatron Run 1



- Boson transverse-momentum distribution and its peak region in  $e^+e^- + X$ .
- The 1st emission in the dipole shower is ME corrected per construction.
- Data: A. A. Affolder et. al. Phys. Rev. Lett. **84** (2000) 845.

# Results for hadronic collisions

→ Testbed: Drell–Yan pair production @ LHC, compared to SHERPA predictions



- Pseudo-rapidity (left) and transverse-momentum (right) distribution of the first jet.
- The 1st emission in the dipole shower is ME corrected per construction.

# Summary/Outlook

- The LHC physics programme requires a detailed understanding of QCD
- New major release **Sherpa 1.1** out → fully independent MC event generator
- hopefully well prepared for the LHC challenge
- Improved description of hard multijet configurations together with jet fragmentation !
- CKKW is implemented for SM processes in Sherpa  
⇒ tool for jet physics
- Real-emission MEs are provided by built-in tree-level ME generator AMEGIC.
- AMEGIC is ready for BSM as well.
- Many new achievements for the simulation of soft physics  
AHADIC, HADRONS, PHOTONS
- Full simulation of hadron-level events
- Many interesting ongoing projects beyond what is implemented in Sherpa 1.1