

Production and evolution of dark matter axions in the early universe

Ken'ichi Saikawa

Tokyo Institute of Technology (Titech)

Collaborators:

Takashi Hiramatsu (YITP), Masahiro Kawasaki (ICRR), Toyokazu Sekiguchi (Helsinki), Toshifumi Noumi (RIKEN), Ryosuke Sato (KEK) and Masahide Yamaguchi (Titech)

Axion

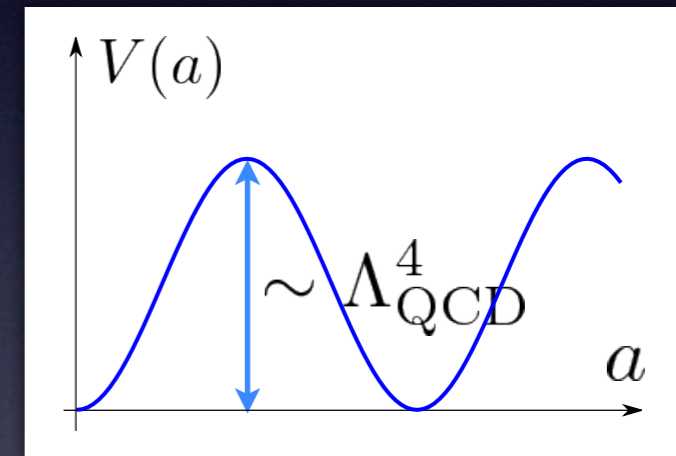
- Spontaneous breaking of continuous Peccei-Quinn symmetry at $T \simeq F_a \simeq 10^{9-12}\text{GeV}$ “axion decay constant”
- Nambu-Goldstone theorem
→ emergence of the (massless) particle \equiv axion

Weinberg(1978), Wilczek(1978)

- Axion has a small mass (QCD effect)
→ pseudo-Nambu-Goldstone boson

$$m_a \sim \frac{\Lambda_{\text{QCD}}^2}{F_a} \sim 6 \times 10^{-6} \text{eV} \left(\frac{10^{12} \text{GeV}}{F_a} \right)$$

$$\Lambda_{\text{QCD}} \simeq \mathcal{O}(100) \text{MeV}$$

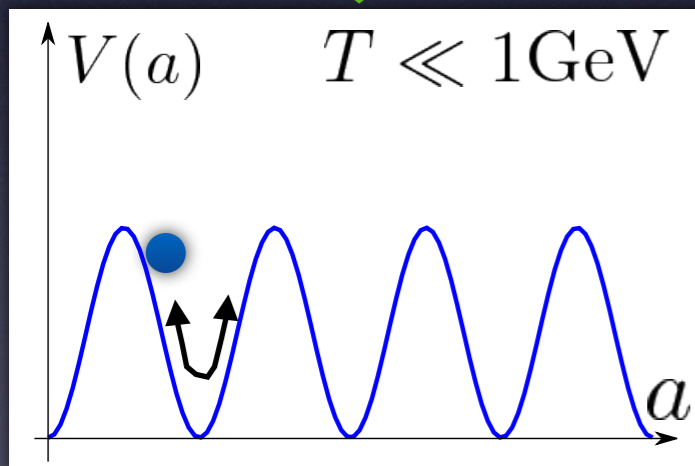
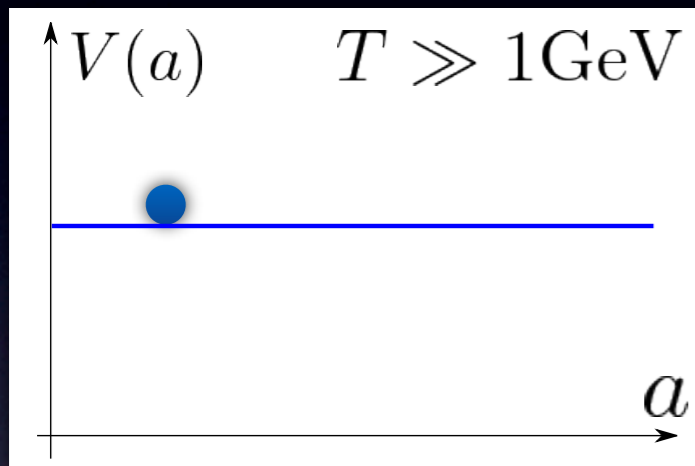


- Tiny coupling with matter + non-thermal production
→ good candidate of cold dark matter
- How they are produced, and how they evolved?
- Can we distinguish them from other dark matter candidate?

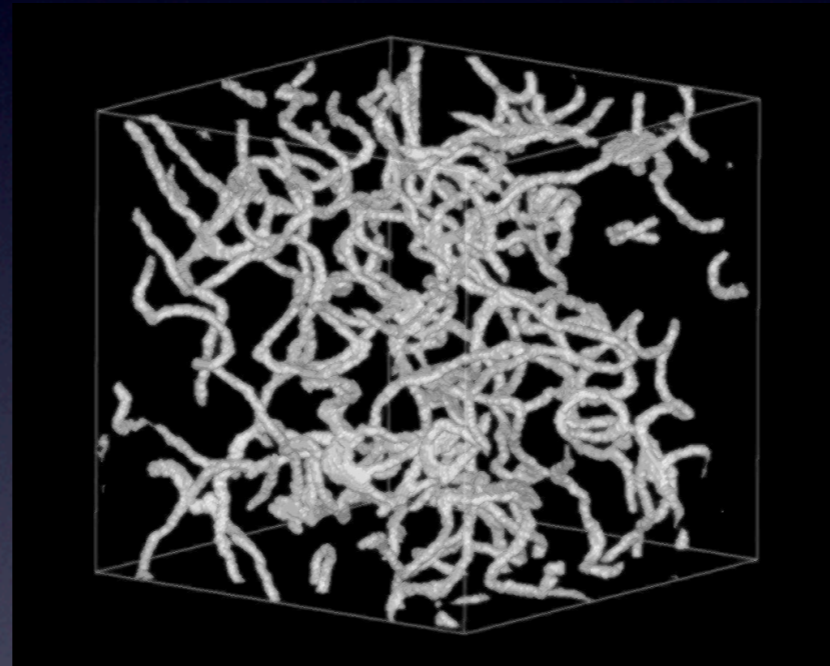
How axions are produced ?

Three mechanisms

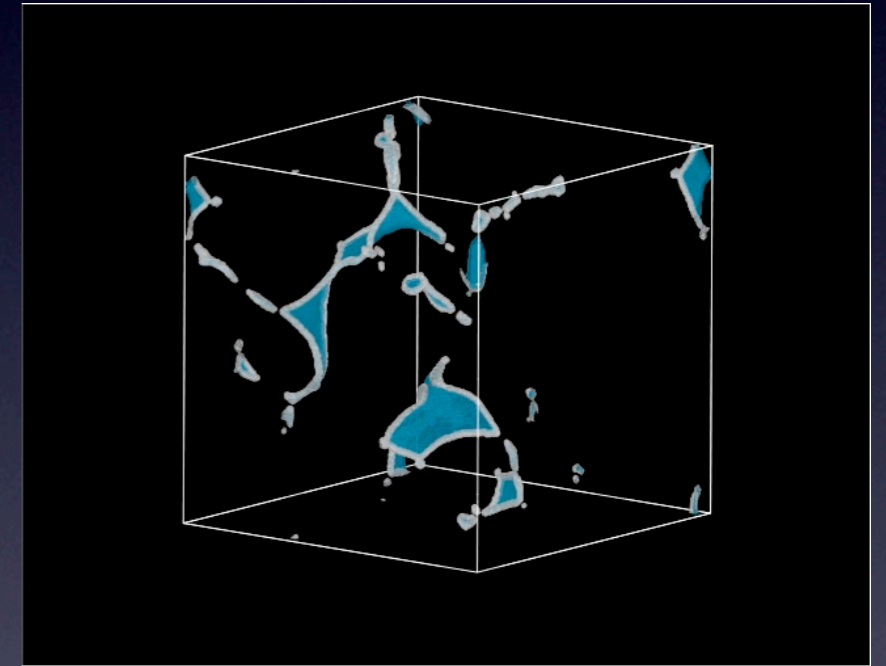
(I) misalignment mechanism



(2) radiation from strings



(3) collapse of string-wall systems



- Axions produced via (I) may be responsible for BEC dark matter
- Additional contributions (2) & (3) exist if Peccei-Quinn symmetry is broken after inflation

1. Axion production from topological defects

References:

Hiramatsu, Kawasaki, KS, JCAP08(2011)030 [1012.4558]

Hiramatsu, Kawasaki, KS, Sekiguchi, PRD85, 105020 (2012) [1202.5851]

Hiramatsu, Kawasaki, KS, Sekiguchi, JCAP01(2013)001 [1207.3166]

and refined work in progress [14xx.xxxx]

2. Evolution of axion dark matter in the condensed regime (possibility of axion BEC)

References:

KS, Yamaguchi, PRD87, 085010 (2013) [1210.7080]

Noumi, KS, Sato, Yamaguchi, PRD89, 065012 (2014) [1310.0167]

Axion production from topological defects

Axionic string and axionic domain wall

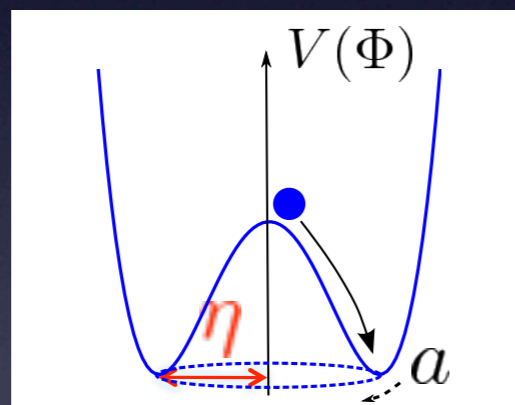
Peccei-Quinn field (complex scalar field)

$$\Phi = |\Phi| e^{ia(x)/F_a} \quad a(x) : \text{axion field}$$

String formation $T \lesssim F_a$

Spontaneous breaking of $U(1)_{PQ}$

$$V(\Phi) = \frac{\lambda}{4} (|\Phi|^2 - \eta^2)^2$$

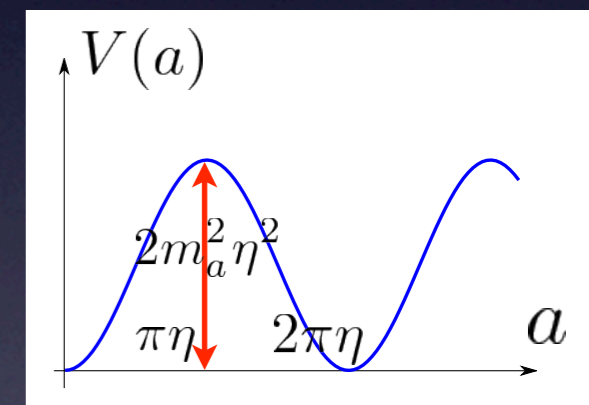
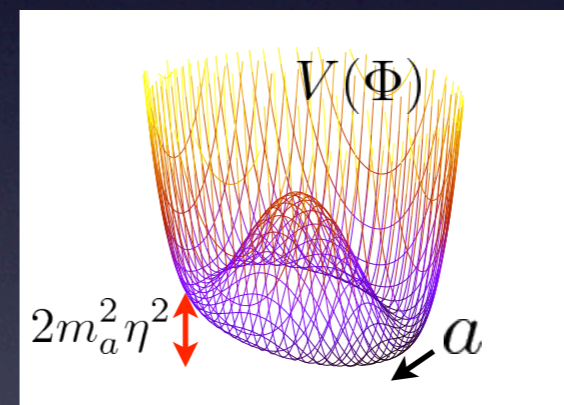


field space

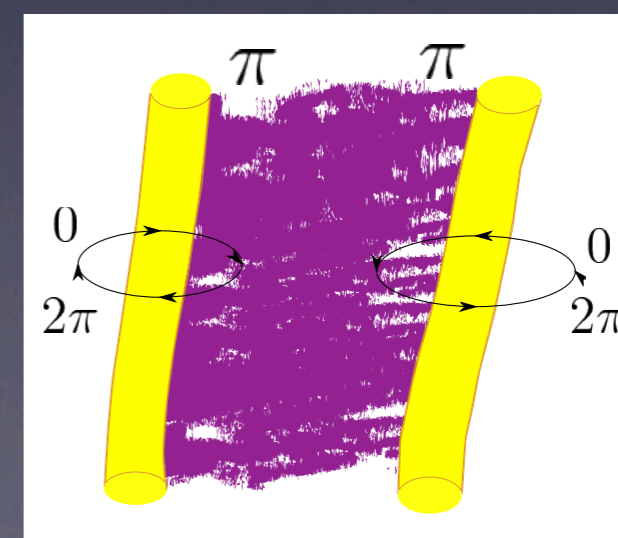
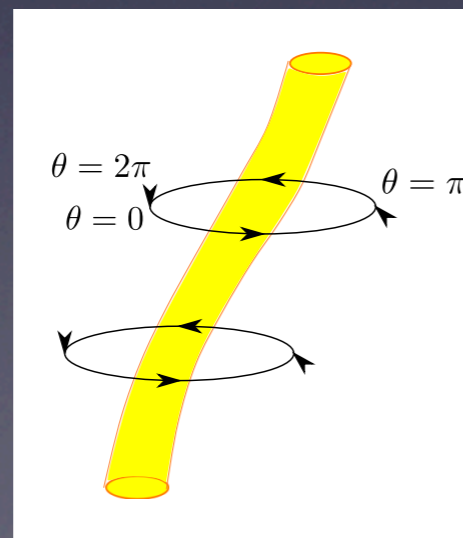
Domain wall formation $T \lesssim 1\text{GeV}$

QCD effect

$$V(\Phi) = \frac{\lambda}{4} (|\Phi|^2 - \eta^2)^2 + m_a^2 \eta^2 (1 - \cos(a/\eta))$$



coordinate space



strings attached by domain walls

Domain wall problem

- Domain wall number N_{DW}

- N_{DW} degenerate vacua

$$V(a) = \frac{m_a^2 \eta^2}{N_{\text{DW}}^2} (1 - \cos(N_{\text{DW}} a / \eta))$$

N_{DW} : integer determined by QCD anomaly

- If $N_{\text{DW}}=1$

String-wall systems are **unstable**

- Decay \rightarrow production of axions

- If $N_{\text{DW}}>1$

String-wall systems are **stable**

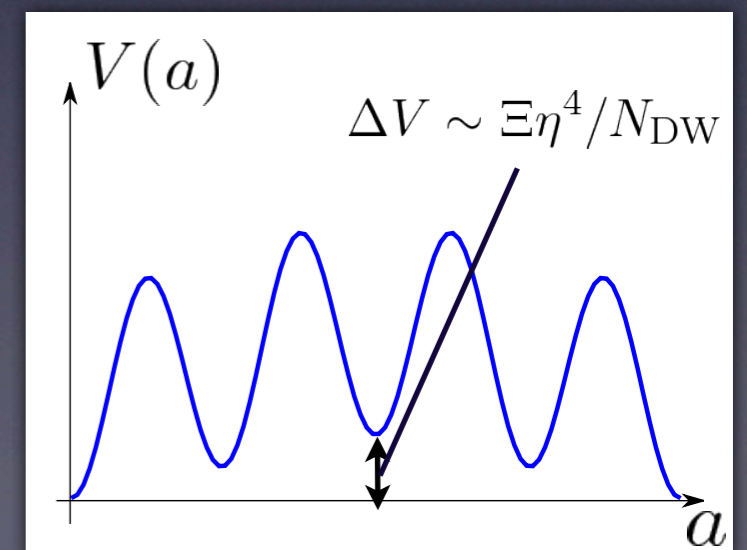
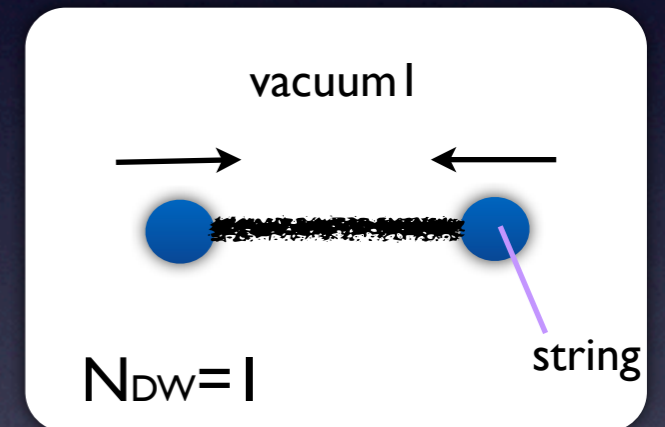
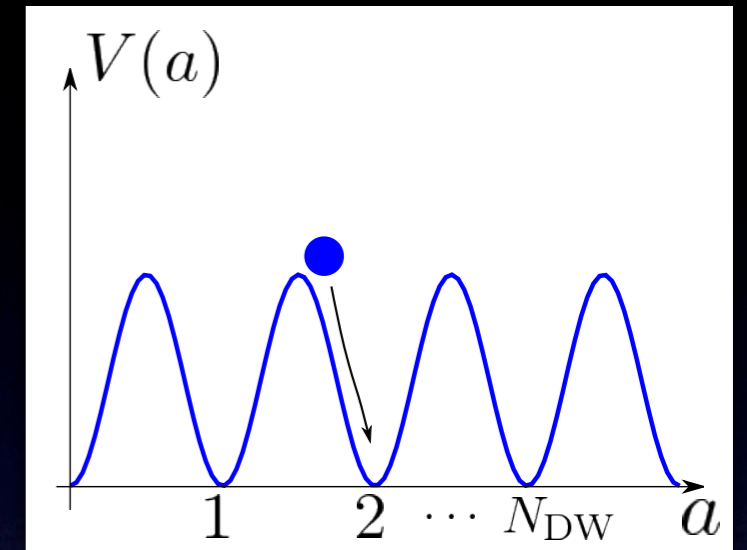
- come to overclose the universe (domain wall problem)

Zel'dovich, Kobzarev and Okun, JETP 40, 1 (1975)

- We may avoid this problem by introducing an explicit symmetry breaking term (walls become unstable)

Sikivie, PRL 48, 1156 (1982)

$$V(\Phi) = \frac{m_a^2 \eta^2}{N_{\text{DW}}^2} (1 - \cos(N_{\text{DW}} a / \eta)) - \Xi \eta^3 (\Phi e^{-i\delta} + \text{h.c.})$$



Domain wall problem

- Domain wall number N_{DW}

- N_{DW} degenerate vacua

$$V(a) = \frac{m_a^2 \eta^2}{N_{\text{DW}}^2} (1 - \cos(N_{\text{DW}} a / \eta))$$

N_{DW} : integer determined by QCD anomaly

- If $N_{\text{DW}} = 1$

String-wall systems are **unstable**

- Decay \rightarrow production of axions

- If $N_{\text{DW}} > 1$

String-wall systems are **stable**

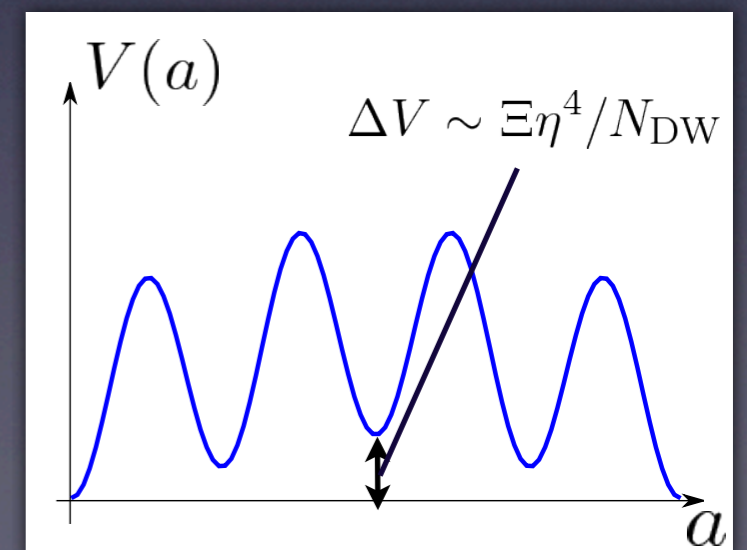
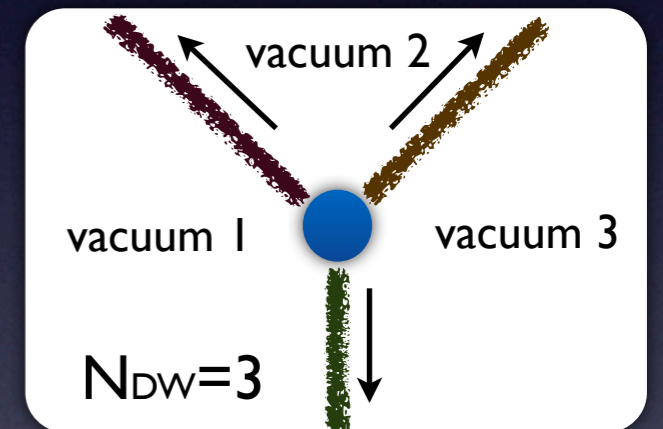
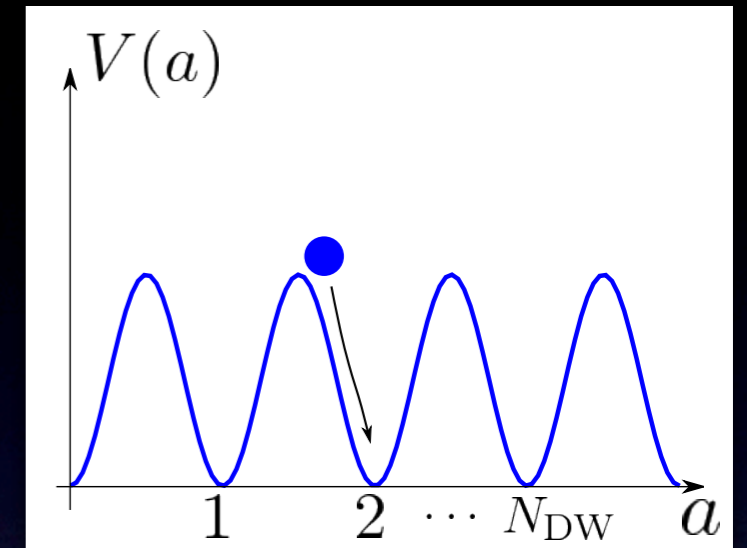
- come to overclose the universe (domain wall problem)

Zel'dovich, Kobzarev and Okun, JETP 40, 1 (1975)

- We may avoid this problem by introducing an explicit symmetry breaking term (walls become unstable)

Sikivie, PRL 48, 1156 (1982)

$$V(\Phi) = \frac{m_a^2 \eta^2}{N_{\text{DW}}^2} (1 - \cos(N_{\text{DW}} a / \eta)) - \boxed{\Xi \eta^3 (\Phi e^{-i\delta} + \text{h.c.})}$$



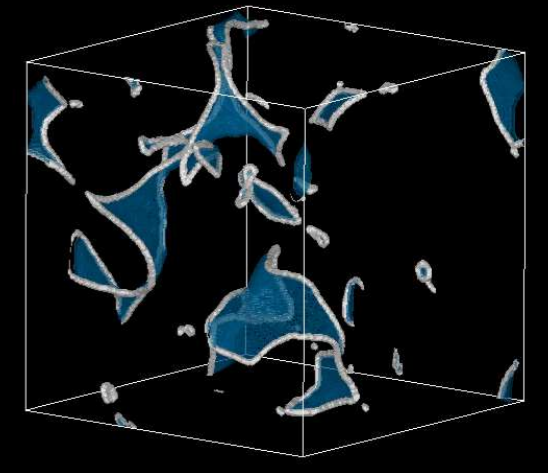
Recent studies

- Lattice simulation of PQ field to estimate the spectrum of axions produced from string-wall systems

- $N_{DW}=1$: wall decay contribution is significant

$$\Omega_a < \Omega_{CDM} \quad \longrightarrow \quad F_a \lesssim (4-6) \times 10^{10} \text{ GeV}$$

Hiramatsu, Kawasaki, KS, Sekiguchi, PRD85, 105020 (2012)



- $N_{DW}>1$: Constraint becomes more severe but there still exists some loophole (i.e. fine-tuning of the parameter)

Hiramatsu, Kawasaki, KS, Sekiguchi, JCAP01(2013)001

- Large systematic uncertainty on the determination of the wall-lifetime in the model with $N_{DW}>1$

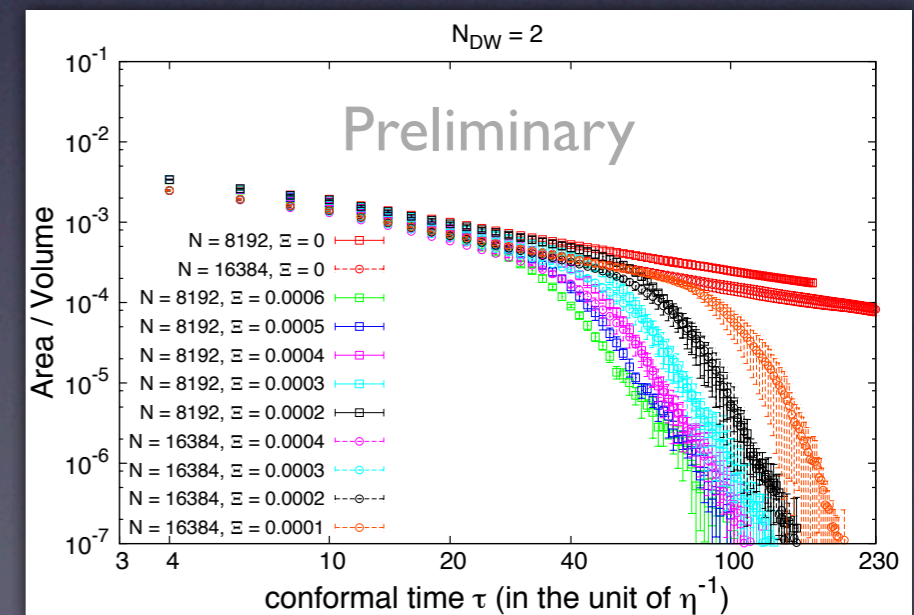
Refined calculation in larger simulation box is in process now

Previous study:
4096² grids

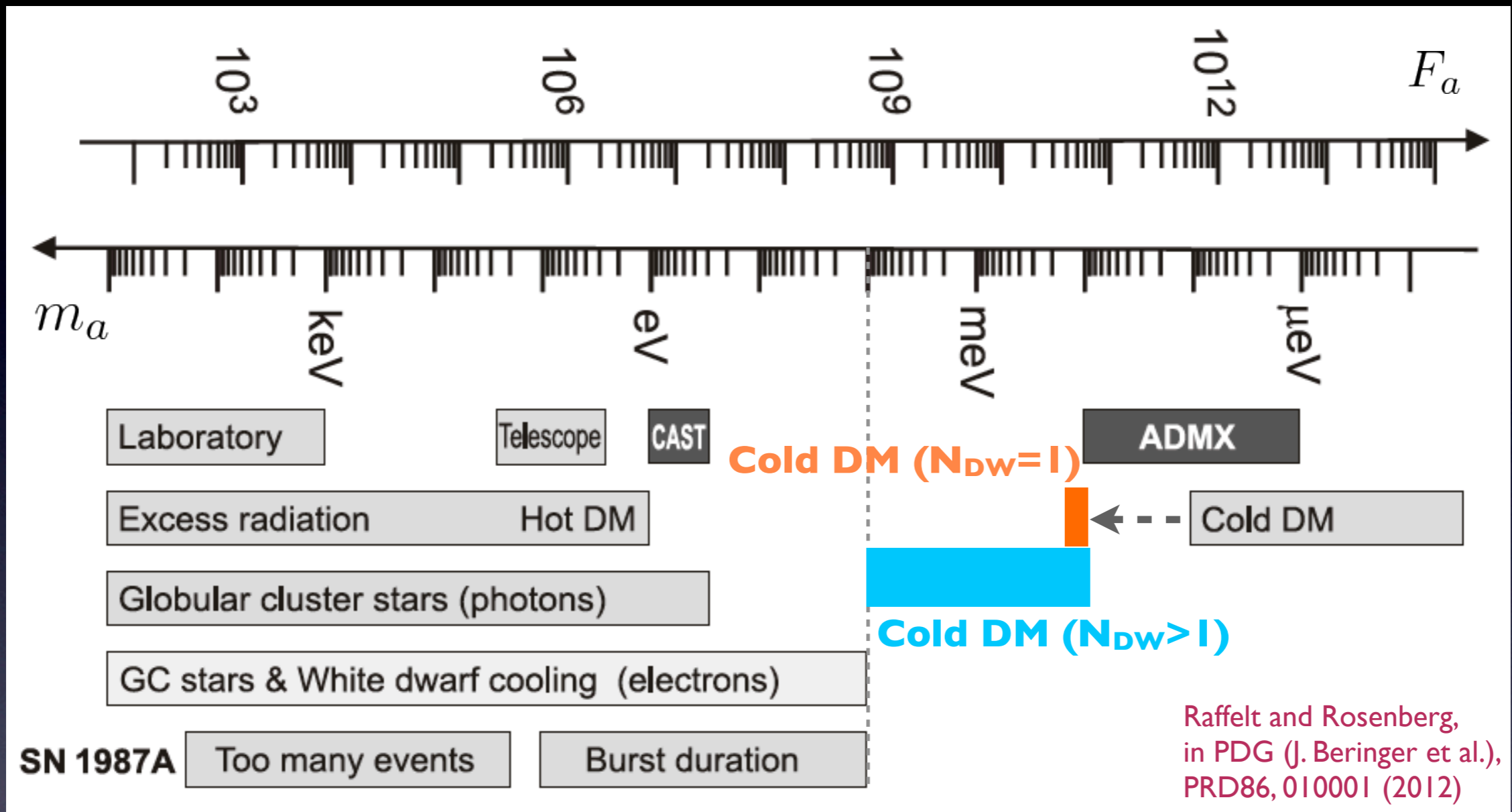


Recent study:
16384² grids

Hiramatsu, Kawasaki, KS, JCAP08(2011)030



Prospects



- Additional contribution from string-wall systems
 - axions can be CDM at low F_a (high m_a)
- Large uncertainty for models with $N_{DW}>1$ due to the poor estimation of the life time of domain walls

Evolution of axion dark matter in the condensed regime (possibility of axion BEC)

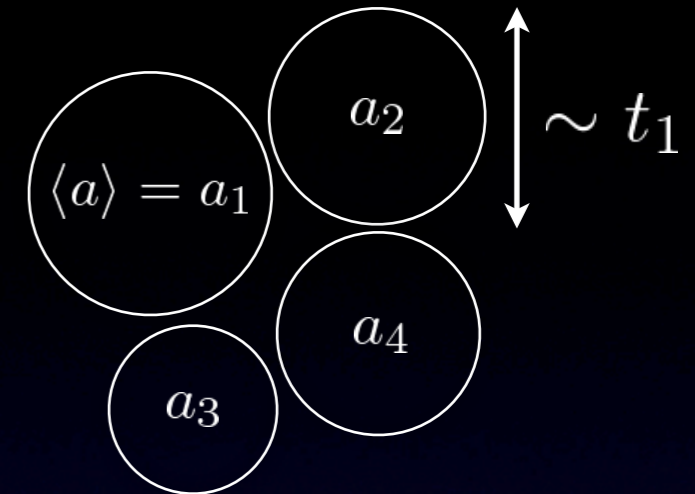
Axion BEC dark matter ?

- Peculiarities of axion dark matter
 - Non-thermal production

$$H \lesssim m_a \quad (t = t_1) \quad t_1 \sim 10^{-7} \text{sec}$$

$$\delta v \sim \frac{\delta p}{m_a} \sim \frac{R(t_1)}{R(t_0)} \frac{1}{m_a t_1} \sim 3 \times 10^{-17} \left(\frac{F_a}{10^{12} \text{GeV}} \right)^{0.81}$$

small velocity dispersion
("cold" dark matter)



- Large occupation number

$$\mathcal{N} \sim n_a \frac{(2\pi)^3}{\frac{4\pi}{3} (m_a \delta v)^3} \sim 10^{61} \left(\frac{F_a}{10^{12} \text{GeV}} \right)^{2.75}$$

($n_a \sim m_a F_a^2 (R(t_1)/R(t_0))^3$: number density of axions)

- A possibility that axions exist in the form of Bose-Einstein condensate (BEC) due to gravitational self-interaction
Sikivie, Yang, PRL103, 111301 (2009)
- Observable signatures (distinction between axions and WIMPs) ?
- Effects on phase space structure of galactic halo (?)
Sikivie, Phys. Lett. B695, 22 (2011); Banik, Sikivie, PRD88, 123517 (2013)
- Effects on cosmological parameters (?)
Erken, Sikivie, Tam, Yang, PRL108, 061304 (2012)

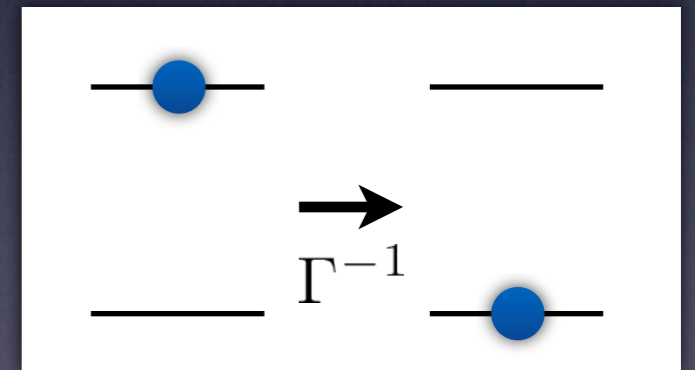
Can axions form a BEC ?

- BEC can be defined in thermal equilibrium state
- Can axions thermalize in the expanding universe ?
- Naive expectation :
They develop toward thermal equilibrium if
the transition rate Γ exceeds the expansion rate H

$$\Gamma \sim \dot{\mathcal{N}}_{\mathbf{p}} / \mathcal{N}_{\mathbf{p}} > H$$

$\mathcal{N}_{\mathbf{p}}$: occupation number

(state labeled by three momentum \mathbf{p})



- Identify elementary processes to estimate Γ !

Analytic methods

KS, Yamaguchi, PRD87, 085010 (2013)

- Develop analytic methods to calculate the time evolution of the expectation value of the operator

$$\langle \text{in} | \mathcal{O}(t) | \text{in} \rangle = \langle \mathcal{O} \rangle + i \int_{t_0}^t dt_1 \langle [H_I(t_1), \mathcal{O}] \rangle + (i)^2 \int_{t_0}^t dt_2 \int_{t_0}^{t_2} dt_1 \langle [H_I(t_1), [H_I(t_2), \mathcal{O}]] \rangle + \dots$$

$$\mathcal{O} = \mathcal{N}_n = \frac{a_n^\dagger a_n}{V} : \text{number operator} \quad H_I(t) : \text{interaction Hamiltonian}$$

- Specify the “in” (initial) state

For axions “wavy fields” rather than “point particles”

V : volume of the 3-dim space

α_i : some complex value

use a coherent state $|\text{in}\rangle = |\{\alpha\}\rangle = \prod_i e^{-\frac{1}{2}|\alpha_i|^2} \sum_{n=0}^{\infty} \frac{\alpha_i^n}{n! \sqrt{V^n}} (a_i^\dagger)^n |0\rangle$
with $a_i |0\rangle = 0$

- Gravitational quartic interaction (Newtonian approx.)

$$H_I = -\frac{G}{2} \int d^3x d^3x' \frac{\rho(\mathbf{x}, t) \rho(\mathbf{x}', t)}{|\mathbf{x} - \mathbf{x}'|} \quad \rightarrow \quad \Lambda_{kl}^{ij} = -4\pi G m_a^2 \left(\frac{1}{|\mathbf{p}_k - \mathbf{p}_i|^2} + \frac{1}{|\mathbf{p}_k - \mathbf{p}_j|^2} \right) V \delta_{i+j, k+l}$$

$\rho(\mathbf{x}, t)$: energy density of axions

Evolution of occupation number

KS, Yamaguchi, PRD87, 085010 (2013)

$$\langle \text{in} | \mathcal{N}_p(t) | \text{in} \rangle = \langle \mathcal{N}_p \rangle + i \int_{t_0}^t \langle [H_I(t_1), \mathcal{N}_p] \rangle + \mathcal{O}(H_I^2) + \dots$$

$$i \int_{t_0}^t dt_1 \langle [H_I(t_1), \mathcal{N}_p] \rangle \xrightarrow{t-t_0 \rightarrow \infty} -\frac{1}{2V^2} \sum_j \sum_k \sum_l \left[\Lambda_{kl}^{pj} \frac{e^{-i\Omega_{kl}^{pj} t}}{\Omega_{kl}^{pj}} \alpha_k^* \alpha_l^* \alpha_j \alpha_p + \text{c.c.} \right]$$

$$\text{for } |\text{in}\rangle = \prod_i e^{-\frac{1}{2}|\alpha_i|^2} \sum_{n=0}^{\infty} \frac{\alpha_i^n}{n! \sqrt{V^n}} (a_i^\dagger)^n |0\rangle$$

coherent state

$$i \int_{t_0}^t dt_1 \langle [H_I(t_1), \mathcal{N}_p] \rangle = 0 \quad \text{for } |\text{in}\rangle = \prod_k \frac{1}{\sqrt{\mathcal{N}_k! V^{\mathcal{N}_k}}} (a_k^\dagger)^{\mathcal{N}_k} |0\rangle$$

number state

- First order term is relevant if

(1) condensed regime $\Omega_{kl}^{pj} t \ll 1$

$\Omega_{ij}^{kl} \equiv \omega_k + \omega_l - \omega_i - \omega_j$
: energy exchanged in the transitions

(c.f. $e^{-i\Omega_{kl}^{pj} t} \approx 0$ for particle kinetic regime $\Omega_{kl}^{pj} t \gg 1$)

(2) coherent state representation $|\text{in}\rangle = |\{\alpha\}\rangle$

- For number (“particle-like”) state, first order term exactly vanishes

Transition rate

Erken, Sikivie, Tam, Yang, PRD85, 063520 (2012); KS, Yamaguchi, PRD87, 085010 (2013)

- Transition rate of axions in coherent state

$$\Gamma \equiv \frac{1}{\mathcal{N}_p(t)} \frac{d\mathcal{N}_p(t)}{dt} \simeq \Lambda n_a$$

n_a : number density of axions

$$\Lambda_{pj}^{kl} = \Lambda V \delta_{k+l, p+j} \quad \text{: coefficient in the interaction term}$$

From gravitational self-interaction

$$H_I = -\frac{G}{2} \int d^3x d^3x' \frac{\rho(\mathbf{x}, t) \rho(\mathbf{x}', t)}{|\mathbf{x} - \mathbf{x}'|}$$

$R(t)$: scale factor of the universe



$$\Gamma_g \simeq \frac{4\pi G m_a^2 n_a}{(\delta p)^2} \propto 1/R(t)$$

$$\delta p \sim m_a \delta v \propto 1/R(t)$$

- Exceed the expansion rate at

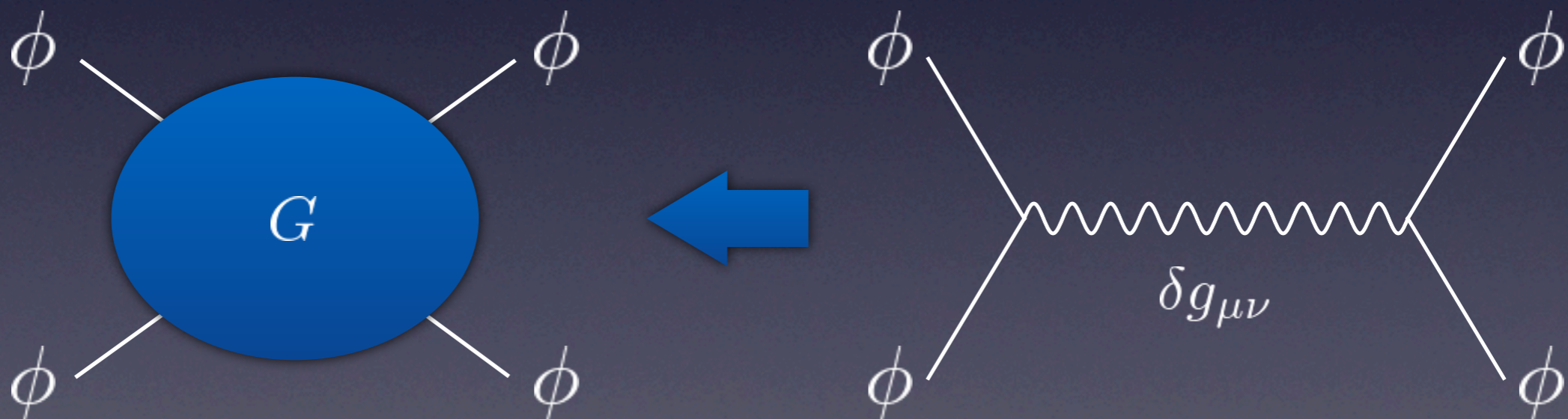
$$\Gamma_g \gtrsim H \quad \Rightarrow \quad T \simeq 2 \times 10^3 \text{eV} \left(\frac{F_a}{10^{12} \text{GeV}} \right)^{0.56}$$

Formation of BEC at $T \sim \text{keV}$?

General relativistic formulation

Noumi, KS, Sato, Yamaguchi, PRD89, 065012 (2014)

- Calculations in previous works : assumed Newtonian approximation
→ It breaks down for the modes $k/R \lesssim H$
- Reformulate in general relativistic framework
- Schematics:
Effective quartic interaction from graviton exchange
(contraction of cubic $\delta g_{\mu\nu} \phi^2$ interactions) ϕ : axion field



- Reproduces the result in Newtonian approx.

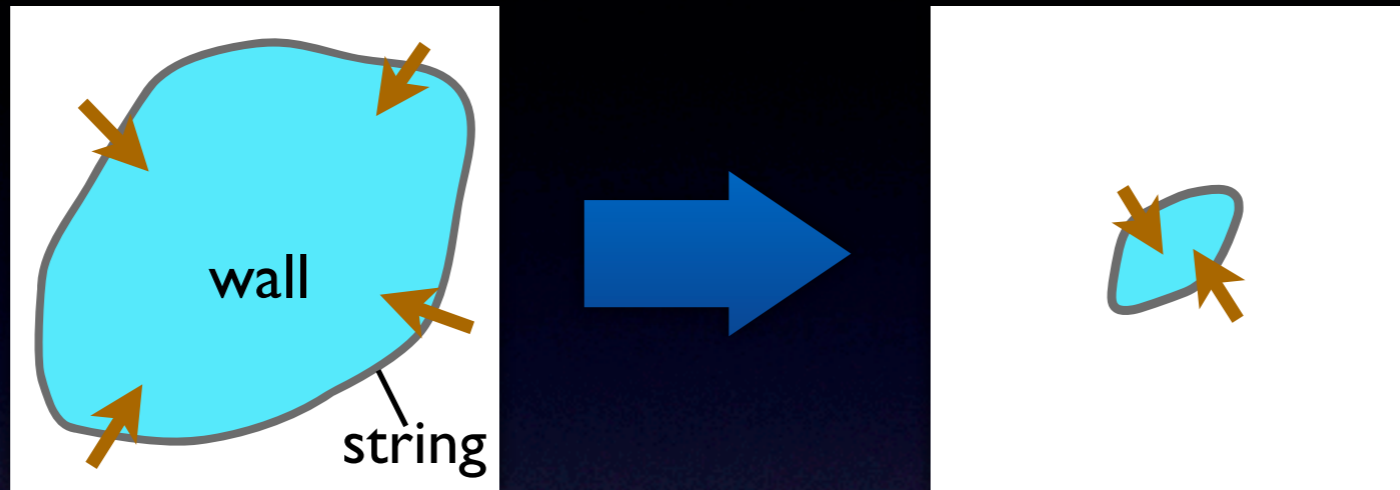
Summary

- Axion dark matter = coherent osc. + string + wall
- Wall decay contribution can be dominant
 - Model with $N_{\text{DW}} = 1$: short-lived walls
More severe constraint on axion decay constant
$$4 \times 10^8 \text{ GeV} < F_a < (4-6) \times 10^{10} \text{ GeV}$$
 - Model with $N_{\text{DW}} > 1$: long-lived walls
It tends to overproduce axions, but can be responsible for CDM with meV mass range (need a tuning (?))
- Gravitational self-interaction of coherently oscillating axions becomes relevant at $T \sim \mathcal{O}(1) \text{ keV}$
 - Need further discussion to interpret the effect on structure formation

Backup

Annihilation mechanism of domain walls

- $N_{\text{DW}}=1$: rapidly decay due to the tension of walls (**short-lived**)



- $N_{\text{DW}}>1$: decay when the tension becomes comparable with the pressure (**long-lived**)



tension $p_T \sim \sigma_{\text{wall}}/R \sim m_a \eta^2 / N_{\text{DW}}^2 R$

pressure $p_V \sim \Delta V \sim \Xi \eta^4 / N_{\text{DW}}$

R : curvature radius of walls

σ_{wall} : surface mass density of walls

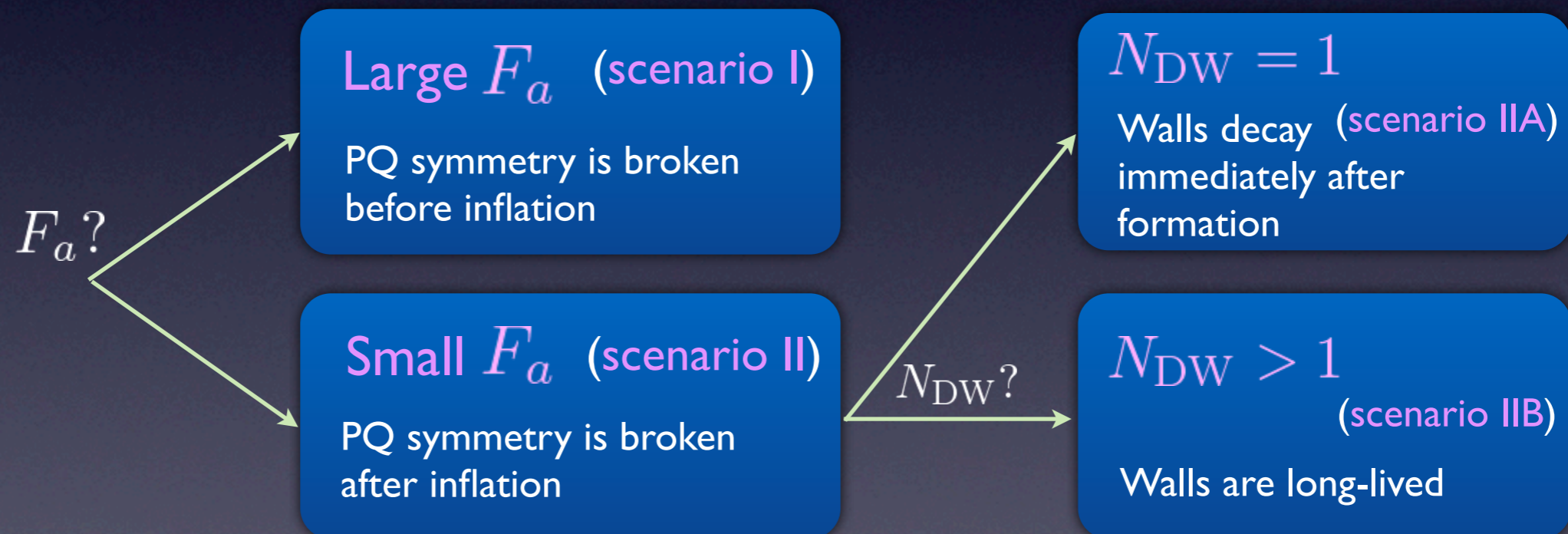
$$t_{\text{dec}} \sim R \quad \text{at} \quad p_V \simeq p_T$$

$$\simeq 7 \times 10^2 \text{ sec } N_{\text{DW}}^{-3} \left(\frac{10^{-58}}{\Xi} \right) \left(\frac{10^{10} \text{ GeV}}{F_a} \right)^3$$

lifetime $\propto 1/\Xi$

Axion cosmology

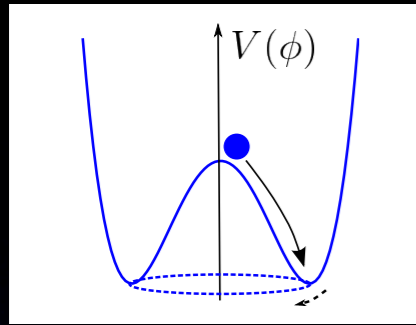
- Parameters of the models
 - Axion decay constant (scale of PQ symmetry breaking) F_a
 - Domain wall number (number of degenerate vacua) N_{DW}
 - Bias (lifetime of domain walls) Ξ
- Cosmological scenarios



Investigate production and evolution of axion DM in each scenario

➔ Derive constraints on the model parameters

Production of axions in the universe



$T \simeq 10^{10-11} \text{ GeV}$
 $(\simeq F_a \equiv \eta/N_{\text{DW}})$

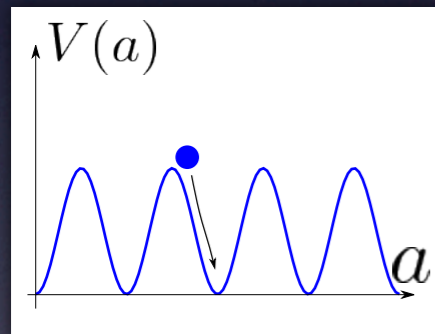
Inflation

PQ symmetry breaking
 • formation of strings

(ii) string decay

- Davis (1986)
- Harari & Sikivie (1987)
- Davis & Shellard (1988)
- Dabholkar & Quoshnock (1989)
- Battye & Shellard (1994)
- Yamaguchi, Kawasaki & Yokoyama (1999) etc.

$T \lesssim 1 \text{ GeV}$



QCD phase transition
 • axions acquire a mass
 • formation of domain walls

(i) coherent oscillation

- Preskill & Wise (1983)
- Abbott & Sikivie (1983)
- Dine & Fischler (1983) etc.

(iii) wall decay

- Lyth (1992)
- Nagasawa & Kawasaki (1994)
- Chang, Hagmann & Sikivie (1998)

$N_{\text{DW}} = 1$

$N_{\text{DW}} > 1$

immediately after formation ...

string-wall networks exist for a long time

• collapse of string-wall networks

annihilation of domain walls before they overclose the universe

Wall decay contribution to CDM abundance

- On the mean energy $\langle \omega_a \rangle$ of axions radiated from domain wall decay

Case A

$$\langle \omega_a \rangle \sim m_a$$

Nagasawa & Kawasaki (1994)

- Radiated axion is mildly relativistic
- Contribution for DM abundance can be large

Case B

$$\langle \omega_a \rangle \sim m_a \log(F_a/m_a)$$

Chang, Hagmann & Sikivie (1999)

- Spectrum is hard

$$dE/dk \sim 1/k$$

- Contribution for DM abundance is subdominant

$$\rho_a(t_{\text{today}}) = m_a n_a(t_{\text{today}}) = m_a \frac{\rho_a(t_{\text{decay}})}{\langle \omega_a \rangle} \left(\frac{R(t_{\text{decay}})}{R(t_{\text{today}})} \right)^3$$

$R(t)$: scale factor of the universe

- This controversy can be resolved by simulation of defect networks

Numerical simulation

- Discretize the spatial coordinate

$$\vec{x} \rightarrow (i, j, k)$$

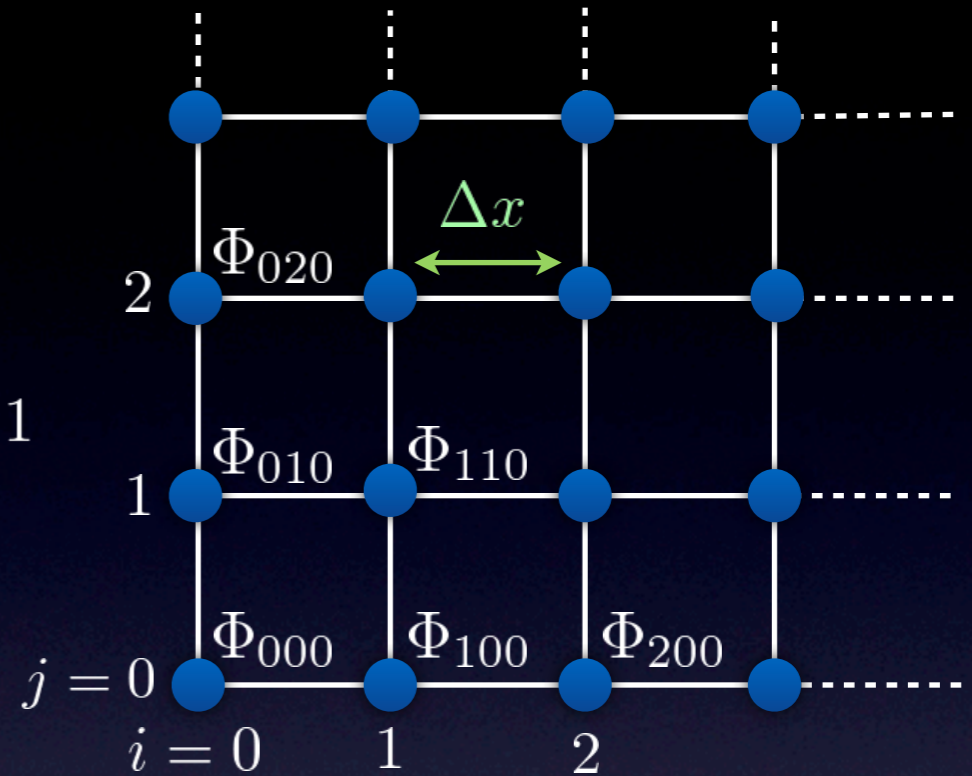
$$i, j, k = 0, 1, \dots, N - 1$$

$$\Phi(\vec{x}) \rightarrow \Phi_{i,j,k}$$

$$\nabla^2 \Phi(\vec{x}) \rightarrow (\nabla^2 \Phi)_{i,j,k}$$

$$= \frac{1}{12(\Delta x)^2} [16(\Phi_{i+1,j,k} + \Phi_{i-1,j,k} + \Phi_{i,j+1,k} + \Phi_{i,j-1,k} + \Phi_{i,j,k+1} + \Phi_{i,j,k-1})$$

$$- (\Phi_{i+2,j,k} + \Phi_{i-2,j,k} + \Phi_{i,j+2,k} + \Phi_{i,j-2,k} + \Phi_{i,j,k+2} + \Phi_{i,j,k-2}) - 90\Phi_{i,j,k}]$$



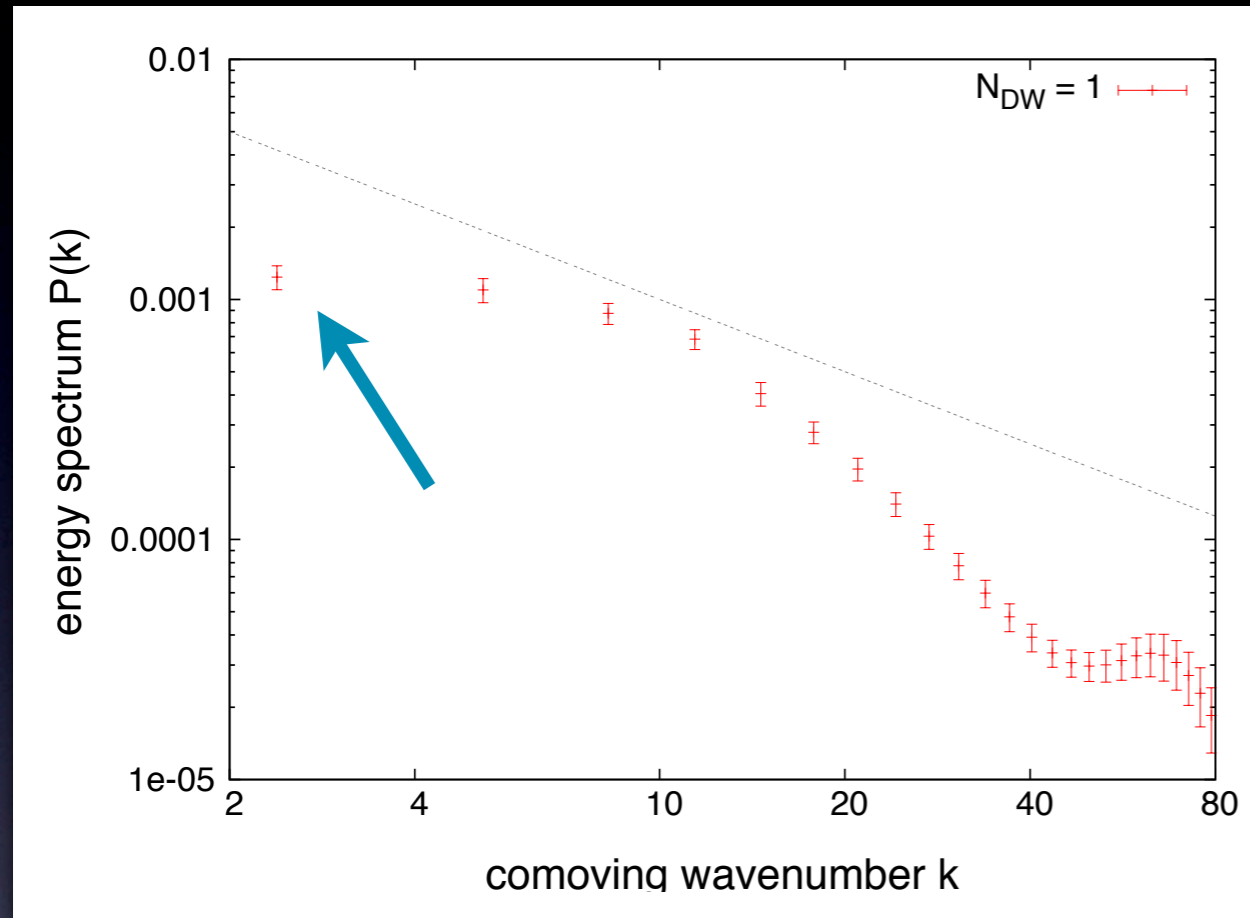
- Solve the classical EOM for complex scalar $\Phi = \phi_1 + i\phi_2$ on 3D lattice

$$\ddot{\phi}_i + 3H\dot{\phi}_i - \frac{\nabla^2}{R^2(t)}\phi_i = -\frac{\partial V}{\partial \phi_i} \quad i = 1, 2$$

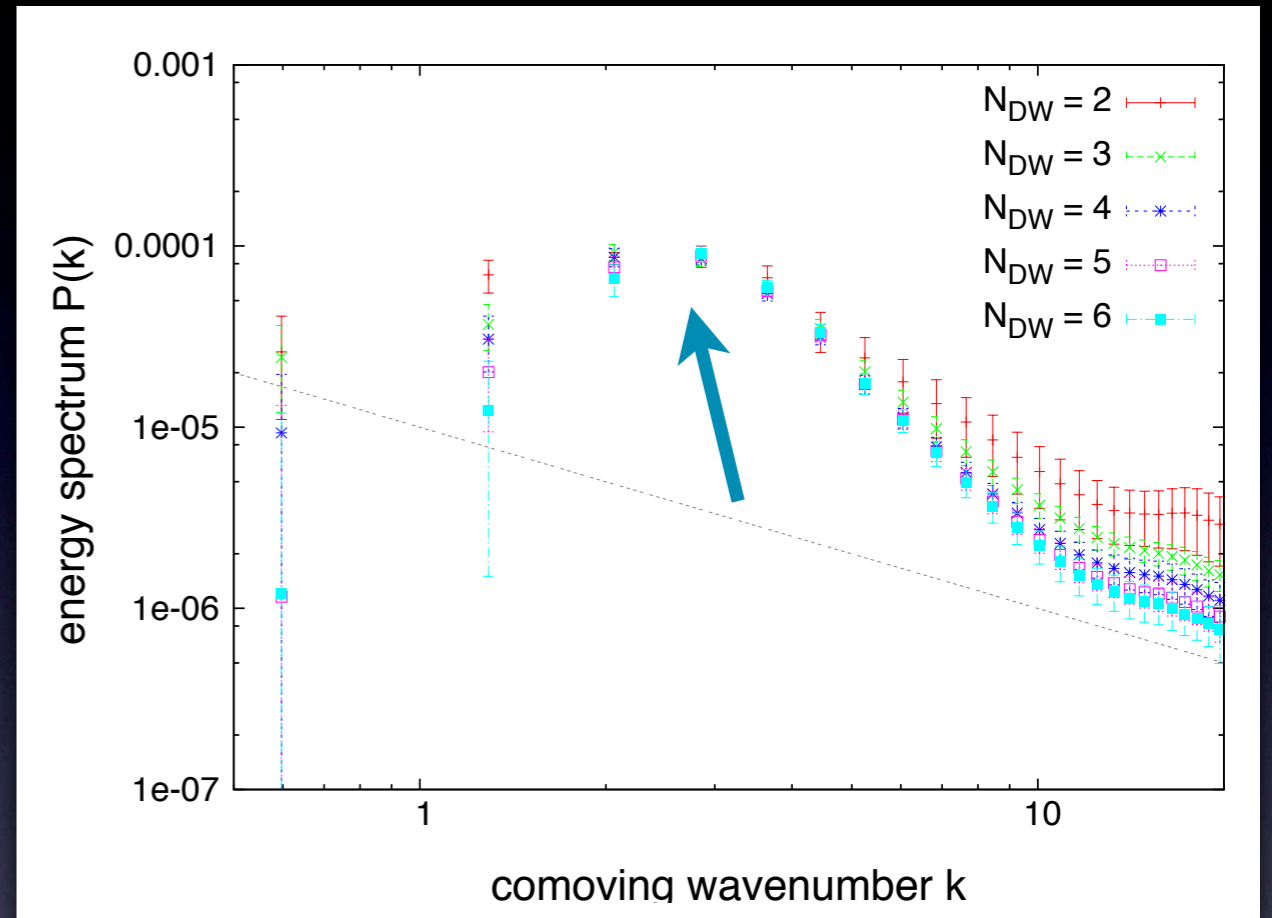
- Number of grids in simulation box : $N^3 = 512^3$

Spectrum of axions

$N_{DW}=1$



$N_{DW}>1$



Peaked at

$$\langle \omega_a \rangle \simeq \mathcal{O}(1) \times m_a$$

supports case A
(axions are mildly relativistic)



Contribution for relic
CDM abundance is large

$$\rho_a(t_{\text{today}}) = m_a \frac{\rho_a(t_{\text{decay}})}{\langle \omega_a \rangle} \left(\frac{R(t_{\text{decay}})}{R(t_{\text{today}})} \right)^3$$

$N_{\text{DW}} > 1$ (long-lived walls)

- Cold dark matter abundance

$$\rho_{\text{wall}}(t_{\text{dec}}) \rightarrow \rho_a \quad \longrightarrow \quad \Omega_a h^2 \leq \Omega_{\text{CDM}} h^2 \simeq 0.11$$

- Neutron electric dipole moment

Non zero value of Ξ $\Delta V = -\Xi \eta^3 (\Phi e^{-i\delta} + \text{h.c.})$

\longrightarrow shift the CP conserving minimum

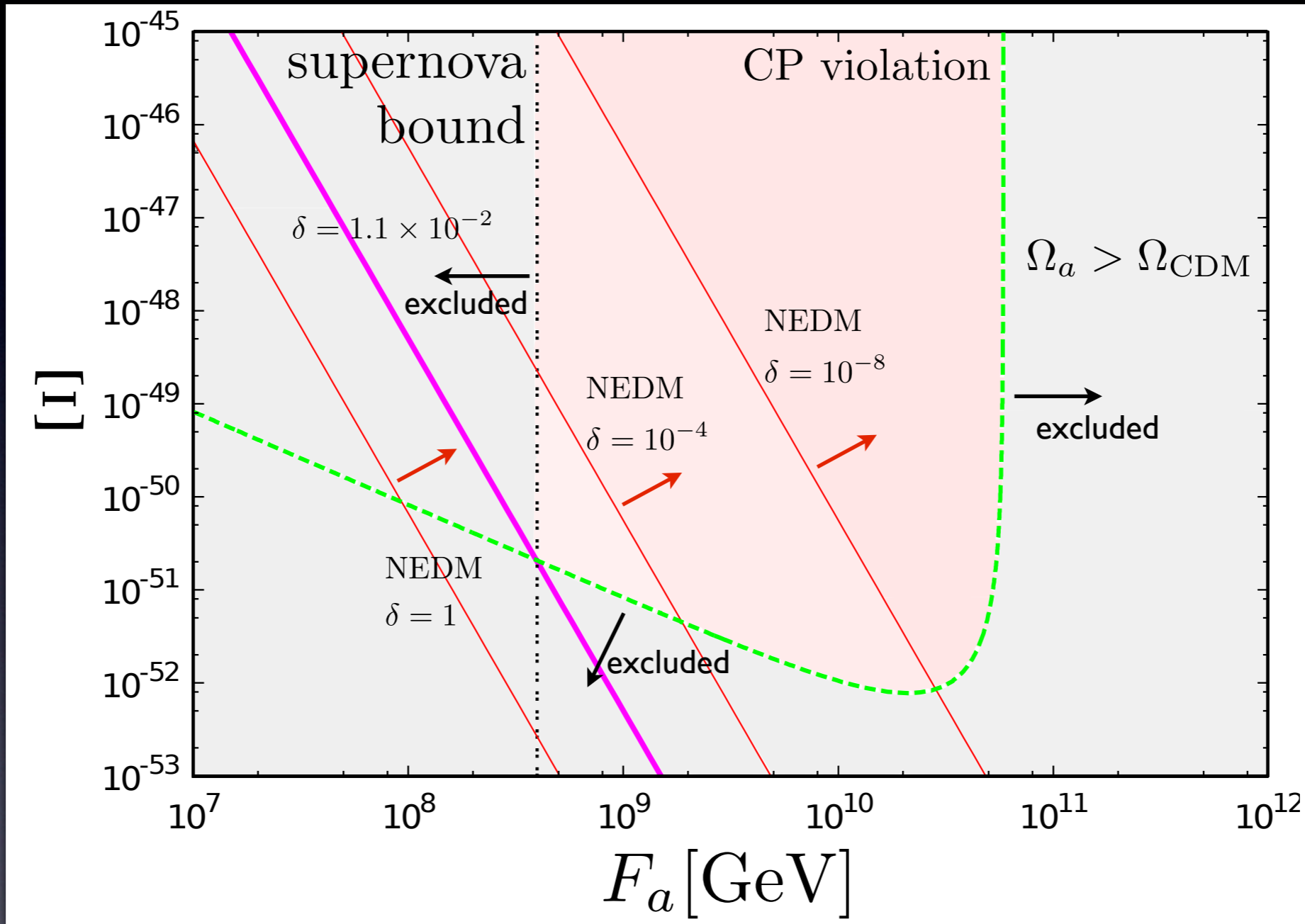
$$\bar{\theta} = \frac{\langle a \rangle}{F_a} = \frac{2N_{\text{DW}} \Xi \eta^2 \sin \delta}{m_a^2 + 2\Xi \eta^2 \cos \delta} < 0.7 \times 10^{-11}$$

- Cooling rate of Supernova 1987A Raffelt (2008)

$$F_a > 4 \times 10^8 \text{ GeV}$$

$N_{\text{DW}} > 1$ (long-lived walls)

Constraint on the bias term $\Delta V = -\Xi\eta^3(\Phi e^{-i\delta} + \text{h.c.})$

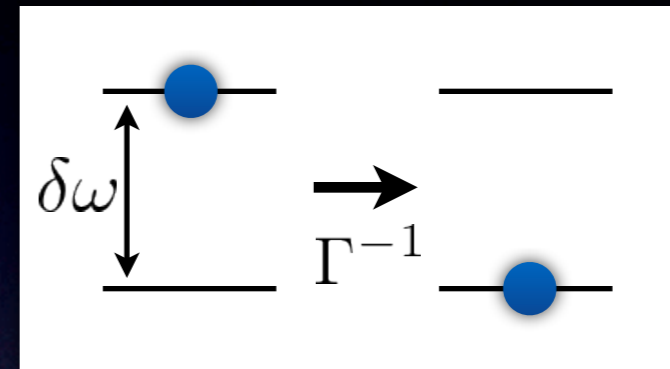


Hiramatsu, Kawasaki, KS and Sekiguchi, 1207.3166

δ must be highly suppressed

Transition in two different regimes

- Consider transitions between different quantum states.
- Two different regimes
- WIMPs $\delta\omega \rightarrow \text{large}$



$$\delta\omega \gg \Gamma$$

energy exchanged
in the transitions

transition rate

“particle kinetic regime”

- axions $\delta\omega \rightarrow \text{small}$

$$\delta\omega \ll \Gamma$$

“condensed regime”

A transition makes sense if $\mathcal{N}\delta\omega \gg \Gamma$

Previous study

Erken, Sikivie, Tam, Yang, PRD85, 063520 (2012)

- Time evolution of quantum operators in the Heisenberg picture

$$H = \sum_i \omega_i a_i^\dagger a_i + \sum_{i,j,k,l} \frac{1}{4} \Lambda_{kl}^{ij} a_k^\dagger a_l^\dagger a_i a_j \quad \begin{array}{l} l : \text{label of the state (momentum)} \\ \mathcal{N}_l = a_l^\dagger a_l \end{array}$$

$$\dot{\mathcal{N}}_l = i[H, \mathcal{N}_l]$$

$$= i \sum_{i,j,k} \frac{1}{2} (\Lambda_{ij}^{kl} a_i^\dagger a_j^\dagger a_k a_l e^{-i\Omega_{ij}^{kl} t} - \text{H.c.})$$

Leading contribution in the condensed regime

$$\Omega_{ij}^{kl} t \ll 1$$

$$\dot{\mathcal{N}}_l \sim \mathcal{O}(\Lambda_{ij}^{kl})$$

reduce to Boltzmann eq. in the particle kinetic regime

$$+ \sum_{k,i,j} \frac{1}{2} |\Lambda_{ij}^{kl}|^2 [\mathcal{N}_i \mathcal{N}_j (\mathcal{N}_l + 1) (\mathcal{N}_k + 1)$$

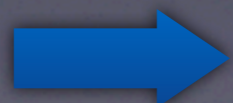
$$- \mathcal{N}_l \mathcal{N}_k (\mathcal{N}_i + 1) (\mathcal{N}_j + 1)] \frac{2}{\Omega_{ij}^{kl}} \sin(\Omega_{ij}^{kl} t) + \dots$$

$$\Omega_{ij}^{kl} t \gg 1$$

$$\dot{\mathcal{N}}_l \sim \mathcal{O}(|\Lambda_{ij}^{kl}|^2)$$

$$\Omega_{ij}^{kl} \equiv \omega_k + \omega_l - \omega_i - \omega_j$$

- Axions : condensed regime ($\Omega_{ij}^{kl} \sim m_a \delta v^2 < t^{-1}$)



enhancement of interaction rate $\Gamma \sim \dot{\mathcal{N}}/\mathcal{N} \sim \mathcal{O}(\Lambda)$

- What about the quantum-mechanical averages $\langle \dot{\mathcal{N}}_l(t) \rangle$?

“In” state

- $|\text{in}\rangle$ = a state which represents the coherent oscillation of axions
- For axions “wavy fields”

use a **coherent state**

$$|\{\alpha\}\rangle = \prod_i e^{-\frac{1}{2}|\alpha_i|^2} \sum_{n=0}^{\infty} \frac{\alpha_i^n}{n! \sqrt{V^n}} (a_i^\dagger)^n |0\rangle$$

$$a_i |\alpha_i\rangle = V^{1/2} \alpha_i |\alpha_i\rangle \quad \text{with } a_i |0\rangle = 0$$

- Field amplitude

$$\phi = \frac{1}{V} \sum_n \frac{1}{\sqrt{2E_{p_n}}} (e^{ip_n \cdot x} a_n + e^{-ip_n \cdot x} a_n^\dagger)$$

hereafter,

ϕ = axion field

$$\langle \{\alpha\} | \phi | \{\alpha\} \rangle = \sum_n \frac{1}{\sqrt{2m_a V}} (e^{-im_a t + i\mathbf{p}_n \cdot \mathbf{x}} \alpha_n + e^{im_a t - i\mathbf{p}_n \cdot \mathbf{x}} \alpha_n^*)$$

$$= \sum_n \sqrt{\frac{2}{m_a V}} |\alpha_n| \cos(m_a t - \mathbf{p}_n \cdot \mathbf{x} - \beta) \quad \text{classical field trajectory}$$

- For other species “point particles” (photons, baryons, WIMPs,...)

use a **number state** $|\{\mathcal{N}\}\rangle = \prod_k \frac{1}{\sqrt{\mathcal{N}_k! V^{\mathcal{N}_k}}} (a_k^\dagger)^{\mathcal{N}_k} |0\rangle$

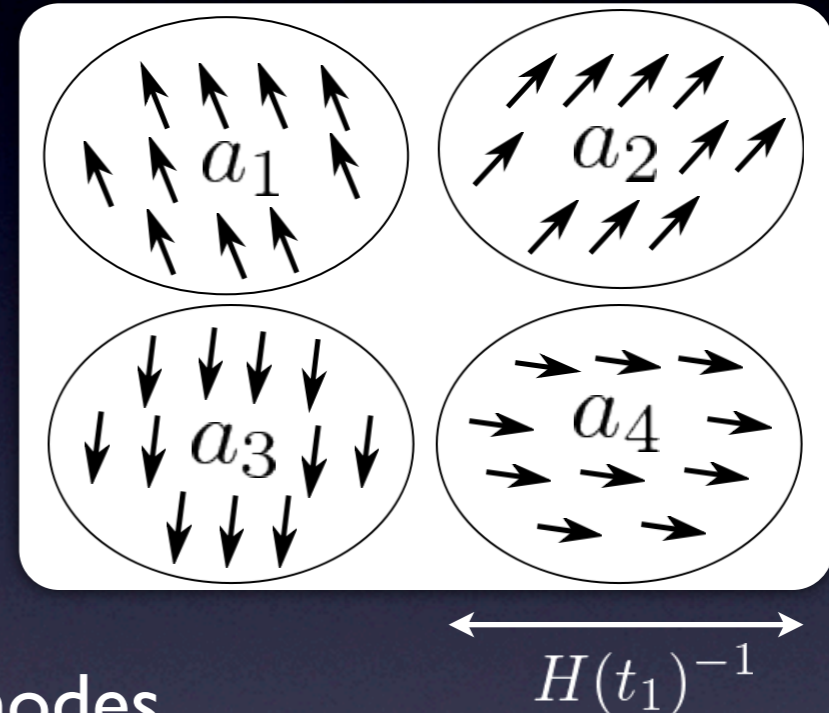
“Zero modes”

- Initial time t_1 (QCD phase transition) :
amplitudes of oscillation might be uncorrelated

beyond the horizon

→ axions have non-zero momenta

$$p/R(t_1) \lesssim H(t_1) \sim m_a(t_1)$$

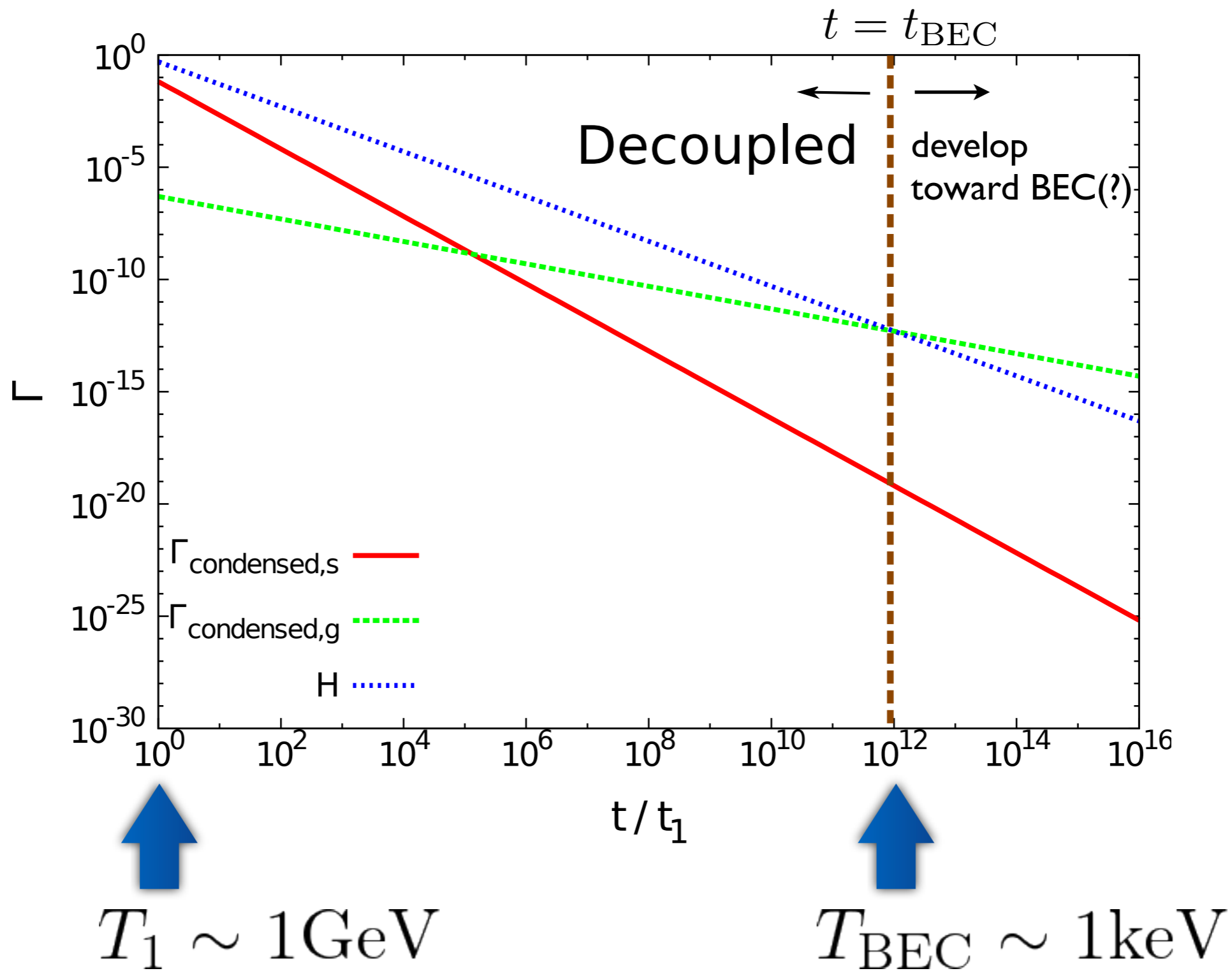


- Assume plural (say K) oscillating modes

$$|\{\alpha\}\rangle = \prod_i^K e^{-\frac{1}{2}|\alpha_i|^2} \sum_{n=0}^{\infty} \frac{\alpha_i^n}{n! \sqrt{V^n}} (a_i^\dagger)^n |0\rangle \quad |\mathbf{p}_i|/R(t_1) \lesssim H(t_1) \sim m_a(t_1) \text{ for } i = 1, \dots, K$$

- Number density

$$n_a = \frac{1}{V} \sum_n \langle \{\alpha\} | \mathcal{N}_n | \{\alpha\} \rangle = \frac{1}{V} \sum_i^K |\alpha_i|^2 \equiv \sum_i^K n_{c,i}$$



scalar ϕ^4 $H_I = - \int d^3x \frac{\lambda}{4!} \phi^4$ \Rightarrow $\Gamma_{\text{condensed,s}} \simeq \frac{\lambda n_a}{4m_a^2} \propto 1/R^3(t)$

gravity $H_I = - \frac{G}{2} \int d^3x d^3x' \frac{\rho(\mathbf{x}, t)\rho(\mathbf{x}', t)}{|\mathbf{x} - \mathbf{x}'|}$ \Rightarrow $\Gamma_{\text{condensed,g}} \simeq \frac{4\pi G m_a^2 n_a}{(\delta p)^2} \propto 1/R(t)$

Interaction with other species

- Interaction with other species b

$$H_{I,b}(t) = \frac{1}{V^4} \sum_{ijkl} \frac{1}{4} \Lambda_b^{ij}{}_{kl} e^{-i\Omega_{kl}^{ij}t} a_k^\dagger b_l^\dagger a_i b_j$$

- Assume b particles are represented as a **number state**

$$|\text{in}\rangle = \prod_k \frac{1}{\sqrt{\mathcal{N}_k! V^{\mathcal{N}_k}}} (b_k^\dagger)^{\mathcal{N}_k} |\{\alpha\}\rangle$$

while $|\{\alpha\}\rangle = \prod_i e^{-\frac{1}{2}|\alpha_i|^2} \sum_{n=0}^{\infty} \frac{\alpha_i^n}{n! \sqrt{V^n}} (a_i^\dagger)^n |0\rangle$

- First order term exactly vanishes

$$\langle [H_{I,b}(t), \mathcal{N}_p] \rangle = 0$$

- Interaction with other species is **second order effect**.

- Axions do not have thermal contact with other particles \rightarrow **does not conflict with standard cosmology**

Action of the scalar-graviton system

- Assumption

→ FRW background geometry is supported by radiations

dynamical d.o.f.: ϕ , $g_{\mu\nu}$, $\delta\rho_{\text{rad}}$

- Take the unitary gauge ($\delta\rho_{\text{rad}} = 0$, $\rho_{\text{rad}} = \bar{\rho}_{\text{rad}}(t)$)

- Radiation perturbation $\delta\rho_{\text{rad}}$ is “eaten” by the metric

- The graviton (metric) has three d.o.f.

1 curvature perturbation ζ
2 tensor perturbations γ_{ij}

- Time diffeo. is broken by $\bar{\rho}_{\text{rad}}(t)$

- Use residual spatial diffs to constrain the action for $g_{\mu\nu}$

Cheung, Fitzpatrick, Kaplan, Senatore, Creminelli, JHEP03(2008)014

$$S = \int d^4x \sqrt{-g} \left[-\frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - \frac{1}{2} m_a^2 \phi^2 \right] \\ + \int d^4x \sqrt{-g} \left[\frac{1}{2} M_{\text{Pl}}^2 \mathcal{R} + M_{\text{Pl}}^2 \dot{H} g^{00} - M_{\text{Pl}}^2 (3H^2 + \dot{H}) + \frac{M_2^4}{2} (g^{00} + 1)^2 \right]$$

M_2 : a theoretical parameter related to the sound speed c_s $c_s^2 = \frac{-M_{\text{Pl}}^2 \dot{H}}{-M_{\text{Pl}}^2 \dot{H} + 2M_2^4} \simeq 1/3$

Effective quartic interactions

- ADM decomposition

$$ds^2 = -N^2 dt^2 + h_{ij}(dx^i + N^i dt)(dx^j + N^j dt)$$

$$h_{ij} = R^2(t) \underline{e^{2\zeta}} (e^\gamma)_{ij} \quad \text{with} \quad \gamma_{ii} = \partial_i \gamma_{ij} = 0$$

fluctuations around FRW background

ζ, γ_{ij} : dynamical fields

N, N^i : Lagrange multipliers

- Relevant interactions in the regime $m_a \gg H, k/R$
(Eliminating auxiliary fields N, N^i)

$$H_{I,\zeta\phi^2} \simeq \int d^3x R^3 \left[\frac{1}{2H} \dot{\zeta} (\dot{\phi}^2 + m_a^2 \phi^2) - \frac{3}{2} \zeta (\dot{\phi}^2 - m_a^2 \phi^2) \right] + \mathcal{O}(H^2 \zeta \phi^2)$$

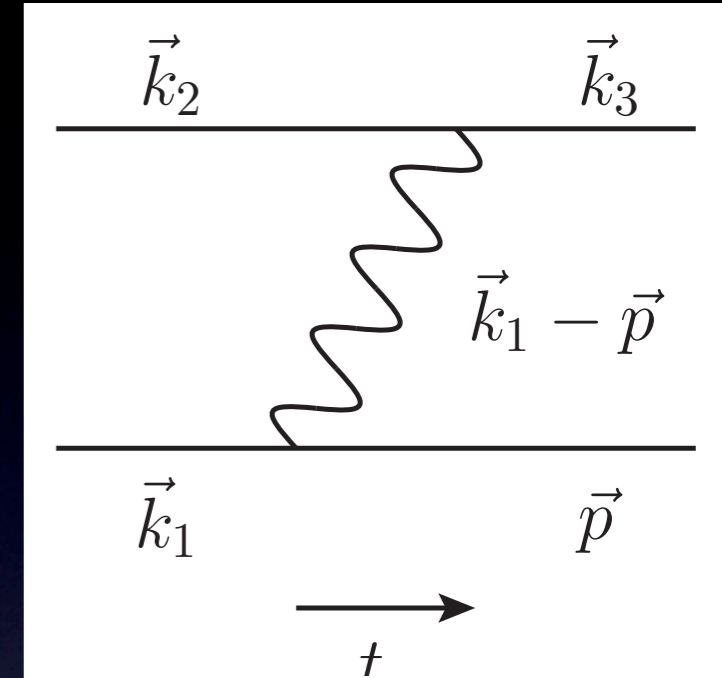
$$H_{I,\phi^4} \simeq \int d^3x R^3 \left[\frac{1}{16M_{\text{Pl}}^2 H^2 \tilde{\epsilon}} (\dot{\phi}^2 + m_a^2 \phi^2)^2 \right] + \mathcal{O}(m_a^2 \phi^4 / M_{\text{Pl}}^2)$$

$$H_{I,\gamma\phi^2} = \int d^3x R^3 \left[-\frac{1}{2} \gamma_{ij} \frac{\partial_i \phi \partial_j \phi}{R^2} \right] \sim \mathcal{O}(H^2 \gamma \phi^2) \quad (\text{subdominant})$$

where
 $\tilde{\epsilon} = 2c_s^{-2}$

Contributions for $\langle \mathcal{N}_{\mathbf{p}}(t) \rangle$

$$\begin{aligned} \langle \mathcal{N}_{\mathbf{p}}(t) \rangle &\simeq \langle \mathcal{N}_{\mathbf{p}}(t_0) \rangle + i \int_{t_0}^t dt_1 \langle [H_{I,\phi^4}(t_1), \mathcal{N}_{\mathbf{p}}] \rangle \\ &\quad + i^2 \int_{t_0}^t dt_2 \int_{t_0}^{t_2} dt_1 \langle \underbrace{[H_{I,\zeta\phi^2}(t_1), [H_{I,\zeta\phi^2}(t_2), \mathcal{N}_{\mathbf{p}}]]}_{\text{contraction of cubic interactions}} \rangle \\ &\simeq \langle \mathcal{N}_{\mathbf{p}}(t_0) \rangle + i \int_{t_0}^t dt_1 \langle [H_{\text{eff}}(t_1), \mathcal{N}_{\mathbf{p}}] \rangle \end{aligned}$$



- **Effective Hamiltonian for tree-level analysis**

$$H_{\text{eff}}(t) = \int \left(\prod_{i=1}^4 \frac{d^3 k_i}{(2\pi)^3} \right) (2\pi)^3 \delta^{(3)}(\mathbf{k}_1 + \mathbf{k}_2 - \mathbf{k}_3 - \mathbf{k}_4) F(t; |\mathbf{k}_1 - \mathbf{k}_3|) a_{\mathbf{k}_1}^\dagger a_{\mathbf{k}_2}^\dagger a_{\mathbf{k}_3} a_{\mathbf{k}_4}$$

$$F(t; k) = -\frac{2\pi G m_a^2}{R^3(t)} \frac{R^2(t)}{k^2} f\left(\frac{k}{k_H(t)}\right), \quad f(x) = 1 - \cos x - x \sin x$$

$$k_H(t) = R(t)H(t)/c_s : \text{sound horizon}$$

- $f(k/k_H) \rightarrow 1 + (\text{highly oscillating terms})$ for $k/k_H \gg 1$
- Reproduces the result in Newtonian approx.

$$F(t; |\mathbf{k}_1 - \mathbf{k}_3|) \rightarrow -\frac{2\pi G m_a^2 / R^3(t)}{|\mathbf{k}_1 - \mathbf{k}_3|^2 / R^2(t)}$$

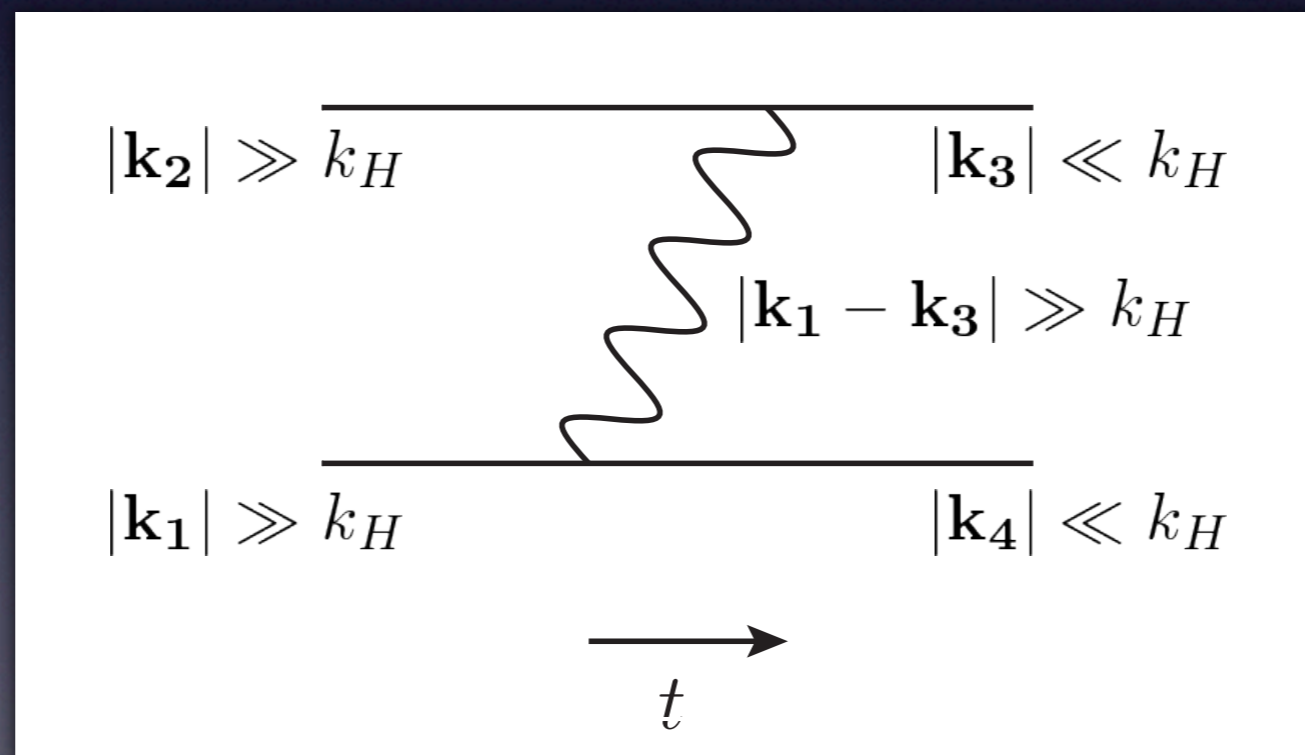


$$\Gamma_g \sim \frac{G m_a^2 n_a}{(\delta p)^2}$$

Transition into BEC ?

- Time scale of the process whose momentum transfer satisfies $|\mathbf{k}_1 - \mathbf{k}_3| \gg k_H(t) = R(t)H(t)/c_s$ can be estimated as $\sim \Gamma_g^{-1}$
- Allows transition between sub-horizon & super-horizon modes

example :



- Relevance to the gravitational thermalization ?

Interaction rate for modes outside the horizon

- For the opposite limit $|\mathbf{k}_1 - \mathbf{k}_3| \ll k_H$ (possible only for $k_1, k_2, k_3, k_4 \ll k_H$)

$$F(t; |\mathbf{k}_1 - \mathbf{k}_3|) \rightarrow \frac{\pi G m_a^2 c_s^2}{R^3(t) H^2(t)} \quad \longrightarrow \quad \Gamma \sim \frac{G m_a^2 n_a}{H^2}$$

- Similar result can be obtained when we consider the evolution of the background field $\phi_{cl} = \langle \alpha_{\mathbf{p}=0} | \phi | \alpha_{\mathbf{p}=0} \rangle$

From the effective action for ϕ_{cl}

$$e^{i\Gamma_{\text{eff}}[\phi_{cl}]} = \int \mathcal{D}\phi' \mathcal{D}\zeta \mathcal{D}\gamma_{ij} e^{iS[\phi', \zeta, \gamma_{ij}; \phi_{cl}]}$$

$$\Gamma_{\text{eff}}[\phi_{cl}] = \int d^4x R^3 \left[\frac{1}{2} \dot{\phi}_{cl}^2 - \frac{1}{2} m_a^2 \phi_{cl}^2 - \frac{1}{16 H^2 M_{\text{Pl}}^2 \tilde{\epsilon}} \left(\dot{\phi}_{cl}^2 + m_a^2 \phi_{cl}^2 \right)^2 + \dots \right]$$

$$\longrightarrow \ddot{\phi}_{cl} + 3H \dot{\phi}_{cl} + m_a^2 \phi_{cl} \approx - \frac{c_s^2 m_a^2}{4 H^2 M_{\text{Pl}}^2} \phi_{cl} \left(\dot{\phi}_{cl}^2 + m_a^2 \phi_{cl}^2 \right)$$

$$\longrightarrow \Gamma \sim \frac{\dot{N}}{N} \sim - \frac{c_s^2 m_a^3 \phi_{cl}^2}{2 H^2 M_{\text{Pl}}^2} \sim \frac{G m_a^2 n_a}{H^2}$$

$$\text{where } N = \frac{R^3 \rho_{\text{free}}}{m_a}, \quad \rho_{\text{free}} = \frac{1}{2} \dot{\phi}_{cl}^2 + \frac{1}{2} m_a^2 \phi_{cl}^2$$

- Not the “transition” between superhorizon modes, but the “correction” to the evolution of the background field

Present situation

- Gravitational self-interaction of axions becomes non-negligible
 - ➔ Yes (for axions represented by coherent state; irrelevant for those produced by string-wall systems)
- Does it mean the formation of BEC ?
 - (here BEC = perfect thermal equilibrium)
 - ➔ Perhaps not exactly thermal equilibrium, but “quasi-BEC” can be formed in the out-of-equilibrium state
 - Berges, Jaeckel, hep-ph/1402.4776
- Do axions have thermal contact with other particle species ?
 - ➔ No (?)
 - At least the interaction between coherent state (axions) and number state (other “particles”) vanishes at leading order.
 - KS, Yamaguchi, PRD87, 085010 (2013)
 - Effects on cosmological parameters might be fictitious
 - Erken, Sikivie, Tam, Yang, PRL108, 061304 (2012)
- Is there any distinct signatures on observations ?
 - ➔ Still under debate → talk by Prof. S. Davidson