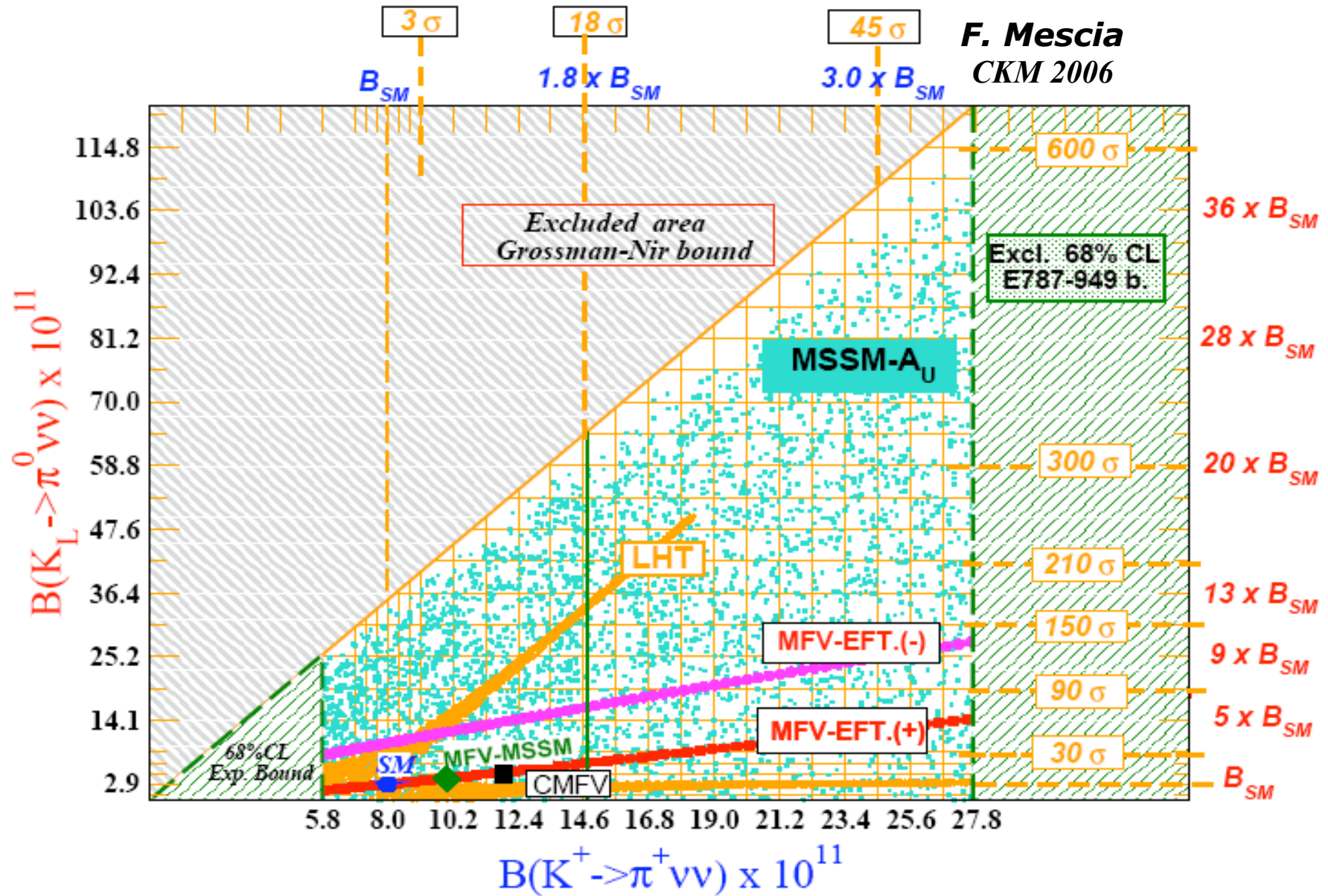


# **Rare Kaon Decays: Experimental Prospects**

**Augusto Ceccucci/CERN**

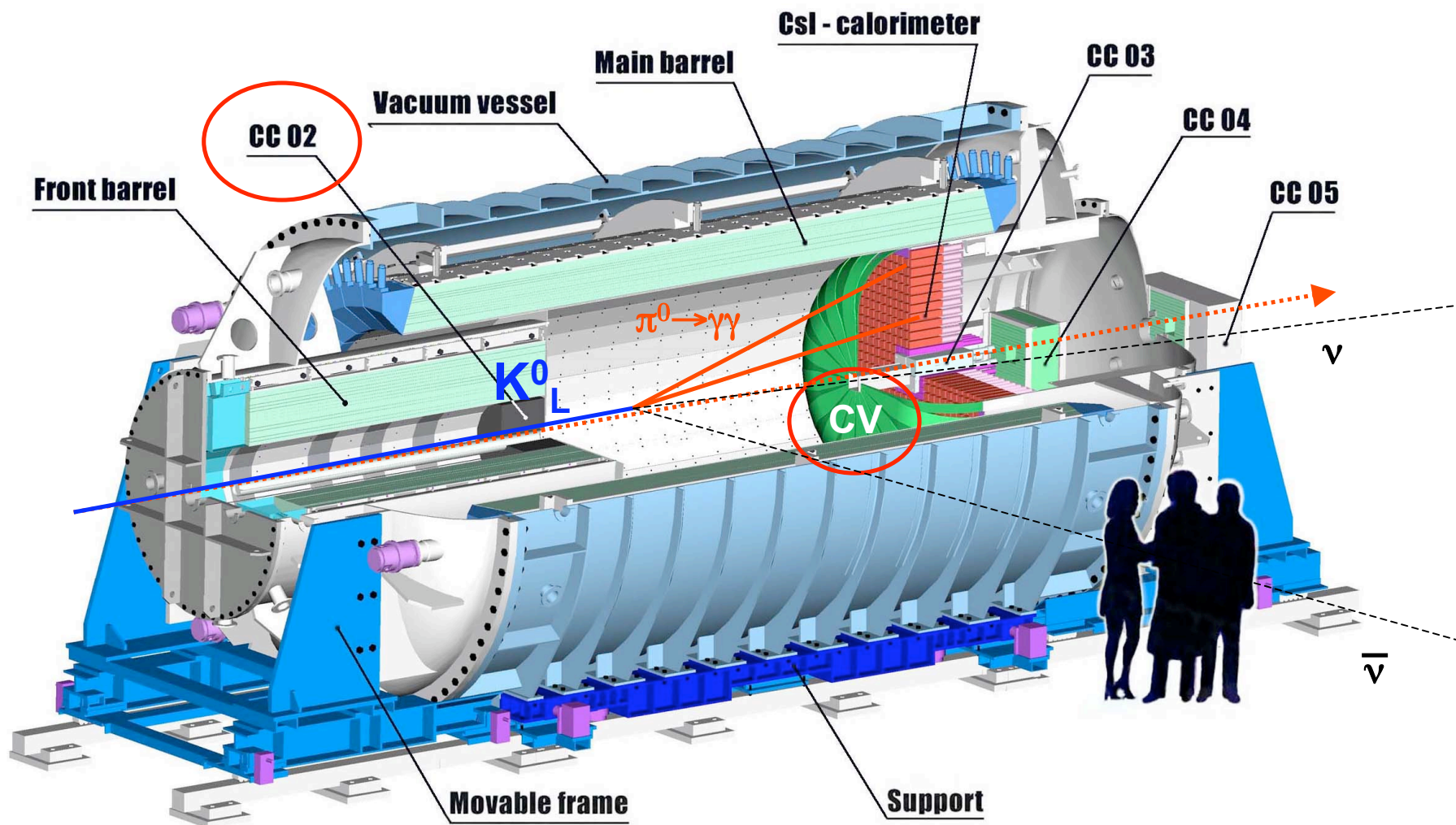
# New Physics Reach of the $K \rightarrow \pi \nu \nu$ decays



# Outlook

- $K_L^0 \rightarrow \pi^0 \nu \nu$ 
  - State of the art: KEK **E391a**
  - Prospects (short term) : J-PARC **E14**;
  - Prospects (long term) : KLOD@U70, Project X (à la KOPIO), CERN
- $K^+ \rightarrow \pi^+ \nu \nu$ 
  - State of the art: BNL **E787/E949**
  - An In flight experiment at Fermilab (CKM) was cancelled
  - Prospects (short term): in-flight CERN **P-326/NA62**
  - Prospects (long term): Project X (?)

# State of the art: KEK E391a



June 2, 2008

Augusto Ceccucci

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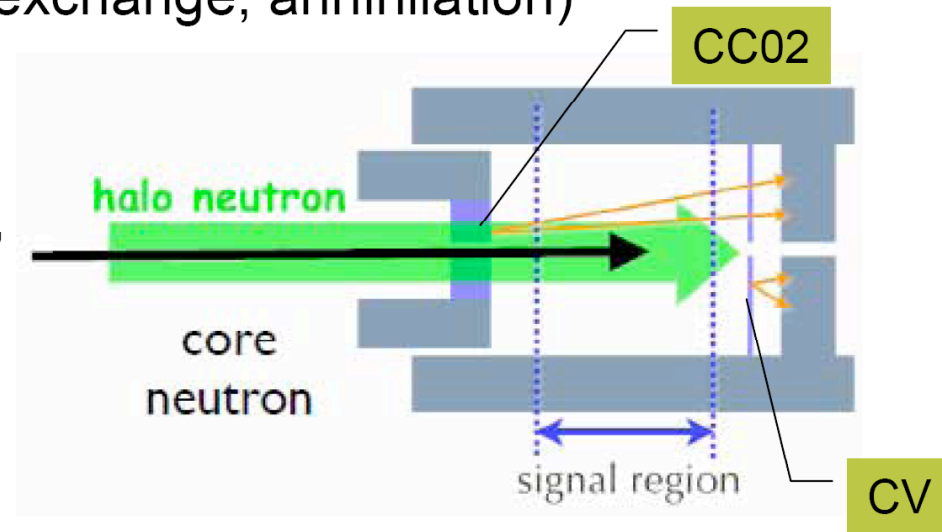
# E391a: technique

## ■ Kaon Decay

- $K_L \rightarrow \pi^0 \pi^0$  ( $2\gamma$  missed; due to inefficiency or fusion)
- $K_L \rightarrow \pi^+ \pi^- \pi^0$  (2 charged pion missed)
- $K_L \rightarrow \pi^- e^+ \nu$  (charge exchange, annihilation)

## ■ Halo neutron

- Interact with  
“CC02”, “CV”
- Produce  $\pi^0$ ,  $\eta$

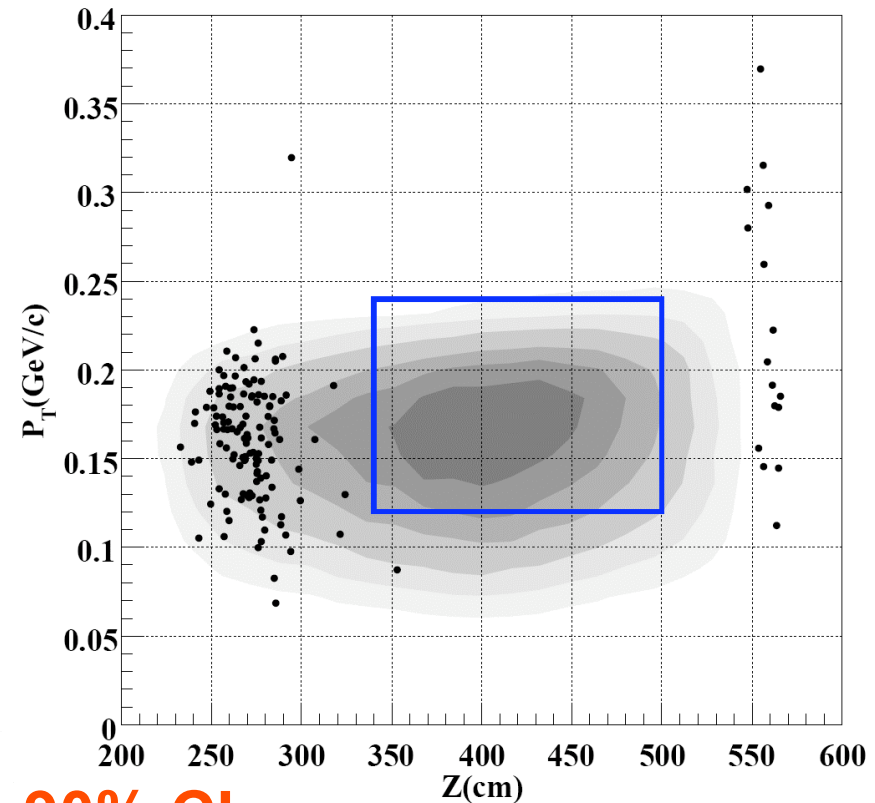


Slide from T. Nomura

# E391a: Run II

PRL 100, 201802 (2008) [arXiv:0712.4164]

Background Source	Estimated # BG
$K_L^0 \rightarrow \pi^0 \pi^0$	$0.11 \pm 0.09$
CC02	$0.16 \pm 0.05$
CV	$0.08 \pm 0.04$
CV- $\eta$	$0.06 \pm 0.02$
<b>Total</b>	<b><math>0.41 \pm 0.11</math></b>

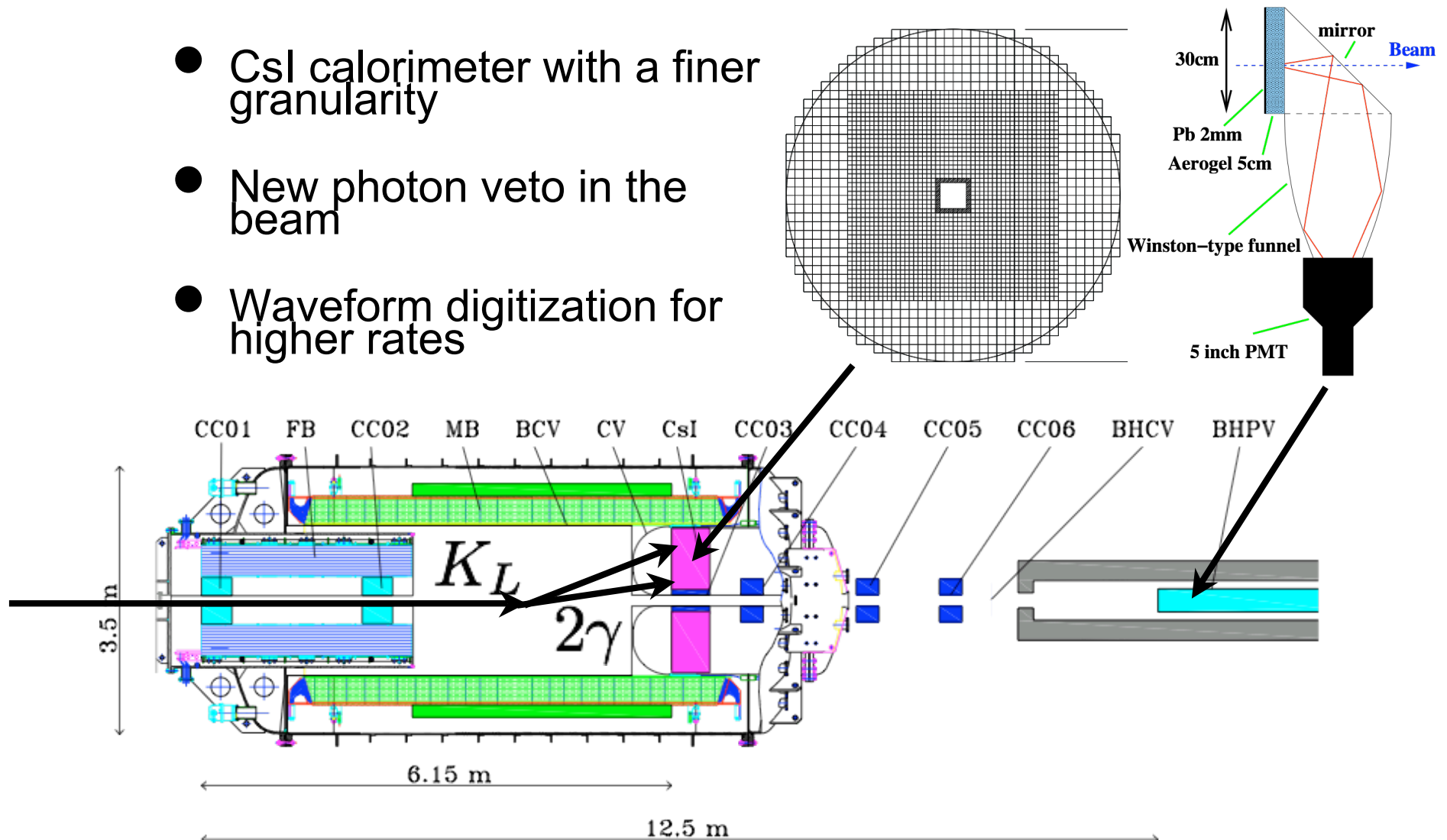


$$\text{BR}(K_L^0 \rightarrow \pi^0 \nu \nu) < 6.7 \times 10^{-8} \text{ 90\% CL}$$

Improvement by about a factor of three w.r.t. previous best limit

# Upgrades for E14 (J-Parc Step 1)

- CsI calorimeter with a finer granularity
- New photon veto in the beam
- Waveform digitization for higher rates



# E14@J-PARC Stage 1

- 3 snowmass years
- “KL alone” beamline

(KL yield based on GEANT4/QGSP)

		standard cuts	CsI cluster shape cut	acceptance loss (50%)
Signal	$K_L \rightarrow \pi^0 \nu \bar{\nu}$	$6.0 \pm 0.1$	$5.4 \pm 0.1$	$2.70 \pm 0.05$
$K_L$ BG	$K_L \rightarrow \pi^0 \pi^0$	$3.7 \pm 0.2$	$3.3 \pm 0.2$	$1.7 \pm 0.1$
	$K_L \rightarrow \pi^+ \pi^- \pi^0$	$0.18 \pm 0.08$	$0.16 \pm 0.07$	$0.08 \pm 0.04$
	$K_L \rightarrow \pi^- e^+ \nu_e$	$0.13 \pm 0.01$	$0.03 \pm 0.003$	$0.02 \pm 0.001$
halo n BG	CV	—	—	0.08
	$\eta$	8.1	0.6	0.3

Note:

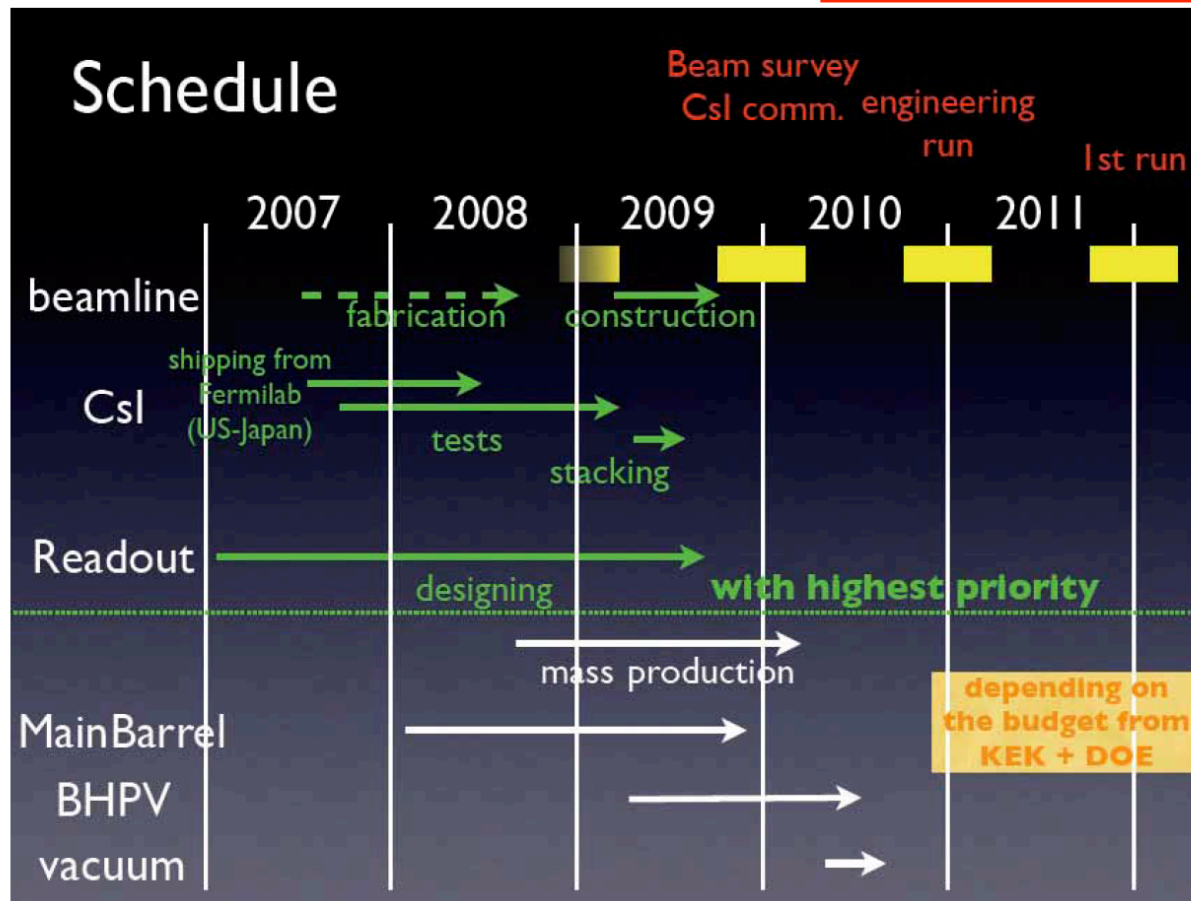
Detailed simulation of CV/CC02 BG in progress

Slide from T. Nomura

# Status of E14

- Stage I Approved
- Recommended for stage II approval by J-PARC PAC
- Significant resources already secured

Schedule from T. Nomura

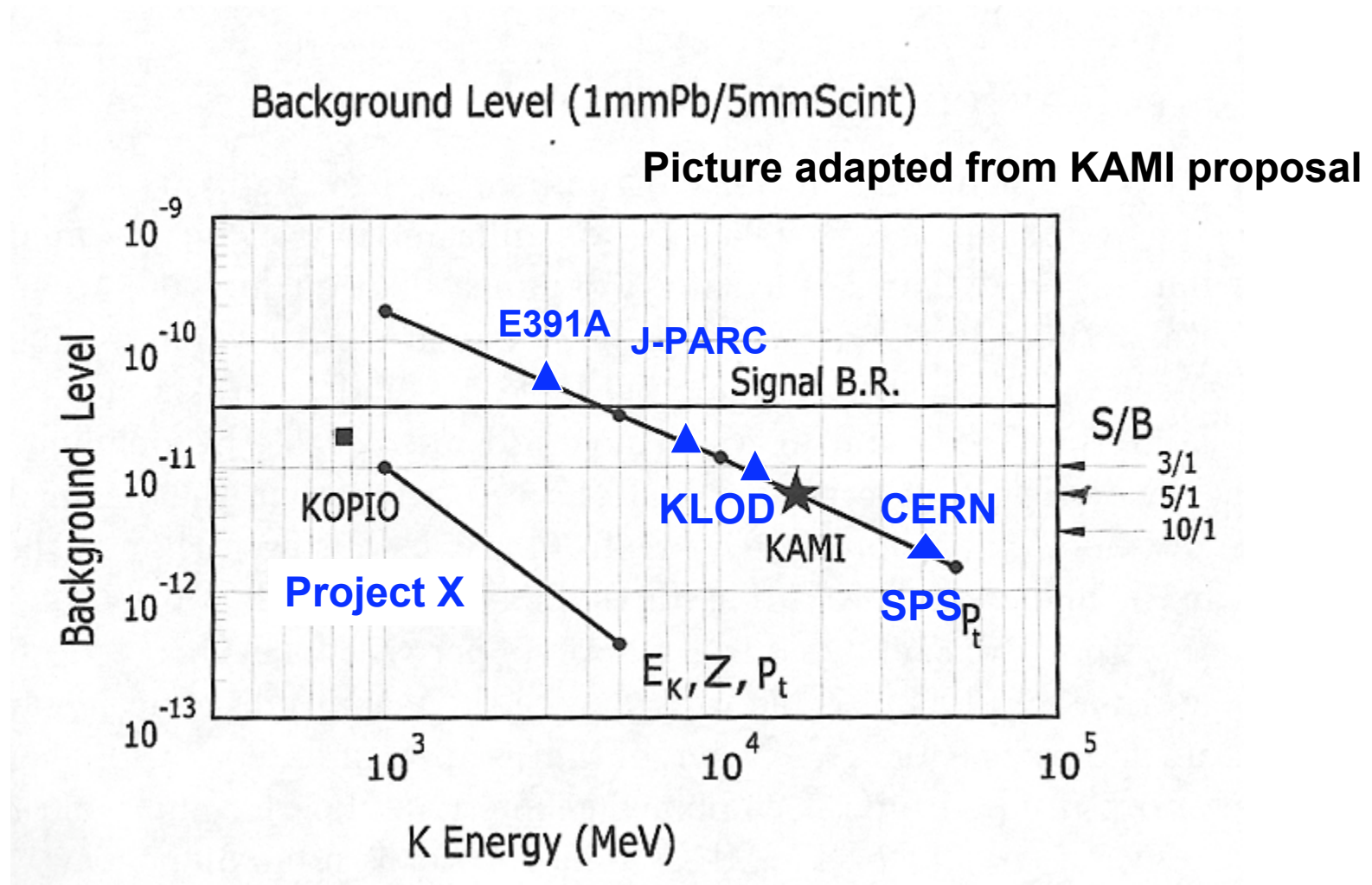


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# $K_L \rightarrow \pi^0 \nu \nu$ Long Time Prospects



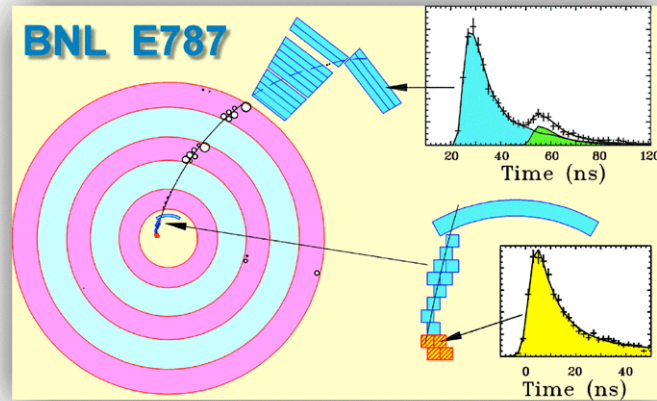
CERN is competitive if the E391A technique is established

# $K^+ \rightarrow \pi^+ \nu \nu$ : State of the art

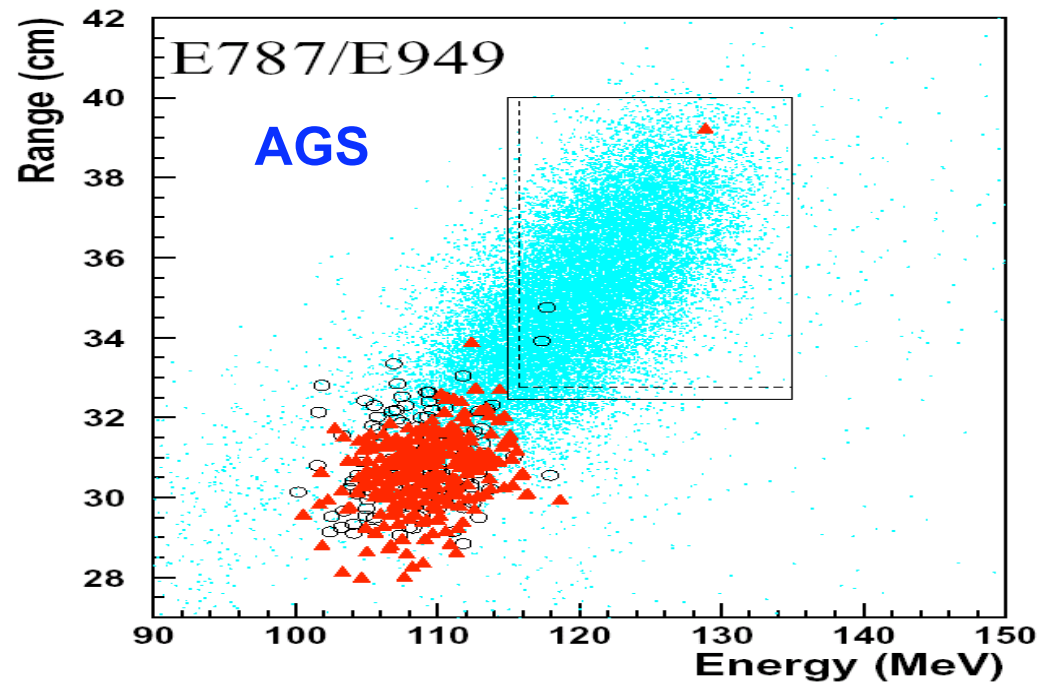
hep-ex/0403036 PRL93 (2004)

See also long article just printed:

PRD 77, 052003 (2008)



Stopped K  
~0.1 % acceptance



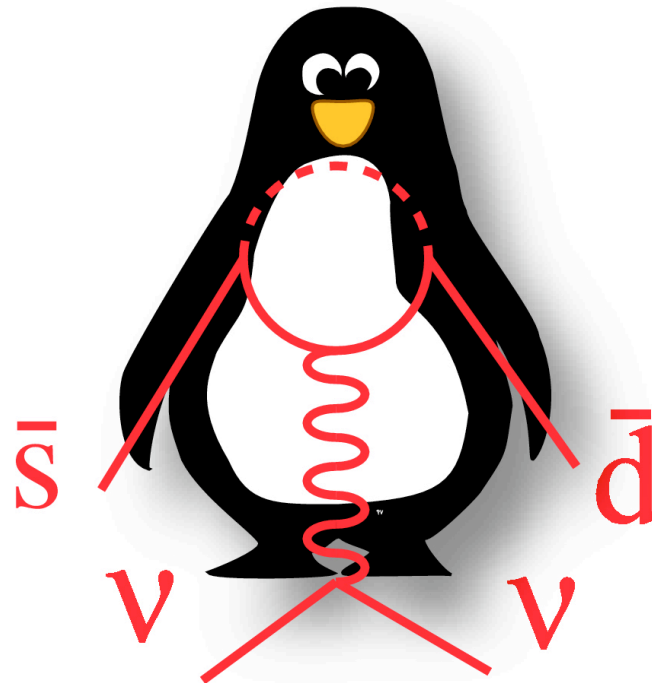
$$BR(K^+ \rightarrow \pi^+ \nu \nu) = 1.47^{+1.30}_{-0.89} \times 10^{-10}$$

- Three candidates
- Compatible with SM within large errors

# Proposal to Measure the Rare Decay $K^+ \rightarrow \pi^+ \nu \nu$ at the CERN SPS

## *P-326/NA62*

CERN-SPSC-2005-013  
SPSC-P-326

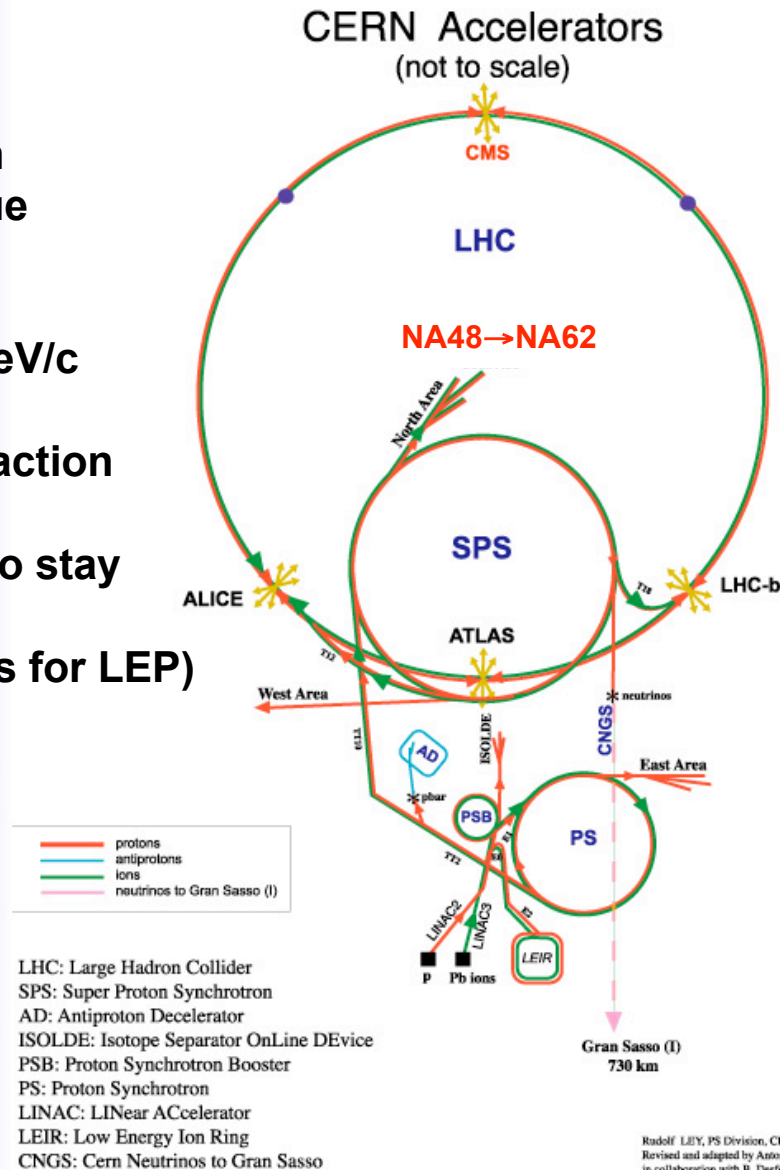


Bern, Birmingham, CERN, Dubna, Ferrara, Fairfax, Florence, Frascati, IHEP, INR, Louvain, Mainz, Merced, Naples, Perugia, Pisa, Rome I, Rome II, Saclay, San Luis Potosi, SLAC, Sofia, Triumpf, Turin

The CERN proton Complex is unique

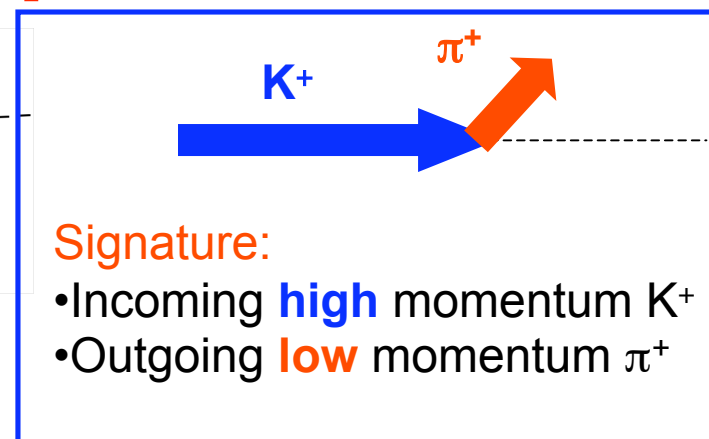
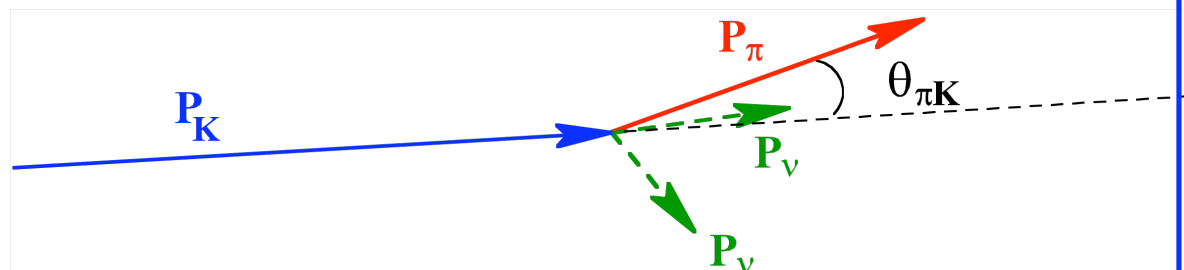
The SPS delivers Protons of 400 GeV/c Momentum with Fast or slow extraction

The SPS is here to stay as injector to the LHC (as it was for LEP)



Nota Bene:  
**NA****YY**  $\equiv$  **YY**<sup>th</sup>  
 Experiment  
 Performed at the  
**North Area** SPS  
 Extraction site

# Principle of the Experiment



## 1) Kinematical Rejection

$$m_{miss}^2 \approx m_K^2 \left( 1 - \frac{|P_\pi|}{|P_K|} \right) + m_\pi^2 \left( 1 - \frac{|P_K|}{|P_\pi|} \right) - |P_K| |P_\pi| \vartheta_{\pi K}^2$$

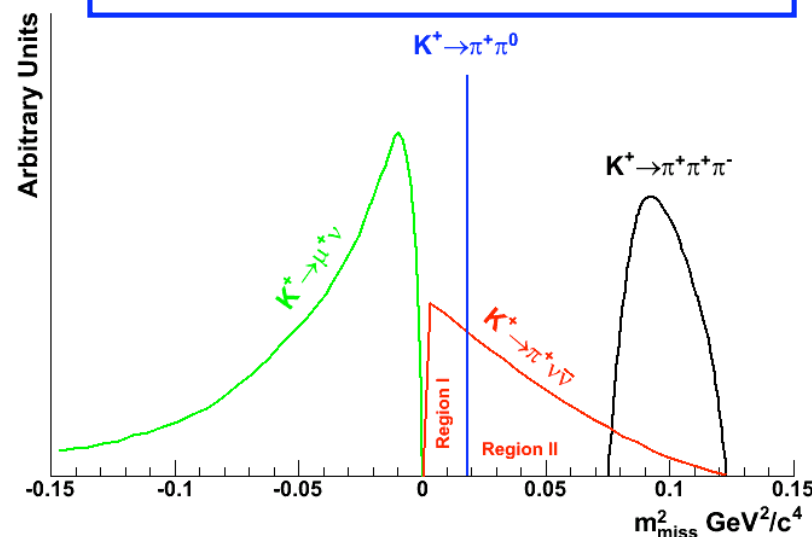
## 2) Photon vetoes to

reject  $K^+ \rightarrow \pi^+ \pi^0$  :

$P(K^+) = 75 \text{ GeV}/c$

Requiring  $P(\pi^+) < 35 \text{ GeV}/c$

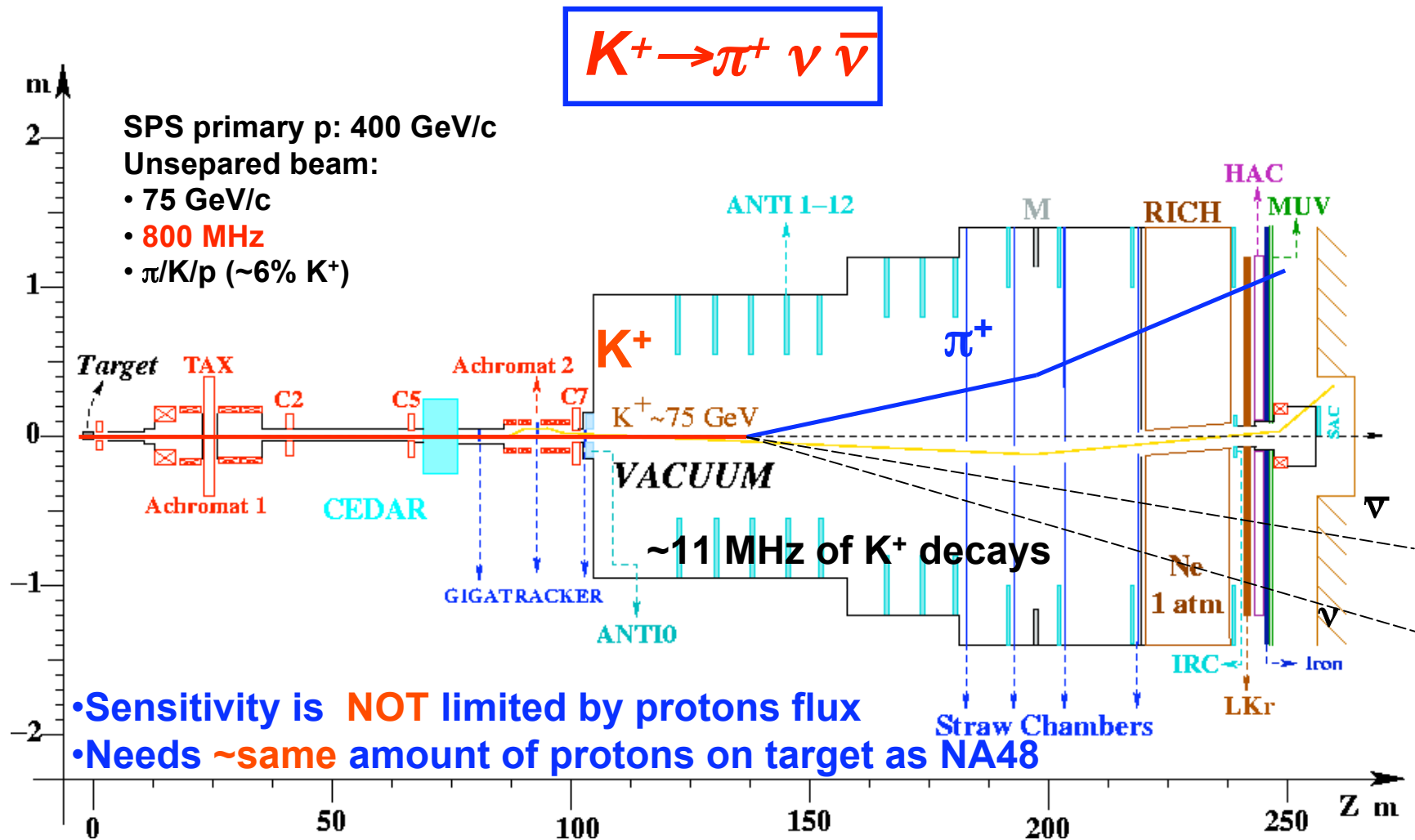
$P(\pi^0) > 40 \text{ GeV}/c \rightarrow$  It can hardly be missed in the calorimeters



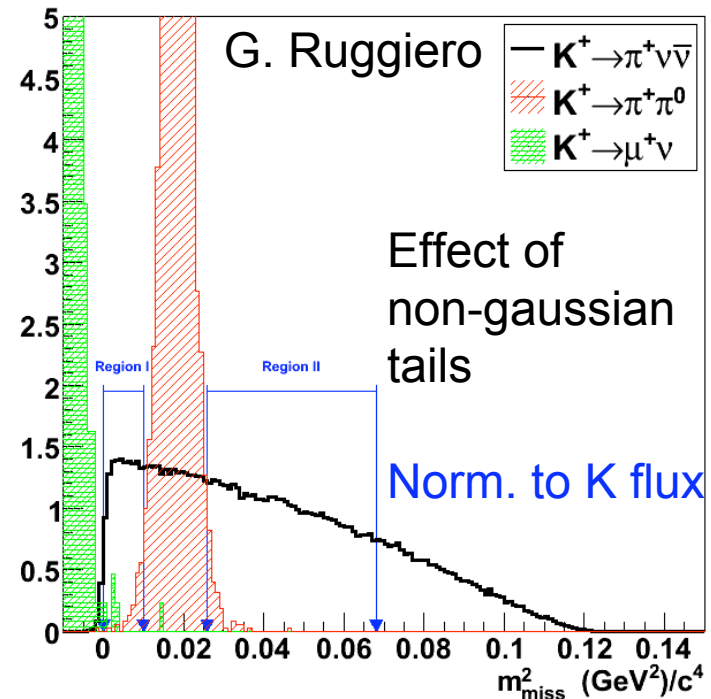
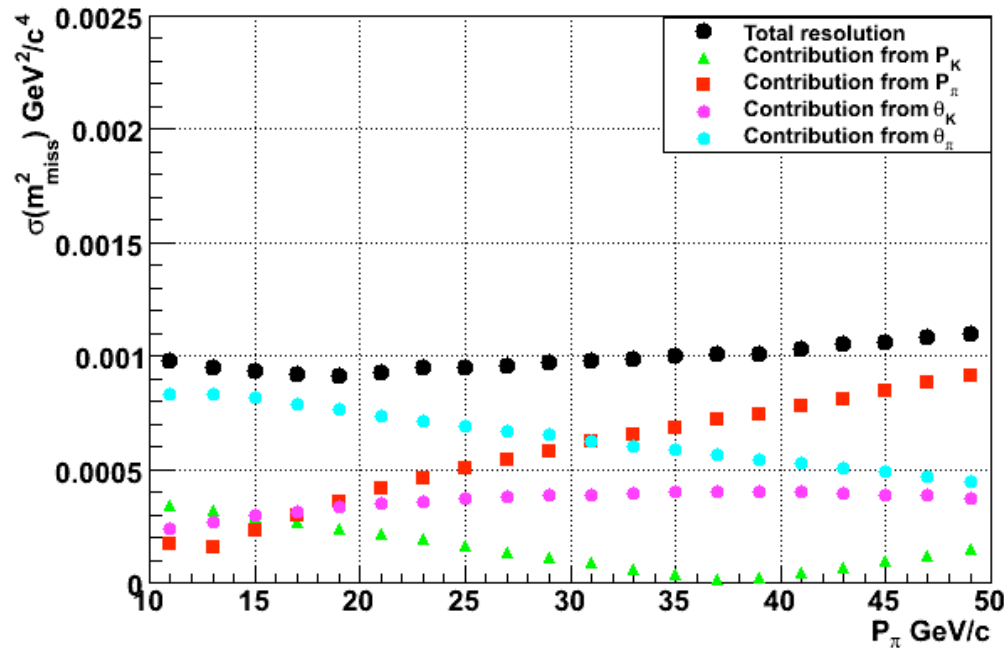
## 3) PID (RICH) for $K^+ \rightarrow \mu^+ \nu$ rejection (à la FNAL-CKM)



# Proposed Detector Layout



# Missing Mass Resolution



Non-gaussian tails can be induced, for instance, by the wrong association between the incoming kaon and the pion



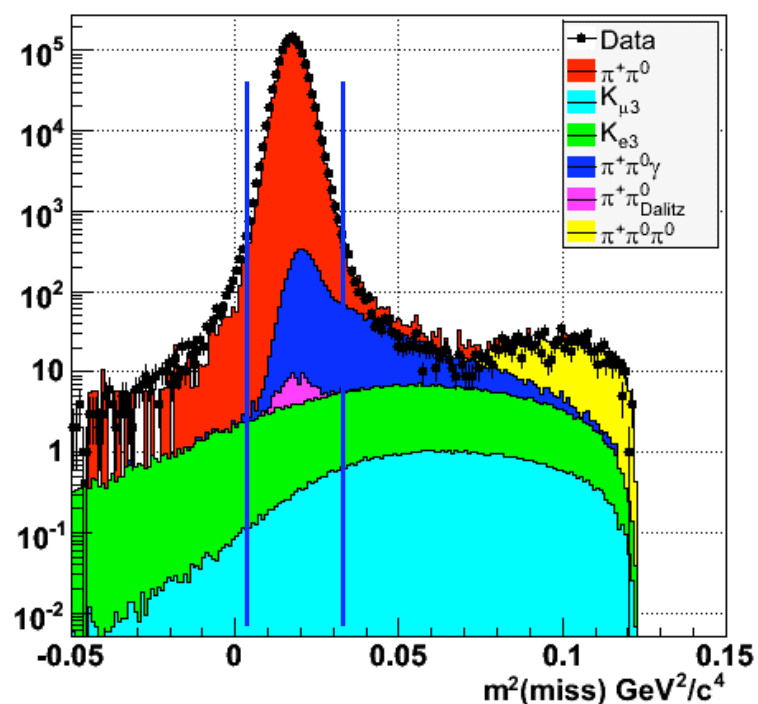
200 ps time resolution In gigatracker is required

# LKr inefficiency measured with data

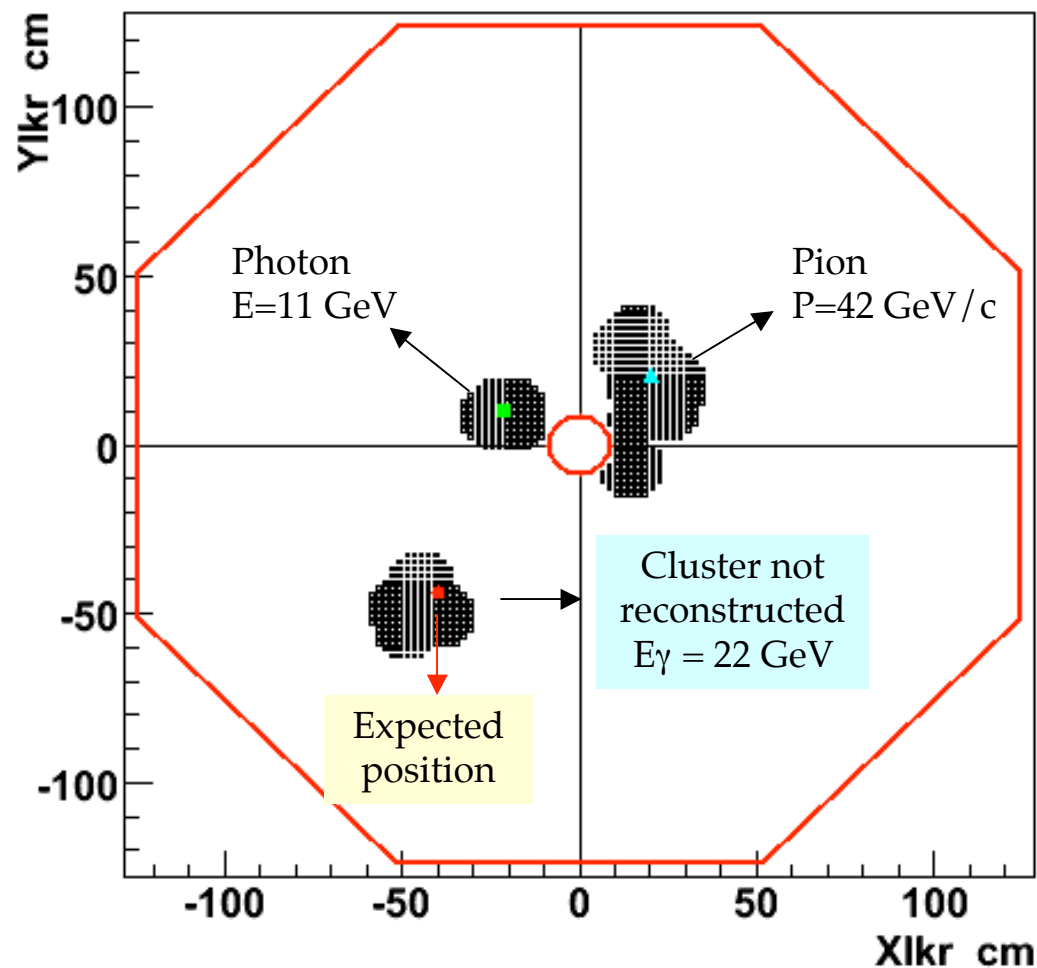
LKr ineff. per  $\gamma$  ( $E_\gamma > 10$  GeV):

$\eta \sim 7 \times 10^{-6}$  (preliminary)

$K^+ \rightarrow \pi^+ \pi^0$  selected kinematically

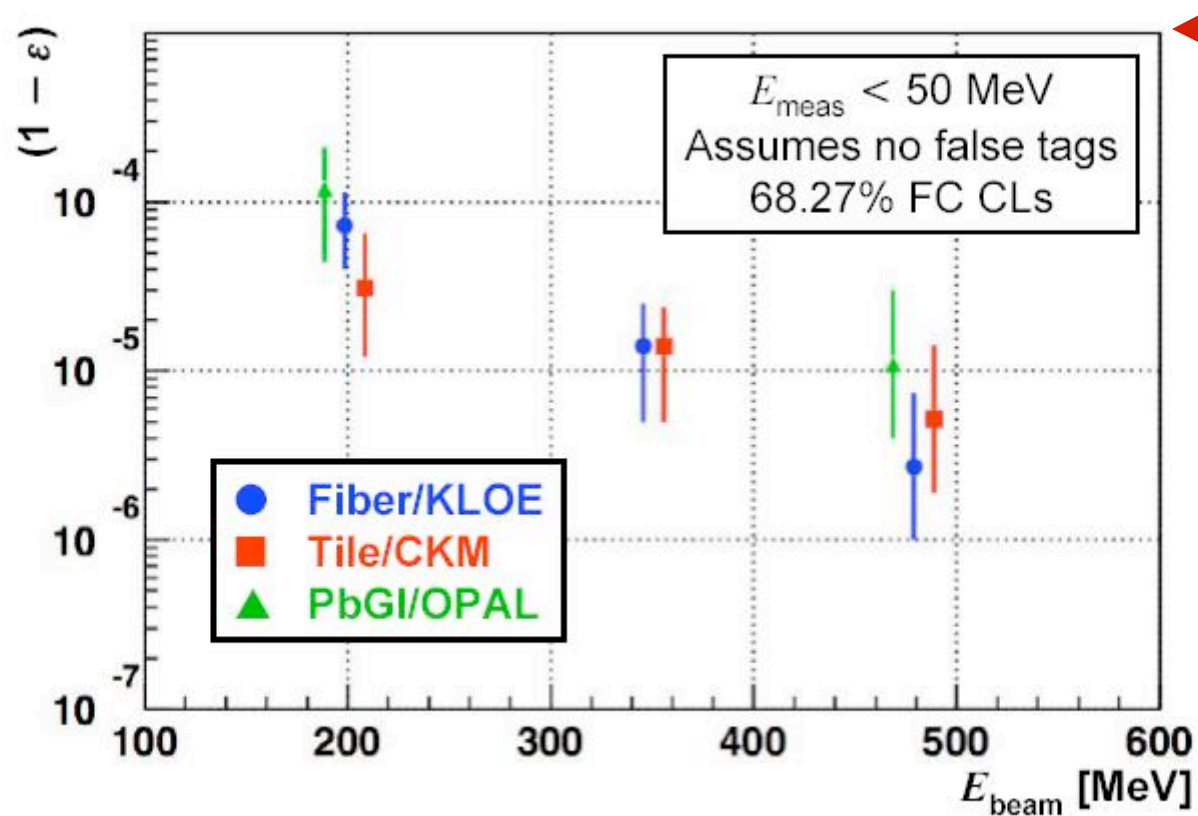


$\pi^+$  track and lower energy  $\gamma$  are used to predict the position of the other  $\gamma$



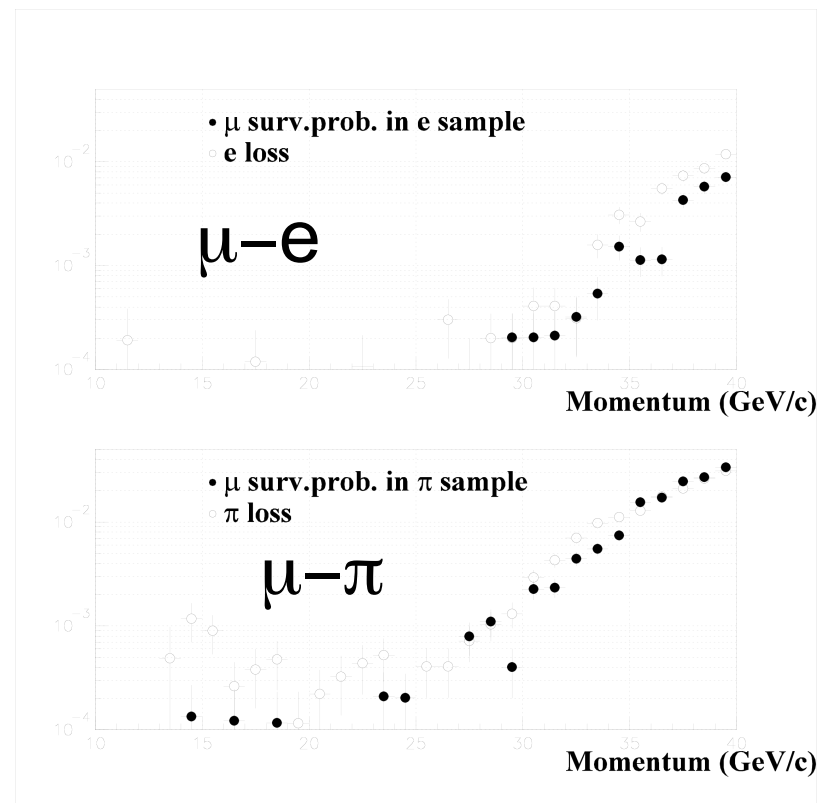
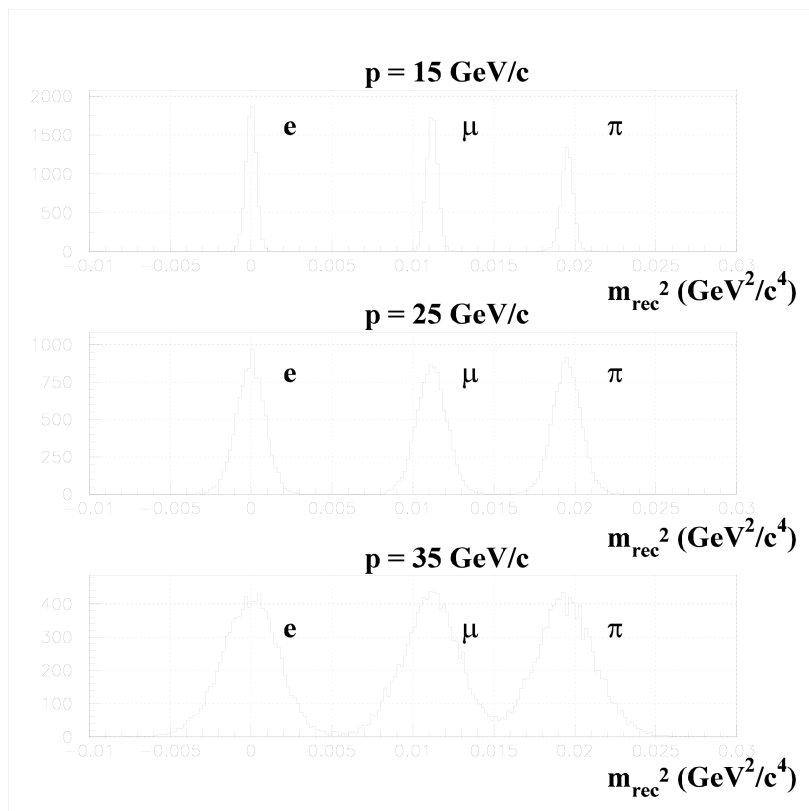
# Large Angle Photon Vetos

Efficiencies for electron detection similar for all 3 technologies



Tile (CKM) and lead glass (OPAL) results are preliminary

# The RICH: $\mu$ suppression (MC)



Muon contamination in  $\pi$  sample ( $15 < p < 35 \text{ GeV/c}$ ):  $1.3 \times 10^{-3}$



## P-326/NA62 Sensitivity

Decay Mode	Events
<b>Signal: <math>K^+ \rightarrow \pi^+ \nu \nu</math></b> [ $flux = 4.8 \times 10^{12}$ <i>decay/year</i> ]	<b>55 <i>evt/year</i></b>
$K^+ \rightarrow \pi^+ \pi^0$ [ $\eta_{\pi^0} = 2 \times 10^{-8}$ ( $3.5 \times 10^{-8}$ ) ]	<b>4.3% (7.5%)</b>
$K^+ \rightarrow \mu^+ \nu$	<b>2.2%</b>
$K^+ \rightarrow e^+ \pi^+ \pi^- \nu$	<b><math>\leq 3\%</math></b>
<b>Other 3 – track decays</b>	<b><math>\leq 1.5\%</math></b>
$K^+ \rightarrow \pi^+ \pi^0 \gamma$	<b><math>\sim 2\%</math></b>
$K^+ \rightarrow \mu^+ \nu \gamma$	<b><math>\sim 0.7\%</math></b>
$K^+ \rightarrow e^+ (\mu^+) \pi^0 \nu$ , others	<b>negligible</b>
<b>Expected background</b>	<b><math>\leq 13.5\%</math> (<math>\leq 17\%</math>)</b>

**Definition of “year” and running efficiencies based on NA48 experience**

# Status of the R&D

# Large Angle Photon Veto

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# NA62 MODULE ZERO

LEAD GLASSES

HANDLING

VETO 1-5

CABLING

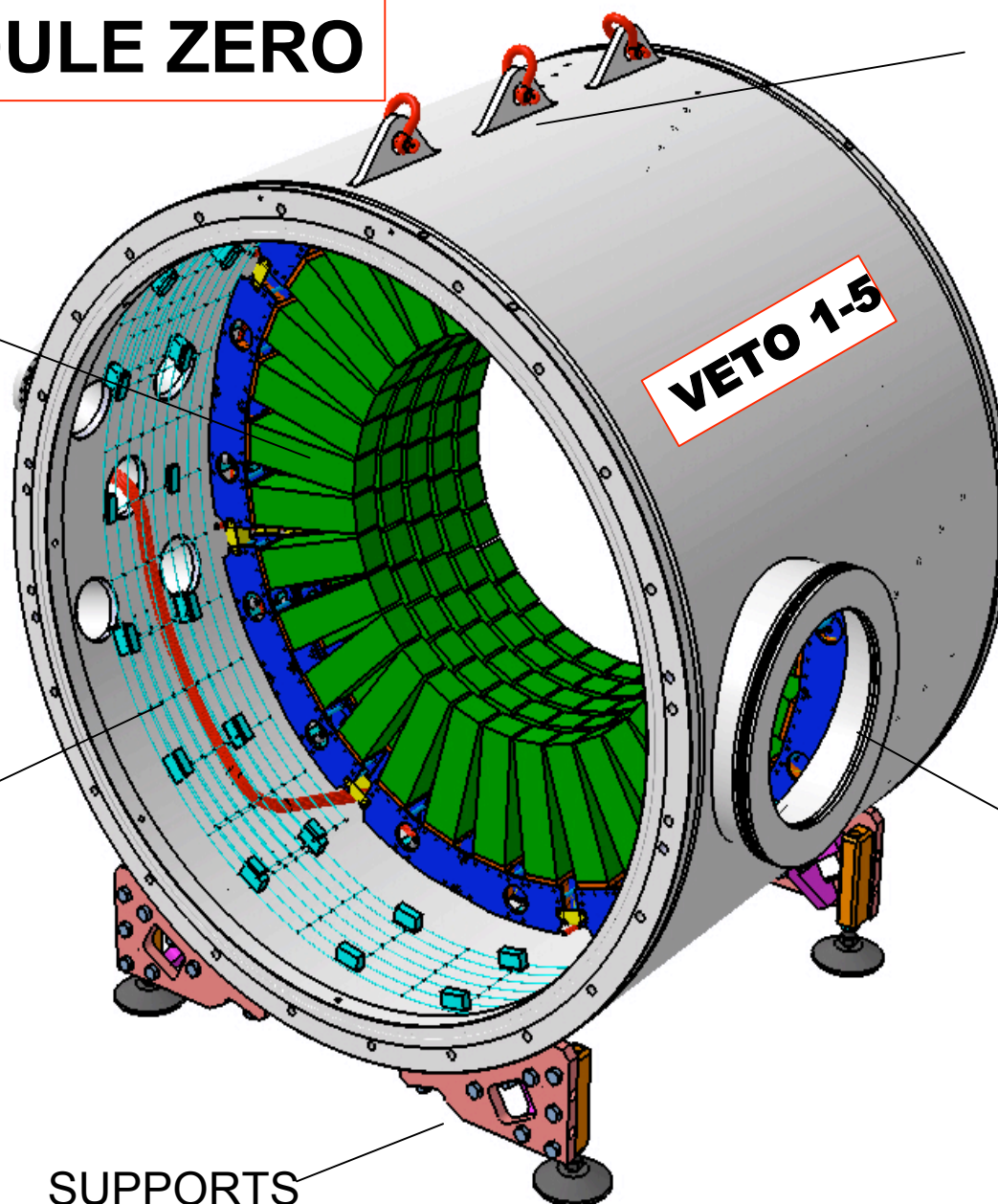
MAN HOLE

SUPPORTS

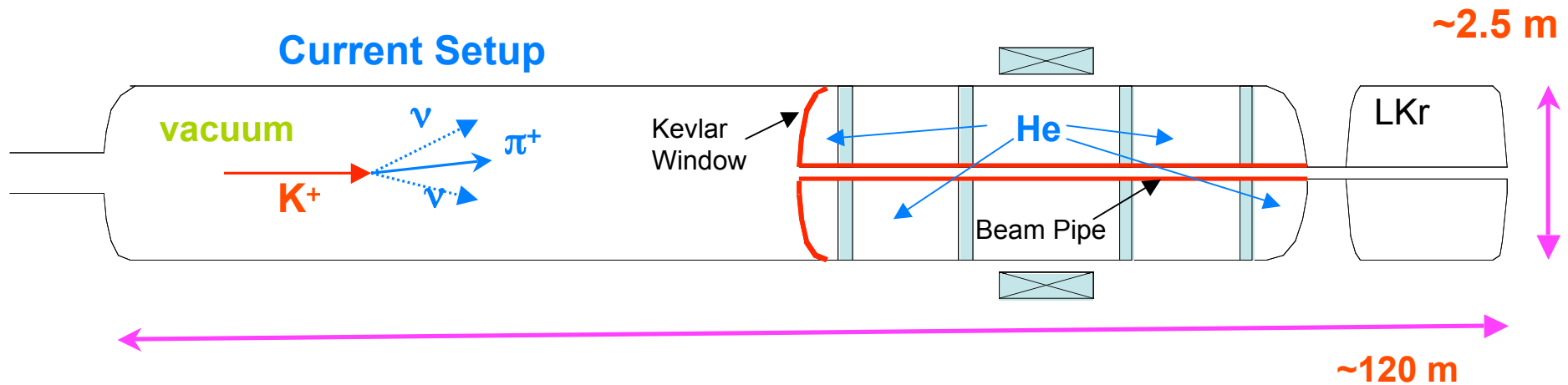
Augusto Ceccucci

LNF-SPAS  
C.Capoccia

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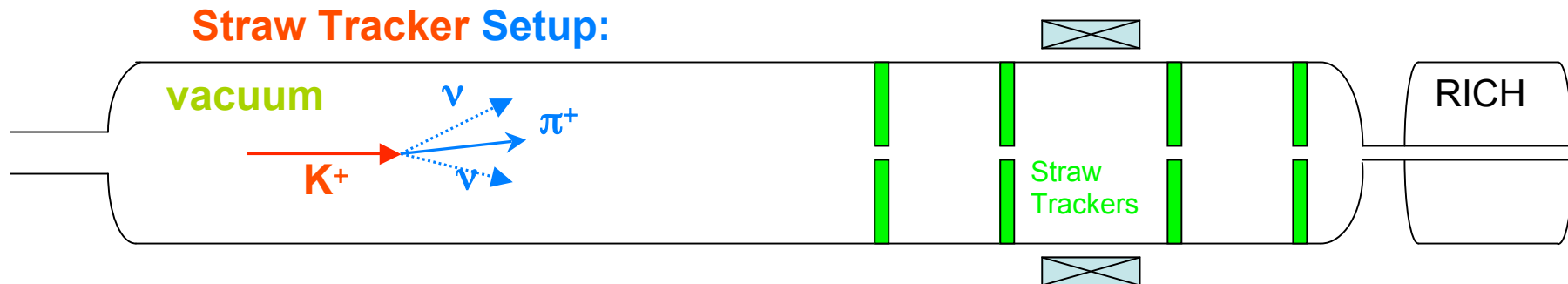


# NA62 Experimental Challenge: Straw Tracker



**Straw Trackers operated in vacuum would enable us to:**

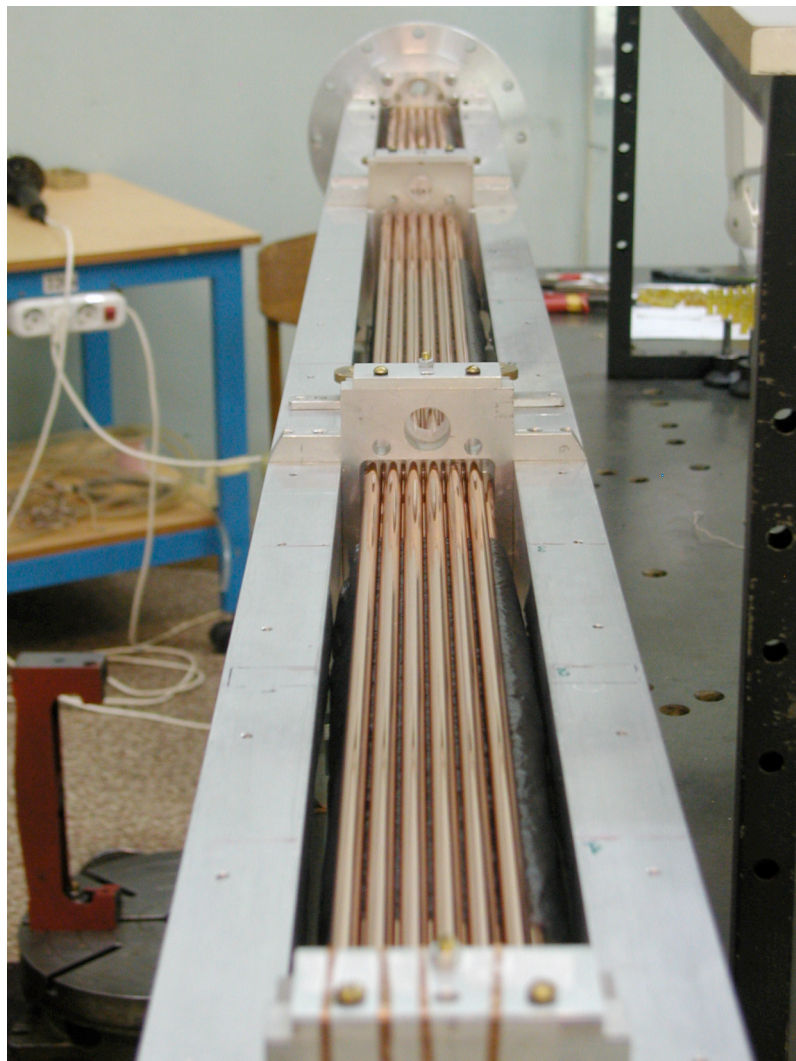
- Remove the multiple scattering due to the Kevlar Window
- Remove the acceptance limitations due to the beam-pipe
- Remove the helium between the chambers



**• The Straw Tracker is essential to study ultra-rare-decays in flight**



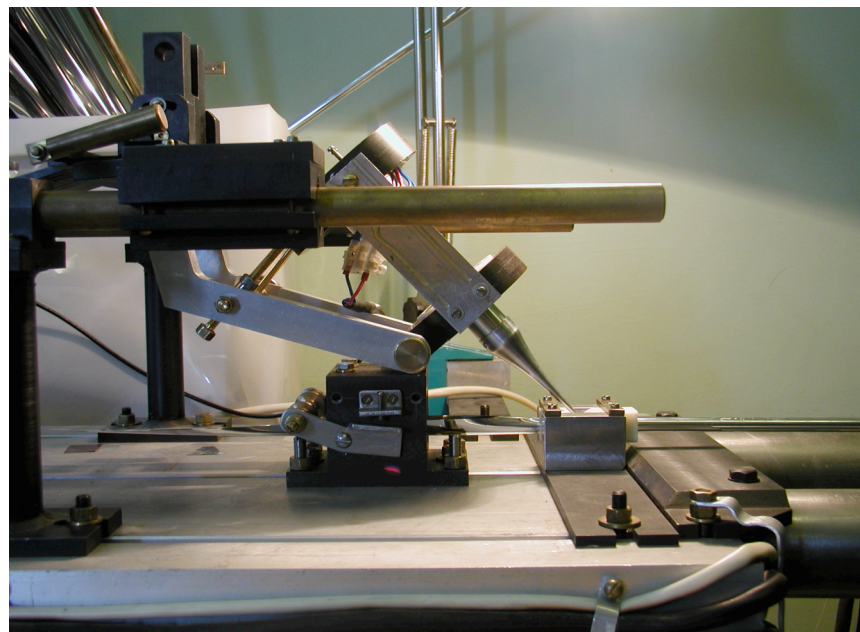
# STRAW Prototype built in 2007



June 2, 2008

Ultrasound Welded mylar  
(linear weld, no glue!)

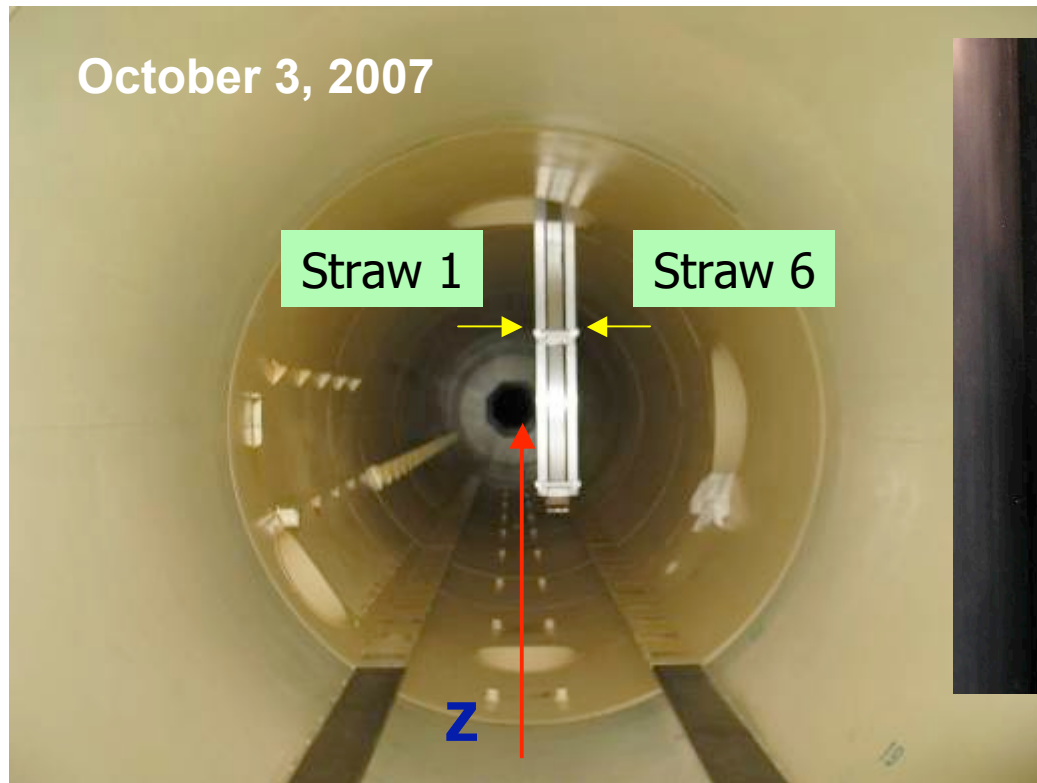
- 36 Al
- 12 (Cu+Au) mylar straws



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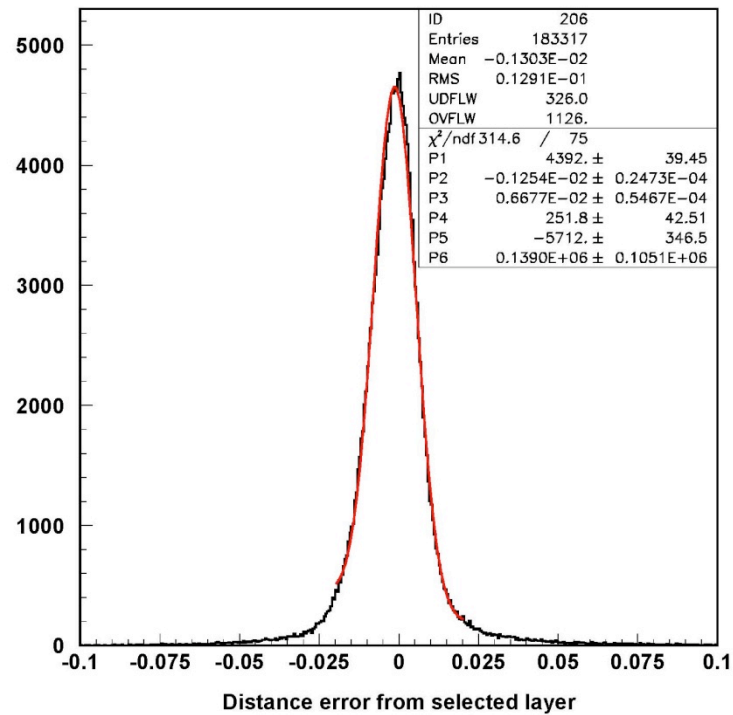
# Straw Prototype inside the Vacuum Tube



- Data were collected with hadron, muon and kaon decays
- The test in the actual vacuum tank enabled one to address realistic issues

# Aluminum straws (preliminary)

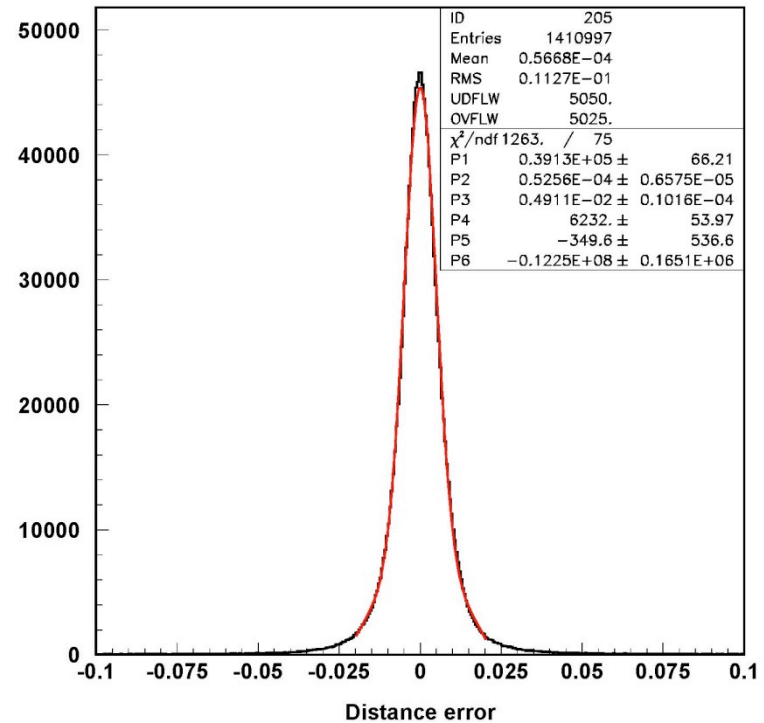
## Resolution



R.M.S.=130  $\mu\text{m}$   
sigma=67  $\mu\text{m}$

June 2, 2008

## Residuals

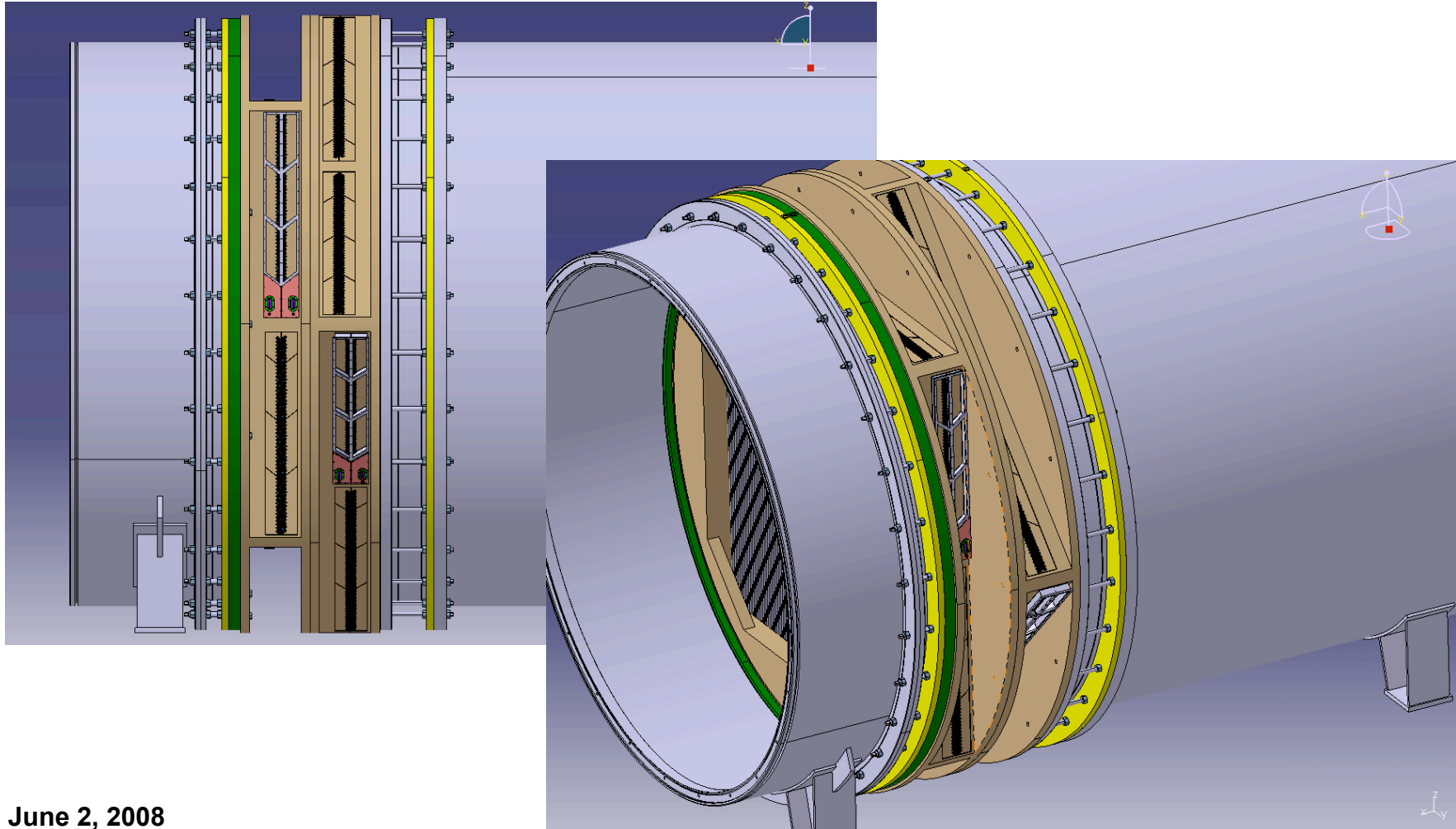


R.M.S.=113  $\mu\text{m}$   
sigma=49  $\mu\text{m}$

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# NA62 Straw Chamber design



June 2, 2008



# The RICH prototype

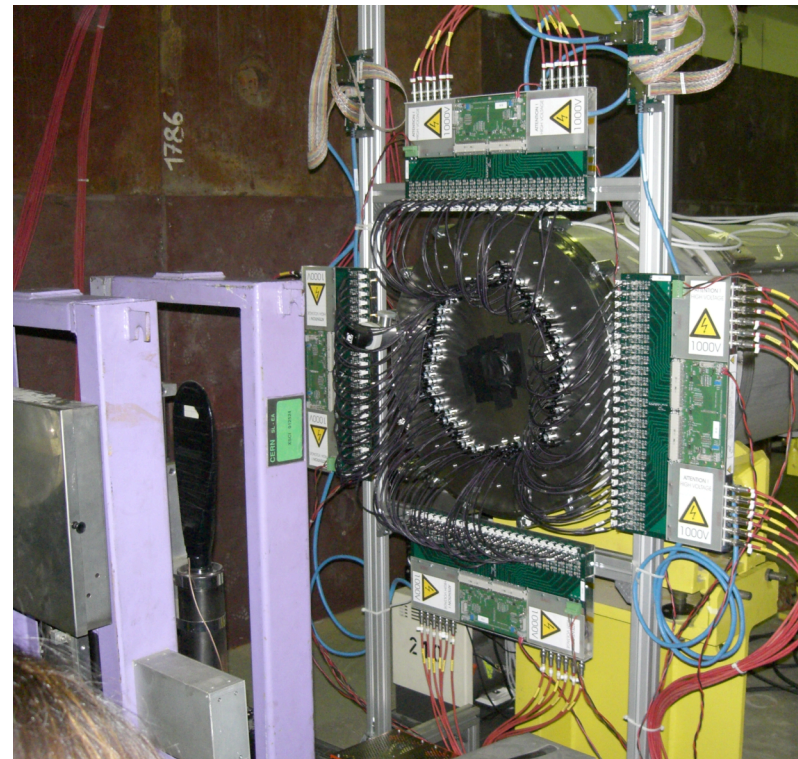
- Full scale (longitudinal) RICH prototype
- 18 m long tube, vacuum resistant
- Mirror:  $\phi=50\text{cm}$ ,  $f=17.01\text{m}$
- 96 PMs Hamamatsu R 7400 U
- Test: negative beam from SPS@200 GeV/c

**Achieved time resolution:  
75 ps per event**

**In 2008 the prototype  
Will be equipped with  
~400 PMTs**

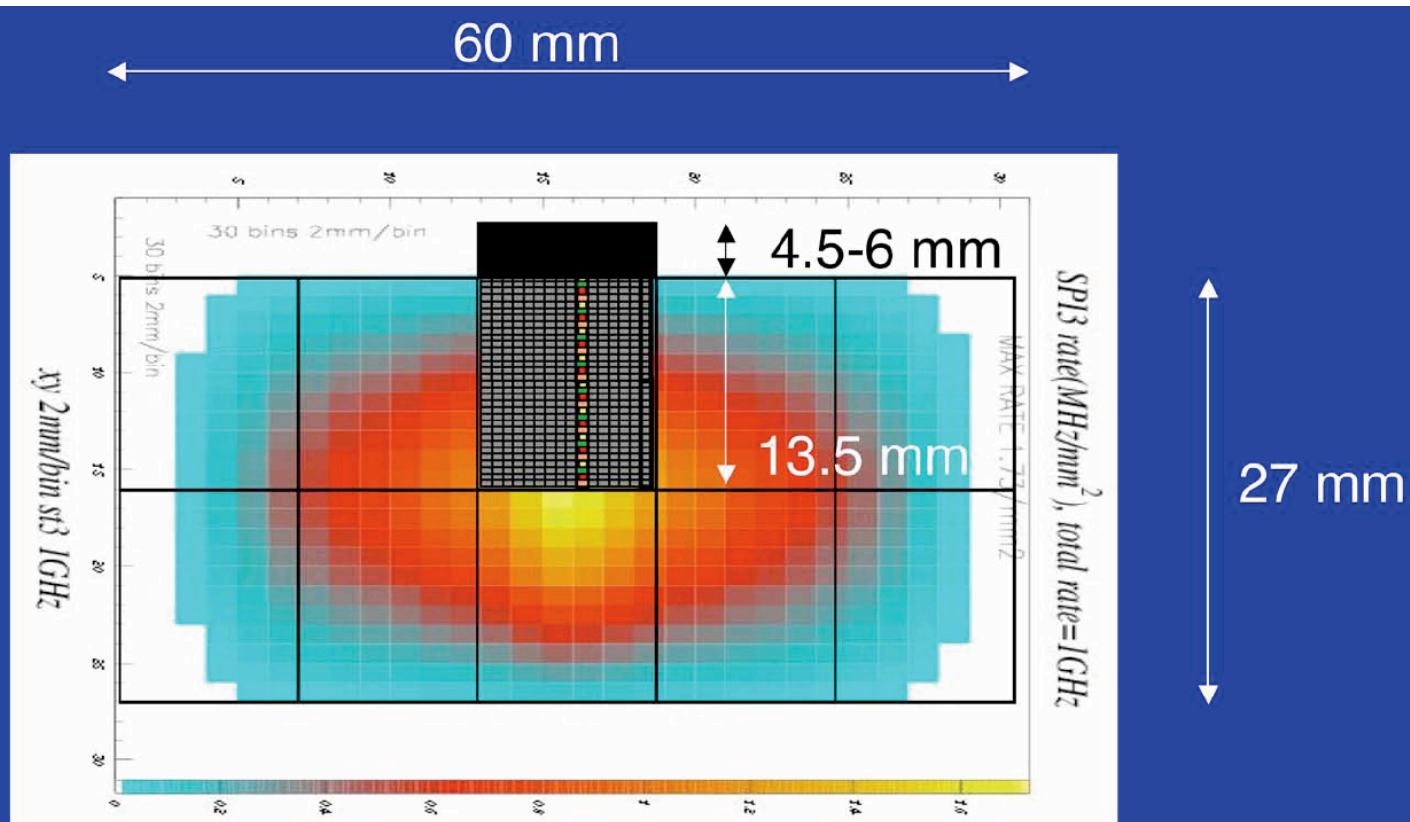


June 2, 2008



Augusto Ceccucci

# Gigatracker (Silicon $\mu$ -pixel)



## Requirements:

Time resolution: 200 ps / station

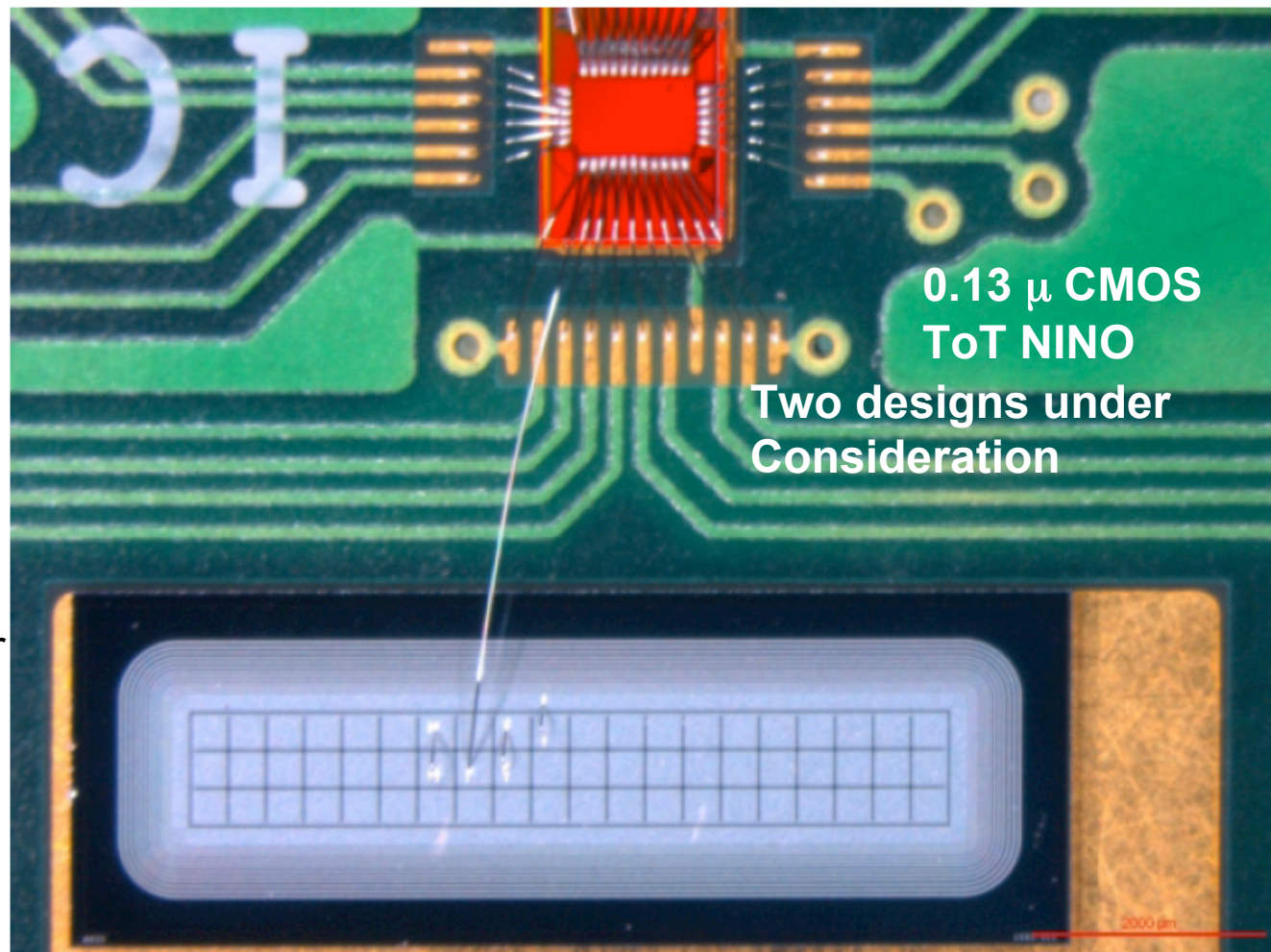
Material Budget:  $< 0.5 \% X_0$  / station

Driven by the experience with the thin hybrids of the ALICE SPD





## IRST Diode with NINO chip



Next step::  
~8 x 8 matrix  
prototype  
Bump-bonded  
to R/O chip for  
Beam Test

Fadmar Osmić – P326 GTK Meeting, September 10, 2007 -7-



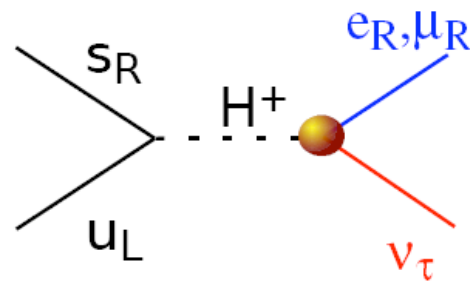
## Status of P-326 / NA62

- The Collaboration is in the phase of **completing** the R&D and preparing the construction
- Some Funding agency, **and notably INFN**, have already approved the programme
- Construction is foreseen during the **2009-2011** period and data taking for  $K^+ \rightarrow \pi^+ \nu \nu$  should start in **2011-2012**
- The New Collaboration is growing and the best way to blend the new groups is to **do physics together**
- In 2007 the New Collaboration **NA62** has collected 5 months of proton data at the SPS to study lepton universality in kaon leptonic decays

$$R_K = \Gamma(K \rightarrow e \nu) / \Gamma(K \rightarrow \mu \nu)$$

$$R_K^{LFV} = \frac{\sum_i \Gamma(K \rightarrow e \nu_i)}{\sum_i \Gamma(K \rightarrow \mu \nu_i)} \simeq \frac{\Gamma_{SM}(K \rightarrow e \nu_e) + \Gamma(K \rightarrow e \nu_\tau)}{\Gamma_{SM}(K \rightarrow \mu \nu_\mu)}, \quad i = e, \mu, \tau$$

Masiero, Paradisi, Petronzio,  
hep-ph/0511289 PRD74,(2006)



$$e H^\pm \nu_\tau \rightarrow \frac{g_2}{\sqrt{2}} \frac{m_\tau}{M_W} \Delta_R^{31} \tan^2 \beta$$

$$\Delta_R^{31} \sim \frac{\alpha_2}{4\pi} \delta_{RR}^{31}$$

$$\Delta_R^{31} \sim 5 \cdot 10^{-4} \quad t_\beta = 40 \quad M_{H^\pm} = 500 \text{ GeV}$$

$$R_K(\text{SM}) = (2.472 \pm 0.001) \times 10^{-5}$$

$$\Delta r_K^{e-\mu} \simeq \left( \frac{m_K^4}{M_{H^\pm}^4} \right) \left( \frac{m_\tau^2}{m_e^2} \right) |\Delta_R^{31}|^2 \tan^6 \beta \approx 10^{-2}$$

Variations of the order of 1% to  
with respect to  $R_K(\text{SM})$  may be  
present from breaking of  $\mu$ - $e$   
universality in SUSY  
(maximum effect possible -3.2%)

**World average (PDG):  $R_K = (2.44 \pm 0.11) \times 10^{-5}$**

from three experiments: 1972 (112 evts); 1975 (534 evts); 1976 (404 evts)

From the analysis of NA48/2 2003 data ( ~ 4000 events ):

$R_K = (2.416 \pm 0.043 \pm 0.024) \times 10^{-5}$  ( ~ 4000 evts) presented at HEP2005, Lisbon

# Ratio of Leptonic Meson Decays

$$R_M = \frac{\Gamma(M \rightarrow e\nu(\gamma))}{\Gamma(M \rightarrow \mu\nu(\gamma))} = \left(\frac{m_e}{m_\mu}\right)^2 \left( \frac{1 - \left(\frac{m_e}{m_M}\right)^2}{1 - \left(\frac{m_\mu}{m_M}\right)^2} \right)^2 \times (1 + \delta R_M)$$

The latest theoretical predictions give:

$$R_\pi = (1.2352 \pm 0.0001) \times 10^{-4}$$

$$R_K = (2.477 \pm 0.001) \times 10^{-5}$$

## Experimental Situation

$$\pi \rightarrow e\nu$$

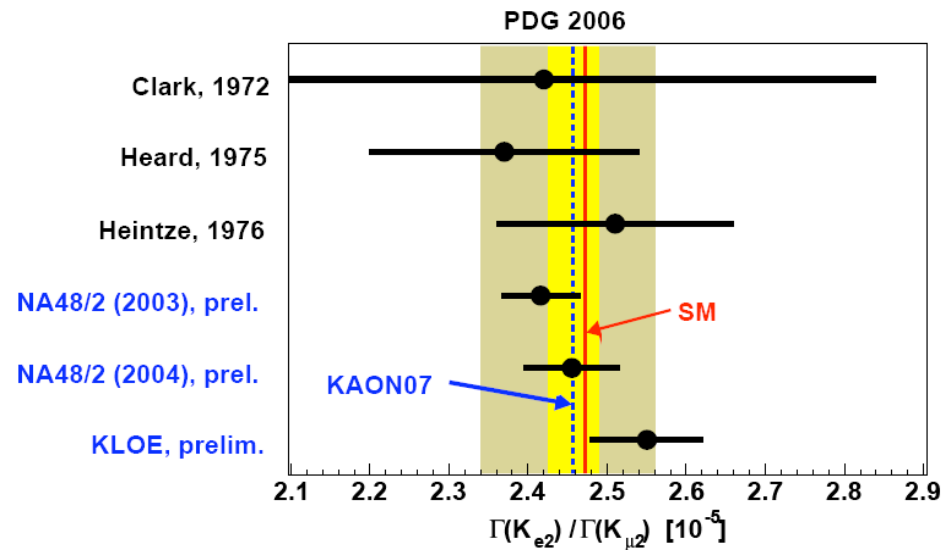
$$R_{e/\mu}^{\text{exp}\pi} (\pm 0.4\%)$$

$$1.2265(34)(44) \times 10^{-4} \text{ TRIUMF (1992)}$$

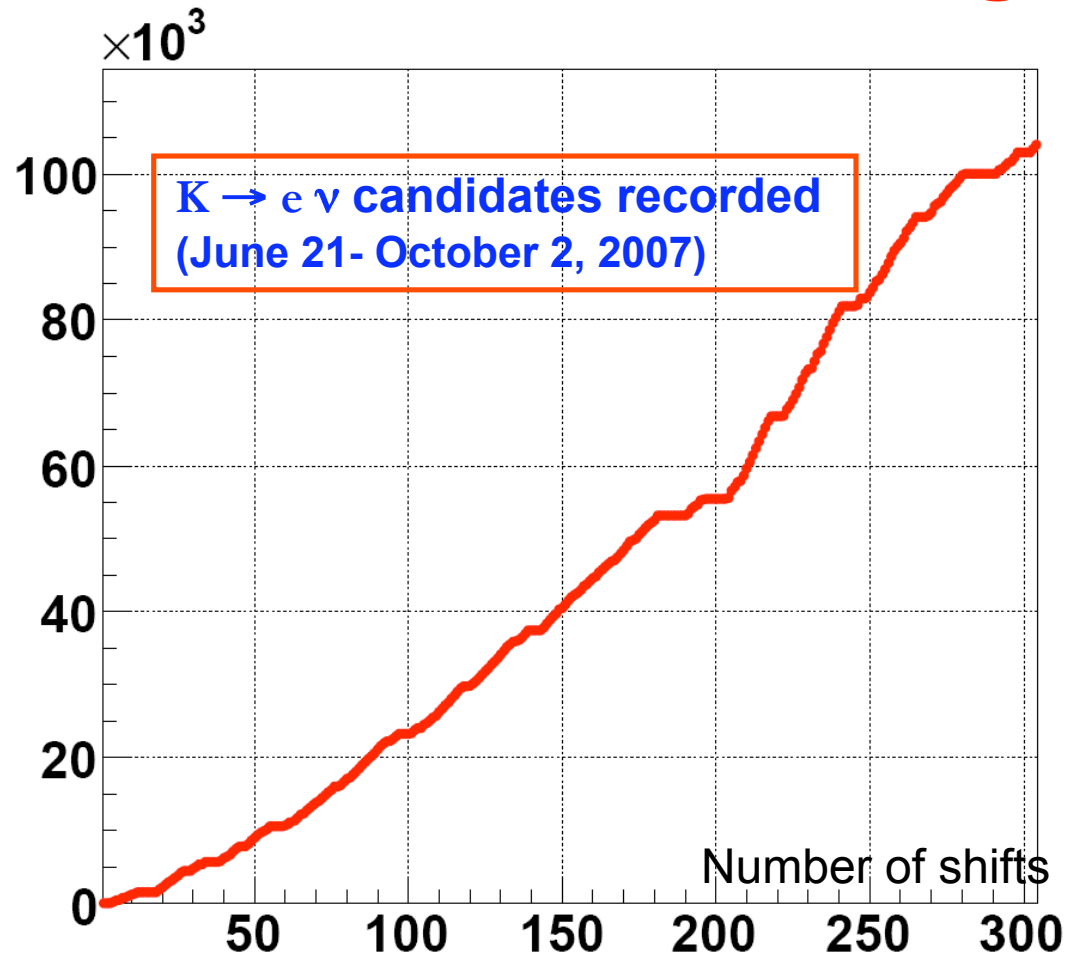
$$1.2346(35)(36) \times 10^{-4} \text{ PSI (1993)}$$

**New experiments  
planned at TRIUMF  
and PSI to reach <0.1%  
on  $R_\pi$**

$$R_K = 2.457 \pm 0.032 \times 10^{-5}$$

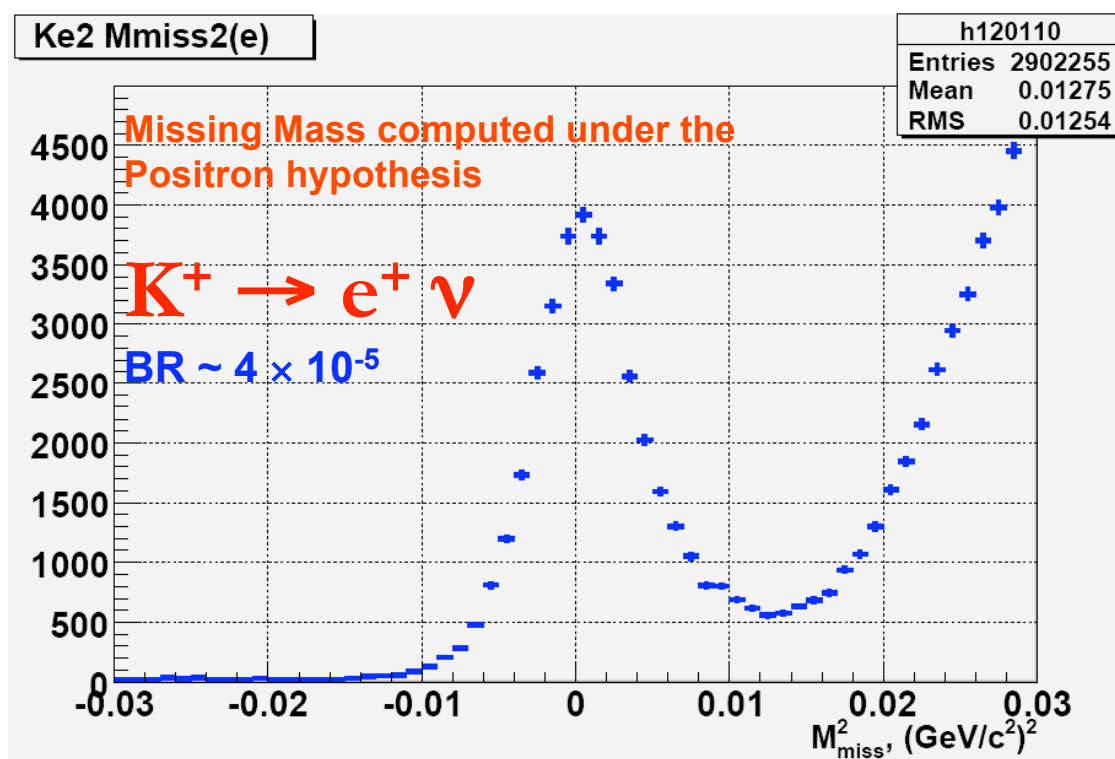
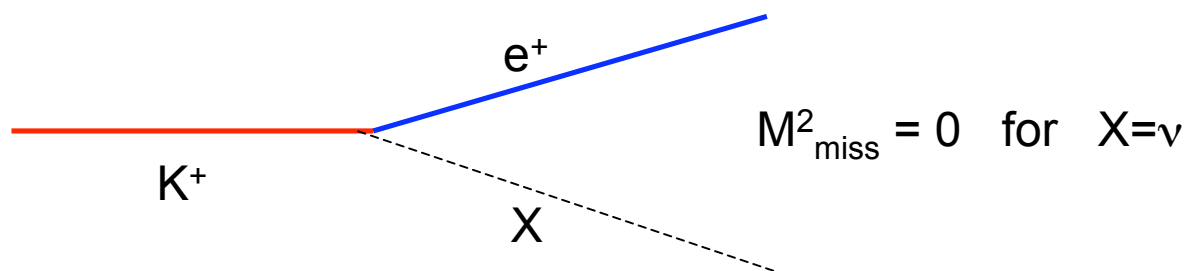


# NA62: 2007 data taking for $R_K$



NA62 has accumulated more than 100 k  $K \rightarrow e \nu$  events in 2007 to push the error on  $R_K$  from  $\sim 2\%$  to  $\sim 0.3\%$

# Subset of the NA62 data (2007)





# Fermilab Plan

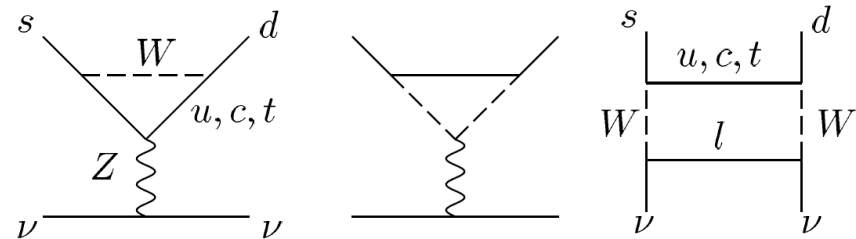
with a High Intensity Proton Source  
Project X

Young-Kee Kim  
Fermilab and University of Chicago

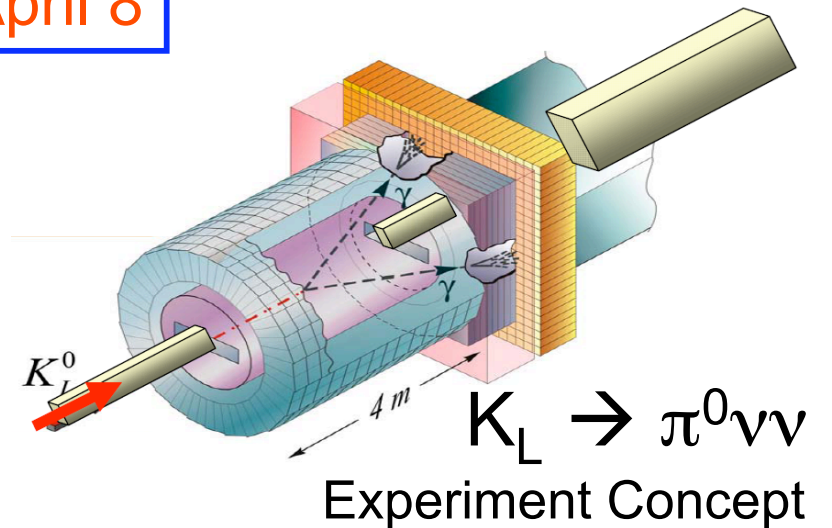
CERN  
April 8, 2008

# Kaons: Rare Decays $K^+ \rightarrow \pi^+ \nu \nu$ , $K_L \rightarrow \pi^0 \nu \nu$

SM Leading diagrams



Young-Kee Kim, April 8



an almost-MFV World



- an almost-Minimal Flavor Violation World
  - Measuring small deviations from SM – of great importance.
    - SUSY breaking scale, Flavor symmetries related to unification, Compositeness, extra dimensions, etc.
  - Directly complementary to central physics program at LHC.
  - Experimental focus – theoretically & experimentally clean
    - Small errors: ~ a few %; require ~1,000 clean Kaon events

$K^+ \rightarrow \pi^+ \nu \nu$	#evnts	$K_L \rightarrow \pi^0 \nu \nu$	#evnts
CERN NA48 (by 2012)	~160	J-PARC I (by 2012)	~4
		J-PARC II (by ~2016)	~100
Potential FNAL (w/o Proj.X)	~600	Potential FNAL (w/o Proj.X)	~200
Potential FNAL (w/ Proj.X)	~1500	Potential FNAL (w/ Proj.X)	~1000

# HEPAP-P5 Report May 29, 2008

From C. Baltay presentation

→ Budget re-instated  
at 2007 level and adjusted  
for inflation

- The more favorable funding scenario, scenario C, would allow for pursuing a program in rare K decay experiments at Fermilab as well.

↘ Doubling the 2007 budget  
Over 10 years

# Personal Concluding Remarks

- The precise SM predictions and the sensitivity to New Physics makes the continuation of rare kaon decays measurements **compelling**
- The Community should focus on the most promising approach and to **consolidate globally** into one or two collaborations.
- The rare kaon decay experimental programme has to be considered within a **coherent flavour effort** complementary to the high energy frontier
- Like the epsilon'/epsilon endeavor in the past, these experiments can produce **a variety of physics** results
- To avoid limitations to the physics output, resources for **triggering, read-out and data handling** have to be planned carefully