



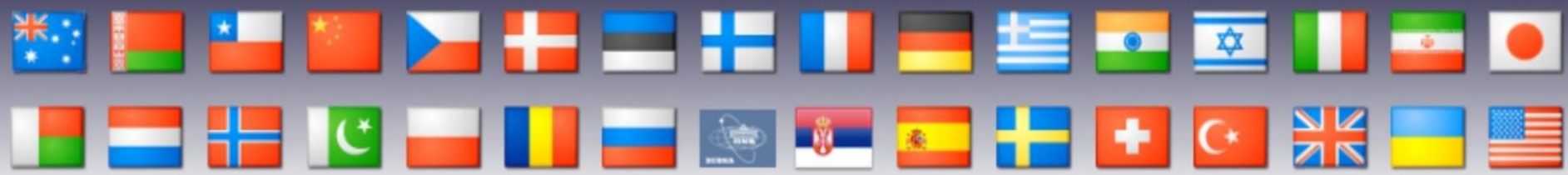
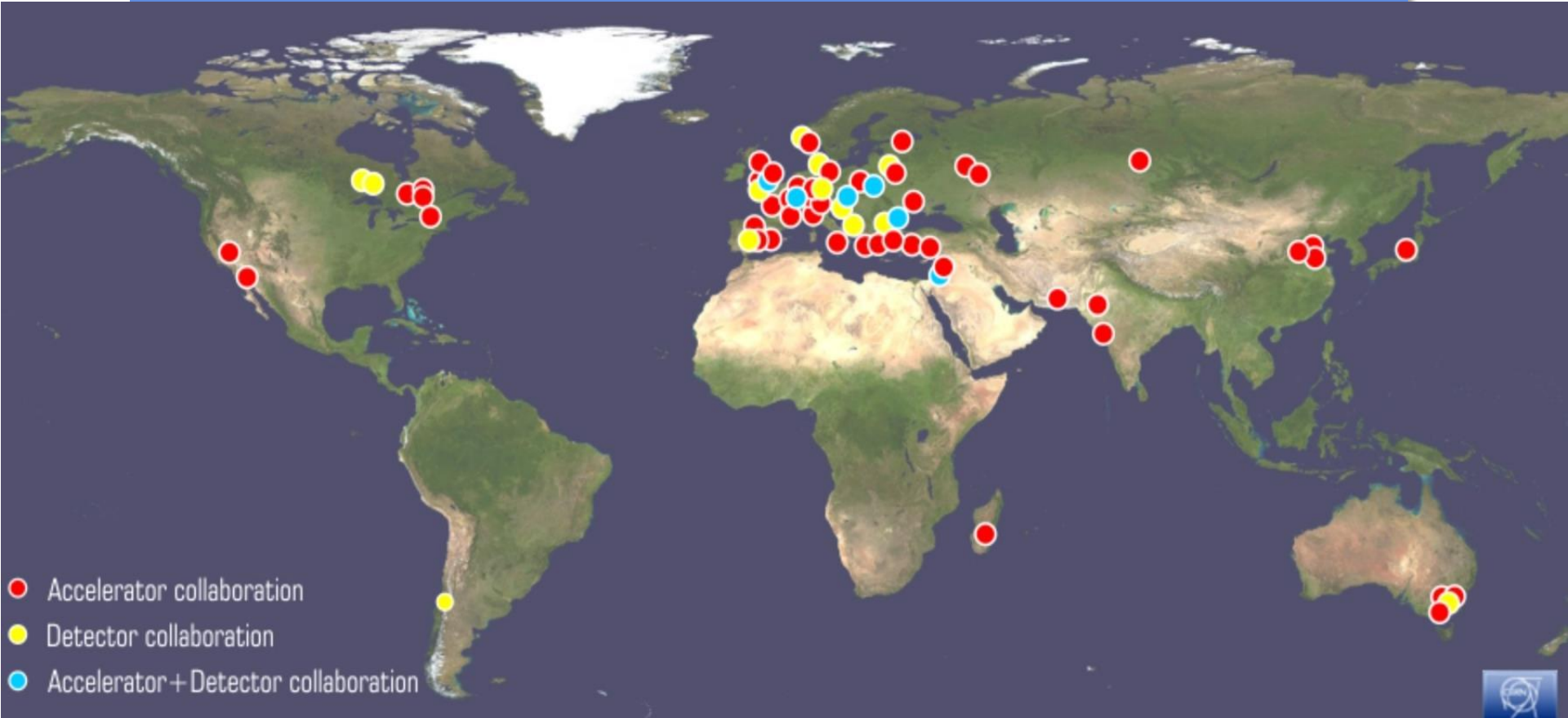
# The CLIC Collaboration, X-band and High-Gradient R&D - UPDATE



- Recent developments for the project:  
energy scaling and re-baselining
- X-band rf system development news
- High-surface field study news

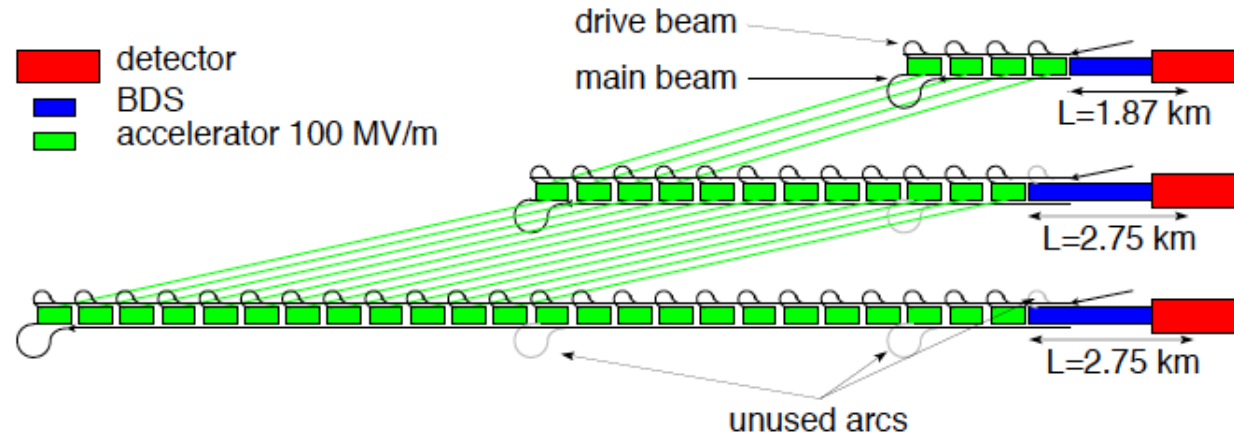


# CLIC Collaboration



Accelerator collaboration has  $\approx 50$  institutes and the detector collaboration  $\approx 25$ .

Goal: Develop a staged design for CLIC to optimise physics and funding profile, using knowledge from CDR



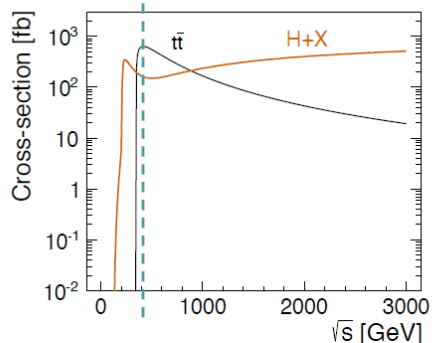
- First stage:  $E_{\text{cms}} = 360 \text{ GeV}$ ,  $L = 1.5 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$ ,  $L_{0.01}/L > 0.6$ 
  - Luminosity has been defined based on physics and machine studies in 2014
  - 420 GeV stage has also been explored, but physics prefers 360 GeV
- Second stage:  $E_{\text{cms}} = O(1.5 \text{ TeV})$
- Final stage:  $E_{\text{cms}} = 3 \text{ TeV}$ ,  $L_{0.01} = 2 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$ ,  $L_{0.01}/L > 0.3$





CLIC is foreseen as a **staged** machine:

- ★ **First stage focuses on precision SM physics**
  - **~350-375 GeV : Higgs and top**



- ★ **Not the peak of Higgs cross section**
  - **But, luminosity scales with  $\sqrt{s}$**
- ★ **250 GeV and 350 GeV give similar precision for coupling measurements**
- ★ **With >350 GeV as a first stage:**
  - **provides access to top physics**

CLIC re-baselining and energy staging exercise following CDR and LHC run 1.

★ **Energies of subsequent stages motivated by physics**

- **results from ~14 TeV LHC operation**
- **direct dark matter searches,**



## Conclusions



**HZ production**

➡  $\sqrt{s} \sim 250-450$  GeV

**Top at threshold**

➡  $\sqrt{s} > 350$  GeV

**Recoil Mass**

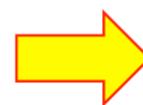
➡  $\sqrt{s} < 400$  GeV

**Top pair production**

➡  $\sqrt{s} > 360$  GeV

**Top pair BSM**

➡  $\sqrt{s} > 360 - ?$  GeV



**$\sqrt{s} \sim 380$  GeV**

Still good for HZ  
Provides valid top quark program

Presentation at CLIC workshop:  
<http://indico.cern.ch/event/336335/overview>

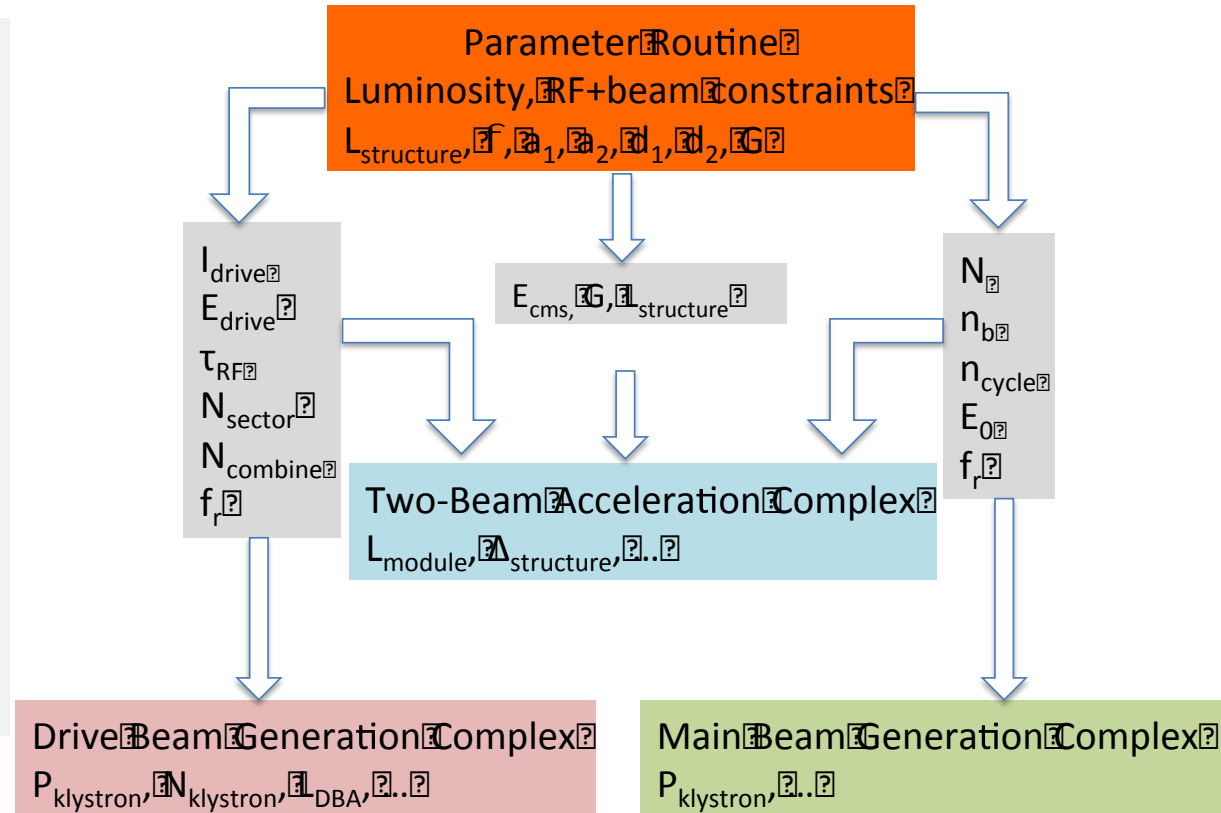
Structure design fixed by few parameters

$$a_1, a_2, d_1, d_2, N_c, \phi, G$$

Beam parameters derived automatically to reach specific energy and luminosity

Consistency of structure with RF constraints is checked

Repeat for 1.7 billion cases



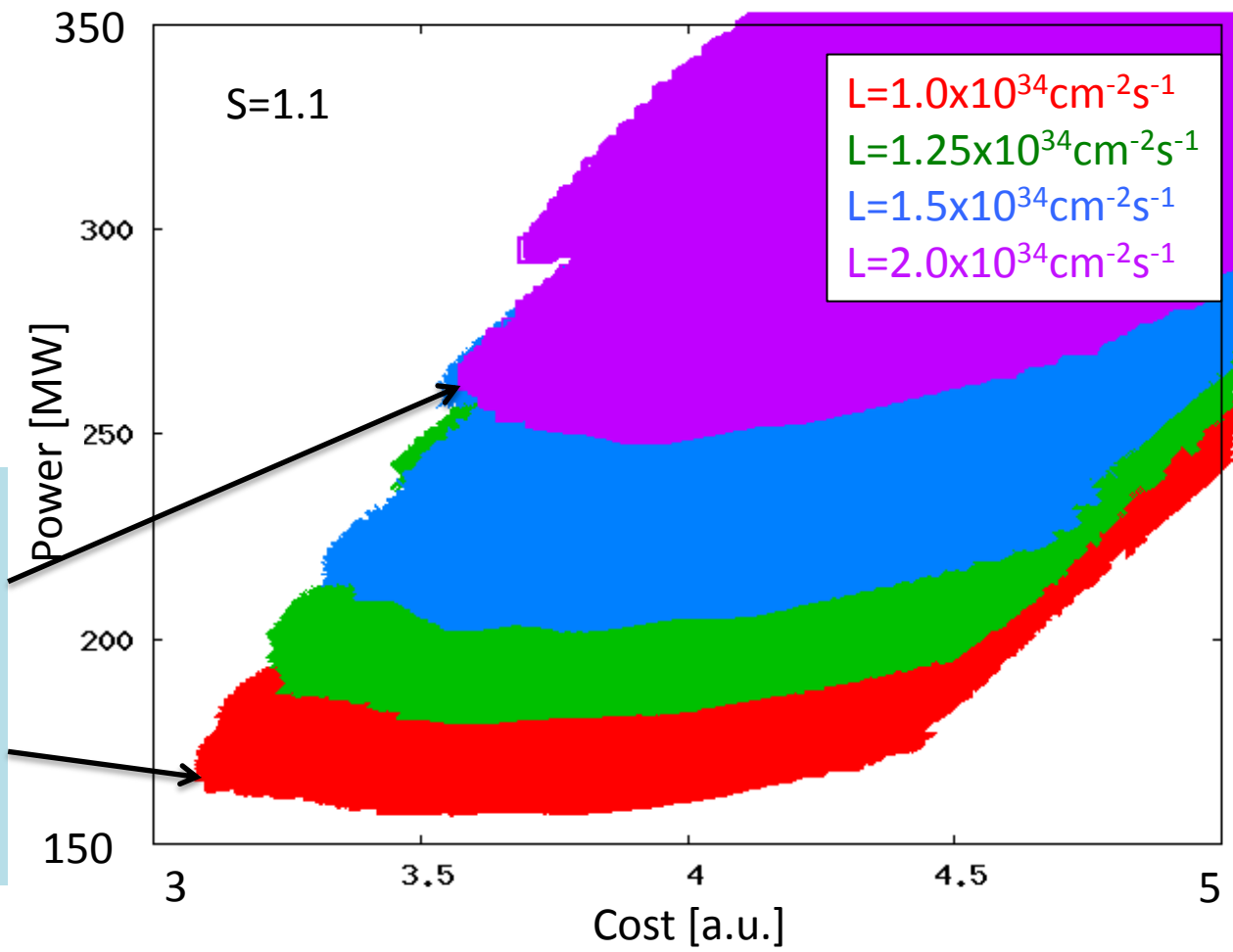
Design choices and specific studies

- Use 50Hz operation for beam stability
- Scale horizontal emittance with charge to keep the same risk in damping ring
- Scale for constant local stability in main linac, i.e. tolerances vary but stay above CDR values
- BDS design similar to CDR, use improved  $\beta_x$ -reach as reserve
- ...

(Each point represents an accelerating structure design. High-gradient performance is based on testing program results and high-gradient scaling laws – WW)

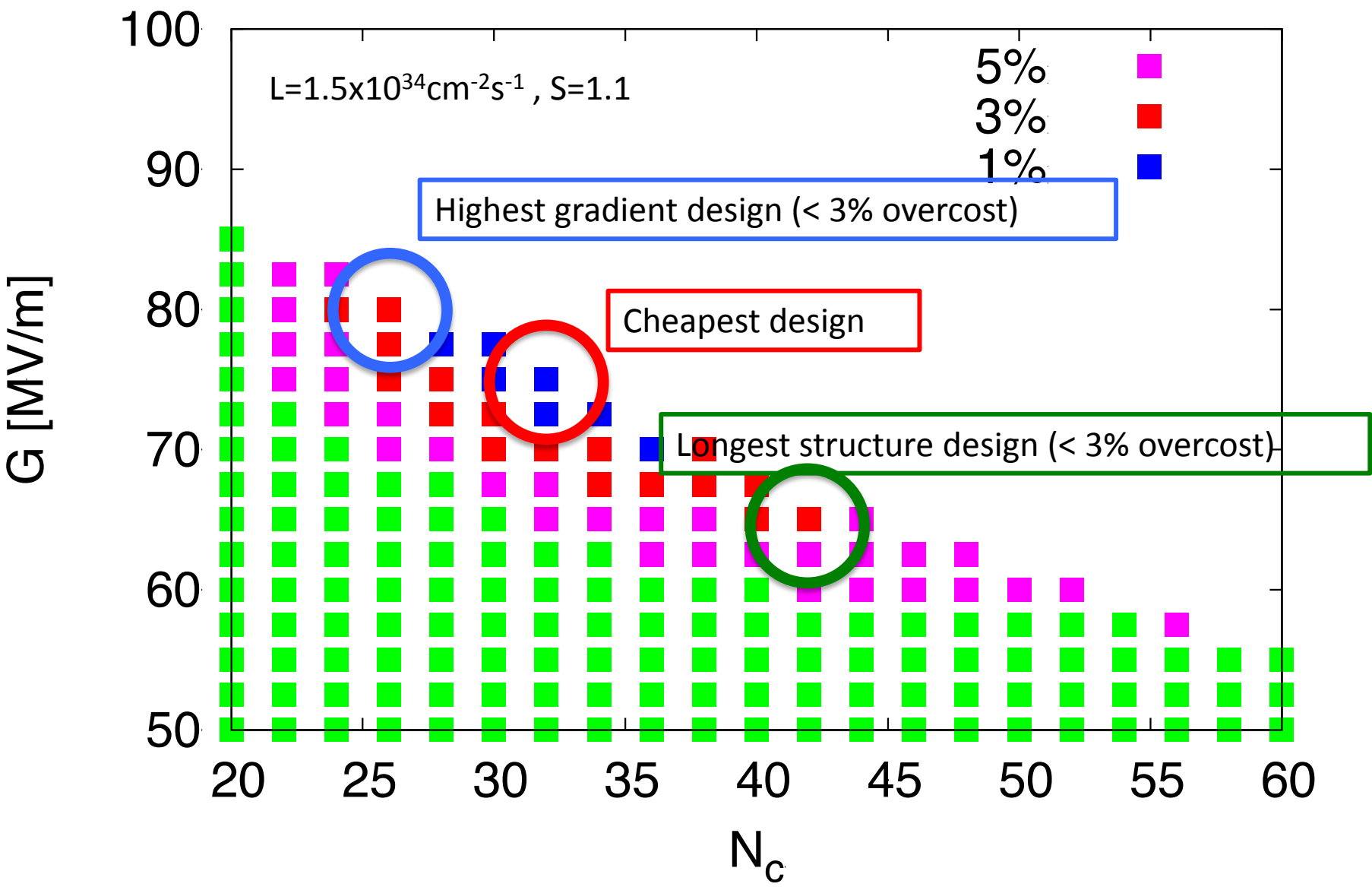
Luminosity goal significantly impact minimum cost  
 For  $L=1 \times 10^{34} \text{cm}^{-2}\text{s}^{-1}$  to  $L=2 \times 10^{34} \text{cm}^{-2}\text{s}^{-1}$  :

Costs 0.5 a.u.  
 And O(100MW)



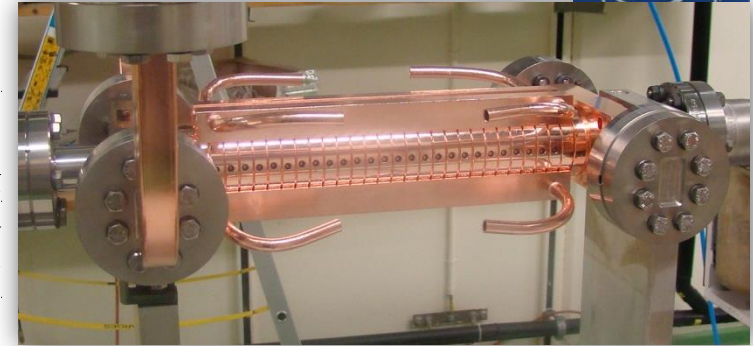
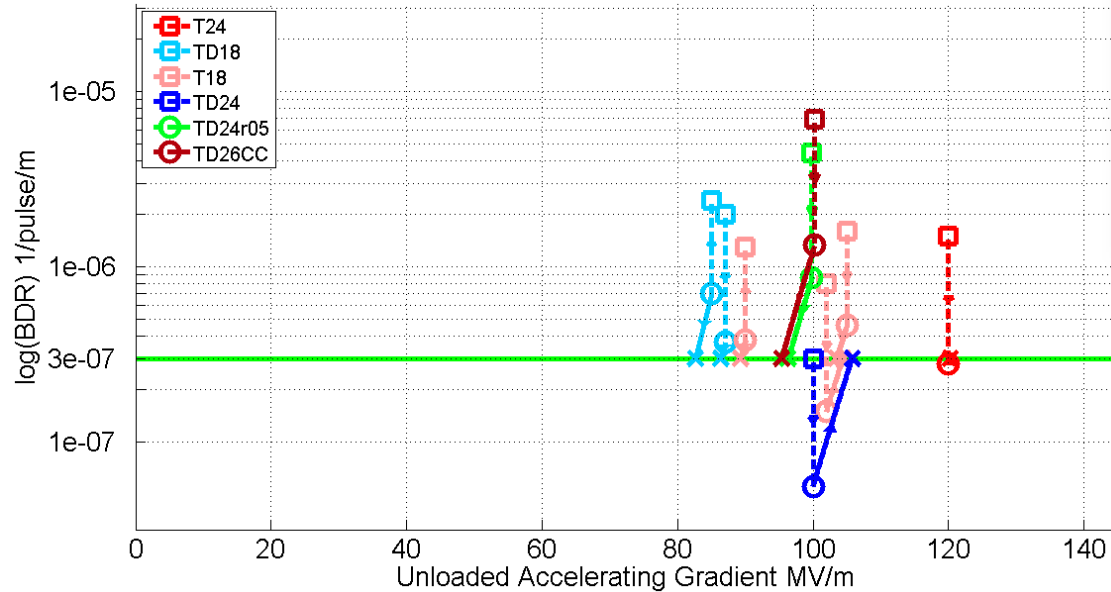
Cheapest machine is close to lowest power consumption => small potential for trade-off

# Good Structures at 360GeV





# X-band accelerating structure development



Operating frequency	12 GHz
Accelerating gradient	100 MV/m
Peak surface electric field	250 MV/m
Pulse length	200 ns
Repetition rate	50 Hz
Input power	50 MW
Breakdown rate	$<10^{-7}$ BD/pulse/m

**100 MV/m** has been clearly demonstrated in prototype structures, but only in a limited number.

In order to improve statistics, make lifetime tests and investigate variants we have invested significant resources into increasing testing capacity with:

Three klystron-based test stands – **Xbox-1 to 3**.



# Xband accelerating structures review

## 24-25.11.2014

N. Catalan Lasheras



***31 participants including outside laboratories***

D. Schulte, **CERN/ABP**

PH. Lebrun, S. Stapnes, **CERN/DG**

S. Atieh, A. Cherif, G. Favre, M. Garlache, A. Perez Fontenla, **CERN/MME**

M. Aicheler, O. Brunner, N. Catalan Lasheras, M. Filippova, A. Grudjev, D. Gudkov, S. Lebet, A. Olyudnin, C. Rossi, A. Solodko, I. Syratchev, J. Vainola, A. Xydou, B. Woolley,

W. Wuensch, **CERN/RF**

M. Taborelli, M. Thiebert, **CERN/VSC**

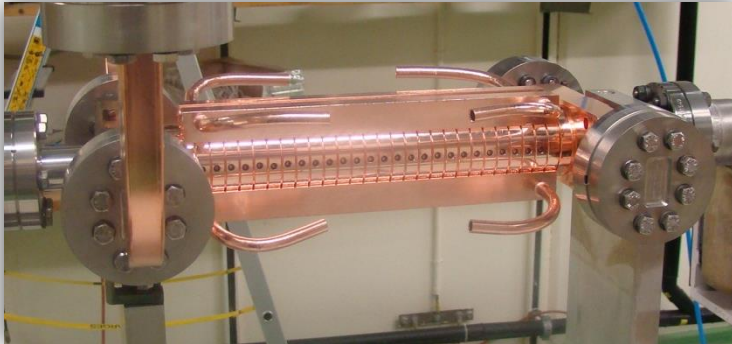
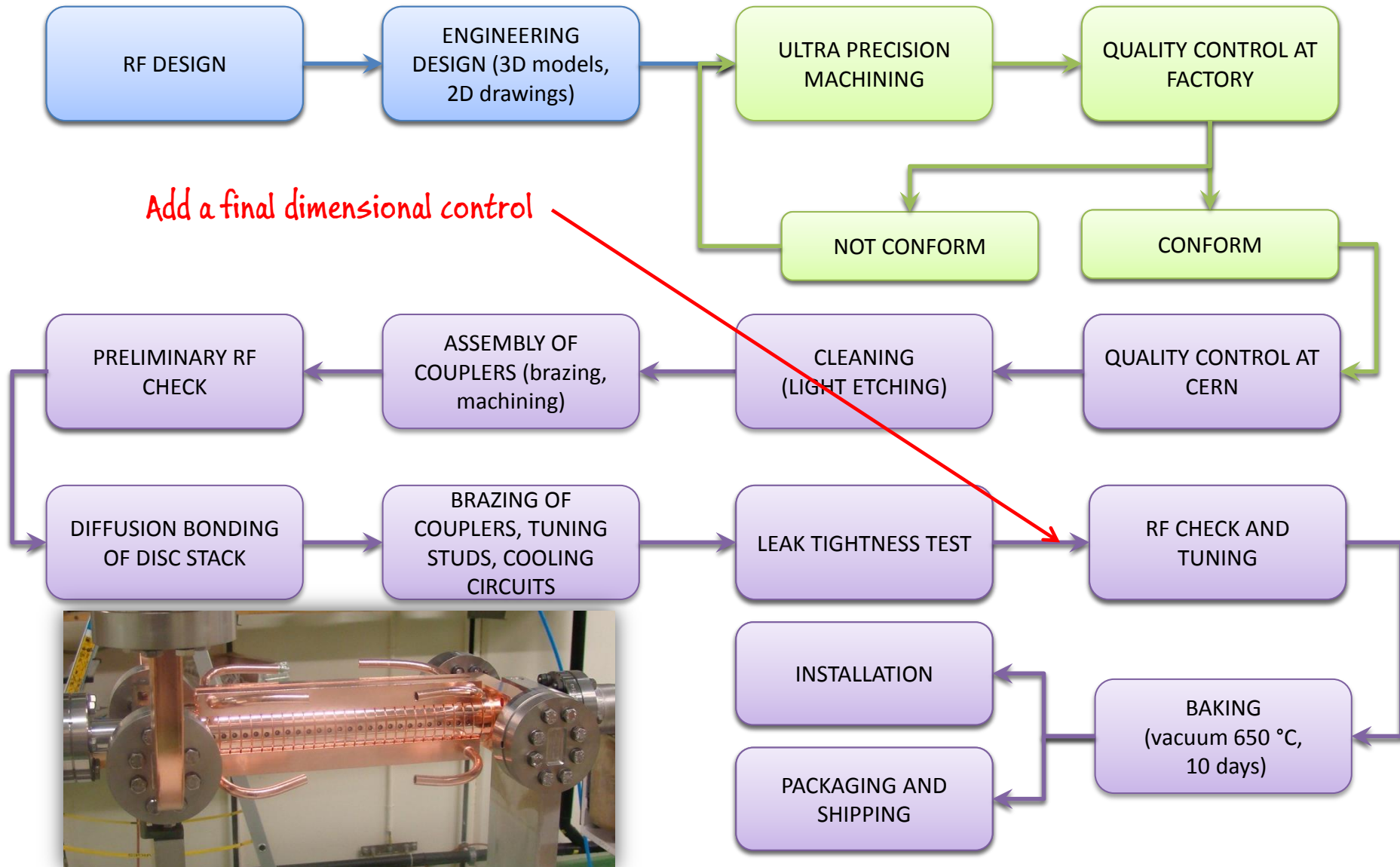
F. Toral, L. Sanchez. **Ciemat, Spain**

T. Higo, T. Abe, **KEK, Japan**

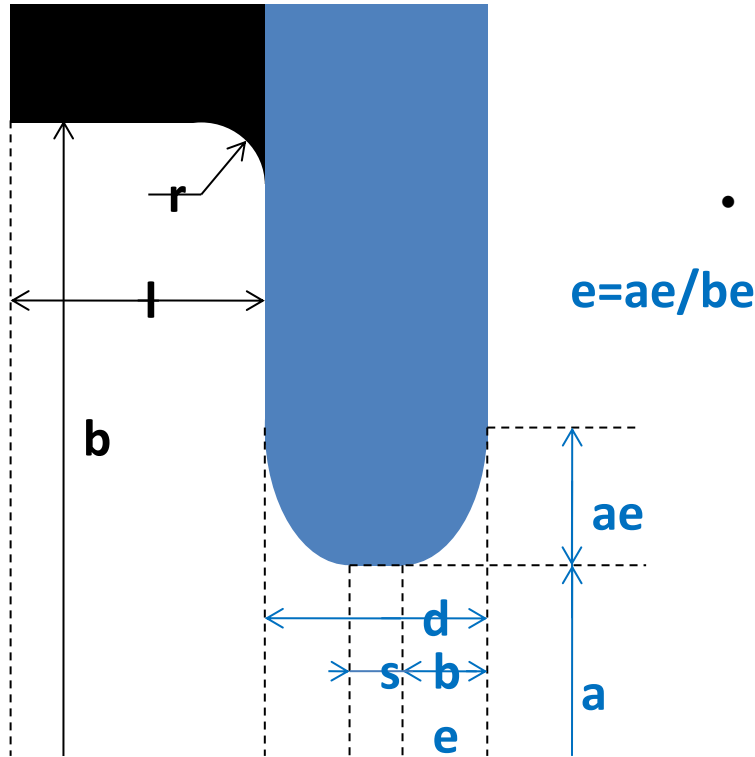
M. Franzi, J. Weng, **SLAC, USA**

**23 talks plus discussions**

**2 long days**







- Sensitivity study for undamped cell.
- Riccardo Zennaro, “Study of the machining and assembly tolerances for the CLIC accelerating structures”, EUROTeV-Report-2008-081, (2008)
- Jiaru Shi, Alexej Grudjev, Walter Wuensch, “Tuning of X-band traveling-wave”

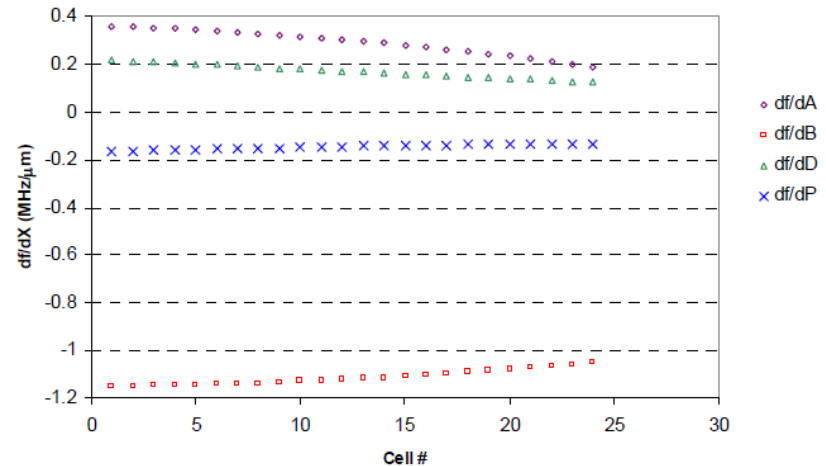
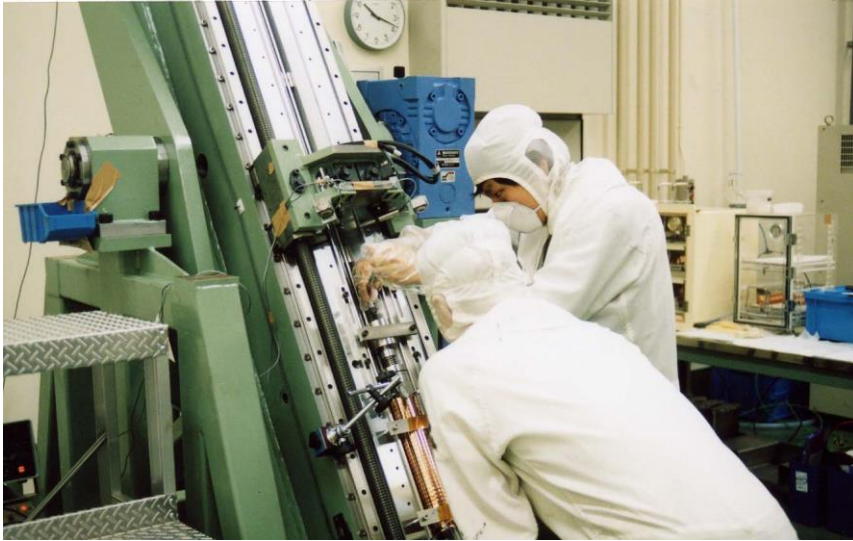


Figure 3:  $df/dX$  for the nominal phase advance  $120^\circ$ .

**Sub micron precision** is required if no tuning is applied and no temperature correction is allowed

Only systematic errors considered. Random errors being studied

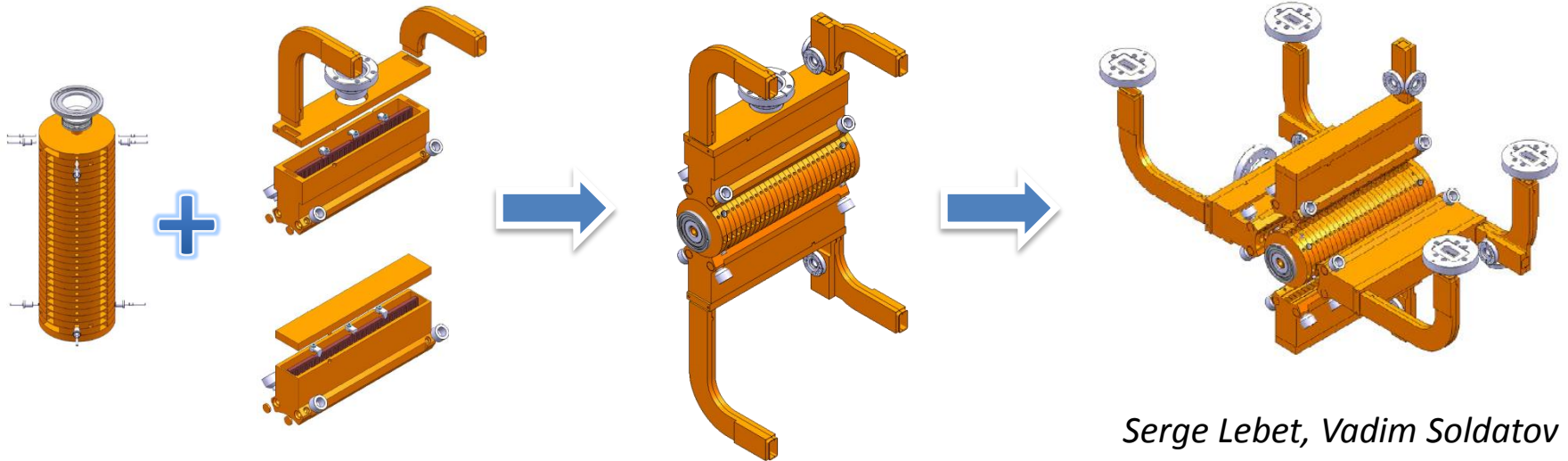
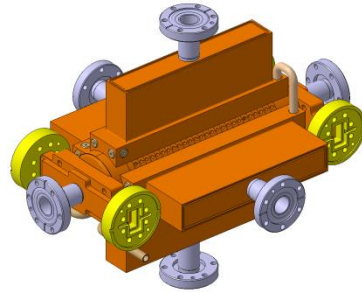
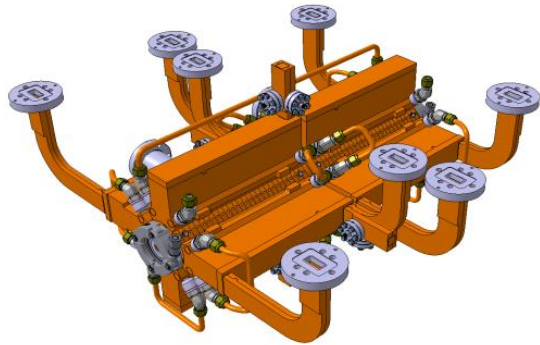
- OD is the key for measurements need to be fully measured.



- Stacked manually against a V-block.
- Hold during transport to the oven.
- Later used pre-bonding at low temperature  $\sim 150$  degrees before releasing fixture



# Manifolds assembly. D. Gudkov



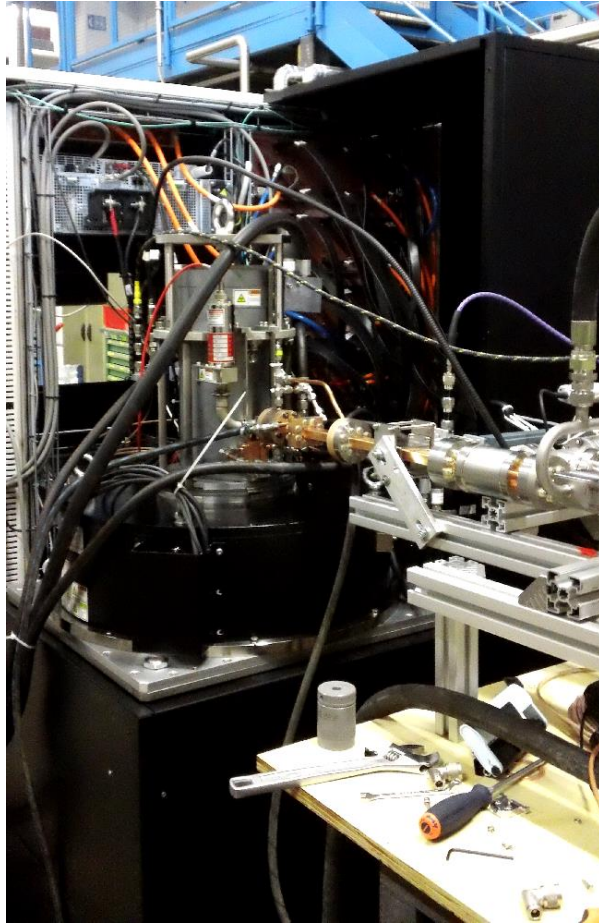
*Serge Lebet, Vadim Soldatov*



# X-band klystrons

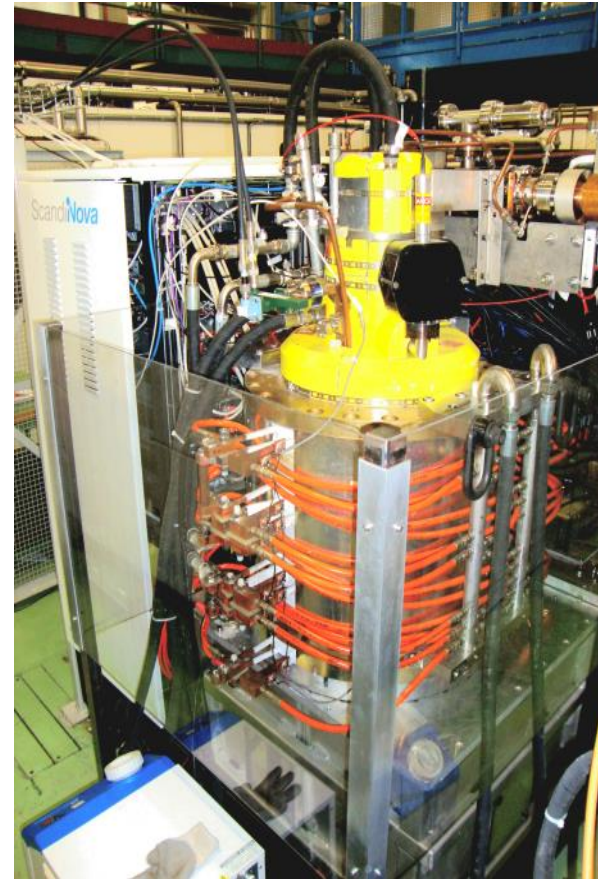


We now have two types of *commercial* X-band power sources running at CERN.



Toshiba 6 MW, 5  $\mu$ s, 400 Hz

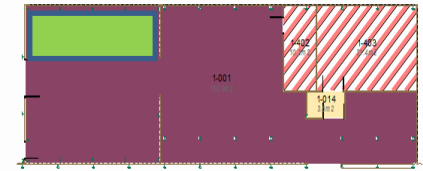
KEK, 9 March 2015



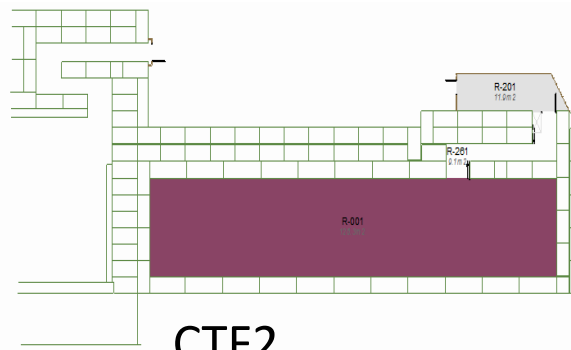
CPI 50 MW, 1.5  $\mu$ s, 50 Hz

Walter Wuensch, CERN

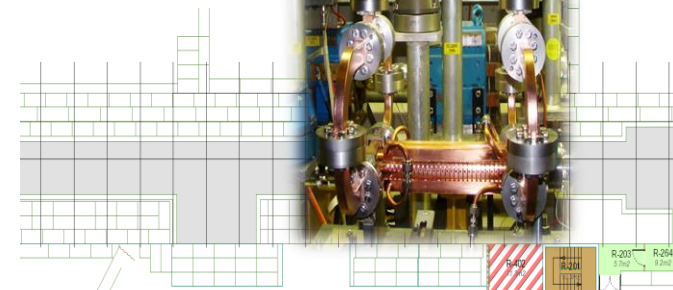




CTF3 klystron gallery



CTF2

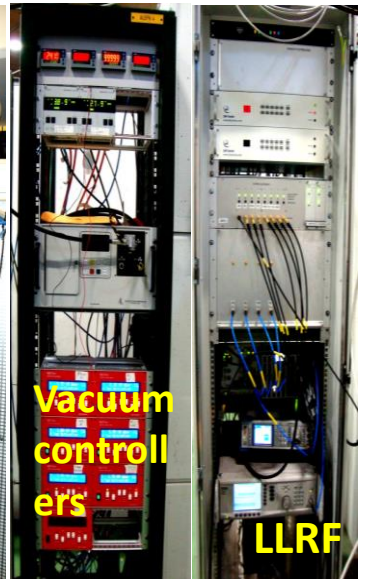
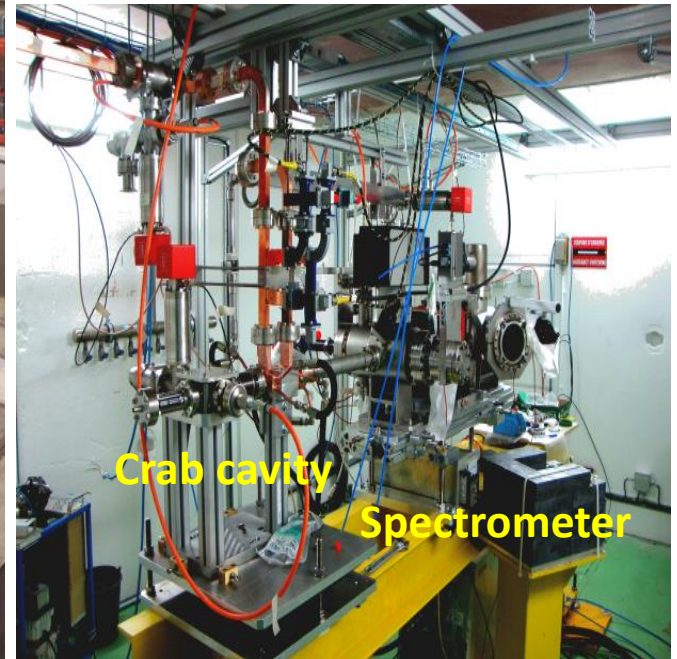
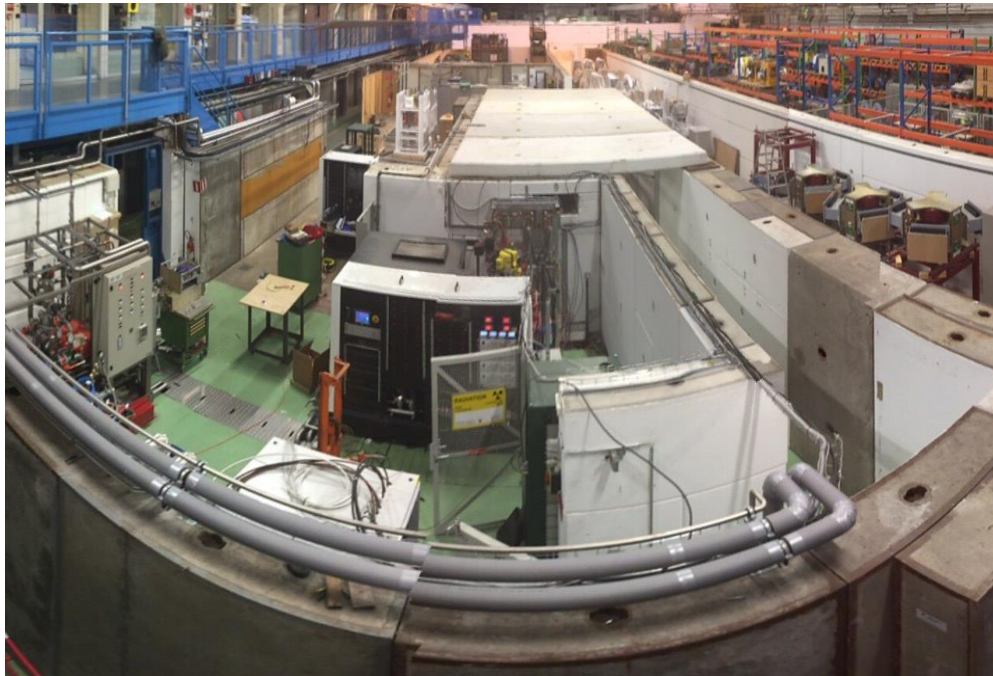


Dog-Leg in 2001



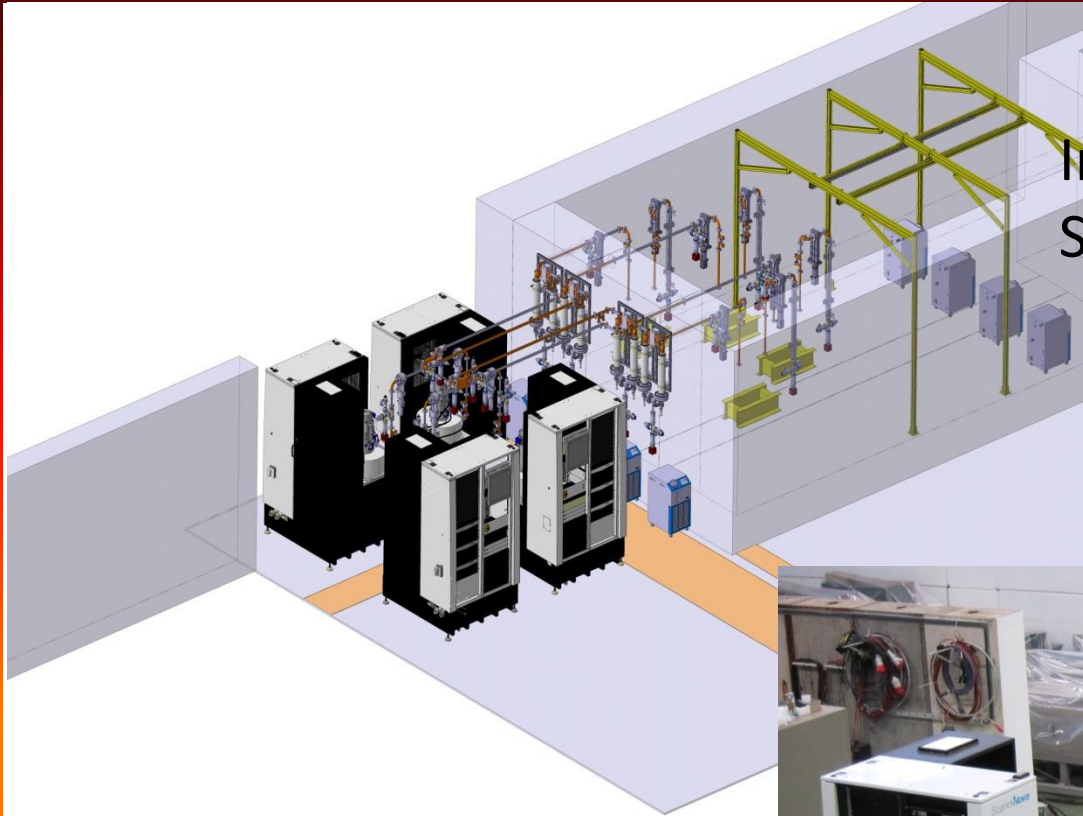


# Xbox2 in b. 150

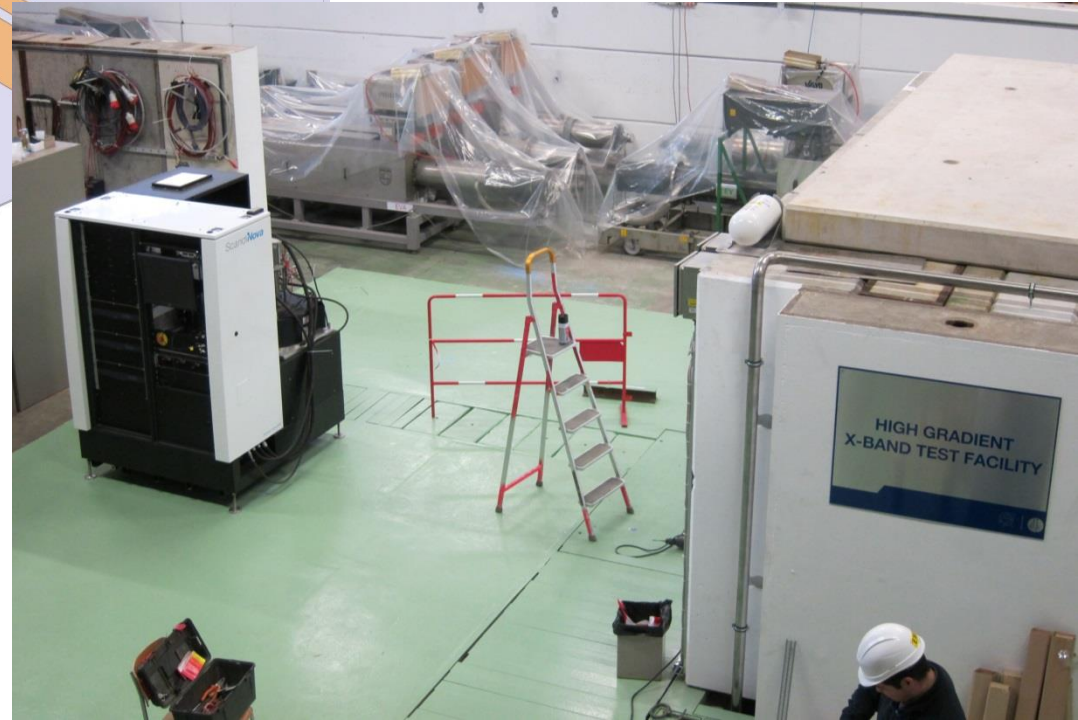




# Xbox3 in b. 150



Installation progressing well.  
Start-up May/June







# Test schedule for next two years

NCL 25.01.2015		2014							2015												2016															
		Q1	Q2	Q3	O	N	D	J	F	M	A	M	J	J	A	S	O	N	D	J	F	M	A	M	J	J	A	S	O	N	D					
NEXTEF				TD25_11 (Tsinghua)																																
ASTA								T24																												
TBTS	Slot 1					TD26_CC_SIC_3				TD26_CC_SIC_3 TD26_CC_SIC_5														TD26_CC_SIC_3 TD26_CC_SIC_5												
	Slot 2	CFT3 winter shutdown				TD26_CC_SIC_4				TD26_CC_SIC_4 TD26_CC_SIC_6												CFT3 winter shutdown		TD26_CC_SIC_4 TD26_CC_SIC_6												
Xbox1	Dogleg					T24_1				T24_1														T24_2												
	CTF2	TD26_CC_1																				TD26_CC_1														
Xbox2	Slot 1			Commissioning	Crab Cavity				Crab cavity	K1 Repair and full power test		TD26_CC_2						TD26_CC_3						TD24_R05_Sic_1												
Xbox3_a	Slot 1	Procurement						Installation						TD24_bonding_1						PSI_T24_1																
	Slot 2													TD24_bonding_2						PSI_T24_2																
Xbox3_b	Slot 3													TD24_R05_N2						TD26_CC_SIC_1																
	Slot 4													TD24_R05_N3						TD26_CC_SIC_2																

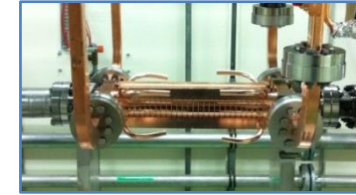
New baseline structures



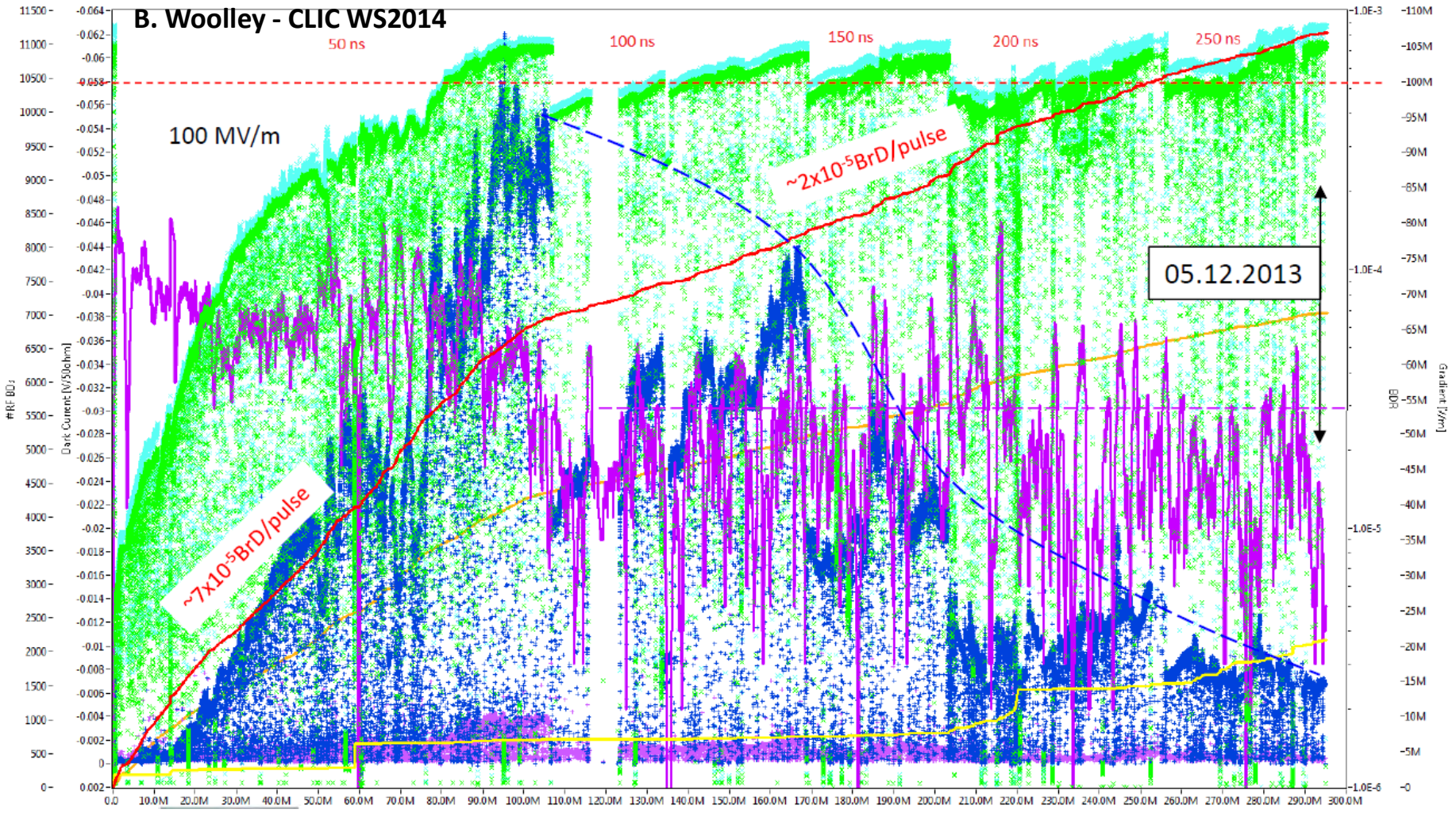
# Xbox-1: TD26CC#1 conditioning history

Automatic operation by a conditioning algorithm

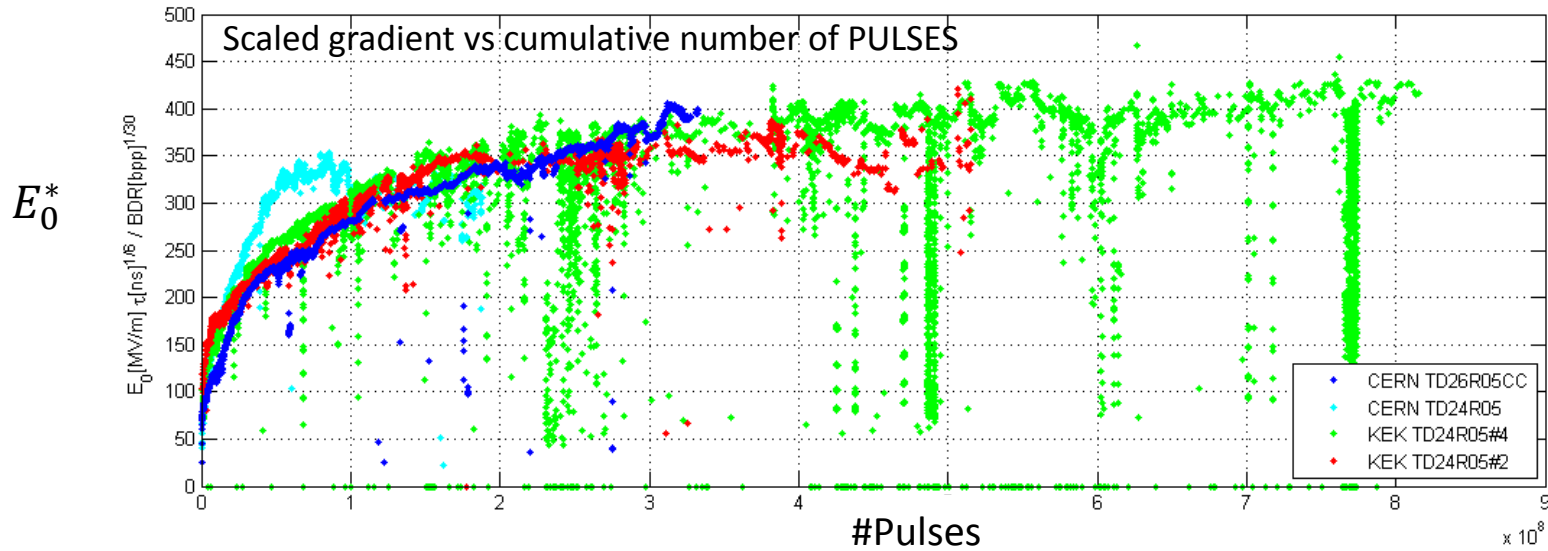
[see J.Tagg presentation]



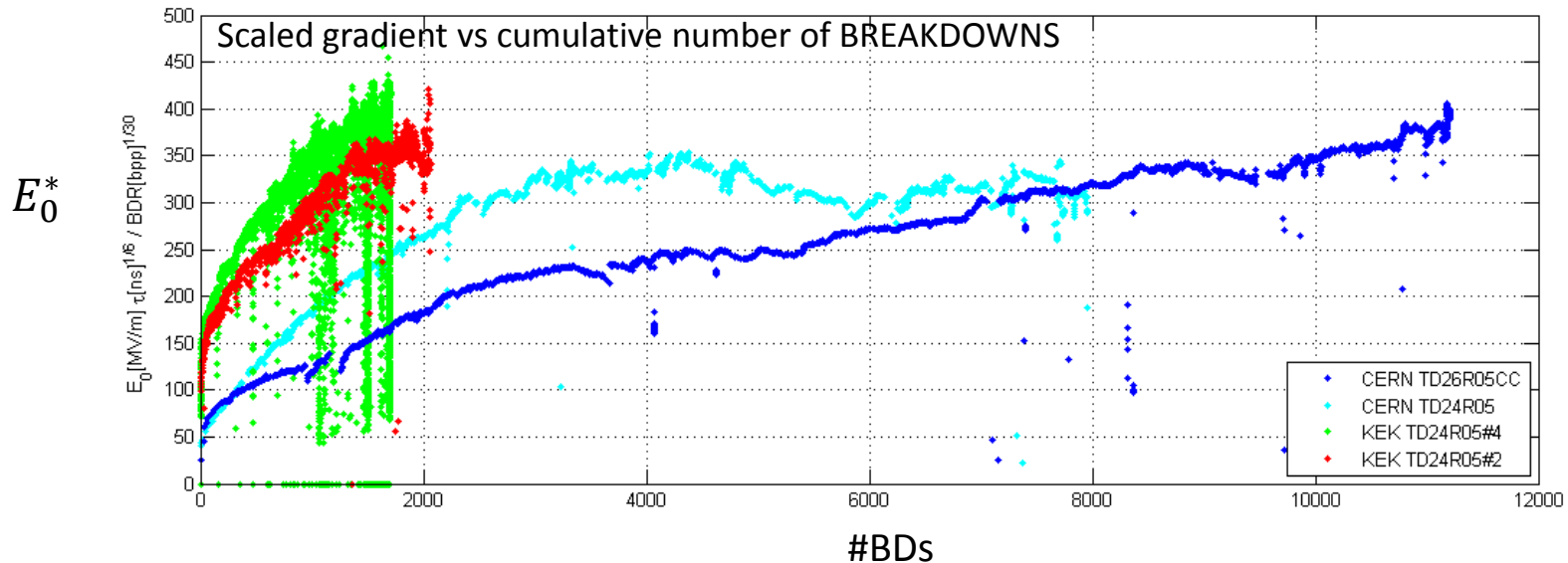
11168 BDs



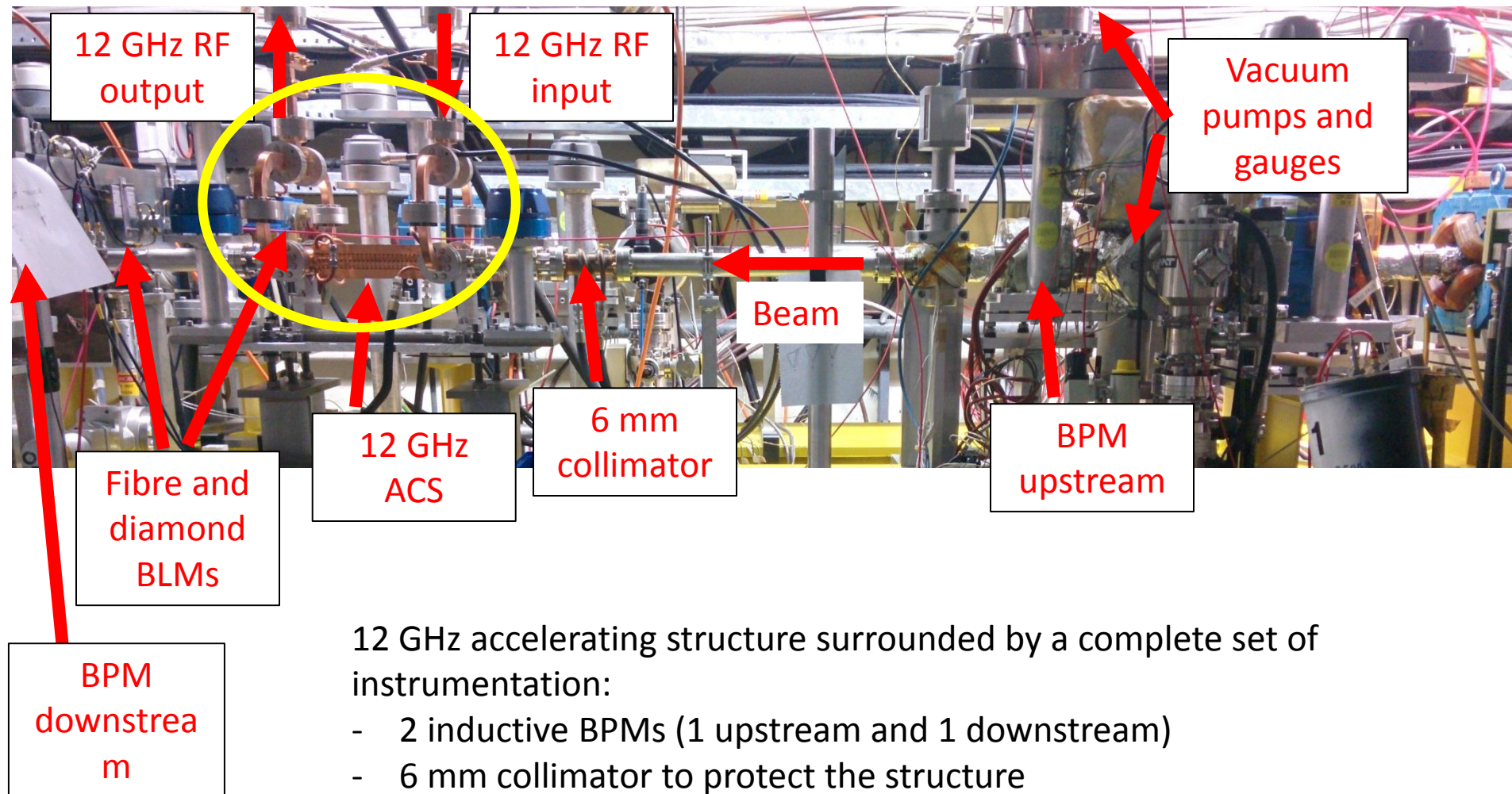
# Comparison of conditioning evolution



**Conditioning to high-grad is given by the pulses not the breakdowns!**

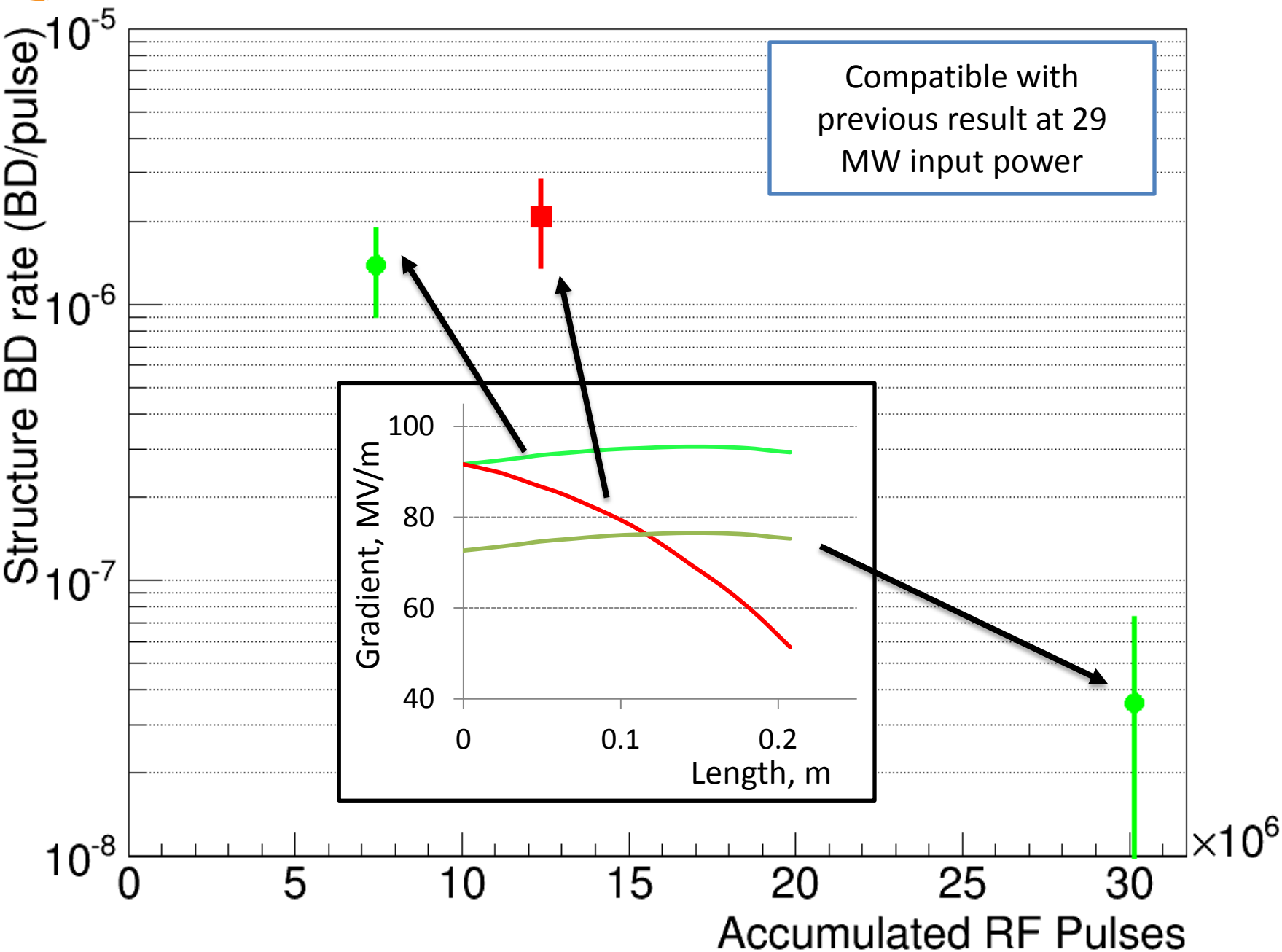






12 GHz accelerating structure surrounded by a complete set of instrumentation:

- 2 inductive BPMs (1 upstream and 1 downstream)
- 6 mm collimator to protect the structure
- Fibre optic and diamond beam loss monitors
- Vacuum pumps and gauges in beam chamber and RF waveguides





# Outreach activities



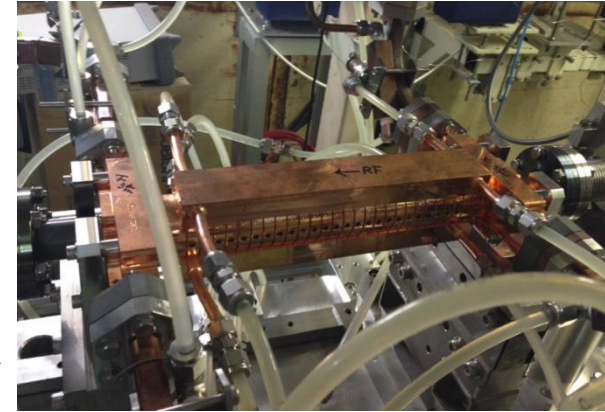
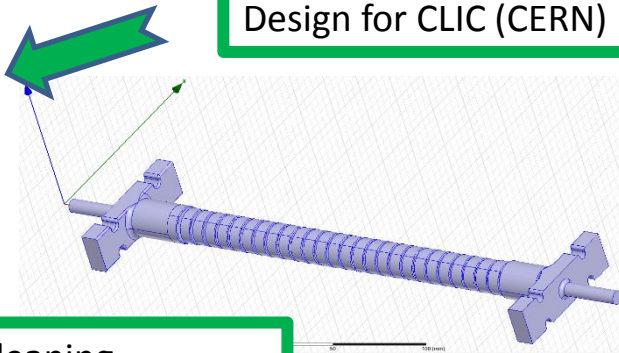
In order to broaden the technological base for X-band and high gradient we actively pursue fabrication at different laboratories and for different projects.

Institute	Structure	Status
KEK	Long history – latest TD26CC	Mechanical design
Tsinghua	T24 - VDL machined, Tsinghua assembled, H bonding, KEK high-power test	At KEK
	CLIC choke	manufacturing tests
SINAP	XFEL structure, KEK high-power test	rf design phase
	T24, CERN high-power test	Agreement signed
	Four XFEL structures	Agreement signed
CIEMAT	TD24CC	Agreement signed
PSI	Two T24 structures made at PSI using SwissFEL production line including vacuum brazing	Mechanical design work underway
VDL	XFEL structure	Interest
SLAC	T24 in milled halves	machining
CERN	3 TeV and 380 GeV	
	KT (Knowledge Transfer) funded medical linac	machining

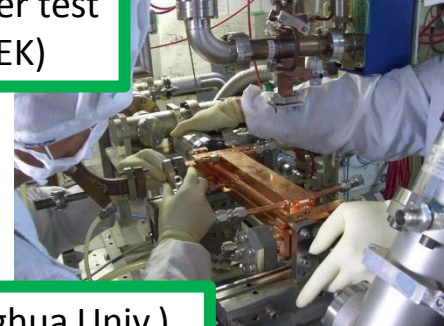
# CERN/Tsinghua/KEK collaboration

Fabrication of parts (VDL)

Design for CLIC (CERN)

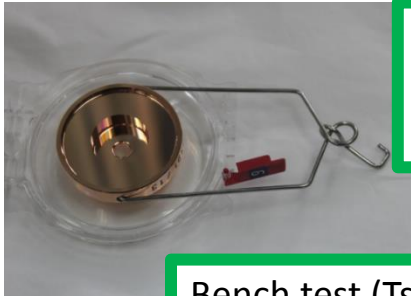


High power test (Nextef-KEK)

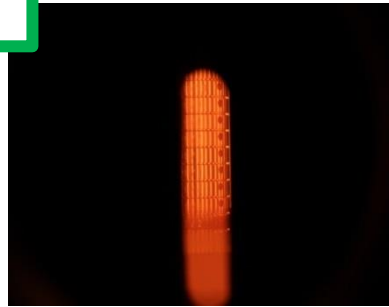


Vac bake (Tsinghua Univ.)

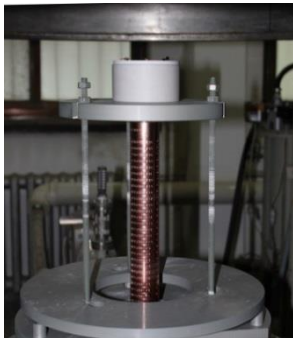
Cleaning Etching (Tsinghua Univ.)



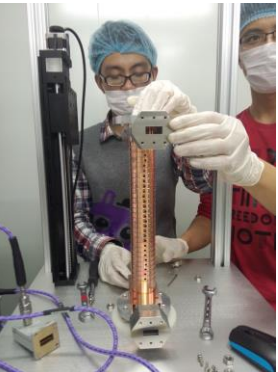
Bench test (Tsinghua Univ.)



Bonding Brazing (Tsinghua Univ.)



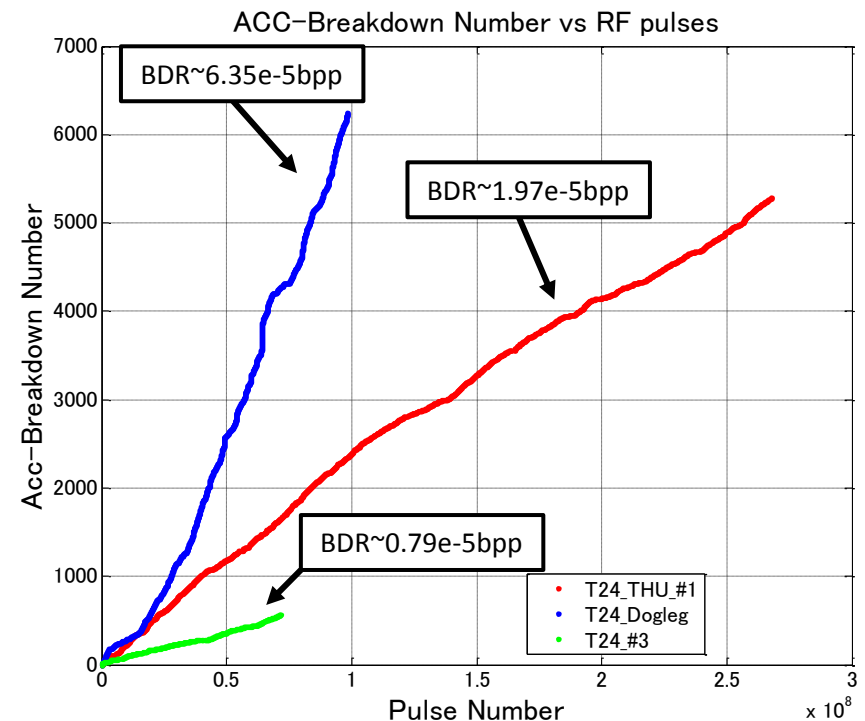
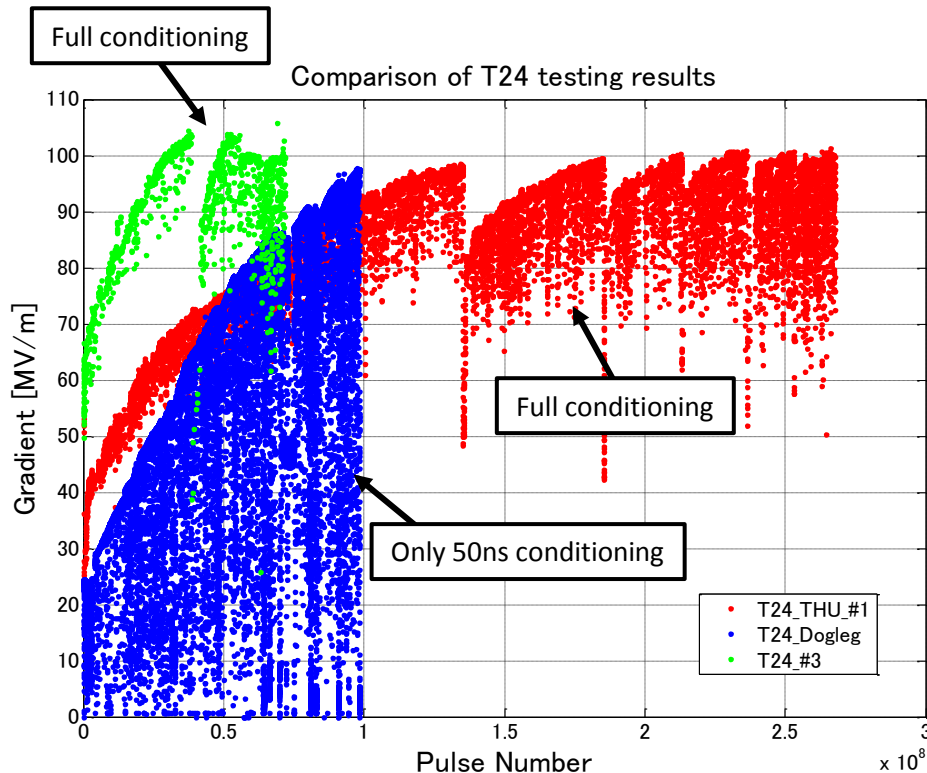
Tuning (Tsinghua Univ.)





# Compare with other T24 testing results (2)

- T24\_THU\_#1 costs more time to reach 100 MV/m at 51ns pulse width but having a smaller BDR compared with T24\_Dogleg
- T24\_#3 shows an excellent performance with higher ramping speed and less breakdowns

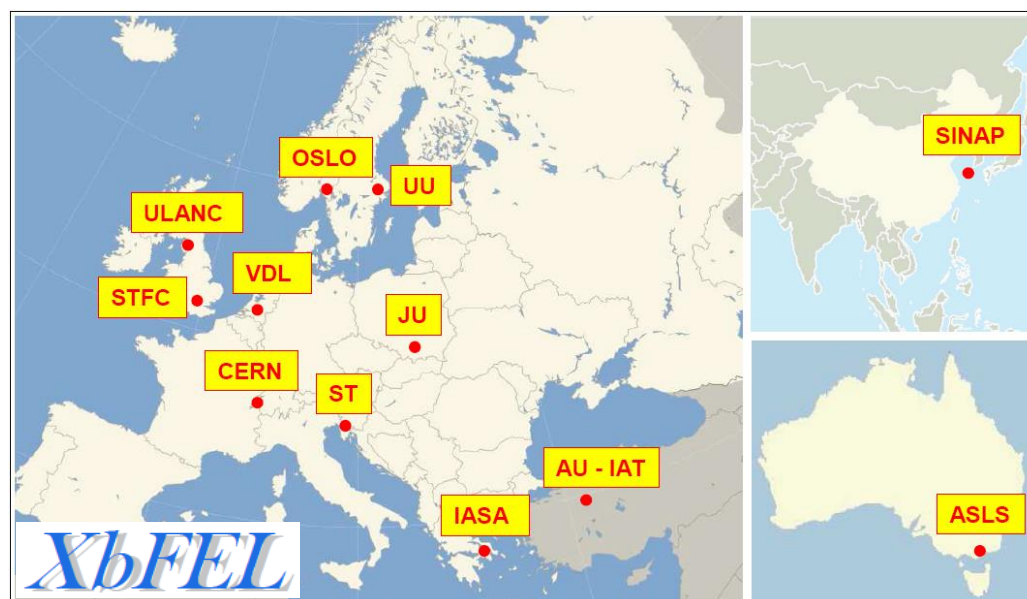


See G. D'Auria  
Thursday 9:30

A proposal for an EU co-funded Design Study

A core activity of the FEL collaboration

Submitted September 3



- ST** *Elettra - Sincrotrone Trieste, Italy.*
- CERN** *CERN Geneva, Switzerland.*
- JU** *Jagiellonian University, Krakow, Poland.*
- STFC** *Daresbury Laboratory Cockcroft Institute, Daresbury, UK.*
- SINAP** *Shanghai Institute of Applied Physics, Shanghai, China.*
- VDL** *VDL ETG T&D B.V., Eindhoven, Netherlands.*
- OSLO** *University of Oslo, Norway.*
- IASA** *National Technical University of Athens, Greece.*
- UU** *Uppsala University, Uppsala, Sweden.*
- ASLS** *Australian Synchrotron, Clayton, Australia.*
- UA-IAT** *Institute of Accelerator Technologies, Ankara, Turkey.*
- ULANC** *Lancaster University, Lancaster, UK.*



Turkish Accelerator Centre  
Infrared FEL TARLA under construction  
X-FEL planned



Ankara University (Coordinator)



Gazi University



Istanbul University



Uludağ University



Dumlupınar University



Osmangazi University

Boğaziçi University



Doğuş University

Erciyes University

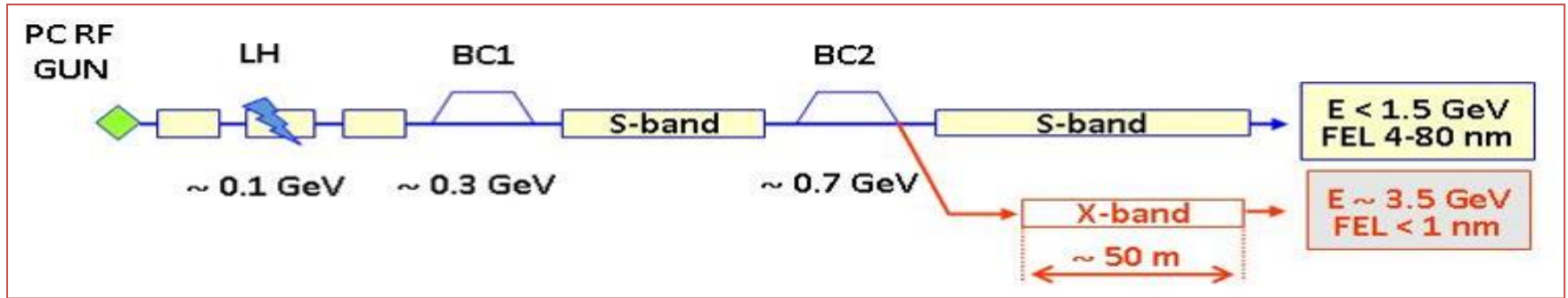


Süleyman Demirel University

Niğde University



Gebze Institute of Technology



- Existing FEL is based on injector for synchrotron (FERMI)
- Upgrade with X-band to increase beam energy for FEL

Table 3. FEL3 expected performance.

Undulator period	30	mm
Undulator parameter	1	
Fundamental wavelength	0.5	nm
Pierce parameter	0.11%	
3-D Gain length	1.6	m
3-D Saturation length	26	m
Peak power at saturation	5.6	GW



# Shanghai Photon Science Center at SINAP

580m



- Strong XFEL user base with regular beamtime on LCLS and members of review committees for European XFEL
- Strong government funding, especially in life sciences



## AXXS – Australian X-band X-ray Source

AXXS n. /'æksɪs/ *fig.* A central prop, which sustains any system.

Development plan for the Australian Light Source community:

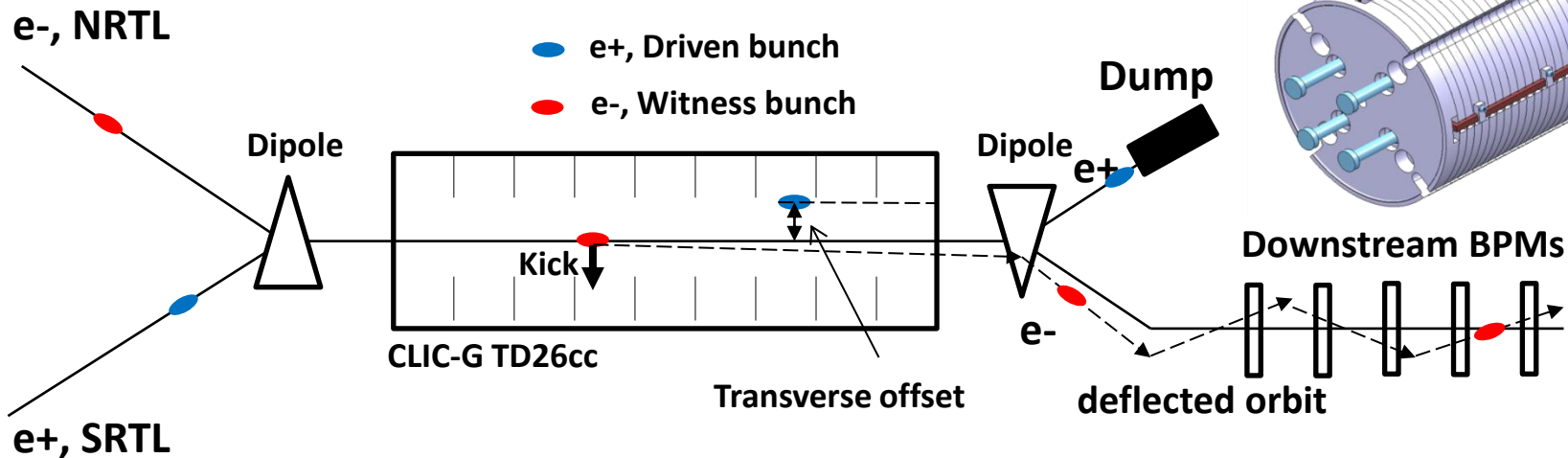
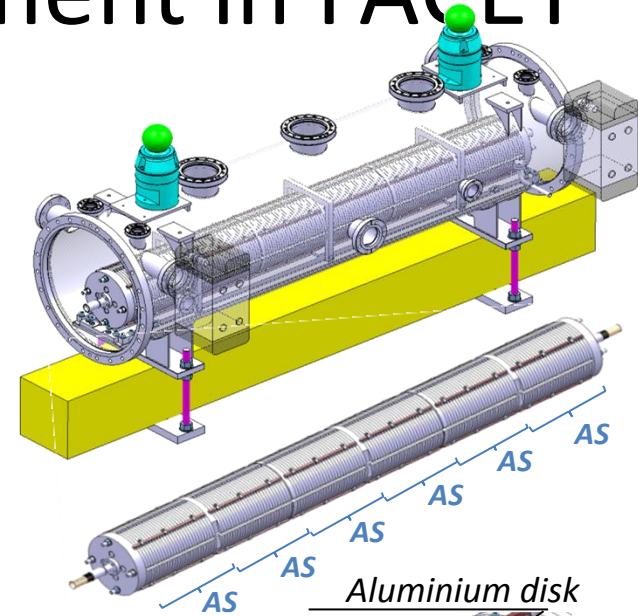
1. develop the remaining beamlines (space for an additional 6 IDs)
2. upgrade the storage ring lattice to MBA (compact MAX IV magnets)
3. upgrade the injector to a full energy x-band linac (3 GeV)
4. upgrade to additional linac for XFEL



- Site constraint 550 m:
- Same tunnel, energy and source points for storage ring upgrade.
- Time constraints: need to finish building out the remaining beamlines before justifying a new ring or FEL.

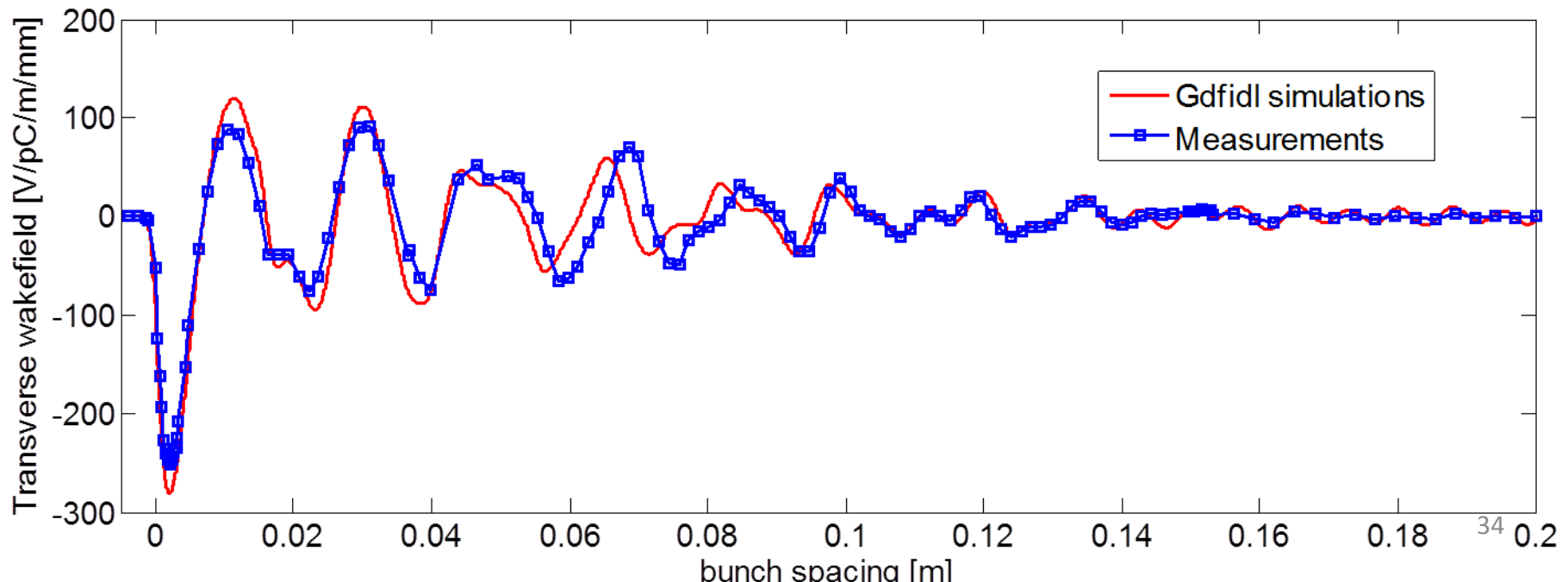
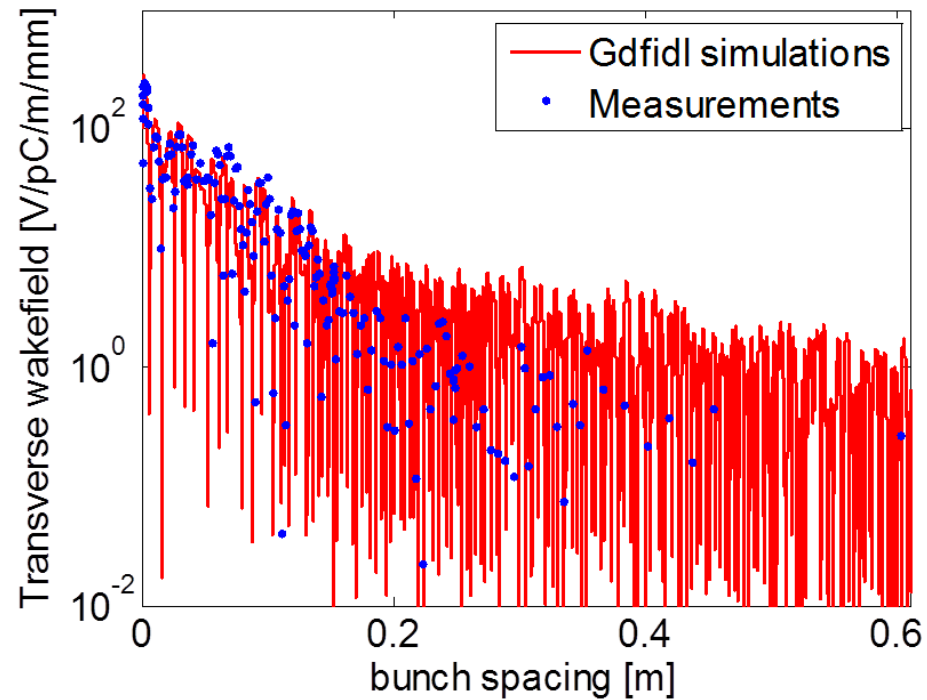
# Direct wakefield measurement in FACET

- Prototype structure are made of aluminium disks and SiC loads (clamped together by bolts).
- 6 full structures, active length = 1.38m
- FACET provides 3nC, 1.19GeV electron and positron.
- RMS bunch length is near 0.7mm.
- Maximum orbit deflection of e<sup>-</sup> due to peak transverse wake kick (1mm e<sup>+</sup> offset): 5mm, BPM resolution: 50um



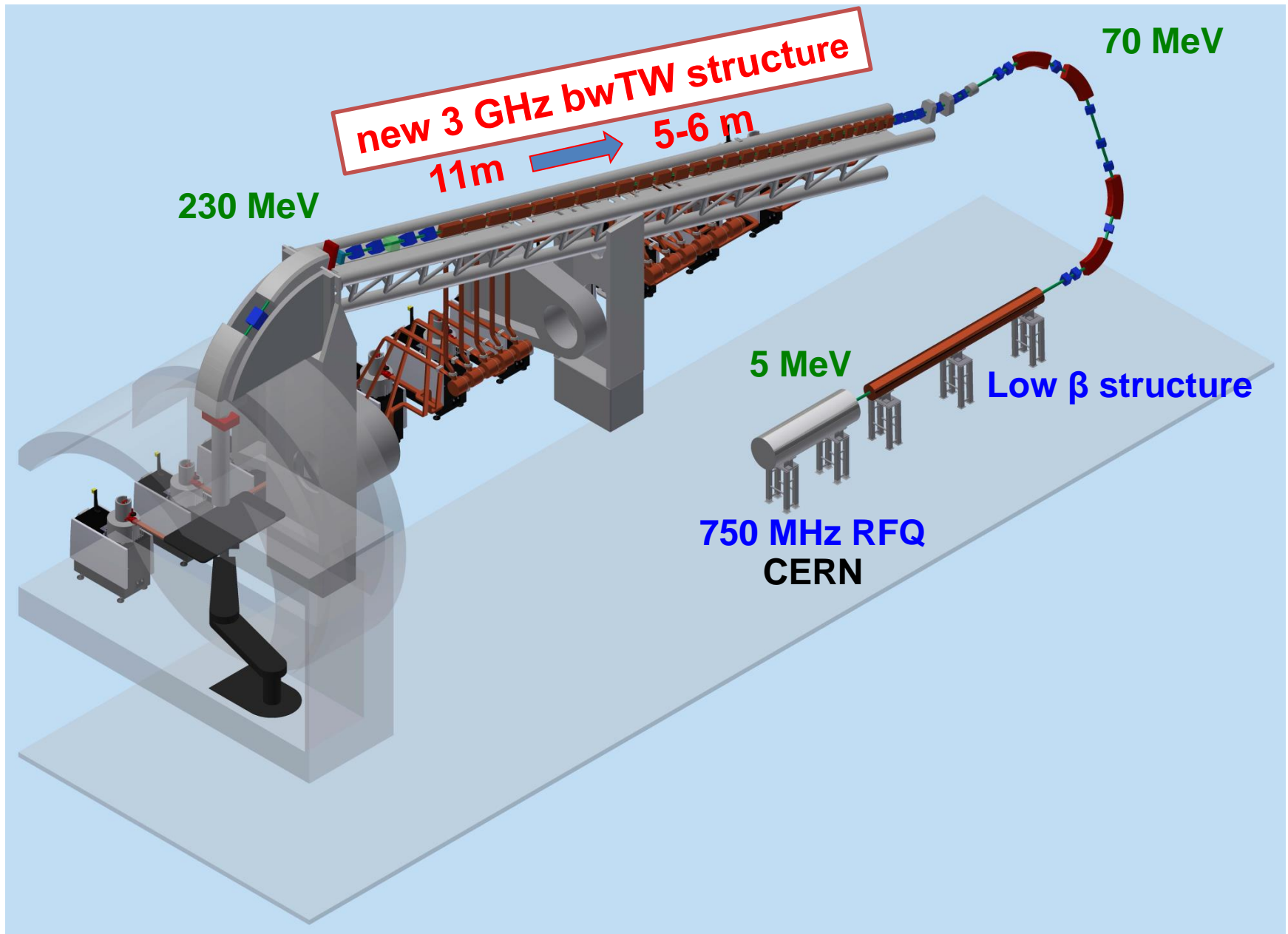
# Final results

- We measure the absolutely wakefield value, peak value 10% lower than simulations.
- Wake potential at second bunch separation =  $4.5\text{V/pC/m/mm}$ .
- Decay faster than simulation.

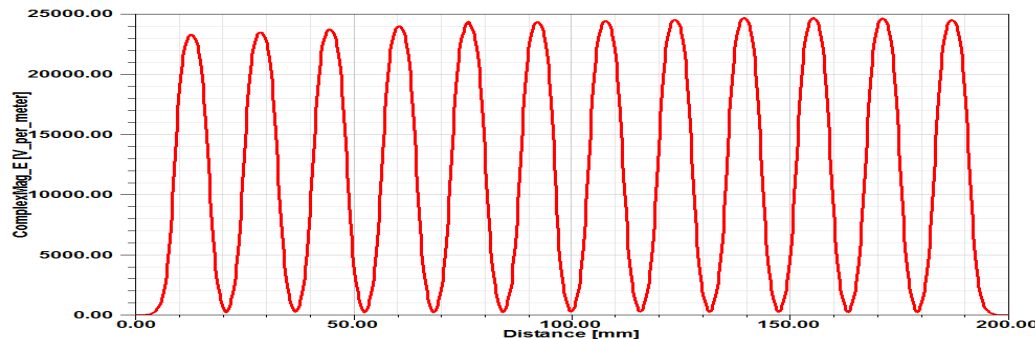
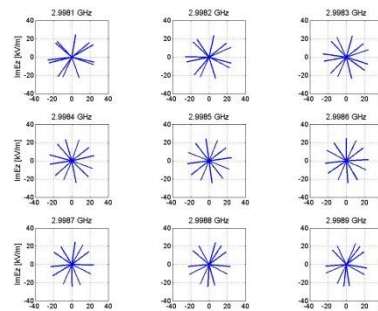
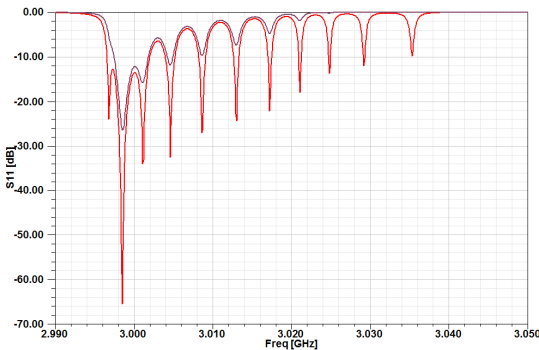
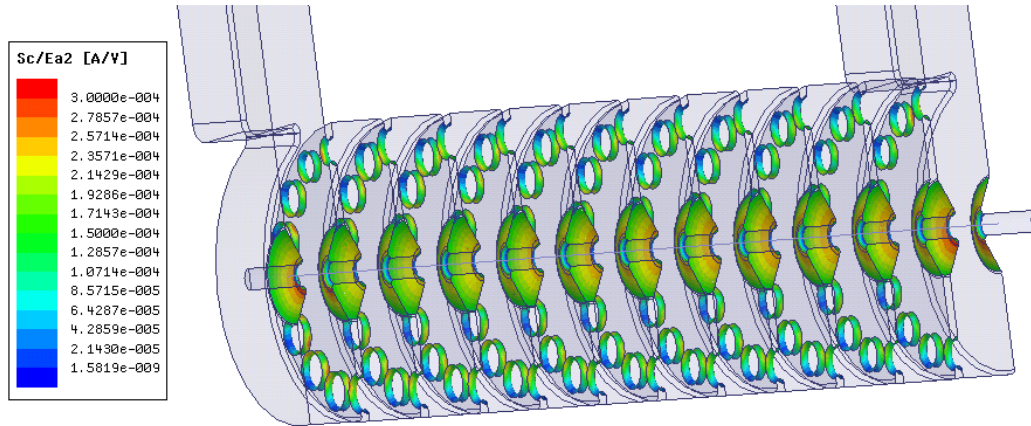




# The TULIP Project



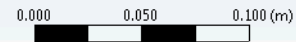
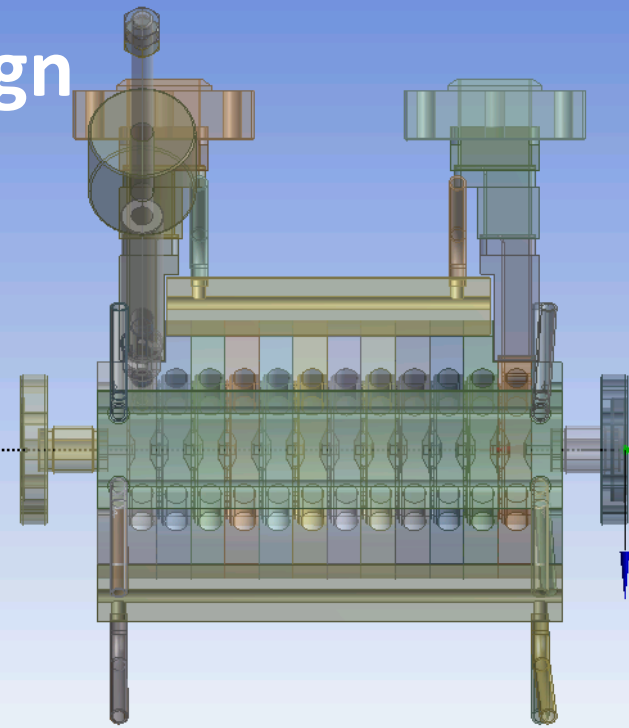
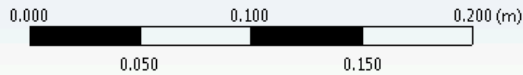
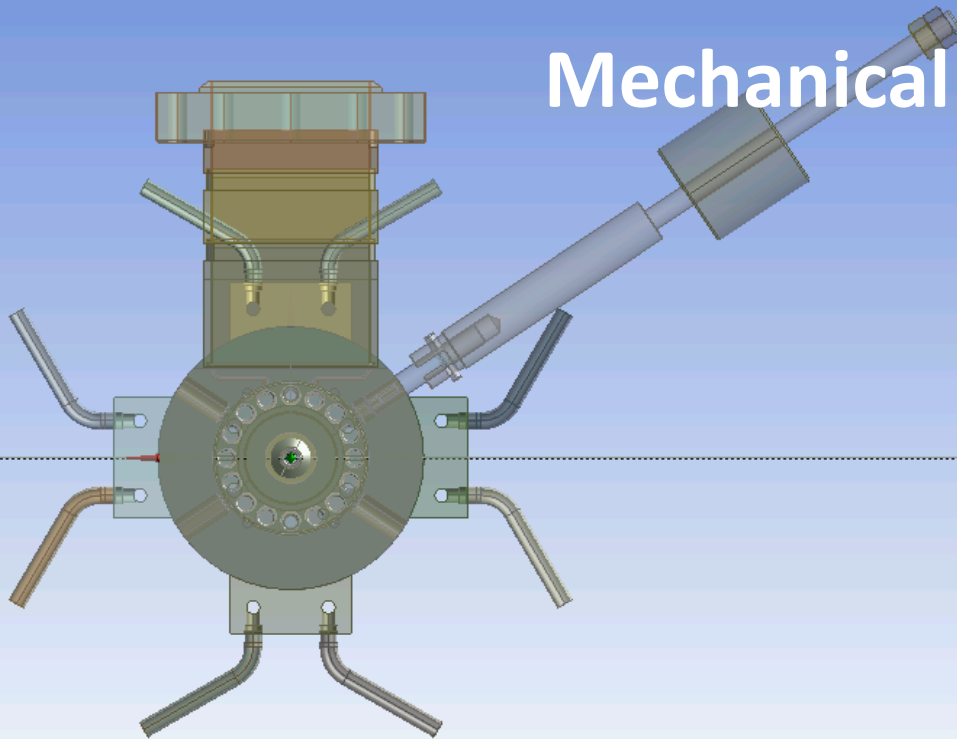
# RF design



- The  $Sc/Ea^2$  constraint has been widely respected
- A reflection lower than -50 dB at the resonant frequency of 2.9985 GHz has been reached
- Even electric field profile along the structure
- Phase advance of  $5\pi/6$  at the operating frequency chosen

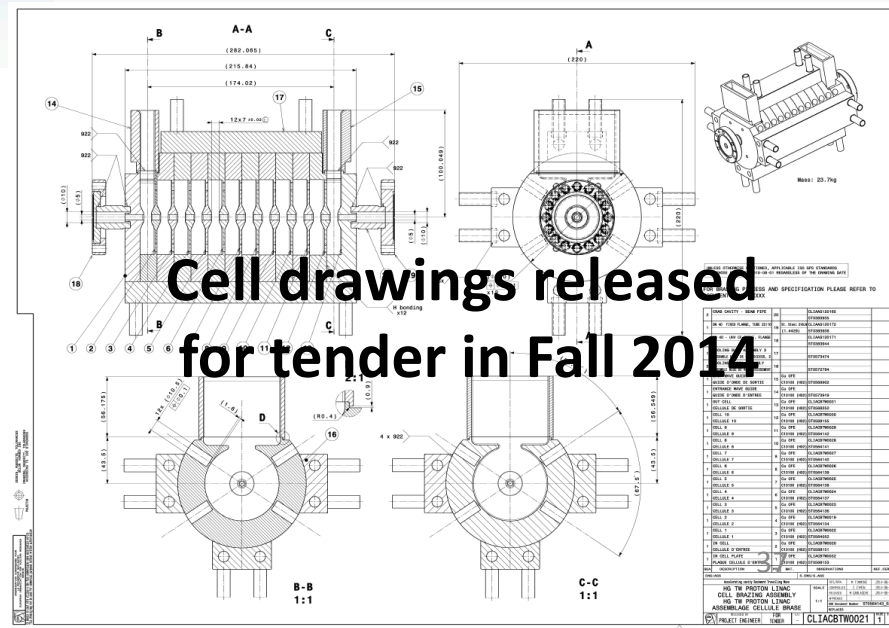


# Mechanical design



## How did we come to this?

- Tolerances specification
- Creep analysis and test
- Tuning analysis and test
- Thermal and stress analysis





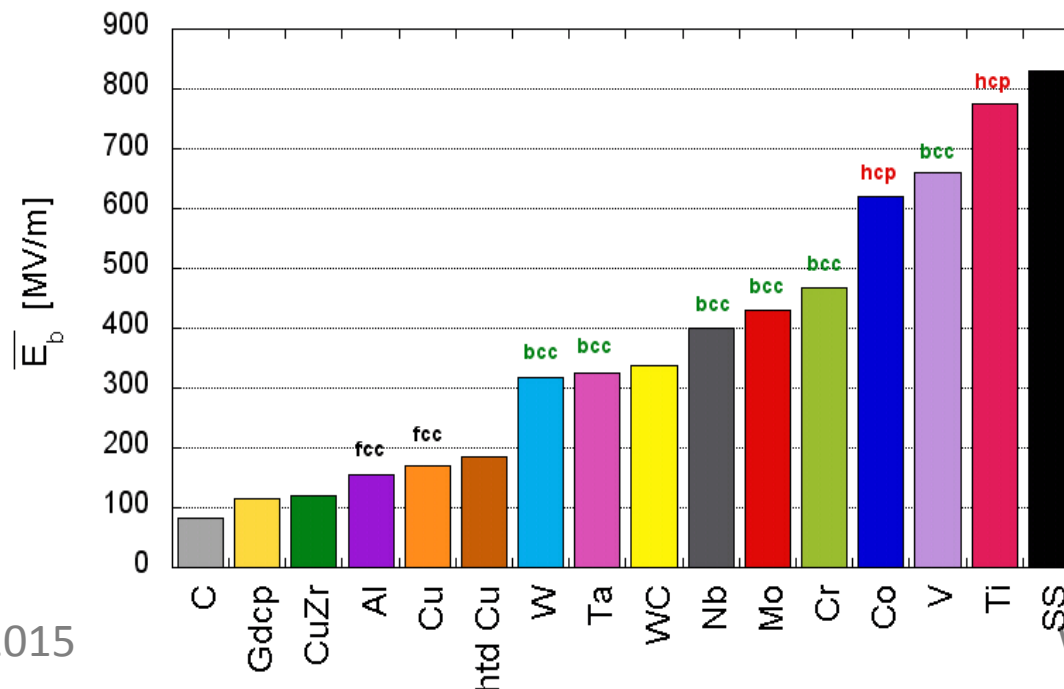
# High-surface field R&D

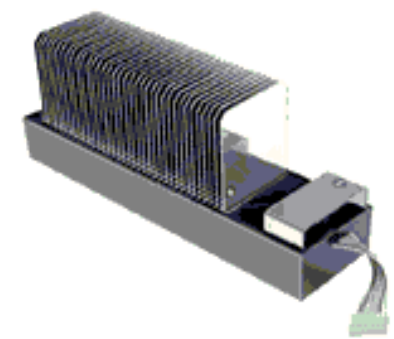
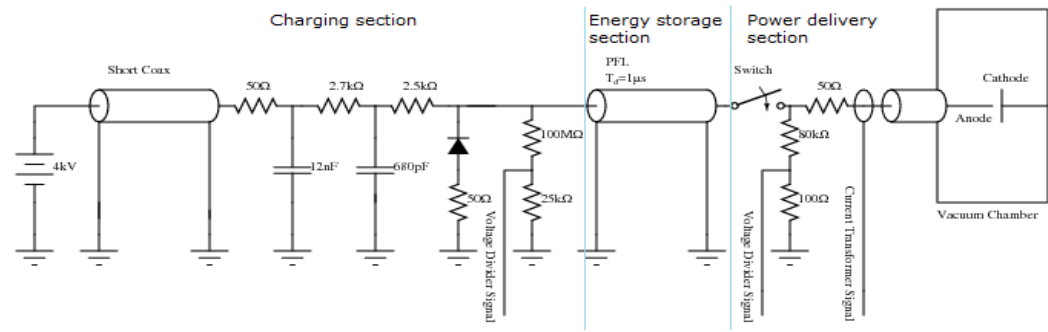
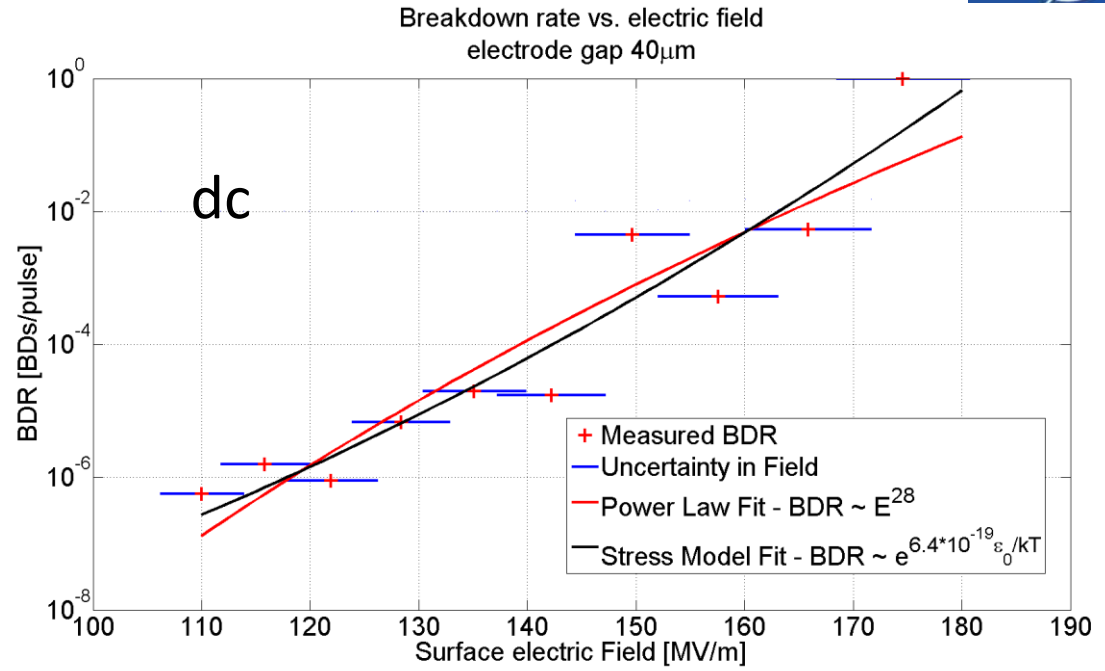
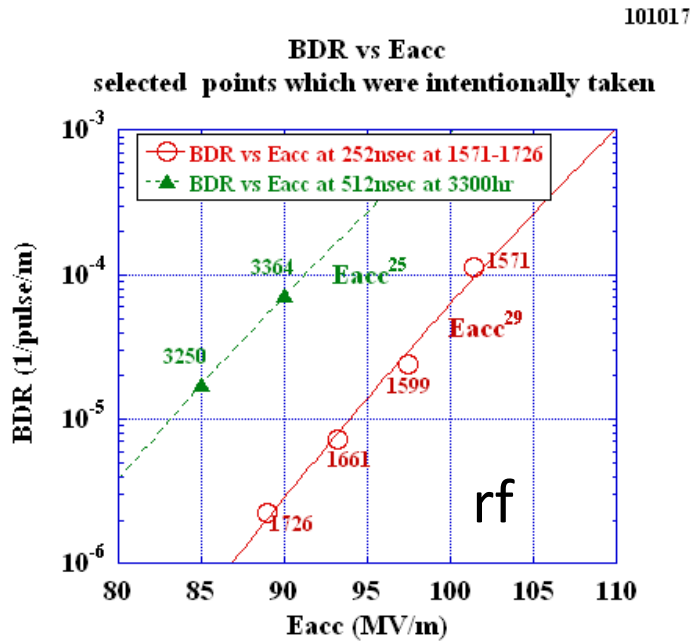


In order to support the high-gradient rf development, we also have a small collaboration studying the fundamental physics and material science of high surface fields.

Theory and simulation – University of Helsinki, Hebrew University of Jerusalem and the University of Tartu

Experiment – high repetition rate pulsed dc systems at CERN

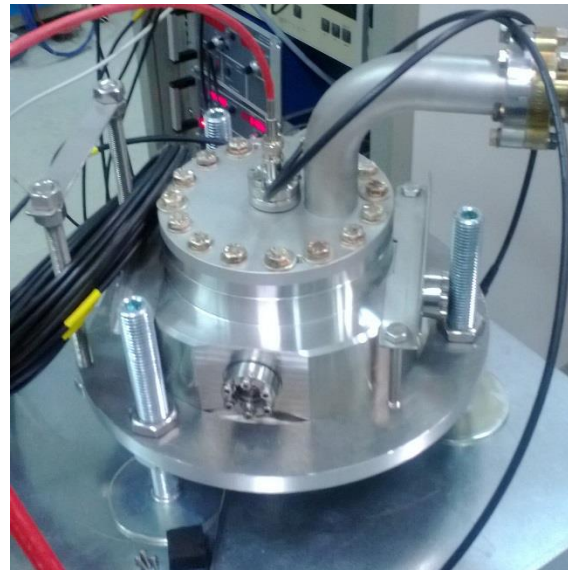
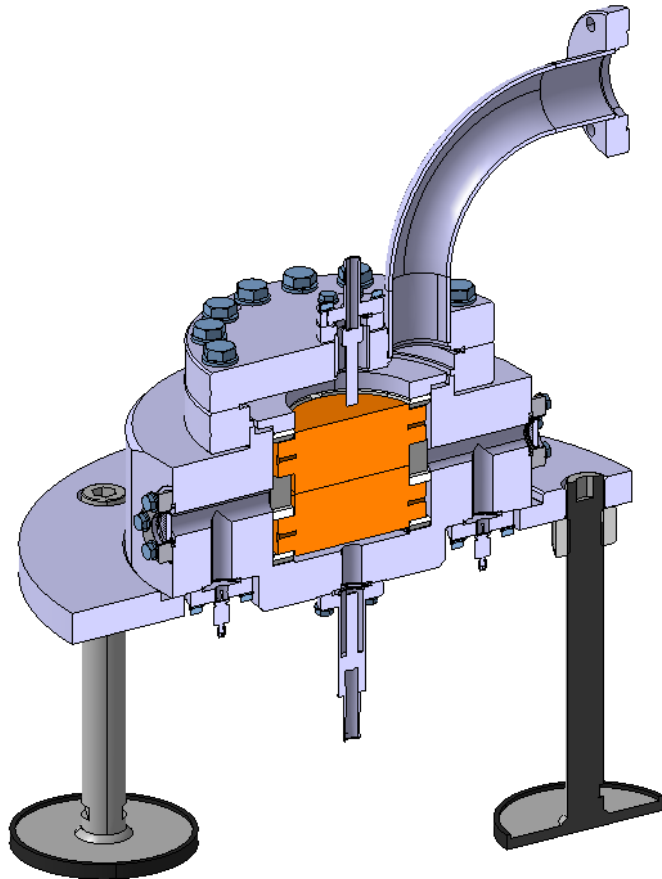




High repetition rate, 1kHz, MOSFET switch based high voltage pulser.



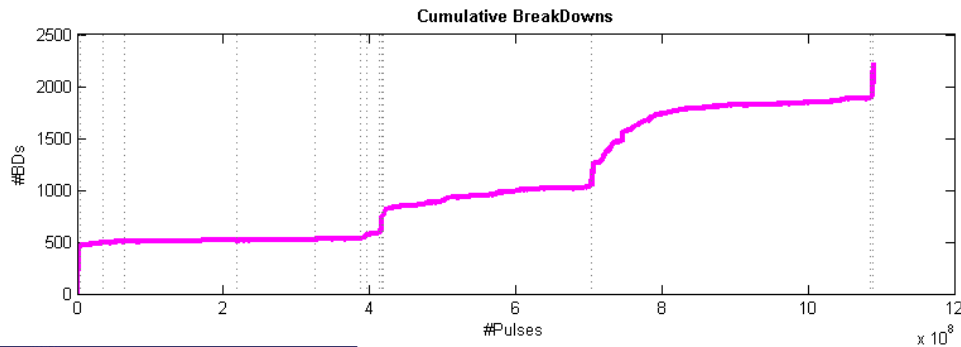
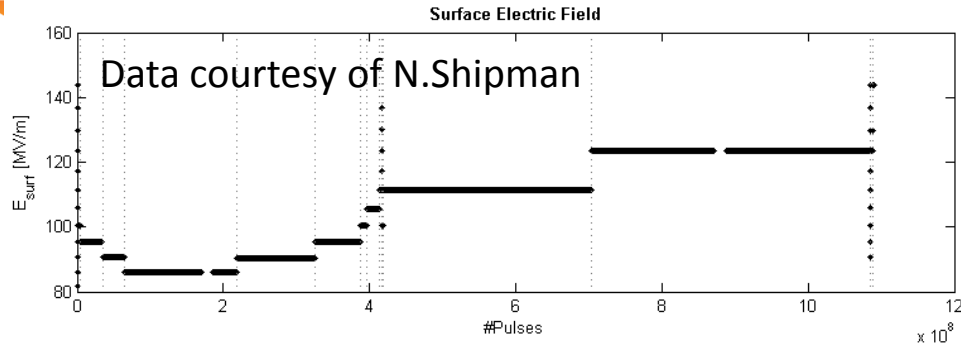
# Large electrode system



Standardized, simple, 62 mm diameter electrodes allow testing of materials and preparation procedures for basic studies and fabrication procedure optimization



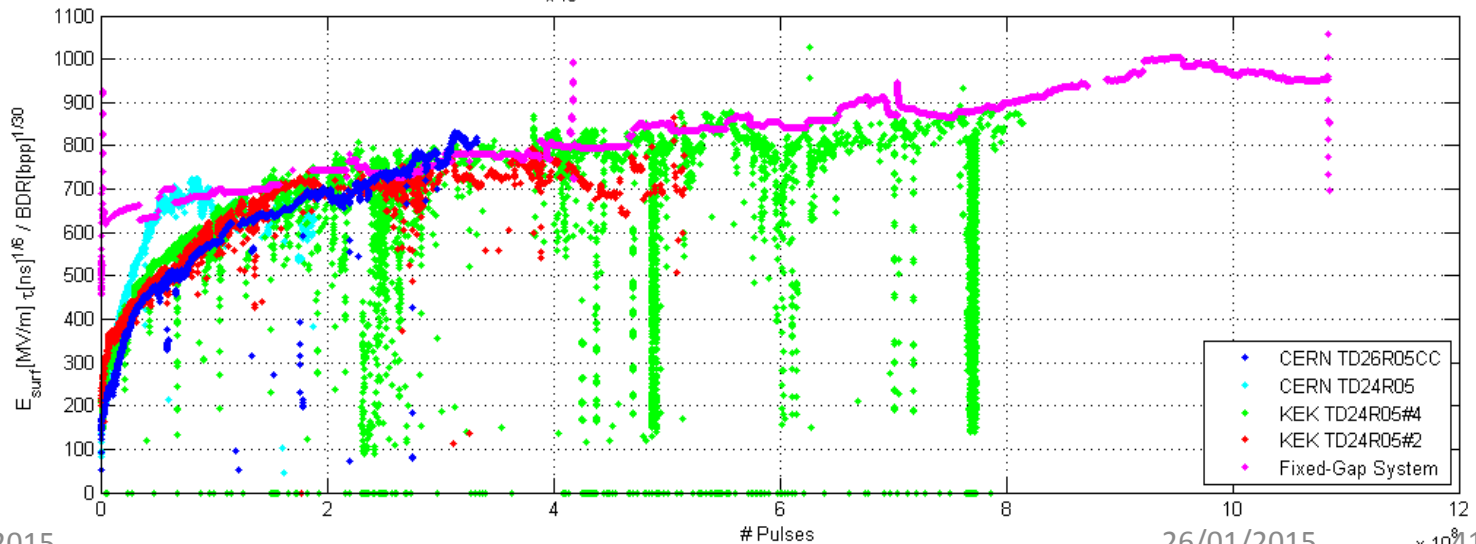
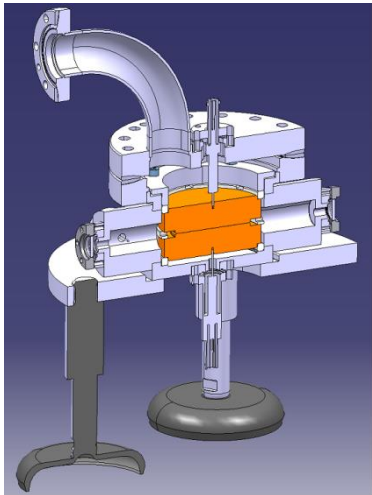
# High rep rate and large electrode system

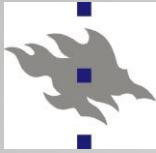


Long term behaviour remarkably similar to an rf cavity – conditioning and ultimate performance.

**Much faster – x20**

**Much cheaper – x100**  
(approximately)



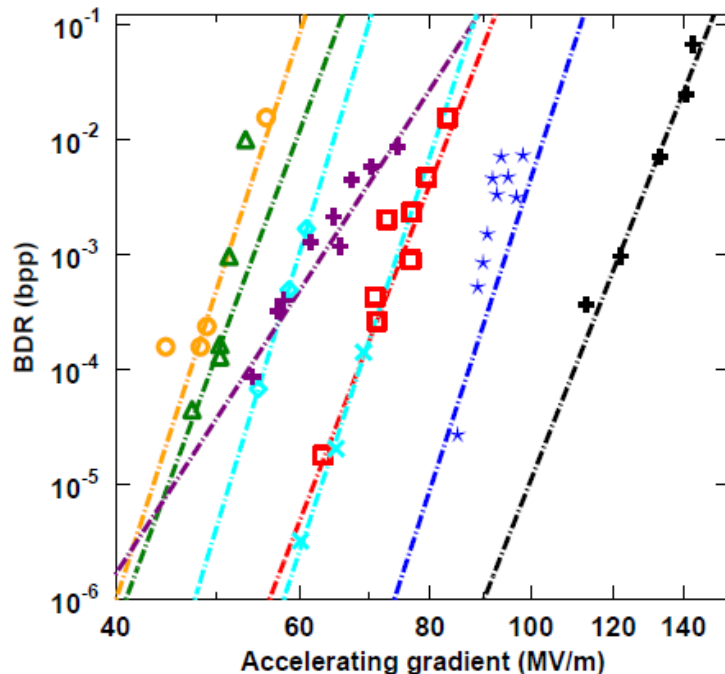


# Dislocation-based model for electric field dependence

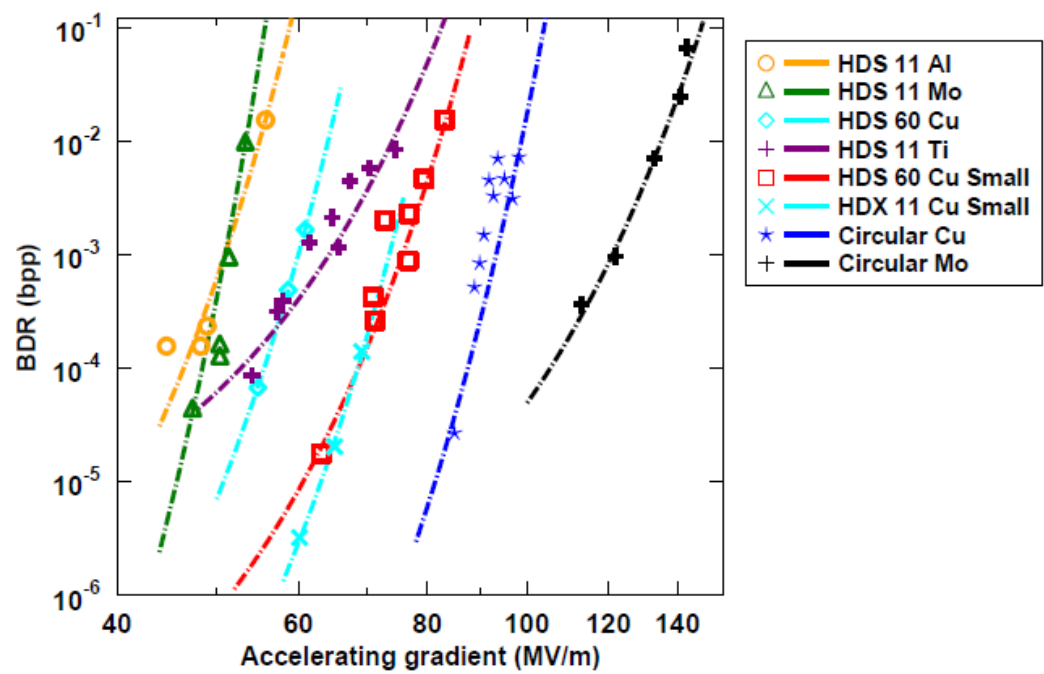
$$BDR \propto c = c_0 e^{-(E^f - \varepsilon_0 E^2 \Delta V)/kT} = c_0 e^{-E^f/kT} e^{\varepsilon_0 E^2 \Delta V/kT}$$

- Now to test the relevance of this, we fit the experimental data
- The result is:

### Power law fit



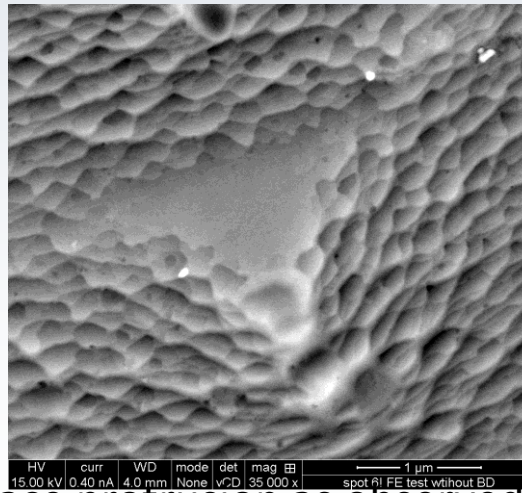
### Stress model fit



[W. Wuensch, public presentation at the CTF3, available online at <http://indico.cern.ch/conferenceDisplay.py?confId=8831>.] with the model.]

# Model

- Stochastic plastic model for breakdown formation:
  - BD caused by localized protrusions. These are formed due to dislocation activity within the sample resulting in protrusion growth.
  - The stochastic model, describes dislocation evolution leading to critical protrusion formation.
  - The sub breakdown population can be characterized through dark currents.
  - As it approaches the critical point – protrusion population increases leading to larger fluctuation in dark currents



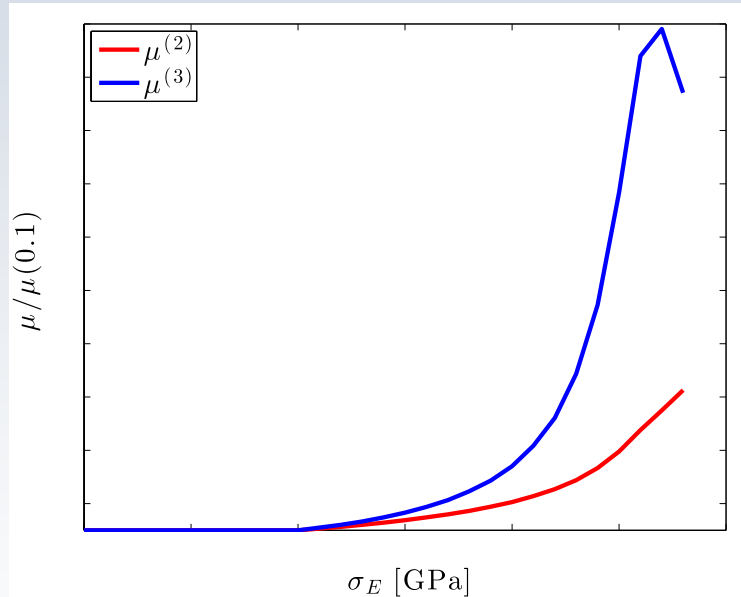
Surface protrusion as observed in the Field emission area of the DC sample.



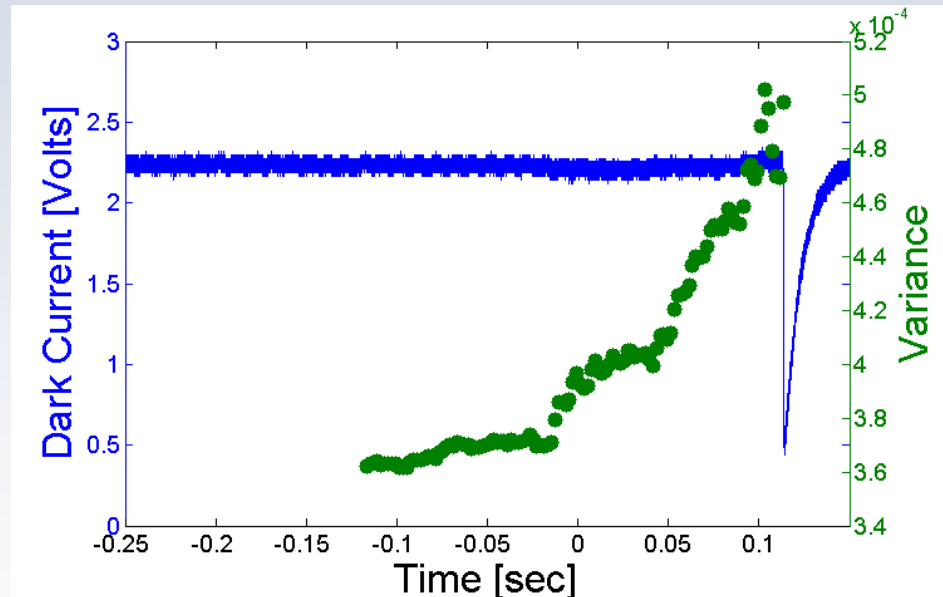
# What are we looking for

- Model predicts strong fluctuations in observed current as the critical point is approached

Simulated 2<sup>nd</sup> and 3<sup>rd</sup> moment protrusion size and distribution vs driving force



Current as measured in an uncontrolled DC gap setup



Proof of concept?

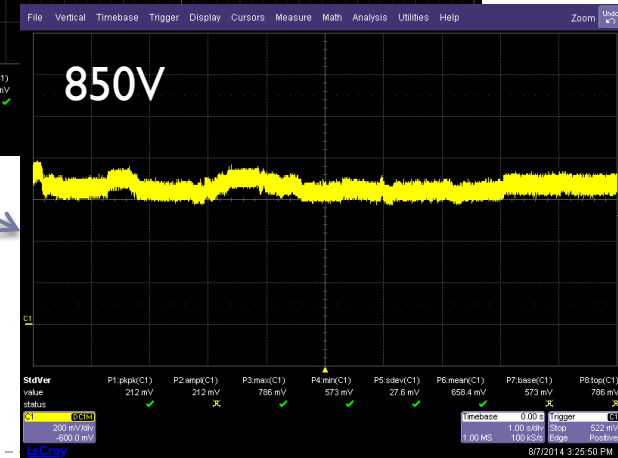
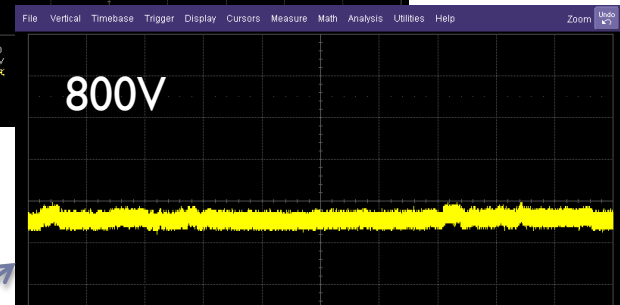
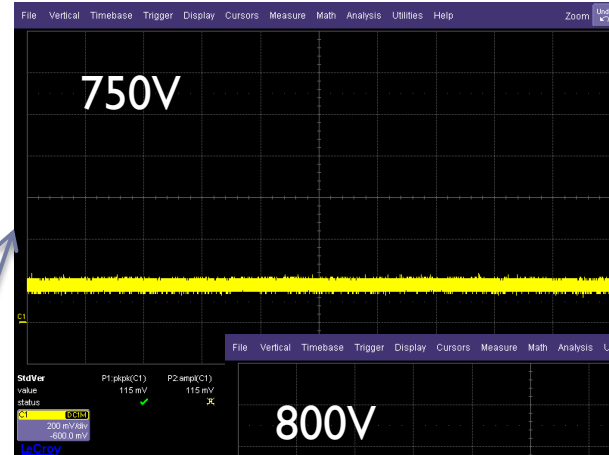
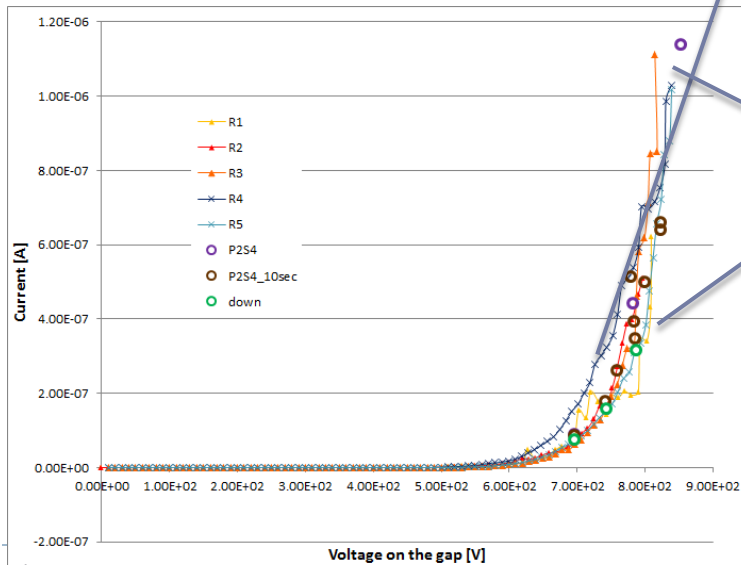
Uncontrolled gap, low I resolution,





# (Preliminary) Current fluctuation measurements

- ▶ At higher field, higher average current & higher fluctuation were observed.
- ▶ Still need to improve current resolution.



# Electron current densities due to a surface adatom or step edge

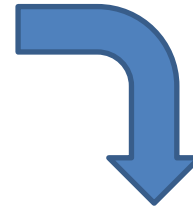
☞ We estimate the difference in the electron current density due to the presence of an adatom or monoatomic steps on the surface.

☞ Zero-temperature current density is  $J_{mo} = J_F D_F$

- Here  $J_F$  is effective incident current density and  $D_F$  is transition coefficient for tunneling probability calculated in Wentzel–Kramers–Brillouin (WKB) approximation as

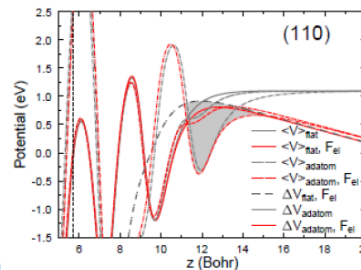
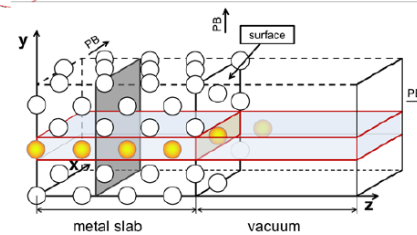
$$D_F(E) = \frac{J_T}{J_F} = \frac{\exp\left(-\frac{2}{\hbar} \int_{z_1}^{z_2} dz \sqrt{2m_e(p(z) - E)}\right)}{J_F}$$

$$\frac{J_{ad}}{J_o} = \exp\left(-\frac{g_e}{2} \int_{z_1}^{z_2} dz \frac{\Delta p}{\sqrt{p_1(z) - E_F}}\right)$$

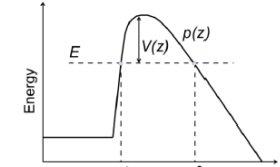
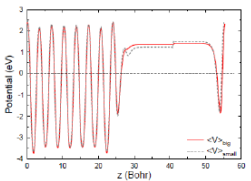
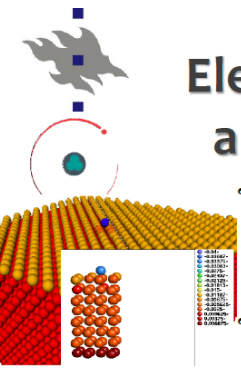


## Effect of surface defect on FE

☞ We calculated  $J_{def}/J_o$  and found that for both types of surface defects – atomic steps and adatoms – and found that even such insignificant drop of the work function may cause the increase of the current density more than 50%



Face	100	110	111
Adatom	1.4	1.324	1.5
Step edge	1.64	1.36	1.74

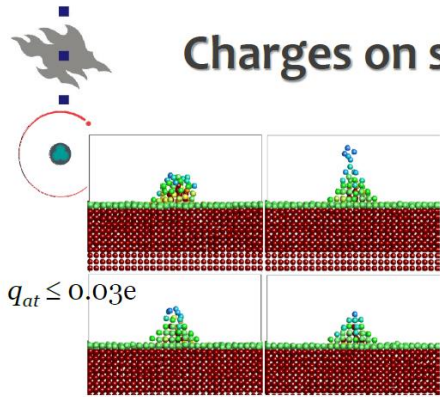


Flyura Djurabekova, HIP, University of Helsinki @ CLIC workshop, 2015, CERN -- Jan. 28, 2015

**Field emission from surfaces with atomic level features**

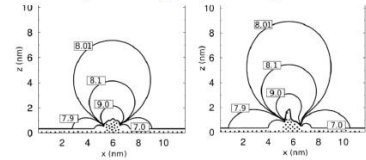
**University of Helsinki**

# Charges on surface atoms in MD



$$q_{at} \leq 0.03e$$

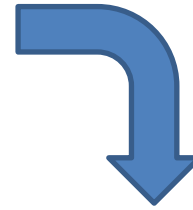
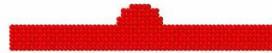
Distribution of the electric field is dynamically calculated by solving Laplace equation



Details in F. Djurabekova, S. Parviainen, A. Pohjonen and K. Nordlund, PRE 83, 026704 (2011).

- ⚡ Charges on surface atoms are calculated by using our *helmod* code – hybrid ED-MD code, based on classical MD (molecular dynamics) code.
- ⚡ The dynamics of atom charges follows the shape of electric field distortion on tips on the surface
- ⚡ The atoms leave the tip as a result of evaporation enhanced by pulling effect from the external electric field.

- No electromigration or interaction with electrons are



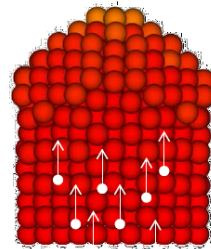
# Electromigration (EM) in MD



- ⚡ Implemented as additional "electron wind force" acting on atoms in protrusion as in Bly et al. [PhysRevB.53 (1995)13909]
- ⚡ Force proportional to the internal electric field and effective charge of copper atoms as seen by current

$$F = E_{int} Z_{eff} \quad \begin{matrix} E_{int} = J_{GTF} / \sigma \\ Z_{eff} \approx 1040 \end{matrix}$$

- ⚡ Assume current (and force) is going straight upwards
- ⚡ Assume effective charge  $Z_{eff}$  is constant



**Dynamics of atomic level features under influence of high surface electric fields**

**University of Helsinki**

KEK, 9 March 2015



# Conclusions



- The CLIC project has fixed its initial energy stage at 380 GeV. The baseline designs at this initial and 3 TeV energies are being updated. We eagerly await LHC run 2.
- Accelerating structures routinely operate in the range of 100 MeV and a large increase in manufacturing and testing capability is underway.
- We actively work with other applications of X-band and high-gradient technology.
- Significant advances in the understanding of high surface fields are being made.





# Upcoming events



 **International Workshop on Breakdown Science and High Gradient Technology (HG2015)**

June 16-19, 2015  
Tsinghua University  
Beijing, China  
<https://indico.cern.ch/event/358352/>

**Meeting Chair**  
Tang, Chuanxiang

**International Organizing Committee**  
D'Auria, Gerardo (Sincrotrone Trieste)  
Gai, Wei (ANL)  
Higo, Toshiyasu (KEK)  
Tantawi, Sami (SLAC)  
Wuensch, Walter (CERN)

**Local Organizing Committee**  
Chen, Huaibi (Chair)  
Huang, Wenhui  
Shi, Jiaru  
Zhang, Liang  
Wang, Ping  
Fan, Xue

迎春园



 **Mechanisms of Vacuum Arcs-5** 

The Hebrew University of Jerusalem  
Sharing through International

2-4 September, 2015



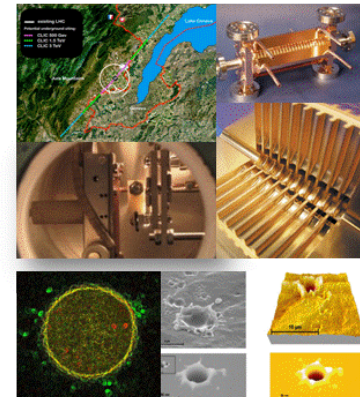
The workshop aims to combine the efforts of researchers in different fields to understand the mechanisms underlying the highly intriguing phenomenon of electrical breakdown. The workshop will cover rf and dc types of electrical breakdowns, including theory, experiment, and simulation. The workshop will be preceded by a half-day mini-school on modeling surface (electrode) evolution processes relevant to electrical breakdown phenomena.

**Topics**

**Experiments:** vacuum arcs, dc spark systems, rf accelerating structures, materials, diagnostics, techniques and technologies for high gradients, and arcing in fusion devices.

**Theory and simulations:** surface modification under electric and electromagnetic fields, PIC and PIC-DSMC plasma simulations, dislocation activity, plasma-wall interactions, and surface damage and evolution.

**Applications:** particle accelerators, discharge-based devices, electrostatic failure mitigation, fusion devices, satellites and other industrial interests.



**Venue**

The workshop will be held in Saariselkä, Lapland. Lappish ruska is the time of beautiful autumn colors.



**Organizers**

Flyura Djurabekova  
HIP, University of Helsinki, Finland

Walter Wuensch, Sergio Calatroni  
CERN, Switzerland

Matthew Hopkins  
Sandia National Laboratories, USA

Yinon Ashkenazy  
Hebrew University of Jerusalem, Israel

<http://indico.cern.ch/conferenceDisplay.py?confId=246618>

