Search for Microscopic Black Holes in Multijet Final States with the ATLAS Detector using 8 TeV *pp* Collisions at the LHC¹

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ICTP-NCP School on LHC Physics



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¹PhD Thesis @ University of Alberta, Canada

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Microscopic Black Holes in ATLAS Data

Hierarchy Problem

Why is there a large difference between the Electroweak scale $(M_{EW} \sim 0.1 \text{ TeV})$ and the Planck scale $(M_P \sim 10^{16} \text{ TeV})$

or

Why gravity appears weaker as compared to the SM forces ?

Low-scale gravity models propose a solution to this problem with the concept of extra spatial dimensions by observing microscopic black holes in high energy particle collisions.

Astronomical Black Holes

A spacetime region with sufficiently compact mass produces an immense gravitational pull to prevent everything including light, from escaping. Classically, an event horizon is a surface around the a Black Hole which is called **point of no return**. Anything that touches event horizon, will be trapped and won't go back.

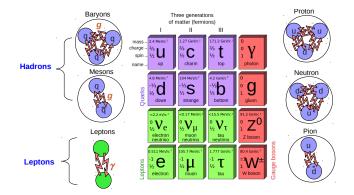
Microscopic Black Holes

In high energy particle collider, mini Black Holes can be produced if there is a strong gravity at small scales. Microscopic Black Holes will evaporate **quickly** unlike astronomical Black Holes.



Fundamental Particles

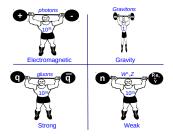
- There are two type of particles in nature fermions and bosons.
- In fermions, Quarks and Leptons are the fundamental particles only.
 - Generally quarks exist in bound states, called Hadrons.



• How do they interact?

Fundamental Forces

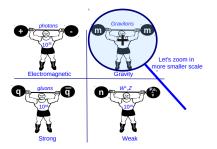
- All the particles interact via four fundamental forces in nature
- Standard Model of particle physics incorporates Electromagnetic, Weak and Strong forces but doesn't include gravity.



- Gravity appears to be much weaker than other forces.
- Is gravity really a very weak force?

Extra-dimensions and Strong Gravity

- Gravity is the only force that can propagate in **extra dimensions** and most of its strength is spent in extra dimensions.
- At current fundamental scale 10^{-18} m we are not able to see extra-dimensions that's why gravity appears to be very weak.



• If we go beyond the fundamental scale then we may see extra dimensioned and strong gravity at low scale

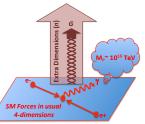
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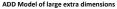
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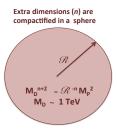
Low-scale Gravity

- In ADD model, there are large extra dimensions and only gravity can propagate in extra spatial dimensions (*n*).
- The extra dimensions are compactified in a sphere of radius *ℛ*, e.g.,
 ℛ ∼ submillimeter scale for n ≥ 3.
- At such a low scale (~ 𝔅), gravity will appear as strong as other forces, i.e., the apparent Plank scale (M_P) reduces to the true Planck scale (M_D²).
- As a consequence of strong gravity at low-scale, production of microscopic black holes (MBH) is possible in a high energy collision under certain conditions.

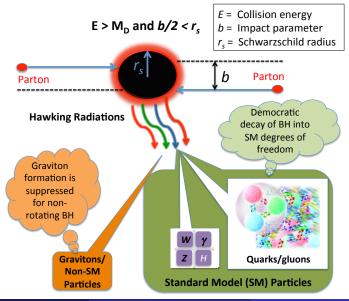
²where D = n + 4, total number of dimension







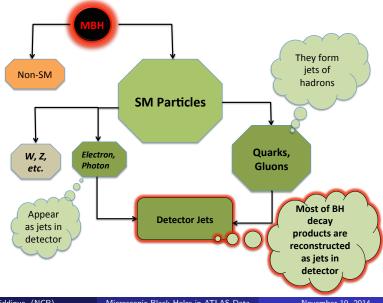
MBH Formation and Decay



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MBH Signals in Multjet Final States



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Microscopic Black Holes at the LHC

- MBH may be produced in high energy proton-proton (*pp*) collisions at the Large Hadron Collider (LHC).
- Once produced, MBH may be distinguished by
 - high jet multiplicity (N),
 - democratic (with equal probabilities) and
 - highly isotropic (same in all directions) decays

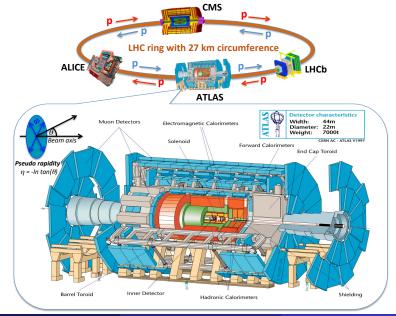
with the final state particles carrying hundreds of GeV of energy.

- Hence,
 - ▶ high-N, and
 - high-p_T (transverse momentum)

are the key signatures of MBH.

Therefore, we select **multijet final states with high sum of** p_T in the data recorded by the ATLAS detector at the LHC.

ATLAS Detector at the LHC



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Microscopic Black Holes in ATLAS Data

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ATLAS 2012 data

- *pp*-collisions with 8 TeV centre of mass energy.
- An integrated luminosity of 20.34 fb^{-1} .

Dijet Monte Carlo Simulations (MCs)

Two types of MCs are used in this study.

- PYTHIA dijet
- HERWIG++ dijet

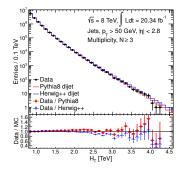
In this study, events with high sum p_T are studied for different jet multiplicities for both the data and MCs.

The Main Kinematic Variable, H_T

 The main kinematic variable chosen is *H_T*, which is the scalar sum of jet *p_T*, i.e.,

 $H_T = \sum p_T$ if $p_T > 50$ GeV and $|\eta| < 2.8$

For different jet multiplicities, H_T distributions are expected to be shape invariant³ because of the collinear nature of the initial and final state radiation, which does not change the total transverse kinematics of the system.



H_T shape invariance is investigated by observing the ratio of the inclusive jet multiplicities *N* ≥ 3, 4, ..., 7 with respect to dijet multiplicity *N* = 2 (chosen as the baseline case).

³above a certain kinematical threshold

Dijet Multiplicity: A Baseline for Background Estimation

Dijet case is used as the baseline case to define the control region because

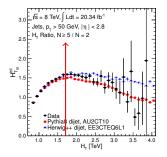
- MBH are expected to produce high jet multiplicities, therefore, the lowest multiplicity N = 2 case is chosen as the baseline case for the QCD background⁴ estimation.
- Dijet case is **well-studied** in the ATLAS and CMS collaborations, and no evidence of any resonance or new physics have been found.

Hence, the shape of the dijet- H_T is used to estimate background for the higher jet multiplicities $N \ge 3, 4, ..., 7$, on the basis of shape invariance assumption.

⁴Main background in this study

H_T Shape Invariance

• The H_T ratios of inclusive jet multiplicities $N \ge 3, 4, ..., 7$ with respect to N = 2 are examined for the shape invariance above a certain kinematical threshold. An example of the H_T ratio $(H_{T_{52}}^{\text{inl}} \equiv \text{Ratio of inclusive multiplicity})$ $N \ge 5$ to N = 2, is shown in figure.

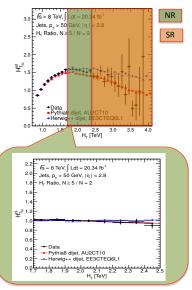


- A lower threshold for shape invariance, $H_T > 1.7$ TeV, is chosen for all the jet multiplicities.
- Different upper thresholds are studied to get a region with best shape invariance, which is defined as the normalization region.

At this stage, we can define three kinematical regions in the study.

The Three Kinematical Regions

- The control region (CR): $H_T > 1.7$ TeV and N = 2
 - the region where no new physics is expected.
- The normalization region (NR): $1.7 < H_T < 2.4$ TeV and N > 2
 - the region of best shape invariance
 - non-black hole region
- The signal region (SR): $H_T > 2.4$ TeV and N > 2
 - the region beyond the NR



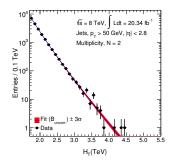
Now we can go towards background estimation.

The main QCD background is determined from the data.

• The H_T distribution for the dijet (CR) is fitted by an ansatz function $f(x) = \frac{p_0(1-x)^{p_1}}{x^{p_2+p_3\ln x}}$, where $x = H_T/\sqrt{s}$ and $\sqrt{s} = 8$ TeV. p_0, p_1, p_2 and p_3 are the fit parameters.

 The shape of dijet function normalized by a factor⁵, is applied to N > 2 to estimate the background in the SR.

 $[f(x)]^{N>2} = n_f \times [f(x)]^{N=2}$



• The background estimation relies on the H_T shape invariance.

We need to investigate the H_T shape invariance carefully.

⁵Normalization factor $n_f = H_T^{N>2}/H_T^{N=2}$ is a number, obtained from the NR

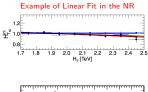
H_T Shape Invariance in the NR and SR

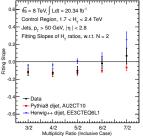
In the NR (Data and MCs)

- A linear-fit is applied to the H_T ratios, e.g. $H_{T_{52}}^{\text{inl}}$ for the data and MCs.
- For perfect shape invariance, the linear fit should have slope consistent with zero.
- The shape invariance is not perfect and definitely there are some **effects due to non-invariance**.

In the SR (MCs)

 By the same method, the H_T ratios for the MCs also indicate some effects due to non-invariance.





The non-invariant effects cause **an overestimation of the background** in the SR, therefore, the data-driven background is corrected based on the correction factors derived from MCs.

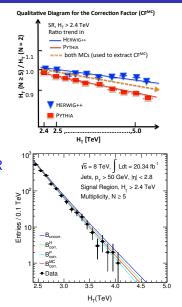
Corrections to the Background Estimation

• For jet multiplicities *N* > 2, the nominal background (data-driven) without any correction is denoted as

 $\mathsf{B}_{\mathsf{uncorr}} \equiv [f(x)]^{N>2}$

The effects due to non-invariance in the SR of the H_T distribution are compensated by applying a CF^{MC} (correction factor derived from the MCs) extracted from the invariance trend of both the MCs, i.e.,

 $\mathsf{B}_{\mathsf{corr}} = \mathsf{CF}^{\mathsf{MC}} \times \mathsf{B}_{\mathsf{uncorr}}$



Following are the steps:

- Function fitting to the CR (N = 2)
 - An ansatz function is fitted to the dijet case, i.e., $[f(x)]^{N=2}$.
- Normalizing CR-fit to the higher multiplicities (N > 2)
 - On the basis of shape invariance $[f(x)]^{N>2} = n_f \times [f(x)]^{N=2} \equiv B_{uncorr}$
- Applying correction factors to the background estimation

► On the basis of MCs $B_{corr} = CF^{MC} \times B_{uncorr}$

What are the uncertainties involved in the background estimation ?

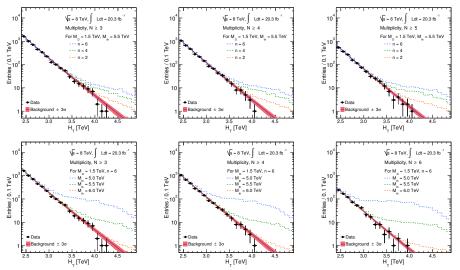
There are three types of systematic uncertainties on the background estimation involved in this study.

- Corrections to non-invariance (ΔB_{corr})
 - ► The CF^{MC} derived from straight line fit over the *H*_T ratio in the SR, introduces largest uncertainty in the study.
 - Computed from the errors and differences of fit parameters of two MCs.
- Jet Energy Uncertainties (ΔB_{jeu})
 - ▶ Jet Energy Scale (JES) and Jet Energy Resolution (JER) uncertainties.
 - Estimated by comparing distributions with and without JES/JER.
- Choice of the NR (ΔB_{nf})
 - ► The NR, 1.7 < H_T < 2.4 TeV, is slided ±0.1 TeV on the boundaries to quantify its effects on the background estimation.</p>

The amount of total uncertainty remains within 15-70% range in the 2.4 $< H_T < 4.5$ TeV of the SR, depending upon the (N, H_T) .

Data, Background and Signal

CHARYBDIS2 BH simulations are being used in these plots



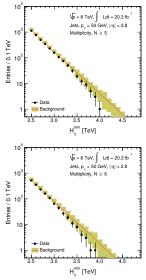
Data Vs Background in the SR

• In the SR ($H_T > 2.4$ TeV), let's define another variable H_T^{\min} , i.e.,

 $H_T > H_T^{\min}$

which means we consider SR with different lower thresholds, e.g., 2.4 TeV and above, 2.5 TeV and above, etc.

• The comparison of the data and estimated background along with the band of total uncertainty, as a function of H_T^{\min} is shown for $N \ge 6$ and $N \ge 7$ (as examples).



Typically, the data are in one sigma agreement to the background.

Data Vs Background in H_T^{\min}

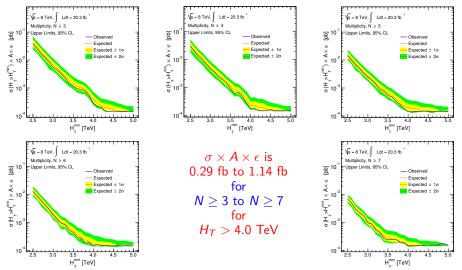
HTmin	Multi	plicity $N \ge 3$	Multiplicity $N \ge 4$		Multiplicity $N \ge 5$		Multiplicity $N \ge 6$		Multiplicity $N \ge 7$	
(TeV)	N ^{data}	N ^{bkg}	N ^{data}	N ^{bkg}	N ^{data}	N ^{bkg}	N ^{data}	N ^{bkg}	N ^{data}	N ^{bkg}
3.5	66	$78.6^{+13.8}_{-8.2}$	40	$49.9^{+10.7}_{-6.6}$	19	26.3 ^{+8.3} -9.3	8	$11.7^{+4.5}_{-4.5}$	2	$4.5^{+2.6}_{-2.1}$
3.6	47	$54.6^{+9.4}_{-6.9}$	25	$34.5^{+7.5}_{-4.8}$	12	$18.2^{+5.7}_{-6.8}$	4	$8.1^{+2.9}_{-3.5}$	2	$3.1^{+1.3}_{-1.7}$
3.7	32	37.9 ^{+6.8} -9.4	17	$23.9^{+6.3}_{-9.2}$	9	$12.6^{+4.3}_{-5.1}$	3	$5.6^{+2.6}_{-2.5}$	2	$2.1^{+0.9}_{-1.2}$
3.8	20	26.3 ^{+5.3} -11.0	9	$16.6^{+4.2}_{-7.1}$	5	8.7 ^{+2.8} -3.8	1	$3.8^{+1.6}_{-2.1}$	1	$1.4^{+0.6}_{-0.9}$
3.9	11	$18.3^{+3.8}_{-8.4}$	4	$11.5^{+4.5}_{-5.2}$	3	$6.0^{+2.2}_{-2.8}$	1	$2.6^{+2.3}_{-1.4}$	1	$1.0^{+0.5}_{-0.9}$
4.0	4	$12.7^{+3.1}_{-6.3}$	1	$7.9^{+5.0}_{-3.9}$	1	$4.1^{+1.4}_{-2.2}$	0	$1.8^{+0.8}_{-1.3}$	0	$0.7^{+0.3}_{-0.6}$
4.1	2	$8.7^{+4.0}_{-4.9}$	0	$5.5^{+8.0}_{-2.9}$	0	$2.8^{+1.7}_{-1.8}$	0	$1.2^{+0.4}_{-0.7}$	0	$0.5^{+0.2}_{-0.3}$
4.2	1	$6.0^{+1.5}_{-4.6}$	0	$3.7^{+0.9}_{-2.2}$	0	$1.9^{+0.6}_{-1.2}$	0	$0.8^{+0.3}_{-0.5}$	0	$0.3\substack{+0.1 \\ -0.2}$

Counting Experiment for Limits

- In order to calculate model-independent limits, number of events for the data, background and systematic uncertainties are computed as a function of H_T^{min} in the SR.
- For example, for N ≥ 5, all the numbers in terms of number of events are given with their corresponding H^{min}_T are:

H_T^{\min} (TeV)	Data	B _{corr}	ΔB_{corr}	ΔB_{jeu}	ΔB_{nf}
2.4	1675	1759.10	382.43	17.77	23.40
2.5	1134	1181.35	257.77	16.65	15.71
2.6	770	797.07	175.04	8.21	10.60
\downarrow	\downarrow	\downarrow	\downarrow	\downarrow	\downarrow
\downarrow	↓↓	\downarrow	↓	\downarrow	\downarrow
\downarrow	↓↓	\downarrow	↓ ↓	\downarrow	\downarrow
4.0	1	4.37	1.26	2.94	0.06
4.1	0	3.04	0.90	1.52	0.04

Model-Independent Limits

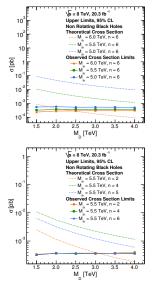


Upper limits on the cross-section times acceptance times efficiency ($\sigma \times A \times \epsilon$) with 95% confidence level (CL), on the production of new physics.

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- CHARYBDIS2 BH simulations are used for non-rotating black holes.
- Different black hole samples as a function of extra dimensions n, minimum mass to produce BH M_{th} and true Planck scale M_D are used to compute the exclusion limits.
- The crossing points of theoretical cross sections and upper limits are used to convert upper limits to lower limits.



Exclusion Limits ATLAS Vs. CMS

Model-Independent Upper Limits

Model-independent upper Limit on $\sigma imes A imes \epsilon$ (fb)						
CMS (for 3.7 fb ⁻¹) CMS (for 12.1 fb ⁻¹) ATLAS (for 20.3 fb ⁻¹)						
0.70	0.20	0.15				

Model-Dependent Lower Limits

n		Model-depentent lower limits on <i>M</i> _{th} (TeV)					
	<i>М_D</i> (TeV)	CMS (for 3.7 fb $^{-1}$)	CMS (for 12.1 fb $^{-1}$)	ATLAS (for 20.3 fb $^{-1}$)			
2	3.5	4.9	5.2	5.4			
4	3.0	5.4	5.6	5.8			
6	2.5	5.7	5.9	6.0			

Our results have improved exclusion limits.

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• QCD Background

- Dijet multiplicity is the CR to estimate the background for N > 2.
- ► QCD background is determined from the data on the basis of *H*_T shape invariance for different jet multiplicities.
- The correction factors due to non-invariance are derived from MCs, and applied to the data-driven background estimation.

- The Data are in agreement to the background within one sigma.
 - ▶ No new physics have been found in the ATLAS 2012 data.
 - Exclusion limits are set on the production of new physics.

• Model-Independent and model-dependent exclusion Limits are set at the 95% CL.

Thanks

Backup slides

Chi-Squared $(\chi^2 = \sum \frac{(Obs - Exp)^2}{Exp})$ Test

- The calculated chi square value can be used to obtain probabilities, or P values, from a chi square table
 - These probabilities allow us to determine the likelihood that the observed deviations are due to random chance alone
- Low chi square values indicate a high probability that the observed deviations could be due to random chance alone
- High chi square values indicate a low probability that the observed deviations are due to random chance alone
- If the chi square value results in a probability that is less than 0.05 (ie: less than 5%) it is considered *statistically significant*
 - The hypothesis is rejected

Degrees of Freedom	P = 0.99	0.95	0.80	0.50	0.20	0.05	0.01
1	0.000157	0.00393	0.0642	0.455	1.642	3.841	6.635
2	0.020	0.103	0.446	1.386	3.219	5.991	9.210
3	0.115	0.352	1.005	2.366	4.642	7.815	11.345
4	0.297	0.711	1.649	3.357	5.989	9.488	13.277
5	0.554	1.145	2.343	4.351	7.289	11.070	15.086
6	0.872	1.635	3.070	5.348	8.558	12.592	16.812
7	1.239	2.167	3.822	6.346	9.803	14.067	18.475
8	1.646	2.733	4.594	7.344	11.030	15.507	20.090
9	2.088	3.325	5.380	8.343	12.242	16.919	21.666
10	2.558	3.940	6.179	9.342	13.442	18.307	23.209

 $P(\alpha, x) = \int_x^\infty t^{\alpha-1} e^{-t} dt$ where $x \equiv \chi^2/2$ and $\alpha \equiv dof/2$

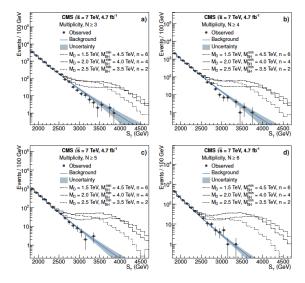
Overestimation in CMS BH searches

CMS 2011 Data, 4.7 fb⁻¹ [JHEP 04 (2012) 061]

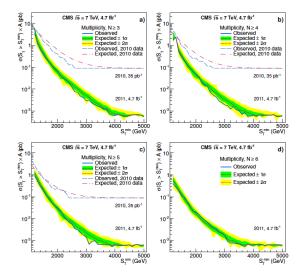
S_T^{\min}	N ^{data}	N ^{bkg}					
	Multiplicity $N \ge 3$						
2.4	667	690 ± 45					
2.7	159	210 ± 28					
2.8	95	140 ± 23					
3.2	18	31 ± 11					
Multiplicity $N \ge 4$							
2.5	245	280 ± 24					
3.2	8	19 ± 6					
3.6	1	4.6 ± 2.7					
4.1	0	0.86 ± 0.9					

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CMS Results JHEP 04 (2012) 061



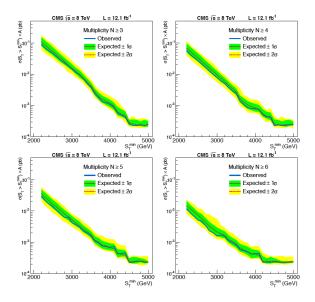
CMS Results JHEP 04 (2012) 061



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CMS Results JHEP 1307 (2013) 178



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