



Beam instabilities (I)

in CERN Accelerator School, Advanced Level, Warsaw Wednesday 30.09.2015

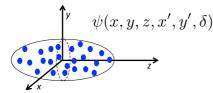






What is a beam instability?

- What is a beam instability?
 - A beam becomes unstable when a moment of its distribution exhibits an exponential growth (e.g. mean positions <x>, <y>, <z>, standard deviations $\sigma_{x'}$, $\sigma_{y'}$, $\sigma_{z'}$, etc.) resulting into beam loss or emittance growth!

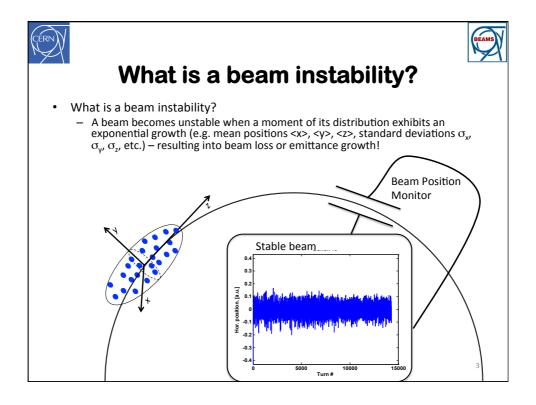


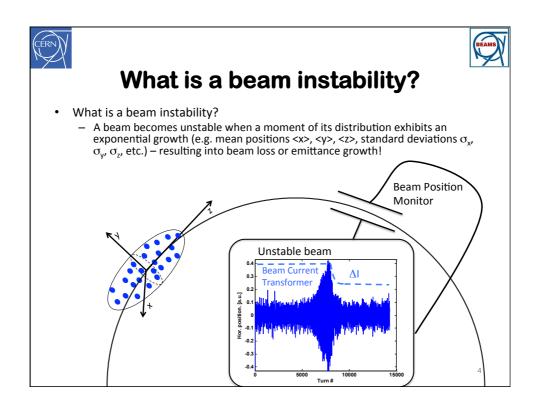
$$N = \int_{-\infty}^{\infty} \psi(x, y, z, x', y', \delta) dx dx' dy dy' dz d\delta$$

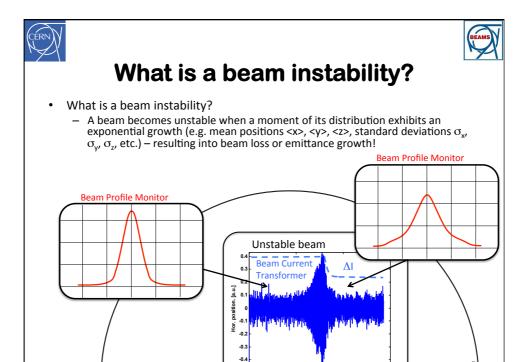
$$\langle x \rangle = \frac{1}{N} \int_{-\infty}^{\infty} x \psi(x,y,z,x',y',\delta) dx dx' dy dy' dz d\delta$$

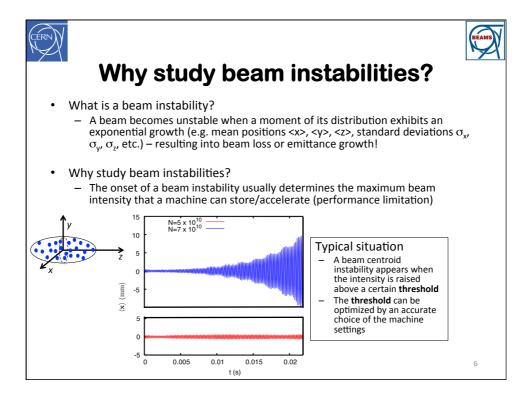
$$\sigma_x^2 = \frac{1}{N} \int_{-\infty}^{\infty} (x - \langle x \rangle)^2 \psi(x, y, z, x', y', \delta) dx dx' dy dy' dz d\delta$$

And similar definitions for $\ \langle y
angle, \ \sigma_y, \ \langle z
angle, \ \sigma_z$













Why study beam instabilities?

- What is a beam instability?
 - A beam becomes unstable when a moment of its distribution exhibits an exponential growth (e.g. mean positions $\langle x \rangle$, $\langle y \rangle$, $\langle z \rangle$, standard deviations σ_{y} , σ_{y} , σ_{z} , etc.) resulting into beam loss or emittance growth!
- · Why study beam instabilities?
 - The onset of a beam instability usually determines the maximum beam intensity that a machine can store/accelerate (performance limitation)
 - Understanding the type of instability limiting the performance, and its underlying mechanism, is essential because it:
 - Allows identifying the source and possible measures to mitigate/suppress the effect
 - · Allows dimensioning an active feedback system to prevent the instability

7





Types of beam instabilities

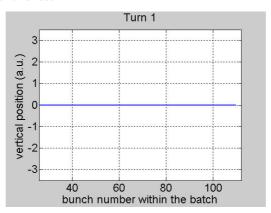
- ⇒ Beam instabilities occur in both linear and circular machines
 - Longitudinal plane (z,δ)
 - Transverse plane (x,y,x',y')
- ⇒ Beam instabilities can affect the beam on different scales
 - Cross-talk between bunches
 - → The unstable motion of subsequent bunches is coupled
 - \rightarrow The instability is consequence of another mechanism that builds up along the bunch train
 - Single bunch effect
 - Coasting beam instabilities





Example of multi-bunch instability

- ⇒ They can affect the beam on different scales
 - Cross-talk between bunches
 - ightarrow The unstable motion of subsequent bunches is coupled
 - $\ensuremath{\rightarrow}$ The instability is consequence of another mechanism that builds up along the bunch train
 - Single bunch effect



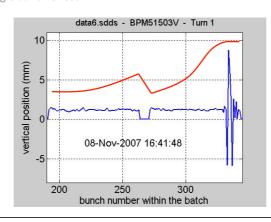
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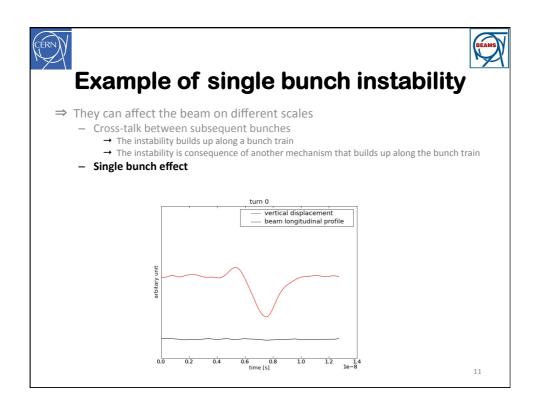


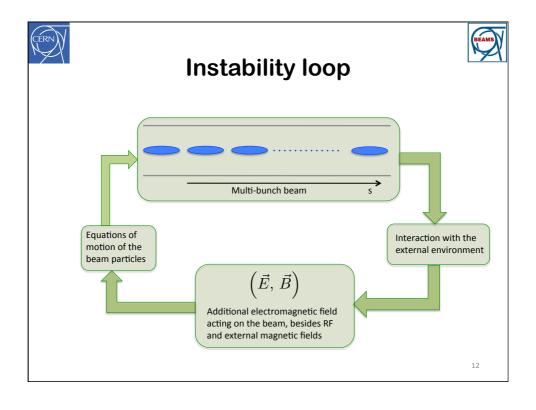


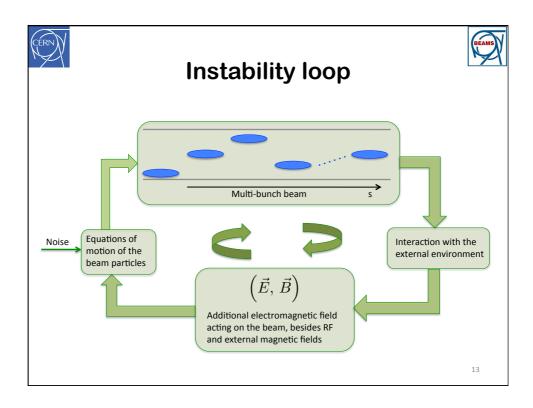
Example of multi-bunch instability

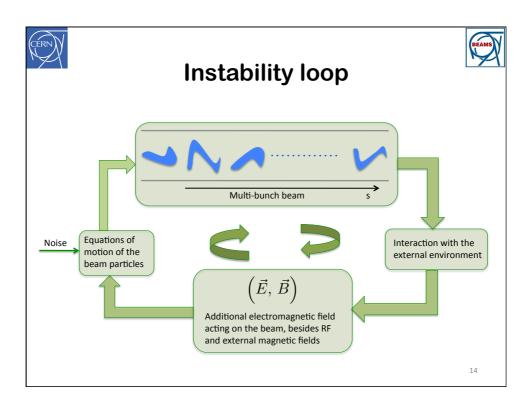
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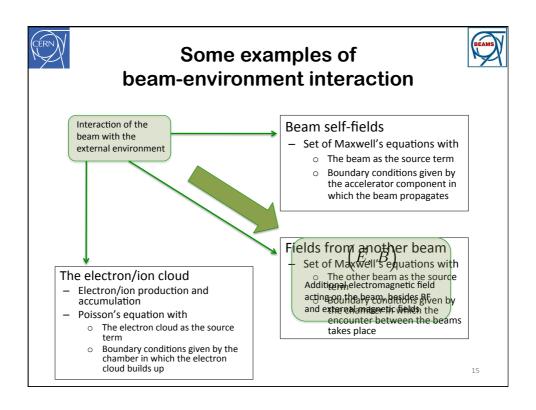


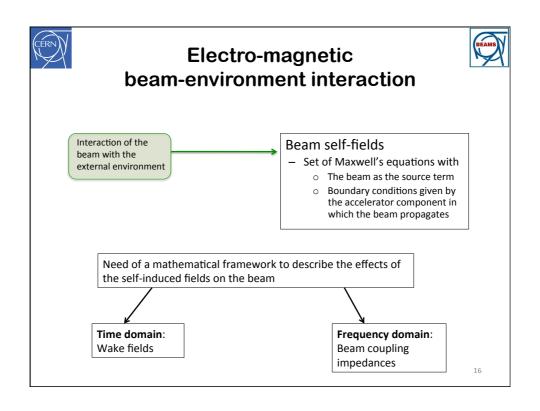


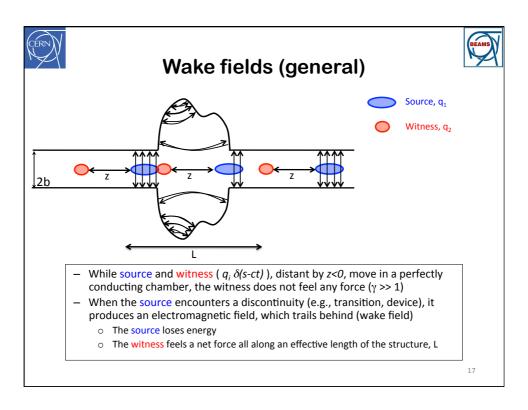


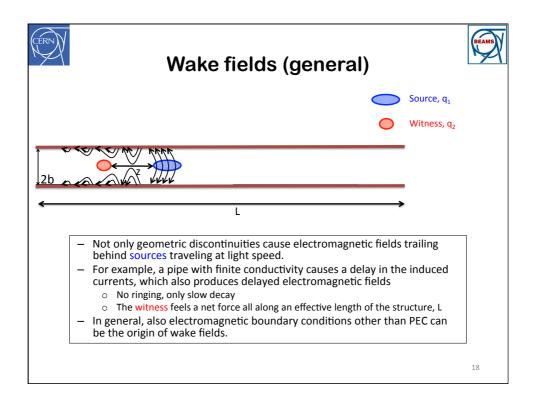


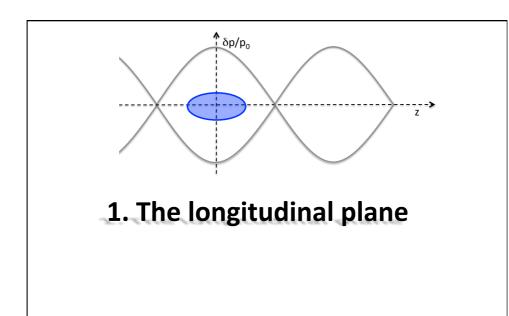


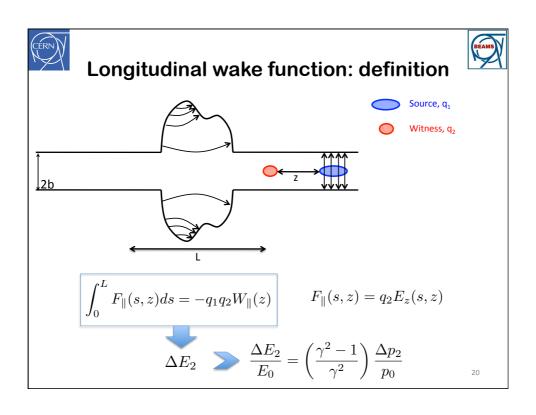












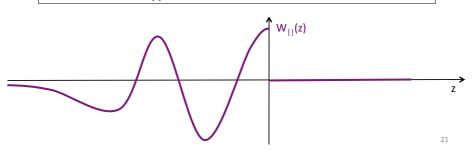


Longitudinal wake function: properties



$$W_{\parallel}(z) = -\frac{\Delta E_2}{q_1 q_2}$$
 $z \to 0$ $y_1 \to 0$ $z \to 0$ $z \to 0$ $y_2 \to 0$ $y_3 \to 0$

- The value of the wake function in 0, ${\it W}_{IJ}({\it 0})$, is related to the energy lost by the source particle in the creation of the wake
- W_{//}(0)>0 since ∆E₁<0
- $-W_{jj}(z)$ is discontinuous in z=0 and it vanishes for all z>0 because of the ultra-relativistic approximation



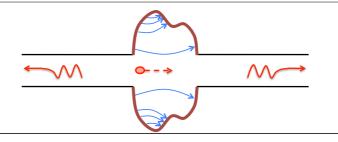


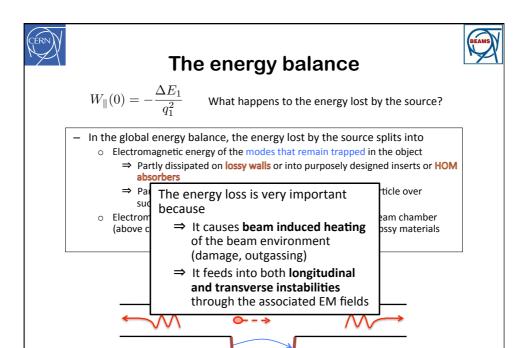
The energy balance



$$W_{\parallel}(0) = -rac{\Delta E_1}{q_1^2}$$
 What happens to the energy lost by the source?

- In the global energy balance, the energy lost by the source splits into
 - o Electromagnetic energy of the modes that remain trapped in the object
 - ⇒ Partly dissipated on lossy walls or into purposely designed inserts or HOM absorbers
 - ⇒ Partly transferred to following particles (or the same particle over successive turns), possibly feeding into an instability!
 - Electromagnetic energy of modes that propagate down the beam chamber (above cut-off), which will be eventually lost on surrounding lossy materials







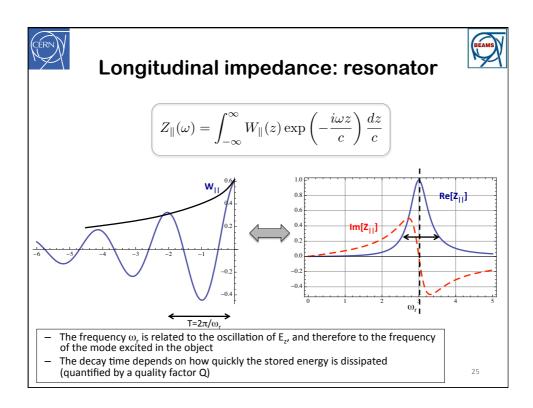


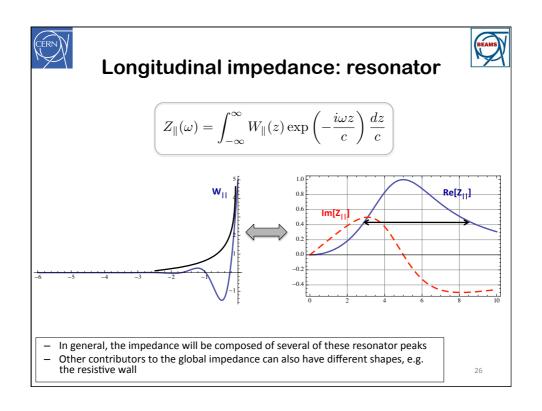
Longitudinal impedance

- The wake function of an accelerator component is basically its Green function in time domain (i.e., its response to a pulse excitation)
 - ⇒ Very useful for macroparticle models and simulations, because it can be used to describe the driving terms in the single particle equations of motion!
- We can also describe it as a transfer function in frequency domain
- This is the definition of longitudinal beam coupling impedance of the element under study

$$\boxed{Z_{\parallel}(\omega)} = \int_{-\infty}^{\infty} W_{\parallel}(z) \exp\left(-\frac{i\omega z}{c}\right) \frac{dz}{c}$$

$$\left[\Omega\right] \qquad \left[\Omega/\mathrm{s}\right]$$







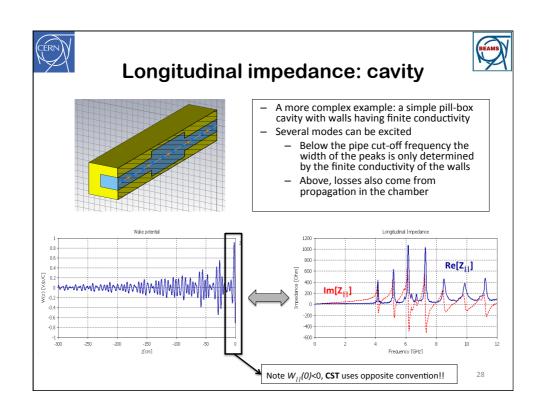
Longitudinal wake & impedance Equations of the resonator

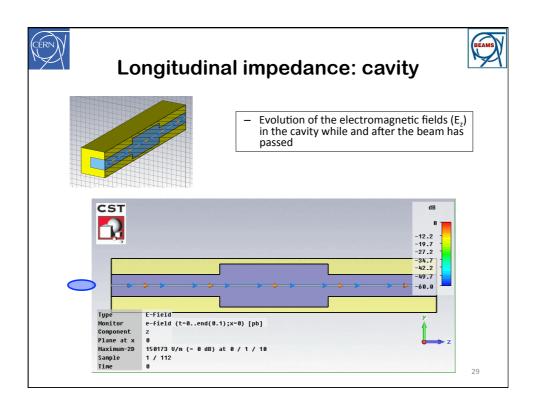


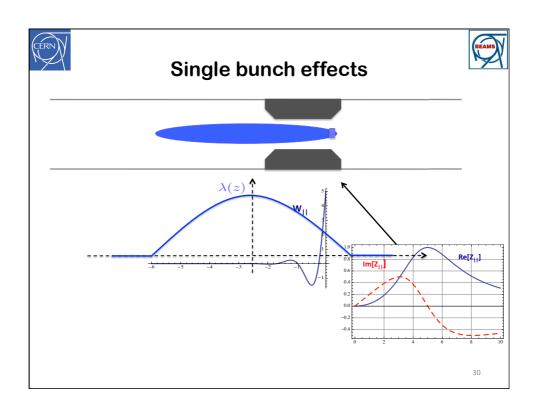
$$Z_{||}^{\text{Res}}(\omega) = \frac{R_{s||}}{1 + iQ\left(\frac{\omega}{\omega_r} - \frac{\omega_r}{\omega}\right)}$$

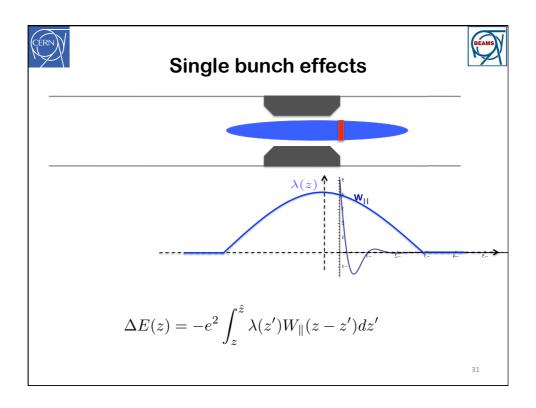
$$W_{||}^{\mathrm{Res}}(z) = \begin{cases} 2\alpha_z R_{s||} \exp\left(\frac{\alpha_z z}{c}\right) \left[\cos\left(\frac{\bar{\omega}z}{c}\right) + \frac{\alpha_z}{\bar{\omega}} \sin\left(\frac{\bar{\omega}z}{c}\right)\right] & \text{if } z < 0 \\ \alpha_z R_{s||} & \text{if } z = 0 \\ 0 & \text{if } z < 0 \end{cases}$$

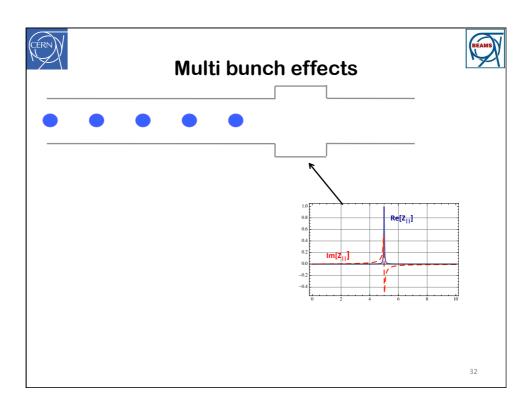
$$\alpha_z = \frac{\omega_r}{2Q} \qquad \bar{\omega} = \sqrt{\omega_r^2 - \alpha_z^2}$$

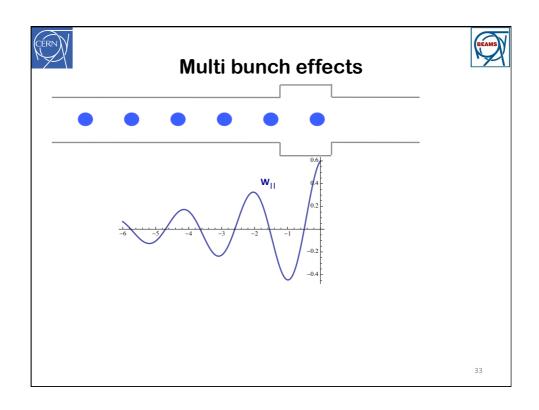


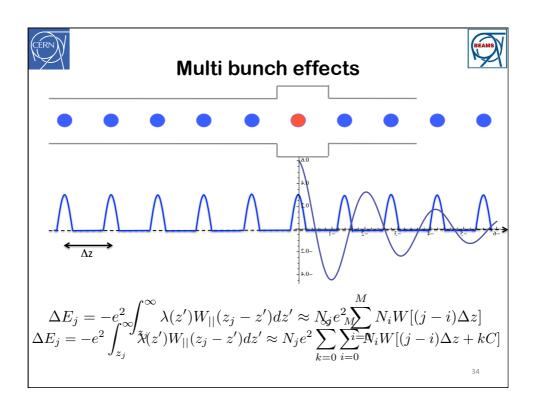










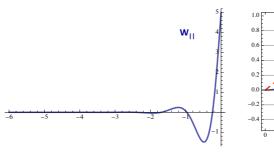


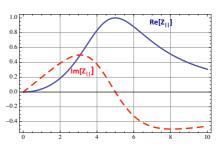




Single bunch vs. Multi bunch

- A short-lived wake, decaying over the length of one bunch, can only cause intra-bunch (head-tail) coupling
- It can be therefore responsible for single bunch instabilities





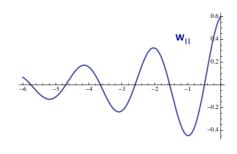
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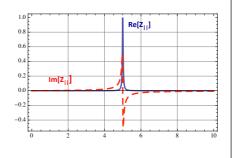




Single bunch vs. Multi bunch

- A long-lived wake field, decaying over the length of a bunch train or even more than a turn, causes bunch-to-bunch or multiturn coupling
- It can be therefore responsible for multi-bunch or multi-turn instabilities





- Detailed calculations:
 - Energy loss
 - Robinson instability
- Qualitative descriptions:
 - Coupled bunch instabilities
 - Single bunch modes

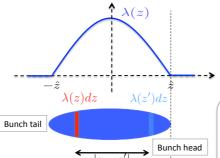
[1] "Physics of Collective Beam Instabilities in High Energy Accelerators", A. W. Chao



Energy loss of a bunch (single pass)



- The energy kick $\Delta E(z)$ on each particle $\frac{e}{c}$ in the witness slice $\frac{\lambda(z)dz}{c}$ is the integral of the contributions from the wakes left behind by all the preceding $\frac{e\lambda(z')dz}{c}$ slices (sources)
- The total energy loss ΔE of the bunch can then be obtained by integrating $\Delta E(z) \lambda(z)$ over the full bunch extension



$$\Delta E(z) = -e^2 \int_z^{\hat{z}} \lambda(z') W_{\parallel}(z-z') dz'$$

$$\Delta E = \int_{-\hat{z}}^{\hat{z}} \lambda(z) \Delta E(z) dz$$

$$\Delta E = -\frac{e^2}{2\pi} \int_{-\infty}^{\infty} \tilde{\lambda}(\omega) \tilde{\lambda}^*(\omega) Z_{\parallel}(\omega) d\omega = -\frac{e^2}{2\pi} \int_{-\infty}^{\infty} |\tilde{\lambda}(\omega)|^2 \operatorname{Re}\left[Z_{\parallel}(\omega)\right] d\omega$$





Energy loss of a bunch (multi-pass)

- The total energy loss ΔE of the bunch can still be obtained by integrating $\Delta E(z)$ over the full bunch extension
- $\Delta E(z)$ this time also includes contributions from all previous turns, spaced by multiples of the ring circumference C

$$\Delta E = -\frac{e^2}{2\pi} \int_{-\infty}^{\infty} \lambda(z) dz \int_{-\infty}^{\infty} dz' \lambda(z') \sum_{k=-\infty}^{\infty} W_{\parallel}(kC + z - z') dz'$$

$$\sum_{k=-\infty}^{\infty} W_{\parallel}(kC + z - z') - \frac{c}{c} \sum_{k=-\infty}^{\infty} Z_{\square}(r_{k}\omega) \exp\left[-\frac{ip\omega_0(z - z')}{c}\right]$$

$$\sum_{k=-\infty}^{\infty} W_{||}(kC+z-z') = \frac{c}{C} \sum_{p=-\infty}^{\infty} Z_{||}(p\omega_0) \exp\left[-\frac{ip\omega_0(z-z')}{c}\right]$$

$$\Delta E = -\frac{e^2 \omega_0}{2\pi} \sum_{p=-\infty}^{\infty} Z_{\parallel}(p\omega_0) \underbrace{\int_{-\infty}^{\infty} \lambda(z) \exp\left(\frac{-ip\omega_0 z}{c}\right) dz}_{\tilde{\lambda}(p\omega_0)} \underbrace{\int_{-\infty}^{\infty} \lambda(z') \exp\left(\frac{ip\omega_0 z'}{c}\right) dz'}_{\tilde{\lambda}^*(p\omega_0)}$$

$$\Delta E = -\frac{e^2 \omega_0}{2\pi} \sum_{p=-\infty}^{\infty} |\tilde{\lambda}(p\omega_0)|^2 \operatorname{Re} \left[Z_{\parallel}(p\omega_0) \right]$$

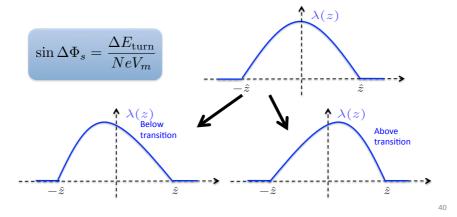
20



Energy loss per turn: stable phase shift



- The RF system has to compensate for the energy loss by imparting a net acceleration to the bunch
- Therefore, the bunch readjusts to a new equilibrium distribution in the bucket and moves to a synchronous angle $\Delta\Phi_{\rm s}$





Example



Gaussian bunch and power loss with a broad-band resonator impedance

$$\lambda(z) = \frac{N}{\sqrt{2\pi}\sigma_z} \exp\left(-\frac{z^2}{2\sigma_z^2}\right) \qquad \stackrel{\mathcal{F}}{\Longleftrightarrow} \qquad \tilde{\lambda}(\omega) = N \exp\left(-\frac{\omega^2 \sigma_z^2}{2c^2}\right)$$

$$\int_{-\infty}^{\infty} |\tilde{\lambda}(\omega)|^2 \operatorname{Re}\left[Z_{||}(\omega)\right] d\omega$$

can be calculated with Z $_{||}(\omega)$ = Z $_{||}^{Res}(\omega)$ from previous slide in the two limiting cases

$$\sigma_z \gg \frac{c}{\omega_r} \qquad \text{Need to expand Re[Z_{||}(\omega)] for small } \omega$$

$$\sigma_z \ll rac{c}{\omega_r}$$
 Can assume $|\lambda(\omega)|$ constant over $\mathrm{Re}[\mathrm{Z}_{||}(\omega)]$

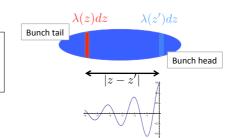
41



Single particle equations of the longitudinal motion in presence of wake fields



- The single particle in the witness slice λ(z)dz will feel the force from the RF, from the bunch own space charge, and that associated to the wake
- The wake contribution can extend to several turns



$$\frac{d^2z}{dt^2} + \frac{\eta e V_{\rm rf}(z)}{m_0 \gamma C} = \frac{\eta e^2}{m_0 \gamma C} \sum_{k=-\infty}^{\infty} \int_{-\infty}^{\infty} \lambda(z'+kC) W_{||}(z-z'-kC) dz'$$

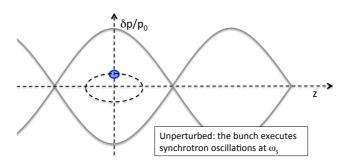
External RF

Wake fields





- To illustrate the Robinson instability we will use some simplifications:
 - ⇒ The bunch is point-like and feels an external linear force (i.e. it would execute linear synchrotron oscillations in absence of the wake forces)
 - ⇒ The bunch additionally feels the effect of a multi-turn wake



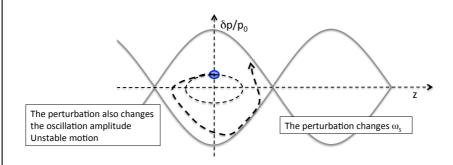
43



The Robinson instability



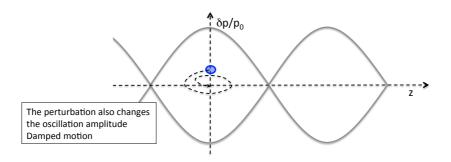
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4





The Robinson instability

- To illustrate the Robinson instability we will use some simplifications:
 - ⇒ The bunch is point-like and feels an external linear force (i.e. it would execute linear synchrotron oscillations in absence of the wake forces)
 - ⇒ The bunch additionally feels the effect of a multi-turn wake

$$\frac{d^{2}z}{dt^{2}} + \omega_{s}^{2}z = \frac{Ne^{2}\eta}{Cm_{0}\gamma} \sum_{k=-\infty}^{\infty} W_{\parallel} \left[z(t) - z(t - kT_{0}) - kC \right]$$

We assume that the wake can be linearized on the scale of a synchrotron oscillation

$$W_{\parallel}[z(t) - z(t - kT_0) - kC] \approx W_{\parallel}(kC) + W'_{\parallel}(kC) \cdot [z(t) - z(t - kT_0)]$$





$$W_{\parallel}\left[z(t)-z(t-kT_{0})-kC\right]\approx W_{\parallel}(kC)+W_{\parallel}'(kC)\cdot\left[z(t)-z(t-kT_{0})\right]$$

- \Rightarrow The term Σ W_{||}(kC) only contributes to a constant term in the solution of the equation of motion, i.e. the synchrotron oscillation will be executed around a certain z_0 and not around 0. This term represents the stable phase shift that compensates for the energy loss
- ⇒ The dynamic term proportional to z(t)- $z(t-kT_0)$ ≈ kT_0 dz/dt will introduce a "friction" term in the equation of the oscillator, which can lead to instability!

$$z(t) \propto \exp\left(-i\Omega t\right)$$

$$\Omega^{2} - \omega_{s}^{2} = -\frac{Ne^{2}\eta}{Cm_{0}\gamma} \sum_{k=-\infty}^{\infty} \left[1 - \exp\left(-ik\Omega T_{0}\right)\right] \cdot W_{\parallel}'(kC)$$

$$i \cdot \frac{1}{C} \sum_{p=-\infty}^{\infty} \left[p\omega_{0}Z_{\parallel}(p\omega_{0}) - (p\omega_{0} + \Omega)Z_{\parallel}(p\omega_{0} + \Omega)\right]$$





The Robinson instability

- ⇒ We assume a small deviation from the synchrotron tune
- $\Rightarrow \text{Re}(\Omega \omega_s) \rightarrow \text{Synchrotron tune shift}$
- \Rightarrow Im(Ω ω_s) \Rightarrow Growth/damping rate, only depends on the dynamic term, if it is positive there is an instability!

$$\begin{split} \Omega^2 - \omega_s^2 &\approx 2\omega_s \boxed{(\Omega - \omega_s)} \\ \Delta\omega_s &= \mathrm{Re}(\Omega - \omega_s) = \left(\frac{e^2}{m_0c^2}\right) \frac{N\eta}{2\gamma T_0^2 \omega_s} \, \mathbf{x} \\ \mathbf{x} \sum_{p=-\infty}^{\infty} \left[p\omega_0 \mathrm{Im} Z_{\parallel}(p\omega_0) - (p\omega_0 + \omega_s) \mathrm{Im} Z_{\parallel}(p\omega_0 + \omega_s)\right] \end{split}$$

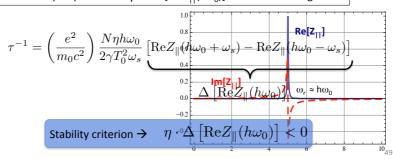
$$\tau^{-1} = \operatorname{Im}(\Omega - \omega_s) = \left(\frac{e^2}{m_0 c^2}\right) \frac{N\eta}{2\gamma T_0^2 \omega_s} \sum_{p=-\infty}^{\infty} (p\omega_0 + \omega_s) \operatorname{Re} Z_{\parallel}(p\omega_0 + \omega_s)$$

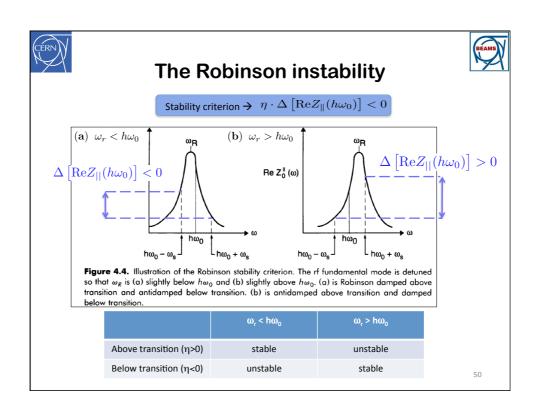




$$\tau^{-1} = \operatorname{Im}(\Omega - \omega_s) = \left(\frac{e^2}{m_0 c^2}\right) \frac{N\eta}{2\gamma T_0^2 \omega_s} \sum_{p=-\infty}^{\infty} (p\omega_0 + \omega_s) \operatorname{Re} Z_{\parallel}(p\omega_0 + \omega_s)$$

- \Rightarrow We assume the impedance to be peaked at a frequency ω_{r} close to $h\omega_{0}\!>\!>\omega_{s}$ (e.g. RF cavity fundamental mode or HOM)
- ⇒ Only two dominant terms are left in the summation at the RHS of the equation for the growth rate
- \Rightarrow Stability requires that η and $\Delta [\mbox{Re}~\mbox{Z}_{||}(h\omega_0)]$ have different signs









$$\tau^{-1} = \operatorname{Im}(\Omega - \omega_s) = \left(\frac{e^2}{m_0 c^2}\right) \frac{N\eta}{2\gamma T_0^2 \omega_s} \sum_{p = -\infty}^{\infty} (p\omega_0 + \omega_s) \operatorname{Re} Z_{\parallel}(p\omega_0 + \omega_s)$$

- ⇒ Other types of impedances can also cause instabilities through the Robinson mechanism
- \Rightarrow However, a smooth broad-band impedance with no narrow structures on the ω_0 scale cannot give rise to an instability
 - \checkmark Physically, this is clear, because the absence of structure on ω_0 scale in the spectrum implies that the wake has fully decayed in one turn time and the driving term in the equation of motion also vanishes

$$\sum_{p=-\infty}^{\infty} (p\omega_0 + \omega_s) \operatorname{Re} Z_{\parallel}(p\omega_0 + \omega_s) \to \frac{1}{\omega_0} \int_{-\infty}^{\infty} \omega \operatorname{Re} Z_{\parallel}(\omega) d\omega \to 0$$

51





Other longitudinal instabilities

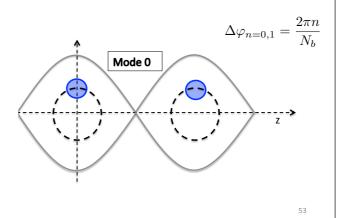
- The Robinson instability occurs for a single bunch under the action of a multi-turn wake field
 - It contains a term of coherent synchrotron tune shift
 - It results into an unstable rigid bunch dipole oscillation
 - It does not involve higher order moments of the bunch longitudinal phase space distribution
- Other important collective effects can affect a bunch in a beam
 - Potential well distortion (resulting in synchronous phase shift, bunch lengthening or shortening, synchrotron tune shift/spread)
 - Coupled bunch instabilities
 - High intensity single bunch instabilities (e.g. microwave instability)
 - Coasting beam instabilities (e.g. negative mass instability)
- To be able to study these effects we would need to resort to a more detailed description of the bunch(es)
 - Vlasov equation (kinetic model)
 - Macroparticle simulations



BEAMS

Coupled bunch modes

 M bunches can exhibit M different modes of coupled rigid bunch oscillations in the longitudinal plane

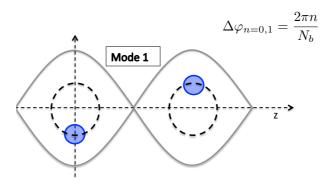


CERN



Coupled bunch modes

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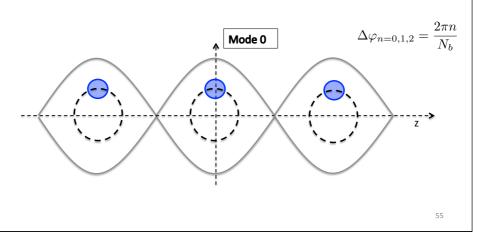






Coupled bunch modes

- M bunches can exhibit M different modes of coupled rigid bunch oscillations in the longitudinal plane
- Any rigid coupled bunch oscillation can be decomposed into a combination of these basic modes

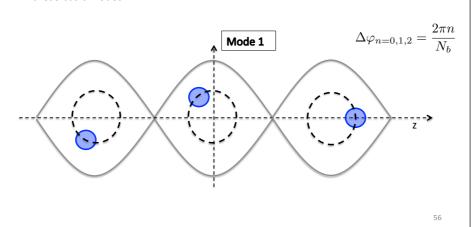






Coupled bunch modes

- M bunches can exhibit M different modes of coupled rigid bunch oscillations in the longitudinal plane
- Any rigid coupled bunch oscillation can be decomposed into a combination of these basic modes

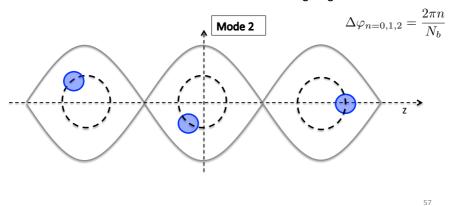




nch modes



- M bunches can exhibit M different modes of coupled rigid bunch oscillations in the longitudinal plane
- Any rigid coupled bunch oscillation can be decomposed into a combination of these basic modes
- ⇒ This modes can become unstable under the effect of long range wake fields



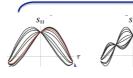




Single bunch modes

- In a similar fashion, a single bunch exhibits a double infinity of natural modes of oscillation, with rather complicated phase space portraits.
- Whatever perturbation on the bunch phase space distribution can be expanded as a series of these modes

Oscillation modes as observed at a wall current monitor









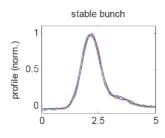


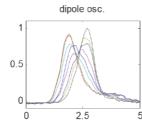


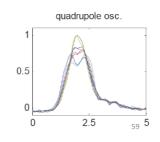
Single bunch modes

- In a similar fashion, a single bunch exhibits a double infinity of natural modes of oscillation, with rather complicated phase space portraits.
- Whatever perturbation on the bunch phase space distribution can be expanded as a series of these modes
- One of these modes or a combination of them can become unstable under the effect of a short range wake field
- In particular, the frequencies of these modes shift with intensity, and two
 of the modes can merge above a certain threshold, causing a microwave
 instability!

Observations in the CERN SPS in 2007





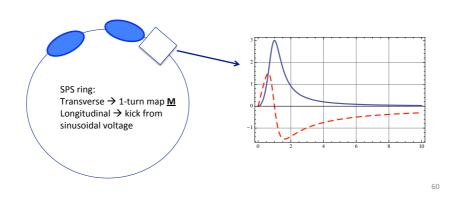




Macroparticle simulation



 We have simulated the evolution of an SPS bunch under the effect of a longitudinal broad band impedance lumped in one point of the ring

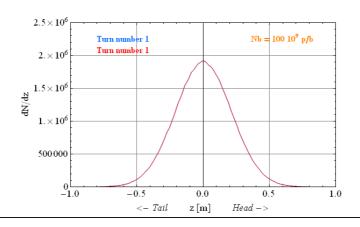






Macroparticle simulation

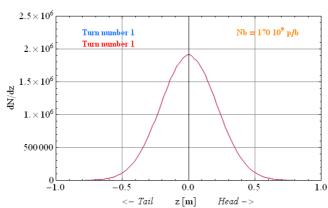
- We have simulated the evolution of an SPS bunch under the effect of a longitudinal broad band impedance lumped in one point of the ring
- Two different intensity values have been simulated
 - Low intensity → below instability threshold, but potential well distortion is visible in terms of stable phase shift and bunch lengthening



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 - Low intensity → below instability threshold, but potential well distortion is visible in terms of stable phase shift and bunch lengthening
 - High intensity → above microwave instability threshold







Conclusions (part I)

- · Beam instability
 - Manifests itself like an exponential coherent motion resulting in beam loss or emittance blow up
 - Can be caused by self induced EM fields
 - Can be described in the framework of wake fields/beam coupling impedances
- · Longitudinal effects
 - Energy loss
 - Dipole instability (Robinson), excitation of coupled bunch & single bunch modes
- Tomorrow → transverse wake fields/beam coupling impedances and instabilities