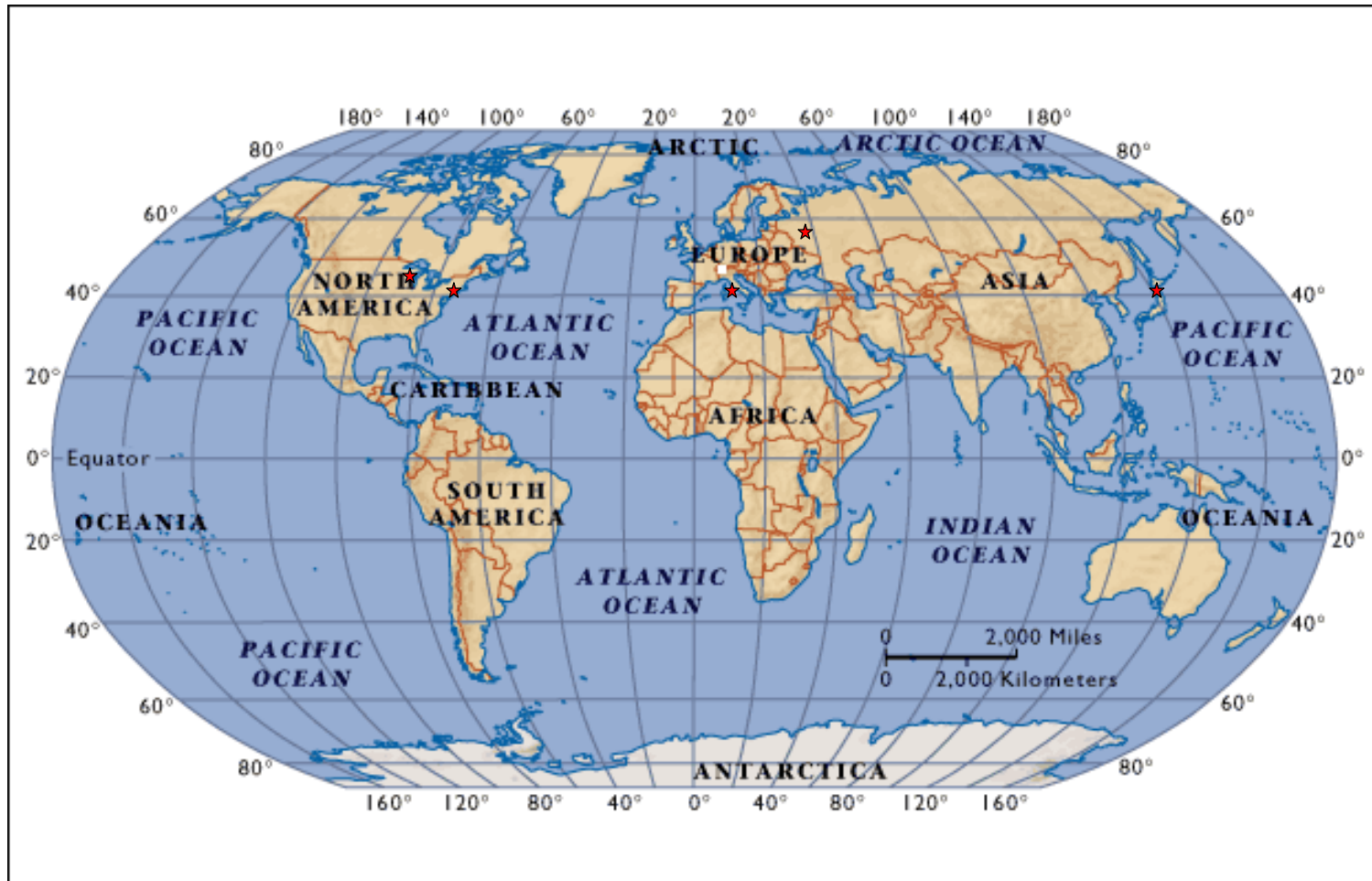
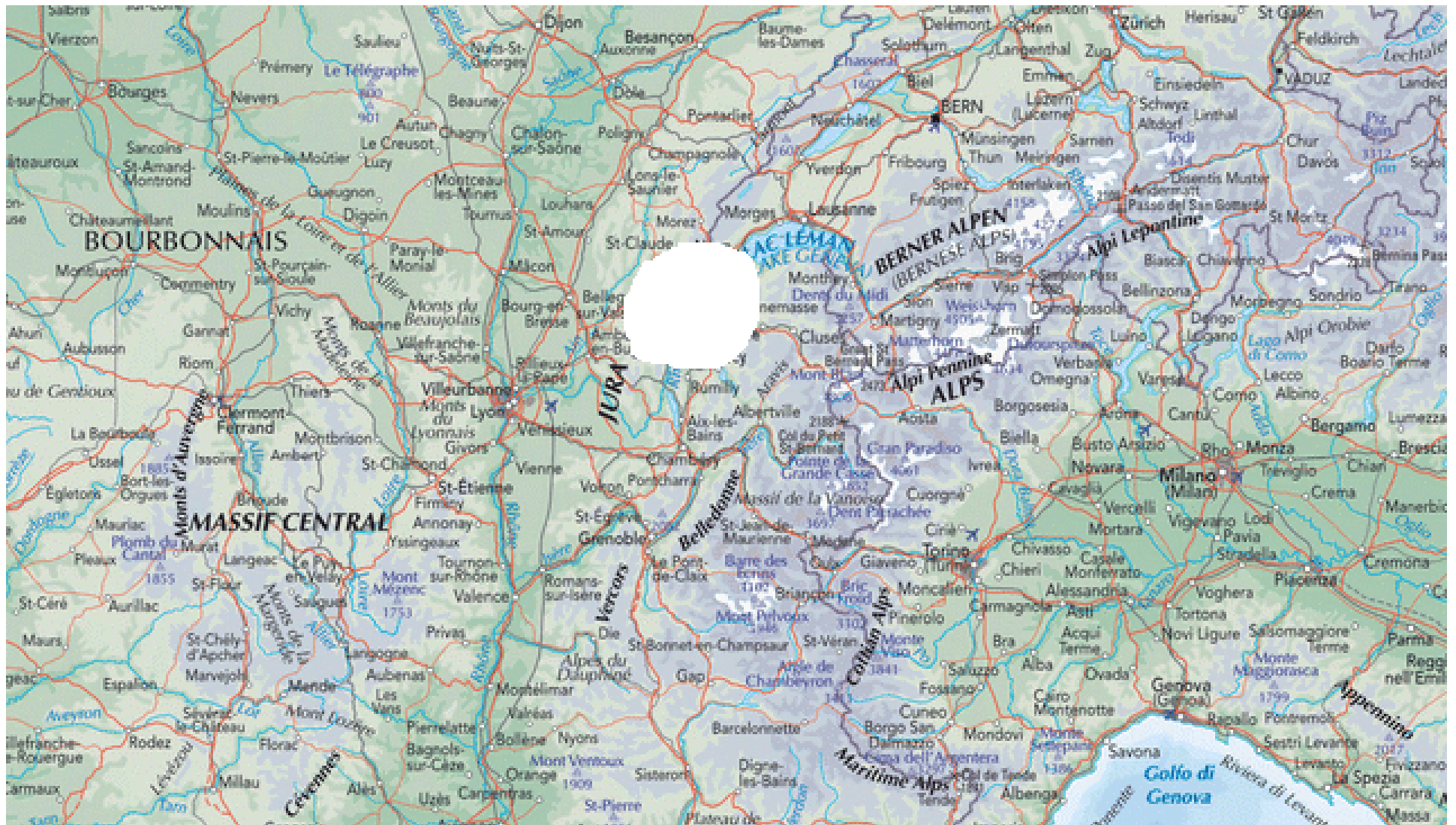


The kaon physics programme outside CERN

L. Littenberg - BNL



Closeup of excluded area



- C
- E
- K
- A
- S
- M
- A



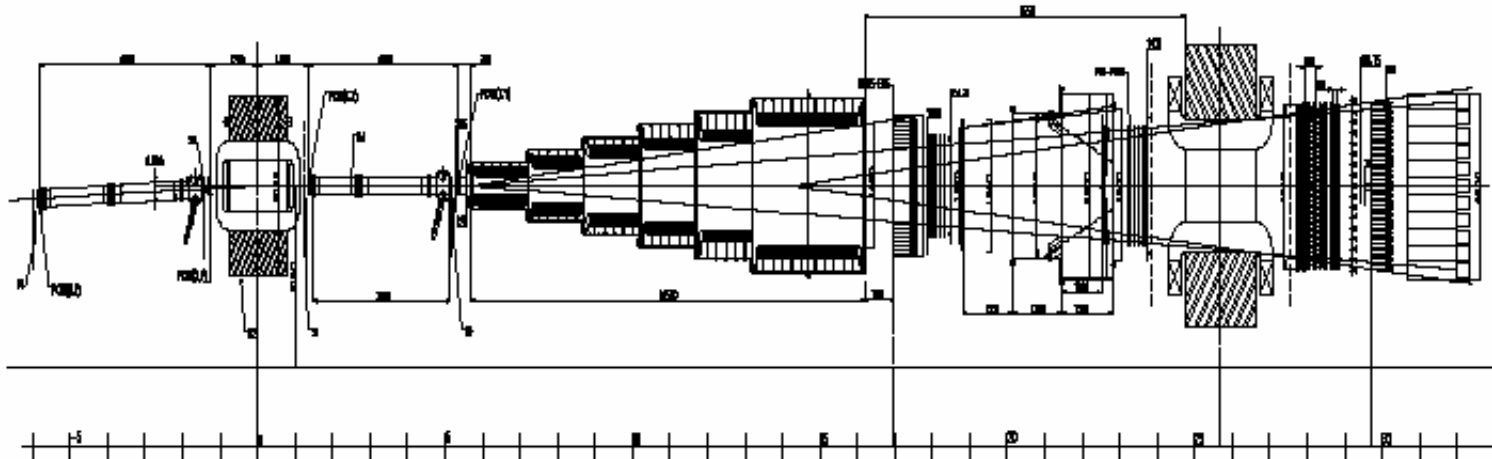
m
ilities

sses
s

IHEP

- Present: a couple of experiments with unseparated K beams
 - precision studies of common modes + medium rare decays
- Future: OKA
 - separated beam 5×10^6 12-18 GeV/c K^+ , 75% pure
 - spectrometer, partID, lead glass, μ -ID, etc.
 - $\text{few} \times 10^{-11}$ /event sensitivity
 - high-precision studies of common to medium-rare decays

OKA setup at U-70 IHEP



The KLOE experiment at DAΦNE

Be beam pipe (0.5 mm thick)

Instr. permanent magnet quads

Drift chamber (4 m \varnothing \times 3.3 m)

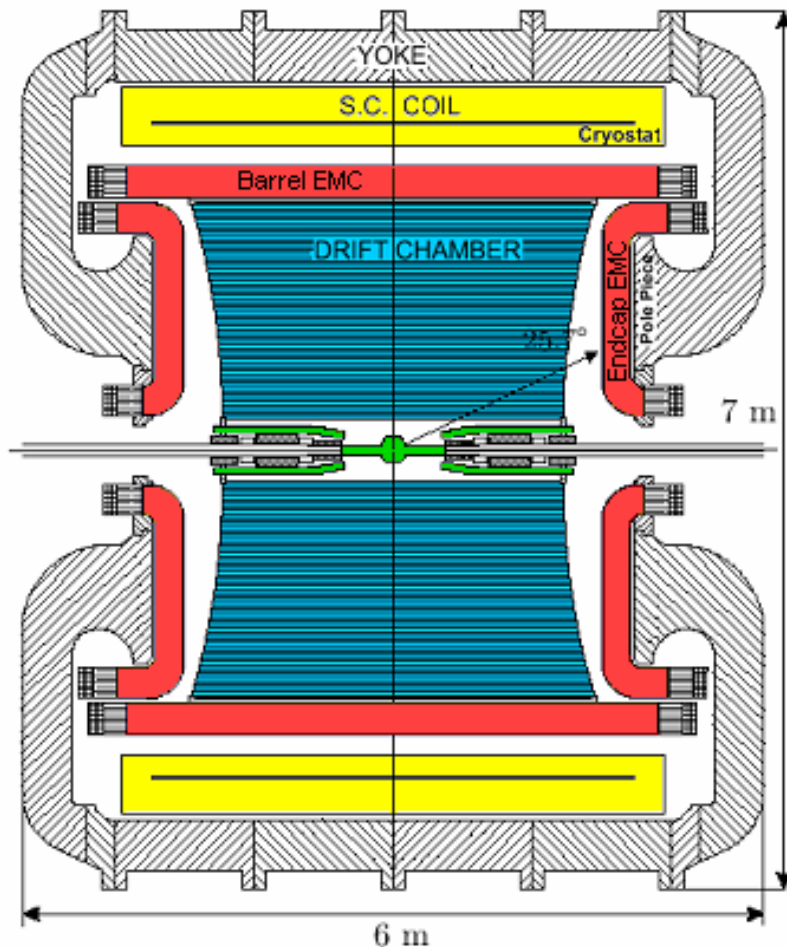
Scifi electromagnetic calorimeter

Superconducting coil (5 m bore)

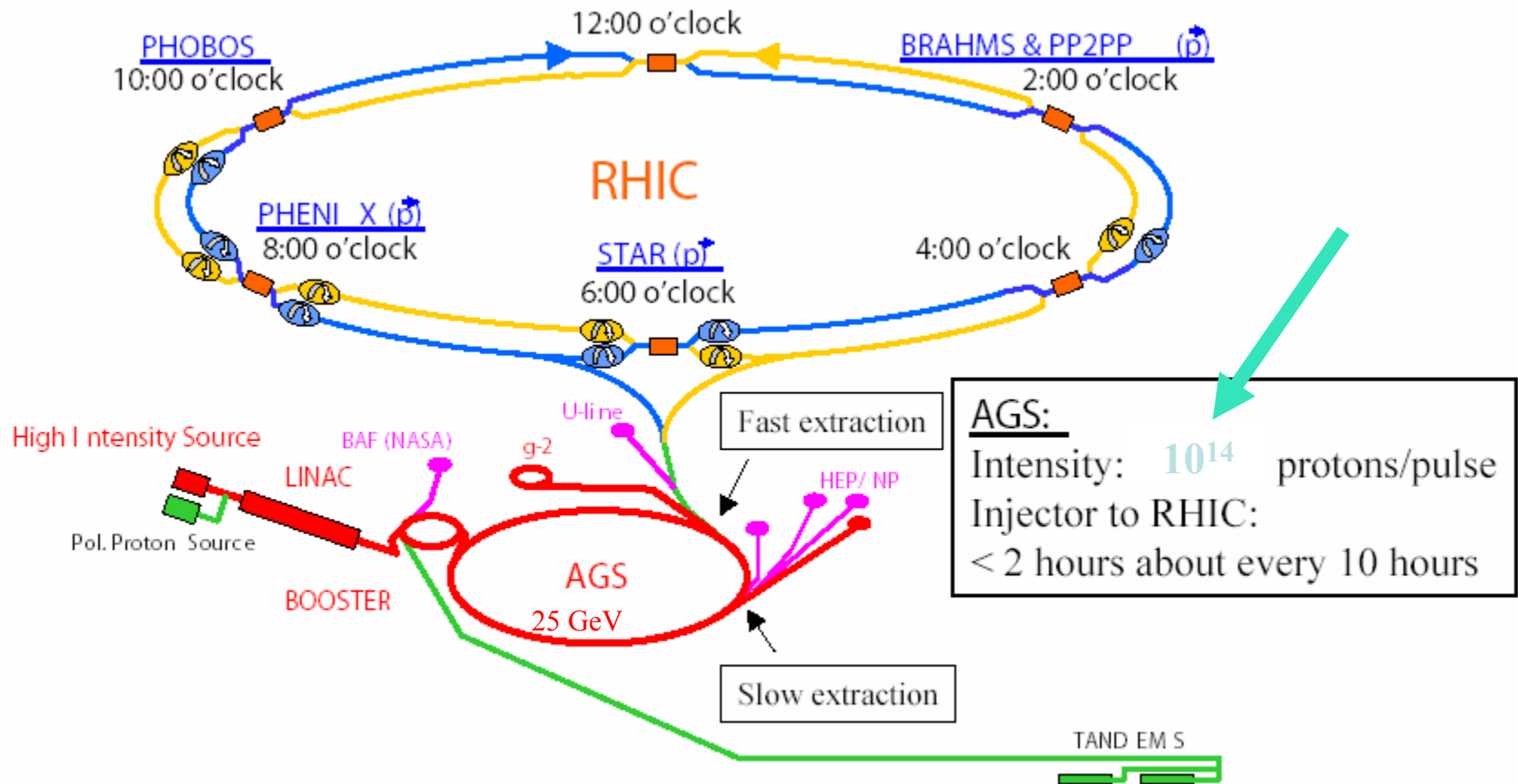
$B = 0.52 \text{ T}$ ($\Downarrow B dl = 2 \text{ T}\cdot\text{m}$)

Present: Precision studies of
common modes; K_S , K^\pm sensitivity
@ 10^{-7} level

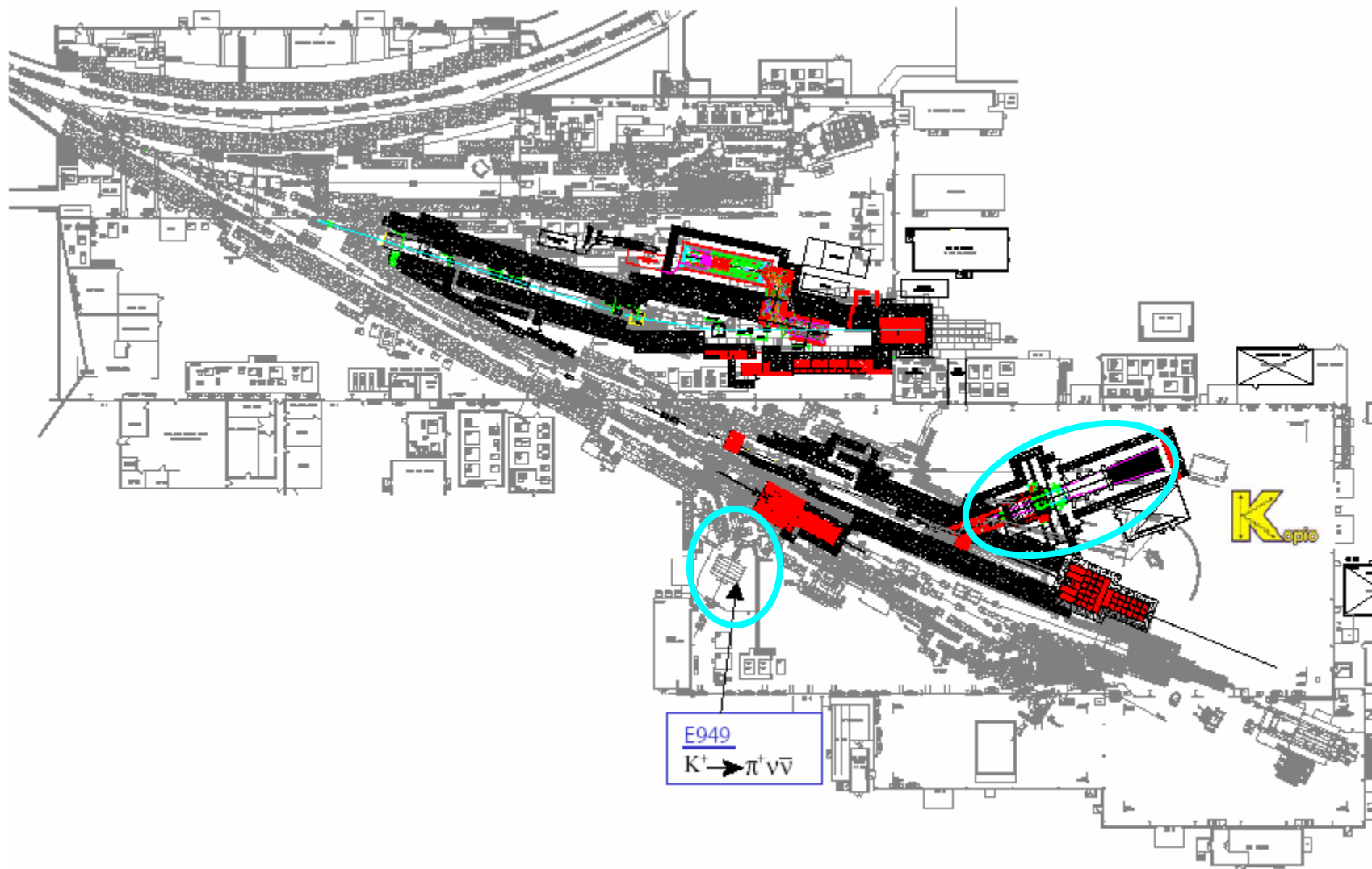
Future: $5 \times$ more sensitivity by end
2005. Another factor 100 with
DAΦNE upgrade (by 2011 or 12?)



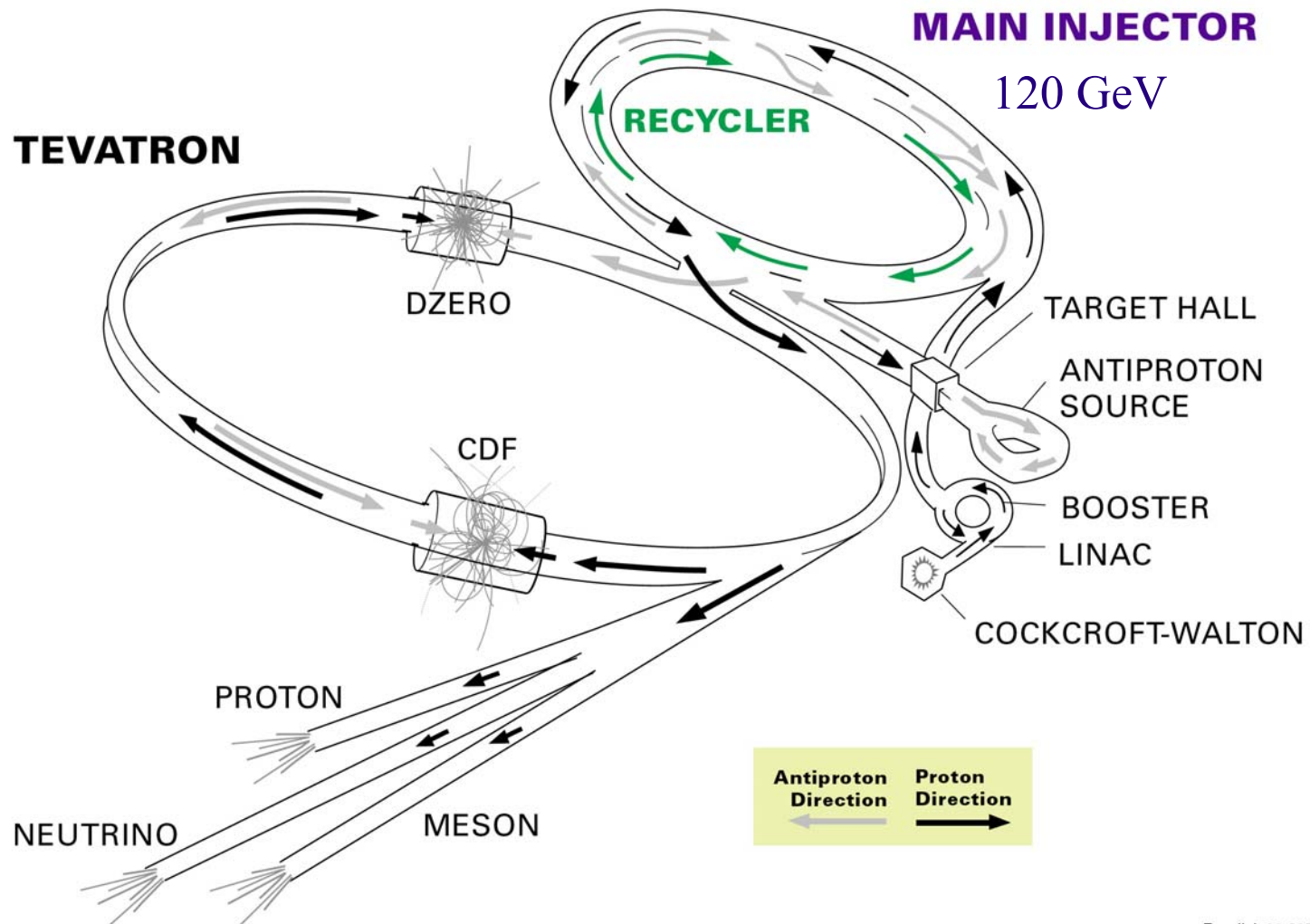
AGS/RHIC Accelerator Complex



AGS Experimental Hall



Fermilab Accelerator Complex



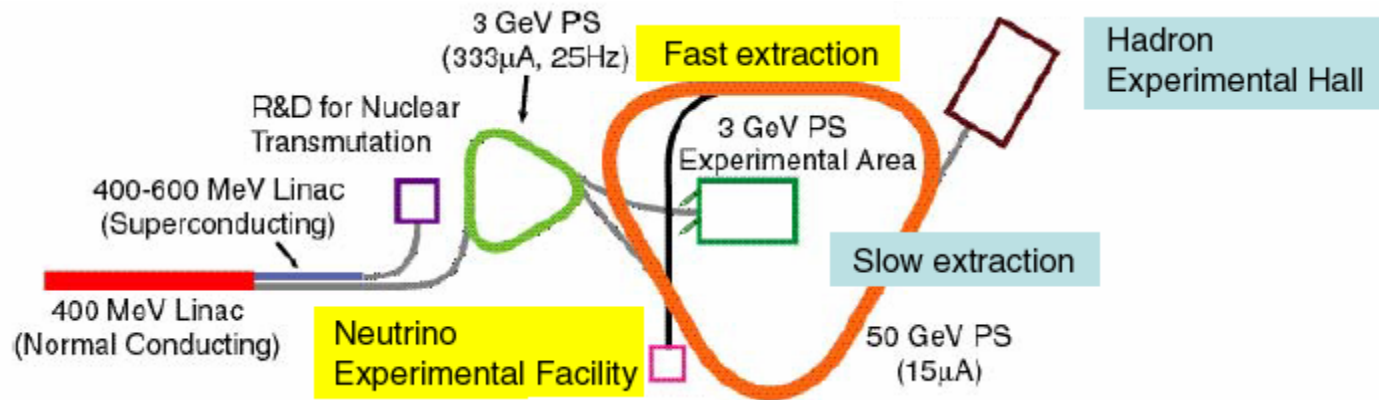
FNAL Fixed Target Experimental Halls



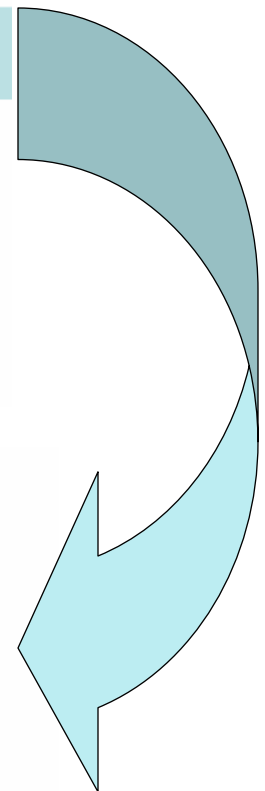
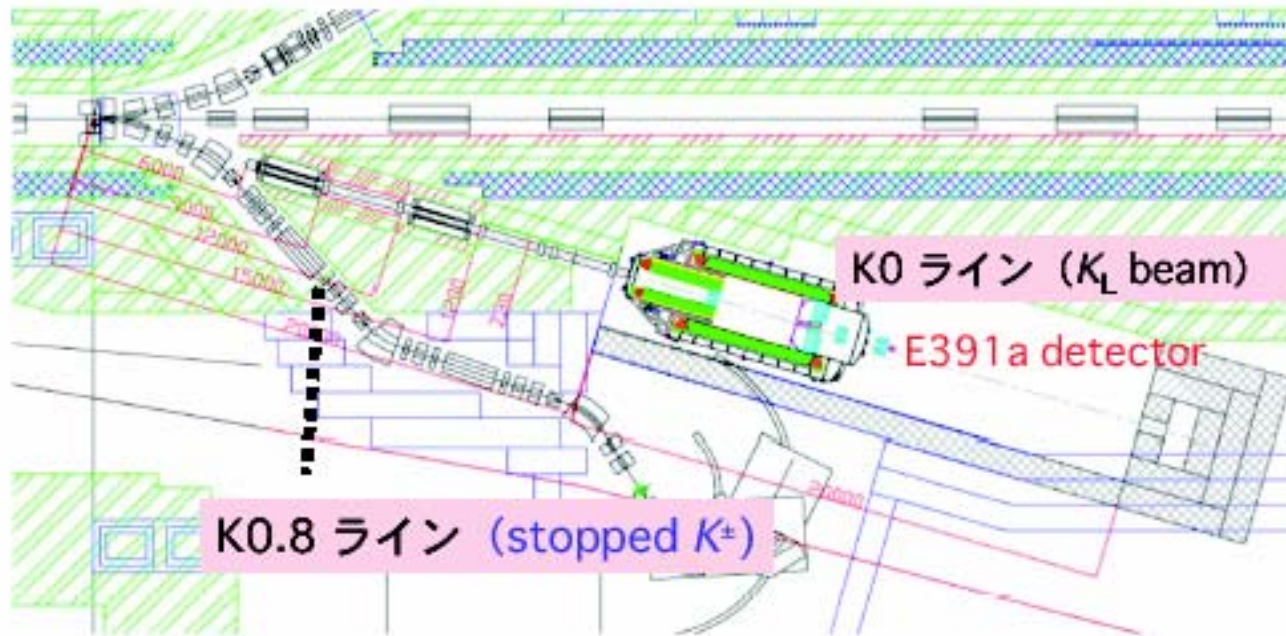
J-PARC



J-PARC Hadron Hall



Phase 1
Hall



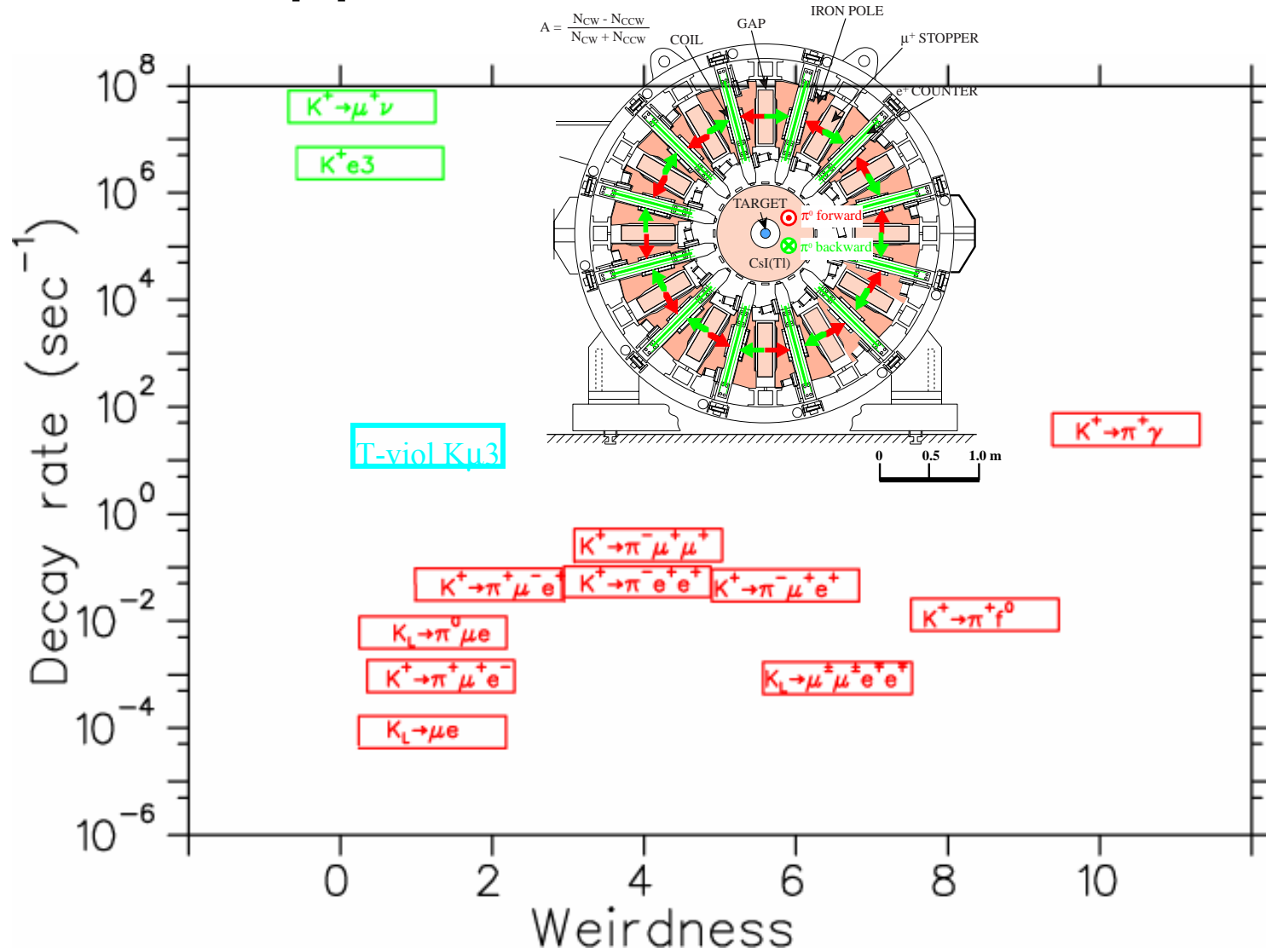
Comparison of Facilities

Facility	AGS	KEK	J-PARC	FNAL MI
P_{proton}	2--28	12	30-50	90-120
p/cycle (TP)	65--100	2	100--200	30
cycle time (s)	3-10	4	3.4-5.6	2.9-4
spill length (s)	1-7	2	0.75-3	1-2
duty factor	up to ~0.70	0.50	.22-.53	.33-.50
K utilization factor	0.8/0.4	0.3	0.3	0.40
Share with	RHIC/MECO	v	v	collider,v

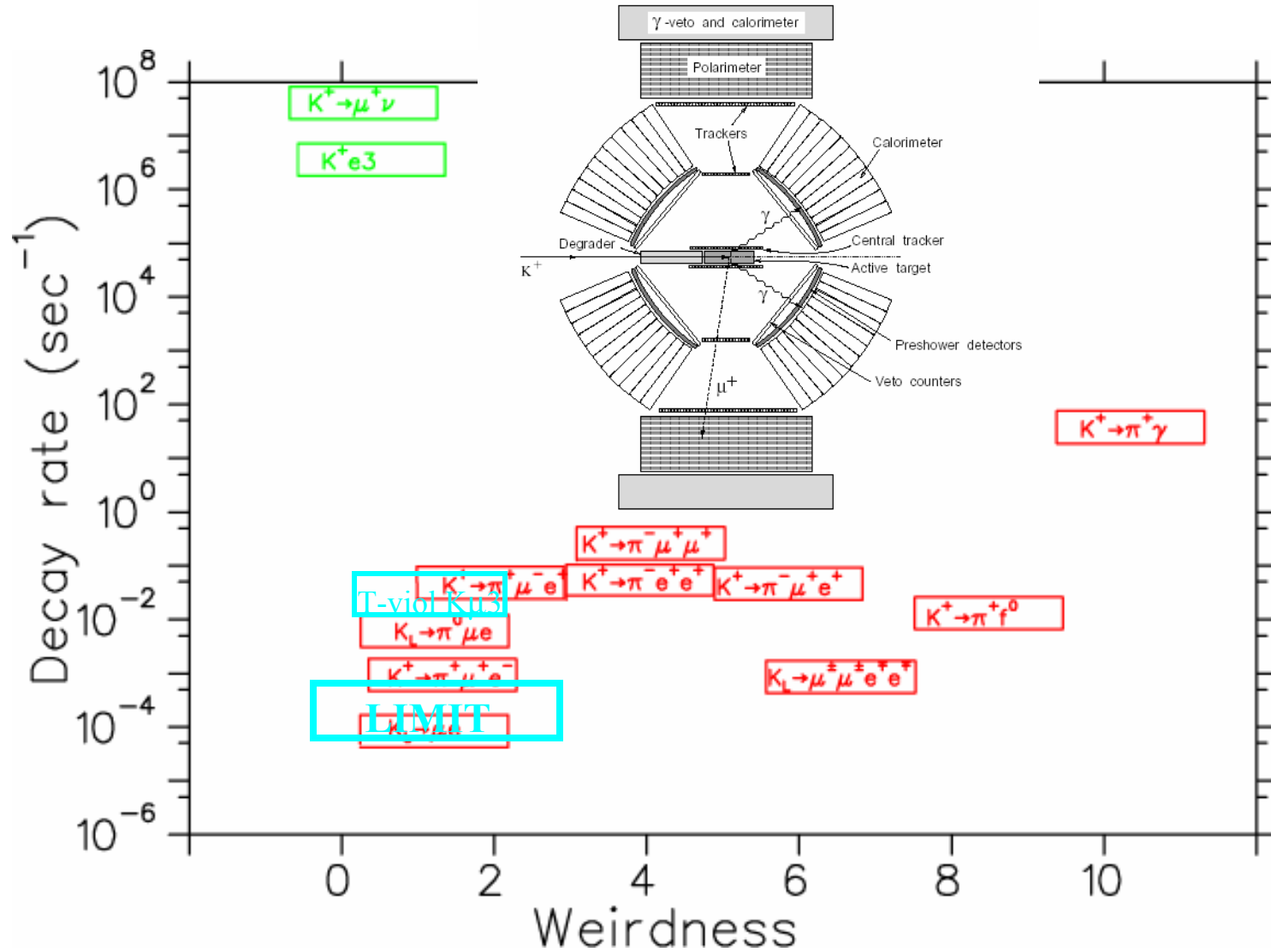
Beyond the Standard Model

- A number of dedicated BSM experiments (mainly LFV) ran for a decade starting in the late 1980's
- BSM limits also produced by other experiments of the period
- Very impressive limits set (BRs as low as 4.7×10^{-12})
- But theoretical impetus ran dry
- Now a few results still trickling out, but almost no new initiatives on the horizon (one exception)
- Results were at or near background limit
- Should new experiments be considered?
 - Some theorists think it interesting
 - Advances in beams/detectors could make possible further progress.

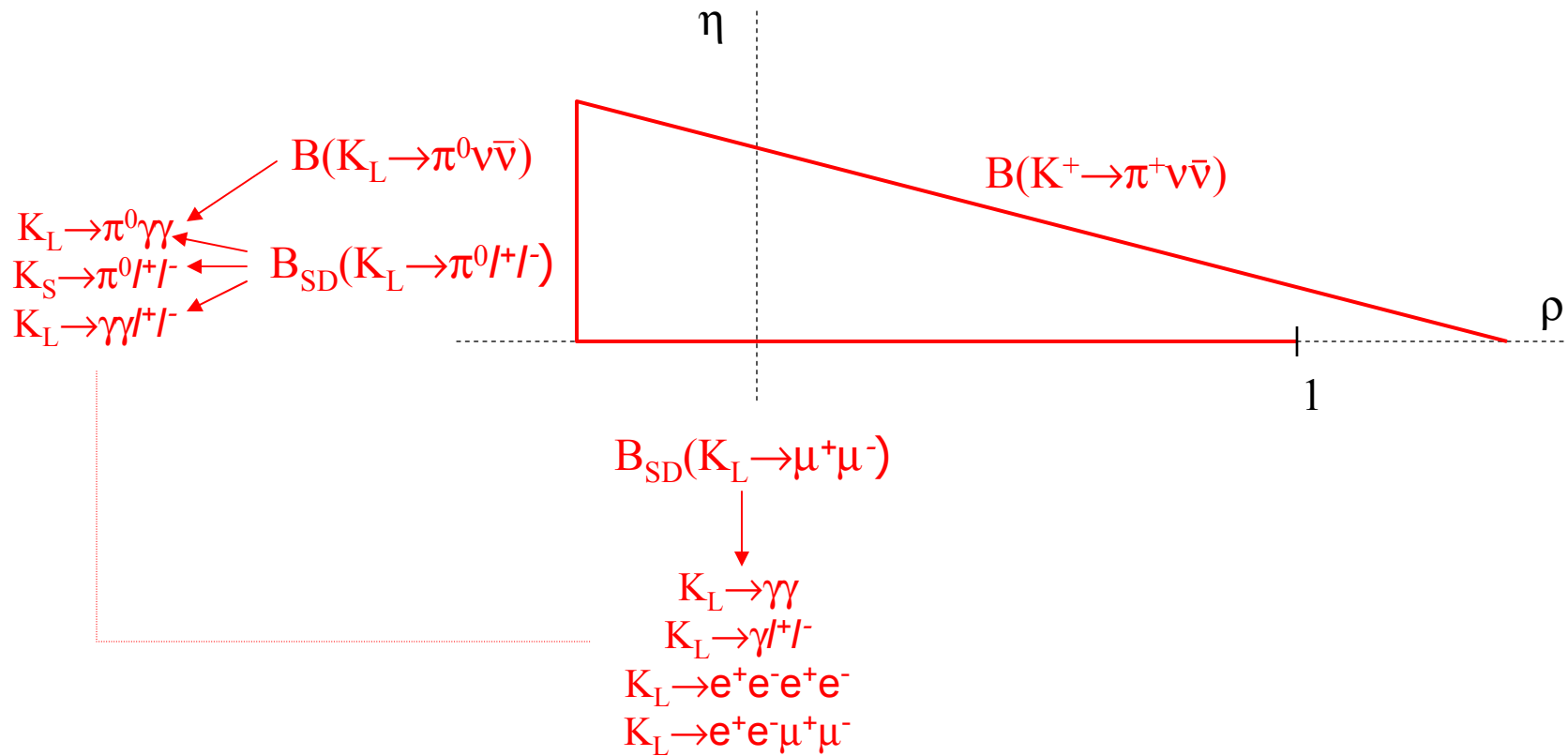
90% CL upper limits on non-SM Decays



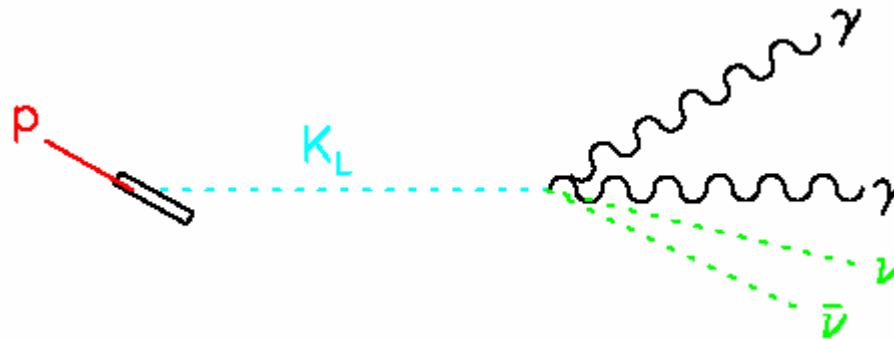
90% CL upper limits on non-SM Decays



Rare K decay & the Unitarity Triangle

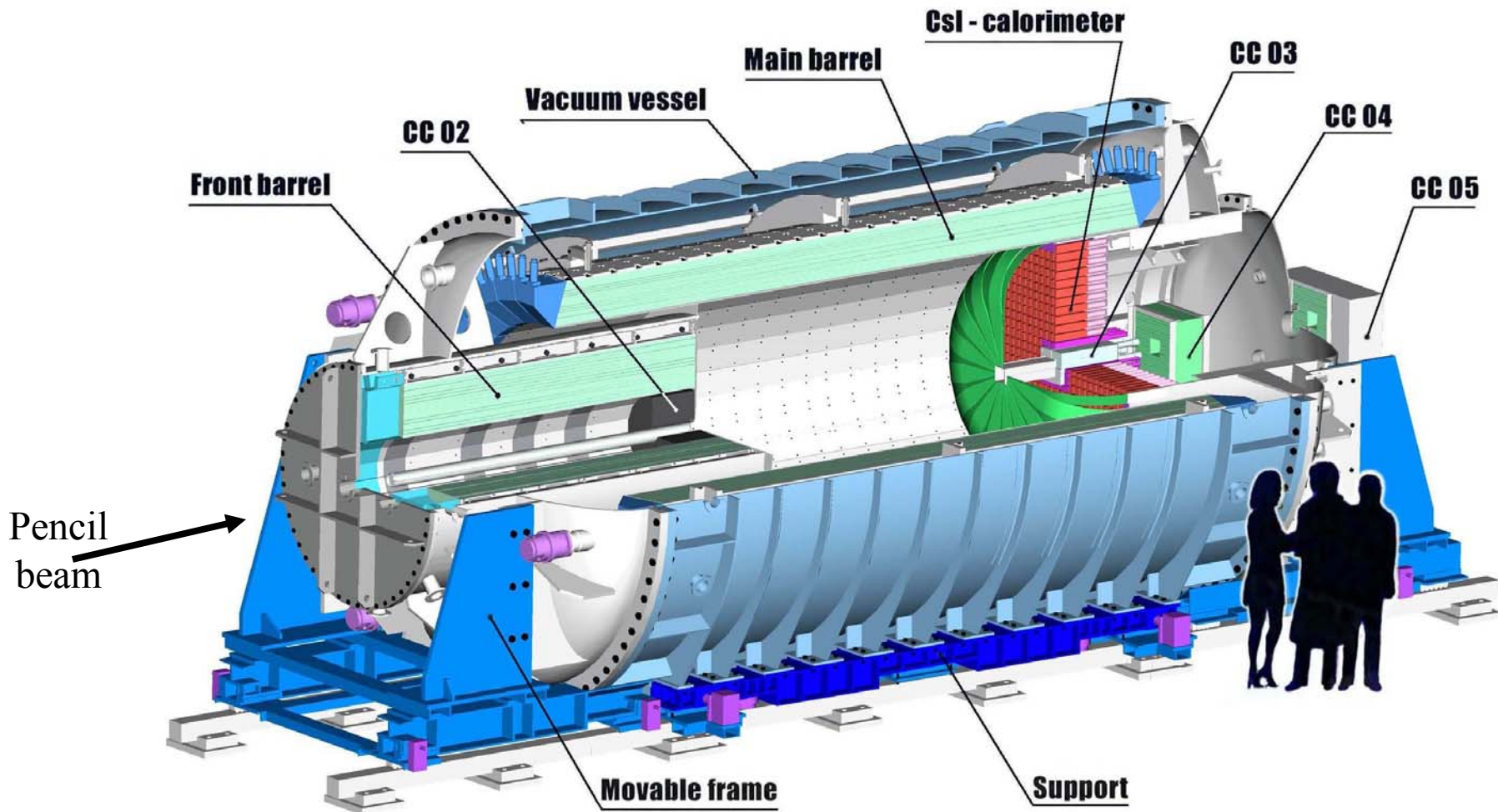


$K_L \rightarrow \pi^0 \nu \bar{\nu}$ Experimental Issues



- All-neutral initial & final state, γ 's make π^0
- Expected BR $\sim 3 \times 10^{-11}$
 - need high flux of K_L
- Largest background $K_L \rightarrow \pi^0 \pi^0$, BR $\sim 10^{-3}$
 - need excellent vetoes, other handles if possible
- Background from n-produced π^0 's, η 's
 - need 10^{-7} Torr vacuum
 - need a way to be sure decay vertex was in the beam

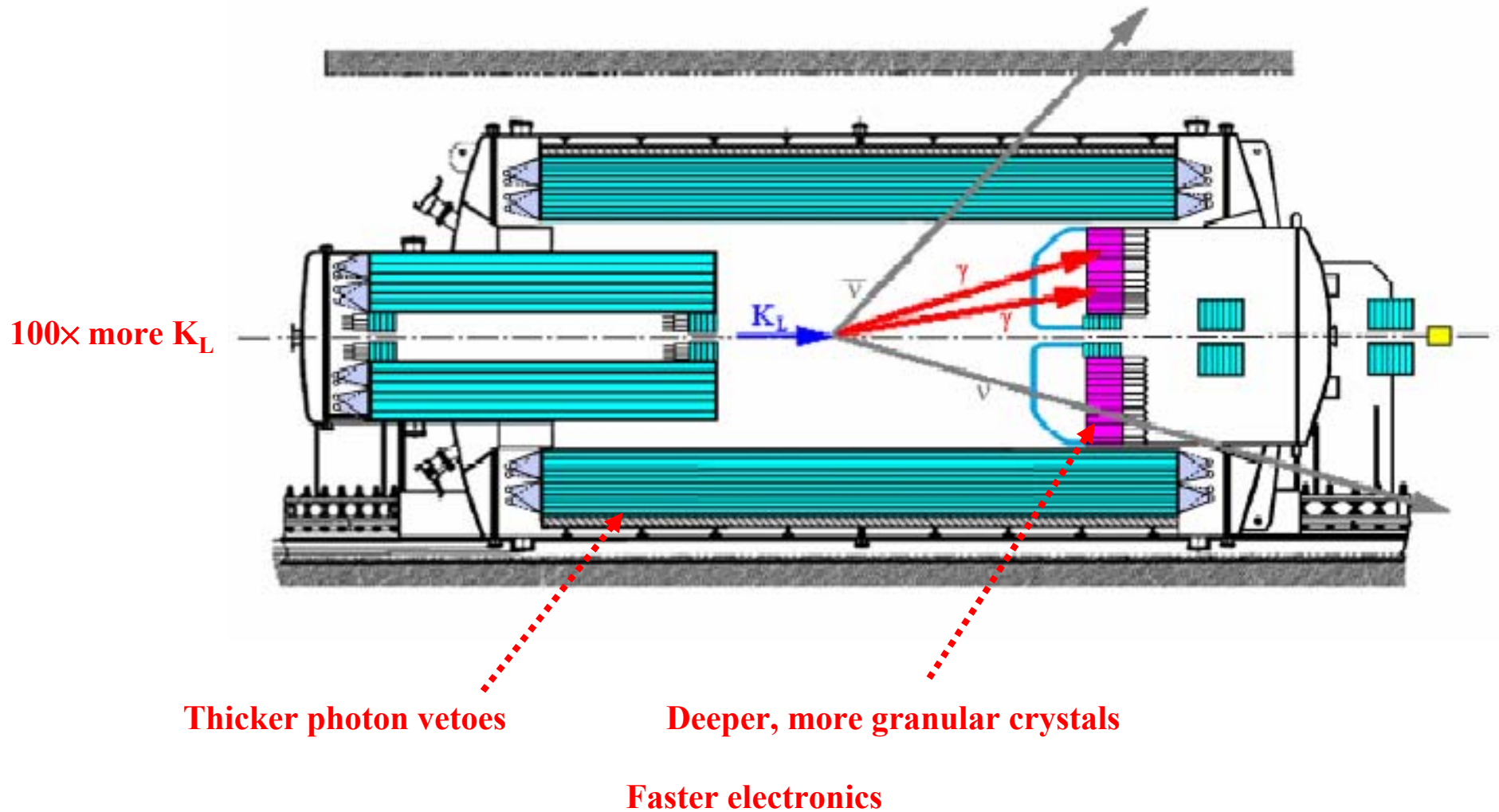
E391a detector system



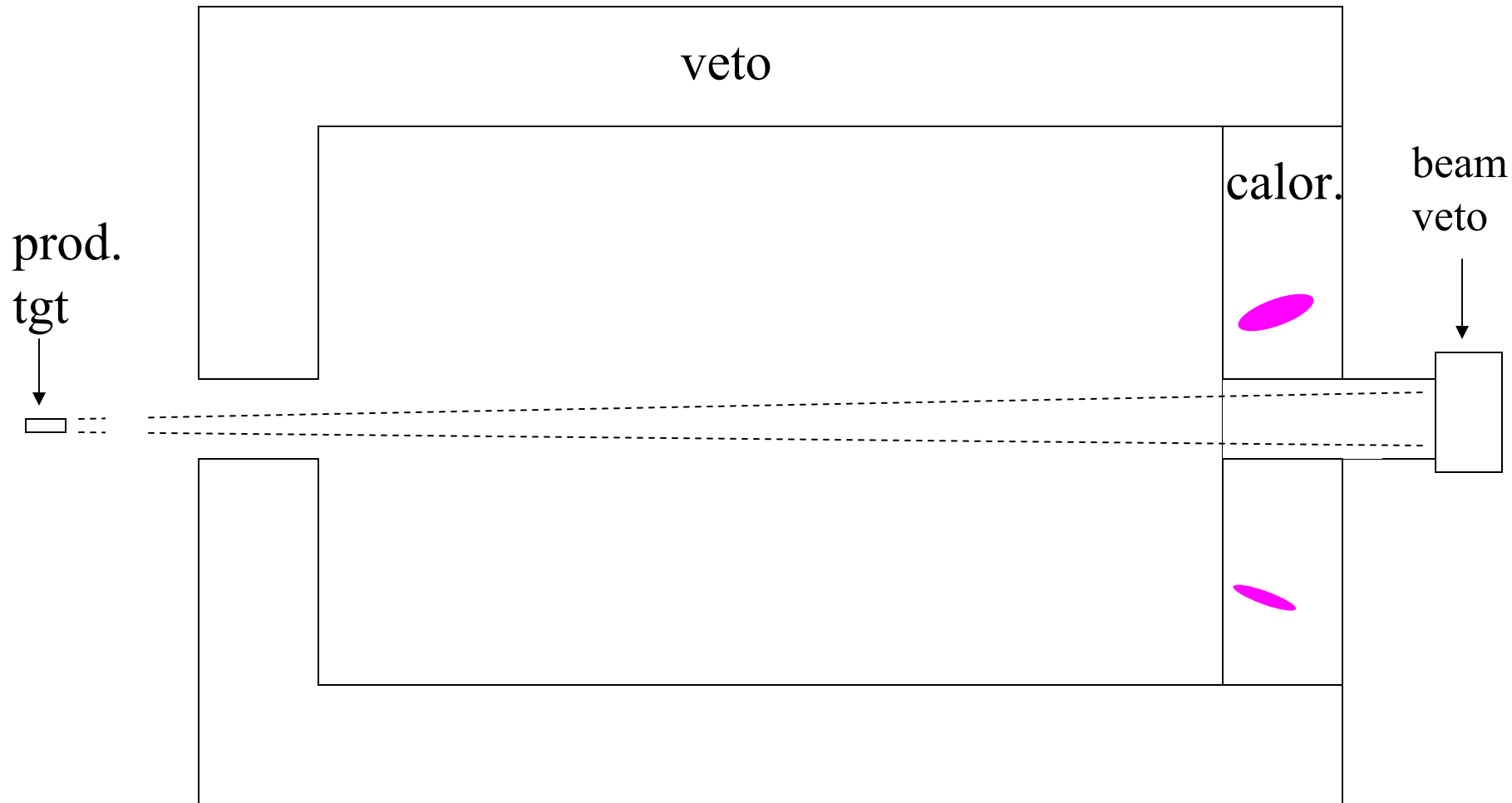
E391a status & prospects

- First physics run Feb-June this year
 - 2.2×10^{12} 12 GeV \square POT, 50% duty factor
 - 5×10^5 K_L /pulse
 - Detector worked well
 - Nominal s.e.s. 4×10^{-10}
 - Analysis underway
 - first sight of the enemy
 - Halo neutrons, self-vetoing, etc.
- Second run proposed for next year

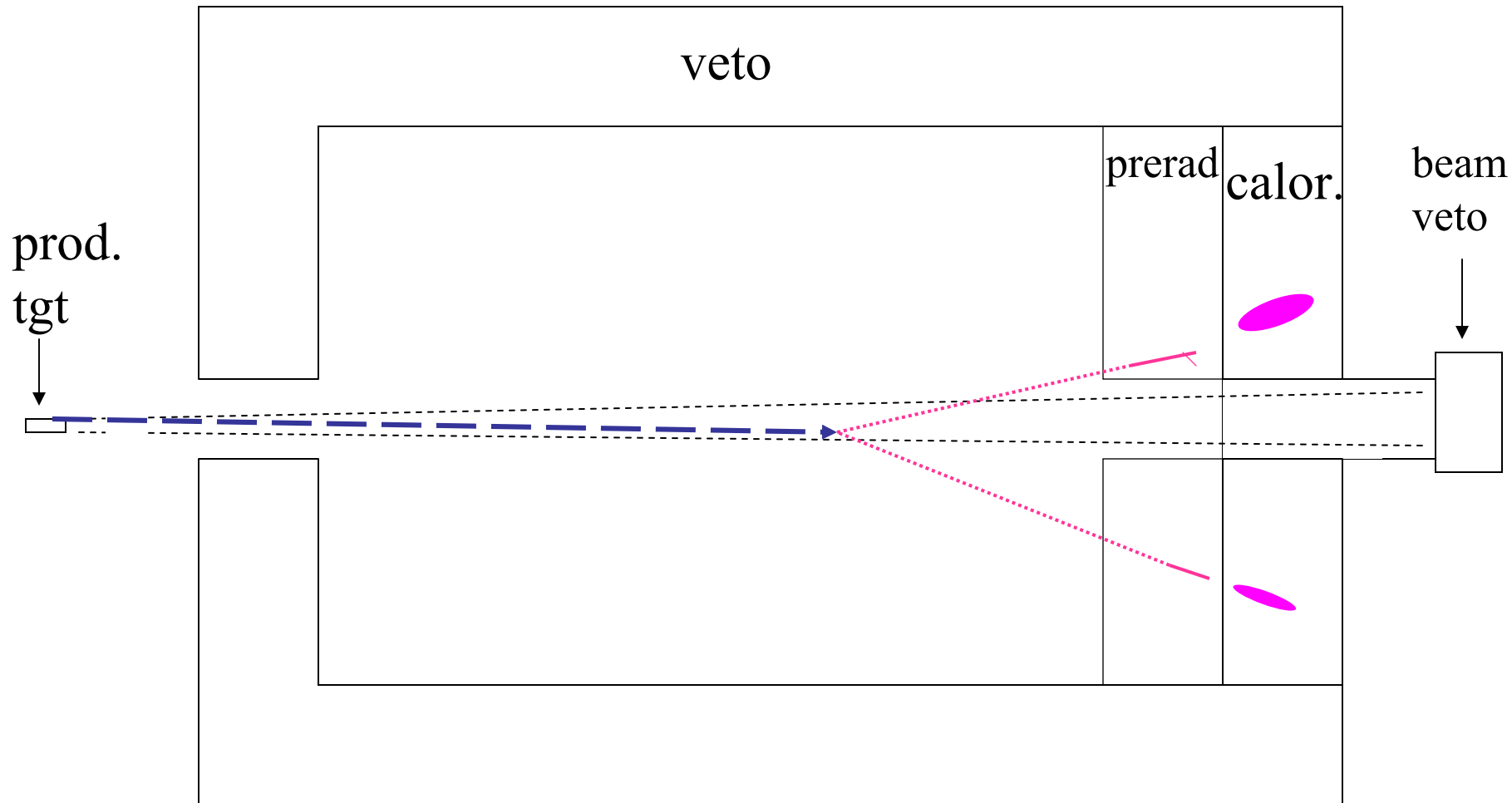
KEK-PS to J-PARC



$K_L \rightarrow \pi^0 \nu \bar{\nu}$ Experiment

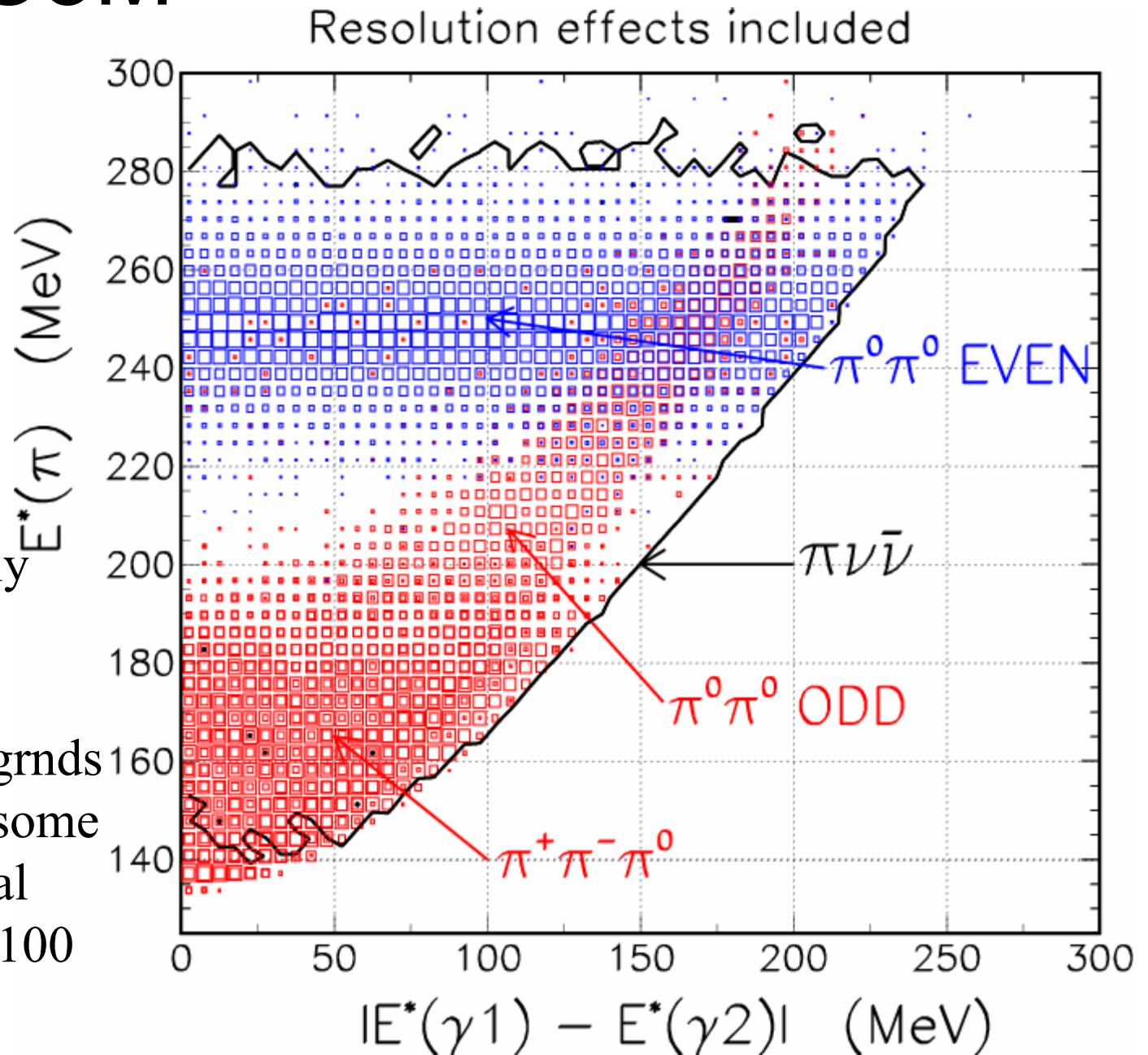


$K_L \rightarrow \pi^0 \nu \bar{\nu}$ Experiment



In the K_L CoM

- Bckgnd mainly in discrete areas
- Obvious for $K_L \rightarrow \pi^0 \pi^0$ “even”
- But even “odd” case not ubiquitous
- $K\pi 3$ infests slightly different area
- Even after all bckgrnds accounted for, still some clear space for signal
- Can get factor 50-100



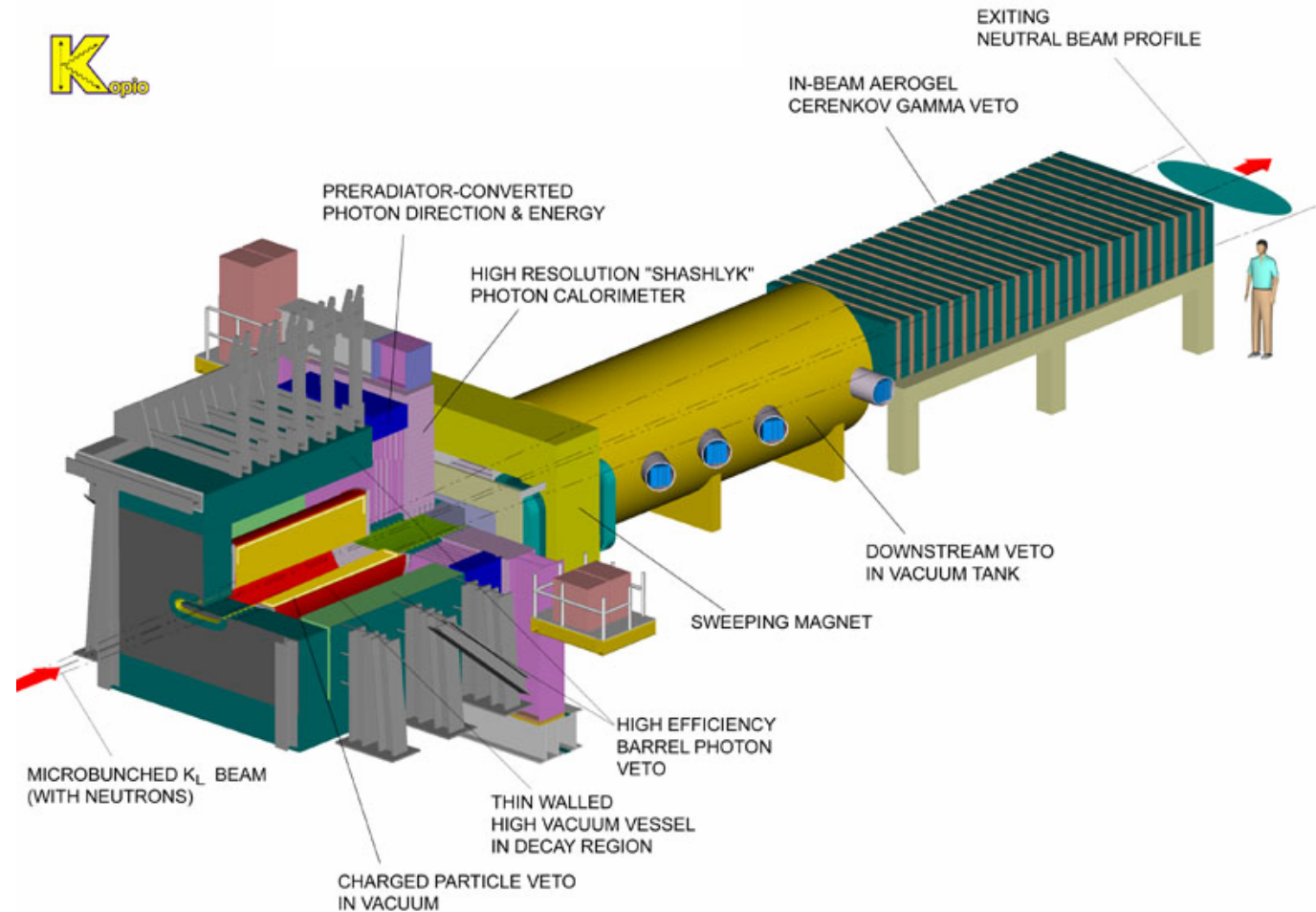
KOPIO $K_L \rightarrow \pi^0 \nu \bar{\nu}$ Experiment

BNL AGS experiment

Aim: to get >40 evts
with S:B $\sim 2:1$

Use the AGS between
RHIC fills

Capitalize on the
experience of previous
AGS rare K decay
experiments

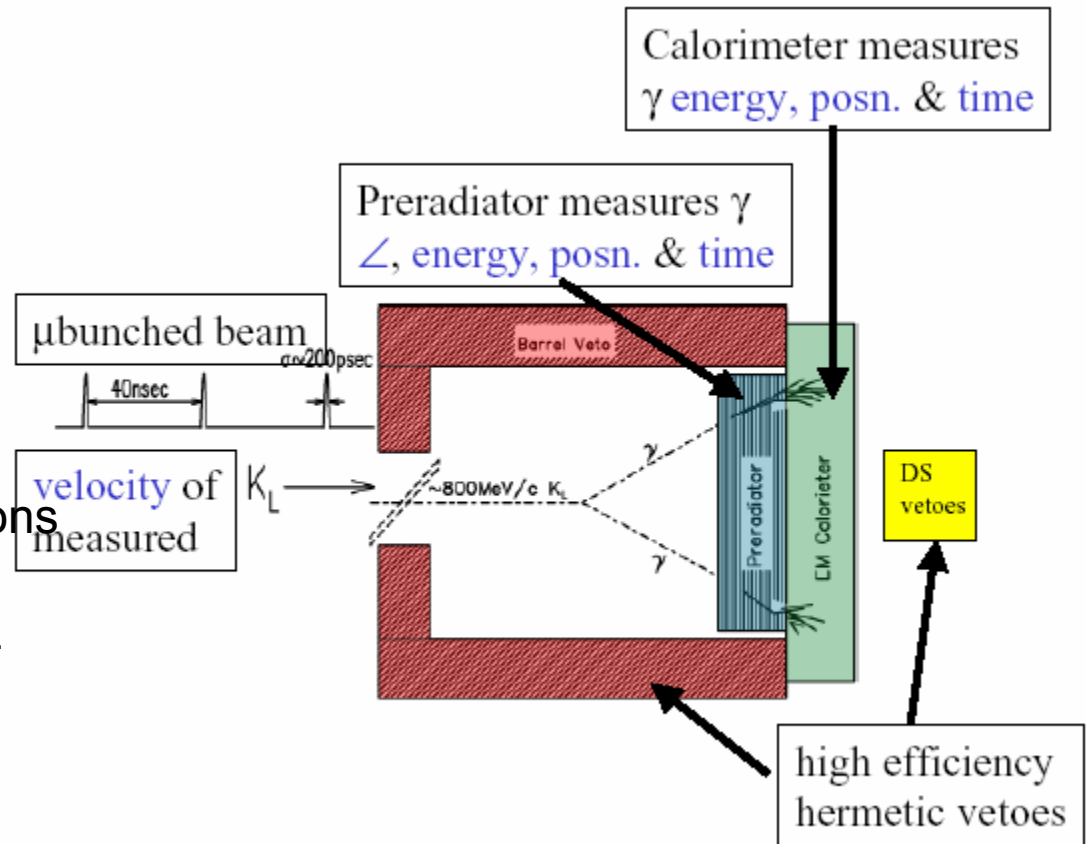


KOPIO Concept

- Detect π^0 and nothing
 ↳ 2γ ↳ veto

Measure everything possible

- K_L TOF : to work in K_L CMS
 - μ bunch AGS protons
 - Large angle (soft) beam
 - Asymmetric beam profile
 - 2 γ detection, timing of K_L
- Reconstruct π^0 decay from $\gamma\gamma$
 - Measure γ directions & positions in PR
 - Measure γ energy in PR+CAL
- Veto : cover 4π solid angle
 - Photon veto
 - Charged particle veto



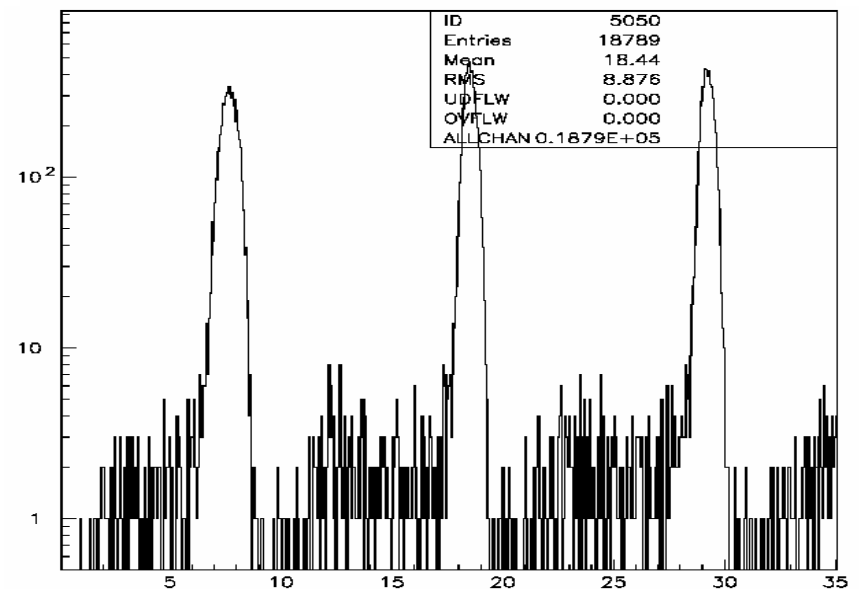
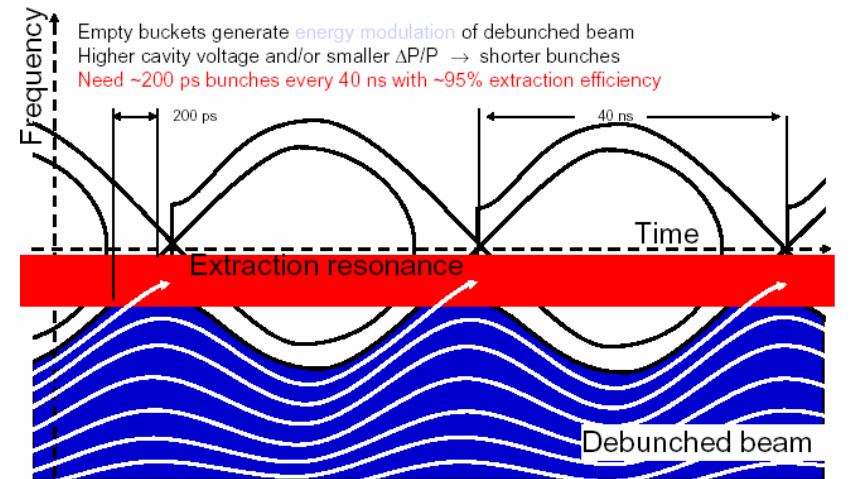
KOPIO Requirements

- 100 TP/AGS pulse (requires upgrade from 70TP)
- 250 ps μ bunch width, every 40 ns, with $<10^{-3}$ between bunches
- Beamline at 42.5° , $100 \text{ mr} \times 5 \text{ mr}$, halo $\leq 10^{-4}$
 - Gives $3 \times 10^8 K_L/\text{spill}$, (12% decay), but $100 \times$ more n's)
- γ timing commensurate with bunching
- γ veto inefficiency of $\sim 10^{-4}$, $\sim \mathbf{10^{-3} \textit{ in beam}}$
- γ energy resolution of $\sim 3\%/\sqrt{E}$
- γ angular resolution of $\sim 30 \text{ mr}$
- Charged particle inefficiencies $\leq 10^{-4}$

Microbunched Beam

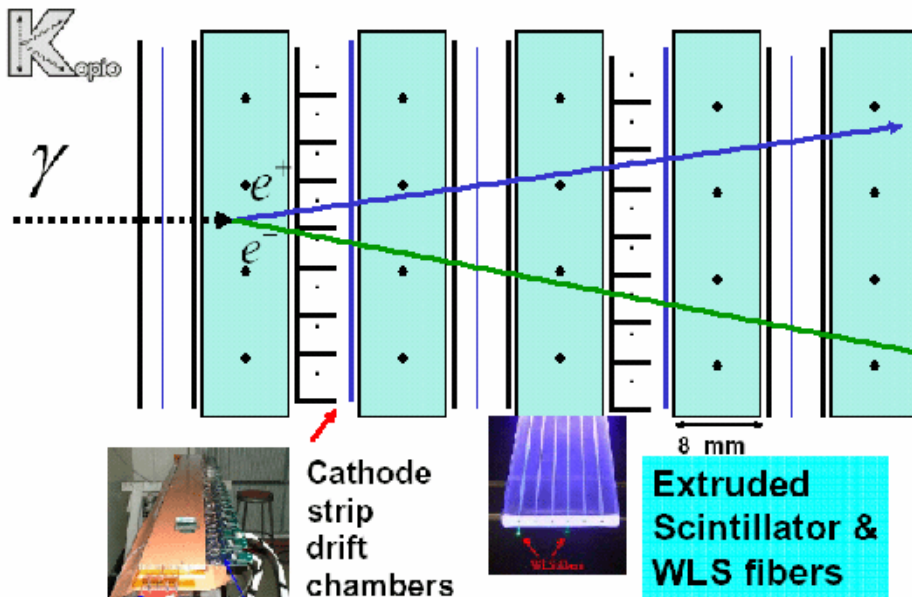
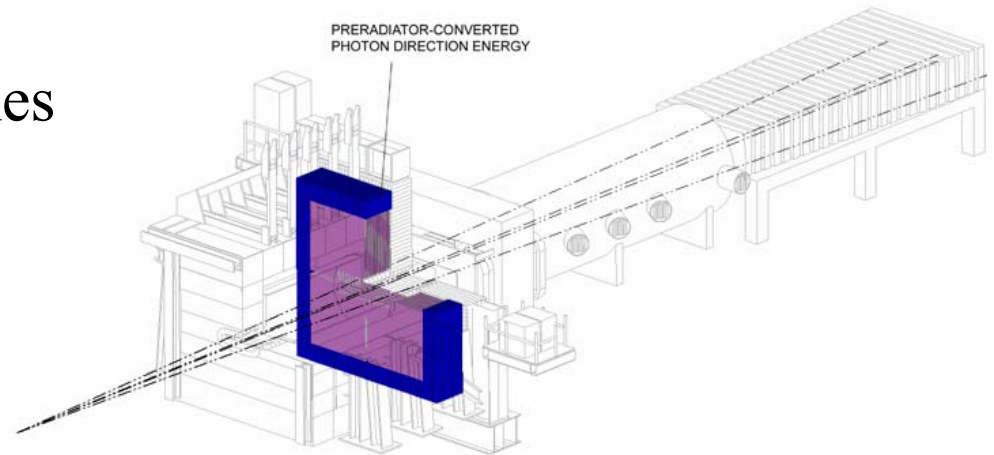
- Based on CERN technique
 - Used for smoothing beam
 - Cappi & Steinbach 1981
- Achieved 244ps μ bunch rms with 93MHz cavity
- Recent tests with main AGS cavities showed extinction of $\sim 10^{-5}$
- 25 MHz cavity in design
 - based on RHIC 28 MHz

Micro-bunched slow extraction



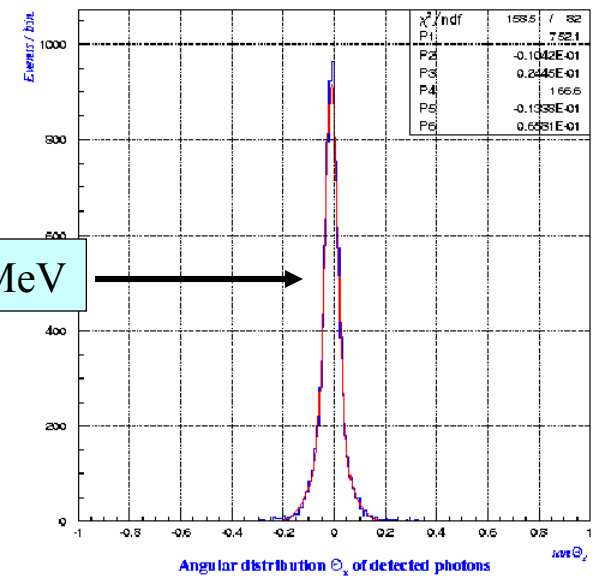
Preradiator

2 X_0 alternating DC & scint. planes
 4m \times 4m (four quadrants)
 200,000 channels



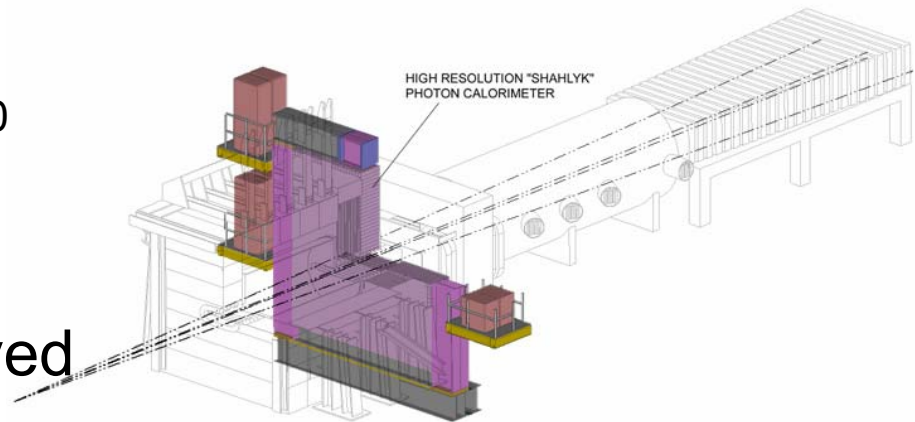
γ angular resolution measured at NSLS

$\sigma \sim 25 \text{ mr} @ 250 \text{ MeV}$

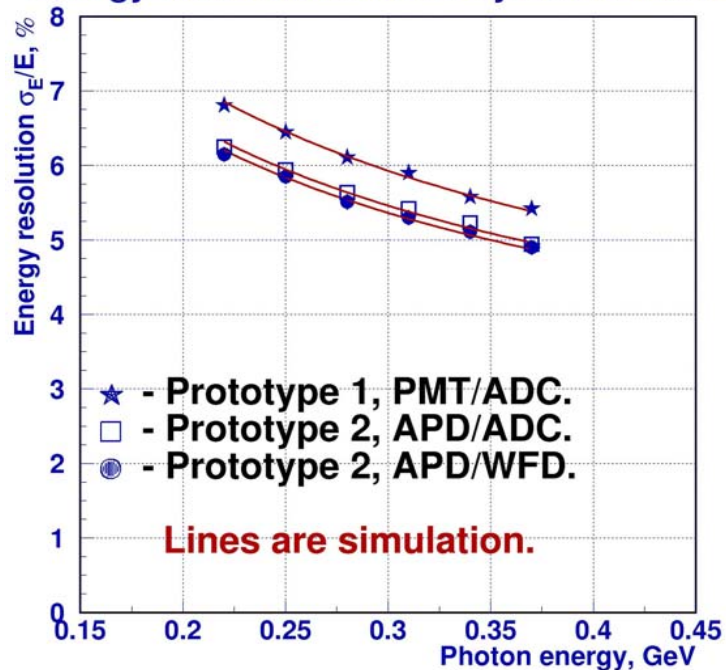


Shashlyk Calorimeter

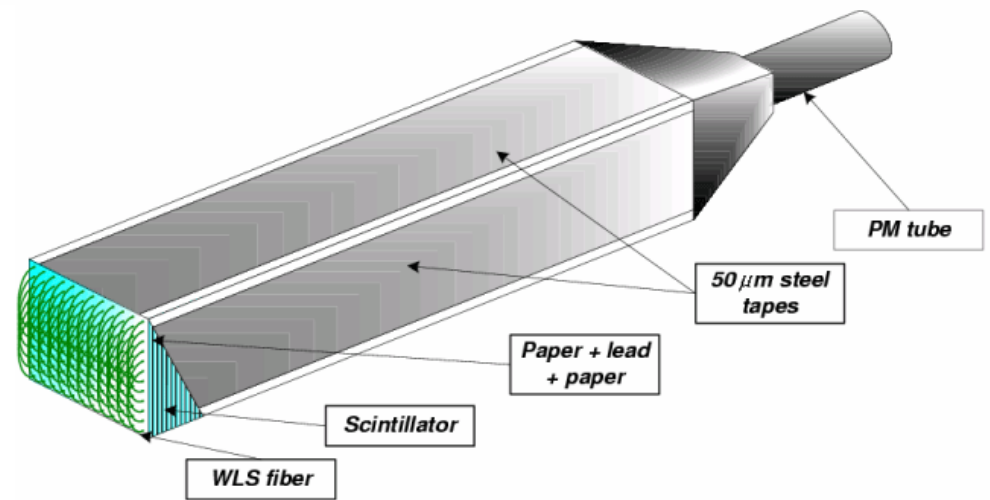
- 2500 11cm² modules, 16 X₀ deep
- Pmt or APD readout
- Prototype tests have achieved
 - Energy resolution ~ 3%/√E



Energy resolution of Shashlyk calorimeter.

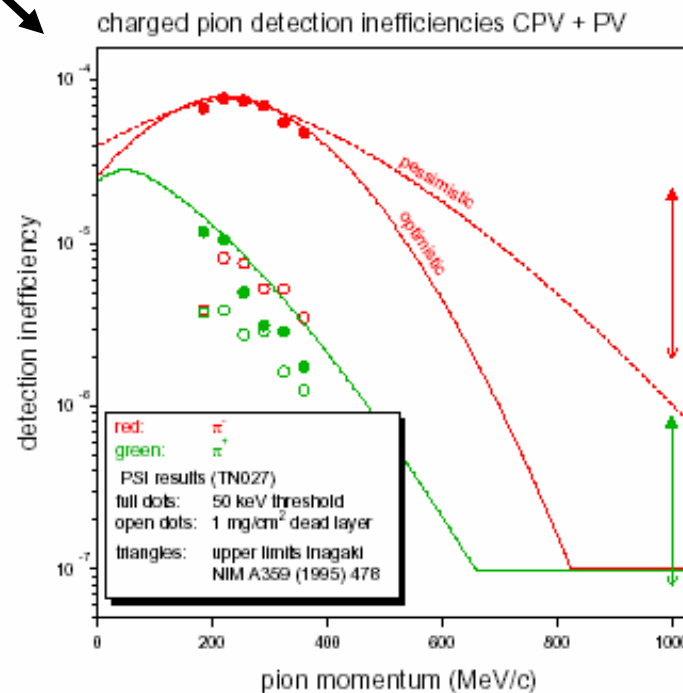
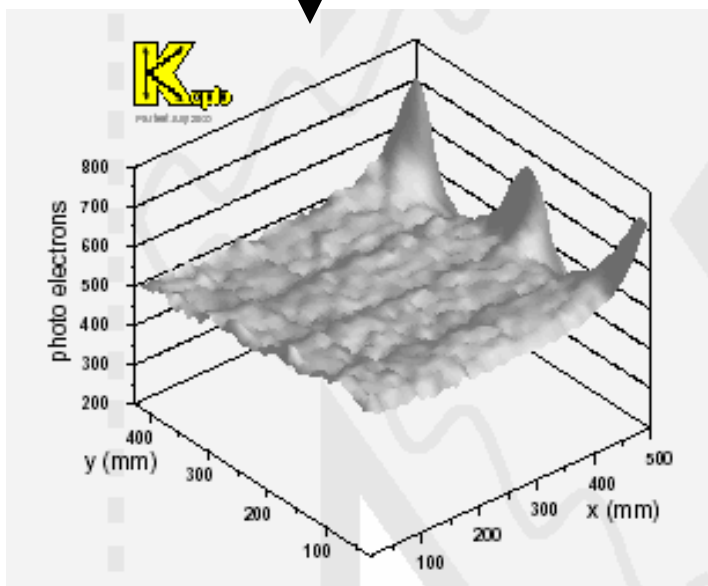
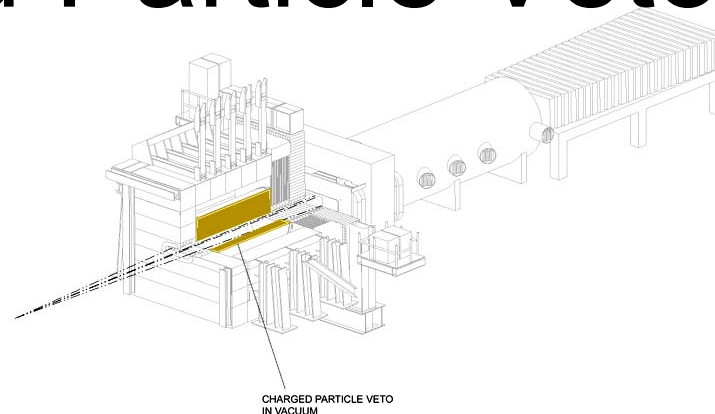


Shashlyk calorimeter



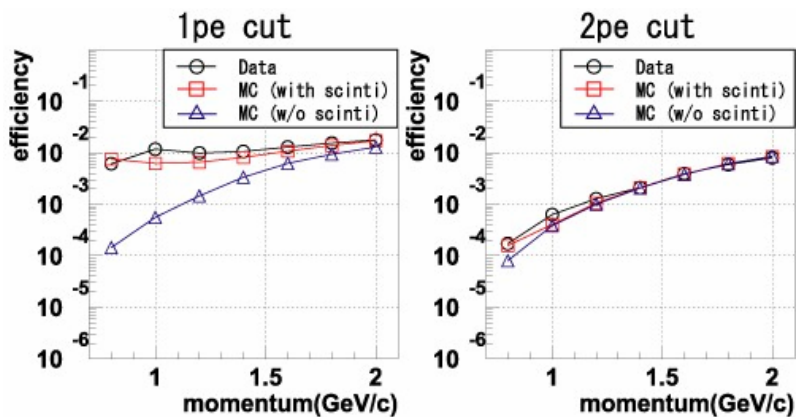
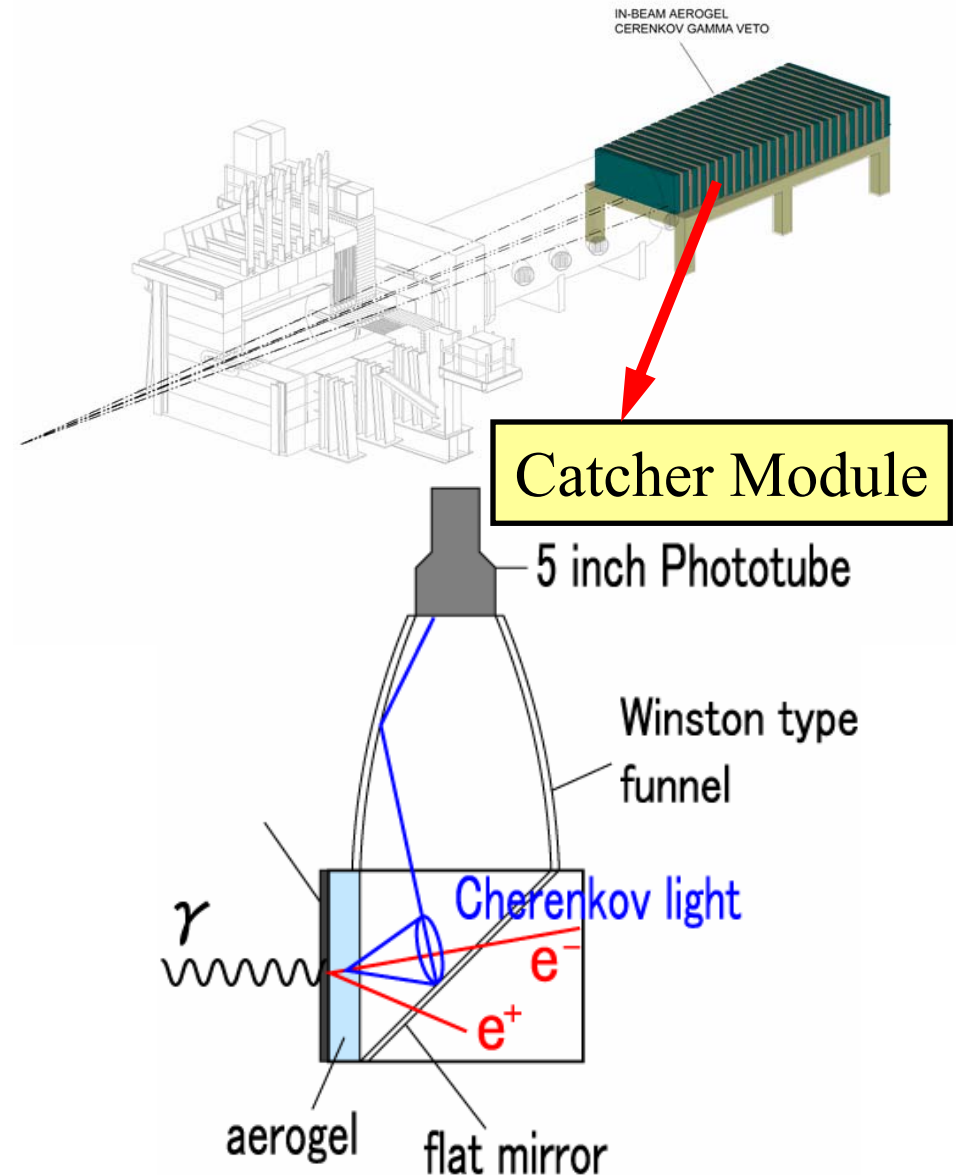
KOPIO Charged Particle Veto

- Thin scintillator directly read out by pmts in vacuum
- Tests of achievable inefficiency at PSI
 - Note γ vetoes back up CPV
- Prototype tests at PSI



KOPIO Beam Catcher Veto

- Photon veto which covers beam core region
- in fierce \square neutron rate
- Needs to be...
 - efficient for γ rays
 - insensitive to neutrons
- Aerogel Cherenkov + distributed geometry
- Prototypes tested in γ \square & p beams:

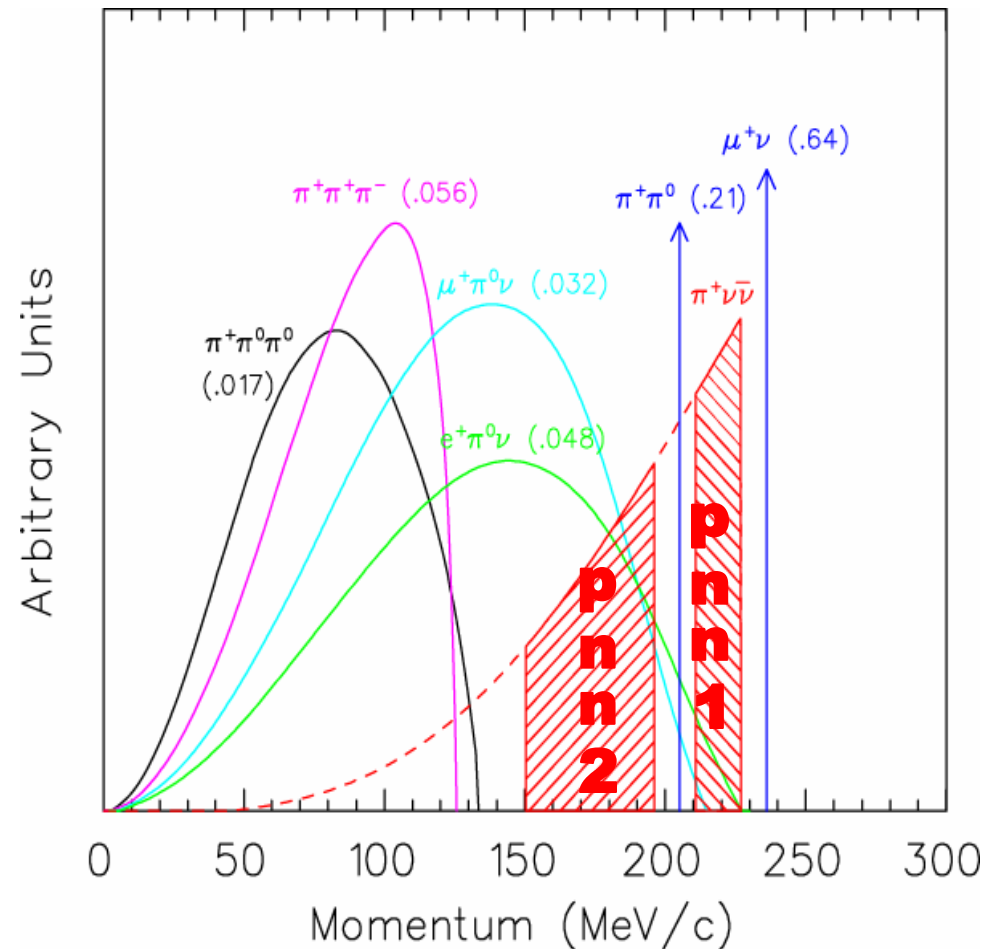


Status of KOPIO

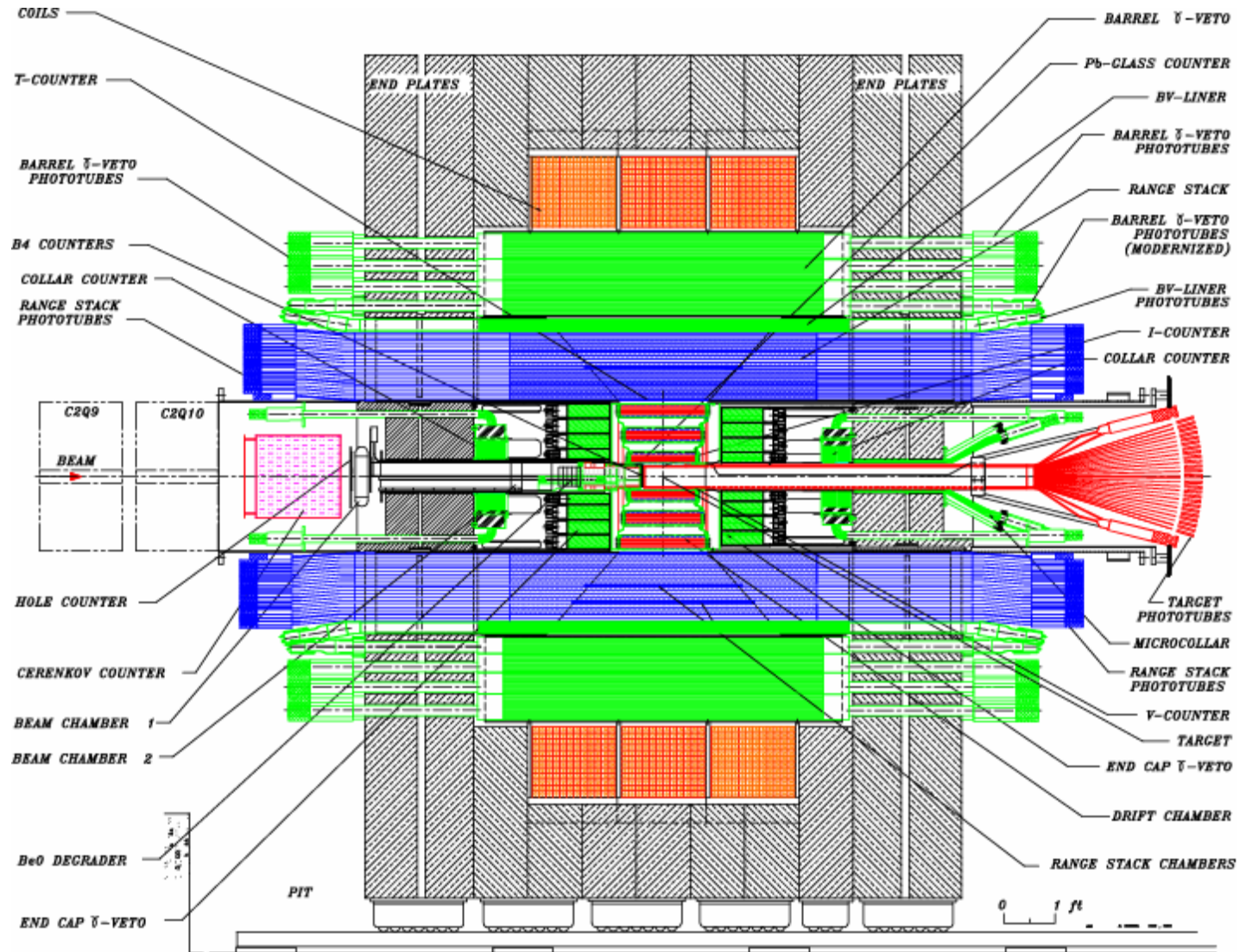
- RSVP approved all the way up the NSB
- Received \$6M in R&D funds in FY04
- In the President's FY05 budget for \$30M
- In the House Appr. Sub-committee markup
- Waiting for Congress to complete its process
- All requirements shown to be met by prototype tests or performance of other experiments (e.g. E949).
- In late stage R&D, initial engineering
- **Still seeking collaborators!**

Experimental considerations for $K^+ \rightarrow \pi^+ \nu \bar{\nu}$

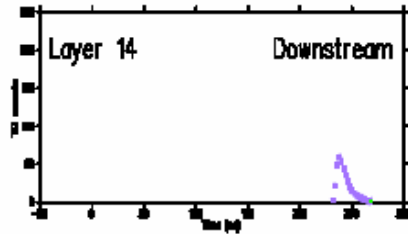
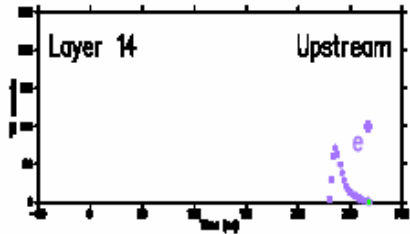
- 3-body decay, only 1 visible
- π^+ common K decay product
- BR $\sim \text{few} \times 10^{-11}$
- Backgrounds:
 - $K^+ \rightarrow \mu^+ \nu (\gamma)$
 - $K^+ \rightarrow \pi^+ \pi^0$
 - Beam
 - Beam π^+ mis-ID as K^+ , then fakes K decay at rest
 - K^+ decay in flight
 - 2 beam particles
 - $K^+ n \rightarrow K^0 p$; $K_L \rightarrow \pi^+ \ell^- \nu$, lepton missed



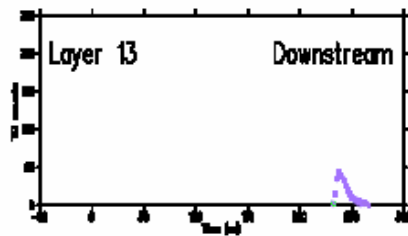
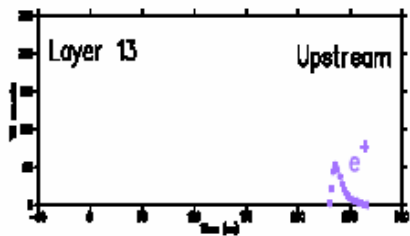
E787/949 Detector



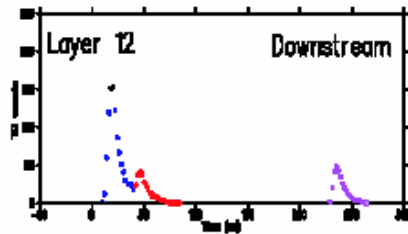
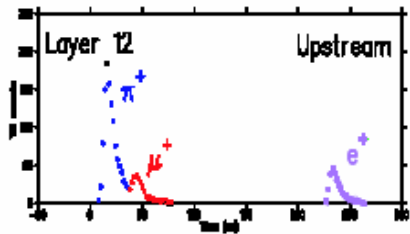
E787/949 Technique



- Incoming 700MeV/c beam K^+ : identified by Č, WC, scintillator hodoscope (B4). Slowed down by BeO



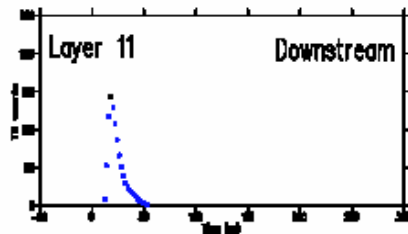
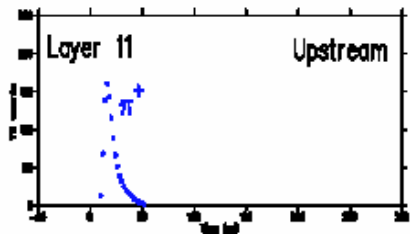
- K^+ stops & decays at rest in scintillating fiber target – measure delay (2ns)



- Outgoing π^+ : verified by IC, VC, T counter. Momentum measured in UTC, energy & range in RS and target

(1T magnetic field parallel to beam)

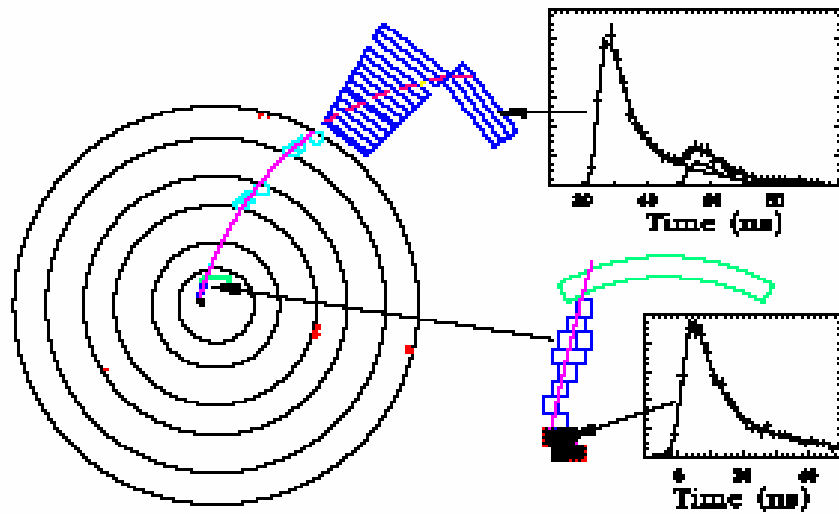
- π^+ stops & decays in RS – detect $\pi^+ \rightarrow \mu^+ \rightarrow e^+$ chain



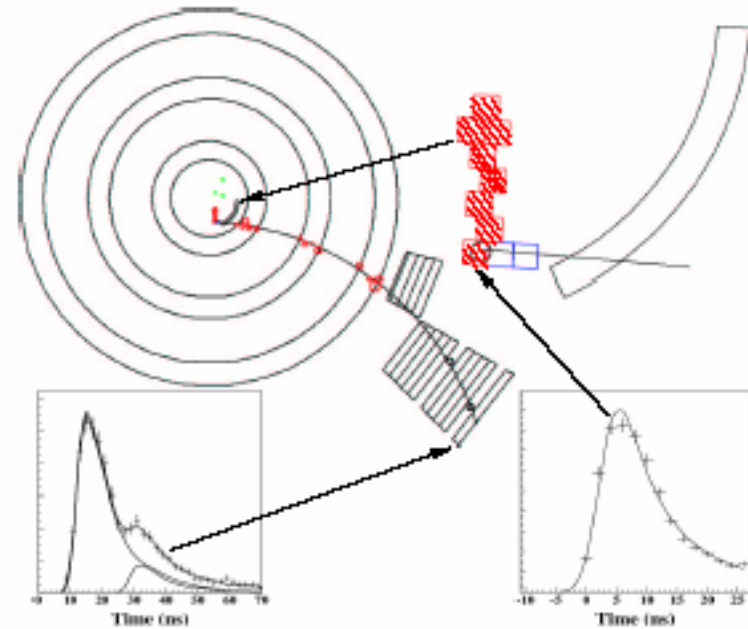
- Photons vetoed hermetically in BV-BVL, RS, EC, CO, USPV, DSPV

E787 Events

Candidate E787A

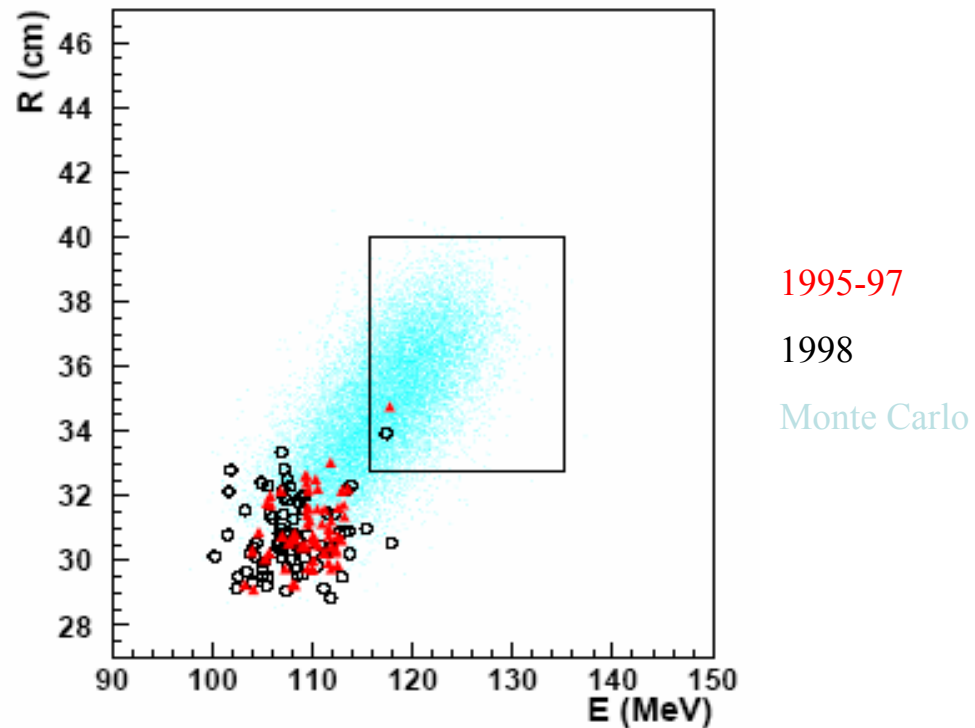


Candidate E787C

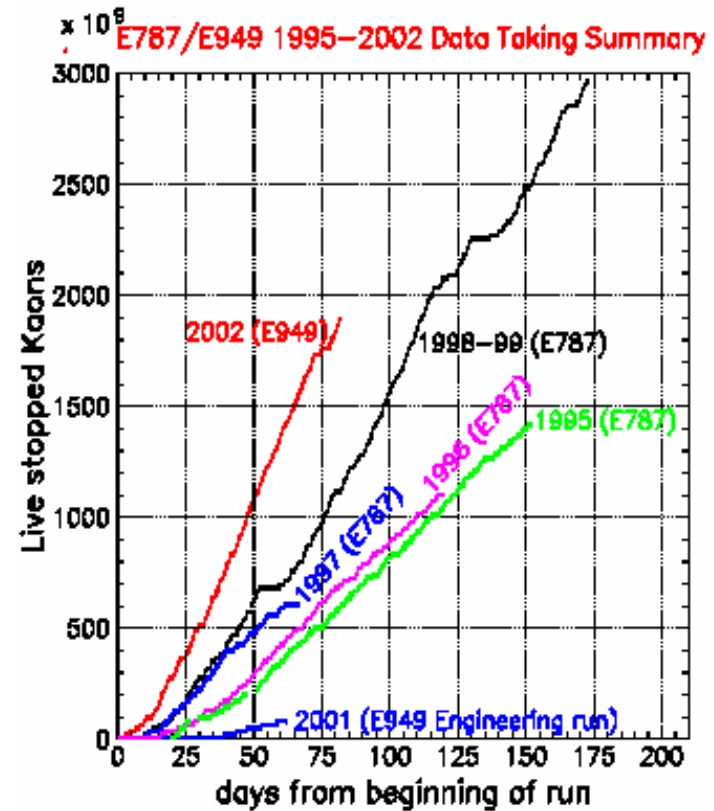
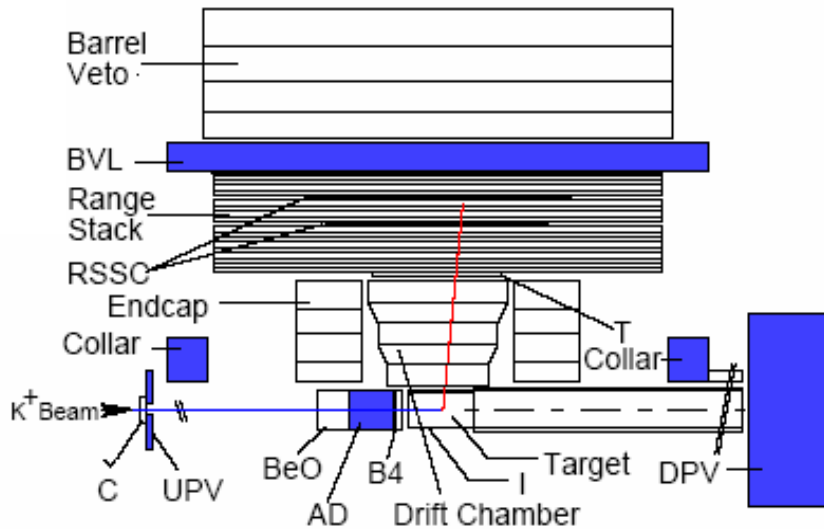


E787 Results

	PNN1	PNN2
P_{π} (MeV/c)	[211,229]	[140,195]
Years	1995-98	1996-97
Stopped K^+	5.9×10^{12}	1.7×10^{12}
Candidates	2	1
Background	0.15 ± 0.05	1.22 ± 0.24
$BR(K^+ \rightarrow \pi^+ \nu \bar{\nu})$	$(1.57^{+1.75}_{-0.82}) \times 10^{-10}$	$< 22 \times 10^{-10}$ (90% CL)



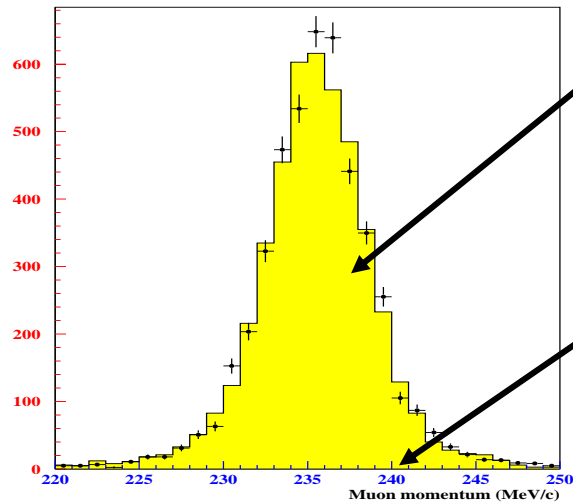
E787 → E949



- 👍 Enhanced γ veto, beam instrumentation
- 👍 Much higher proton flux (65 TP)
- 👍 Improved tracking and energy resolution
- 👍 Higher rate capability due to DAQ, electronics and trigger improvements
- 👎 Lower beam duty factor (Siemans → Westinghouse)
- 👎 Lower proton energy (by 10%, cost 10% in flux)
- 👎 Problematic separators, worse K/ π ratio (4 → 3), fewer K/proton (factor ~ 1.5)
- 👎 **Total cost, factor 2**

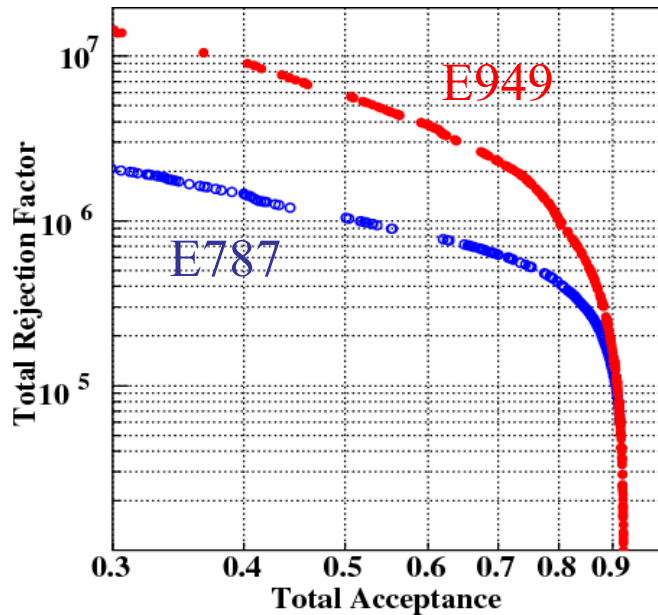
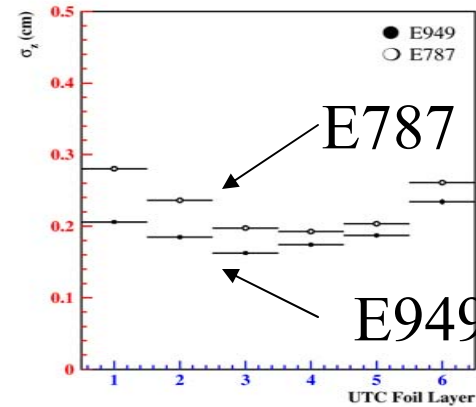
Upgrades in E949

μ^+ Momentum from $K^+ \rightarrow \mu^+ \nu$



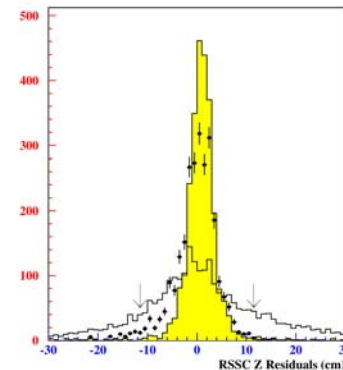
E949 at
2x inst.
rate of
E787

Improved UTC σ_z

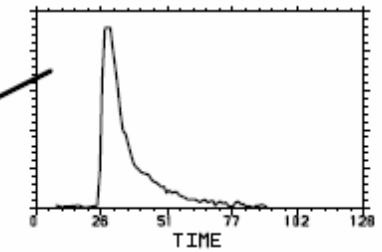
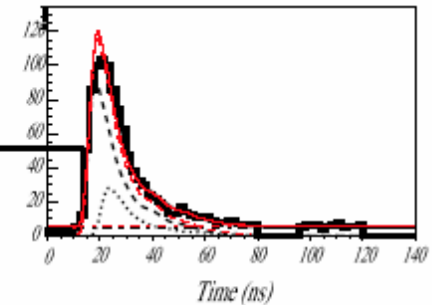
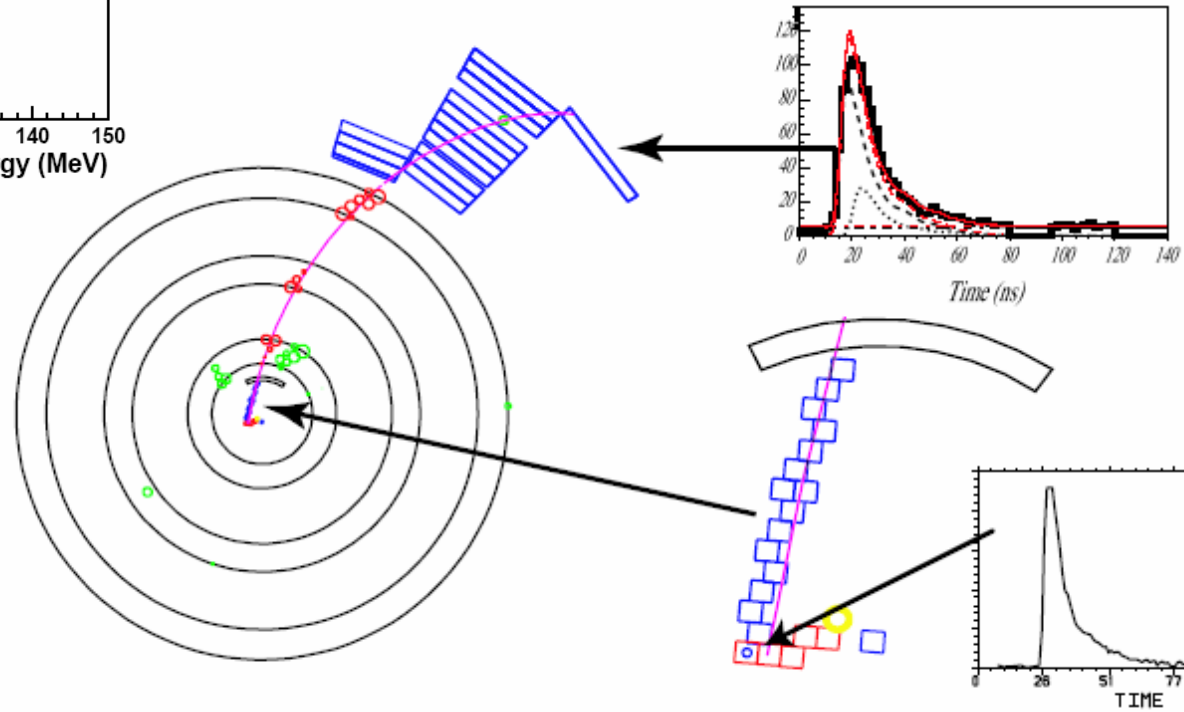
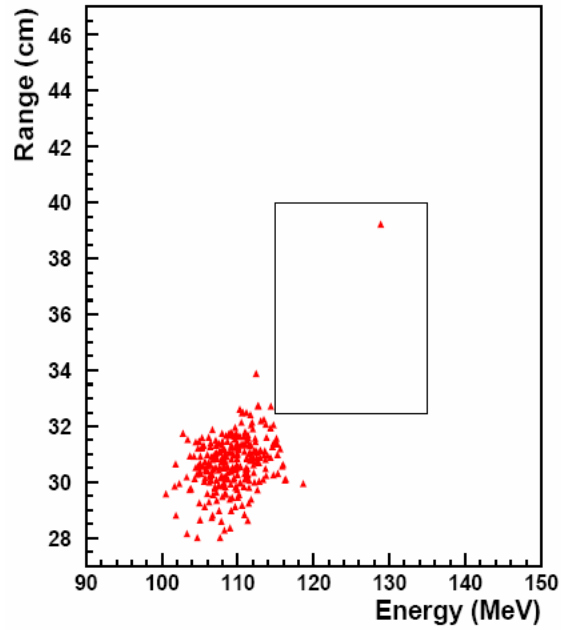


$\times 2-10$ better
 π^0 efficiency

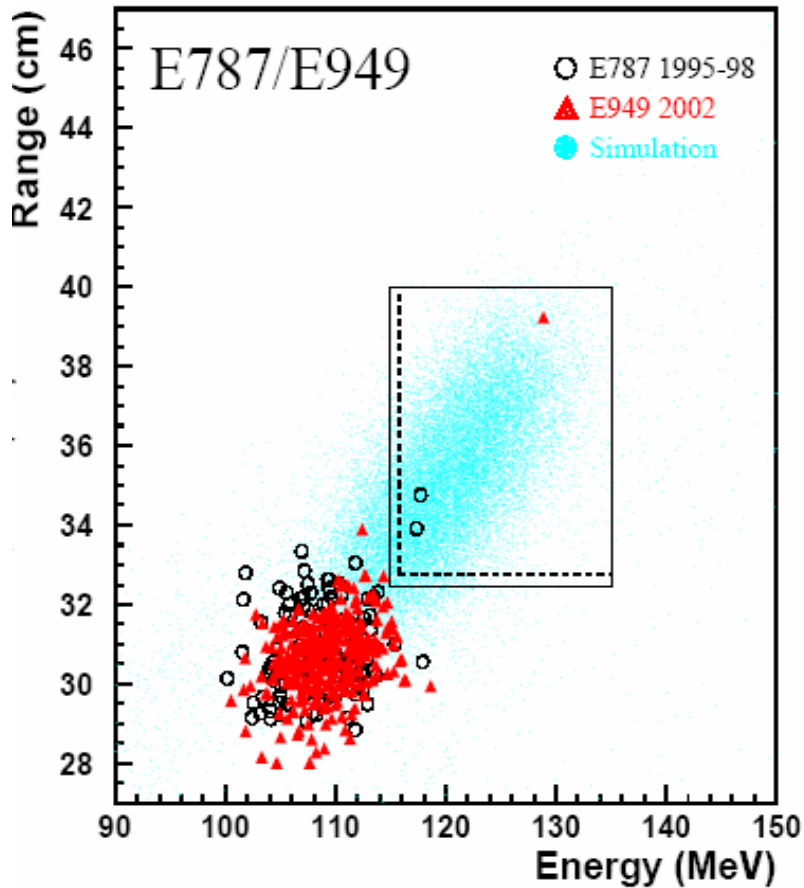
Range Stack Straw
Chamber tracking
Improved by 5 x



E949 Event



Combined E787/949 Result



$$BR(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (1.47_{-0.89}^{+1.30}) \times 10^{-10}$$

(68% CL interval)

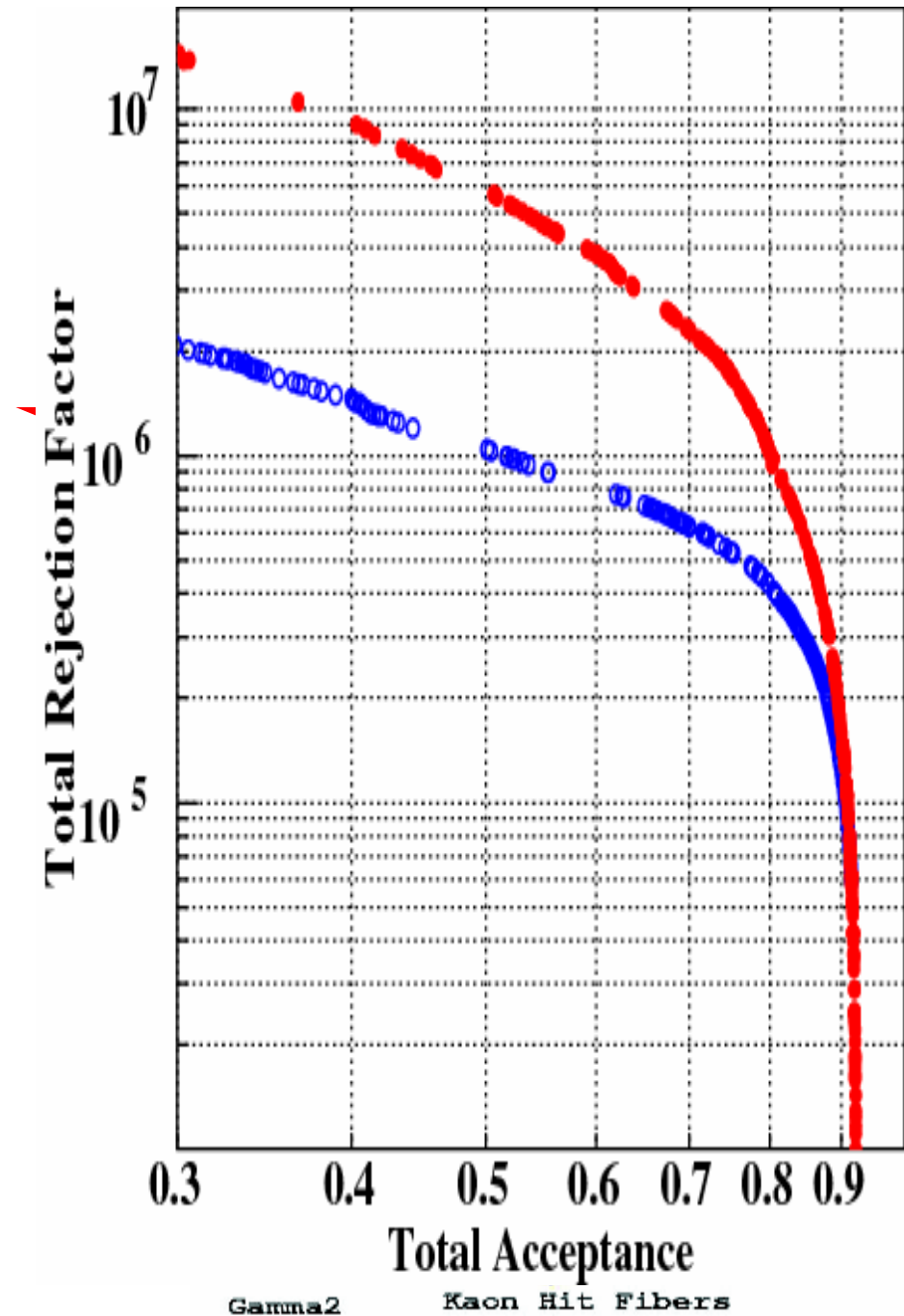
E787 result:

$$BR(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (1.57_{-0.82}^{+1.75}) \times 10^{-10}$$

	E787		E949
Stopped K^+ (N_K)	5.9×10^{12}		1.8×10^{12}
Total Acceptance	0.0020 ± 0.0002		0.0022 ± 0.0002
S.E.S.	0.8×10^{-10}		2.6×10^{-10}
Total Background	0.14 ± 0.05		0.30 ± 0.03
Candidate	E787A	E787C	E949A
S_i/b_i	50	7	0.9
$W_i \equiv \frac{S_i}{S_i + b_i}$	0.98	0.88	0.48

pnn2

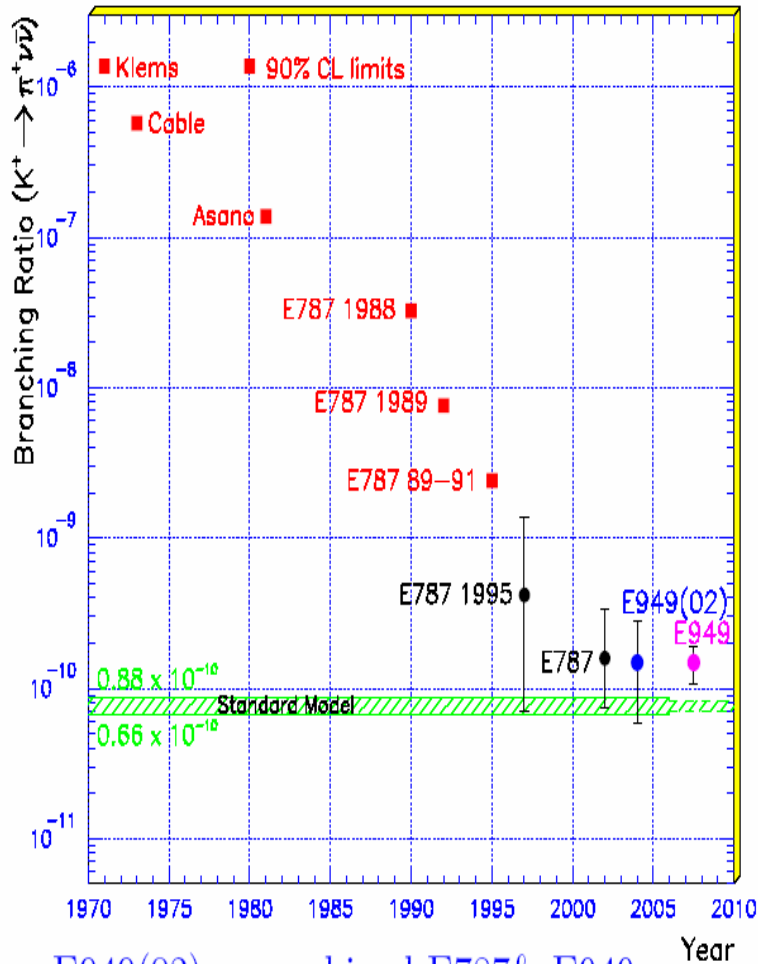
- Acceptance larger than for pnn1 (in principle)
- E787 bkgnd-limited at $\sim 10^{-9}$, another factor 10 needed to get to S:B ~ 1
- Main background from $K\pi 2$ w/nasty correlation
- Improved photon vetoing in E949 very encouraging.
- Answer expected in a few months.



Status & prospects for

949

E949 detector worked well



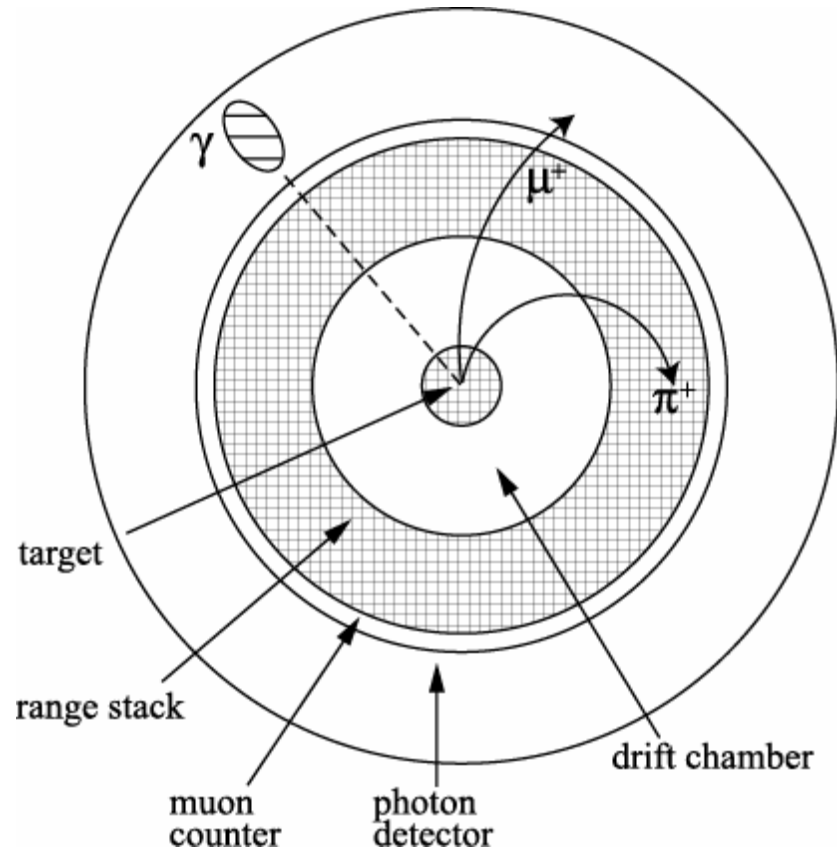
E949(02) = combined E787& E949.

E949 projection with full running period.

- Obtained $\sim 2/3$ sensitivity of E787 in 12 weeks (1/3 pnn1+1/3 pnn2)
- Found one new pnn1 candidate
- pnn2 analysis currently in progress – looks promising
- AGS & beamline problems cost a factor ~ 2 in sensitivity/hour
- DOE cut off experiment after 12 of 60 promised weeks
- Currently seeking NSF support

J-PARC $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ LOI

- Stopped K^+ experiment
- Builds on E787/949 experience
 - Lower energy separated beam
 - Higher B spectrometer
 - More compact apparatus
 - Better resolution
 - Finer segmentation
 - Improved γ veto (crystal barrel)
- Aims for 50 events
- Not an early experiment for J-PARC
 - Needs beamline
 - place on the floor
 - \$ for detector



Pros & cons of stopped-K technique

- PROs

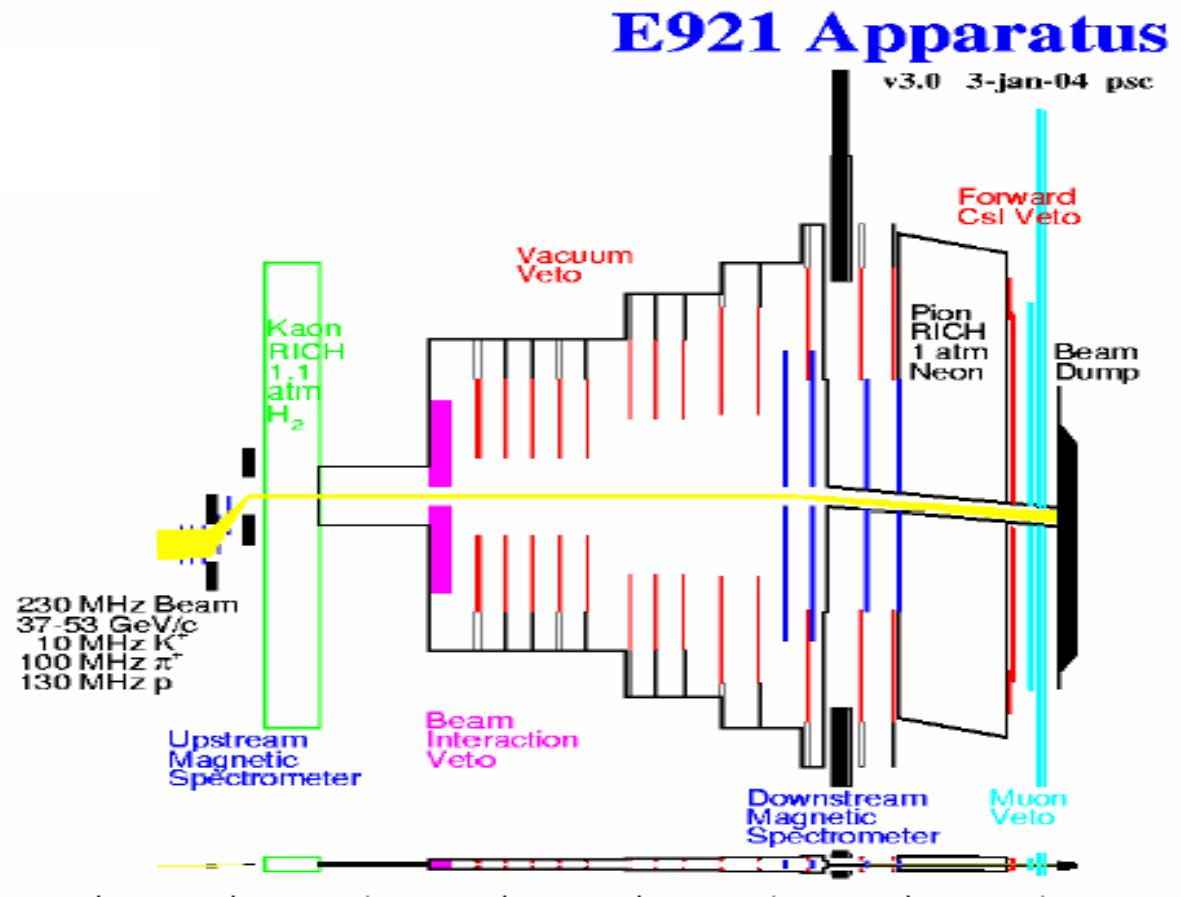
- Long history
 - The enemies are known
 - Well-honed methods
 - S/B good enough!
- Effective particle ID
- Easy to be hermetic
- Very pure beam
- In CM right away
- Clean separation of kinematics/part-ID

- CONs

- Decay in matter
 - Nuclear effects
- Require π 's to stop
- ID sensitive to rates
- 3 timescales (up to μ s)
- Need low veto thresholds
- Limited K flux
 - Most K's interact (typ 4/5)
- Correlation of detector geometry w/CM system

Fermilab in-flight initiative

- Unseparated beam
 - 10MHz K⁺/230MHz
 - 1cm × 1cm
 - 37-53 GeV/c
 - 17% decay
- K & π spectrometers
- RICH particle ID
- μ & γ vetoes
 - $10^{-6}/\gamma$
- pnn1 & pnn2
- 100 evts/2 years/ 10^{-10}
- Hope to run by 2009

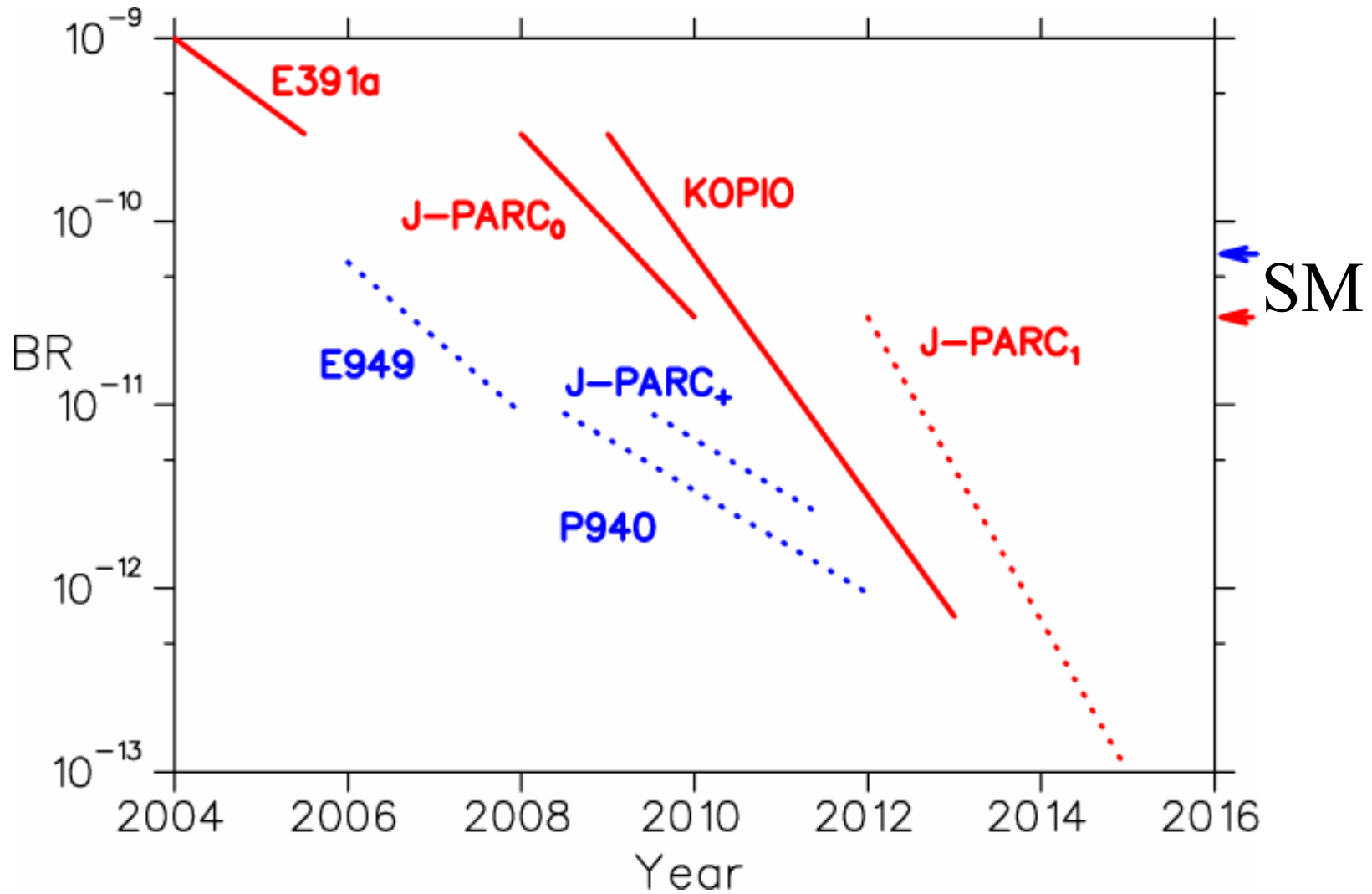


How to pursue $K^+ \rightarrow \pi^+ \nu \bar{\nu}$?

- In-flight has the “appeal of the new”
 - The only way to get >100 events
 - But requires 11 O.M. leap!
 - Watch out for tails, acceptance losses, the unexpected
- Stopping experiment very well understood
 - Technique shown to have sufficient S/B
 - Any further improvements can increase acceptance
 - Note acceptance of 787/949 is ~ 0.002
 - Plenty of room for improvement!
 - Could ***really know*** if 50-100 events possible

World enough & time for —

$$K \rightarrow \pi \nu \nu$$



$$K_L \rightarrow \pi^0 \ell^+ \ell^-$$

In *SM*, gives the same info as $K_L \rightarrow \pi^0 \nu \bar{\nu}$

KTeV obtains 90% CL upper limits

$$B(K_L \rightarrow \pi^0 e^+ e^-) < 2.8 \times 10^{-10}$$

$$B(K_L \rightarrow \pi^0 \mu^+ \mu^-) < 3.8 \times 10^{-10} \text{ (so far)}$$

- already see background from $K_L \rightarrow \gamma \gamma \ell^+ \ell^-$ at level $10 \times$ SM

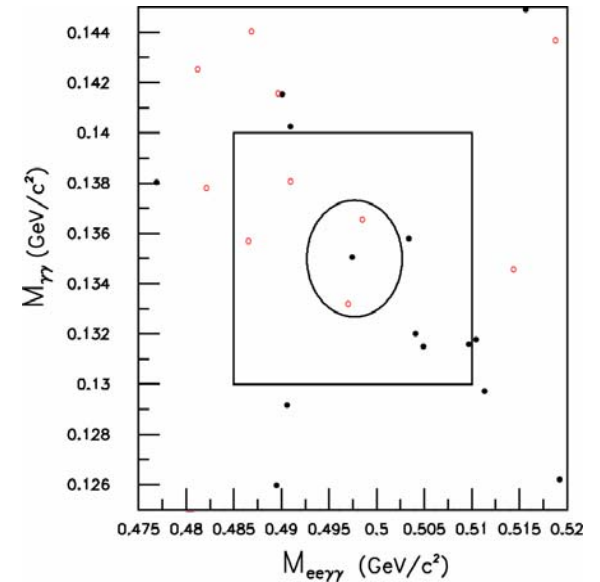
This, + complicated interplay of CP-conserving & state-mixing contributions tends to discourage people.

But recent experimental and theoretical progress here.

New mindset may be justified!

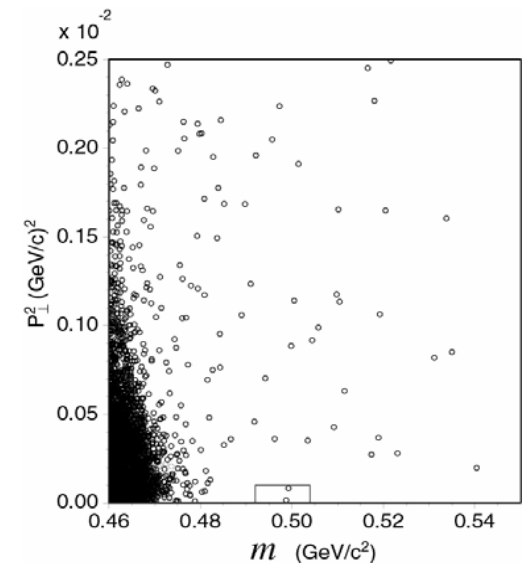
$$K_L \rightarrow \pi^0 e^+ e^-$$

'97+'99



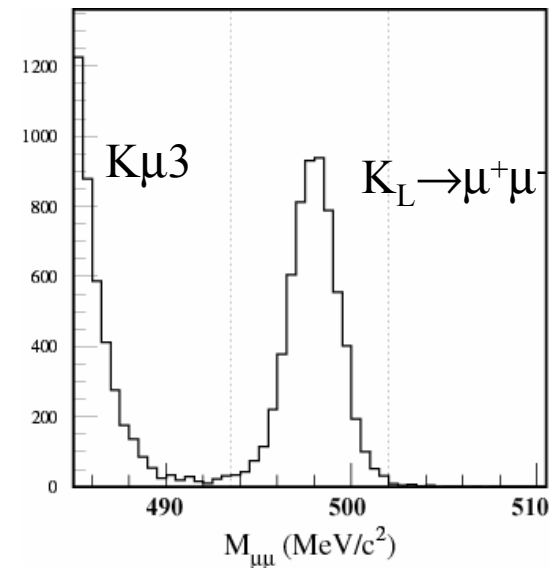
$$K_L \rightarrow \pi^0 \mu^+ \mu^-$$

'97



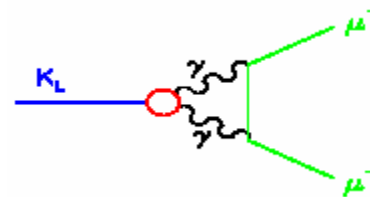
$K_L \rightarrow \mu^+ \mu^-$

- $B_{SD}(K_L \rightarrow \mu^+ \mu^-) \propto (\bar{\rho}^0 - \bar{\rho})^2$
- Potentially good source of info on $\bar{\rho}$
- Also possible BSM contributions
- Clean experimental result with 6000 evts



- **But** BR dominated by abs contrib:

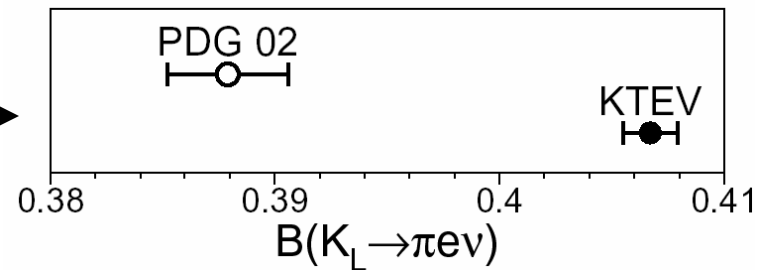
- $>5\times$ larger than SD
- can be measured from $K_L \rightarrow \gamma\gamma$
- uncertainty $>$ that on $K_L \rightarrow \mu^+ \mu^-$ meas.



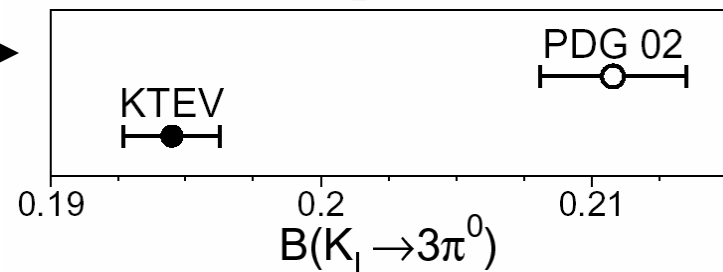
- Subtraction can be addressed by other BR meas.
- **But** LD dispersive contribution of similar size to SD
 - interferes with SD
 - can get information from $K_L \rightarrow \ell^- \ell^+ \gamma$, etc.
 - good progress, **but** would need $1000 \times$ KTeV to go further
 - in the hands of theorists
- Better precision would be hard to get.

Do we need multiple experiments?

- If we can't get a 4×10^{-1} BR right to 5%



- & we can't get a 2×10^{-1} BR right to 8%



- Are we really going to get a **few $\times 10^{-11}$** BR right to 10% the first time?

Conclusions

- $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ seen, BR 2^{ce} SM, but consistent with it
 - Could go at least 10× further with same technique
 - Initiative to go 100× further with in-flight technique
- $K_L \rightarrow \pi^0 \nu \bar{\nu}$ experiment aiming to w/i factor 10 of SM level, w/i some BSM predictions
 - Two initiatives to go >100× further
- Situation rife with uncertainty!
 - J-PARC accelerator will be there, but experiments?
 - Is FNAL really in the game?
 - BNL $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ experiment stalled by DOE, future unclear
 - BNL $K_L \rightarrow \pi^0 \nu \bar{\nu}$ experiment probably has best prospects but not guaranteed (US Senate not helpful)

Gratuitous Advice

- Don't worry too much about what others will or won't do.
- If you are going to do it, don't scrimp!
- Allow enough running time (years) for development, mid-course corrections, upgrades, and learning as you go.