

High-precision determination of the pion–nucleon σ -term from Roy–Steiner equations

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Outline

Pion–nucleon scattering and the σ -term

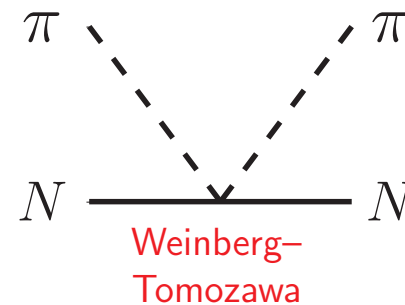
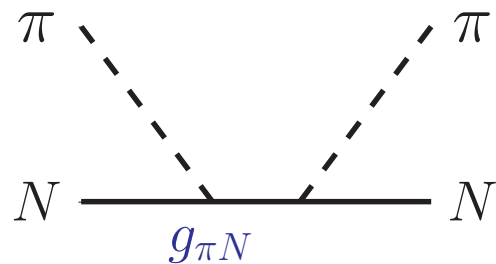
A new dispersive analysis: Roy–Steiner equations

- phase shifts Phys. Rept. 625 (2016) 1
- σ -term and comparison to lattice results
PRL 115 (2015) 092301, PLB 760 (2016) 74
- comparison to low-energy πN scattering data preliminary
- form factor spectral functions arXiv:1609.06722 (with H.-W. Hammer)
- chiral low-energy constants PRL 115 (2015) 192301

in collaboration with M. Hoferichter, J. Ruiz de Elvira, and U.-G. Meißner

Chiral pion–nucleon interaction

- simplest process for chiral pion interaction with nucleons



- leading-order $\mathcal{O}(p) = \mathcal{O}(M_\pi)$ predictions for πN :

scattering lengths:

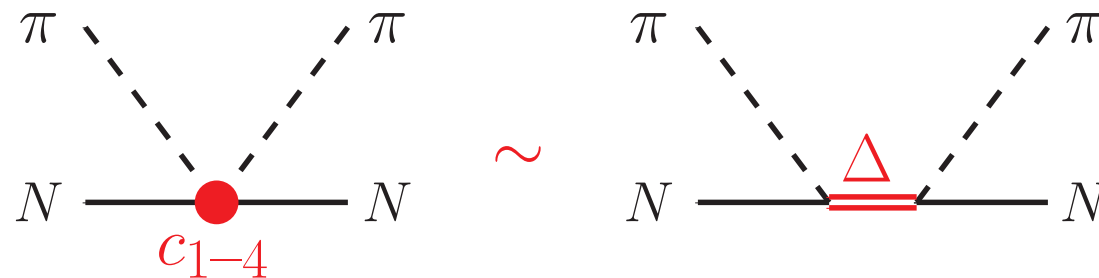
$$a^- = \frac{M_\pi m_N}{8\pi(m_N + M_\pi)F_\pi^2} + \mathcal{O}(M_\pi^3) \quad a^+ = \mathcal{O}(M_\pi^2)$$

Weinberg 1966

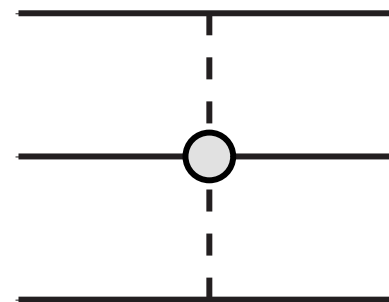
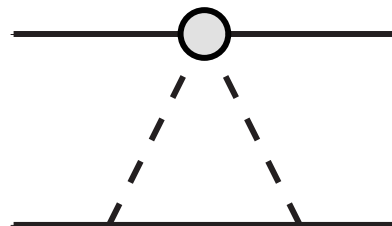
Goldberger–Treiman relation:
$$g_{\pi N} = \frac{g_A m_N}{F_\pi}$$

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- next-to-leading order $\mathcal{O}(p^2)$: low-energy constants (LECs) c_{1-4} effectively incorporate effects of the $\Delta(1232)$ resonance: **low mass** $m_\Delta - m_N \approx 2M_\pi$ and **strong couplings**
- determination of c_i very important for **nuclear physics**: πN important for NN / determines longest-range $3N$ forces



The pion–nucleon σ -term

- **scalar form factor** of the nucleon:

$$\langle N(p') | \hat{m}(\bar{u}u + \bar{d}d) | N(p) \rangle = \sigma(t) \bar{u}(p') u(p) \quad t = (p - p')^2$$

$$\sigma_{\pi N} \equiv \sigma(0) = \frac{\hat{m}}{2m_N} \langle N | \bar{u}u + \bar{d}d | N \rangle \quad \hat{m} = \frac{m_u + m_d}{2}$$

- $\sigma_{\pi N}$ determines light quark contribution to nucleon mass:
Feynman–Hellmann theorem

$$\sigma_{\pi N} = \hat{m} \frac{\partial m_N}{\partial \hat{m}} = -4c_1 M_\pi^2 + \mathcal{O}(M_\pi^3)$$

→ at leading order, related to the chiral coupling c_1

- $\sigma_{\pi N}$ determines scalar couplings wanted for
direct-detection dark matter searches

e.g. Ellis et al. 2008
see also talks this morning

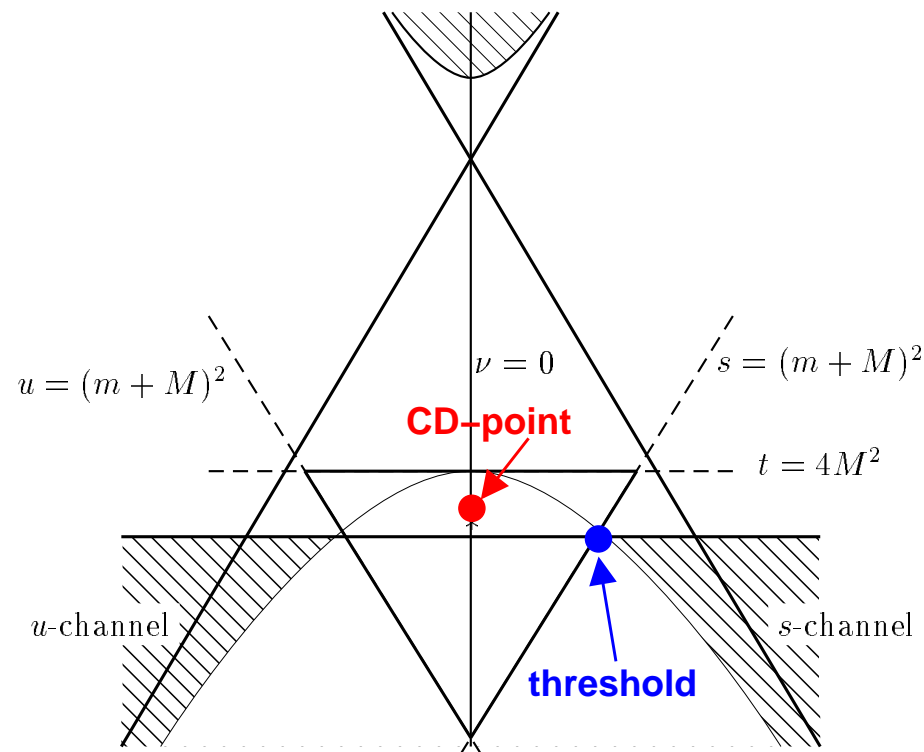
On the chiral extractions of $\sigma_{\pi N}$

The Cheng–Dashen theorem

- isoscalar amplitude at **CD point** related to scalar form factor

$$\underbrace{F_{\pi}^2 \bar{D}^+(s = u, t = 2M_{\pi}^2)}_{F_{\pi}^2(d_{00}^+ + 2M_{\pi}^2 d_{01}^+) + \Delta_D} = \underbrace{\sigma(2M_{\pi}^2)}_{\sigma_{\pi N} + \Delta_{\sigma}} + \Delta_R$$

$$|\Delta_R| \lesssim 2 \text{ MeV} \quad \text{Bernard et al. 1996}$$



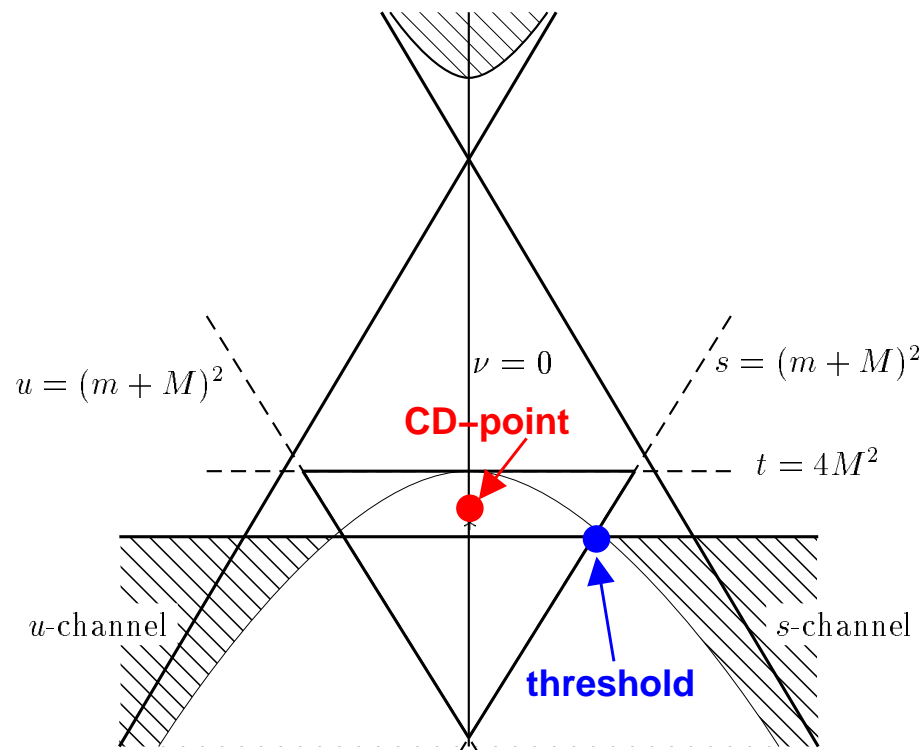
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- ChPT fulfils all these relations **perturbatively** only
is known to **fail** at one loop for Δ_D , Δ_{σ} : Gasser, Leutwyler, Sainio 1991
curvature d_{02}^+ not reproduced at one loop Alarcón et al. 2013
 - we're lucky: $\Delta_D - \Delta_{\sigma} = (-1.8 \pm 0.2) \text{ MeV}$ cancels to large extent
- **one-loop ChPT** does not describe pion–nucleon scattering accurately in the whole low-energy region

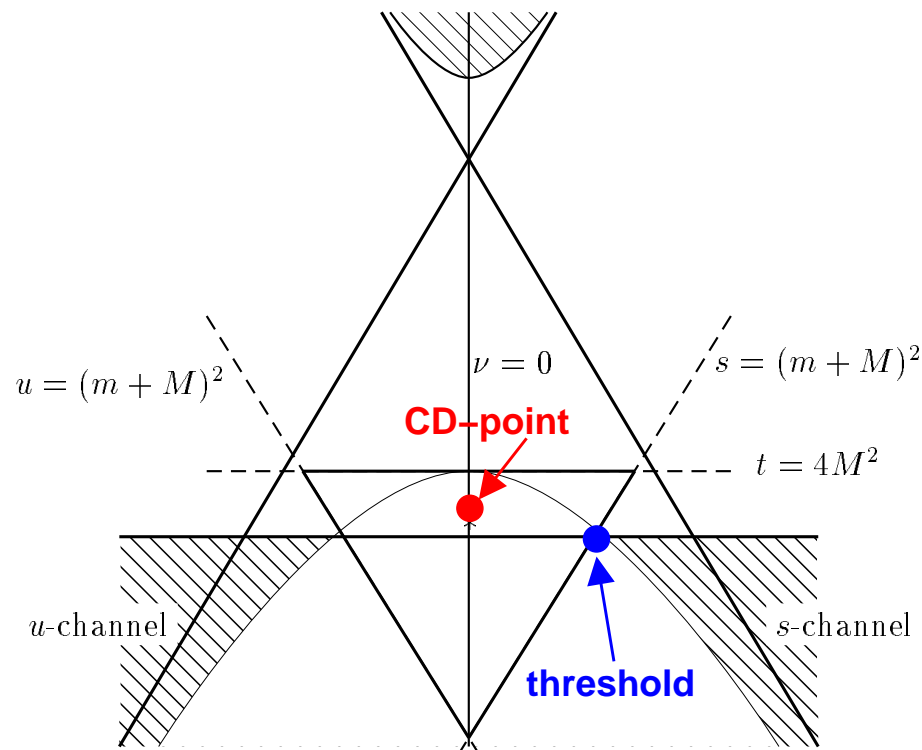
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→ update dispersive analysis, **Roy–Steiner equations**

Hoferichter, Ruiz de Elvira, BK, Meißner

The well-known paradigm: $\pi\pi$ Roy equations

Roy equations = coupled system of partial-wave dispersion relations
+ **crossing symmetry** + **unitarity**

- twice-subtracted fixed- t dispersion relation:

$$T(s, t) = c(t) + \frac{1}{\pi} \int_{4M_\pi^2}^{\infty} ds' \left\{ \underbrace{\frac{s^2}{s'^2(s' - s)}}_{s\text{-channel cut}} + \underbrace{\frac{u^2}{s'^2(s' - u)}}_{u\text{-channel cut}} \right\} \text{Im}T(s', t)$$

- subtraction function $c(t)$ determined from **crossing symmetry**

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- subtraction function $c(t)$ determined from crossing symmetry
- project onto partial waves $t_J^I(s)$ (angular momentum J , isospin I)
expand $\text{Im}T(s', t)$ in partial waves

$$t_J^I(s) = \text{polynomial}(a_0^0, a_0^2) + \sum_{I'=0}^2 \sum_{J'=0}^{\infty} \int_{4M_\pi^2}^{\infty} ds' K_{JJ'}^{II'}(s, s') \text{Im}t_{J'}^{I'}(s')$$

kernel functions $K_{JJ'}^{II'}(s, s')$ known analytically

Roy 1971

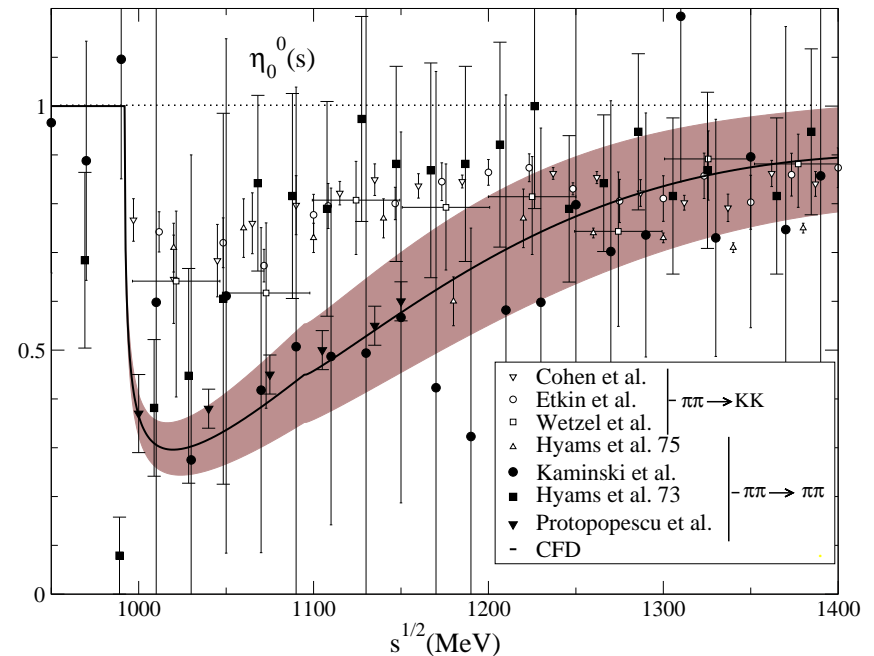
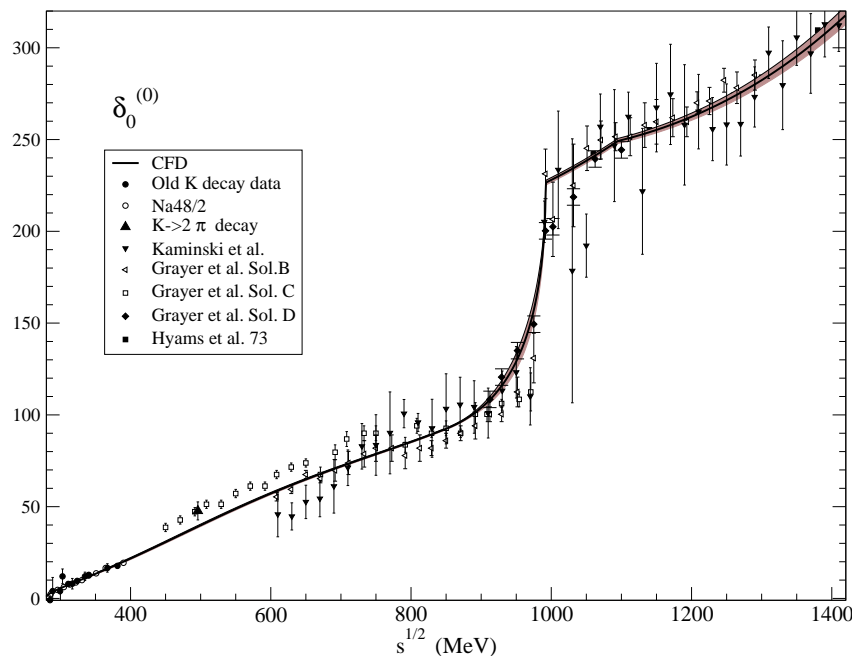
$\pi\pi$ Roy equations

- elastic unitarity:

$$t_J^I(s) = \frac{e^{2i\delta_J^I(s)} - 1}{2i\sigma} \quad \sigma = \sqrt{1 - \frac{4M_\pi^2}{s}}$$

→ coupled integral equations for **phase shifts**

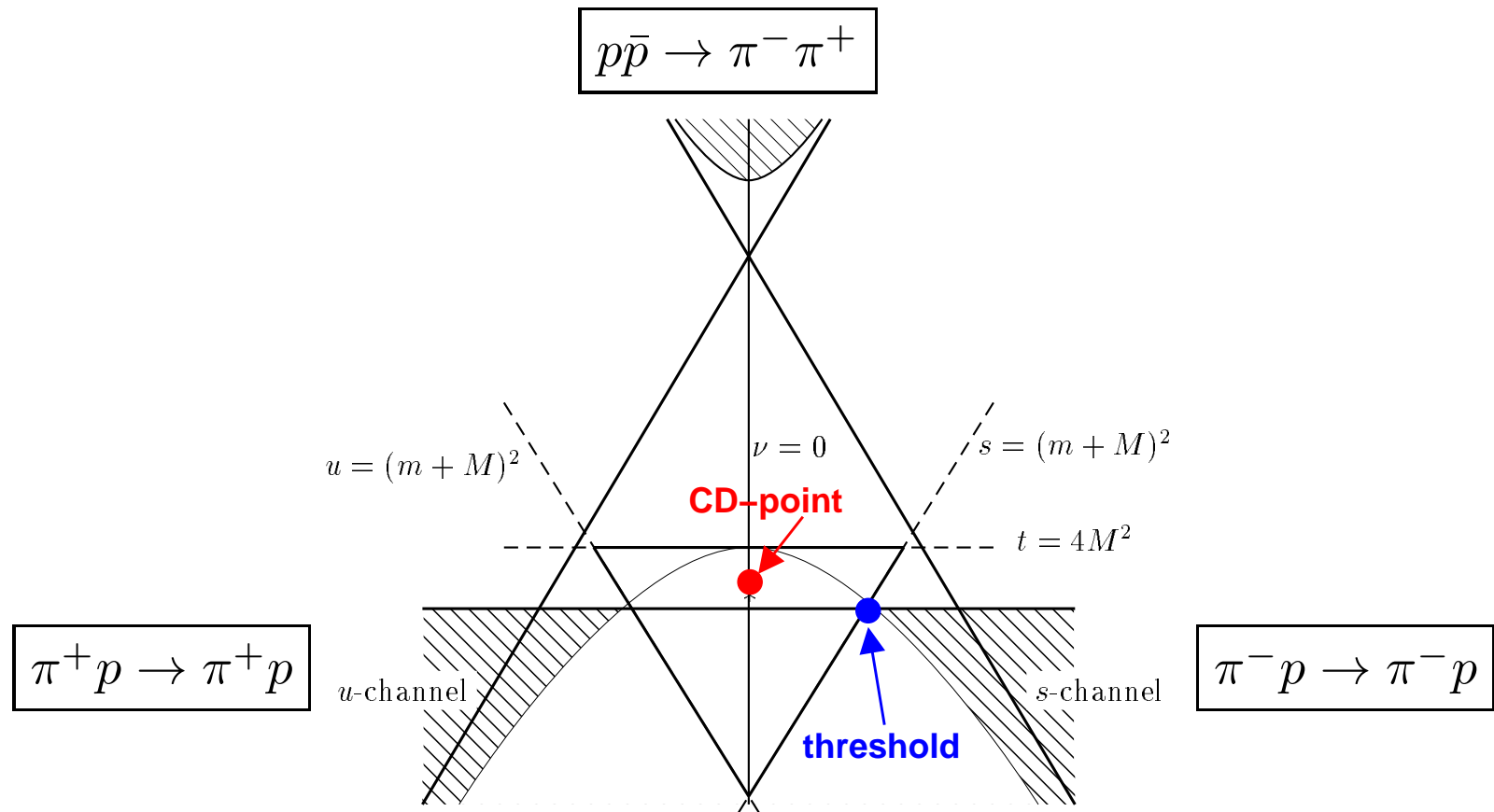
- example: $\pi\pi$ $I = 0$ S-wave phase shift & inelasticity



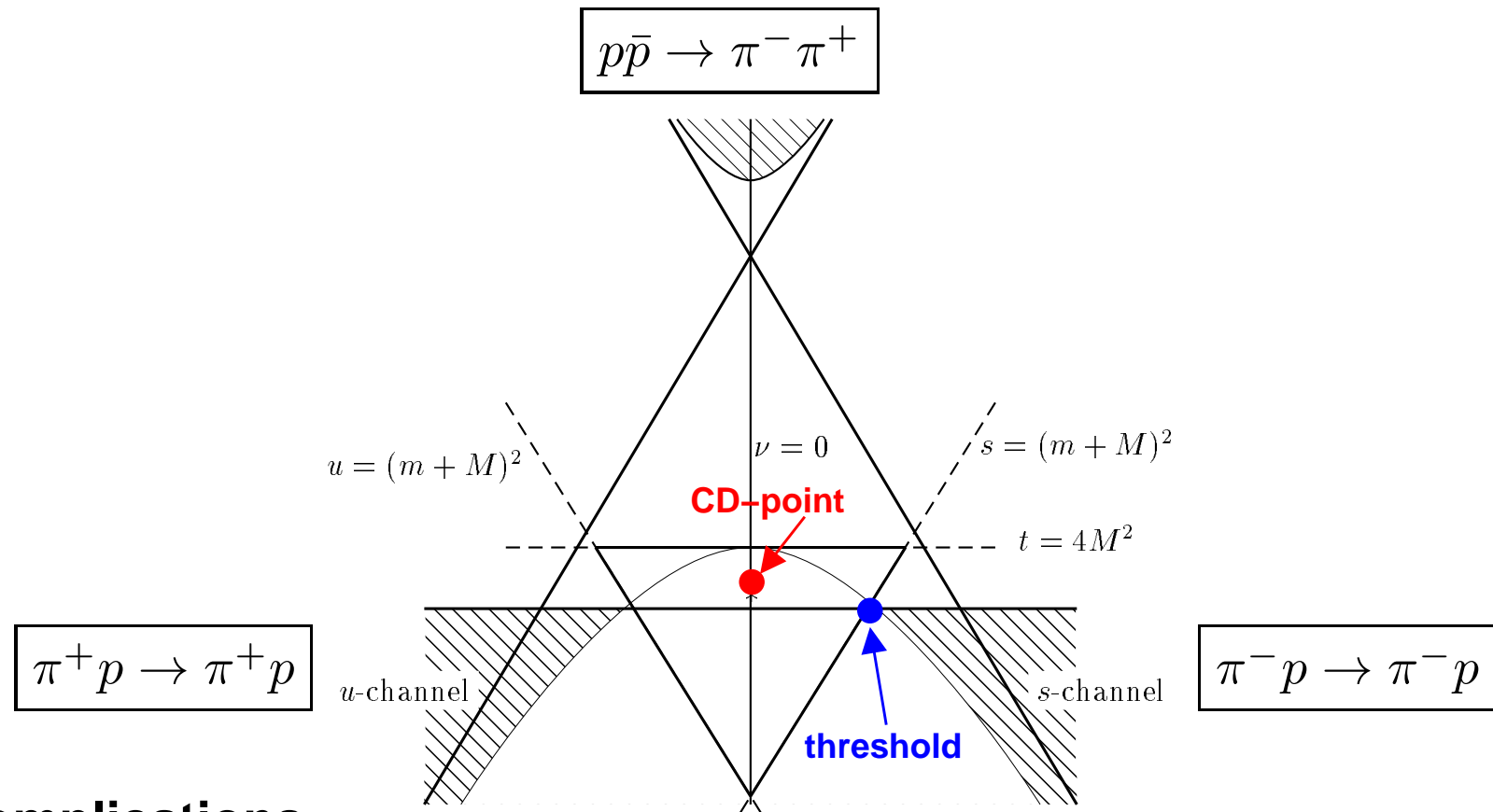
García-Martín et al. 2011

→ strong constraints on data from analyticity and unitarity!

Pion–nucleon scattering, crossing symmetry



Pion–nucleon scattering, crossing symmetry



Complications

- crossing links two **different** processes, $\pi N \rightarrow \pi N$ and $\pi\pi \rightarrow \bar{N}N$
 → use **hyperbolic** (instead of fixed- t) DR (Roy–**Steiner**)
- large pseudophysical region in the t -channel: $t = 4M_\pi^2 \rightarrow 4m_N^2$,
 $\bar{K}K$ intermediate states ($f_0(980)$)

Roy–Steiner equations for pion–nucleon scattering

Limited range of validity:

$$\sqrt{s} \leq \sqrt{s_m} = 1.38 \text{ GeV}$$

$$\sqrt{t} \leq \sqrt{t_m} = 2.00 \text{ GeV}$$

Input / constraints:

- S-, P-waves **above** matching point $s > s_m$ ($t > t_m$)
- inelasticities
- higher waves (D-, F-...)
- scattering lengths from hadronic atoms [Baru et al. 2011](#)

Output:

- S- and P-waves at low energies $s < s_m$, $t < t_m$
- subthreshold parameters
 - ▷ pion–nucleon σ -term
 - ▷ nucleon form factor spectral functions

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Important analysis steps:

- full analytic system [Ditsche, Hoferichter, BK, Meißner 2012](#)
- improved t -channel S-wave ($\pi\pi \leftrightarrow \bar{K}K \leftrightarrow \bar{N}N$)
[Hoferichter, Ditsche, BK, Meißner 2012](#)
- solving for the s -channel πN partial waves + self-consistent iteration
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Pionic atoms and pion–nucleon scattering lengths

Measurements of πH and πD

PSI 1995-2010; see talk by D. Gotta

$$\epsilon_{1s} = (7.120 \pm 0.012) \text{ eV} \quad \Gamma_{1s} = (0.823 \pm 0.019) \text{ eV} \quad \epsilon_{1s}^D = (2.356 \pm 0.031) \text{ eV}$$

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Theory to match this accuracy requires

- isospin breaking in πN
- three-body corrections in πD
- isospin breaking in πD

Hoferichter, BK, Meißner 2009

Weinberg 1992, ...

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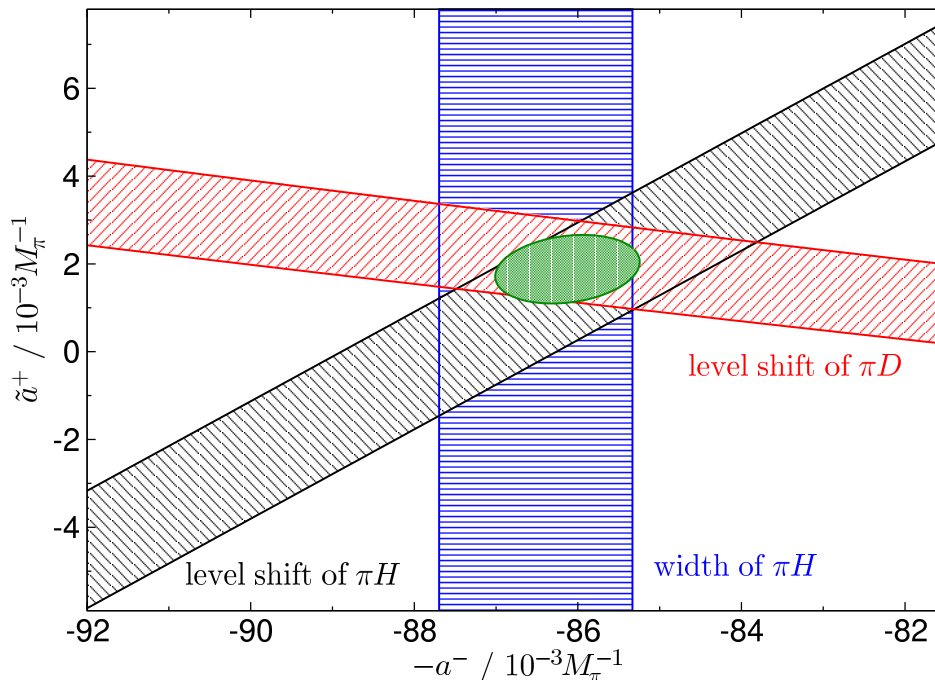
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$$a_0^- = (86.1 \pm 0.9) \cdot 10^{-3} M_\pi^{-1}$$

$$a_0^+ = (7.6 \pm 3.1) \cdot 10^{-3} M_\pi^{-1}$$

but: $\frac{1}{2} (a_{\pi^- p} + a_{\pi^+ p})$
 $= (-1.1 \pm 0.9) \cdot 10^{-3} M_\pi^{-1}$

→ large isospin-breaking effects in isoscalar sector

Baru et al. 2011

Solving the coupled system: paradigms, uncertainties

An update on Karlsruhe–Helsinki (KH) with modern input

- πN scattering lengths extracted from hadronic atoms
- Goldberger–Miyazawa–Oehme sum rule from those:

$$g_{\pi N}^2/4\pi = 13.7 \pm 0.2 \quad \text{Baru et al. 2011}$$

in perfect agreement with NN extractions Navarro Pérez et al. 2016

compare: $g_{\pi N}^2/4\pi = 14.28$ Höhler 1983

→ check: always reproduce KH results with KH input

- modern s -channel partial waves from SAID above s_m

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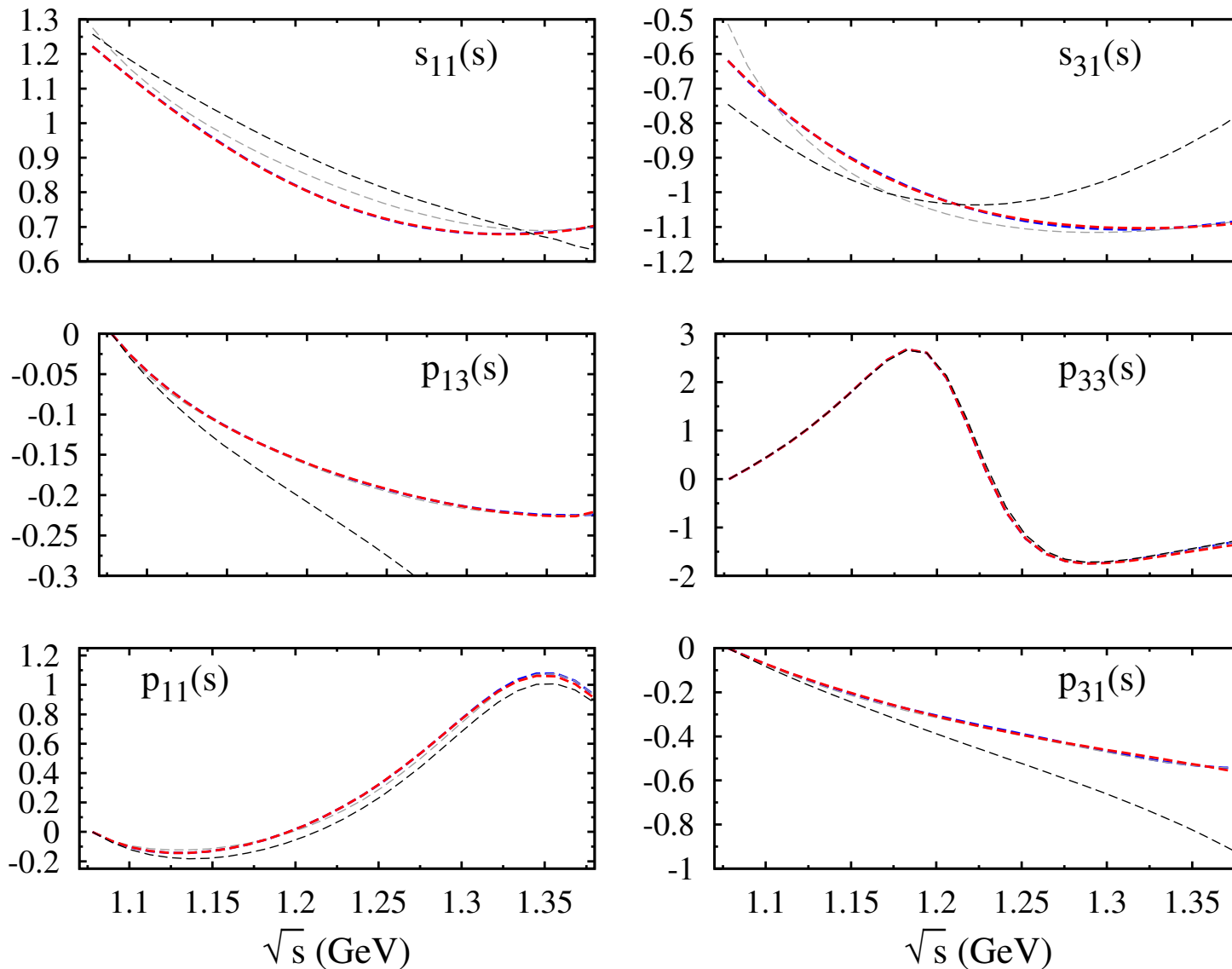
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Dominant uncertainties

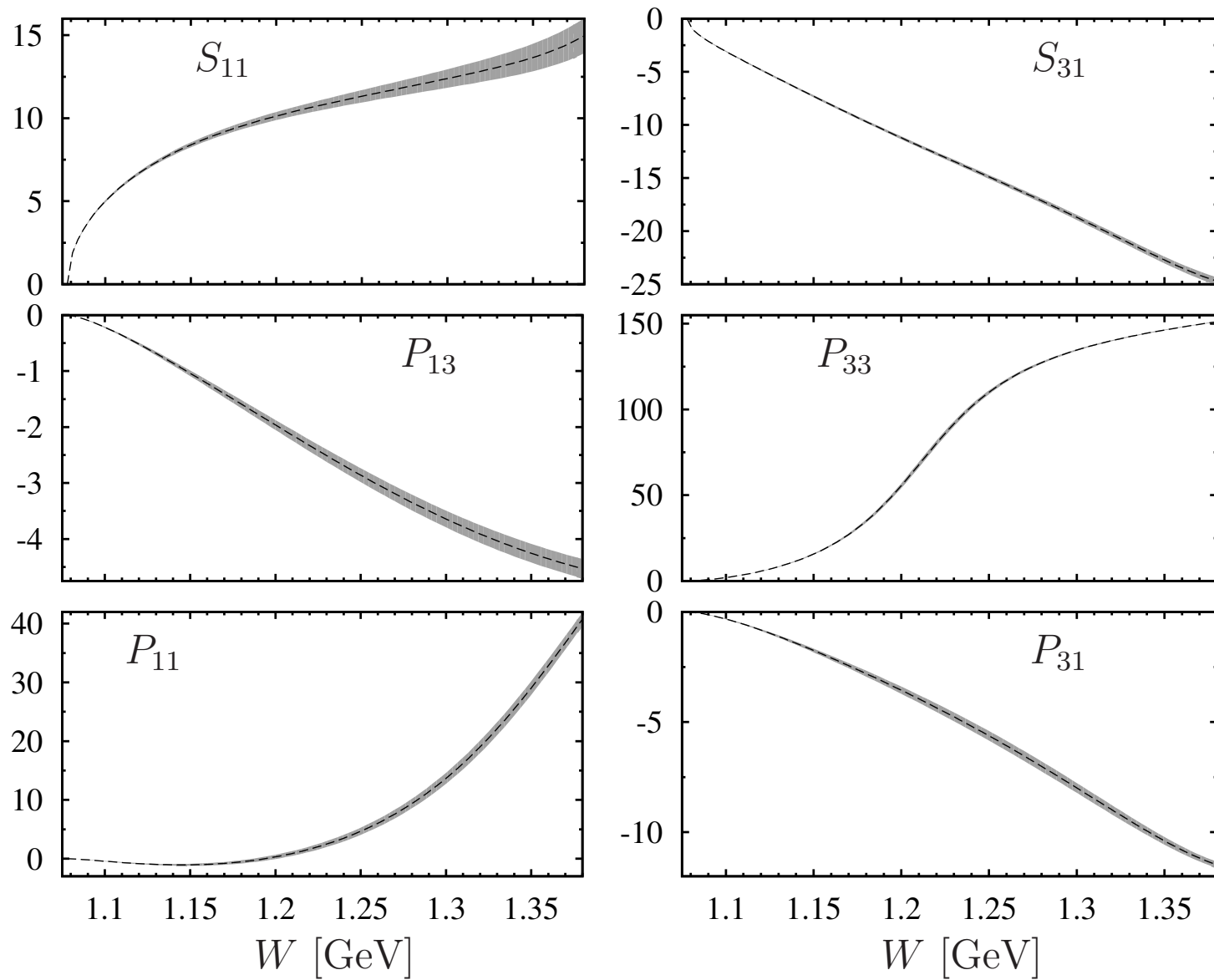
- near threshold: S-wave scattering lengths
- intermediate energies: significant correlations between 10 subtraction constants = subthreshold parameters ("flat minima")
- "large" energies: matching point uncertainties
- rather well under control: high-energy input, higher partial waves

Results: s-channel solution

LHS+RHS of Roy–Steiner eqs. *before* / **LHS+RHS** *after* fit/iteration

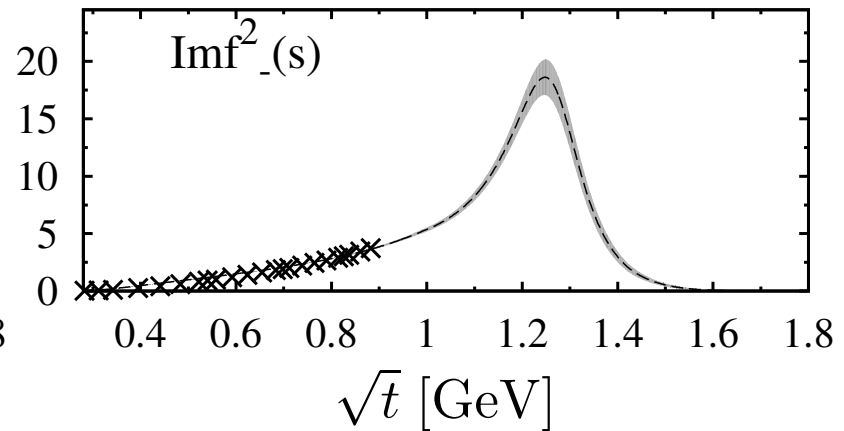
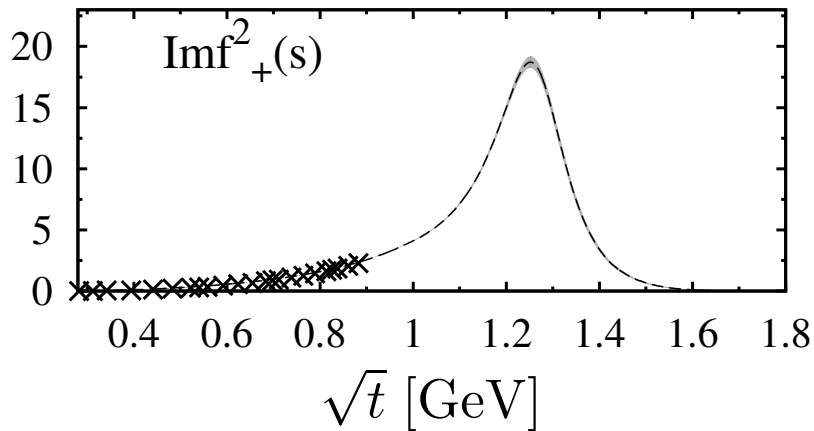
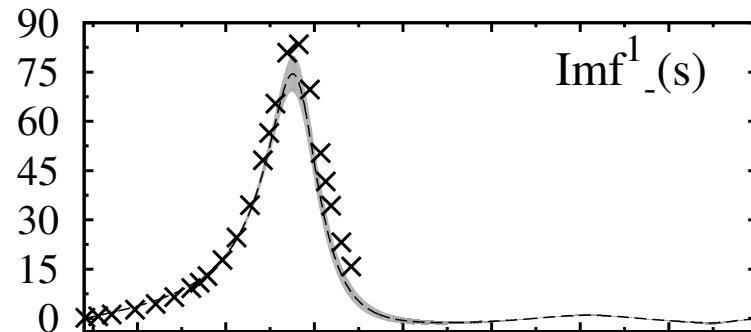
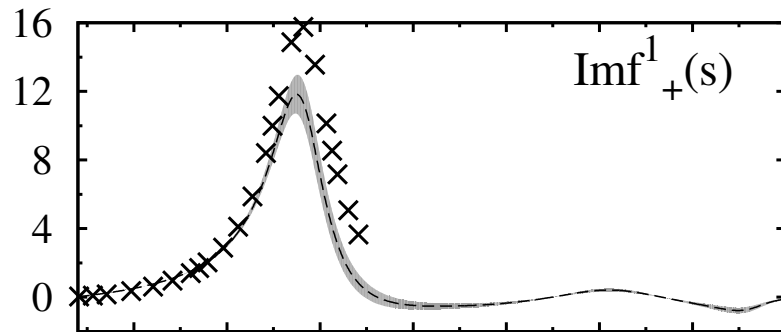
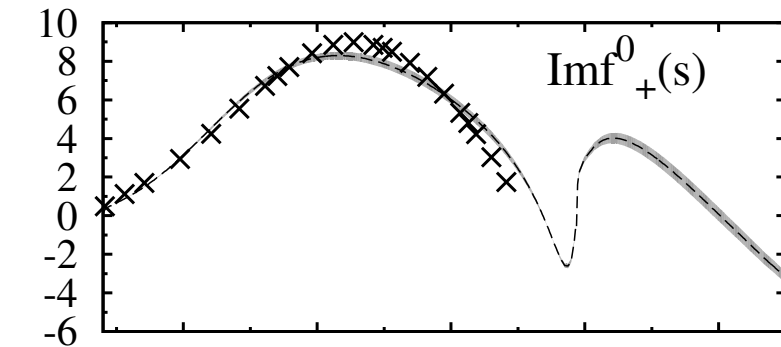


Results: s-channel solution, uncertainties



Hoferichter, Ruiz de Elvira, BK, Meißner 2015

Results: t -channel S-, P-, D-waves (compared to KH)



Results for the σ -term

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$$d_{00}^+ = -1.36(3)M_{\pi}^{-1} \quad [\text{KH: } -1.46(10)M_{\pi}^{-1}]$$

$$d_{01}^+ = 1.16(2)M_{\pi}^{-3} \quad [\text{KH: } 1.14(2)M_{\pi}^{-3}]$$

- $\Delta_D - \Delta_{\sigma} = (-1.8 \pm 0.2) \text{ MeV}$ Hoferichter et al. 2012
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- KH input $\longrightarrow \sigma_{\pi N} \approx 46 \text{ MeV}$ Gasser, Leutwyler, Sainio 1991
- compare also $\sigma_{\pi N} \approx (64 \pm 8) \text{ MeV}$ Pavan et al. 2002

Comparison to lattice results – a puzzle (1)

- 4 new lattice calculations of $\sigma_{\pi N}$ at physical M_π since
Hoferichter, Ruiz de Elvira, BK, Meißner 2015

$\sigma_{\pi N}$ [MeV]	collaboration	tension to RS
38(3)(3)	BMW 2015	3.8σ
44.4(3.2)(4.5)	χ QCD 2015	2.2σ
37.2(2.6) $\left(\begin{smallmatrix} +1.0 \\ -0.6 \end{smallmatrix}\right)$	ETMC 2016	4.9σ
35.0(6.1)	RQCD 2016	3.4σ

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- robust correlation between $\sigma_{\pi N}$ and scattering lengths:

$$\sigma_{\pi N} = (59.1 \pm 3.1) \text{ MeV} + \sum_I c_I (a_0^I - \bar{a}_0^I),$$

$$c_{1/2} = 0.242 \text{ MeV} \times 10^3 M_\pi$$

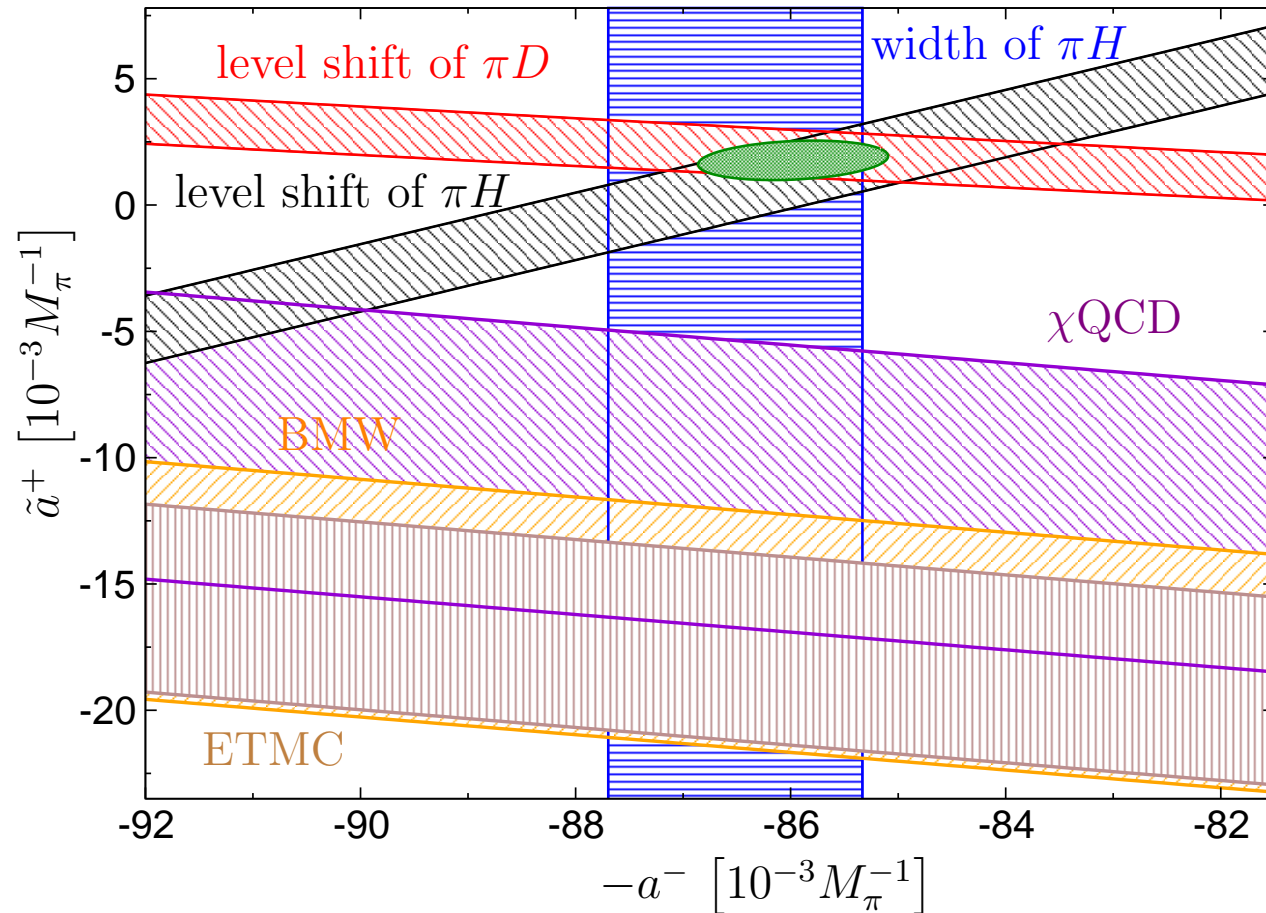
$$c_{3/2} = 0.874 \text{ MeV} \times 10^3 M_\pi$$

$$\bar{a}_0^{1/2} = (169.8 \pm 2.0) \times 10^{-3} M_\pi^{-1} \quad \bar{a}_0^{3/2} = (-86.3 \pm 1.8) \times 10^{-3} M_\pi^{-1}$$

→ expansion around reference values from πH and πD

Comparison to lattice results – a puzzle (2)

- lattice $\sigma_{\pi N}$ as additional constraint in scattering lengths plane



→ lattice $\sigma_{\pi N}$ clearly at odds with hadronic atoms results

→ suggestion: determine πN scattering lengths on the lattice

Hoferichter, Ruiz de Elvira, BK, Meißner 2016

Comparison with experimental cross section data base

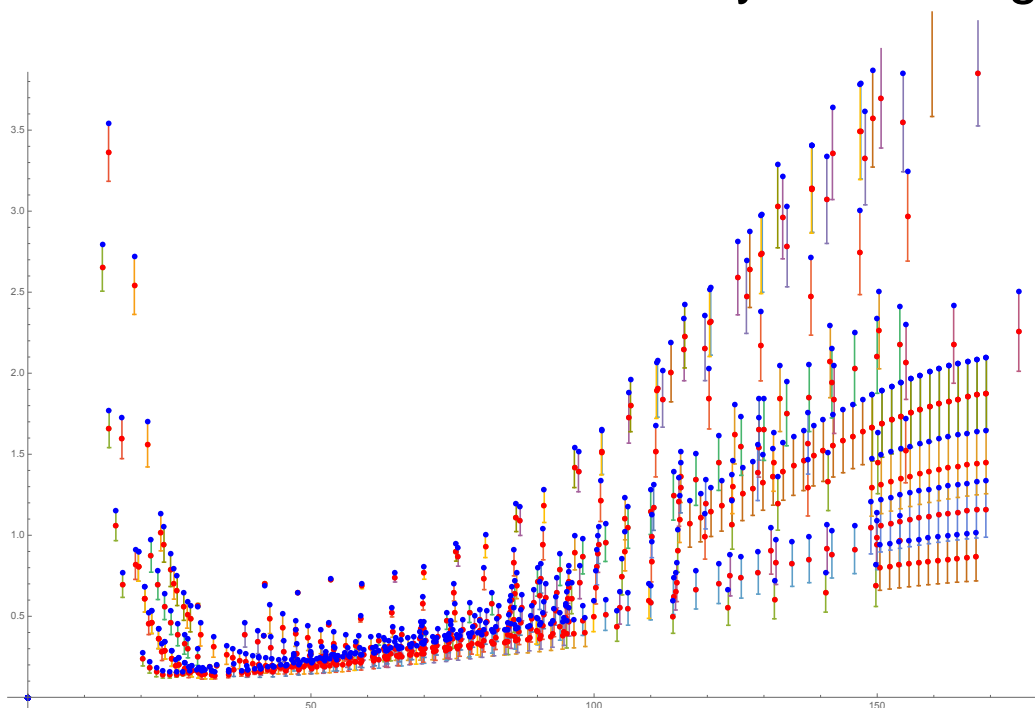
	HA/RS	KH80	
$a_{0+}^{1/2} [10^{-3} M_{\pi}^{-1}]$	169.8 ± 2.0	173 ± 3	
$a_{0+}^{3/2} [10^{-3} M_{\pi}^{-1}]$	-86.3 ± 1.8	-101 ± 4	$\longrightarrow \pi^+ p \rightarrow \pi^+ p$

- generate RS solutions for different scattering lengths
- uncertainties dominated by scatt. lengths below $T_{\pi} = 50$ MeV

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	HA/RS	KH80	
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$$\chi_{\text{HA}}^2/\text{d.o.f.} \approx 0.8$$

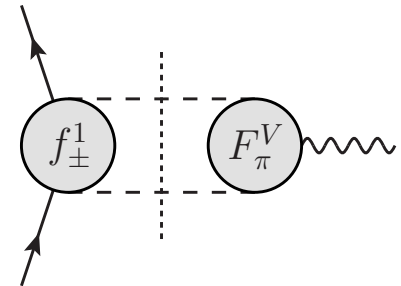
$$\chi_{\text{KH80}}^2/\text{d.o.f.} \approx 4.7$$

\longrightarrow scatt. lengths from had. atoms compatible with low-energy πN scatt. data

preliminary!

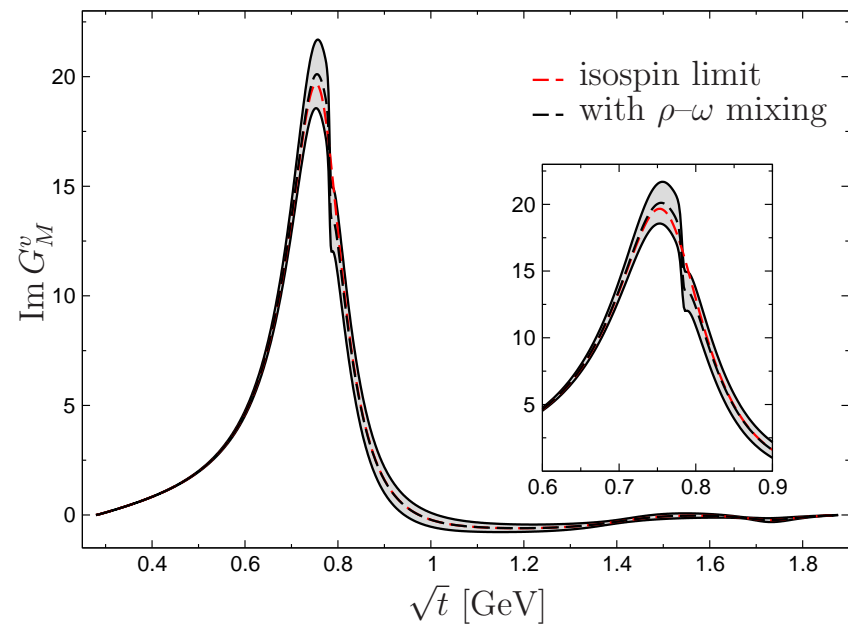
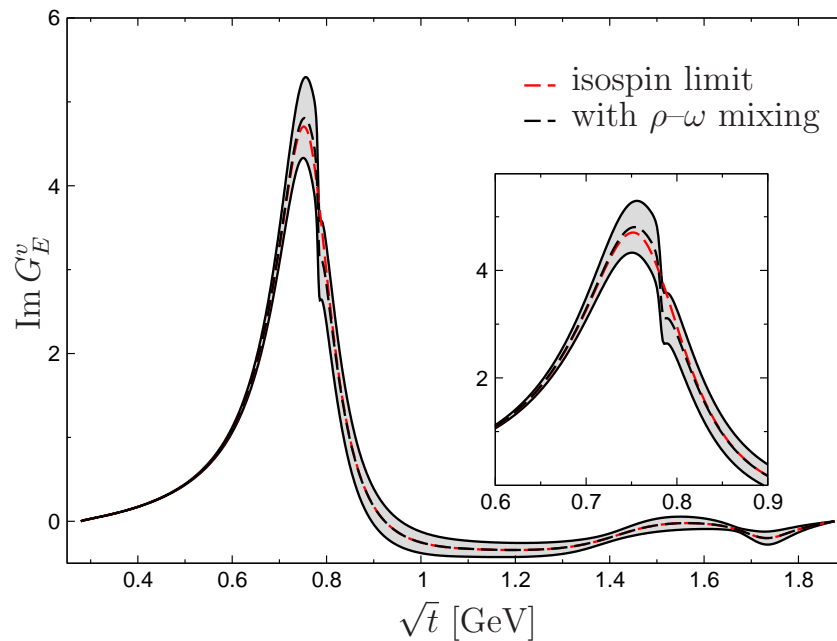
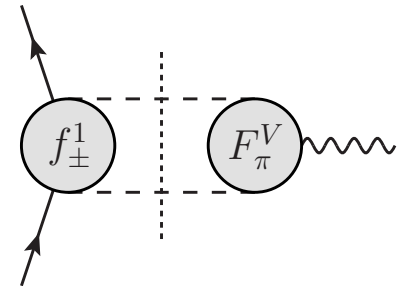
Nucleon form factor spectral functions

- $\pi\pi \rightarrow \bar{N}N$ partial waves + pion form factor
→ $\pi\pi$ contrib. to **isovector spectral function**
- consistent $\pi\pi$ phase shifts in f_1^\pm and F_π^V
- modern pion form factor data BaBar 2009, KLOE 2012, BESIII 2015
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Hoferichter, BK, Ruiz de Elvira, Hammer, Meißner 2016

$\pi\pi$ continuum and proton radius puzzle

- **sum rules** for isovector radii: $\langle r_{E/M}^2 \rangle^v = \frac{6}{\pi} \int_{4M_\pi^2}^{\Lambda} dt' \frac{\text{Im } G_{E/M}^v(t')}{t'^2}$

	$\Lambda = 1 \text{ GeV}$	$\Lambda = 2m_N$
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reduces radii by $\Delta \langle r_E^2 \rangle^v = -(0.006 \dots 0.008) \text{ fm}^2$

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- with $\langle r_E^2 \rangle^n = -0.1161(22) \text{ fm}^2$ (n scattering on heavy atoms):

proton radius puzzle $\hat{=}$ isovector radius puzzle

$\langle r_E^2 \rangle^v = 0.412 \text{ fm}^2$ (μH) vs. $\langle r_E^2 \rangle^v = 0.442 \text{ fm}^2$ (CODATA)

→ mild preference for **small proton charge radius**

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Chiral low-energy constants

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	LO	NLO	NNLO
$c_1 [\text{GeV}^{-1}]$	-0.74 ± 0.02	-1.07 ± 0.02	-1.11 ± 0.03
$c_2 [\text{GeV}^{-1}]$	1.81 ± 0.03	3.20 ± 0.03	3.13 ± 0.03
$c_3 [\text{GeV}^{-1}]$	-3.61 ± 0.05	-5.32 ± 0.05	-5.61 ± 0.06
$c_4 [\text{GeV}^{-1}]$	2.17 ± 0.03	3.56 ± 0.03	4.26 ± 0.04

- subthreshold errors tiny, chiral expansion dominates uncertainty
- higher-order LECs much more problematic **see talk by D. Siemens**

Summary

Pion–nucleon Roy–Steiner equations

- allow to determine low-energy πN scattering with precision
 - ▷ obeying analyticity, unitarity, crossing symmetry
 - ▷ new input on scattering lengths from **hadronic atoms**
- provide πN phase shifts with **systematic uncertainties**
- phenomenological determination of **sigma term**:

$$\sigma_{\pi N} = 59.1 \pm 3.5 \text{ MeV}$$

currently at odds with lattice QCD results

- consistency check: Karlsruhe–Helsinki input leads to Karlsruhe–Helsinki results
- t -channel \longrightarrow **nucleon form factor spectral functions**
sum rules for isovector radii \longrightarrow **proton radius puzzle**
- **chiral low-energy constants** obtained algebraically from **subthreshold coefficients** \longrightarrow to be used in chiral NN potentials

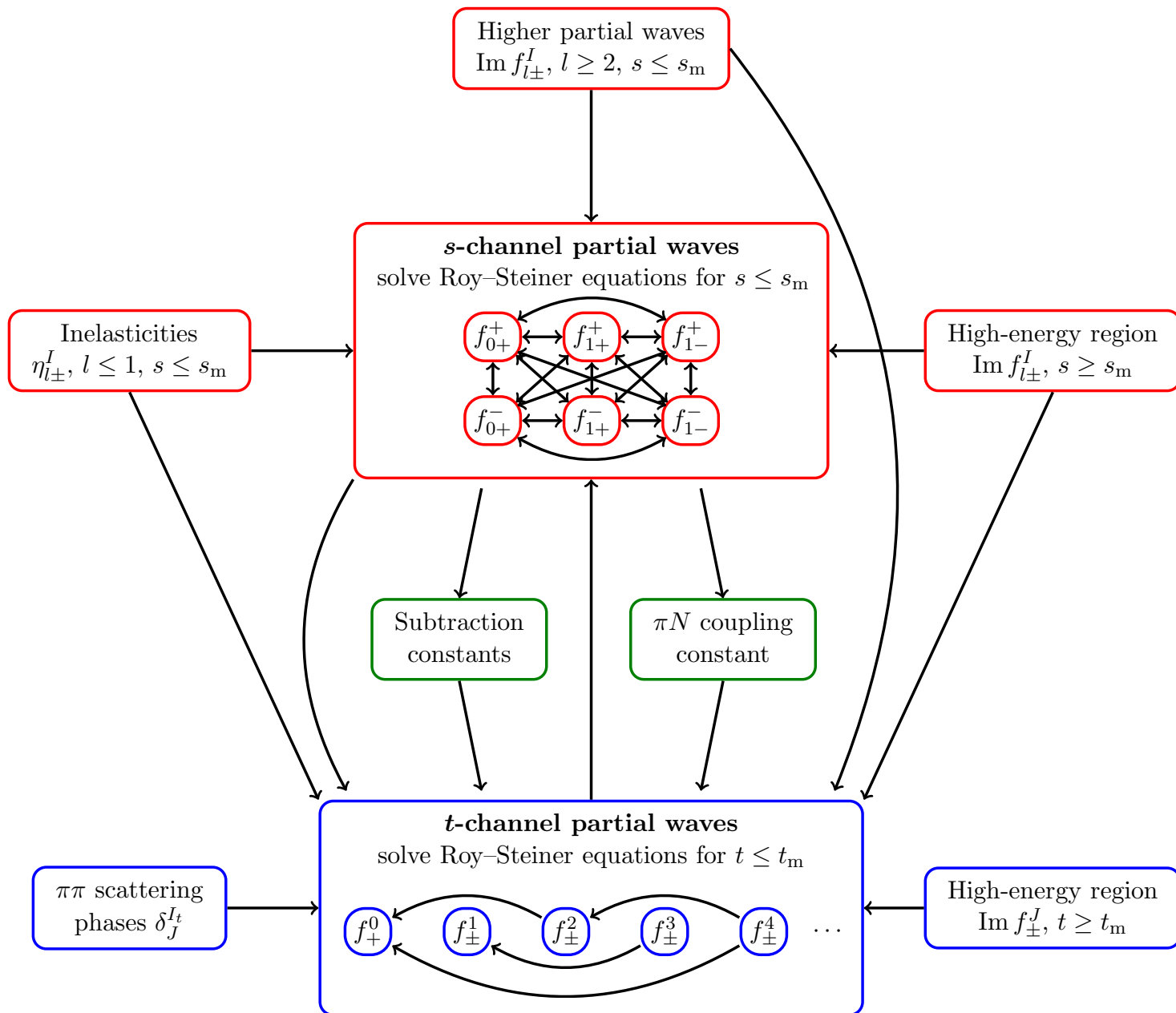
Spares

Roy–Steiner equations: subtractions

	$m_{f_{0+}^{1/2}}$	$m_{f_{0+}^{3/2}}$	$m_{f_{1+}^{1/2}}$	$m_{f_{1+}^{3/2}}$	$m_{f_{1-}^{1/2}}$	$m_{f_{1-}^{3/2}}$	m
$W_+ \leq W_m \leq 1.20 \text{ GeV}$	0	-1	-1	0	-1	-1	-4
$1.20 \text{ GeV} \leq W_m \leq 1.23 \text{ GeV}$	0	-1	-1	0	0	-1	-3
$1.23 \text{ GeV} \leq W_m \leq 1.52 \text{ GeV}$	0	-1	-1	1	0	-1	-2
$1.52 \text{ GeV} \leq W_m \leq 1.69 \text{ GeV}$	0	-1	-1	1	1	-1	-1
$1.69 \text{ GeV} \leq W_m \leq 1.80 \text{ GeV}$	1	-1	-1	1	1	-1	0

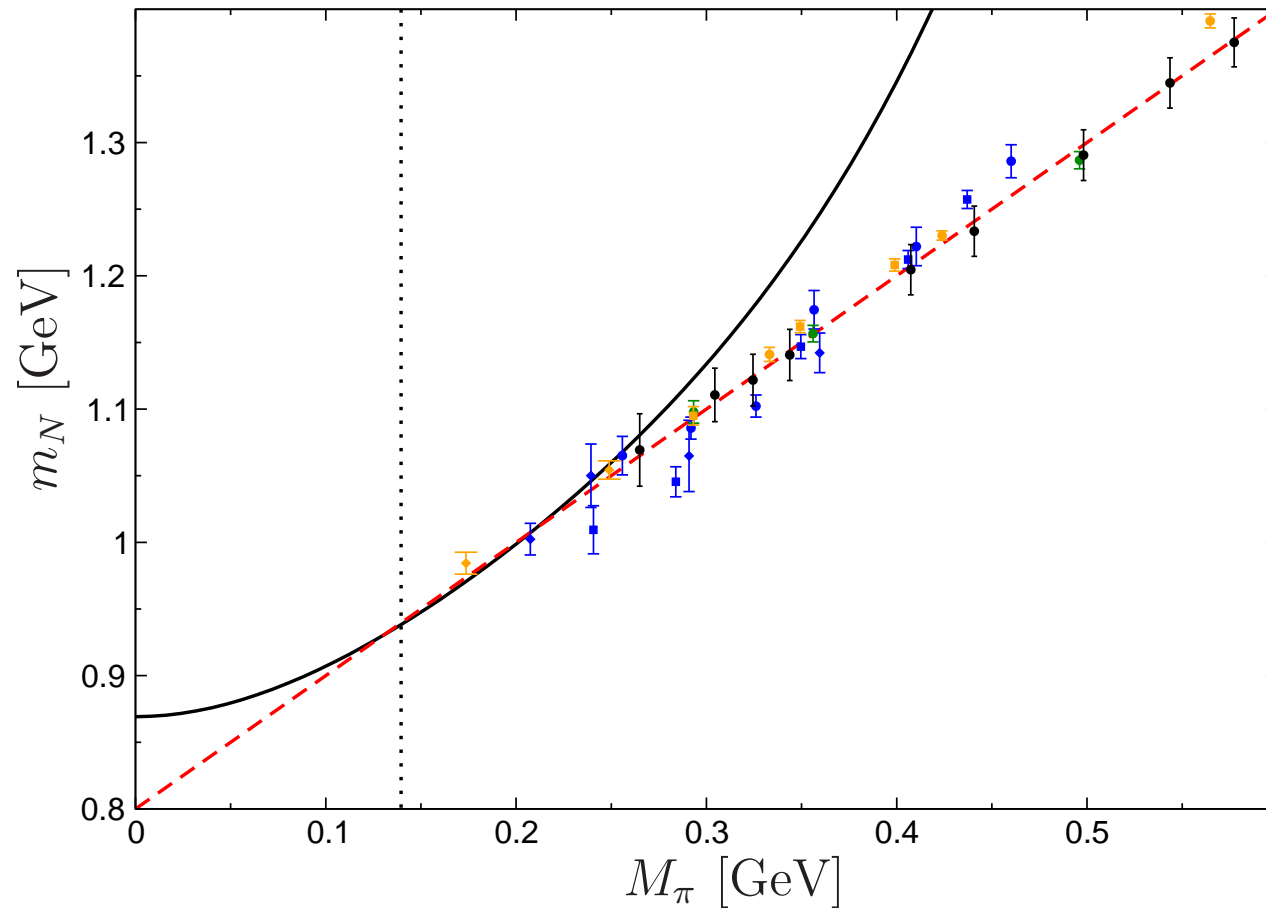
- $m = -2$ at $W_m = 1.38 \text{ GeV}$
 + 6 no-cusp conditions for 2 S - and 4 P -waves
 → 8 free parameters (subtraction constants = subthreshold parameters) to guarantee unique solution
- 2 S -wave scattering lengths as additional constraints
 → need 10 free parameters
- correspond to (partially) 3 subtractions

Roy–Steiner equations: information flowchart



The “ruler plot” vs. ChPT

- pion mass dependence of m_N , using
 - ▷ c_1 from subthreshold matching to Roy–Steiner solution
 - ▷ combination of e_i from $\sigma_{\pi N}$



thanks to A. Walker-Loud for providing the lattice data

Nucleon strangeness

- relate $\sigma_{\pi N}$ to strangeness content of the nucleon:

$$\sigma_{\pi N} = \frac{\hat{m}}{2m_N} \frac{\langle N | \bar{u}u + \bar{d}d - 2\bar{s}s | N \rangle}{1 - y}, \quad y = \frac{2\langle N | \bar{s}s | N \rangle}{\langle N | \bar{u}u + \bar{d}d | N \rangle}$$

$(m_s - \hat{m})(\bar{u}u + \bar{d}d - 2\bar{s}s) \subset \mathcal{L}_{\text{QCD}}$ produces SU(3) mass splittings:

$$\sigma_{\pi N} = \frac{\sigma_0}{1 - y}, \quad \sigma_0 = \frac{\hat{m}}{m_s - \hat{m}} (m_{\Xi} + m_{\Sigma} - 2m_N) \simeq 26 \text{ MeV}$$

higher-order corrections: $\sigma_0 \rightarrow (36 \pm 7) \text{ MeV}$ [Borasoy, Meißner 1997](#)

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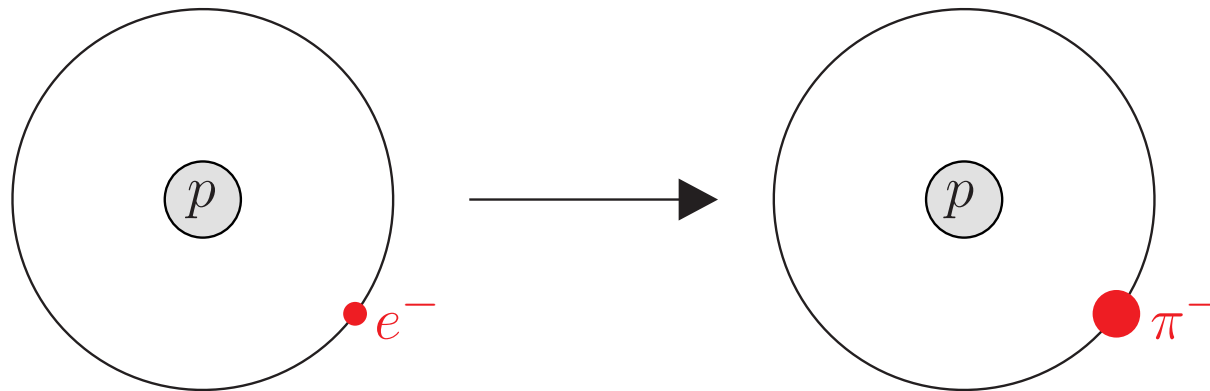
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- **conclusion:**
 - ▷ $\sigma_{\pi N} = (59.1 \pm 3.5) \text{ MeV}$ not incompatible with small y
 - ▷ chiral convergence of σ_0 (hence $\langle N | \bar{s}s | N \rangle$) very doubtful

Pionic atoms and pion–nucleon scattering lengths

cf. Gasser, Lyubovitskij, Rusetsky 2008

- pionic hydrogen πH , pionic deuterium πD : atoms with $e^- \rightarrow \pi^-$
calculate energy levels as for hydrogen in quantum mechanics!



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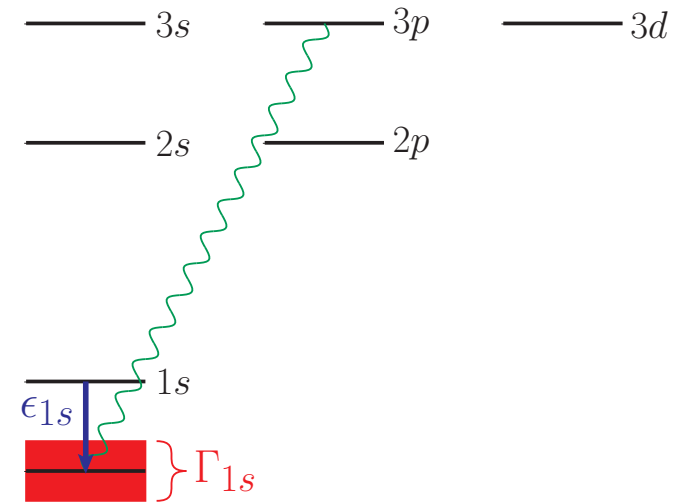
- energy levels **perturbed** by strong interactions:

▷ ground state instable, **decays**:

$$\pi^- p \rightarrow \pi^0 n \longrightarrow \text{width } \Gamma_{1s}$$

▷ ground state **energy shift** ϵ_{1s}

- linked to πN scattering at threshold:



$$\epsilon_{1s} \propto T(\pi^- p \rightarrow \pi^- p) \propto a_0^+ + a_0^-$$

$$\Gamma_{1s} \propto |T(\pi^- p \rightarrow \pi^0 n)|^2 \propto |a_0^-|^2$$

Deser, Goldberger, Baumann, Thirring 1954

- πD : add. information from energy shift (diff. isospin combination)