

Non-Geometric Heterotic Compactifications from Geometric F-Theory Vacua

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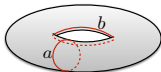
String Theory Seminar,
CERN

Motivation

- ▶ To understand landscape of string vacua need to go away from lamppost and look at non-geometric string compactifications too;
- ▶ Because (most probably) amount of such vacua is much larger than geometric ones;
- ▶ Step in this direction is understanding of following 6d heterotic vacua and dualities among them;

Heterotic String Theory on T^2 I

- ▶ From compactification of het. string on T^2 obtain following moduli in 8d:
 - ▶ complexified Kähler modulus: $\rho = \int_{T^2} B + \omega \wedge \bar{\omega}$ with $\omega(G)$;
 - ▶ complex structure modulus: $\tau = \frac{\int_b \omega}{\int_a \omega}$;



- ▶ Wilson line moduli: $\beta^i = \int_a A^i + i \int_b A^i$ where i runs over Cartans;
- ▶ Moduli space of het. torus compactification is (Narain space):

$$O(2) \times O(2 + n_{WL}) \backslash O(2, 2 + n_{WL}) / O(2, 2 + n_{WL}, \mathbb{Z});$$

[Narain '86]

Main case of interest: $n_{WL} = 1$ and $n_{WL} = 0$;

Heterotic String Theory on T^2 II

- ▶ For $n_{WL} = 1$, above Narain space can be mapped to Siegel upper half plane of genus two

$$\mathbb{H}_2 = \left\{ \Omega = \begin{pmatrix} \tau & \beta \\ \beta & \rho \end{pmatrix} \mid \Im(\det(\Omega)) > 0 \wedge \Im(\rho) > 0 \right\}$$

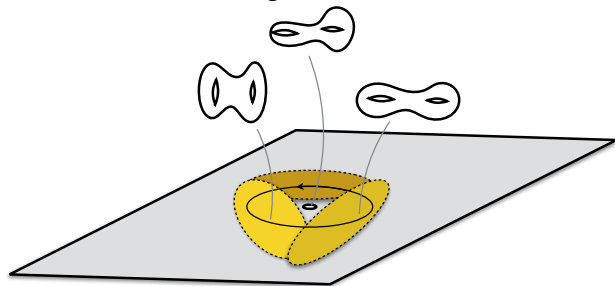
quotient by $Sp(4, \mathbb{Z})$ -action $\Omega \rightarrow (A\Omega + B)(C\Omega + D)^{-1}$ with

$$\begin{pmatrix} A & B \\ C & D \end{pmatrix} \in Sp(4, \mathbb{Z});$$

- ▶ Should note, map is not bijective, only on $O(2) \times O(3) \backslash O(2, 3) / SO^+(2, 3, \mathbb{Z})$; [Vinberg '13, Malmendier&Morrison '14]
- ▶ Above moduli fields are entries of Ω ;
- ▶ $\mathbb{H}_2 / Sp(4, \mathbb{Z})$ is (complex structure) moduli space of genus two curves, i.e. $\Omega_{ij} = \int_{b_i} \omega_j / \int_{a_i} \omega_j$ with a_i, b_i cycles of $\mathcal{C}_{g=2}$ and ω_i holomorphic one-forms;

Genus Two Fibration I

- ▶ Interested in vacua with non-trivial moduli fields background; Let torus compactification vary (adiabatically) along two real dimensions;
- ▶ Allow for stringy (patching) dualities, i.e. identifications under $Sp(4, \mathbb{Z})$ action;
- ▶ Like in F-theory, geometrify information of varying fields in terms of fibration, i.e. genus two fibration;



- ▶ That way end up with non-geometric compactification; Allow for identifications with inverse of metric, or even total mixing of three moduli τ , ρ and β ;

Genus Two Fibration II

- ▶ To fulfil (susy) EOM, fibration has to be holomorphic; Hence, degenerates at (complex) co-dim one loci;
- ▶ Degeneration points are location of quotient singularities, non-pert. objects like NS5 branes, or more generally T-fects;
- ▶ All degenerations of genus two curves are classified;
[Ogg '66, Namikawa&Ueno '73]
- ▶ Natural question: can we find identification/interpretation of physical objects at all these degenerations?
- ▶ Use (duality to) F-theory to answer this question;

Duality with F-Theory I

- ▶ Reminder: F-theory is IIB with varying axio-dilaton; Axio-dilaton is encoded in complex structure of elliptic curve/torus over every point of 10d space-time, i.e. elliptic fibration; [Vafa '96]
- ▶ Het. string on T^2 and F-Theory on elliptically fibered K3 are dual to each other; [Morrison&Vafa '96]
- ▶ Duality is best understood in large volume/stable degeneration limit; [Morrison&Vafa '96] At this point in moduli space, base \mathbb{P}^1 of K3 splits in two; The het. data, i.e. τ and β^i ($\rho \rightarrow i\infty$), can be read off from intersection of two components of degenerated K3; [Friedman et al. '97, Berglund&Mayr '98]
- ▶ But for $n_{WL} = 0$ and $n_{WL} = 1$, there is even identification in terms of moduli space; [Cardoso '96, McOrist et al. '10, Malmendier&Morrison '14]

Duality with F-Theory II

- ▶ For both cases ($n_{WL} = 0, 1$) F-Theory K3 given by

$$y^2 = x^3 + (a u^4 + c u^3) x + (b u^6 + d u^5 + e u^7);$$

- ▶ K3 has II^* sing. at $u = \infty$ and III^* sing. (or II^* in case of $c = 0$) at $u = 0$; Therefore, Picard number of K3 is 17 ($n_{WL} = 1$) or 18 ($n_{WL} = 0$), respectively;
- ▶ Moduli spaces agree with het. ones and can even be mapped:
 - ▶ $n_{WL} = 1$ ($e = 1$): $a = -\frac{1}{48}\psi_4(\Omega)$, $b = -\frac{1}{864}\psi_6(\Omega)$,
 $c = -4\chi_{10}(\Omega)$, $d = \chi_{12}(\Omega)$;
Siegel modular forms ψ_4 , ψ_6 , χ_{10} and χ_{12} fix Ω uniquely;
 - ▶ $n_{WL} = 0$ ($c = 0$): $j(\tau)j(\rho) = -1728^2 \frac{a^3}{27de}$,
 $(j(\tau) - 1728)(j(\rho) - 1728) = 1728^2 \frac{b^2}{4de}$ and $\beta = 0$;

Duality with F-Theory III

- ▶ Therefore, have identification of $E_8 \times E_7$ K3 with genus two curve, and identification of $E_8 \times E_8$ K3 with two tori glued together at one point (degenerated genus two curve);
- ▶ Further, if genus two curve is given in terms of sextic, i.e.

$$y^2 = c_6x^6 + c_5x^5 + \dots ,$$

then a, b, c, d of K3 are simply given by Igusa-Clebsch invariants of septic, i.e. polynomials of coefficients c_i ;

- ▶ Fortunately, all degenerations of genus two curves are in this form; Therefore, can easily map them to (singularities of) K3;
- ▶ Note, to go from K3 to representation of hyperelliptic curve is more involved;

Resolutions of F-Theory Side

- ▶ Degeneration of hyperelliptic curve is parametrised by t , i.e. c_i vary with t , with singular curve at $t = 0$;
- ▶ From c_i 's obtain a, b, c, d , which are then functions (sections) of t too;
- ▶ On K3 (fibre) have already III^* singularity at $u = 0$ which will enhance at $u = t = 0$ to non-min./beyond Kodaira type sing.;
- ▶ Need to blow up base to resolve such singularities; [Miranda '83, Grassi '93, Aspinwall&Morrison '97]
- ▶ To determine which base blow-ups must be done, take sort of toric approach; Write down f and g in terms of its (leading) monomials in u and t ,

$$f = \sum_i f_i u^{m_i^1} t^{m_i^2}, \quad g = \sum_i g_i u^{l_i^1} t^{l_i^2},$$

and ask for allowed 'blow-up direction' \mathbf{n} such that hypersurface

$$y^2 = x^3 + f x + g$$

is still CY;

Example: III-III

- ▶ The genus two curve is given by

$$y^2 = x(x-1)(x^2+t) [(x-1)^2+t] .$$

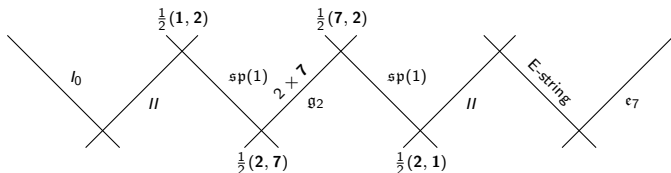
- ▶ Note again, degeneration at $t \rightarrow 0$;
- ▶ Monodromies around $t=0$ are

$$\tau \rightarrow \frac{\rho}{\beta^2 - \rho\tau}, \quad \beta \rightarrow -\frac{\beta}{\beta^2 - \rho\tau}, \quad \rho \rightarrow \frac{\tau}{\beta^2 - \rho\tau};$$

- ▶ From map, obtain following CY3 singularity (to leading orders):

$$y^2 = x^3 + [t^6 u^3 + t^2 u^4] x + t^4 u^6 + t^6 u^5 + u^7;$$

- ▶ Get following resolved geometry:



Dual Theories

- ▶ Having mapped all genus two degenerations to F-Theory, can search for dual theories;
- ▶ In subclass of elliptic models, obtain the following dual theories:

$\mu(I_{10})$	dual models
2	$[I_0 - II]_{0112}$
3	$[I_0 - III]_{0113}$
4	$[I_0 - IV]_{0224}, [II - II]_{0224}$
5	$[IV - I_1]_{0325}, [II - III]_{0225}$
6	$[I_0 - I_0^*]_{0226}, [III - III]_{0226}, [IV - II]_{0336}$
7	$[I_0^* - I_1]_{0227}, [IV - III]_{0337}$
8	$[I_0 - IV^*]_{0448}, [IV - IV]_{0448}, [I_0^* - II]_{0338}$
9	$[I_0 - III^*]_{0339}, [I_0^* - III]_{0339}$
10	$[I_0 - II^*]_{05510}, [IV^* - II]_{05510}, [I_0^* - IV]_{04410}$
11	$[II - III^*]_{04411}, [IV^* - III]_{05511}$

Interpretation of Dualities

- ▶ For these elliptic models can find some insight by looking at monodromy relations;
- ▶ Start from III – III and apply following moves:

$$\begin{aligned}[\text{III} - \text{III}] &= A_1 B_1 A_1 A_2 B_2 A_2 \\ &= A_1 B_1 A_1 A_1 B_1 A_1 \quad (\rho \rightarrow \tau) \\ &= A_1 B_1 A_1 B_1 A_1 B_1 \quad (\text{braid}) \\ &= (A_1 B_1)^3 = [I_0 - I_0^*],\end{aligned}$$

where A_i, B_i are Dehn twists around a_i, b_i of genus two curve;

- ▶ Colliding of IV and II singularity gives also $[I_0 - I_0^*]$
- ▶ Reason for why $\rho \rightarrow \tau$ should be valid operation can be seen from duality map with $\beta = 0$:

$$j(\tau)j(\rho) = -1728^2 \frac{a^3}{27de}, \quad (j(\tau) - 1728)(j(\rho) - 1728) = 1728^2 \frac{b^2}{4de};$$

Can 'compensate' for ρ degeneration by enhance τ degeneration;

- ▶ Note, have duality between non-geometric/geometric vacua, cf.

[Malmendier&Morrison '14];

Going 'backwards' I

- ▶ So far started always from het. side and mapped to F-theory;
- ▶ Furthermore, set of theories obtained that way looks very limited;
 - ▶ E.g. didn't see 'bare' I_1 degeneration on het. side, i.e. $(\tau, \rho) \rightarrow (\tau + 1, \rho - 1)$;
- ▶ For $E_8 \times E_8$ we can easily invert above map:

$$y^2 = x^3 + au^4v^4xz^4 + (bu^5v^7 + cu^6v^6 + du^7v^5)z^6$$

$$\Rightarrow \begin{aligned} j(\tau) &= -8 \frac{4a^3 + 27(c^2 - 4bd) - \sqrt{\Upsilon}}{bd} \\ j(\rho) &= -8 \frac{4a^3 + 27(c^2 - 4bd) + \sqrt{\Upsilon}}{bd} \end{aligned}$$

$$\text{with } \Upsilon = 12^3 a^3 bd + [4a^3 + 27(c^2 - 4bd)]^2;$$

- ▶ To do this for $E_7 \times E_8$ case is work in progress;
- ▶ Fibration of τ and ρ now given in terms of F-theory coefficients;

Going 'backwards' II

- ▶ Note: around simple roots of Γ obtain monodromies exchanging τ and ρ ;
- ▶ But first want to look into monodromies that keep ρ and τ 'separate', i.e. $SL(2, \mathbb{Z}) \times SL(2, \mathbb{Z})$;
- ▶ Use Shioda-Inose structure/ansatz: [Clingher&Doran '06, McOrist et al. '10]

$$a = -3f_\tau f_\rho, \quad b = b_\tau b_\rho, \quad c = -\frac{27}{2}g_\tau g_\rho, \quad d = d_\tau d_\rho, \\ \Delta_\tau = 4b_\tau d_\tau, \quad \Delta_\rho = 4b_\rho d_\rho;$$

$\Rightarrow \Gamma = 3^{12} [f_\tau^3 g_\rho^2 - f_\rho^3 g_\tau^2]^2$ and τ -/ ρ -fibration are given by Weierstraß eqns.:

$$y^2 = x^3 + f_{\tau/\rho}x + g_{\tau/\rho}$$

- ▶ Simple example: NS5 on top of I_1 degeneration (het. side), i.e. $(\tau, \rho) \rightarrow (\tau + 1, \rho)$, leads to

$$a = 9f_\rho, \quad b = \Delta_\rho t(t + 4), \quad c = -\frac{27}{2}(2 + t)g_\rho, \quad d = \frac{27}{16}$$

with f_ρ, g_ρ const. and t the base coordinate; Monodromy appears when going around $t = 0$;

Splitting of heterotic 'bare' I_1 degeneration I

- ▶ Reminder: Above monodromies can be seen from H_3 :

$$H_3 = dB - \frac{\alpha'}{4} \text{Tr}(A \wedge F - \omega \wedge R)$$

with ω spin connection and A gauge connection;

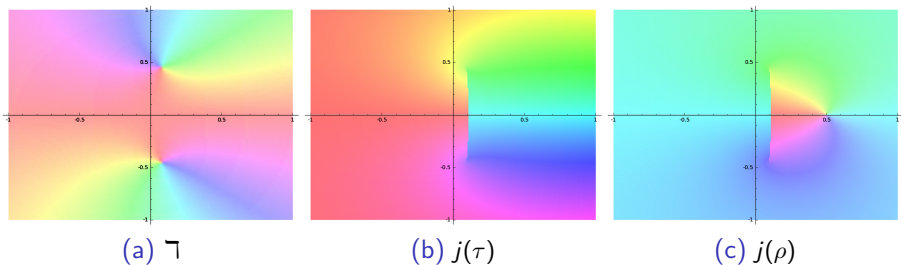
⇒ Hence, $b \rightarrow b + 1$ for NS5/small instanton and $b \rightarrow b - 1$ for (het.) I_1 degeneration; (Consider EOM with $H_3 = 0$;))

⇒ $(\tau, \rho) \rightarrow (\tau + 1, \rho) = \underbrace{(\tau + 1, \rho - 1)}_{I_1} + \underbrace{(\tau, \rho + 1)}_{\text{NS5}}$

- ▶ To obtain $\rho \rightarrow \rho - 1$ monodromy from EOM would imply $\text{Vol}(T^2)$ negative close to $\rho = 0$;
- ▶ To see whether that's case, move NS5 away from I_1 in above example to obtain 'bare' I_1 , i.e. deform previous setting;
- ▶ Since location of NS5s given by $bd = 0$ [Morrison&Vafa '96], change b to $\Delta_\rho(t - \mu)(t + 4)$; Therefore, moved NS5 from $t = 0$ to $t = \mu$;

Splitting of heterotic 'bare' I_1 degeneration II

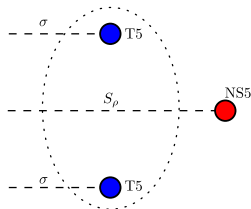
- ▶ To see what this deformation does to het. side, look at plots for \Im , $j(\tau)$, and $j(\rho)$:



- ▶ Note: splitting into three components not just two;
⇒ I_1 singularity 'gets resolved' into two T5's;

Splitting of heterotic 'bare' I_1 degeneration III

- ▶ To figure out monodromies around T5's note that on double cover fibration still holomorphic; Furthermore, $j(\tau) = j(\rho)$ but unconstrained;
- ⇒ around T5 $(\tau, \rho) \rightarrow (g\rho, g^{-1}\tau)$ with $g \in SL(2, \mathbb{Z})$;
- ▶ Know conjugacy classes of I_1 and NS5;
- ⇒ Can find branch cut arrangement for fig. (d) where everything looks mutually local:

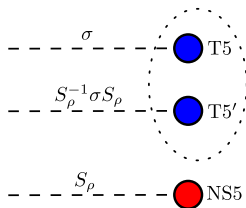


(d) Symmetric presentation

Here σ , S_ρ and S_τ indicate $\tau \leftrightarrow \rho$, $\rho \rightarrow \rho + 1$ and $\tau \rightarrow \tau + 1$, respectively;

Splitting of heterotic 'bare' I_1 degeneration IV

- ▶ Can deform branch cuts to obtain:



(e) Decoupled presentation

- \Rightarrow Two components (T5 and T5') which are conjugate to each other and NS5;
- ▶ Monodromy around T5 plus T5': $(\tau, \rho) \rightarrow (\tau + 1, \rho - 1)$;
 - ▶ Hence, 'bare' I_1 of het. side splits (due to quantum corrections) into two components, T5 and T5';
 - ▶ Cures negative volume behaviour (like in IIB case where $O7 \rightarrow B-C$ system);

Summary & Outlook

- ▶ Analysed all genus two degenerations from F-theory side;
- ▶ Identified dual models in this list;
- ▶ Interpretation for some of them;
- ▶ Inversion of duality in case of $E_8 \times E_8$;

- ▶ Extend the analysis to $SO(32)$ case;
- ▶ Understand, also in case of one non-vanishing Wilson line, map from F-theory to het. better (away from stable degeneration limit);
 - ▶ In-depth study also of this solutions;

Thank you for your attention!