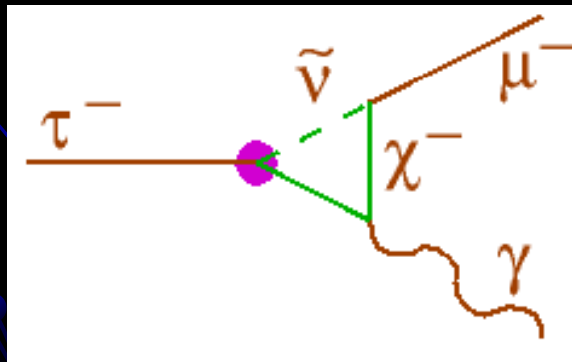
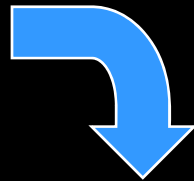
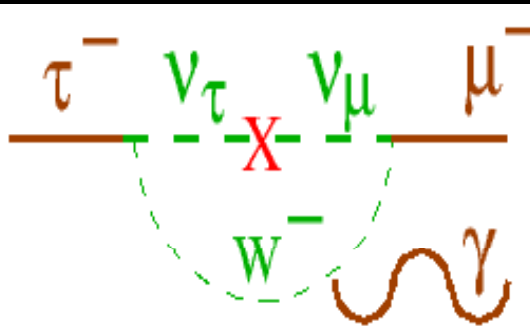


# $\tau$ -decays in B-factories

Sanjay K Swain, SLAC  
BABAR Collaboration  
27<sup>th</sup> July 2007

SUSY07 @ Karlsruhe



## Outline

- Introduction
- $\tau \rightarrow \ell \gamma$  ( $\ell = e, \mu$ )
- $\tau \rightarrow \ell K_s$
- $\tau \rightarrow \ell P^0$  ( $P^0 = \pi^0, \eta, \eta'$ )
- $\tau \rightarrow \ell \ell \ell$  /  $\ell h h$  ( $h = K, \pi$ )
- $\tau \rightarrow \Lambda h$
- Conclusion

# Lepton Flavor Violation (LFV) in $\tau$ -decays

- In the minimal Standard Model, the lepton flavor is conserved for each generation

$$\left[ \begin{array}{c} \nu_e \\ e^- \end{array} \quad \begin{array}{c} \nu_\mu \\ \mu^- \end{array} \quad \begin{array}{c} \nu_\tau \\ \tau^- \end{array} \right]$$

$$\mathcal{L}_e=1 \quad \mathcal{L}_\mu=1 \quad \mathcal{L}_\tau=1 \quad \mathcal{L} = \mathcal{L}_e + \mathcal{L}_\mu + \mathcal{L}_\tau$$

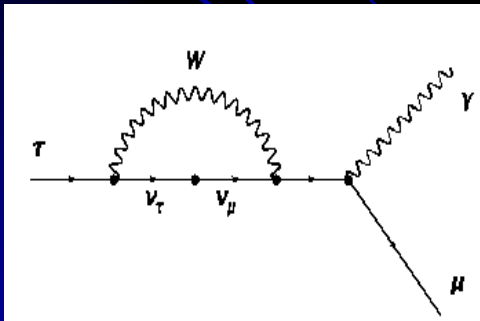
e.g.  $\tau \rightarrow \mu\gamma$  is not allowed

$$\begin{array}{lll} \mathcal{L}_\tau=1 & \mathcal{L}_\tau=0 & \Rightarrow \Delta\mathcal{L}_\tau = 1 \\ \mathcal{L}_\mu=0 & \mathcal{L}_\mu=1 & \Rightarrow \Delta\mathcal{L}_\tau = -1 \quad \text{but } \mathcal{L} = 0 \end{array}$$

- But neutrino oscillation implies:

$$\nu_\mu \rightarrow \nu_e \quad \Rightarrow \text{Lepton Flavor is violated}$$

- The LFV in neutral sector induces LFV in charged lepton sector



$$\text{But } \mathcal{B}(\tau \rightarrow \mu\gamma) \propto \left( \frac{\Delta M_\nu^2}{M_W^2} \right)^2$$

$$\sim 10^{-54}$$

$$\Delta M_\nu^2 \sim 10^{-3} \text{ eV}^2$$

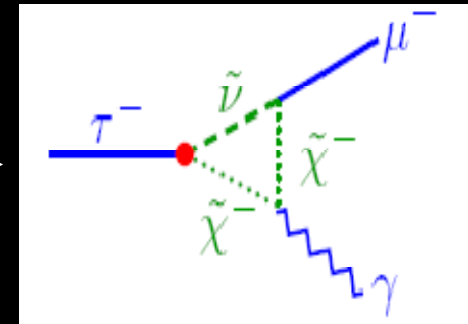
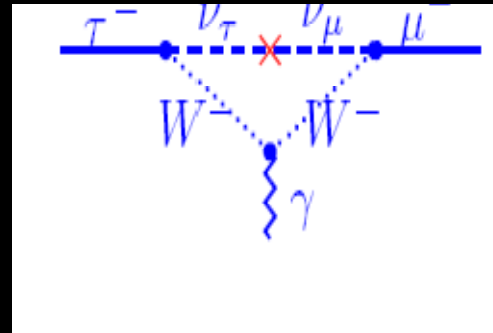
$$M_W \sim 10^{11} \text{ eV}$$

Lee-Shrock, Phys. Rev. D 16, 1444 (1977)

# New physics phenomena in $\tau$ -decays

1.

Things would be completely  
if we replace



The slepton have same lepton numbers and do have mixing

Now  $\mathcal{B}(\tau \rightarrow \mu \gamma) \propto \left( \frac{\Delta M_{\tilde{\nu}}}{M_{\tilde{\chi}^2}} \right)^2$   $\Delta M_{\tilde{\nu}}$  and  $M_{\tilde{\chi}}$  have different (higher) mass scale

2.

$$\mathcal{B}(\tau \rightarrow \mu \gamma) \simeq 7 \times 10^{-7} \left( \frac{\tan \beta}{60} \right)^2 \left( \frac{1 \text{ TeV}/c^2}{m_{\text{SUSY}}} \right)^4$$

⇒ The branching fraction  
can be enhanced  
significantly

	$\mathcal{B}(\tau \rightarrow \ell \gamma)$	$\mathcal{B}(\tau \rightarrow \ell \ell \ell)$
SM+ $\nu$ -mixing (PRL95(2005)41802, EPJC8(1999)513)	$10^{-54}$	$10^{-14}$
SUSY Higgs (PLB549(2002)159, PLB566(2003)217)	$10^{-10}$	$10^{-7}$
SM+Heavy Majorana $\nu_R$ (PRD66(2002)034008)	$10^{-9}$	$10^{-10}$
Non-Universal $Z'$ (PLB547(2002)252)	$10^{-9}$	$10^{-8}$
SUSY SO(10) (NPB649(2003)189, PRD68(2003)033012)	$10^{-8}$	$10^{-10}$
mSUGRA+seesaw (EPJC14(2000)319, PRD66(2002)115013)	$10^{-7}$	$10^{-9}$

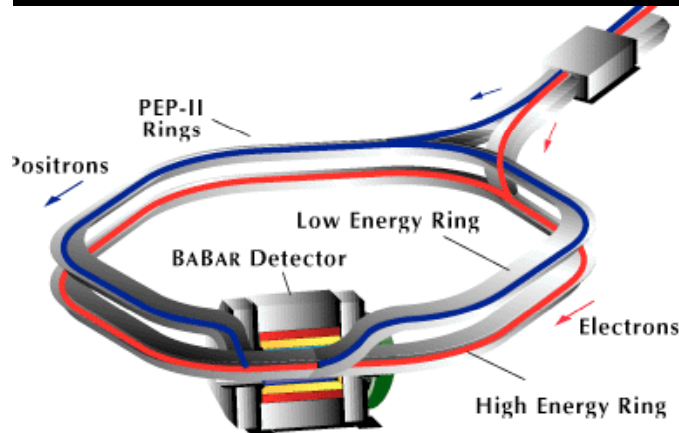
# Two asymmetric energy B-factories

## PEP-II at SLAC

9 GeV ( $e^-$ )  $\times$  3.1 GeV ( $e^+$ )

peak luminosity:

$$1.12 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$$



## B-factories

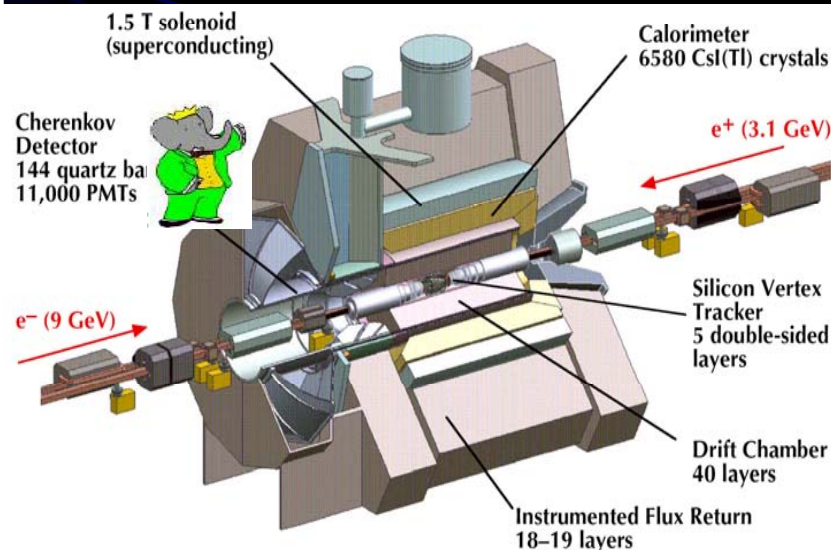
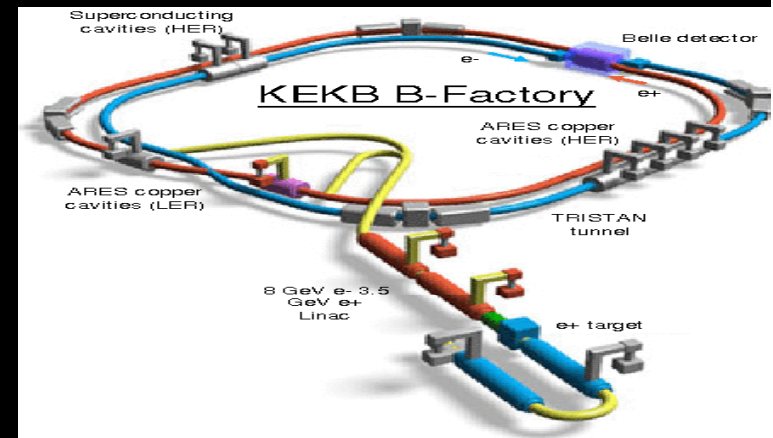
BaBar Belle

## KEKB at KEK

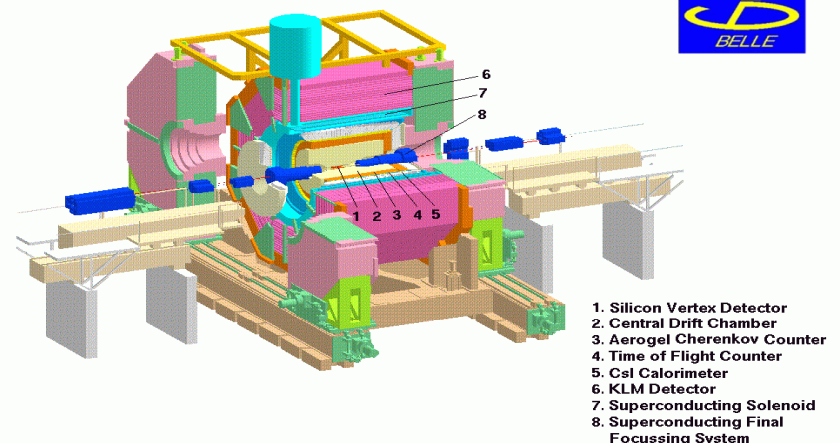
8 GeV ( $e^-$ )  $\times$  3.5 GeV ( $e^+$ )

peak luminosity:

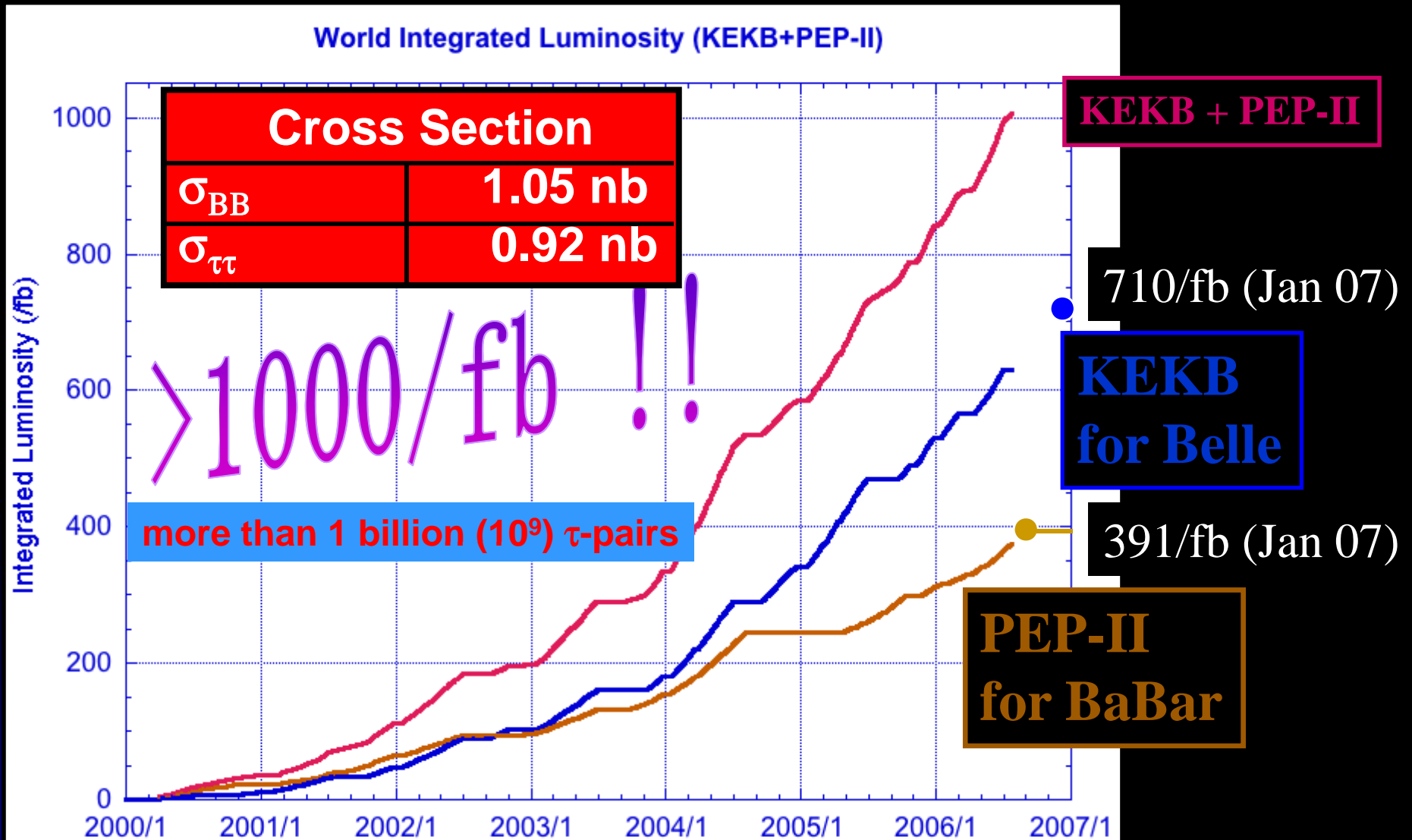
$$1.71 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$$



BELLE Detector

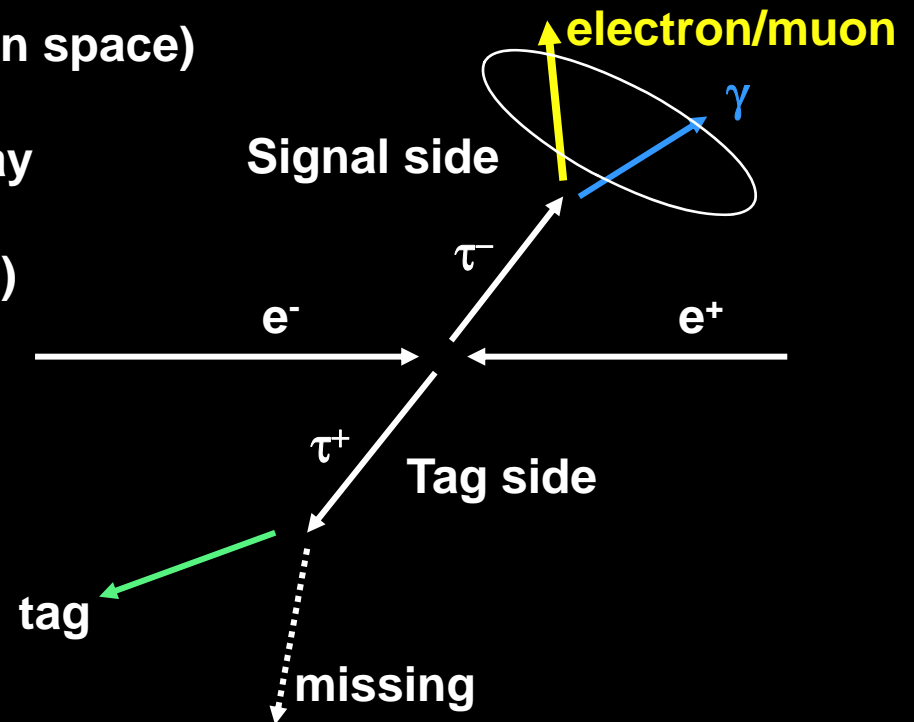
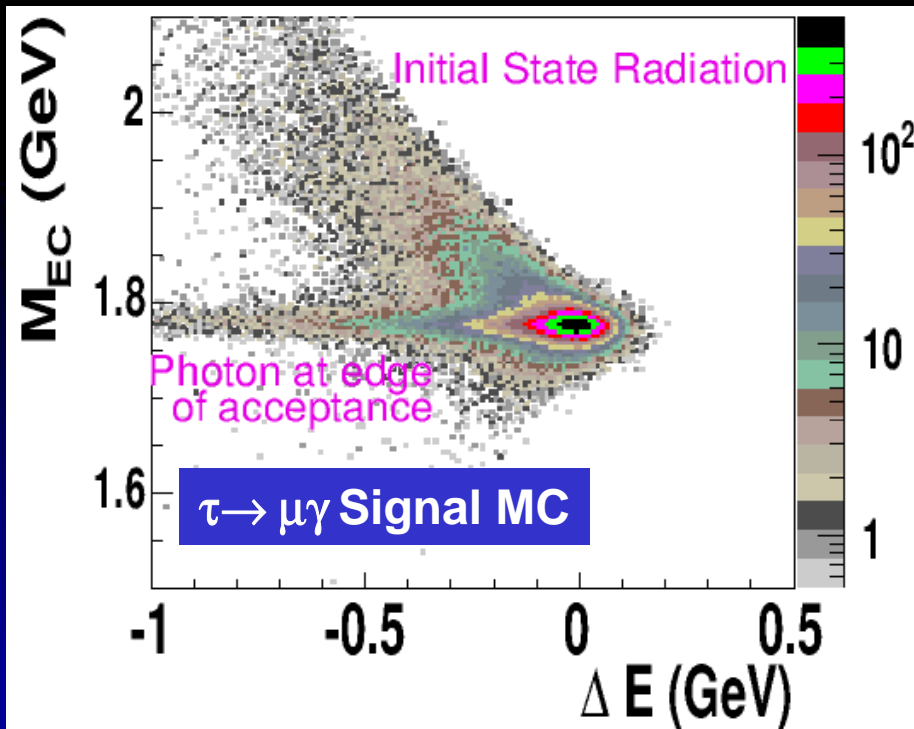


# Integrated Luminosity



## $\tau$ -decay topology

- Two hemispheres  $\perp$  thrust (separated in space)
- Signal side: neutrino-less 1-prong decay  
→ electron / muon  
(all the particles are fully reconstructed)
- Tag side: 1-prong or 3-prong  
 $e\nu\nu$ ,  $\mu\nu\nu$ ,  $\pi\nu$ ,  $\rho\tau$



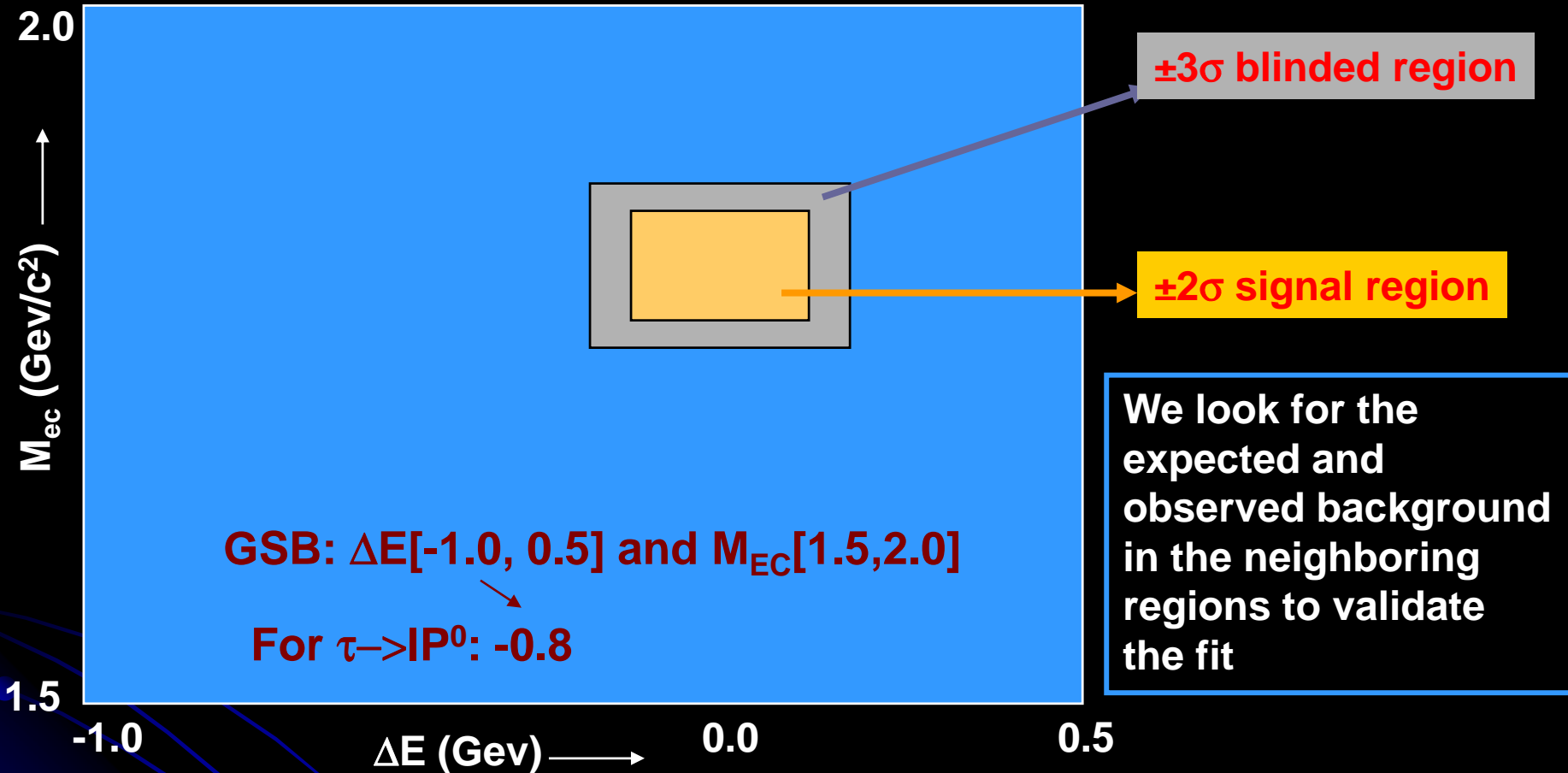
$M_{EC}$  : beam energy  
constrained  $\tau$  mass

$\Delta E$ : energy difference

$$E_{\tau} - E_{\text{beam}}$$

Sometimes, variables used are  $\Delta E$  and  
 $\Delta M = M_{\text{rec}} - M_{\tau}$

## Analysis methodology

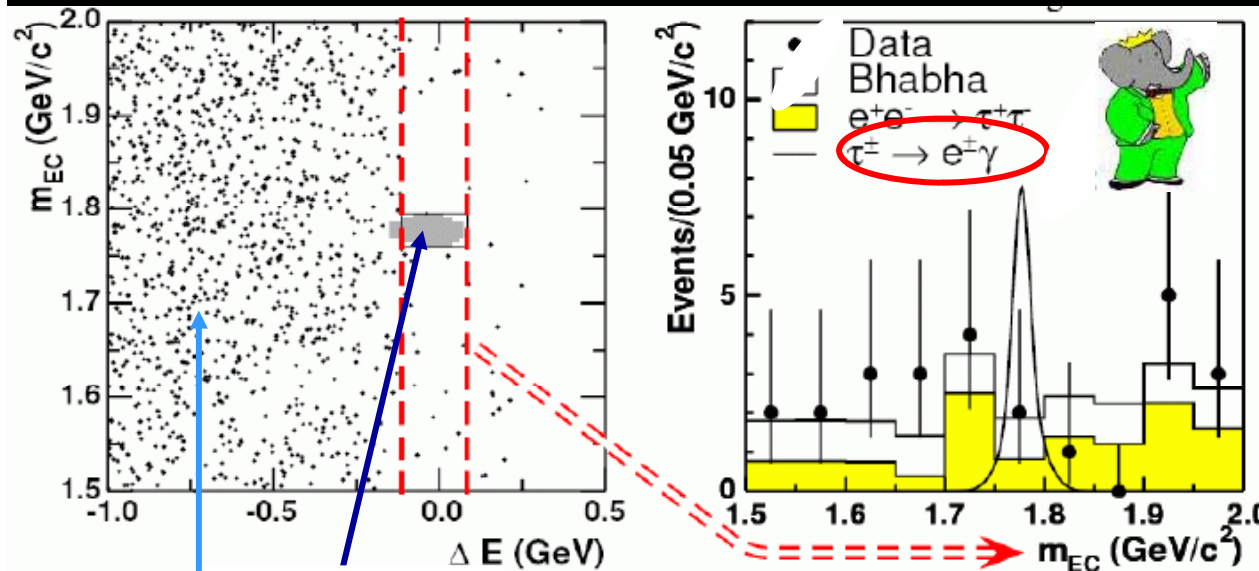


For  $\tau \rightarrow l\gamma$ , the expected background in the signal box is obtained by fitting the sidebands of  $M_{EC}$  distribution from the  $\pm 2\sigma$  band in  $\Delta E$  where as

for  $\tau \rightarrow IP^0$ , the background is obtained by 2D un-binned maximum likelihood fit to un-blinded region in  $M_{EC}$  and  $\Delta E$ .



# Results from $\tau \rightarrow \ell \gamma$



Efficiency =  $(4.7 \pm 0.3)\%$

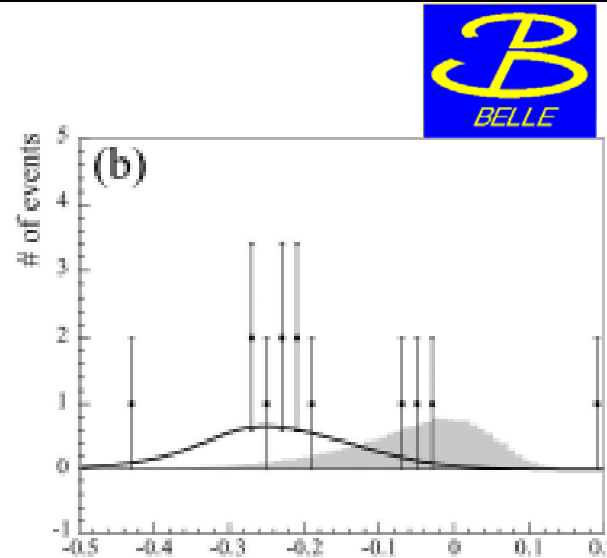
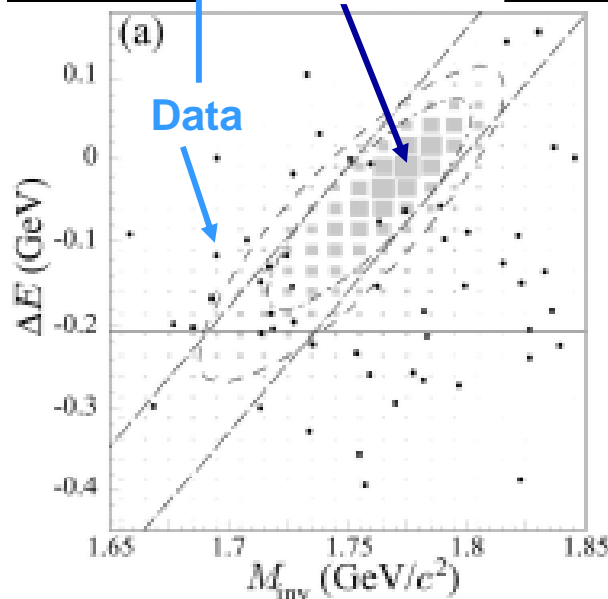
BF ( $\tau^- \rightarrow e^- \gamma$ ) <  $11 \times 10^{-8}$

**PRL 96, 041801 (2006)**

Efficiency =  $(7.42 \pm 0.65)\%$

BF ( $\tau^- \rightarrow \mu^- \gamma$ ) <  $6.8 \times 10^{-8}$

**PRL 95, 041802 (2006)**



Efficiency = 2.99%

BF ( $\tau^- \rightarrow e^- \gamma$ ) <  $12 \times 10^{-8}$

Efficiency = 5.07%

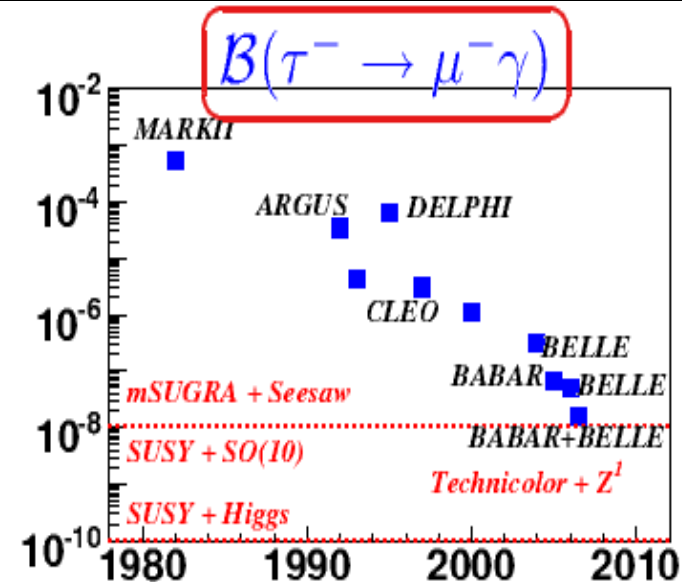
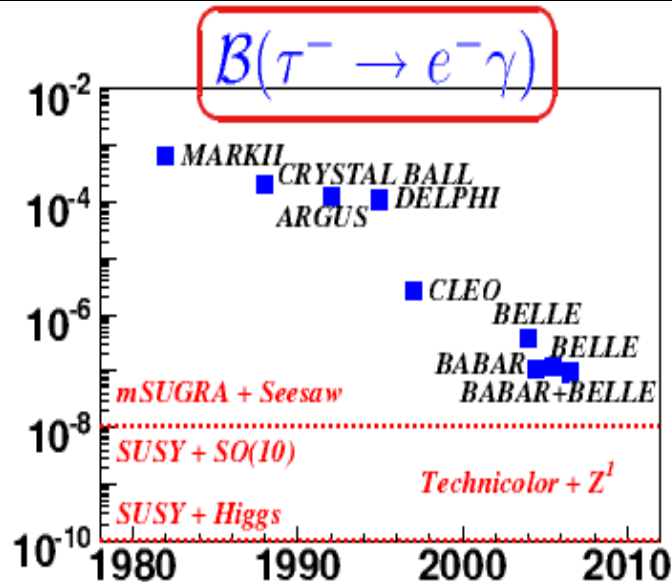
BF ( $\tau^- \rightarrow \mu^- \gamma$ ) <  $4.5 \times 10^{-8}$

**ar-Xiv:0705.0650 [hep-ex]**



# Results from $\tau \rightarrow \ell \gamma$ and impact on new physics

S. Banerjee (Tau06)  
Nucl. Phys. Proc.  
Suppl. 169, 199 (2007)

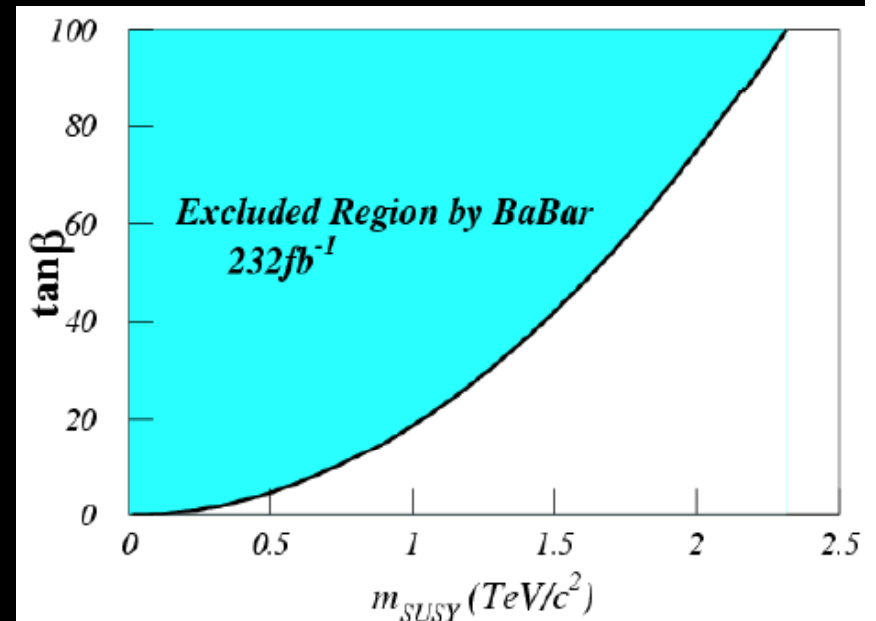


MSSM with seesaw:

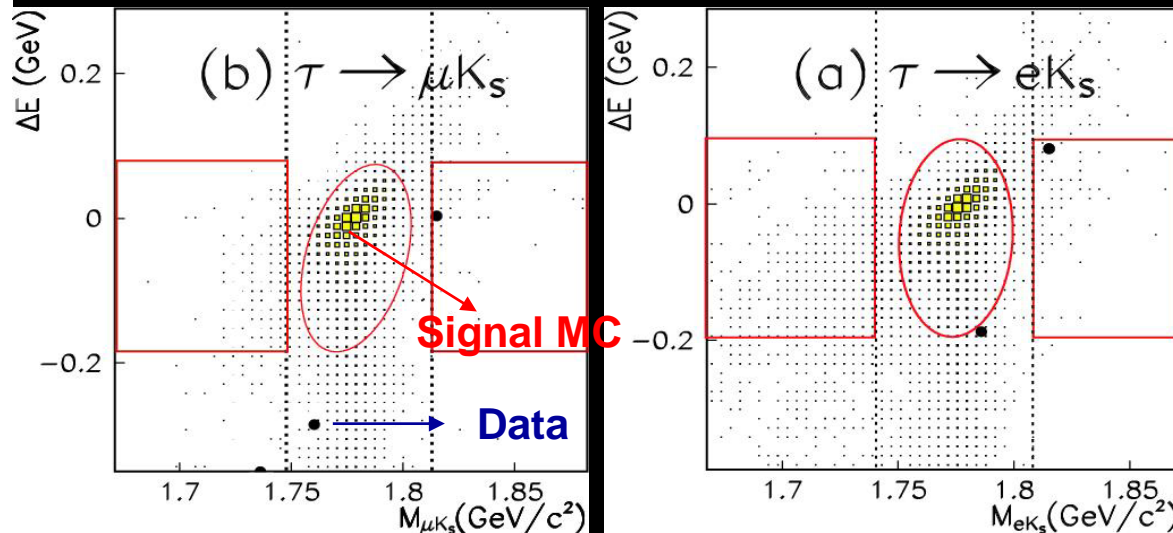
$$\mathcal{B}(\tau \rightarrow \mu \gamma) \simeq 7 \times 10^{-7} \left( \frac{\tan \beta}{60} \right)^2 \left( \frac{1 \text{ TeV}/c^2}{m_{SUSY}} \right)^4$$

$$\tan \beta = \frac{\langle v_u \rangle}{\langle v_d \rangle}$$

$V_{u,d}$  are VEVs of neutral higgs coupled to up-type or down-type fermion fields



# $\tau \rightarrow \ell K_s (\ell = e \text{ or } \mu)$



BELLE used 281 fb<sup>-1</sup> data



$$\mathcal{B}(\tau^- \rightarrow \mu^- K_s) < 4.9 \times 10^{-8}$$

$$\mathcal{B}(\tau^- \rightarrow e^- K_s) < 5.6 \times 10^{-8}$$

@90% CL

PLB 639, 159 (2006)

1. In R-parity violating SUSY scenario  $\rightarrow \lambda, \lambda', \lambda''$  ( with 45 couplings)

$\rightarrow \tau$  decays  $IK_s$  via tree level scalar neutrino exchange by  $\lambda\lambda'$  coupling

scalar neutrino mass ( $M_{\tilde{\nu}}$ )

$$|\lambda_{i31}\lambda'_{i12}| (i = 1, 2), |\lambda_{i31}\lambda'_{i21}| (i = 2, 3) < 4.5 \times 10^{-4} (M_{\tilde{\nu}}/100\text{GeV}/c^2)^2 \text{ for } \tau^- \rightarrow e^- K_s^0$$

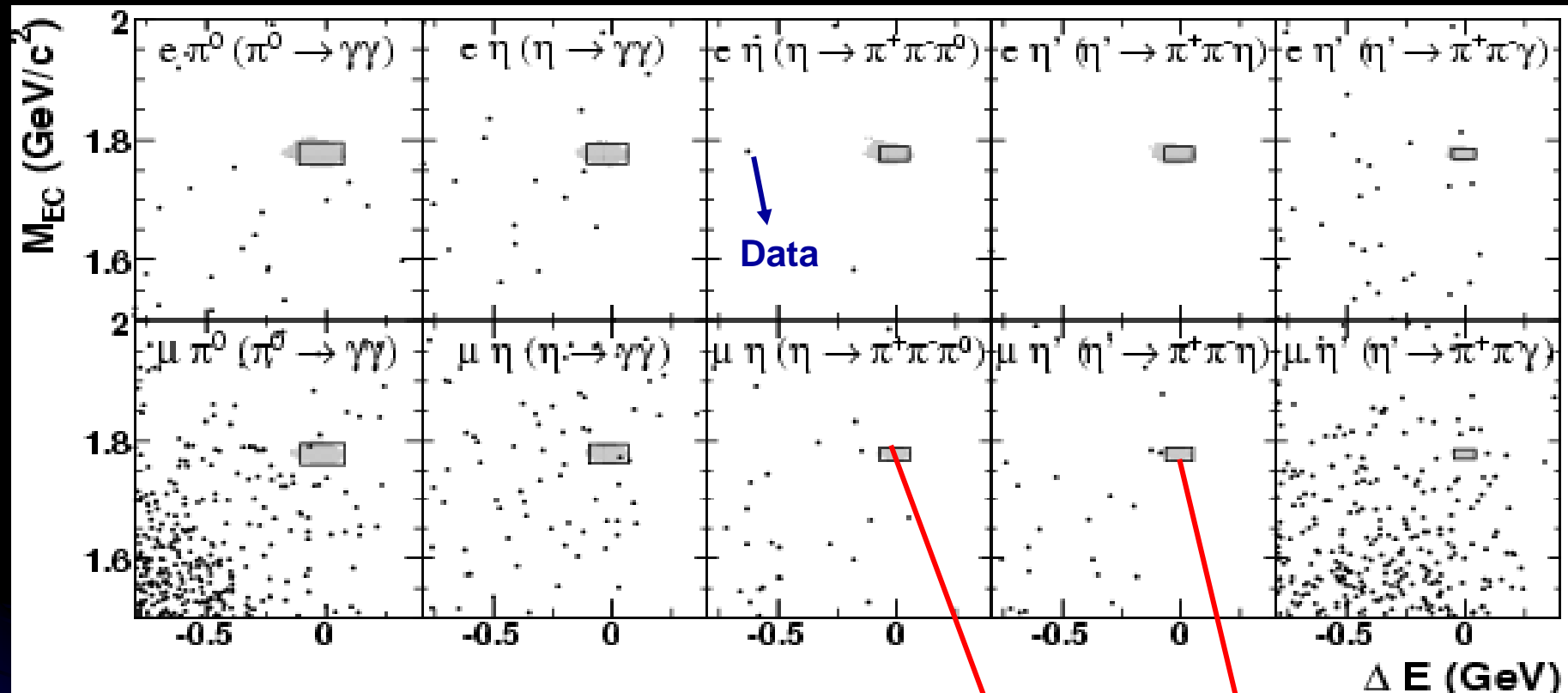
$$|\lambda_{i32}\lambda'_{i12}| (i = 1, 2), |\lambda_{i23}\lambda'_{i21}| (i = 1, 3), < 4.1 \times 10^{-4} (M_{\tilde{\nu}}/100\text{GeV}/c^2)^2 \text{ for } \tau^- \rightarrow \mu^- K_s^0$$

2. This result can be used to constrain new physics scale in dimension-six fermionic effective operator involving  $\tau$ - $\mu$  flavor violation motivated by neutrino oscillations

$\rightarrow$  lower bounds on axial-vector and pseudo-scalar operators:

36.2 TeV and 37.2 TeV

$$\tau \rightarrow \ell P^0 \quad (P^0 = \pi^0, \eta, \eta')$$



Using 339 fb<sup>-1</sup> data, the upper limit is  $(1 - 6) \times 10^{-7}$

Total expected events = 3.1

Total observed events = 2

PRL 98, 061803 (2007)

Signal box  
( $\pm 2\sigma$  in  $\Delta E$  and  $M_{EC}$ )

Shaded region  
covers 68% of  
signal MC

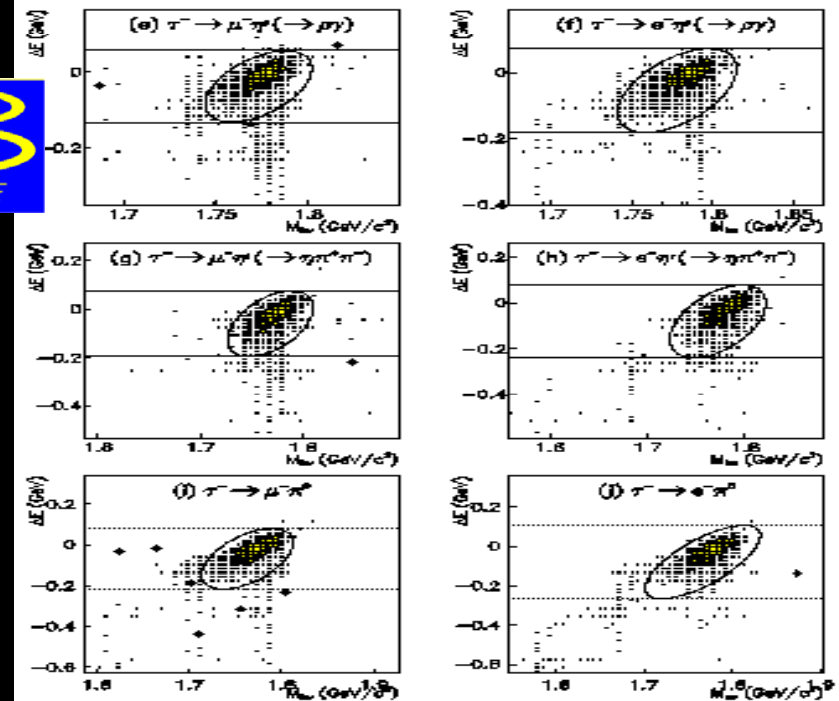


$$\tau \rightarrow \ell P^0 \quad (P^0 = \pi^0, \eta, \eta')$$

Mode	$M^0$ subdecay mode	Upper limit on $\mathcal{B}$ at 90% C.L.
$\tau^- \rightarrow \mu^- \eta$	$\eta \rightarrow \gamma\gamma$	$1.2 \times 10^{-7}$
	$\eta \rightarrow \pi^+ \pi^- \pi^0$	$2.0 \times 10^{-7}$
	Combined	$6.5 \times 10^{-8}$
$\tau^- \rightarrow e^- \eta$	$\eta \rightarrow \gamma\gamma$	$1.7 \times 10^{-7}$
	$\eta \rightarrow \pi^+ \pi^- \pi^0$	$2.6 \times 10^{-7}$
	Combined	$9.2 \times 10^{-8}$
$\tau^- \rightarrow \mu^- \eta'$	$\eta' \rightarrow \rho\gamma$	$1.9 \times 10^{-7}$
	$\eta' \rightarrow \eta \pi^+ \pi^-$	$4.1 \times 10^{-7}$
	Combined	$1.3 \times 10^{-7}$
$\tau^- \rightarrow e^- \eta'$	$\eta' \rightarrow \rho\gamma$	$2.5 \times 10^{-7}$
	$\eta' \rightarrow \eta \pi^+ \pi^-$	$4.7 \times 10^{-7}$
	Combined	$1.6 \times 10^{-7}$
$\tau^- \rightarrow \mu^- \pi^0$	$\pi^0 \rightarrow \gamma\gamma$	$1.2 \times 10^{-7}$
$\tau^- \rightarrow e^- \pi^0$	$\pi^0 \rightarrow \gamma\gamma$	$8.0 \times 10^{-8}$



401 fb<sup>-1</sup> data



PLB 648, 341 (2007)

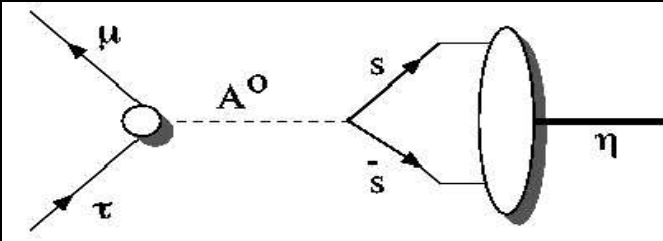
These improved upper limit can be used to constrain the parameters of Heavy Dirac neutrino model.

Branching fractions of different modes are evaluated in terms of Model Parameters:

$$y_{\tau e} \text{ and } y_{\tau \mu} \rightarrow [0, 1]$$

Using  $\tau \rightarrow \ell \pi^0$ ,  $y_{\tau e} < 0.17$  and  $y_{\tau \mu} < 0.47$  @ 90% C.L

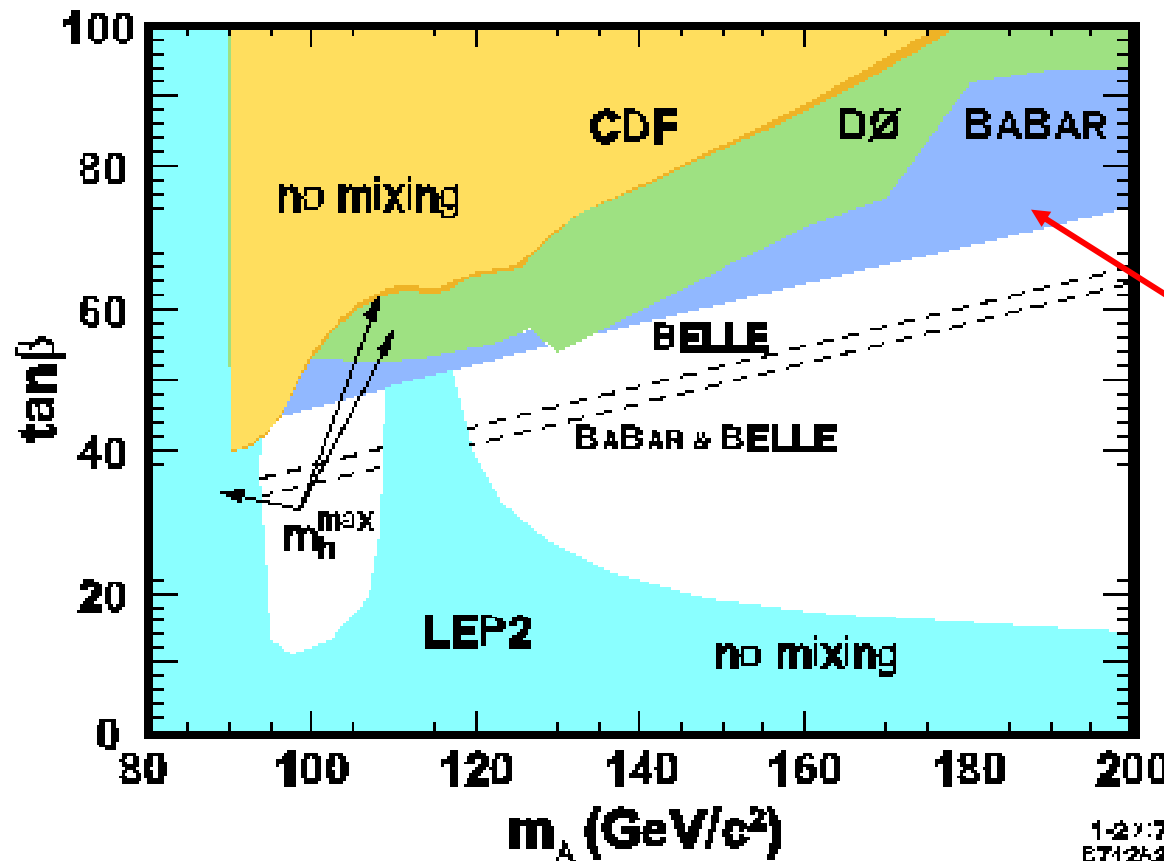
# Constraining new physics using $\tau \rightarrow \mu \eta$ result



$$B(\tau^\pm \rightarrow \mu^\pm \eta) = 0.84 \times 10^{-6} \times \left( \frac{\tan\beta}{60} \right)^6 \left( \frac{100 \text{ GeV}}{m_A} \right)^4$$

where  $m_A$  is the pseudoscalar Higgs mass and  $\tan\beta = \langle H_u \rangle / \langle H_d \rangle$

M.Sher, PRD 66, 057301 (2002)



The left plot shows the Exclusion regions in the  $m_A$  and  $\tan\beta$  plane by different experiments (@ 95% C.L.)

**New result from BABAR**

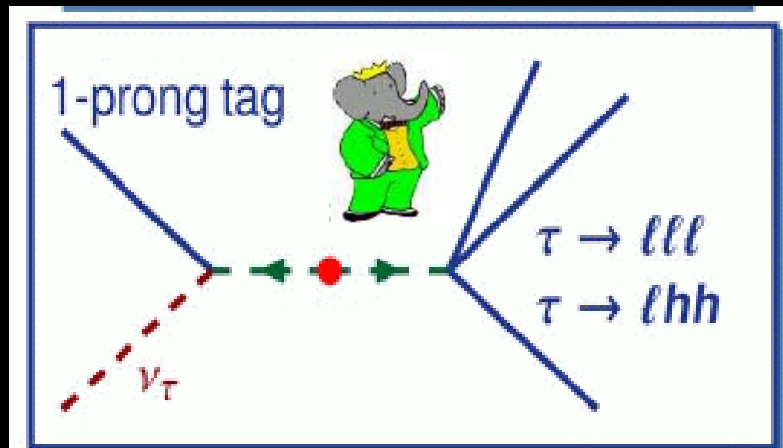
Competitive with the direct Higgs searches at CDF and D0

CDF:  $pp \rightarrow H \rightarrow \tau^+\tau^-$  (310 fb<sup>-1</sup>)

D0:  $pp \rightarrow H \rightarrow b\bar{b}$  (260 fb<sup>-1</sup>)

$\rightarrow \tau^+\tau^-$  (325 fb<sup>-1</sup>)

# $\tau \rightarrow lll$ ( $l = e$ or $\mu$ )



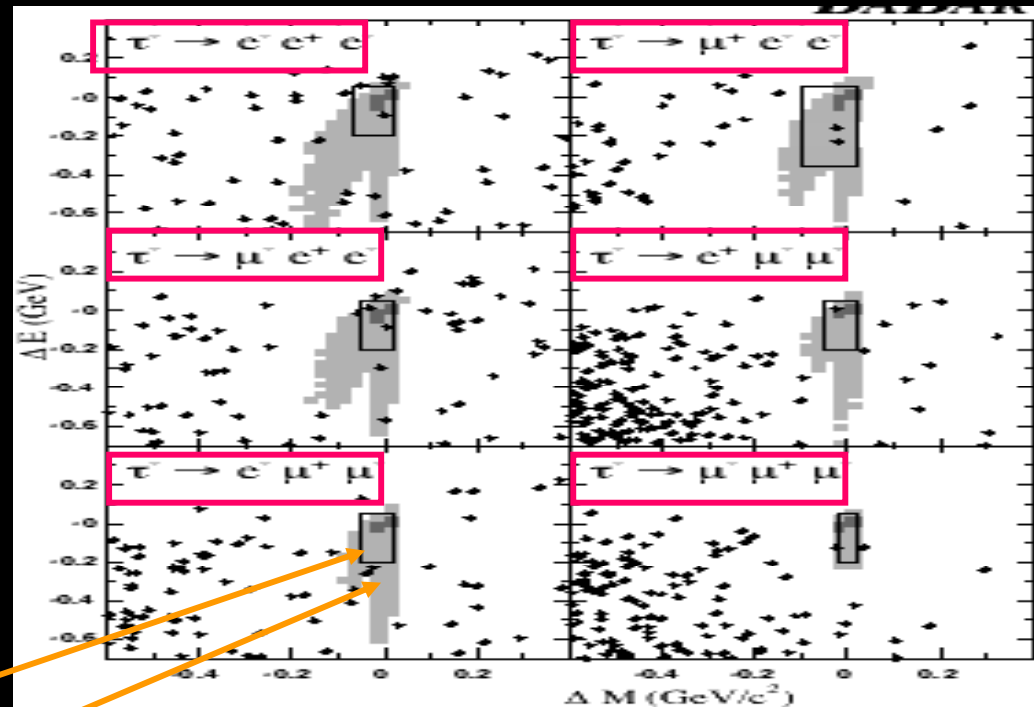
376 fb<sup>-1</sup> BABAR data used

Total expected events = 4.2  
Total observed events = 6

Signal box

90% of the selected MC events

Upper limits  $\sim (4 - 8) \times 10^{-8}$



Mode	Eff. [%]	$N_{\text{bgd}}$	$UL_{90}^{\text{exp}}$	$N_{\text{obs}}$	$UL_{90}^{\text{obs}}$
$e^-e^+e^-$	$8.9 \pm 0.2$	$1.33 \pm 0.25$	$4.9 \cdot 10^{-8}$	1	$4.3 \cdot 10^{-8}$
$\mu^-e^+e^-$	$8.3 \pm 0.6$	$0.89 \pm 0.27$	$5.0 \cdot 10^{-8}$	2	$8.0 \cdot 10^{-8}$
$\mu^+e^-e^-$	$12.4 \pm 0.8$	$0.30 \pm 0.55$	$2.7 \cdot 10^{-8}$	2	$5.8 \cdot 10^{-8}$
$e^+\mu^-\mu^-$	$8.8 \pm 0.8$	$0.54 \pm 0.21$	$4.6 \cdot 10^{-8}$	1	$5.6 \cdot 10^{-8}$
$e^-\mu^+\mu^-$	$6.2 \pm 0.5$	$0.81 \pm 0.31$	$6.6 \cdot 10^{-8}$	0	$3.7 \cdot 10^{-8}$
$\mu^-\mu^+\mu^-$	$5.5 \pm 0.7$	$0.33 \pm 0.19$	$6.7 \cdot 10^{-8}$	0	$5.3 \cdot 10^{-8}$

# $\tau \rightarrow \ell h h'$ and $\tau \rightarrow \ell \nu^0$

$h (h') \rightarrow K \text{ or } \pi \quad V^0 \rightarrow K^*(892), \rho^0, \phi$

Belle used 158 fb<sup>-1</sup> data  
PLB 640, 138 (2006)



Decay modes used:

[ e,  $\mu$  ]

$\times$

[  $\pi^+\pi^-, \pi^-\pi^-, \pi^+K^-, K^+\pi^-, K^-\pi^-, K^+K^-, K^-K^-$ ,

$\rho^0, K^{*0}, \bar{K}^{*0}, \phi$  ]  $\rightarrow$  22 decay modes

(including modes violating total lepton number)

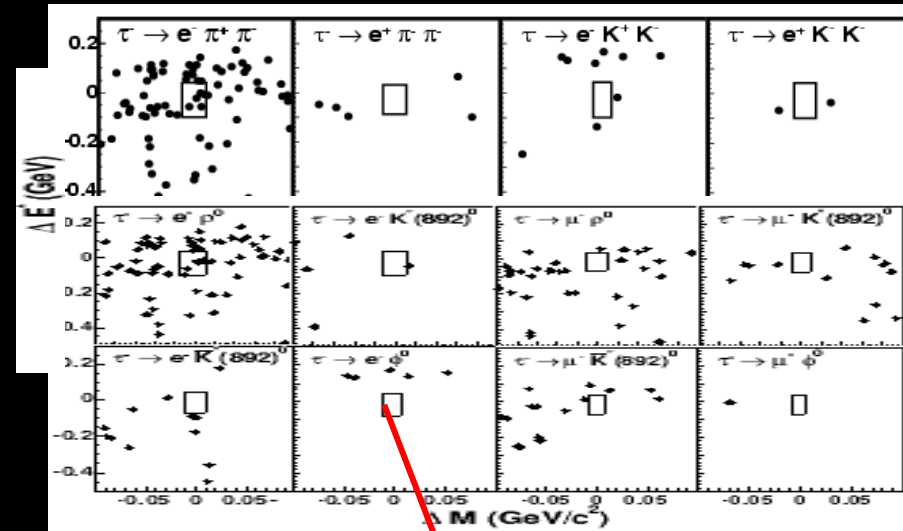
The upper limit varies (1.6 - 8.0)  $\times 10^{-7}$

1. These improved upper limit can be used to constrain the parameters of Heavy Dirac neutrino model.

Using  $\tau \rightarrow \ell \rho^0$ ,  $y_{\tau e}^2 < 0.24$  and  $y_{\tau \mu}^2 < 0.38$  @ 90% C.L

- 2 Constraint the energy scale of dimension-six fermionic effective operator

$\Lambda_{uu,dd} > 29.4 \text{ TeV}$ ,  $\Lambda_{ss} > 24.8 \text{ TeV}$  and  $\Lambda_{ds} > 26.8 \text{ TeV}$

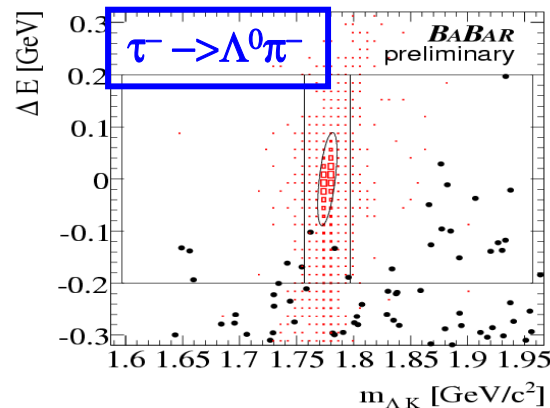
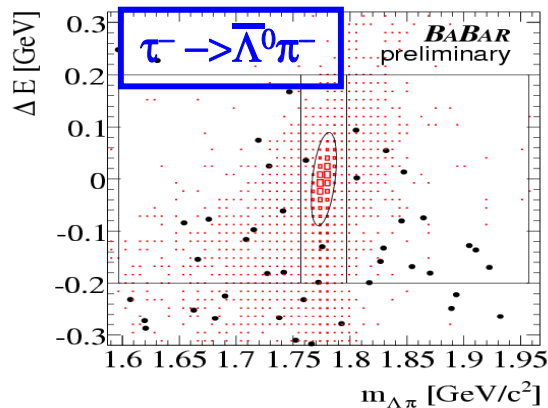


Signal Box



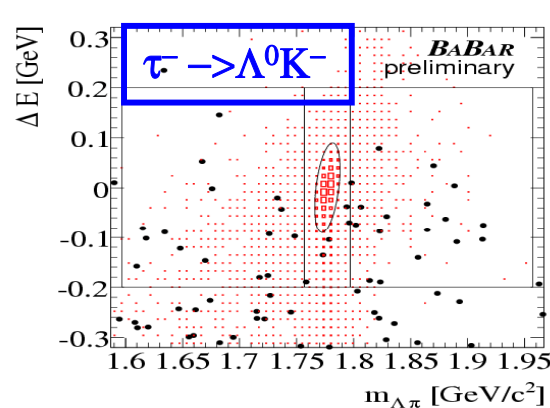
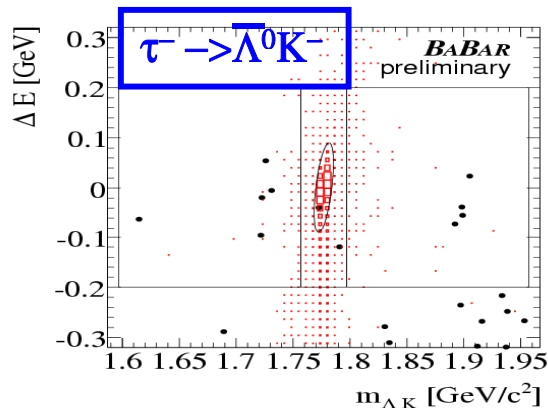
# Lepton and baryon number violating $\tau$ -decay

- The baryon asymmetry of the universe  $\rightarrow$  Baryon number violation (Sakharov condition)
- For lepton  $\rightarrow$  baryon + meson decays, the angular momentum conservation requires,  $\Delta(B-L) = 0$  or 2



The following decays  
modes used in this  
analysis: (237 fb<sup>-1</sup>)

(hep-ex: 0607040)



mode	upper limit on $\mathcal{B}$ @ 90% C.L.
$\tau^- \rightarrow \bar{\Lambda}^0 \pi^-$	$5.9 \times 10^{-8}$
$\tau^- \rightarrow \Lambda^0 \pi^-$	$5.8 \times 10^{-8}$
$\tau^- \rightarrow \bar{\Lambda}^0 K^-$	$7.2 \times 10^{-8}$
$\tau^- \rightarrow \Lambda^0 K^-$	$15 \times 10^{-8}$

## Summary

- None of the lepton flavor violating processes has been observed yet.
- For  $\tau \rightarrow \ell \gamma$ , the best upper limit is  $\sim 4.5 \times 10^{-8}$
- For  $\tau \rightarrow \ell P^0$ , the best upper limits are  $\sim (1-9) \times 10^{-8}$
- For  $\tau \rightarrow \ell K_S$ , the upper limits are  $\sim 5 \times 10^{-8}$
- For  $\tau \rightarrow \ell \ell \ell$  ( $hh'$ ), the upper limits are  $\sim (1 - 8) \times 10^{-8}$
- Further probe into the LFV using more data can put constraint on different theoretical models.
- If LFV is discovered in near future, it is possible that LFV-inducing particles will be produced directly at high energy. The LFV effects from real particles production may then also be seen at colliders

**STAY TUNED !**