

# Searches for Exotics in Upsilon Decays at BaBar

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Dark Force Workshop  
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# Outline

- Motivation
- Present four recent exotics searches at BaBar:

## Higgs searches

- $Y(3S,2S) \rightarrow \gamma A^0, A^0 \rightarrow \mu^+ \mu^-$  *PRL 103, 081803 (2009)*
- $Y(3S) \rightarrow \gamma A^0, A^0 \rightarrow \tau^+ \tau^-$  *Submitted to PRL*  
*arXiv:0906.2219*
- $Y(3S) \rightarrow \gamma A^0, A^0 \rightarrow \text{invisible}$  *Preliminary*  
*arXiv:0808.0017*

## Dark Matter search

- $Y(3S) \rightarrow \pi^+ \pi^- Y(1S), Y(1S) \rightarrow \text{invisible}$  *Submitted to PRL*  
*arXiv:0908.2840*

- Summary



# Motivation

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***Light exotic particle can be discovered at BaBar!***

Exotic particles:

- Light CP-odd Higgs
- Axion-like Pseudoscalar particle
- Dark Matter (DM) candidate

# Motivation for Higgs searches

$$Y(3S, 2S) \rightarrow \gamma A^0, A^0 \rightarrow \mu^+ \mu^-$$

$$Y(3S) \rightarrow \gamma A^0, A^0 \rightarrow \tau^+ \tau^-$$

$$Y(3S) \rightarrow \gamma A^0, A^0 \rightarrow \text{invisible}$$



# Motivation for Higgs Searches

## Next-to-Minimal Supersymmetric SM

- It solves hierarchy problem by extending Higgs sector
- It leads to a CP-odd Higgs  $A^0$ 
  - Its mass can be less than  $2m_b$
  - Large BF for  $Y \rightarrow \gamma A^0$  is expected

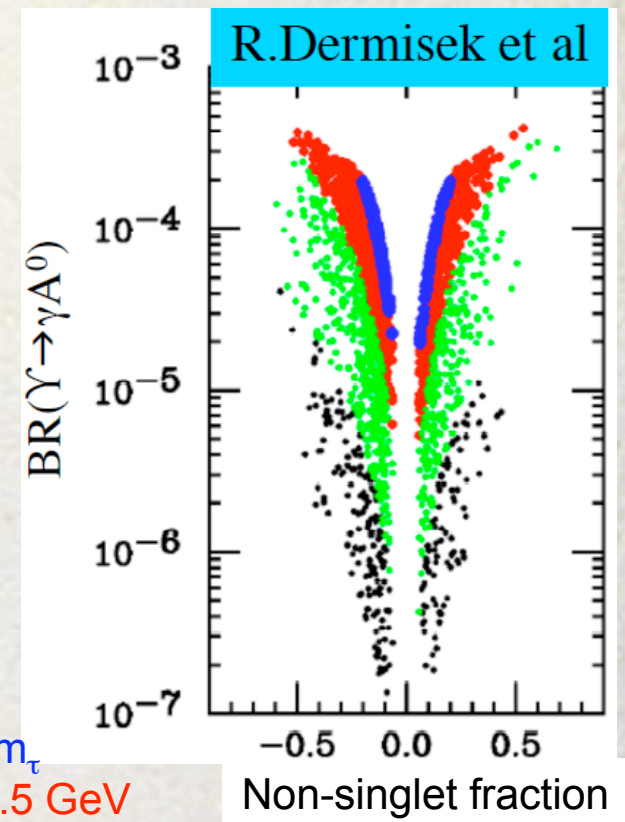
*R. Dermisek et al., PRD 76, 051105 (2007)*

## Look for $\eta_b$ mass region

- Recently discovered at BaBar
  - Confirmed by CLEO

*BEAUTY 2009, K. Seth's talk*

$m_{A^0} < 2m_\tau$   
 $2m_\tau < m_{A^0} < 7.5 \text{ GeV}$   
 $7.5 < m_{A^0} < 8.8 \text{ GeV}$   
 $8.8 < m_{A^0} < 9.2 \text{ GeV}$



# Motivation for $A^0 \rightarrow \mu^+ \mu^-$

## Axion-like Pseudoscalar particle

- TeV-scale DM that annihilates into them
- Predicts  $\text{BF}(Y \rightarrow \gamma A^0)$  to be  $10^{-6} \sim 10^{-5}$   
at  $m_{A^0}$  around 400~800 MeV
- $A^0$  dominantly decays into  $\mu^+ \mu^-$

*Nomura, Thaler, PRD 79, 075008 (2009)*

## HyperCP experiment

- Observed resonance-like feature at  $\sim 214$  MeV decaying into  $\mu^+ \mu^-$

*Mangano, Nason, Mod. Phys. Lett. A 22, 1373 (2007)*



# Motivation for DM search

$Y(3S) \rightarrow \pi^+ \pi^- Y(1S)$ ,  $Y(1S) \rightarrow \text{invisible}$

# Motivation for DM Search

## Light scalar DM couple to SM thru new Gauge boson U

*McElrath, PRD 72, 103508 (2005)*

- This theory can explain
  - INTEGRAL's detection of 511 keV gamma rays from the galactic center. If it's from DM annihilation into  $e^+e^-$ , DM's mass:  $1\sim 100\text{MeV}$   
*Phys.Rev.Lett. 92, 101301 (2004)*
- Estimated Branching Fraction:
  - $\text{BF}(Y(1S)\rightarrow\nu\nu) \sim 9.9\times 10^{-6}$
  - $\text{BF}(Y(1S)\rightarrow\chi\chi) \sim 4.2\times 10^{-4}$  (s-wave)
  - $\text{BF}(Y(1S)\rightarrow\chi\chi) \sim 1.8\times 10^{-3}$  (p-wave)



# Searches for Higgs

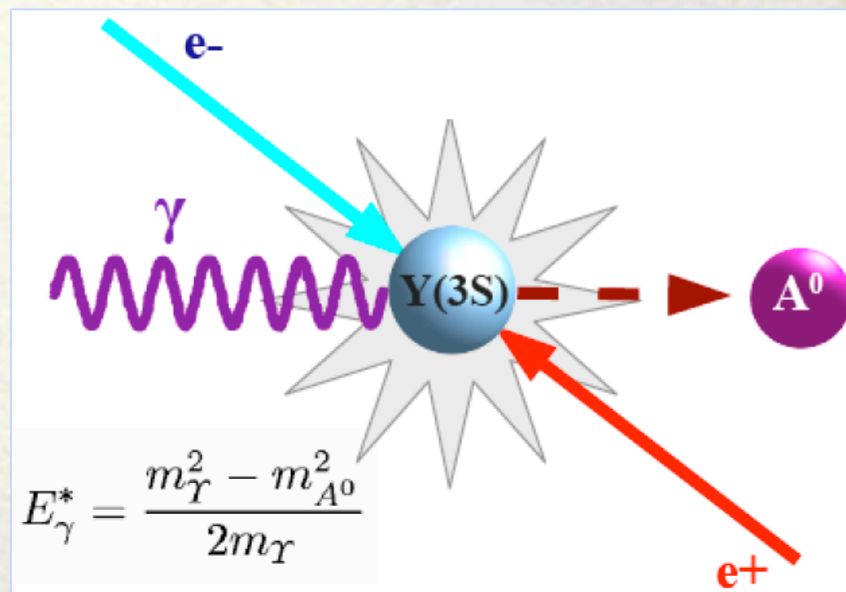
$$Y(3S, 2S) \rightarrow \gamma A^0, A^0 \rightarrow \mu^+ \mu^-$$

$$Y(3S) \rightarrow \gamma A^0, A^0 \rightarrow \tau^+ \tau^-$$

$$Y(3S) \rightarrow \gamma A^0, A^0 \rightarrow \text{invisible}$$

# Searches for Higgs from Upsilon decays

- Wilczek proposed to look at  $Y \rightarrow \gamma A^0$  *PRL 39, 1304 (1977)*
- Key feature: two body decay
  - Photon energy is related to the Higgs (recoil) mass
- Scan for bumps
- BaBar data sample contains  $122 \times 10^6$  Y(3S),  $99 \times 10^6$  Y(2S) events





# Previous Searches

- CLEO has limit on  $\text{BF}(Y(1S) \rightarrow \gamma A^0)$

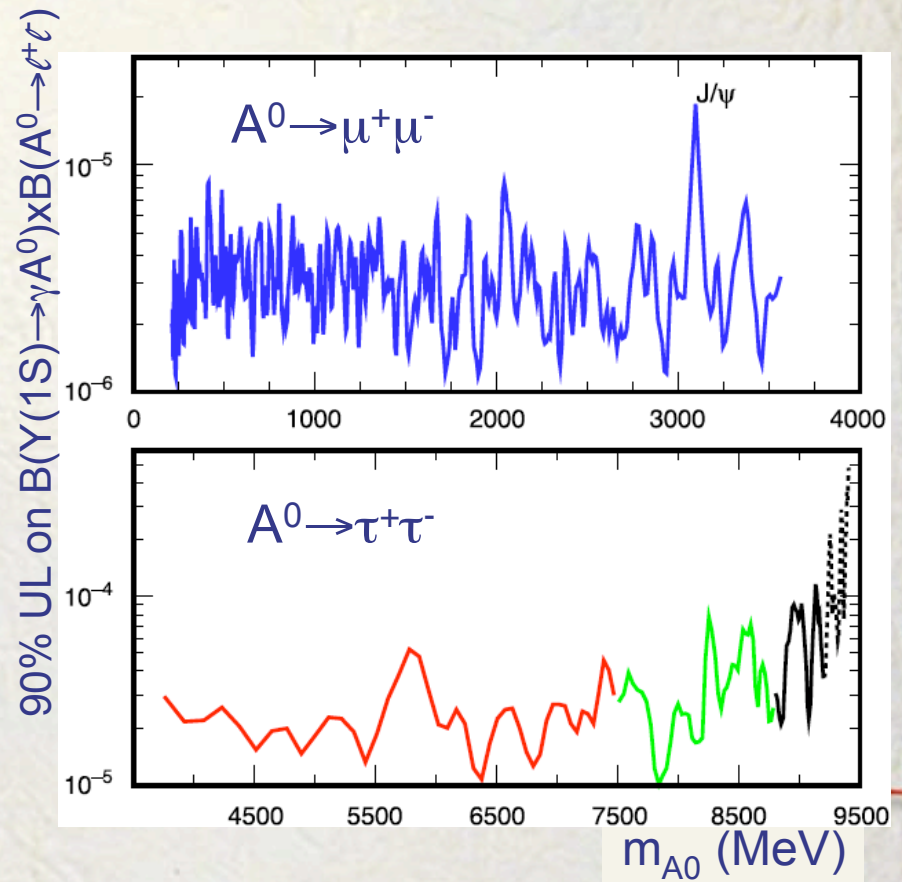
- $A^0 \rightarrow \mu^+ \mu^-$

- UL @  $10^{-6} \sim 10^{-5}$
- $m_{A^0}$  range:  
0.201 ~ 3.565 GeV

- $A^0 \rightarrow \tau^+ \tau^-$

- UL @  $10^{-5} \sim 10^{-4}$
- $m_{A^0}$  range:  
4.03 ~ 9.5 GeV

*W. Love et al., PRL 101, 151802 (2008)*



# Searches for Higgs

$$Y(3S, 2S) \rightarrow \gamma A^0, A^0 \rightarrow \mu^+ \mu^-$$

$$Y(3S) \rightarrow \gamma A^0, A^0 \rightarrow \tau^+ \tau^-$$

$$Y(3S) \rightarrow \gamma A^0, A^0 \rightarrow \text{invisible}$$



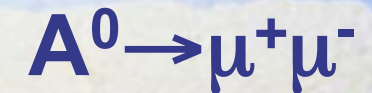
$$Y(2S,3S) \rightarrow \gamma A^0, A^0 \rightarrow \mu^+ \mu^-$$

### Event Selection

- Fully-reconstructed final state: exactly 2 oppositely-charged tracks, 1 photon with  $E^* > 0.2$  GeV
- At least one track should satisfy  $\mu$  particle ID
- Y candidate is energy and beam spot constrained
- Muon pair & photon are back-to-back in CM
- Signal eff: 24~55%

### Dominant Background

- QED continuum events  $e^+e^- \rightarrow \gamma \mu^+ \mu^-$
- ISR production of  $\rho^0$ 
  - Require both tracks to satisfy muon PID in  $0.5 < m_{A^0} < 1.05$  GeV
- ISR production of Y(1S)
  - $Y(2S) \rightarrow \gamma_2 \chi_b(1P), \chi_b(1P) \rightarrow \gamma_1 Y(1S)$
  - $Y(3S) \rightarrow \gamma_2 \chi_b(2P), \chi_b(2P) \rightarrow \gamma_1 Y(1S)$
  - Require no secondary photon ( $\gamma_2$ ) with  $E^* > 0.1$  (2S), 0.08 (3S) GeV



# Scan strategy

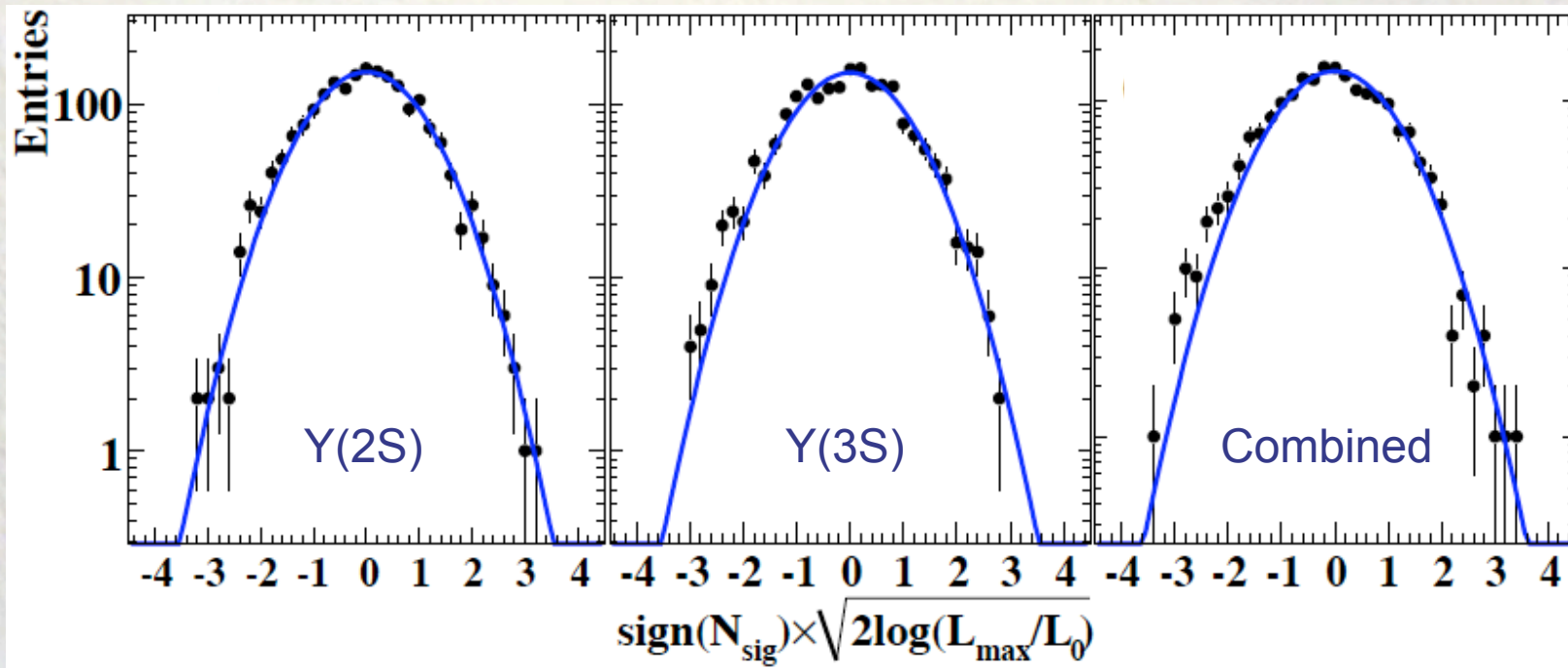
- Extended unbinned ML fit in 1951 scan points
  - Mass range:  $m_{A^0} = 0.212 \sim 9.3$  GeV
  - Step size: 2-5 MeV steps,
- Fit to “reduced mass”  $m_R = \sqrt{m_{A^0}^2 - 4m_\mu^2} = 2|p_\mu^{A^0}|$
- Probability Density Functions:
  - Signal: Sum of two Crystal Ball functions
  - Peaking bkg:  $\phi$ ,  $J/\psi$ ,  $\psi(2S)$ ,  $Y(1S)$ 
    - PDF (same as signal), included in fit
    - $J/\psi$ ,  $\psi(2S)$  veto (exclude  $\sim 40(25)$  MeV near  $J/\psi(\psi(2S))$  mass)
  - Continuum bkg: tanh function for low mass ( $m_{A^0} < 0.23$ ) and Chebychev polynomial



$A^0 \rightarrow \mu^+ \mu^-$

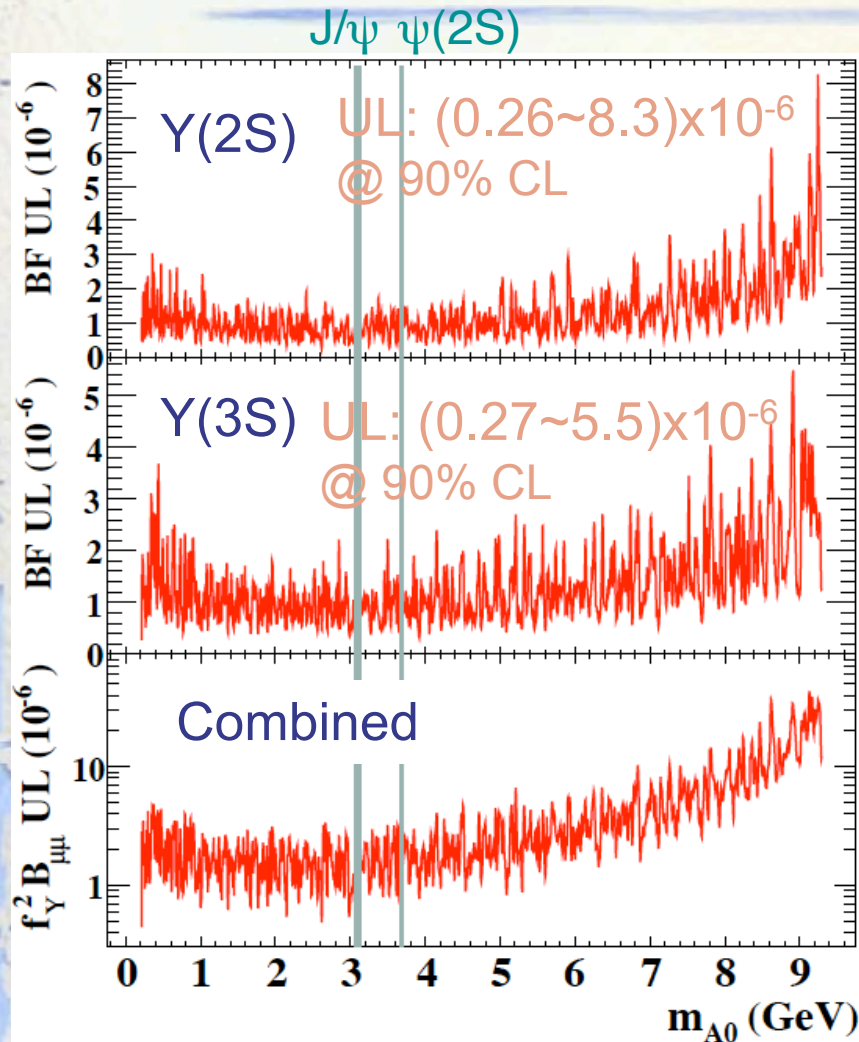
# Scan Result

- $\text{Sign}(N_{\text{sig}}) \times \sqrt{2 \log(L_{\text{max}}/L_0)}$  distribution
- Agrees with standard normal distribution for null hypothesis.  $\Rightarrow$  No significant outliers



$A^0 \rightarrow \mu^+ \mu^-$

# Results



- Rule out Higgs interpretation of HyperCP events
- Limit on  $\text{BF}(\eta_b \rightarrow \mu^+ \mu^-) < 0.9\%$  at 90% CL
- Combined result is related to the effective Yukawa coupling  $f_Y$

$$\frac{\mathcal{B}(\Upsilon(nS) \rightarrow \gamma A^0)}{\mathcal{B}(\Upsilon(nS) \rightarrow l^+ l^-)} = \frac{f_Y^2}{2\pi\alpha} \left( 1 - \frac{m_{A^0}^2}{m_{\Upsilon(nS)}^2} \right)$$

- For  $m_{A^0} < 1 \text{ GeV}$ ,  $f_Y < 0.12 f_Y^{\text{SM}}$

PRL 103, 081803 (2009)



# Searches for Higgs

$$Y(3S, 2S) \rightarrow \gamma A^0, A^0 \rightarrow \mu^+ \mu^-$$

$$Y(3S) \rightarrow \gamma A^0, A^0 \rightarrow \tau^+ \tau^-$$

$$Y(3S) \rightarrow \gamma A^0, A^0 \rightarrow \text{invisible}$$

$$Y(3S) \rightarrow \gamma A^0, A^0 \rightarrow \tau^+ \tau^-$$

### Event Selection

- Both  $\tau$  decay leptonically (ee, e $\mu$ ,  $\mu\mu$  modes)
- Partially-reconstructed final states: 1 photon with  $E_\gamma > 100\text{MeV}$ , exactly two charged tracks
- Signal eff: 10~14%(ee), 22~26%(e $\mu$ ), 12~20%( $\mu\mu$ )
- $E_\gamma$  resolution: 8~55MeV grows with  $E_\gamma$

### Dominant Background

- QED continuum events  $e^+e^- \rightarrow \gamma\tau^+\tau^-$
- Higher order QED events such as  $e^+e^- \rightarrow e^+e^-e^+e^-$ ,  $e^+e^- \rightarrow e^+e^-\mu^+\mu^-$
- Constrain on missing mass/angle, angle btw photon and plane of leptons, total energy, ...
- Optimize in 5  $E_\gamma$  ranges

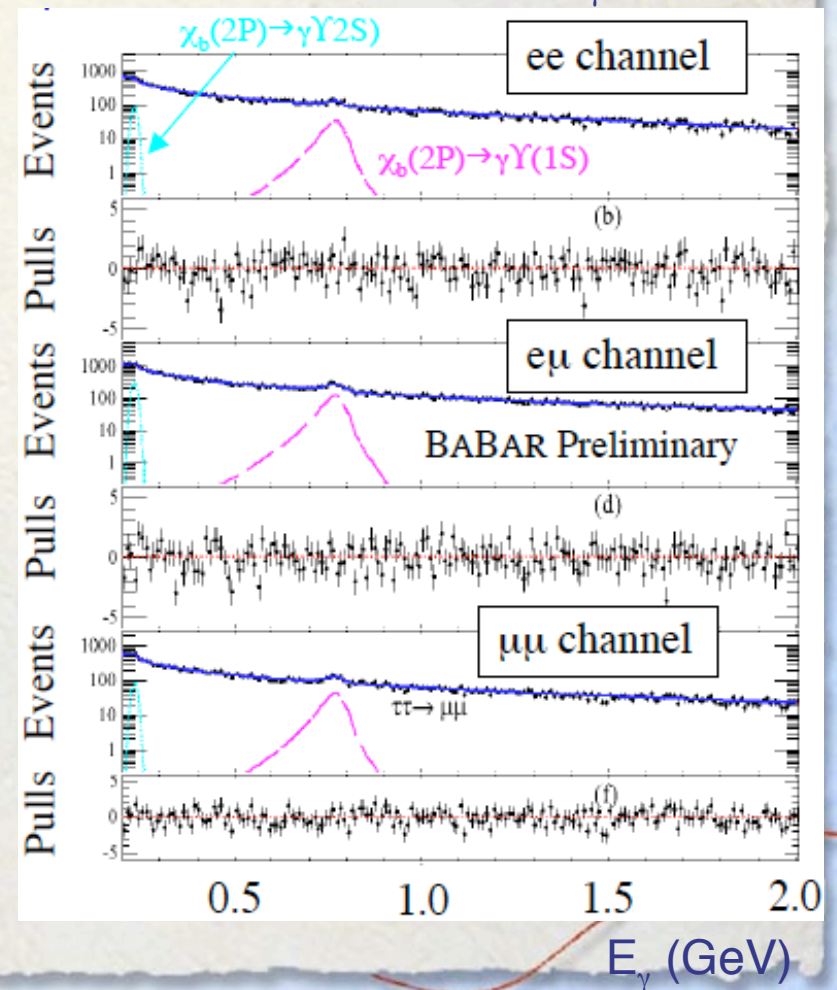


$$A^0 \rightarrow \tau^+ \tau^-$$

# Scan Strategy

- Binned ML fit in 307 scan points, simultaneously to all modes in  $E_\gamma$  distribution (step size =  $0.5 \times \sigma(E_\gamma)$ )
- Signal PDF: Crystal Ball function
- Peaking bkg:
  - $Y(3S) \rightarrow \gamma \chi_b, \chi_b \rightarrow \gamma Y(1S, 2S)$   
 $Y(1S, 2S) \rightarrow \tau^+ \tau^-$
  - Crystal Ball functions

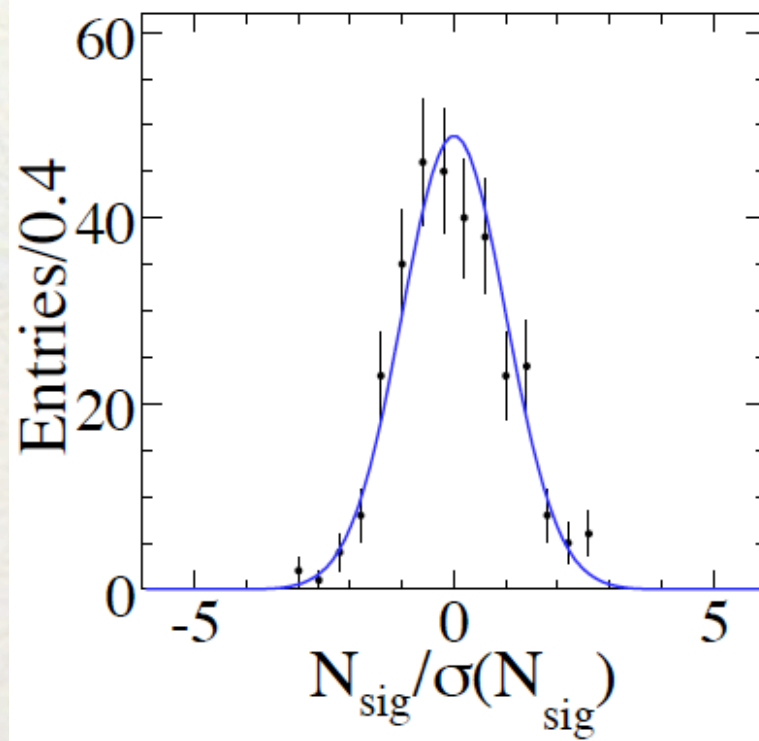
Bkg distribution for  $E_\gamma < 2 \text{ GeV}$



$A^0 \rightarrow \tau^+ \tau^-$

# Scan Result

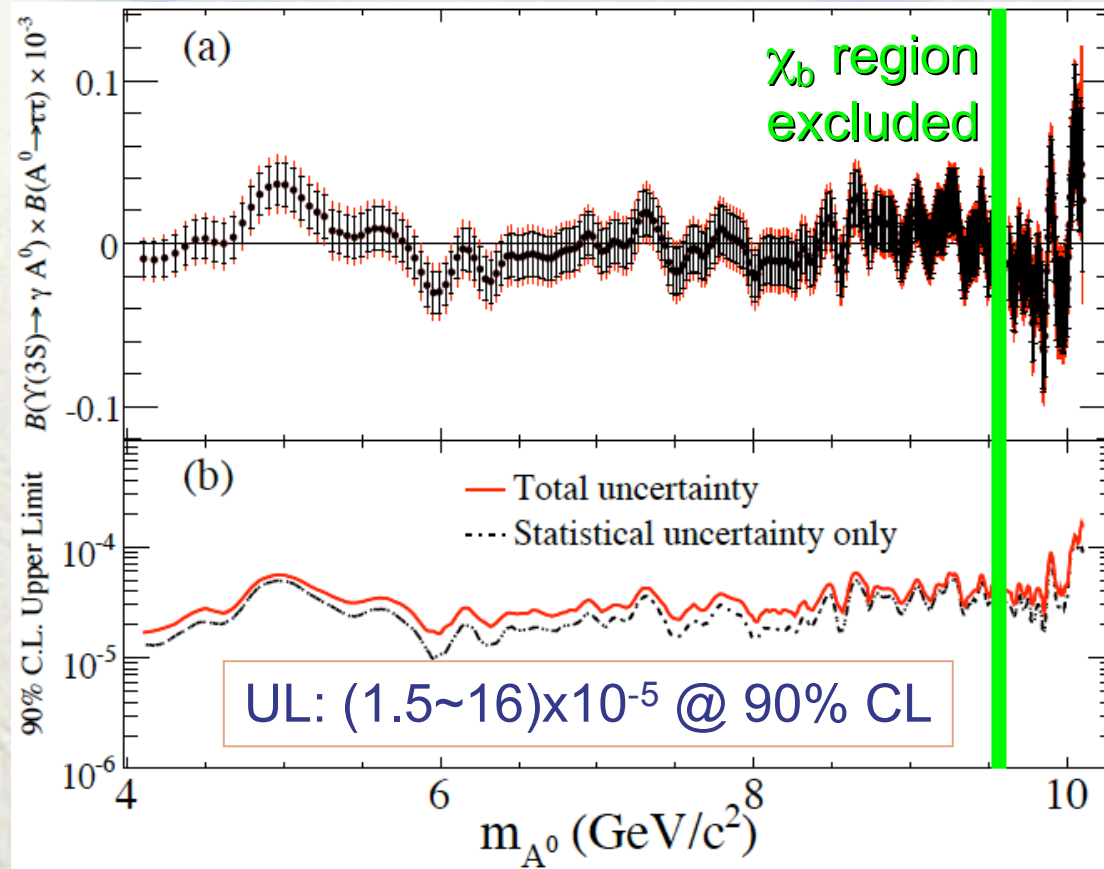
- $N_{\text{sig}}/\sigma(N_{\text{sig}})$  distribution
- Agrees with standard normal distribution for null hypothesis.  $\Rightarrow$  No significant outliers





$$A^0 \rightarrow \tau^+ \tau^-$$

# Results



Submitted to PRL  
arXiv:0906.2219

- Set a limit on  $BF(\eta_b \rightarrow \tau^+ \tau^-) < 8\%$  at 90% CL

# Searches for Higgs

$$Y(3S, 2S) \rightarrow \gamma A^0, A^0 \rightarrow \mu^+ \mu^-$$

$$Y(3S) \rightarrow \gamma A^0, A^0 \rightarrow \tau^+ \tau^-$$

$$Y(3S) \rightarrow \gamma A^0, A^0 \rightarrow \text{invisible}$$



# $Y(3S) \rightarrow \gamma A^0, A^0 \rightarrow \text{invisible}$

- Require a single photon with  $E_\gamma^* > 2.2 \text{ GeV}$ , no charged tracks
- Little activities in the detector is required
- Resolution for signal event:  $1.5 \sim 0.7 \text{ GeV}$ , shrinks as  $m_{A^0}$  increases
- Signal eff:  $10 \sim 11\%$  ( $E_\gamma^* > 3 \text{ GeV}$ ),  $\sim 20\%$  ( $E_\gamma^* < 3 \text{ GeV}$ )

## Dominant Background

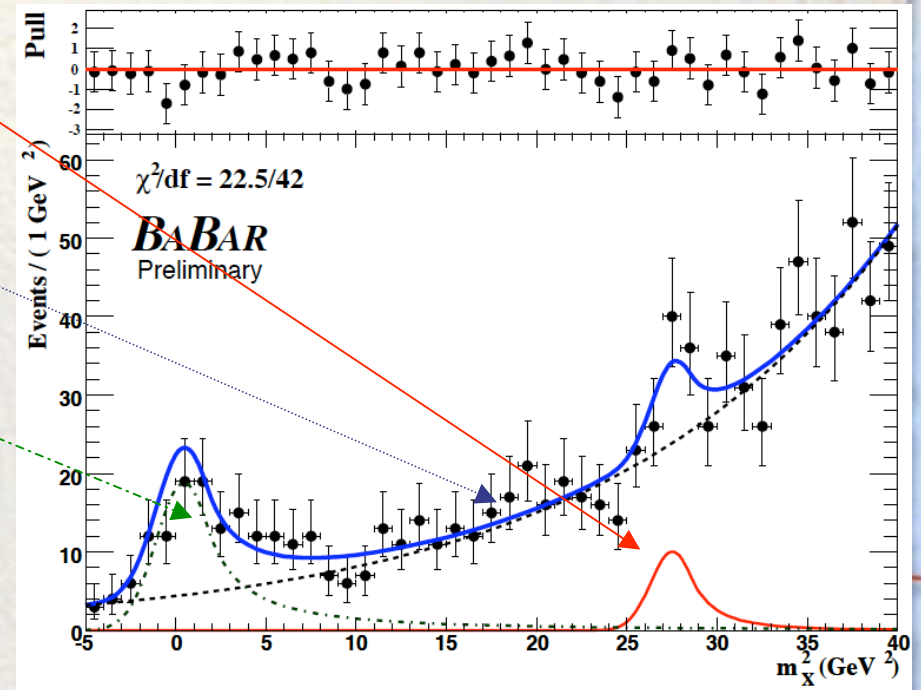
- QED process  $e^+e^- \rightarrow \gamma\gamma$ 
  - Peaking bkg, include in fit
- Radiative Bhabha event  $e^+e^- \rightarrow \gamma e^+e^-$ , two photon fusion event
  - Non peaking, include in fit
- Previous searches by CLEO
  - UL @  $10^{-5} \sim 10^{-3}$  (@90% CL)

*Balest et al., PRD 51, 2053 (1995)*

$A^0 \rightarrow \text{invisible}$

# Scan Strategy

- Unbinned extended ML fit to distribution of missing mass squared  $m_X^2 \equiv m_{\Upsilon(3S)}^2 - 2E_\gamma^* m_{\Upsilon(3S)}$ 
  - In steps of 100 MeV ( $E_\gamma^* > 3\text{GeV}$ ), 25 MeV ( $E_\gamma^* < 3\text{GeV}$ )
- Signal PDF: Crystal Ball
- Continuum PDF: Exponential function
- Peaking Bkg:
  - From  $e^+e^- \rightarrow \gamma\gamma$
  - Fixed in fit

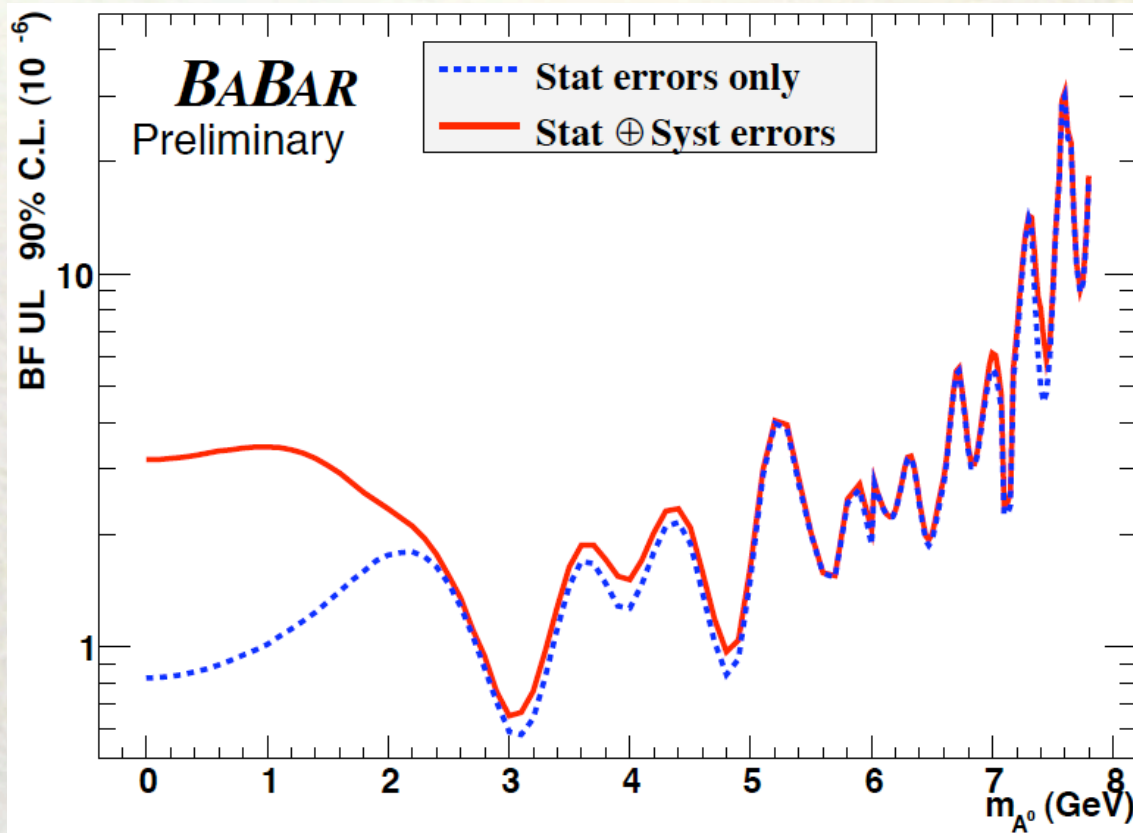




$A^0 \rightarrow \text{invisible}$

# Result

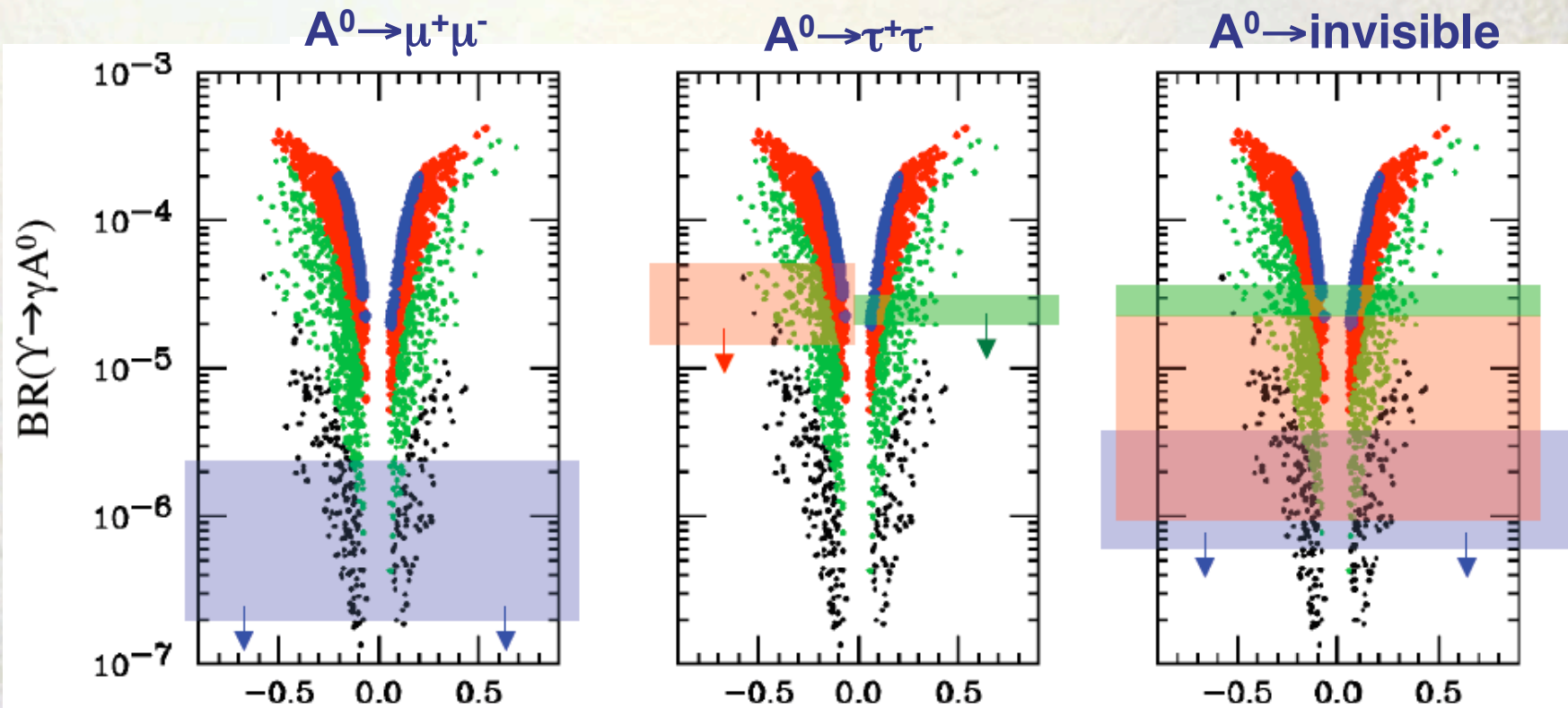
- 90% CL Bayesian UL:  $(0.7 \sim 31) \times 10^{-6}$



Orders of magnitude improvement from CLEO result

Preliminary  
arXiv:0808.0017

# BaBar constraints on NMSSM Predictions for $Y \rightarrow \gamma A^0$



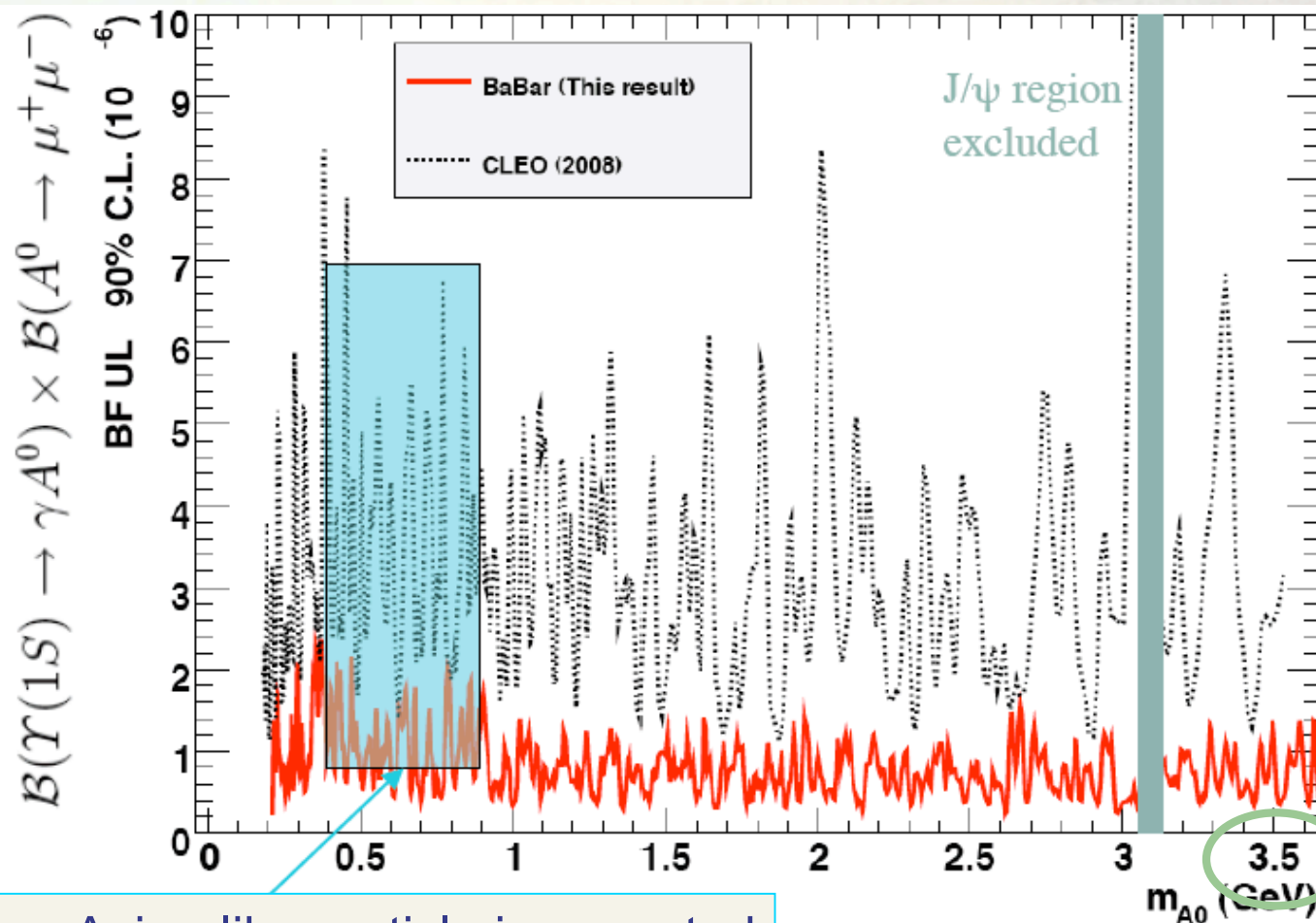
$m_{A^0} < 2m_\tau$   
 $2m_\tau < m_{A^0} < 7.5$  GeV  
 $7.5 < m_{A^0} < 8.8$  GeV  
 $8.8 < m_{A^0} < 9.2$  GeV

Non-singlet fraction ( $\cos\theta_A$ )



$$A^0 \rightarrow \mu^+ \mu^-$$

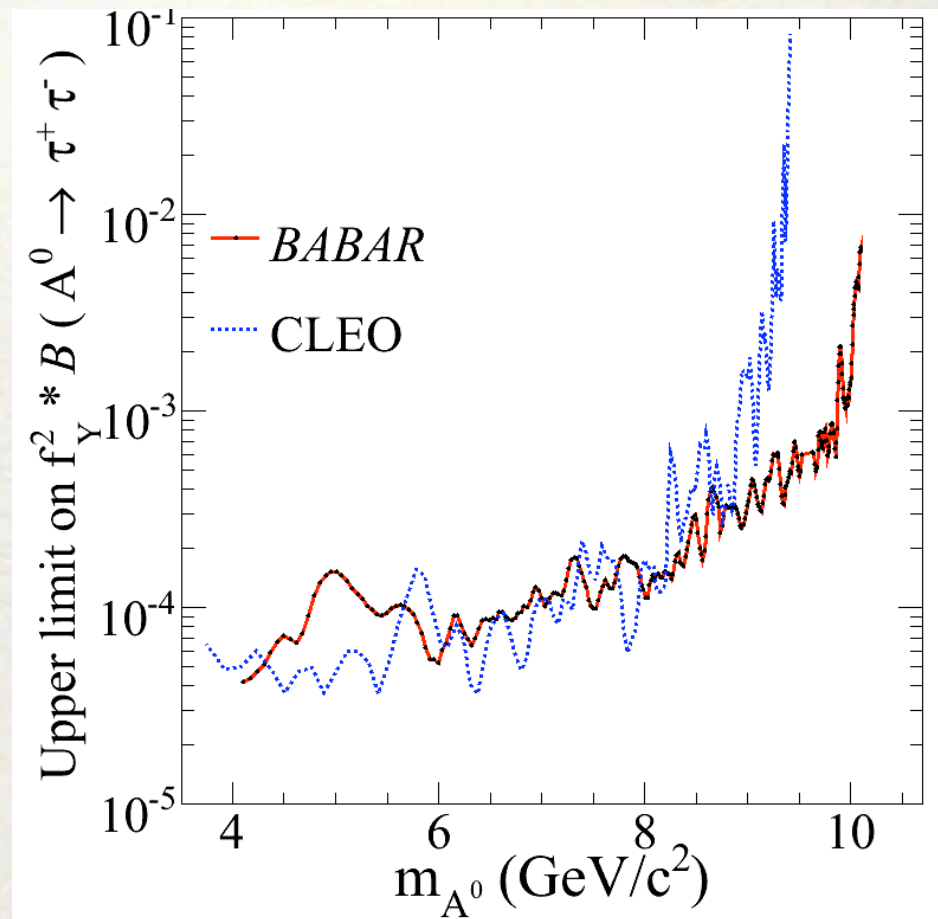
# CLEO-BaBar comparison



Where Axion-like particle is expected

$$A^0 \rightarrow \tau^+ \tau^-$$

# CLEO-BaBar Comparison





# Search for DM

$Y(3S) \rightarrow \pi^+ \pi^- Y(1S)$ ,  $Y(1S) \rightarrow \text{invisible}$

# $Y(3S) \rightarrow \pi^+ \pi^- Y(1S)$ , $Y(1S) \rightarrow \text{invisible}$

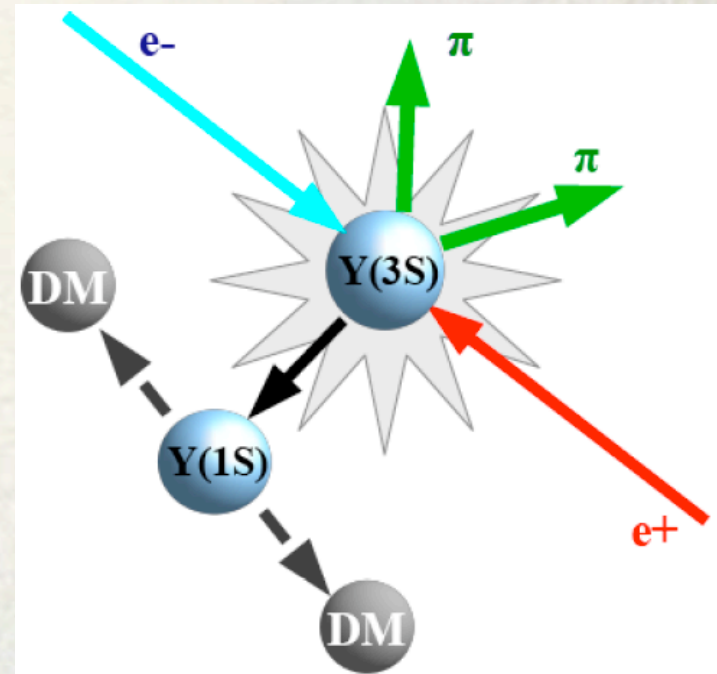
- Estimated Branching Fraction:

- $\text{BF}(Y(1S) \rightarrow \nu\nu) \sim 9.9 \times 10^{-6}$
- $\text{BF}(Y(1S) \rightarrow \chi\chi) \sim 4.2 \times 10^{-4}$  (s-wave)
- $\text{BF}(Y(1S) \rightarrow \chi\chi) \sim 1.8 \times 10^{-3}$  (p-wave)

- To ensure  $Y(1S)$  in the event with suppressed bkg:  
 $Y(3S) \rightarrow \pi^+ \pi^- Y(1S)$

- Previous searches by

- CLEO,  $\text{BF}(Y(1S) \rightarrow \text{invisible}) < 3.9 \times 10^{-3}$  @ 90%CL  
*PRD 75, 031104 (2007)*
- Belle,  $\text{BF}(Y(3S) \rightarrow \pi^+ \pi^- Y(1S), Y(1S) \rightarrow \text{invisible}) < 2.5 \times 10^{-3}$  @ 90%CL  
*PRL 98, 132001 (2007)*





# $Y(3S) \rightarrow \pi^+ \pi^- Y(1S)$ , $Y(1S) \rightarrow$ invisible

## Event Selection

- Exactly two low-momentum oppositely charged tracks & little activity in the detector
- Cuts optimized using multivariate method
  - $\pi^+ \pi^-$  vertex is from IP
  - Angle and  $p_T$  of  $\pi^+ \pi^-$
  - $\pi$  pass e, K,  $\mu$  PID or not
- Signal efficiency  $\sim 18\%$

## Background

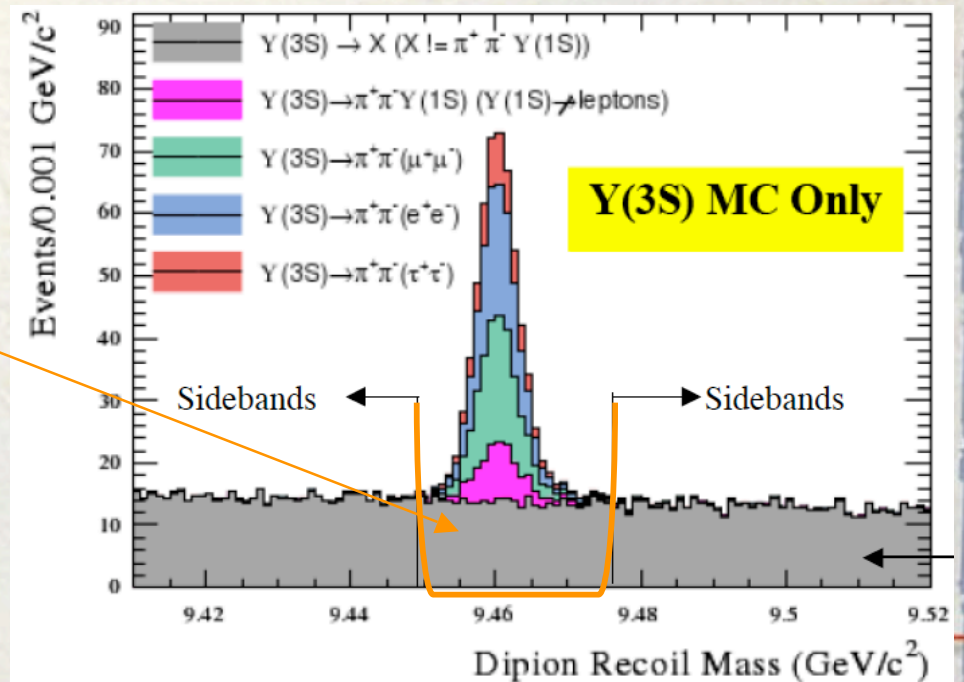
- Non-peaking background is suppressed by more than a factor of 1000
- Peaking backgrounds
  - where  $Y(3S)$  decays into leptons/hadrons
- Use  $Y(1S) \rightarrow \ell^+ \ell^-$  sample with 1 or 2 leptons reconstructed for calibration, cross-checks

Y(1S) → invisible

# Strategy

Y(1S) mass is known!

- Look at recoil mass  $M_{\text{rec}}^2 = s + M_{\pi\pi}^2 - 2\sqrt{s}E_{\pi\pi}^*$ 
  - $\text{Sqrt}(s) = 10.3552 \text{ GeV}/c^2$
  - $M_{\text{rec}}$  should be Y(1S) mass
- Do not look at data in Signal Region
  - Optimize cuts using signal MC and sidebands
  - From MC  $2444 \pm 123$  peaking background events are expected



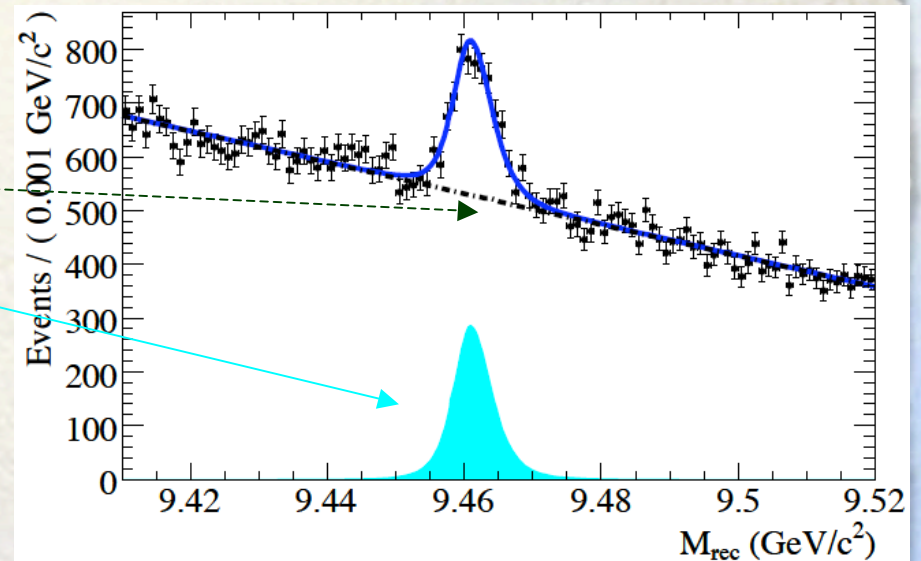


Y(1S) → invisible

# Result

Extended unbinned ML fit to recoil mass distribution on data

- Non-peaking bkg:
  - 1st order polynomial
- Peaking PDF (Crystal Ball)
  - Includes signal and peaking background
  - Yield:  $2326 \pm 105$  evts
- Bayesian UL at 90% CL:  
 $\text{BF}(Y(1S) \rightarrow \text{invis.}) < 3.0 \times 10^{-4}$
- $91.4 \times 10^6$  Y(3S) events used for BF/UL calculation



- Order of magnitude improvement from Belle result

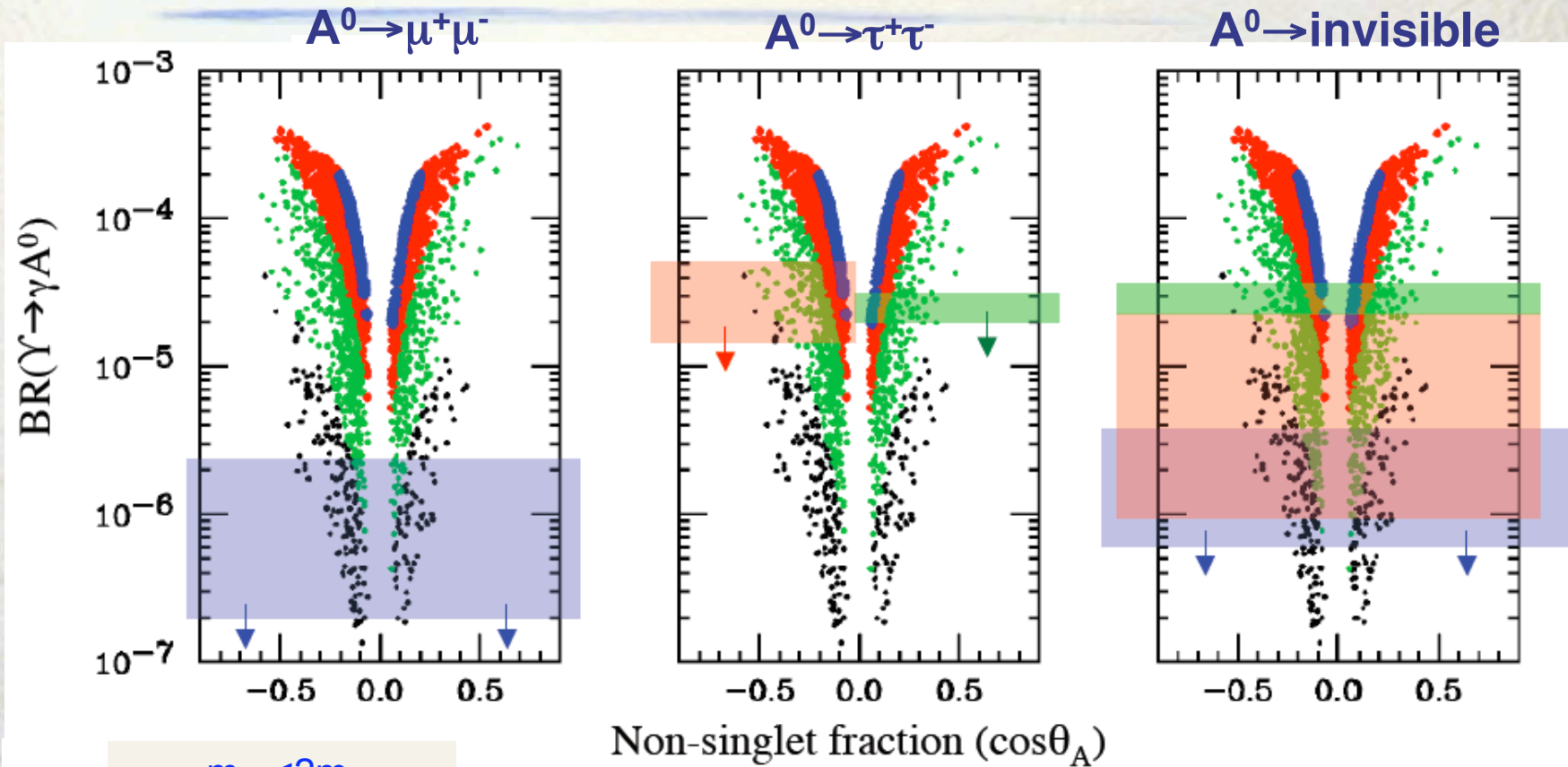
Submitted to PRL  
arXiv:0908.2840

# Summary

- No observed signal of CP-odd Higgs in radiative  $Y(2S,3S)$  decays in  $\mu^+\mu^-$ ,  $\tau^+\tau^-$ , invisible final states
  - Set upper limits that rule out much of available parameter space
  - Rule out Higgs interpretation of HyperCP anomaly
  - Set the first limits on BF of exclusive  $\eta_b$  decays
    - $\text{BF}(\eta_b \rightarrow \mu^+\mu^-) < 0.9\%$  ,  $\text{BF}(\eta_b \rightarrow \tau^+\tau^-) < 8\%$  (@90% CL)
- No observed signal of DM in invisible decays of  $Y(1S)$ 
  - Set the most stringent UL:  $\text{BF}(Y(1S) \rightarrow \text{invis.}) < 3.0 \times 10^{-4}$
  - Significant constraints on the models of light DM



# Summary



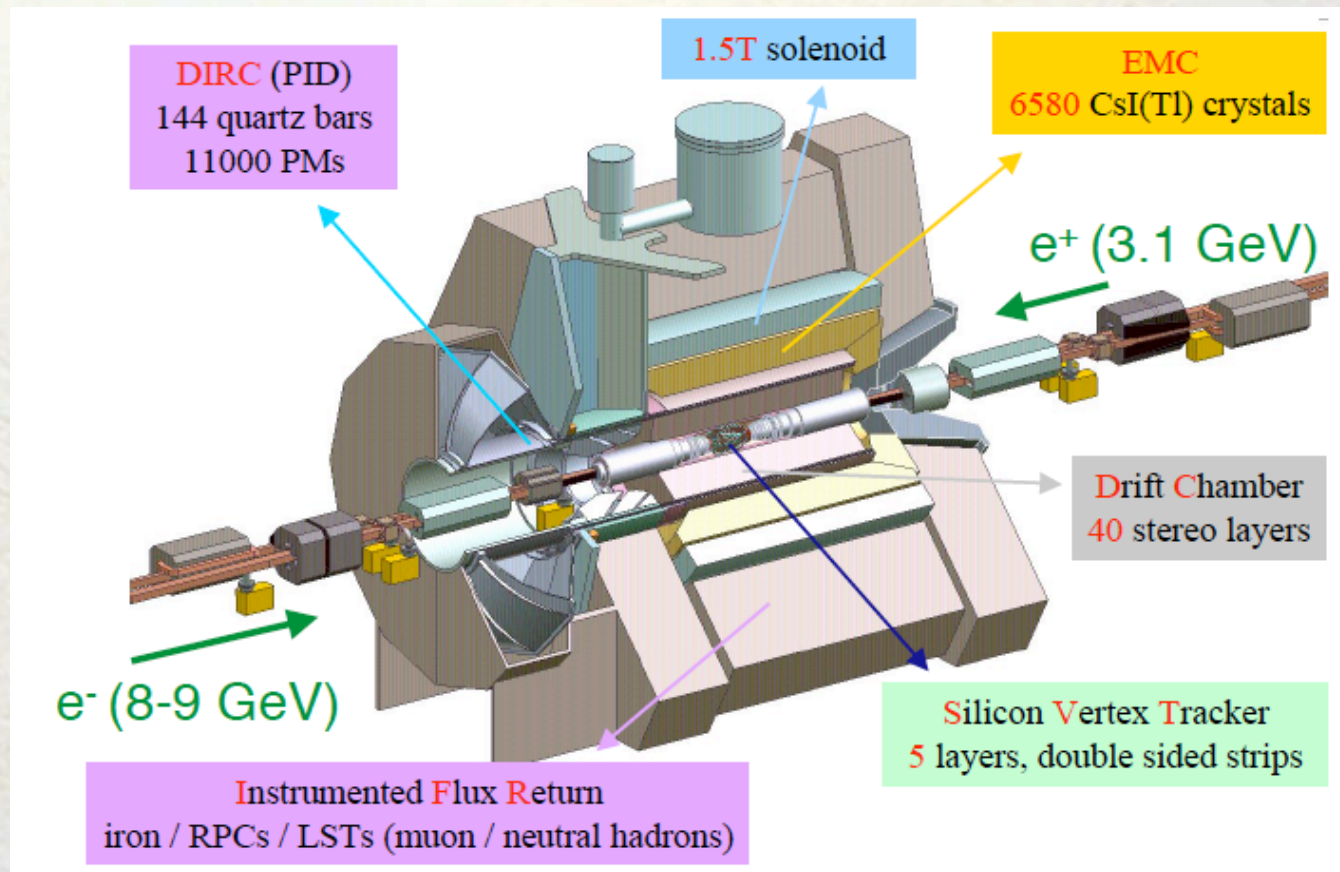
$m_{A^0} < 2m_\tau$   
 $2m_\tau < m_{A^0} < 7.5 \text{ GeV}$   
 $7.5 < m_{A^0} < 8.8 \text{ GeV}$   
 $8.8 < m_{A^0} < 9.2 \text{ GeV}$

$\text{BF}(Y(1S) \rightarrow \text{invis.}) < 3.0 \times 10^{-4}$   
 CLEO, Belle's UL  $\sim 10^{-3}$   
 Theory prediction:  $10^{-4} \sim 10^{-3}$

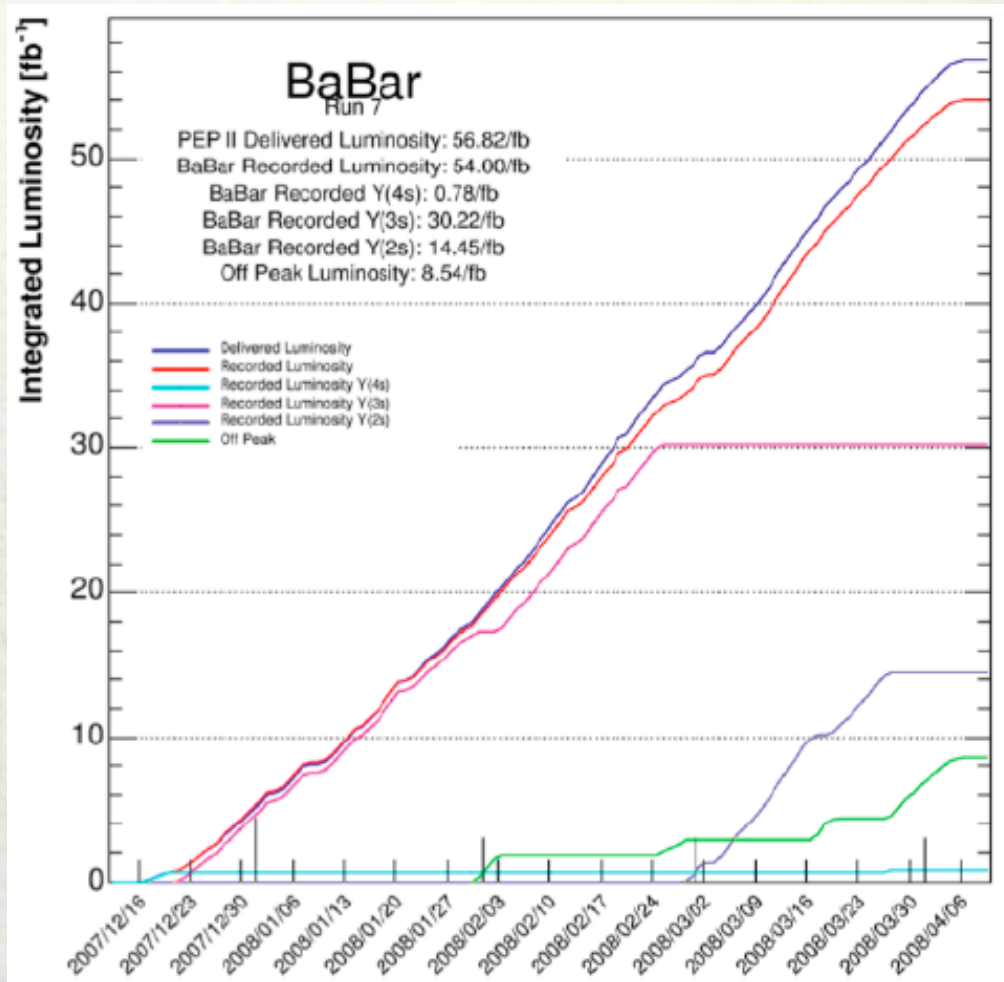
**BACKUP**



# BaBar Detector



# BaBar 2008 Dataset



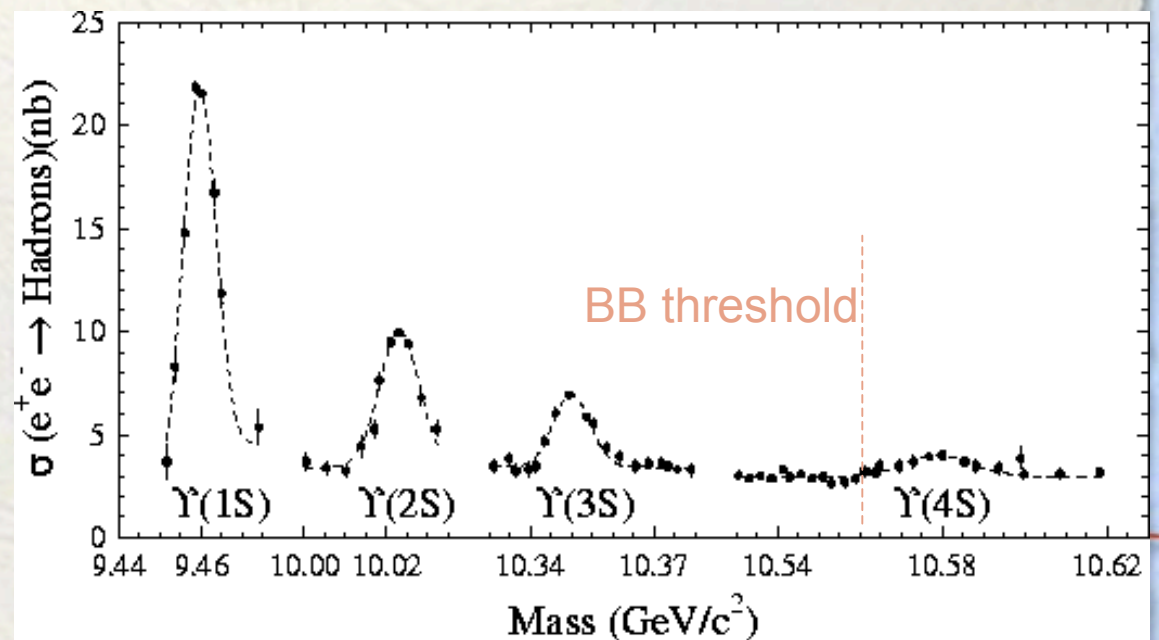
122 Million Y(3S)

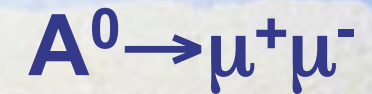
99 Million Y(2S)



# Searches for Higgs from Upsilon decays

- $\Upsilon(1\sim 3S)$  has better sensitivity to new physics than  $\Upsilon(4S)$  due to narrow width.
  - $\Gamma_{1\sim 3S} : 20\sim 50 \text{ keV}$
  - $\Gamma_{4S} : \sim 20 \text{ MeV}$





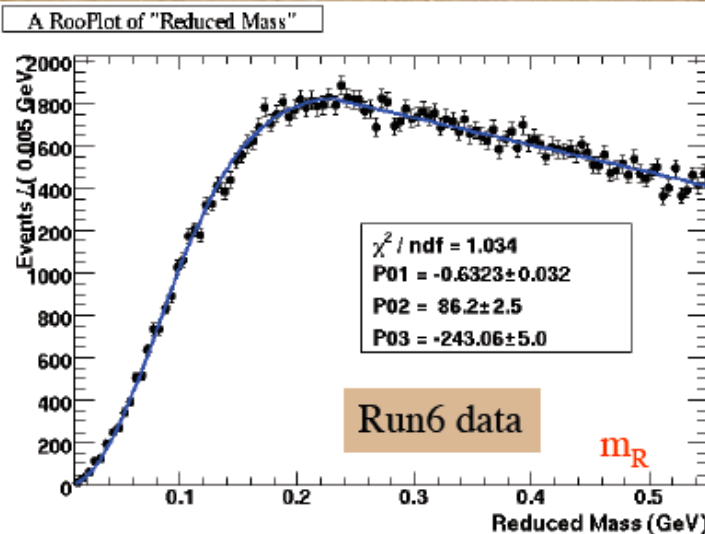
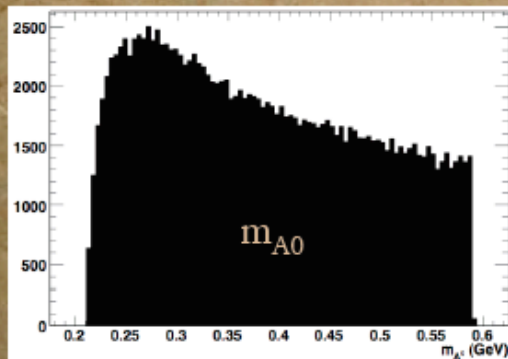
# Reduced Mass

- Signal extraction: ML fit in slices of invariant mass

- Variable of choice is “reduced mass”

$$m_R = \sqrt{m_{A^0}^2 - 4m_\mu^2} = 2|p_\mu^{A^0}|$$

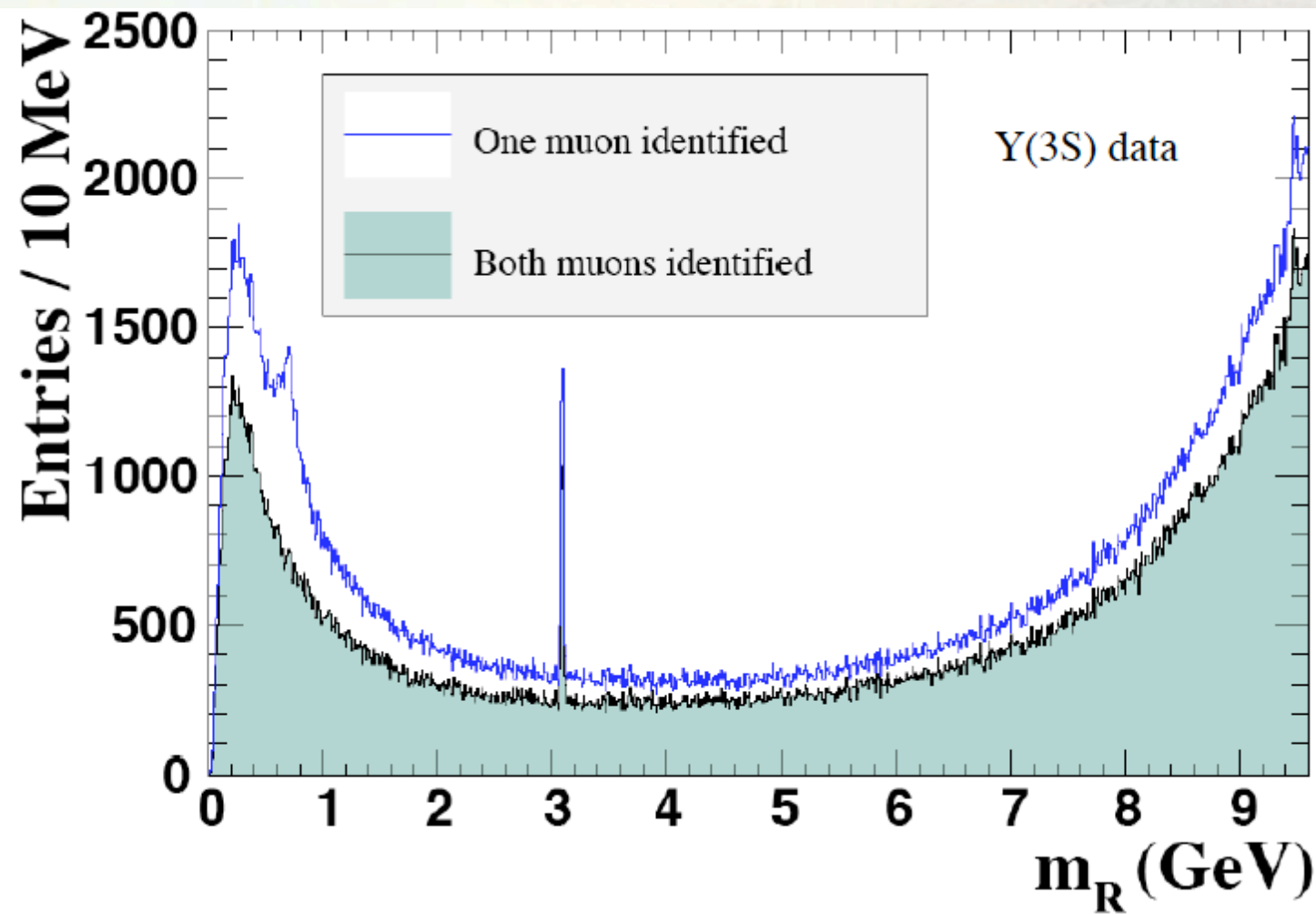
- Smooth threshold behavior





$$A^0 \rightarrow \mu^+ \mu^-$$

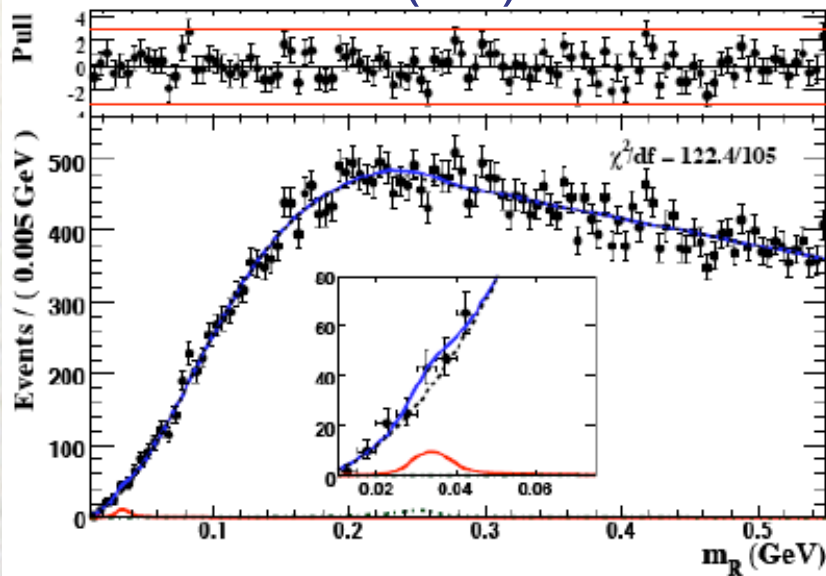
# Reduced Mass distribution



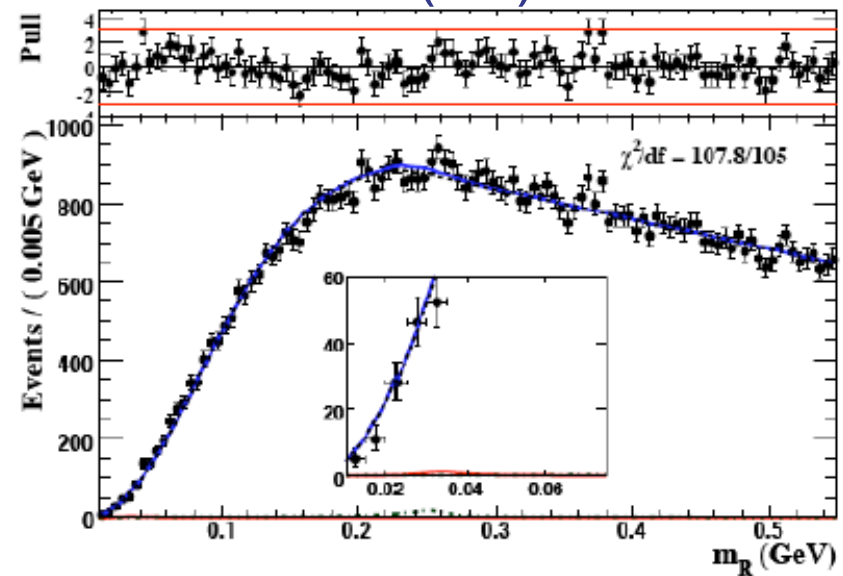
$A^0 \rightarrow \mu^+ \mu^-$

# HyperCP Mass ( $m_{A^0} = 214 \text{ MeV}$ )

Y(2S)



Y(3S)



$$f_Y^2(m_{A^0} = 0.214 \text{ GeV}) < 1.6 \times 10^{-6} \text{ at } 90\% \text{ C.L.}$$

$$m_{A^0} = 0.214 \text{ GeV} \sim m_R = 0.034 \text{ GeV}$$



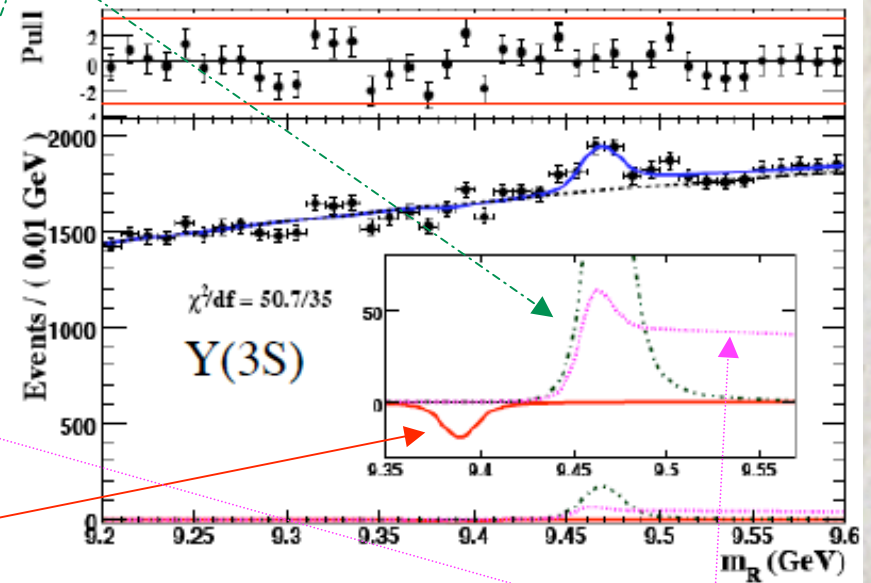
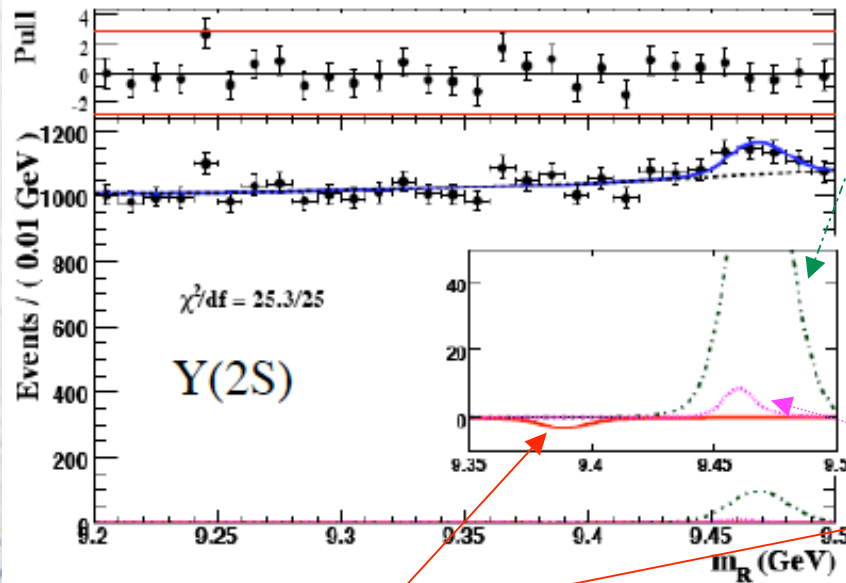
$A^0 \rightarrow \mu^+ \mu^-$

# $\eta_b$ region

$e^+e^- \rightarrow \gamma Y(1S)$

Y(2S)

Y(3S)



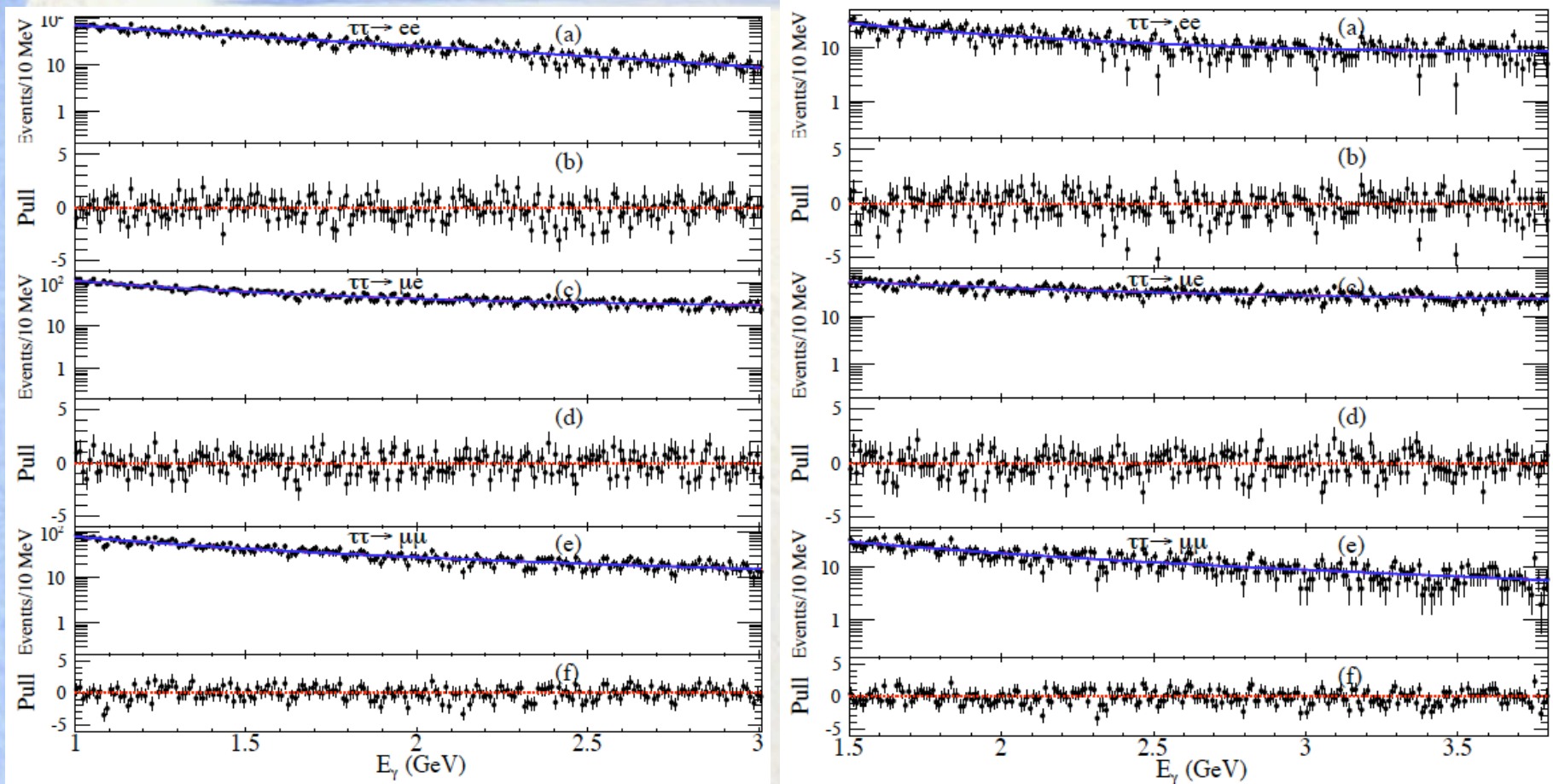
signal

$Y(3S) \rightarrow \gamma \chi_b(2P), \chi_b(2P) \rightarrow \gamma Y(1S)$

$$m_{A^0} = 9.389 \text{ GeV} \sim m_R = 9.387 \text{ GeV}$$

$$A^0 \rightarrow \tau^+ \tau^-$$

# Background distributions



2nd and 3rd out of 5 photon energy regions



$A^0 \rightarrow \tau^+ \tau^-$

# Scan Result

