

Nearest-Neighbour-Interactions and Abelian Discrete Flavour Symmetries

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In Collaboration with

G. C. Simões (Work in progress)

G. C. Branco and C. Simões, *Phys. Lett. B* 690 (2010) 62

C. Simões, *Phys. Rev. D* 79 (2009) 073006

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- ❖ The simplest attempt for understanding the flavour structure encoded in the fermion mass matrices is by imposing some texture zeroes on the matrix elements which may reflect a flavour symmetry
- ❖ Many consistent texture zero structures can be found in the literature where some zeroes have or **not** Physical content
- ❖ NNI basis is non-Hermitian and has a maximum number of zeroes without physical implications [8]
- ❖ Fritzsch Ansatz: NNI and Hermiticity is ruled out \implies deviations from the hermiticity in the quark sector
- ❖ Can the NNI arise from Physics Beyond the Standard Model

- ❖ NNI structure and deviations from Fritzsche Ansatz
- ❖ Discrete Flavour Symmetries
- ❖ Flavour Symmetries in GUT Models
- ❖ Conclusions

Nearest-Neighbour-Interaction Basis

[Branco, Lavoura, Mota]

- ❖ Obtained through Weak Basis Transformations
- ❖ 8 Zeroes in Non-Hermitian Mass Matrices
- ❖ No further Physical Consequences, just a weak basis!
- ❖ Completely factorisable $V = O_u^\top K O_d$

$$M_u = \begin{pmatrix} 0 & A_u & 0 \\ A'_u & 0 & B_u \\ 0 & B'_u & C_u \end{pmatrix} \quad M_d = \begin{pmatrix} 0 & A_d & 0 \\ A'_d & 0 & B_d \\ 0 & B'_d & C_d \end{pmatrix}$$

- ❖ or equivalently

$$(H_u)_{12} = 0 \quad (H_d)_{12} = 0$$

- ❖ with $H_{u,d} = M_{u,d} M_{u,d}^\dagger$
- ❖ Can one have Hermiticity in addition?
Fritzsch Ansatz [Physical Implications]

Fritzsch Ansatz

- ❖ NNI plus Hermiticity
- ❖ The orthogonal matrices $O^T H O = \text{diag}(m_1^2, m_2^2, m_3^2)$ are just function of mass ratios [exact]

$$O = \begin{pmatrix} \sqrt{\frac{m_2 m_3 (m_3 + m_2)}{(m_2 - m_1)(m_3 + m_2 + m_1)(m_3 - m_1)}} & -\sqrt{\frac{m_1 m_3 (m_3 + m_1)}{(m_1 - m_2)(m_3 + m_2 + m_1)(m_3 - m_2)}} & \sqrt{\frac{m_1 m_2 (m_1 - m_2)}{(m_3 - m_1)(m_3 - m_2 + m_1)(m_3 - m_2)}} \\ \sqrt{\frac{m_1 (m_3 + m_2)}{(m_1 - m_2)(m_3 - m_1)}} & \sqrt{\frac{m_2 (m_3 + m_1)}{(m_2 - m_1)(m_3 - m_2)}} & \sqrt{\frac{m_3 (m_2 + m_1)}{(m_3 - m_1)(m_2 - m_3)}} \\ -\sqrt{\frac{m_1 (m_2 + m_1)(m_3 + m_1)}{(m_2 - m_1)(m_3 + m_2 + m_1)(m_3 - m_1)}} & -\sqrt{\frac{m_2 (m_2 + m_1)(m_3 + m_2)}{(m_2 - m_1)(m_3 + m_2 + m_1)(m_3 - m_2)}} & \sqrt{\frac{m_3 (m_3 + m_2)(m_3 + m_1)}{(m_3 - m_1)(m_3 + m_2 + m_1)(m_2 - m_3)}} \end{pmatrix}$$

- ❖ If one takes into account the quark mass Hierarchy and neglect the up Sector contribution

$$V \simeq O_d \simeq \begin{pmatrix} 1 & -\sqrt{\frac{m_d}{m_s}} & \frac{m_s}{m_b} \sqrt{\frac{m_d}{m_b}} \\ \sqrt{\frac{m_d}{m_s}} & 1 & \sqrt{\frac{m_s}{m_b}} \\ -\sqrt{\frac{m_d}{m_b}} & -\sqrt{\frac{m_s}{m_b}} & 1 \end{pmatrix}$$

- ❖ Ruled out: m_t too heavy and V_{cb} inadequate
- ❖ Deviations of the Fritzsch Ansatz

Experimental Quark Masses and Mixings at M_Z scale

[G. Rodrigo et al, Phys. Lett. B 313 (1993) 441
Y. Koide et al, Phys. Rev. D 57 (1998) 3986
Z. z. Xing at al, Phys. Rev. D 77 (2008) 113016]

- ❖ Rungnick quark masses from PDG'10 to M_Z in the \overline{MS} scheme using RGE for QCD @ 4 loops:

$$m_u = 1.4 \pm 0.5 \text{ MeV} \quad m_c = 0.62_{-0.07}^{+0.06} \text{ GeV} \quad m_t = 170.2 \pm 1.0 \text{ GeV}$$
$$m_d = 2.9 \pm 0.5 \text{ MeV} \quad m_s = 58_{-12}^{+16} \text{ MeV} \quad m_b = 2.86_{-0.06}^{+0.16} \text{ GeV}$$

- ❖ CKM constructed from $|V_{us}|$, $|V_{ub}|$ and $|V_{cb}|$ and either $\sin 2\beta$ or γ constrained by J

$$\beta \equiv \arg(-V_{cd} V_{cb}^* V_{td}^* V_{tb}) \quad \gamma \equiv \arg(-V_{ud} V_{ub}^* V_{cd}^* V_{cb}) \quad J \equiv \text{Im}(V_{us} V_{ub}^* V_{cs}^* V_{cb})$$

$$|V_{us}| = 0.2253 \pm 0.0007 \quad |V_{ub}| = (3.47_{-0.12}^{+0.16}) \times 10^{-3} \quad |V_{cb}| = (41.0_{-0.07}^{+0.11}) \times 10^{-3}$$

$$\sin 2\beta = 0.673 \pm 0.023 \quad \gamma = (73_{-25}^{+22})^\circ \quad J = (2.91_{-0.11}^{+0.19}) \times 10^{-5}$$

Minimal Deviations from Hermiticity

- Working with $H = MM^\dagger$

$$H = \begin{pmatrix} A^2 & 0 & AB' \\ 0 & A'^2 + B^2 & BC \\ AB' & BC & B'^2 + C^2 \end{pmatrix}$$

- Deviation from Hermiticity

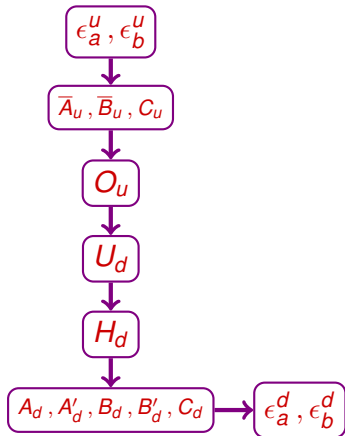
$$\begin{aligned} A &\equiv \bar{A}(1 - \epsilon_a) & A' &\equiv \bar{A}(1 + \epsilon_a) \\ B &\equiv \bar{B}(1 - \epsilon_b) & B' &\equiv \bar{B}(1 + \epsilon_b) \end{aligned}$$

- $\epsilon_a, \epsilon_b \ll 1$ but not zero
- $\epsilon_a = 0 = \epsilon_b$ is the Fritzsche ansatz, ruled out by the data

$$O \simeq \begin{pmatrix} 1 & -\sqrt{\frac{m_1}{m_2}} \left(1 - \epsilon_a - \frac{m_2}{m_3} \epsilon_b\right) & \sqrt{\frac{m_1 m_2^2}{m_3}} (1 + \epsilon_b - \epsilon_a) \\ \sqrt{\frac{m_1}{m_2}} \left(1 - \epsilon_a - \frac{m_1}{m_3} \epsilon_b\right) & 1 & \sqrt{\frac{m_2}{m_3}} (1 - \epsilon_b) \\ -\sqrt{\frac{m_1}{m_3}} (1 - \epsilon_a - \epsilon_b) & -\sqrt{\frac{m_2}{m_3}} \left(1 - \epsilon_b + \frac{m_1}{m_2} \epsilon_a\right) & 1 \end{pmatrix}$$

- Global deviation: $\varepsilon \equiv \sqrt{(\epsilon_a^u)^2 + (\epsilon_b^u)^2 + (\epsilon_a^d)^2 + (\epsilon_b^d)^2} / 2$

- Reconstruction of M_U and M_D from quark masses and ϵ_a and ϵ_b

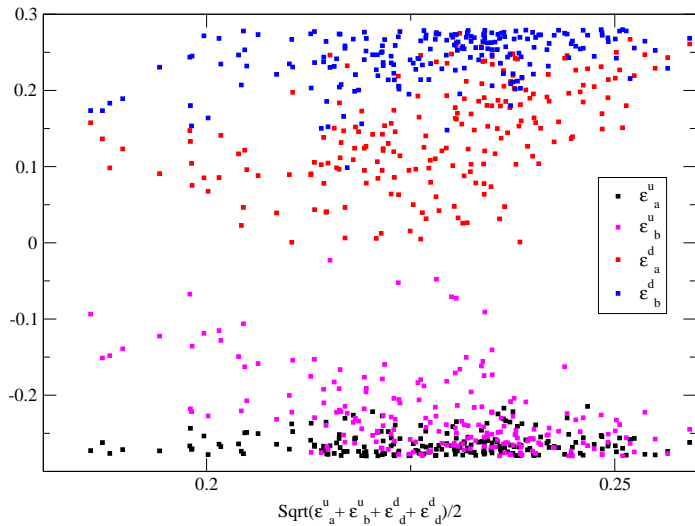


$$\det(H_u) = m_u^2 m_c^2 m_t^2$$
$$\text{Tr}(H_u) = m_u^2 + m_c^2 + m_t^2$$
$$\chi(H_u) = m_u^2 m_c^2 + m_u^2 m_t^2 + m_c^2 m_t^2$$

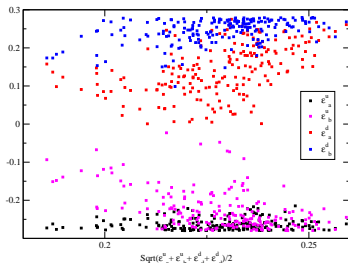
- $U_d = O_u K V$
- K calculated solving $(H_d)_{12} = 0$

$$H_d = U_d \text{diag}(m_d, m_s, m_b) U_d^\dagger$$

Results for NNI at Mz



Results for NNI at Mz



Example:

$$\epsilon_a^u = -0.267982$$

$$\epsilon_b^u = -0.21014$$

$$\epsilon_a^d = 0.230599$$

$$\epsilon_b^d = 0.174908$$

$$\diamond \epsilon \gtrsim 0.188$$

Solution obtained for

$$\begin{aligned} m_U &= 0.001910 \text{ MeV} & m_D &= 0.003273 \text{ MeV} \\ m_C &= 0.552049 \text{ GeV} & m_S &= 0.045785 \text{ MeV} \\ m_t &= 170.395835 \text{ GeV} & m_b &= 2.850807 \text{ GeV} \end{aligned}$$

and

$$\begin{aligned} V_{US} &= 0.225732 & V_{Ub} &= 0.00345311 & V_{Cb} &= 0.0417001 \\ \sin 2\beta &= 0.667825 & J &= 2.93573 \times 10^{-05} \end{aligned}$$

Starting from a symmetric Sector, large deviations in the other sector.

Nearest-Neighbour Interaction

- ❖ What is the minimal scenario for M_u and M_d be NNI form as a result of an Abelian family symmetry in a multi-Higgs extension in the context of the SM?

Discrete Flavour Symmetries

- ❖ NNI quark mass matrix implemented through the introduction of an Abelian family symmetry at the Lagrangian level.

@ least two Higgs doublets are needed: Φ_1, Φ_2

Under \mathbf{Z}_n symmetry: $\psi_j \longrightarrow \psi'_j = e^{i \frac{2\pi}{n} Q(\psi_j)} \psi_j$

$$Q(\Phi_i) \equiv \phi_i \quad Q(Q_{Li}) \equiv q_i \quad Q(u_{Ri}) \equiv u_i \quad Q(d_{Ri}) \equiv d_i$$

The quark fields transformations:

$$\begin{aligned}(q_1, q_2) &= (q_3 + \phi_1 - \phi_2, q_3 - \phi_1 + \phi_2) \\(u_1, u_2, u_3) &= (q_3 - \phi_1 + 2\phi_2, q_3 + \phi_1, q_3 + \phi_2) \\(d_1, d_2, d_3) &= (q_3 - 2\phi_1 + \phi_2, q_3 - \phi_2, q_3 - \phi_1)\end{aligned}$$

Allowed bilinears:

$$\mathcal{Q}(\bar{Q}_{Li}u_{Rj}) = \begin{pmatrix} -2\phi_1 + 3\phi_2 & \phi_2 & -\phi_1 + 2\phi_2 \\ \phi_2 & 2\phi_1 - \phi_2 & \phi_1 \\ -\phi_1 + 2\phi_2 & \phi_1 & \phi_2 \end{pmatrix}$$

$$\mathcal{Q}(\bar{Q}_{Li}d_{Rj}) = \begin{pmatrix} -3\phi_1 + 2\phi_2 & -\phi_1 & -2\phi_1 + \phi_2 \\ -\phi_1 & \phi_1 - 2\phi_2 & -\phi_2 \\ -2\phi_1 + \phi_2 & -\phi_2 & -\phi_1 \end{pmatrix}$$

Discrete Flavour Symmetries

Allowed bilinears:

$$\mathcal{Q}(\bar{Q}_{L_i} u_{R_j}) = \begin{pmatrix} -2\phi_1 + 3\phi_2 & \phi_2 & -\phi_1 + 2\phi_2 \\ \phi_2 & 2\phi_1 - \phi_2 & \phi_1 \\ -\phi_1 + 2\phi_2 & \phi_1 & \phi_2 \end{pmatrix}$$

$$\mathcal{Q}(\bar{Q}_{L_i} d_{R_j}) = \begin{pmatrix} -3\phi_1 + 2\phi_2 & -\phi_1 & -2\phi_1 + \phi_2 \\ -\phi_1 & \phi_1 - 2\phi_2 & -\phi_2 \\ -2\phi_1 + \phi_2 & -\phi_2 & -\phi_1 \end{pmatrix}$$

❖ Neither Z_2 nor Z_3 works:

$$-2\phi_1 + 3\phi_2 = \phi_2 \pmod{2}$$

$$-2\phi_1 + 3\phi_2 = \phi_1 \pmod{3}$$

Minimal symmetry $\rightarrow Z_4$

Discrete Flavour Symmetries

- ❖ The most general Yukawa couplings:

$$-\mathcal{L}_Y = \Gamma_U^1 \bar{Q}_L \tilde{\Phi}_1 U_R + \Gamma_U^2 \bar{Q}_L \tilde{\Phi}_2 U_R \\ + \Gamma_D^1 \bar{Q}_L \Phi_1 d_R + \Gamma_D^2 \bar{Q}_L \Phi_2 d_R + \text{H.c.},$$

$$\tilde{\Phi}_j \equiv i\sigma_2 \Phi_j^*$$

Yukawa matrices $\Gamma_{u,d}^{1,2}$:

$$\Gamma_U^1 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & b_U \\ 0 & b'_U & 0 \end{pmatrix} \quad \Gamma_U^2 = \begin{pmatrix} 0 & a_U & 0 \\ a'_U & 0 & 0 \\ 0 & 0 & c_U \end{pmatrix}$$

$$\Gamma_D^1 = \begin{pmatrix} 0 & a_D & 0 \\ a'_D & 0 & 0 \\ 0 & 0 & c_D \end{pmatrix} \quad \Gamma_D^2 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & b_D \\ 0 & b'_D & 0 \end{pmatrix}$$

Discrete Flavour Symmetries

- ❖ The most general Yukawa couplings:

$$-\mathcal{L}_Y = \Gamma_u^1 \bar{Q}_L \tilde{\Phi}_1 u_R + \Gamma_u^2 \bar{Q}_L \tilde{\Phi}_2 u_R \\ + \Gamma_d^1 \bar{Q}_L \Phi_1 d_R + \Gamma_d^2 \bar{Q}_L \Phi_2 d_R + \text{H.c.},$$

$$\tilde{\Phi}_j \equiv i\sigma_2 \Phi_j^*$$

- ❖ After spontaneous symmetry breaking, Higgs VEV's, $\langle \Phi_1 \rangle \equiv v_1$ and $\langle \Phi_2 \rangle \equiv v_2$ generate NNI mass matrices

$$M_u = \begin{pmatrix} 0 & v_2 a_u & 0 \\ v_2 a'_u & 0 & v_1 b_u \\ 0 & v_1 b'_u & v_2 c_u \end{pmatrix} \quad M_d = \begin{pmatrix} 0 & v_1 a_d & 0 \\ v_1 a'_d & 0 & v_2 b_d \\ 0 & v_2 b'_d & v_1 c_d \end{pmatrix}$$

- ❖ Higher Dimensional Operators $\frac{\lambda}{\Lambda^2} \bar{Q}_{2L} \tilde{\Phi}_1 u_{2R} \Phi_1^\dagger \Phi_2$,

Accidental Global $U(1)$ symmetry

- ❖ Renormalisability implies that Z_4 has to be imposed on the full Lagrangian
- ❖ The most general renormalisable scalar potential consistent with Z_4 and gauge symmetry

$$\mathbf{V} = \mu_1 |\Phi_1|^2 + \mu_2 |\Phi_2|^2 + \lambda_1 |\Phi_1|^4 + \lambda_2 |\Phi_2|^4 \\ + \lambda_3 |\Phi_1|^2 |\Phi_2|^2 + \lambda_4 \Phi_1^\dagger \Phi_2 \Phi_2^\dagger \Phi_1 .$$

- ❖ Potential acquires a new accidental global symmetry which, upon spontaneous symmetry breaking leads to a massless neutral scalar (tree level)
- ❖ Soft-breaking term avoids the problem

$$\mathbf{V}' = \mu_{12} \Phi_1^\dagger \Phi_2 + \text{H.c.}$$

- ❖ Or by introducing a singlet Higgs field [Z_4 charged]

CP Violation in the Model

- ❖ Spontaneous CP Violation is not possible, essentially due to the absence of terms like

$$(\Phi_1^\dagger \Phi_2 \Phi_1^\dagger \Phi_2) + \text{H.c}$$

- ❖ scalar vacuum:

$$\langle \Phi_1 \rangle = \begin{pmatrix} 0 \\ v_1 \end{pmatrix}, \quad \langle \Phi_2 \rangle = \begin{pmatrix} 0 \\ v_2 e^{i\theta} \end{pmatrix},$$

- ❖ Two CP Conserving minima

$$\theta = 0 \quad \text{for} \quad \mu_{12} < 0$$

$$\theta = \pi \quad \text{for} \quad \mu_{12} > 0$$

- ❖ CP Violation via Kobayashi-Maskawa mechanism through Yukawa couplings

NNI in Grand Unification

- ❖ Minimal SM Fermion Content: **SU(5)** and **SO(10)**
- ❖ Quark and Leptons are together in higher dimension multiplets
- ❖ Flavour symmetry which give rise to NNI in the Quark Sector imposes restrictions on the Leptonic Sector
- ❖ **SU(5)**: 5^* , 10 and Right-handed Neutrinos are singlets [minimal field content] and then free Z_4 charges

$$Q(10) = Q(q) = Q(u^c) = Q(e^c)$$

$$Q(5^*) = Q(\ell) = Q(d^c)$$

- ❖ **SO(10)**: all in a unique **16** spinorial representation

$$Q(16) = Q(q) = Q(u^c) = Q(e^c) = Q(\ell) = Q(d^c) = Q(\nu^c)$$

SU(5) and Neutrinos

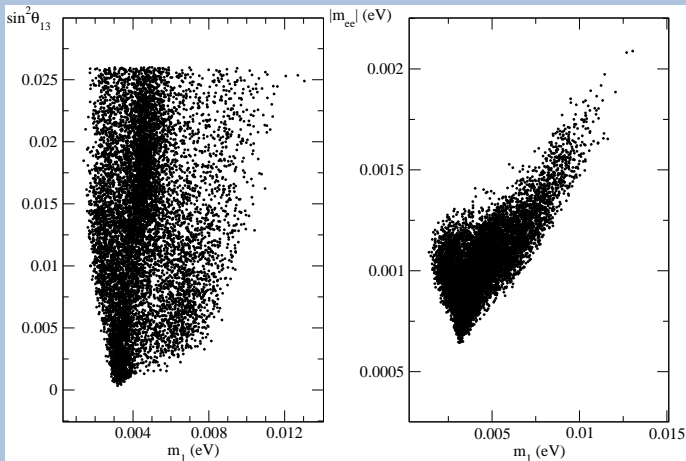
- ❖ Phenomenology makes further implications
- ❖ Effective Neutrino Mass Matrix for $Q(\Phi_1) = 1$

Charges	$\nu_R = (0, 1, 3)$	$\nu_R = (1, 2, 3)$	$\nu_{Ri} \in \{0, 2\}$
	$I_{(123)}$	$II_{(12)}$	$III_{(12)}$
$\phi_2=0$	$\begin{pmatrix} 0 & * & * \\ * & * & 0 \\ * & 0 & 0 \end{pmatrix}$	$\begin{pmatrix} * & * & * \\ * & 0 & 0 \\ * & 0 & * \end{pmatrix}$	$\begin{pmatrix} * & 0 & * \\ 0 & * & 0 \\ * & 0 & * \end{pmatrix}$
$10=(1, 3, 0)$			
$5^*=(2, 0, 1)$			
	II	$I_{(13)}$	III
$\phi_2=2$	$\begin{pmatrix} 0 & * & 0 \\ * & * & * \\ 0 & * & * \end{pmatrix}$	$\begin{pmatrix} * & * & 0 \\ * & 0 & * \\ 0 & * & 0 \end{pmatrix}$	$\begin{pmatrix} * & 0 & 0 \\ 0 & * & * \\ 0 & * & * \end{pmatrix}$
$10=(2, 0, 3)$			
$5^*=(1, 3, 2)$			

- ❖ In the case of **SO(10)** does not work for Z_4
[In the SUSY extension Works!!]

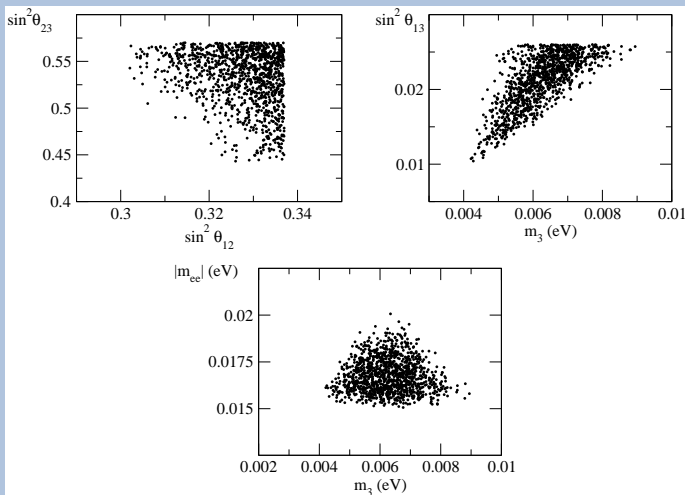
SU(5) and Neutrinos

- ❖ $\sin^2 \theta_{13}$ (left) and $|m_{ee}|$ (right) as a function of m_1 for texture II in the case of normal hierarchy



SU(5) and Neutrinos

- ❖ $\sin^2 \theta_{23}$ versus $\sin^2 \theta_{12}$ (up left), $\sin^2 \theta_{13}$ versus m_3 (up right) and $|m_{ee}|$ versus m_3 (down) for texture II₍₁₂₎ in the case of **inverted hierarchy**



Conclusions

- ❖ NNI textures are in agreement with present experimental data on quark masses and mixings
- ❖ in both quark sectors allowed deviations of hermiticity around 20%
- ❖ The NNI textures for quark mass matrix obtained through Abelian flavour symmetry
- ❖ Minimal realisation in the context of two Higgs doublets is Z_4
- ❖ Accidental Global $U(1)$ symmetry
- ❖ CP Violation through KM mechanism
- ❖ Z_4 in $SU(5)$ and $SO(10)$
- ❖ Special texture Zeroes in the Neutrino Sector



Thank You!