

(Semi)leptonic kaon decays: experimental results & prospects

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Outline:

- 1) Overview of recent and planned kaon experiments;
- 2) Global analysis of (semi)leptonic kaon decay data;
- 3) CKM unitarity and SM tests;
- 4) Summary and prospects.



Overview of kaon experiments

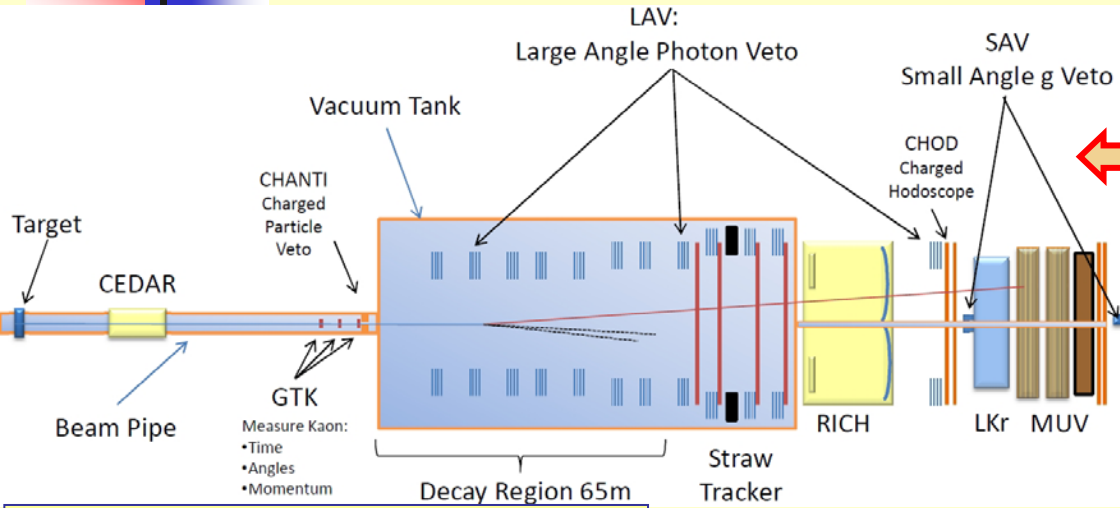
Major recent & future K experiments

<u>FNAL</u> KTeV, P996	<u>BNL</u> E865, 787, 949	<u>CERN</u> NA48, NA62	<u>LNF</u> KLOE, KLOE2	<u>IHEP</u> ISTRA+, OKA	<u>KEK/J-PARC</u> E391, K ⁰ TO, TREK
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NA62, K⁰TO, P996 aim at the “golden modes” $K \rightarrow \pi \nu \nu$.
Several experiments plan $|V_{us}|$ measurements with (semi)leptonic decays: lattice QCD is a crucial ingredient!

Future $K_{\pi\nu\nu}$ experiments

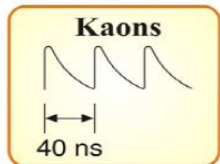
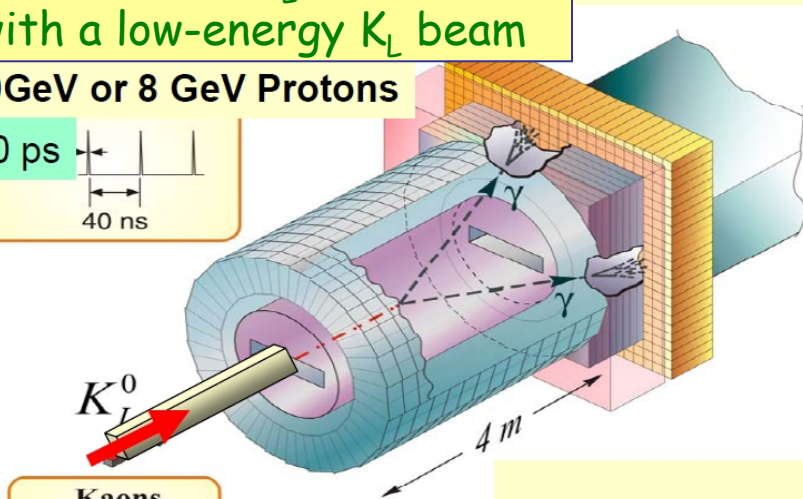
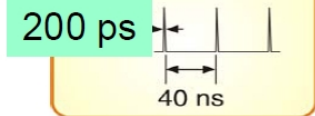


NA62@CERN: decays in flight
Unseparated 75 GeV K^+ beam
Starting in 2013

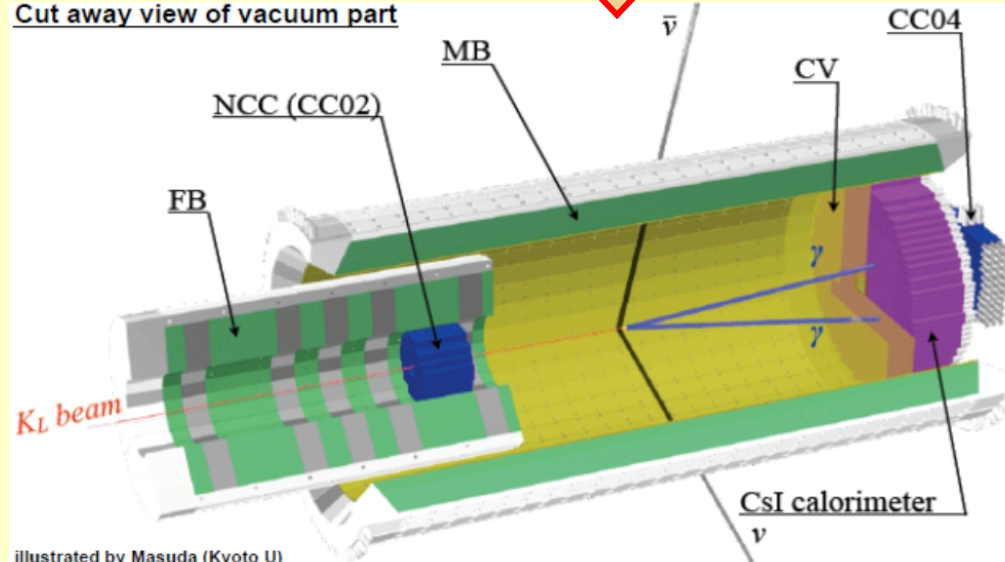
K^0 TO@J-PARC: decays in flight
30 GeV K_L beam
Expect to reach $K_L \rightarrow \pi\nu\nu$ SES
Starting in 2011

P966@FNAL: proposal
to collect $\sim 10^3$ $K_L \rightarrow \pi\nu\nu$ events
with a low-energy K_L beam

120 GeV or 8 GeV Protons



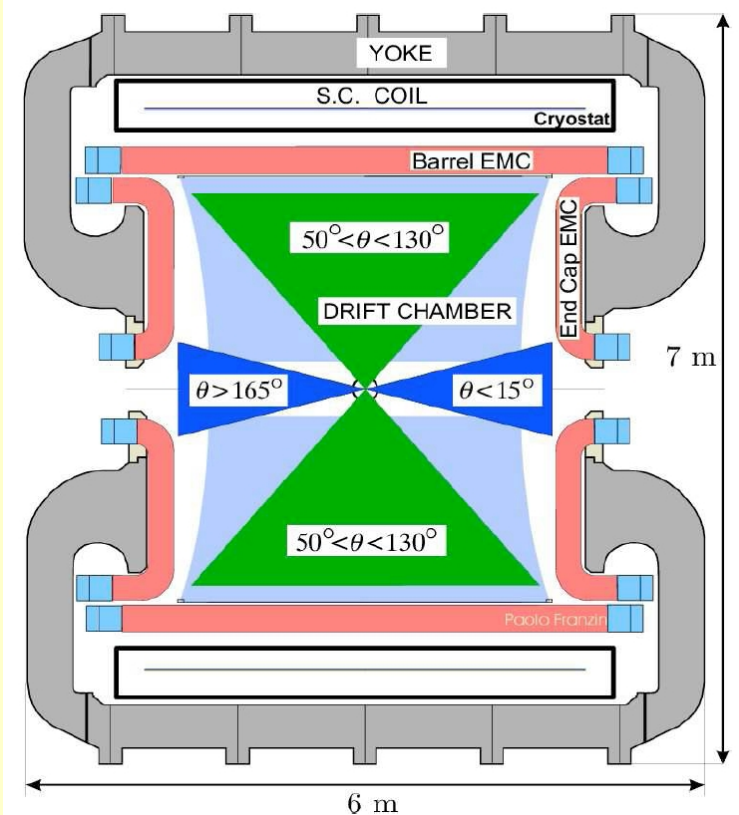
Cut away view of vacuum part



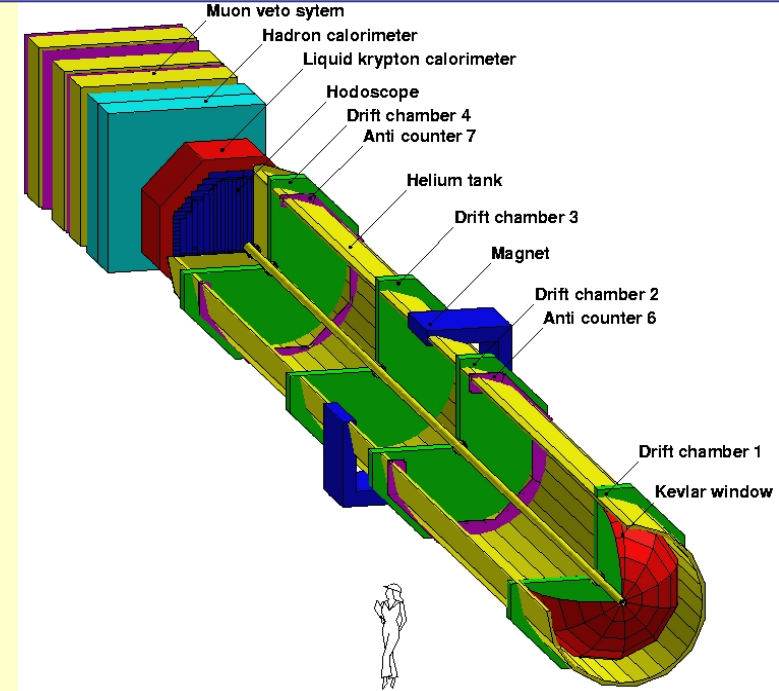
illustrated by Masuda (Kyoto U)

Other major experiments

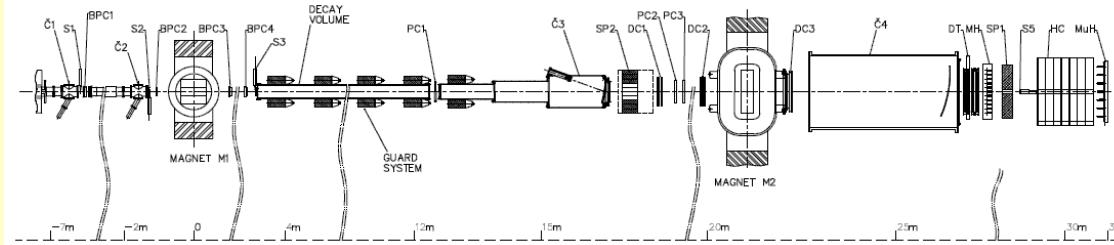
KLOE, KLOE-2 @ LNF Frascati:
 low energy (~ 100 MeV) kaons
 at DAFNE ϕ factory (e^+e^- at 1.02 GeV)
 KLOE: 2001-2005, KLOE2: 2010-

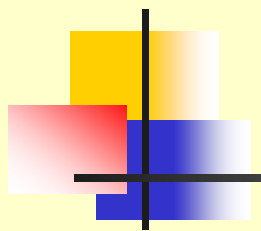


NA48/NA62-I @ CERN:
 K^0, K^\pm decays in flight (~ 100 GeV beams)
 1997-2007



ISTRA+, OKA @ IHEP Protvino:
 K^\pm decays in flight (~ 26 GeV beams)





Global analysis of (semi)leptonic K decay data

$|V_{us}| f_+(0)$ from K_{l3} decays

$K \rightarrow \pi l \nu$ (K_{l3}) decays: ideal channels for $|V_{us}|$ determination

$$\Gamma(K_{l3(\gamma)}) = \frac{C_K^2 G_F^2 M_K^5}{192\pi^3} S_{EW} |V_{us}|^2 |f_+^{K^0\pi}(0)|^2 I_{KI}(\lambda) (1 + 2\Delta_K^{SU(2)} + 2\Delta_{KI}^{EM})$$

(with $K = K_S, K_L, K^+$; $l = e, \mu$; $C_K^2 = 1/2$ for K^+ , $C_K^2 = 1$ for K^0)

Inputs from experiment

$\Gamma(K_{l3(\gamma)})$:
radiation-inclusive decays rates;
in practice, **branching ratios & lifetimes**.

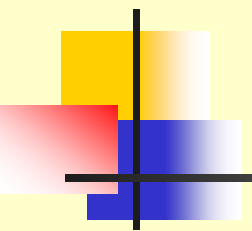
$I_{KI}(\lambda)$:
Phase space integrals;
 λ s parameterize form factor dependence
on momentum transfer $t = (P_K - P_\pi)^2$.
E.g. Taylor expansion parameterization:
 $(\lambda_+', \lambda_+'')$ for K_{e3} , $(\lambda_+', \lambda_+'', \lambda_0')$ for $K_{\mu3}$.

Inputs from theory

$S_{EW} = 1.0232(3)$:
short-distance EW correction

$f_+(0)$:
vector form factor at zero
momentum transfer ($t=0$)

$\Delta^{SU(2)}$ and Δ^{EM} :
channel-dependent isospin breaking
and long-distance EM corrections



$|V_{us}|$ from K_{l3} : recent history

2002:
(PDG 2004) $|V_{ud}|^2 + |V_{us}|^2 - 1 = -0.0035(15)$.
A 2.3σ hint for CKM unitarity violation.

2003: BNL E865 measured higher $BR(K^+_{e3})=0.0513(10)$. ← 1.9%
Start of the $|V_{us}|$ revolution:
first modern measurement, consistent with unitarity.

2004-present: Many new measurements (KLOE, KTeV, ISTRA+, NA48)

- BRs, lifetimes, form factor shapes;
- Much higher statistical precision than early measurements;
- Adequate treatment of radiative corrections;
- Correlations between measurements properly reported.

2008-beyond: Value of $|V_{us}|$ used in precision tests of the SM

FlaviaNet Kaon WG [www.inf.infn.it/wg/vus]
arXiv:0801.1817 + update arXiv:1005.2323

BR & τ measurements: K_L

FlaviaNet'10 fit: 21 input measurements
(published final results, mostly 2003-06)

KLOE: 4 absolute BRs, $BR(\pi^+\pi^-)/BR(K_{l3})$
 $BR(\gamma\gamma)/BR(3\pi^0)$, τ_{KL} with $K_L \rightarrow 3\pi^0$

KTeV: 5 ratios for BRs, $BR(\pi^+\pi^-\gamma)/BR(\pi^+\pi^-)$
2 meas. of $BR(\pi^+\pi^-\gamma_{DE})/BR(\pi^+\pi^-)$

NA48: $BR(K_{e3})/BR(2\text{-track})$,
 $BR(\pi^+\pi^-)/BR(K_{l3})$, $BR(\gamma\gamma)/BR(3\pi^0)$

PDG: $BR(\pi^0\pi^0)/BR(\pi^+\pi^-)$

E731: $BR(\pi^+\pi^-\gamma_{DE})/BR(\pi^+\pi^-)$

Vosburgh (1972): τ_{KL}

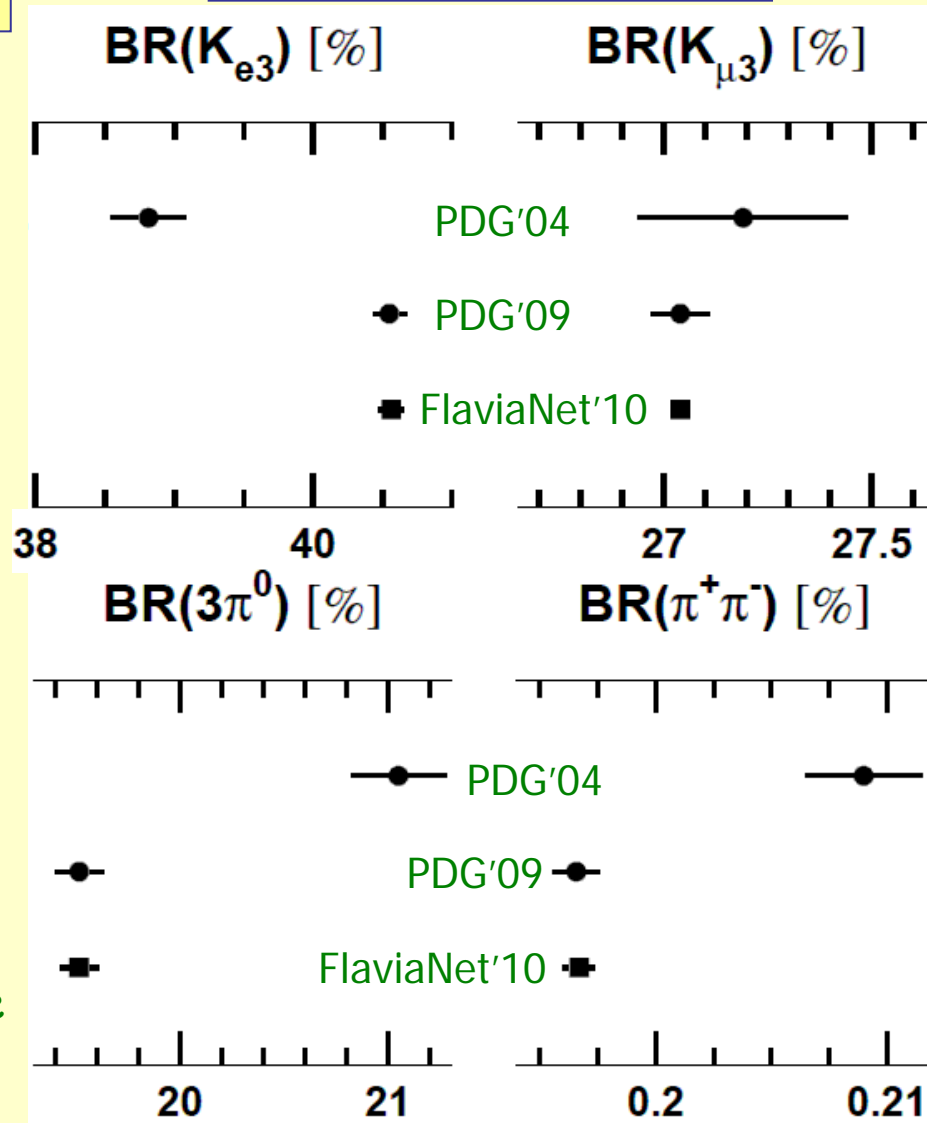
Free parameters: 9 main BRs, lifetime τ_{KL} .
Constraint: $\sum BR_i = 1$.

Fit quality: $\chi^2/ndf = 19.8/12$ (P=7.1%).

Cf. PDG'09: $\chi^2/ndf = 35.7/17$ (P=0.5%).

~5 σ shifts wrt PDG'04: many new results,
elimination of old results with no radiative
corrections or not reporting correlations.

Evolution of main K_L BRs



BR & τ measurements: K^\pm

FlaviaNet'10 fit: 17 input measurements
(many 2000s but also earlier results)

KLOE: 5 absolute BRs, τ_K with $K^\pm \rightarrow \mu^\pm \nu$

NA48/2: $BR(K_{e3})/BR(\pi^\pm \pi^0)$, $BR(K_{\mu3})/BR(\pi^\pm \pi^0)$,

E865: $BR(K_{e3})/BR(\pi^\pm \pi^0 + K_{\mu3} + K_{3\pi}, \pi^0 \text{ Dalitz})$

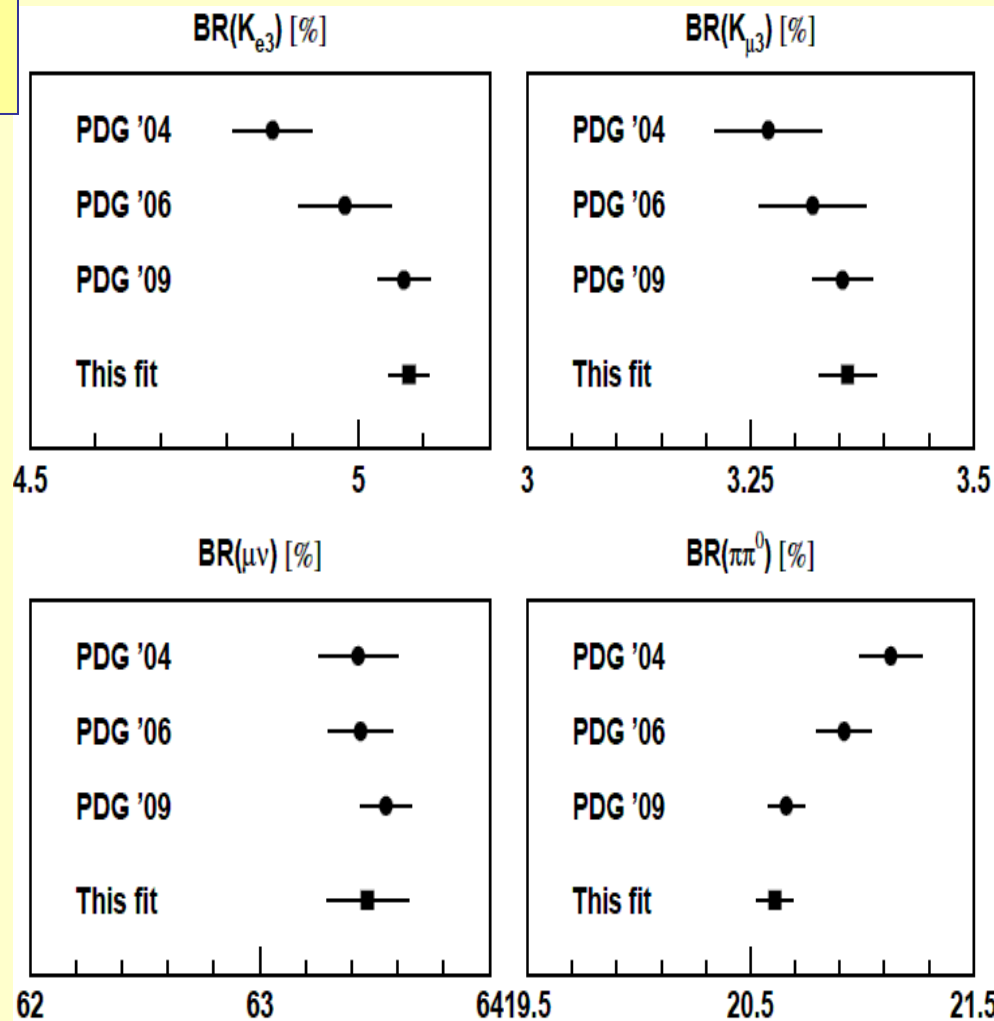
KEK246: $BR(K_{e3})/BR(K_{\mu3})$

Early: $BR(K_{3\pi})$, 3 meas. of τ_K ,
3 meas. of $BR(K \rightarrow \mu \nu)/BR(\pi^\pm \pi^0)$

Free parameters: 6 main BRs, lifetime τ_K .
Constraint: $\sum BR_i = 1$.

Fit quality: $\chi^2/ndf = 25.8/11$ (P=0.7%).
Cf. PDG'09: $\chi^2/ndf = 52/24$ (P=0.1%).

Evolution of main K^\pm BRs



BR & τ measurements: K_S

$|V_{us}|$ with K_S decays: the most recent development

KLOE (2006):

$$\text{BR}(K_S \rightarrow \pi e \nu) / \text{BR}(K_S \rightarrow \pi^+ \pi^-) = 10.19(13) \times 10^{-4}$$

$$\text{BR}(K_S \rightarrow \pi^+ \pi^-) / \text{BR}(K_S \rightarrow \pi^0 \pi^0) = 2.2459(54)$$

← 1.3%

dominated by statistics

NA48 (2007):

$$\text{BR}(K_S \rightarrow \pi e \nu) / \text{BR}(K_L \rightarrow \pi e \nu) = 0.993(34)$$

NA48 (2002):

$$\tau_{K_S} = 89.598(70) \text{ ps}$$

KTeV (2003):

$$\tau_{K_S} = 89.58(13) \text{ ps}$$

Assuming lepton universality
and using measured form factors:

$$\text{BR}(K_{e3}) / \text{BR}(K_{\mu3}) = 0.6655(15)$$

FlaviaNet'10 fit: 6 inputs.
Free parameters: 4 BRs and lifetime.
Constraint: $\sum \text{BR}_i = 1$.
 $\chi^2/\text{ndf} = 0.015/1$ (P=90%)

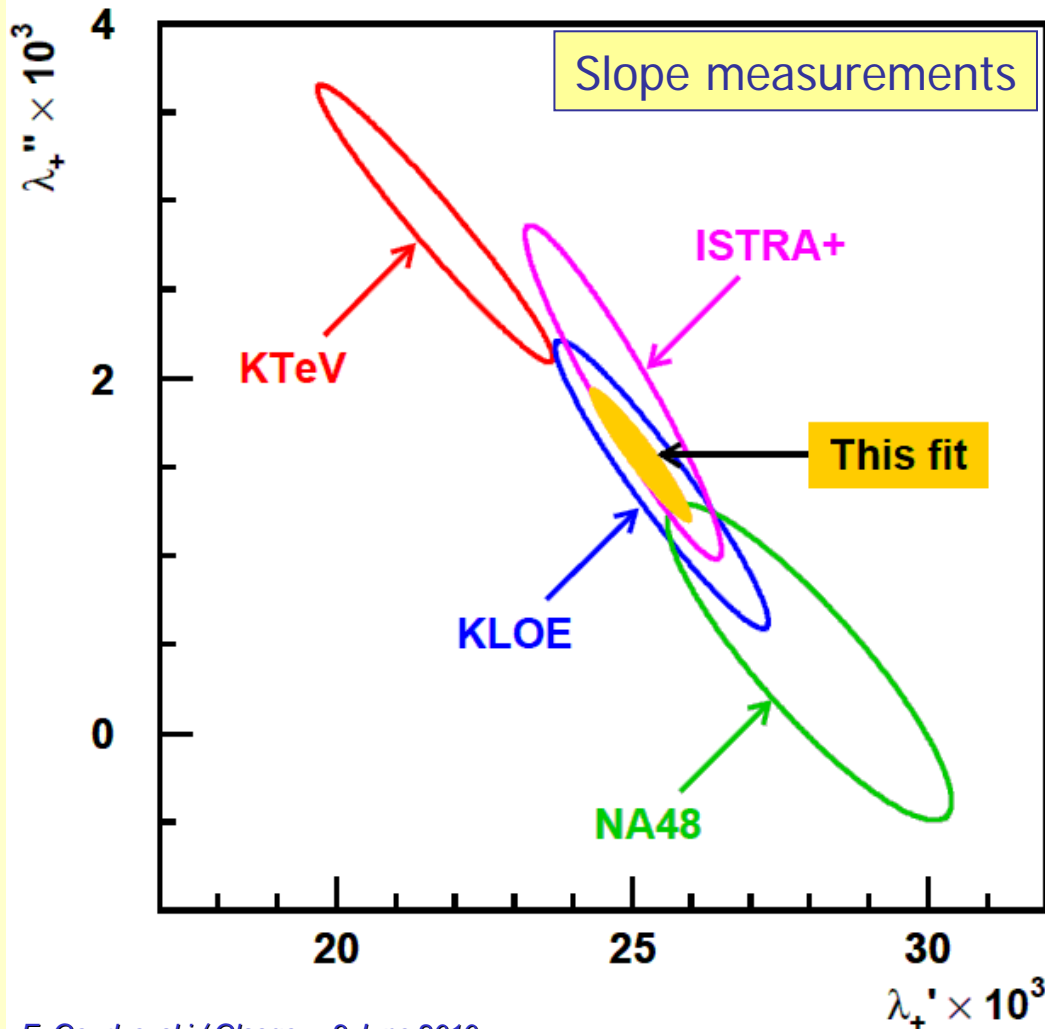
Fit result:

$$\text{BR}(K_{e3}) = 7.05(8) \times 10^{-4}$$

Form factors with K_{e3} : $(\lambda'_+, \lambda''_+)$

Polynomial parameterization:

$$f_+(t)/f_+(0) = 1 + \lambda'_+(t/m_\pi^2) + \frac{1}{2}\lambda''_+(t/m_\pi^2)^2 + \dots$$



Slope parameters $\times 10^3$

$$\lambda' = 25.1 \pm 0.9$$

$$\lambda'' = 1.6 \pm 0.4$$

$$\rho(\lambda', \lambda'') = -0.94$$

$$\chi^2/\text{ndf} = 3.5/6 \text{ (P=51\%)}$$

Phase Space Integrals:

$$I(K^0_{e3}) = 0.15463(21) \quad \leftarrow 0.14\%$$

$$I(K^\pm_{e3}) = 0.15900(22)$$

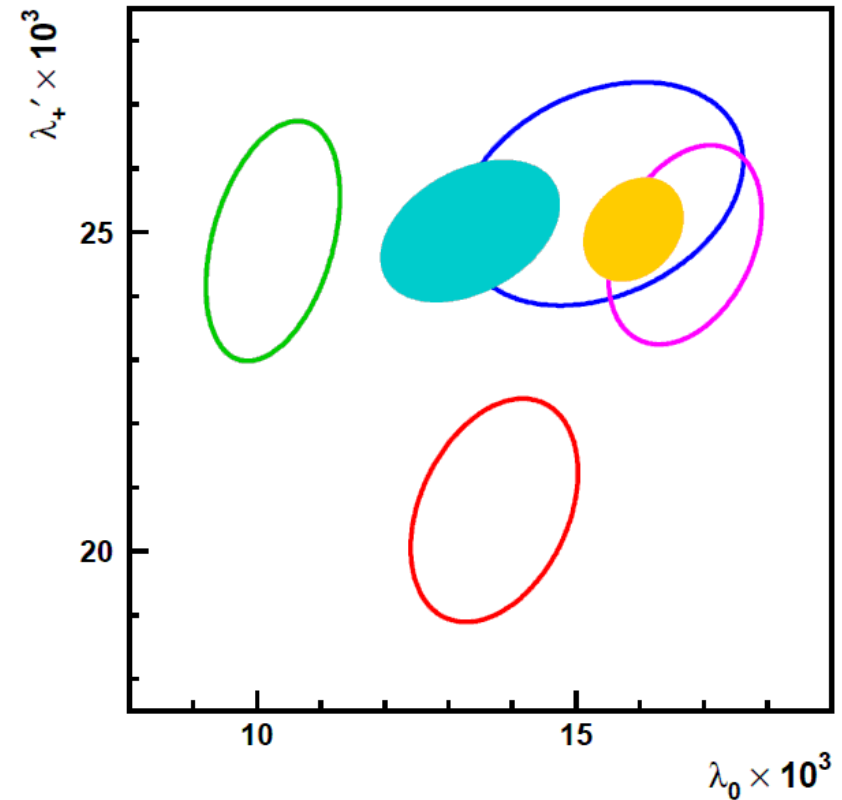
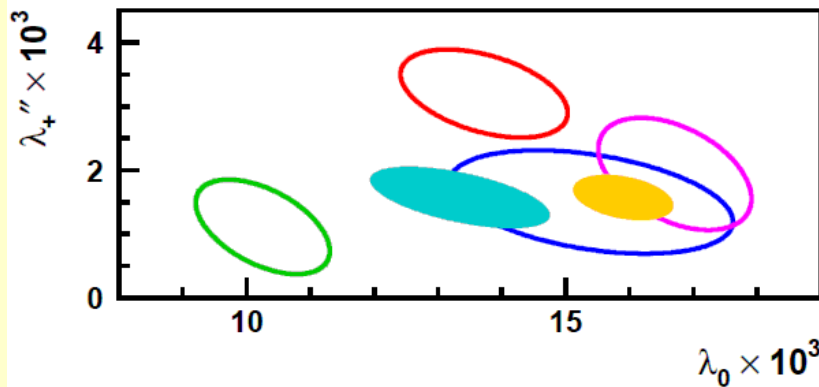
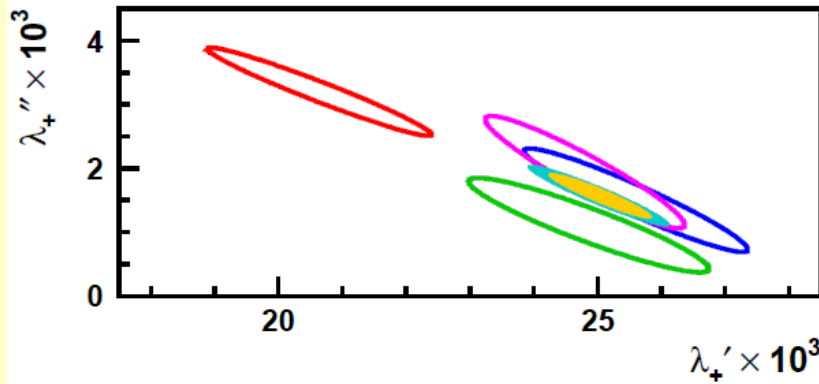
4σ significance of λ''

Fits to pole and dispersive parameterizations lead to similar PSIs within 0.1%

Form factors, $K_{e3} + K_{\mu3}$: $(\lambda'_+, \lambda''_+, \lambda_0)$

– KLOE – KTeV – NA48 – ISTRA+

■ our fit ■ our fit, no NA48 $K_{\mu3}$



NA48 $K_{\mu3}$ measurement is inconsistent and has been excluded

$\chi^2/\text{ndf} = 5.6/5$ (P=34%)

Phase Space Integrals:

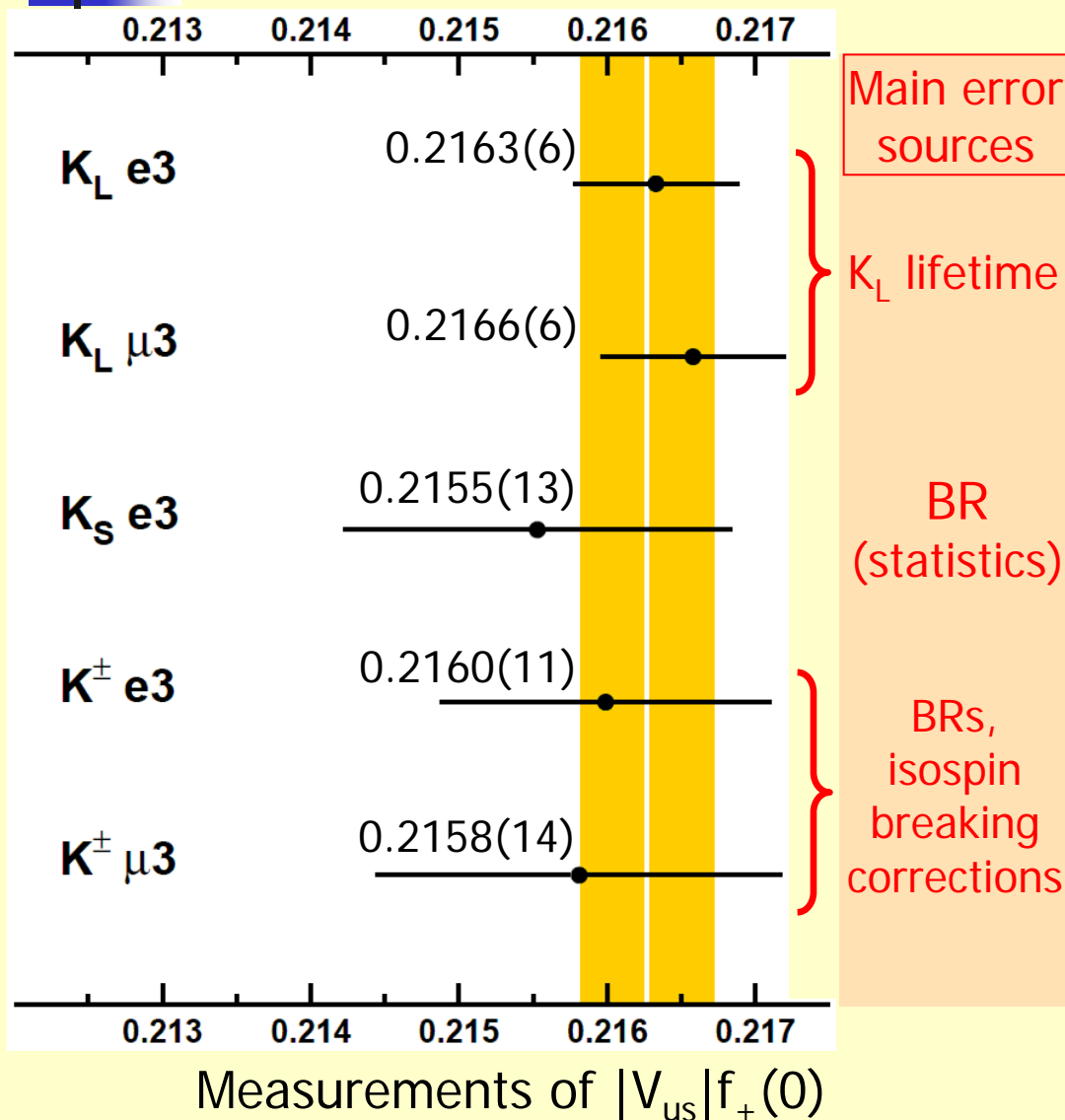
$$I(K_{e3}^0) = 0.15457(20)$$

$$I(K_{e3}^\pm) = 0.15894(21)$$

$$I(K_{\mu3}^0) = 0.10266(20)$$

$$I(K_{\mu3}^\pm) = 0.12564(20)$$

$|V_{us}|f_+(0)$ measurements with K_{l3}



Global fit result:

$$|V_{us}|f_+(0) = 0.2163(5)$$

$$\chi^2/\text{ndf} = 0.77/4$$

(P=94%)

0.23% relative precision

Prospects:

KLOE-2/step-0 alone expect 0.14% precision on $|V_{us}|f_+(0)$ by improving on $BR(K_{Se3})$ and K_L, K^\pm lifetimes.
(arXiv:1003.3868)

NA48/NA62 plan improvements on K^+ BRs and FFs.

$|V_{us}|/|V_{ud}|$ from $(K,\pi)\rightarrow\mu\nu$

SM ratio of radiation-inclusive leptonic decay widths:

$$\frac{\Gamma_{K\ell 2}}{\Gamma_{\pi\ell 2}} = \frac{|V_{us}|^2}{|V_{ud}|^2} \frac{f_K^2}{f_\pi^2} \frac{m_K (1 - m_\ell^2/m_K^2)^2}{m_\pi (1 - m_\ell^2/m_\pi^2)^2} (1 + \delta_{EM})$$

Extracted

Lattice QCD input

Experimental input

(1) from K^\pm BR fit

$$\text{BR}(K_{\mu 2}) = 0.6347(18) \quad \leftarrow 0.28\%$$

$$\tau(K^\pm) = 12.384(15) \text{ ns}$$

(2) from PDG 2009

$$\Gamma(\pi^\pm \rightarrow \mu^\pm \nu) = 38.408(7) \mu\text{s}^{-1}$$

Experimental result:

$$|V_{us}/V_{ud}| f_K/f_\pi = 0.2758(5) \quad \leftarrow 0.18\%$$

Long-distance EM correction

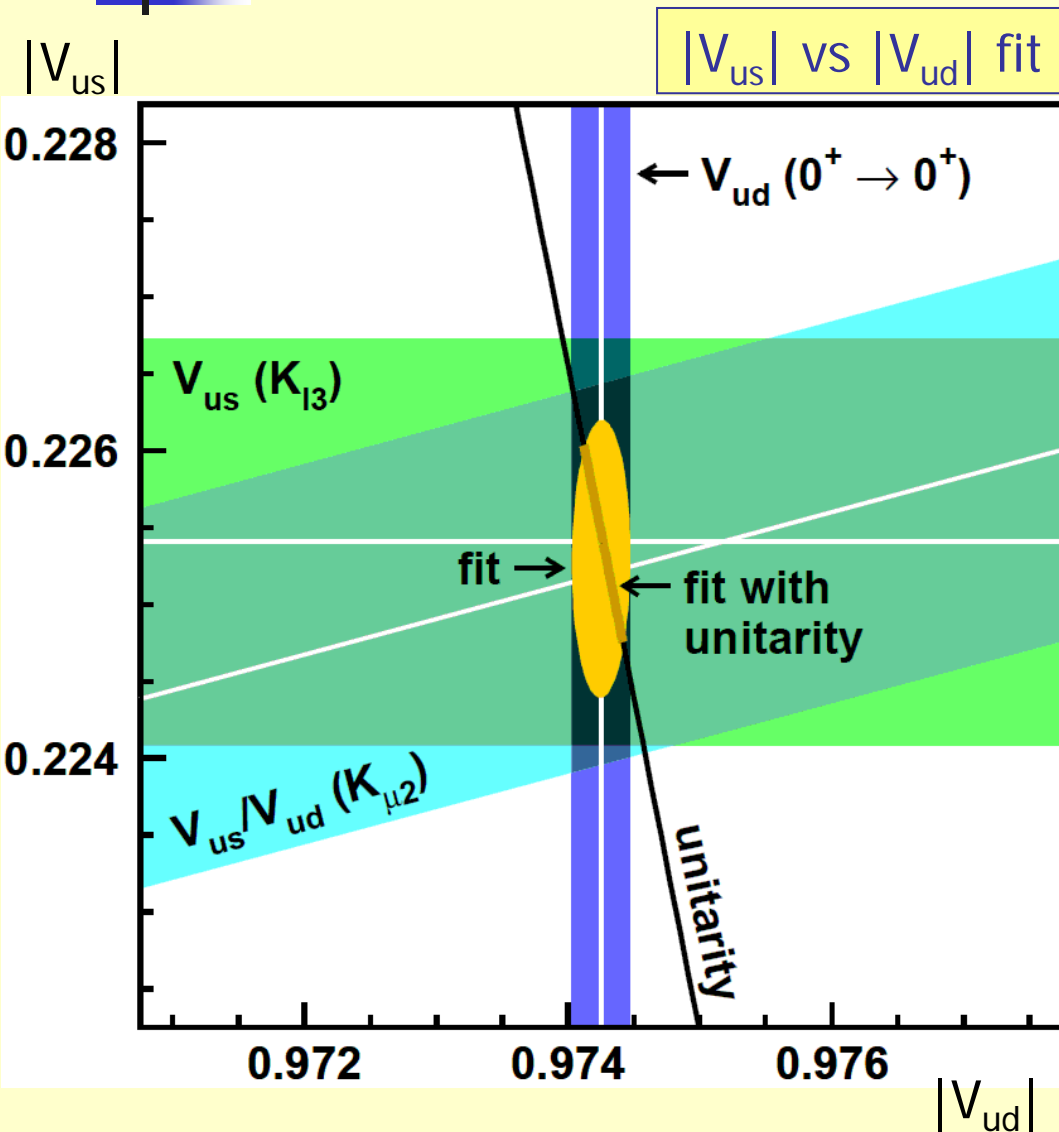
$$\delta_{EM} = -0.0070(35)$$

(Marciano, PRL 93 (2004) 231803)



CKM unitarity and SM tests

CKM unitarity



Experimental input:

$\sim 0.2\%$

$$|V_{us}| f_+(0) = 0.2163(5)$$

$$|V_{us}/V_{ud}| f_K/f_\pi = 0.2758(5)$$

$$|V_{ud}| = 0.97425(22)$$

(average from 20 nuclear beta decays, Hardy & Towner, PRC79 (2009) 055502)

Lattice input:

0.6%

$$f_+(0) = 0.959(6)$$

$$f_K/f_\pi = 1.193(6)$$

Fit result:

0.4%

$$|V_{us}| = 0.2253(9)$$

Fit imposing unitarity:

$$|V_{us}| = 0.2254(6)$$

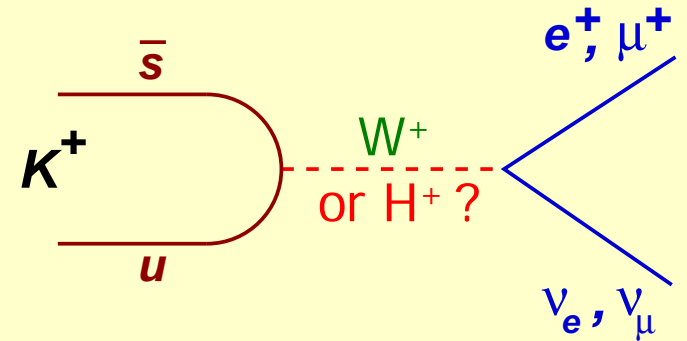
CKM unitarity test:

$$|V_{us}|^2 + |V_{ud}|^2 - 1 = -0.0001(6)$$

Other (less precise) $|V_{us}|$ evaluations have been made with hyperon and tau decays

$K_{\mu 2}$: sensitivity to new physics

Comparison of $|V_{us}|$ determined from helicity-suppressed $K_{\mu 2}$ decays vs helicity allowed $K_{l 3}$ decays



To reduce uncertainties of hadronic and EM corrections to $K_{\mu 2}$,

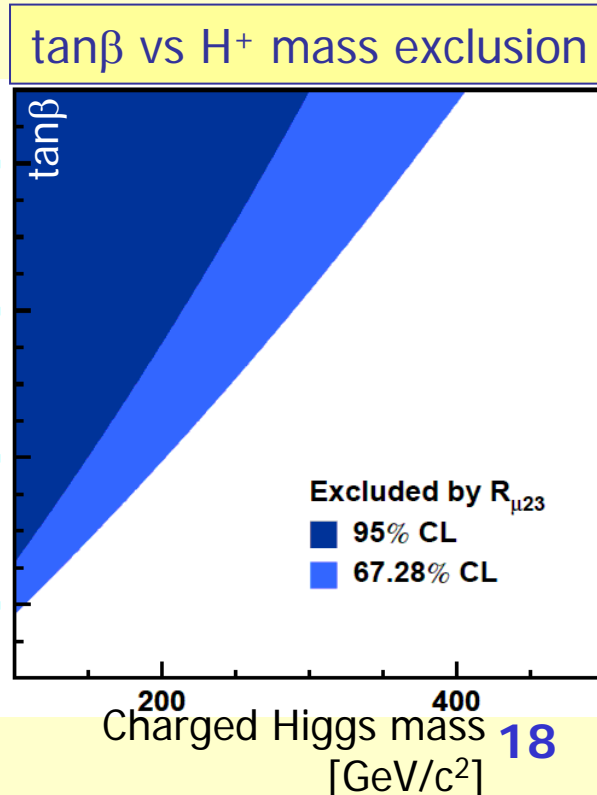
$$R_{\mu 23} = \underbrace{\left(\frac{f_K / f_\pi}{f_+(0)} \right)^{-1}}_{\text{Lattice QCD input}} \underbrace{\left(\left| \frac{V_{us}}{V_{ud}} \right| \frac{f_K}{f_\pi} \right)_{\mu 2}}_{\text{Measured with } K_{\mu 2} / \pi_{\mu 2}} \underbrace{\frac{|V_{ud}|_{0^+ \rightarrow 0^+}}{[|V_{us}| f_+(0)]_{l 3}}}_{\text{Measured with } K_{l 3}}$$

SM expectation: $R_{\mu 23} = 1$.

Charged Higgs mediated currents lead to

$$R_{\mu 23} \approx \left| 1 - \frac{m_{K^+}^2}{m_{H^+}^2} \frac{\tan^2 \beta}{1 + \epsilon_0 \tan \beta} \right|$$

Experiment: $R_{\mu 23} = 0.999(7)$, limited by **lattice input**.



K_{l3} : lepton universality test

Comparison of $|V_{us}|$ determined from K_{e3} vs $K_{\mu3}$ decays

$$r_{\mu e} = \frac{[|V_{us}|f_+(0)]_{\mu3, \text{exp}}^2}{[|V_{us}|f_+(0)]_{e3, \text{exp}}^2} = \frac{\Gamma_{K\mu3} I_{e3} (1 + 2\delta_{\text{EM}}^{Ke})}{\Gamma_{Ke3} I_{\mu3} (1 + 2\delta_{\text{EM}}^{K\mu})} = (g_\mu/g_e)^2 = 1$$

SM



lepton coupling at the $W \rightarrow l\nu$ vertex

Experimental results

$$\begin{aligned} K^\pm: & \quad r_{\mu e} = 0.998(9) \\ K^0: & \quad r_{\mu e} = 1.003(5) \end{aligned} \quad \rightarrow \quad r_{\mu e} = 1.002(4)$$

Non-kaon measurements:

$$\begin{aligned} \pi \rightarrow l\nu: & \quad r_{\mu e} = 1.0042(33) \quad (\text{PRD } 76 \text{ (2007) } 095017) \\ \tau \rightarrow l\nu\nu: & \quad r_{\mu e} = 1.000(4) \quad (\text{Rev.Mod.Phys. } 78 \text{ (2006) } 1043) \end{aligned}$$

The sensitivity in kaon sector approaches those obtained in the other fields.

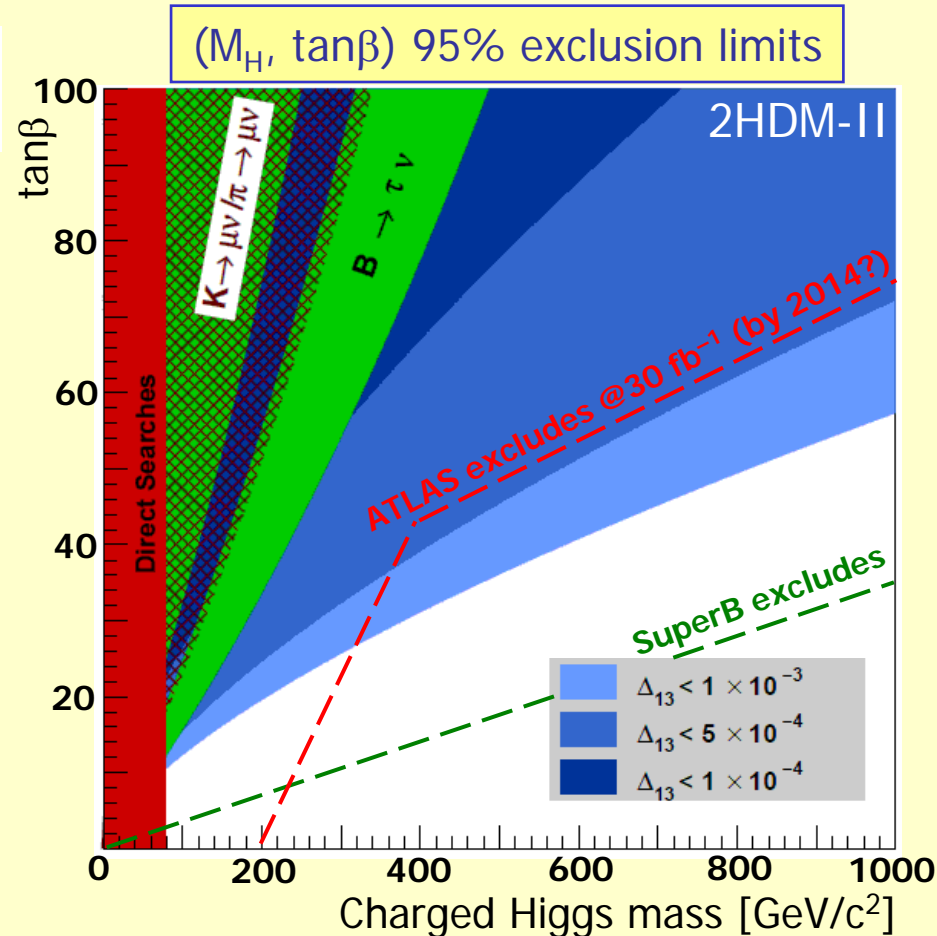
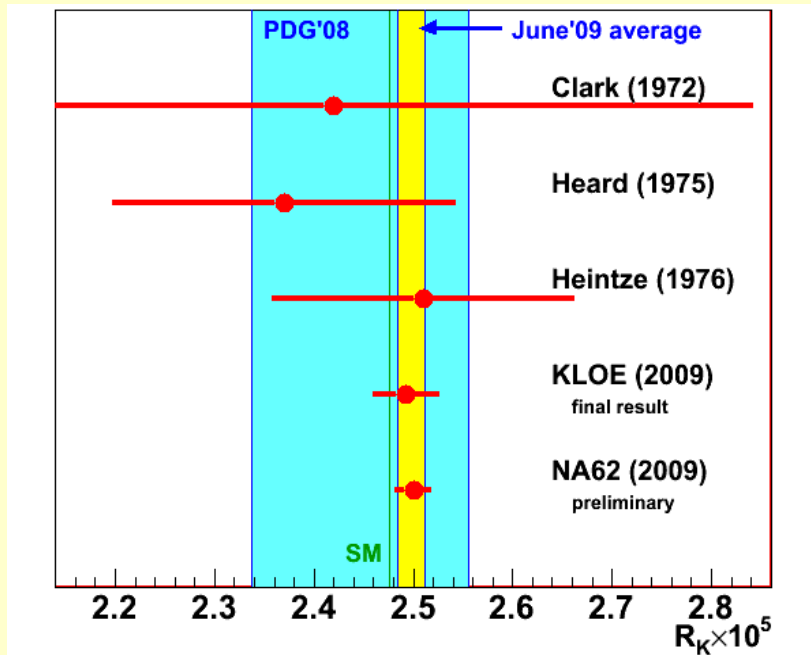
R_{K_2} : lepton universality test

$$R_K = \frac{\Gamma(K^\pm \rightarrow e^\pm \nu)}{\Gamma(K^\pm \rightarrow \mu^\pm \nu)} = \frac{m_e^2}{m_\mu^2} \cdot \left(\frac{m_K^2 - m_e^2}{m_K^2 - m_\mu^2} \right)^2 \cdot (1 + \delta R_K^{\text{rad. corr.}})$$

LFV H^\pm exchange contribution:

$$R_K^{\text{LFV}} \approx R_K^{\text{SM}} \left[1 + \left(\frac{m_K^4}{M_{H^\pm}^4} \right) \left(\frac{m_\tau^2}{M_e^2} \right) |\Delta_{13}|^2 \tan^6 \beta \right]$$

can reach $\sim 1\%$ without contradicting any known experimental limits



Experiment: $R_K = 2.498(14) \times 10^{-5}$; SM: $R_K = 2.477(1) \times 10^{-5}$

Summary

- Tremendous progress in measurements and interpretation of (semi)leptonic kaon decays during the last decade!
- Thanks to advances of both experiment and theory, $|V_{us}|f_+(0)$ has been measured to 0.23% precision, and $|V_{us}|$ has been evaluated to 0.4% precision with kaon decays.
- CKM unitary with $|V_{ud}|^2 + |V_{us}|^2$ tested at 0.06% precision:
→ $O(10 \text{ TeV})$ bound on the scale of new physics.
- $K_{\mu 2}/\pi_{\mu 2}$ and $K_{e 2}/K_{\mu 2}$ put non-trivial constraints of 2HDM.
- Experimental precision on $|V_{us}|f_+(0)$ is expected to be improved to the level of $\sim 0.1\%$ in mid-term: a similar precision on $f_+(0)$ and an improvement on radiative corrections for $|V_{ud}|$ would allow for a CKM unitarity test at $\sim 0.02\%$ level.

Interpretation of $K_{e 3}$ data is currently limited by lattice QCD input