



# Top quark production at the Tevatron

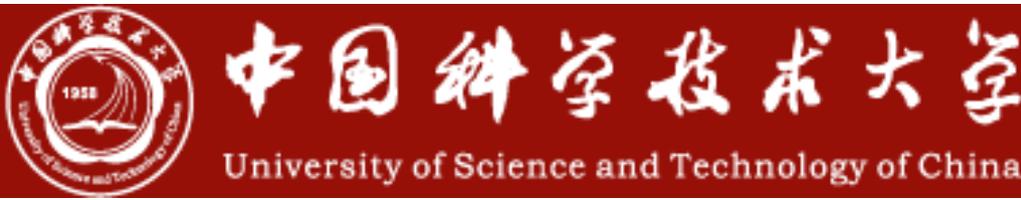
**Marc Besançon**  
**CEA-Saclay/Irfu/SPP**

**on behalf of the CDF and D0 experiments**

**Flavor Physics and CP violation 2012**

May 21-25

**University of science and technology of China (USTC), Hefei, AnHui**





# OUTLINE



## top quark pair production

top quark pair production cross section

Forward Backward asymmetry

spin correlation

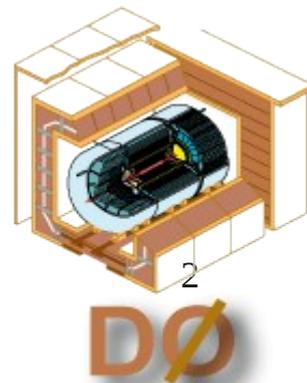
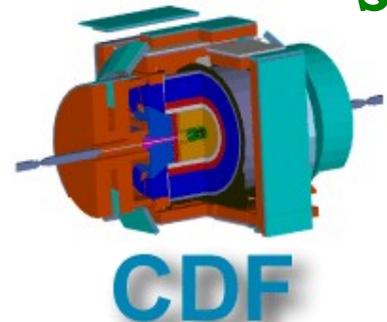
ratio of branching fractions

## electroweak (EW) single top quark production

cross sections and  $|V_{tb}|$  measurements

## search for new physics in top quark production

## summary





# FERMILAB TEVATRON

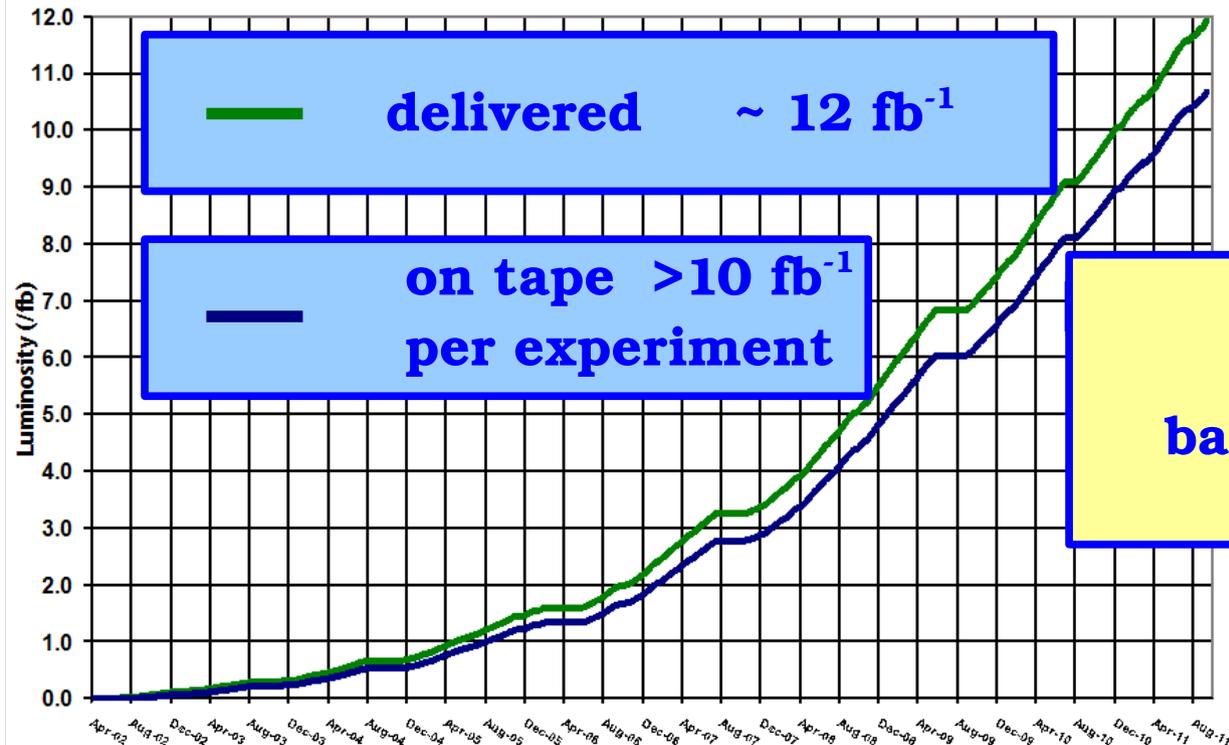
**the place of the discovery  
of the top quark**

**Tevatron stopped taking data  
on september 30, 2011**



Run II Integrated Luminosity

19 April 2002 - 30 September 2011



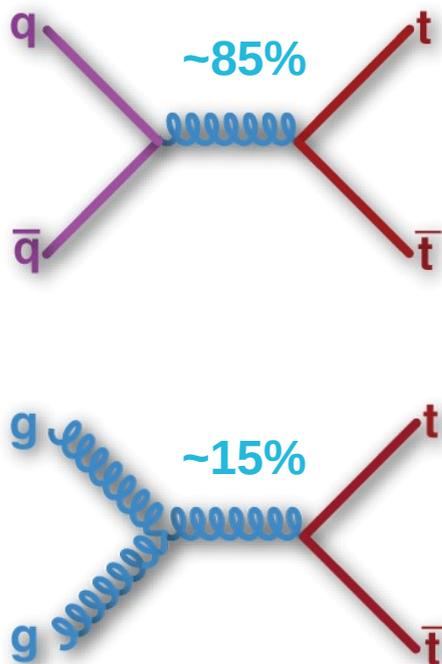
**results shown today  
based on datasets up to 8.7 fb<sup>-1</sup>**



# Top quark production at Tevatron



## QCD pair production



$$\sigma = 7.46^{+0.48}_{-0.67} \text{ pb}$$

for  $m_{\text{top}} = 172.5 \text{ GeV}$

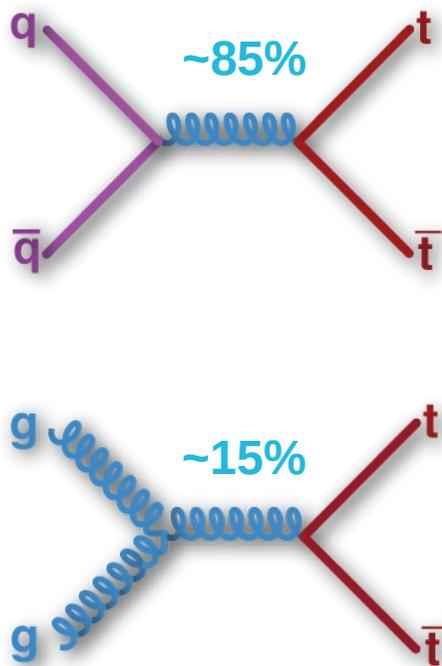
PRD 78, 034003 (2008)



# Top quark production at Tevatron



## QCD pair production



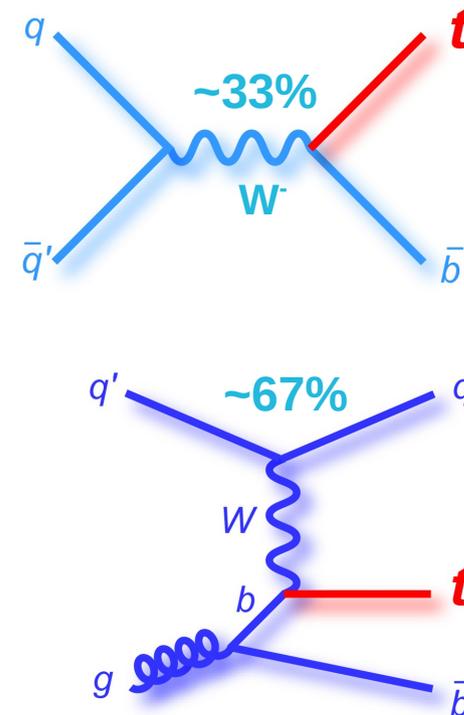
$$\sigma = 7.46^{+0.48}_{-0.67} \text{ pb}$$

for  $m_{\text{top}} = 172.5 \text{ GeV}$

$$\sigma_{\text{NNLO}} = 7.46^{+0.143}_{-0.232} (\text{scales})^{+0.186}_{-0.122} (\text{pdf}) \text{ pb}$$

ArXiv:1204.5201 (for a top mass of 173.3 GeV)

## EW single top production



$$\begin{aligned} \text{s-channel } \sigma &= 1.05 \pm 0.07 \text{ pb} \\ \text{t-channel } \sigma &= 2.10 \pm 0.19 \text{ pb} \\ &\text{both for } m_{\text{top}} = 172.5 \text{ GeV} \end{aligned}$$

single top associated production  $Wt$   
 $\sigma \sim 0.2 \text{ pb}$ , too small at Tevatron

PRD 78, 034003 (2008)

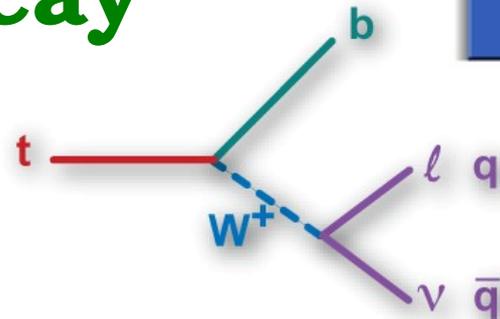
PRD 81, 054028 (2010), PRD 83, 091503 (2011)



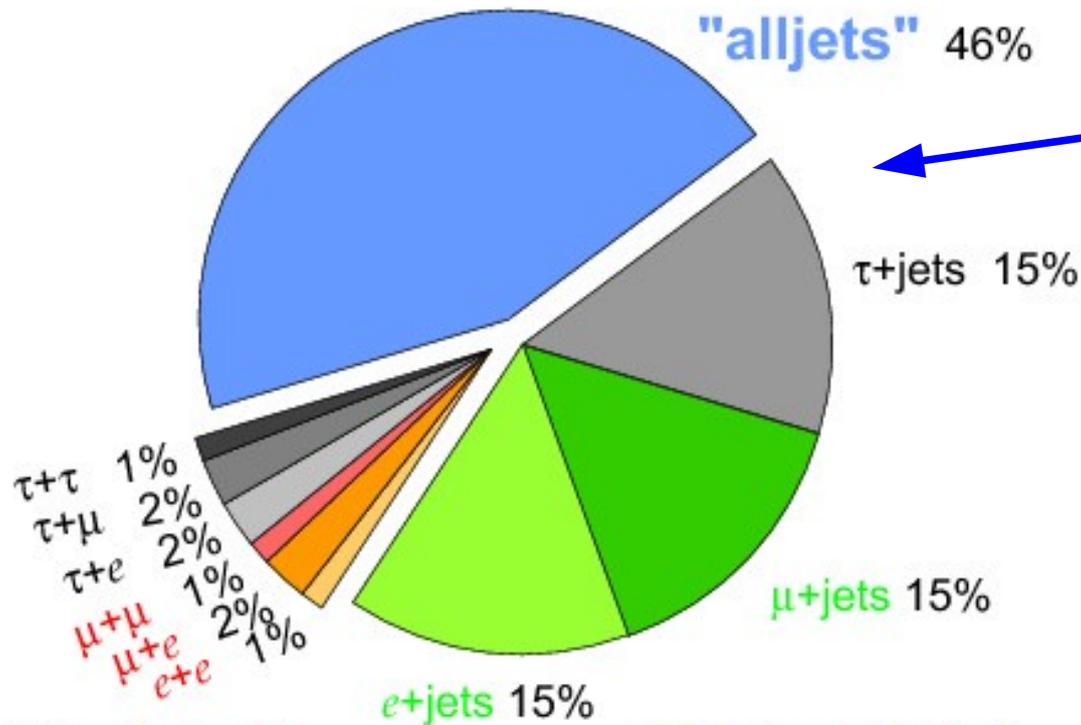
# Top quark decay



SM predicts  $BR(t \rightarrow Wb) \sim 100\%$

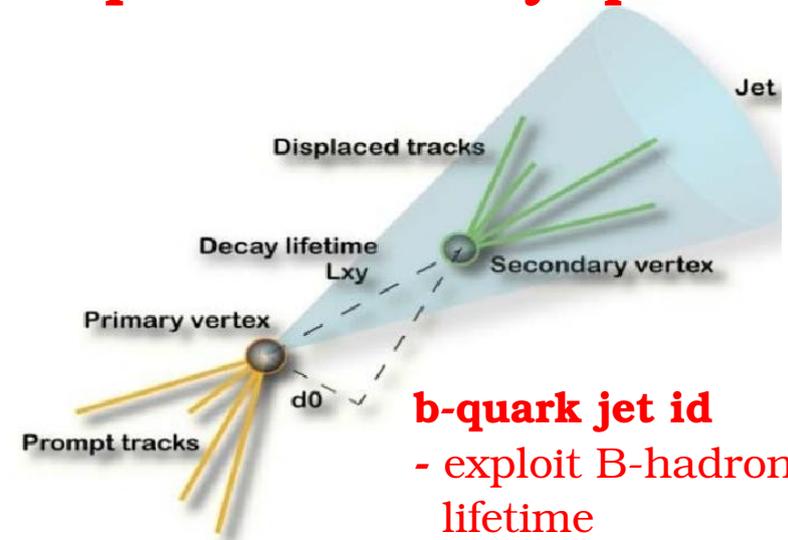


## Top Pair Branching Fractions



**event topology determined by the W decay modes**

**b quarks are always present**



### "dileptons"

- 2 isolated leptons
- high missing  $E_t$  from 2  $\nu$
- 2 b-jets

### "lepton+jets"

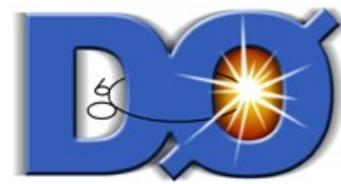
- 1 isolated lepton
- missing  $E_t$  from 1  $\nu$
- $\geq 4$  jets (2 b-jets)

### b-quark jet id

- exploit B-hadrons lifetime
- jets with displaced vertices from IP



# top pair production



Why study top quark pair production ?

- top quark is a unique particle
  - heaviest of all known particles
  - decays before hadronizing
- provides QCD and SM tests
- measuring the production cross section

$$\sigma_{t\bar{t}} = \frac{N_{\text{DATA}} - N_{\text{Background}}}{\text{Acc} \int L dt}$$

is the 1<sup>st</sup> step in understanding any selected ttbar sample

- new physics can change
  - overall production rate
  - rate in different channels
- top pair production is background for searches



# top pair production (lepton+jets)

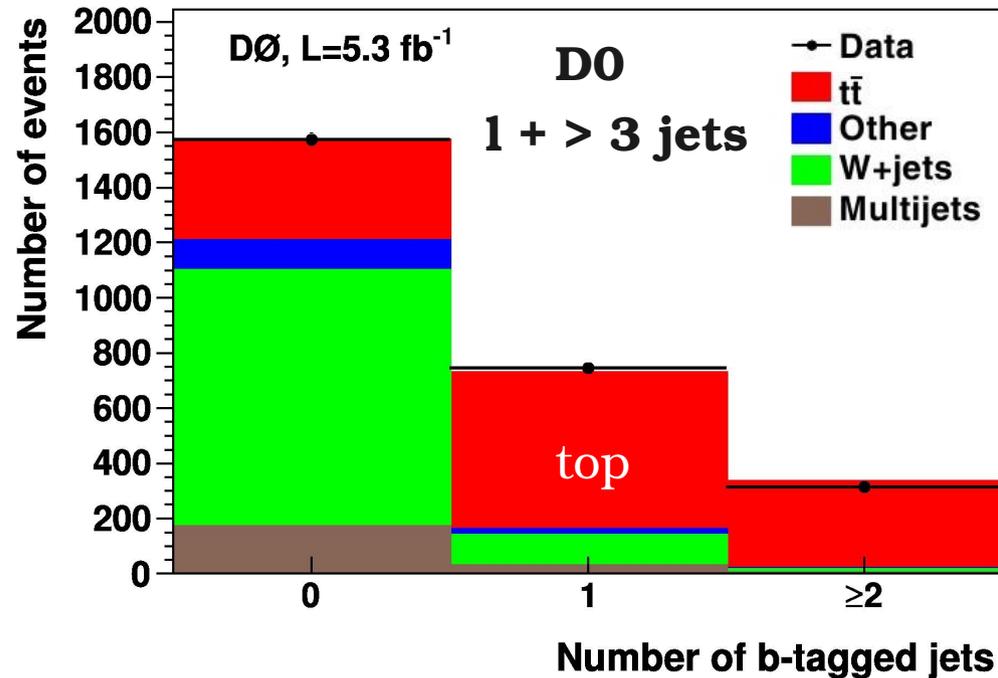
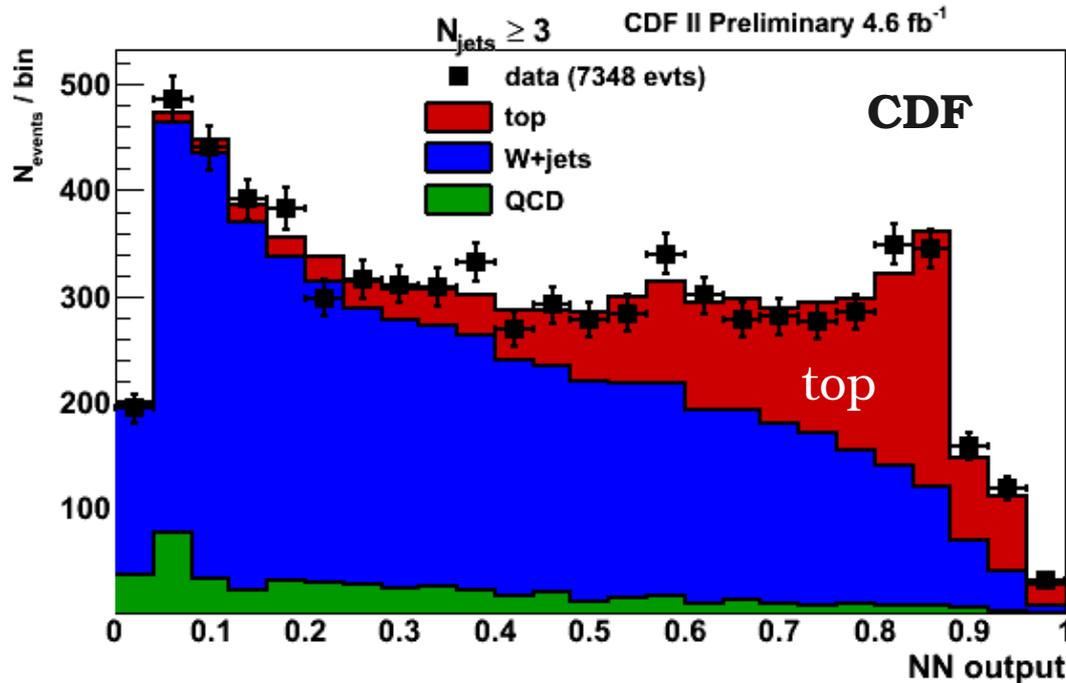


- pre-tagged sample, NN discriminant

- measure  $R = \sigma_{t\bar{t}} / \sigma_{Z/\gamma^* \rightarrow ll}$

- extract  $\sigma_{t\bar{t}} = R \cdot \sigma_Z^{theory}$

- kinematic and b-tags combination determine Xsection and background (W + hf / W + lf) simultaneously



CDF ( $4.3 \text{ fb}^{-1}$ ,  $m_t = 172.5 \text{ GeV}$ ) pre-tagged  
 $\sigma_{t\bar{t}} = 7.82 \pm 0.38 \text{ (stat)} \pm 0.37 \text{ (syst)} \pm 0.13 \text{ (th)} \text{ pb}$

D0 ( $5.3 \text{ fb}^{-1}$ ,  $m_t = 172.5 \text{ GeV}$ ) b-tagged  
 $\sigma_{t\bar{t}} = 8.13 \pm 0.25 \text{ (stat)}^{+0.99}_{-0.86} \text{ (syst)} \text{ pb}$

PRL 105, 012001 (2010)

**combined kinematical + b tagging**

PRD 84, 012008 (2011)

CDF ( $4.3 \text{ fb}^{-1}$ ,  $m_t = 172.5 \text{ GeV}$ )

$\sigma_{t\bar{t}} = 7.70 \pm 0.52 \text{ (stat+syst)} \text{ pb}$

D0 ( $5.3 \text{ fb}^{-1}$ ,  $m_t = 172.5 \text{ GeV}$ ) <sub>8</sub>

$\sigma_{t\bar{t}} = 7.78 \pm 0.25 \text{ (stat)}^{+0.73}_{-0.59} \text{ (syst)} \text{ pb}$

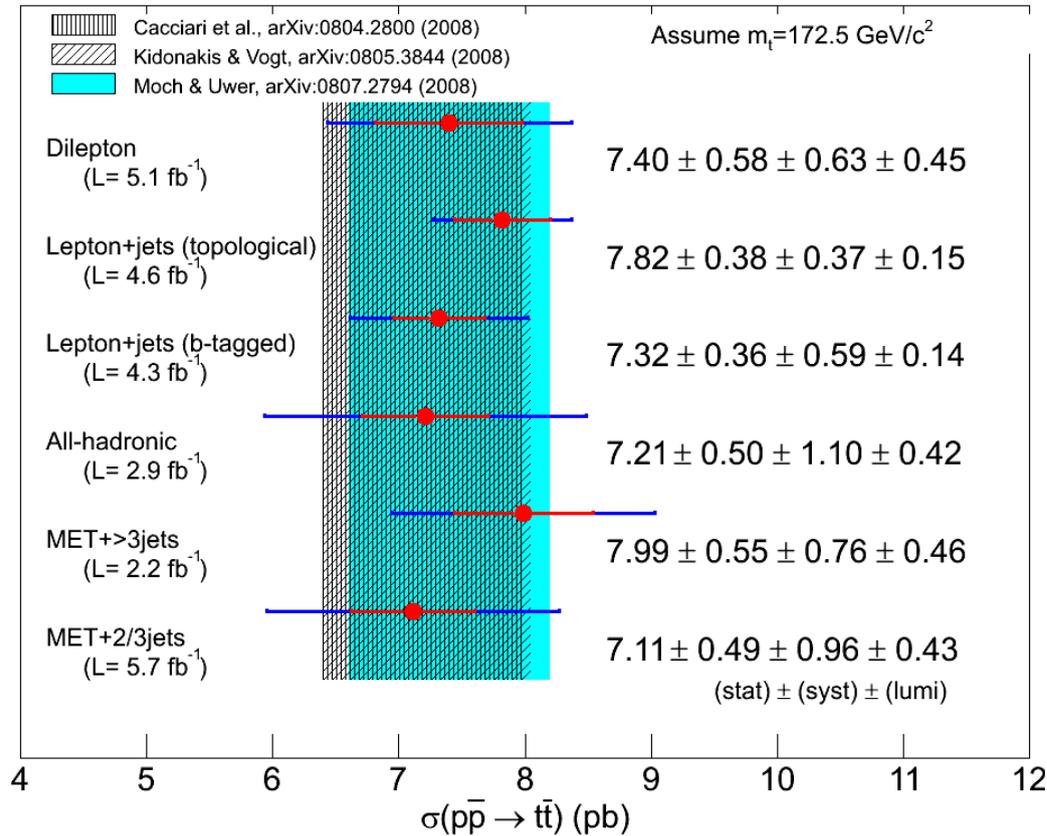


# top pair production



- dominant uncertainties: JES, b-tag acc., W + b-jet background
- in agreement with SM theoretical predictions
- consistent across channels, methods, experiments

CDF

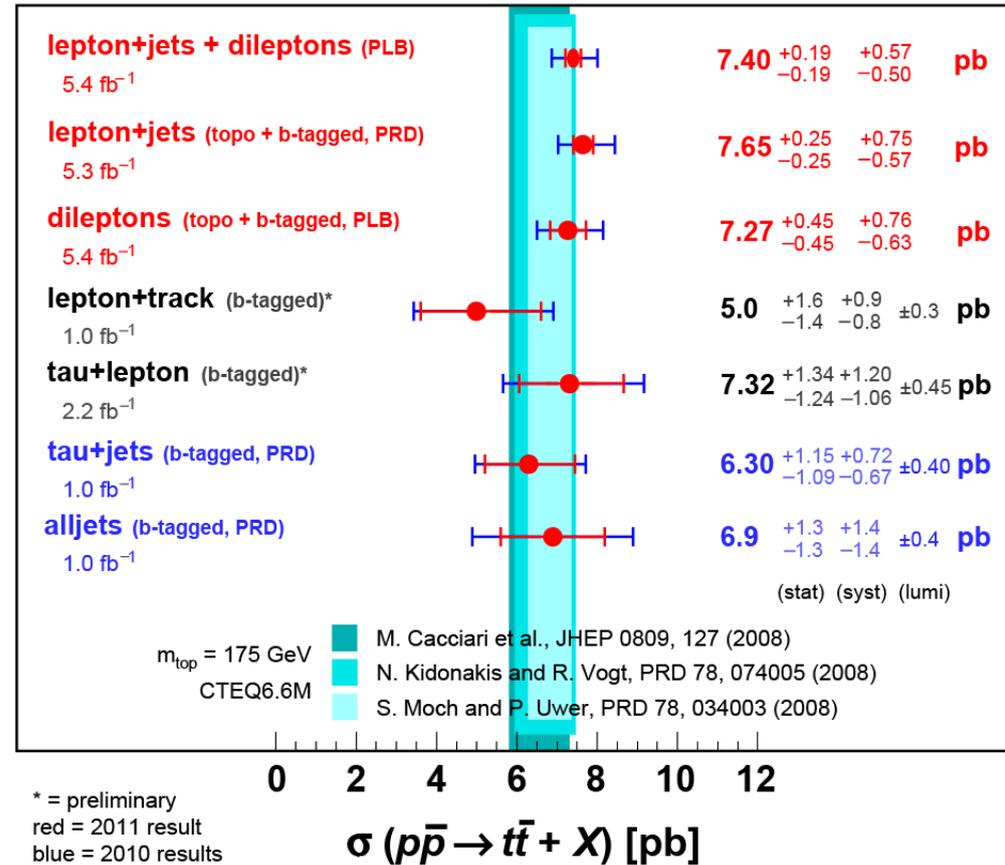


CDF combination : 7.50 ± 0.48 pb

i.e. 6.4 % precision

DØ Run II

July 2011



all measurement limited by systematic uncertainty

# Forward backward asymmetry

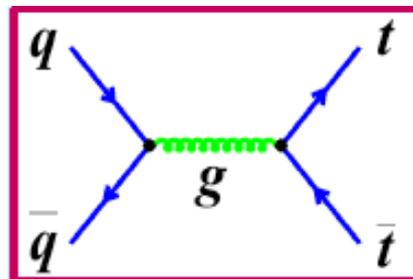
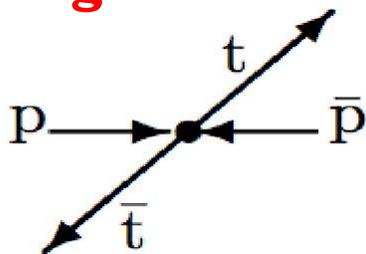
Do top quarks follow preferentially the initial quark or anti quark direction ?

- LO QCD : no charge asymmetry expected

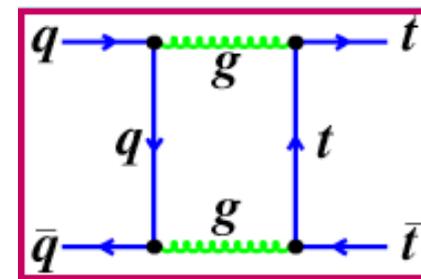
- NLO QCD : interference between quark- anti quark diagrams predicts ~ 7 % asymmetry (JHEP 0709,126 (2007) & 1201,063 (2012), PRD84, 093003 (2011))

tree level and box diagram :

positive asymmetry

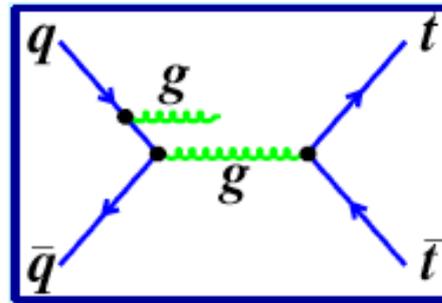
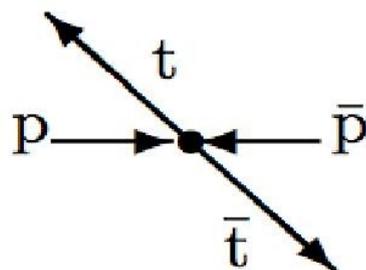


+

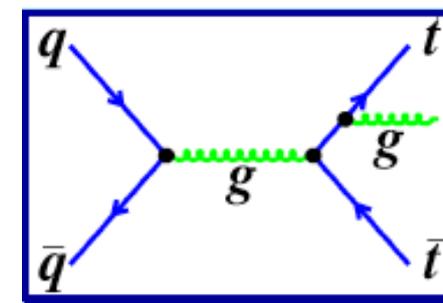


initial and final state radiation :

negative asymmetry



+



New physics could give rise to an asymmetry ( $Z'$ , axiguons ...)



# Forward backward asymmetry

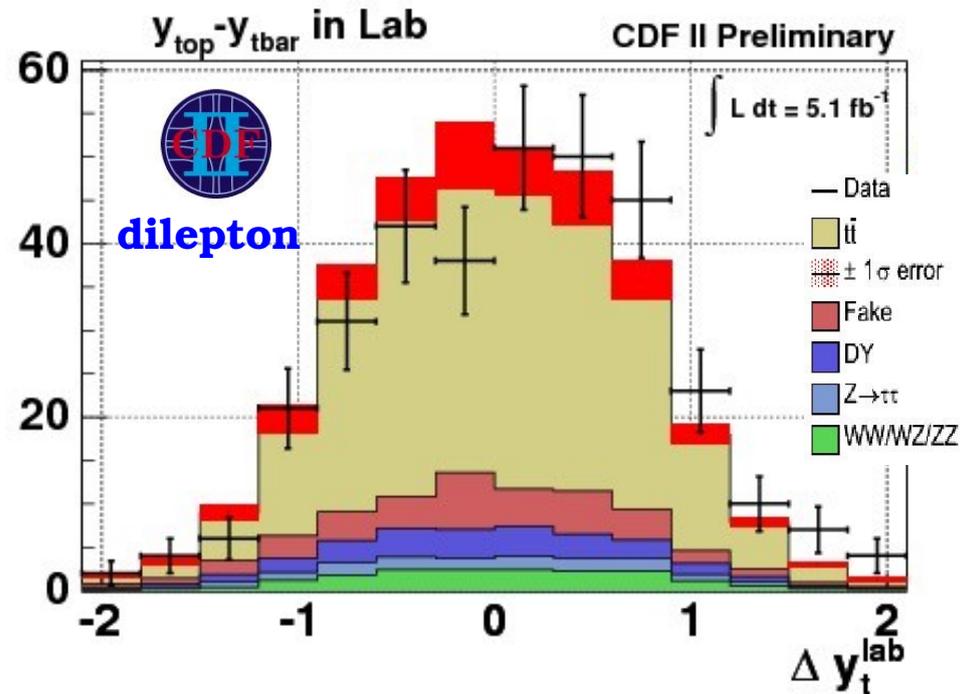
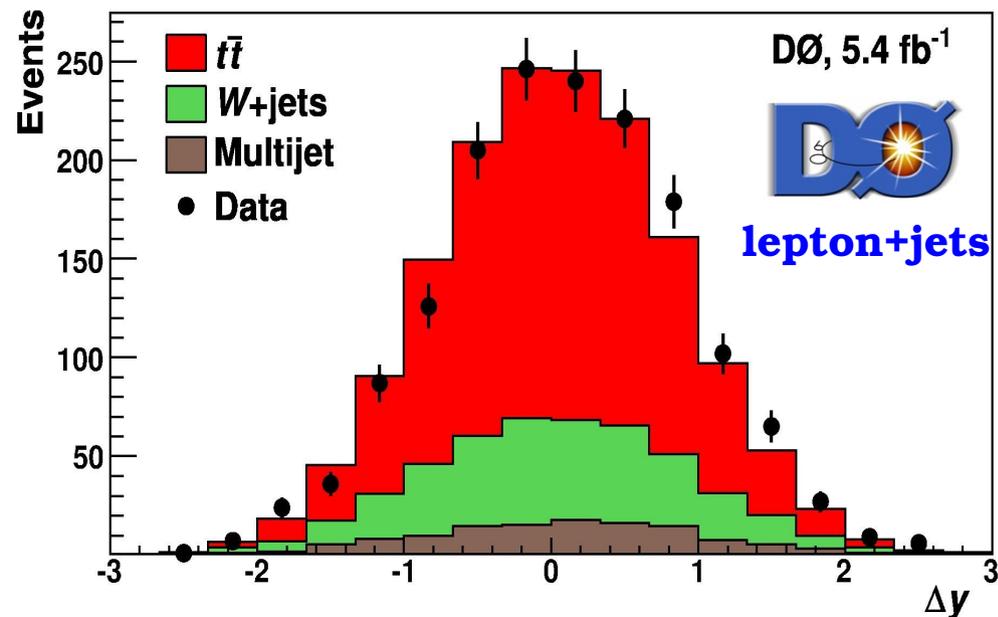


- reconstruct the direction and the rapidity of  $t$  and  $\bar{t}$  quarks  $y = \frac{1}{2} \ln \left( \frac{E + p_z}{E - p_z} \right)$
- use the rapidity difference  $\Delta y = y_t - y_{\bar{t}}$  of  $t \rightarrow l \nu b$  and  $t \rightarrow jjb$

- subtract Background from Data

CDF : background from MC prediction

D0 : background fitted with likelihood discriminant



- extract the raw asymmetry

$$A_{FB} = \frac{N(\Delta y > 0) - N(\Delta y < 0)}{N(\Delta y > 0) + N(\Delta y < 0)}$$

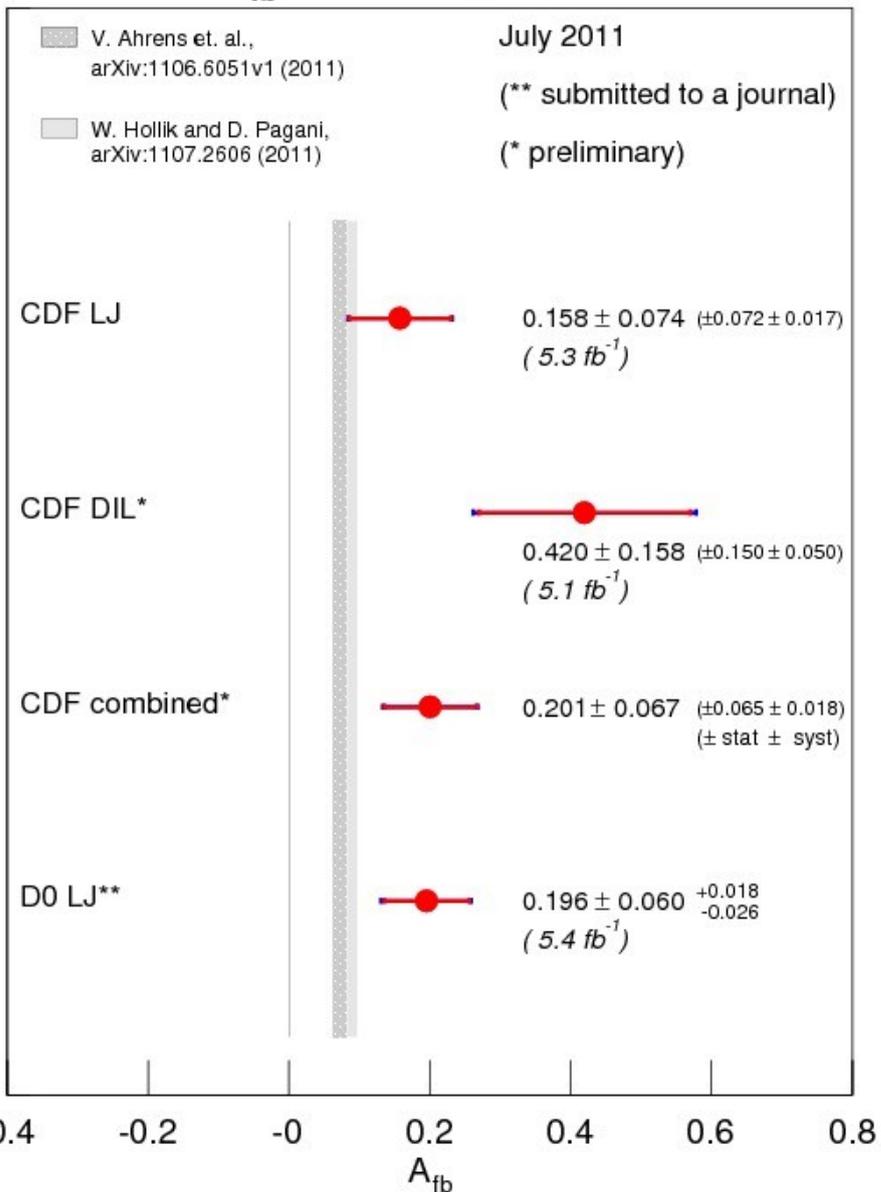
- correct for acceptance and resolution effects (unfolding) back to production level



# The asymmetry in $\sim 5 \text{ fb}^{-1}$

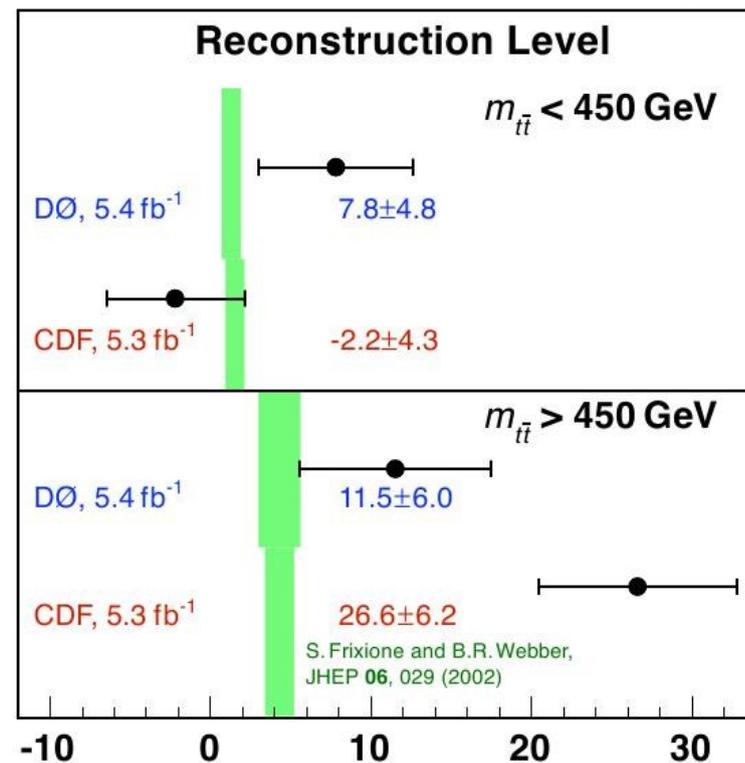


## $A_{fb}$ of the Top Quark



unclear dependence on  $M_{t\bar{t}}$  and  $\Delta y$

## Forward-Backward Top Asymmetry, %



inclusive asymmetries exceed SM prediction by  $\sim 1.5 - 2 \sigma$

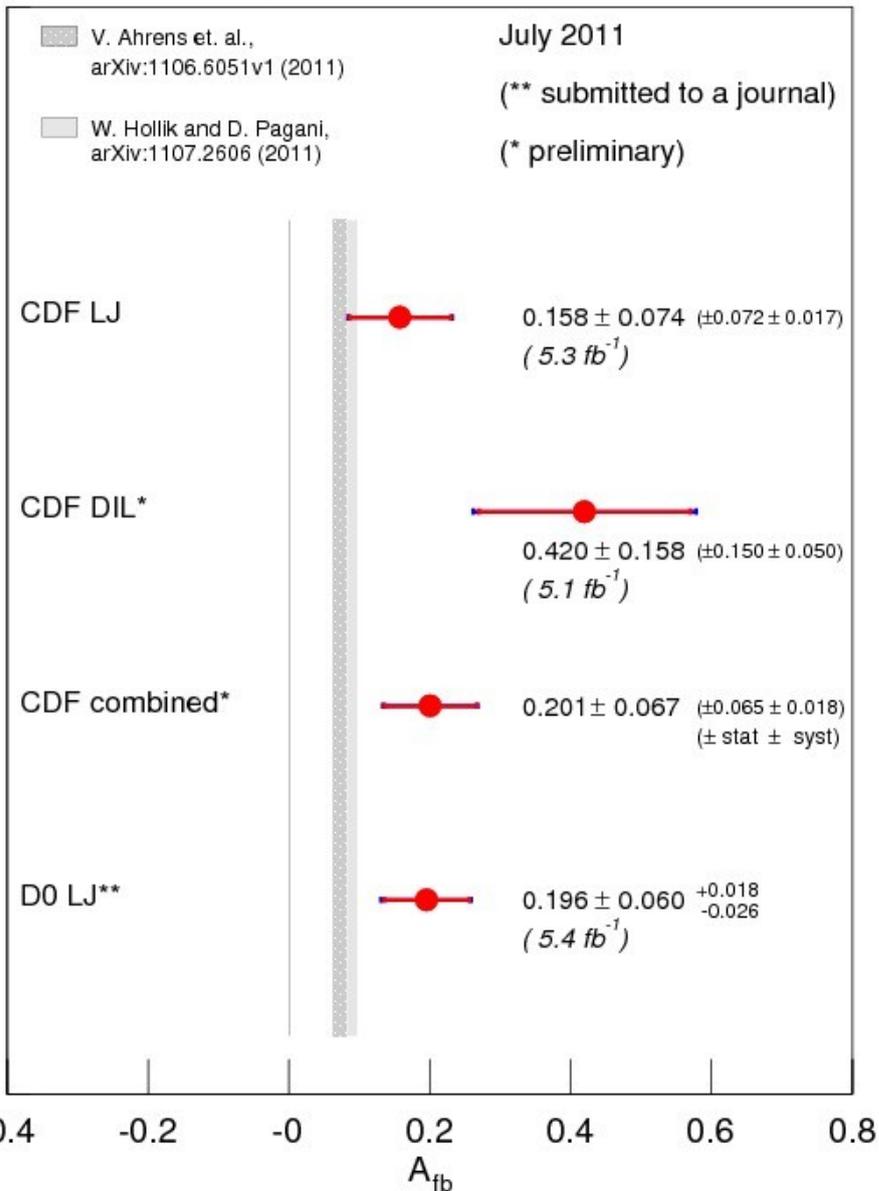
CDF : PRD 83,112003 (2011), Conf notes 10436 & 10584  
DØ : PRD 84,112055 (2011)



# The asymmetry in $\sim 5 \text{ fb}^{-1}$

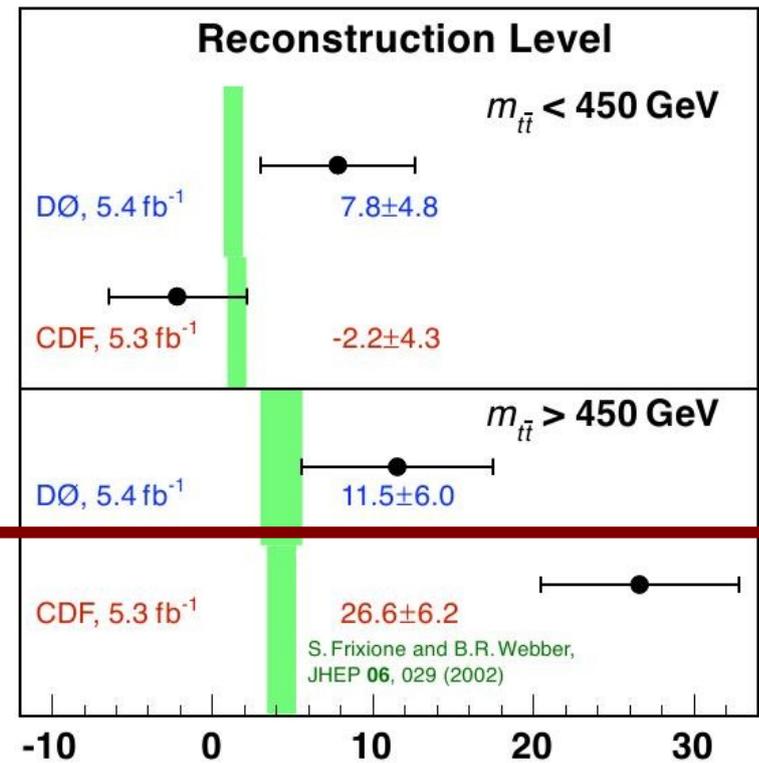


## $A_{fb}$ of the Top Quark



unclear dependence on  $M_{t\bar{t}}$  and  $\Delta y$

## Forward-Backward Top Asymmetry, %



$\sim 3\sigma$  away from MC@NLO prediction

inclusive asymmetries exceed SM prediction by  $\sim 1.5 - 2\sigma$



# Asymmetry with the full dataset



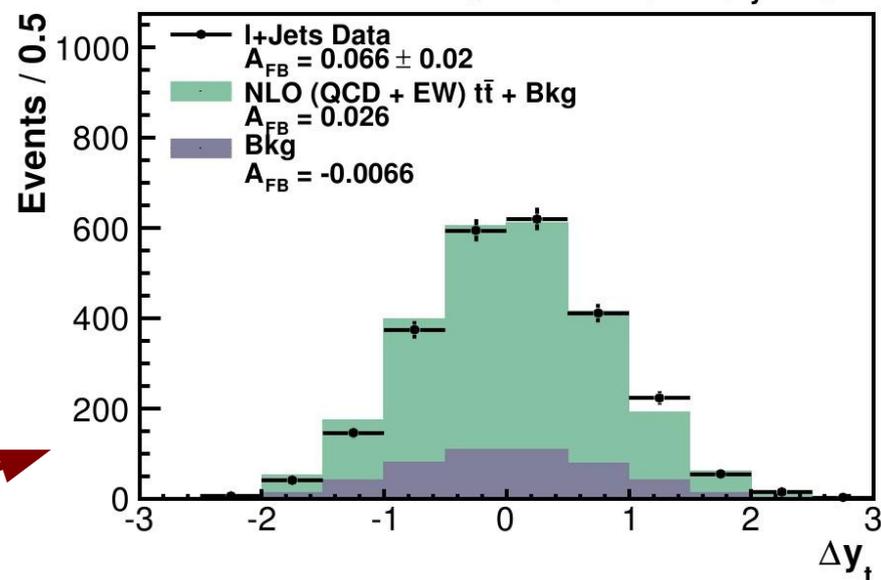
CDF Run II Preliminary L = 8.7 fb<sup>-1</sup>

CDF updated the l+jets analysis with 8.7 fb<sup>-1</sup>

use powheg + EW corrections for SM predictions

raw asymmetry at reconstruction level

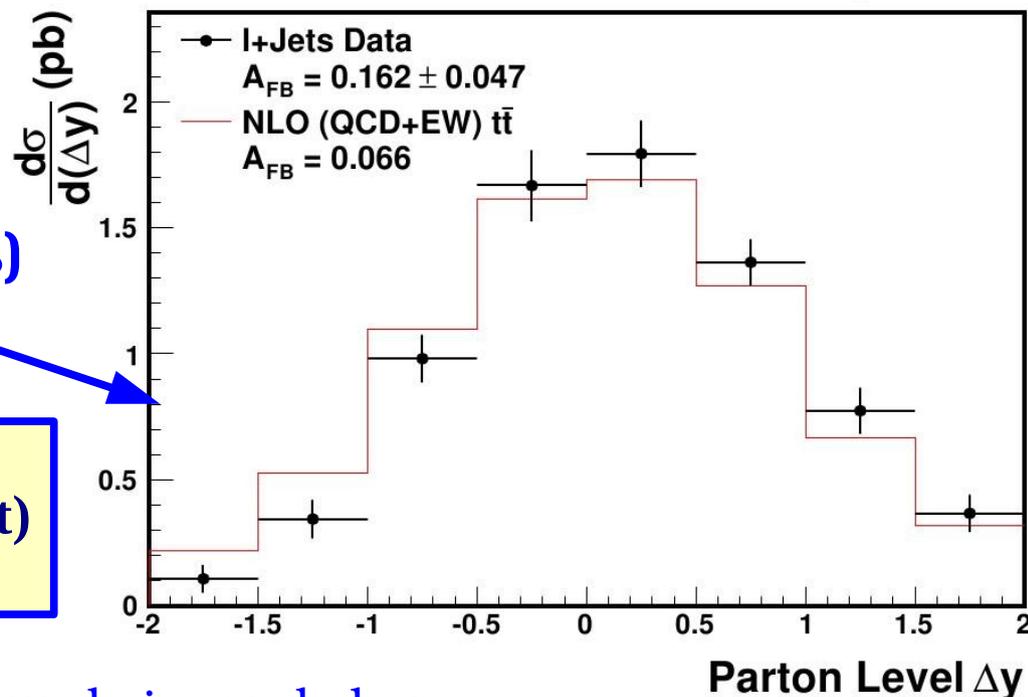
$$A_{FB}^{raw} = 0.066 \pm 0.020$$



after background subtraction and unfolding (correcting for acceptance and resolution effects)

$$A_{FB} = 0.162 \pm 0.041 \text{ (stat)} \pm 0.022 \text{ (syst)}$$

CDF Run II Preliminary L = 8.7 fb<sup>-1</sup>



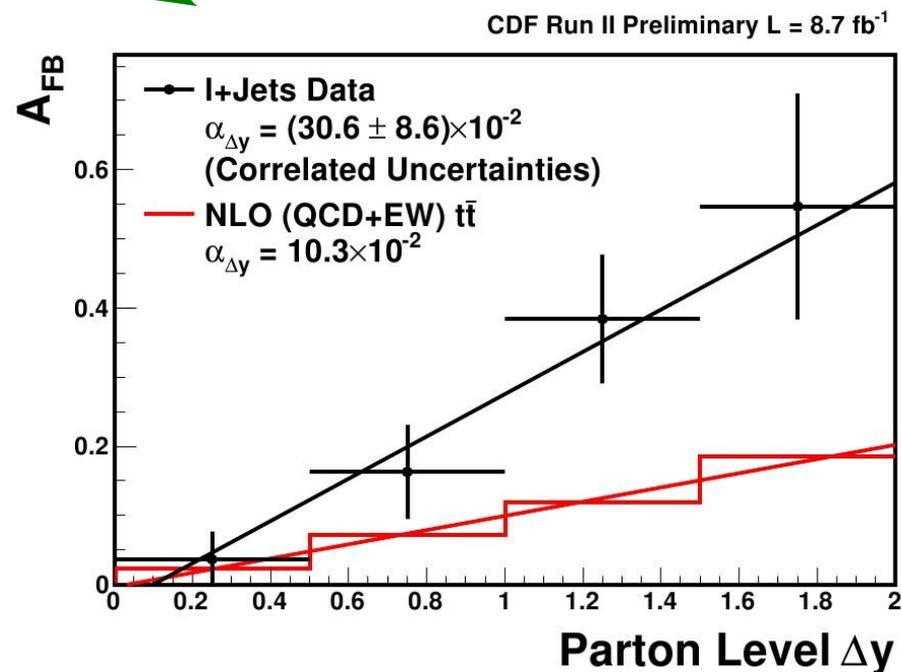
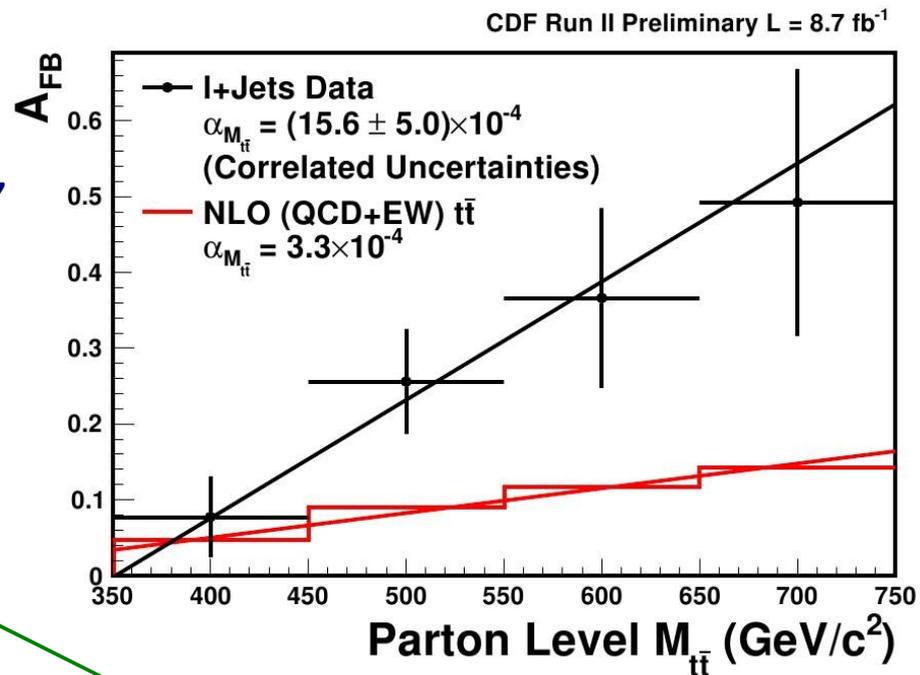
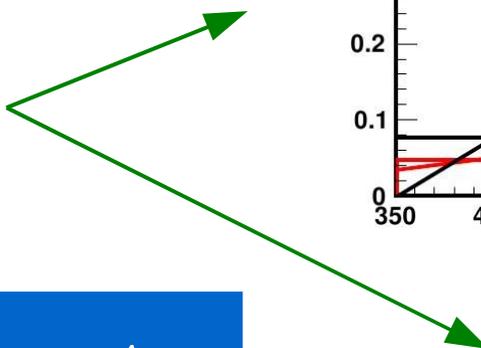
main systematic uncertainty from background size and shape



# Asymmetry with the full dataset

- in SM  $A_{FB}$  increases linearly with  $M_{t\bar{t}}$  and  $\Delta y$
- BSM could show different dependencies than in SM

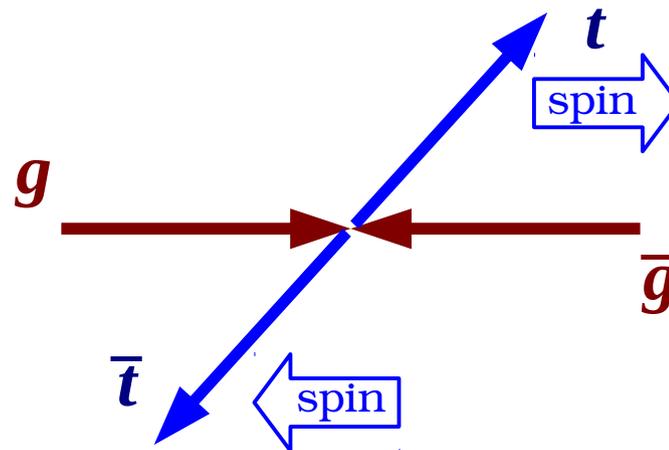
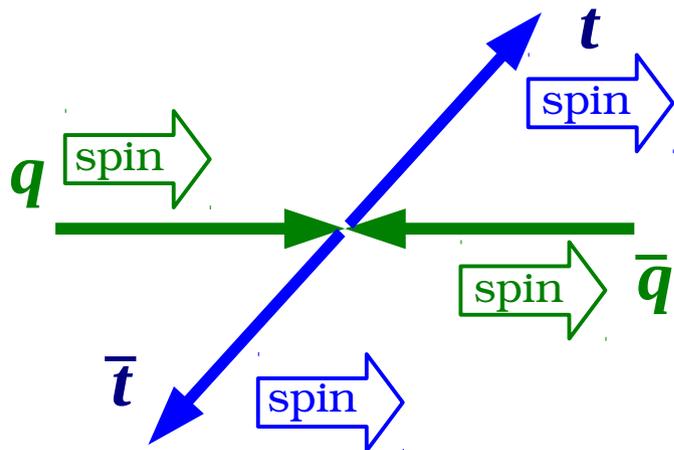
after unfolding



slope parameter $\alpha$	$A_{FB}$ vs $M_{t\bar{t}}$	$A_{FB}$ vs $\Delta y$
DATA	$(15.6 \pm 5.0) \times 10^{-4}$	$(30.6 \pm 8.6) \times 10^{-2}$
SM	$3.3 \times 10^{-4}$	$10.3 \times 10^{-2}$

best fit slope for observed data compared to NLO prediction

top pair produced with definite spin state depending on production mechanism i.e. spin 1 (qqbar annihilation) or spin 0 (gluon fusion)



top decays before hadronization

- spin information passed to decay product
- spin correlation measured from decay product angular distribution

$$\frac{1}{\sigma} \frac{d\sigma}{d\cos\theta_1 d\cos\theta_2} = \frac{1}{4} (1 - C \cos\theta_1 \cos\theta_2)$$



correlation strength :  $C = \frac{N_{\uparrow\uparrow} + N_{\downarrow\downarrow} - N_{\uparrow\downarrow} - N_{\downarrow\uparrow}}{N_{\uparrow\uparrow} + N_{\downarrow\downarrow} + N_{\uparrow\downarrow} + N_{\downarrow\uparrow}}$  with  $C_{beam\ basis}^{SM\ NLO} = 0.78^{+0.03}_{-0.04}$



# Top anti top spin correlations



## Template based measurements

- make template for different  $C$  values
- compare to data using maximum likelihood fit

DØ 5.4 fb<sup>-1</sup> dilepton PLB 702, 16 (2011)

$$C_{\text{beam}} = 0.10 \pm 0.45 \text{ (stat+syst)}$$

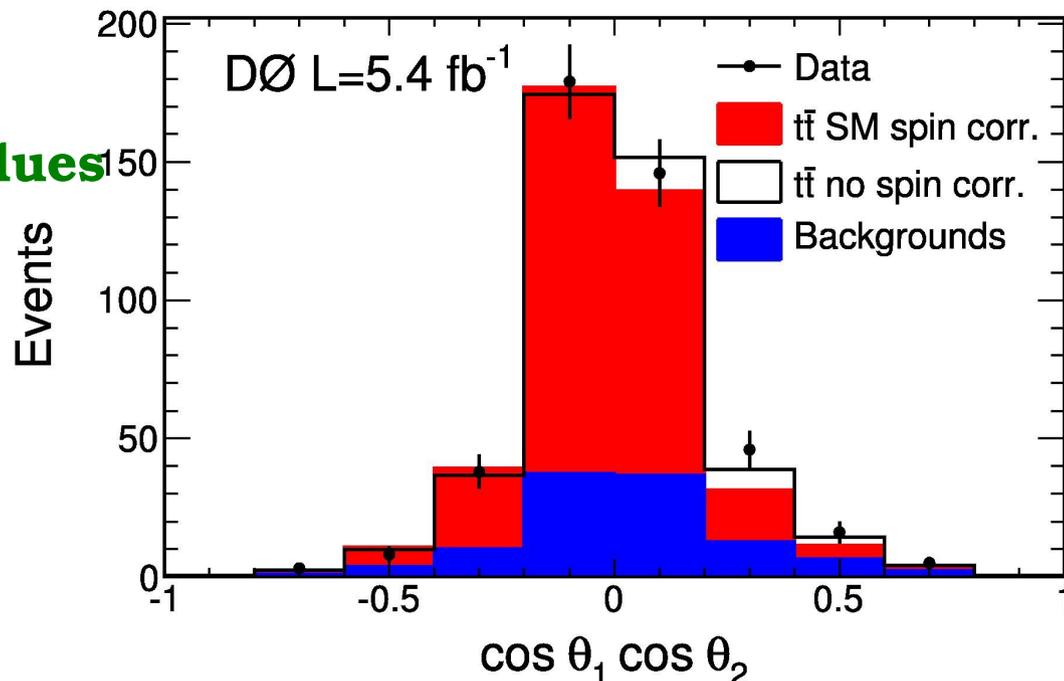
CDF 5.1 fb<sup>-1</sup> dilepton CDF conf note 10719

$$C_{\text{beam}} = 0.04 \pm 0.56 \text{ (stat+syst)}$$

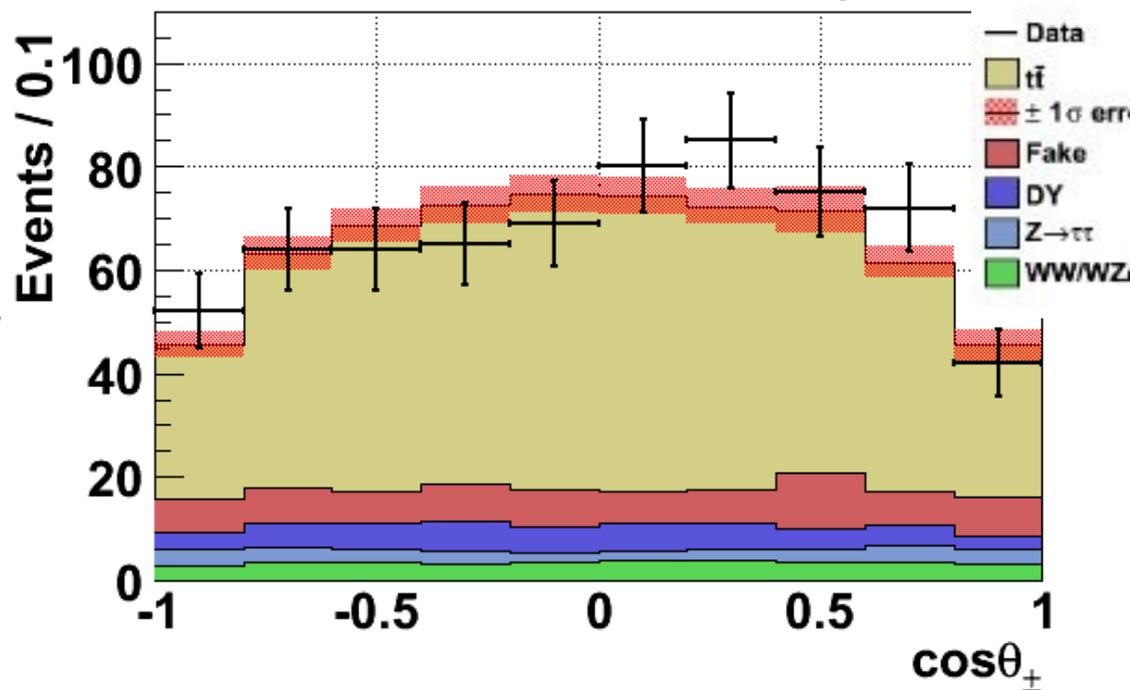
CDF 5.3 fb<sup>-1</sup> lepton+jets CDF conf note 102

$$C_{\text{beam}} = 0.72 \pm 0.69 \text{ (stat+syst)}$$

limited by statistical uncertainty  
consistent with SM expectation



CDF II Preliminary  $\int L dt = 5.1 \text{ fb}^{-1}$





# Top anti top spin correlations



## Matrix element method

$$\text{define } P(x, H) \sim \int d^6 \sigma(y, H) W(x, y) f_{\text{PDF}}(q_1) f_{\text{PDF}}(q_2) dq_1 dq_2$$

differential Xsection
detector response
Parton Density Function

with correlation  $H=1$  and no correlation  $H=0$  hypotheses

use discrimination variable

$$R = \frac{P(x, H=1)}{P(x, H=1) + P(x, H=0)}$$

## DØ 5.4 fb<sup>-1</sup> dilepton

$$C_{\text{beam}} = 0.57 \pm 0.31 \text{ (stat+syst)}$$

## DØ 5.3 fb<sup>-1</sup> lepton+jets

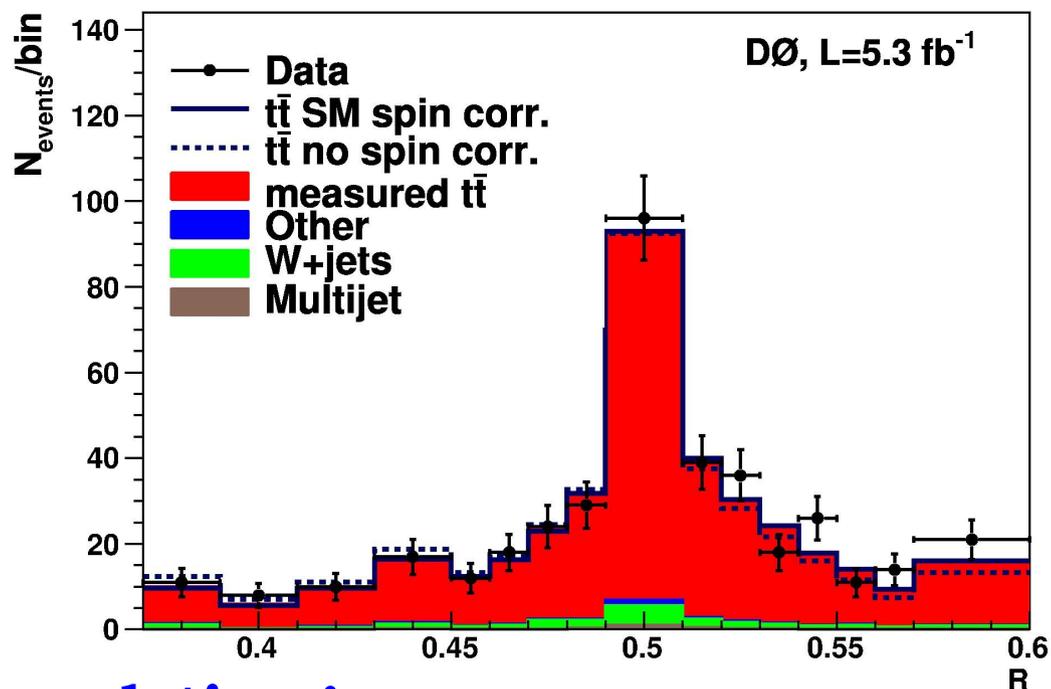
$$C_{\text{beam}} = 0.89 \pm 0.33 \text{ (stat+syst)}$$

**Combination** PRL 108, 032004 (2012)

$$C_{\text{beam}} = 0.66 \pm 0.23 \text{ (stat+syst)}$$

$$C > 0.26 \text{ @ } 95 \% \text{ CL}$$

$$C = 0 \text{ excluded at } 3.1 \sigma$$



**1<sup>st</sup> evidence of non zero spin correlation !**



# Ratio of branching fractions R



in the SM the ratio  $R = \frac{BR(t \rightarrow Wb)}{BR(t \rightarrow Wq)} = \frac{|V_{tb}|^2}{|V_{td}|^2 + |V_{ts}|^2 + |V_{tb}|^2}$  is constrained

by CKM unitarity to be  $R=1 \rightarrow R < 1$  could indicate new physics

measure R simultaneously with the  $t\bar{t}$  cross section  
dropping the assumption  $R=1$

**CDF 7.5 fb<sup>-1</sup> (1+jets)**

$$\sigma_{t\bar{t}} = 7.4 \pm 1.1 \text{ pb}$$

$$R = 0.91 \pm 0.09$$

$$|V_{tb}| = 0.95 \pm 0.05$$

stat +syst uncertainties

**D0 5.4 fb<sup>-1</sup> (dilepton & 1+jets)**

$$\sigma_{t\bar{t}} = 7.74_{-0.57}^{+0.67} \text{ pb}$$

$$R = 0.90 \pm 0.04$$

$$|V_{tb}| = 0.95 \pm 0.02$$

$$|V_{tb}| > 0.88 \text{ @ 99.7\% CL}$$

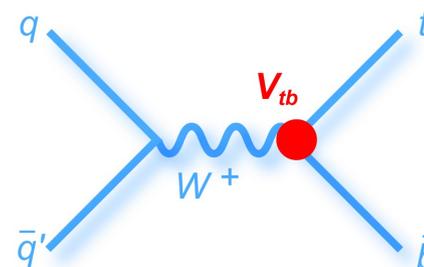
stat +syst uncertainties



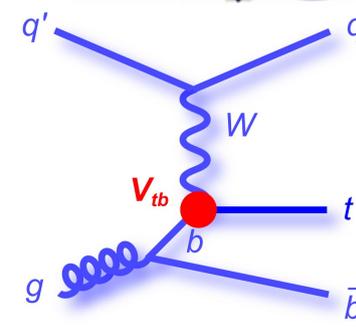
# EW single top production



- observed by CDF and D0 in March 2009
- direct access to the  $Wtb$  vertex
- direct measurement of  $V_{tb}$   $\sigma \sim |V_{tb}|^2$
- final state within large background with uncertainties larger than signal
- multivariate techniques mandatory (BDT, BNN, NEAT)



s-channel  
 $\sigma_{SM} = 1.05 \pm 0.07$  pb



t-channel  
 $\sigma_{SM} = 2.10 \pm 0.19$  pb

both for  $m_t = 172.5$  GeV

**Tevatron combination**  
 (up to  $3.2 \text{ fb}^{-1}$ )

$$\sigma = 2.76^{+0.58}_{-0.47} \text{ (stat+syst) pb}$$

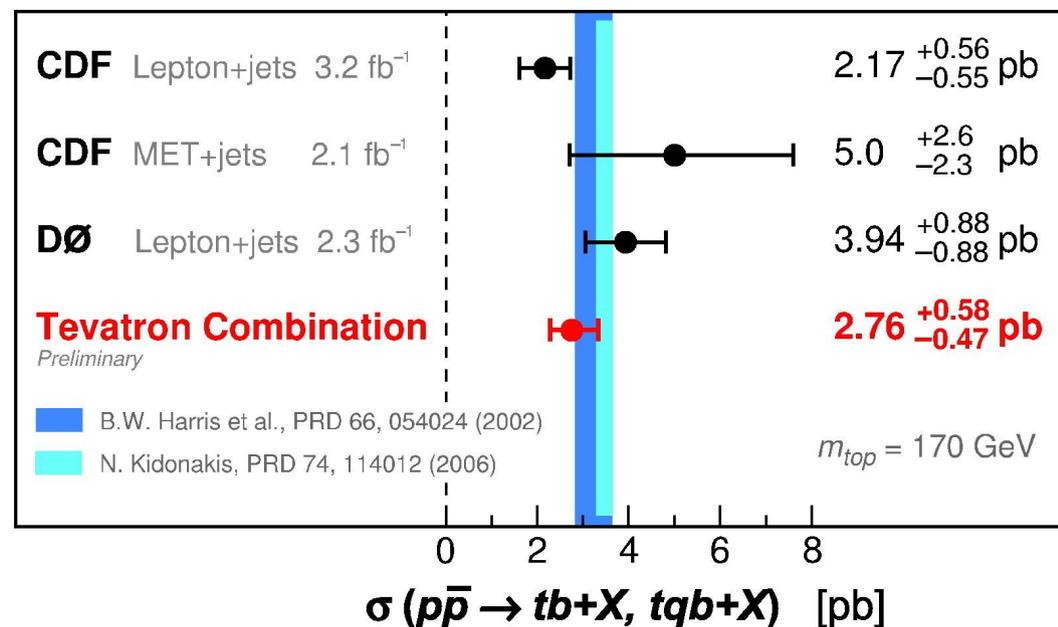
$$|V_{tb}| = 0.88 \pm 0.07 \text{ (stat+syst)}$$

$$|V_{tb}| > 0.77 \text{ @ 95\% CL}$$

for  $m_t = 170$  GeV

Single Top Quark Cross Section

August 2009



# single top : s+t channel cross section



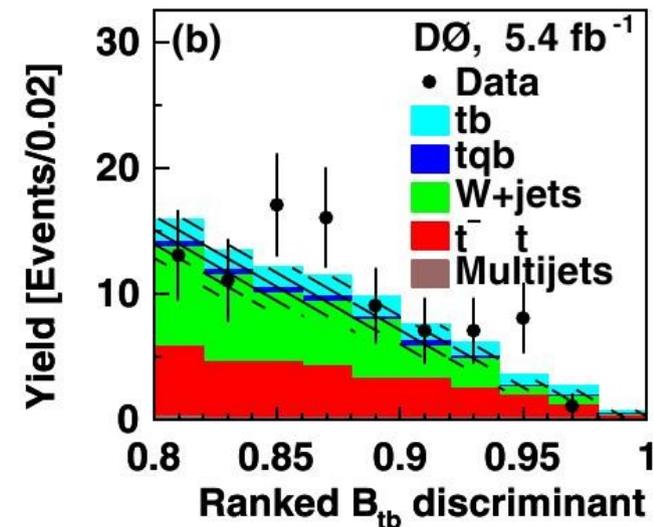
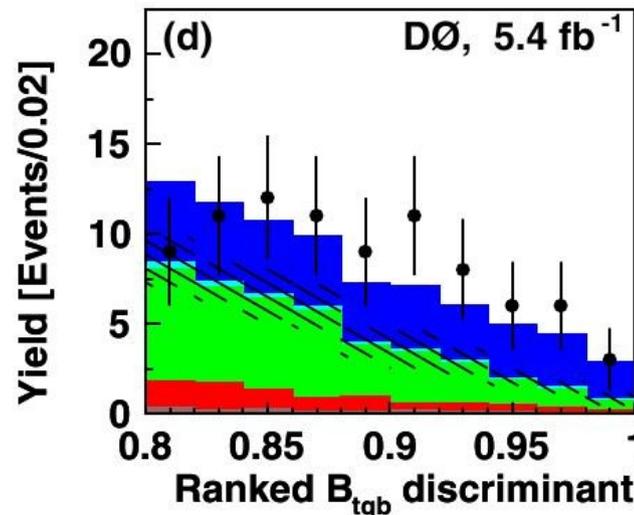
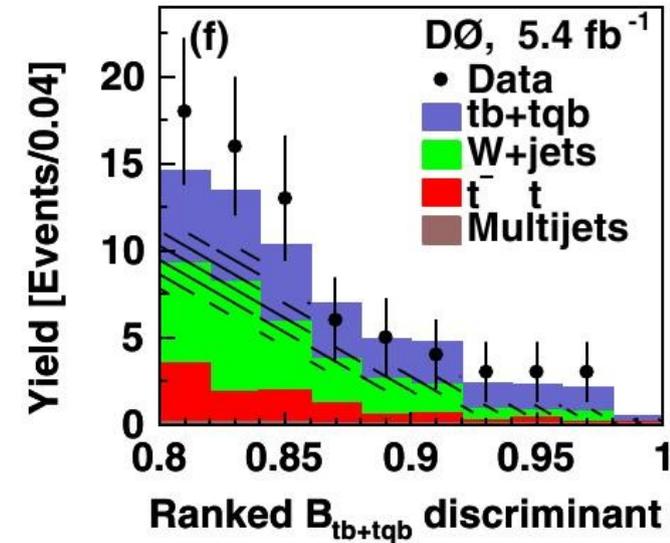
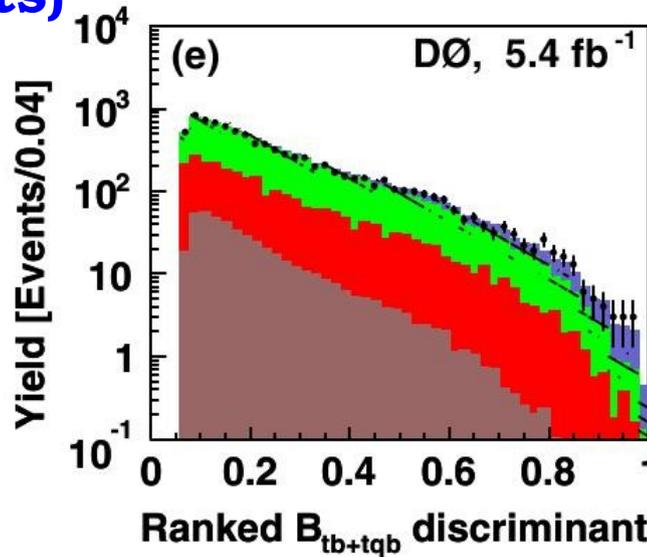
**DØ 5.4 fb<sup>-1</sup> update (1+jets)**

**discriminating variables  
combined into MVAs :**

- Boosted Decision Tree (BDT)
- Bayesian Neural Net (BNN)
- Neuroevolution of Augmented Topologies (NEAT)

**Correlation ~ 70 %**

2<sup>nd</sup> BNN used to construct  
a combined discriminant  
for each channel



$$\sigma_{s+t} = 3.43^{+0.73}_{-0.74} \text{ pb}$$

$$\sigma_t = 2.86^{+0.69}_{-0.63} \text{ pb}$$

$$\sigma_s = 0.68^{+0.38}_{-0.35} \text{ pb}$$

# direct $|V_{tb}|$ measurement



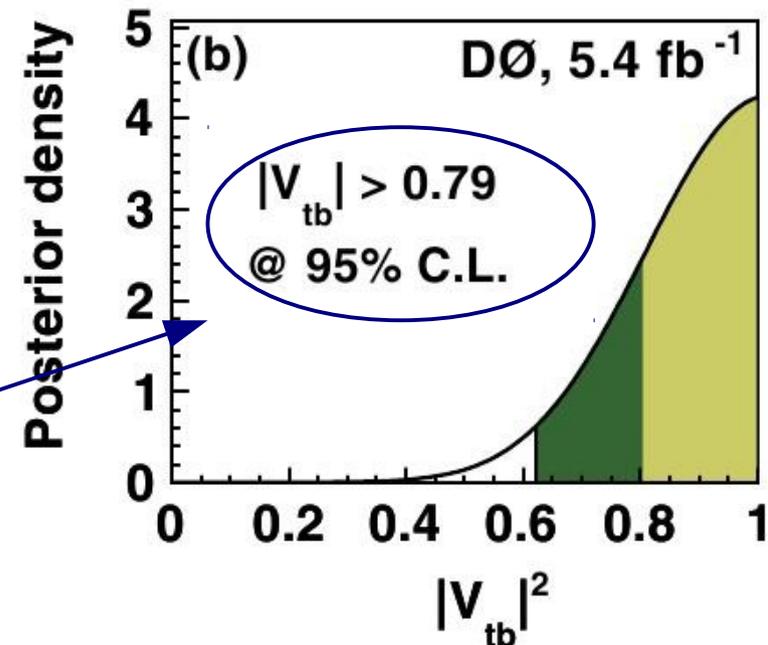
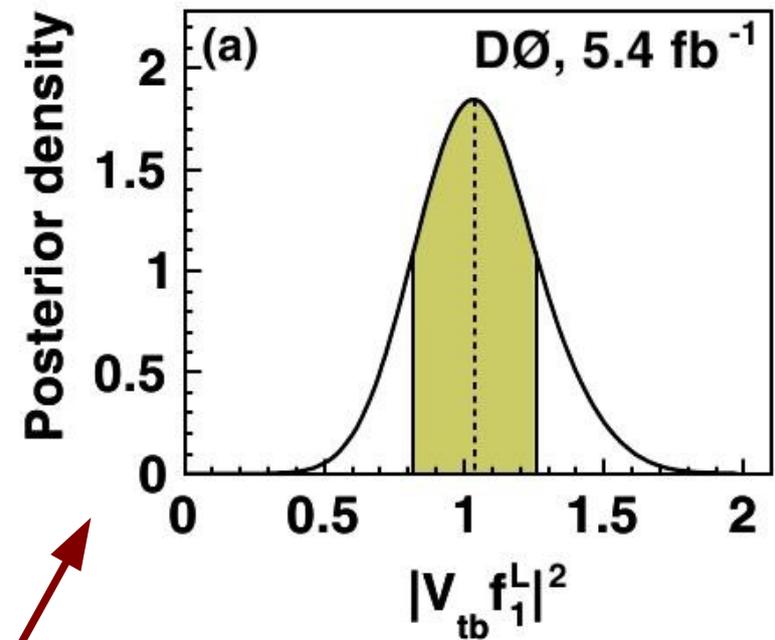
- single top production cross section directly proportional to  $|V_{tb}|^2$
- can measure  $|V_{tb}|$  assuming V-A coupling but without assuming 3 generations or unitarity of CKM matrix and using

$$|V_{tb}|_{\text{measured}}^2 = \frac{\sigma_{s+t}^{\text{measured}}}{\sigma_{s+t}^{\text{SM}}} |V_{tb}|_{\text{SM}}^2$$

- maintain the possibility for an anomalous strength of the left-handed Wtb coupling  $f_1^L$

$$|V_{tb} f_1^L| = 1.02_{-0.11}^{+0.10}$$

- assuming  $f_1^L = 1$  and restricting to  $[0,1]$





# Single top CDF 7.5 fb<sup>-1</sup> update (1 + jets) : cross sections and $V_{tb}$

- use NN with same input variables as the observation analysis
- use NLO POWHEG for the MC single top signal samples (s-, t-, and Wt channels)

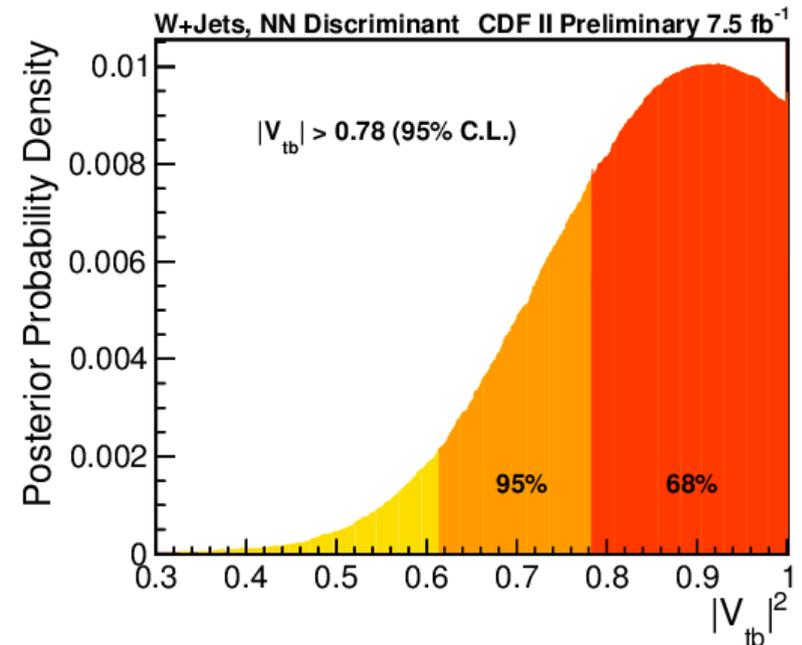
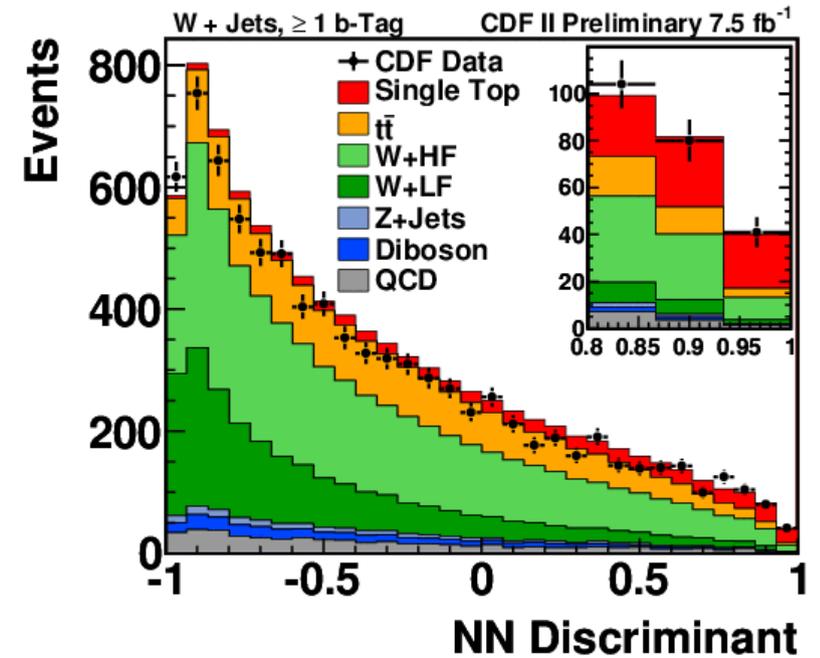
$$\sigma_{\text{single top}} = 3.04^{+0.57}_{-0.53} \text{ pb}$$

- extract bounds on  $|V_{tb}|$  using again :

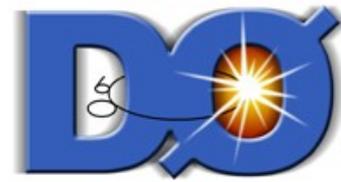
$$|V_{tb}|_{\text{measured}}^2 = \frac{\sigma_{s+t}^{\text{measured}}}{\sigma_{s+t}^{\text{SM}}} |V_{tb}|_{\text{SM}}^2$$

$$|V_{tb}| > 0.78 \quad \text{at 95 \% CL}$$

$$|V_{tb}| = 0.92^{+0.10}_{-0.08} \text{ (stat+syst)} \pm 0.05 \text{ (theory)}$$



# single top s- and t- channel measurement

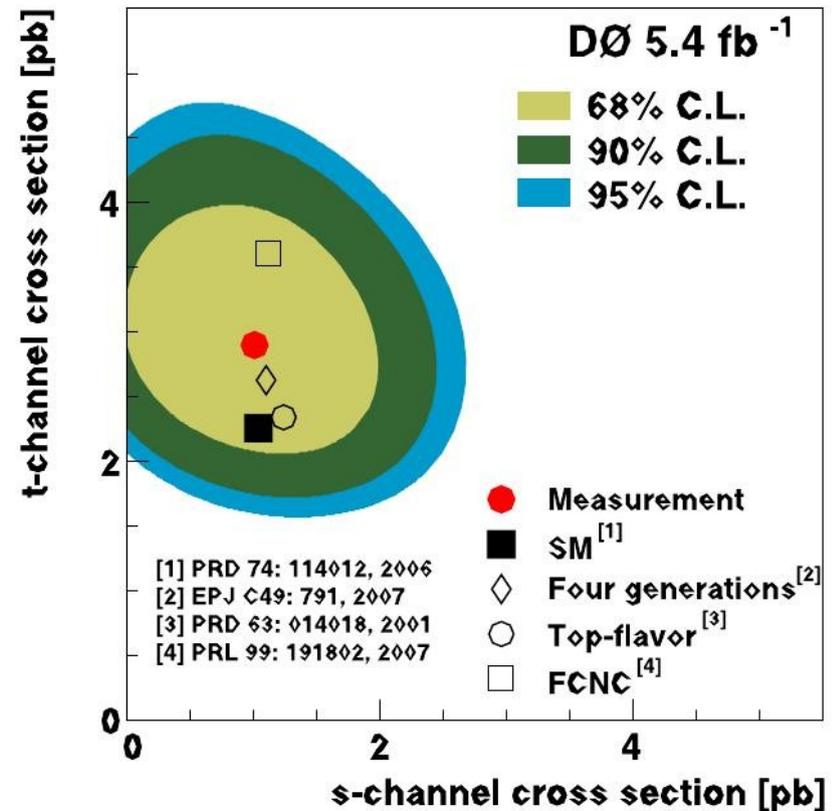


s and t channel sensitive to different BSM physics

- construct a 2D posterior probability density function for t- versus s- channel cross section
- extract t-channel cross section from 1D posterior by integrating over s-channel (x-axis)

$$\sigma_{\text{t-channel}} = 2.90 \pm 0.59 \text{ pb}$$

$$\sigma_{\text{s-channel}} = 0.98 \pm 0.63 \text{ pb}$$



most precise measurement in t-channel

> 5 $\sigma$  significance

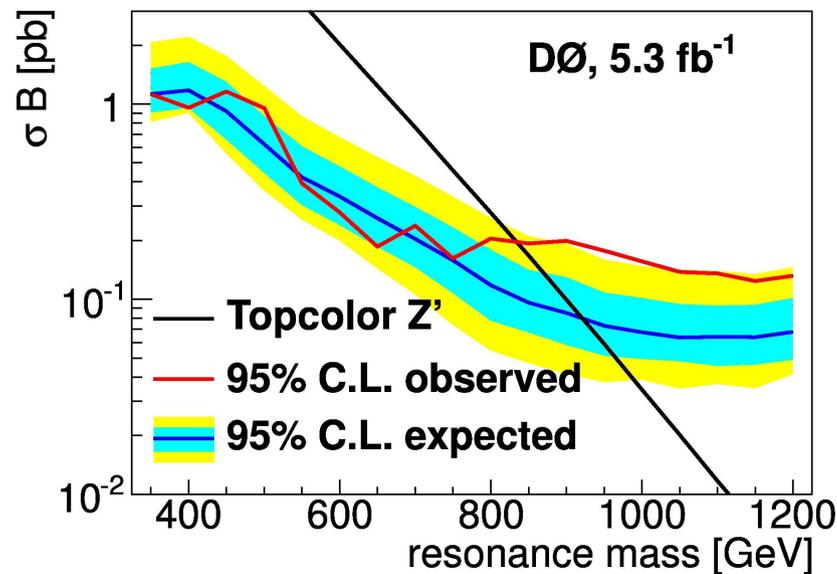
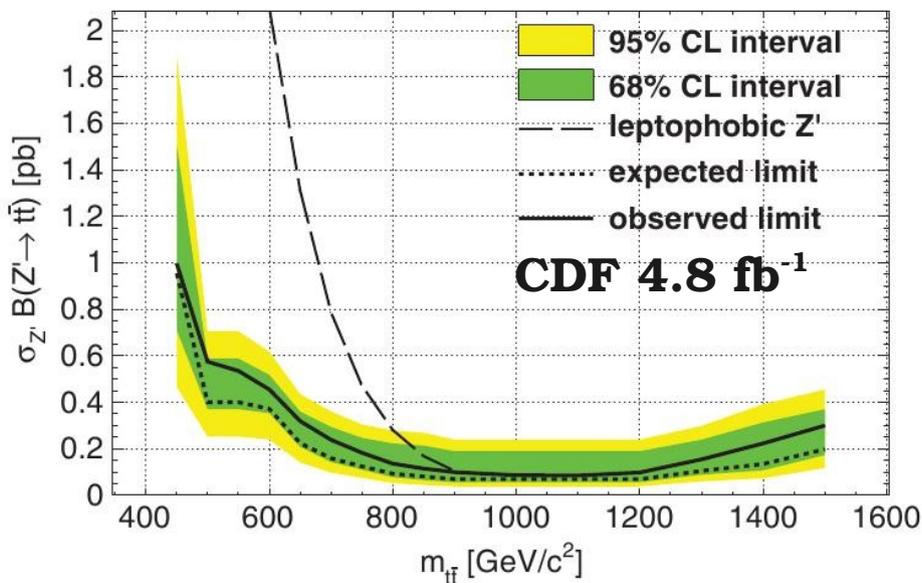
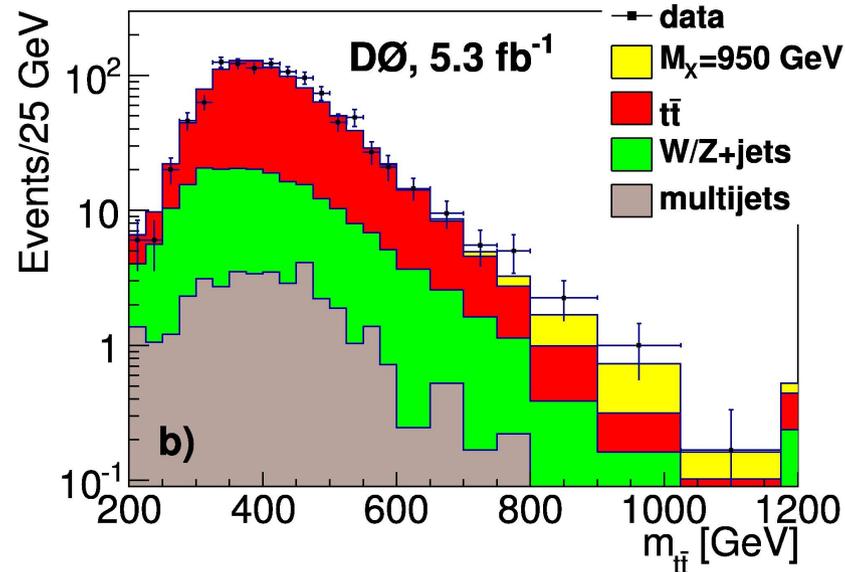
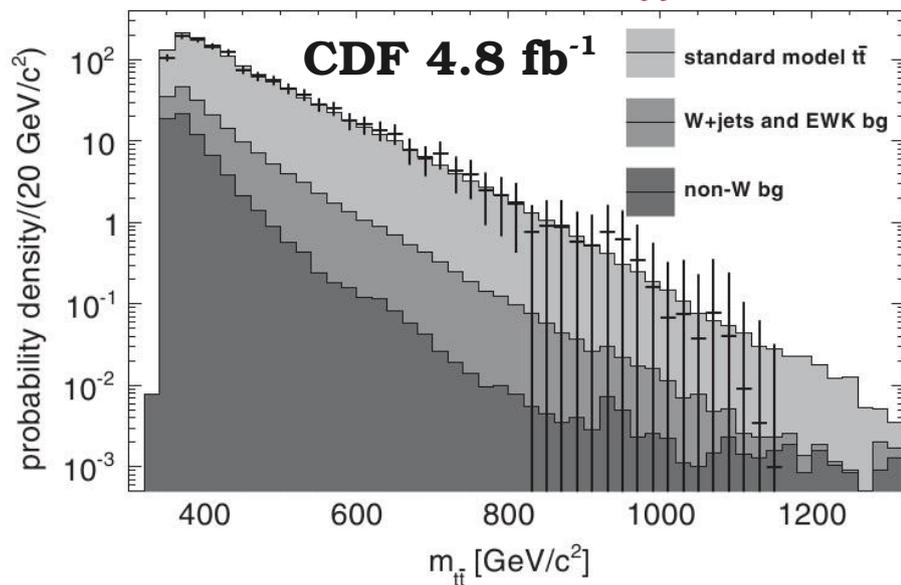
PLB 705, 313 (2011)



# Search for resonant $t\bar{t}$ production



## looking at the $M_{t\bar{t}}$ spectrum in the lepton + jets channel



**topcolor leptophobic  $Z' \rightarrow t\bar{t}$  excluded at 95% CL with :**

$M_{Z'} < 900 \text{ GeV}/c^2$        $M_{Z'} < 835 \text{ GeV}/c^2$

PRD 84, 072004 (2011)

PRD 85, 051101 (2012)

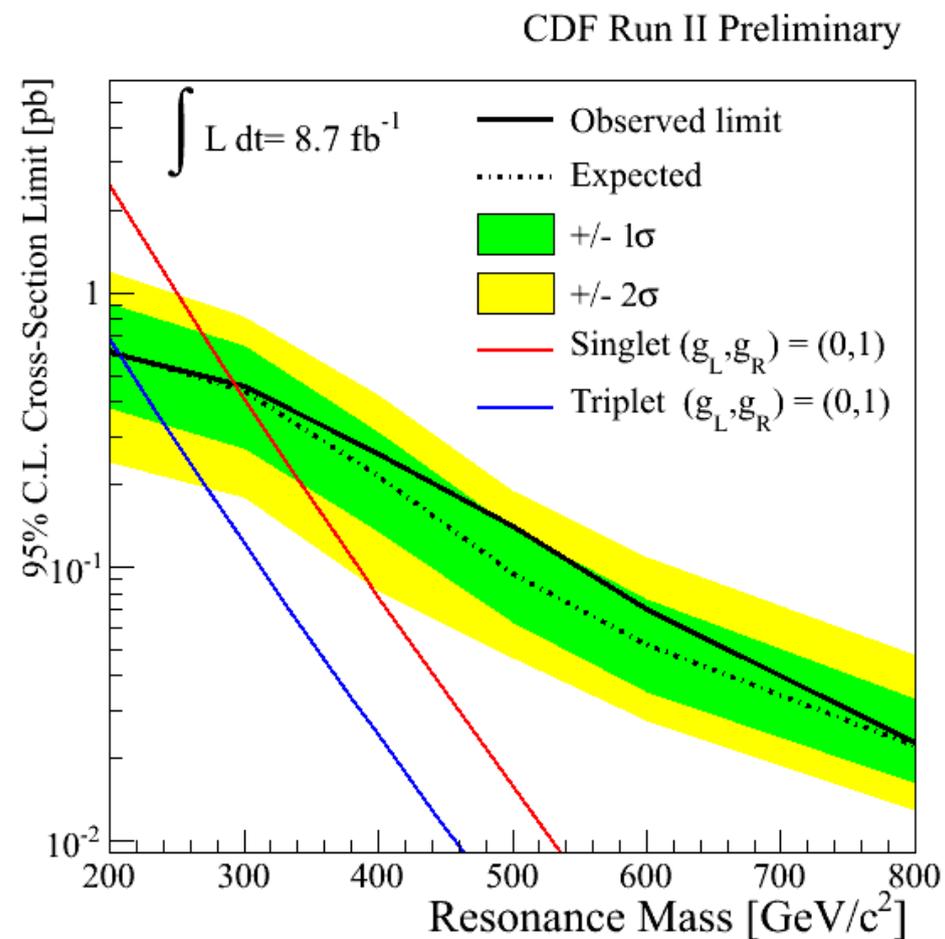
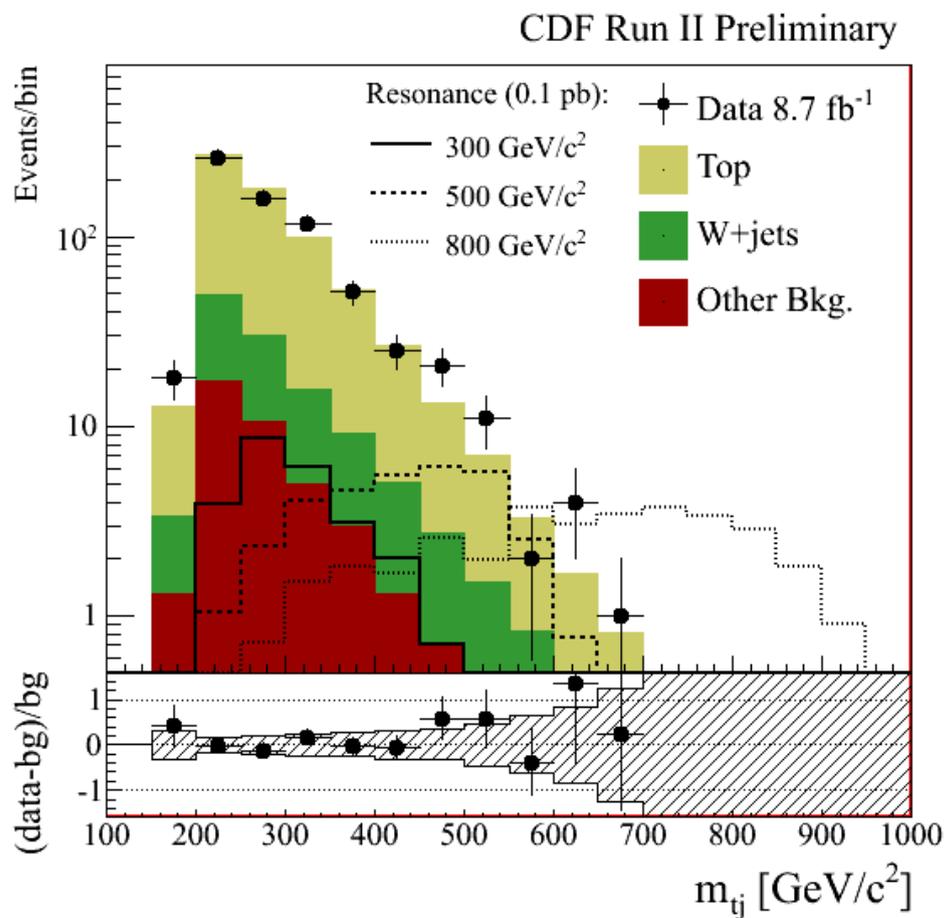


# Search for top+jet resonances in $t\bar{t} + \text{jet}$



search for a heavy particle  $X$  produced in association with a  $t$  quark:  $p\bar{p} \rightarrow X t \rightarrow \bar{t} q t$   
 leading to a resonance in the  $\bar{t} + \text{jet}$  system of  $\bar{t} t + \text{jet}$  events

select events in lepton+jets with at least 5 jets and 1 btag

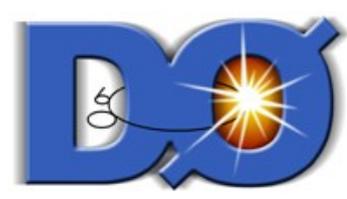




# SUMMARY



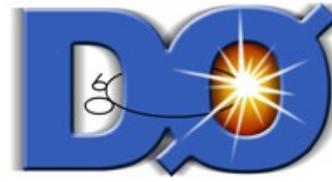
- **Tevatron provides precision measurements for top pair production cross section**  
in most cases the measurements are now limited by systematics uncertainties
- **Forward-backward asymmetry of top events keeps indicating a discrepancy with current NLO QCD prediction**
- **Tevatron provides 1<sup>st</sup> evidence for non zero spin correlations**
- **Electroweak production of single top has been observed**  
new results on cross section measurements with precision < 20 %  
observation of t-channel production  
measurements and limits on  $V$
- **no evidence for resonant  $t\bar{t}$  production**



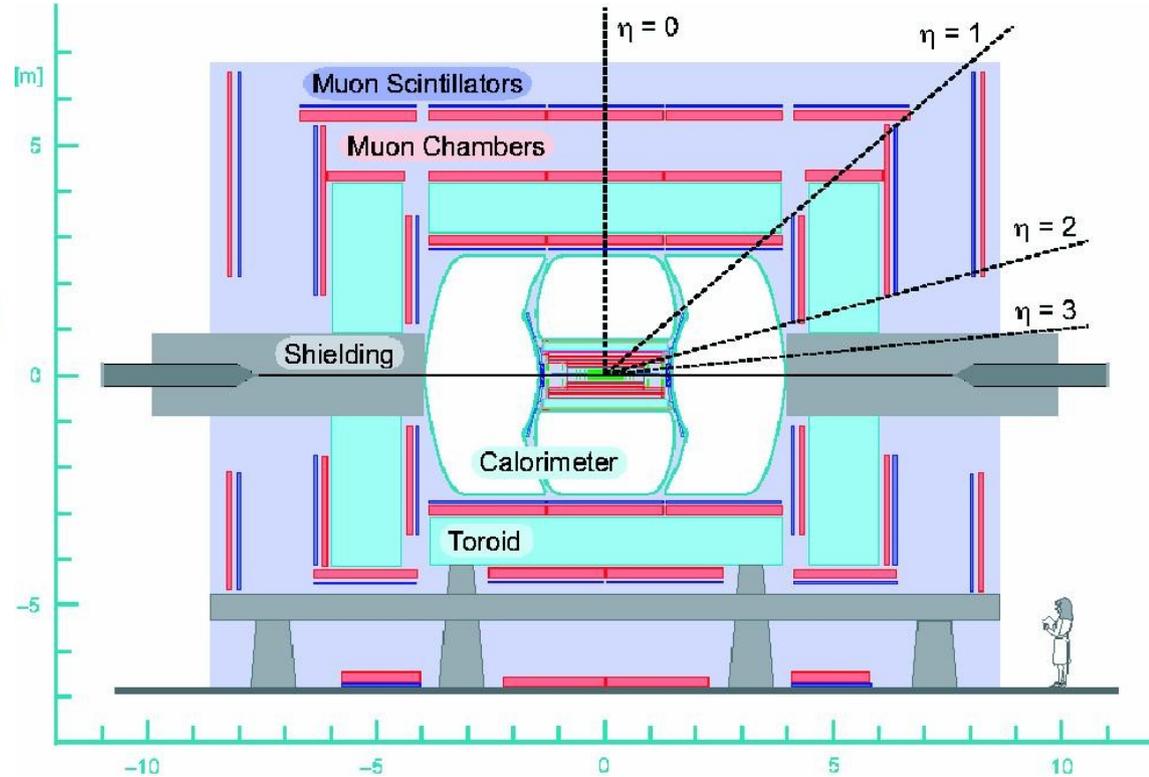
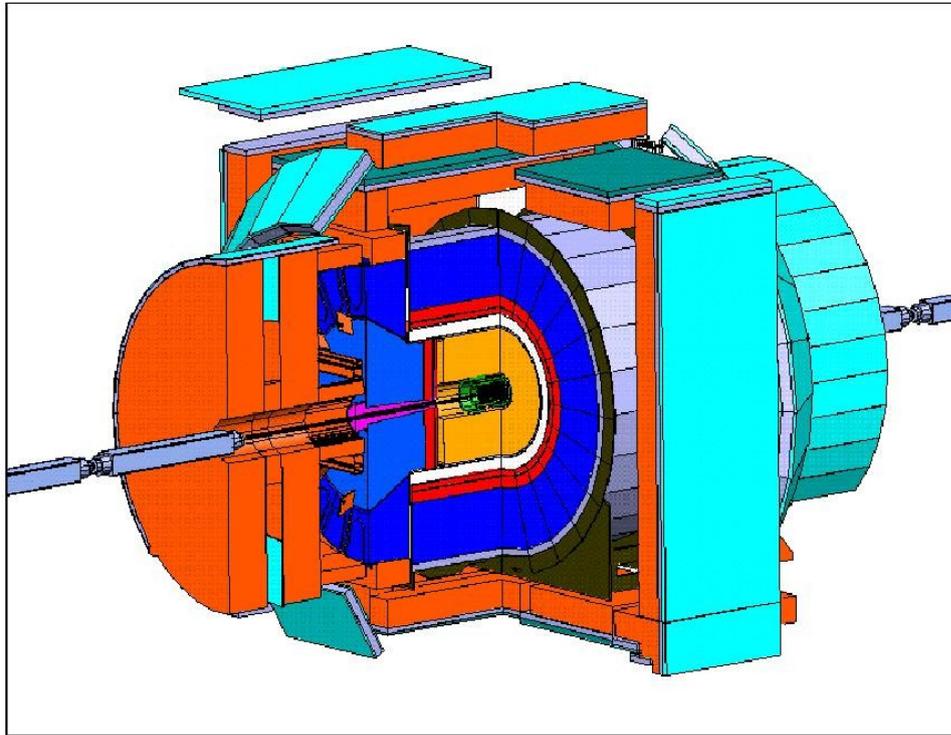
# BACKUP



14 nations  
60 institutions  
~500 physicists



19 nations  
79 institutions  
~500 physicists

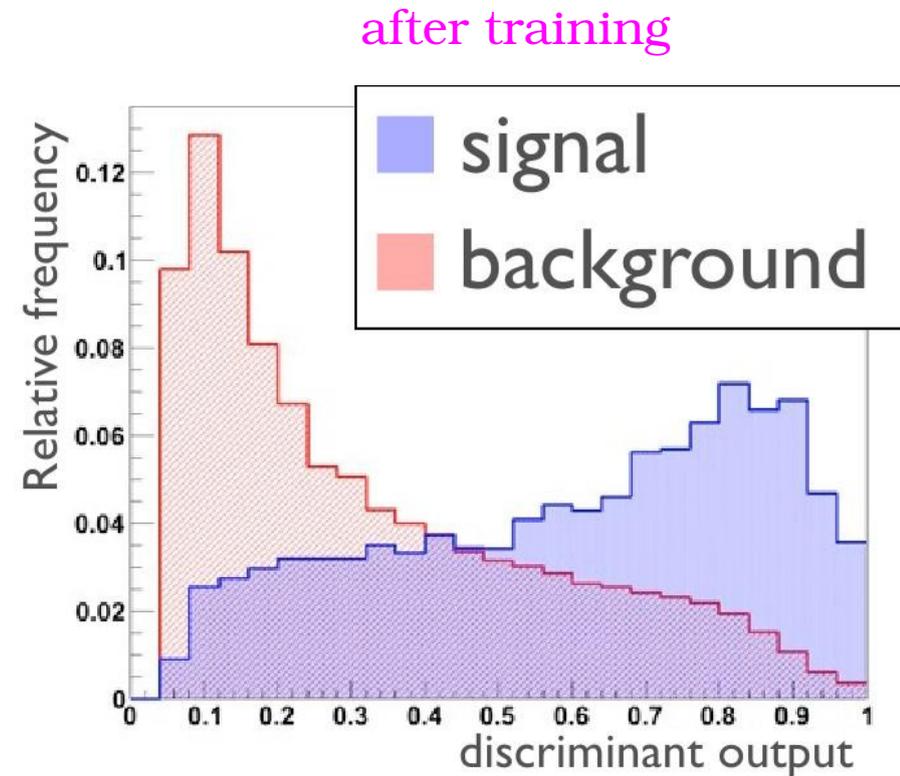
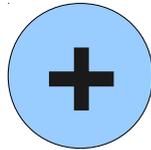
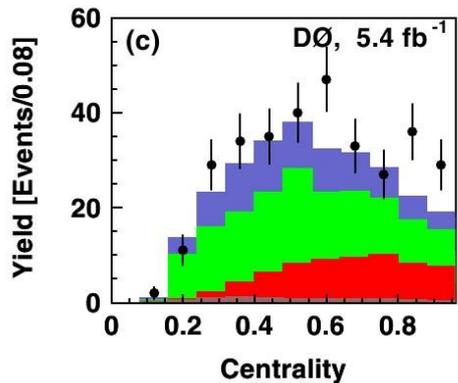
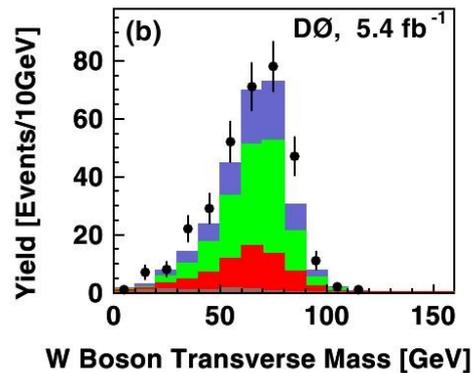
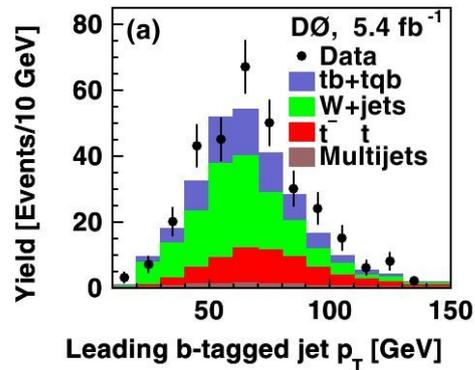


- silicon detector
- COT: drift chamber
- solenoid
- calorimeters  
central, wall, plug
- muon  
scintillator + chamber

- 8 layers silicon (SMT)
- 16 layers scintillating fibers
- 2T solenoid
- calorimeter:  
central+endcap
- 1.8 toroid
- 3 layers muon scintillators  
+ drift tubes

# Multivariate analyses

Combine different kinematic variables with some discrimination power into one variable with larger discrimination



all these methods have a training process where they learn to discriminate between signal and background events

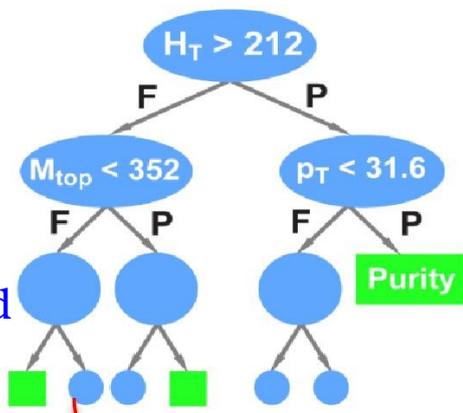


# Multivariate analyses



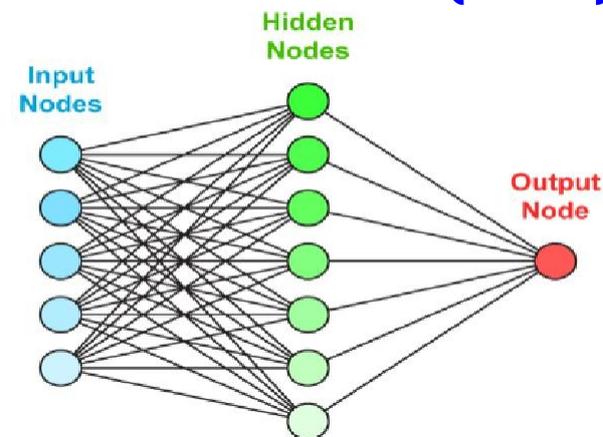
## Boosted decision tree (BDT)

- apply sequential cuts keeping failing events
- performance is boosted by averaging multiple trees produced by enhancing misclassified events



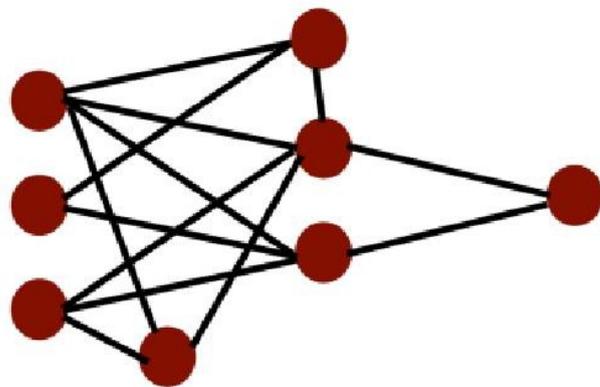
## Bayesian Neural Network (BNN)

- Bayesian NN averaged over many network improving the performance



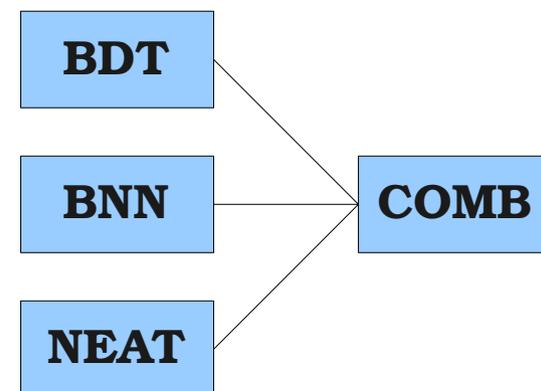
## Neuro Evolution of Augmenting Topologies (NEAT)

- Genetic algorithms evolve a population of NN
- Topology of the NN is also part of the training



## BNN Combination

- different discriminator are combined into one





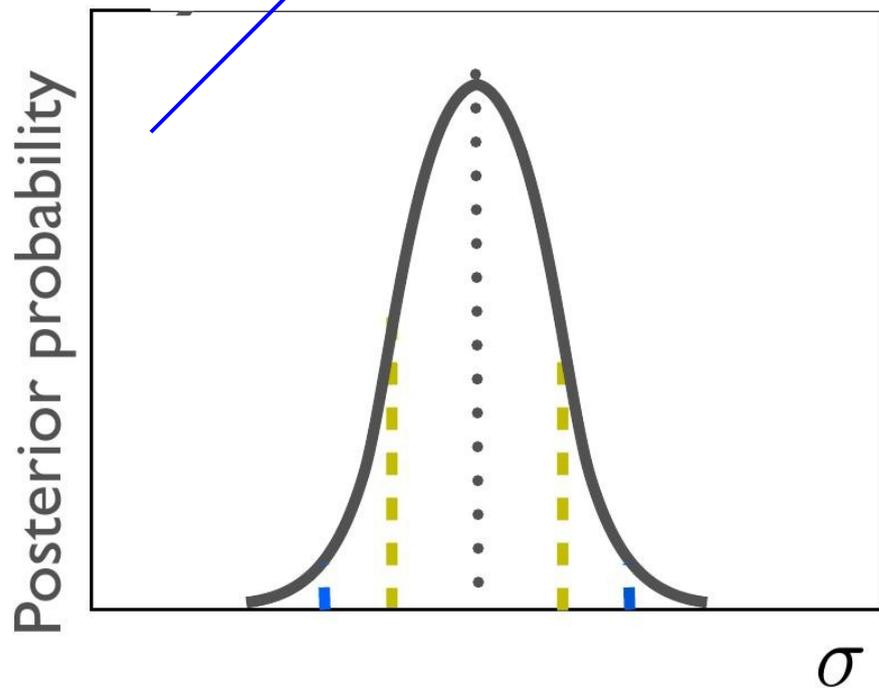
# Bayesian statistical analysis



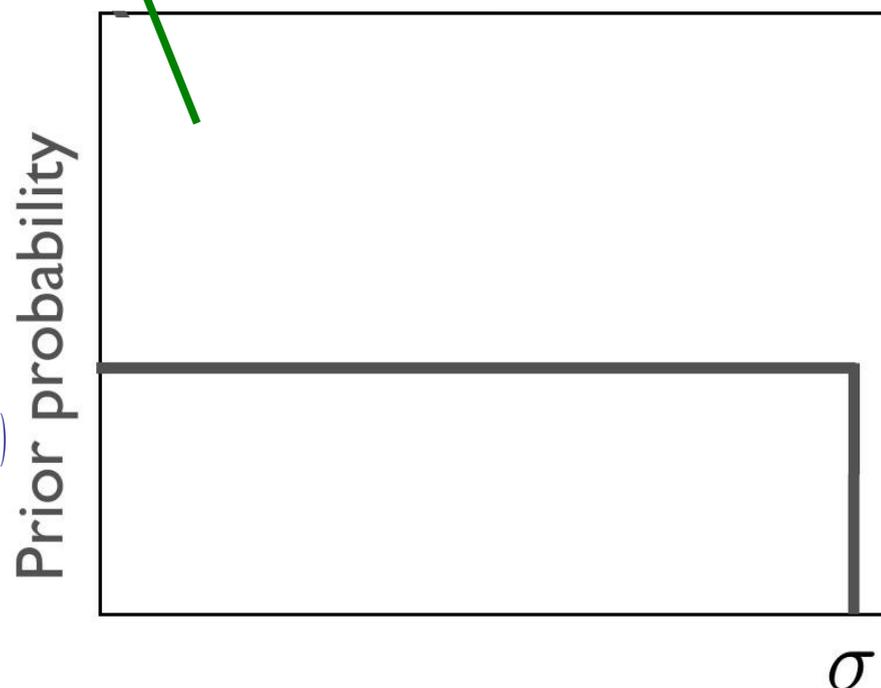
the prior distribution is updated by the likelihood that depends on the data

average the likelihood over the background uncertainties assuming gaussian prior

$$p(\sigma) = \frac{1}{N} \int L(\mathbf{D}|\sigma, \mathbf{a}, \mathbf{b}) \pi(\sigma) \pi(\mathbf{a}, \mathbf{b}) d\mathbf{a} d\mathbf{b}$$



$L(\mathbf{D}|\sigma, \mathbf{a}, \mathbf{b})$





# EW single top production



TABLE II. Expected and observed cross sections in pb for  $tb$ ,  $tqb$ , and  $tb + tqb$  production. All results assume a top quark mass of 172.5 GeV.

Discriminant	Expected	Observed
<i>tb</i> production		
BNN	$1.08^{+0.52}_{-0.50}$	$0.72^{+0.44}_{-0.43}$
BDT	$1.07^{+0.47}_{-0.43}$	$0.68^{+0.41}_{-0.39}$
NEAT	$1.06^{+0.54}_{-0.50}$	$0.17^{+0.41}_{-0.17}$
$B_{tb}$	$1.12^{+0.45}_{-0.43}$	$0.68^{+0.38}_{-0.35}$
<i>tqb</i> production		
BNN	$2.49^{+0.76}_{-0.67}$	$2.92^{+0.87}_{-0.73}$
BDT	$2.40^{+0.71}_{-0.66}$	$3.03^{+0.78}_{-0.66}$
NEAT	$2.36^{+0.80}_{-0.77}$	$2.75^{+0.87}_{-0.75}$
$B_{tqb}$	$2.43^{+0.67}_{-0.61}$	$2.86^{+0.69}_{-0.63}$
<i>tb + tqb</i> production		
BNN	$3.46^{+0.84}_{-0.78}$	$3.11^{+0.77}_{-0.71}$
BDT	$3.41^{+0.82}_{-0.74}$	$3.01^{+0.80}_{-0.75}$
NEAT	$3.33^{+0.94}_{-0.80}$	$3.59^{+0.96}_{-0.80}$
$B_{tb+tqb}$	$3.49^{+0.77}_{-0.71}$	$3.43^{+0.73}_{-0.74}$

TABLE III. Dependence on  $m_t$  of the measured cross sections in pb for  $tb$ ,  $tqb$ , and  $tb + tqb$  production, using the combined discriminants for the assumed top quark masses. The predicted cross sections [2] in pb are also included in the table and labeled “SM.”

$m_t$	170 GeV	172.5 GeV	175 GeV
<i>tb</i>	$1.20^{+0.62}_{-0.56}$	$0.68^{+0.38}_{-0.35}$	$0.53^{+0.36}_{-0.34}$
SM	$1.12^{+0.04}_{-0.04}$	$1.04^{+0.04}_{-0.04}$	$0.98^{+0.04}_{-0.04}$
<i>tqb</i>	$2.65^{+0.65}_{-0.59}$	$2.86^{+0.69}_{-0.63}$	$2.45^{+0.60}_{-0.57}$
SM	$2.34^{+0.12}_{-0.12}$	$2.26^{+0.12}_{-0.12}$	$2.16^{+0.12}_{-0.12}$
<i>tb + tqb</i>	$3.70^{+0.78}_{-0.80}$	$3.43^{+0.73}_{-0.74}$	$2.56^{+0.69}_{-0.61}$
SM	$3.46^{+0.16}_{-0.16}$	$3.30^{+0.16}_{-0.16}$	$3.14^{+0.16}_{-0.16}$

From D0 publication : PRD 84, 112001 (2011)



# EW single top production

