

# Using Optical Metrology to Restore Sound Recordings



15-Sept-2006

Hiroshima Meeting  
Vitaliy Fadeyev

# Collaboration and Support

Lawrence Berkeley National Lab  
The Library of Congress  
University of Southampton, U.K  
EIF Fribourg

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Library of Congress,  
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DOE**

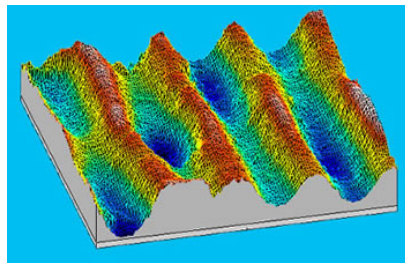
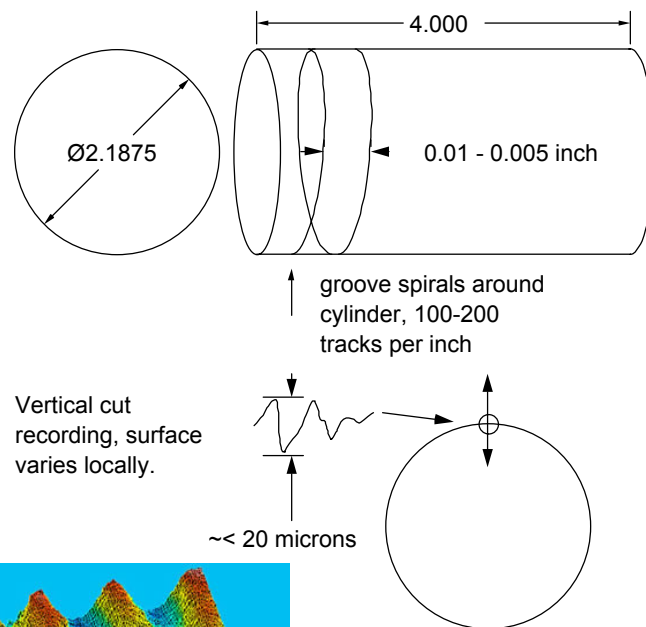
# Outline

- Major historical collections of sound recordings exist which are degraded, damaged or considered at risk.
- Archives seek new technologies which can stabilize, preserve, and create access to these collections.
  - **National Recording Preservation Act of 2000** "A bill to...maintain and preserve sound recordings and collections of sound recordings that are culturally, historically, or aesthetically significant...., “
- We study methods inspired by HEP detector instrumentation, and analysis, to recover sound recordings.
- A good illustration of how the approach of the physical sciences can benefit other fields of study.

# Mechanical Recording Principles

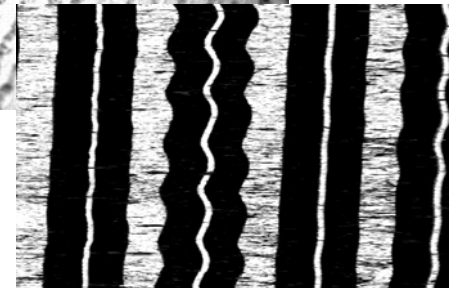
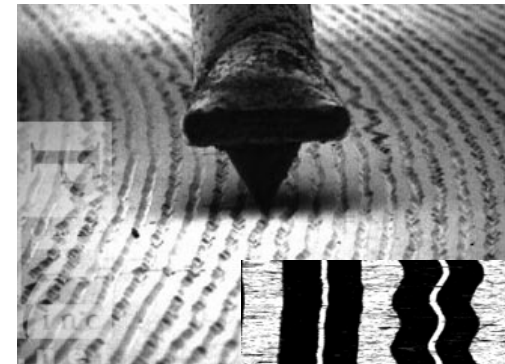


Cylinder: groove varies in depth  
(Vertical Cut)



Audio is encoded in micron scale features  
which are  $>100$  meters long

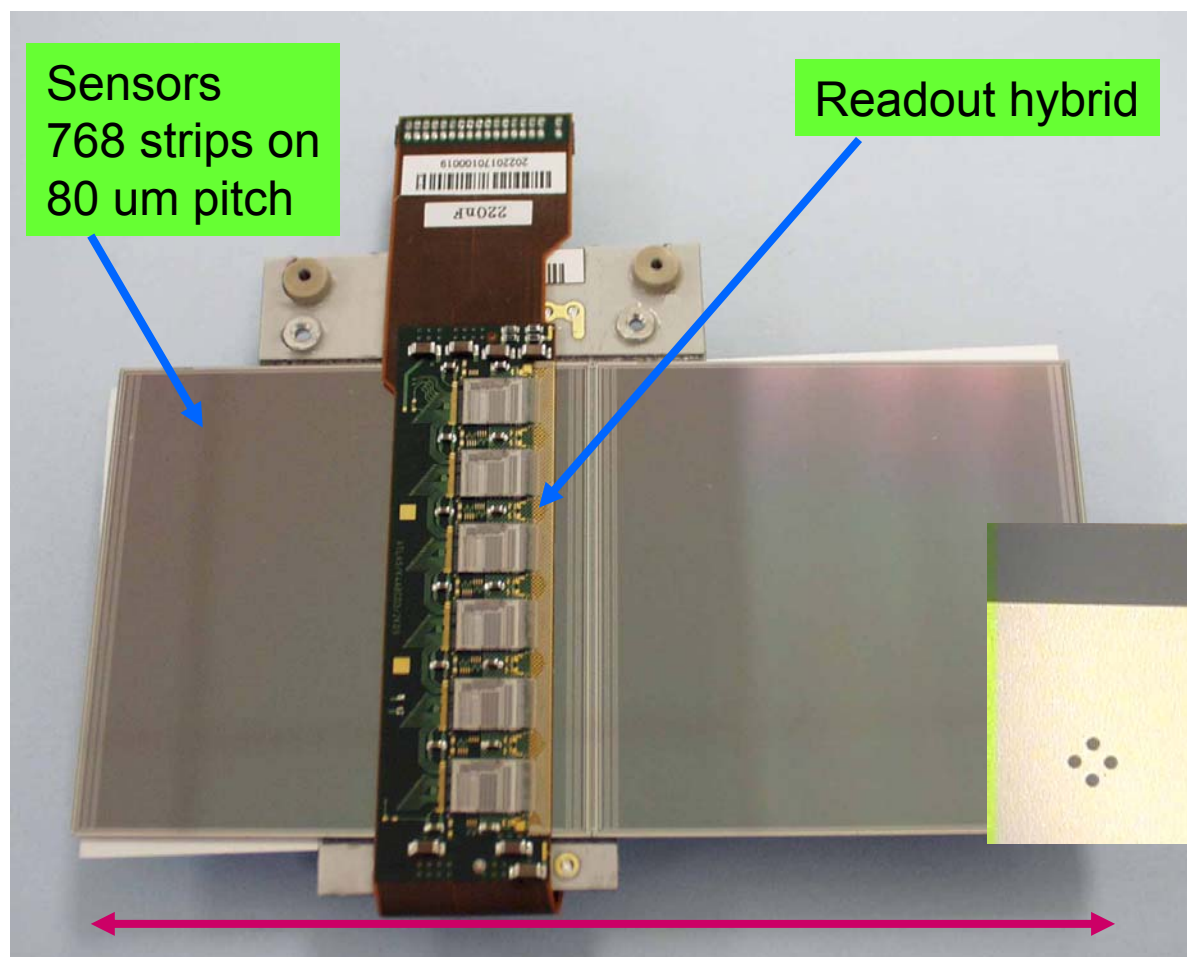
Disc: groove moves from side to side (Lateral Cut)



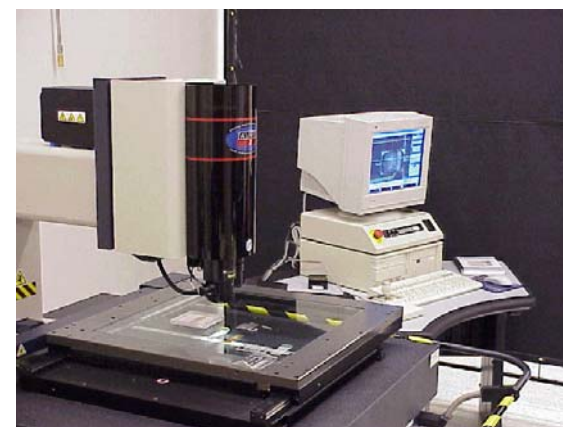




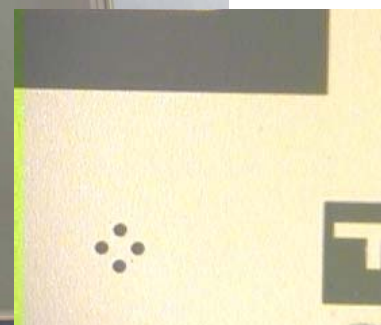
# Optical Metrology of ATLAS Modules



12 cm



SmartScope



Corner  
fiducial mark

Can locate detector  
position with  $\sim$ micron  
precision

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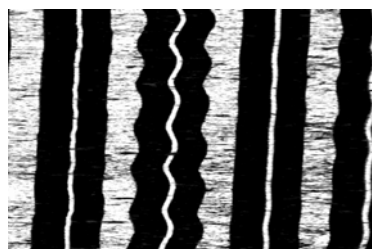
Archives and collections now transfer recordings to more stable and accessible formats using modern stylus players and conservation protocols. Requires contact to the media, and audio professionals.

Here instead use optical metrology and image processing to create a digital representation of the complete record surface, and then “play” it with a virtual needle. This is a very general approach and no contact to the record is required.

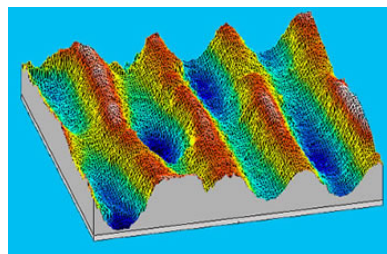
# Non-Contact Digital Imaging

- Preservation
  - Protects samples from further damage
  - Repair existing damage and debris through digital “touch-up”
  - Re-assemble broken samples
- Access
  - Offload many aspects of transfer to automated software
  - Handle diverse formats

## A “smart” copying machine for records

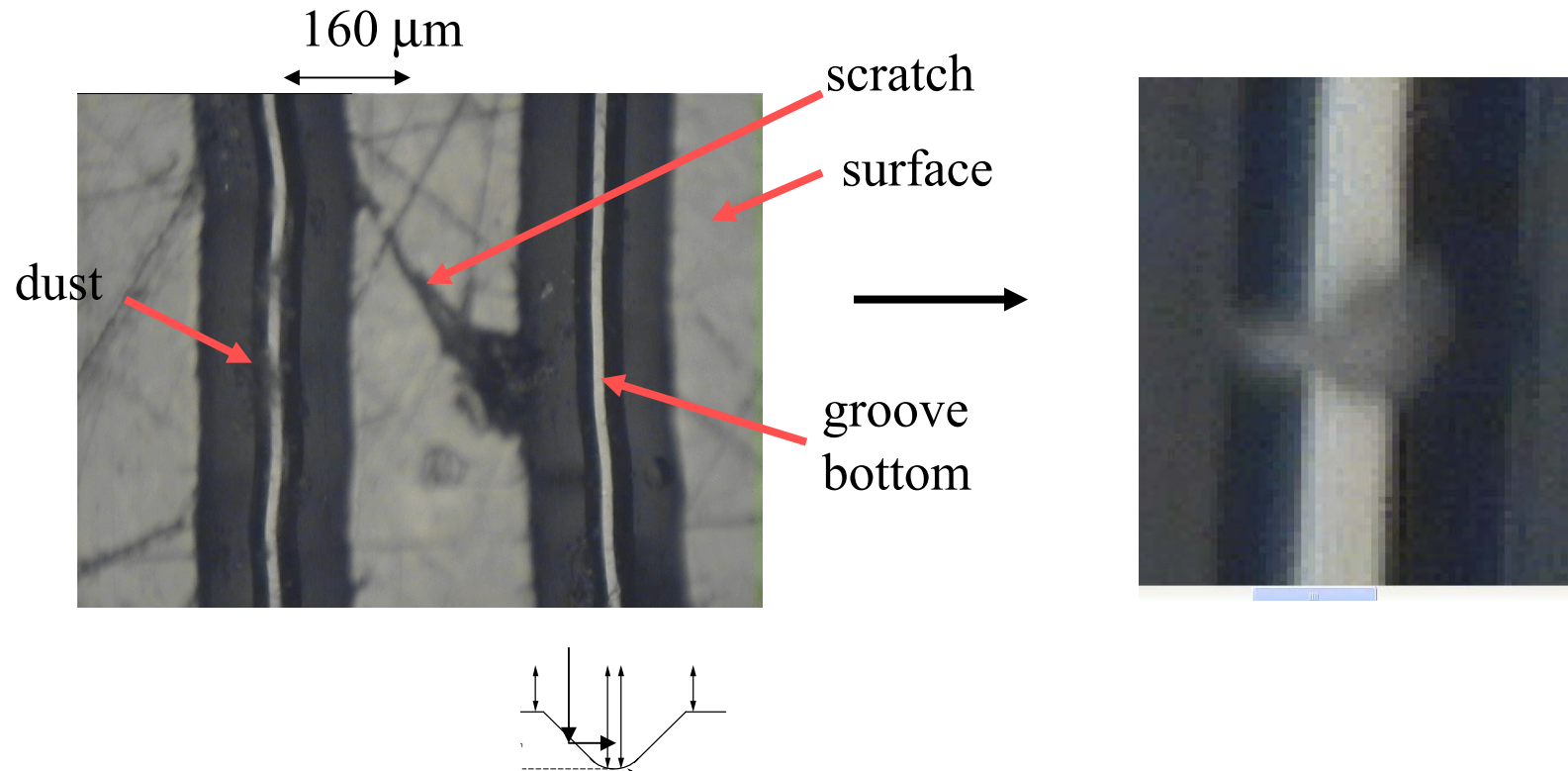


Micro-photograph of shellac disc:  
A two dimensional image “2D”  
can measure lateral grooves



Surface profile of a wax cylinder:  
A three dimensional image “3D”  
is required for vertical cut grooves

# 2D Imaging: Electronic Camera



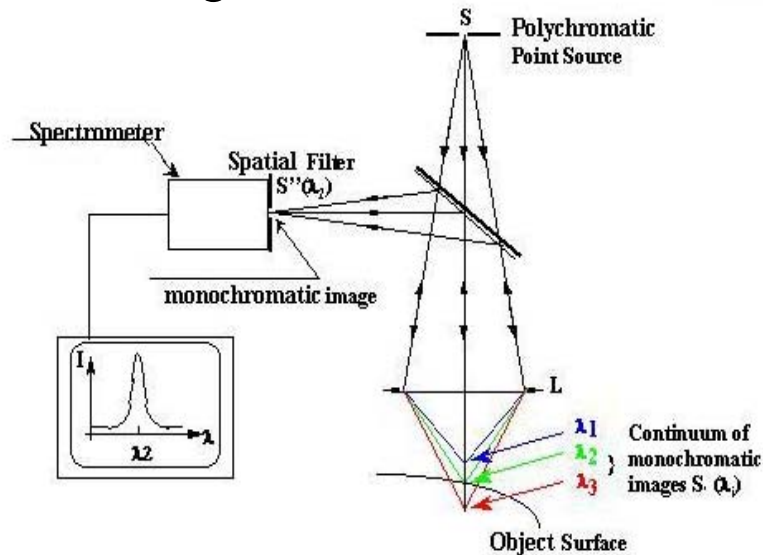
- Suitable for disc with lateral groove
- Require 1 pixel =  $\sim 1$  micron on the disc surface
- High speed cameras allow near “real-time” imaging



# 3D Imaging: Confocal Scanning Probe

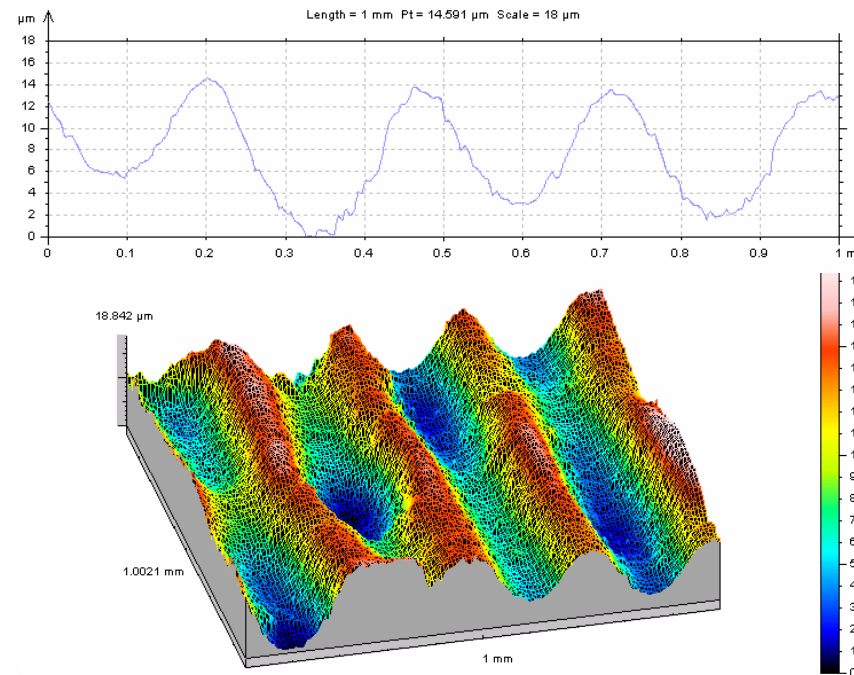
Required for cylinder  
with vertical groove  
modulation.

Point by point scan  
0.01 degree = 96 KHz



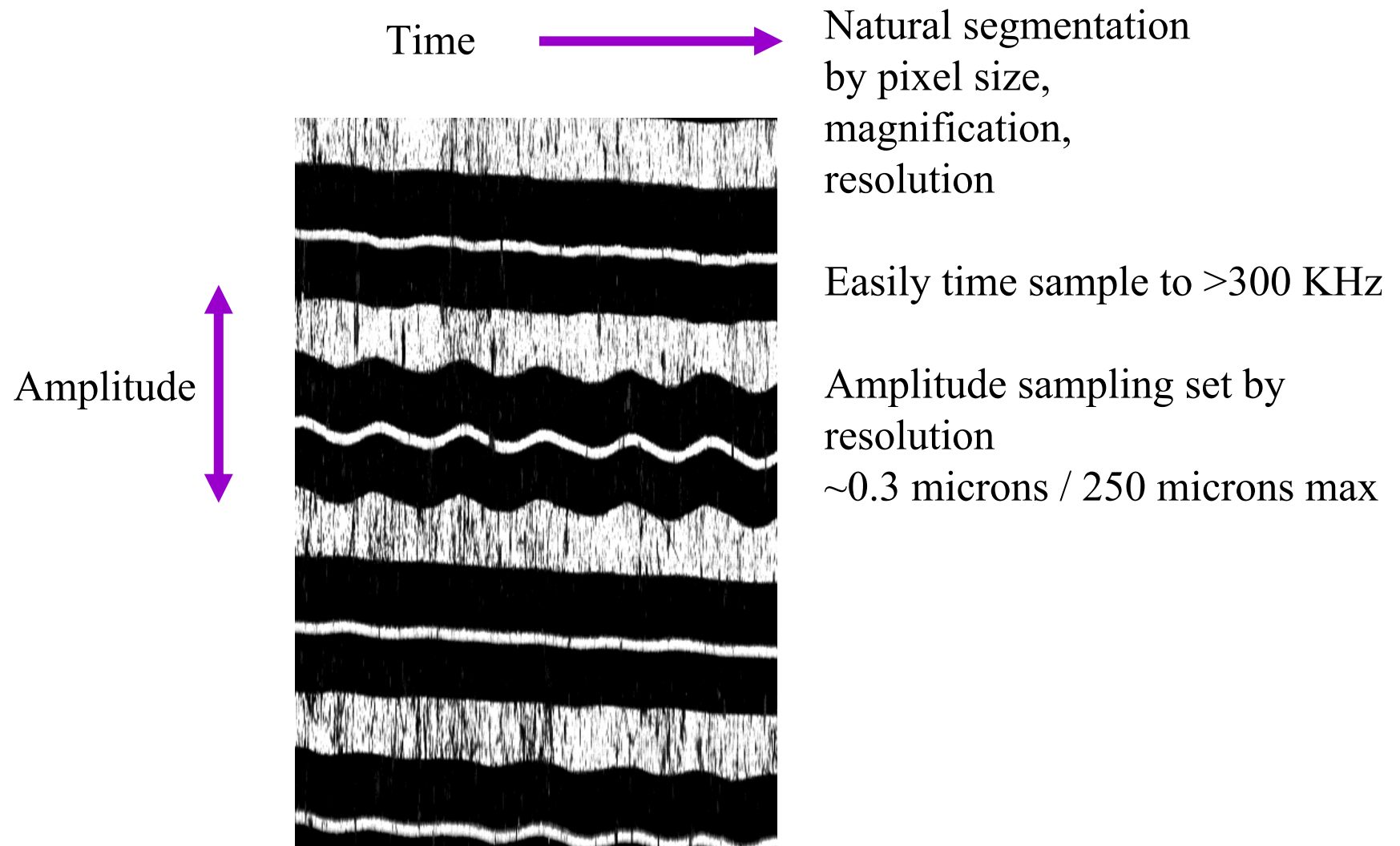
Up to 4000 pts/second

Surface of an Edison cylinder

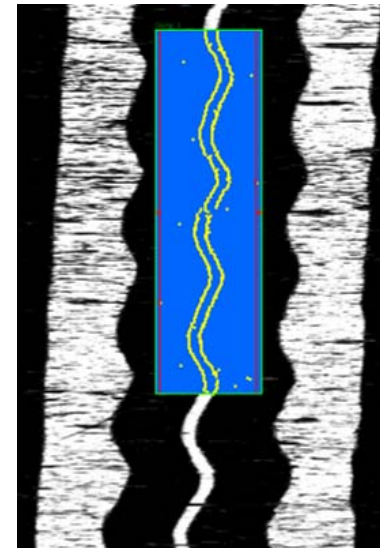
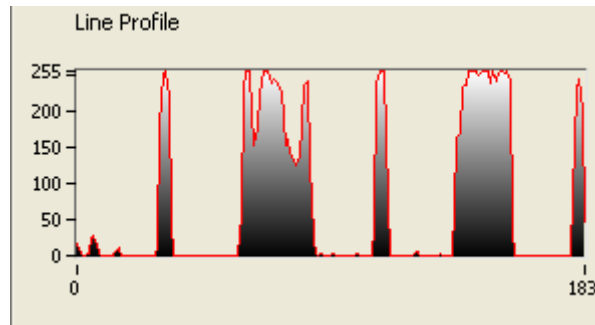
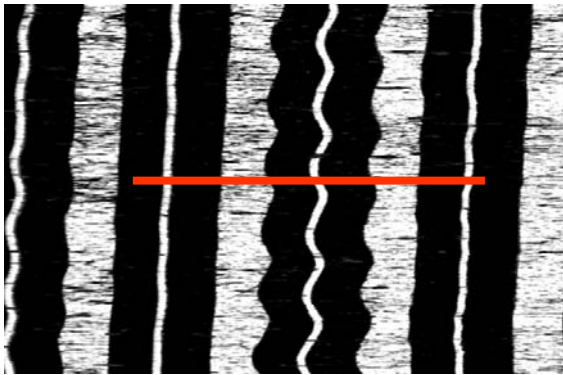


To cover the entire surface of a record  
requires many hours of scan time, depends  
upon grid used

# Pixelized image determines sampling

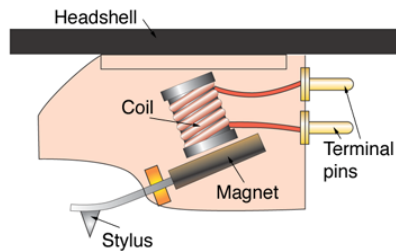


# Image Analysis (2D case)



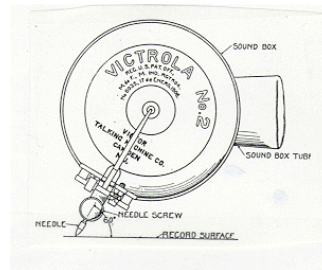
# What is the relationship between “groove” and sound?

Electro-magnetic case

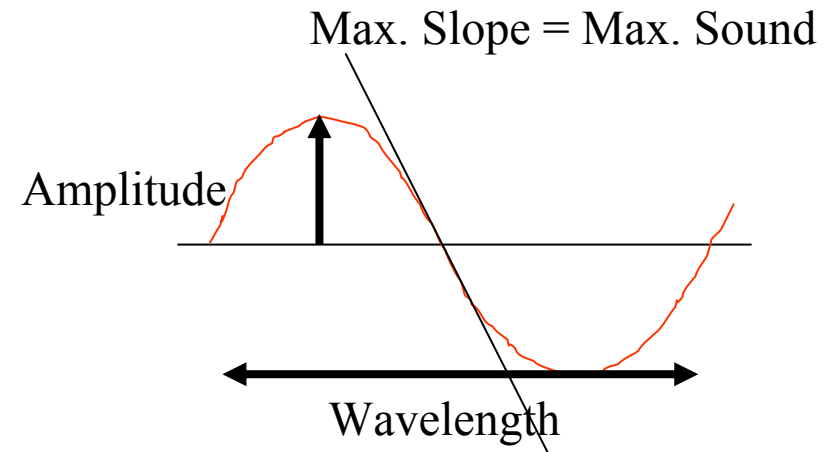


induction

Acoustic case



Diaphragm is over-damped to provide flat response



Sound = Stylus Velocity

(“constant velocity condition”)

$$A_p = \frac{v_p}{2\pi f}$$

# Comparison

- ✗ Data intensive
- ✗ Scanning speed (particularly 3D)
- ✗ Is fidelity sufficient?
- ✗ Powerful restoration methods for audio already available
- ♪ Non-contact
- ♪ Robust – wax, metal, shellac, acetates...
- ♪ Effects of damage and debris reduced by image processing
- ♪ Re-assemble broken media
- ♪ Resolve noise in the “spatial domain” where it originates.
- ♪ Use of groove geometry.
- ♪ Effects of skips are reduced.
- ♪ Distortions (wow, flutter, tracking errors, etc) absent or resolved as geometrical corrections
- ♪ Operator intervention during transcription is reduced, mass digitization.

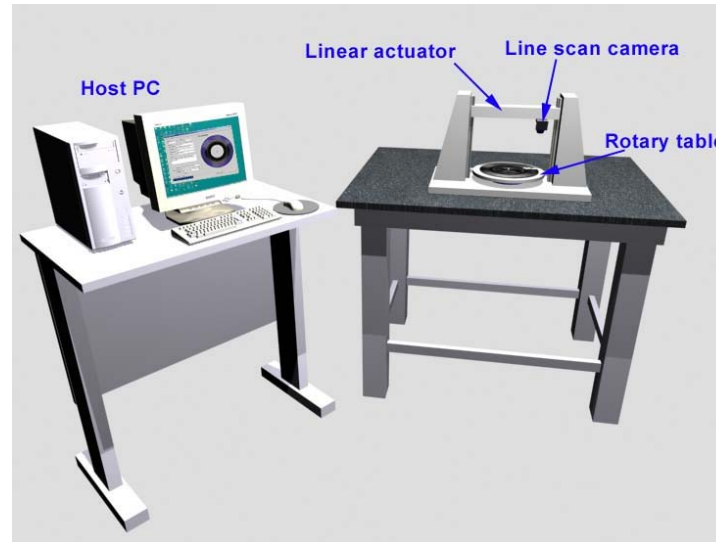


# Projects Underway

- Concept was tested 2002-2003 leading to interest and support from the Library of Congress and others.
- IRENE: a fast 2D optical scanner for disc records
  - Digital access to the most common media + special formats
  - Installed at the Library of Congress 8/2006
- 3D scans on “Edison” cylinders
  - Preservation and restoration of early and damaged recordings
  - Proposal to develop a 3D scanner for the Library of Congress
- 3D scans on plastic dictation belts
  - Feasibility study for preservation transfers of damaged media

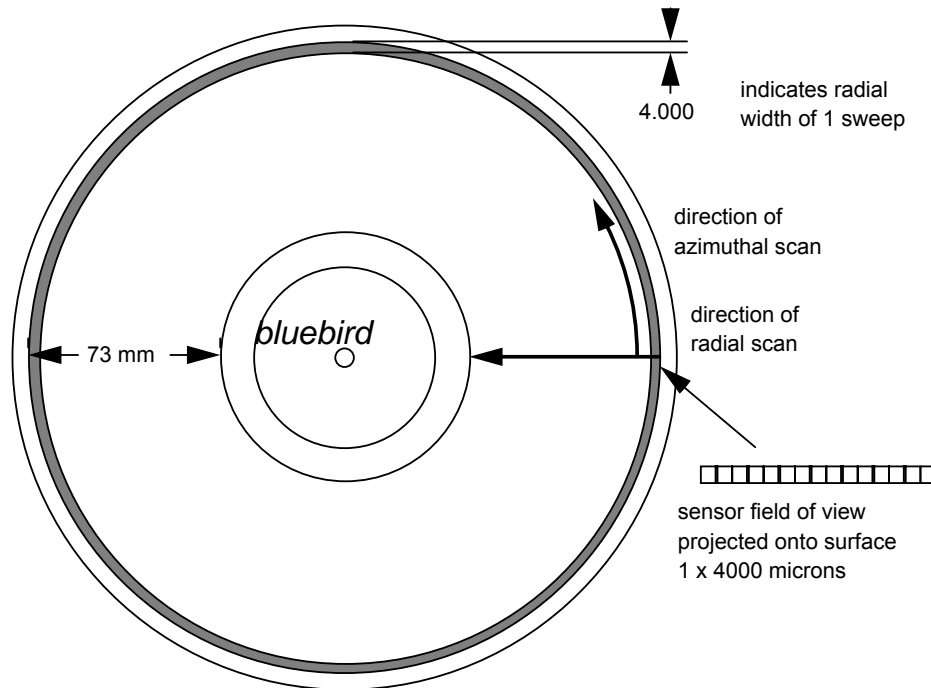
# I.R.E.N.E.

Image, Reconstruct, Erase Noise, Etc

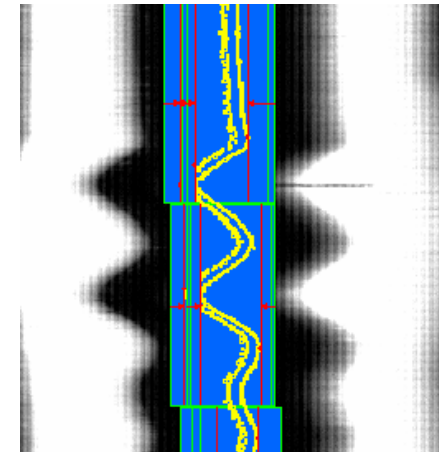
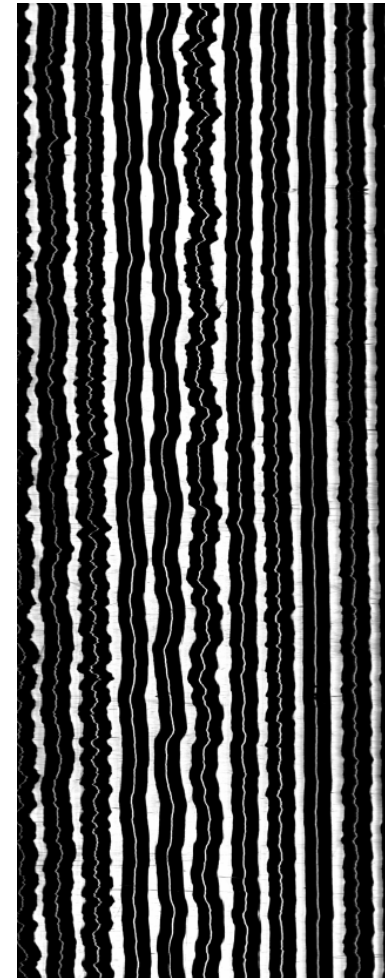


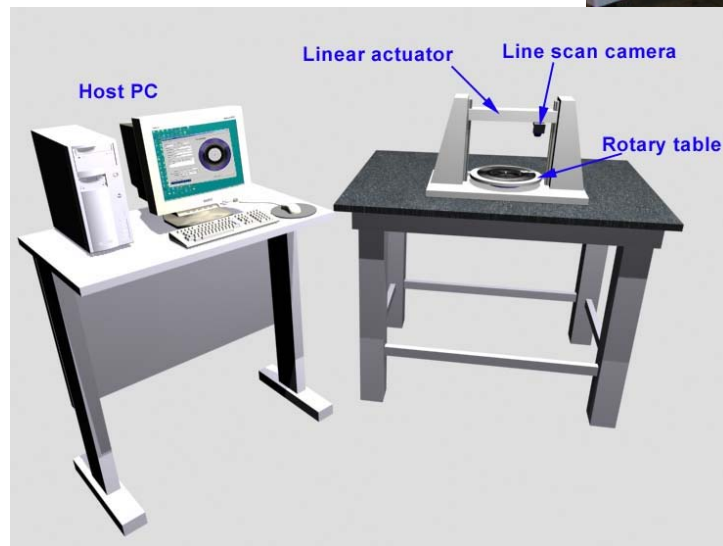
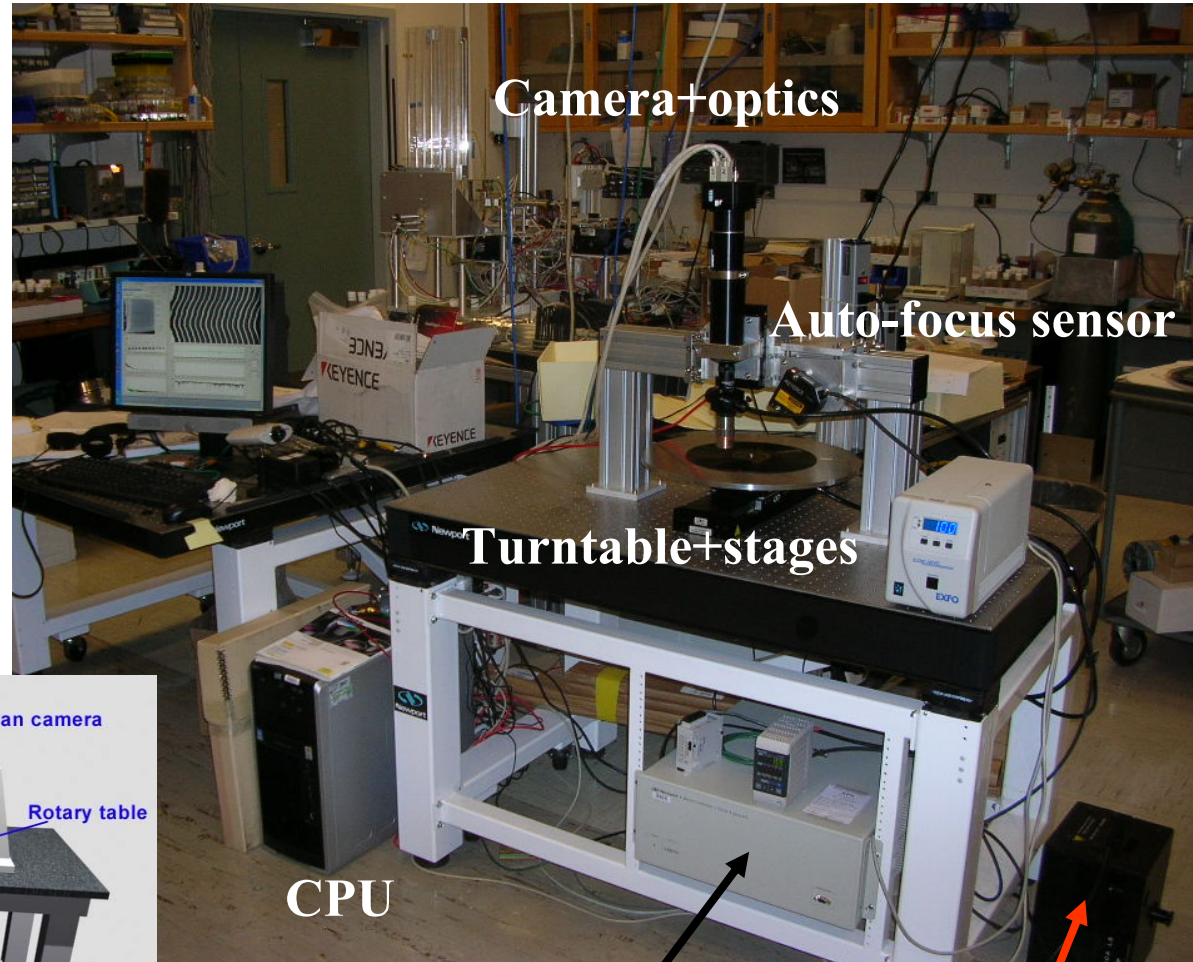
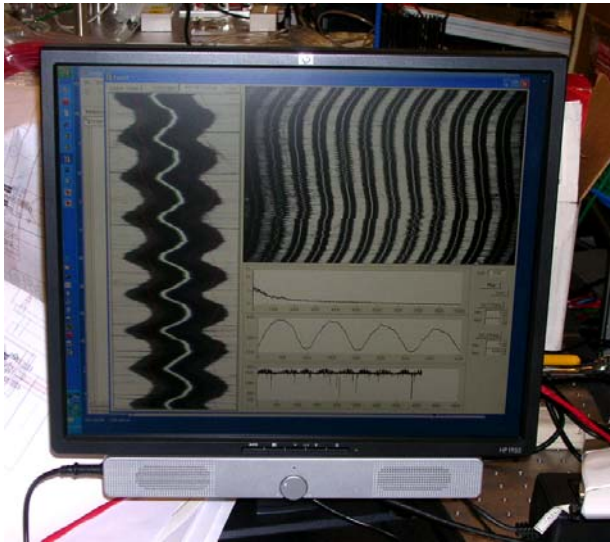
- Under evaluation at the Library of Congress
- Scan time 5-15 minutes for a 3 minute disc
- User friendly interface
- Emphasize throughput and diversity (access)
- Provide statistical measures of media condition
- Production-like machine and test-bed for future development

## Line Scanning: disc is in motion



- 6000 pixels@15 K lines/s
- $7.6 \times 10^5$  lines/outer ring
  - 390 KHz max sampling
- Scans @ a few x real time
- Scan time decreases linearly with sampling!!!.



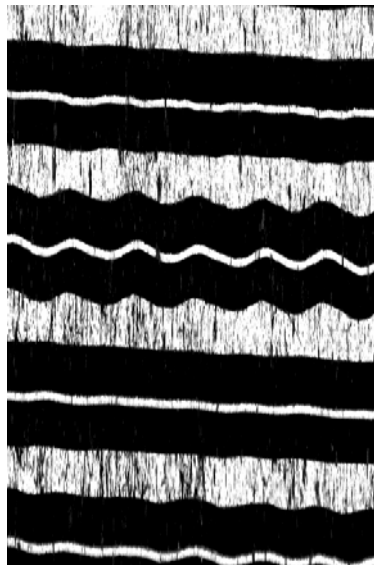


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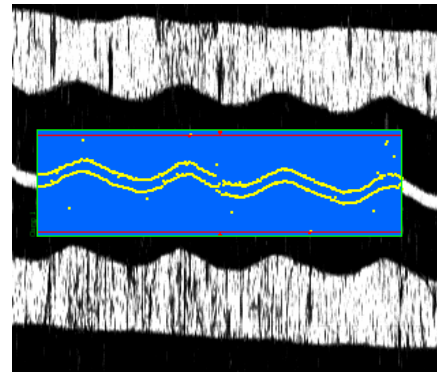
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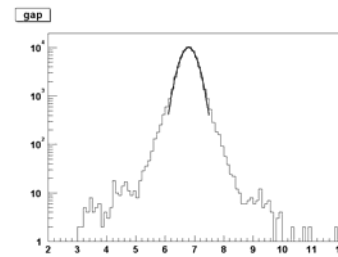




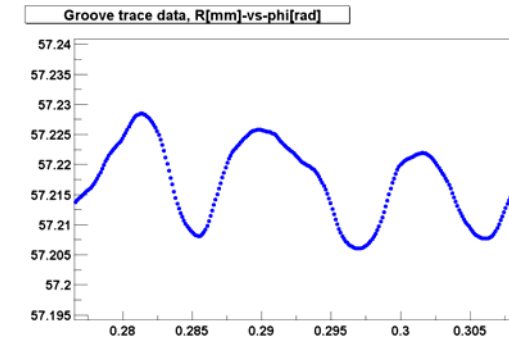
Time  
Pixels = 104 KHz



$\Delta R$  distribution

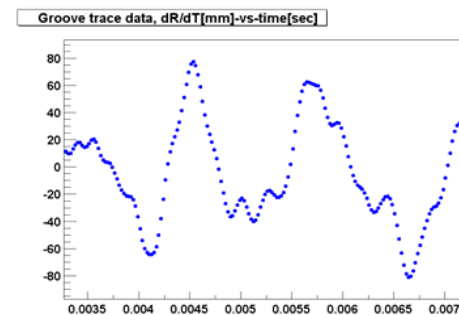
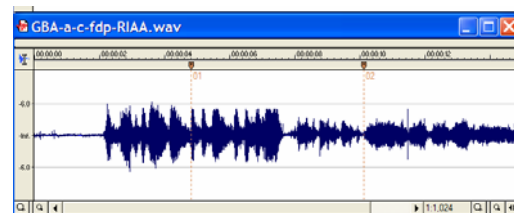


Width across  
groove bottom



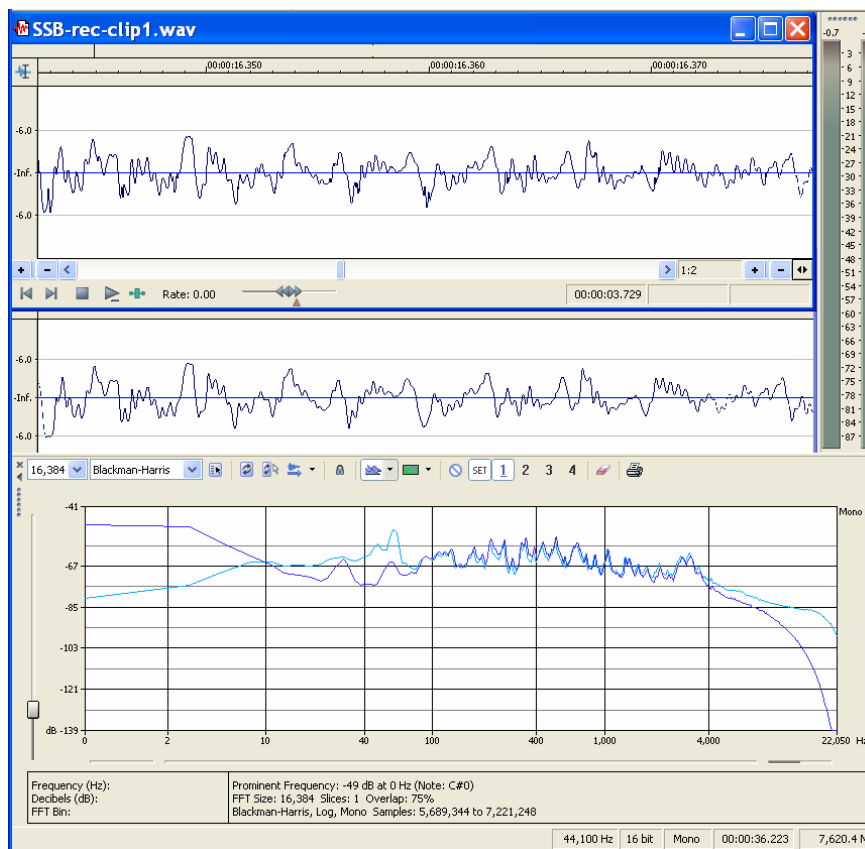
Average  
Filter using  $\Delta R < \text{cut}$

Measure slope  
at each point  
(stylus velocity)





# The Star Spangled Banner: Kate Smith



stylus



IRENE



78 rpm shellac disc with moderate wear,  
RIAA curve applied

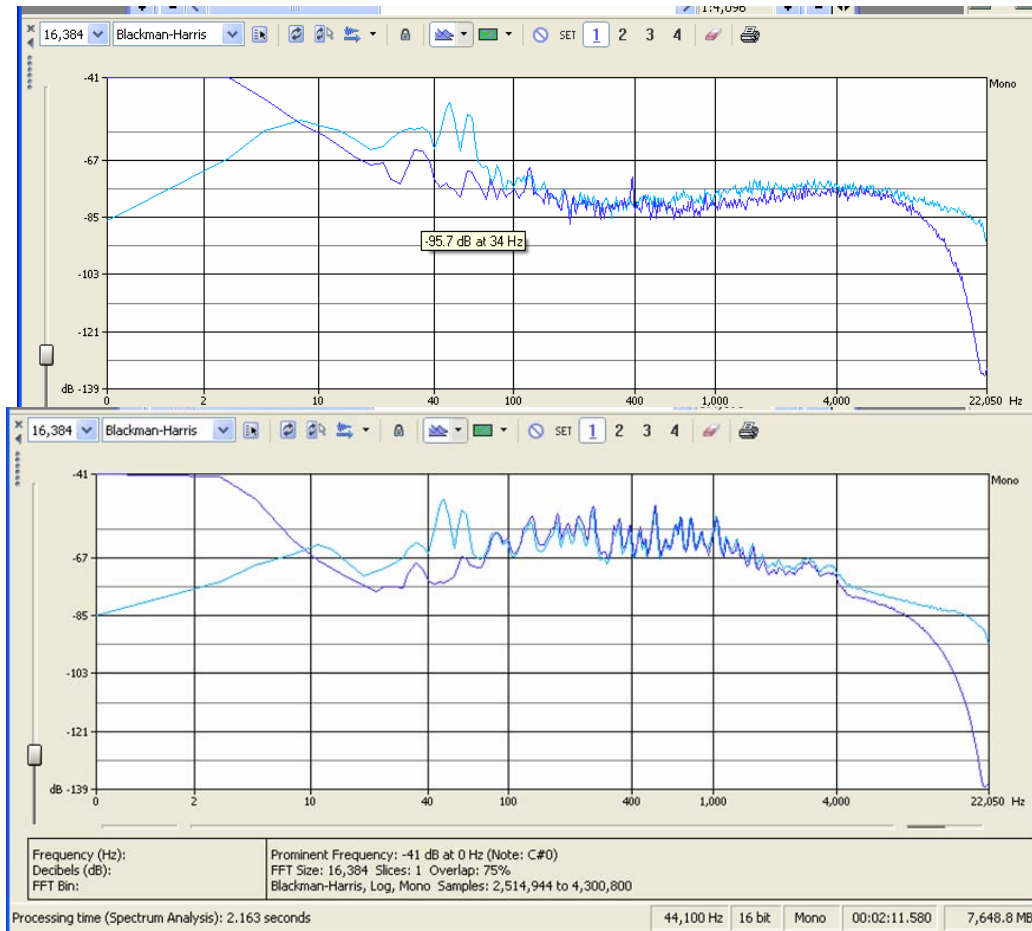
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# Goodnight Irene: Weavers 1950



Noise spectra



record



IRENE



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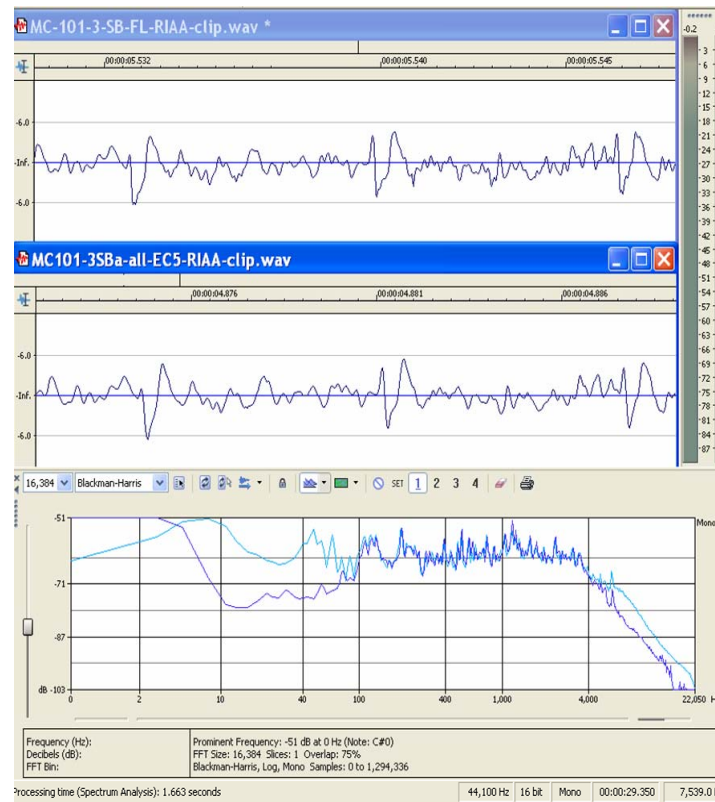
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# Studio Test 1947

## Mutt Carey and his NYrs: Shim-Me-Sha-Wabble



Lacquer disc, RIAA EQ

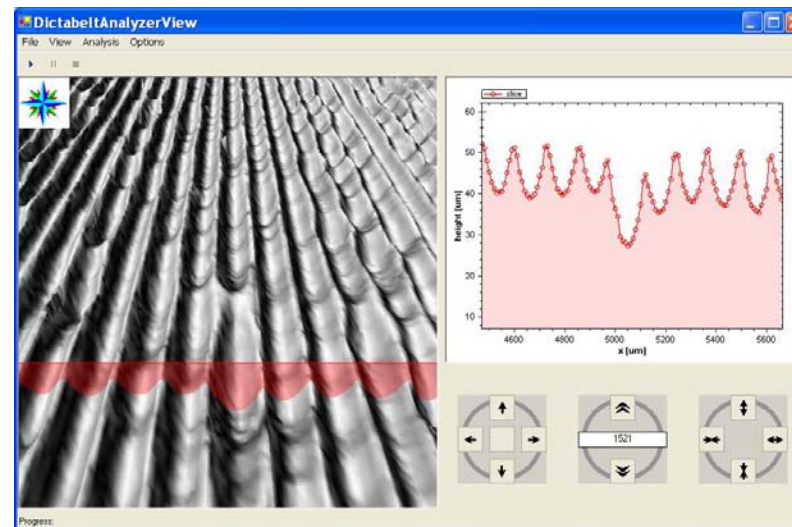
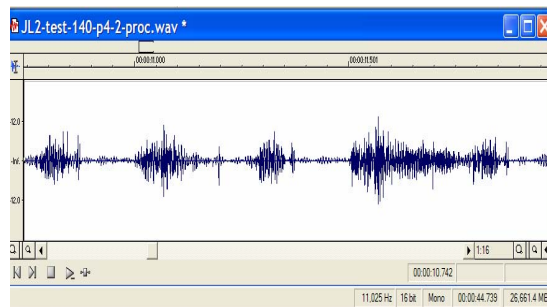
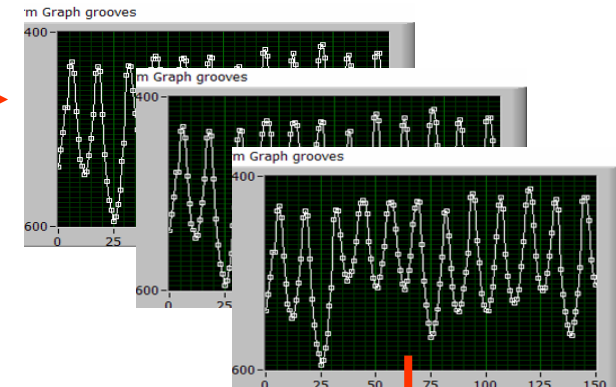
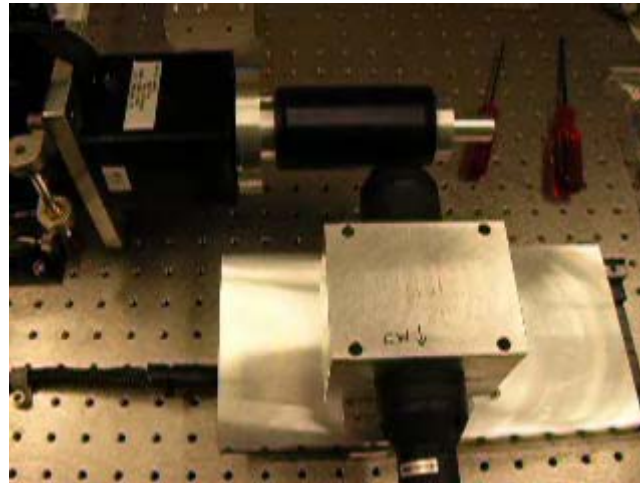


stylus



IRENE

# Cylinder Scanning

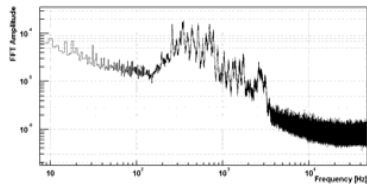


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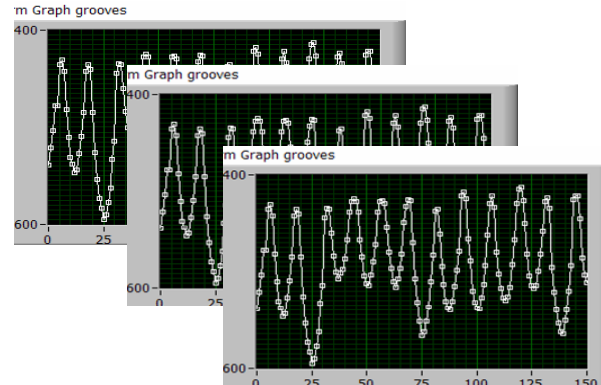
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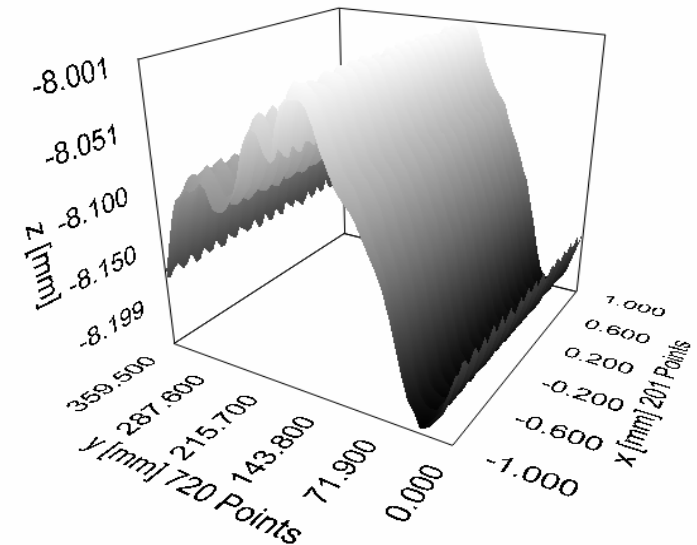




Sample at 96KHz to  
minimize effect of  
aliasing



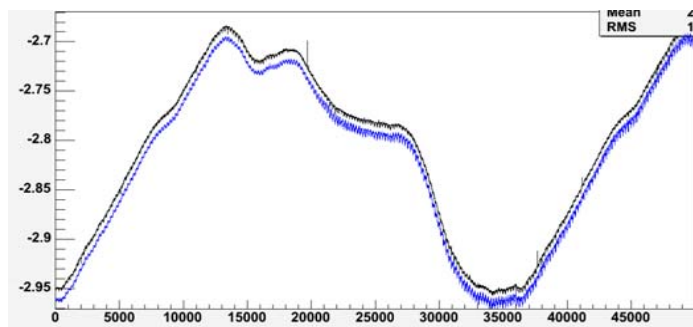
Sequential axial scans



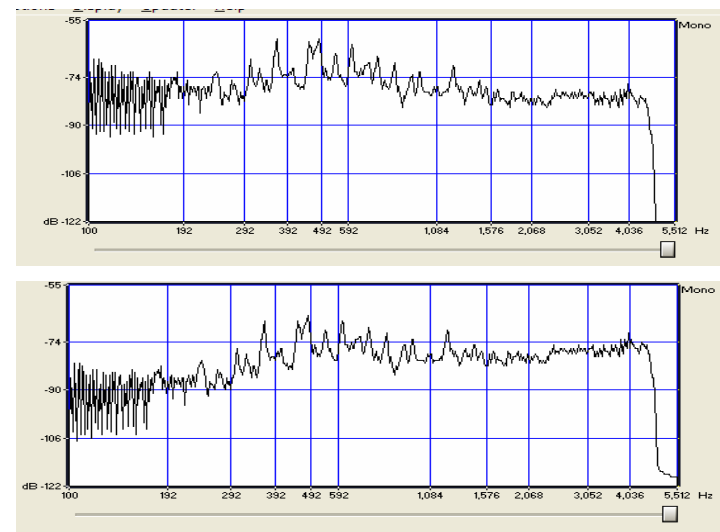
Subtract valleys from ridges to  
correct for overall shape

Overall cylinder shape due to off-center,  
deformation, heard as low freq rumble

(Ridges provide (approx),  
geometrical reference)



$d/dt$



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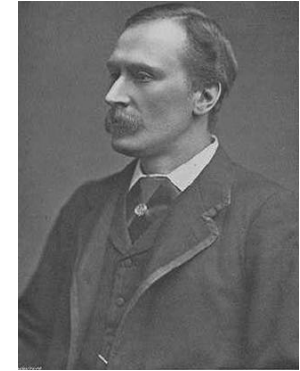
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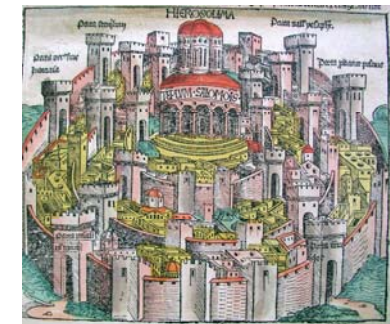
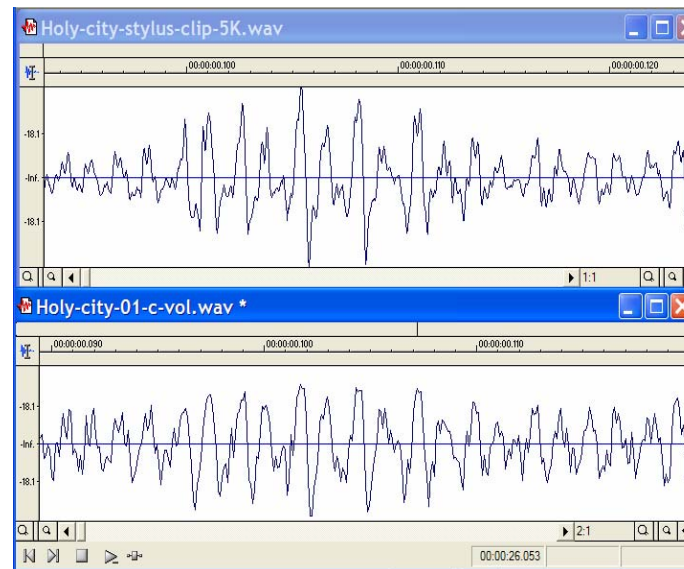


# Sound Comparison

- The Holy City, composed by Stephen Adams,  
The Edison and Skedden Mixed Quartet, Amberol 1601



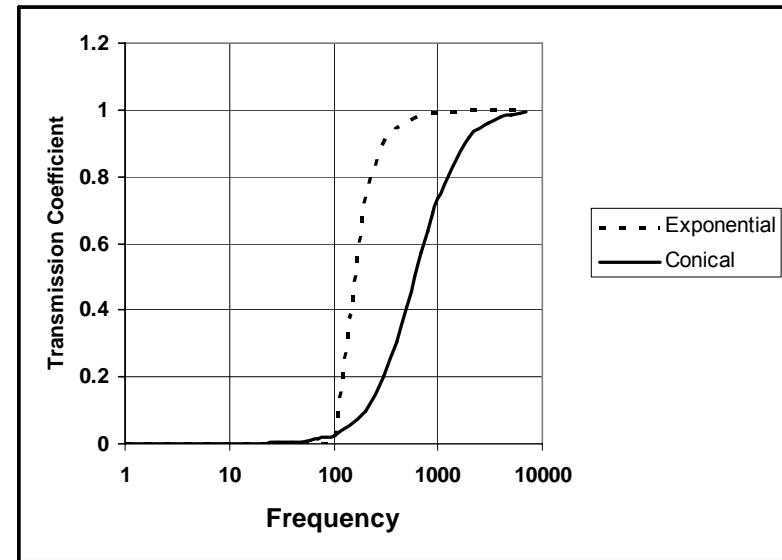
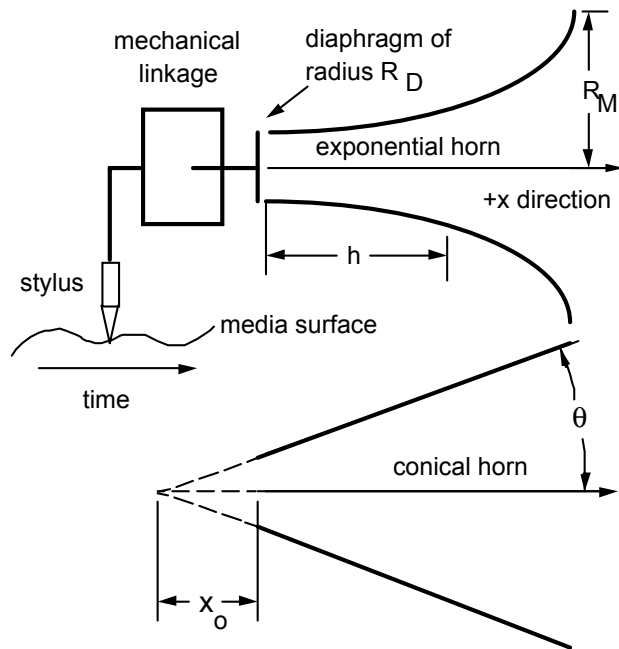
- Stylus
- Optical
- Optical + filter + EQ



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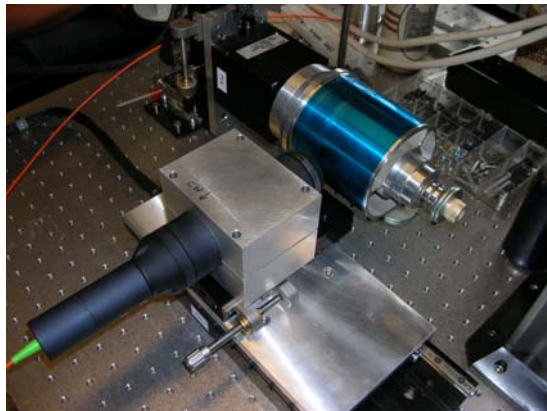


Response of horn and diaphragm at low frequency can modify response and deviations from “constant velocity” characteristic.



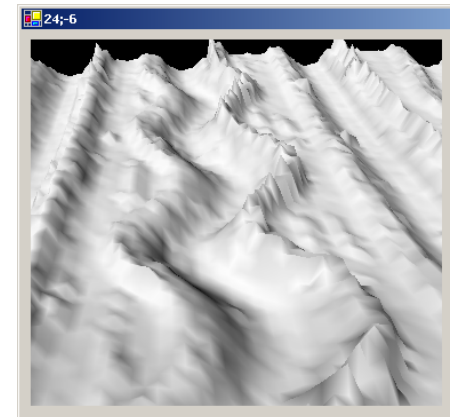
# Dictation Belt Scanning

- Plastic dictation belts are historical documents
  - LBJ, JFK presidential phone conversations
  - Dallas PD recording of open mic 11/22/63 (NARA)
- Dallas PD belt is worn and cracked
  - NARA proposed a high resolution optical scan as a way to make a digital preservation copy and enable access.
- Scanning tests and analyses underway on test belts



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### **From Top Quarks to the Blues**

Particle Tracks Tune Up Music

### **Physicists Seek to Digitize Music, Restore Media**

Using high-energy physics to preserve old records

Scientists find new way to play old records

### **Optical Metrology Reconstructs Audio Recordings**

[From the Higgs Boson Particle to Leadbelly](#)

### **Teilchenphysik im Dienste des Kulturerbes**

*Teilchenphysiker retten das musikalische Erbe der Menschheit*

### **Particle Physics Recovers Music From the Past**

New technique preserves old sounds

Digitizing groovy records

### **De la Física a la Fonografía**

### **Physiker retten Schellack-Aufnahmen**

### **Particle physicists to help restore old audio recordings**

How to listen to old records in the 21st century

### **Particle physicists rescue rare vinyl recordings**

Φυσικοί βρίσκουν τρόπο να βελτιώσουν τον ήχο

Der Bosonen-Blues - Teilchenphysiker helfen alte

Tonaufnahmen von Schellackplatten und Wachszy lindern zu retten

### **Physicists find method to improve audio**

Laser pour vieux vinyles

LISTENING TO RECORDS BY LOOKING AT THEM

Aus alt mach neu

### **Fizycy ratują stare winyle**

Playing Old Records (No Needle Required)

### **New Hope For Old Sounds**

### **Optical Metrology Reconstructs Audio Recordings**

*Digitizing the voices of the past*

### **Science perfects sound of century-old recordings**

### **Virtual Record Player Preserves Historic Recordings**

*Particle Tracking Tunes Up Music*

### **Physicists Seek to Digitize Music, Restore Media**

Groovy Pictures: Extracting sound from images of old audio recordings

### **How to listen to old records in the 21st century**

### ***Rescuing Recordings***

### **REAL LIFE NEWS: PRESERVING ANCIENT RECORDINGS**

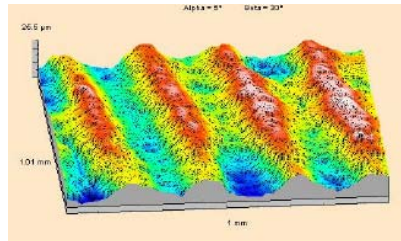
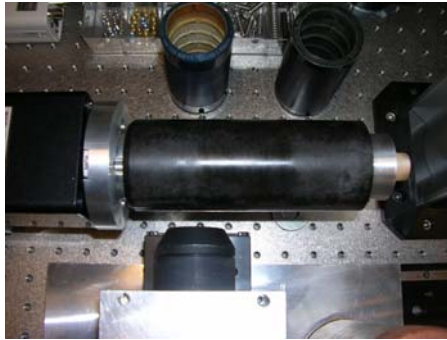
Técnica permite recuperar LPs danificados pelo tempo

Inspirado na física de partículas, método digitaliza gravações sem riscos e chiados

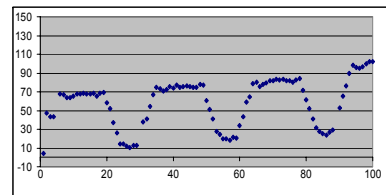
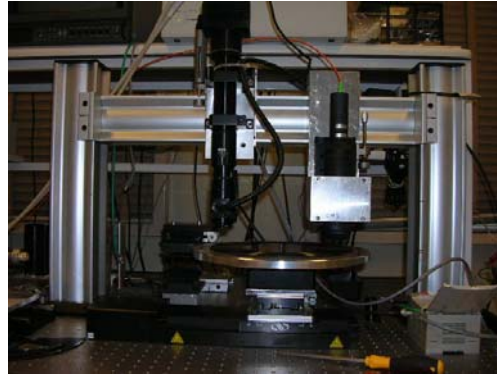
# **Why I read Physics Today**



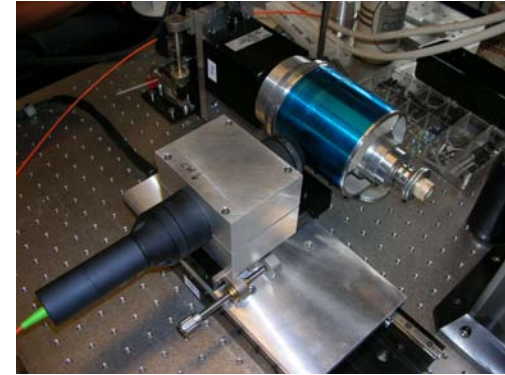
# Optical Scanning: A general tool to preserve and create access to recorded sound history



Wax cylinder



Shellac disc

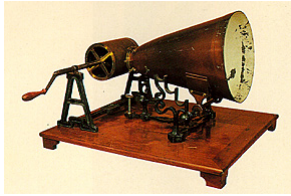


Plastic dictation belt

.

Web site URL: [www-cdf.lbl.gov/~av](http://www-cdf.lbl.gov/~av)





# History



- 1859 Leon Scott invents *Phonoautograph* paper recorder
- 1877 Thomas Edison invents sound reproduction on tin foil *Phonograph*
- 1885 Bell and Tainter introduce wax cylinder
- 1887 Emile Berliner invents disc *Gramophone*
- 1925 Western Electric *Orthophonic* (electrical) system  
end of the “Acoustic Era”



Disques fonographiques Pathe  
Caras y Caratas (7/7/1908)



- 1929 Edison production ends, lacquer transcription disc introduced
- 1947 Magnetic tape in production use, Ampex 200A
- 1948 33 1/3 rpm LP introduced
- 1958 Stereophonic LP on sale, uses 45/45 system
- 1963 Cassette magnetic tapes
- 1982 Compact Disc (CD)



end of the “Analog Era”

- 2001 Apple *IPOD*

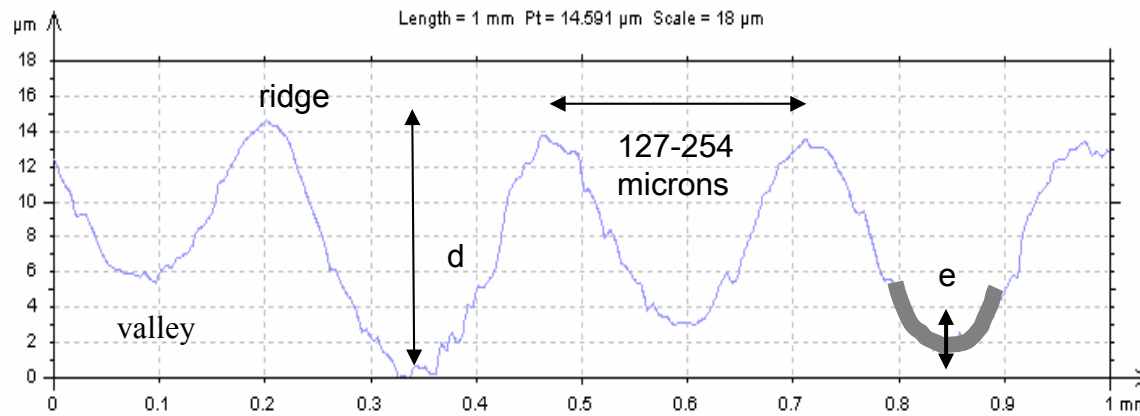


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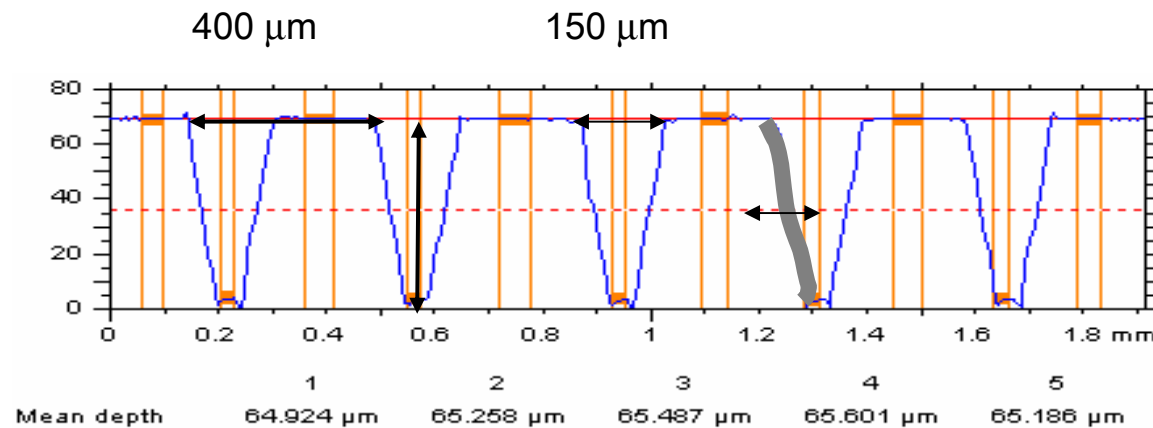
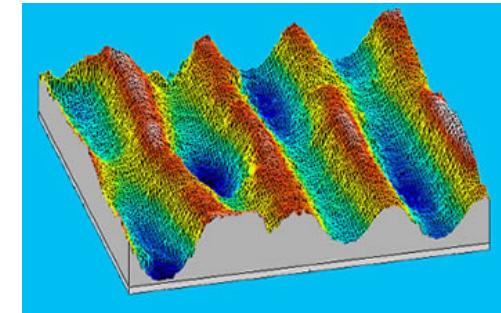
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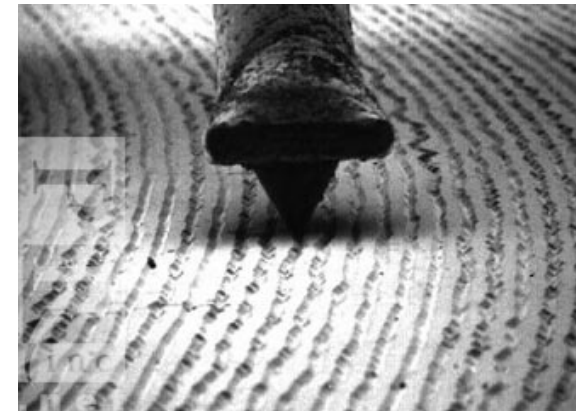
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Cylinder surface



Disc surface



Debate during acoustic years between cylinder (constant surface speed) and disc (ease of manufacturing and storage) technologies.

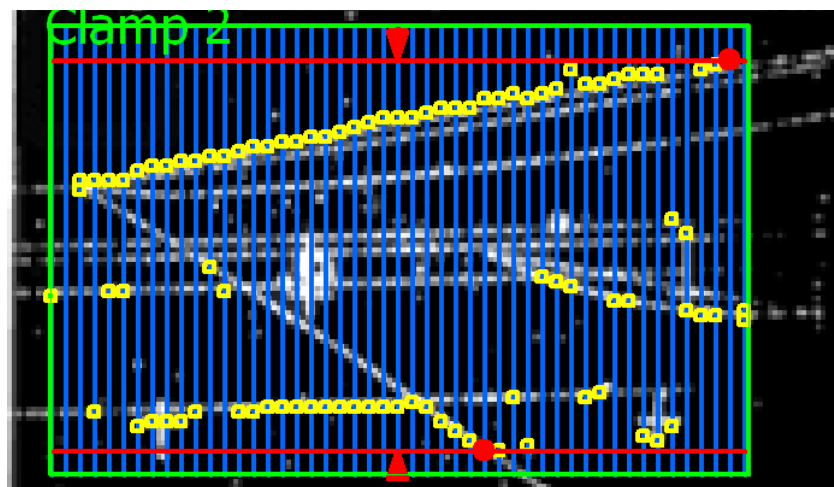
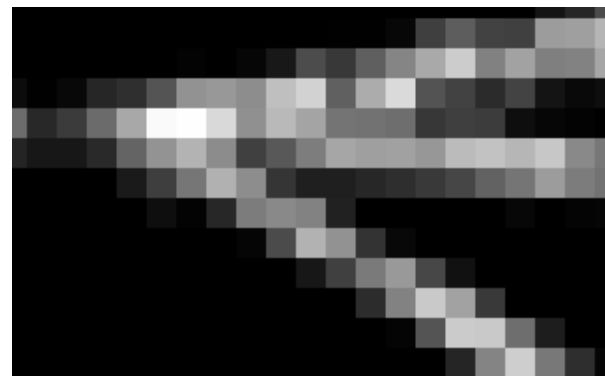
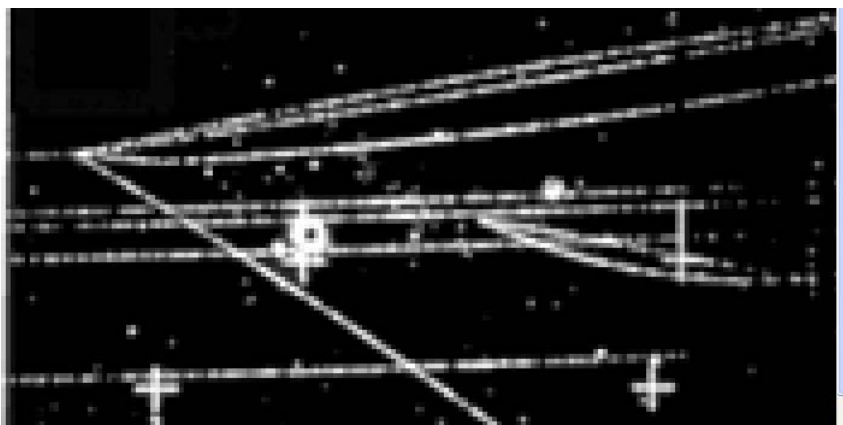
Parameter	78 rpm, 10 inch	Cylinder
Cut	Lateral	Vertical
Area containing audio data	38600 mm <sup>2</sup>	16200 mm <sup>2</sup>
Total length of groove	152 meters	64-128 meters
Max groove amplitude (microns)	100 - 125	~10
Groove depth (microns)	80 fixed	+/- 10 varies
Groove displacement @noise level	1.6 - 0.16 microns	< 1 microns

Information is encoded in sub-micron scale structures which are >100 meters long

# Issues for Archives

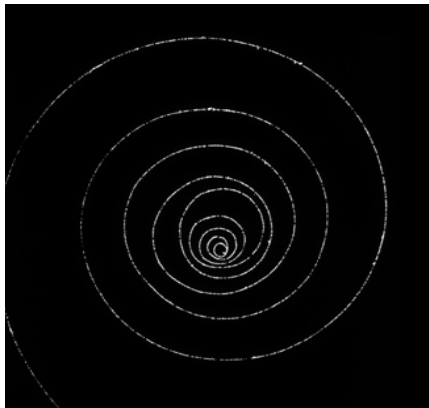
- **Preservation:** safeguard artifacts to satisfy any conceivable future need.
  - Prioritized process
  - Do no harm
  - Highest quality
- **Access:** put entire collections into digital form to provide broad access to the public.
  - Mass processing required
  - Diverse media and condition
  - Moderate quality

# Feature Extraction from Digital Images





## Berkeley Spiral Scanner circa 1970



## Luis Alvarez 1968 Nobel Prize in Physics



“...Alvarez and his assistants have constructed a series of more and more delicate automatic scanning and measuring instruments capable of transferring the information from the photographic film into a state suitable for treatment by computer.”



# Cylinder Scans



- Cylinder History
  - 1877 Aluminum foil
  - 1885 Soft wax for original recordings and dictation
  - 1902 Hard wax molded, commercial
  - 1908 Cellulose molded, commercial “Amberols”



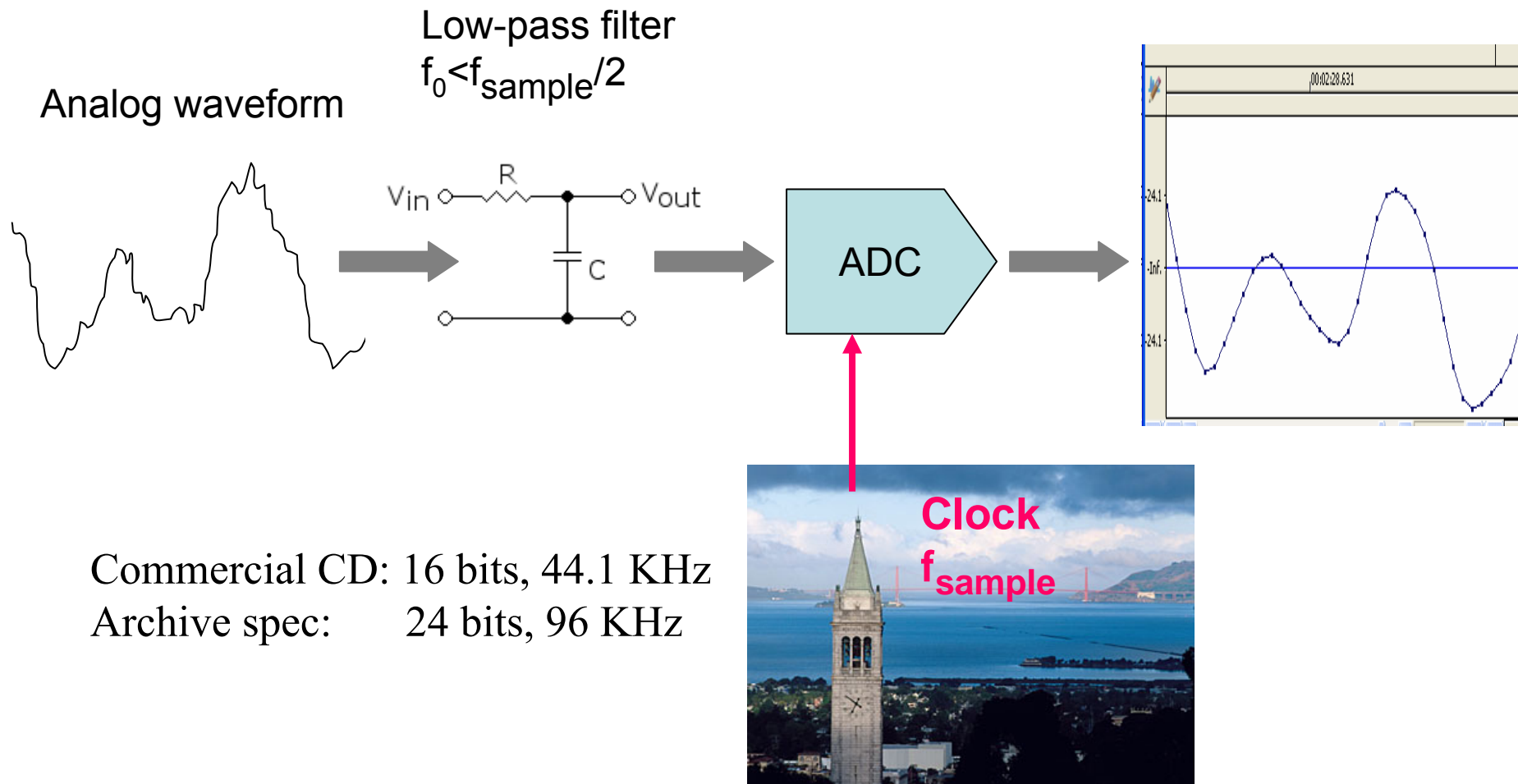
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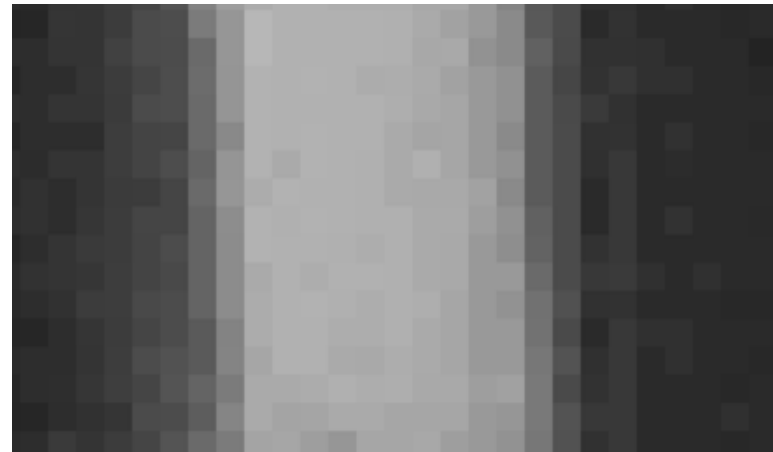
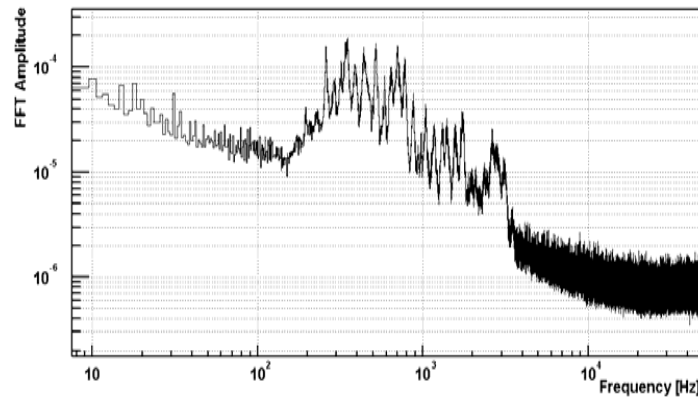


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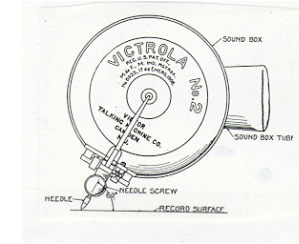
# Issue of Aliasing

- Sampling theorem
  1. Sample at  $2*f$  where  $f$  is highest frequency of interest
  2. Apply low pass filter above  $f$  to prevent aliased components appearing in data unless noise above  $f$  can be neglected.
- In optical approach sampling is done by pixelization of image.
  1. High sampling frequency
  2. Use of pixel size to achieve effective low pass filtering?

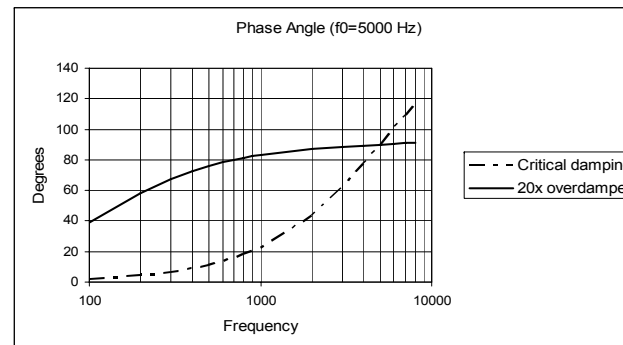




# Acoustic Case



- Horn extends response (of small diaphragm) to lower frequencies
- Plane waves: pressure and velocity are proportional and in-phase
- Horn supports plane waves: **true above a cut-off frequency for sufficiently large horn, depends also upon profile**
- Diaphragm is a driven harmonic oscillator
- Want “flat” frequency response: **requires overdamping**
- Diaphragm velocity follows driving force (**fails at high frequency where mass dominates (~5KHz)**)
- “Constant velocity” condition applies *approximately* but no deliberate equalization is possible.
- Response
  - Typical ~1 decade
  - best case 100 Hz-5KHz





# Numerical Differentiation and Filtering

$$\begin{aligned}\frac{d}{d(nT)} A_F(nT) &= \frac{d}{d(nT)} F_D^{-1}[C(k)] = \frac{1}{N} \sum_{k=0}^{N-1} \frac{d}{d(nT)} M(k) C(k) e^{-ik\Omega nT} \\ &= \frac{1}{N} \sum_{k=0}^{N-1} (-ik\Omega) M(k) C(k) e^{-ik\Omega nT}\end{aligned}$$

The filtering factor:

$$M = \left\{ \begin{array}{l} 0 \text{ for } f < 20\text{Hz} \\ 1 \text{ for } f \in [20\text{Hz}, 4.8\text{KHz}] \\ \left(1.0 - \frac{(f - 4.8)}{0.4}\right) \text{ for } f \in [4.8\text{KHz}, 5.2\text{KHz}] \\ 0 \text{ for } f > 5.2\text{KHz} \end{array} \right\} \quad (23)$$

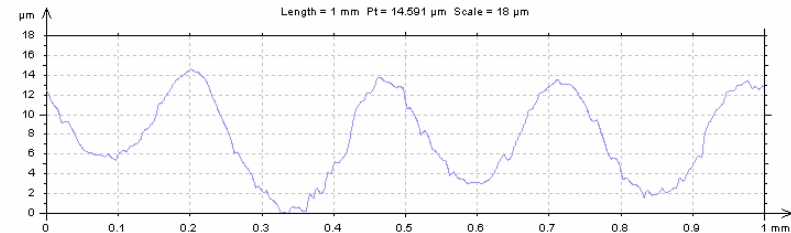
Perform the differentiation and filtering in a single processing step by:

- Doing FFT transform
- Applying  $(-i k \Omega) M(k)$  factor
- Doing reverse FFT transform
- Or simpler point by point methods

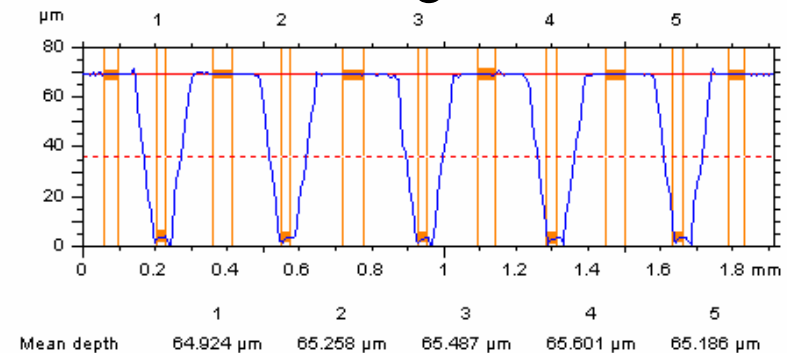
# Speed and Data

- 2D scans for lateral discs
  - Fast camera: ~10 min for 78 rpm disc
  - 50 Mb / 1 s of raw images
  - 1.5 Mb / 1s processed
  - 88 Kb / 1s audio (44/16)
- 3D scans for vertical cylinders
  - Depends upon grid, probe rate, recording & surface characteristics
  - High sampling: 24-80 hours
  - Factors of 2-4 may be available soon
- 3D for deep groove lateral discs
  - Much slower probe rates are probably required

Vertical groove



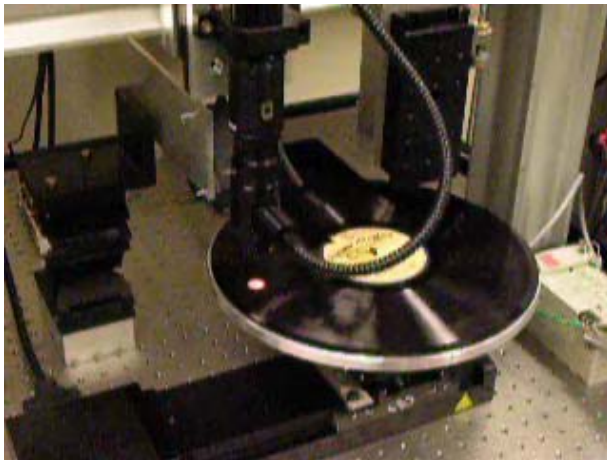
Lateral groove



Key 3D issues are slope and depth



78 rpm shellac disc



Optical line scanner: turntable with disc below, optics mounted vertically ("IRENE")

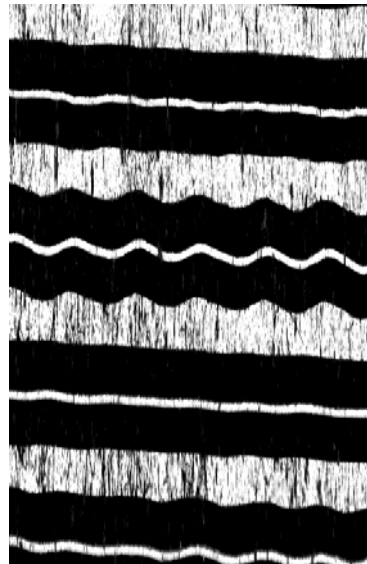
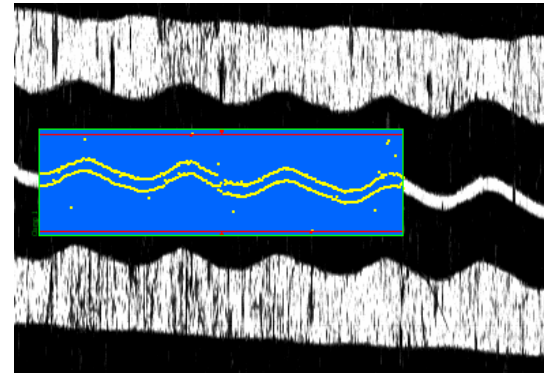
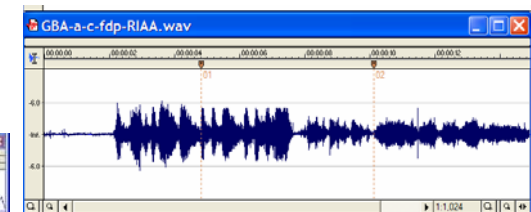
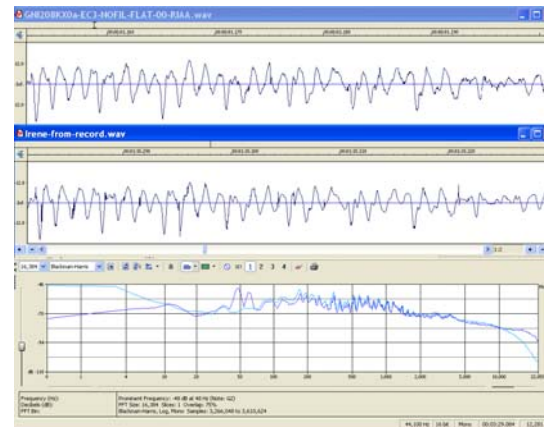


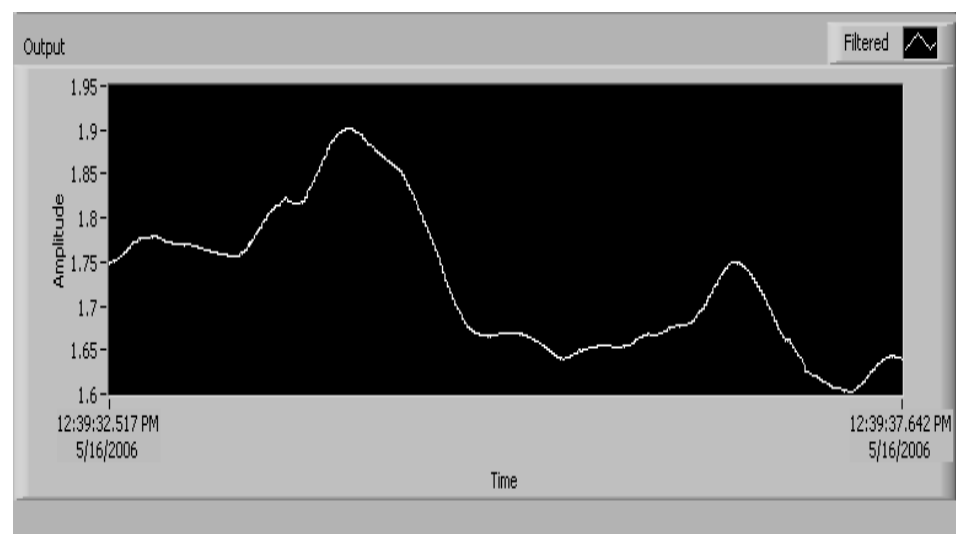
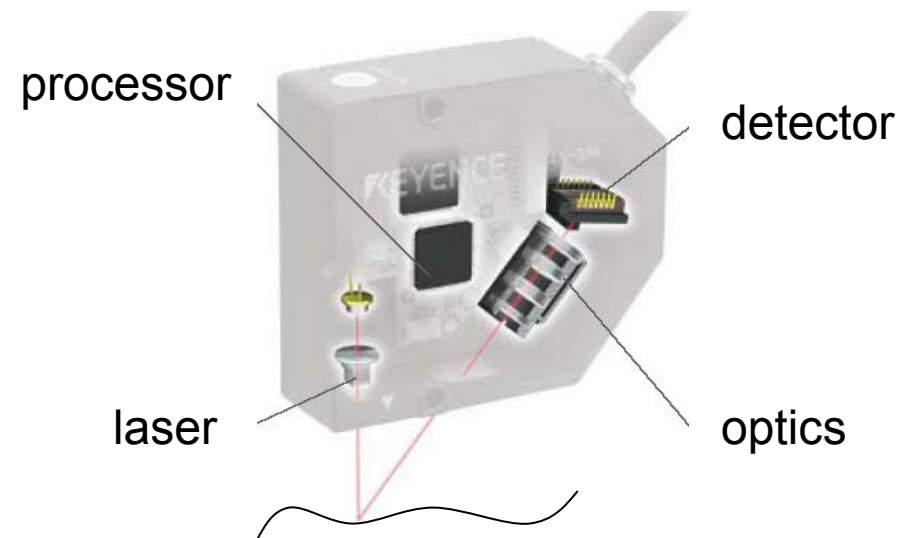
Image of groove segment



Mechanical features of groove extracted from image



Audio waveform derived from metrology data



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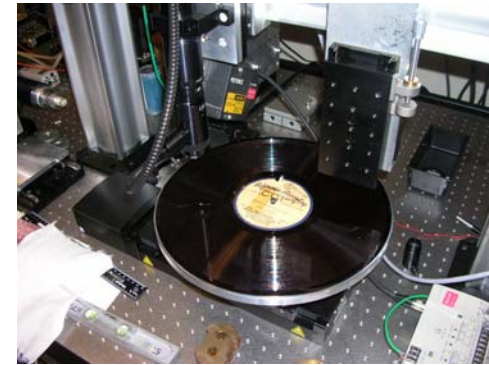
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# Interesting Lacquer Discs



78 rpm lacquer on glass  
Label: Howard Hughes,  
Collier Award 1939



78 rpm acetate,  
Theos Bernard,  
interview, 1929





# Damaged or Delicate Cylinders

- Optical restoration of commercial cylinders yields satisfactory results
- Historical value of recorded wax cylinders is greater
  - Earlier recordings
  - Field work
  - Dictation
- Fungus growth and other surface issues can seriously degrade these
- A research priority for the Library of Congress

# Ethnographic Recordings



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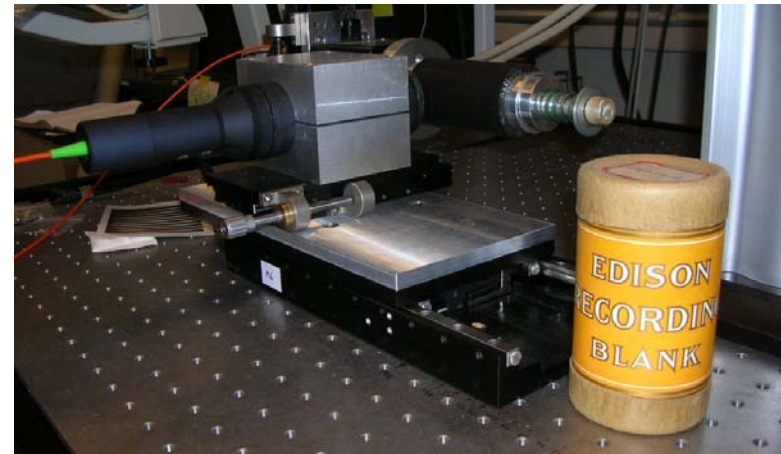
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Ishi, regarded as the last survivor of the Yahi tribe of No. California was recorded extensively by UC Berkeley Anthropologist Alfred Kroeber (circa 1915). This collection is held at the UCB Phoebe Hearst Museum.



Sam Batwai, Alfred L. Kroeber, and Ishi  
15-Sept-2006

Hiroshima Meeting  
Vitaliy Fadeyev



# The Method

- Digitally **image** the surface
- Cover with sequential **views** or **grid**.
- Stitched together: **surface map**
- **Process image** to remove defects
- **Analyze shape** to **model** stylus motion.
- **Sample** at standard frequency
- **Convert** to digital sound format.
- Real time playback is **not required**