Detectors for a Future Lepton Collider Lecture 3: Calorimetry

Mark Thomson University of Cambridge



Today's Lecture



- **2** LC Jet Energy Requirements
- B Particle Flow Calorimetry
- **4** CALICE
- **6** Realising Particle Flow Calorimetry
- **6** Particle Flow Reconstruction
- Particle Flow Performance
- 8 Summary

★Concentrate on concepts rather than fine details of detector R&D

● e⁺e⁻ Physics ↔ Calorimetry

★Electron-positron colliders provide clean environment for precision physics

The LHC $pp \rightarrow H + X$





★ A detector at a future lepton collider (e.g. ILC/CLiC) will be designed to take full advantage of this clean environment

★ Very different detector design requirements c.f. LHC

e.g. ILC Physics

ILC PHYSICS:

Precision Studies/Measurements

- ★ Higgs sector
- **★** SUSY particle spectrum (if there)
- **★** SM particles (e.g. W-boson, top)
- ★ and much more...

Physics characterised by:

- High Multiplicity final states often 6/8 jets
- ★Small cross-sections, e.g.

$$\sigma(e^+e^- \rightarrow ZHH) = 0.3 \, \text{fb}$$



 Require High Luminosity – i.e. ILC/CLIC
 Detector optimized for precision measurements in difficult multi-jet environment

Compare with LEP



final states with > 1 neutrino

- * Physics performance depends critically on the detector performance (not true at LEP)
- ***** Places stringent requirements on the ILC detector

Linear Collider Calorimetry

★ Any future collider experiment geared towards precise measurements requires very good jet energy resolution to maximise physics reach: <u>Often-quoted example at ILC:</u> $e^+e^- \rightarrow \nu \overline{\nu}W^+W^-$ vs. $e^+e^- \rightarrow \nu \overline{\nu}ZZ$











2 LC Jet Energy Requirements

- ★ What are the jet energy requirements at a future LC ?
- ★ Probably not driven by single particle resolution
- ★ Primarily interested in di-jet mass resolution—
 - For a narrow resonance, want best possible di-jet mass resolution



signif.
$$\propto \frac{S}{\sqrt{B}} \propto (\text{resolution})^-$$

At very least, need to separate W/Z hadronic decays



 m_{1}^{2}

 θ_{12}

 $\frac{1}{2}$



- 3 4 % jet energy resolution give decent W/Z separation 2.6 2.3 σ level
- sets a reasonable choice for LC jet energy goal:

Context: LC jet energies

- ★ What jet energies are we likely to be interested in ?
- **★** Determined by number of fermions in "interesting" final states
- **★** Little need to reconstruct di-jet mass in $e^+e^- \rightarrow q\overline{q}$
- **★** At 500 GeV primarily interested in 4-fermion/6-fermion final states
 - e.g. $e^+e^- \rightarrow ZH \rightarrow q\overline{q}b\overline{b}$ and $e^+e^- \rightarrow t\overline{t} \rightarrow bq\overline{q}\overline{b}q\overline{q}$
- For higher centre-of-mass energies, fermion multiplicities will tend to be higher, e.g. SUSY cascade decays
- **★** Sets scale of typical jet energies:

√s	#fermions	Jet energy	
250 GeV	4	~60 GeV	
500 GeV	4 – 6	80 – 125 GeV	} ILC - like
1 TeV	4 – 6	170 – 250 GeV	J
3 TeV	6 – 8	375 – 500 GeV	<pre>} CLIC - like</pre>

LC Calorimetry Goal: ~3.5 % jet energy resolution for 50 – 500 GeV jets

★Want
$$\sigma_E/E < 3.5\%$$

Very hard (probably not possible) to achieve this with a traditional approach to calorimetry

Limited by typical HCAL resolution of > 60 %/ $\sqrt{E(GeV)}$ + constant



B Particle Flow Calorimetry

- ★ In a typical jet :
 - 60 % of jet energy in charged hadrons
 - + 30 % in photons (mainly from $\pi^0 o \gamma\gamma$)
 - + 10 % in neutral hadrons (mainly $\,n\,$ and $\,K_L\,$)
- **★** Traditional calorimetric approach:
 - Measure all components of jet energy in ECAL/HCAL !
 - + ~70 % of energy measured in HCAL: $\sigma_E/E \approx 60 \, \%/\sqrt{E(GeV)}$
 - Intrinsically "poor" HCAL resolution limits jet energy resolution





- **★** Particle Flow Calorimetry paradigm:
 - charged particles measured in tracker (essentially perfectly)
 - Photons in ECAL: $\sigma_E/E < 20\%/\sqrt{E(GeV)}$
 - Neutral hadrons (ONLY) in HCAL
 - Only 10 % of jet energy from HCAL ⇒ much improved resolution

"Energy Flow" → "Particle Flow"

- **★** The idea *behind* particle flow calorimetry is not new
- * a similar idea was first (?) used by ALEPH NIM A360:481-506, 1995
 - Jet energies reconstructed using an "ENERGY FLOW" algorithm
 - Remove ECAL deposits from IDed electrons/photons
 - Left (mostly) with charged and neutral hadrons
 - However, insufficient HCAL granularity to identify neutral hadrons
 - Neutral hadrons identified as significant excesses of CAL energy



Energy of neutral hadron obtained by subtraction: E_n = E_{calo} – p_{track}

 $\implies \sigma_E/E \sim 10\%$ jet E resolution for 45 GeV jets

★ Similar approach used by a number of other collider experiments, e.g CMS

- ★ "PARTICLE FLOW" significantly extends this approach to a high granularity calorimeter
 - Now directly reconstruct neutral hadrons
 - Potentially much better performance
 - but need highly granular calorimeter + sophisticated "particle flow algorithm"

Particle Flow Calorimetry

Hardware:

★Need to be able to resolve energy deposits from different particles
 → Highly granular detectors (as studied in CALICE)





Software:

*****Need to be able to identify energy deposits from each individual particle !

Sophisticated reconstruction software



***** Particle Flow Calorimetry = HARDWARE + SOFTWARE

Particle Flow Algorithms (PFA)

Reconstruction of a Particle Flow Calorimeter:

- **★** Avoid double counting of energy from same particle
- ***** Separate energy deposits from different particles



If these hits are clustered together with these, lose energy deposit from this neutral hadron (now part of track particle) and ruin energy measurement for this jet.

Level of mistakes, "confusion", determines jet energy resolution <u>not</u> the intrinsic calorimetric performance of ECAL/HCAL

Three types of confusion:



CERN 17/2/2010

Particle Flow ECAL considerations

★ Require: high longitudinal and transverse segmentation

★ ECAL:

- minimise transverse spread of EM showers
 - ➡ small Moliere radius
- high transverse granularity ~ Moliere radius
- longitudinally separate EM and Hadronic showers \Rightarrow large ratio of λ_{l}/X_{0}
- Iongitudinal segmentation to cleanly ID EM showers

Material	X ₀ /cm	թ _м /cm	λ _l /cm	Χ₀/λ_Ι
Fe	1.76	1.69	16.8	9.5
Cu	1.43	1.52	15.1	10.6
W	0.35	0.93	9.6	27.4
Pb	0.56	1.00	17.1	30.5





★ Favoured option : Tungsten absorber
 • need to keep sensitive material
 "thin" to maintain small ρ_M



Particle Flow HCAL considerations

- ★ Require: high longitudinal and transverse segmentation
- **★** HCAL:
 - resolve structure in hadronic showers
 - ➡ longitudinal and transverse segmentation
 - contain hadronic showers
 - HCAL will be large: absorber cost & structural properties will be important

Material	X ₀ /cm	ρ _M /cm	λ _l /cm	Χ₀/λ_ι	
Fe	1.76	1.69	16.8	9.5	
Cu	1.43	1.52	15.1	10.6	
W	0.35	0.93	9.6	27.4	←
Pb	0.56	1.00	17.1	30.5	

★ A number of technological option being studied, e.g. by the CALICE collab: CAlorimetry for the Linear Collider Experiment

Mark Thomson



4 CALICE

- ★ Umbrella for LC PFlow Calorimeter R&D
 - in addition US effort focussed on SiD ECAL concept (also SiW)
- Approximately 330 scientists and engineers from 57 institutes in 17 countries (Africa, Americas, Asia, Europe)



"tail-catcher"

- ★ Extensive test beam campaign
 - DESY: 2006
 - CERN: 2006, 2007



★ Wide variety of beam energies and particle species

- 2 GeV to 80 GeV
- muons, e[±], π[±], unseparated hadrons
- ★ Different technologies (to date 1 HCAL, 1 TCMT, 2 ECAL)

LC PFlow Calorimetry options

★Various options for high granularity sampling calorimeters...



★A number of interesting issues...

Analogue vs Digital Readout

 Energy deposited by a charged particle in the active material of a sampling calorimeter follows a Landau distribution





Long-tail

 Therefore large fluctuations in energy deposition for a single particle

In previous collider experiments typically have multiple particles crossing each calorimeter cell

- analogue readout including Landau fluctuations
- In a sufficiently high granularity calorimeter may only have a single particle crossing each calorimeter cell
 - possibility of digital readout
 - i.e. count charged particles insensitive to Landau fluctuations



ECAL: Silicon-Tungsten (Analogue)



than single particle energy resolution

Pros/Cons:

 Technology demonstrated Cost x

4

3

2

0.15

0.2

0.25

0.3

E_{beam} (GeV)

0.35

0.4

ECAL: Scintillator-Tungsten



Pros/Cons:

Technology demonstrated (scintillator + WLS + SiPMs)

Strips may cause problems for pattern recognition in PFlow

ECAL: Digital MAPS-Tungsten

- ★ Charged particle densities in EM showers very high 100 particles mm⁻²
- **★** For digital calorimetry require 0 or 1 particles per pixel
 - \Rightarrow ~ 50×50 μ m² pixel size !
- ★ The technology exists: monolithic active pixel sensors MAPS
- ***** Standard CMOS product
- ★ Highly detailed images of EM showers



Pros/Cons:



- Some gain in resolution (Landau tails)
- Cost potentially cheaper than high resistivity Si
- Novel: Very early stage of R&D single sensor tests only

HCAL: Steel-Scintillator

Absorber

- 38 layers 2cm steel
- Total 4.5 λ_I

Active Material/Readout

- Scintillator tiles 3×3 cm², 6×6 cm², …
- Light collection via WLS fibres
- Readout using Multi-pixel silicon Photomultipliers (SiPMs) —____





- Operation demonstrated in test beam
 high granularity imaging HCAL —
- ★ Good hadronic resolution

$$\frac{\sigma_{\rm E}}{\rm E} = \frac{60\%}{\sqrt{\rm E/GeV}} \oplus 2.5\%$$

Pros/Cons:

- Technology demonstrated + fairly "standard"
- Cost probably limits cell size to 3x3 cm²



Digital HCAL: Steel-RPC

Active Material

- Resistive plate chambers (RPC)
- 1.2 mm gas gap
 (Semi-) Digital Readout
- 1×1 cm² readout pads
- 1 bit readout
- semi-digital readout (3 bit) also being developed

•GEMs/MicroMegas being studied



- **★** Small prototype in Fermilab test beam
- ★ Response close to that expected from simulation
- 1m³ physics prototype integrated into CALICE test-beam in 2010

Pros/Cons:

- ✓ Small cell sizes achievable "baseline" is 1×1 cm²
- ✓ Insensitivity to low energy neutrons
- * Possible saturation effects in dense jets (semi-digital approach should help)
- * Digital approach needs to be validated in test-beam

G Realising Particle Flow Calorimetry

- ★ CALICE studying a number of technological options for a high granularity ECAL/HCAL
- ★ No obvious show-stoppers...
- **★** Only makes sense in the context of Particle Flow Calorimetry
- ***** Particle Flow Calorimetry = HARDWARE + SOFTWARE

→ Need sophisticated PFlow reconstruction software



In addition, Particle Flow Calorimetry is more than just the ECAL and HCAL
 It needs to be studied in the context of the whole detector

- tracking is central to jet energy reconstruction
- ★ Need detailed GEANT 4 simulations of potential detector designs...

ILC Detector Concepts

- Particle Flow needs to be studied in the context of the whole detector
 - tracking is central to jet energy reconstruction
- **★** Need detailed GEANT 4 simulations of potential detector designs, e.g. the ILC detector concepts

ILD: International Large Detector

- "Large" : tracker radius 1.8m
- **B-field** : 3.5 T
- Tracker : TPC

Calorimetry : high granularity particle flow ECAL + HCAL inside large solenoid



SiD: Silicon Detector

"Small" : tracker radius 1.2m

- B-field : 5 T
- Tracker : Silicon

Calorimetry : high granularity particle flow ECAL + HCAL inside large solenoid



CERN 17/2/2010

Calorimetry in the ILC Detector Concepts

- ★ ILD and SiD concepts designed for particle flow calorimetry, e.g. ILD*
- ★ The most detailed Particle Flow studies have been performed in the context of the ILD concept
- **★** ILD calorimetry in simulation baseline:

ECAL:

- SiW sampling calorimeter
- Iongitudinal segmentation: 30 layers
- transverse segmentation: 5x5 mm² pixels

HCAL:

- Steel-Scintillator sampling calorimeter
- Iongitudinal segmentation: 48 layers (6 interaction lengths)
- transverse segmentation: 3x3 cm² scintillator tiles



Calorimeter Reconstruction

- High granularity calorimeters <u>very different</u> to previous detectors (except LEP lumi. calorimeters)
- * "Tracking calorimeter" requires a new approach to ECAL/HCAL reconstruction

Particle Flow Algorithms (PFA)



- ★ Particle flow performance will depend on algorithm sophistication
- ★ To assess full potential of Particle Flow need a "realistic" algorithm
 - Full detector reconstruction (no use of Monte Carlo information) many years before project is approved !
- ★ Development of particle flow reconstruction algorithms is a hot topic in Linear Collider detector development
- ★ Most sophisticated and best performing Particle Flow Algorithm (PFA) is "PandoraPFA" MT, NIM 611 (2009) 24-40
- ★ Used to demonstrate the potential of high granularity Particle Flow Calorimetry

6 Particle Flow Reconstruction

★Highly non-trivial - a new type of calorimeter system

e.g. PandoraPFA consists of a number complex steps (not all shown)



PFA : Basic issues

- **★** Separate energy deposits from different particles
- ***** Avoid double counting of energy from same particle
- ***** Mistakes drive particle flow jet energy resolution
- <u>e.g.</u>

★ Need to separate "tracks" (charged hadrons) from photons



★ Need to separate neutral hadrons from charged hadrons



PandoraPFA Overview

★ ECAL/HCAL reconstruction and PFA performed in a single algorithm

- ***** Applicable to multiple detector concepts
 - Used to study conceptual designs
- **★** Use tracking information to help ECAL/HCAL clustering

Eight Main Stages:

- i. Track classification/extrapolation
- ii. Loose clustering in ECAL and HCAL
- iii. Topological linking of clearly associated clusters
- iv. Courser grouping of clusters
- v. Iterative reclustering
- vi. Photon Identification/Recovery
- vii. Fragment removal
- viii. Formation of final Particle Flow Objects

(reconstructed particles)

★ Here focus on main reconstruction concepts

ii) ECAL/HCAL Clustering

- ***** Tracks used to "seed" clusters
- ★ Start at inner layers and work outward
- **★** Associate hits with existing Clusters
- ★ If no association made form new Cluster
- *** Very simple** cone based algorithm



iii) Topological Cluster Association

+By design, clustering errs on side of caution i.e. clusters tend to be split

Philosophy: easier to put things together than split them up
 Clusters are then associated together in two stages:

- 1) Tight cluster association clear topologies
- 2) Loose cluster association fix what's been missed

🗲 <u>Photon ID</u>

★Photon ID plays important role

*****Simple "cut-based" photon ID applied to all clusters

Clusters tagged as photons are immune from association procedure – just left alone



★ Clusters associated using a number of topological rules

Clear Associations:

• Join clusters which are clearly associated making use of high granularity + tracking capability: very few mistakes



v) Iterative Reclustering

- ★ At some point, in high density jets (high energies) reach the limit of "pure" particle flow
 - i.e. can't cleanly resolve neutral hadron in hadronic shower



Address this "statistically"





This is <u>very</u> important for higher energy jets

Iterative Reclustering Strategies

Cluster splitting

Reapply entire clustering algorithm to hits in "dubious" cluster. Iteratively reduce cone angle until cluster splits to give acceptable energy match to track



***** + plug in alternative clustering algorithms

2 Cluster merging with splitting

Look for clusters to add to a track to get sensible energy association. If necessary iteratively split up clusters to get good match.

③ Track association ambiguities

In dense environment may have multiple tracks matched to same cluster. Apply above techniques to get ok energy match.





Putting it all together...



★ Can now start to understand performance of a Particle Flow detector...

Particle Flow Performance

- **★** Particle Flow Reconstruction is inherently non-Gaussian
- ★ PFA resolution presented in terms of rms₉₀
 - defined as "rms in smallest region containing 90 % of events"
 - introduced to reduce sensitivity to tails in a well defined manner
- ★ How to interpret rms₉₀ ? With care...
 - how to compare 4 GeV PFA rms90 with 5 GeV Gaussian resolution ?

vents

★ For a true Gaussian distribution



- ★ Highly misleading...
 - distributions almost always have tails: Gaussian usually = fit to some region
 - rms₉₀ larger than central peak from PFA ²⁰
 - e.g. for 200 GeV di-jets (from rest):

rms(E) = 5.8 GeV rms₉₀(E) = 4.1 GeV fit to 196-205 GeV : 3.8 GeV

MC studies to determine equivalent statistical power show

$$rms_{90} \approx 0.9\sigma_{Gaus}$$



Jet Energy Resolution

- ★ Motivation for high granularity Particle Flow Calorimetry was the desire for a jet energy resolution: $\sigma_E/E < 3.5\%$
- ★ Can particle flow deliver ?
 - Simplest metric, jet energy resolution in $Z \rightarrow q\overline{q}, q = u, d, s$ decays at rest (i.e. two back to back jets)
- **★** With PandoraPFA and ILD simulation obtain:

E _{JET}	$σ_{E}/E = \alpha/\sqrt{E_{jj}}$ cosθ <0.7	σ ε/Ε j
45 GeV	25.2 %	3.7 %
100 GeV	29.2 %	2.9 %
180 GeV	40.3 %	3.0 %
250 GeV	49.3 %	3.1 %

- × 3 better than best at LEP
- × 2 better than conventional approach
- ★ For ILC energies*, PFlow Calorimetry has potential to deliver unprecedented jet energy resolution !
- ★ I believe that the principle of high granularity Particle Flow Calorimetry has been demonstrated; it can deliver at ILC energies*

*Will discuss CLIC energies in next lecture



Summary of today's lecture

- The next linear collider will place unprecedented demands on calorimetry; jet energy requirements are more than a factor two-three better than achieved at LEP
- ★ Requires a new approach
- ***** High granularity Particle Flow Calorimetry is the most favoured approach
- ★ It is technologically reasonable actively studied by CALICE
 - a number of technology options
- **★** For particle flow calorimetry, performance = hardware + software
- **★** Now have sophisticated (realistic?) reconstruction tools...
- MC proof of principle that Particle Flow Calorimetry can deliver required performance

In tomorrow's lecture I will discuss:

- alternatives to Particle Flow
- understanding particle flow in more depth
- the issues related to building a Particle Flow detector and limitations
- Particle Flow performance at higher energies
- the overall design issues for a linear collider detector where calorimetry
- the status of the detector concept performance studies