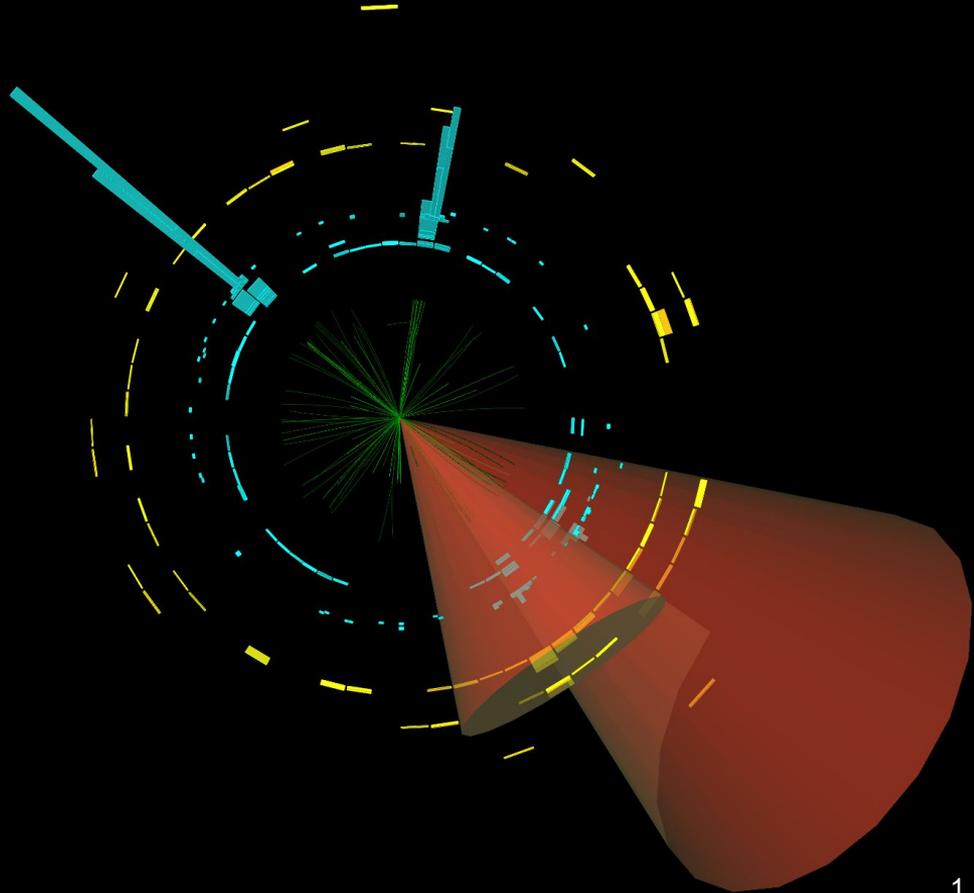
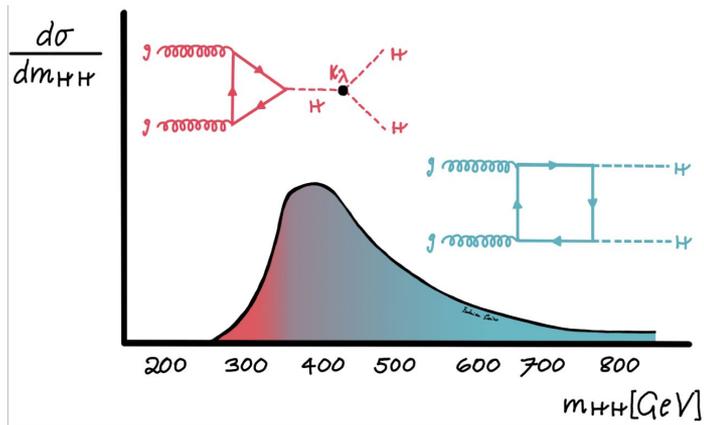


ATLAS and CMS non-resonant $HH \rightarrow \gamma\gamma b\bar{b}$

Louis D'Eramo (NIU)
Maxime Gouzevitch (IP2I)



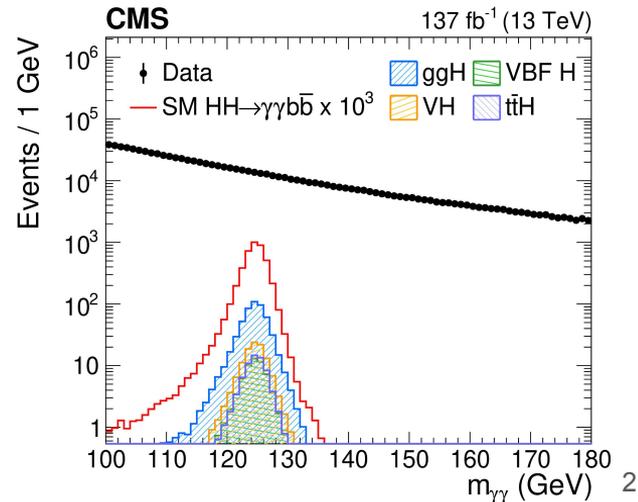
Motivation



- The ggF production results from the destructive interference between the triangle and box diagram.
- However, the **low m_{HH}** region is essential to constrain **trilinear coupling**.

The diphoton signature is a key channel for Higgs physics thanks to the efficient *photon reconstruction* and low *energy resolution* :

- low threshold for the object selection \rightarrow good sensitivity to low m_{HH} ;
- simple background estimation and good S/B.



Publications

ATLAS:

<https://arxiv.org/pdf/2112.11876.pdf>

- Paper submitted in Dec. 2021, in review.
- Non-resonant ggHH and Resonant $X \rightarrow HH$
- Non-resonant VBF HH just included as signal

<https://atlas.web.cern.ch/Atlas/GROUPS/PHYSICS/PAPERS/HDBS-2018-34/>

CMS:

<https://arxiv.org/abs/2011.12373>

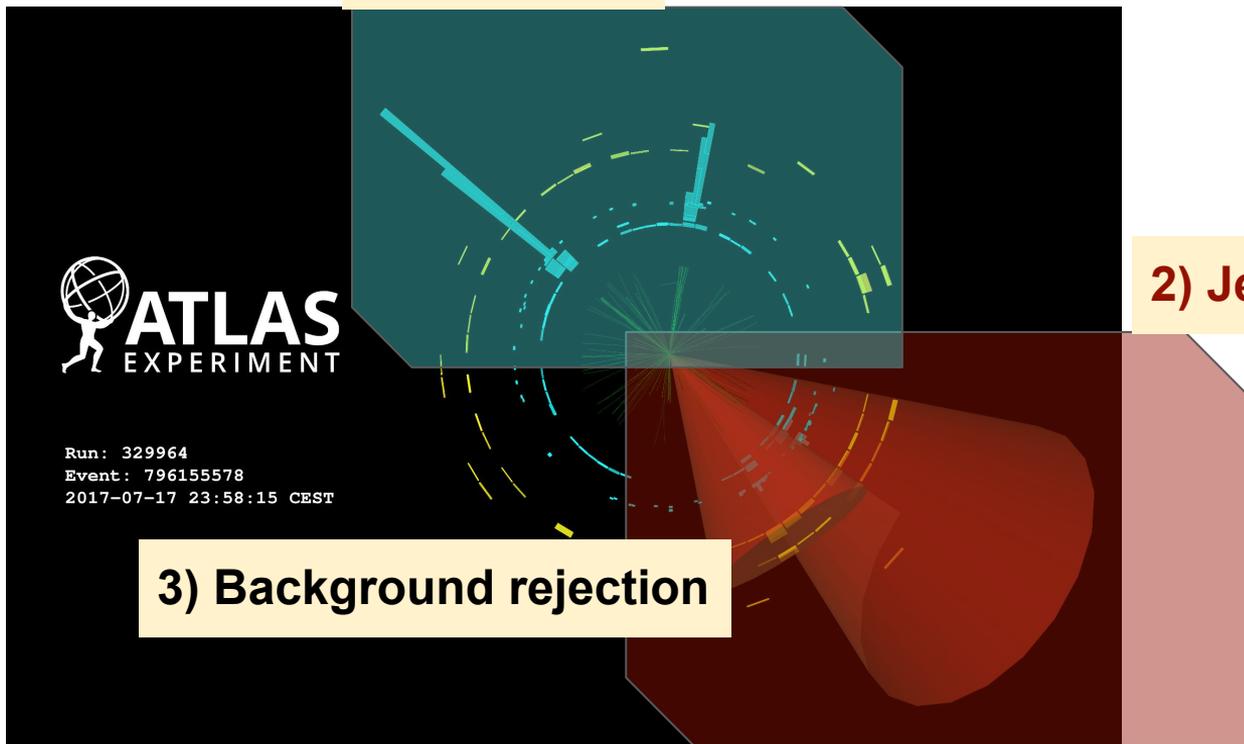
- Paper submitted in Nov. 2020
JHEP 03 (2021) 257
- Non-resonant ggHH analysis
- Non-resonant VBF HH included as contribution to the analysis

<http://cms-results.web.cern.ch/cms-results/public-results/publications/HIG-19-018/index.html>

Disclaimer: if a plot comes without a reference it means that it comes from one of the 2 papers above

Outline

1) Photons



2) Jets

3) Background rejection

4) Signal extraction

5) Results

1) Photons

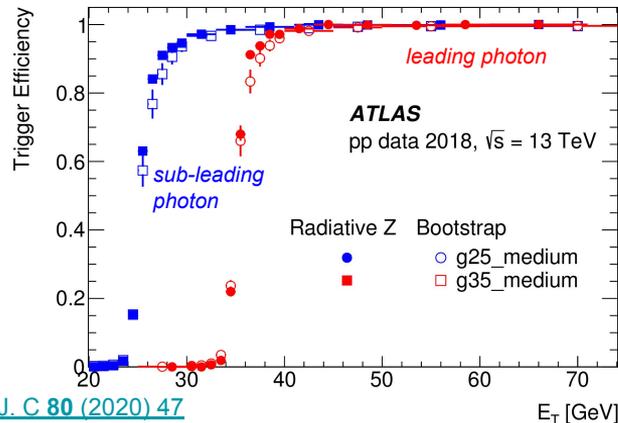


1.1) Diphoton HLT Trigger

ATLAS:

- $p_{T,1} > 35 \text{ GeV}$; $p_{T,2} > 25 \text{ GeV}$
- identification criteria: raised from loose to medium for data period 2017-2018.

Efficiency: 83 % for non resonant and 70% for $m_x=300 \text{ GeV}$. When normalizing to the standard di-photon selection, these efficiencies are close to 100%



[Eur. Phys. J. C 80 \(2020\) 47](#)

CMS:

- $p_{T,1} > 30 \text{ GeV}$; $p_{T,2} > 18 \text{ GeV}$ (22) GeV
2016 (2017-18)
- identification criteria: loose.

Efficiency: When normalizing to the standard di-photon selection, these efficiencies are close to 100%

1.2) Photons selection

ATLAS:

- **Acceptance**: electromagnetic clusters within $|\eta| < 2.37$, excluding the transition region $1.37 < |\eta| < 1.52$.
- Special **vertexing**: due to reduced track information, a NN is used to identify the PV instead of the usual highest $\sum p_T$ ([Phys. Rev. D 90, 112015](#))
 - small difference between two definitions here.
 - The longitudinal showering in ATLAS ECAL allows to estimate the direction of the vertex
- **Tight identification** criteria: based on the lateral shape of the shower and hadronic leakage.
- **Loose isolation**: based on the transverse energy deposited in the EM-calorimeter and on the p_T of the tracks surrounding the photon candidate.

CMS:

- **Acceptance**: electromagnetic clusters within $|\eta| < 2.5$, excluding the transition region $1.44 < |\eta| < 1.57$.
- BDT **vertexing** including jets information: 99.9% efficient to find the right vertex.
- **Loose Identification** criteria: based on the lateral shape of the shower and hadronic leakage.
- **Loose Isolation** based photons and charged hadrons in a cone of $dR = 0.3$ around the photon.
- Jet Id and jets isolation used for classification BDT.

1.3) Diphoton selection

ATLAS:

- $p_{T,1} > 35 \text{ GeV}; p_{T,2} > 25 \text{ GeV}$ (online)
- $p_{T,1} > 0.35 * m_{\gamma\gamma}, p_{T,2} > 0.25 * m_{\gamma\gamma}$
- $105 < m_{\gamma\gamma} < 160 \text{ GeV}$

CMS:

- $p_{T,1} > 30 \text{ GeV}; p_{T,2} > 18 \text{ (22) GeV}$ (online)
- $p_{T,1} > 0.33 * m_{\gamma\gamma}, p_{T,2} > 0.25 * m_{\gamma\gamma}$
- $100 < m_{\gamma\gamma} < 180 \text{ GeV}$

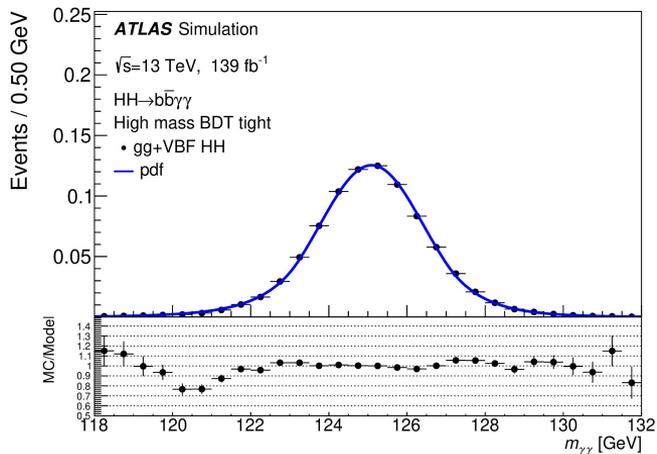
DISCUSSION:

- Internal CMS a study if lowering $p_{T,2}/m_{\gamma\gamma} > 0.2$ would help to recover some events with very asymmetric decay: $p_{T,1} \gg p_{T,2}$. Lowering $p_{T,1}/m_{\gamma\gamma}$ doesn't help.
- One need to be careful with fake photons that are more probable at low p_T .
- CMS have historically quite a large $m_{\gamma\gamma}$ region for non-resonant background fitting.
→ Reducing to 105-160 may help to reduce parametrization uncertainty.

1.4) Diphoton resolution

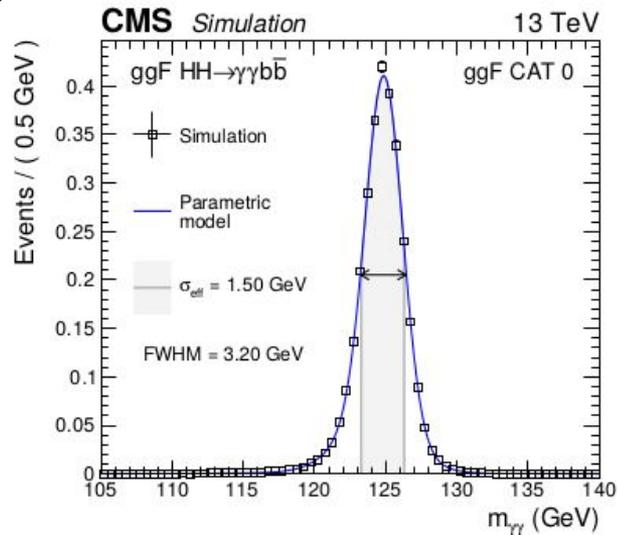
ATLAS:

- Double sided Crystal Ball resolution in $m_{\gamma\gamma}$
 $1.3 < \sigma < 1.7$ GeV



CMS:

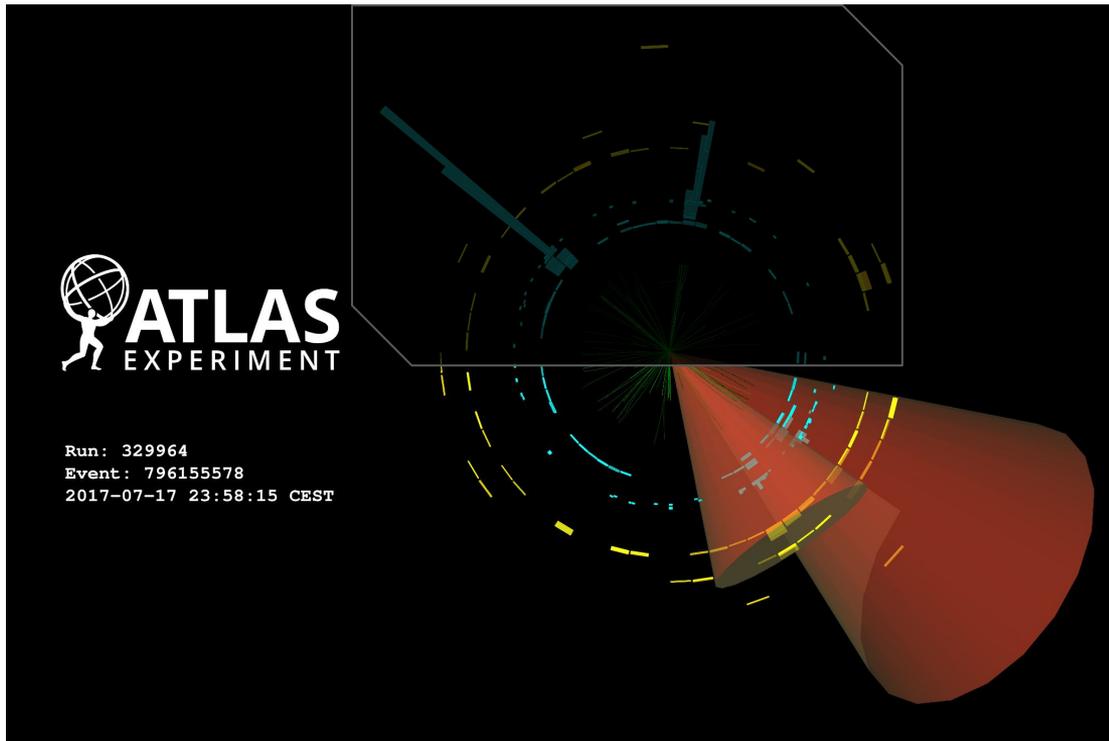
- σ_{eff} : 1.4 - 2 GeV. Depending on the purity and year.



DISCUSSION:

ATLAS and CMS resolutions are comparable even if ATLAS one is slightly better.

2) Jets selection



2.1) B-jets selection

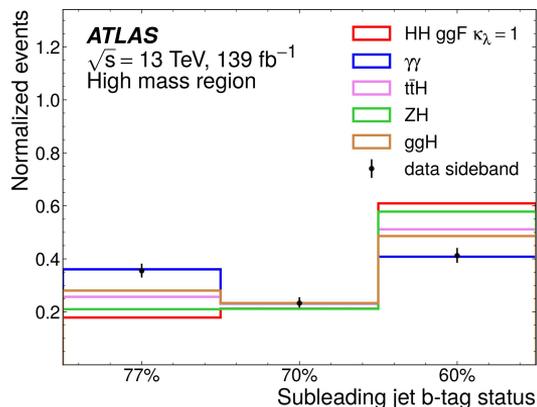
ATLAS:

Jets reconstruction:

- Input: Particle flow candidates.
- anti-kT R=0.4.
- $p_T > 25$ GeV; $|\eta| < 2.5$; PU cleaning.

B-tagging:

- Based on DNN (see L. Gouskos talk)
- Exactly 2 jets identified with loose WP: 77% efficiency.
- B-tag quantile is part of BDT training.



CMS:

Jets reconstruction:

- Input: Particle flow candidates.
- anti-kT R=0.4.
- $p_T > 25$ GeV; $|\eta| < 2.5$; PU cleaning.

B-tagging:

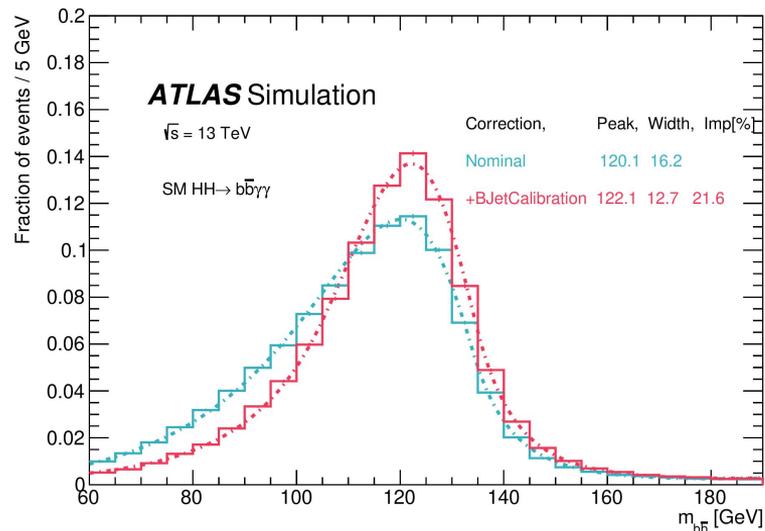
- Based on DNN (see L. Gouskos talk)
- 2 jets with largest b-tag sum are selected as candidates.
- B-tag score is part of BDT training.

2.2) Dijet mass resolution

ATLAS:

Corrections on the energy:

- Standard jet calibration
- Adding the nearby muon;
- SF to correct from the undetected neutrino obtained from tt MC samples based on jet p_T .

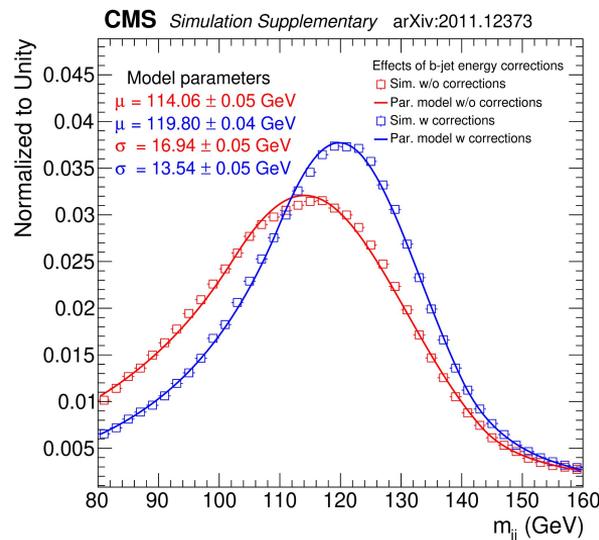


20% improvement
on the resolution

CMS:

Corrections on the energy:

- Standard jet calibration
- L1 regression: Per jet DNN based correction looking on particle flow ;
- L2 regression: Per event M_{jj} oriented regression important for events with large MET.

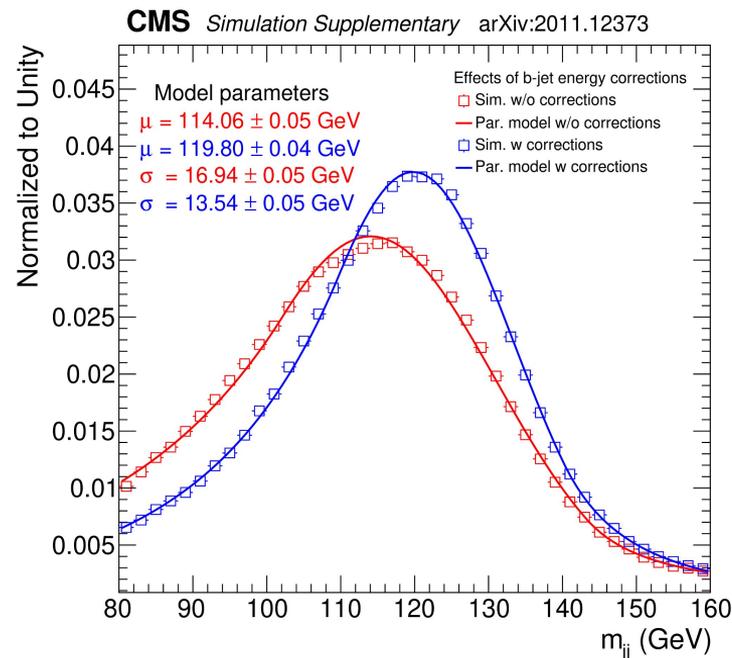


2.3) Discussion

- The CMS and ATLAS di-jet resolution is similar despite a more staged and complex calibration system: this is an interesting result to be understood
- The m_{jj} distribution from ATLAS is obtained after b-tag selection while CMS distribution is shown before the BDT application.

It improves once b-tagging is applied.

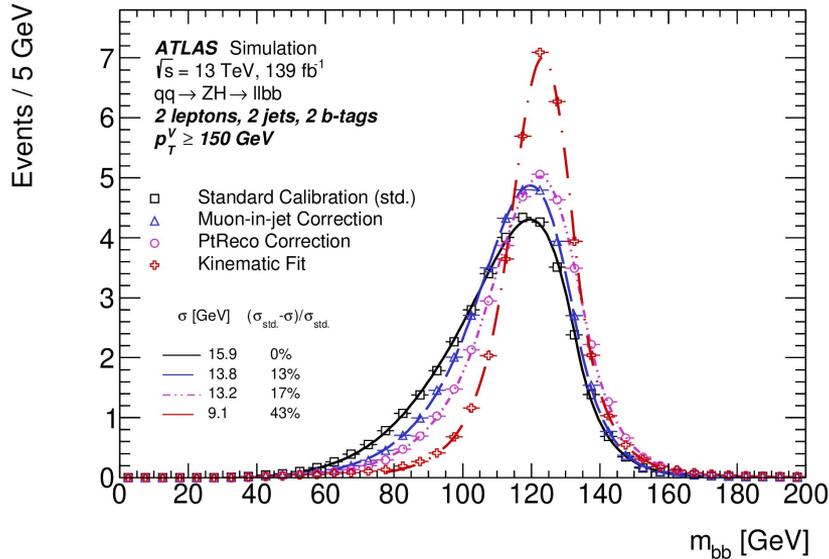
- On possible *idea for the future*: using an MVA to select the best 2 Higgs jets candidates, à la $HH \rightarrow 4b$.



2.4) Dijet mass resolution: going further

ATLAS:

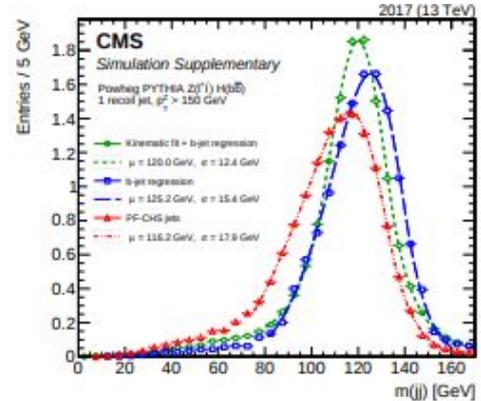
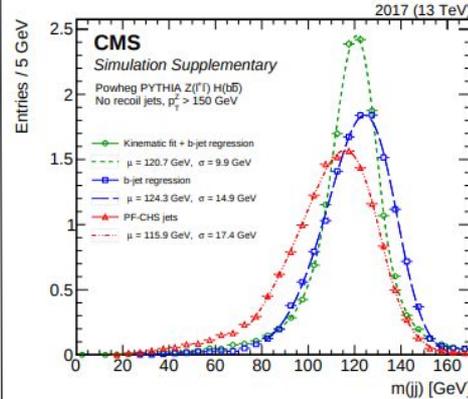
- Alternatives: NN regression or Kinematic fit procedure as done in VHbb.



[Eur. Phys. J. C 81 \(2021\) 178](#)

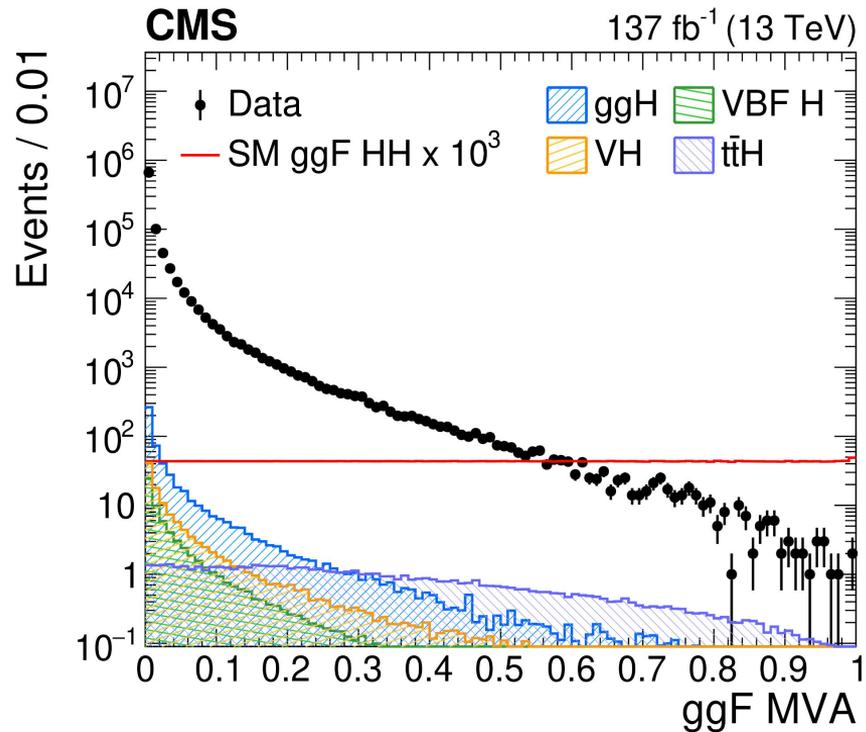
CMS:

- Alternative: NN per jet regression + kinematic fit for ZHbb.
- Effect of kinematic-fit goes lower with extra-jets. For ggHH more extra jets than for VH.

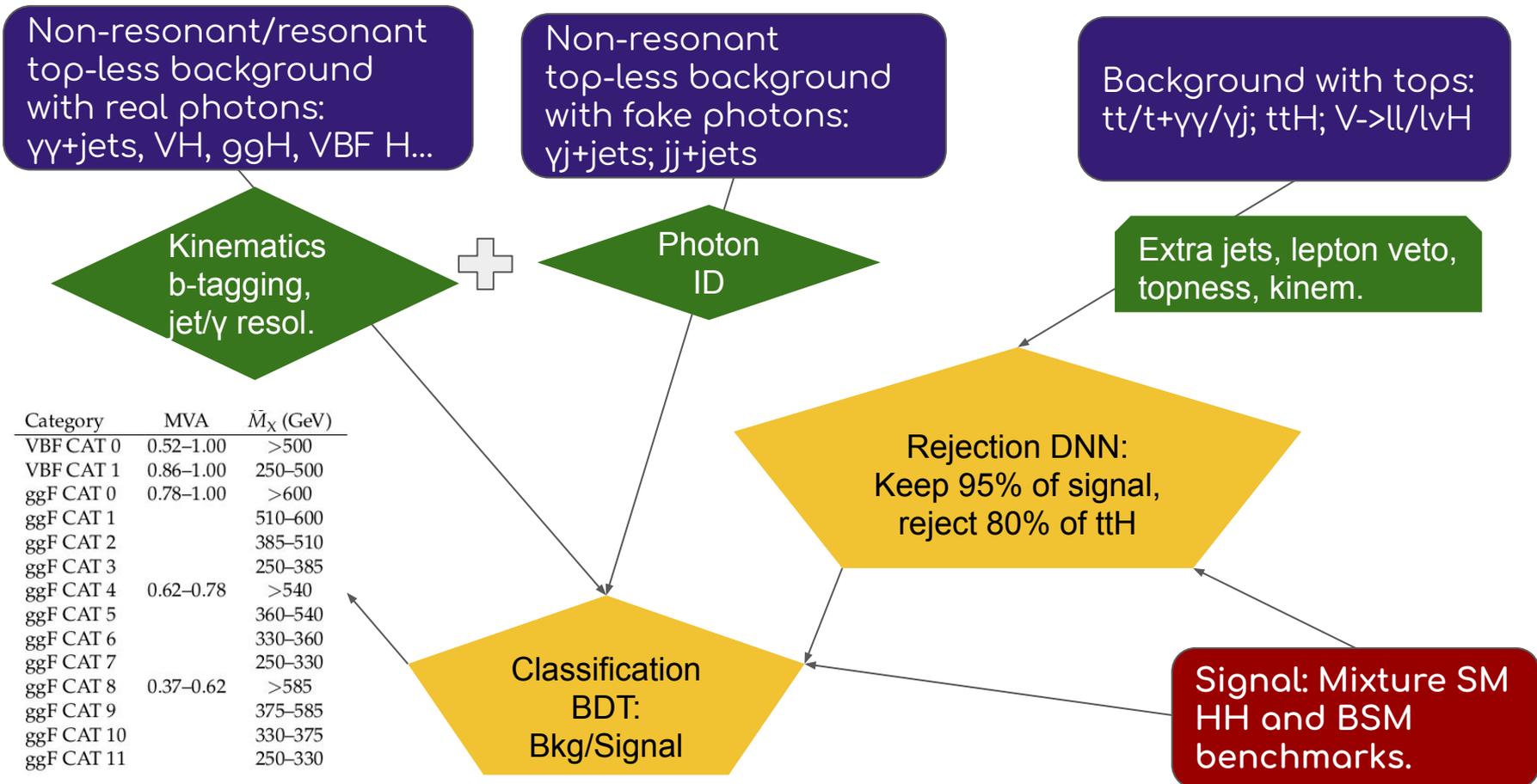


[Phys. Rev. Lett. 121 \(2018\) 121801](#)

3) Background rejection



3.1) Background strategy for CMS



Category	MVA	\bar{M}_X (GeV)
VBF CAT 0	0.52-1.00	>500
VBF CAT 1	0.86-1.00	250-500
ggF CAT 0	0.78-1.00	>600
ggF CAT 1		510-600
ggF CAT 2		385-510
ggF CAT 3		250-385
ggF CAT 4	0.62-0.78	>540
ggF CAT 5		360-540
ggF CAT 6		330-360
ggF CAT 7		250-330
ggF CAT 8	0.37-0.62	>585
ggF CAT 9		375-585
ggF CAT 10		330-375
ggF CAT 11		250-330

3.2) Background strategy for ATLAS

Fake jets backgrounds: γ j+jets / jj+jets

γ Tight id cuts

$\gamma\gamma$ +jets, VH, ggH, VBF H, ttH

Kinematics
b-tagging,
jet/ γ resol.

Extra jets, lepton veto,
topness

SIGNAL:
 $\kappa_\lambda = 1$ for $m_{HH} \geq 350$ GeV
 $\kappa_\lambda = 10$ for $m_{HH} < 350$ GeV

Classification
BDT:
Bkg/Signal

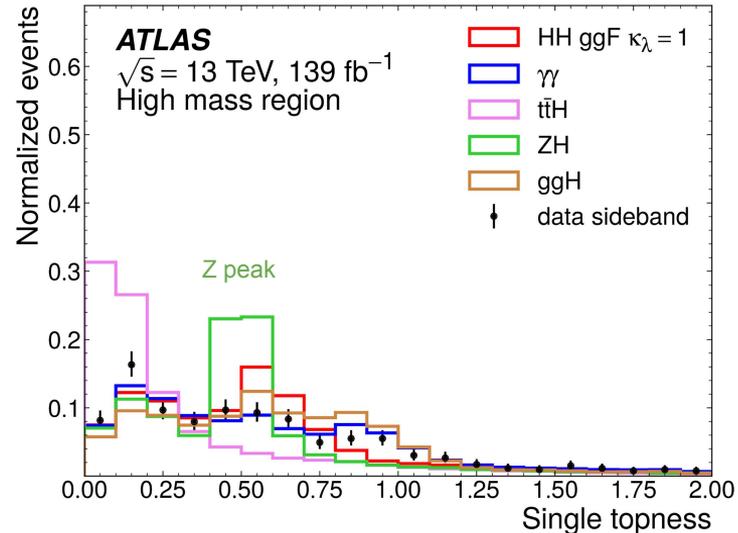
Category	Selection criteria
High mass BDT tight	$m_{b\bar{b}\gamma\gamma}^* \geq 350$ GeV, BDT score $\in [0.967, 1]$
High mass BDT loose	$m_{b\bar{b}\gamma\gamma}^* \geq 350$ GeV, BDT score $\in [0.857, 0.967]$
Low mass BDT tight	$m_{b\bar{b}\gamma\gamma}^* < 350$ GeV, BDT score $\in [0.966, 1]$
Low mass BDT loose	$m_{b\bar{b}\gamma\gamma}^* < 350$ GeV, BDT score $\in [0.881, 0.966]$

3.3) Discussion: variables

- Resolution variables (jets, photons, dijets, diphoton) helps a bit.
- **Topness variable** is very useful. It might be improved by taking into account the resolution correlation matrix between $W \rightarrow jj$ and $t \rightarrow Wbjj$

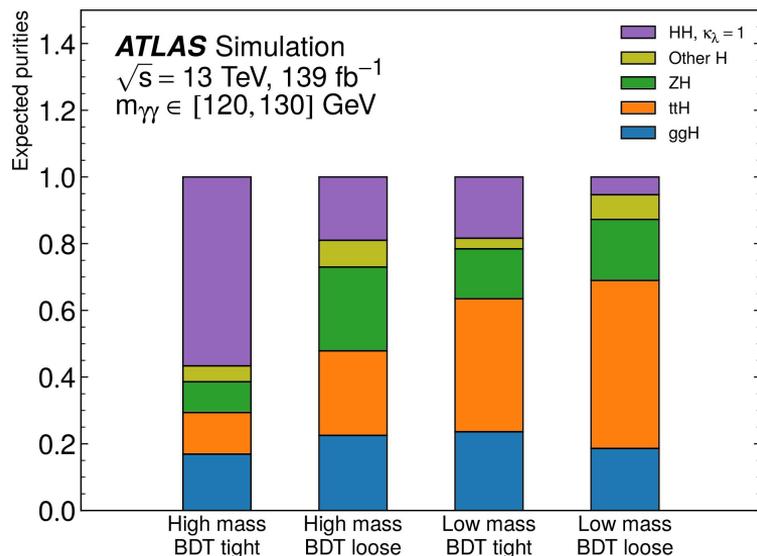
$$\chi_{Wt} = \min \sqrt{\left(\frac{m_{j_1 j_2} - m_W}{m_W}\right)^2 + \left(\frac{m_{j_1 j_2 j_3} - m_t}{m_t}\right)^2}$$

- This variable, as well as other anti-ttH variables helps against $tt+\gamma\gamma$ and $t+\gamma\gamma$.



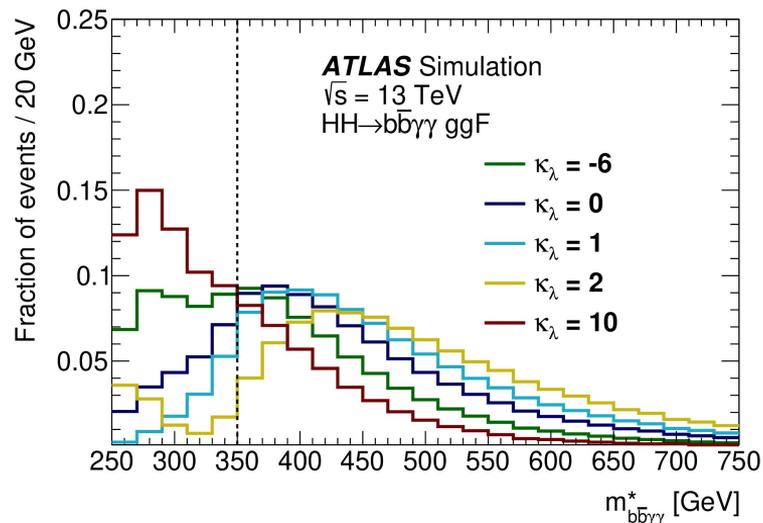
3.3) Discussion: variables

- Idea to separate top and non-top background into different BDT is motivated by rather orthogonal variables used in both cases. It is not easy to weight properly backgrounds with very different contributions.
- A dedicated effort is still clearly necessary if we want to tackle SM HH.
- For future: why not a DNN with multiple outputs.



3.4) Discussion: categories

- In **CMS** an optimisation is used to see how many categories gives best sensitivity: 12 cats is the most optimal solution since we have enough data.
- We train for SM HH + mixture of BSM Benchmarks.
- **ATLAS** trains on $\kappa_\lambda = 10$ for region below 350 GeV. This might in fact enhance the sensitivity particularly to self-coupling and explain why ATLAS and CMS κ_λ results are so similar (see next section).



3.5) VBF selection

ATLAS:

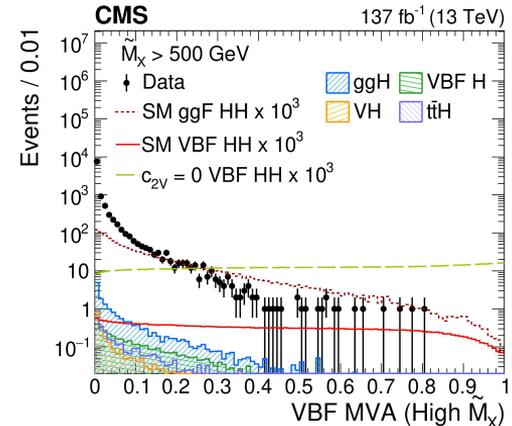
- No dedicated VBF selection.
- Tests were made based on the di-jet invariant mass to select the correct pair.
- Event shapes variables ([Eur. Phys. J. C 72 \(2012\) 2211](#)) that describes the patterns, correlations, and origins of the energy flow in an interaction, were found to have a good discrimination power.

$$M_{xyz} = \sum_i \begin{pmatrix} p_{xi}^2 & p_{xi}p_{yi} & p_{xi}p_{zi} \\ p_{yi}p_{xi} & p_{yi}^2 & p_{yi}p_{zi} \\ p_{zi}p_{xi} & p_{zi}p_{yi} & p_{zi}^2 \end{pmatrix}$$

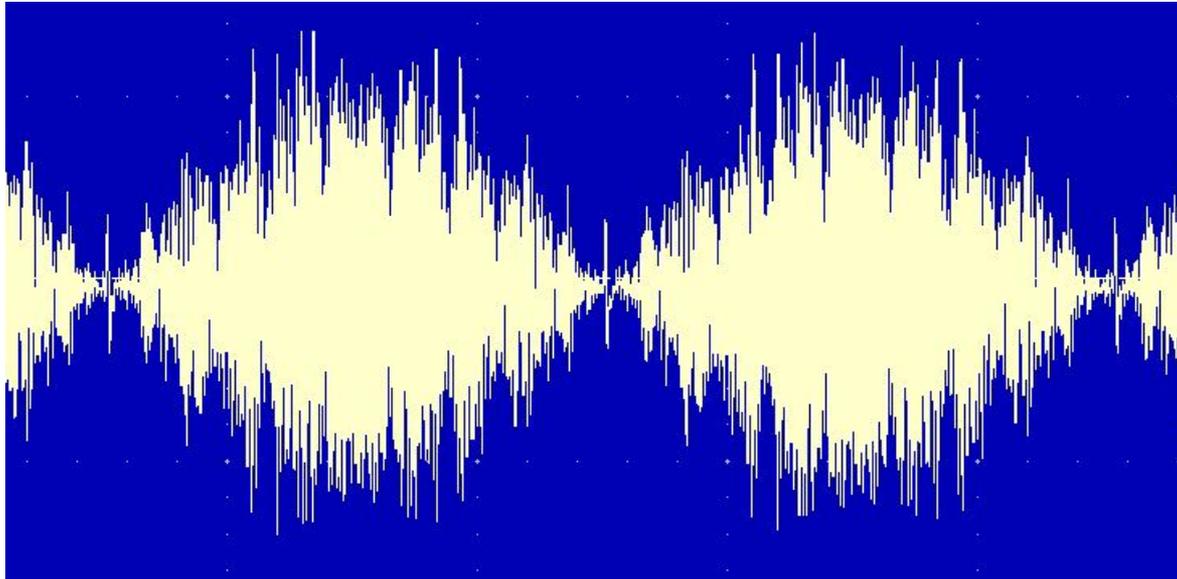
CMS:

Category	MVA	\bar{M}_X (GeV)
VBF CAT 0	0.52–1.00	>500
VBF CAT 1	0.86–1.00	250–500

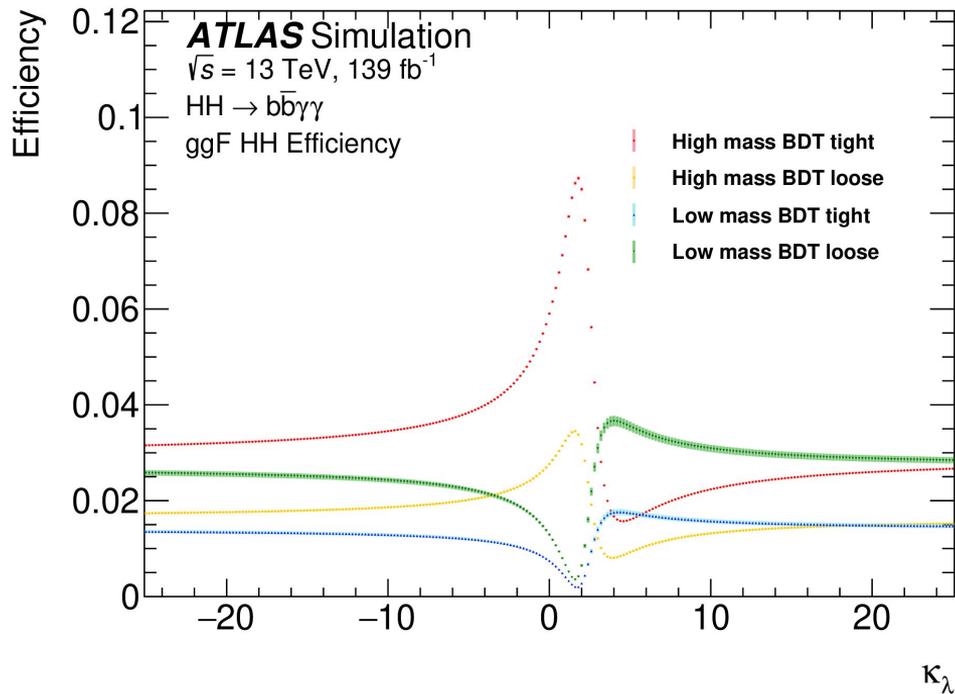
- 2 dedicated VBF categories:
 - Low m_{HH} sensitive to K_λ ;
 - High m_{HH} sensitive to c_{2V} .
- multi-class BDT used to separate VBF HH from ggHH and $\gamma\gamma/yj+jets$.
- VBF HH and ggHH are at the end considered simultaneously as signal.



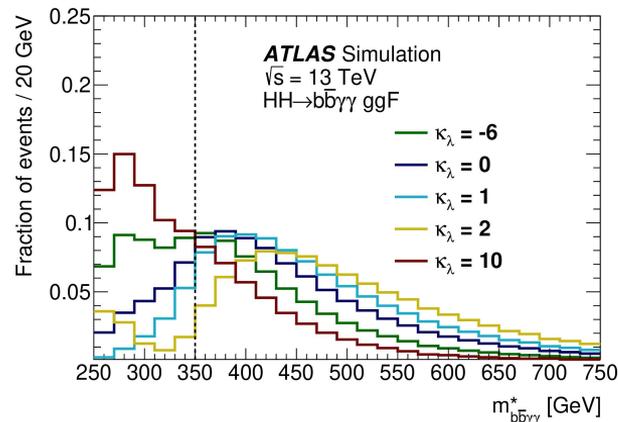
4) Signal extraction



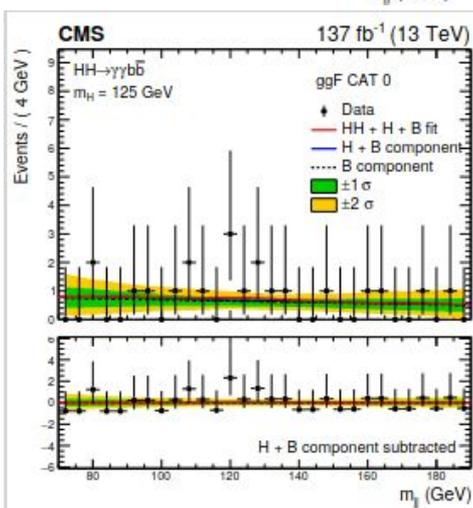
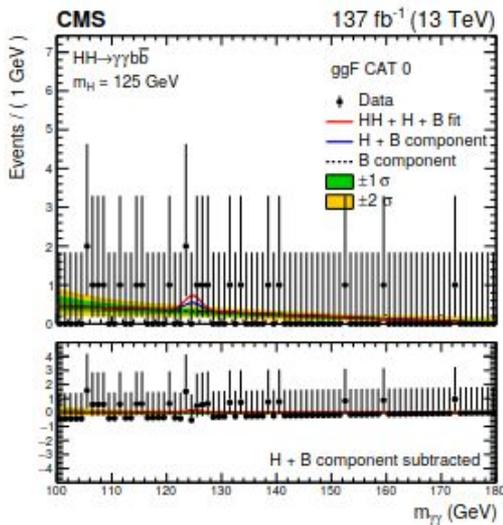
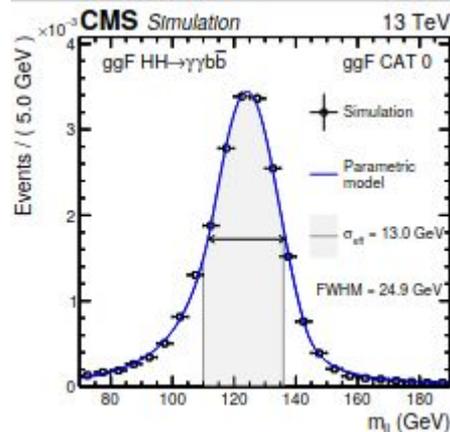
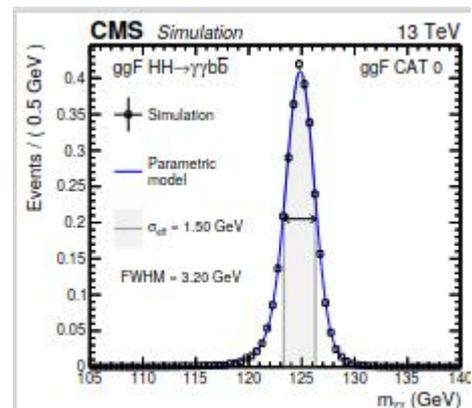
4.1) Efficiencies: example of ATLAS



- Overall efficiency is around ~10%.
 - stringent photon cuts and the 2 b-jets requirement give the largest effect.
- Low mass categories catch signal for large $|\kappa_\lambda|$ value.
- High mass categories catch signal for maximum interference region around $\kappa_\lambda = 1-3$.

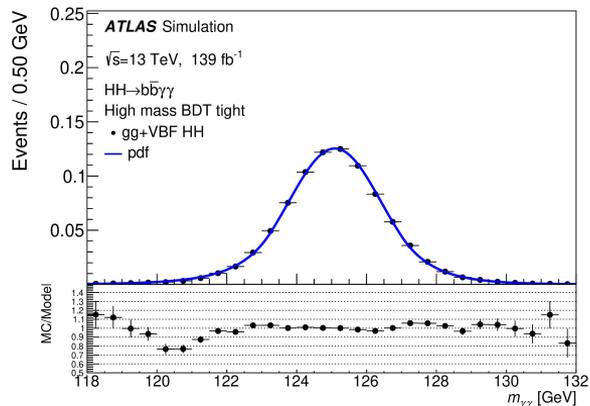


4.2) 2D likelihood in CMS: $m_{\gamma\gamma} \times m_{jj}$



- 2D = 1D x 1D since both dimensions are uncorrelated (verified).
- VH, ttH, have similar shape in $\gamma\gamma$ but different in jj .
- Same background estimation technique for each dimension: envelop method.
- 2D improves $\sim 5-10\%$ over 1D.

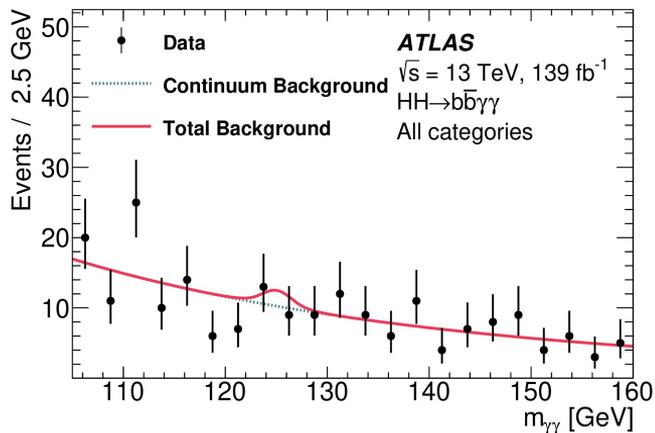
4.3) 1D likelihood in ATLAS: $m_{\gamma\gamma}$



Signal shape:

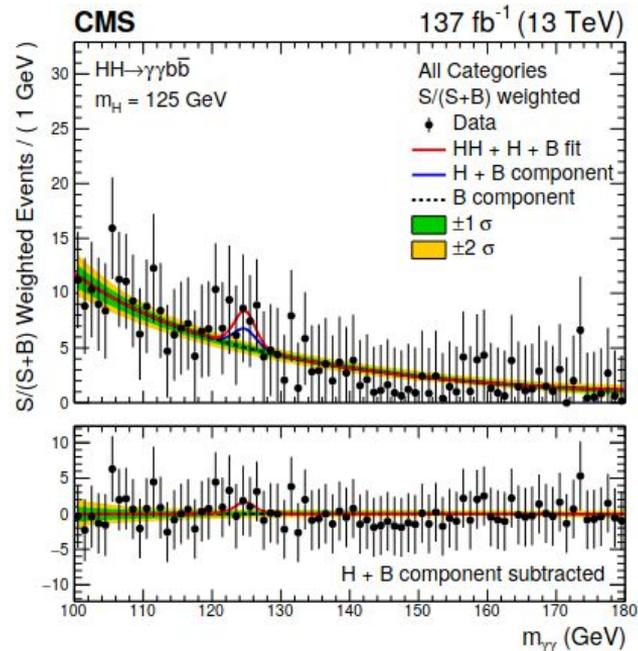
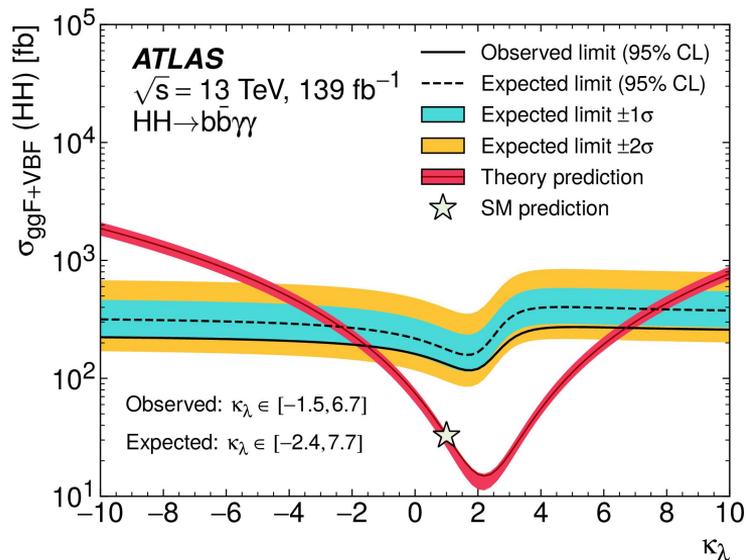
- Using Double sided cristal ball:
 - Summing VBF HH and ggHH.
 - Summing single Higgs.
 - Considered κ_λ independant.

Background shape:

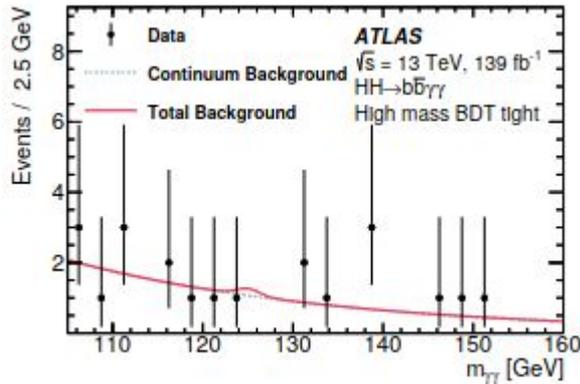
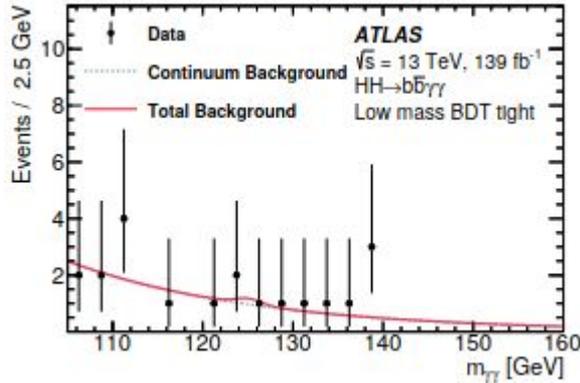


- Several functions are tried: criteria on the Spurious Signal and minimisation of number of d.o.f. (Wald test)
- Spurious signal is the potential signal bias made on a S+B fit made on simulated diphoton MC.
- This maximum bias found in the SR is used as NP.
- In all the categories, the exponential shape is chosen.

5) Results



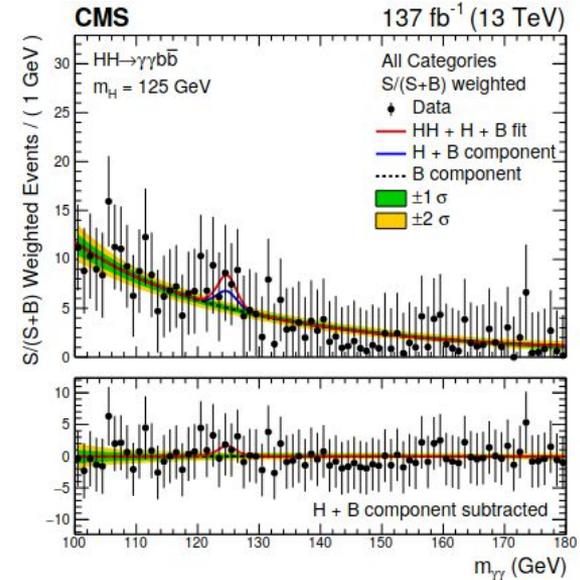
5.1) SM HH



- Both experiments uses NLO prediction for signal: impacts limits at 5-10% compared to LO.

- CMS with a more complex analysis compensates worst b-tag and is more sensitive by 10%.

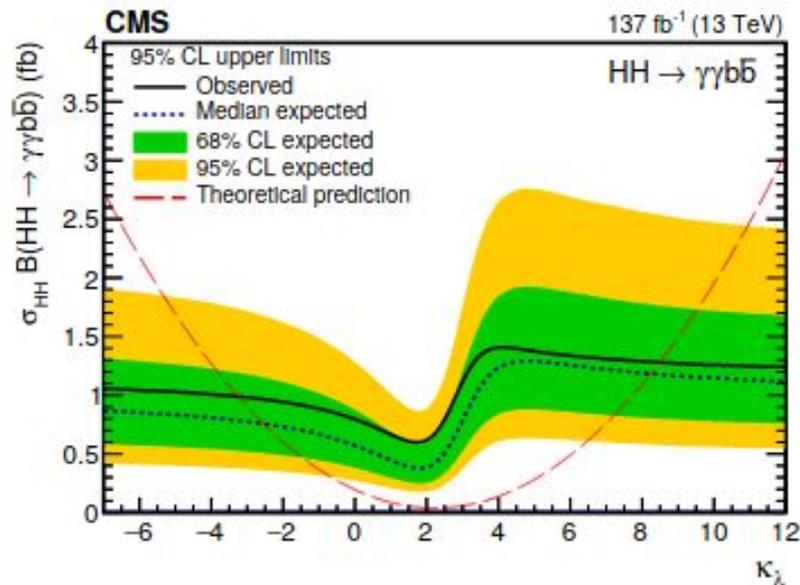
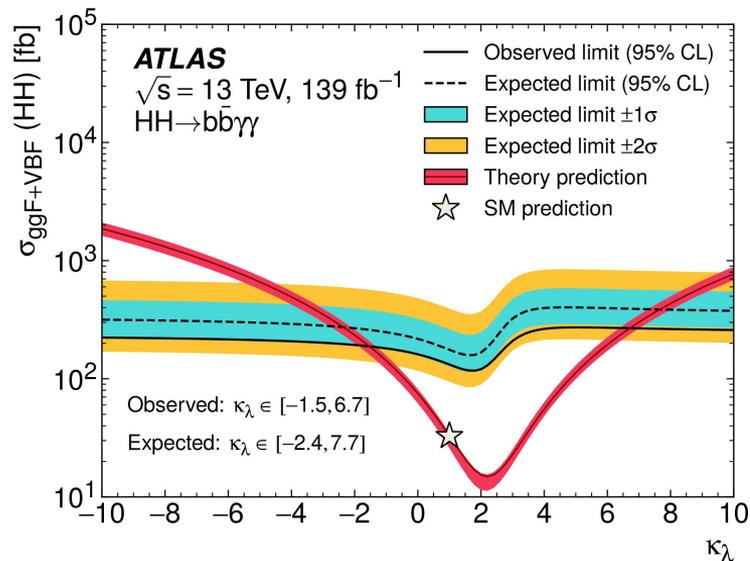
- 1/2 of the difference ATLAS/CMS comes from top mass scheme uncertainty ([Recommendation](#) came after CMS publication)



In units of SM	ATLAS*	CMS
Expected	5.7	5.2
Observed	4.2	7.7

- Asymptotic CLS is used. ATLAS checked that full CLS increases limits by 8% since stats is low..

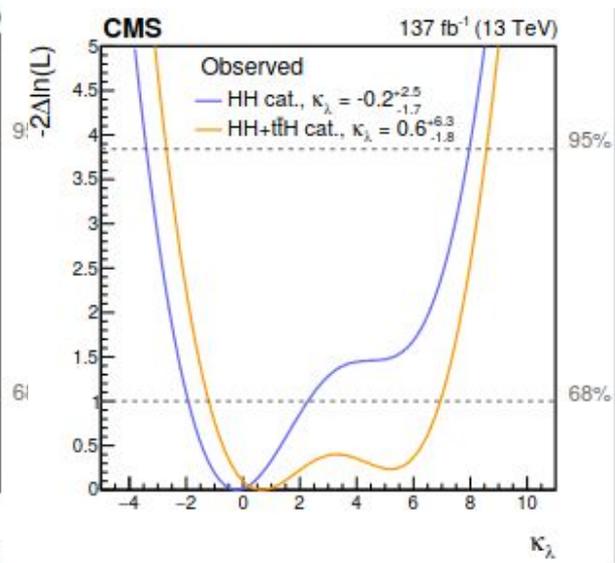
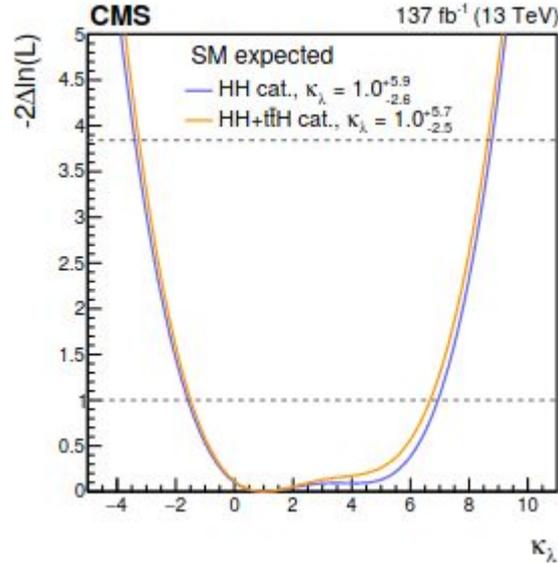
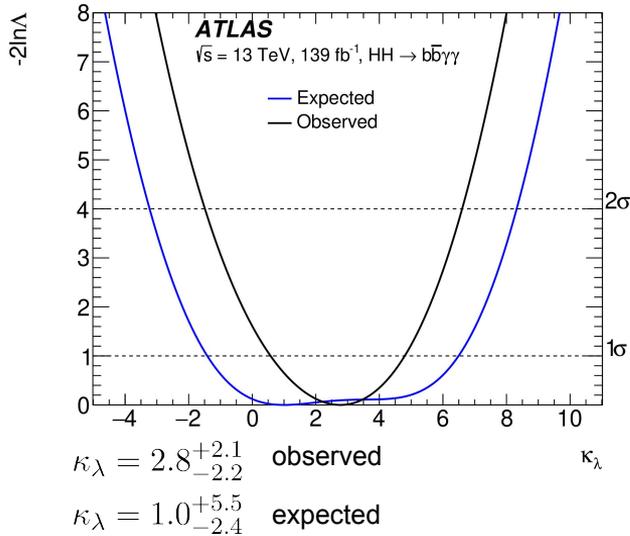
5.2) κ_λ scan: limits



- Impact of VBF on the κ_λ constraint: $\sim 5\%$.
- Expected similar between 2 experiences: probably dedicated training at low mass in ATLAS with $|\kappa_\lambda| = 10$ helps there.

Limits on κ_λ	ATLAS*	CMS
Expected	[-2.4, 7.7]	[- 2.5, 8.2]
Observed	[-1.5, 6.7]	[- 3.3, 8.5]

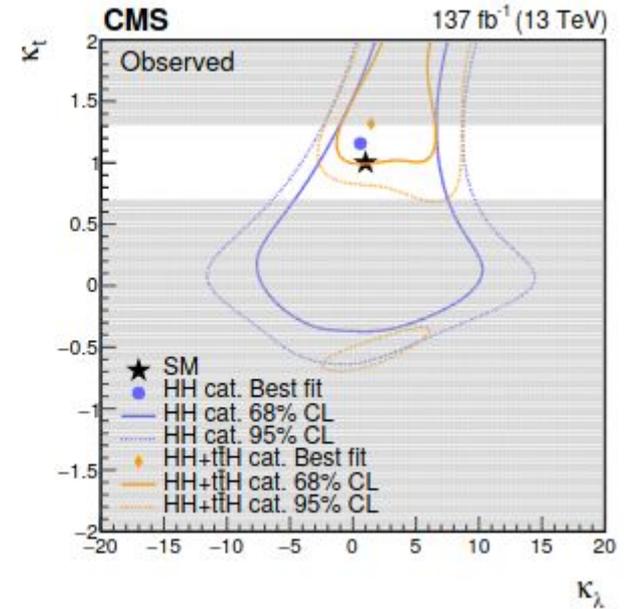
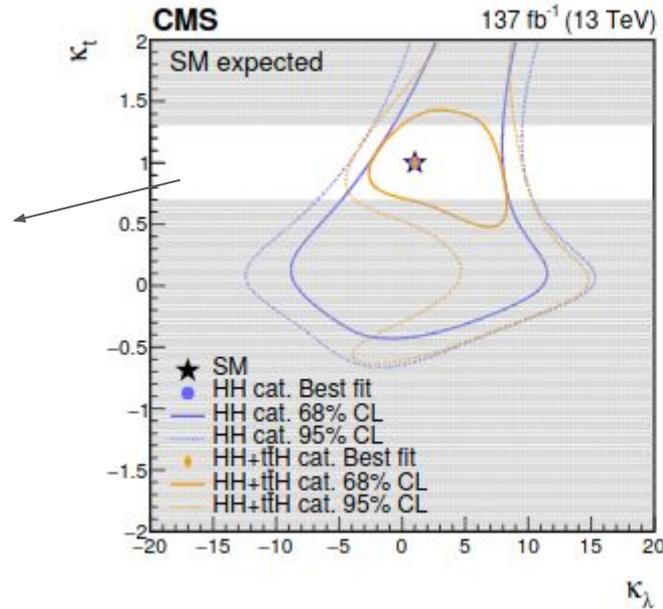
5.2) κ_λ scan: likelihood



- Since we are slowly moving toward a measurement both collaborations made public likelihood scans.
- **CMS** collaboration added data categories enriched in ttH events. This helps to constrain ttH contribution and add small constraint to κ_λ through EW corrections to single H prod.
- Observed likelihood shape can be different from expected as function of the best-fit value.

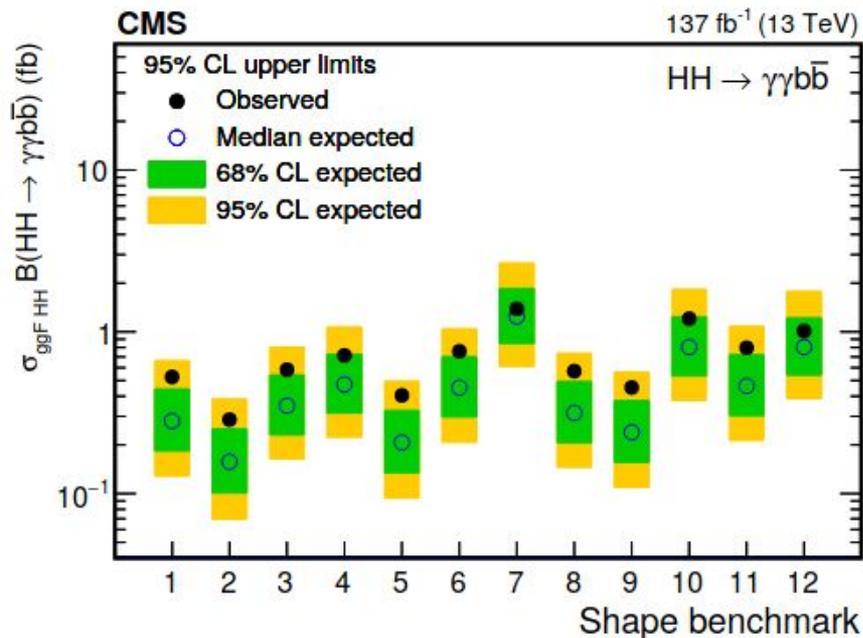
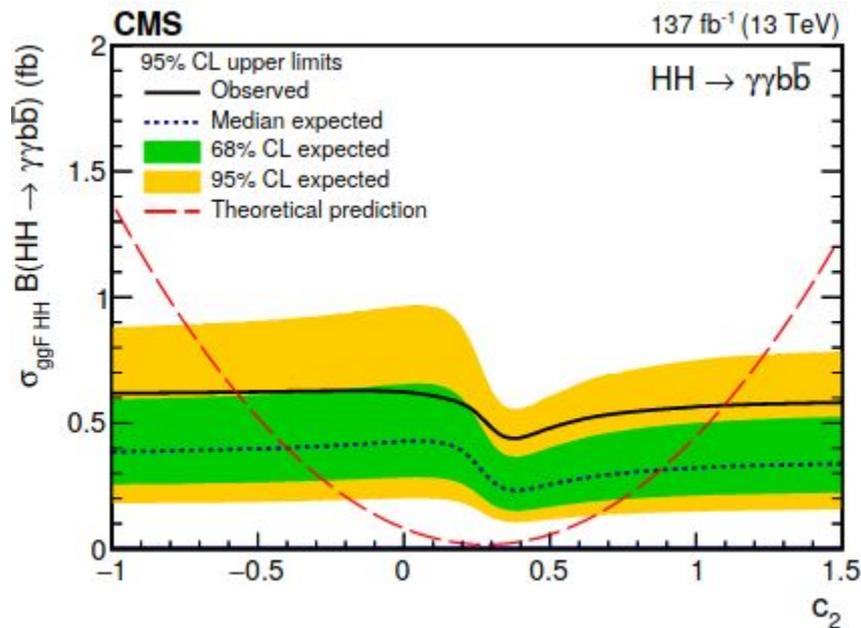
5.3) κ_λ x κ_t constraints: CMS

Validity region of κ_t dependence (LO) of single H production used in this analysis



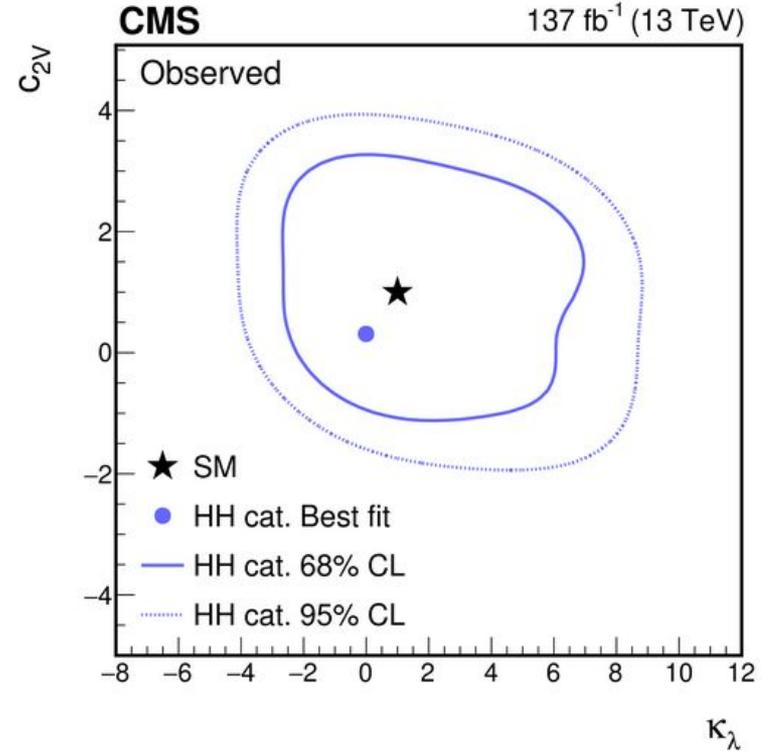
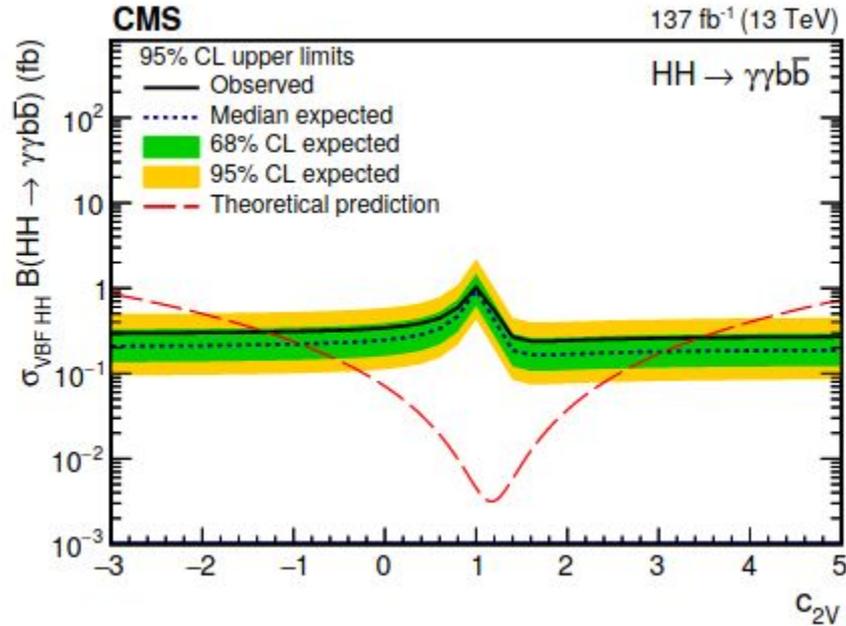
- Simultaneous fit with tH categories clearly constraints $\kappa_t (=1.3 \pm 0.2)$ without degrading κ_λ sensitivity.

5.4) C_2 and benchmarks: CMS



- The $c_2 > 1.1$ and $c_2 < -0.6$ is excluded (SM prediction for this operator: 0).
- Benchmark limits are setup: no visible excess observed anywhere.
- Level of excess changes for different benchmarks: the technique shows to be useful to be sure we didn't miss any important corner of the phase-space,

5.5) VBF HH and C_{2V} : CMS



- VBF limit is very weak: 225 times SM.
- VBF categories provide strong limits on C_{2V} . However, $C_{2V} = 0$ is not excluded by this channel.

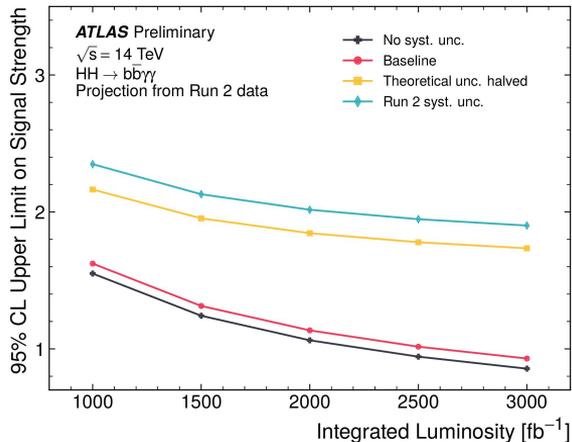
CONCLUSION

- Both **CMS** and **ATLAS** analyses in this channel are finished for Run II.
- Results are comparables:
 - CMS have slightly better results for SM HH
 - ATLAS and CMS have same level of limits on kL.
 - CMS shows extra results on VBF HH (to come for ATLAS) and BSM interpretations.
- Run 3 improvements:
 - B-jets selection and calibration
 - Single Higgs background rejection

BACKUP

Future: ATLAS

ATLAS released a projection of the full Run-2 limits for the snowmass studies: [ATL-PHYS-PUB-2022-001](#)



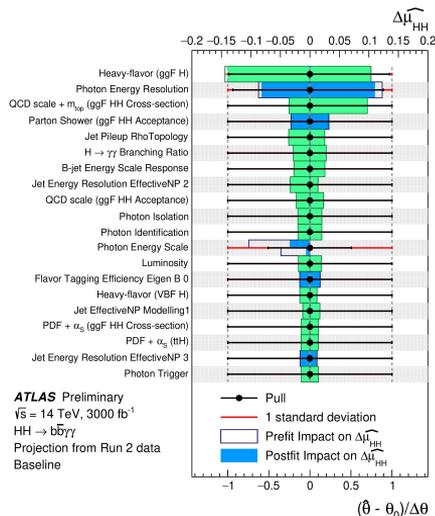
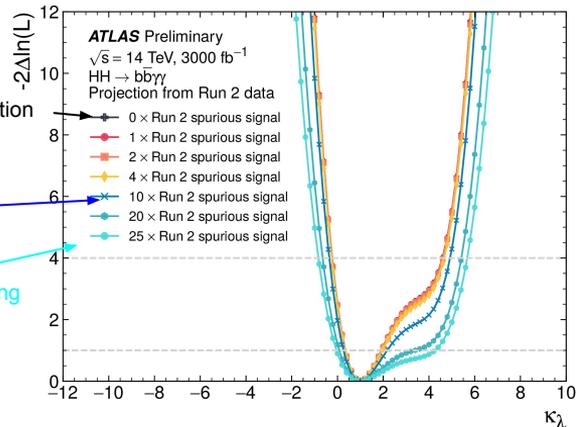
4 scenarios:

- no systematics
- Baseline: no spurious signal, theory syst halved, experimental systematic follow theory syst ing dedicated pattern
- theory syst. halved: Run-2 syst, except theory syst that are halved
- Run-2 like configuration: spurious signal is scaled with luminosity

Possible different bgd modelisation

With mitigation procedures like GPR

If we don't do anything



The heavy flavour modelisation and QCD scale of the ggF $H \rightarrow \gamma\gamma$ are also impacting highly the signal strength measurement.
 ↳ challenges for the theoretical community.

Reweighting and couplings

- Only the VBF samples are generated at LO and the XS is rescaled to the NLO prediction.
- For the κ_λ parameterization, the signal yields are parametrized in each category with 2nd order polynomial based on two basis:
 - ggF: only 2 couplings are available (1 and 10). A reweighting method is performed to get a continuous description, based on the truth m_{HH} obtained from a linear combination of LO samples.
 - VBF: the signal yield is parametrized based on a basis of 4 samples (0, 1, 2 and 10).
- Other couplings are set to their SM values.

ATLAS BDT Strategy

- Only one step is used to train the BDT for event selection:
 - signal: ggF HH
 - background: $\gamma\gamma$, $t\bar{t}H$, ggH , and ZH
- From the score three regions are defined to maximise the number counting significance : tight, loose and rejected events.
- Regions must guarantee at least 9 $\gamma\gamma$ events in the data sideband ($105 < m_{\gamma\gamma} < 120$ or $130 < m_{\gamma\gamma} < 160$ GeV).
- photon's p_T are rescaled to $m_{\gamma\gamma}$ to avoid correlation with fitting variable.

Variable	Definition
Photon-related kinematic variables	
$p_T/m_{\gamma\gamma}$	Transverse momentum of each of the two photons divided by the diphoton invariant mass $m_{\gamma\gamma}$
η and ϕ	Pseudorapidity and azimuthal angle of the leading and subleading photon
Jet-related kinematic variables	
b -tag status	Tightest fixed b -tag working point (60%, 70%, or 77%) that the jet passes
p_T , η and ϕ	Transverse momentum, pseudorapidity and azimuthal angle of the two jets with the highest b -tagging score
$p_T^{b\bar{b}}$, $\eta_{b\bar{b}}$ and $\phi_{b\bar{b}}$	Transverse momentum, pseudorapidity and azimuthal angle of the b -tagged jets system
$m_{b\bar{b}}$	Invariant mass of the two jets with the highest b -tagging score
H_T	Scalar sum of the p_T of the jets in the event
Single topness	For the definition, see Eq. (??)
Missing transverse momentum variables	
E_T^{miss} and ϕ^{miss}	Missing transverse momentum and its azimuthal angle