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# FALSIFICATION OF SMEFT THROUGH HIGGS MEASUREMENTS

Exposing the correlations of SMEFT parameters

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# Outline

- Introduction and motivation.
- $SMEFT \subset HEFT$ : an overview.
- Correlations of HEFT parameters when assuming SMEFT's validity. **Explicit computation.**
- Measurements:  $W_L W_L \rightarrow n \times h$  to discern between SMEFT and pure-HEFT.

Based on "*The flair of Higgsflare*"

<https://arxiv.org/abs/2204.01763>.

# Effective Field Theory scenario

Mass Gap to any new physics  $\implies$  EFT

Falsifying the SM:

- Discover new particles, or
- Discover new forces

New physics? 600 GeV

GAP

— H (125.9 GeV, PDG 2013)

— W (80.4 GeV), Z (91.2 GeV)

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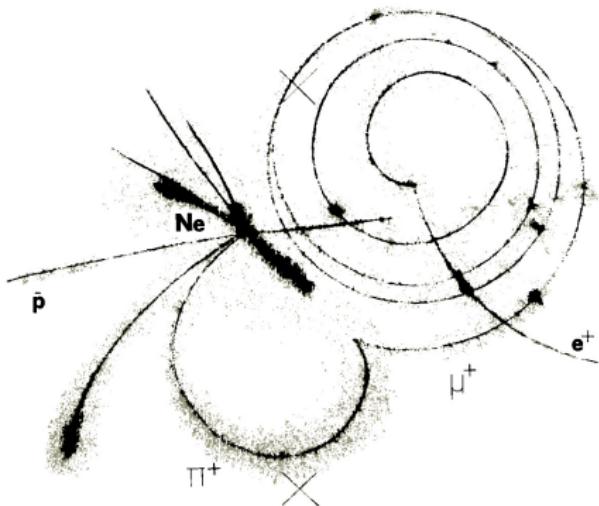
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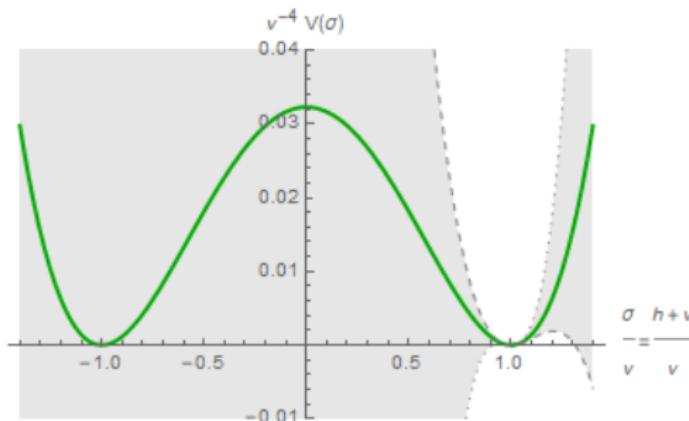
Could EW Goldstone  
bosons ( $\omega$ ; s) resemble a  $\pi$   
(pion)?



# The Electroweak Sector

One of the most uncharted and promising sectors in SM

- Nature of Higgs boson and EW gauge bosons? Composite or not?
- Measurable: Higgs self interaction and its coupling to electroweak gauge bosons.



# The Electroweak Sector Extensions

## “Two” EW EFT candidates

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- Higgs Effective Field Theory (HEFT):  
Chiral Lagrangian

$$\mathcal{L}_{\text{HEFT}} = \frac{1}{2} \partial_\mu h \partial^\mu h - V(h) + \frac{1}{2} \mathcal{F}(h) \partial_\mu \omega^i \partial^\mu \omega^j \left( \delta_{ij} + \frac{\omega^i \omega^j}{v^2 - \omega^2} \right) .$$

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What is their relation?

## SMEFT

- $\omega_a$  and  $h$  fit in a left- $SU(2)$  doublet
- Higgs always in the combination:  $(h + v)$
- Higher symmetry
- Natural when  $h$  is a fundamental field
- ET usually based in a cutoff  $\Lambda$  expansion:  
 $O(d)/\Lambda^{d-4}$  ( $d$  = operator dimension: 4,6,8 ... )

$$\begin{aligned}\mathcal{O}_H &= (H^\dagger H)^3, & \mathcal{O}_{HD} &= (H^\dagger D_\mu H)^*(H^\dagger D^\mu H), \\ \mathcal{O}_{H\square} &= (H^\dagger H)\square(H^\dagger H).\end{aligned}$$

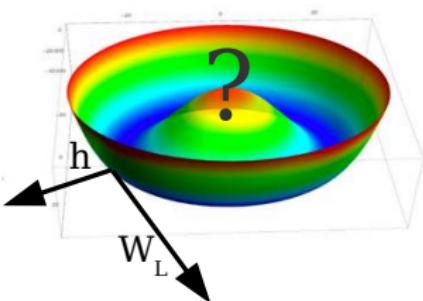
# HEFT

- $h$  is a  $SU(2)$  singlet and  $\omega_a$  are coordinates on a coset:  
 $SU(2)_L \times SU(2)_R / SU(2)_V \simeq SU(2) \simeq S^3$
- Lesser symmetry; more independent higher-dimension effective operators but less model dependent
- Derivative expansion
- ECLh with  $\mathcal{F}(h)$  insertions
- Typical for composite models of the SBS ( $h$  as a GB)  
(Strongly interacting and consistent with the presence of the GAP)

*SMEFT*  $\subset$  *HEFT*

# Geometric distinction HEFT/SMEFT

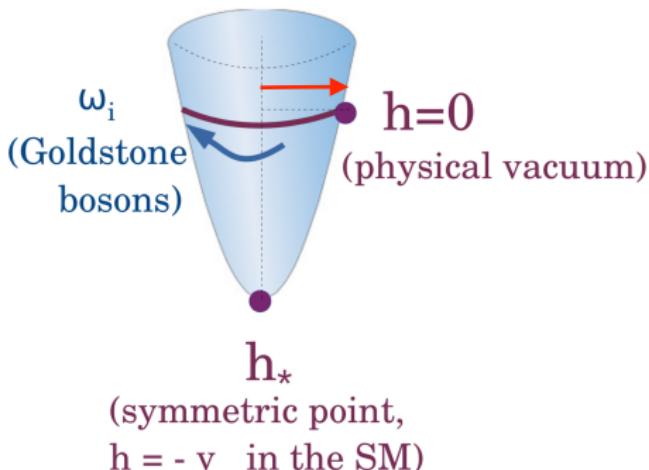
- Several works have provided field-redefinition invariant criteria to distinguish SMEFT from HEFT:
  - R. Alonso, E. E. Jenkins, and A. V. Manohar,  
"A Geometric Formulation of Higgs Effective Field Theory: Measuring the Curvature of Scalar Field Space," Phys. Lett. B754 (2016) 335–342, arXiv:1511.00724 [hep-ph].  
"Sigma Models with Negative Curvature," Phys.Lett.B756,358(2016),arXiv:1602.00706 [hep-ph].  
"Geometry of the Scalar Sector," JHEP 08 (2016) 101, arXiv:1605.03602 [hep-ph]."  
(Cohen et al., 2021, p. 95)
  - T. Cohen, N. Craig, X. Lu, and D. Sutherland:  
"Is SMEFT Enough?", JHEP 03, 237, arXiv:2008.08597 [hep-ph].  
"Unitarity Violation and the Geometry of Higgs EFTs",  
(2021), arXiv:2108.03240 [hep-ph].



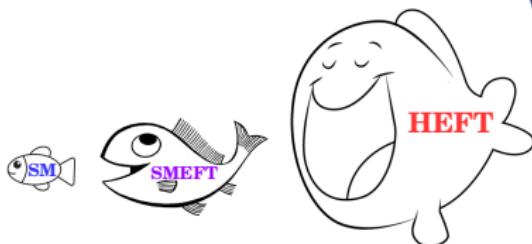
# Geometric distinction HEFT/SMEFT

In a nutshell, SMEFT is valid provided:

- $\exists h_* \in \mathbb{R}$  where  $\mathcal{F}(h_*) = 0$ , and
- Because of the need for  $\mathcal{L}_{\text{SMEFT}}$  analyticity,  $\mathcal{F}$  is analytic between our vacuum  $h = 0$  and  $h_*$ , particularly around  $h_*$ . Moreover its odd derivatives vanish at symmetric point.
- Similar criteria for the potential  $V(h)$ .



## Always possible to write a SMEFT as a HEFT



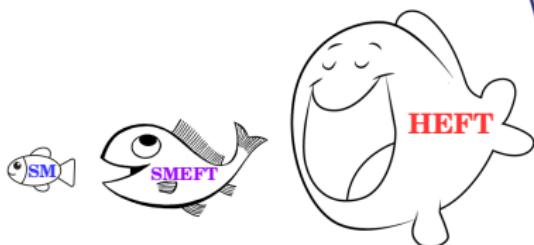
Writing SMEFT in HEFT form:

$$H = \frac{1}{\sqrt{2}} \begin{pmatrix} \phi_1 + i\phi_2 \\ (\nu + h_{\text{SMEFT}}) + i\phi_3 \end{pmatrix}^{\text{SU}(2)xSU(2)}_{\text{doublet}}$$

$$\phi = (\phi_1, \phi_2, \phi_3, h + \nu) \quad O(4) \text{ fund. rep.}$$

Change to polar-like coordinates:

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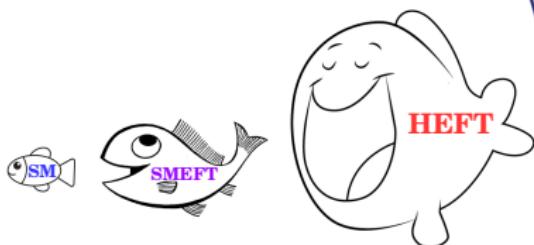
Change to polar-like coordinates:

$$\phi = (1 + h/\nu) \mathbf{n} \quad \text{with } \mathbf{n} = (\omega_1, \omega_2, \omega_3, \sqrt{\nu^2 - \omega_1^2 - \omega_2^2 + \omega_3^2})$$

*Generic SMEFT operators*

$$\mathcal{L}_{\text{SMEFT}} = \overbrace{A(|H|^2)}^{} |\partial H|^2 + \frac{1}{2} \overbrace{B(|H|^2)}^{} (\partial(|H|^2))^2 - V(|H|^2) + \mathcal{O}(\partial^4)$$

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$$\mathcal{L}_{\text{polar-SMEFT}} = \frac{1}{2}(\nu+h)^2 A(h)(\partial_\mu \mathbf{n} \cdot \partial^\mu \mathbf{n}) + \frac{1}{2} \left( A(h) + (\nu+h)^2 B(h) \right) (\partial h)^2$$

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Identify the Flare function and canonicalize higgs kinetic term and :

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Identify the Flare function and canonicalize higgs kinetic term and :

$$\mathcal{F}(h_{\text{HEFT}}) = \left( 1 + \frac{h_{\text{SMEFT}}(h_{\text{HEFT}})}{\nu} \right)^2 A(h_{\text{SMEFT}})$$

$$dh_{\text{HEFT}} = \sqrt{A(h_{\text{SMEFT}}) + (\nu + h_{\text{SMEFT}})^2 \mathcal{B}(h_{\text{SMEFT}})} dh_{\text{SMEFT}}$$

Always possible to find a HEFT from a given SMEFT

# From HEFT to SMEFT

From HEFT to SMEFT one has to solve

$$h_{\text{HEFT}} = \mathcal{F}^{-1} \left( (1 + h_{\text{SMEFT}}/v)^2 \right)$$

and in order to have an analytic Lagrangian:

$$\mathcal{L}_{\text{SMEFT}} = \underbrace{|\partial H|^2}_{=\mathcal{L}_{\text{SM}}} + \underbrace{\frac{1}{2} \left[ \frac{8|H|^2}{v^2} \left( (\mathcal{F}^{-1})' (2|H|^2/v^2) \right)^2 - 1 \right]}_{=\Delta\mathcal{L}_{\text{BSM}}} \frac{(\partial|H|^2)^2}{2|H|^2}.$$

Possible non-analyticity

⇒ Provides conditions on the derivatives of the flare function  $\mathcal{F}(h)$ .

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- ⇒ Provides conditions on the derivatives of the flare function  $\mathcal{F}(h)$ .
- ⇒ Correlation of HEFT parameters by assuming an analytic SMEFT.

## High energy measurements

At high energies (TeV region) only ( $D=6$ ) derivative operators are relevant:

$$\begin{aligned}\mathcal{O}_H &= (H^\dagger H)^3, & \mathcal{O}_{HD} &= (H^\dagger D_\mu H)^*(H^\dagger D^\mu H), \\ \mathcal{O}_{H\square} &= (H^\dagger H)\square(H^\dagger H).\end{aligned}$$

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*Subleading*

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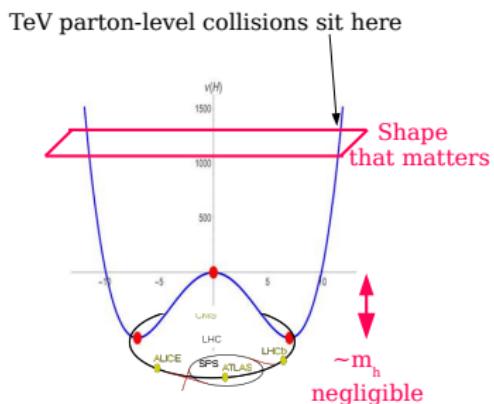
$$\mathcal{O}_{H\square} = \cancel{(H^\dagger H)\square(H^\dagger H)}$$

$A(H)$  can be set to 1

$$\mathcal{O}_{HD} = \cancel{(H^\dagger D_\mu H)^*(H^\dagger D^\mu H)},$$

*Custodial-violating*

## Relevant Ops



⇒ Cleaner measurement of the Flare function  $\mathcal{F}$  at high energies.

# SMEFT to HEFT relevant at High energies

Relevant SMEFT at the TeV scale:

$$\mathcal{L}_{\text{SMEFT}} = |\partial H|^2 + \frac{c_{H\square}}{\Lambda^2} (H^\dagger H) \square (H^\dagger H)$$

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To polar-like coordinates:

$$\mathcal{L}_{\text{SMEFT}} = \frac{1}{2} \left( 1 - 2(v + h)^2 \frac{c_{H\square}}{\Lambda^2} \right) (\partial_\mu h)^2 + \frac{1}{2} (v + h)^2 (\partial_\mu \mathbf{n} \cdot \partial^\mu \mathbf{n}) .$$

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Canonical Higgs kinetic term by solving:

$$h_{\text{HEFT}} = \int_0^h \sqrt{1 - (v + t)^2 \frac{2c_{H\square}}{\Lambda^2}} dt$$

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Yields:

$$h = h_{\text{HEFT}} + \frac{1}{3} \left( \frac{c_{H\square}}{\Lambda^2} \right) (h_{\text{HEFT}}^3 + 3h_{\text{HEFT}}^2 v + 3h_{\text{HEFT}} v^2) + \mathcal{O}\left(\frac{c_{H\square}^2}{\Lambda^4}\right) .$$

## Correlations on the Flare function coefficients

The HEFT function coupling Higgses to the GB kinetic term becomes correlated:

$$\begin{aligned}\mathcal{F}(h_{\text{HEFT}}) = & \\ & 1 + \left( \frac{h_{\text{HEFT}}}{v} \right) \left( 2 + 2 \frac{c_{H\square} v^2}{\Lambda^2} \right) + \left( \frac{h_{\text{HEFT}}}{v} \right)^2 \left( 1 + 4 \frac{c_{H\square} v^2}{\Lambda^2} \right) + \\ & + \left( \frac{h_{\text{HEFT}}}{v} \right)^3 \left( 8 \frac{c_{H\square} v^2}{3\Lambda^2} \right) + \left( \frac{h_{\text{HEFT}}}{v} \right)^4 \left( 2 \frac{c_{H\square} v^2}{3\Lambda^2} \right).\end{aligned}$$

Whereas in a general HEFT:

$$\mathcal{F}(h_{\text{HEFT}}) = 1 + \sum_{n=1}^{\infty} a_n \left( \frac{h_{\text{HEFT}}}{v} \right)^n.$$

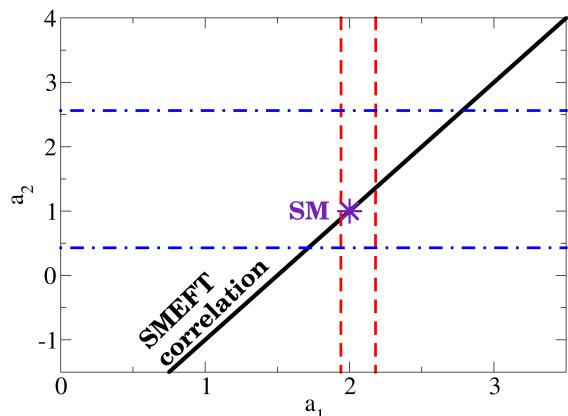
Effective Field Theory scenario  
oooooooo

SMEFT  $\subset$  HEFT  
oooooooo●

Measurements  
oooo

## SMEFT assumption $\Rightarrow$ HEFT parameters correlation

Correlations among HEFT parameters due to SMEFT structure:  
(Bands from single Higgs production at ATLAS (ATLAS-CONF-2020-027) and Higgs Pair production at CMS <https://arxiv.org/abs/2202.09617>)



# Measurements

Effective Field Theory scenario  
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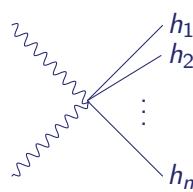
Measurements  
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## High energy measurements

In this region the potential is subleading. The flare function  $\mathcal{F}$  encodes relevant physics (it accompanies the GB kinetic term)

$$\mathcal{F}(h_{\text{HEFT}}) = 1 + \sum_{n=1}^{\infty} a_n \left( \frac{h_{\text{HEFT}}}{v} \right)^n.$$

At high energies (Equivalence Theorem)  $\omega \simeq W_L$   
 $\Rightarrow \omega\omega \rightarrow n \times h$  can falsify SMEFT.



$$= -\frac{n! a_n}{2 v^n} s$$

$$\frac{1}{2} \left( \frac{\partial^2 \mathcal{L}}{\partial h^2} \right)_{\text{SMEFT}} = \frac{1}{2} \left( \frac{\partial^2 \mathcal{L}}{\partial h^2} \right)_{\text{HEFT}} + \frac{1}{2} \left( \frac{\partial^2 \mathcal{L}}{\partial h^2} \right)_{\text{loop}} + \frac{1}{2} \left( \frac{\partial^2 \mathcal{L}}{\partial h^2} \right)_{\text{higher order}} + \frac{1}{2} \left( \frac{\partial^2 \mathcal{L}}{\partial h^2} \right)_{\text{renormalization}} + \frac{1}{2} \left( \frac{\partial^2 \mathcal{L}}{\partial h^2} \right)_{\text{counterterm}}$$

$$\begin{aligned} \text{Effective Field Theory scenario} & \quad \text{SMEFT} \subset \text{HEFT} & \text{Measurements} \\ \text{oooooooooo} & \quad \text{oooooooooooo} & \text{oo•oo} \end{aligned}$$

$$\text{Measure } \mathcal{F} \text{ expansion in multiHiggs final states}$$

$$T_{\omega\omega \rightarrow h} = -\frac{\textcolor{red}{a_1 s}}{2v}$$

$$T_{\omega\omega \rightarrow hh} = \frac{\textcolor{blue}{s}}{v^2} \left( \frac{a_1^2}{4} - \textcolor{red}{a}_2 \right),$$

$$\begin{aligned} T_{\omega\omega \rightarrow hhh} &= -\frac{s}{8v^3} \left( a_1^3 \left[ 4f_1 f_3^2 \left( \frac{z_{23}(f_1 z_{23}-1)}{f_3(z_3-2f_1 z_{23})+f_2 z_2} + \frac{z_{13}(f_1 z_{13}-1)}{f_1(z_1-2f_3 z_{13})+f_3 z_3} \right) + \right. \right. \\ &\quad + 2f_3 \left( f_1 \left( \frac{z_{23}-2f_2 z_{23}}{-2f_1 z_3 z_{23}+f_2 z_2+f_3 z_3} + \frac{z_{13}-2f_1 z_{13}}{-2f_1 z_3 z_{13}+f_1 z_1+f_3 z_3} + z_{13} + z_{23} \right) + 3(z_3-2) \right) + \\ &\quad \left. \left. + \frac{2f_1 f_2 z_{12}(2f_1(z_2 z_{12}-1)-2f_2+1)}{f_1(z_1-2f_2 z_{12})+f_2 z_2} + 2f_1(f_2 z_{12}+3z_1-6) + 6f_2 z_2 - 12f_2 + 9 \right] + \right. \\ &\quad \left. + 4a_1 a_2 \left[ \frac{f_1^2(2z_1(-2f_2 z_{12}+f_3(z_{13}+z_{23})-3)-4f_2 z_{12}(f_3(z_{13}+z_{23})-2)+3z_1^2)}{2f_1 f_2 z_{12}-f_1 z_1-f_2 z_2} + \right. \right. \\ &\quad \left. \left. + \frac{2f_1 f_2(-2f_2 z_{12}(z_2+1)+z_2(f_3(z_{13}+z_{23})+3z_1-3)+z_{12})+3f_2^2 z_2^2}{2f_1 f_2 z_{12}-f_1 z_1-f_2 z_2} + 6(f_2+f_3-1) - \right. \right. \\ &\quad \left. \left. - \frac{2f_1 f_3 z_{23}(2f_3(f_1 z_{23}-1)-2f_2+1)}{f_3(z_3-2f_1 z_{23})+f_2 z_2} - \frac{2f_1 f_3 z_{13}(2f_1(f_3 z_{13}-1)-2f_3+1)}{f_1(z_1-2f_3 z_{13})+f_3 z_3} - 3f_3 z_3 \right] + 24\textcolor{red}{a}_3 \right). \end{aligned}$$

$$(f_i \equiv ||\vec{p}_i||/\sqrt{s}; z_i(\omega_1, h_i) \equiv 2 \sin^2(\theta_i/2); z_{ij}(h_i, h_j) \equiv 2 \sin^2(\theta_{ij}/2)) \text{ We provide all tree level amplitudes.}$$

Effective Field Theory scenario  
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SMEFT  $\subset$  HEFT  
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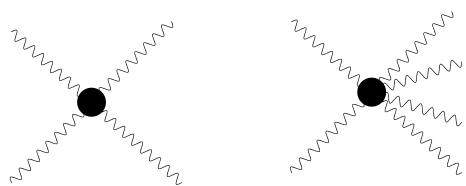
Measurements  
oooo●○

## SMEFT Cross Sections

At the TeV-scale, linear-dimension-6 SMEFT predicts:

$$\boxed{\frac{\sigma(\omega\omega \rightarrow nh)}{\sigma(\omega\omega \rightarrow mh)}} = \text{independent of } c_{H\square}.$$

$\Rightarrow$  Violation of this would shed doubts on SMEFT validity.



## Conclusions

- SMEFT is a special case of HEFT.
- SMEFT is falsifiable studying correlations induced in HEFT parameters.
- TeV-scale measurements of  $W_L W_L \rightarrow n \times H$  are needed to assess if SMEFT is applicable.