

# Large- $x$ PDFs at LHC, HERA, and other experiments

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and

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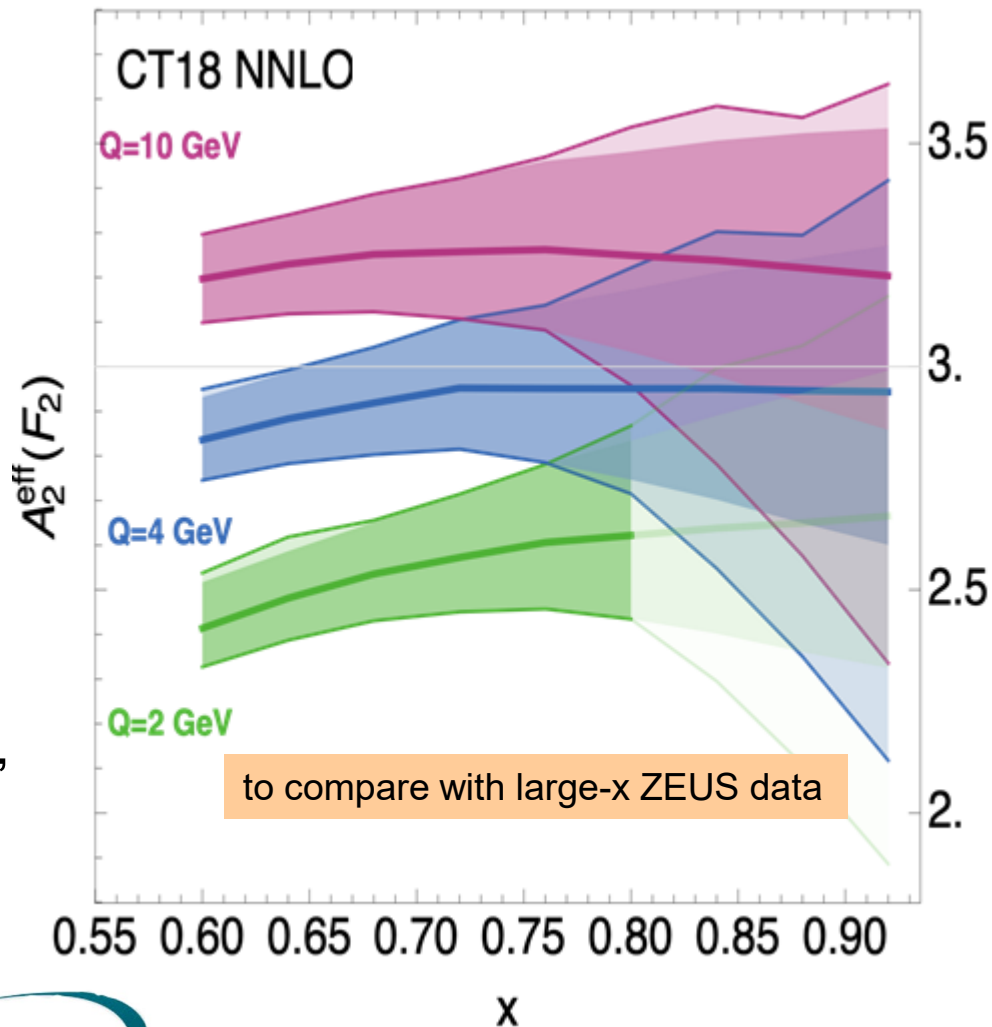
With T. Hobbs, X. Jing, B. T. Wang,  
A. Accardi, F. Olness

and

CTEQ-TEA (Tung Et. Al.) working  
group

[S. Dulat, T.-J. Hou, J. Gao, M. Guzzi,  
J. J. Huston, D. Stump, C. Schmidt,  
I. Sitiwaldi, K. Xie, C.-P. Yuan]

Phys. Rev. D 103, 054029



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“FORDECYT-PRONACES”

# Proton PDFs at $x > 0.5$ ...

... are important for LHC new physics searches

... are of interest for theoretical models of nucleon structure and lattice QCD

...are **still** constrained predominantly by fixed-target DIS and Drell-Yan experiments

[Hou et al., arXiv:1912.10053]

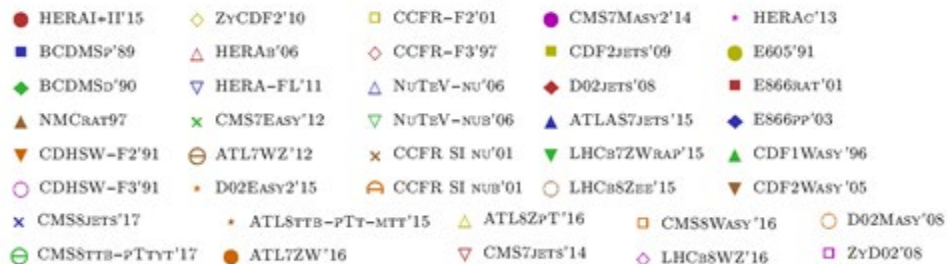
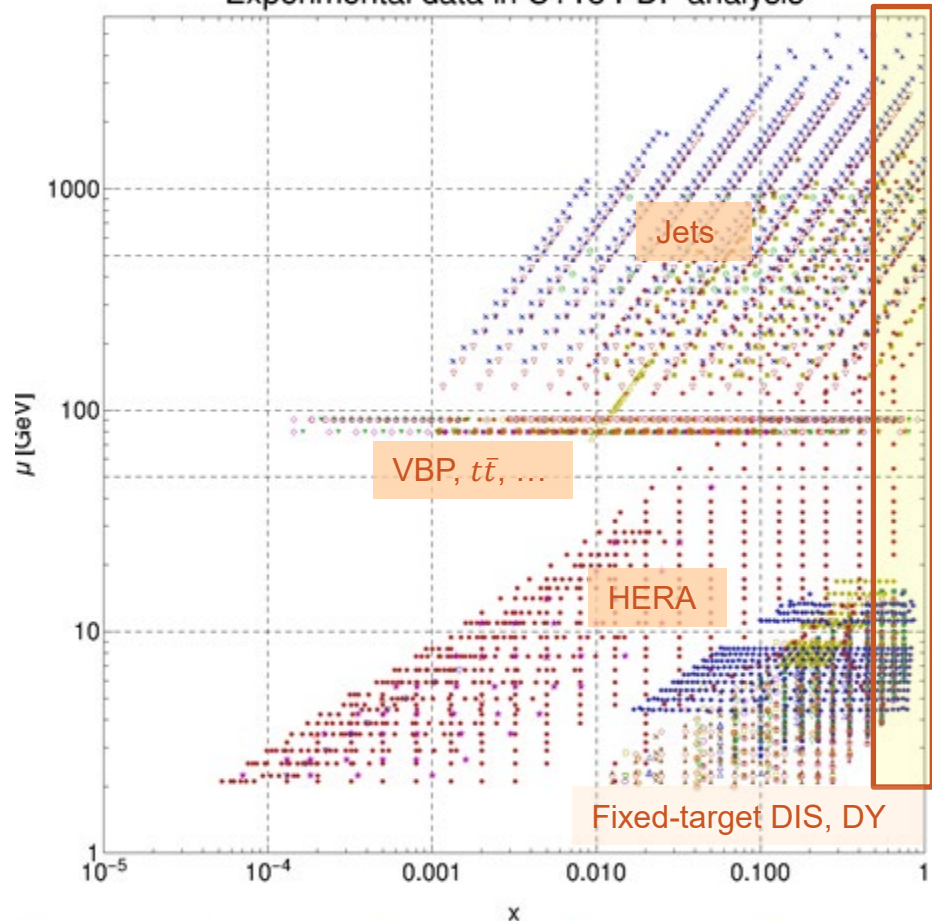
...are likely to be sensitive to nuclear effects

( $\Rightarrow$  T. Hobbs's talk)

... can be accessed at large  $Q$  at colliders

Experimental data in CT18 PDF analysis

My focus



# Interconnected questions

1. What can the PDFs at  $x > 0.5$  tell us about nonperturbative QCD dynamics?
2. What is the best strategy to confront large- $x$  data with predictions of nonperturbative QCD?
3. Are experimental constraints on large- $x$  PDFs mutually consistent?
4. How can we access the  $x > 0.5$  region at colliders (HERA, LHC, EIC)?

Strategy issues are relevant to studies of large- $x$  pion PDFs at JLAB, AMBER@CERN, EIC

[Aguilar et al., [1907.08218](#); Roberts et al. [2102.01765](#) ]

We will use tests of quark counting rules (QCRs) as an example

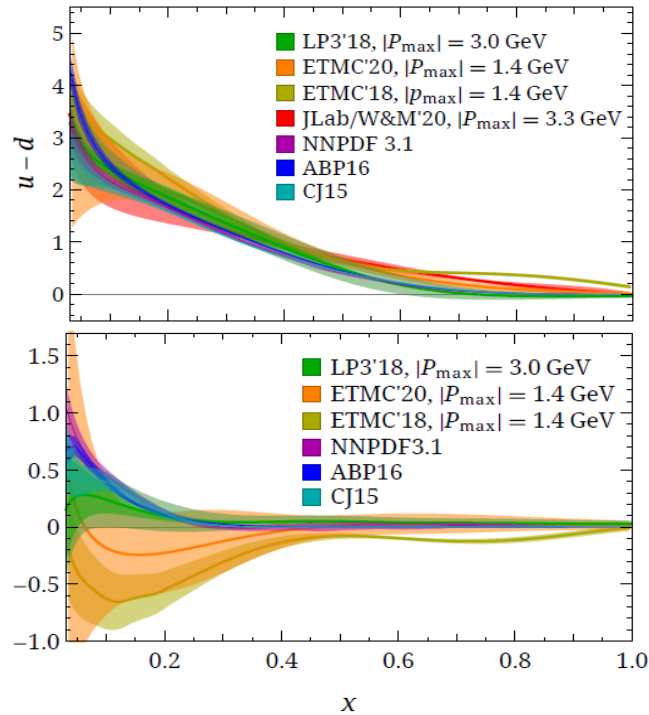
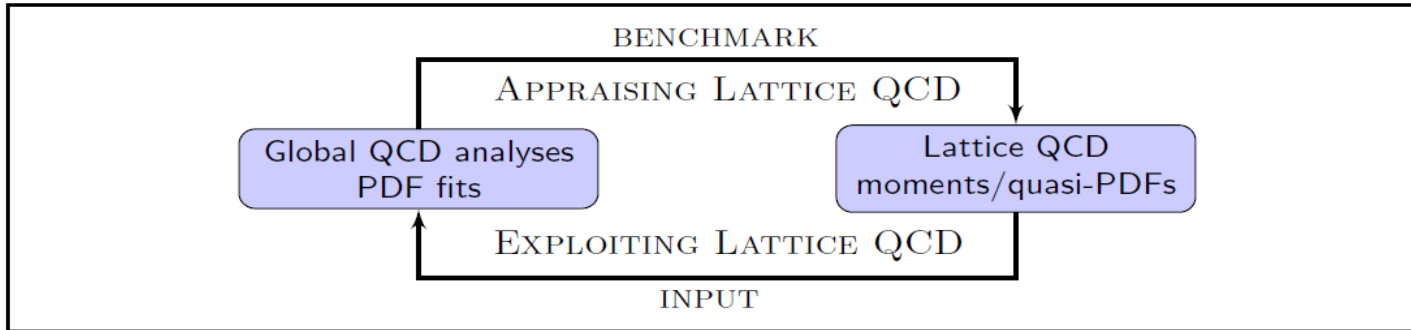
[See also Ball, Nocera, Rojo, [1604.00024](#); Barry et al., [1804.01965](#); Novikov et al., [2002.02902](#)]

What can the PDFs at  $x > 0.5$  tell us about nonperturbative QCD dynamics?

Confronting predictions from nonperturbative QCD approaches and lattice QCD with pheno PDFs

# Lattice QCD: ab initio computations of PDFs

Talk by  
**E. Nocera**  
 Snowmass EF06  
 Topical group



Lattice QCD computes nonperturbative functions for the hadron structure (Mellin moments, quasi-PDFs, pseudo-PDFs) by discretizing the QCD Lagrangian density

This is a rapidly progressing field: computations of PDFs in several IQCD approaches have been compared against phenomenological PDF models at two workshops:

- PDFLattice2017, Oxford, March 2017
- PDFLattice2019, Michigan State University, Sept. 2019

[[Prog.Part.Nucl.Phys. 100 \(2018\) 107](#); [arXiv:2006.08636](#)]

**Pheno PDFs provide empirical benchmarks for lattice QCD computations. Lattice QCD has the potential to predict PDF combinations not accessible in the experiment.**

# Proton and pion PDFs

PDFs in nonperturbative QCD

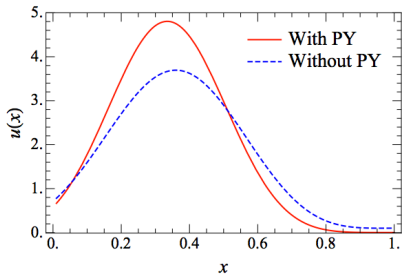
Phenomenological PDFs

@  $\mu_0^2 < 1 \text{ GeV}^2$

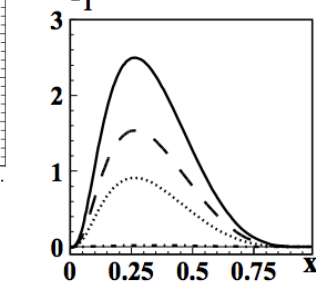
@  $\mu^2 > 1 \text{ GeV}^2$

## Proton

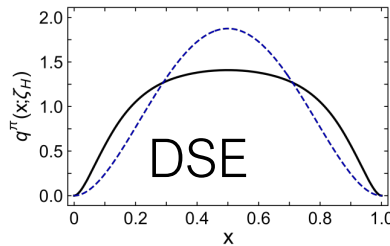
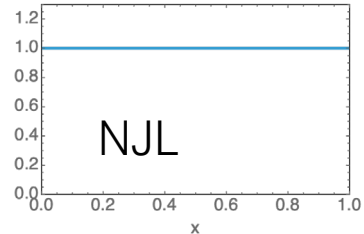
MIT Bag



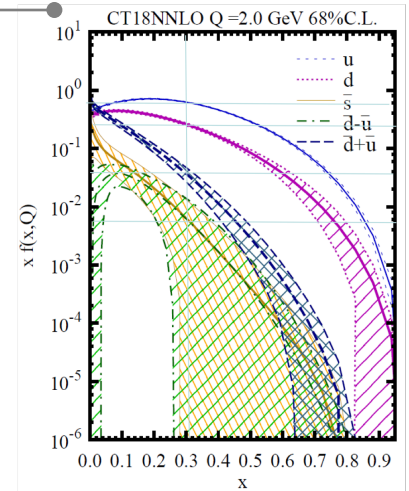
Light-Cone  
CQM



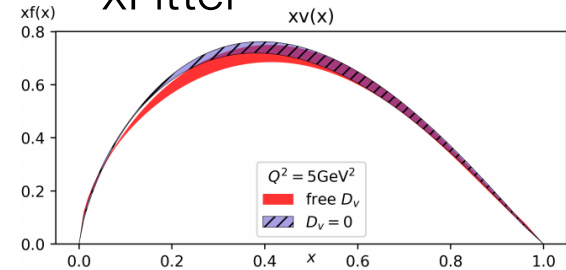
$f_\pi(x)$



## Pion



xFitter



# Proton and pion PDFs

## PDFs in nonperturbative QCD

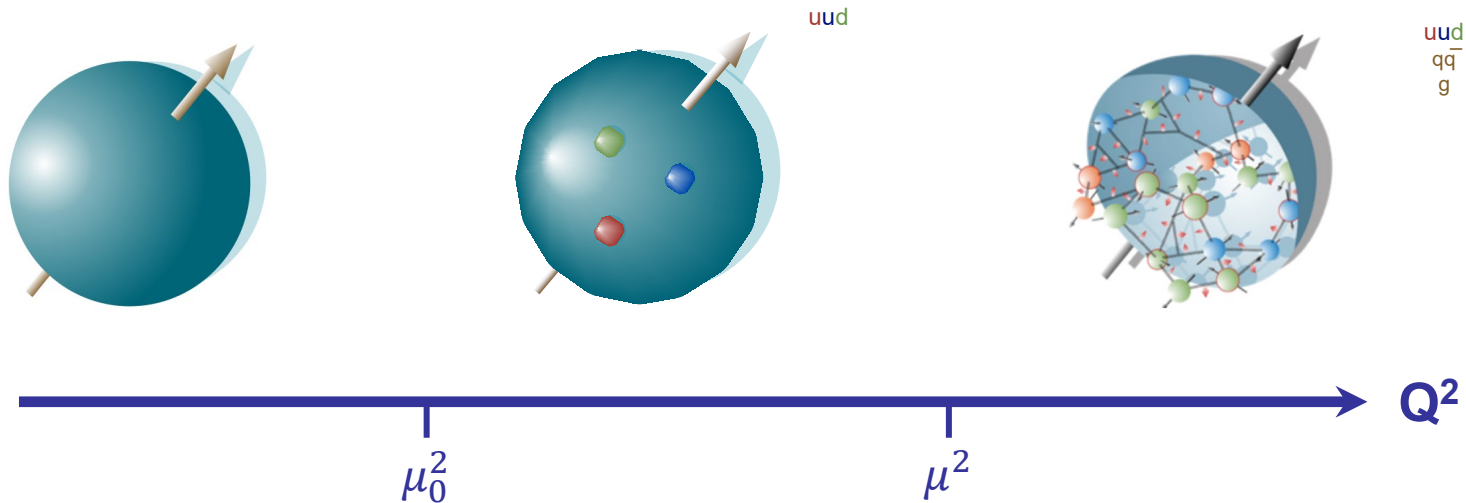
## Phenomenological PDFs

at hadronic scale  $\mu_0^2 < 1\text{GeV}^2$

- nonperturbative dynamics
- model's degrees of freedom
- Not factorized

at factorization scale  $\mu^2 > 1\text{GeV}^2$

- quasi-free partonic degrees of freedom
- defined in the  $\overline{MS}$  scheme
- leading-power approximation to full dynamics

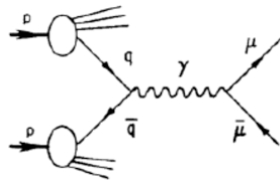
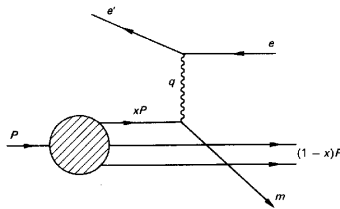


# Proton and pion PDFs

## PDFs in nonperturbative QCD

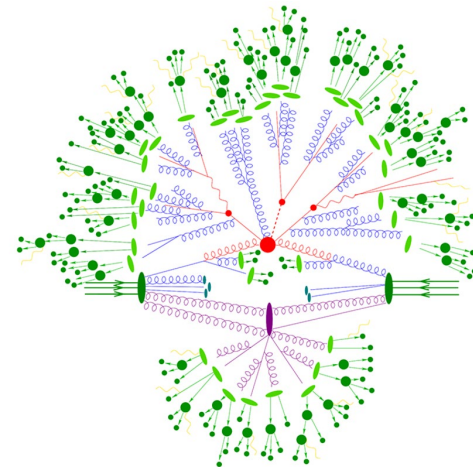
Relevant for processes  
at  $Q^2 \approx 1 \text{ GeV}^2$ ?

⇒ we can learn about nonperturbative dynamics by comparing predictions to data for the simplest scattering processes (DIS and DY)



## Phenomenological PDFs

Determined from processes  
at  $Q^2 \gg 1 \text{ GeV}^2$



⇒ pheno PDFs are determined from analyzing many processes with complex scattering dynamics

How to relate the x dependence of the perturbative and nonperturbative pictures?

Does the evidence from primordial dynamics survive PQCD radiation?

# What is the best strategy to confront large- $x$ data with predictions of nonperturbative QCD?

For example, can we test quark counting rules?

- **No**, if we try to deduce the exact analytical form of  $f_a(x, Q)$  from data.
- **Yes**, if we measure a finite-difference derivative  $A_2^{eff}(x_B, Q)$  of  $F(x_B, Q)$  or PDFs  $f_a(x, Q)$ .

# Quark counting rules in DIS in the threshold limit $x_B \rightarrow 1$

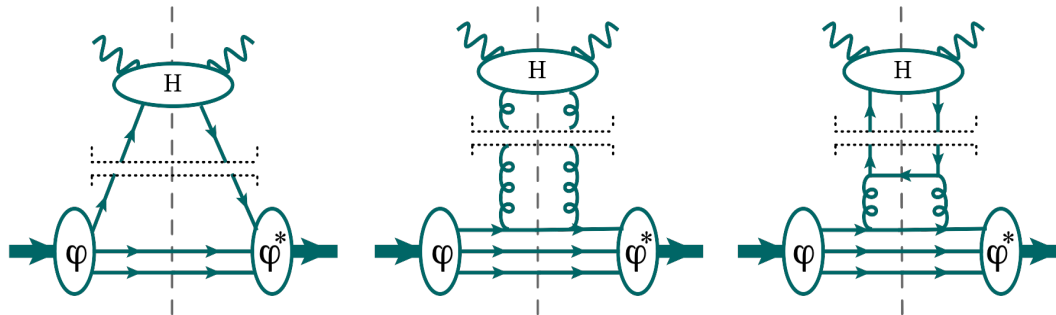
1. For a structure function  $F$ , assuming  $p^+ \gg 1 \text{ GeV}$ :

$$\lim_{x_B \rightarrow 1} F(x_B, Q \approx 1 \text{ GeV}, \lambda_q) \propto (1 - x_B)^{2n_s - 1 + 2|\lambda_q - \lambda_A|}$$

$n_s$  is the number of spectator fermions,  $\lambda_q$  and  $\lambda_A$  are helicities of struck quark and target

Brodsky and  
Farrar, PRL31  
and PRD11  
Ezawa, Nuovo  
Cim. A23  
Berger and  
Brodsky, PRL42  
Soper, PRD15  
many others

2. For unpolarized PDFs:  $\lim_{x \rightarrow 1} f_a(x, \mu_0 \approx 1 \text{ GeV}) \propto (1 - x)^{A_2}$



$$f(x, \mu_0) \xrightarrow{x \rightarrow 1} (1 - x)^{A_2=3}$$

valence  
quarks

$$(1 - x)^{A_2 > 4}$$

gluon

$$(1 - x)^{A_2 > 5}$$

sea  
quarks

Assuming the simplest  
PQCD diagrams  
dominate at  $x_B \rightarrow 1$

Predictions:

- $A_2$  is the same for  $u$  and  $d$  quarks
- At  $\mu > \mu_0$ , anomalous dimensions increase the *true*  $A_2$ .
- The *apparent*  $A_2$  may increase or decrease.

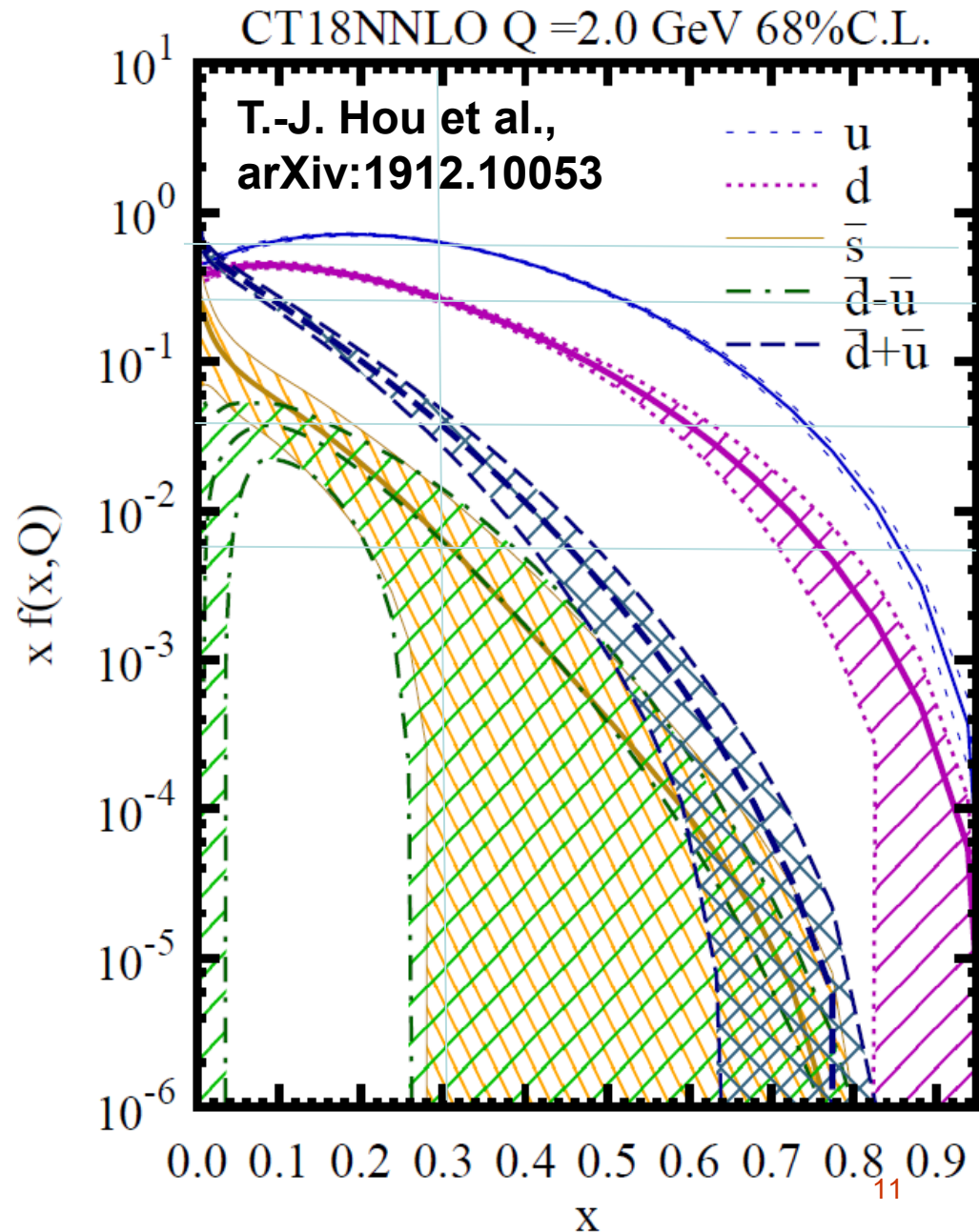
## Proton PDFs at $x \rightarrow 1$

At  $x \rightarrow 1$ , it is easy to radiate a gluon or a sea quark off a valence quark with a much larger PDF

### Mimicry – a fundamental feature of multivariate optimization:

Diverse functional forms of PDFs at the initial scale  $Q_0$  provide equally good description of QCD data from DIS, Drell-Yan pair, jet, and  $t\bar{t}$  production

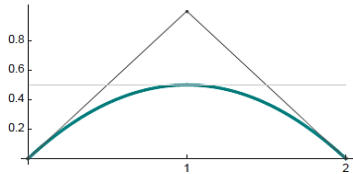
Mathematically, it is not possible to determine the primordial  $A_2^{true}$  from discrete, fluctuating data at  $x < 1$ .



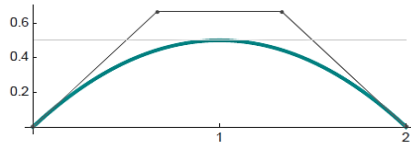
# Bézier curves

## 2. Bézier curves give an example of mathematical equivalence of polynomials of different orders

- defined using Bernstein basis polynomials:



$$f(x) = \alpha(1-x)^2 + 2\beta(1-x)x + \gamma x^2$$



$$f(x) = \alpha(1-x)^3 + 3\beta'(1-x)^2x + 3\beta''(1-x)x^2 + \gamma x^3 \quad \beta', \beta'' \equiv F[\alpha, \beta, \gamma]$$

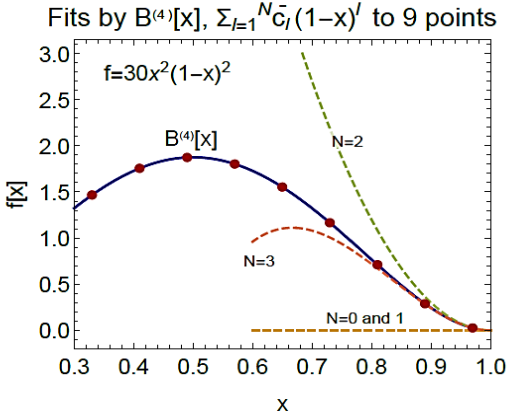
- can be used to interpolate discrete data points

Interpolation by a Bézier curve is unique if the polynomial degree= (# points-1): there's a closed-form solution to the problem,

$$\mathcal{B}^{(n)}(x) = \sum_{l=0}^n c_l B_{n,l}(x) \text{ with the Bernstein pol. } B_{n,l}(x) \equiv \binom{n}{l} x^l (1-x)^{n-l}.$$

This interpolation can be expanded in monomials of (1-x) about x=1:

$$u_\pi(x \rightarrow 1) = \sum_{i=0}^n \bar{c}_i (1-x)^i$$



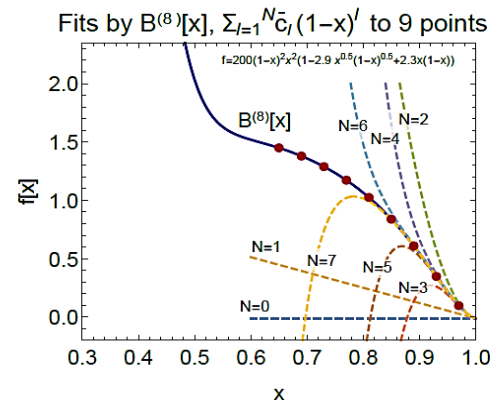
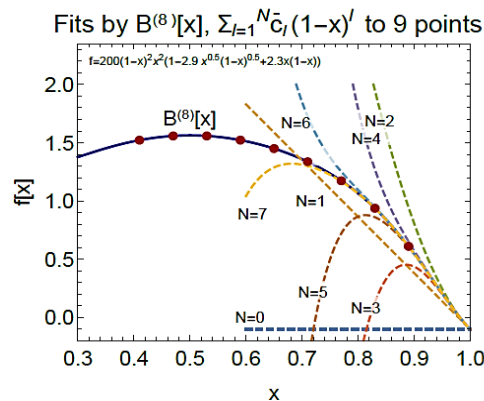
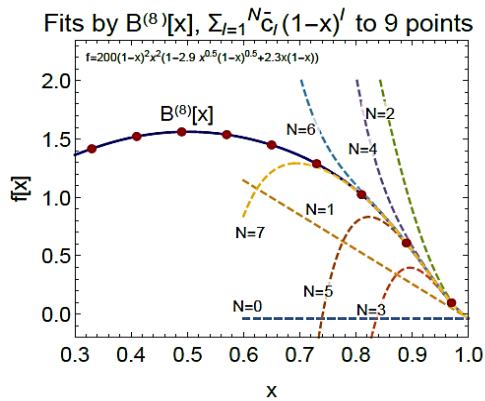
# Pinning down the large-x behaviour?

Take a realistic functional form, here  $f=200(1-x)^2x^2(1-2.9x^{0.5}(1-x)^{0.5}+2.3x(1-x))$

- Sample 9 points with  $x < 0.95$  from this function and interpolate by a Bezier curve of order 8. [This interpolation is exact.]
- The lowest coefficients of the monomial expansion (quantified by the truncated solutions with  $N=1, 2, 3$ ) are spurious and depend on the range and spacing of the sampled data.

⇒ The lowest powers of the monomial expansion  $(1-x)^p$  cannot be meaningfully reconstructed.

For each data set sampled from the same  $f(x)$  we obtain a different B ezier curve. They differ in derivatives of order  $> 8$ .



$A_2^{eff}$  : a finite-difference approximation to  $A_2^{true}$

We will examine 363 CT18 candidate parametrization forms.

If at  $x \rightarrow 1$  :

$$f(x, Q) = \underbrace{(1-x)^{A_2^{true}}}_{\text{fast function}} \times \underbrace{\Phi(x)}_{\text{slow function}},$$

then

$$A_{2,eff}(x, Q) \equiv \frac{\partial \ln(f(x, Q))}{\partial \ln(1-x)} \approx A_2^{true} + \text{small term.}$$

Dependence of  $A_{2,eff}$  on  $x$  and  $Q$  indicates how important the higher-order PQCD corrections are.

# $A_2^{eff}$ for the DIS structure function $F_2(x, Q)$

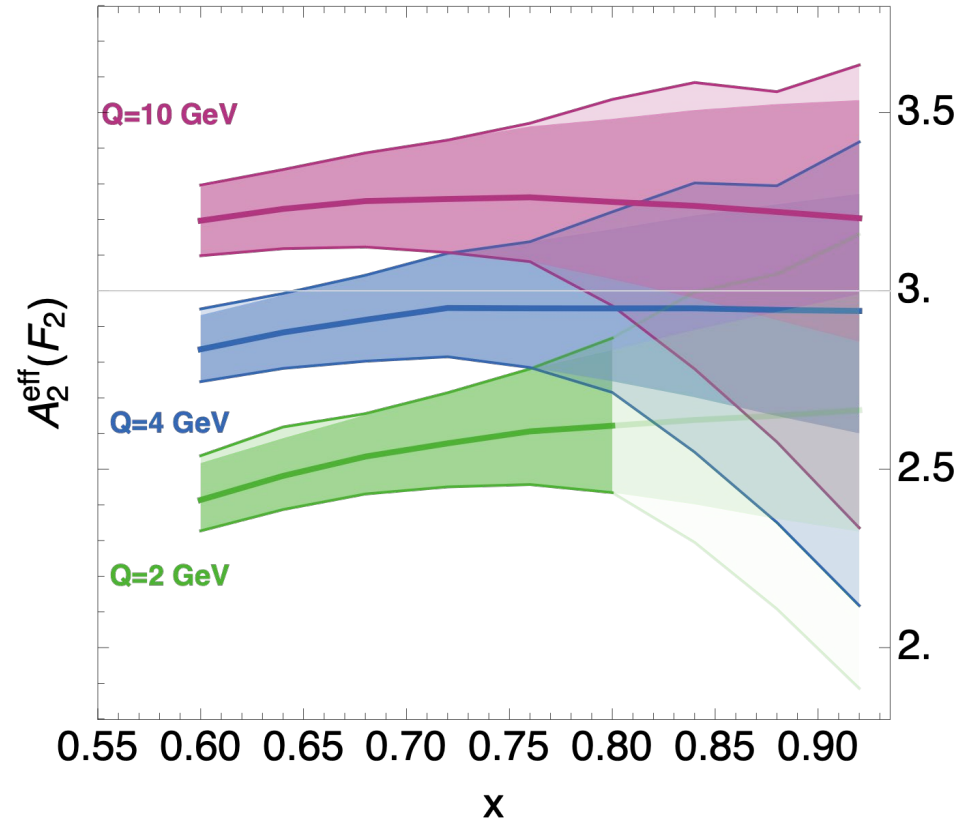
$F_2(x, Q)$  are computed using CT18 NNLO

Dark bands: 68% CL Hessian errors  
Light bands: envelopes of PDF parametrization dependence

- $A_2^{eff}$  agrees with the predicted  $A_2 = 3$  within a large PDF uncertainty
- $\delta_{PDF} A_2^{eff} \sim 1$  at  $x \rightarrow 1$
- Non-negligible running with  $Q^2$
- The leading-twist picture is meaningful for  $W^2 > m_p^2 + (1-x)/x Q^2$ ,

corresponding to  $x < 0.8$  at  $Q = 2$  GeV

CT18 NNLO, parametrization dependence



This prediction can be directly compared to the large- $x$ , large- $Q$  ZEUS DIS data

[I. Abt et al., PRD 101 (2020)112009]

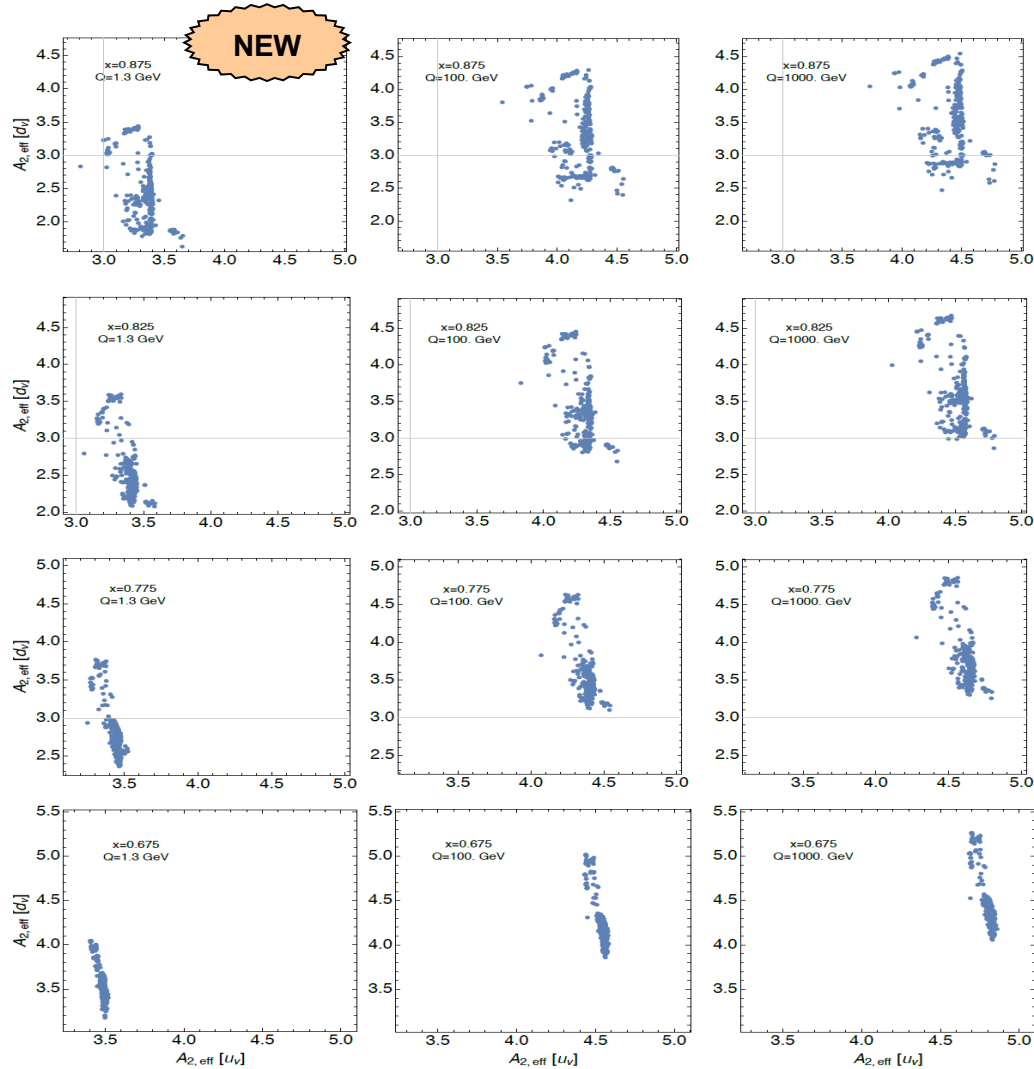
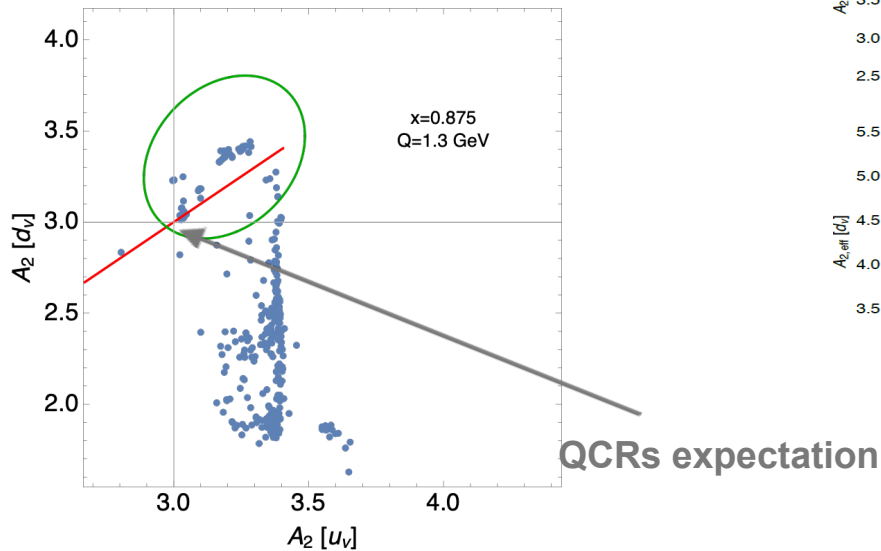
# $A_2^{eff}$ for u, d PDFs

Using CT18 NNLO

**Red:** Hessian uncertainty on the nominal PDF parameter  $A_{2,u} = A_{2,d}$

**Green:** Hessian error ellipse for  $A_{2,u}^{eff}, A_{2,d}^{eff}$

**Blue:**  $A_{2,u}^{eff}, A_{2,d}^{eff}$  for 363 functional forms with  $A_{2,u} \neq A_{2,d}$



Predictions based on 363 functional forms  
Substantial dependence on  $Q$  and  $x$ :  
higher-order PQCD is important, but  
param. dependence preserved to high  $Q$

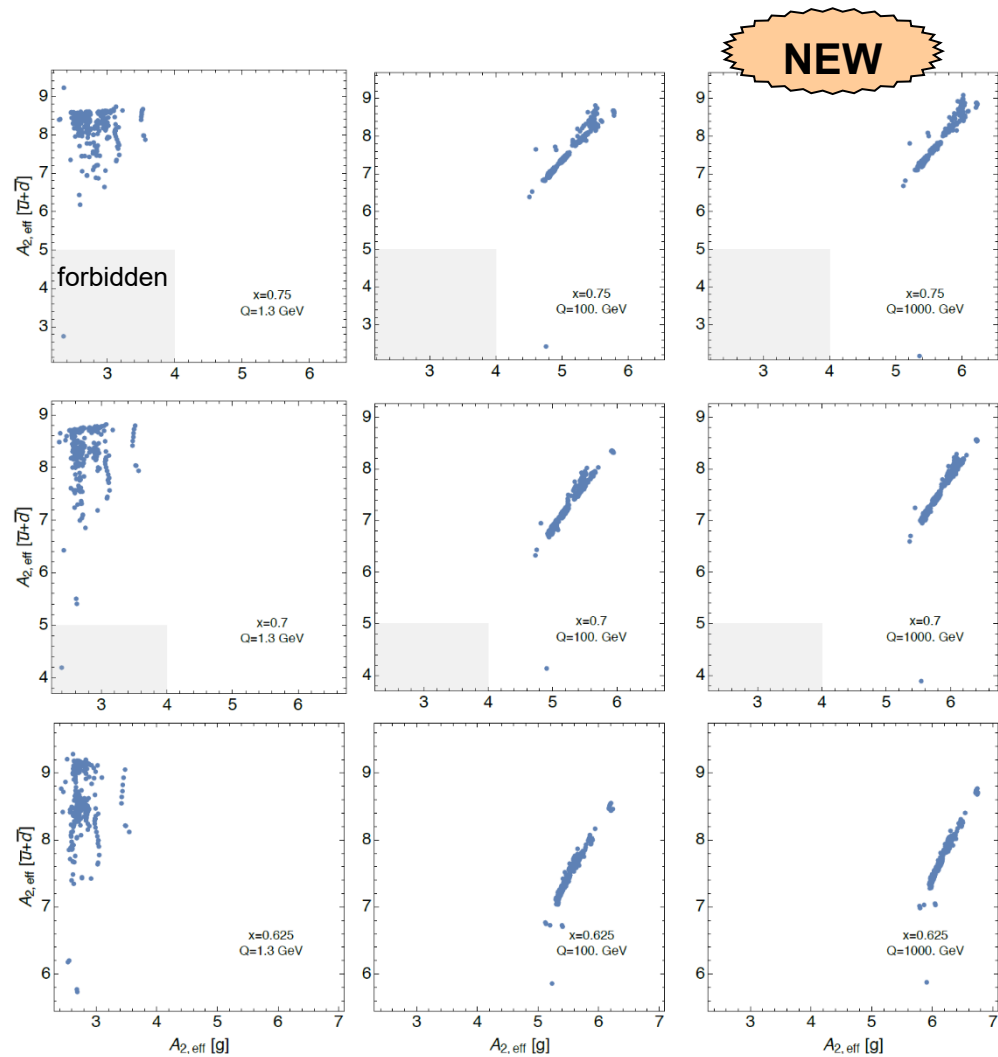
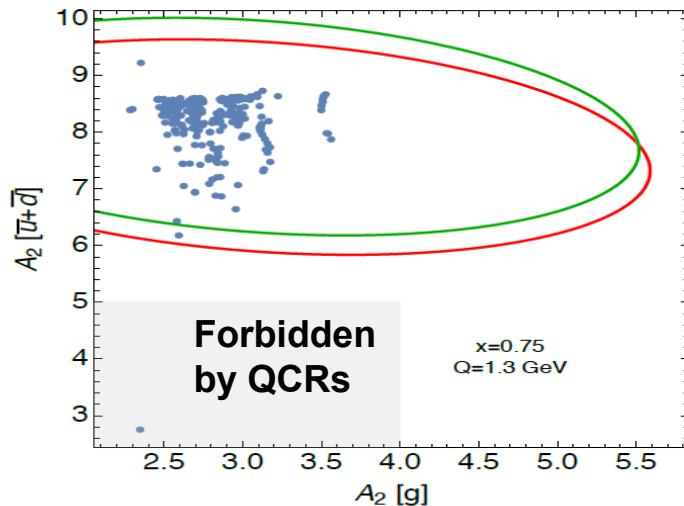
# $A_2^{eff}$ for $g, \bar{u} + \bar{d}$ PDFs

Using CT18 NNLO

**Red:** Hessian error ellipse for the nominal PDF parameters  $A_{2,g}, A_{2,\bar{u}+\bar{d}}$

**Green:** Hessian error ellipse for  $A_{2,g}^{eff}, A_{2,\bar{u}+\bar{d}}^{eff}$

**Blue:**  $A_{2,g}^{eff}, A_{2,\bar{u}+\bar{d}}^{eff}$  for 363 functional forms



Predictions based on 363 functional forms  
Substantial dependence on  $Q$  and  $x$  for  $g$ ,  
less for  $\bar{u} + \bar{d}$  (in the downward direction)

# Are experimental constraints on large- $x$ PDFs mutually consistent?

Effective powers may be non-universal among  $ep$  and  $pp$  processes

QCRs may work better in processes with low hadron multiplicities

We examine this question in the CT18 NNLO analysis using the method of  $L_2$  sensitivities [T.Hobbs, B.T. Wang, PN, Olness, [1904.00022](#)]

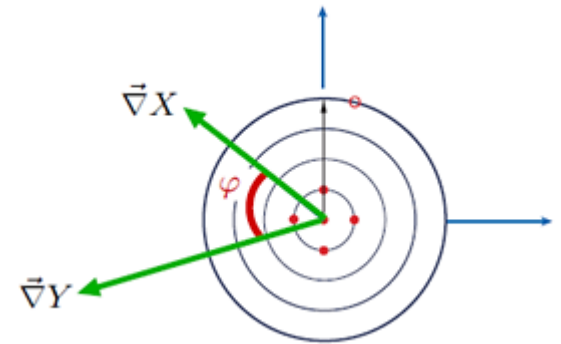
# $L_2$ sensitivity, definition

$S_{f,L_2}(E)$  for experiment  $E$  is the estimated  $\Delta\chi_E^2$  for this experiment when a PDF  $f_a(x_i, Q_i)$  increases by the +68% c.l. Hessian PDF uncertainty

Take  $X \equiv f_a(x_i, Q_i)$  or  $\sigma(f)$ ;  $Y \equiv \chi_E^2$  for experiment  $E$ .

$\hat{z}_X \equiv \nabla X / |\nabla X|$  is the unit vector in direction of the PDF uncertainty of  $X$ .

$$S_{X,L_2} \equiv \Delta Y(\hat{z}_X) = \nabla Y \cdot \hat{z}_X = \nabla Y \cdot \frac{\nabla X}{|\nabla X|} = \Delta Y \cos \varphi .$$

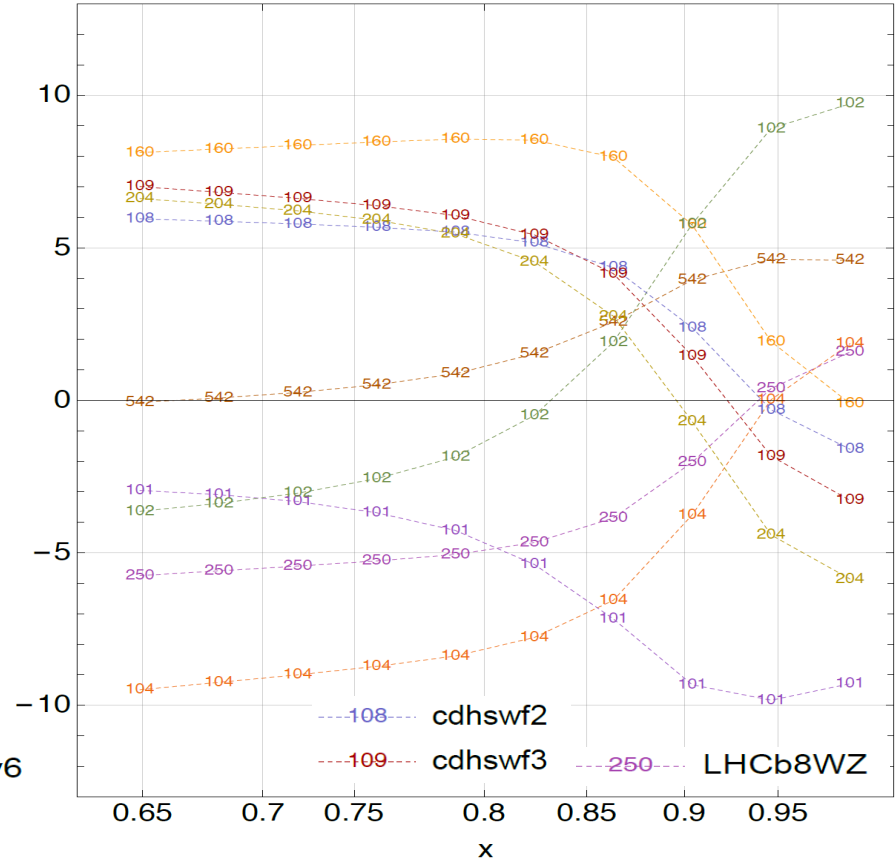
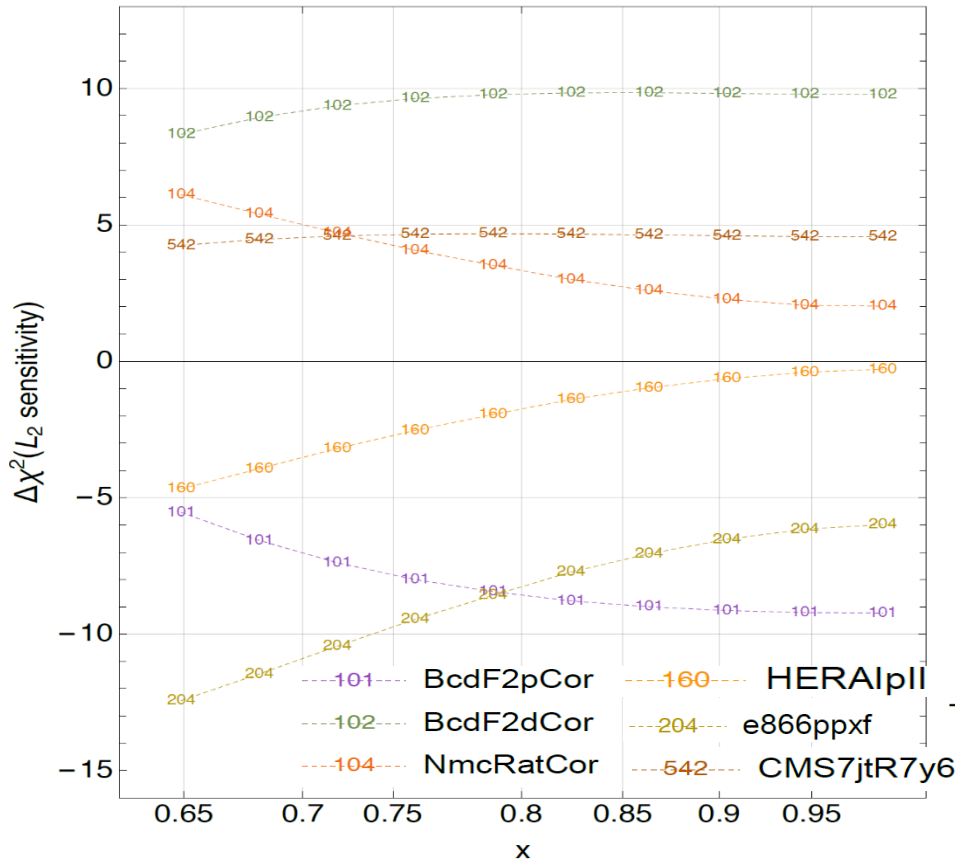


A fast version of the Lagrange Multiplier scan of  $\chi_E^2$  along the direction of  $f_a(x_i, Q_i)$ !

# Pulls on $A_2^{eff}$ for $u_\nu$ and $d_\nu$

$A_{2,eff}$  [CT18NNLO],  $u_V(x, 200 \text{ GeV})$

$A_{2,eff}$  [CT18NNLO],  $d_V(x, 200 \text{ GeV})$



\* Proton BCDMS and DY E866 favor a larger value of  $A_{2,eff}[u_V]$

\* Deuteron BCDMS favors a smaller value of  $A_{2,eff}[u_V]$

\*  $A_2^{eff}[u_\nu]$  largely follows  $A_2^{eff}[F_2]$

\* Tradeoff between opposite pulls of HERA and NMC at  $x < 0.85$

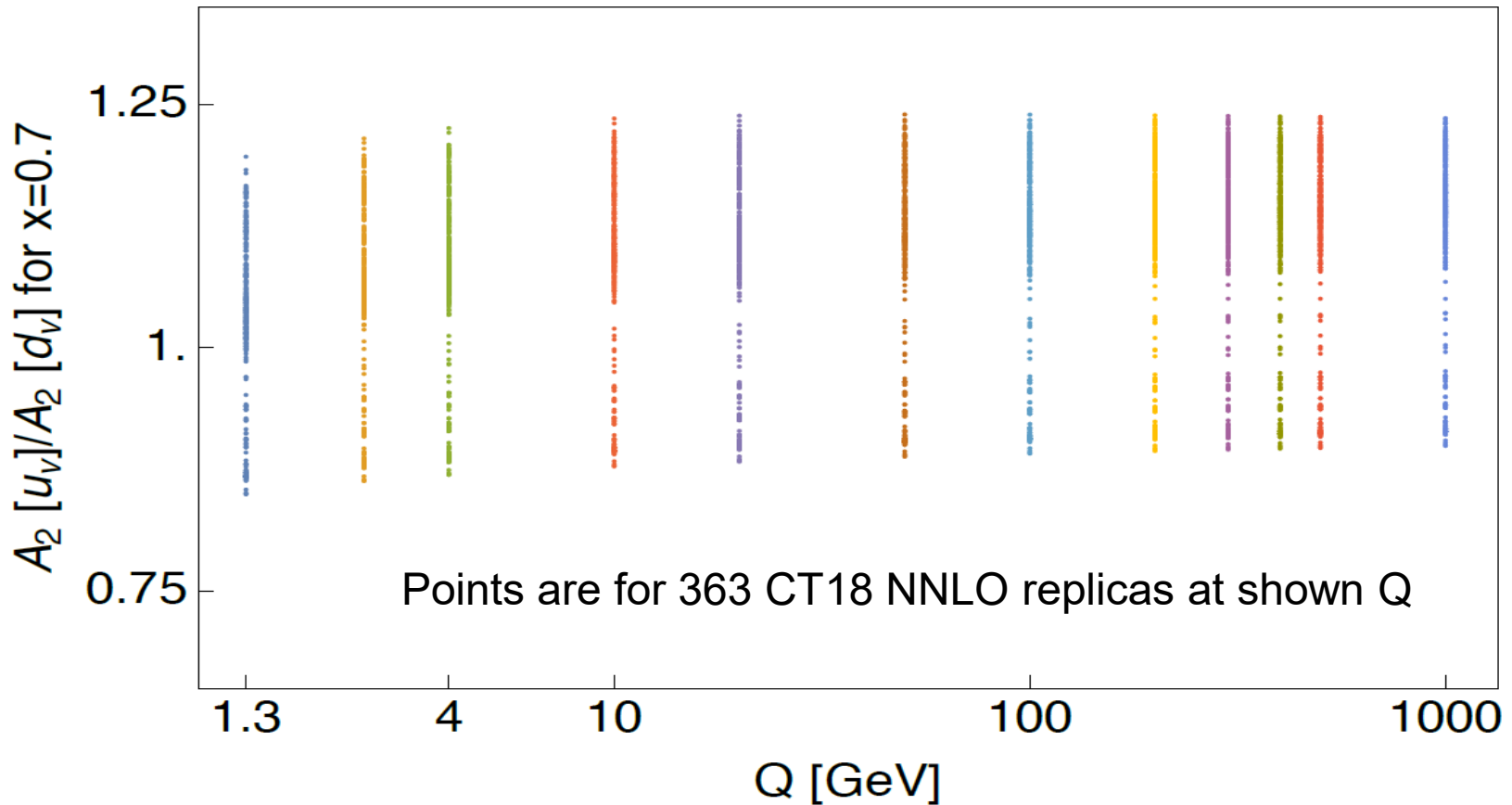
\*  $A_{2,d}^{eff} = A_{2,u}^{eff}$  at  $x \rightarrow 1$  by construction

How can we access the  $x > 0.5$  region at colliders (HERA, LHC, EIC)?

**For large- $x$  opportunities at HERA, see A. Caldwell's talk**

# Examine $A_{2,u}^{eff} / A_{2,d}^{eff}$

NEW



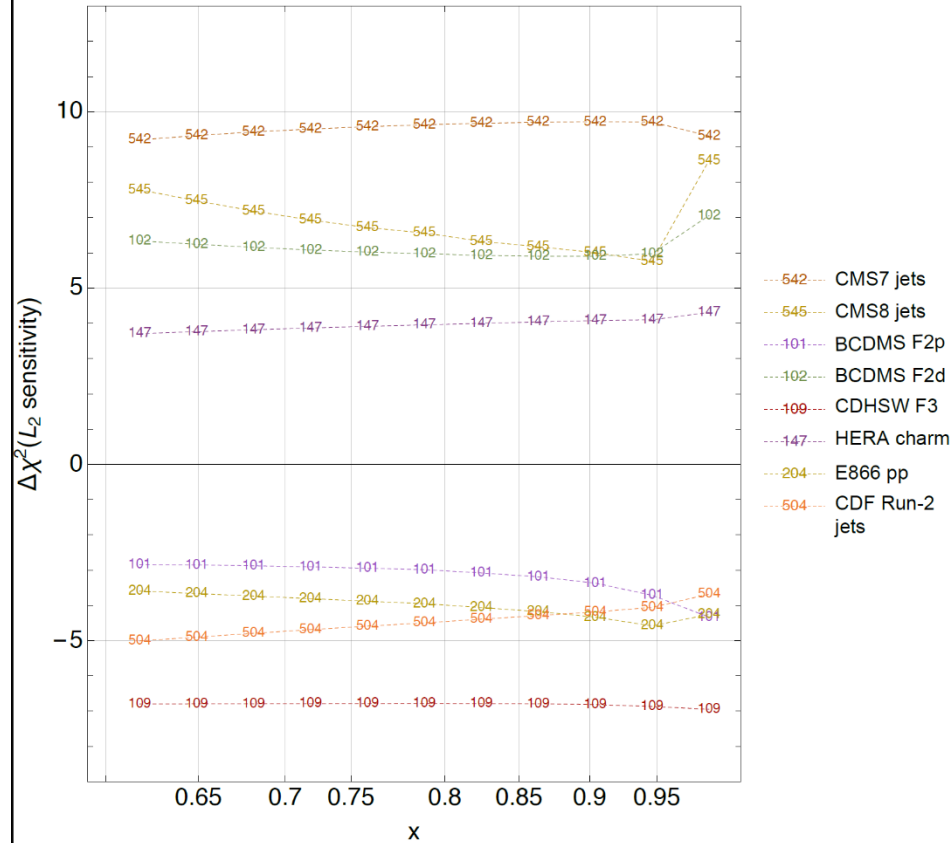
The  $Q$  dependences of  $A_{2,u}^{eff}$  and  $A_{2,d}^{eff}$  cancel out because both obey the non-singlet DGLAP equation

Flavor separation of nonperturbative  $A_{2,u}^{eff}$  and  $A_{2,d}^{eff}$  can be deduced from high- $Q$  data

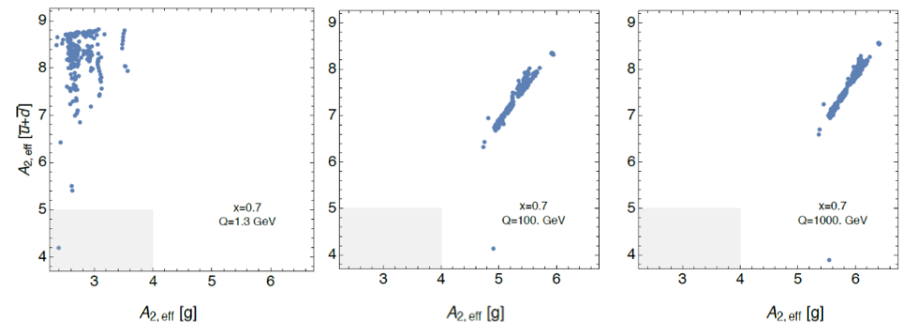
NEW

# Pulls on $A_{2,g}^{eff}$ for gluon

$A_{2,eff}$  [CT18NNLO],  $g(x, 200 \text{ GeV})$



At  $x > 0.65$ ,  $A_{2,g}^{eff}$  is determined to a large degree by the tradeoff between jet production experiments [CMS, CDF, ...],  $\nu A$  DIS [CDHSW], BCDMS and E866.

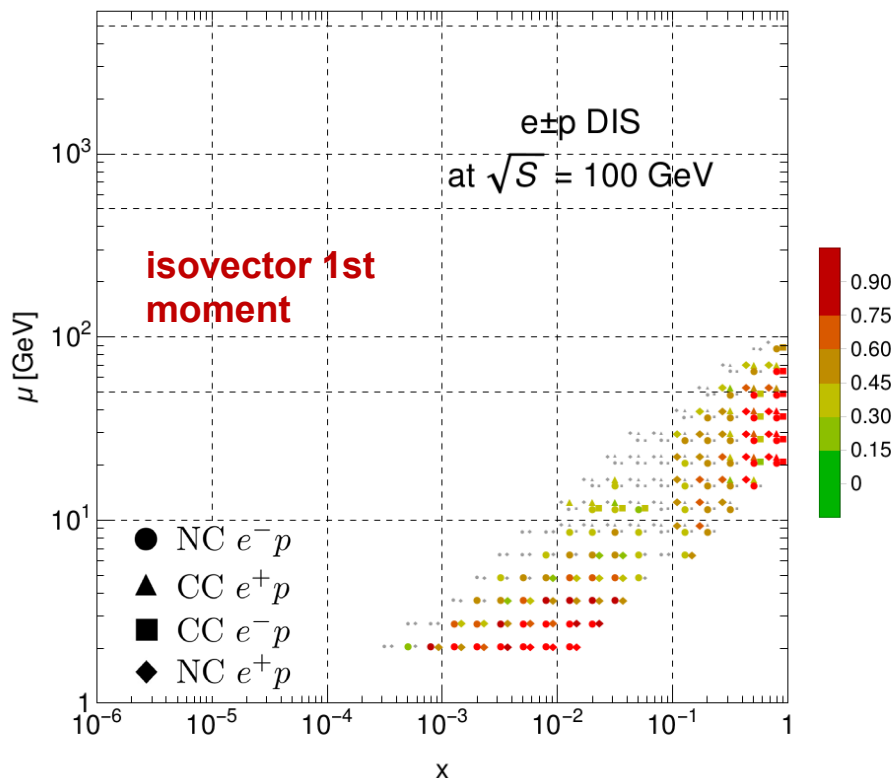


The rate of  $Q$  evolution weakly depends on the parametrization form, can be used to reconstruct  $A_{2,g}^{eff}$  at  $Q \sim 1 \text{ GeV}$  from high- $Q$  measurements.

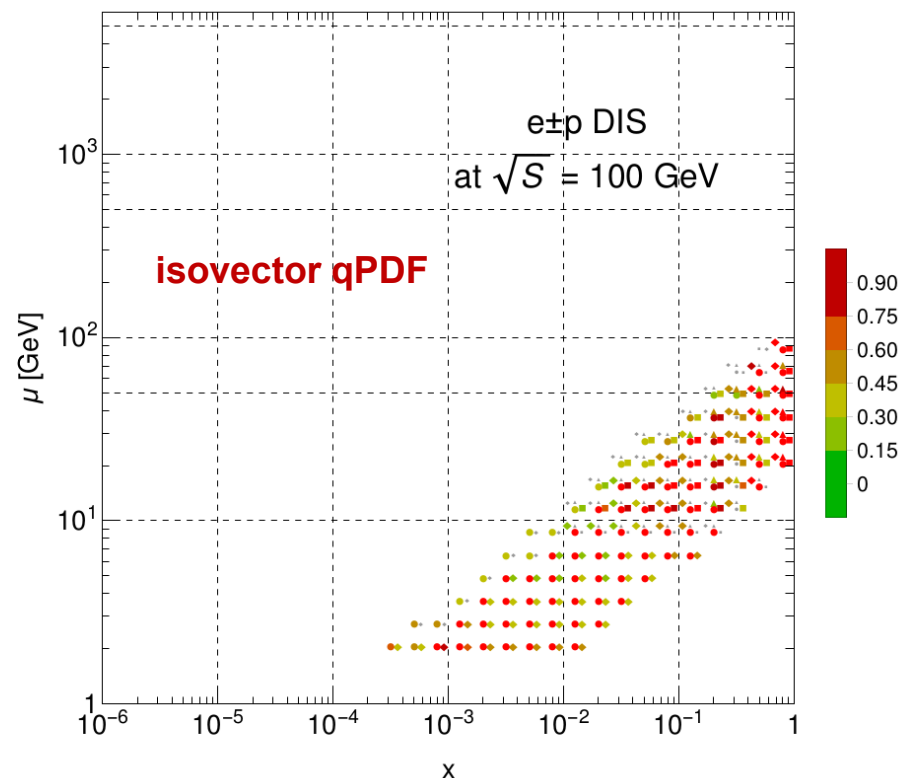
# An EIC would drive lattice phenomenology

- A high-luminosity lepton-hadron collider will impose very tight constraints on many lattice observables; below, the isovector first moment and qPDF
- Many of the experiments most sensitive to PDF Mellin moments and qPDFs involve nuclear targets  $\longrightarrow$  **eA data from EIC would sharpen knowledge of nuclear corrections**

$|S_f|$  for  $\langle x^1 \rangle_{u^+ - d^+}$ , CT14HERA2



$|S_f|$  for  $[\tilde{u} - \tilde{d}](x=0.85, P_z=1.5\text{GeV})$ , CT14HERA2

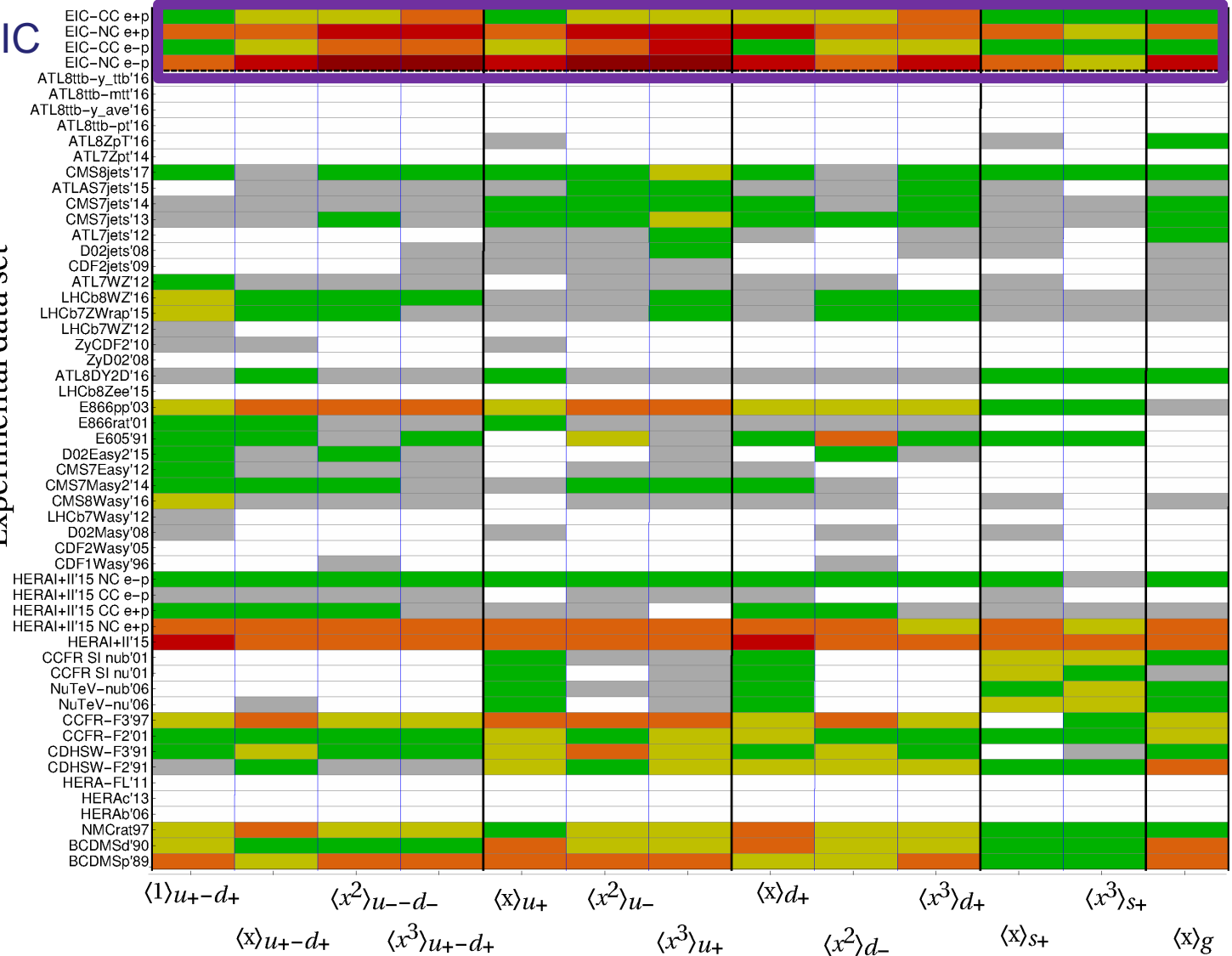


# Total sensitivity to Mellin moments

CT14HERA2 NNLO, Mellin moments, Total sensitivity  $\Sigma|S|$

EIC

Experimental data set



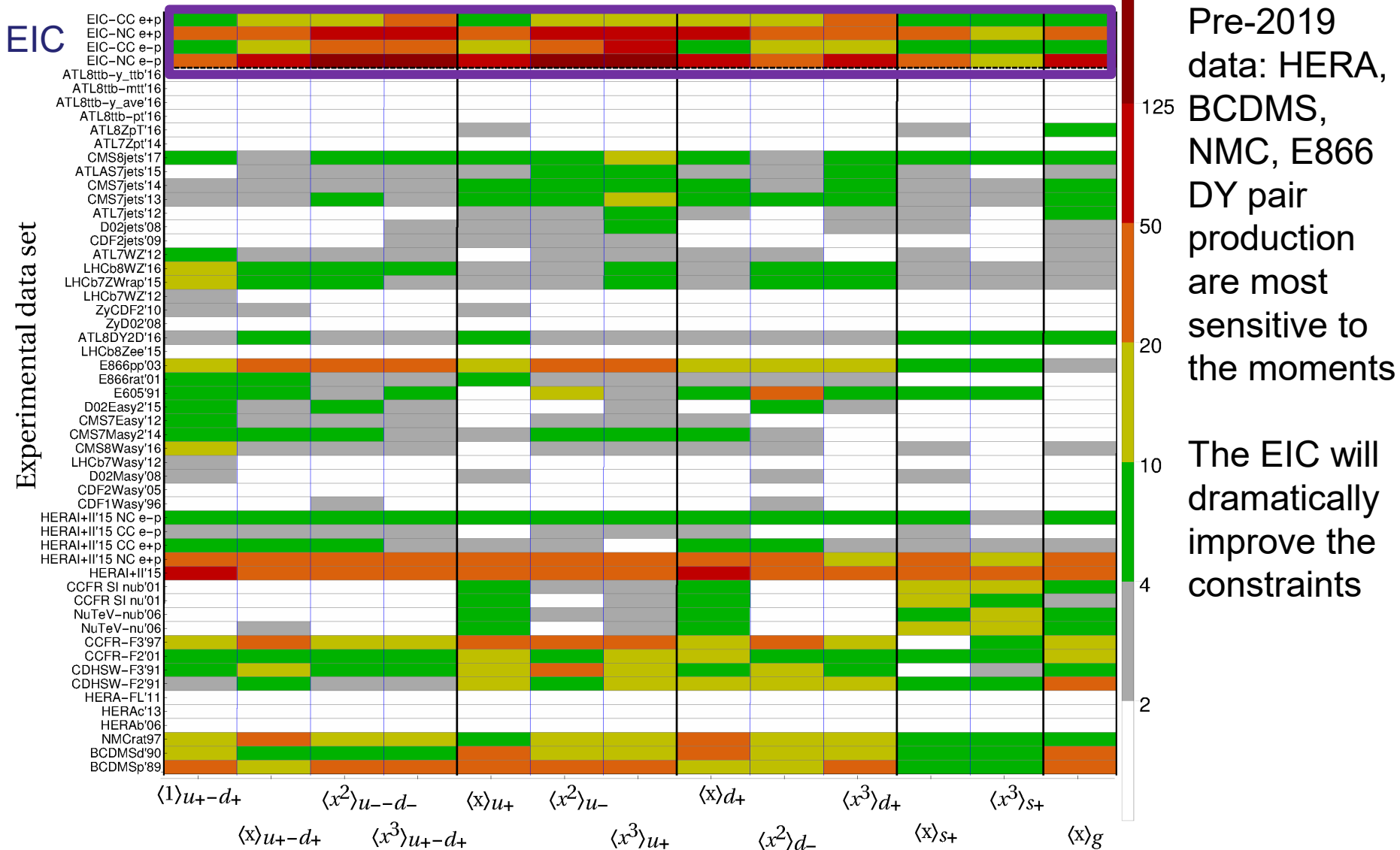
For Mellin moments computable on the lattice at scale 2 GeV

Dark red (white) indicates experiments with highest (lowest) sensitivity of the shown experiment

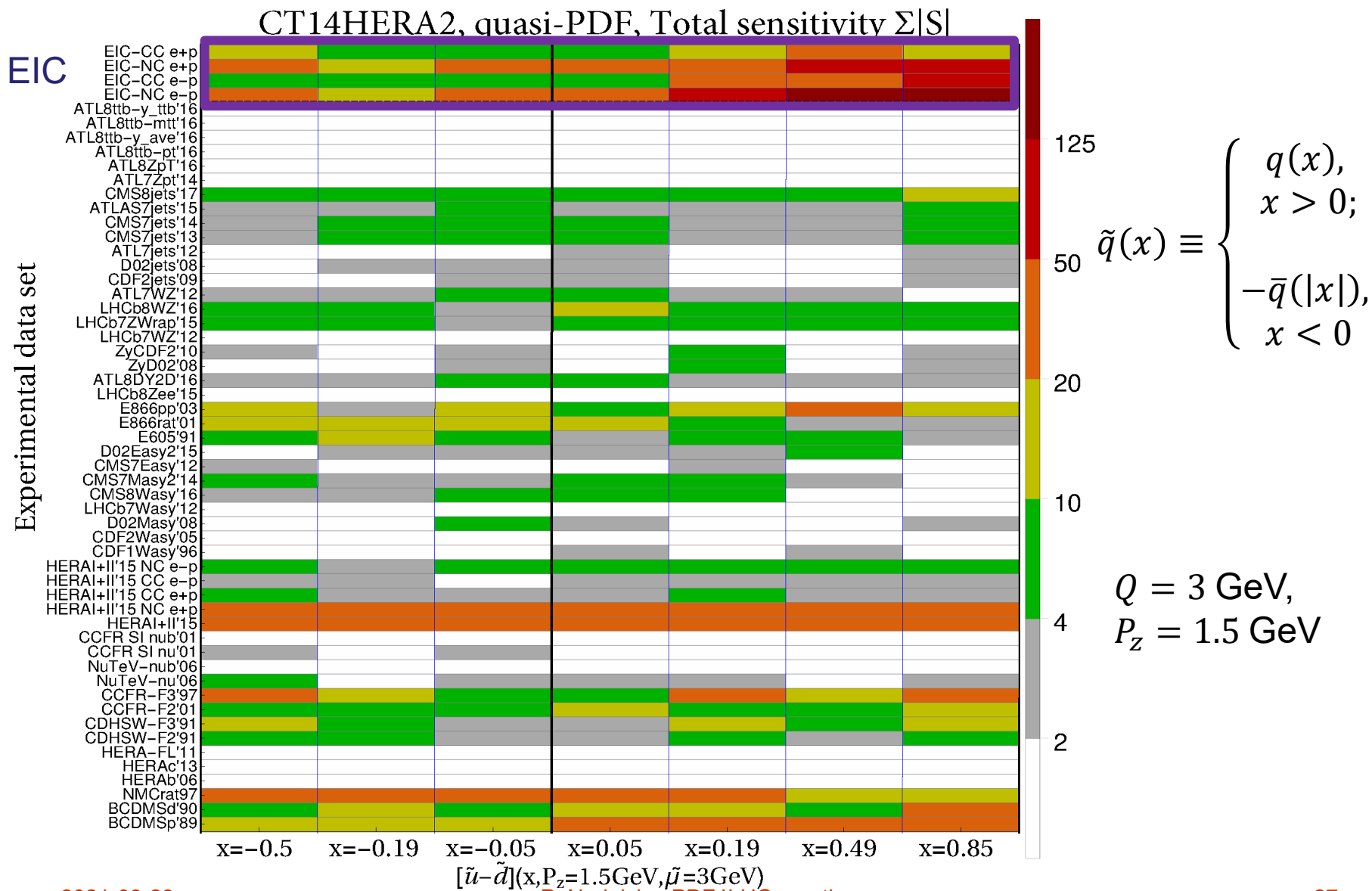
Hobbs, B. T. Wang, PN, Olness, [1904.0022](https://arxiv.org/abs/1904.0022)

# Total sensitivity to Mellin moments

CT14HERA2 NNLO, Mellin moments, Total sensitivity  $\Sigma|S|$



# Total sensitivity to lattice quasi-PDFs



# Conclusions

We have analyzed the **quark counting rules** for the CT18NNLO global fit of proton PDFs.

We examined their **universality** w.r.t. scattering processes and flavors, as well as for structure functions vs. PDFs.

Global analyses rely on complex processes. Dependence on  $x$  generally reflects power-suppressed hadronic activity, not only scaling violations or resummation.

**Mimicry** reconciles many parametrizations of PDFs with measurements.

How do we cast nonperturbative manifestations into measurable observables?

We advocate for using **an effective (1-x)-exponent**  $A_2^{eff}$ .

The  $Q^2$  dependence of  $A_2^{eff}$  is not negligible — supported by other global fits and by PQCD. We can solve for  $Q^2$  dependence to obtain  $A_2^{eff}$  at  $Q \approx 1$  GeV from collider measurements at HERA, LHC, and EIC.

Thank you  
for your attention

# Multivariate parametric forms

*A typical PDF set may depend on tens to several hundreds of free parameters*

PDF functional forms must be flexible to accommodate a variety of behaviors

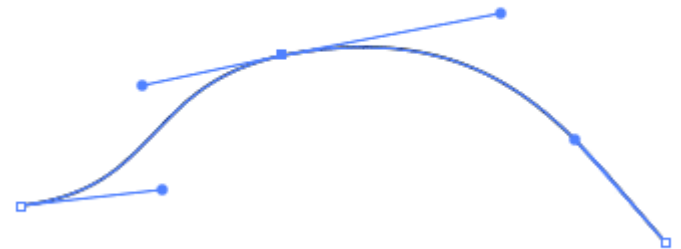
CT18 parametrizations at initial scale  $Q_0$  are given by

$$f_a(x, Q_0) = Ax^{a_1}(1-x)^{a_2}B_a^{(n)}(x; a_3, a_4, \dots)$$

$$B_a^{(n)}(x) = \sum_{k=0}^n a_{k+2} \binom{n}{k} x^k (1-x)^{n-k}$$

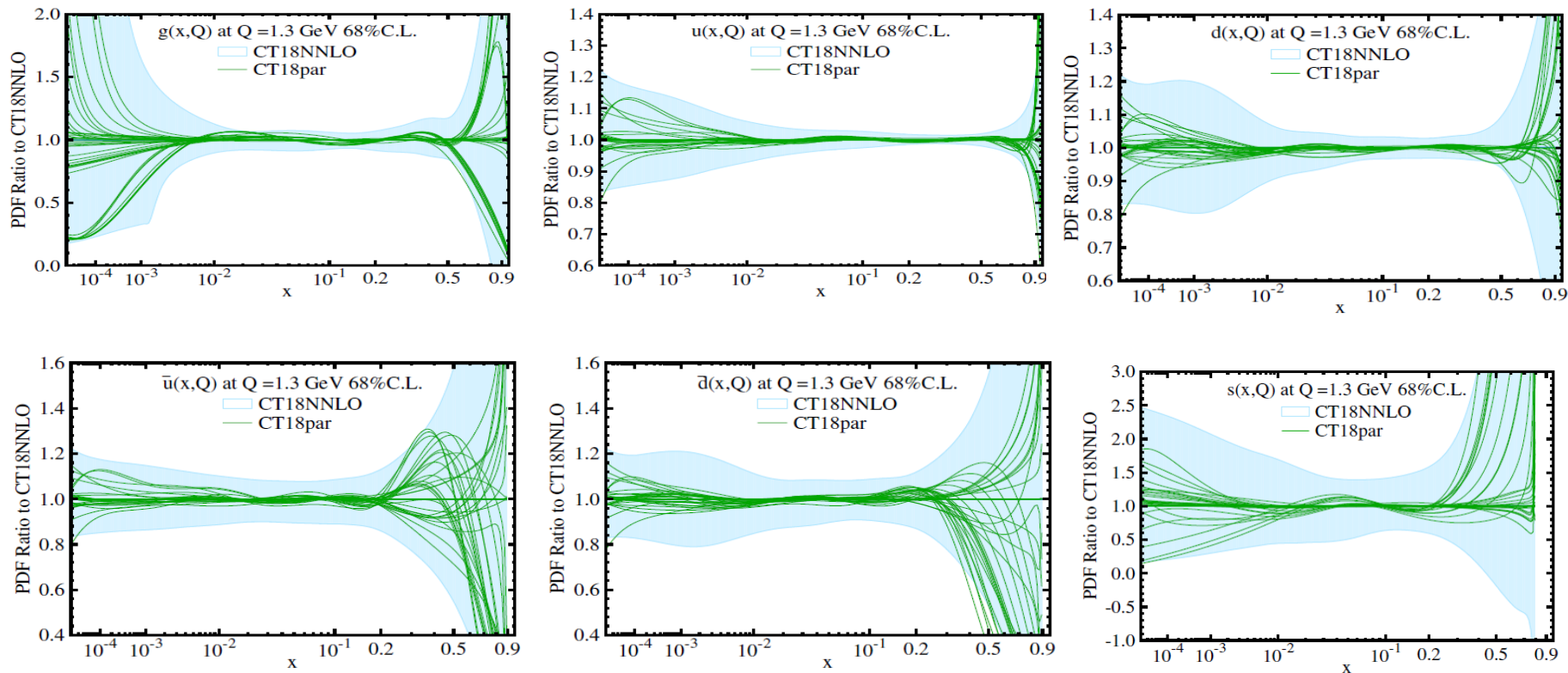
are **Bézier curves** – flexible polynomials familiar from vector graphics programs

Bézier curves can mimic a variety of behaviors of PDFs and their uncertainties. A powerful alternative to neural networks!



[A. Courtoy, P. N., arXiv: [2011.10078](https://arxiv.org/abs/2011.10078), accepted to PRD]

# 250+ candidate nonperturbative parametrization forms of CT18 PDFs



- CT18par – a sample of **some** non-perturbative parametrization forms tried in CT18
- No data constrain very large  $x$  or very small  $x$  regions.