

# New results on probing limitations of collinear QCD in lepton-jet correlations

Benjamin Nachman

*Lawrence Berkeley National Laboratory*

on behalf of the **H1** and **ZEUS** Collaborations

Low-x 2021

[bpnachman.com](http://bpnachman.com)

[bpnachman@lbl.gov](mailto:bpnachman@lbl.gov)

 [@bpnachman](https://twitter.com/bpnachman)

 [bnachman](https://github.com/bnachman)

September 27, 2021



# H1 and ZEUS @ HERA



Two multipurpose experiments at HERA.

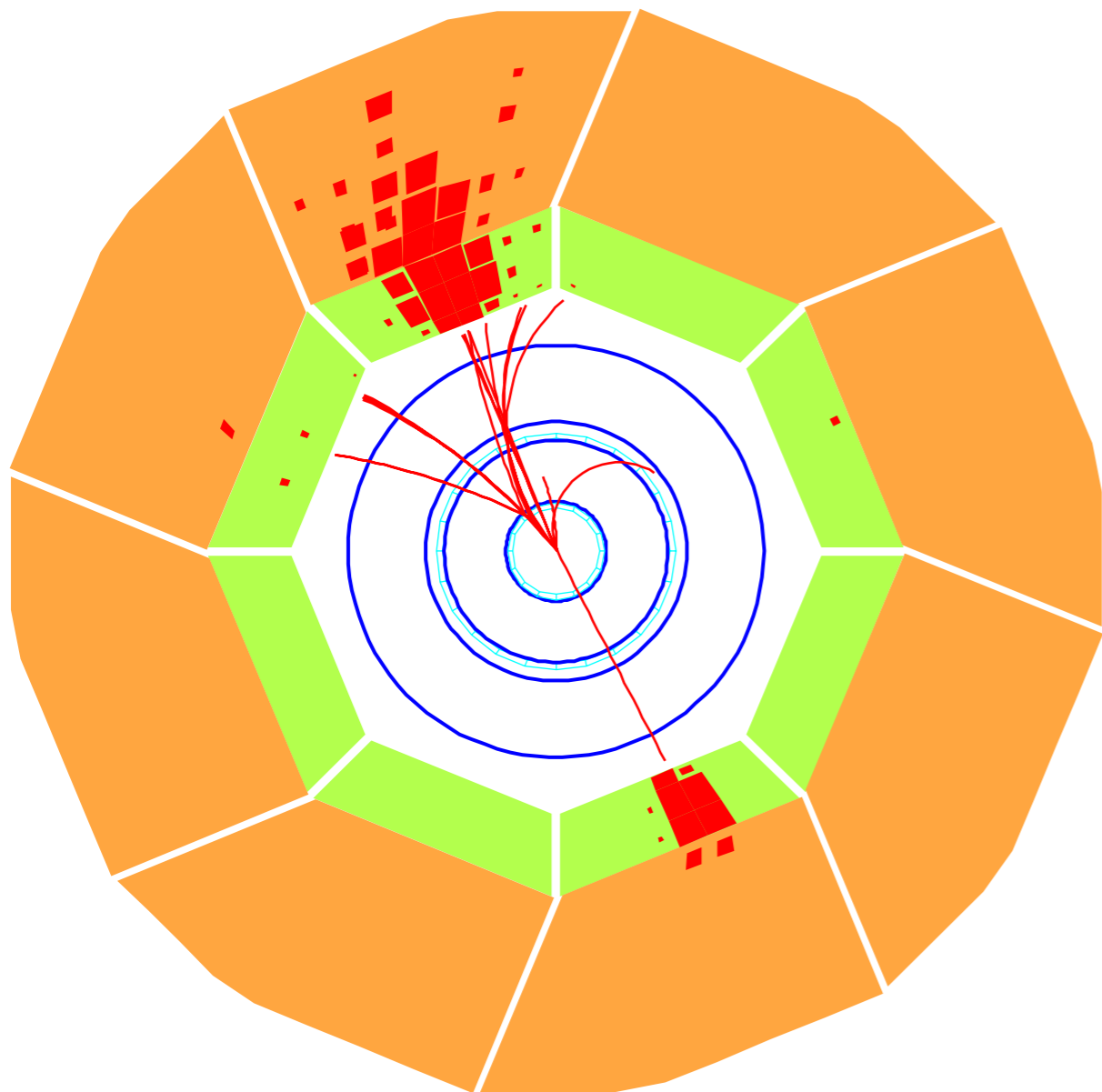


See also [A. M. Cooper-Sakar's talk yesterday](#).

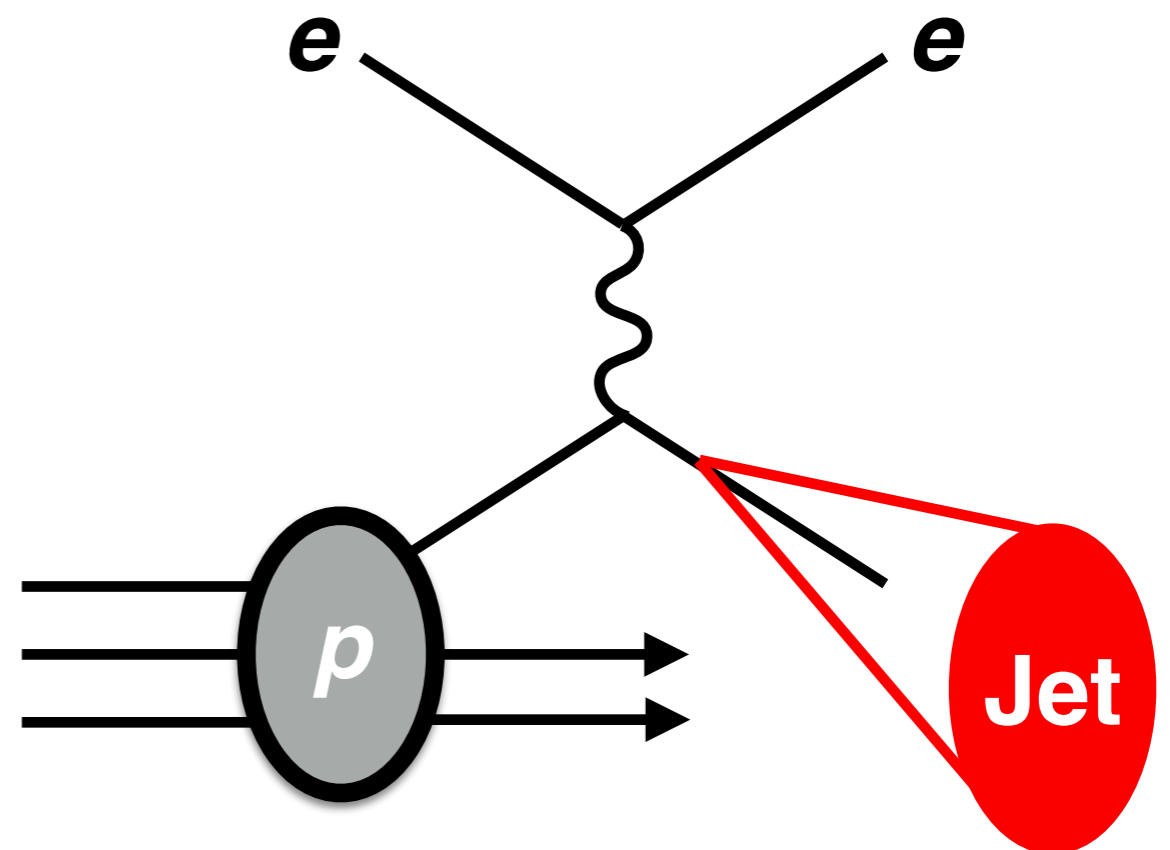
# H1 and ZEUS @ HERA

3

For this talk: data from HERA II,  $O(100) \text{ pb}^{-1}$ ,  $\sim 320 \text{ GeV}$



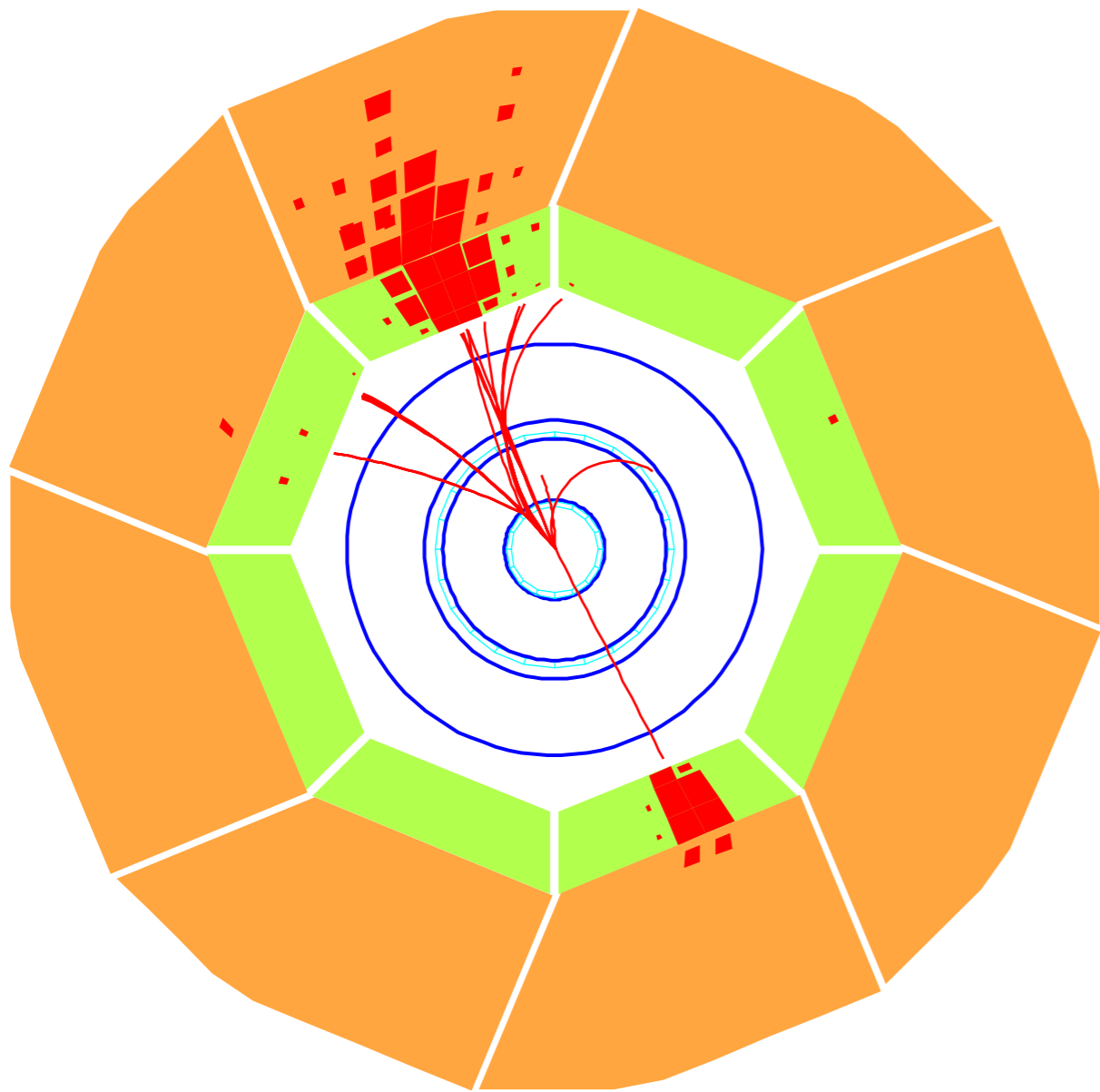
*I'll present a measurement of the electron-jet imbalance*



# Why electron-jet imbalance?

4

Born-level configuration, electron and jet are back-to-back



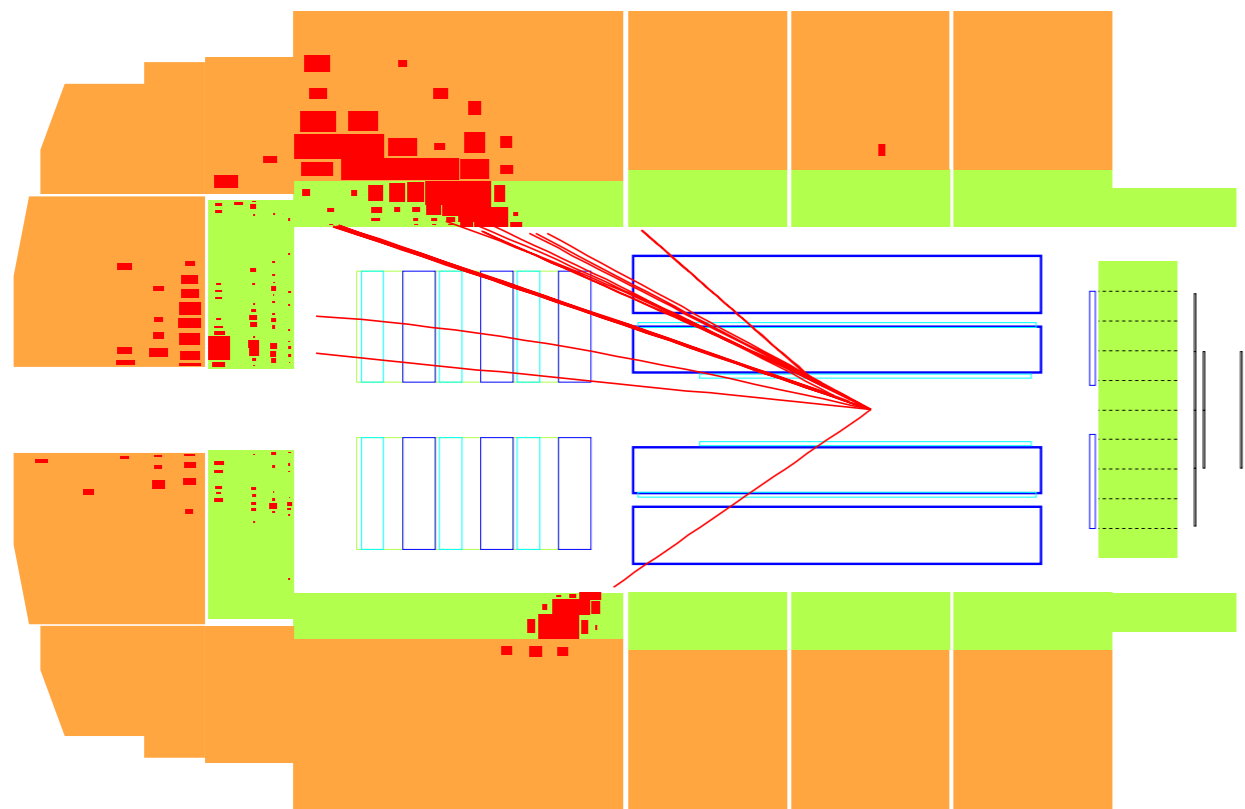
Typically, jets are studied in the Breit frame, where the Born-level configuration is discarded

However, jet production in the lab frame can be useful for probing Transverse Momentum Dependent (TMD) Parton Distribution Functions (PDFs)

# H1 measurement



920 GeV proton



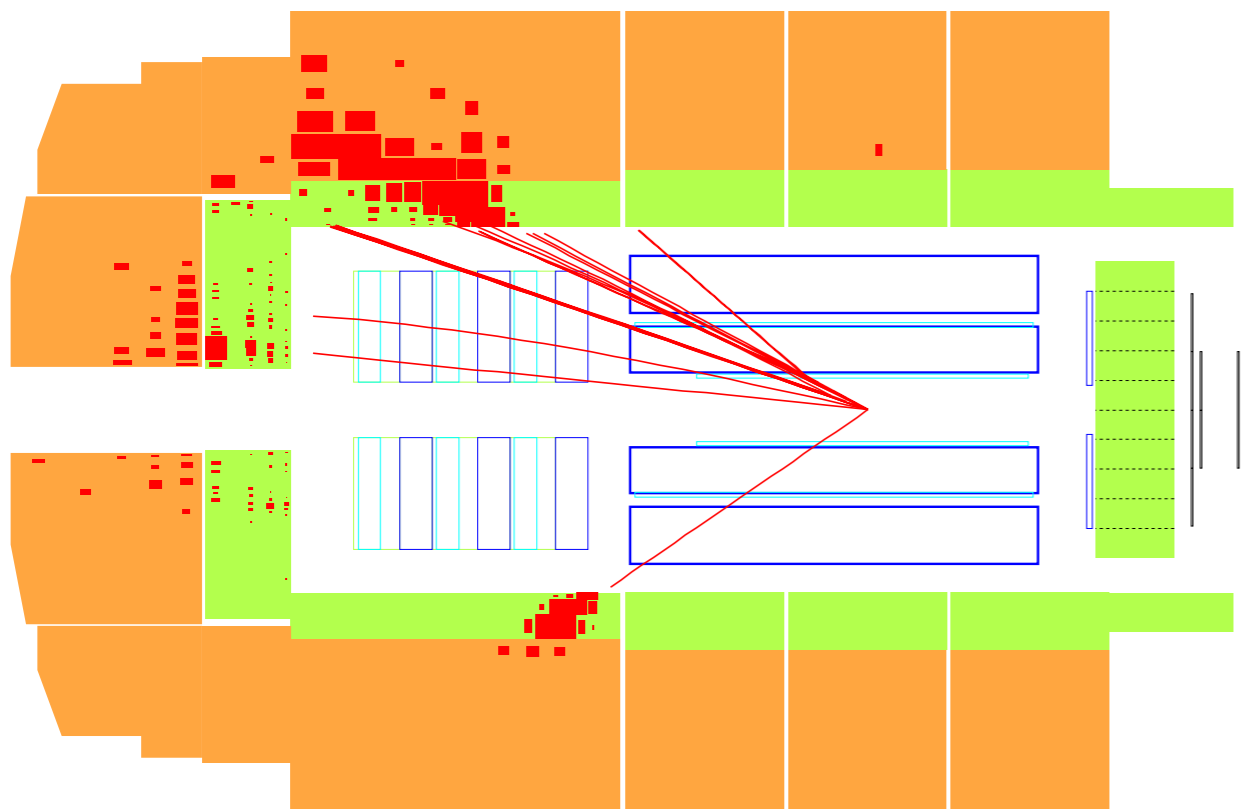
27.6 GeV positron

Energy flow algorithm (HFS)  
combines information from  
tracker and calorimeters

Neural network-based  
energy regression

1% jet energy scale  
uncertainty; 0.5-1% lepton  
energy scale uncertainty

920 GeV proton



27.6 GeV positron

Energy flow algorithm (HFS) combines information from tracker and calorimeters

Neural network-based energy regression

1% jet energy scale uncertainty; 0.5-1% lepton energy scale uncertainty

Challenge: **unfold multidimensional phase space**

Energy flow algorithm (HFS)  
combines information from  
imeters

Solution: **use deep learning!**

...can do unbinned, high (and  
variable-)dimensional unfolding

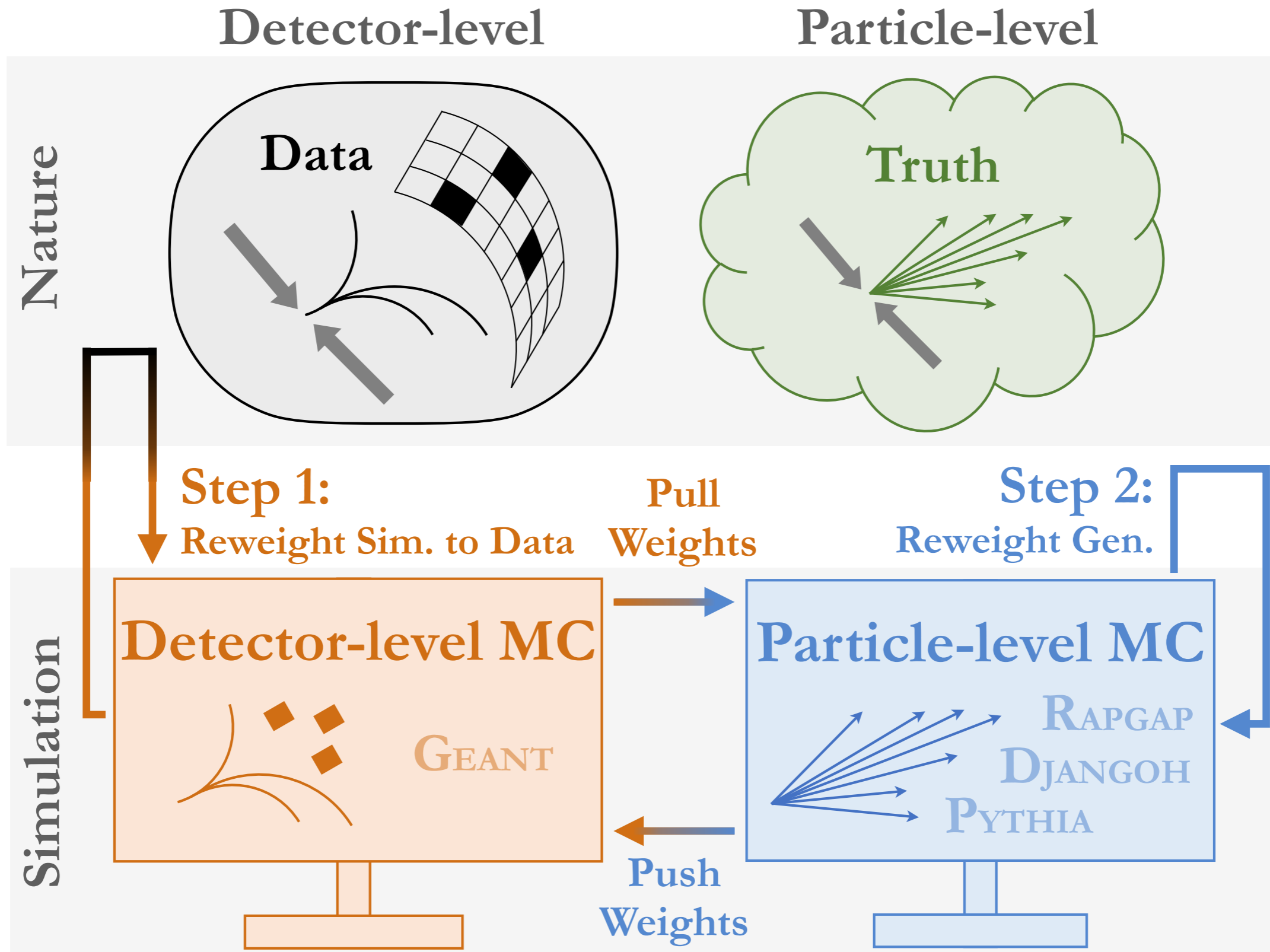
based  
sion

cale  
lepton  
uncertainty

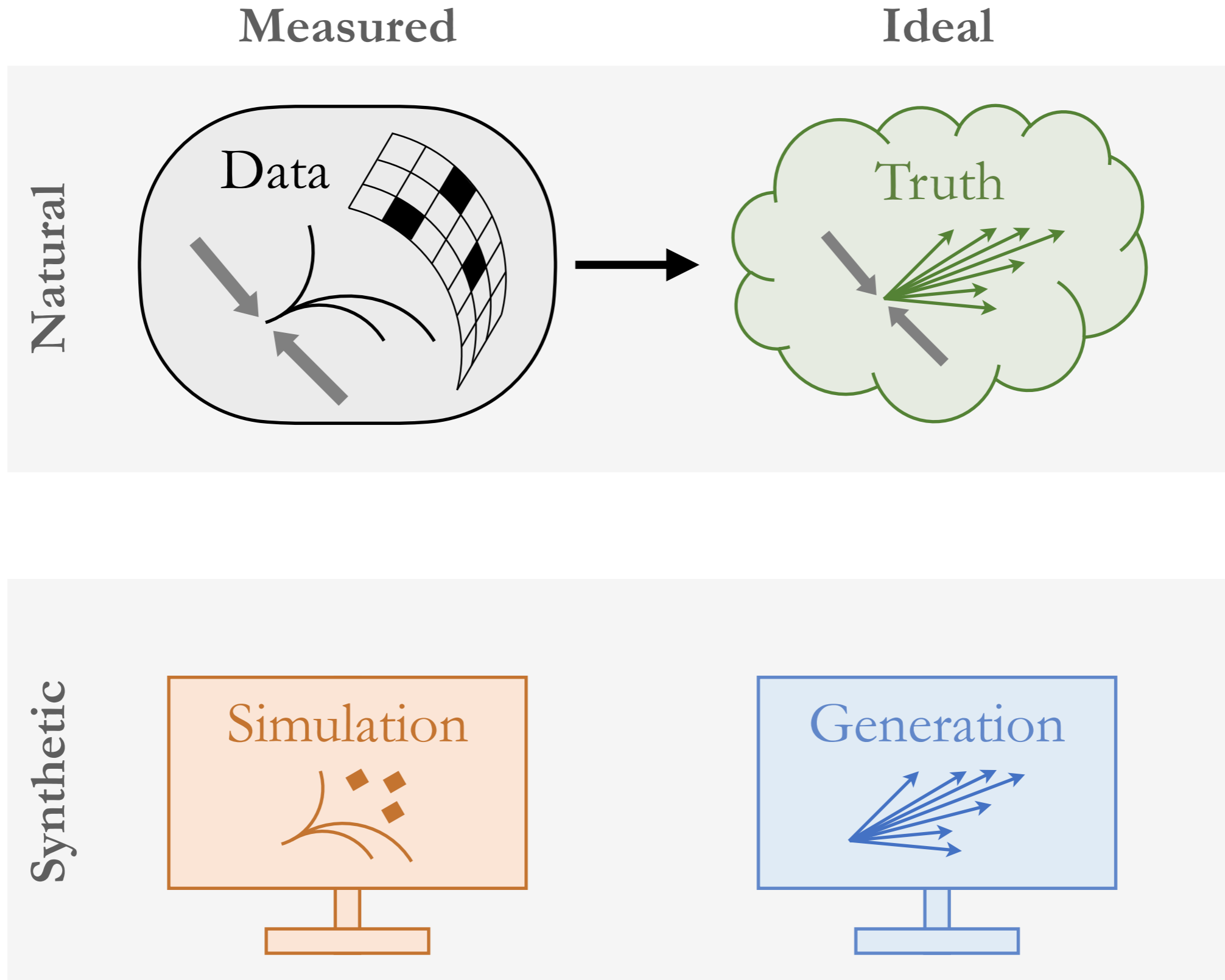
Challenge: **unfold multidimensional phase space**



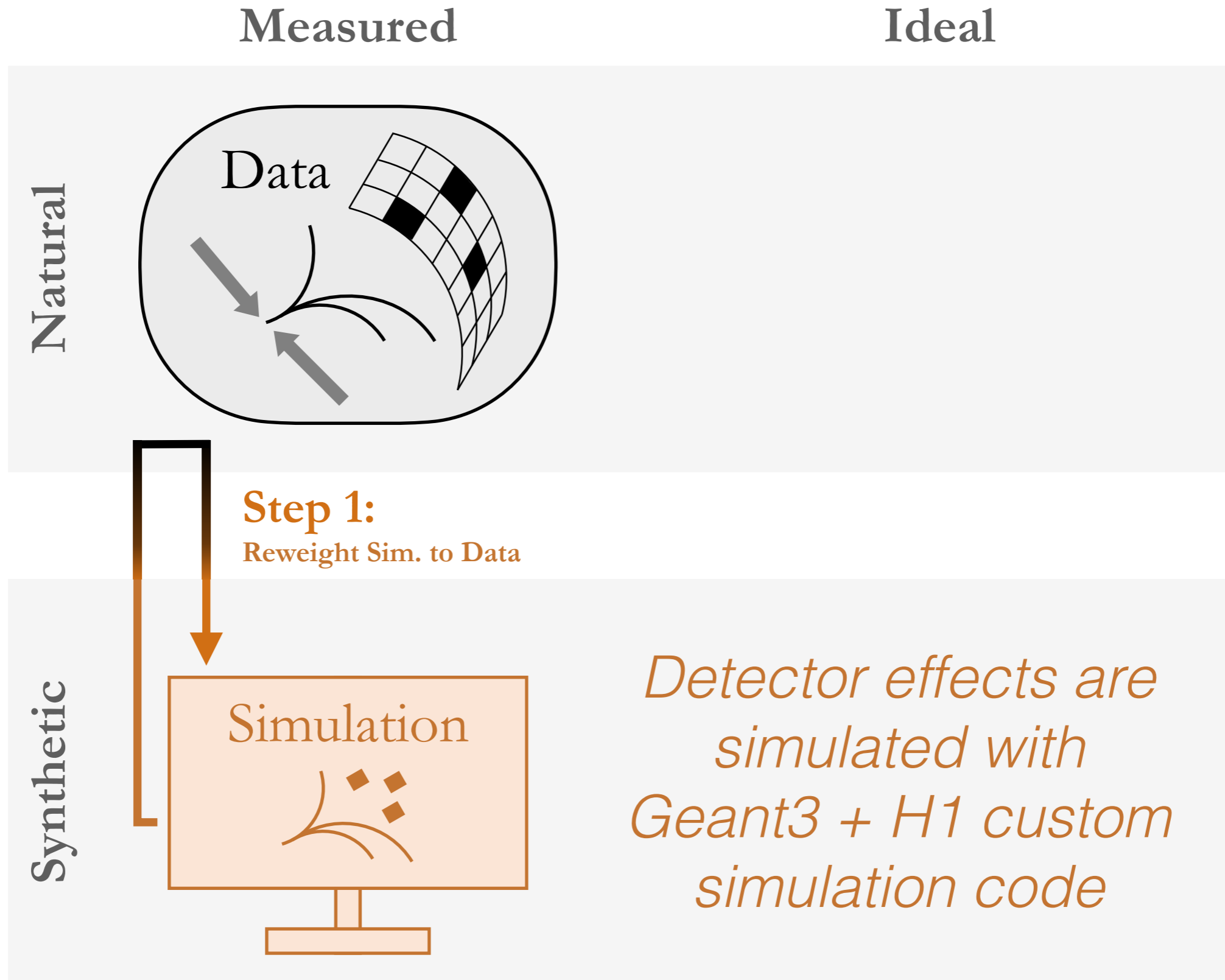
# Unfold by iterating: OmniFold



# Unfold by iterating: OmniFold



# Unfold by iterating: OmniFold



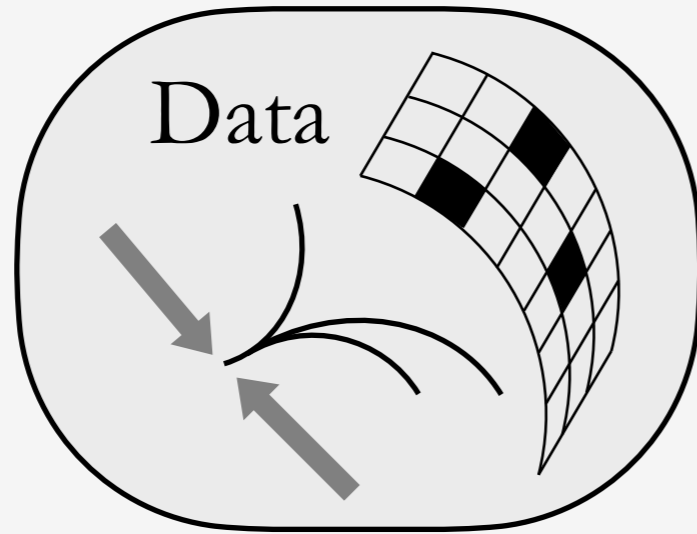
# Unfold by iterating: OmniFold



Measured

Ideal

Natural

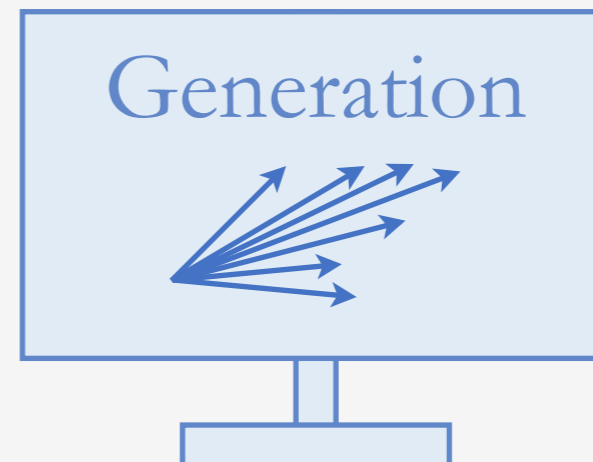
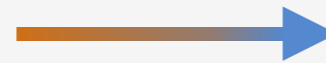


*Our default simulations use RAPGAP and DJANGO*

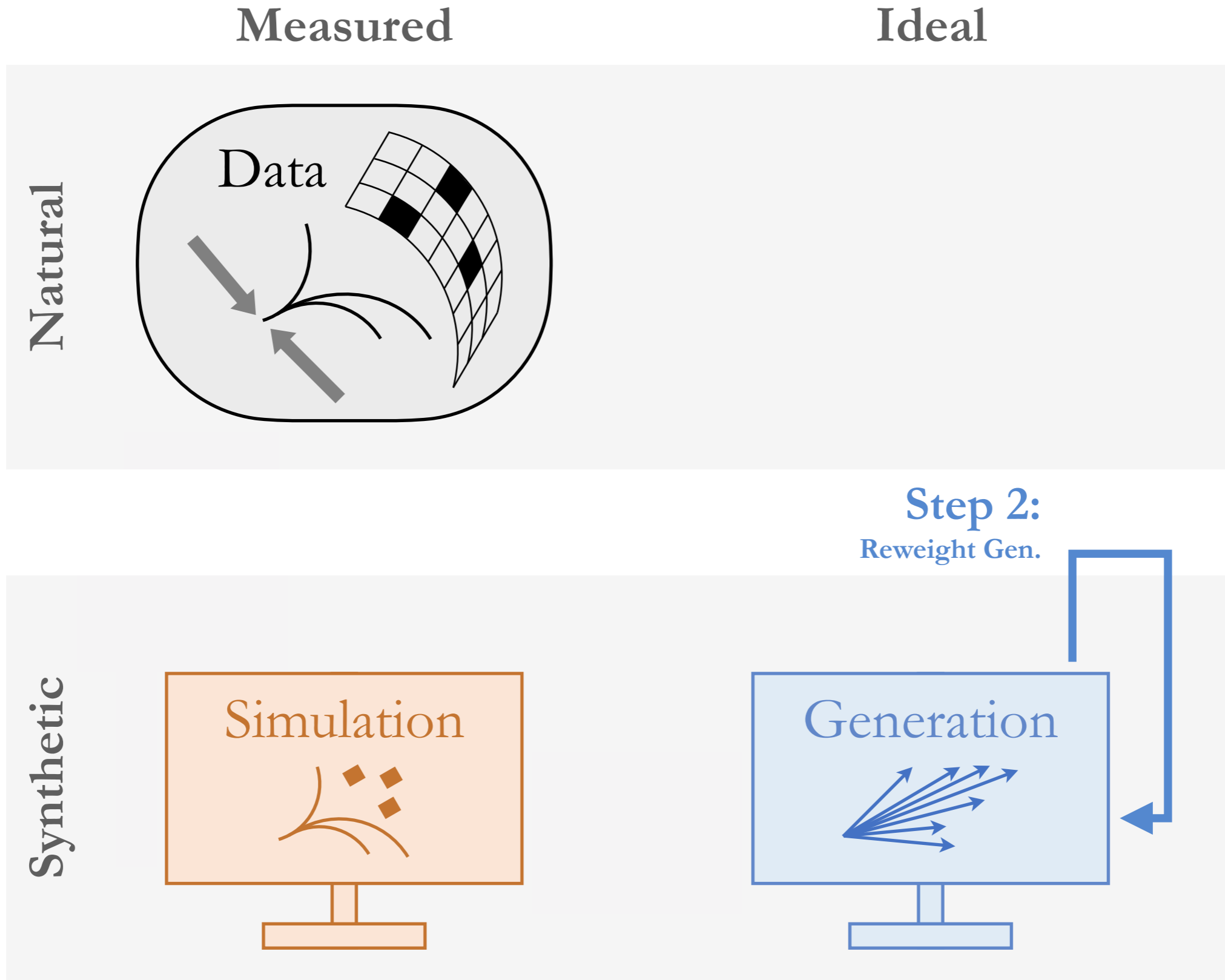
Synthetic



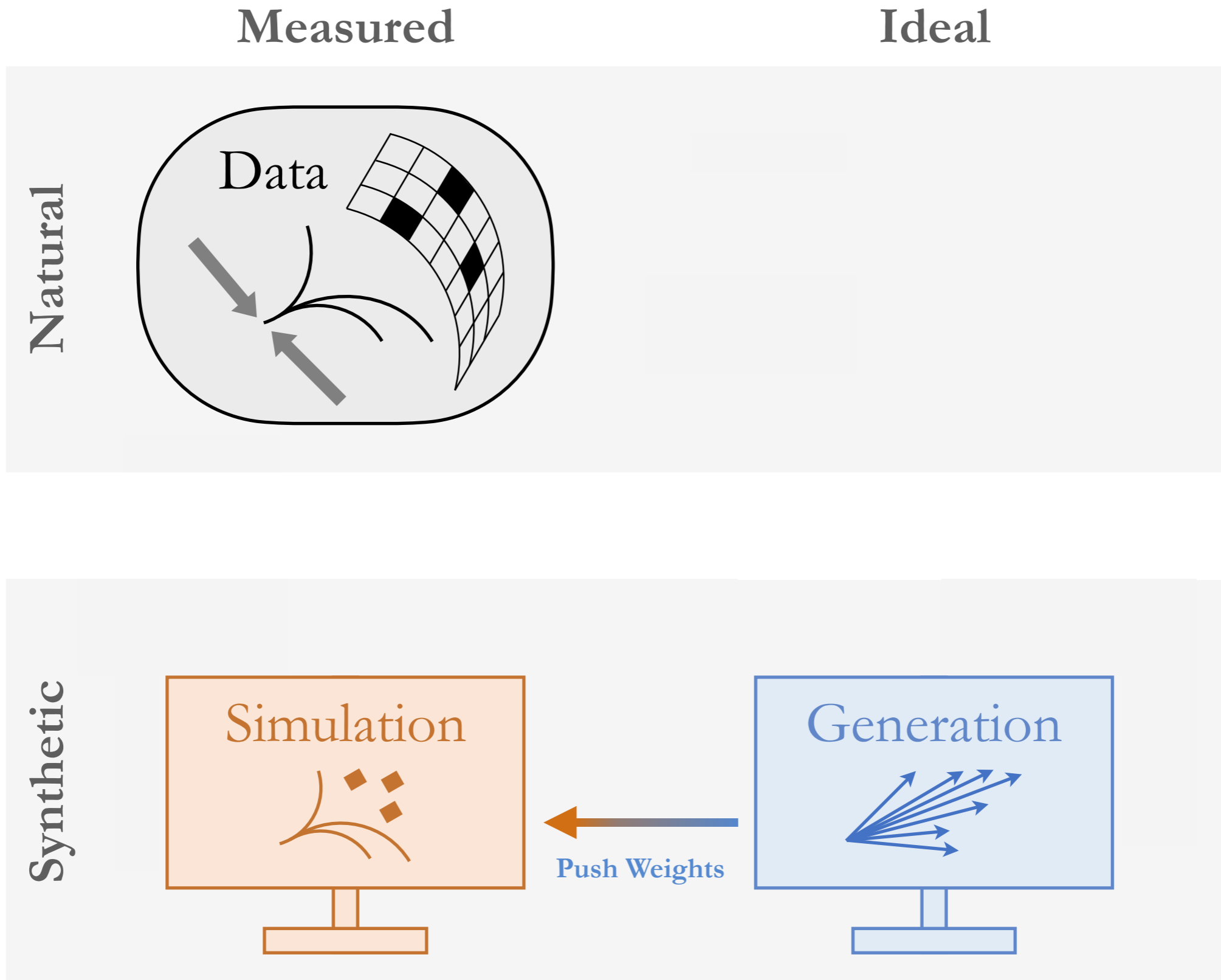
Pull Weights



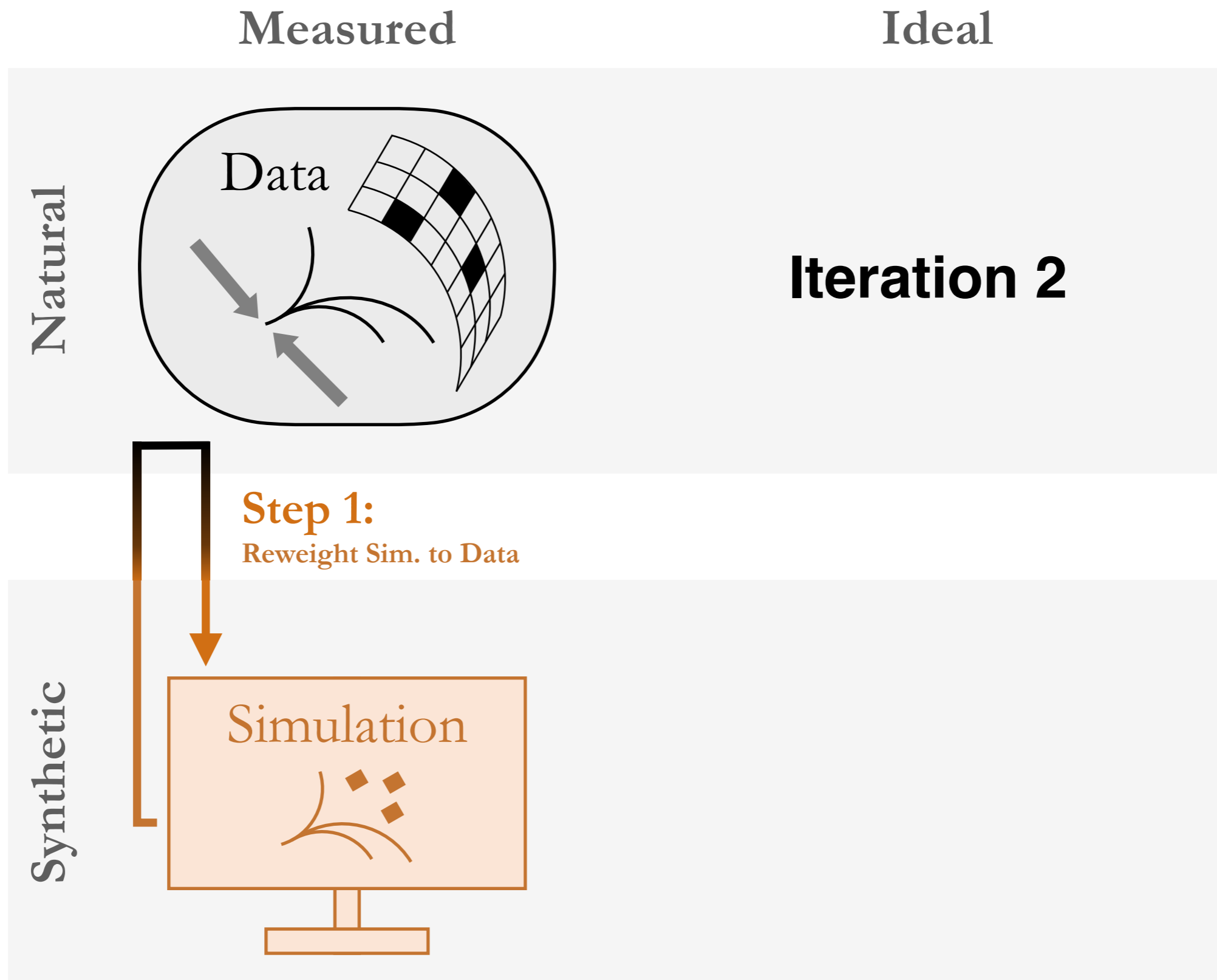
# Unfold by iterating: OmniFold



# Unfold by iterating: OmniFold



# Unfold by iterating: OmniFold

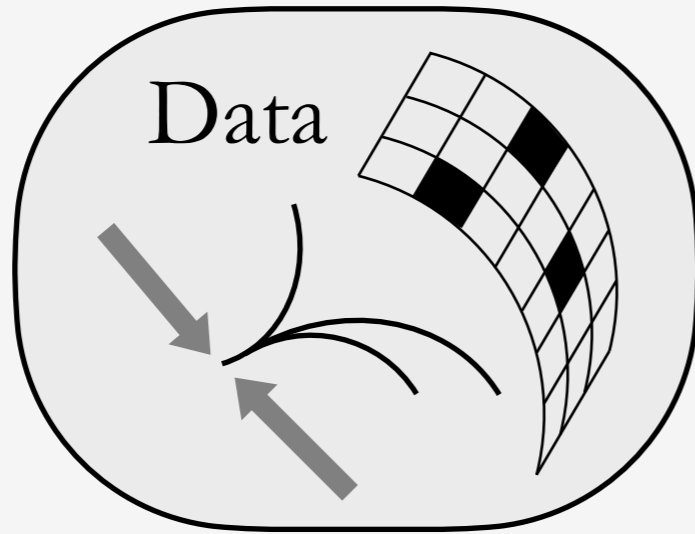


# Unfold by iterating: OmniFold

Measured

Ideal

Natural

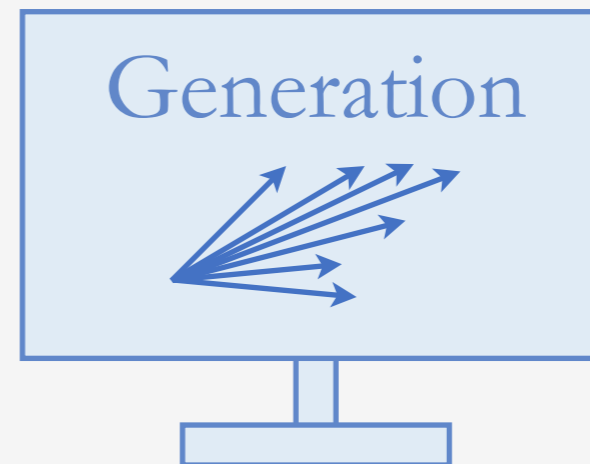
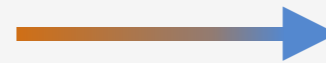


Iteration 2

Synthetic

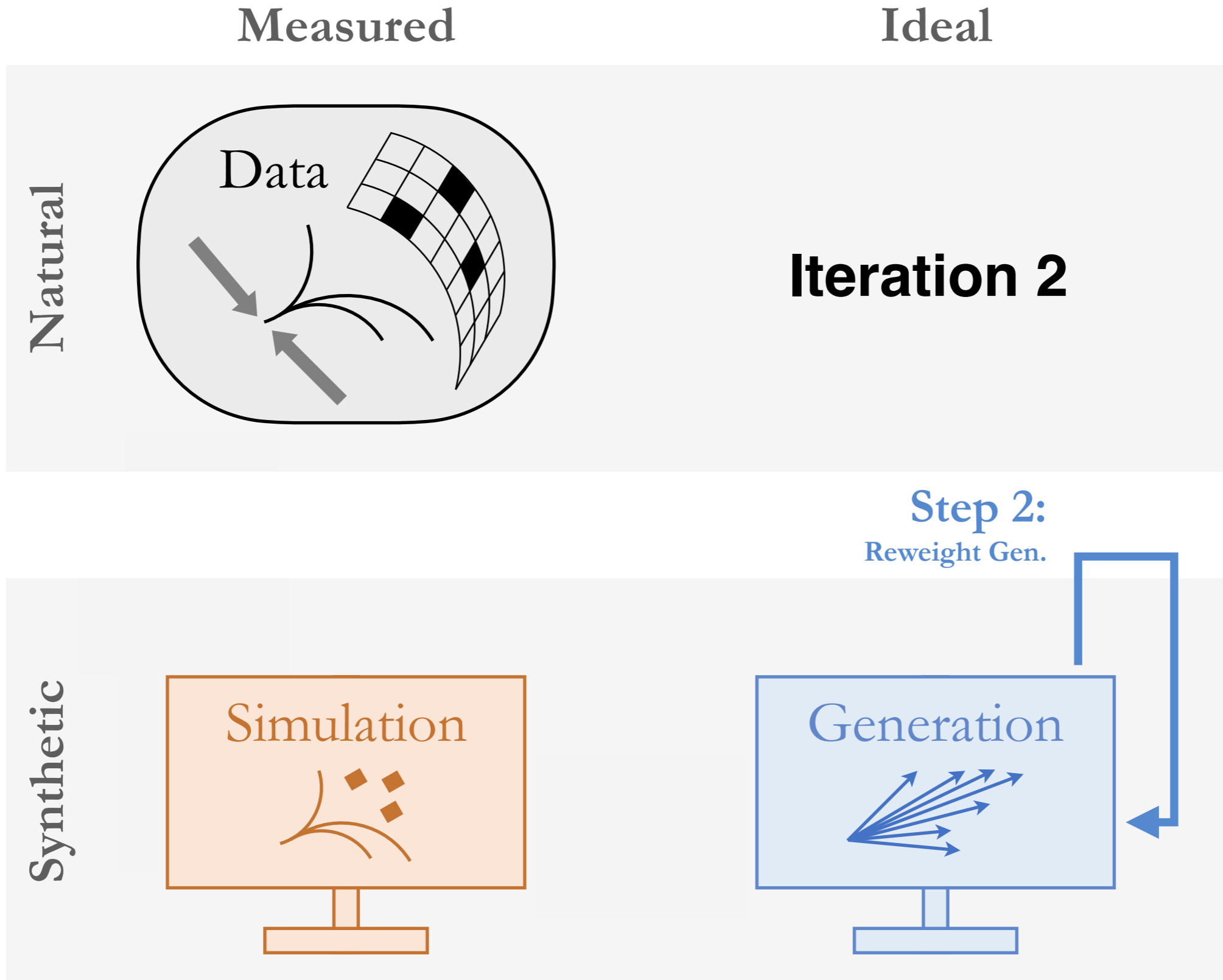


Pull Weights

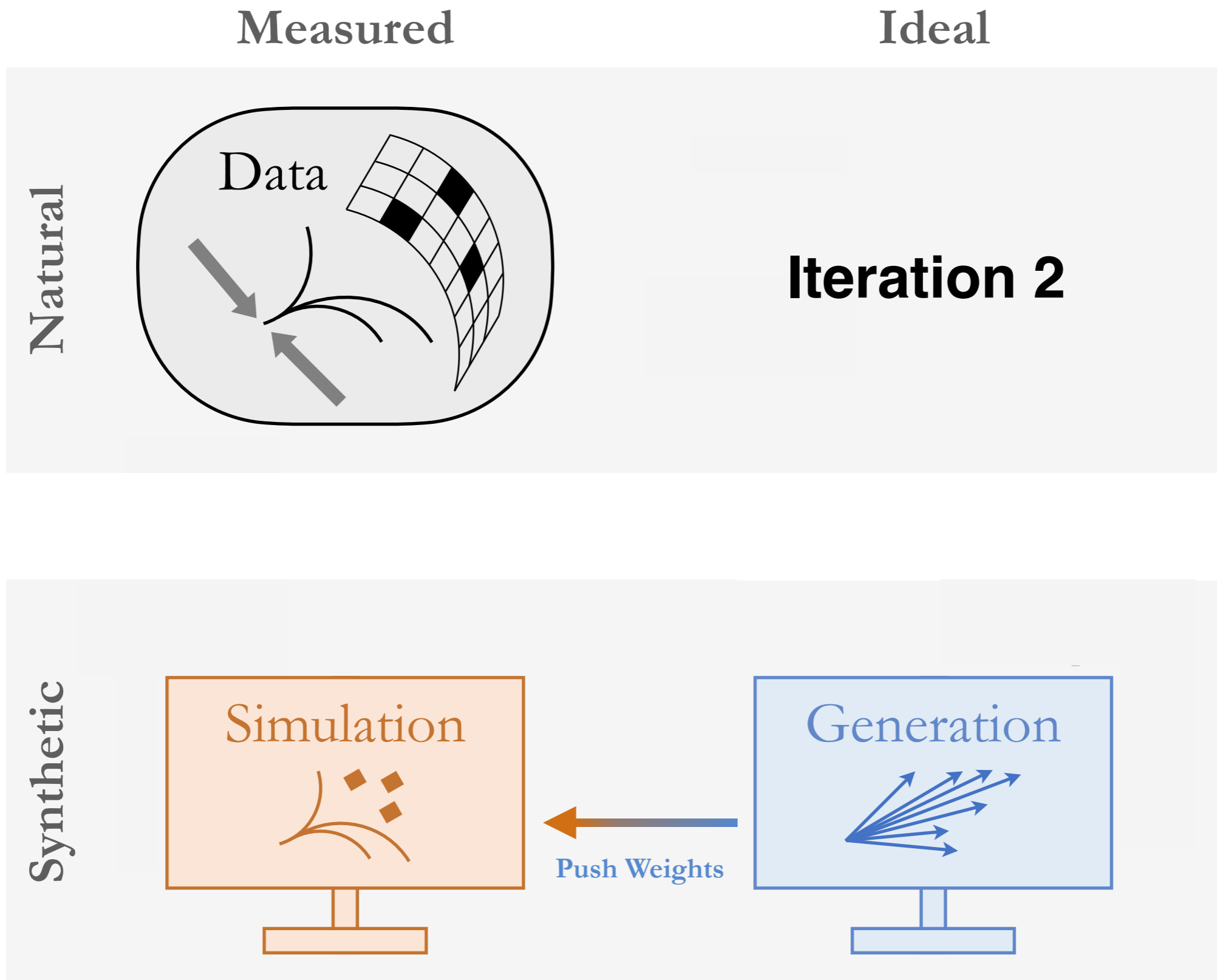




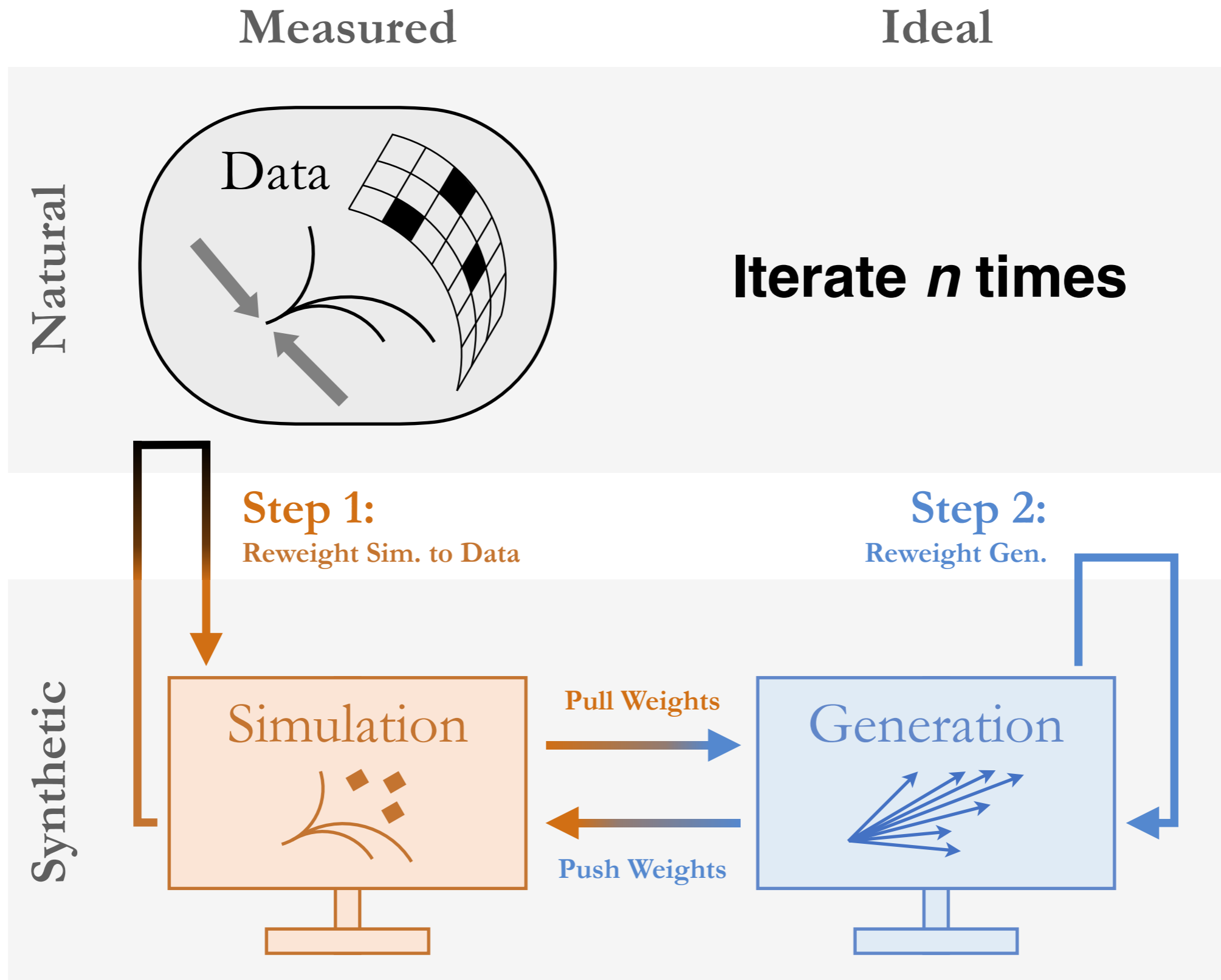
# Unfold by iterating: OmniFold



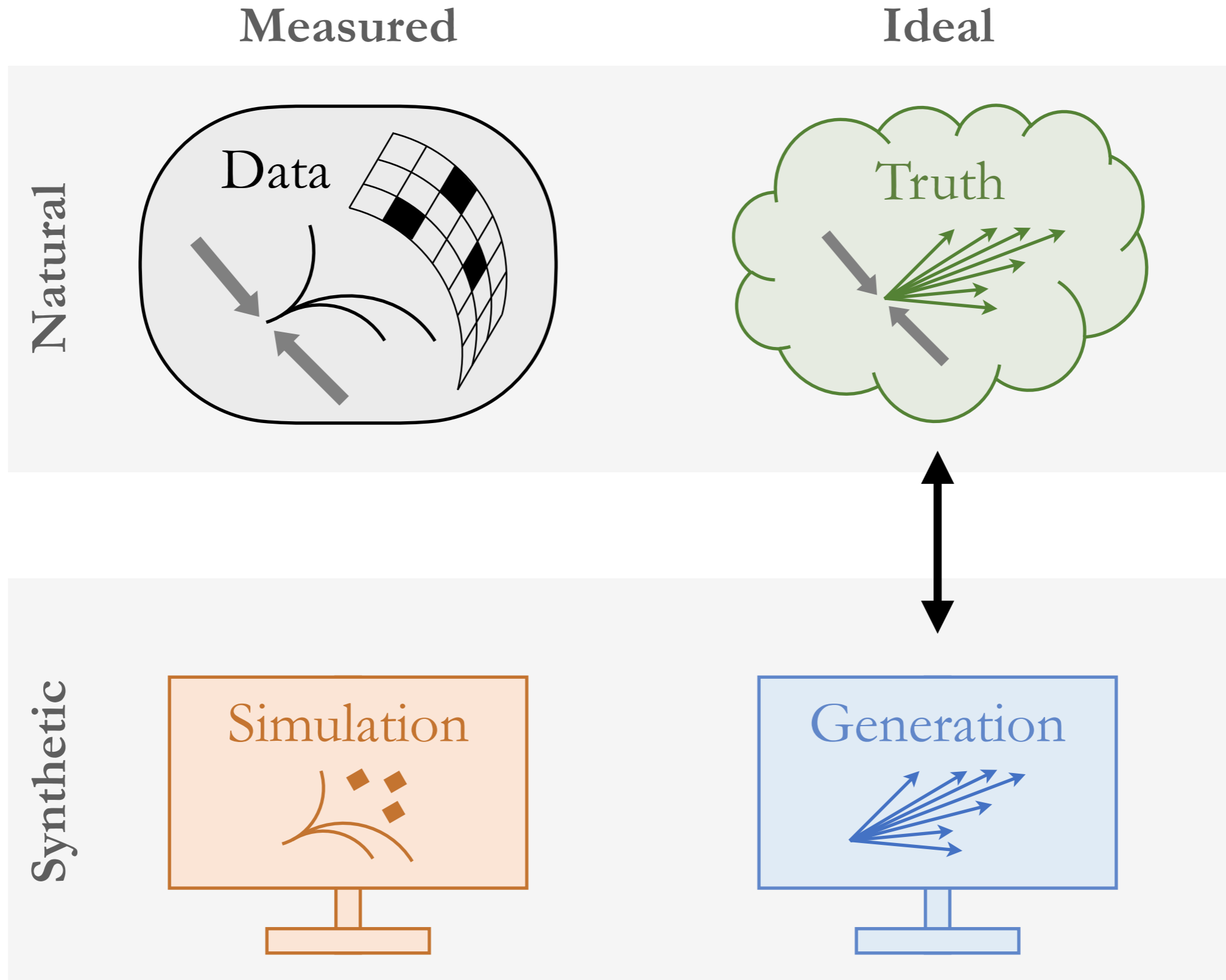
# Unfold by iterating: OmniFold



# Unfold by iterating: OmniFold



# Unfold by iterating: OmniFold



# Unfold by iterating: OmniFold

21

OmniFold is:

- *Unbinned*
- *Maximum likelihood*
- *Full phase space (compute observables post-facto)*
- *Improves the resolution from auxiliary features*

Synthetic



Measured

Ideal

Data

Truth

# Unfold by iterating: OmniFold

22

OmniFold is:

- *Unbinned*
- *Maximum likelihood*
- *Full phase space (compute observables post-facto)*
- *Improves the resolution from auxiliary features*

In this measurement: simultaneously unfold lepton and jet kinematics and report binned spectra for jet  $p_T$ ,  $\Delta\phi$ ,  $q_T/Q$ , and jet  $\eta$

# Classification for reweighting

23

Neural networks are naturally unbinned and readily process high-dimensional data.

# Classification for reweighting

24

Neural networks are naturally unbinned and readily process high-dimensional data.

*We use a trick whereby classifiers can be repurposed as reweighters*



# Classification for reweighting

25

Neural networks are naturally unbinned and readily process high-dimensional data.

*We use a trick whereby classifiers can be repurposed as reweighters*

$$\frac{p_1(x)}{p_0(x)} \approx \frac{\text{NN}(x)}{1 - \text{NN}(x)}$$

Classifier (NN)  
trained to distinguish  
data sampled from  $p_1$   
versus  $p_0$ .

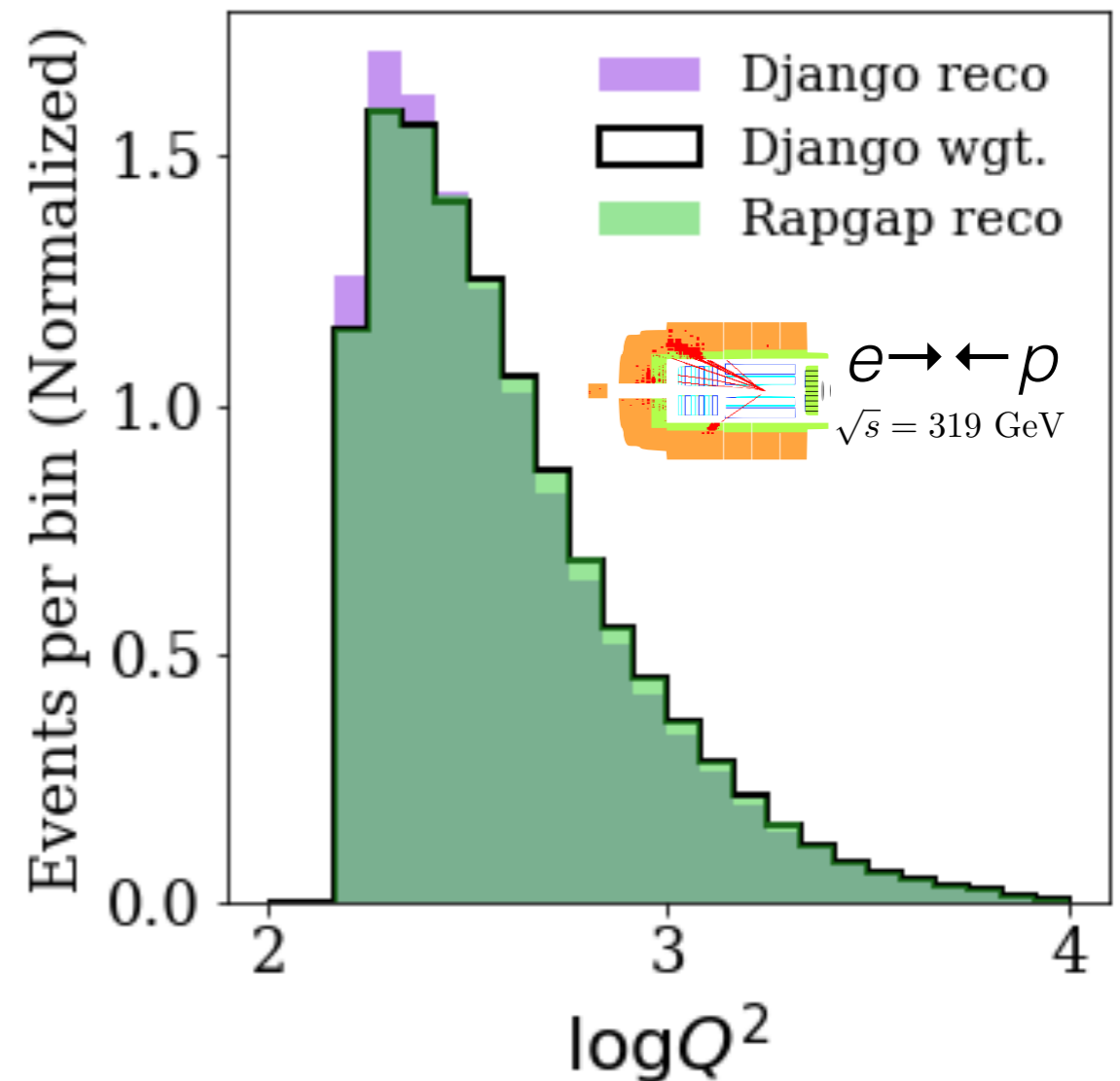
# Classification for reweighting

26

Neural networks are naturally unbinned and readily process high-dimensional data.

*We use a trick whereby classifiers can be repurposed as reweighters*

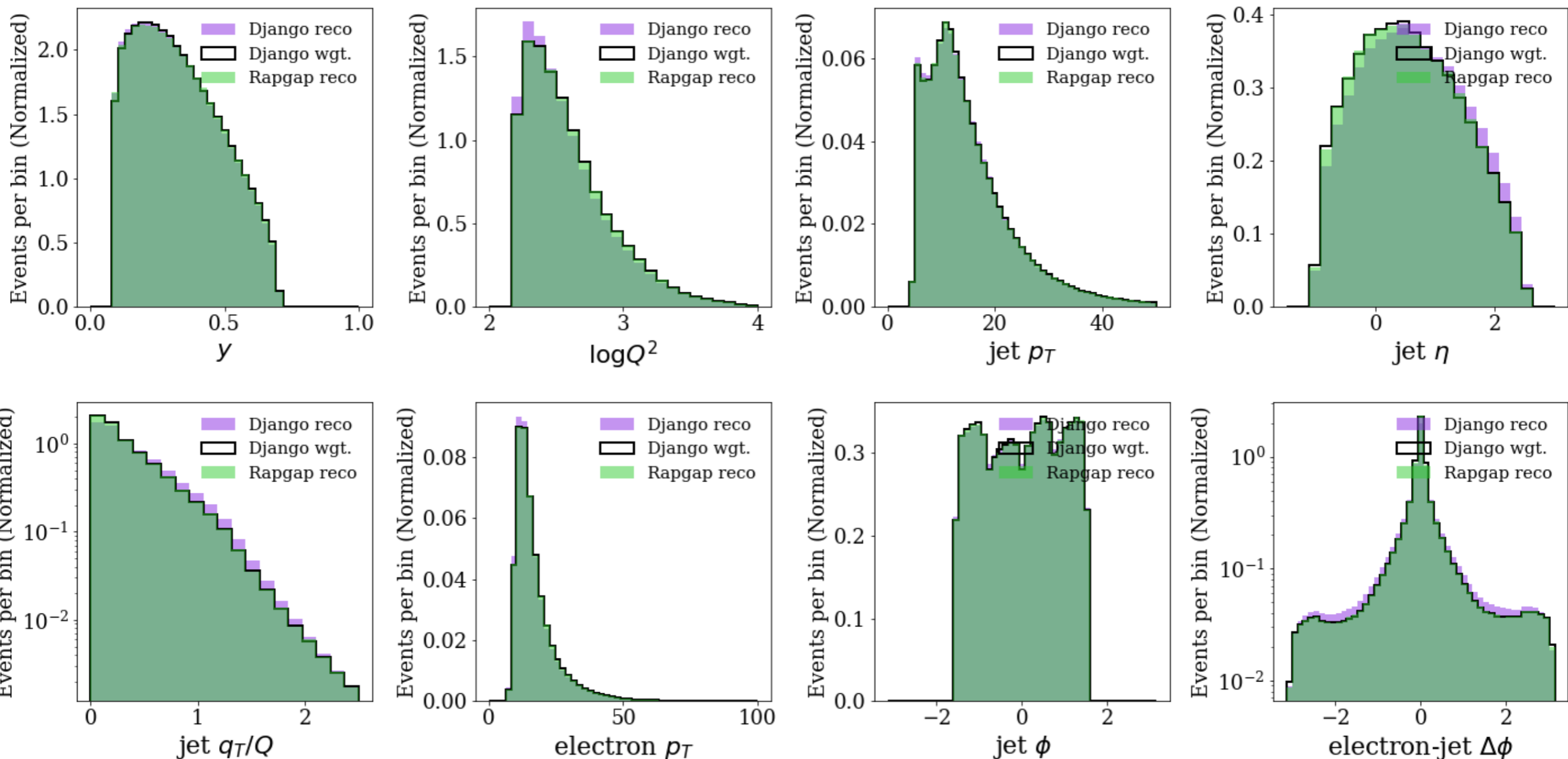
N.B. the distribution is binned for illustration, but the reweighting is unbinned.



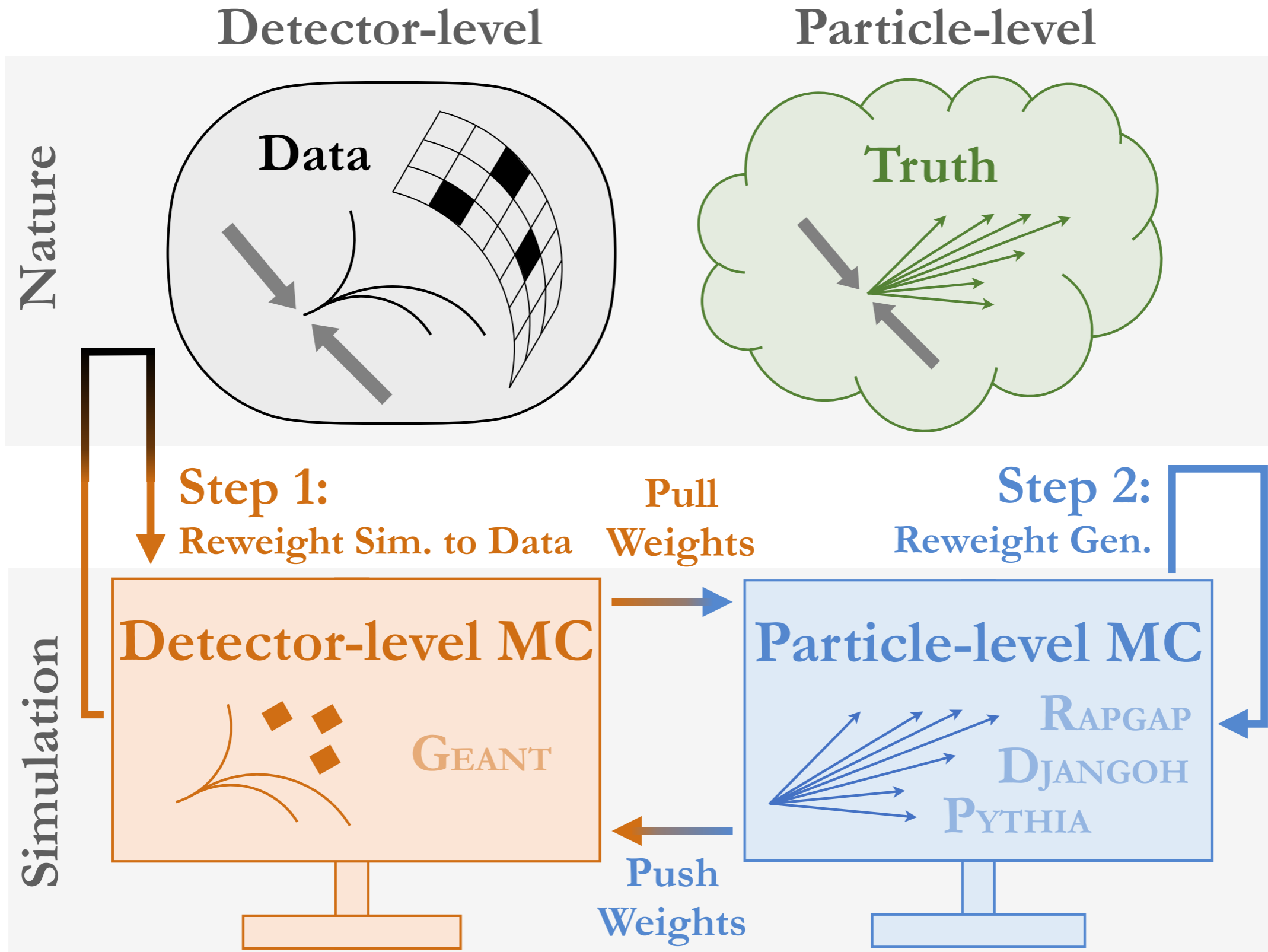
# Classification for reweighting

27

All of these distributions are simultaneously reweighted!



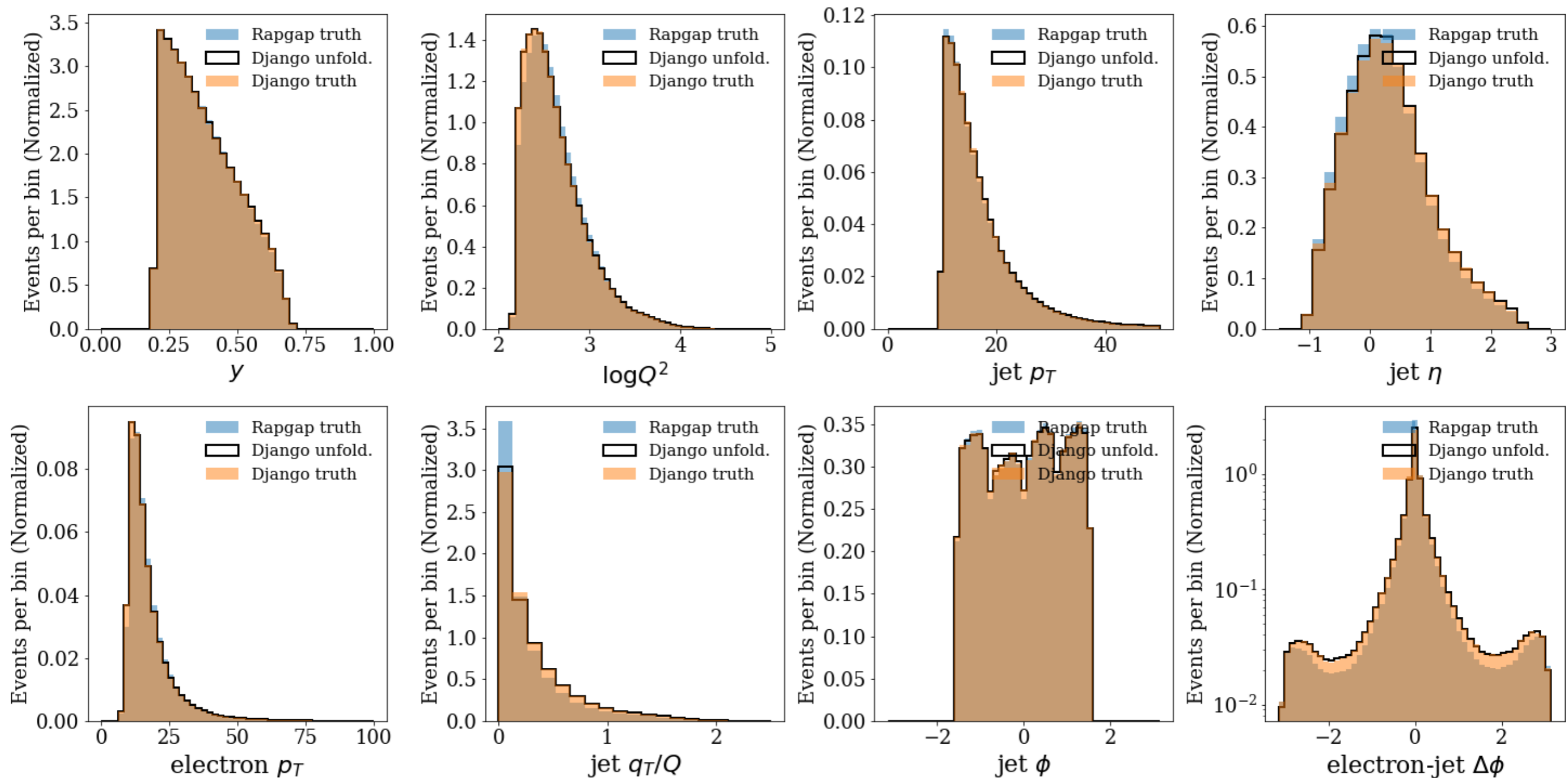
# Unfold by iterating: OmniFold



# OmniFolding $ep$ simulations

29

We see excellent closure for the full phase space!



2108.12376

DESY 21-130, ISSN 0418-9833

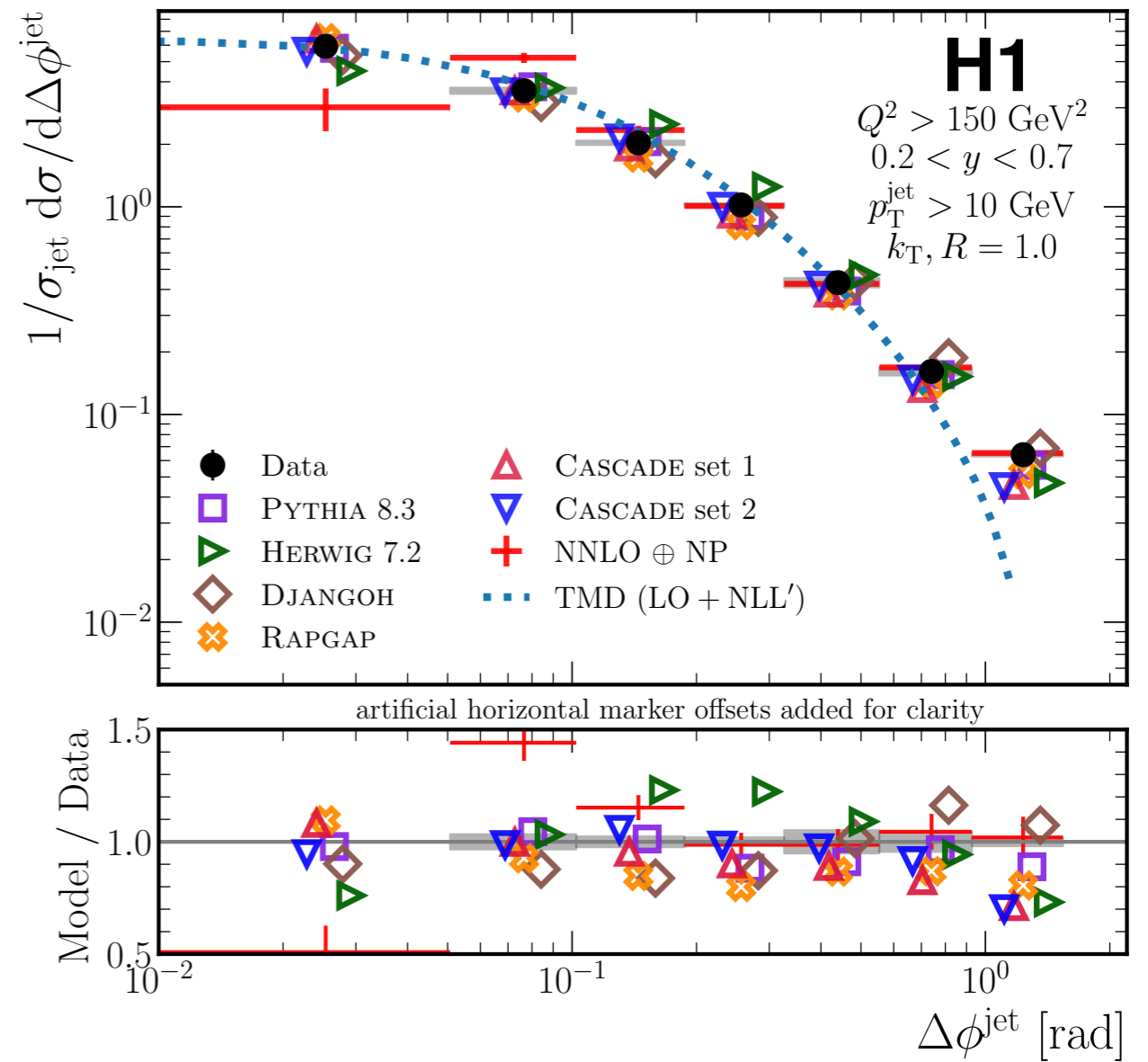
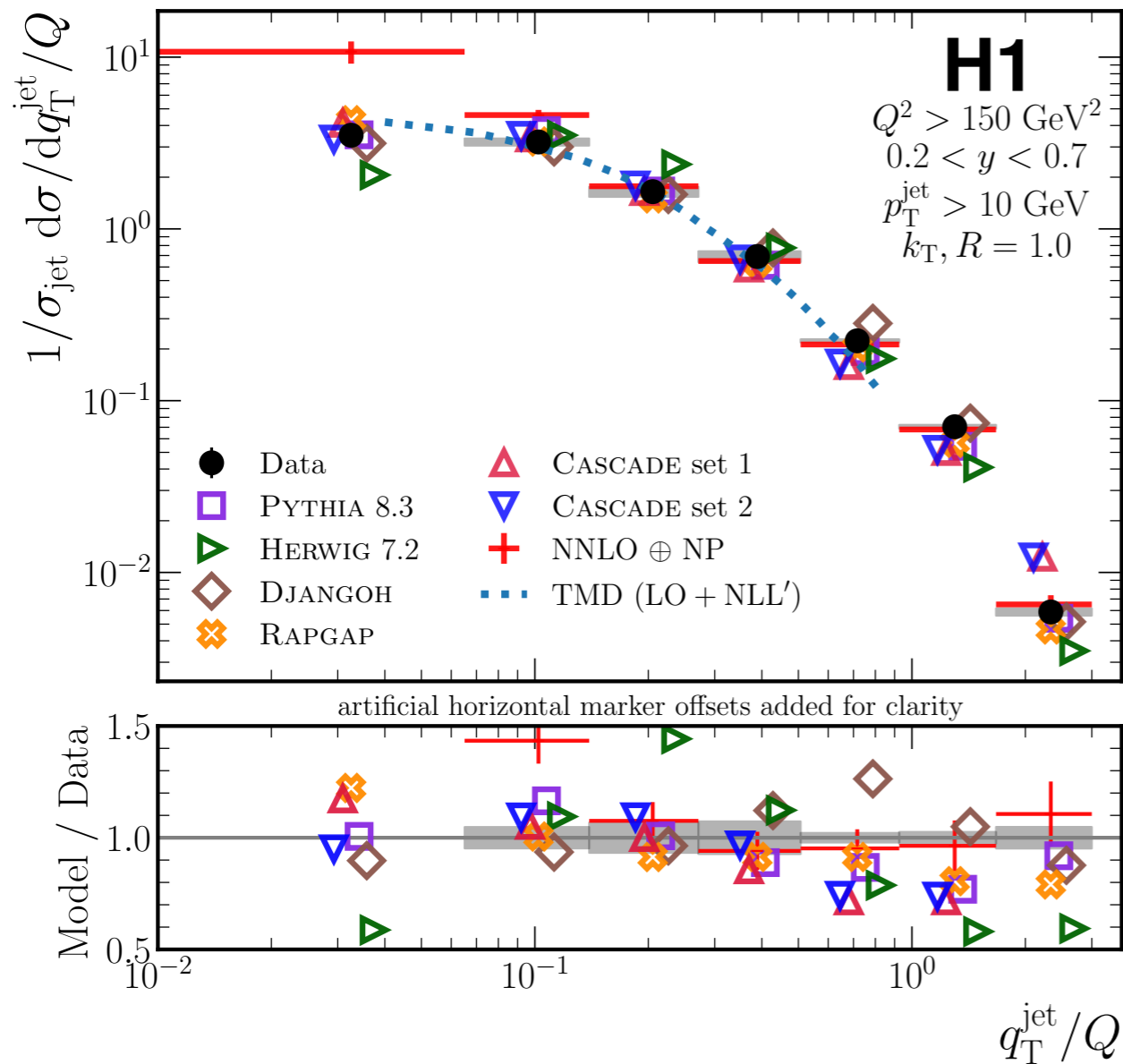
## Measurement of lepton-jet correlation in deep-inelastic scattering with the H1 detector using machine learning for unfolding

H1 Collaboration\*

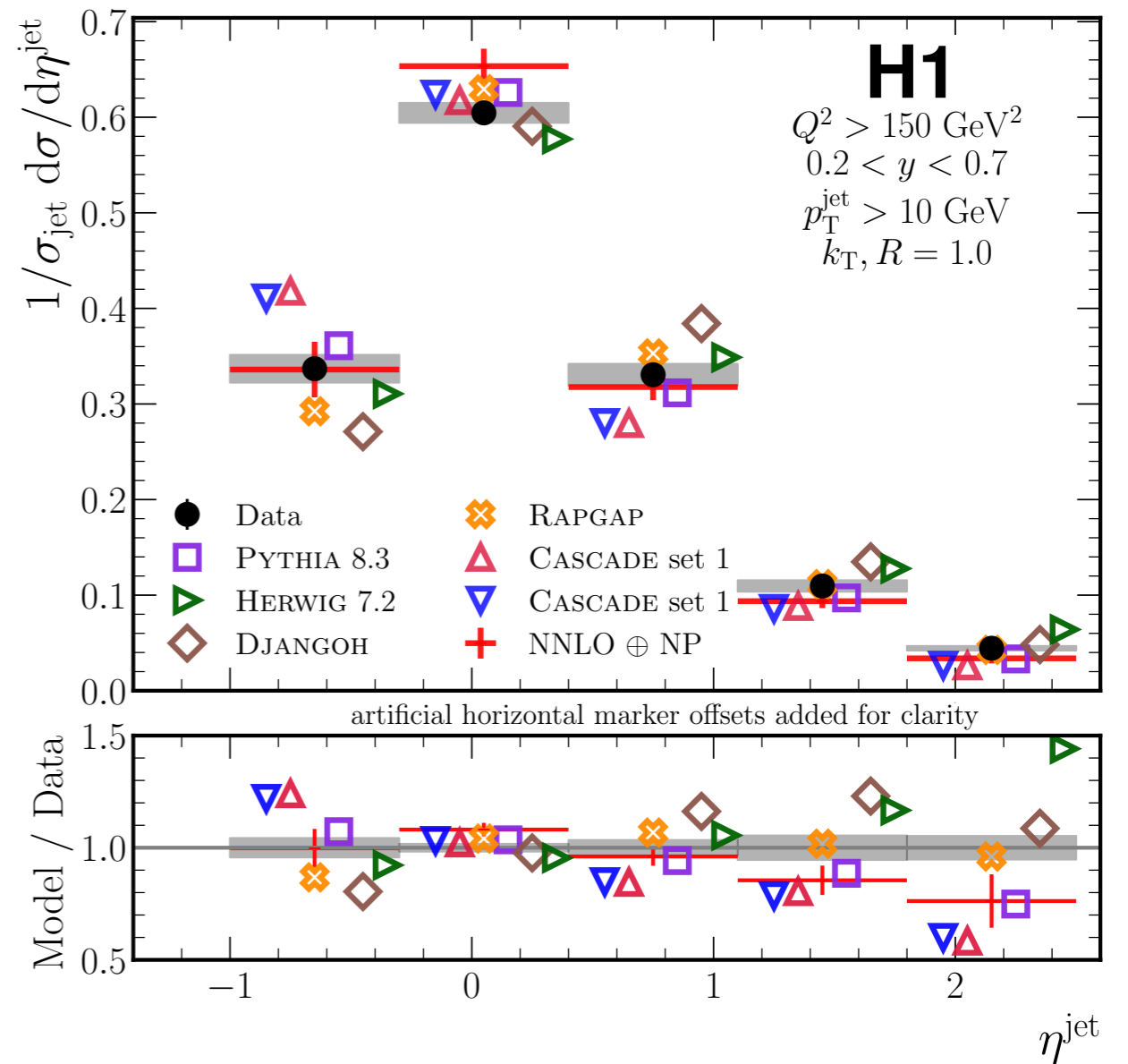
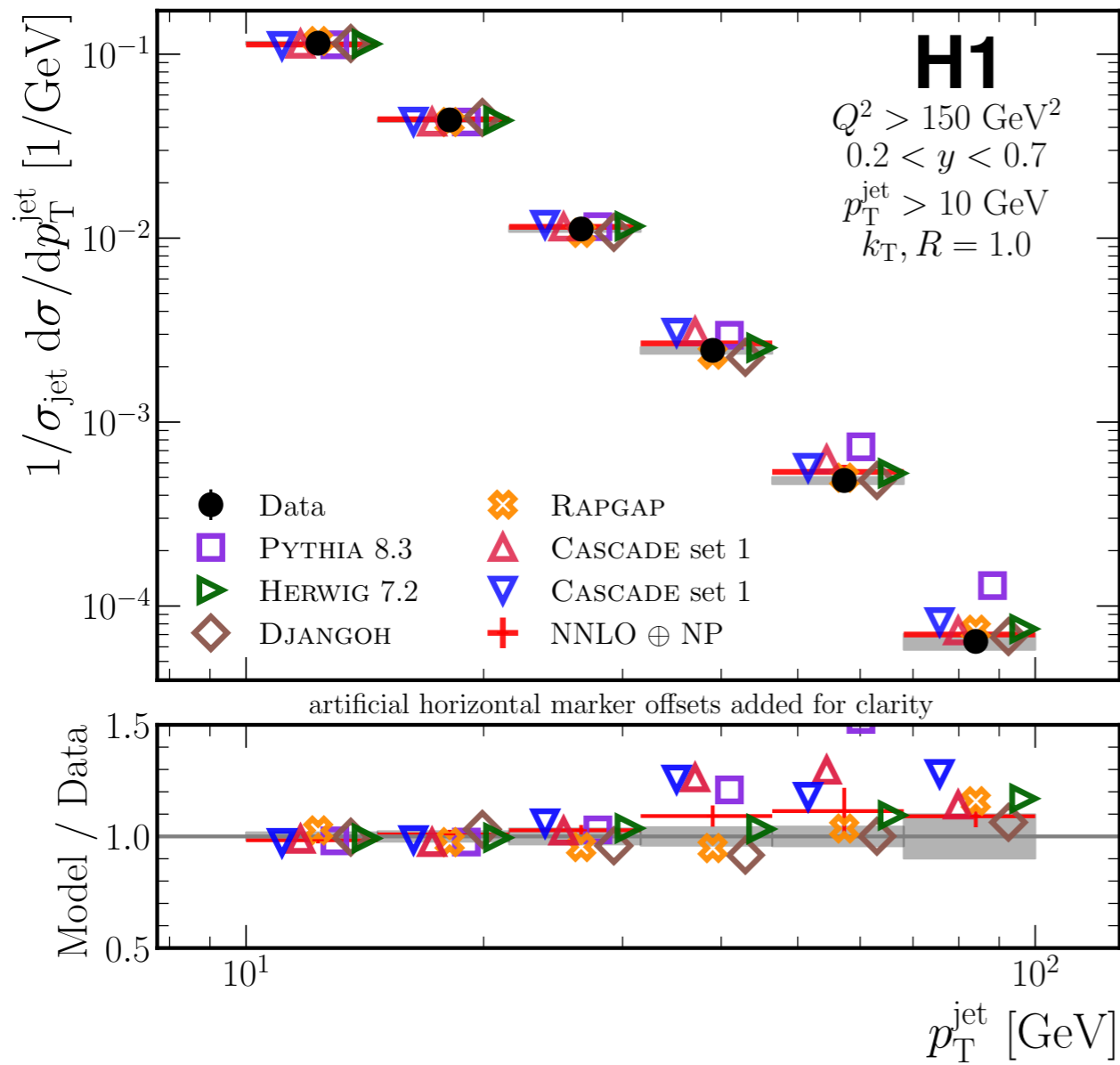
(To be submitted to Physical Review Letters)

(Dated: August 30, 2021)

The first measurement of lepton-jet momentum imbalance and azimuthal correlation in lepton-proton scattering at high momentum transfer is presented. These data, taken with the H1 detector at HERA, are corrected for detector effects using an unbinned machine learning algorithm (OMNIFOLD), which considers eight observables simultaneously in this first application. The unfolded cross sections are compared to calculations performed within the context of collinear or transverse-momentum-dependent (TMD) factorization in Quantum Chromodynamics (QCD) as well as Monte Carlo event generators. The measurement probes a wide range of QCD phenomena, including TMD parton distribution functions and their evolution with energy in so far unexplored kinematic regions.



Excellent agreement with fixed order at high  $q_T$ ,  
 excellent agreement with TMD prediction at low  $q_T$ .



Parton shower Monte Carlo programs also provide excellent agreement with the data across the spectra.



# ZEUS measurement



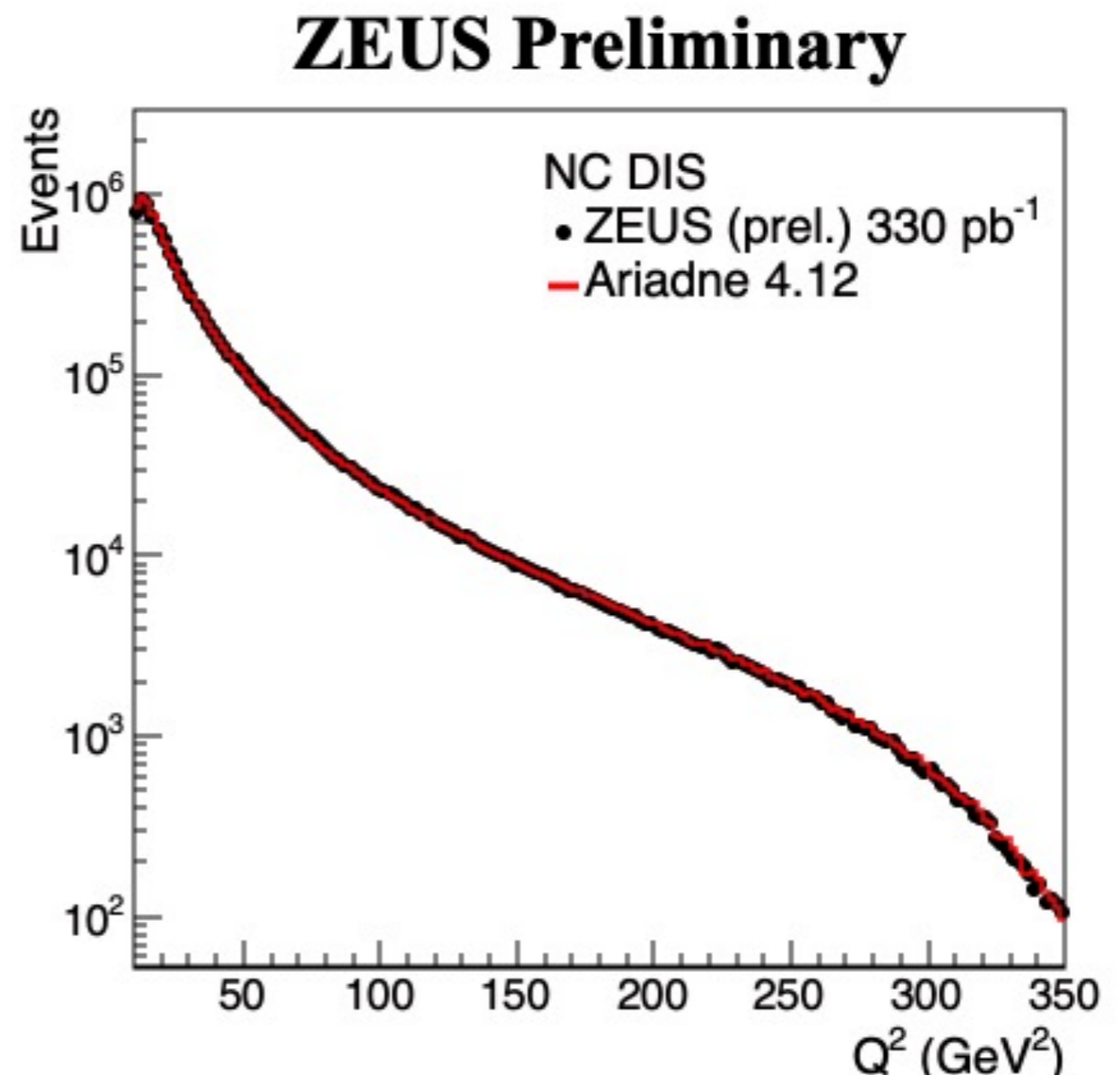
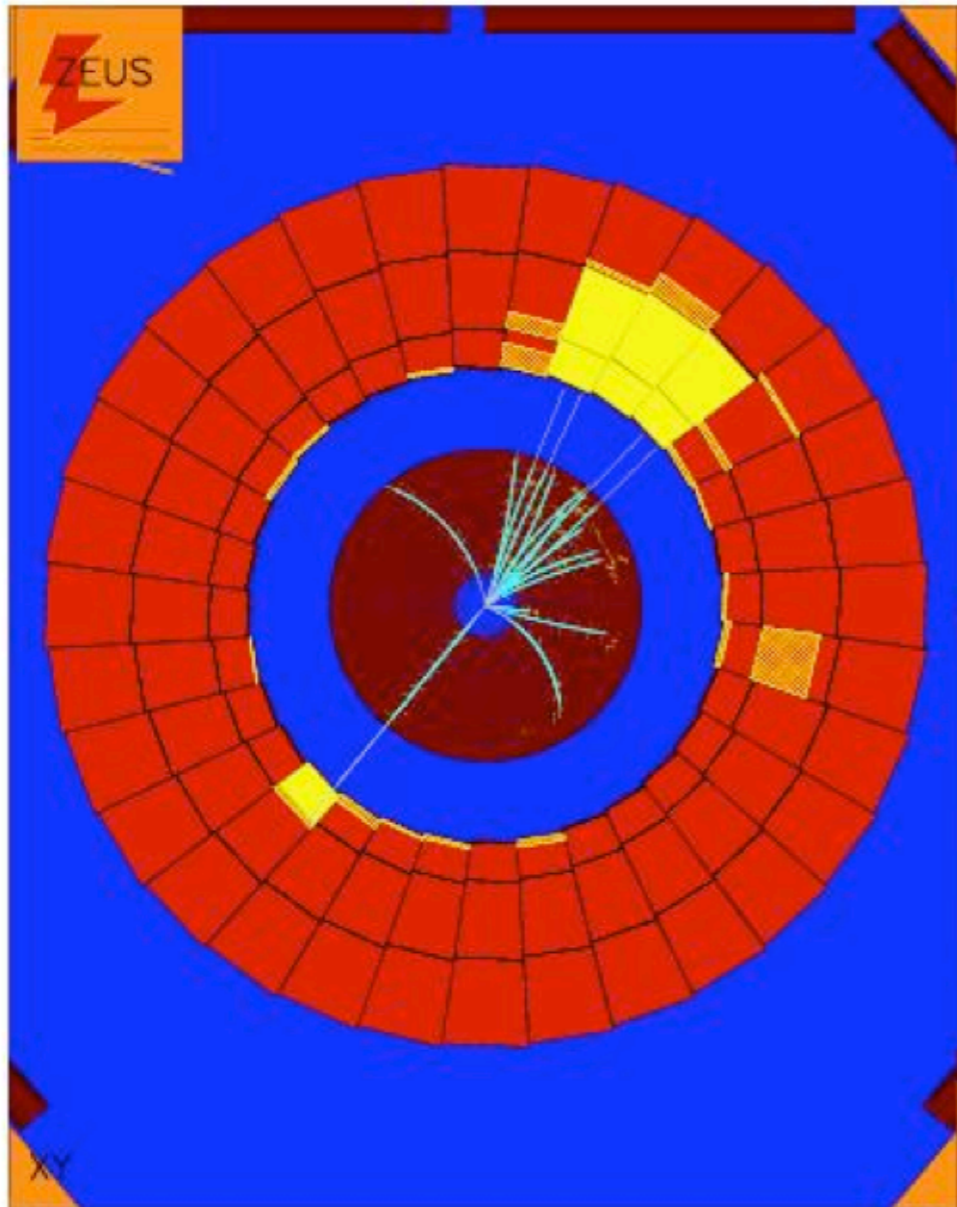
*For further details, see [this DIS talk](#).*

# Setup

34

Similar to H1, but with larger  $Q^2$  and smaller  $|\eta|$  acceptance.

TUnfold for 1D binned unfolding, to be done in bins of  $p_T$ ,  $Q^2$  and jet multiplicity.

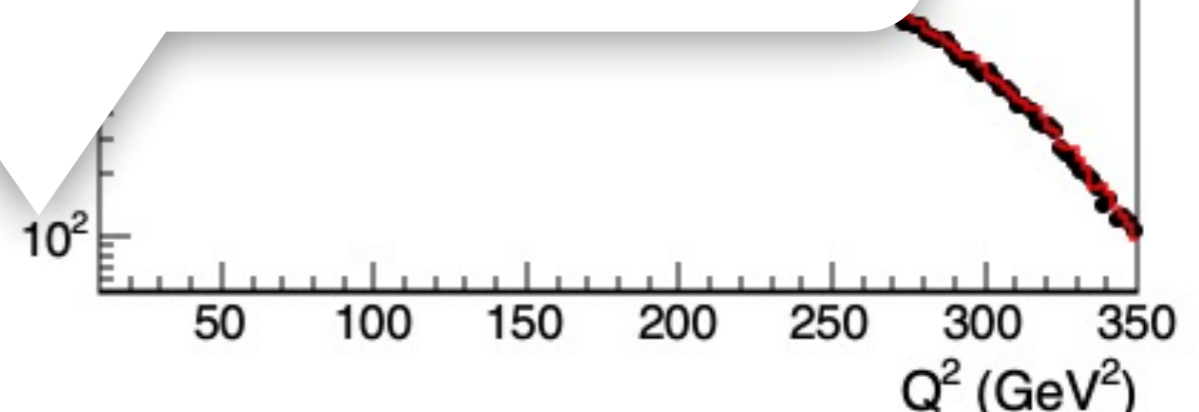
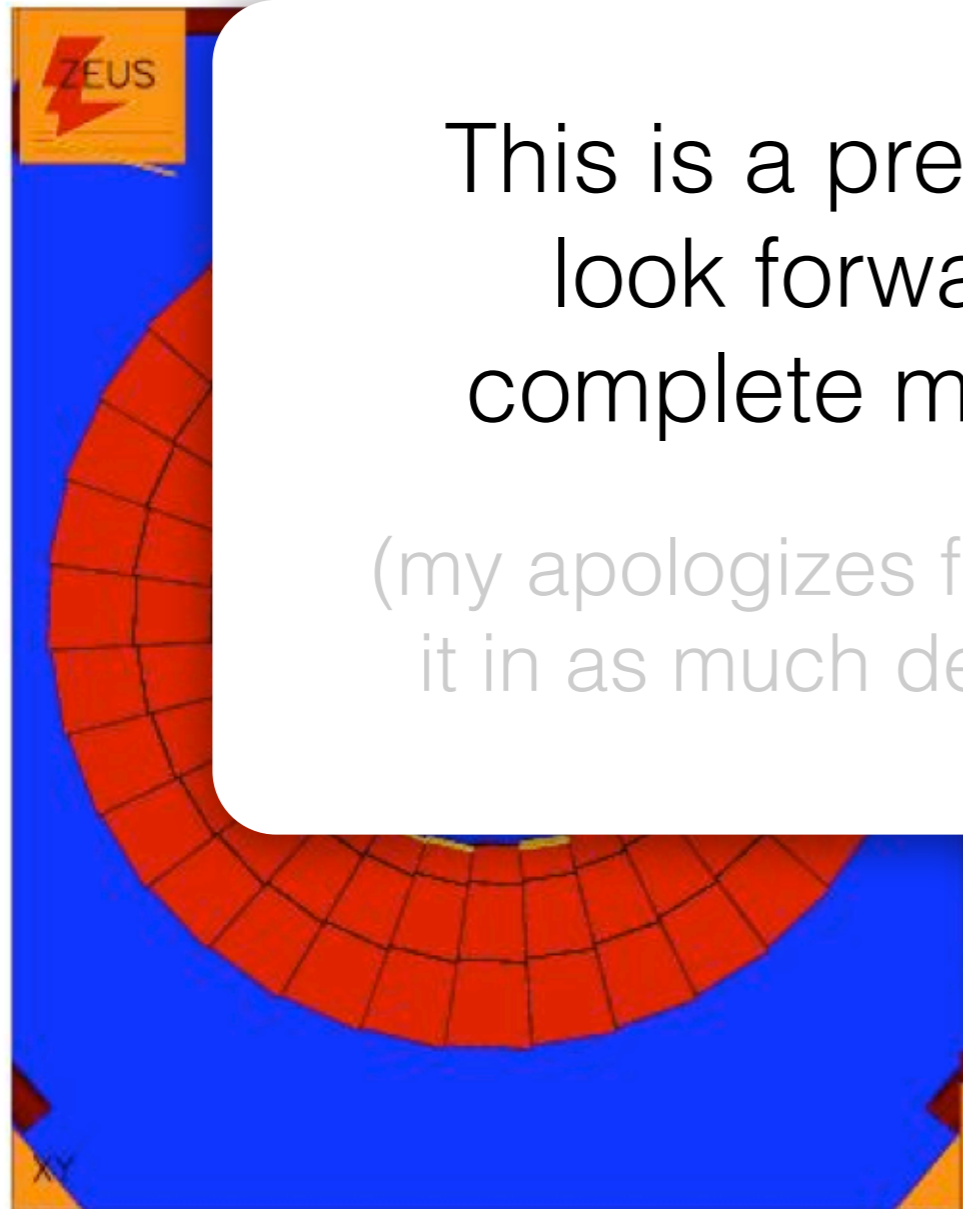


Similar to H1, but with larger  $Q^2$  and smaller  $|\eta|$  acceptance.

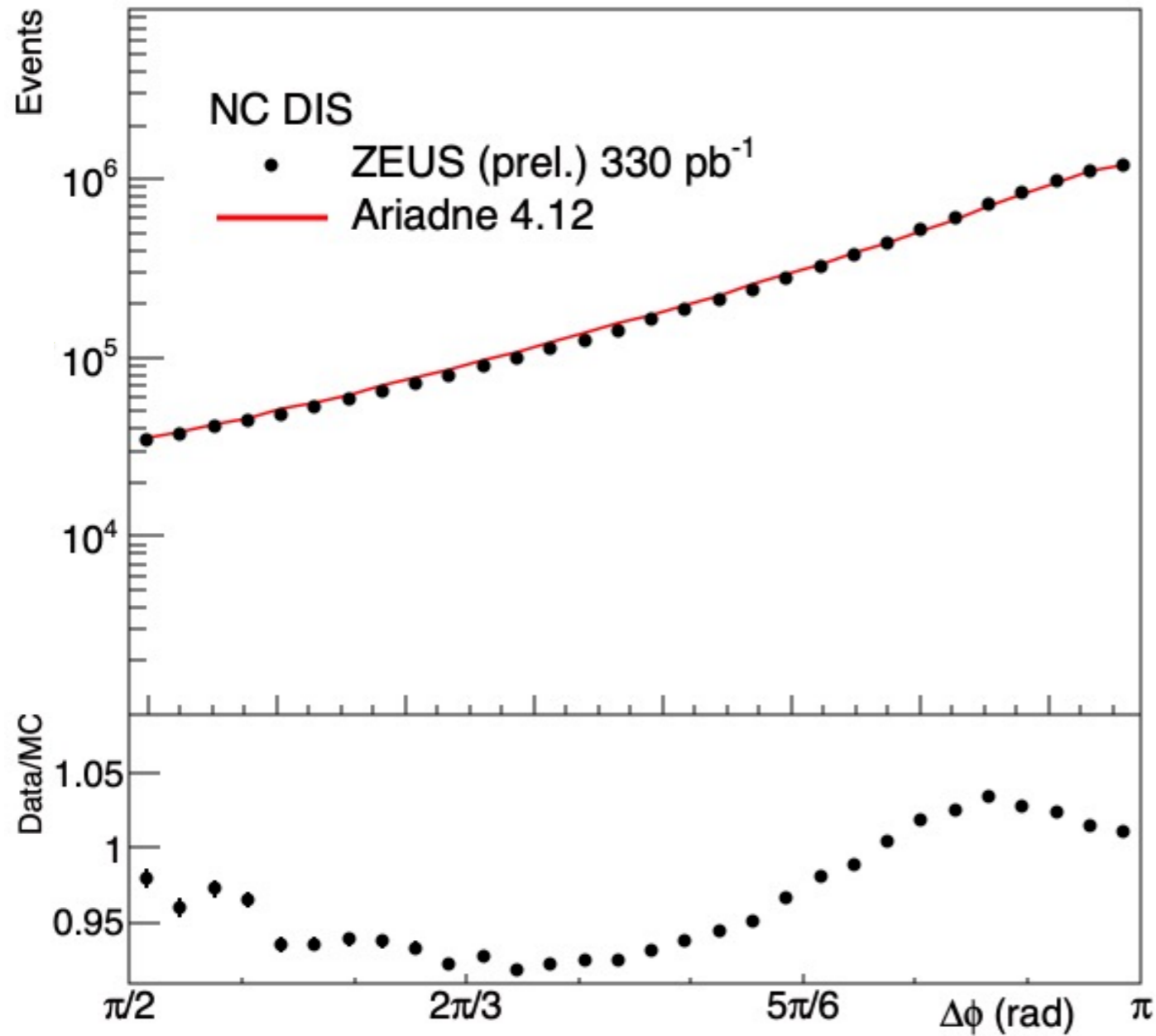
TUnfold for 1D binned unfolding, to be done in bins of  $p_T$ ,  $Q^2$  and jet multiplicity.

This is a preliminary result - we look forward to seeing the complete measurement soon!

(my apologizes for therefore not covering it in as much detail as the H1 analysis)

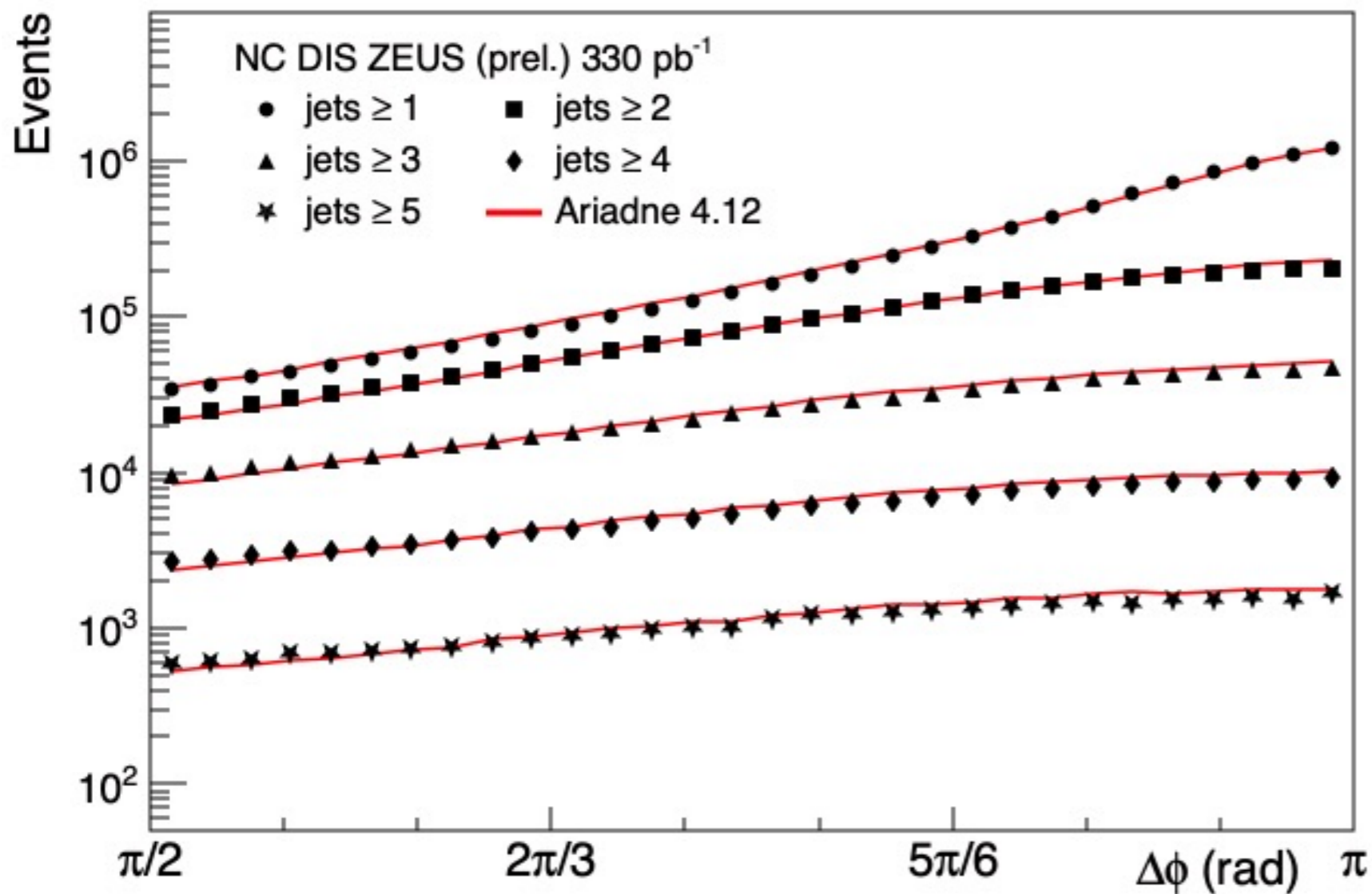


## ZEUS Preliminary



Agreement with  
Ariadne at the  
 $\sim 5\%$  level

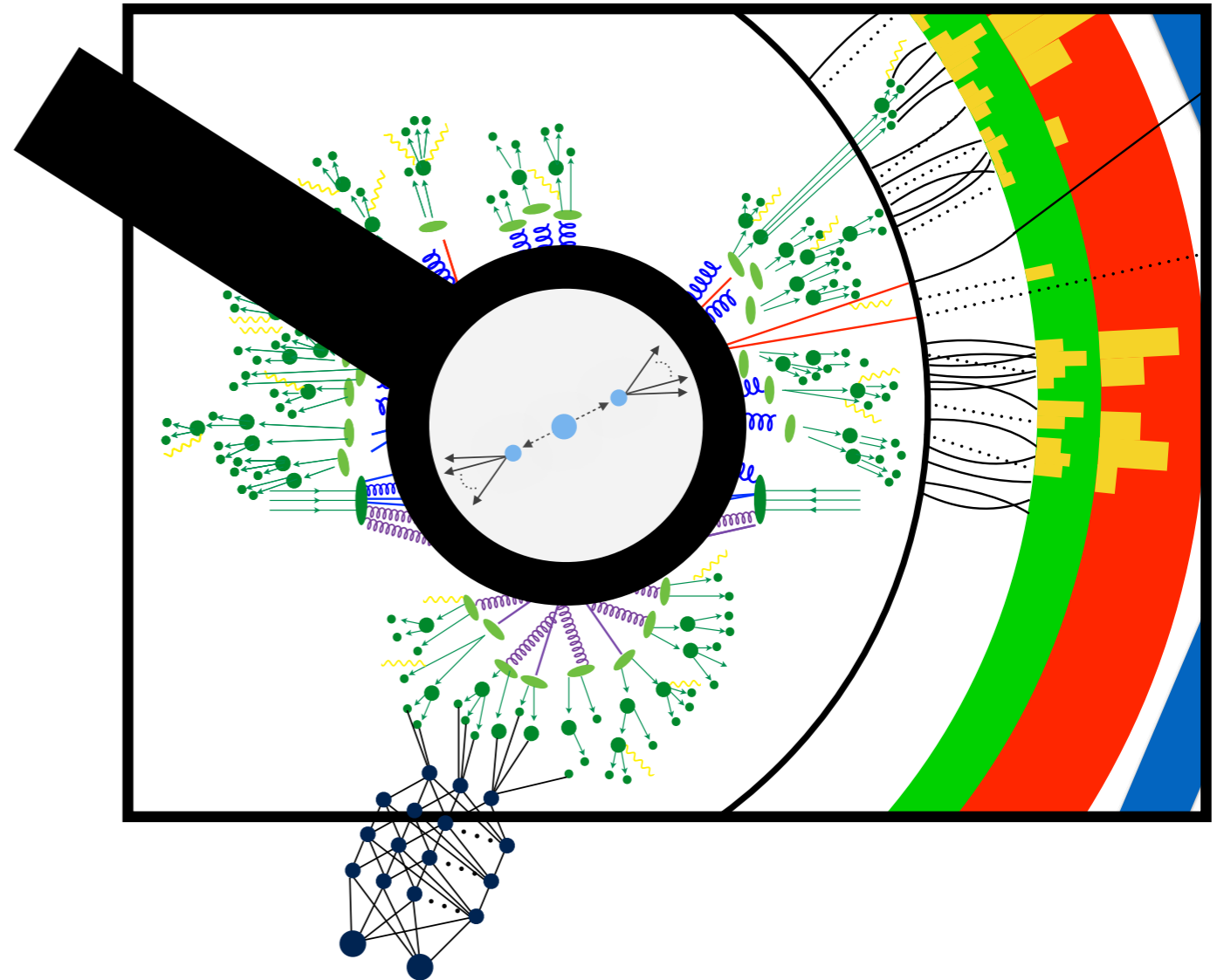
## ZEUS Preliminary



Agreement with  
Ariadne at the  
~5% level

A bit worse at  
larger jet  
multiplicities

Today, I have presented results probing electron-jet correlations in the lab frame in neutral current DIS



These measurements have important constraining power for TMD PDFs and provide critical input to planning and design for the future EIC

Backup



*Publishing unbinned measurements is tricky - we have started a conversation about this in a paper coming out tonight. Feedback is most welcome!*

2109.tonight

## Presenting Unbinned Differential Cross Section Results

Miguel Arratia,<sup>a,b</sup> Anja Butter,<sup>c</sup> Mario Campanelli,<sup>d</sup> Vincent Croft,<sup>e</sup> Dag Gillberg,<sup>f</sup> Kristin Lohwasser,<sup>g</sup> Bogdan Malaescu,<sup>h</sup> Vinicius Mikuni,<sup>i</sup> Benjamin Nachman,<sup>j,k</sup> Juan Rojo,<sup>l,m</sup> Jesse Thaler,<sup>n,o</sup> Ramon Winterhalder<sup>p</sup>

<sup>a</sup>Department of Physics and Astronomy, University of California, Riverside, CA 92521, USA

<sup>b</sup>Thomas Jefferson National Accelerator Facility, Newport News, VA 23606, USA

<sup>c</sup>Institut für Theoretische Physik, Universität Heidelberg, Heidelberg, Germany

<sup>d</sup>University College London, London WC1E 6BT, UK

<sup>e</sup>Department of Physics and Astronomy, Tufts University, Boston, MA 02155, USA

<sup>f</sup>Department of Physics, Carleton University, Ottawa ON K1S 5B6, Canada

<sup>g</sup>University of Sheffield, Sheffield, S10 2TN, UK

<sup>h</sup>LPNHE, Sorbonne Université, Université de Paris, CNRS/IN2P3, Paris, France

<sup>i</sup>National Energy Research Scientific Computing Center, Berkeley, CA 94720, USA

<sup>j</sup>Physics Division, Lawrence Berkeley National Laboratory, Berkeley, CA 94720, USA

<sup>k</sup>Berkeley Institute for Data Science, University of California, Berkeley, CA 94720, USA

<sup>l</sup>Nikhef Theory Group, Science Park 105, 1098 XG Amsterdam, The Netherlands

<sup>m</sup>Department of Physics and Astronomy, Vrije Universiteit Amsterdam, NL-1081 HV Amsterdam, The Netherlands

<sup>n</sup>Center for Theoretical Physics, Massachusetts Institute of Technology, Cambridge, MA 02139, USA

<sup>o</sup>The NSF AI Institute for Artificial Intelligence and Fundamental Interactions

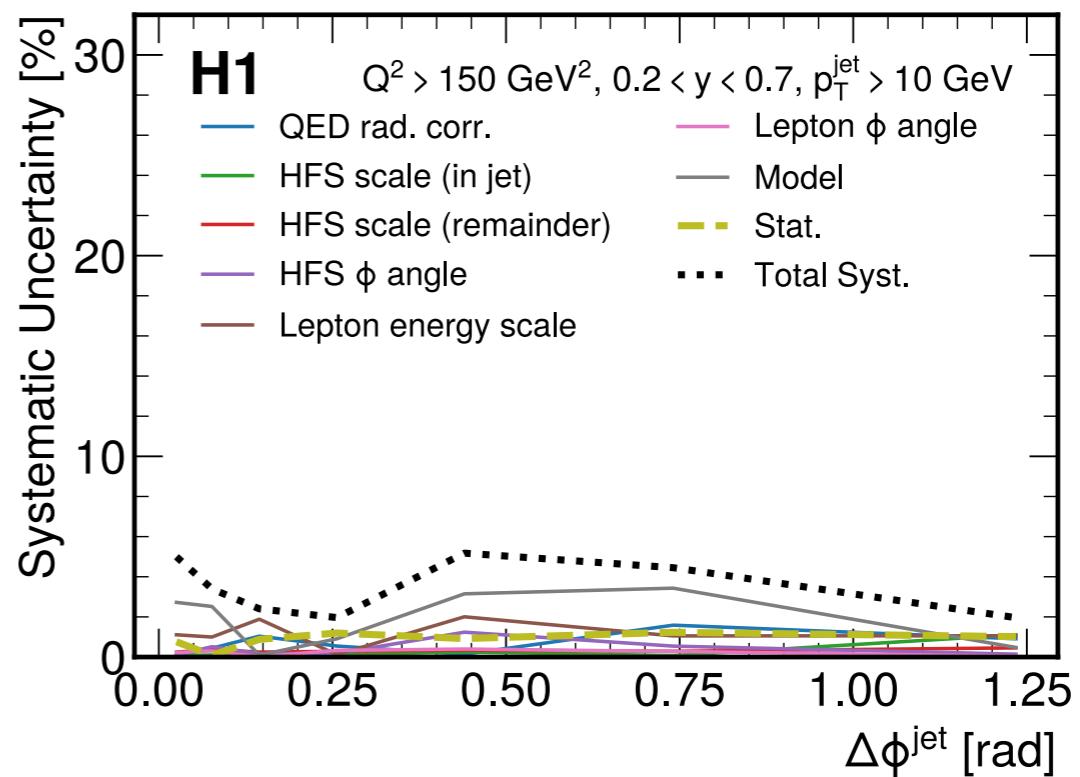
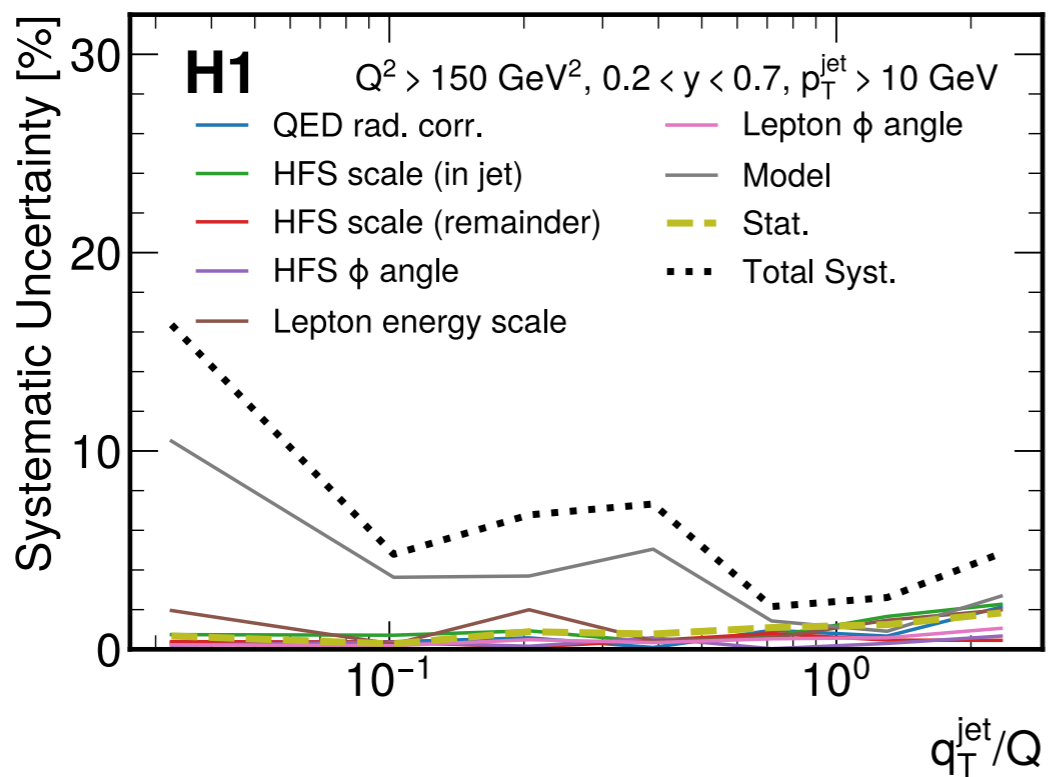
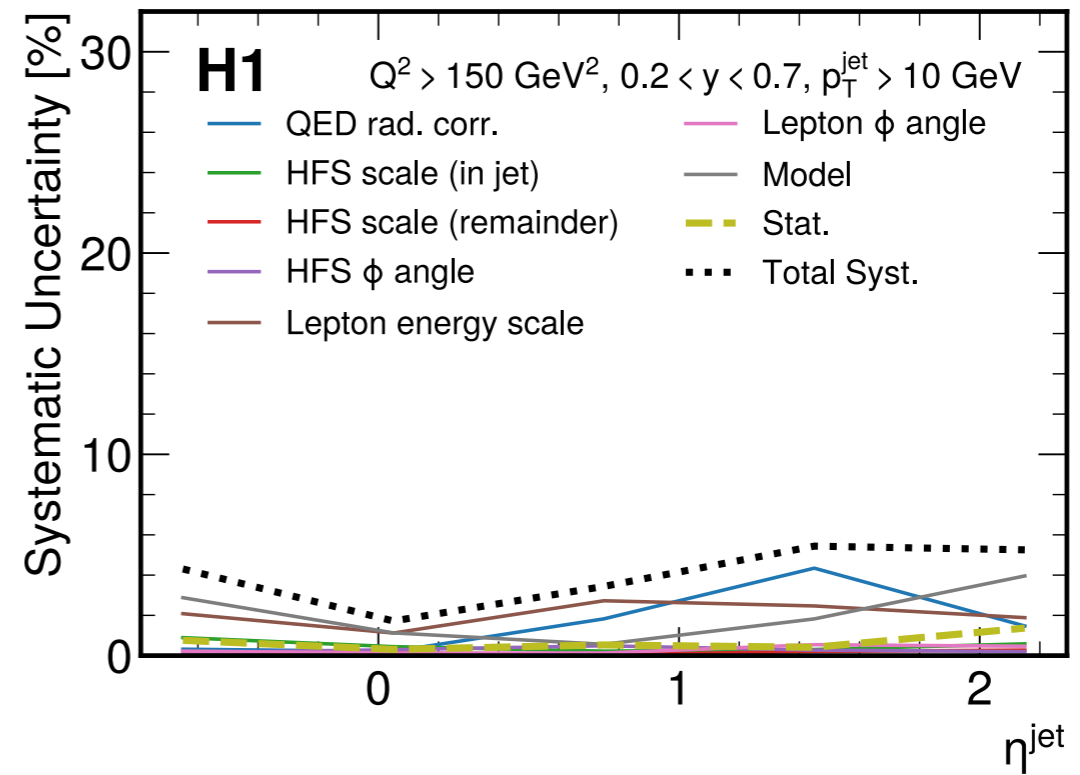
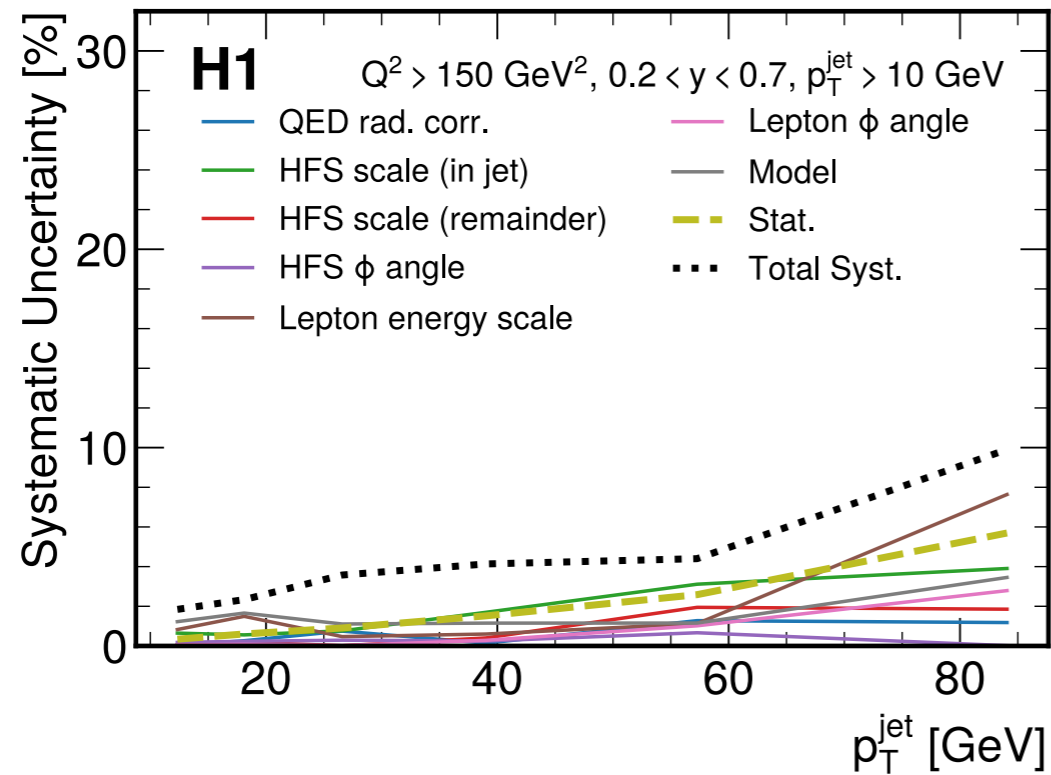
<sup>p</sup>Centre for Cosmology, Particle Physics and Phenomenology (CP3), Université catholique de Louvain, 1348 Louvain-la-Neuve, Belgium

E-mail: [bpnachman@lbl.gov](mailto:bpnachman@lbl.gov)

**ABSTRACT:** Machine learning tools have empowered a qualitatively new way to perform differential cross section measurements whereby the data are unbinned, possibly in many dimensions. Unbinned measurements can enable, improve, or at least simplify comparisons between experiments and with theoretical predictions. Furthermore, many-dimensional measurements can be used to define observables after the measurement instead of before. There is currently no community standard for publishing unbinned data. While there are also essentially no measurements of this type public, unbinned measurements are expected in the near future given recent methodological advances. The purpose of this paper is to propose a scheme for presenting and using unbinned results, which can hopefully form the basis for a community standard to allow for integration into analysis workflows. This is foreseen to be the start of an evolving community dialogue, in order to accommodate future developments in this field that is rapidly evolving.



# Preliminary Results



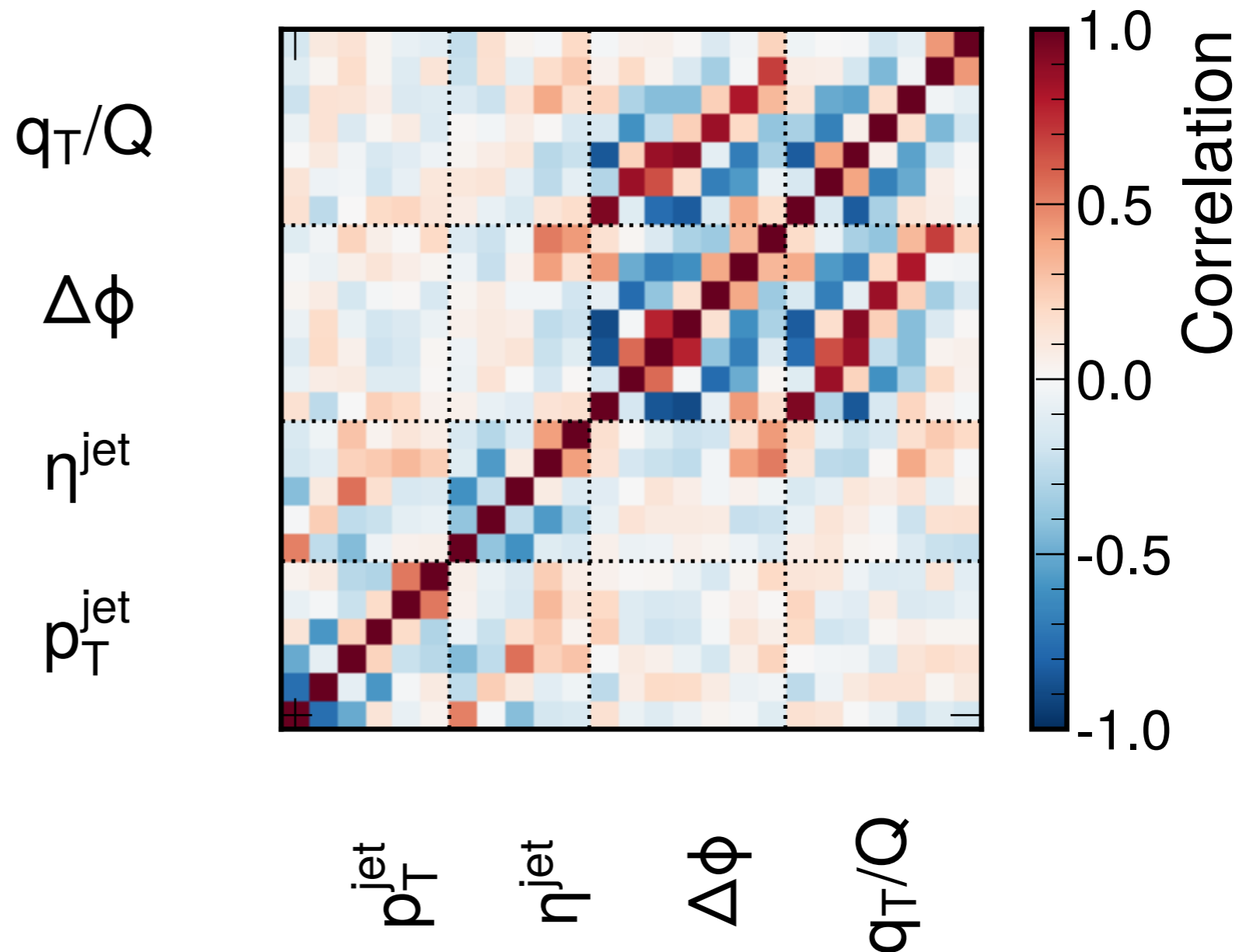
# Preliminary Results

42

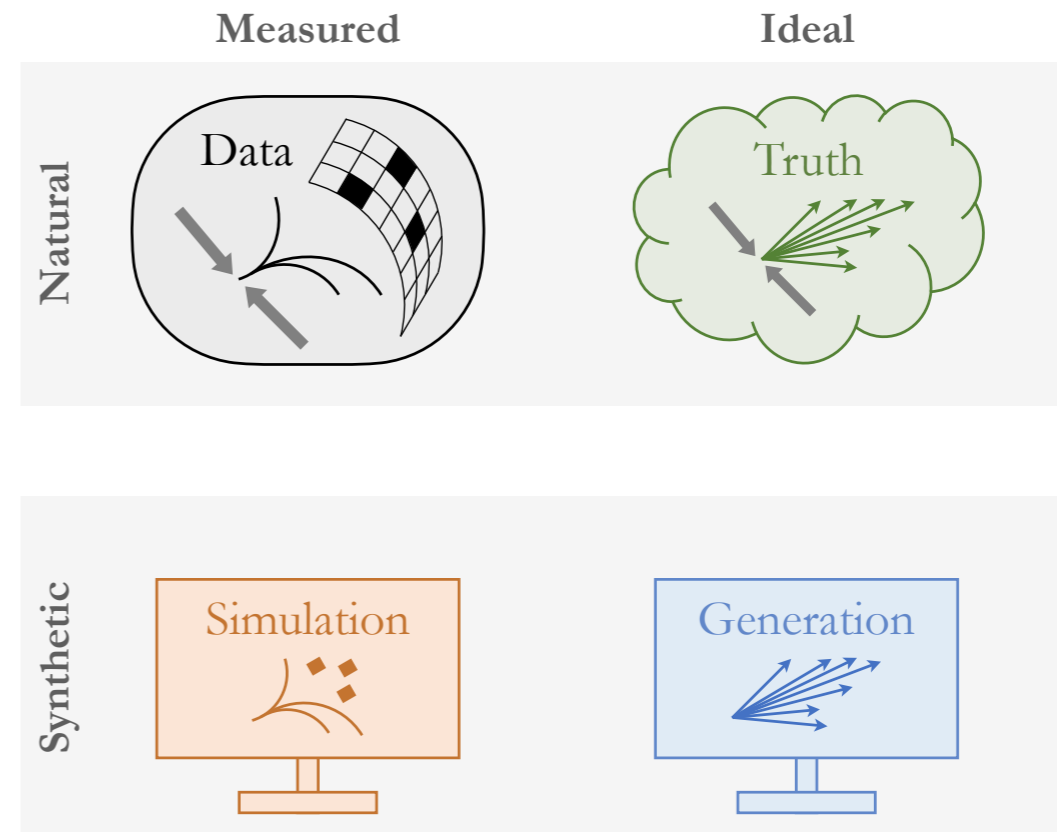
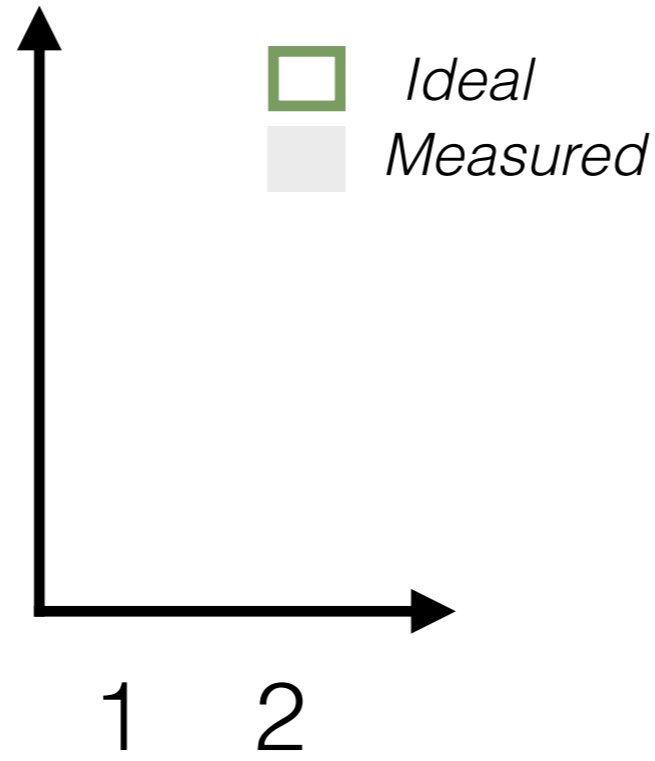
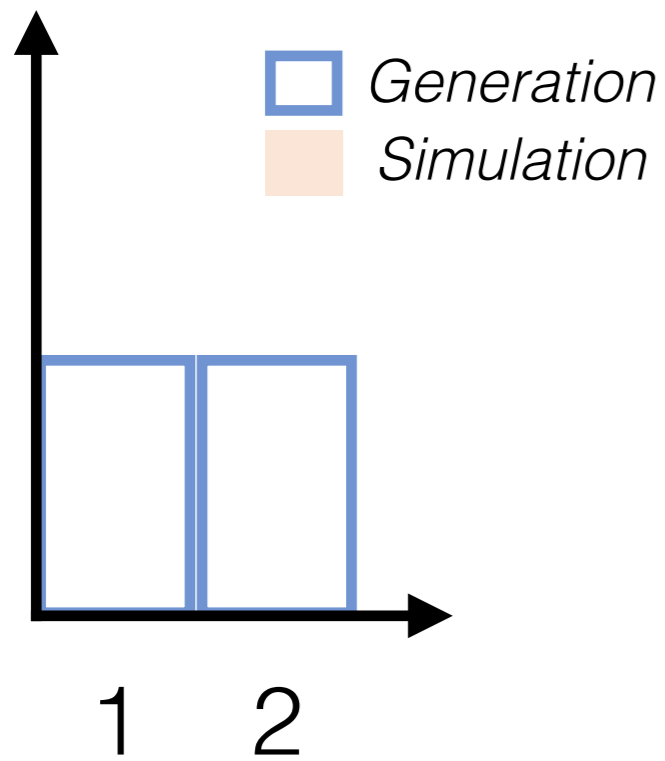
Simultaneous for free!  
(binning is for illustration)

**H1**  
Stat. Uncertainty

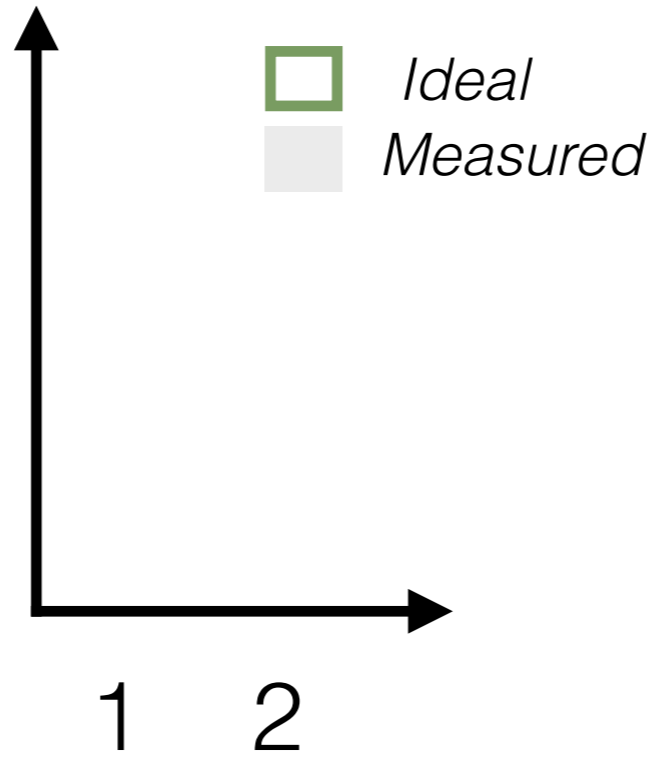
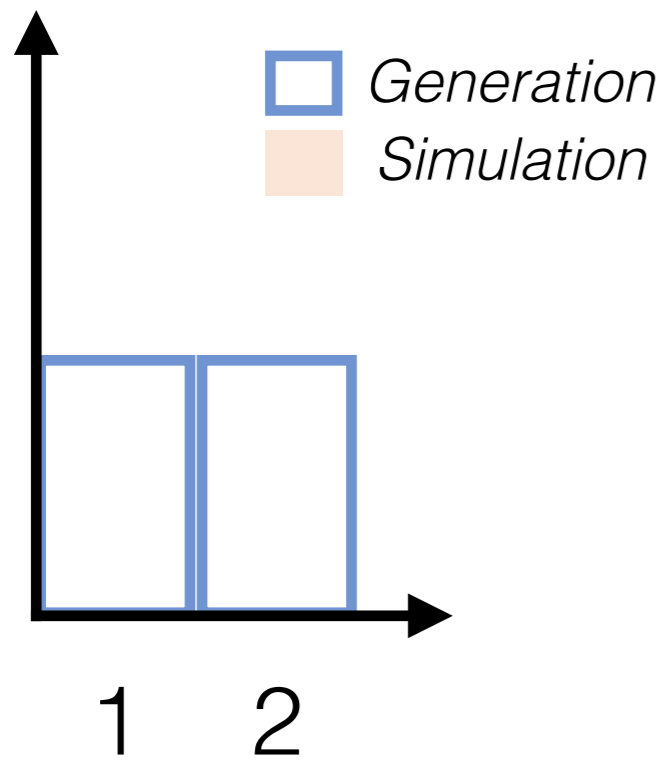
$Q^2 > 150 \text{ GeV}^2$   
 $0.2 < y < 0.7$   
 $p_T^{\text{jet}} > 10 \text{ GeV}$   
 $k_T, R = 1.0$



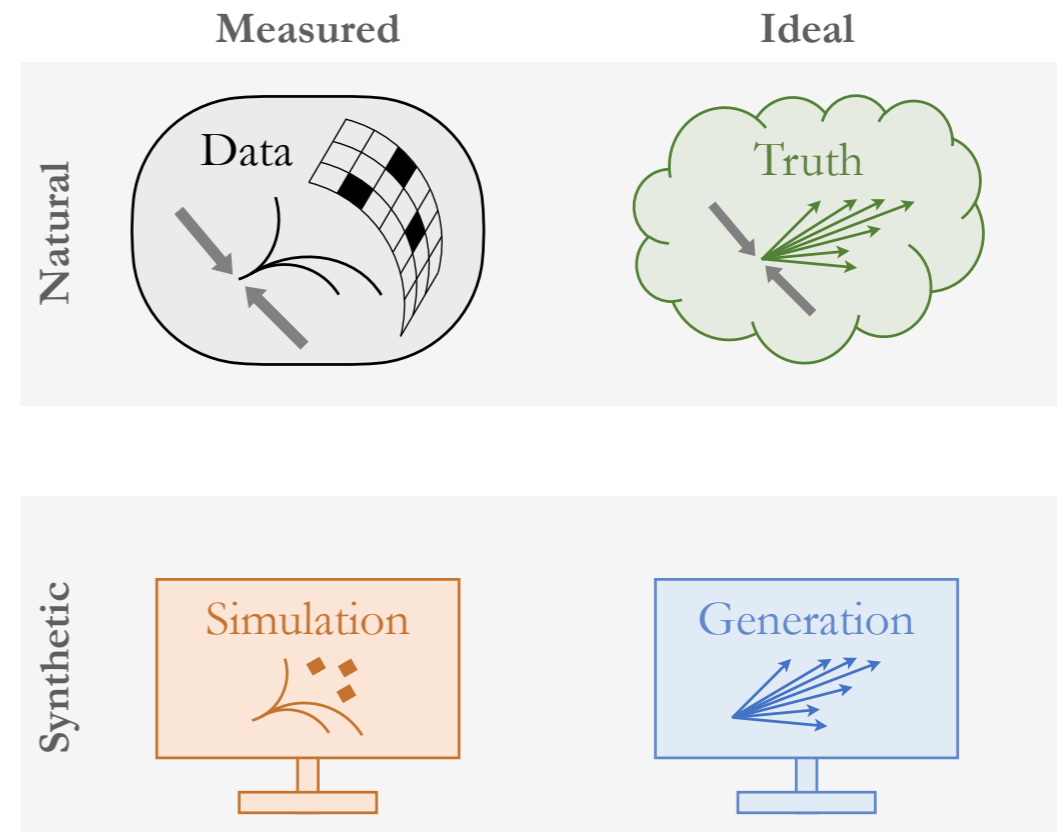
# Unfold by iterating: OmniFold



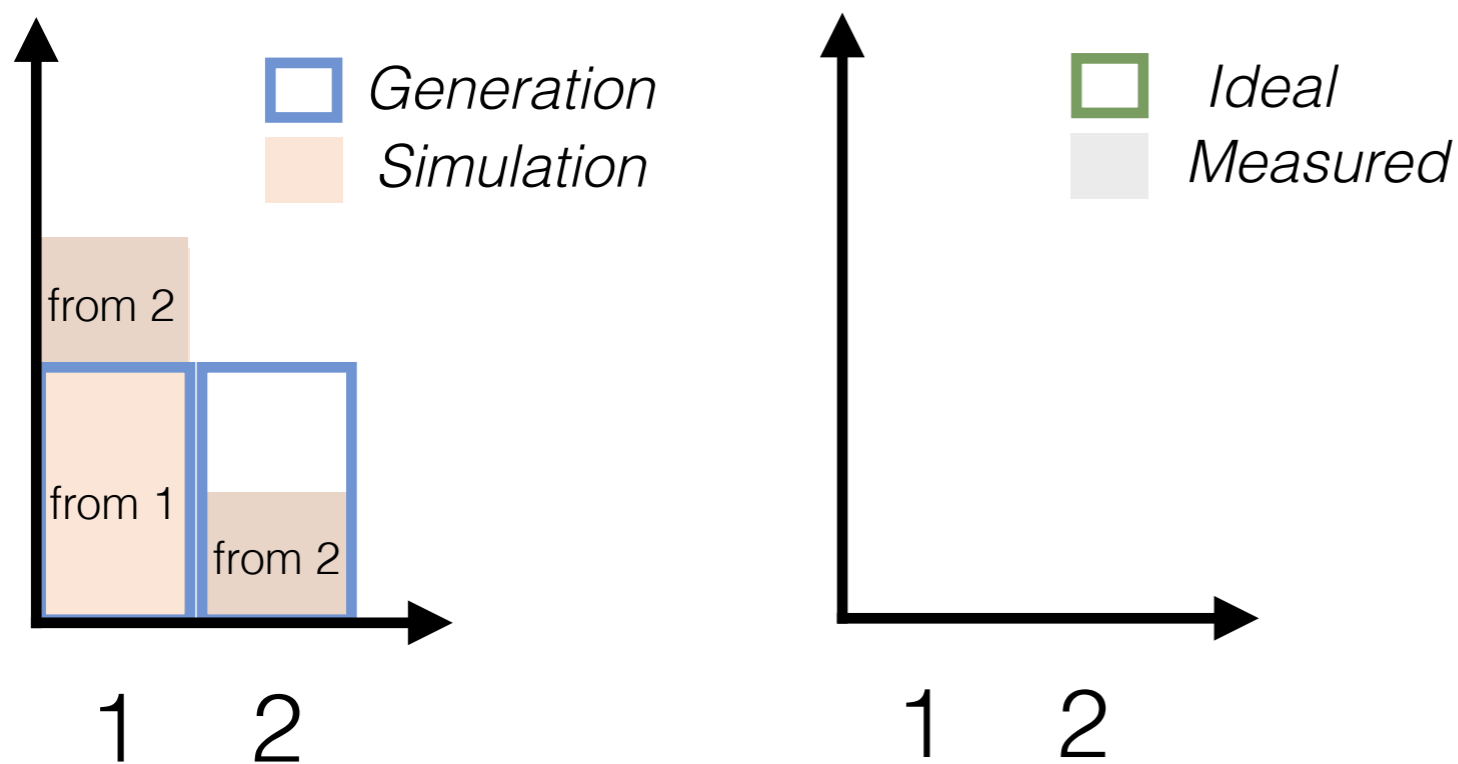
# Unfold by iterating: OmniFold



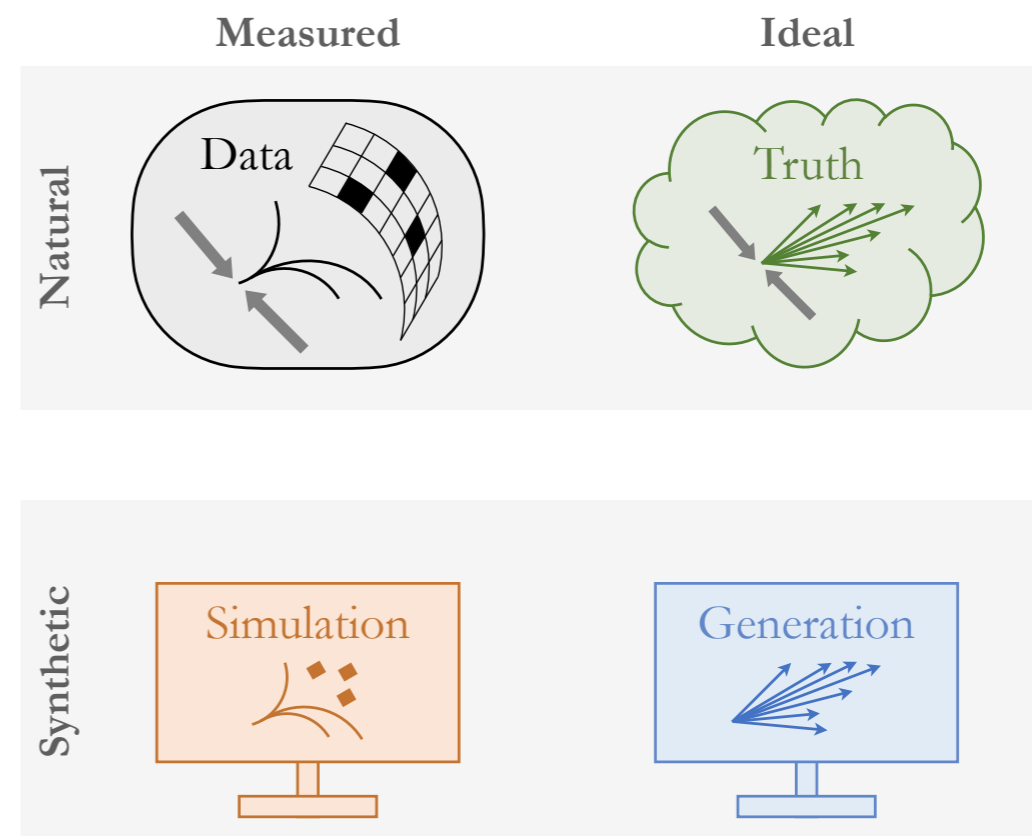
Measured	2	0%	50%
	1	100%	50%
		1	2
		Ideal	



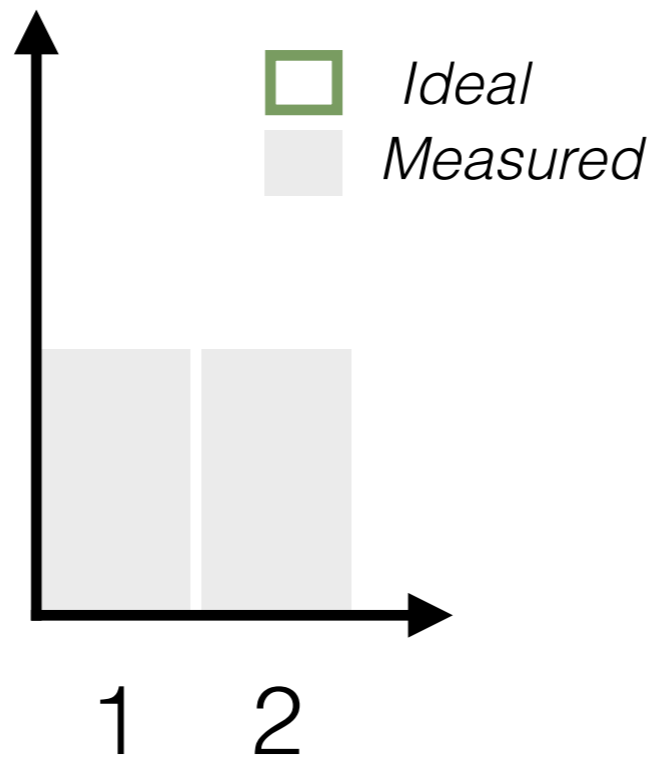
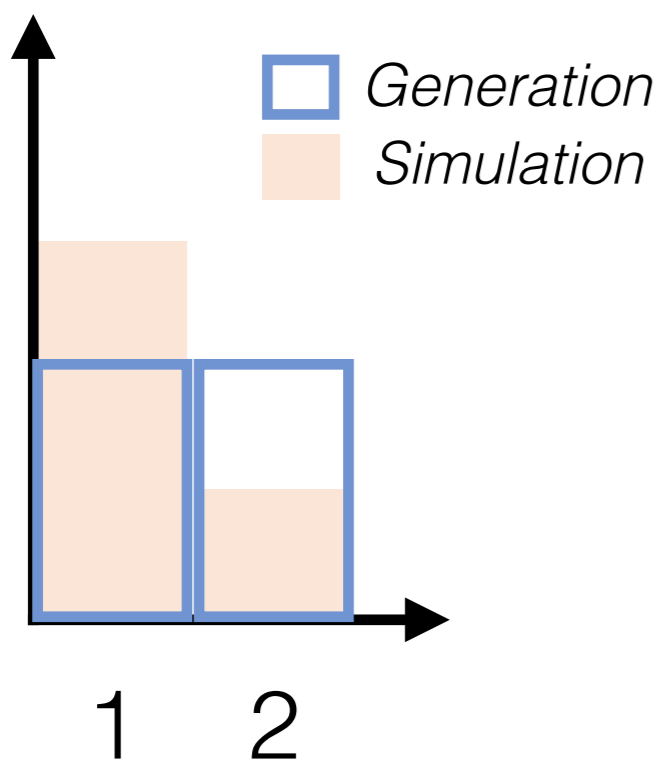
# Unfold by iterating: OmniFold



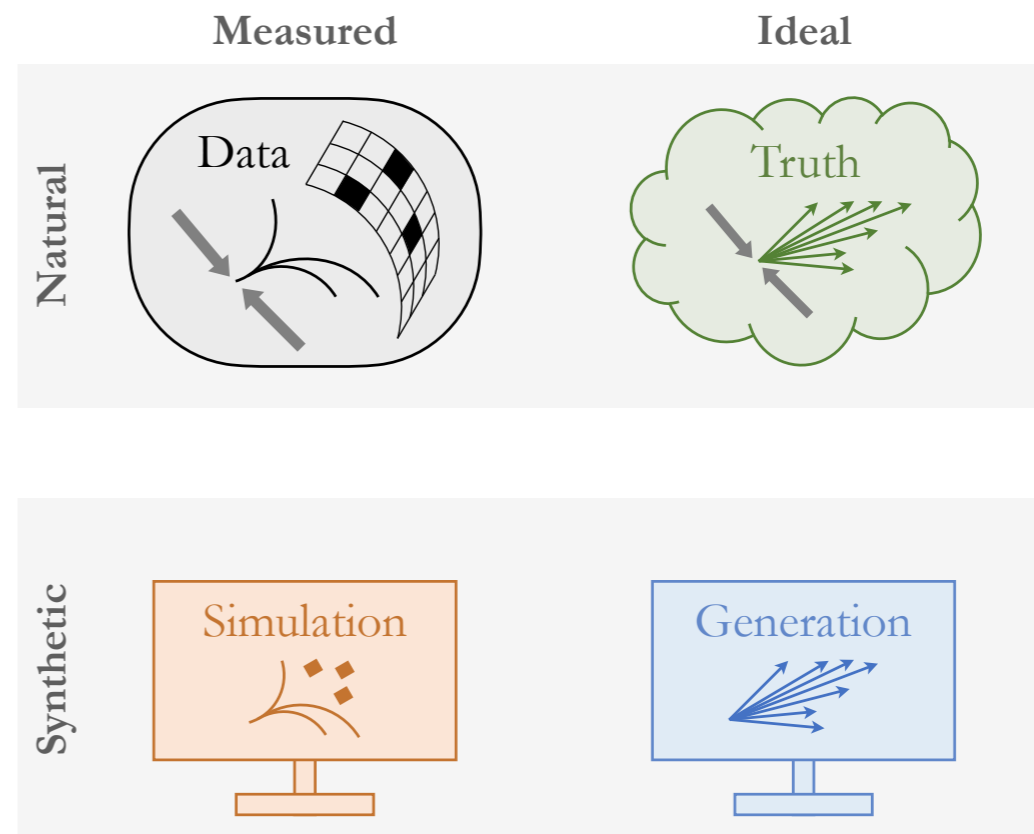
Measured	2	0%	50%
	1	100%	50%
		1	2
		Ideal	



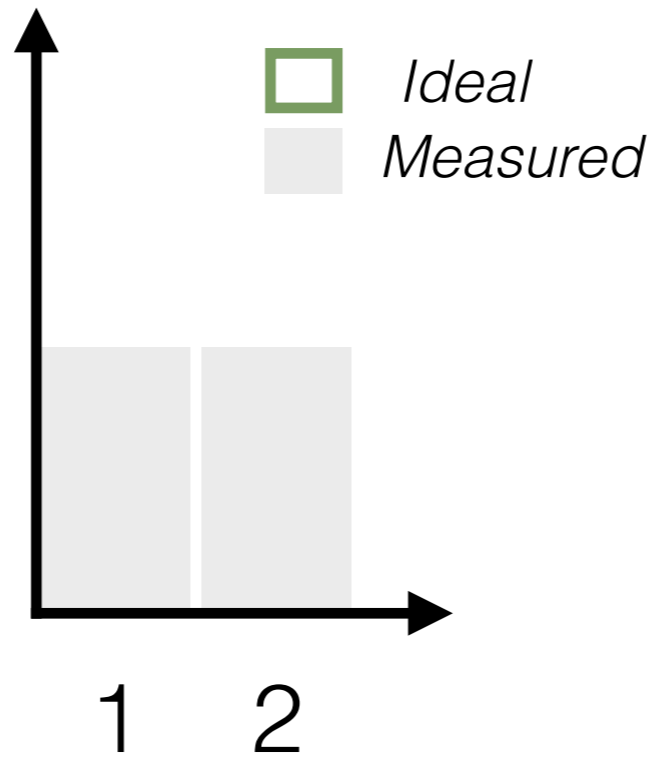
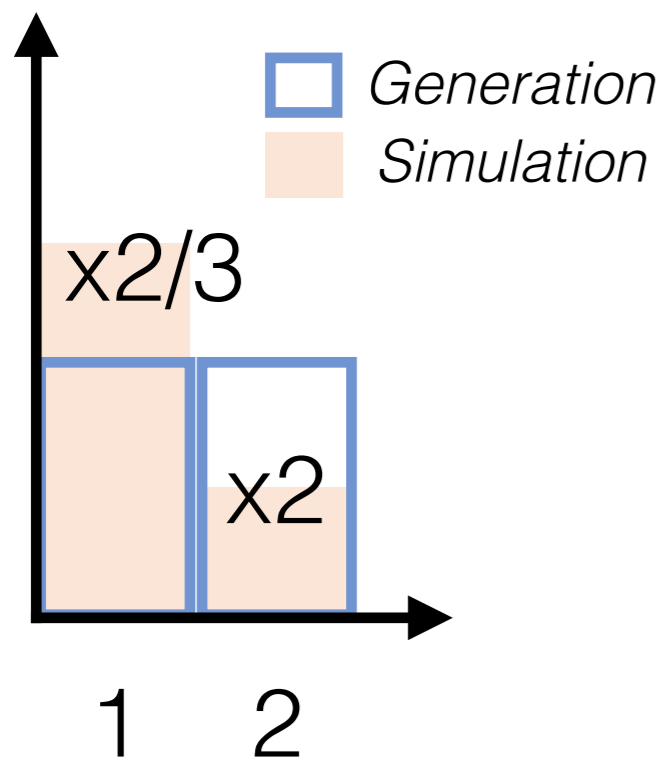
# Unfold by iterating: OmniFold



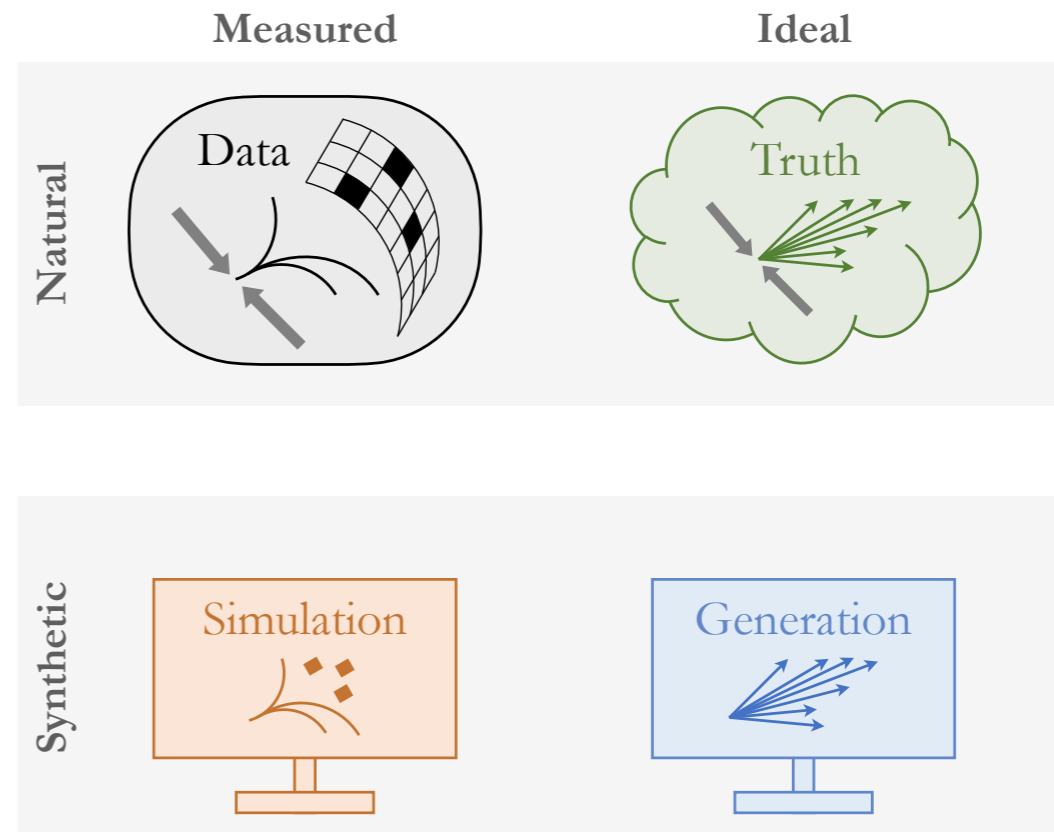
Measured	2	0%	50%
	1	100%	50%
		1	2
		Ideal	



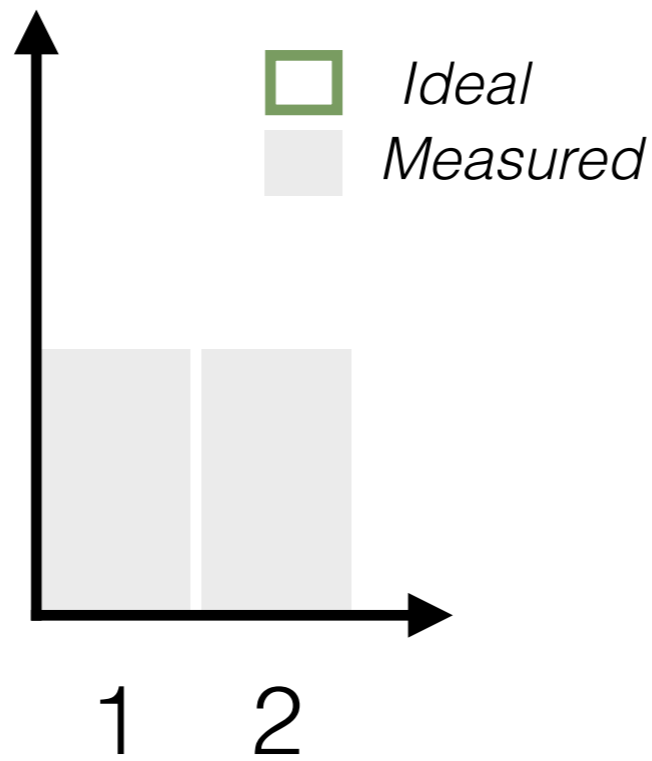
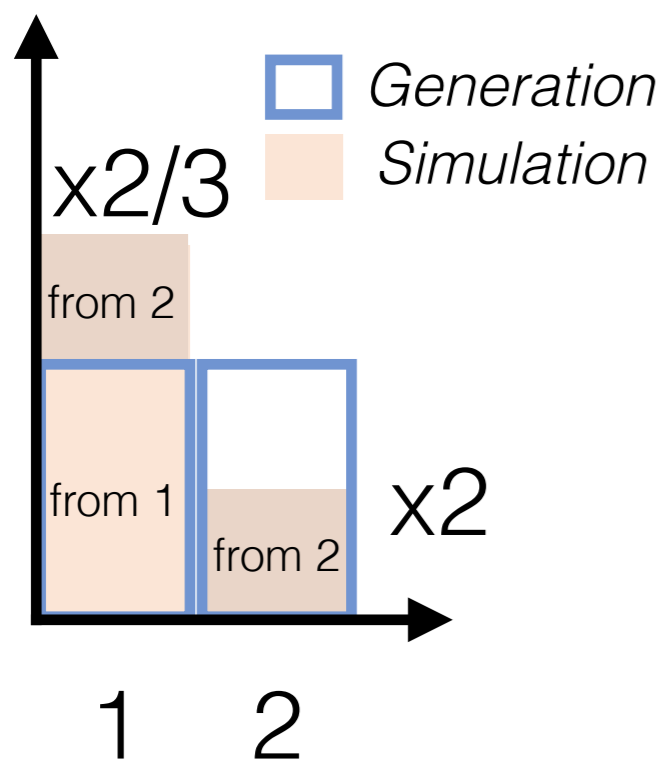
# Unfold by iterating: OmniFold



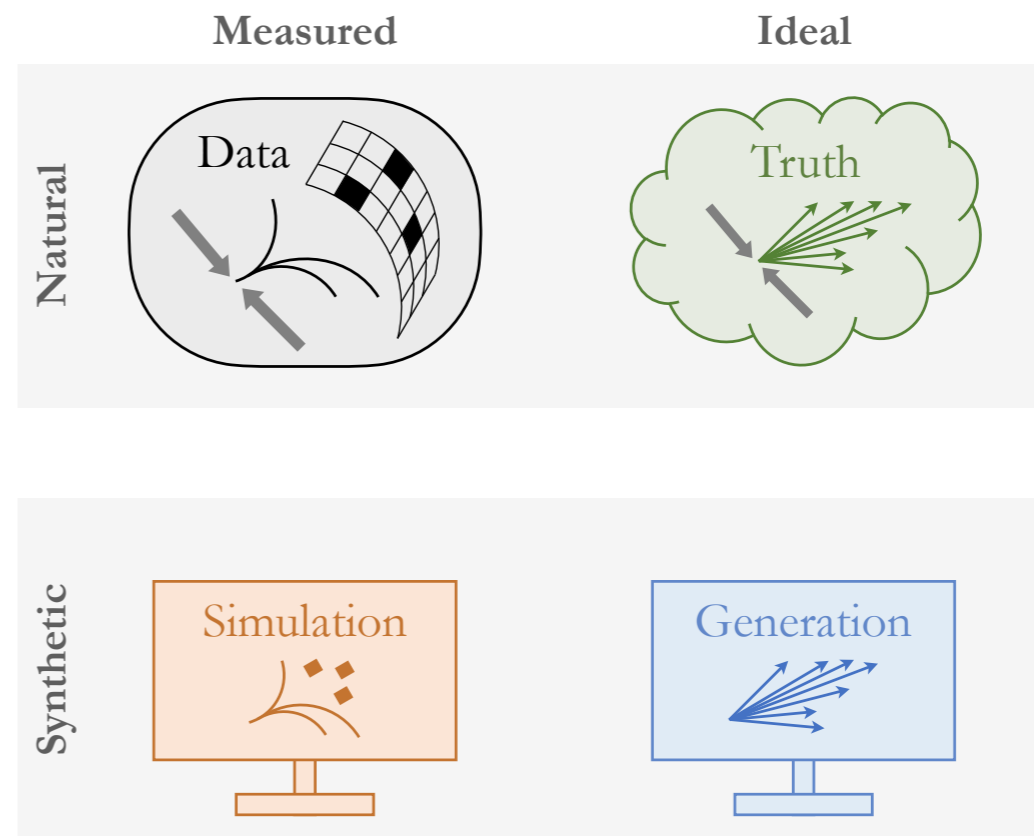
Measured	2	0%	50%
	1	100%	50%
		1	2
		Ideal	



# Unfold by iterating: OmniFold



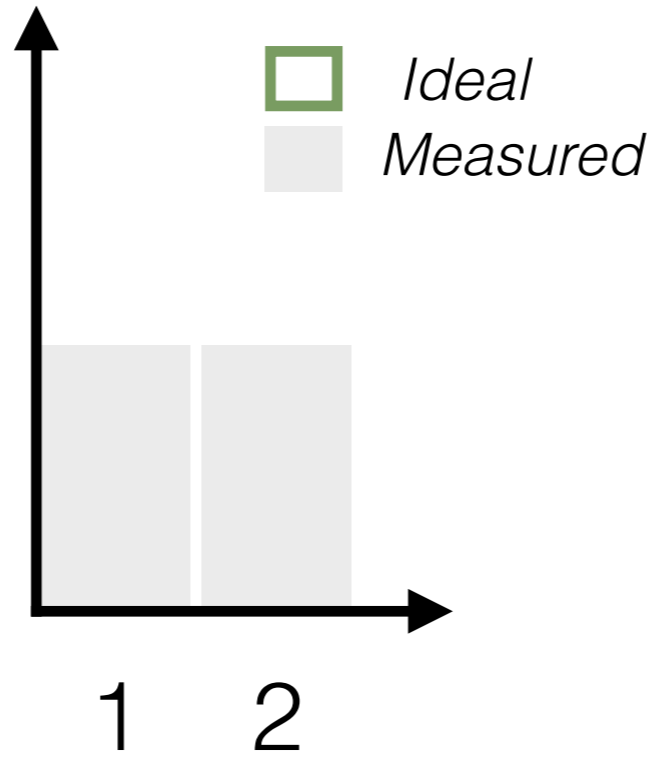
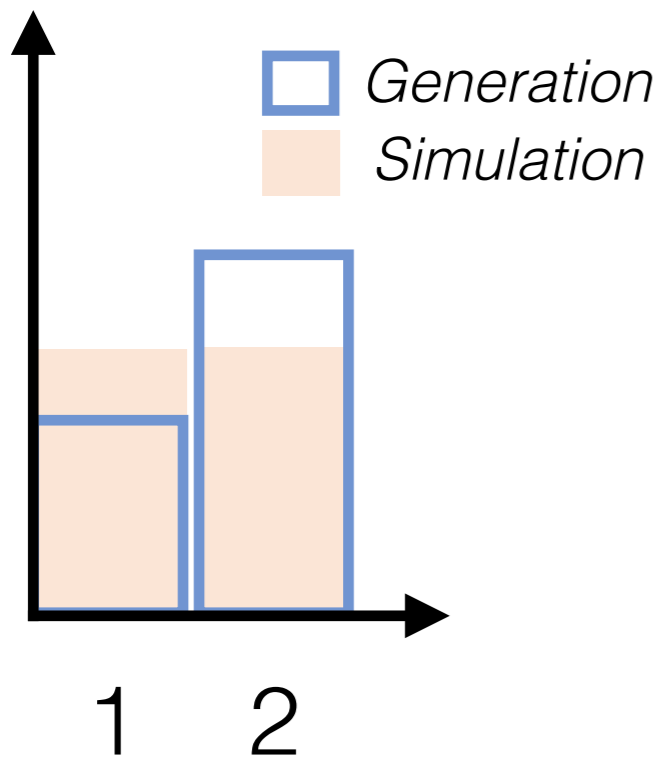
Measured	2	0%	50%
	1	100%	50%
		1	2
		Ideal	



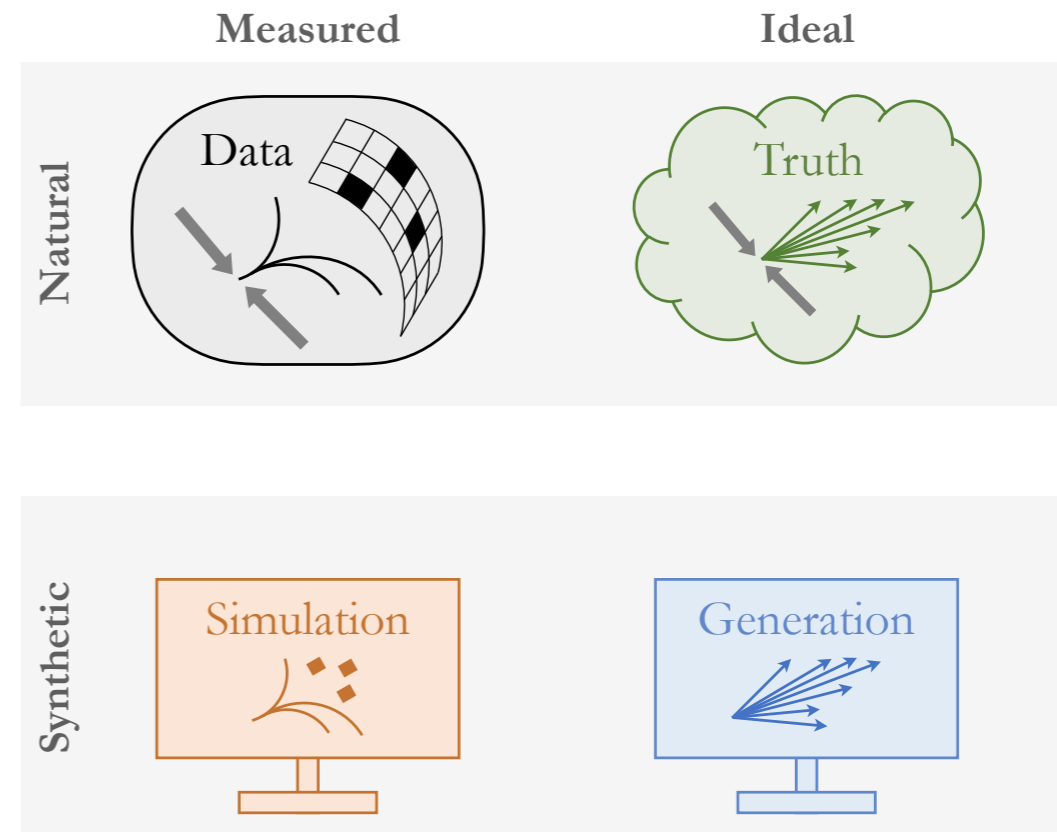


# Unfold by iterating: OmniFold

After iteration 1



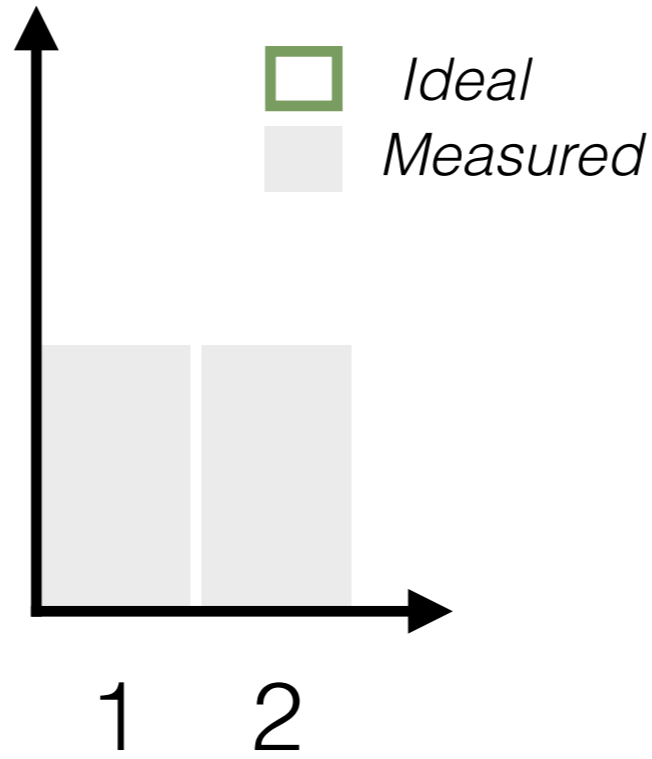
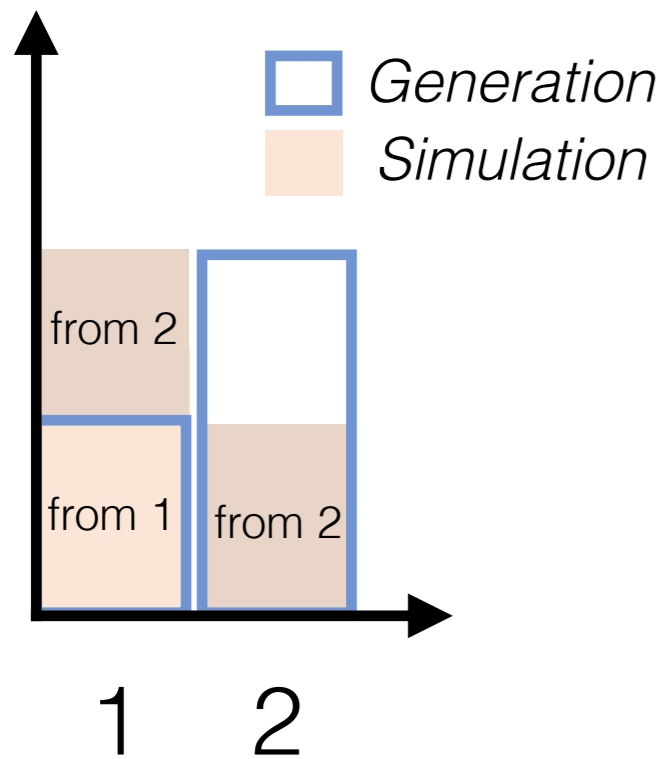
Measured	2	0%	50%
	1	100%	50%
		1	2
		Ideal	



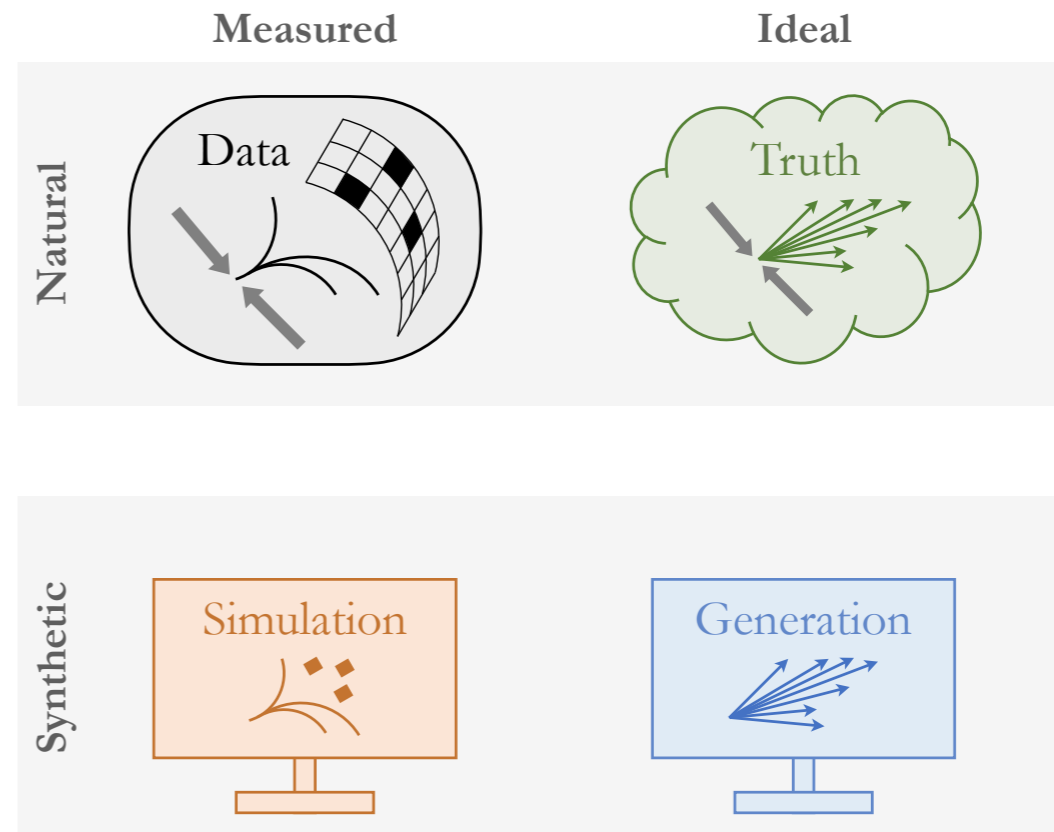
# Unfold by iterating: OmniFold



After iteration 1

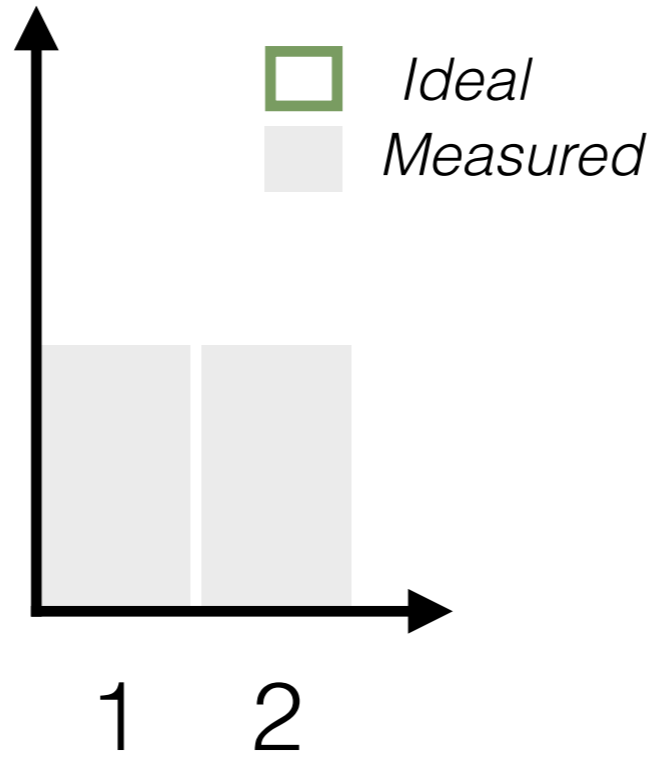
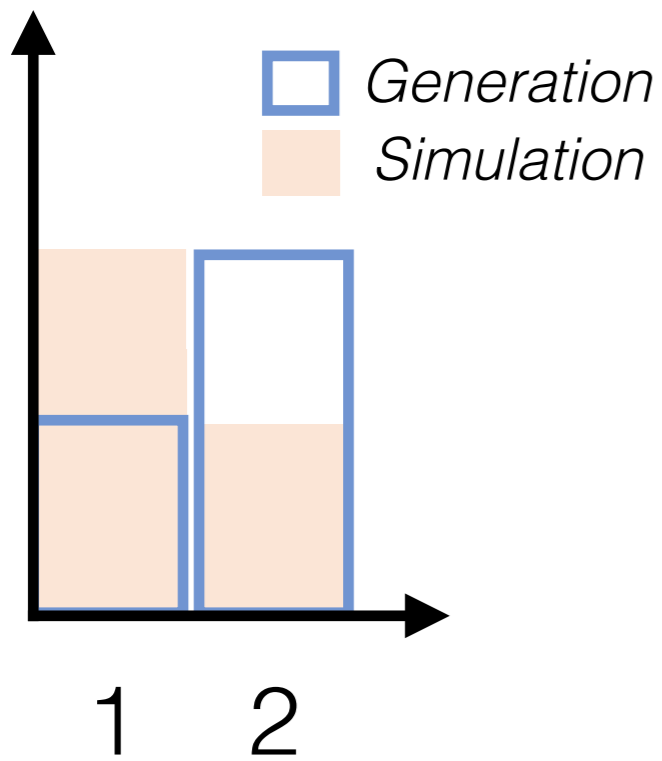


Measured	2	0%	50%
	1	100%	50%
		1	2
		Ideal	

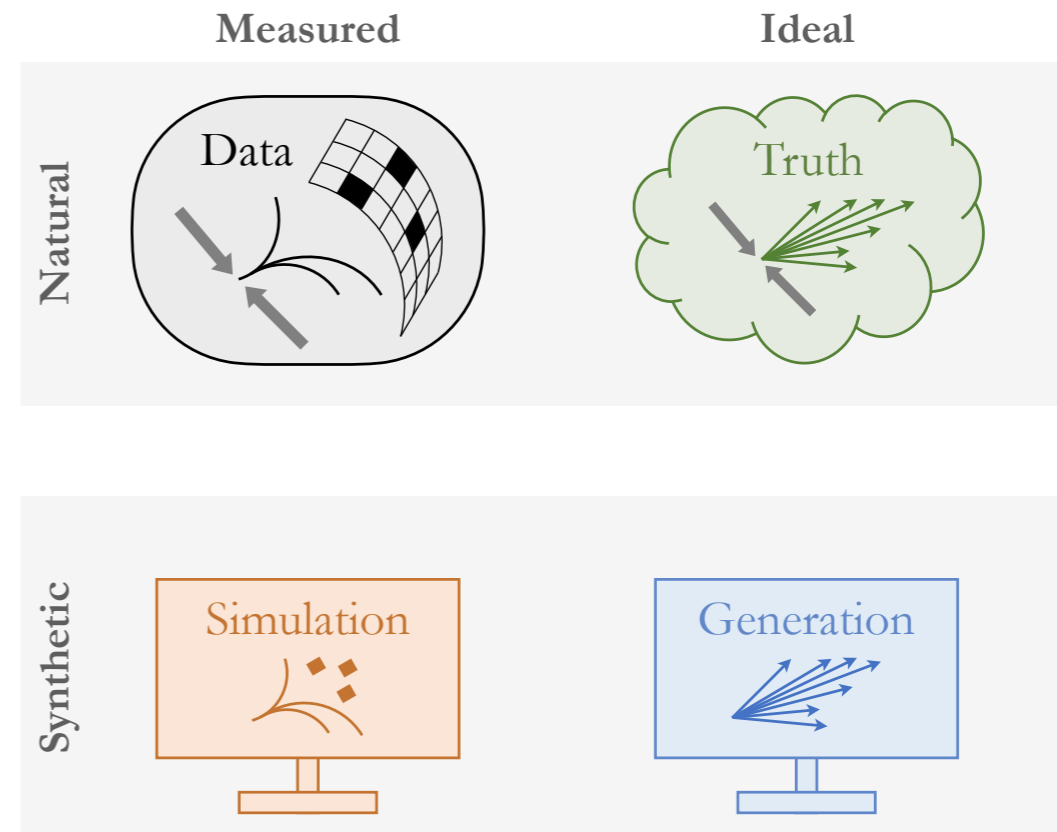


# Unfold by iterating: OmniFold

After iteration 1

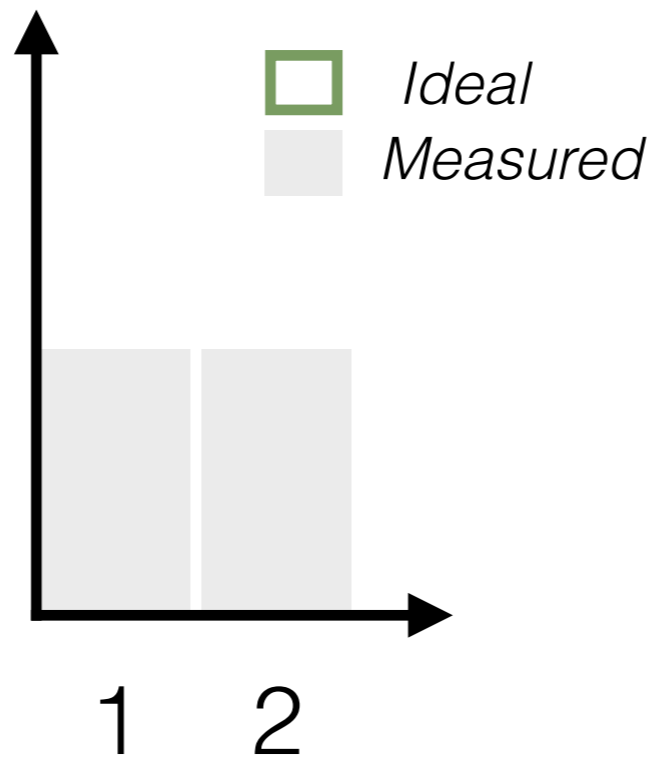
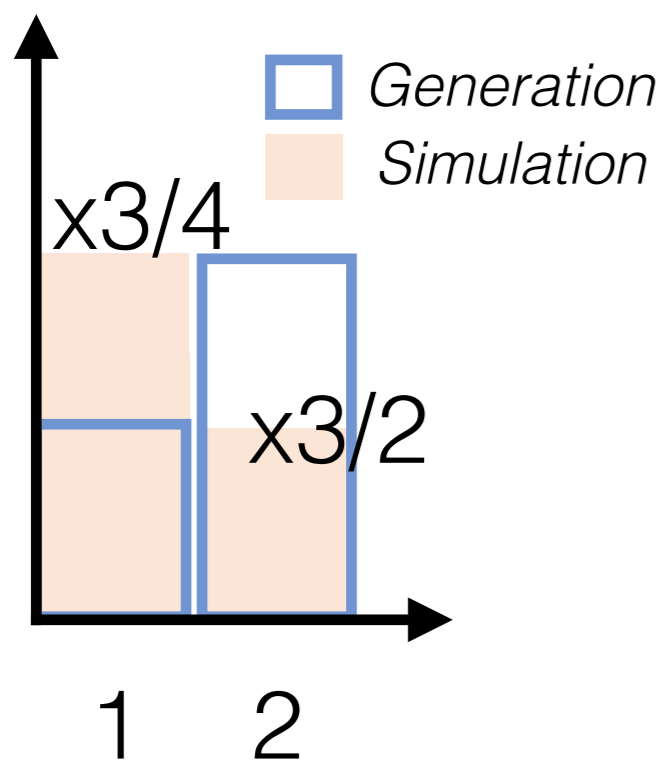


Measured	2	0%	50%
	1	100%	50%
		1	2
		Ideal	

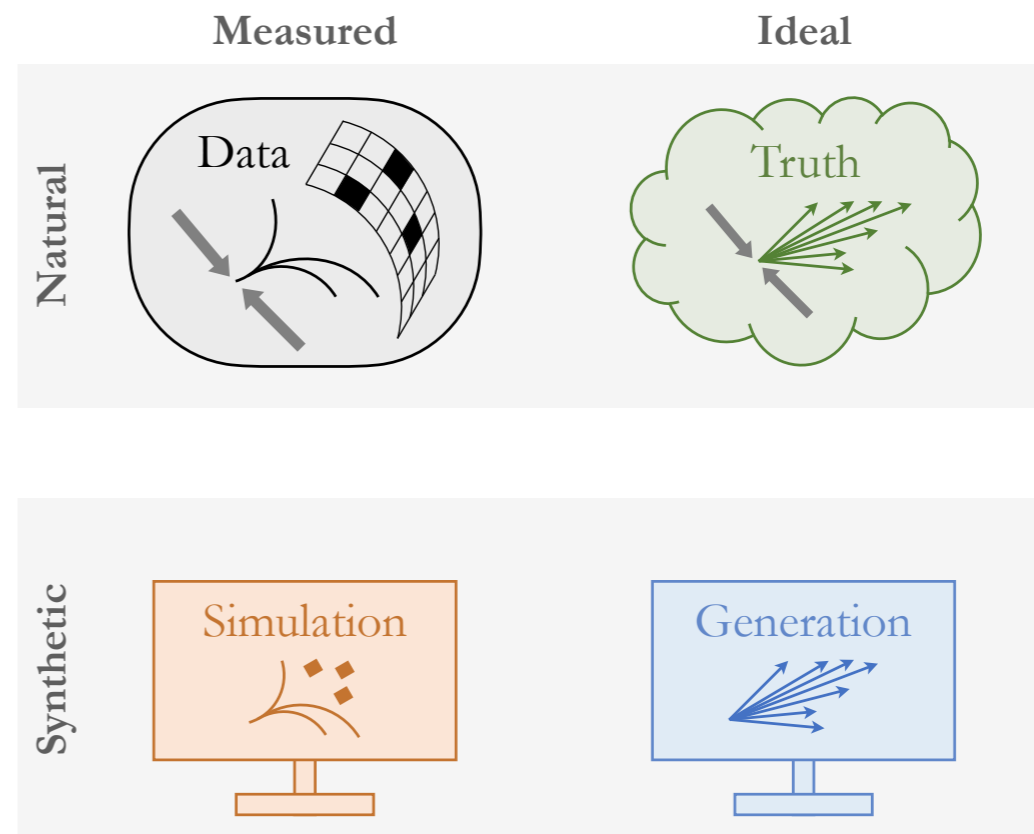


# Unfold by iterating: OmniFold

After iteration 1

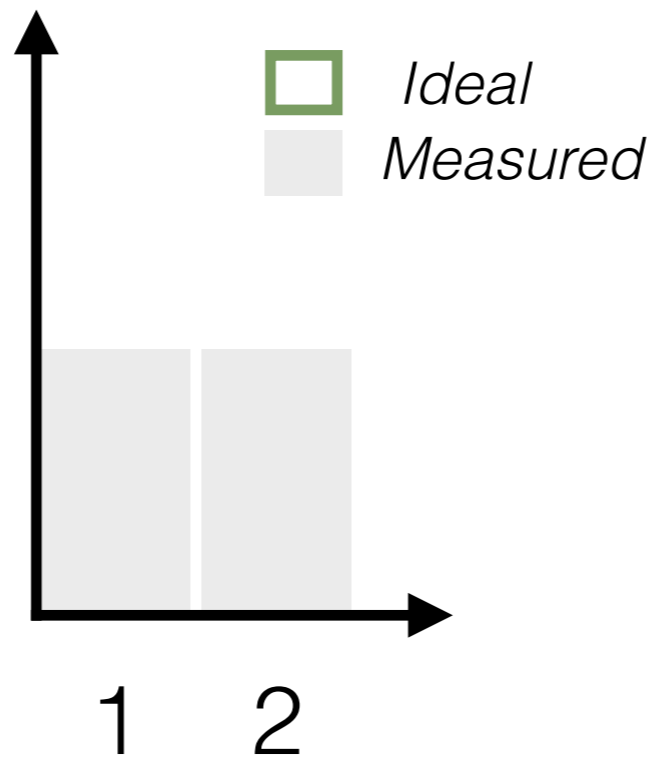
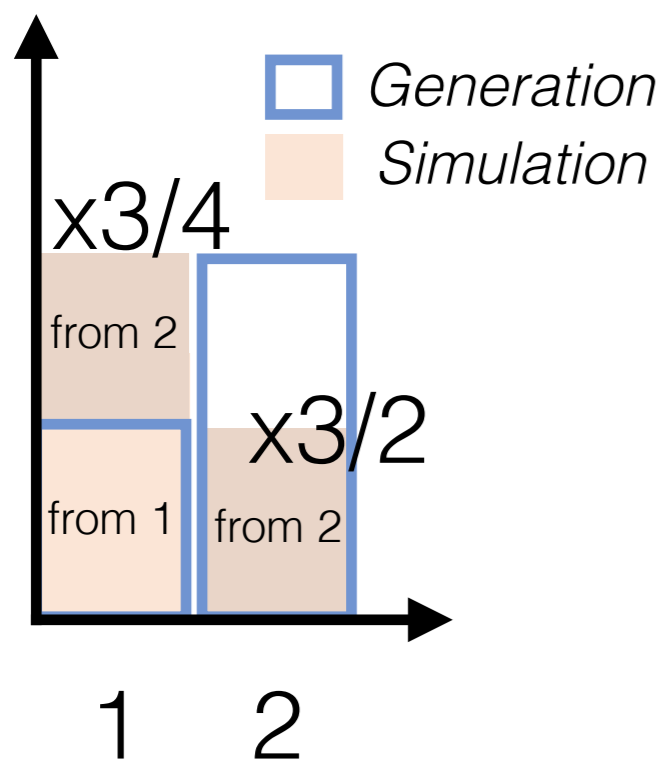


Measured	2	0%	50%
	1	100%	50%
		1	2
		Ideal	

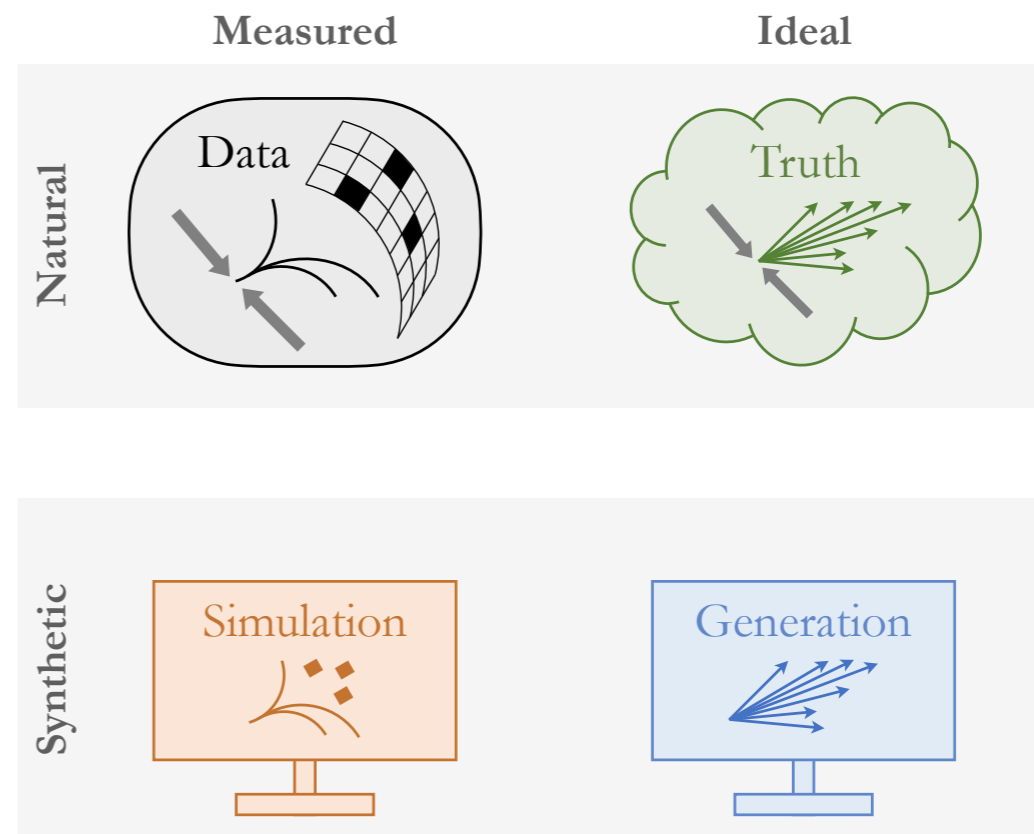


# Unfold by iterating: OmniFold

After iteration 1

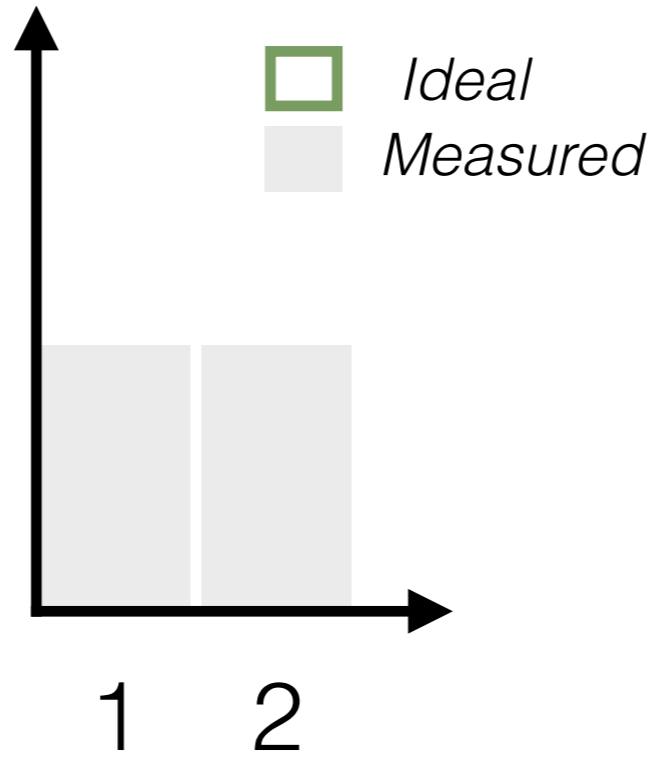
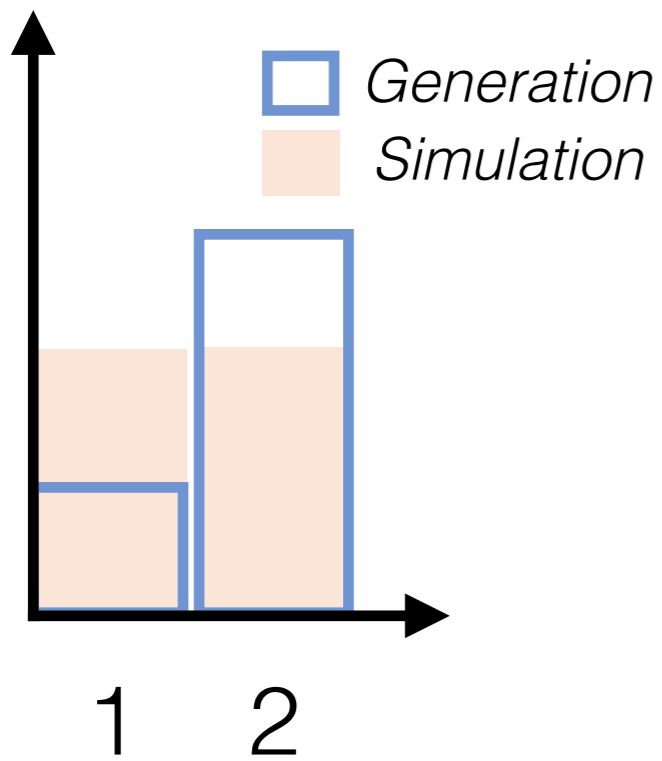


Measured	2	0%	50%
	1	100%	50%
		1	2
		Ideal	

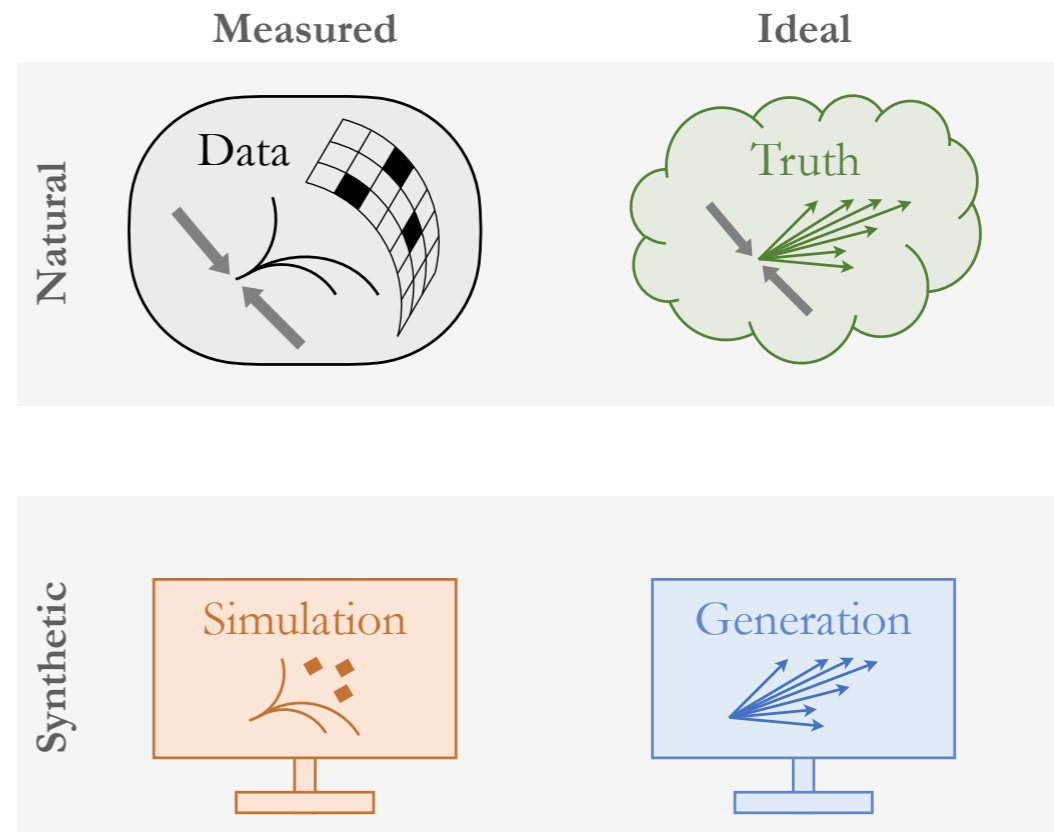


# Unfold by iterating: OmniFold

After iteration 2

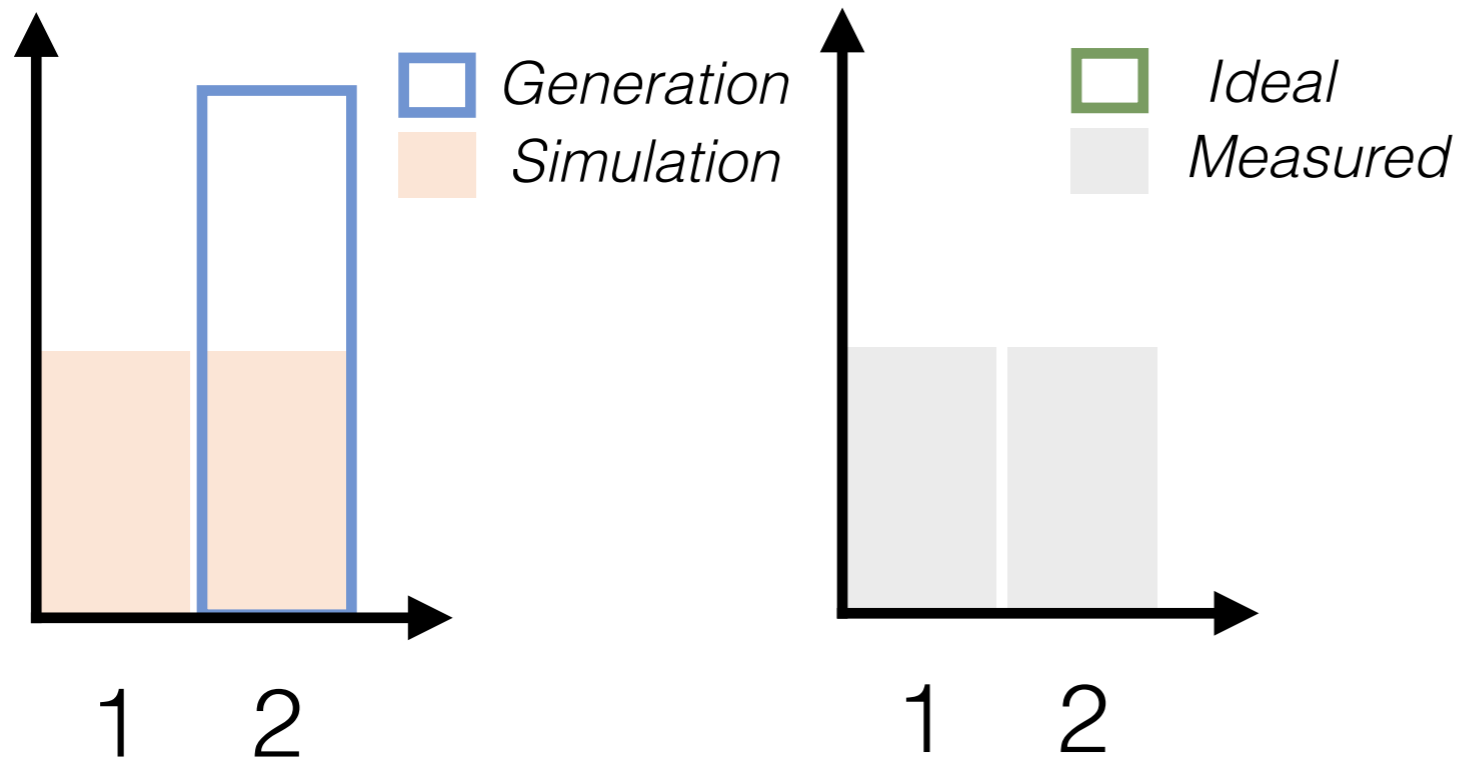


Measured	2	0%	50%
	1	100%	50%
		1	2
		Ideal	



# Unfold by iterating: OmniFold

After iteration  $\infty$



*N.B. if you just apply  $p(\text{ideal} | \text{measured})$ , you would have gotten the wrong answer!*

Measured	2	0%	50%
	1	100%	50%
		1	2
		Ideal	

