

# Probing the initial state of small collision systems measuring the production of neutral mesons with ALICE

Low-x 2021

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ALICE



All new results shown can be found in [arXiv:2104:03116](https://arxiv.org/abs/2104.03116)

# Motivation

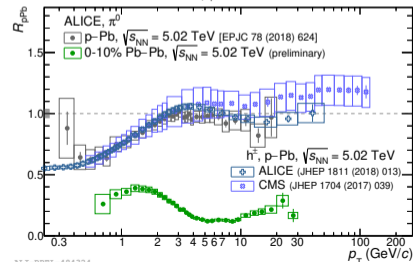
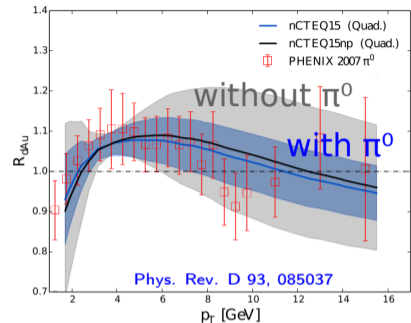
Nuclear modification factor

$$R_{pA} = \frac{1}{A_{Pb}} \frac{d^2\sigma_{pPb}}{d\rho_T dy} / \frac{d^2\sigma_{pp}}{d\rho_T dy}$$

- ▶ Probing initial and final state effects
  - ▶ Gluon saturation (CGC)
  - ▶  $p_T$  broadening (Cronin effect)
  - ▶ Energy loss
- ▶ Constraints on nuclear PDFs
- ▶ Baseline measurements for Pb–Pb

Benefits of p–Pb  $\sqrt{s_{NN}} = 8.16$  TeV

- ▶ Highest available collision energy leads to wider  $x$  reach than previous measurements at  $\sqrt{s_{NN}} = 5.02$  TeV
- ▶ Energy dependence of  $R_{pPb}$  can be studied



# Photon Reconstruction

## Photon Conversion Method (PCM)

- ▶ Acceptance:  $|\eta| < 0.9$ ,  $0^\circ < \varphi < 360^\circ$
- ▶ Photons have probability of  $\approx 8.5\%$  to convert within inner detector material
- ▶  $e^\pm$  tracked by ITS and TPC and identified with TPC via  $dE/dx$
- ▶ Lower  $p_T$  reach than for calorimeters

## Electromagnetic Calorimeters (EMCal and DCal):

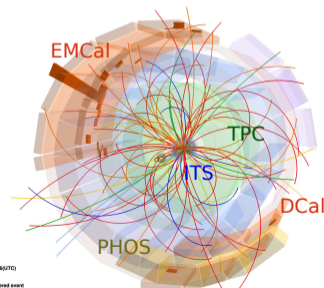
- ▶ Acceptance  
EMCal:  $|\eta| < 0.7$ ,  $80^\circ < \varphi < 187^\circ$   
DCal:  $0.22 < |\eta| < 0.7$ ,  $260^\circ < \varphi < 320^\circ$   
and  $|\eta| < 0.7$ ,  $320^\circ < \varphi < 327^\circ$
- ▶ Shashlik Pb-scintillator calorimeter
- ▶ Designed for high- $p_T$  photon and jet measurements

## Photon Spectrometer (PHOS):

- ▶ Acceptance:  $|\eta| < 0.13$ ,  $250^\circ < \varphi < 320^\circ$
- ▶ Homogeneous  $\text{PbWO}_4$  calorimeter
- ▶ High momentum resolution compared to EMCal, but low momentum resolution compared to PCM

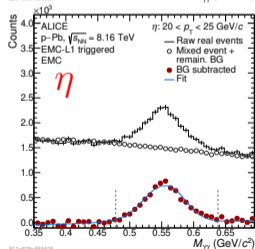
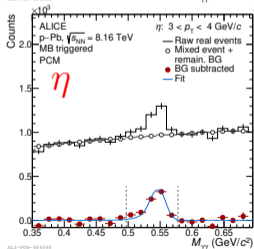
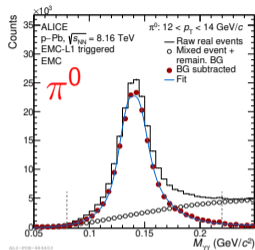
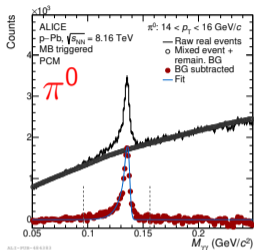


ALICE



Run: 205436  
 Timestamp: 2016-11-26 17:36:16(UTC)  
 System: PHOS  
 Energy: 2.76 TeV  
 EMCal: L1 gamma and jet triggered event

# $\pi^0$ and/or $\eta$ meson measurement with ALICE



- ▶ Neutral mesons are reconstructed by their two-photon invariant mass

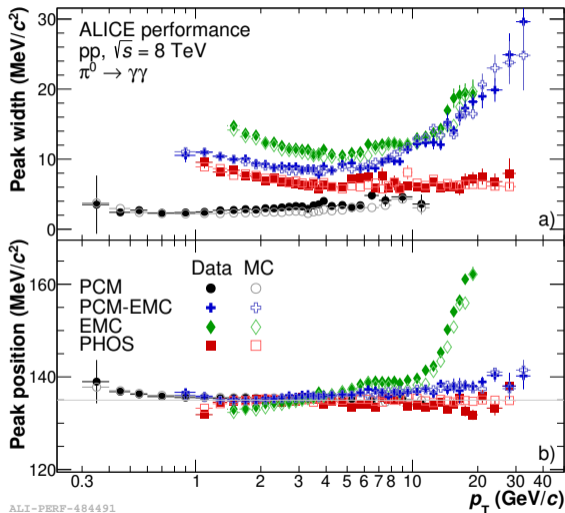
$$M_{\gamma\gamma} = \sqrt{2E_{\gamma 1}E_{\gamma 2}(1 - \cos(\Theta_{1,2}))}$$

- ▶ Event mixing used to estimate combinatorial background
- ▶ Additional linear function used to subtract residual background
- ▶ Signal parametrization by Gaussian with an exponential tail
- ▶ Raw yield obtained by bin counting within fixed mass window around peak after background subtraction

arXiv:2104:03116



# Signal properties of $\pi^0$ meson measurement with ALICE

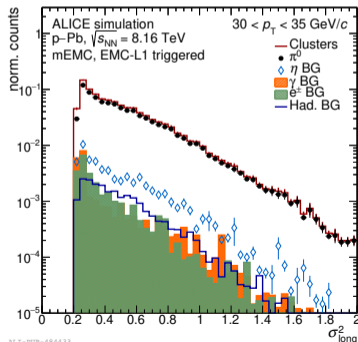
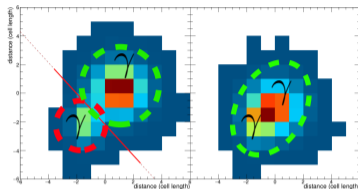


- ▶ Peak properties well described in MC
- ▶ PCM has high resolution (small peak width), but is limited in  $p_T$  reach
- ▶  $\pi^0$  EMCal extraction up to 20  $\text{GeV}/c$
- ▶ Peak position for EMCal increases at high  $p_T$ 
  - ▶ Artifact of opening angle cut (needed due to merging clusters)
- ▶ PHOS has worse resolution than PCM but enables  $\pi^0$  extraction up to 30  $\text{GeV}/c$

ALI-PERF-484491

arXiv:2104:03116

# $\pi^0$ meson measurement with merged EMCal clusters with ALICE



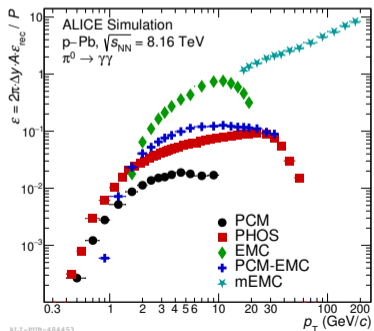
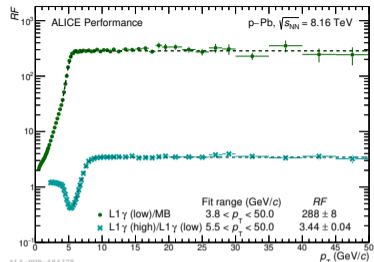
ALICE-PUB-684433

- ▶ Opening angle of photons coming from a meson is decreasing with increasing  $p_T$ 
  - ▶ Two photons are reconstructed as a single cluster
  - ▶ Discriminate  $\pi^0$  and  $\gamma$  through elongation of cluster
- ▶ Reject photon clusters via cut on long axis of clusters ( $\sigma_{\text{long}}^2 < 0.2$ )
- ▶ Merged EMCal (mEMC) analysis is purity based (purity > 80 %)
  - ▶ Purity corrections have to be applied
- ▶ First measurement with EMCal clusters above 50 GeV

# Corrections

$$E \frac{d^3\sigma}{dp^3} = \frac{1}{2\pi p_T} \frac{1}{\mathcal{L}_{\text{int}}} \frac{P}{A\epsilon_{\text{rec.}}} \frac{F_{\text{pile-up}} N^{\pi^0(\eta)} - N_{\text{sec.}}^{\pi^0}}{\Delta y \Delta p_T}$$

- ▶  $\mathcal{L}_{\text{int}}$ : Integrated luminosity including trigger rejection factor (RF)
  - ▶ EMCal gamma trigger enhancements:  
 $\text{RF}_{L1}(\text{low}) \approx 288$  and  $\text{RF}_{L1}(\text{high}) \approx 990$
  - ▶ PHOS gamma trigger enhancements:  
 $\text{RF}_{L0} \approx 1.66 \cdot 10^3$  and  $\text{RF}_{L1} \approx 1.58 \cdot 10^4$
- ▶  $P$ : Purity correction for mEMC
- ▶  $A\epsilon_{\text{rec.}}$ : Acceptance and reconstruction efficiency
- ▶  $F_{\text{pile-up}}$ : Out of bunch pileup correction for PCM
- ▶  $N_{\text{sec.}}^{\pi^0}$ : Secondary  $\pi^0$  from  $K_S^0$ ,  $K_L^0$ ,  $\Lambda$  from cocktail simulation



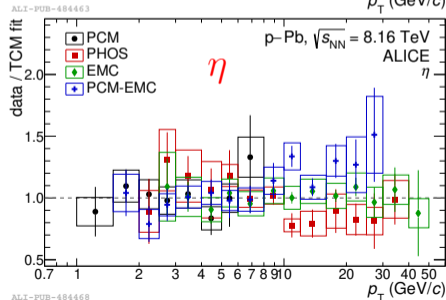
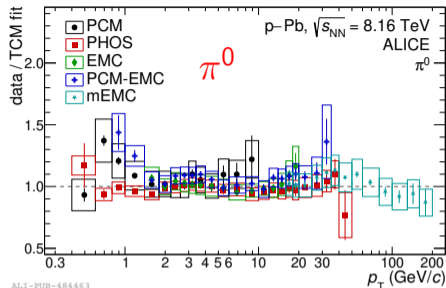
ALI-PUB-484453

# Comparison of different measurements

- ▶ Two-component model (TCM) fit:

$$E \frac{d^3\sigma}{dp^3} = A_e \exp(-E_{T, \text{kin}}/T_e) + A \left(1 \frac{p_T^2}{T^{2n}}\right)^{-n}$$

- ▶ Good agreement of all individual measurements above 2 GeV/c for  $\pi^0$  and over full  $p_T$  range for  $\eta$
- ▶ Slight tensions at low  $p_T$  for  $\pi^0$  within  $2\sigma$  of uncertainties
- ▶ Dominating systematic uncertainties:
  - ▶ Signal extraction, material uncertainties, shower overlap and  $\pi^0$  energy resolution



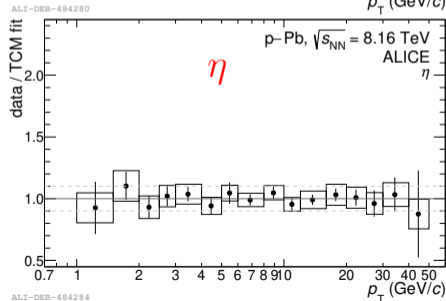
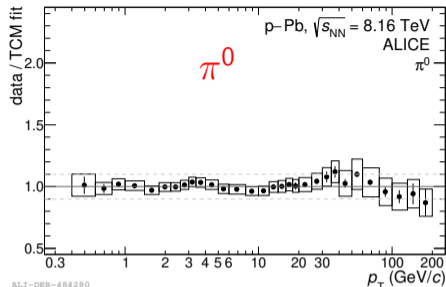
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# Combination of different measurements

- ▶ Two-component model (TCM) fit:

$$E \frac{d^3\sigma}{dp^3} = A_e \exp(-E_{T, \text{kin}}/T_e) + A \left(1 \frac{p_T^2}{T^{2n}}\right)^{-n}$$

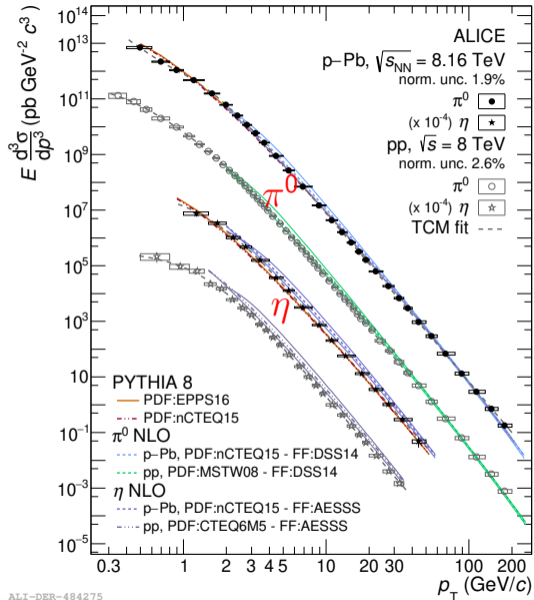
- ▶ Good agreement of all individual measurements above 2 GeV/c for  $\pi^0$  and over full  $p_T$  range for  $\eta$
- ▶ Slight tensions at low  $p_T$  for  $\pi^0$  within  $2\sigma$  of uncertainties
- ▶ Dominating systematic uncertainties:
  - ▶ Signal extraction, material uncertainties, shower overlap and  $\pi^0$  energy resolution
- ▶ Combination of spectra via best linear unbiased estimate (BLUE) method
  - ▶ Takes into account statistical and systematic uncertainties of respective methods and their correlations
- ▶  $p_T$  reach  $\pi^0$ :  $0.4 < p_T < 200$  GeV/c
- ▶  $p_T$  reach  $\eta$ :  $1.0 < p_T < 50$  GeV/c



# Invariant cross section

Invariant cross sections in p-Pb  $\sqrt{s_{NN}} = 8.16$  TeV

- ▶ Reference pp measurement was extended from 35 GeV/c to 200 GeV/c (mEMC measurement)
- ▶  $\pi^0$  measurement has highest  $p_T$  reach of all identified particles so far

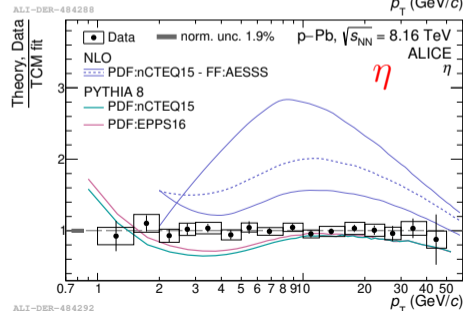
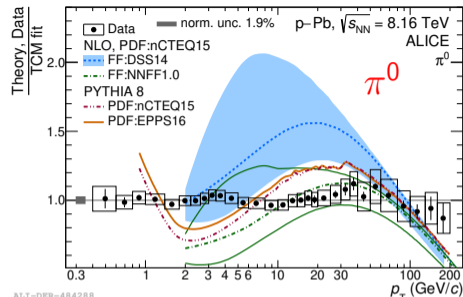


Thanks to Werner Vogelsang for providing NLO calculations

# Invariant cross section

Invariant cross sections in p-Pb  $\sqrt{s_{NN}} = 8.16$  TeV

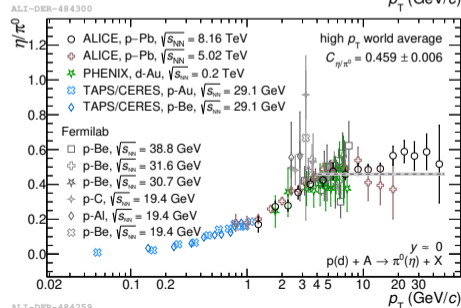
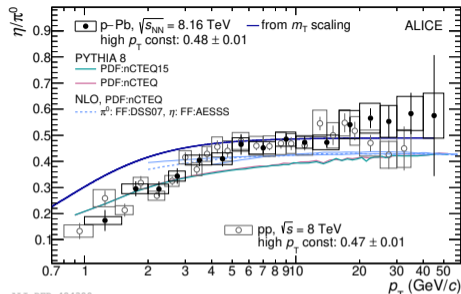
- ▶ Reference pp measurement was extended from 35 GeV/c to 200 GeV/c (mEMC measurement)
- ▶  $\pi^0$  measurement has **highest  $p_T$  reach of all identified particles** so far
- ▶ NLO overestimates data
  - ▶ Fragmentation Functions (FF) need improvement
- ▶ PYTHIA 8 underestimates data for low  $p_T$  but is within uncertainties for  $\eta$  at high  $p_T$



ALI-DER-484292

# $\eta/\pi^0$ ratio

- ▶  $\eta/\pi^0$  ratio measured up to 50 GeV/c
- ▶ Good agreement between pp  $\sqrt{s} = 8$  TeV and p-Pb  $\sqrt{s_{NN}} = 8.16$  TeV
- ▶ NLO Calculations agree within uncertainties between 3 and 8 GeV/c
- ▶ PYTHIA 8 tends to underestimate ratio by approximately 25% at high  $p_T$ , but agrees within uncertainties for low  $p_T$
- ▶ Good agreement of  $\eta/\pi^0$  ratio in p-Pb collisions with measurement in other pA collision systems
- ▶ World average high  $p_T$  constant fit value ( $0.459 \pm 0.006$ ) differs from measured value in p-Pb at  $\sqrt{s_{NN}} = 8.16$  TeV ( $0.48 \pm 0.01$ )



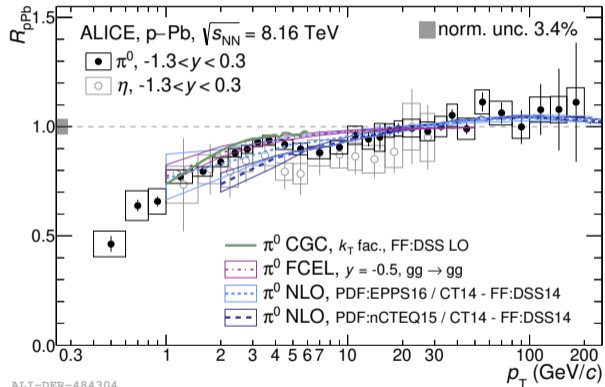
ALICE-DEP-484259



# Nuclear modification factor ( $R_{pA}$ ) of $\pi^0$

$$R_{pA} = \frac{1}{A_{Pb}} \frac{d^2\sigma_{pPb}}{dp_T dy} / \frac{d^2\sigma_{pp}}{dp_T dy}$$

- ▶ pp  $\sqrt{s} = 8$  TeV spectrum has to be scaled for center of mass energy (p-Pb  $\sqrt{s_{NN}} = 8.16$  TeV) and rapidity, extracted from PYTHIA 8 simulation and NLO calculation
- ▶ Suppression at low  $p_T$
- ▶ No suppression at high  $p_T$
- ▶  $R_{pA}$  for  $\pi^0$  and  $\eta$  are in agreement
- ▶  $R_{pA}$  in very good agreement with CGC, FCEL and NLO calculations

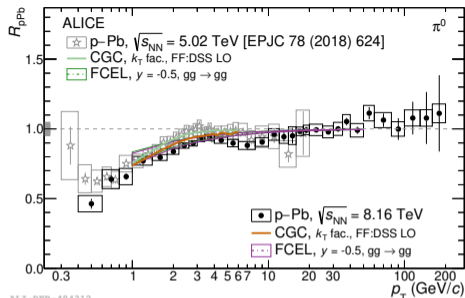


ALI-DER-484304

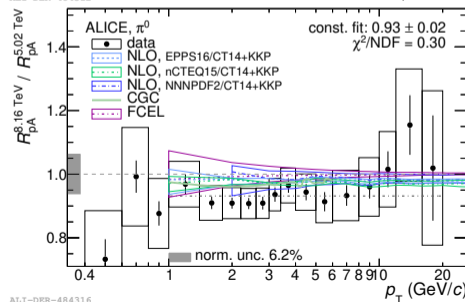
[arXiv:2104:03116](https://arxiv.org/abs/2104.03116)

# $\sqrt{s_{NN}}$ - dependence of $\pi^0 R_{pA}$

- ▶ Increase of  $p_T$  range by an order of magnitude compared to previous  $\pi^0 R_{pA}$  measurements
- ▶ Suppression at low  $p_T$  is consistent with measurement at  $\sqrt{s_{NN}} = 5.02$  TeV within uncertainties
  - ▶ Larger suppression than in  $\sqrt{s_{NN}} = 5.02$  TeV
  - ▶ Constant fit of ratio gives value of  $0.93 \pm 0.02$
  - ▶ Large normalization uncertainties of 6.2% due to interpolation of  $\sqrt{s_{NN}} = 5.02$  TeV reference
- ▶ FCEL (energy loss) model and NLO calculations predict smaller difference, whereas CGC predicts 1% – 5% stronger suppression
  - ▶ Hint for onset of gluon saturation



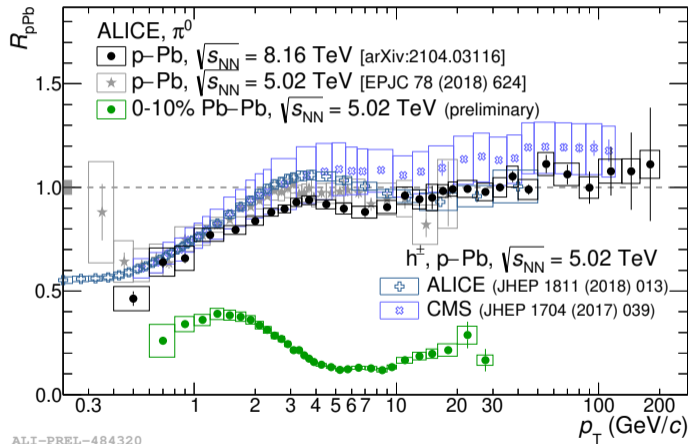
ALI-DER-484312



ALI-DER-484316

# $R_{pA}$ - measurement comparisons

- ▶  $p_T$  range exceeds all single charged particle measurements
- ▶ No modification at  $p_T > 10\text{GeV}/c$  can be stated
  - ▶ Negligible final state effects

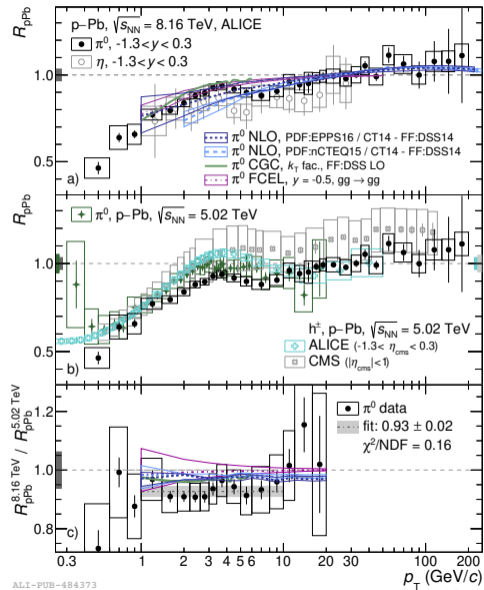


ALI-PREL-484320

[arXiv:2104.03116](https://arxiv.org/abs/2104.03116)

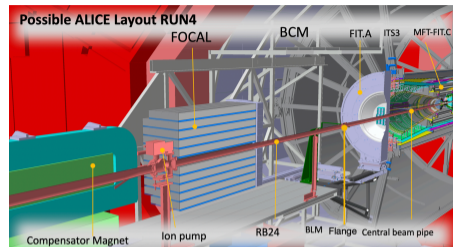
# Summary

- ▶ Increase of  $p_T$  range of  $\pi^0$  measurement by an order of magnitude
  - ▶ Driven by mEMC analysis
- ▶  $\eta/\pi^0$  ratio in agreement with other measurements
  - ▶ **No system dependence observed**
  - ▶ Strong constraints for  $p_T > 20$  GeV/c in p-A
- ▶ **No modification for high  $p_T$**  could be observed
- ▶ Provide constraints for nPDFs ([arXiv:2105:09873](https://arxiv.org/abs/2105.09873))
- ▶ Hint for stronger low  $p_T$  suppression in  $R_{pA}$  in  $\sqrt{s_{NN}} = 8.16$  TeV
  - ▶ Possible onset of saturation effects
  - ▶ Possible larger shadowing in nPDFs
- ▶ Read more in [arXiv:2104:03116](https://arxiv.org/abs/2104.03116)



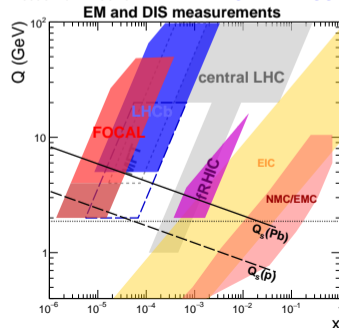
# Outlook - The ALICE Forward Calorimeter

- ▶ Forward calorimeter, rapidity coverage:  $3.4 < \eta < 5.8$ 
  - ▶ FoCal-E: High-granularity Si-W sampling sandwich calorimeter for photons and  $\pi^0$
  - ▶ FoCal-H: Metal-scintillator sampling calorimeter for photon isolation and jets
  
- ▶ Goal: Measure forward photon production to probe small-x gluons in the nucleus
  
- ▶ Full physics program:
  - ▶  $\pi^0$  (neutral mesons) in pp, p-Pb, Pb-Pb
  - ▶ Isolated (direct) photons
  - ▶ Jets (and di-jets)
  - ▶  $J/\psi$  ( $\Upsilon$ ) in UPC



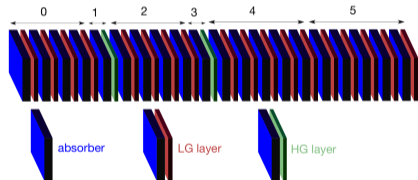
Letter-of-Intent:

CERN-LHCC-2020-009

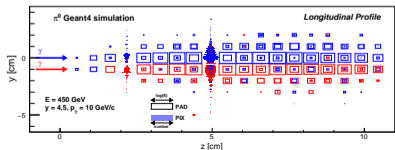


# Outlook - FoCal-E conceptual design

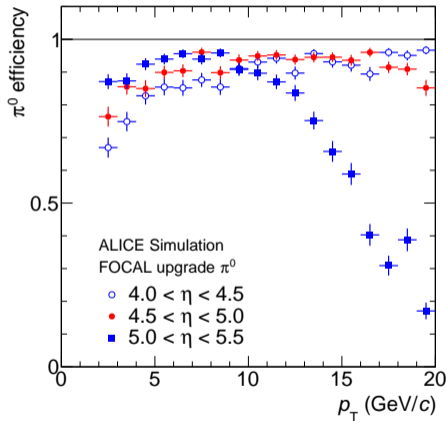
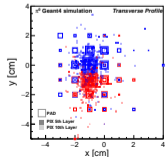
- ▶ Main challenge: Two photon separation from  $\pi^0$  decay
  - ▶ Need granularity  $\sim 1 \text{ mm}^2$
  - ▶ Implementation:
    - ▶ Pad layers with  $1 \times 1 \text{ cm}^2$
    - ▶ High-granularity readout with 2 pixel layers with  $30 \times 30 \mu\text{m}^2$



Longitudinal profile ( $2\gamma$  showers)



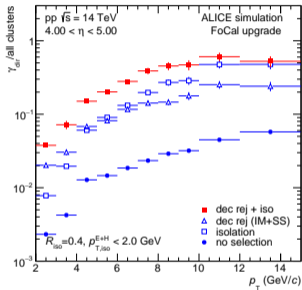
Trans. profile



Letter-of-Intent: [CERN-LHCC-2020-009](https://cds.cern.ch/record/2799113)

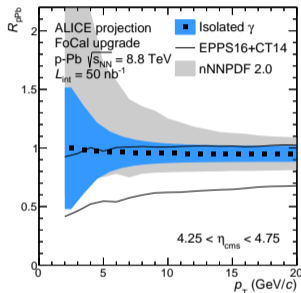
# Outlook - Expected performance and impact on nPDF

Direct  $\gamma$ /all cluster ratio



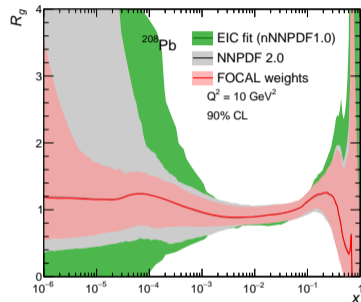
- Decay rejection cut and isolation cut suppressed the decay photon background

Projected uncertainty on  $R_{pA}$



- Significant improvement (up to factor 2) on EPPS16, nNNDF 2.0 uncertainties

Reweighted nuclear PDFs



- nNNPDF 2.0 from DIS + LHC (minimal theoretical assumptions)
- No constraints for  $x < 10^{-2}$  from DIS
- FOCAL adds constraints over a broad range:  $10^{-5}$ - $10^{-2}$  at small  $Q^2$

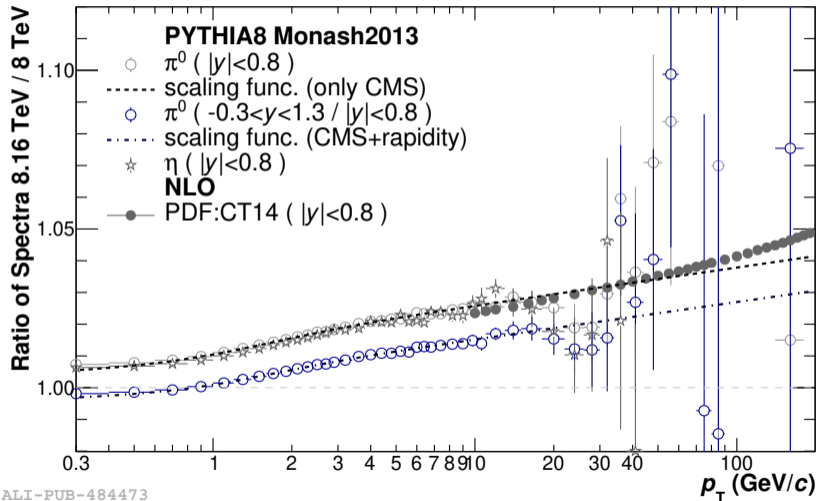
Letter-of-Intent: [CERN-LHCC-2020-009](https://cds.cern.ch/record/2711043)

# The End

Thank you for your attention!



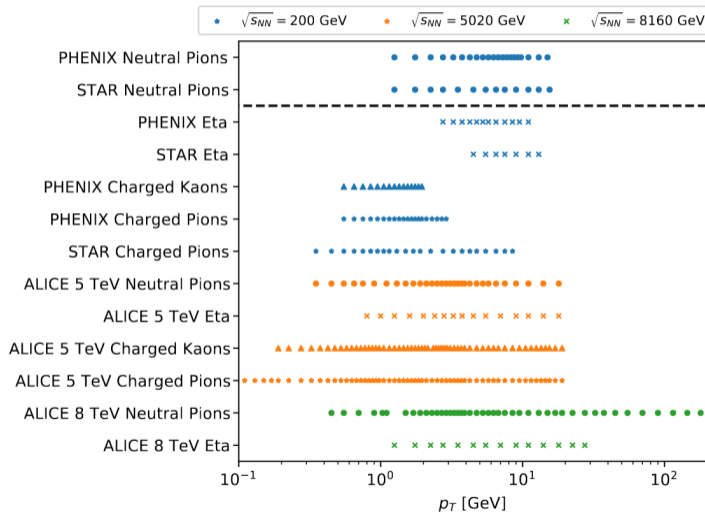
# Backup



ALI-PUB-484473

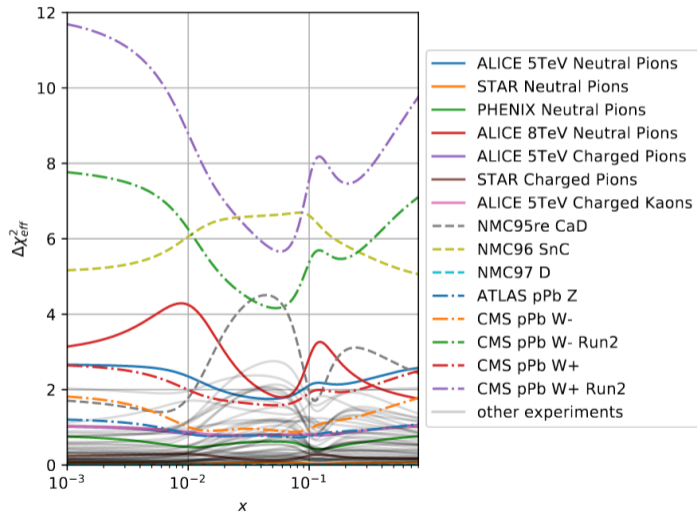
arXiv:2104:03116

# Backup



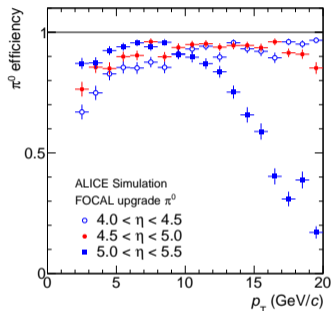
arXiv:2105.09873

## Backup

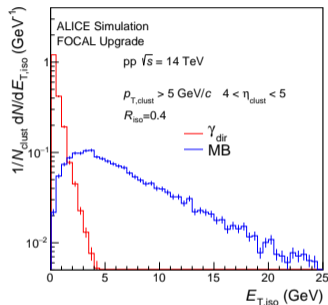


# Backup - Key ingredients for isolated photon measurement

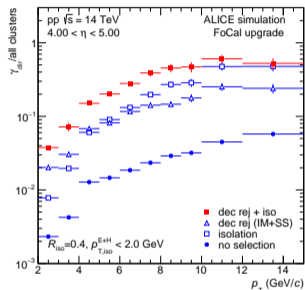
## $\pi^0$ reconstruction efficiency



## Isolation energy distribution



## Direct $\gamma$ /all cluster ratio



## Main ingredients for direct photon identification

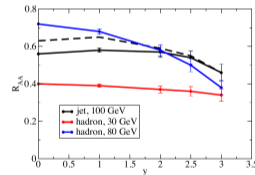
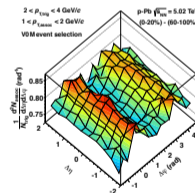
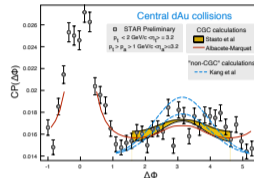
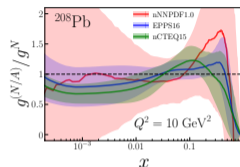
- ▶  $\pi^0$  reconstruction efficiency: measure background
- ▶ Rejection of decays by invariant mass reconstruction
- ▶ Isolation cut (EmCal + HCal)

Improvement in signal fraction by factor  $\sim 10$  to  $\sim 0.1$ - $0.6$

Letter-of-Intent: [CERN-LHCC-2020-009](https://arxiv.org/abs/2002.009)

# Backup - Physics programme

- ▶ Quantify nuclear modification of the gluon density at small-x
  - ▶ Isolated photons in pp and pPb collisions
- ▶ Explore non-linear QCD evolution
  - ▶ Azimuthal  $\pi^0$ - $\pi^0$  and isolated photon- $\pi^0$  (or jet) correlations in pp and pPb collisions
- ▶ Jet quenching at forward rapidity
  - ▶ Measure high  $p_T$  neutral pion production in PbPb
- ▶ Other measurements
  - ▶ Jets and dijets in pp/pPb and UPC
  - ▶ Quarkonia in UPC (and pp)
  - ▶ Photon and pion HBT
  - ▶ W,Z in pp/pPb?
  - ▶ Isolated photons in PbPb
  - ▶ Measurements at 14 TeV
    - ▶ Universality at small-x
    - ▶ Saturation in pp
    - ▶ High-x (>0.1) gluon constraints



Letter-of-Intent: [CERN-LHCC-2020-009](https://cds.cern.ch/record/2020009)