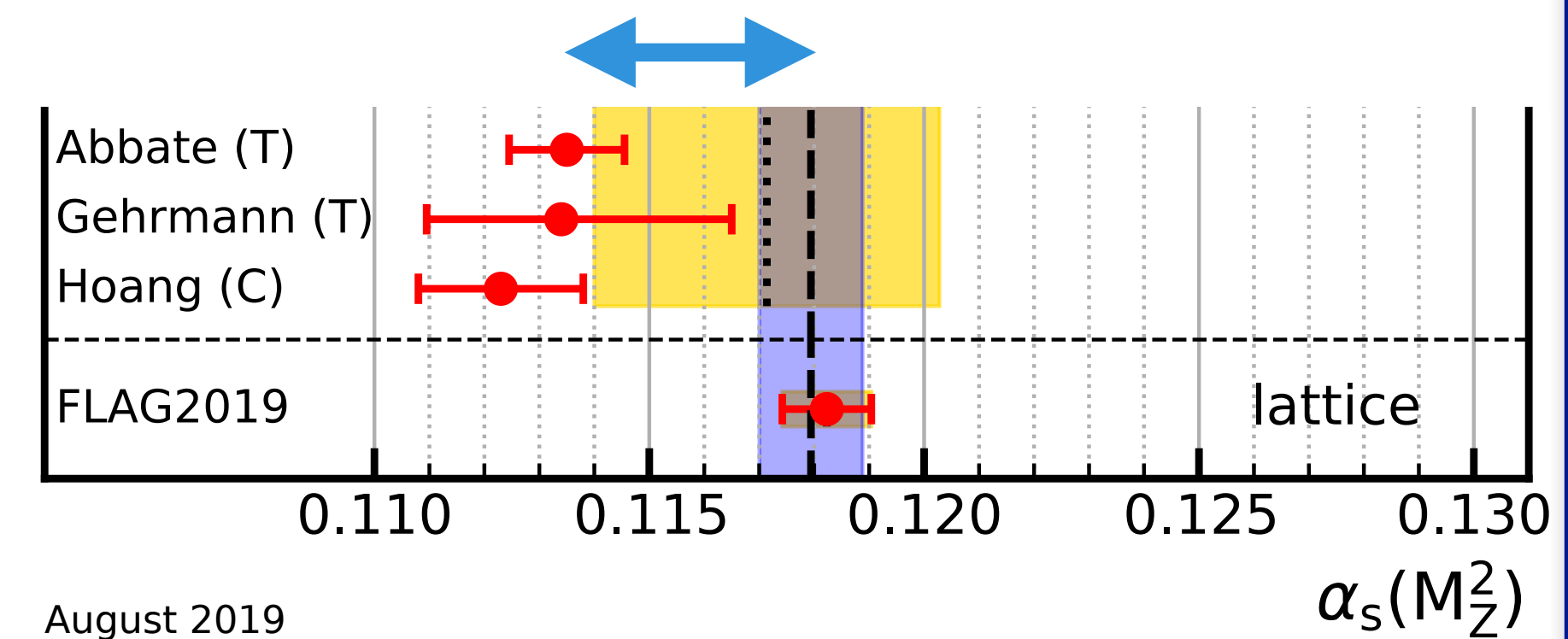
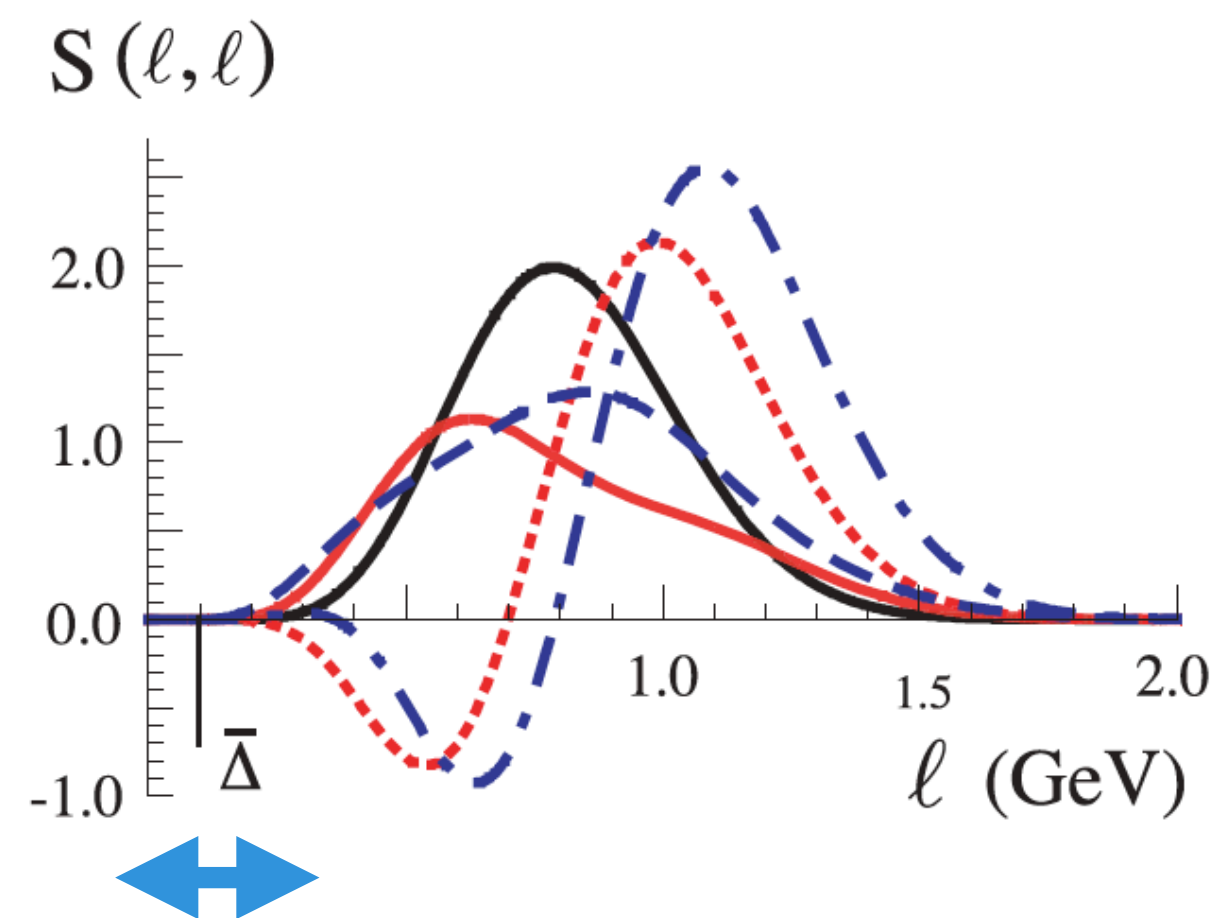
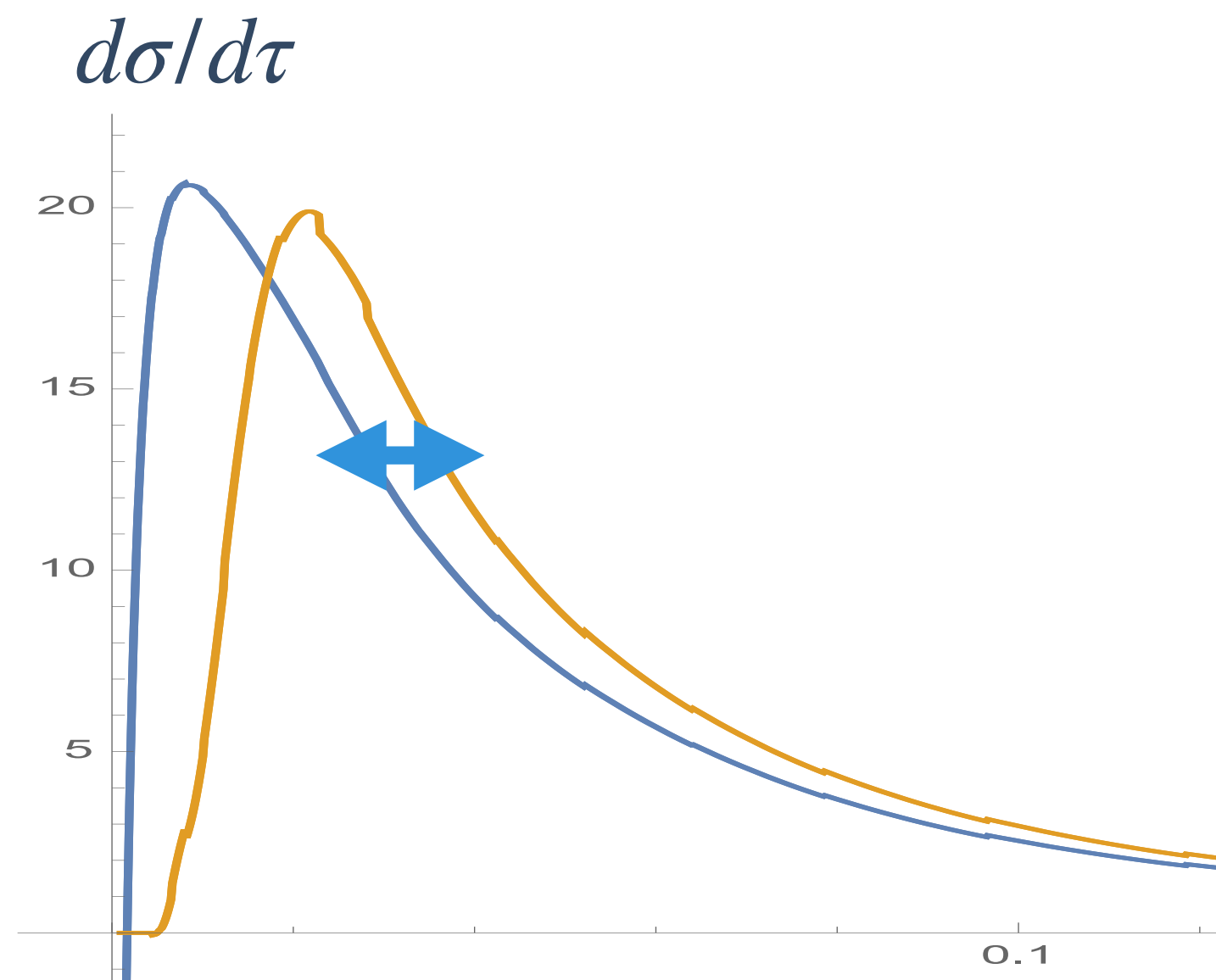
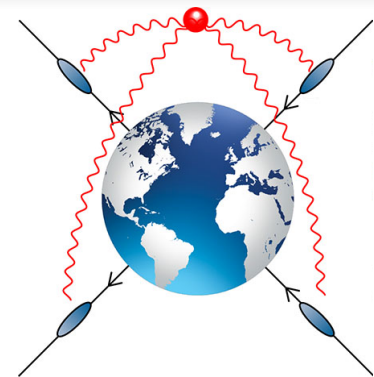


Angularities, gaps, and α_s



Christopher Lee (LANL)

with G. Bell, Y. Makris, J. Talbert, B. Yan, B. Yoon



WORLD SCET 2021

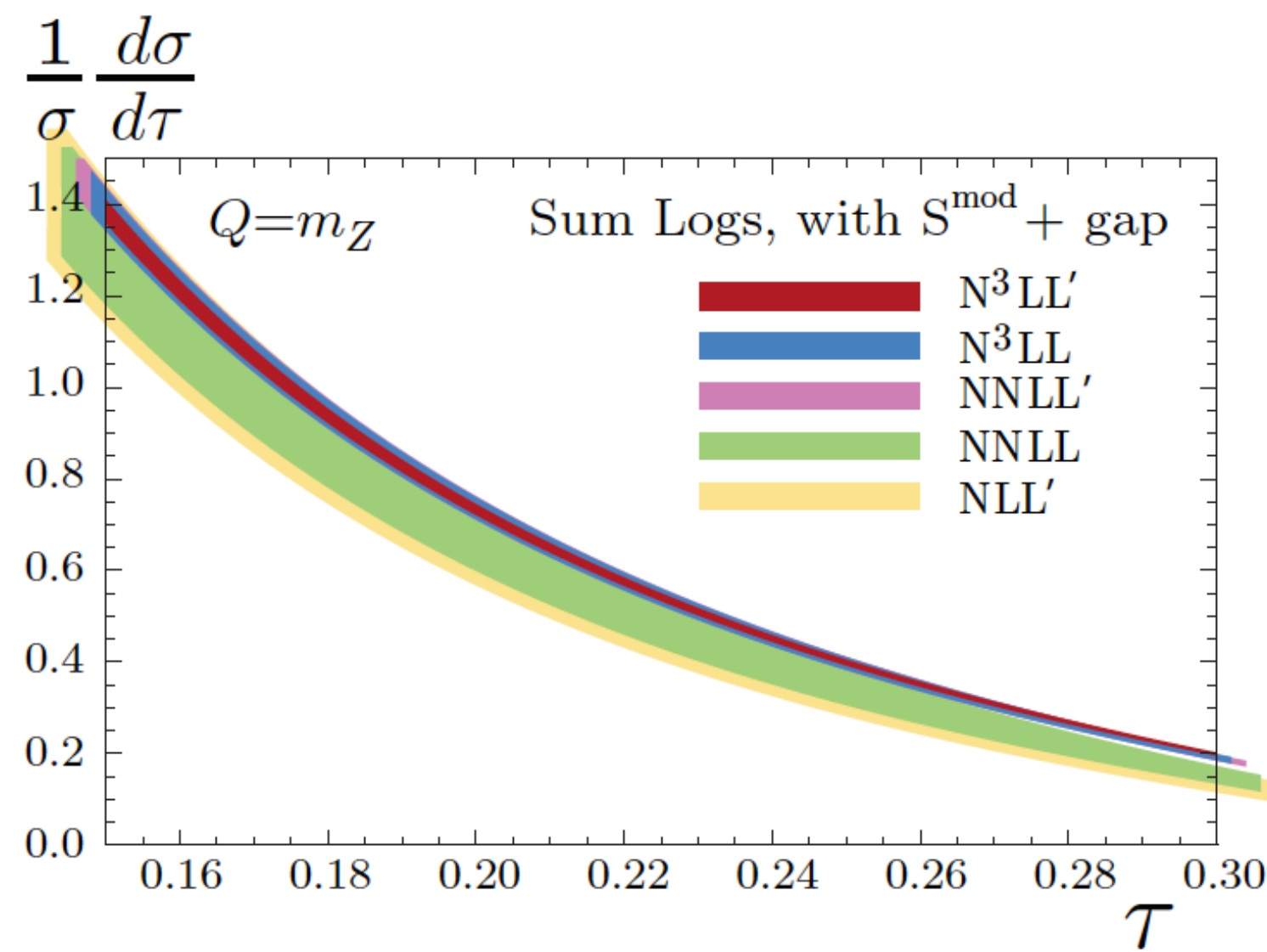
19 Apr 2021



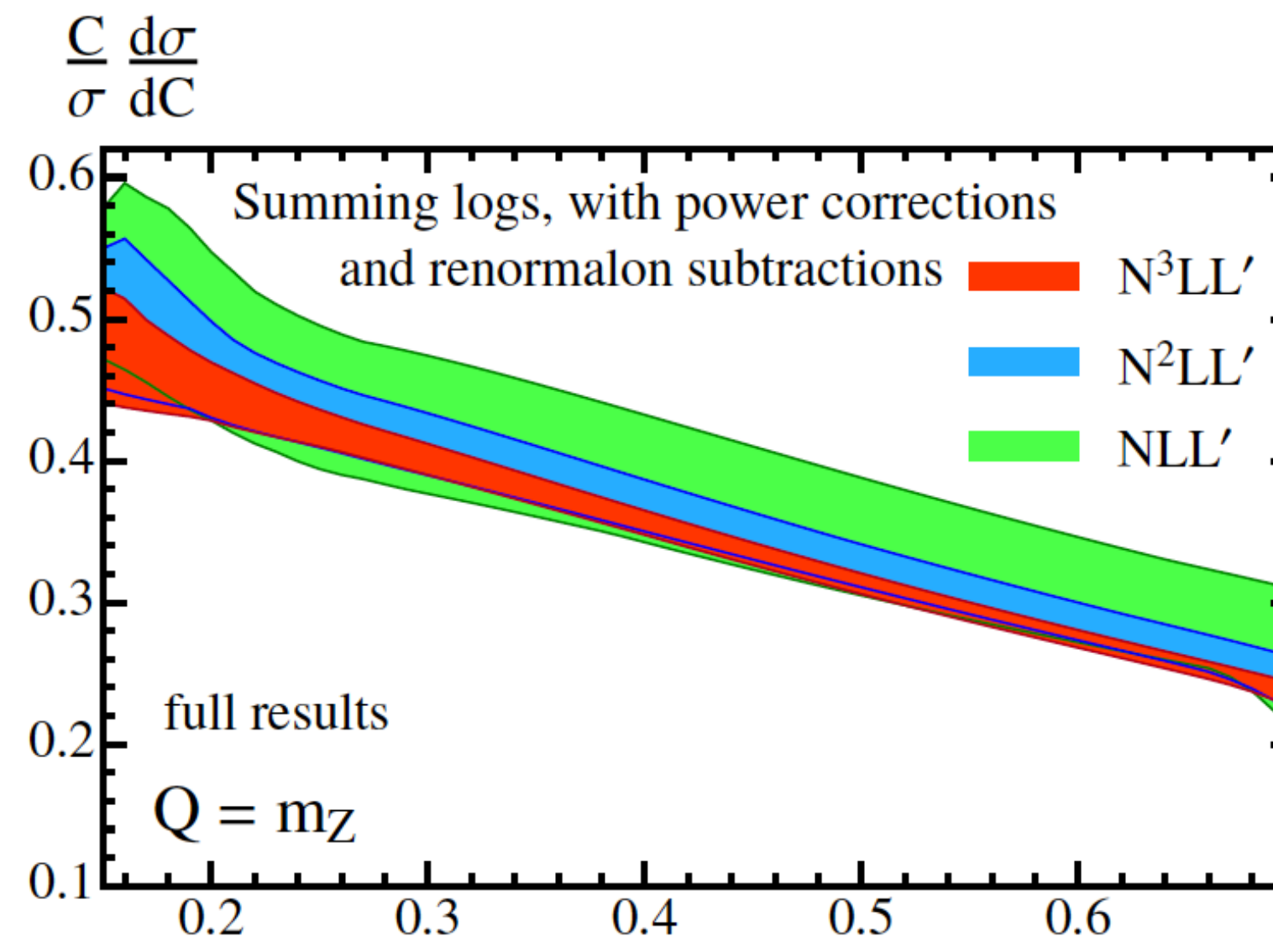
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A triumph for SCET

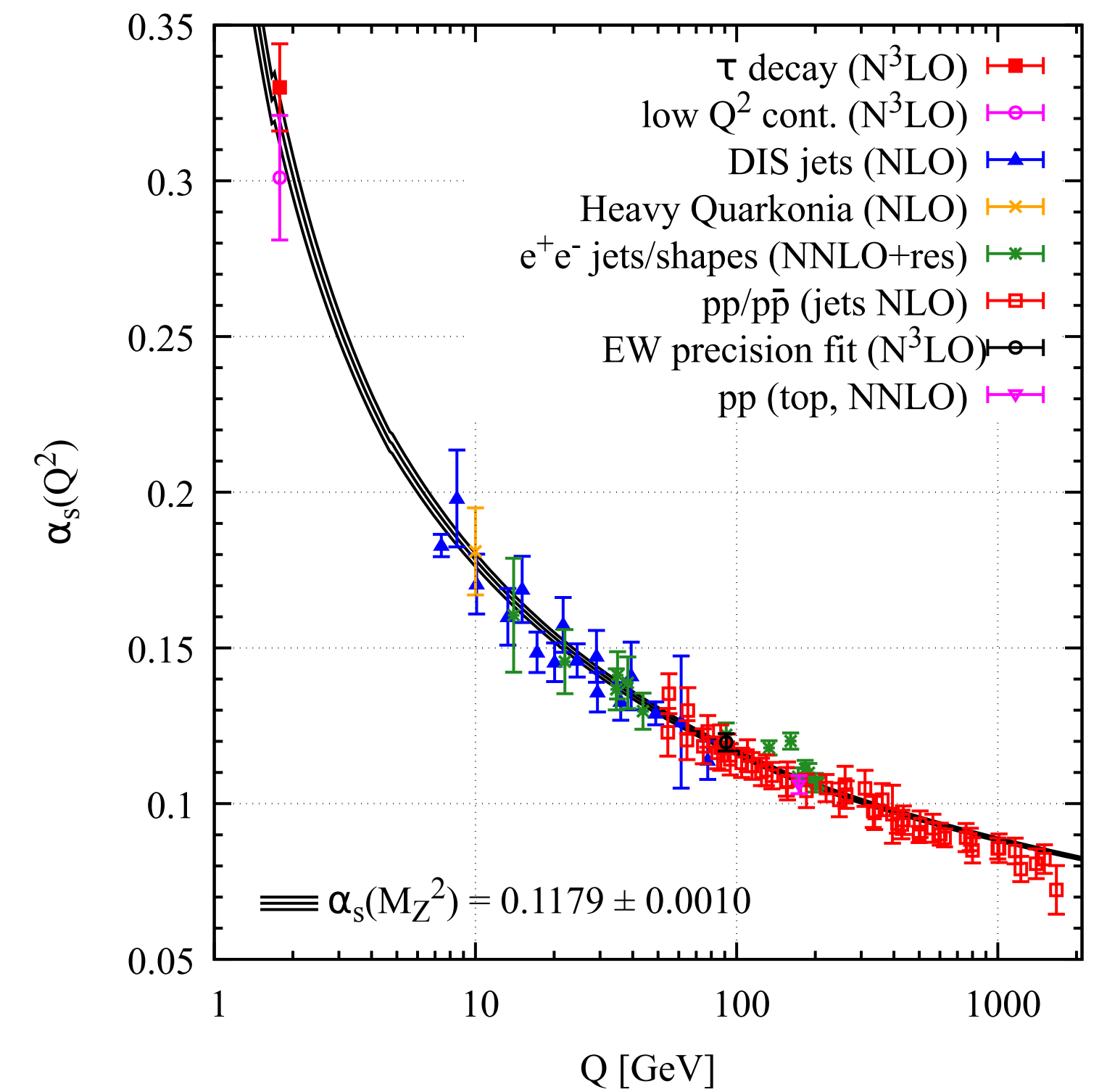
- First N^3LL' resummed event shape distributions with state-of-the-art treatment of nonperturbative corrections, e.g.:



hep-ph/1006.3080



hep-ph/1501.04111



Make e^+e^- event shapes some of the most precise ways to determine α_s

An anomaly?

2020 PDG world average:
.1179 +/- .0010

Thrust at N³LL with Power Corrections and a Precision Global Fit for $\alpha_s(m_Z)$
Riccardo Abbate,¹ Michael Fickinger,² André H. Hoang,³ Vicent Mateu,³ and Iain W. Stewart¹

hep-ph/1006.3080

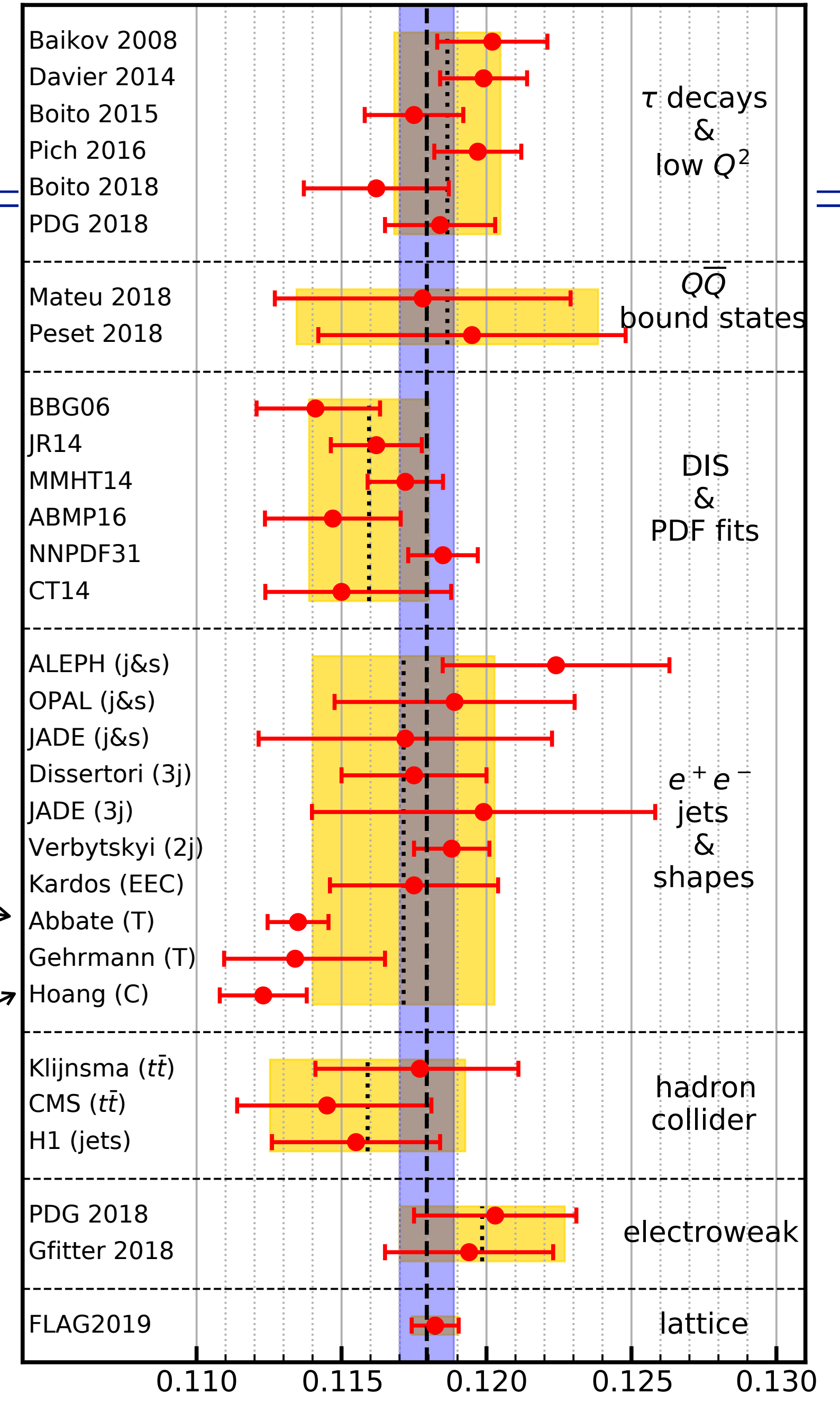
$$\alpha_s(m_Z) = 0.1135 \pm (0.0002)_{\text{exp}} \pm (0.0005)_{\text{hadr}} \pm (0.0009)_{\text{pert}}$$

A Precise Determination of α_s from the C-parameter Distribution
André H. Hoang,^{1,2} Daniel W. Kolodrubetz,³ Vicent Mateu,¹ and Iain W. Stewart³

hep-ph/1501.04111

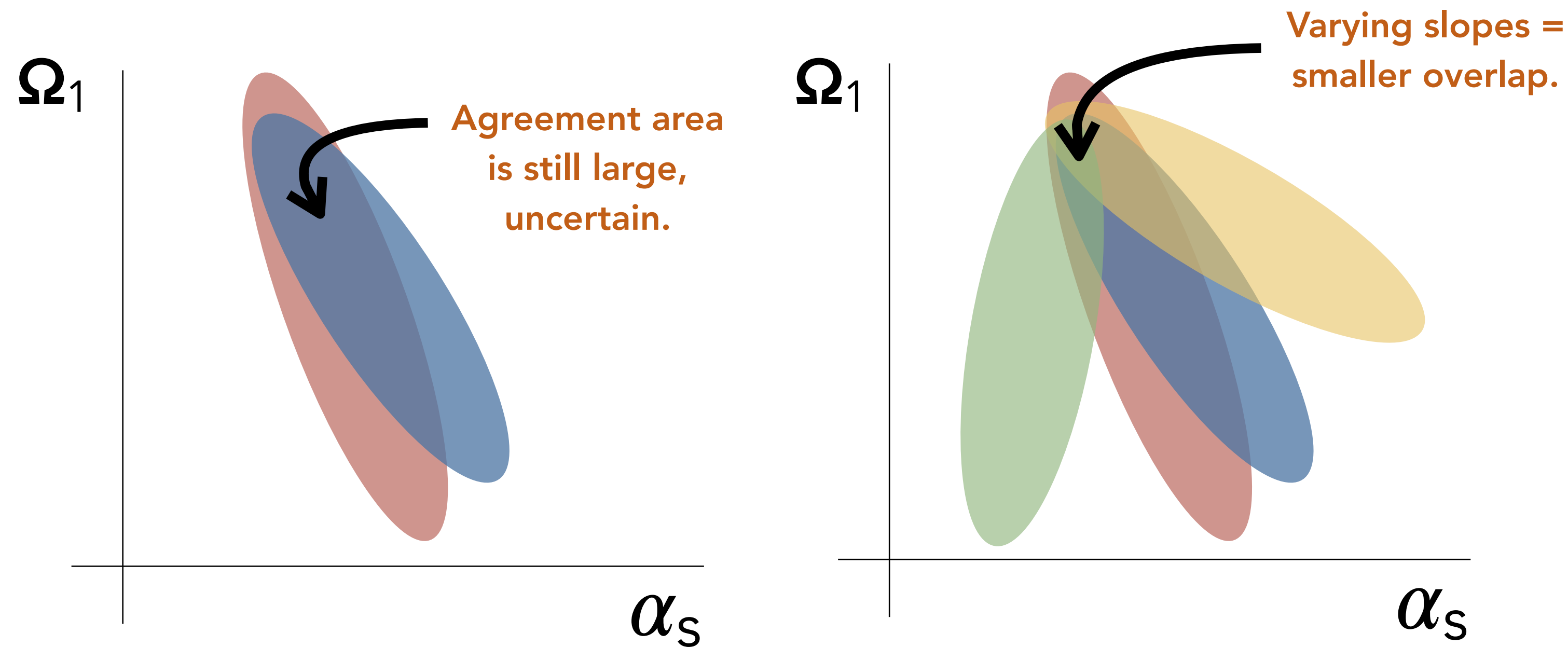
$$\alpha_s(m_Z) = 0.1123 \pm 0.0002_{\text{exp}} \pm 0.0007_{\text{hadr}} \pm 0.0014_{\text{pert}}$$

■ ~4 σ anomaly?

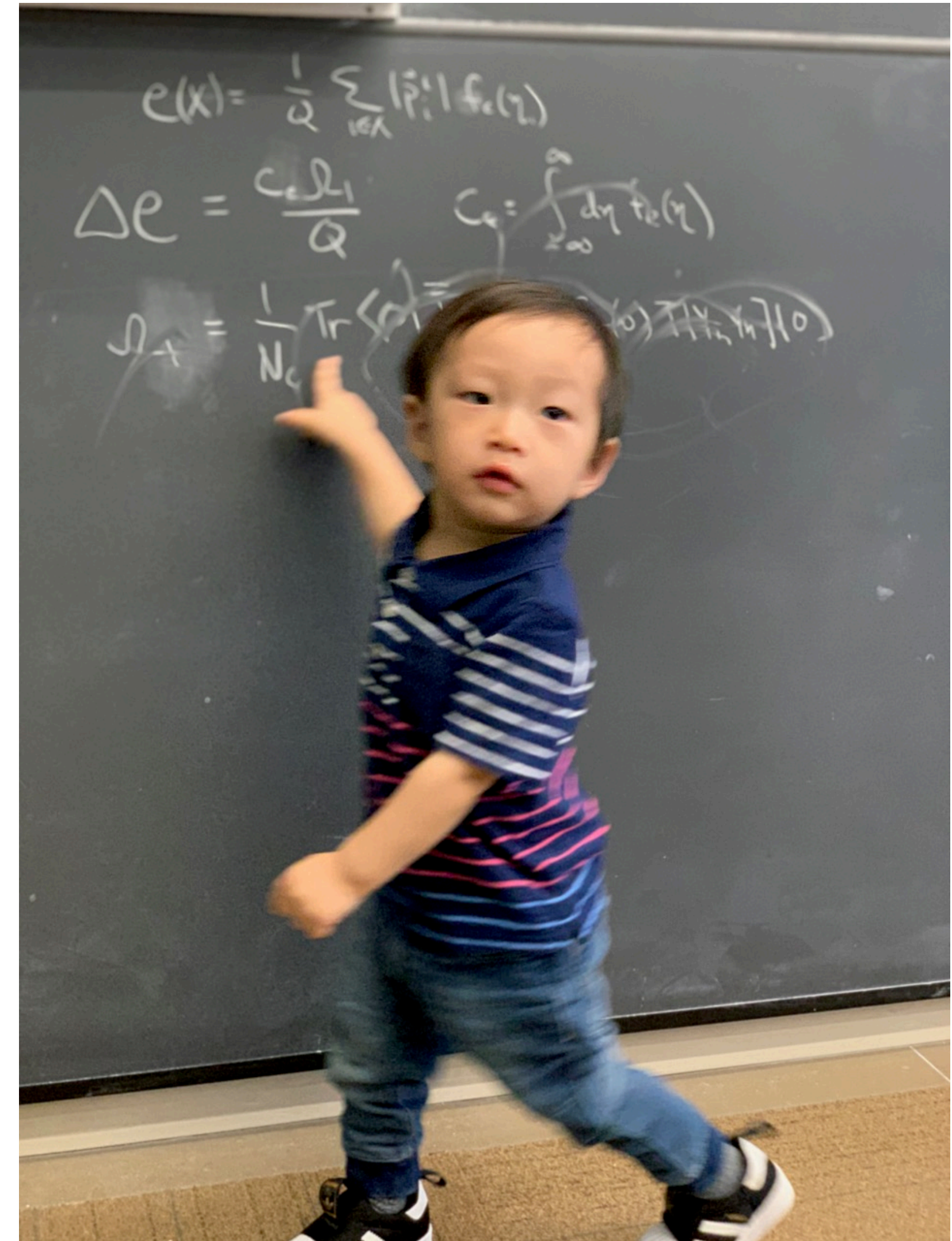


2-parameter fits to PT/NP effects: break degeneracies

- In "tail" region, leading nonperturbative effect is a shift by $c_e \Omega_1 / Q$



Use different Q 's.
Or different event shapes.



Caltech, March 2019

e^+e^- event shapes in SCET

- Consider *Angularities*, which can be defined in terms of the rapidity and p_T of a final state particle 'i', with respect to the thrust axis:

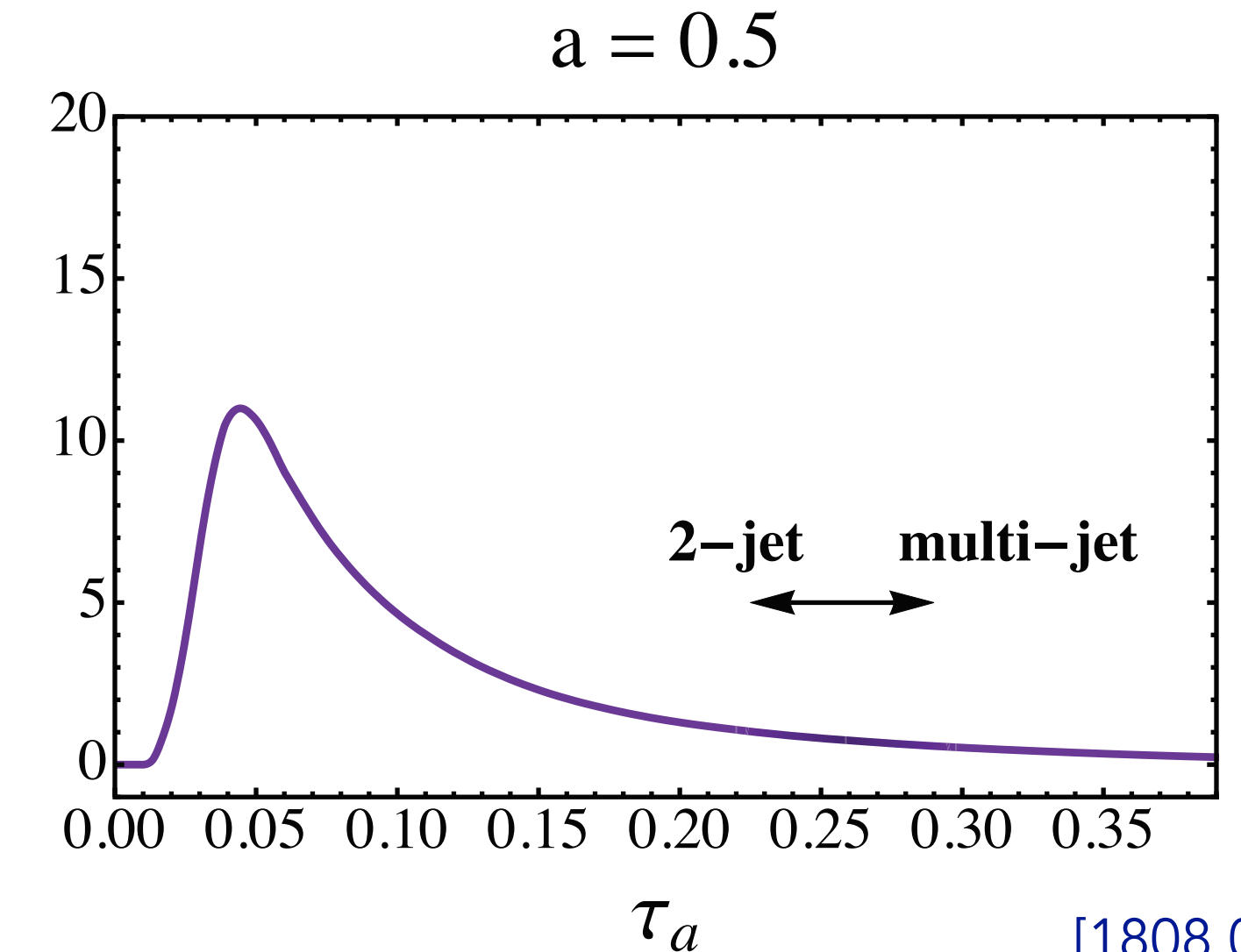
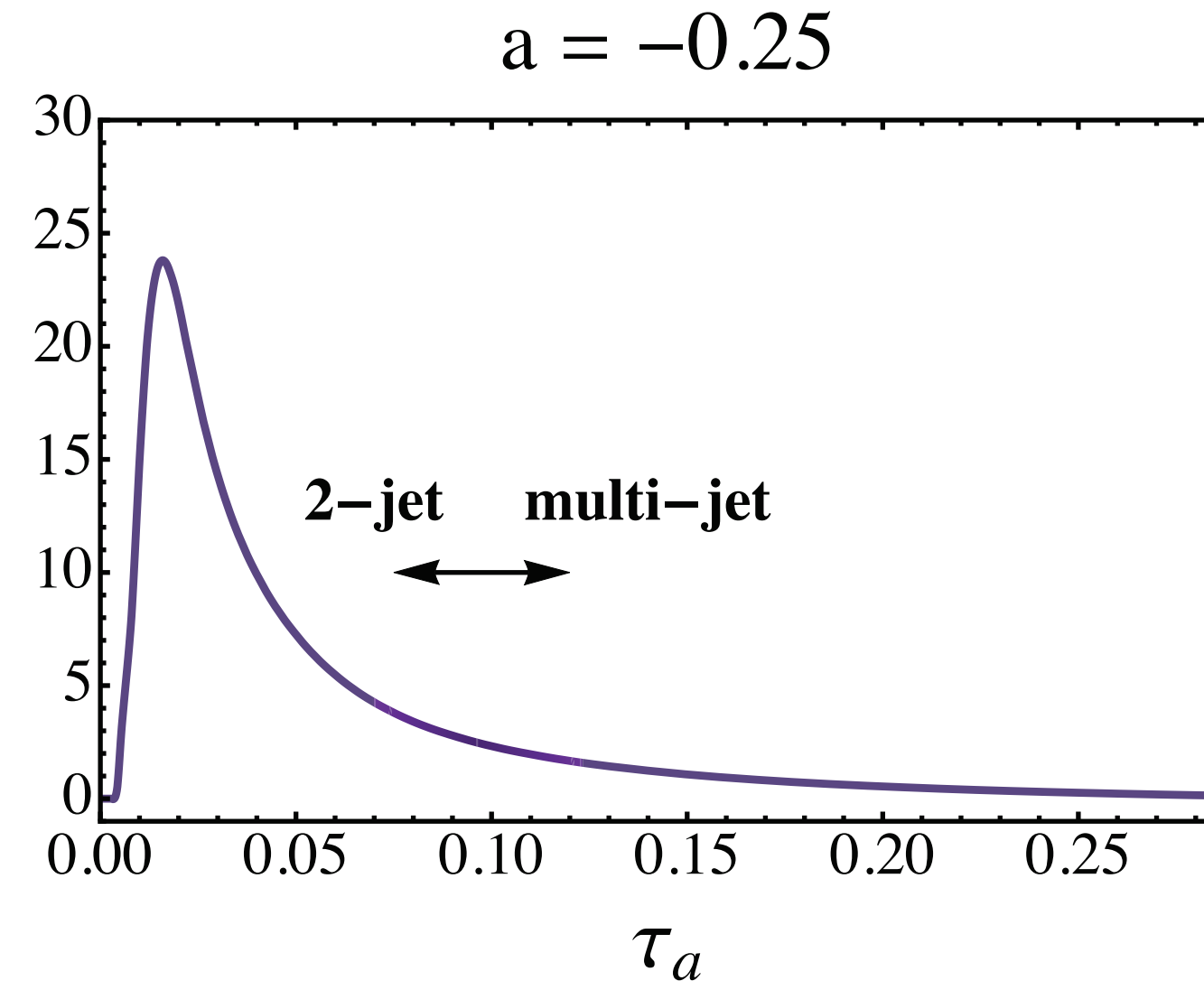
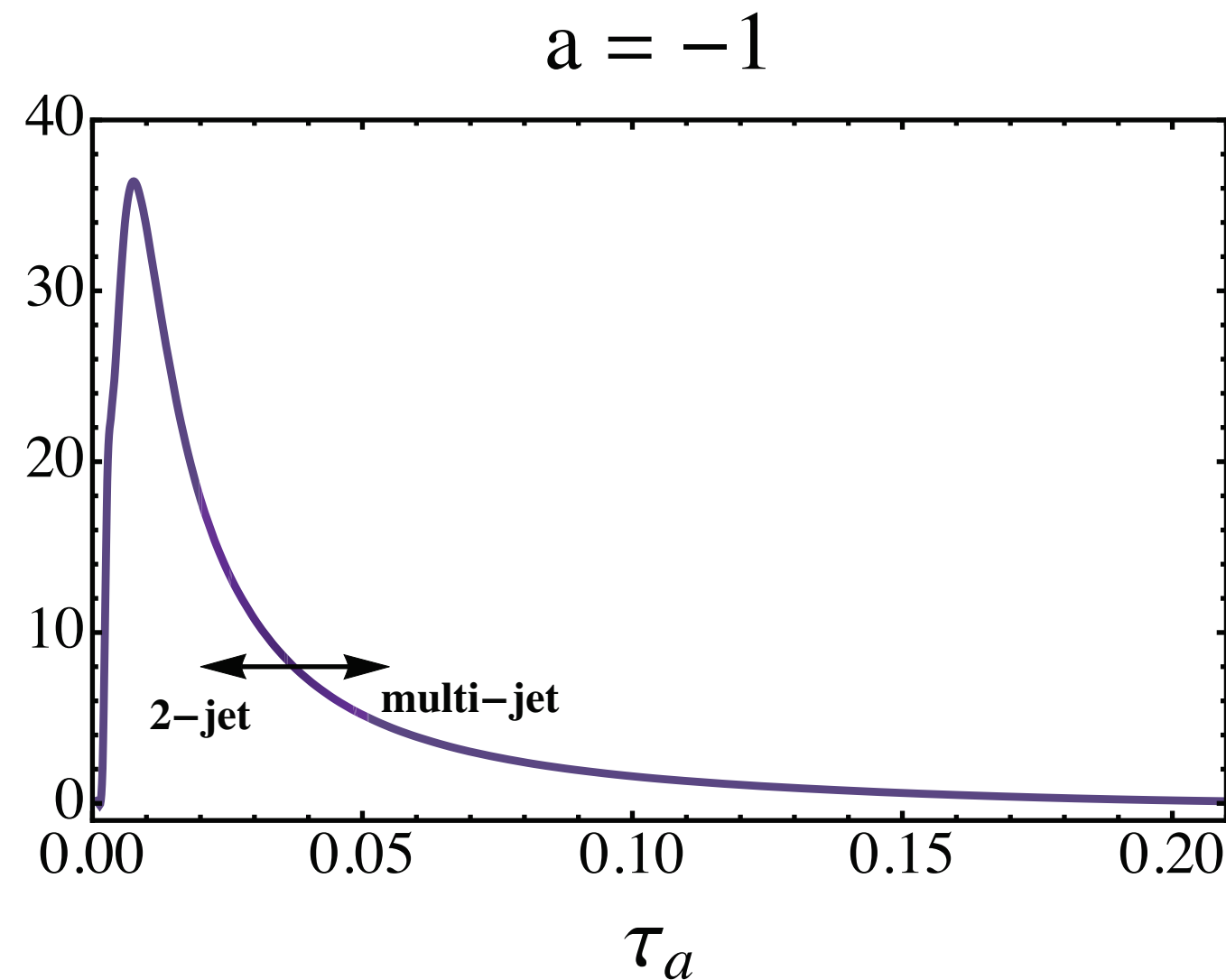
hep-ph/0303051

IR safe for $a \in \{-\infty, 2\}$

$$\tau_a = \frac{1}{Q} \sum_i |\mathbf{p}_{\perp}^i| e^{-|\eta_i|(1-a)}$$

$a = 0 \leftrightarrow$ 'Thrust'

$a = 1 \leftrightarrow$ 'Jet Broadening' (for us $a < 1$)



[1808.07867]

- Leading nonperturbative shift is $\frac{2\Omega_1}{Q(1-a)}$: changing a is like changing Q .

- An all-order dijet factorization theorem for the observable is easily derived in SCET:

$$d\sigma \sim H \cdot \mathcal{J} \otimes \mathcal{J} \otimes \mathcal{S} \quad \xleftrightarrow{\text{RGE}} \quad \frac{dH(Q^2, \mu)}{d \ln \mu} = \left[2\Gamma_{cusp} \ln\left(\frac{Q^2}{\mu^2}\right) + 4\gamma_H(\alpha_s) \right] H(Q^2, \mu)$$

- Evolving all scales to/from their 'natural' settings, one arrives at the resummed cross section:

$$\begin{aligned} \frac{\sigma_{\text{sing}}(\tau_a)}{\sigma_0} &= e^{K(\mu, \mu_H, \mu_J, \mu_S)} \left(\frac{\mu_H}{Q}\right)^{\omega_H(\mu, \mu_H)} \left(\frac{\mu_J^{2-a}}{Q^{2-a}\tau_a}\right)^{2\omega_J(\mu, \mu_J)} \left(\frac{\mu_S}{Q\tau_a}\right)^{\omega_S(\mu, \mu_S)} H(Q^2, \mu_H) & \mathcal{F}(\Omega) &= \frac{e^{\gamma_E \Omega}}{\Gamma(-\Omega)} \\ &\times \tilde{J}\left(\partial_\Omega + \ln \frac{\mu_J^{2-a}}{Q^{2-a}\tau_a}, \mu_J\right)^2 \tilde{S}\left(\partial_\Omega + \ln \frac{\mu_S}{Q\tau_a}, \mu_S\right) \times \begin{cases} \frac{1}{\tau_a} \mathcal{F}(\Omega) & \sigma = \frac{d\sigma}{d\tau_a} \\ \mathcal{G}(\Omega) & \sigma = \sigma_c \end{cases} & \mathcal{G}(\Omega) &= \frac{e^{\gamma_E \Omega}}{\Gamma(1-\Omega)} \end{aligned}$$

- This predicts the singular component of the cross section. One must then match to QCD:

$$\frac{\sigma_c(\tau_a)}{\sigma_0} - \frac{\sigma_{c,\text{sing}}(\tau_a)}{\sigma_0} = r_c(\tau_a) = \theta(\tau_a) \left\{ \frac{\alpha_s(Q)}{2\pi} r_c^1(\tau_a) + \left(\frac{\alpha_s(Q)}{2\pi}\right)^2 r_c^2(\tau_a) \right\} + \dots$$

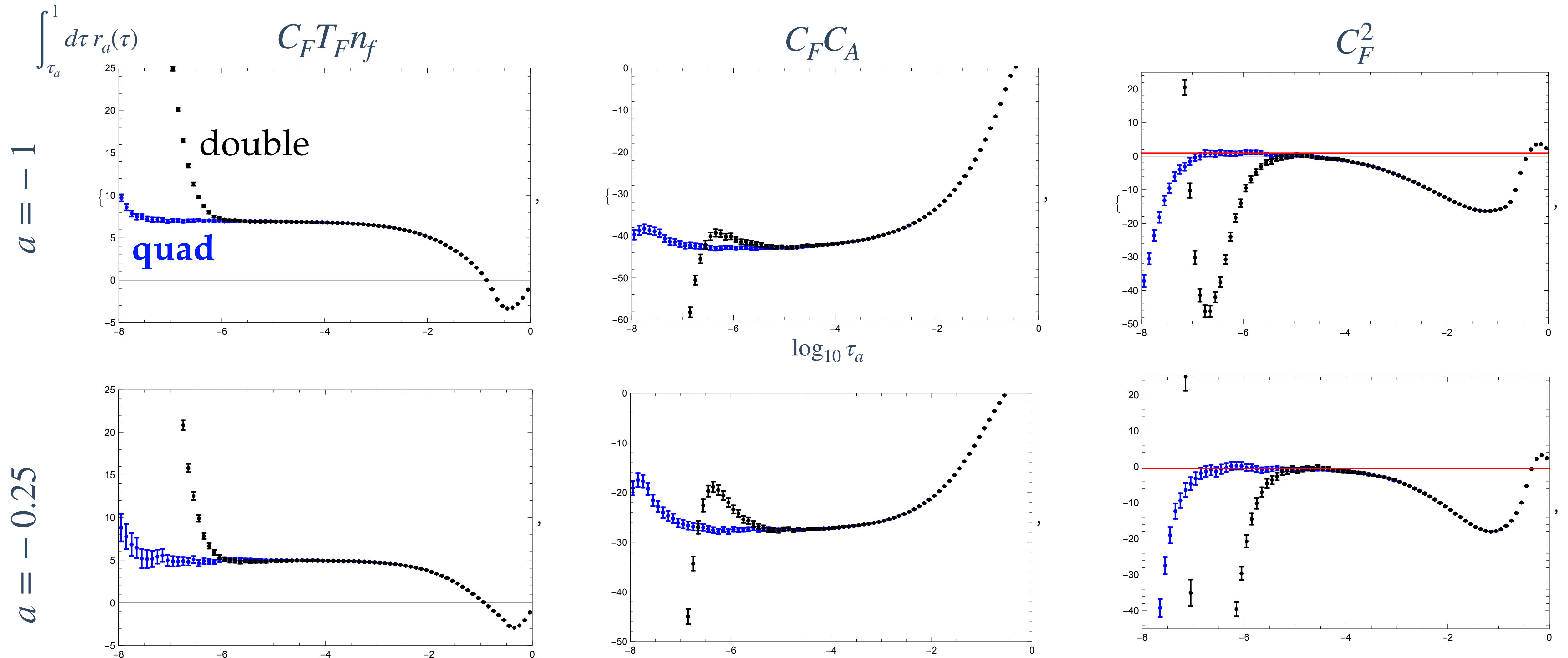
- Additionally, a treatment of non-perturbative effects is critical in $e^+e^- \rightarrow \text{hadrons}$...

New fixed-order computations

LANL T-2 *Nuclei* cluster

- Improved determination of 2-loop singular constants from extrapolation of EVENT2 predictions using quad precision

[see also next talk]

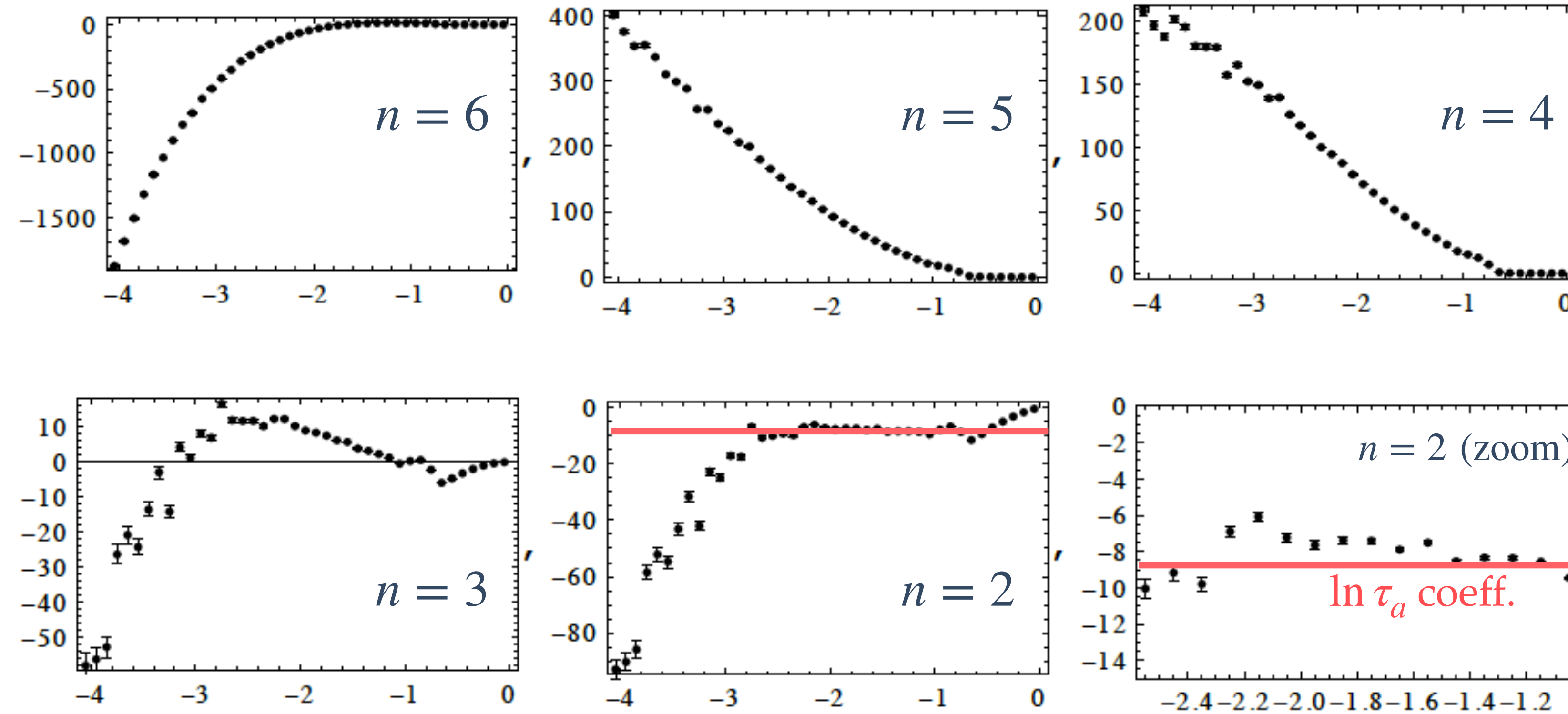


New remainder functions

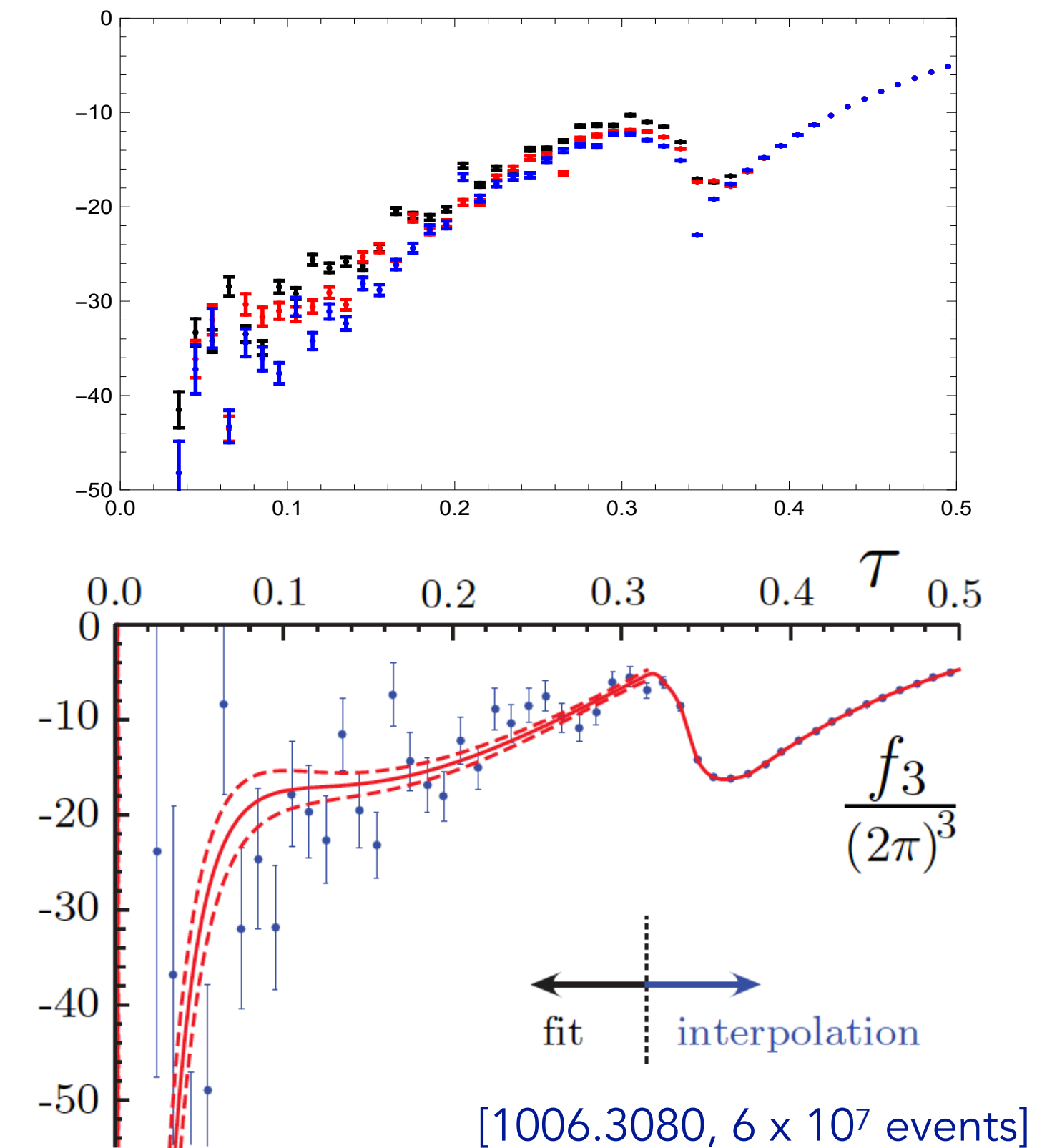
- Preliminary results for 3-loop fixed-order angularity distributions from EERAD3 (IR cutoff 10^{-7} , 1.5×10^{10} events)
- Unknown single log coefficient for nonzero a : extract from small τ_a region:

$\frac{1}{\sigma} \frac{d\sigma}{d \ln \tau}$ minus $\ln^n \tau_a$ terms:

e.g. for $a = -1$:



- Finite remainder functions, e.g. $a=0$:



- (3-loop results not yet included in cross section predictions presented in this talk)

Non-perturbative effects and gapped soft function

- When dominant power corrections come from the soft function, NP effects can be parameterized into a shape function f_{mod} :

$$S(k, \mu) = \int dk' S_{\text{PT}}(k - k', \mu) f_{\text{mod}}(k' - 2\bar{\Delta}_a)$$

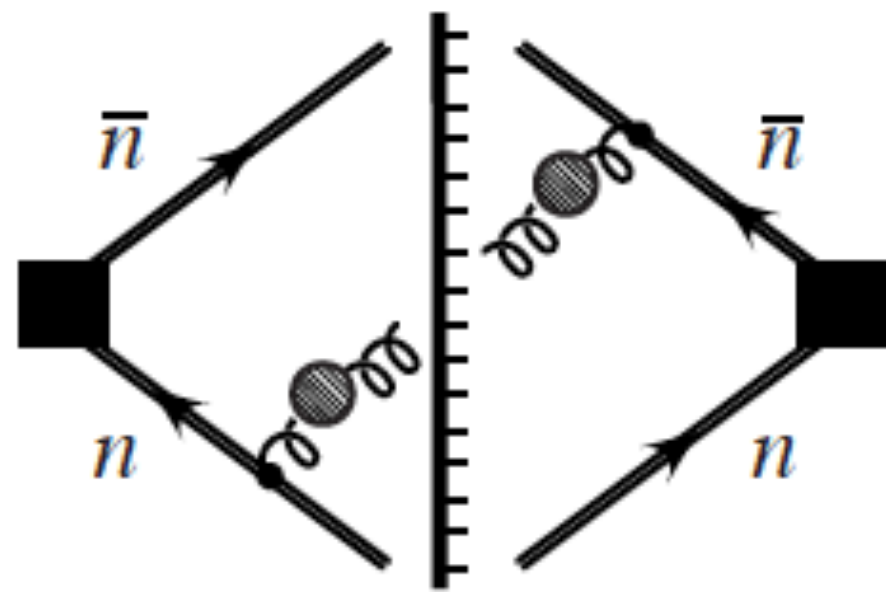
'Gap' parameter accounting for parton -> hadron acceptance
↓

$$f_{\text{mod}}(k) = \frac{1}{\lambda} \left[\sum_{n=0}^{\infty} b_n f_n \left(\frac{k}{\lambda} \right) \right]^2$$

λ constrained by first moment of the shape function
↑
complete orthonormal basis
↑

[0709.3519]
 [0807.1926]

- However, both the perturbative soft function and gap parameter suffer renormalon ambiguities.



$$\text{renormalon} = \text{perturbative} + \text{non-perturbative} + \text{higher-order} + \dots$$

- $\mathcal{O}(\Lambda_{\text{QCD}})$ ambiguity in gap $\bar{\Delta}_a$

- Subtract a series with the same/canceling ambiguity from both PT and NP pieces:

$$\bar{\Delta}_a = \Delta_a(\mu) + \delta_a(\mu) \quad \xrightarrow{\text{Laplace space}} \quad \tilde{S}(\nu, \mu) = \left[e^{-2\nu\Delta_a(\mu)} \tilde{f}_{\text{mod}}(\nu) \right] \left[e^{-2\nu\delta_a(\mu)} \tilde{S}_{\text{PT}}(\nu, \mu) \right]$$

- Choosing the R_{gap} scheme to cancel the leading renormalon,

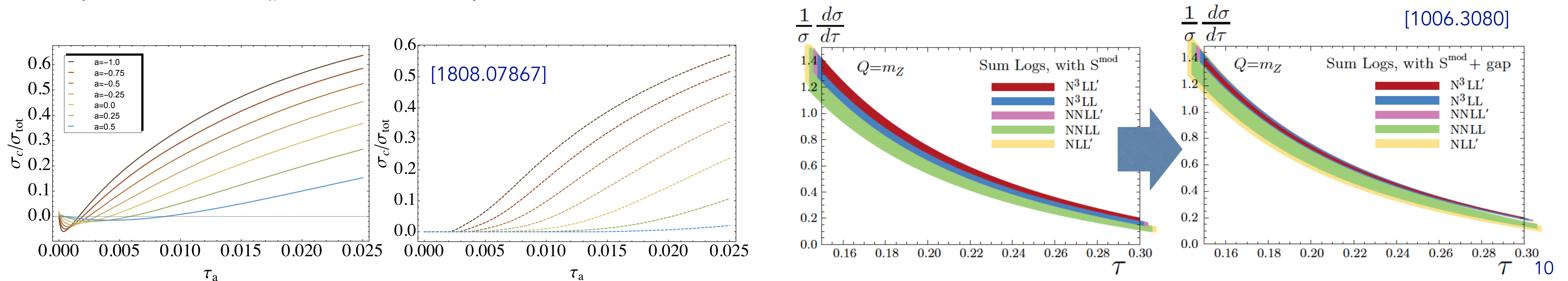
$$Re^{\gamma_E} \frac{d}{d \ln \nu} \left[\ln \hat{S}_{\text{PT}}(\nu, \mu) \right]_{\nu=1/(Re^{\gamma_E})} = 0 \quad \longrightarrow \quad \delta_a(\mu, R) = \frac{1}{2} Re^{\gamma_E} \frac{d}{d \ln \nu} \left[\ln \tilde{S}_{\text{PT}}(\nu, \mu) \right]_{\nu=1/(Re^{\gamma_E})},$$

$$\hat{S}_{\text{PT}}(\nu, \mu) = e^{-2\nu\delta_a(\mu)} \tilde{S}_{\text{PT}}(\nu, \mu)$$

Gapped and renormalon free soft function $S(k, \mu) = \int dk' S_{\text{PT}}(k - k', \mu) \left[e^{-2\delta_a(\mu, R) \frac{d}{dk'}} f_{\text{mod}}(k' - 2\Delta_a(\mu, R)) \right]$

Final cross section is expanded order-by-order in bracketed term $\frac{1}{\sigma_0} \sigma(\tau_a) = \int dk \sigma_{\text{PT}}\left(\tau_a - \frac{k}{Q}\right) \left[e^{-2\delta_a(\mu_S, R) \frac{d}{dk}} f_{\text{mod}}(k - 2\Delta_a(\mu_S, R)) \right]$

- Improves small τ_a behavior and perturbative convergence:



- Want to keep R near IR scales, but also avoid large logs $\ln \frac{\mu_S}{R}$ in subtraction terms

- but μ_S grows to be as large as Q :

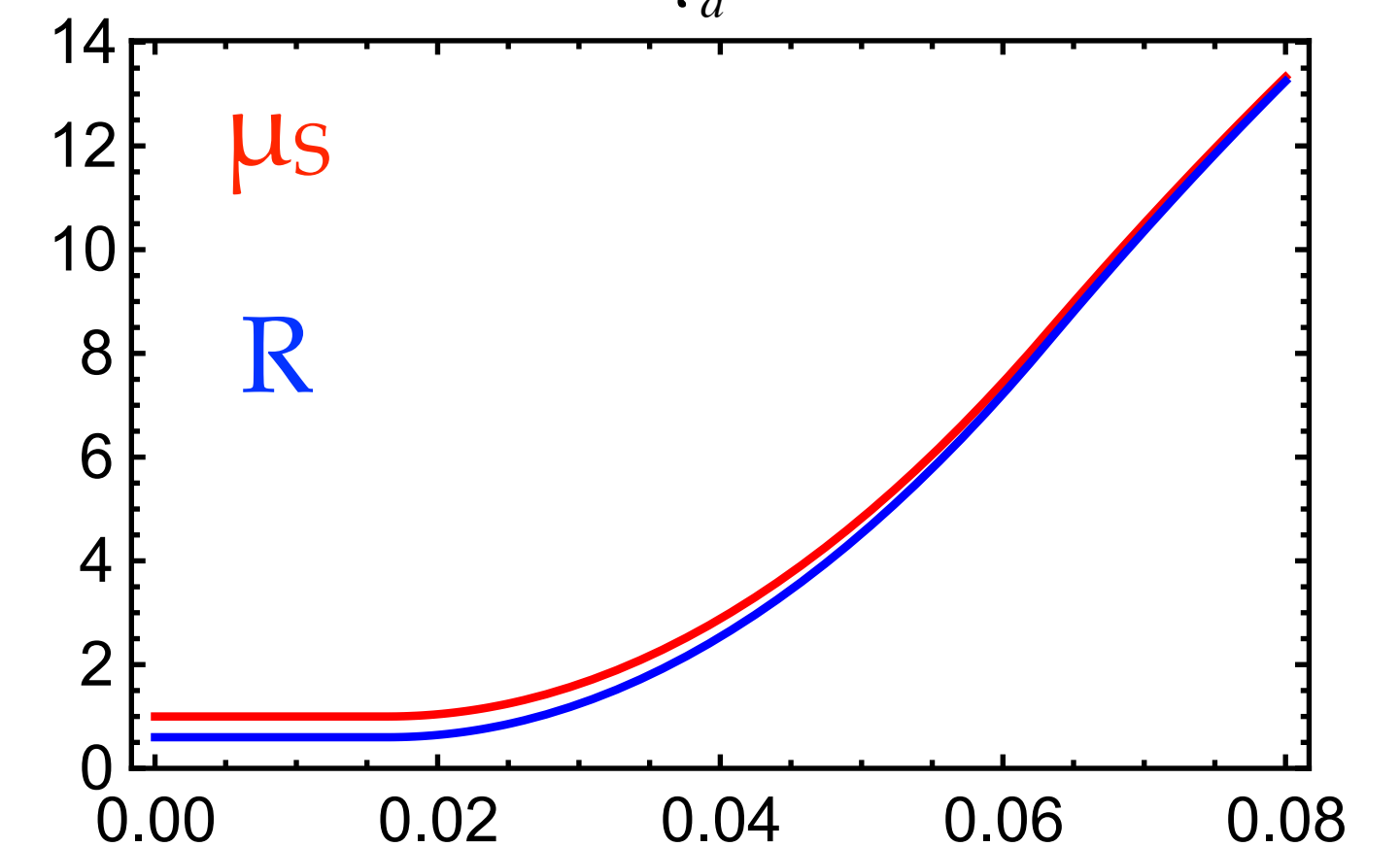
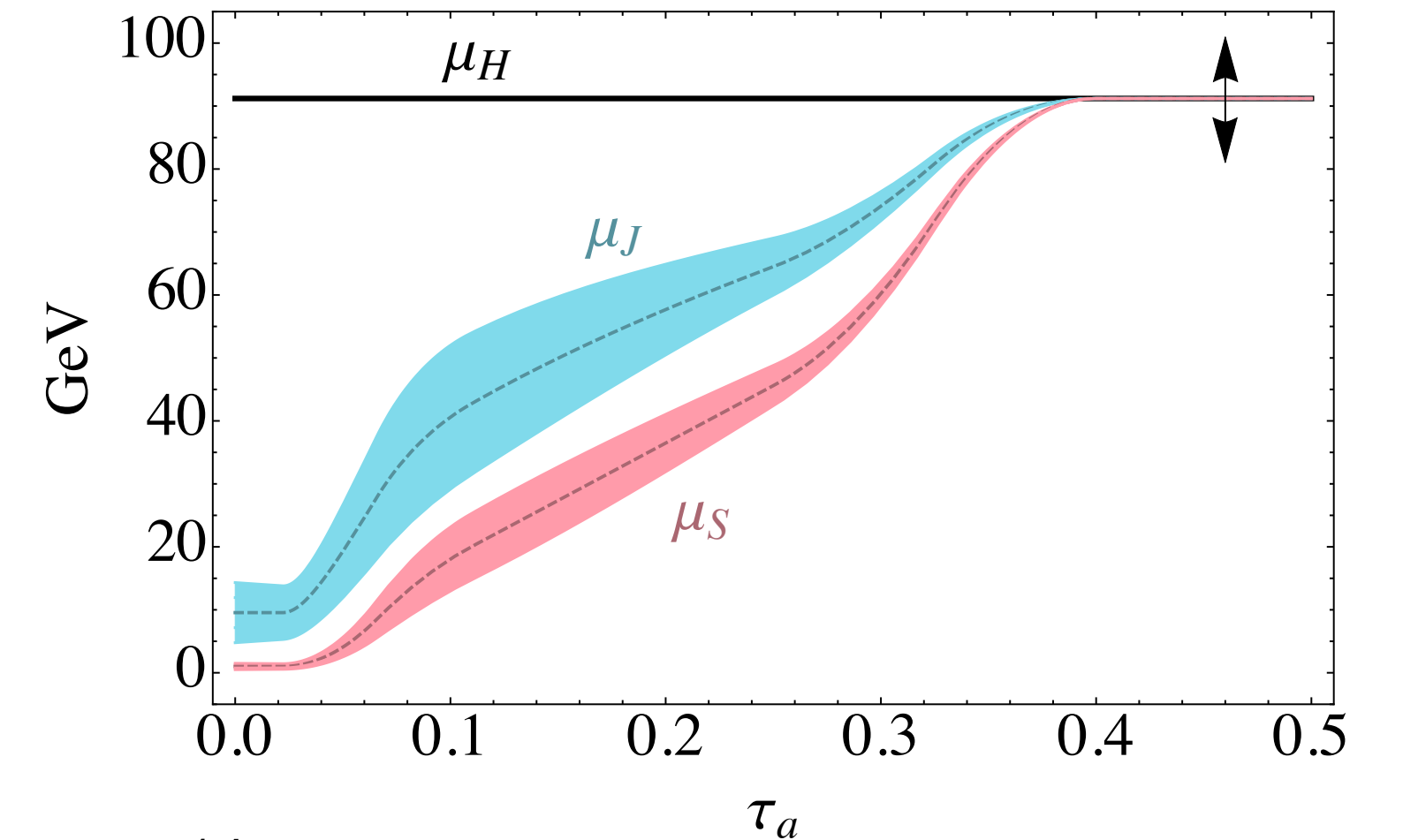
- Sum logs by μ and R evolution: $\mu \frac{d}{d\mu} \Delta_a(\mu, R) = -\mu \frac{d}{d\mu} \delta_a(\mu, R) \equiv \gamma_{\Delta}^{\mu}[\alpha_s(\mu)]$.

$$\frac{d}{dR} \Delta_a(R, R) = -\frac{d}{dR} \delta_a(R, R) \equiv -\gamma_R[\alpha_s(R)]$$

- Anomalous dimensions:

$$\gamma_{\Delta}^{\mu}[\alpha_s(\mu)] = -Re^{\gamma_E} \Gamma_S[\alpha_s(\mu)]$$

$$\gamma_R[\alpha_s(R)] = \sum_{n=0}^{\infty} \left(\frac{\alpha_s(R)}{4\pi} \right)^{n+1} \gamma_R^n \quad \gamma_R^0 = 0, \quad \gamma_R^1 = \frac{e^{\gamma_E}}{2} [\gamma_S^1(a) + 2c_S^1 \beta_0]$$



τ_0 .

Effective non-perturbative shifts

- Before considering gapped renormalons, the leading-order NP effect is a constant shift:

$$\frac{d\sigma}{d\tau_a}(\tau_a) \xrightarrow{\text{NP}} \frac{d\sigma}{d\tau_a} \left(\tau_a - c_{\tau_a} \frac{\Omega_1}{Q} \right) \quad c_{\tau_a} = \frac{2}{1-a} \quad \Omega_1 = \frac{1}{N_C} \text{Tr} \langle 0 | \bar{Y}_{\bar{n}}^\dagger Y_n^\dagger \mathcal{E}_T(0) Y_n \bar{Y}_{\bar{n}} | 0 \rangle$$

Note: this is only valid in the tail region!

- Define an 'effective shift' of the distribution in the R_{gap} scheme:

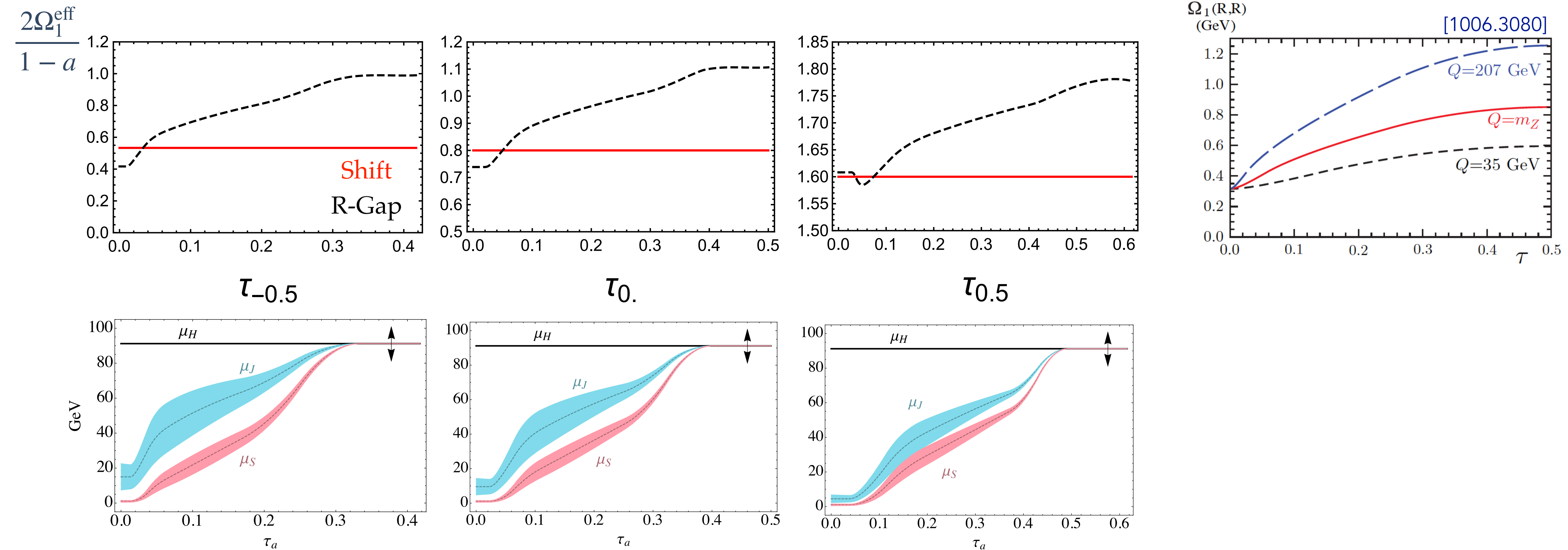
$$\int dk k e^{-2\delta_a(\mu_S, R) \frac{d}{dk}} f_{\text{mod}}(k - 2\Delta_a(\mu_S, R)) = \int dk k \left[\sum_i f_{\text{mod}}^{(i)}(k - 2\Delta_a(\mu_S, R)) \right] \equiv \frac{2}{1-a} \Omega_1^{\text{eff}}$$

- Shape function expanded order-by-order depending on logarithmic accuracy:

$$\begin{aligned} f_{\text{mod}}^{(0)}(k - 2\Delta_a(\mu_S, R)) &= f_{\text{mod}}(k - 2\Delta_a(\mu_S, R)), \\ f_{\text{mod}}^{(1)}(k - 2\Delta_a(\mu_S, R)) &= -\frac{\alpha_s(\mu_S)}{4\pi} 2\delta_a^1(\mu_S, R) \text{Re} e^{\gamma_E} f'_{\text{mod}}(k - 2\Delta_a(\mu_S, R)), \\ f_{\text{mod}}^{(2)}(k - 2\Delta_a(\mu_S, R)) &= \left(\frac{\alpha_s(\mu_S)}{4\pi} \right)^2 \left[-2\delta_a^2(\mu_S, R) \text{Re} e^{\gamma_E} f'_{\text{mod}}(k - 2\Delta_a(\mu_S, R)) \right. \\ &\quad \left. + 2(\delta_a^1(\mu_S, R) \text{Re} e^{\gamma_E})^2 f''_{\text{mod}}(k - 2\Delta_a(\mu_S, R)) \right], \end{aligned}$$

Growing shifts

- Distributional shifts at NNLL' accuracy (central profile scales):



- Effectively, we shift the distribution to the right by *larger* amounts as we move from the 2-jet region out to the multi-jet tail. What might be the effect on extracting α_s ?

A scheme to limit the growth of the shift

- Can we find a way to cut off the growth of this shift? i.e. turn off R -evolution above some $\tau = \tau_{\max}$:

$$\gamma_R \rightarrow \theta(R_{\max} - R)\gamma_R \quad R = R(\tau)$$

need:
$$\frac{d}{dR}\delta_a(R, R) = \gamma_R[\alpha_s(R)]\theta(R_{\max} - R)$$

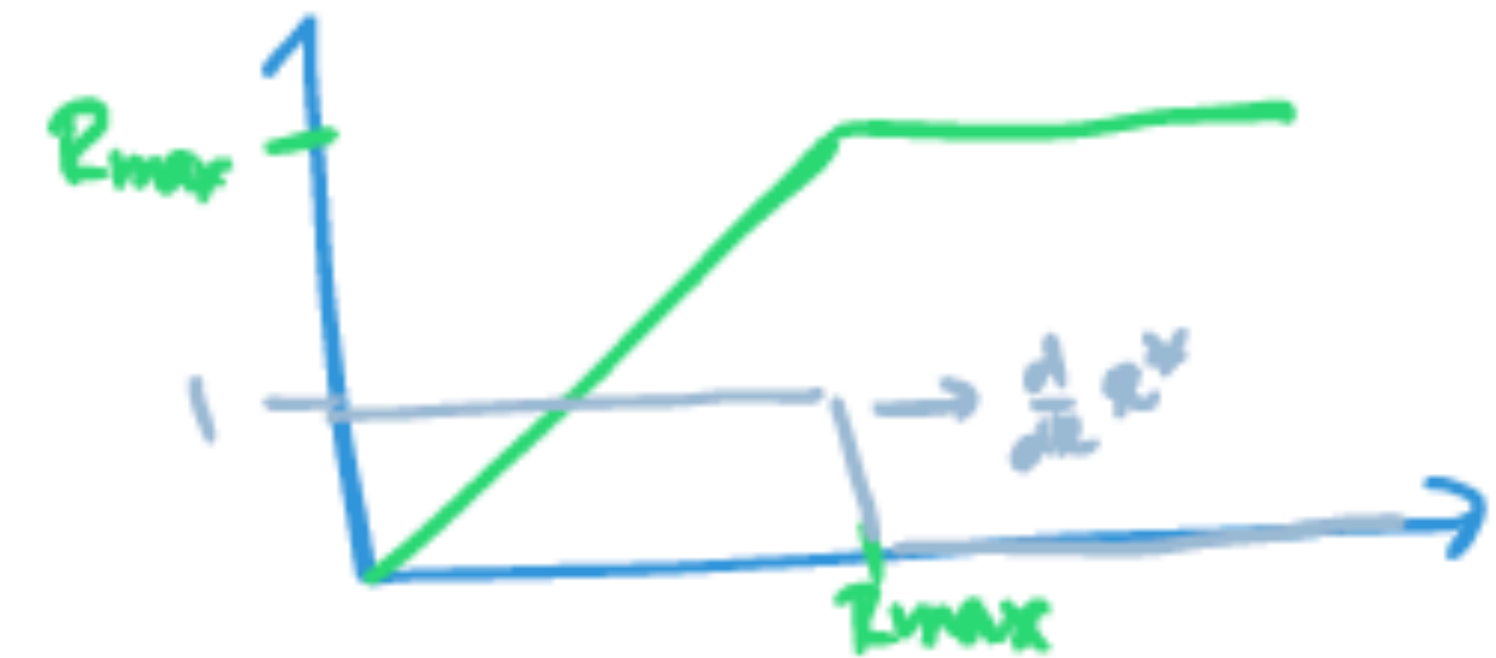
recall:
$$\delta_a(R, R) = Re^{\gamma_E} \left[\frac{\alpha_s(R)}{4\pi} \delta_a^1(R, R) + \left(\frac{\alpha_s(R)}{4\pi} \right)^2 \delta_a^2(R, R) + \dots \right]$$

to the order we need,
just change the R in front to:

$$R^* \equiv \begin{cases} R & R < R_{\max} \\ R_{\max} & R \geq R_{\max} \end{cases}$$

$$\delta_a^1(\mu, R) = \Gamma_S^0 \ln \frac{\mu}{R},$$

$$\delta_a^2(\mu, R) = \Gamma_S^0 \beta_0 \ln^2 \frac{\mu}{R} + \Gamma_S^1 \ln \frac{\mu}{R} + \frac{\gamma_S^1(a)}{2} + c_S^1(a) \beta_0$$



A scheme to limit the growth of the shift

“ R^* scheme”

$$\delta_a^*(\mu, R) = \frac{1}{2} R^* e^{\gamma_E} \frac{d}{d \ln \nu} \left[\ln S_{\text{PT}}(\nu, \mu) \right]_{\nu=1/(R e^{\gamma_E})}$$

To the order we work:

$$\frac{d}{dR} \delta_a^*(R, R) = \theta(R_{\text{max}} - R) e^{\gamma_E} \delta_a(R, R) + \mathcal{O}(\alpha_s^3)$$

R-evolution:

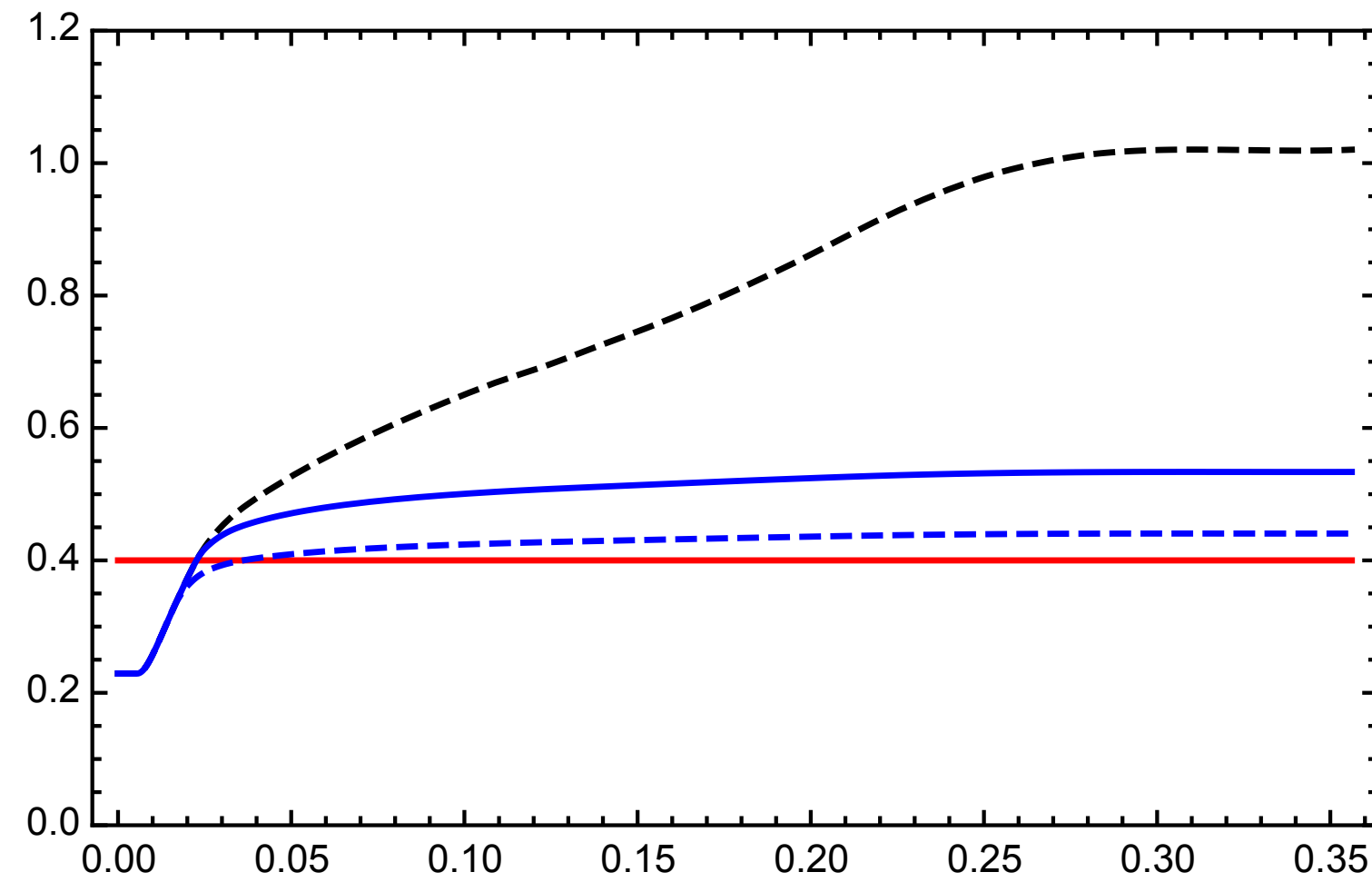
$$\gamma_R^* = \theta(R_{\text{max}} - R) e^{\gamma_E} \left[\frac{\alpha_s(R)}{4\pi} \cdot 0 + \left(\frac{\alpha_s(R)}{4\pi} \right)^2 \gamma_R^1 + \mathcal{O}(\alpha_s^3) \right]$$

μ -evolution:

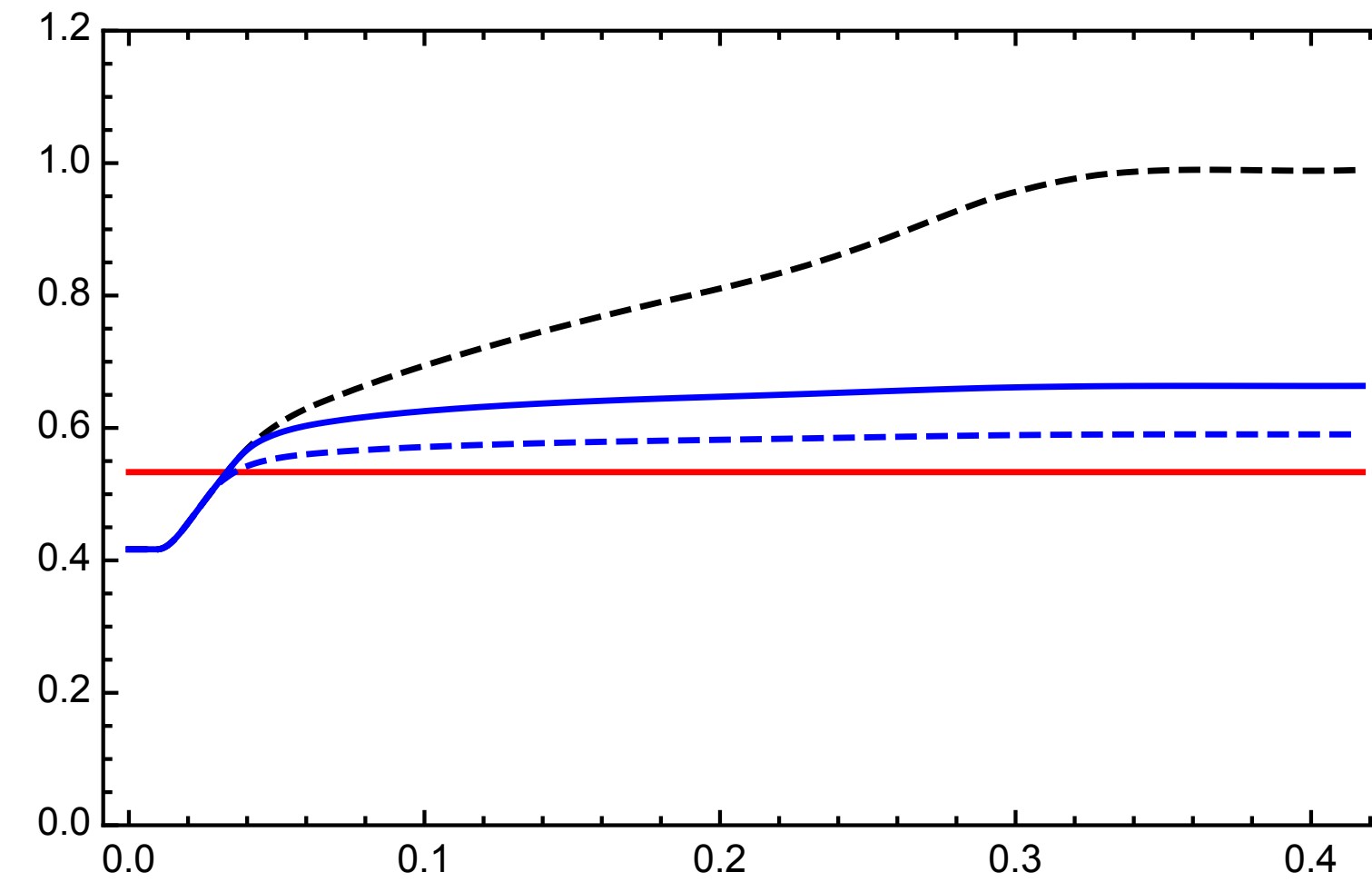
$$\gamma_\Delta[\alpha_s(\mu)] = - R^* e^{\gamma_E} \Gamma_S[\alpha_s(\mu)]$$

- Nothing fancy. Just one way to freeze growth of effective shift for large τ_a in event shapes.

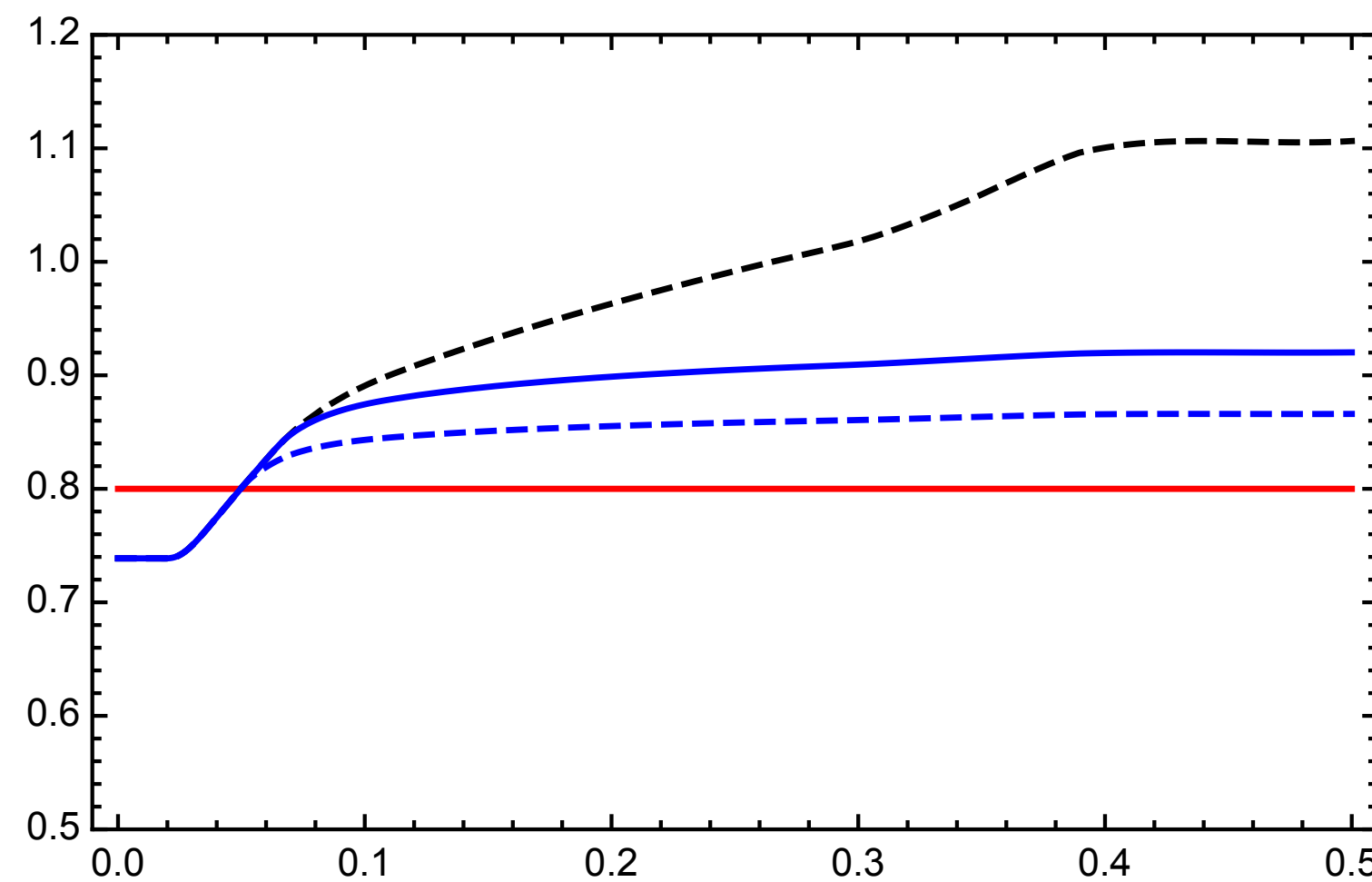
Frozen shifts



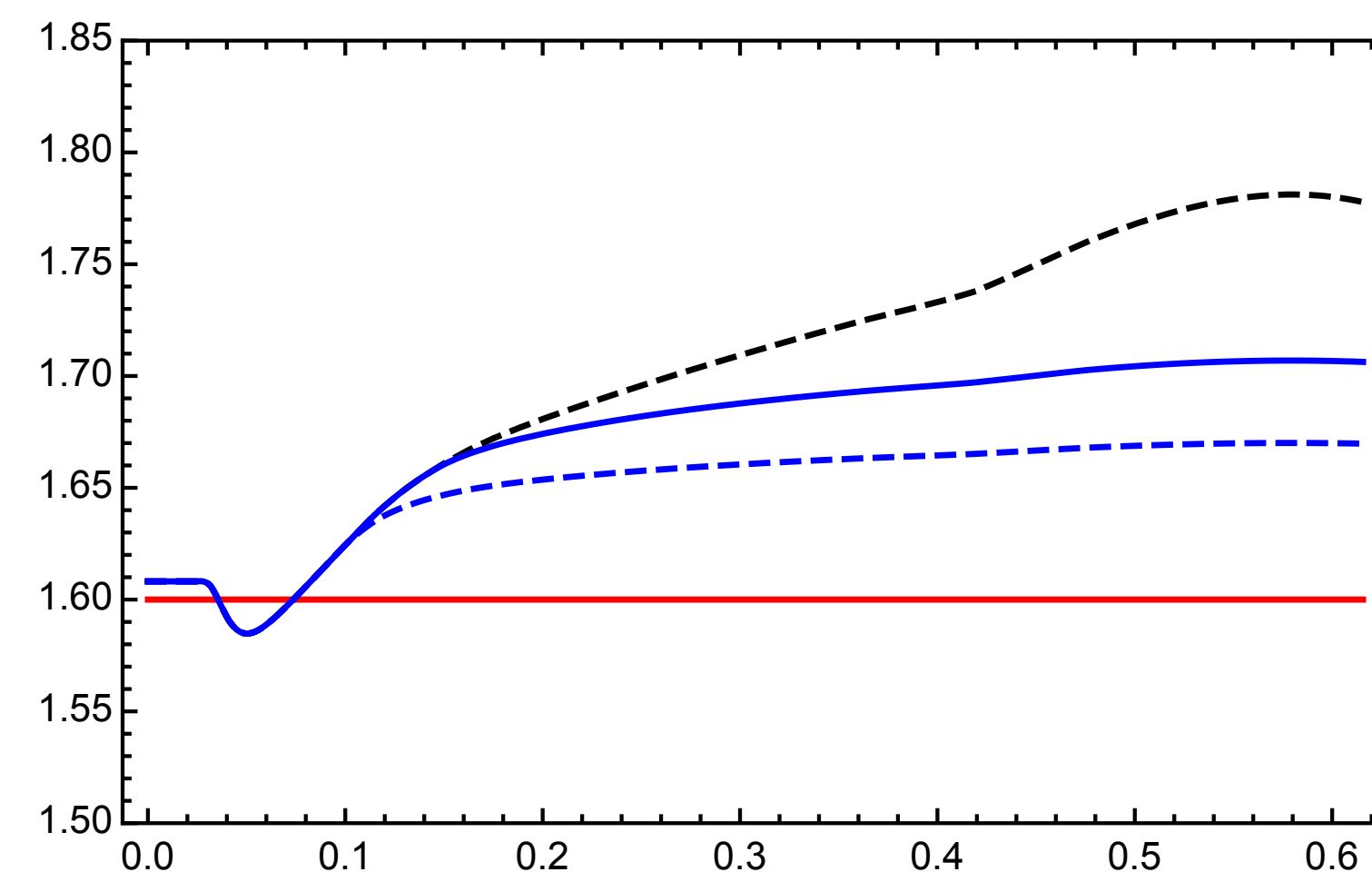
τ_{-1}



$\tau_{-0.5}$



τ_0

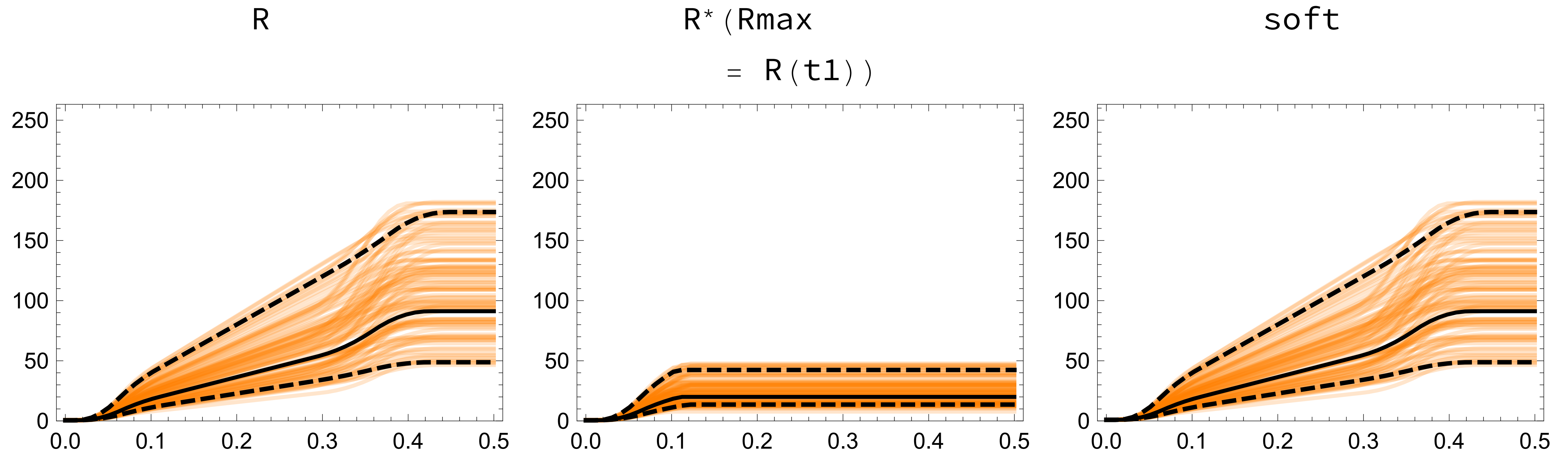


$\tau_{0.5}$

$R_{\max} = \infty$
 $R_{\max} = 10 \text{ GeV}$
 $R_{\max} = 5 \text{ GeV}$
constant shift

R vs R* profiles

- In our results, we let R^* grow until we hit $\tau_a = t_1(a)$, where we finish transitioning from “shape function” region to “resummation region” in profile functions:

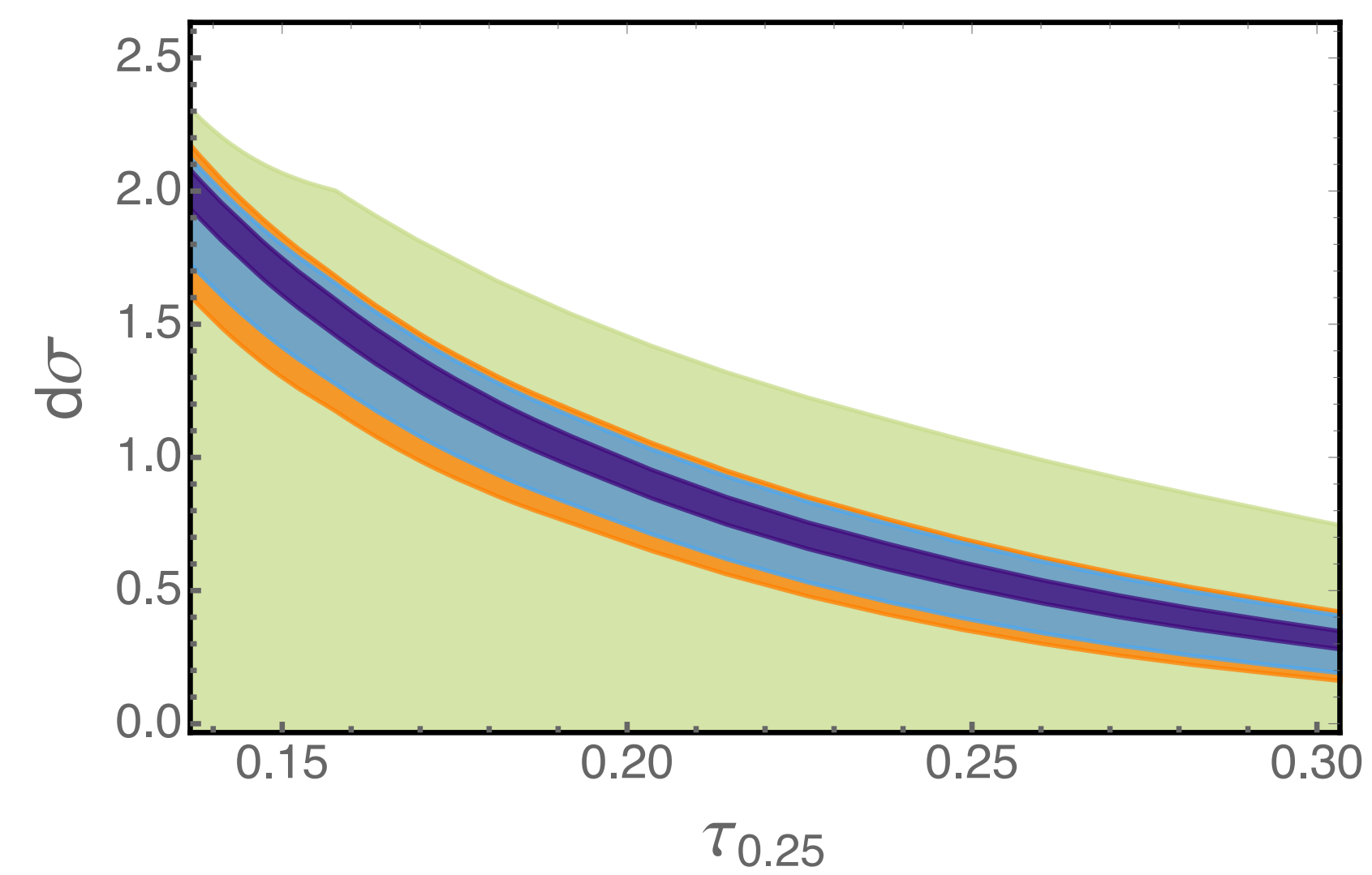
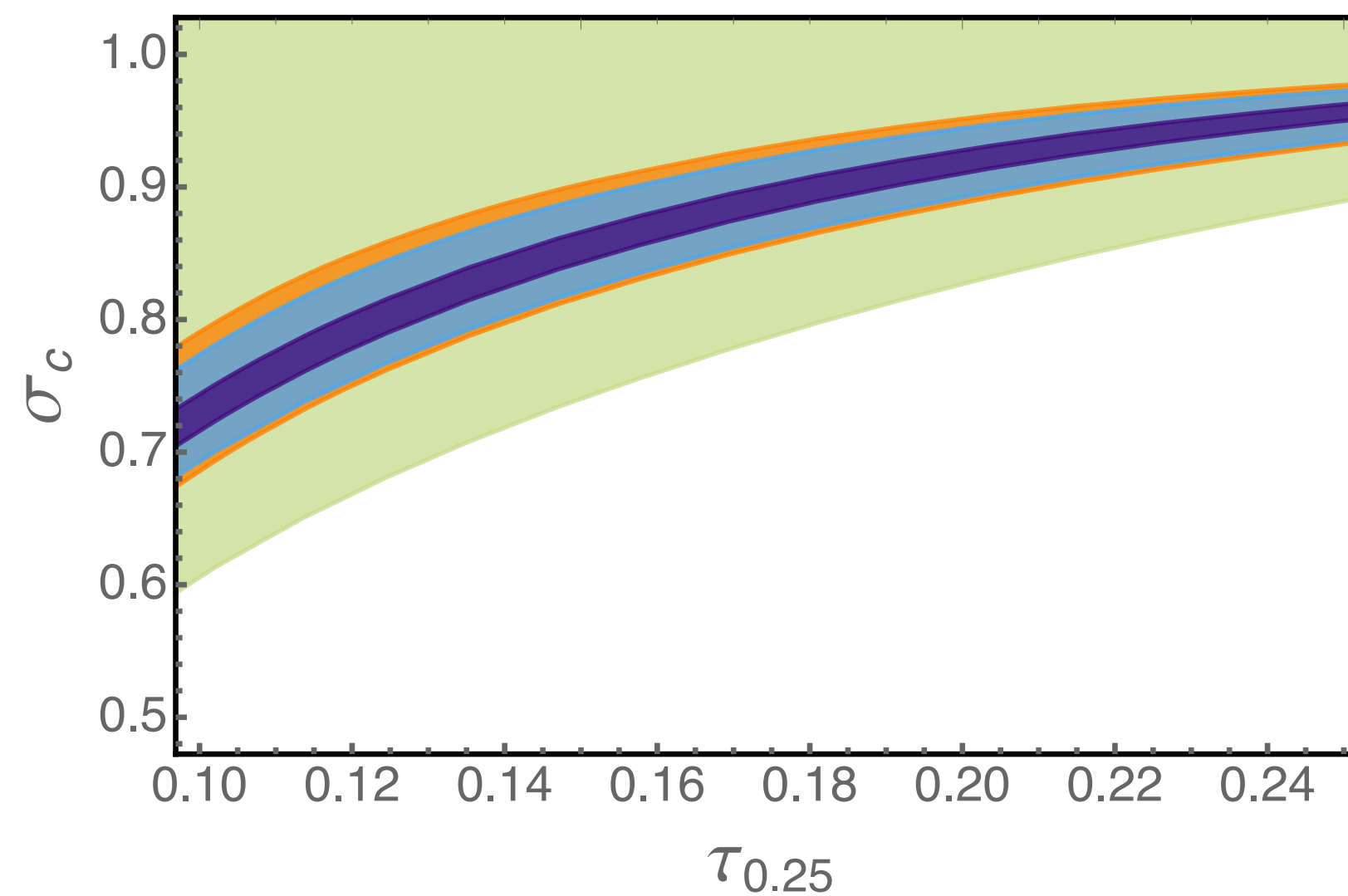
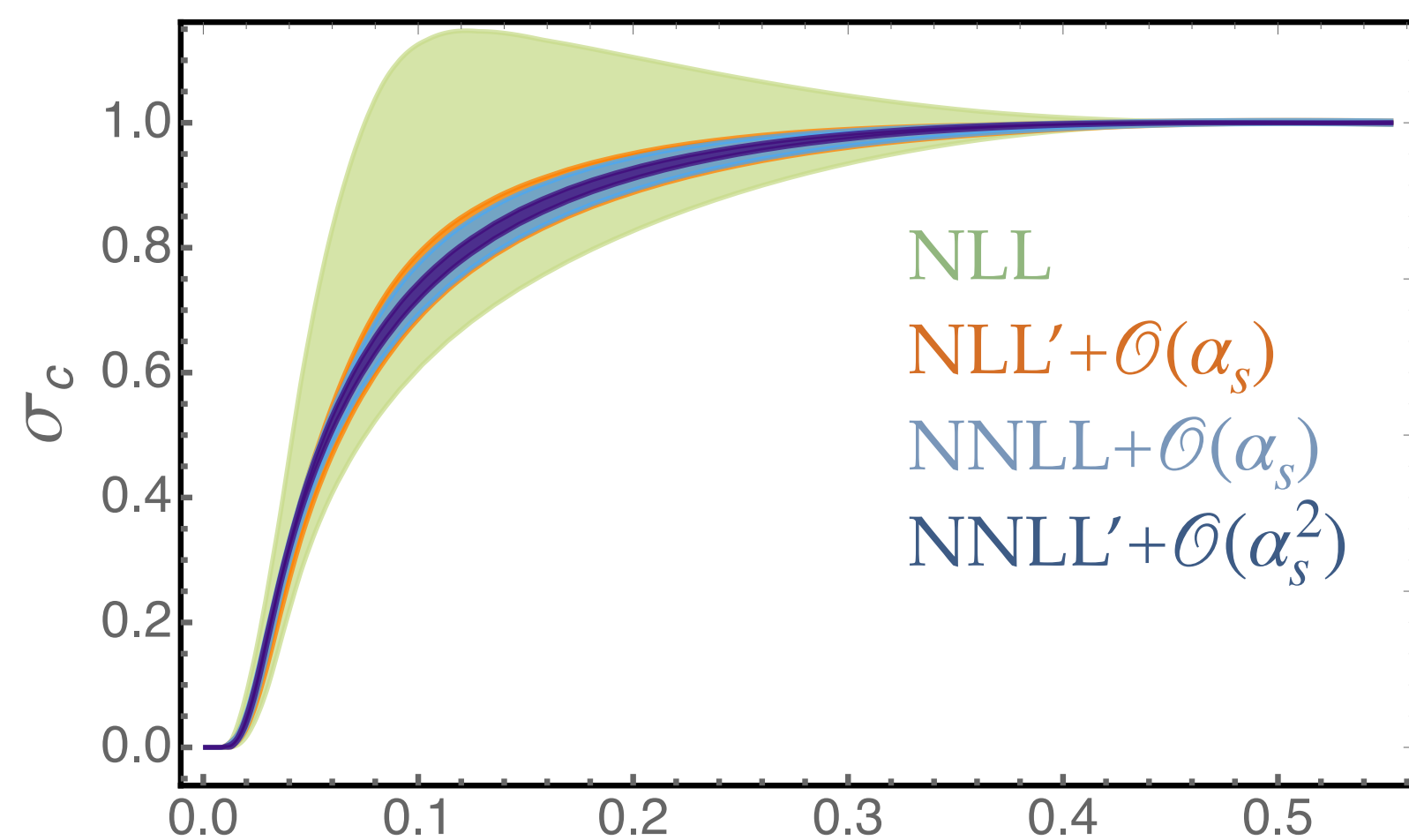


- Different R_{max} values are probed in tandem with variation of the t_1 profile parameter

Convergence in R vs R* schemes

$$Q = M_Z, a = 0.25$$

R_{gap} scheme:

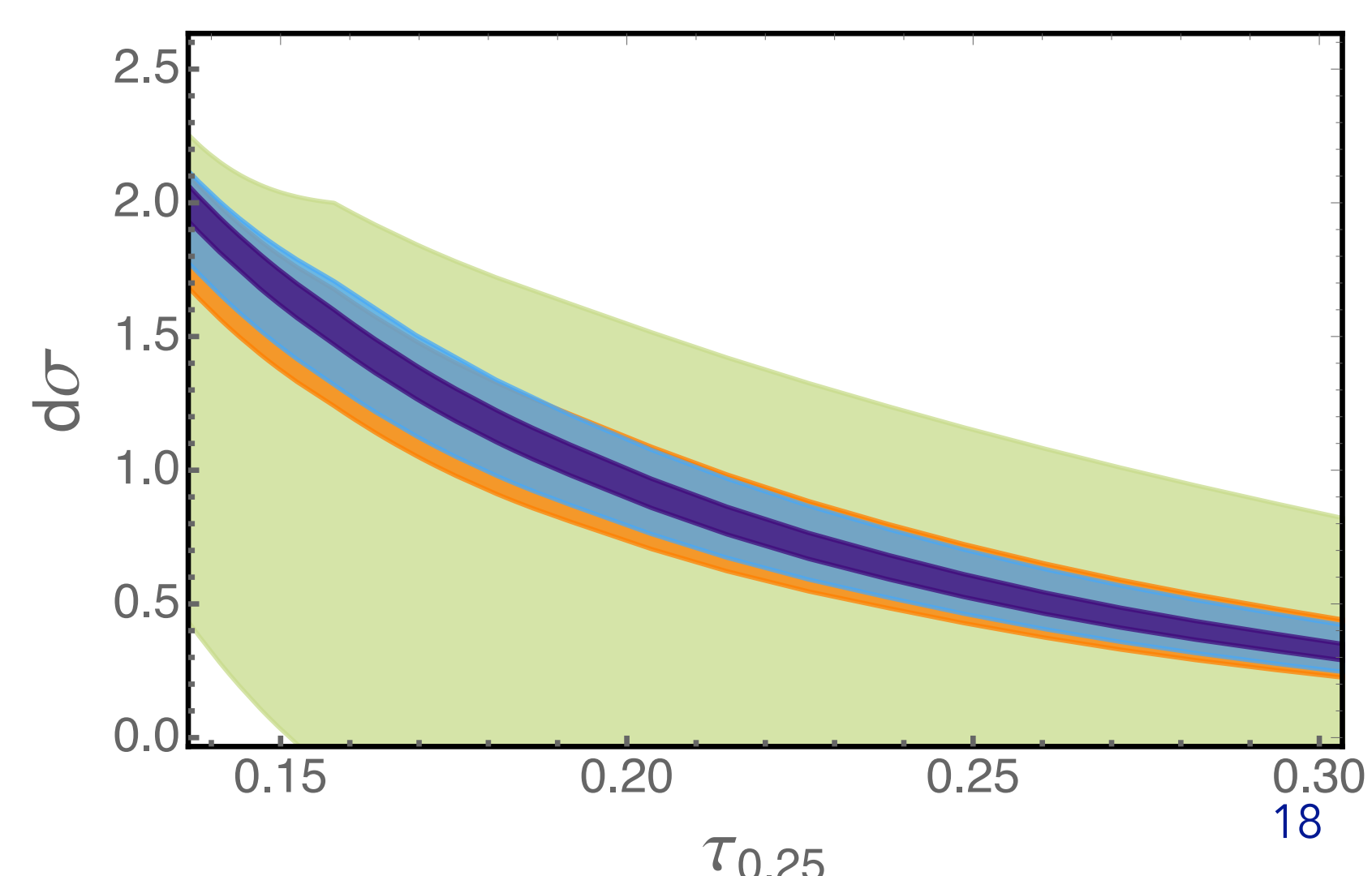
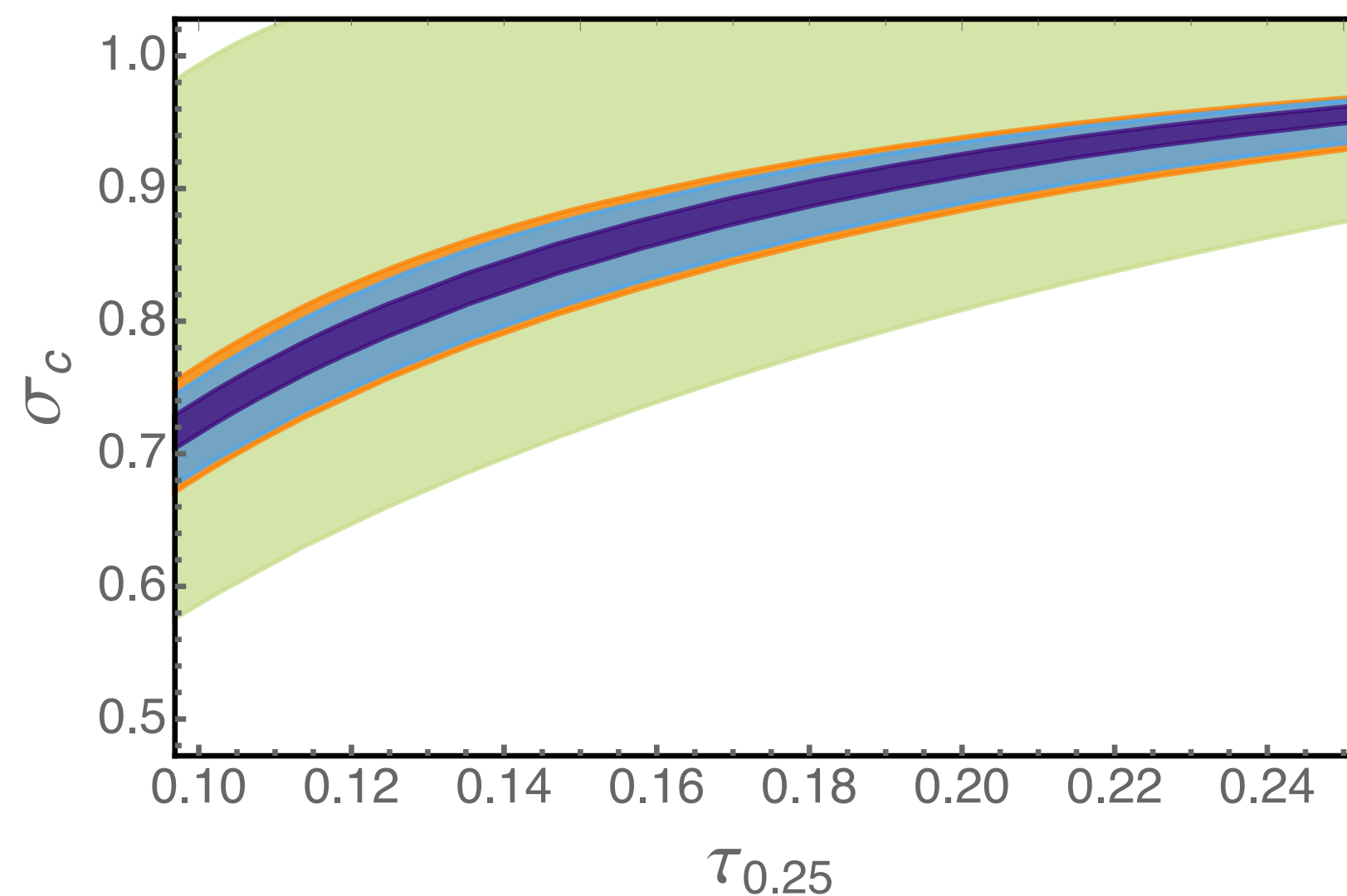
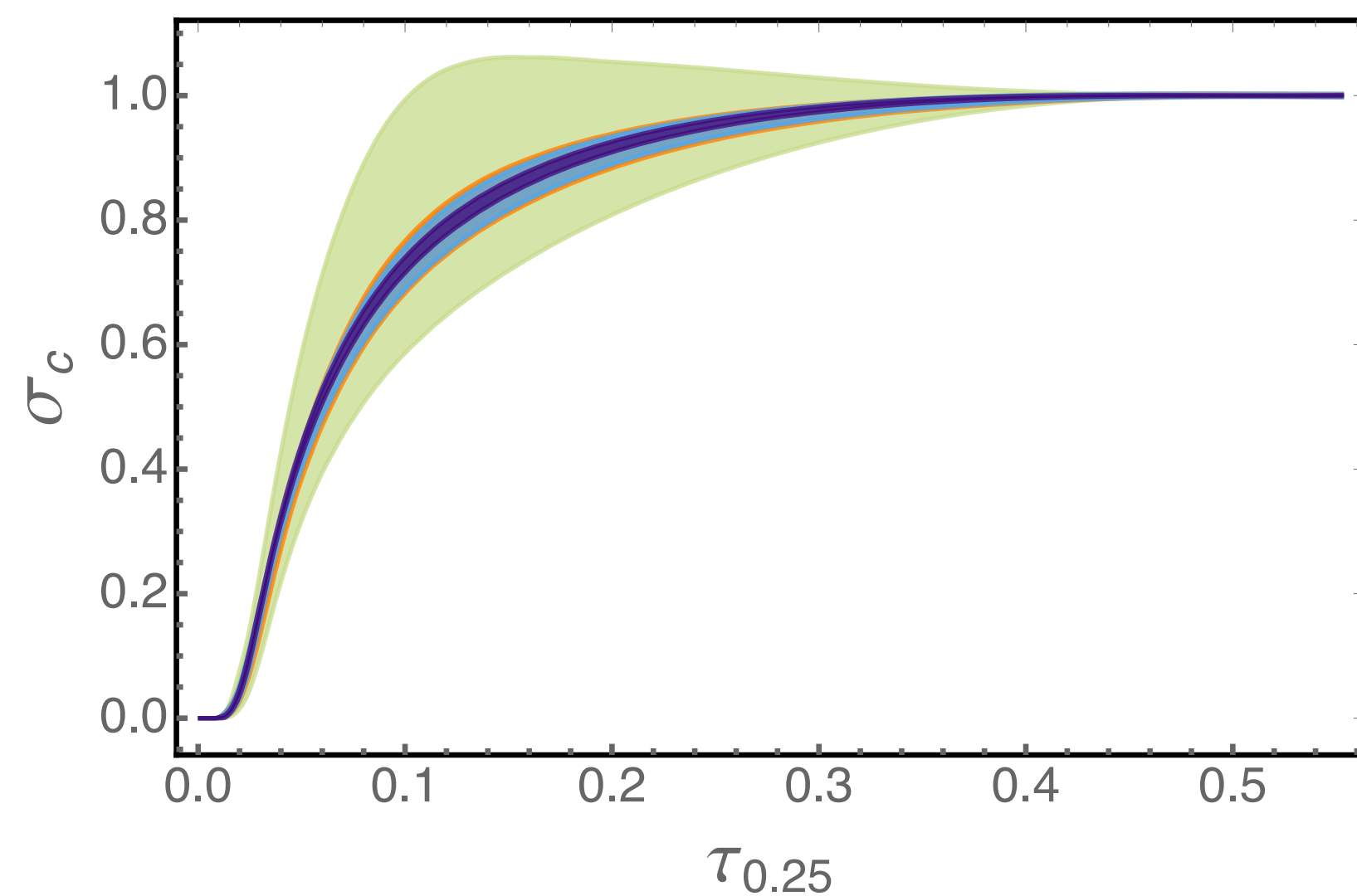


R scheme:*

$\tau_{0.25}$

$\tau_{0.25}$

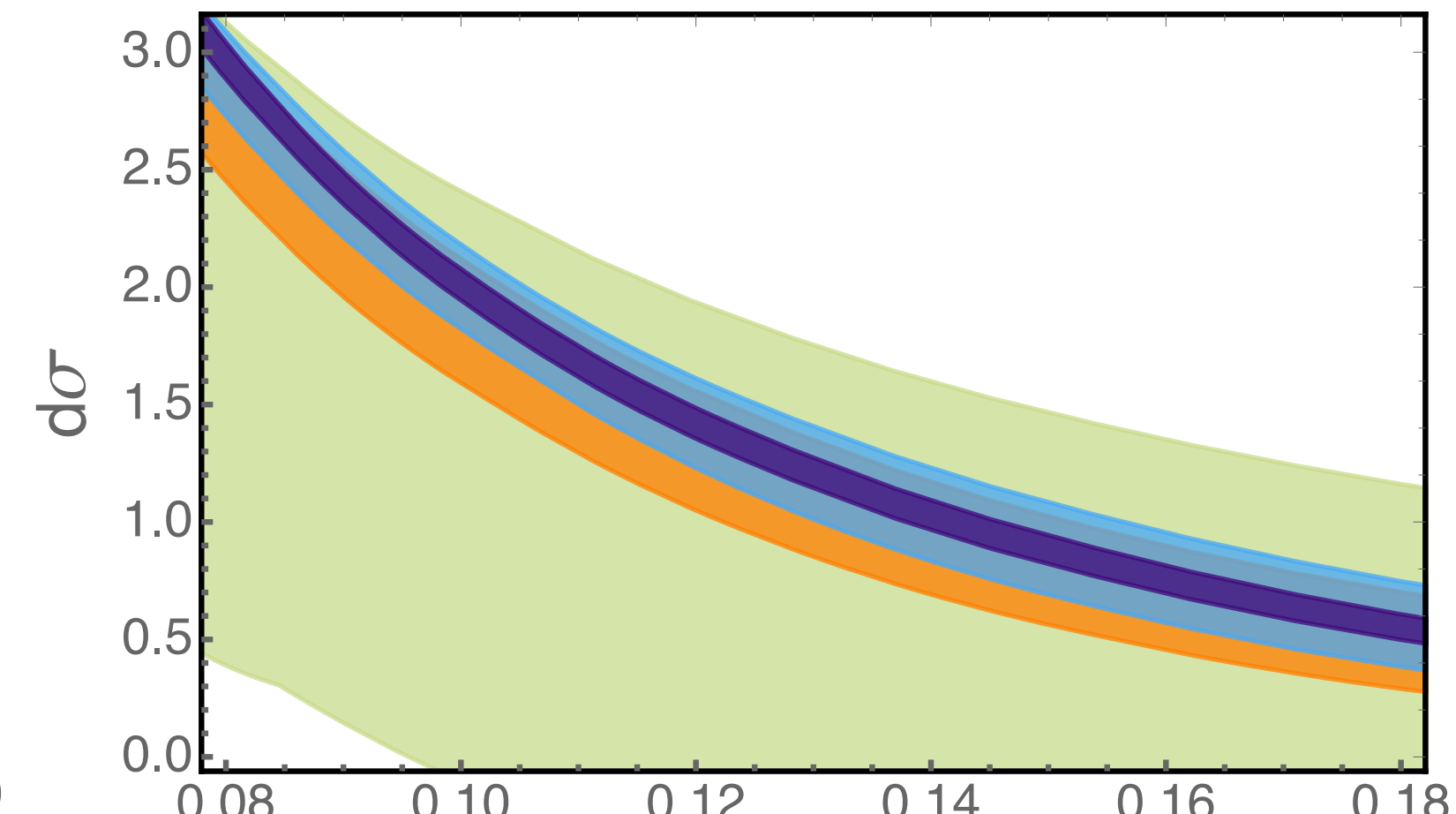
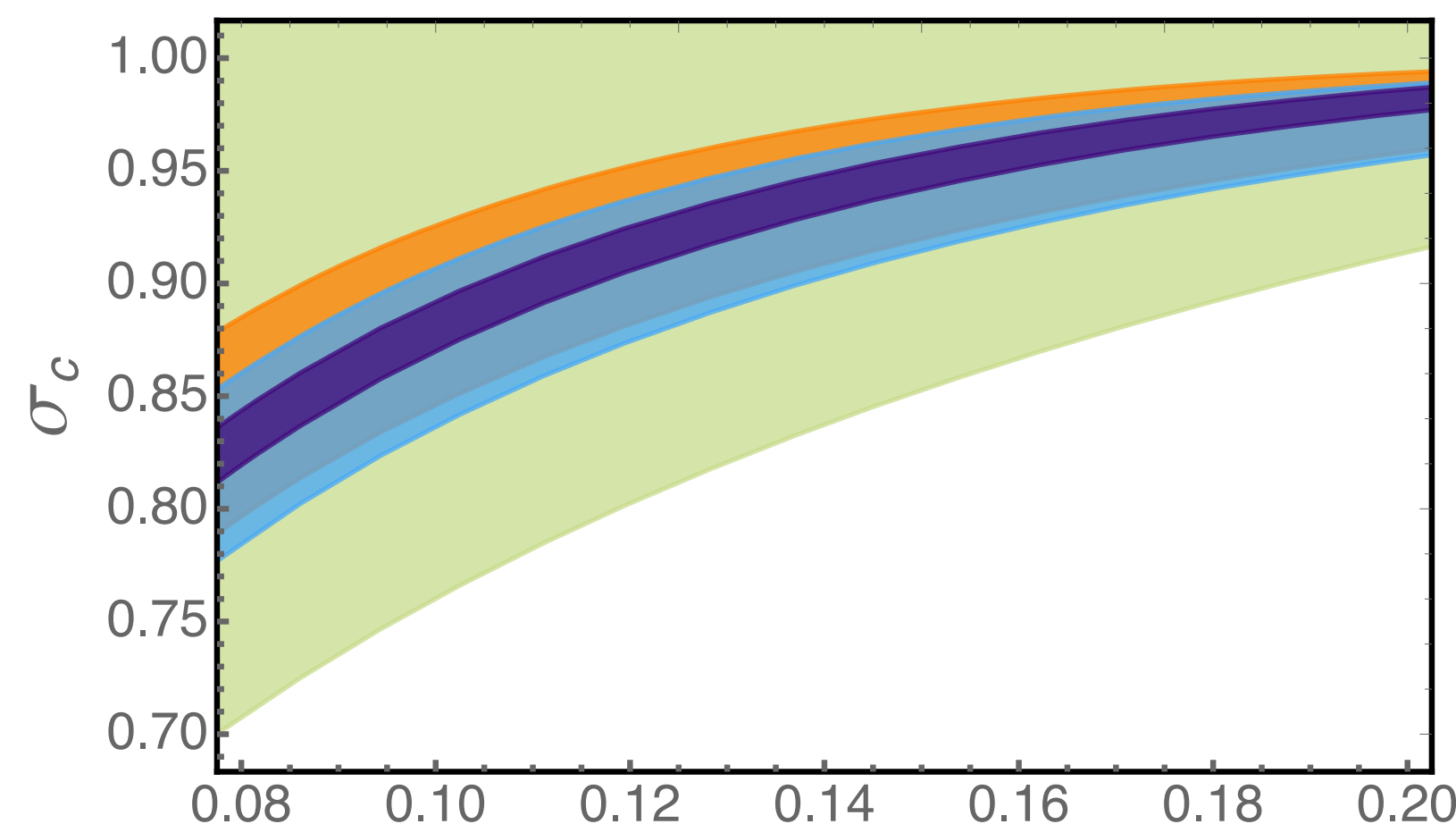
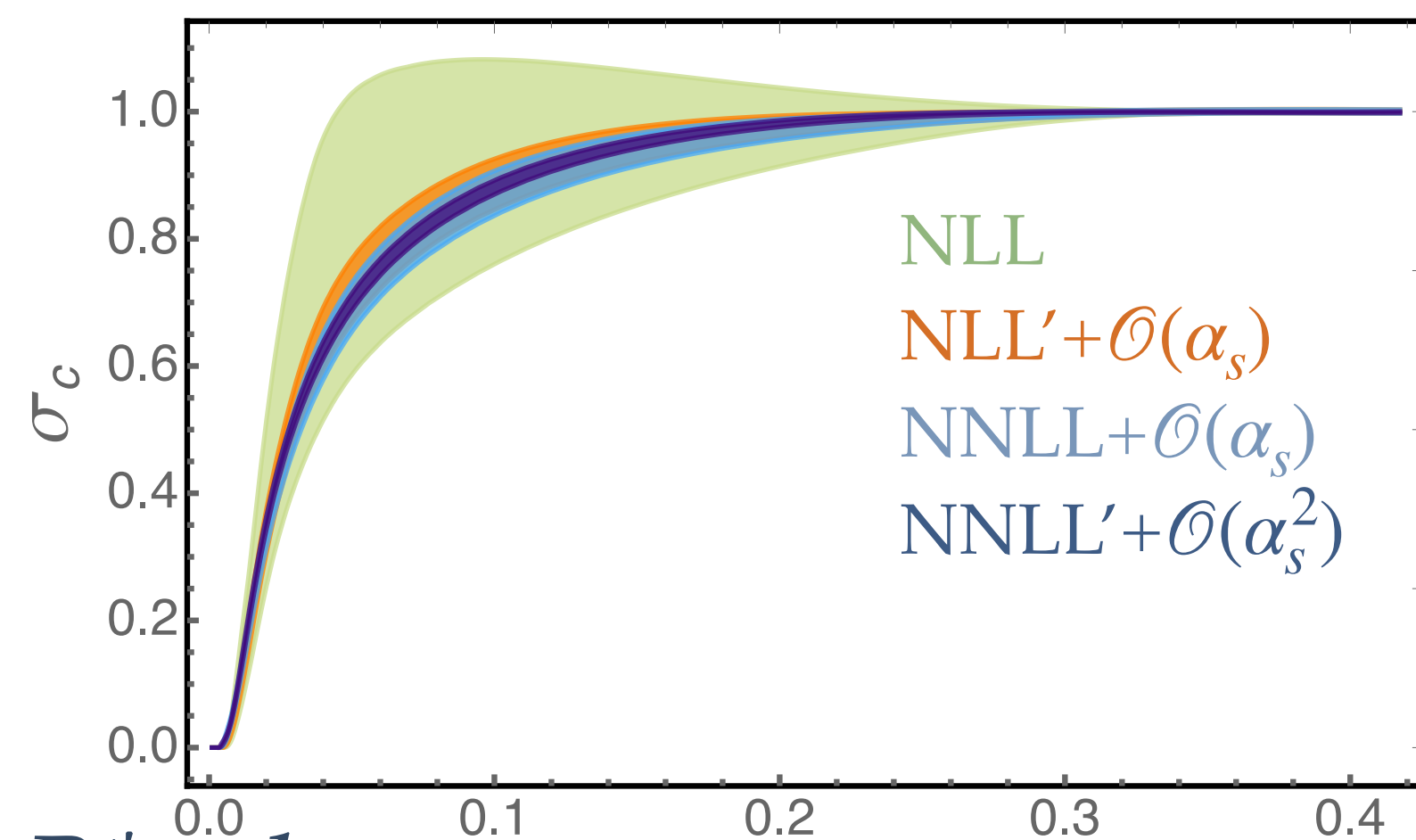
$\tau_{0.25}$



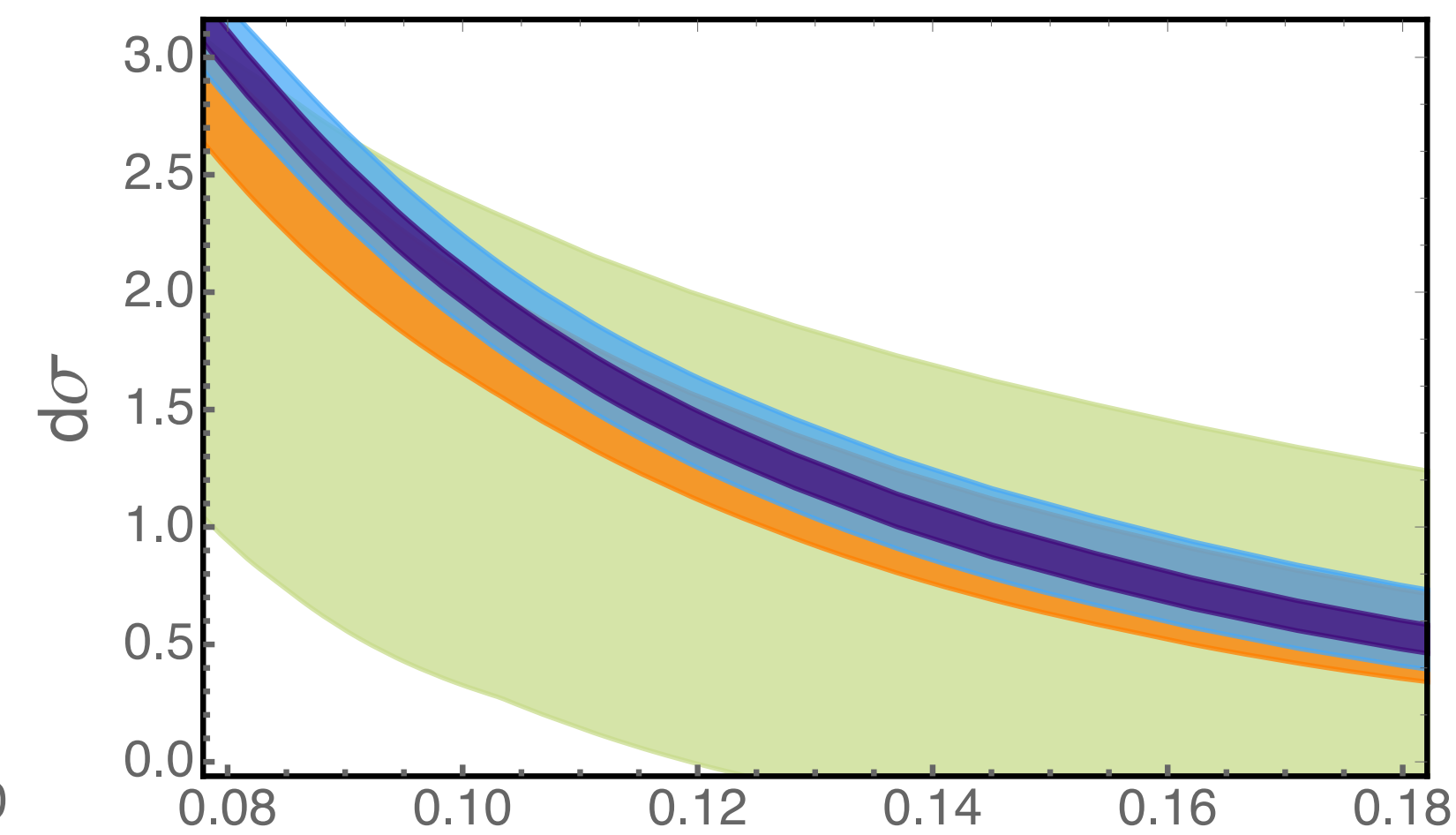
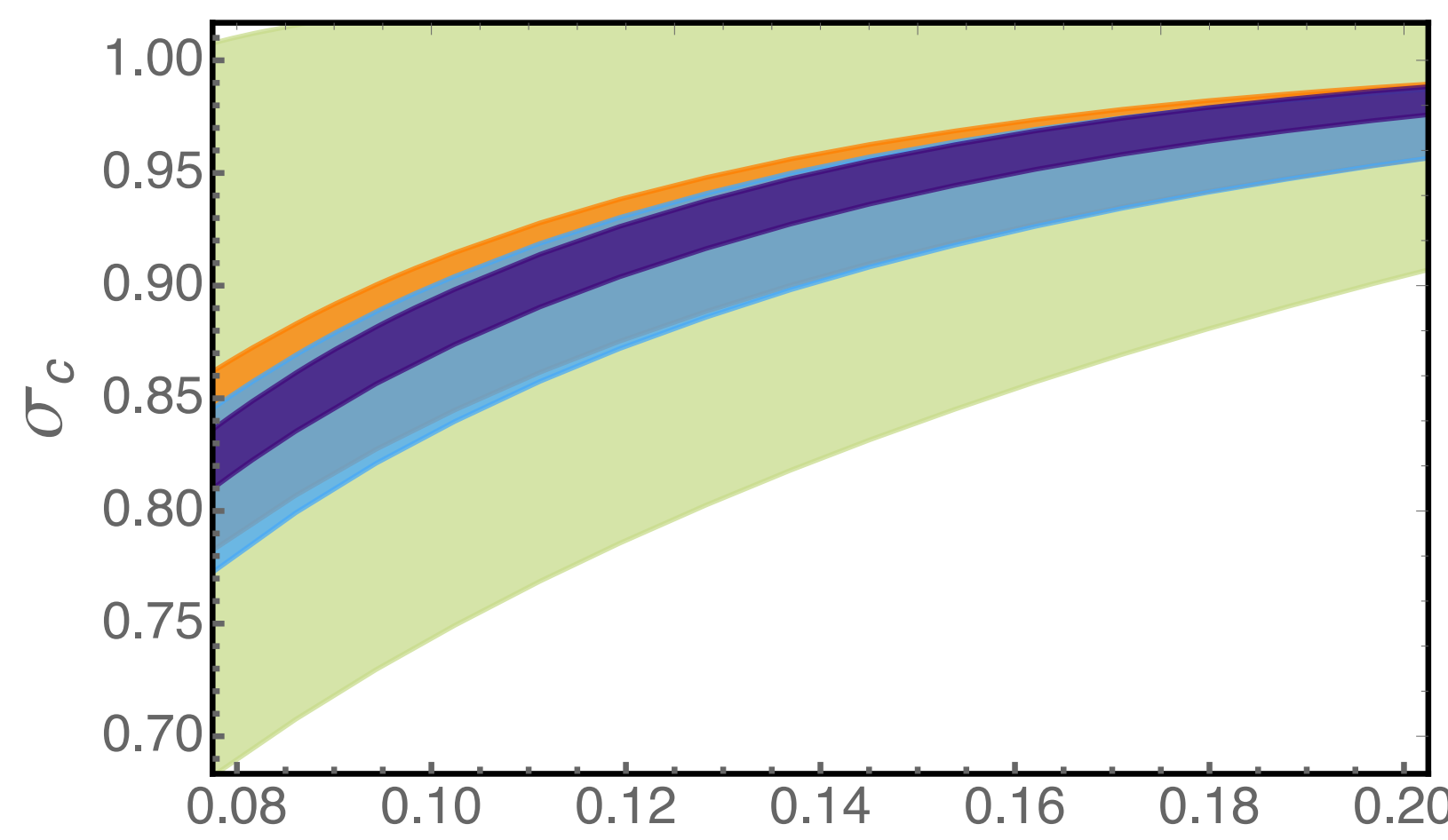
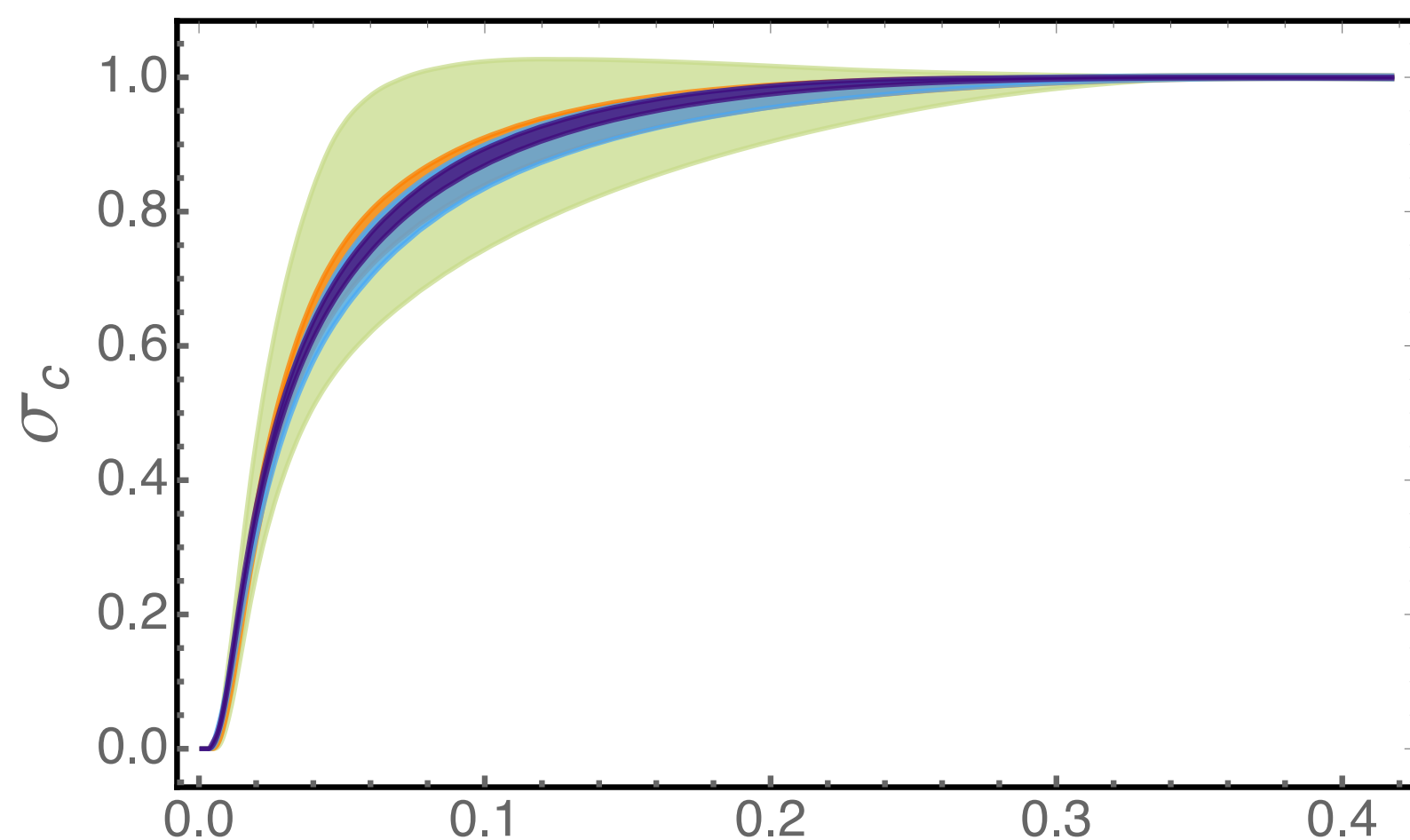
Convergence in R vs R* schemes

$$Q = M_Z, a = -0.5$$

R_{gap} scheme:

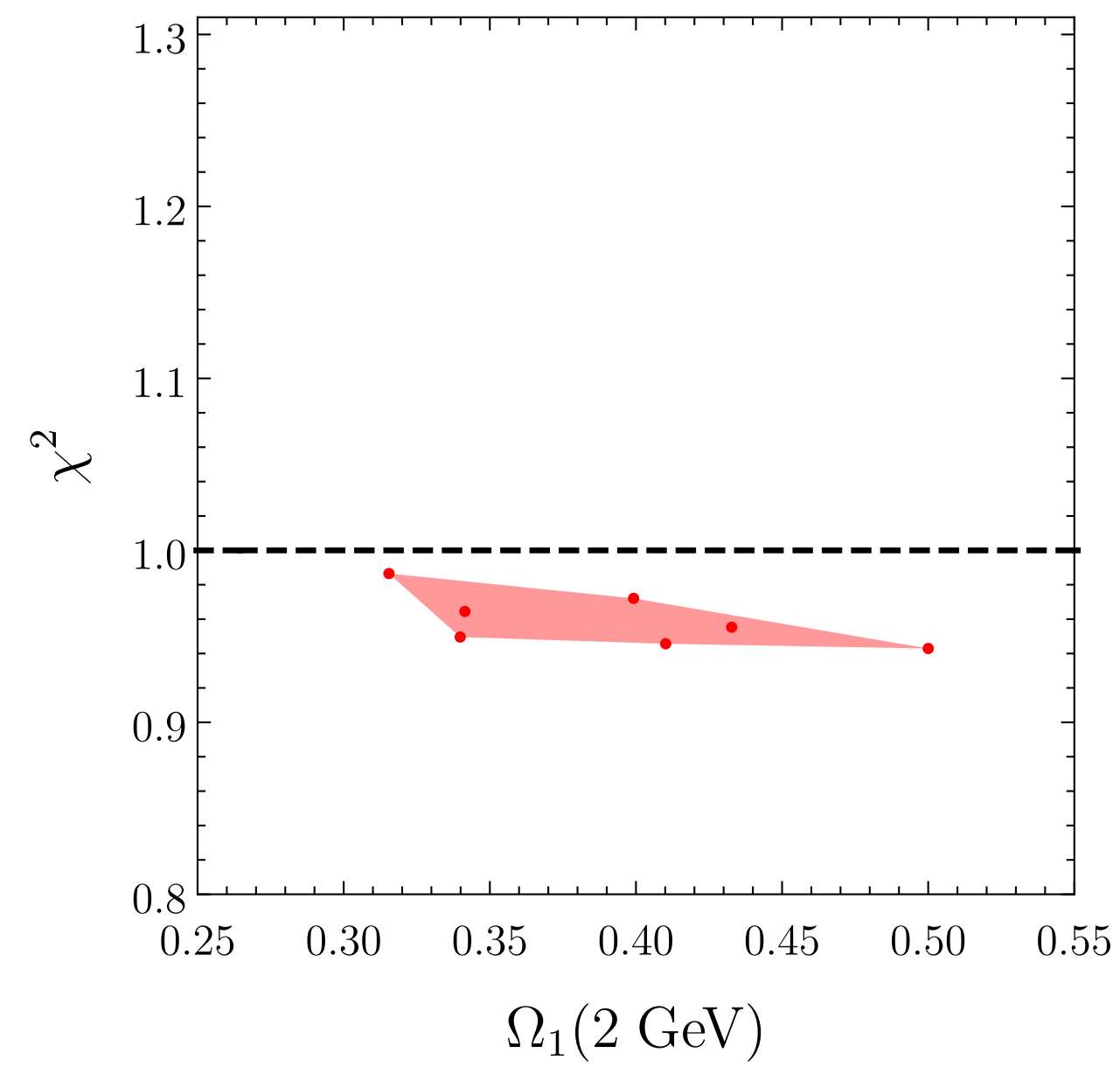
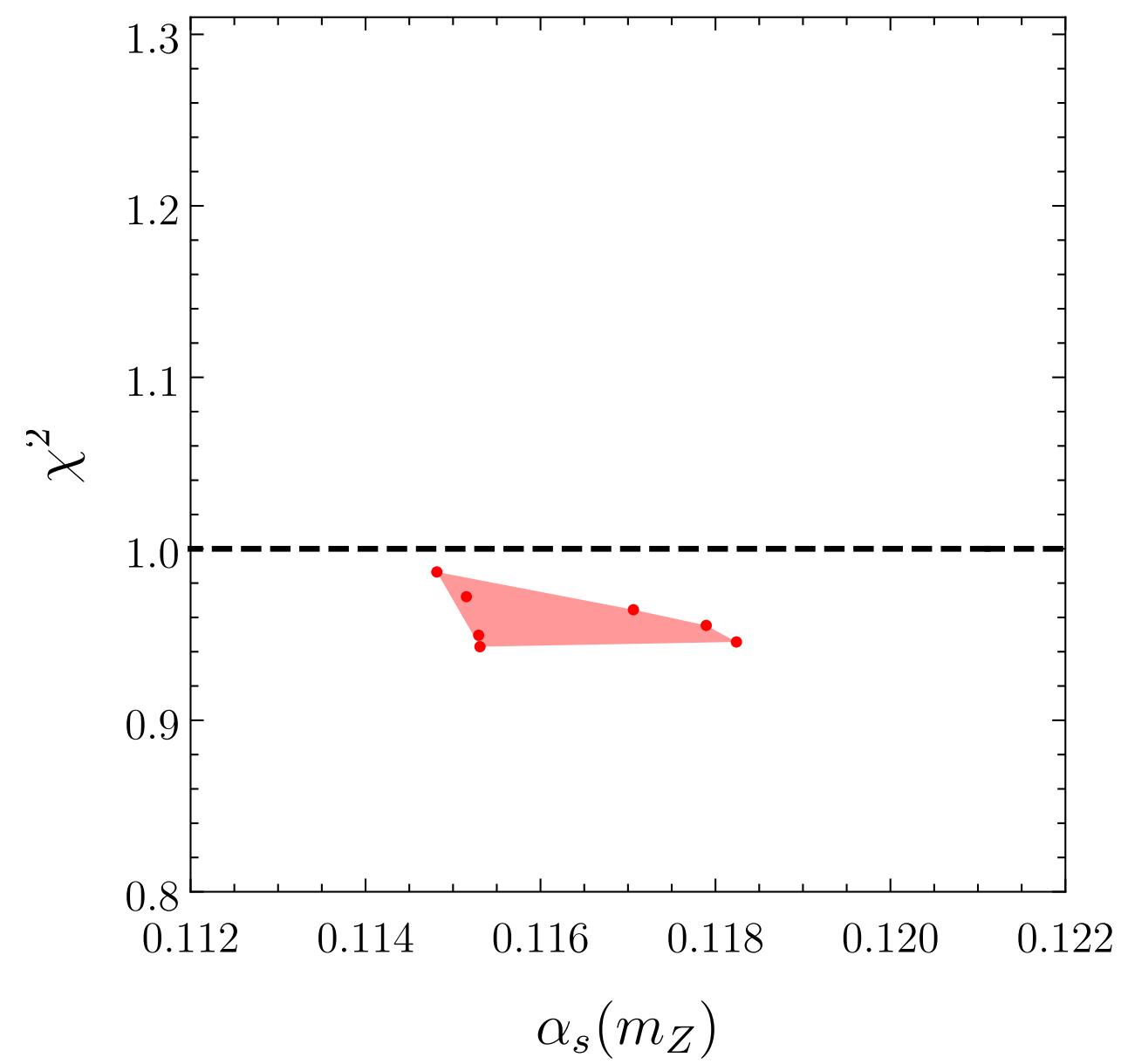
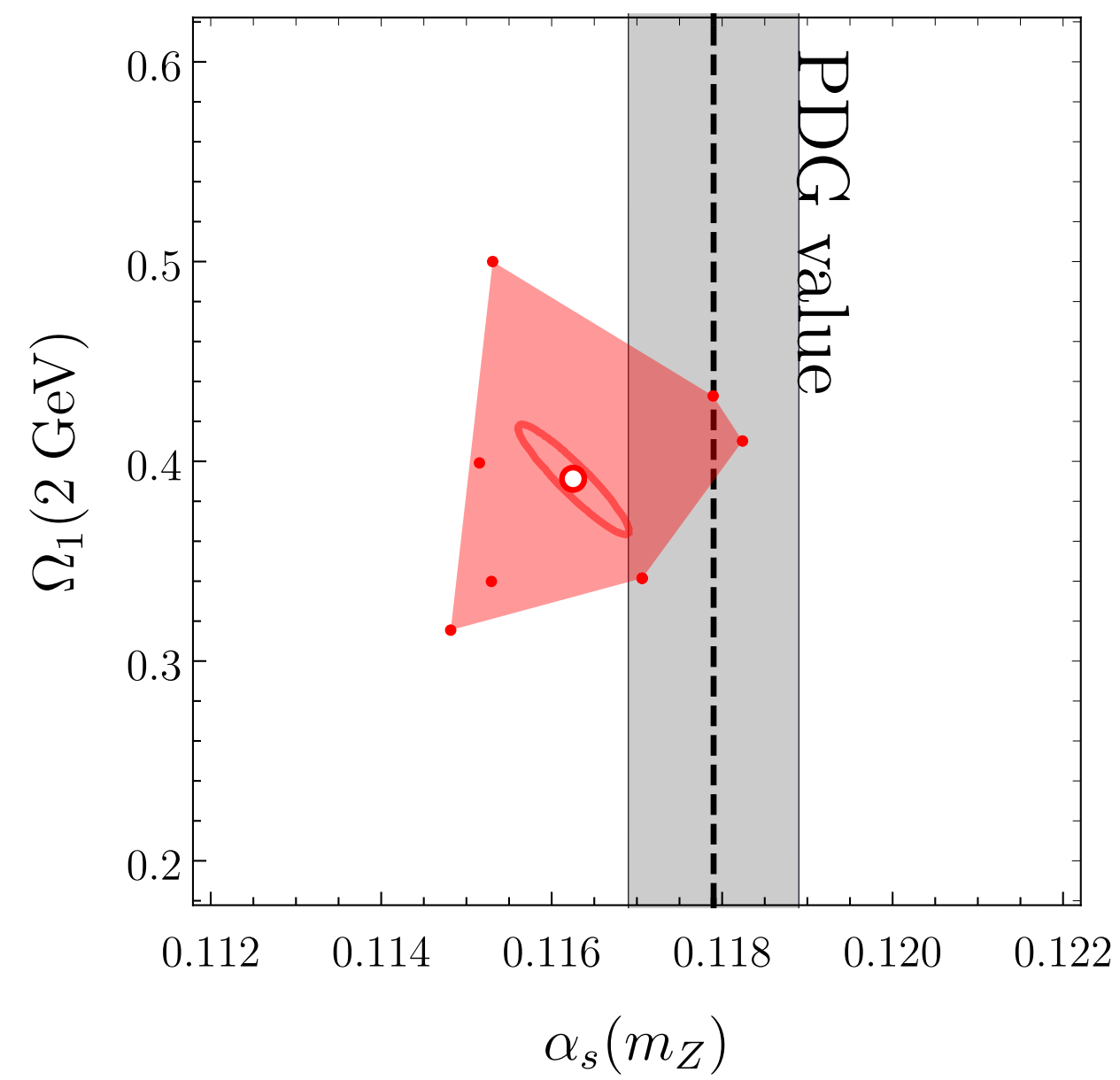



R scheme:*



Effect on thrust fits

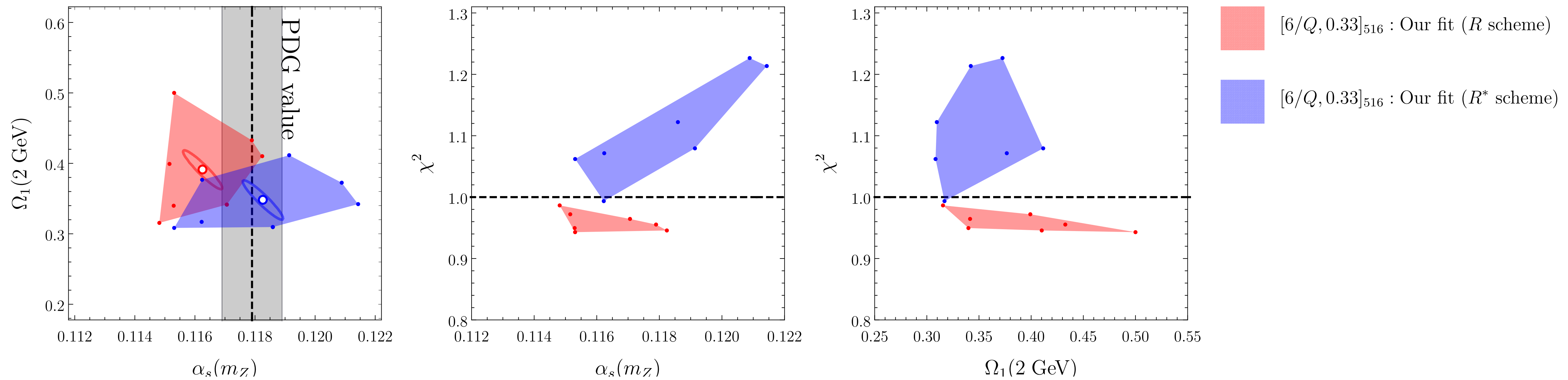
[NNLL'+ $\mathcal{O}(\alpha_s^2)$]



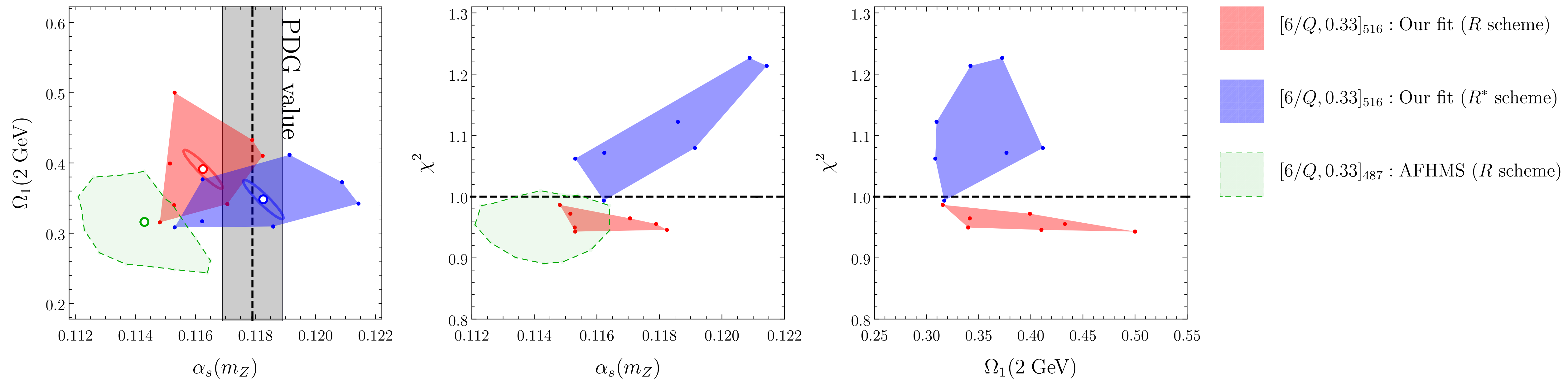
 [6/Q, 0.33]₅₁₆ : Our fit (*R* scheme)

Effect on thrust fits

[NNLL'+ $\mathcal{O}(\alpha_s^2)$]



Effect on thrust fits [NNLL'+ $\mathcal{O}(\alpha_s^2)$]

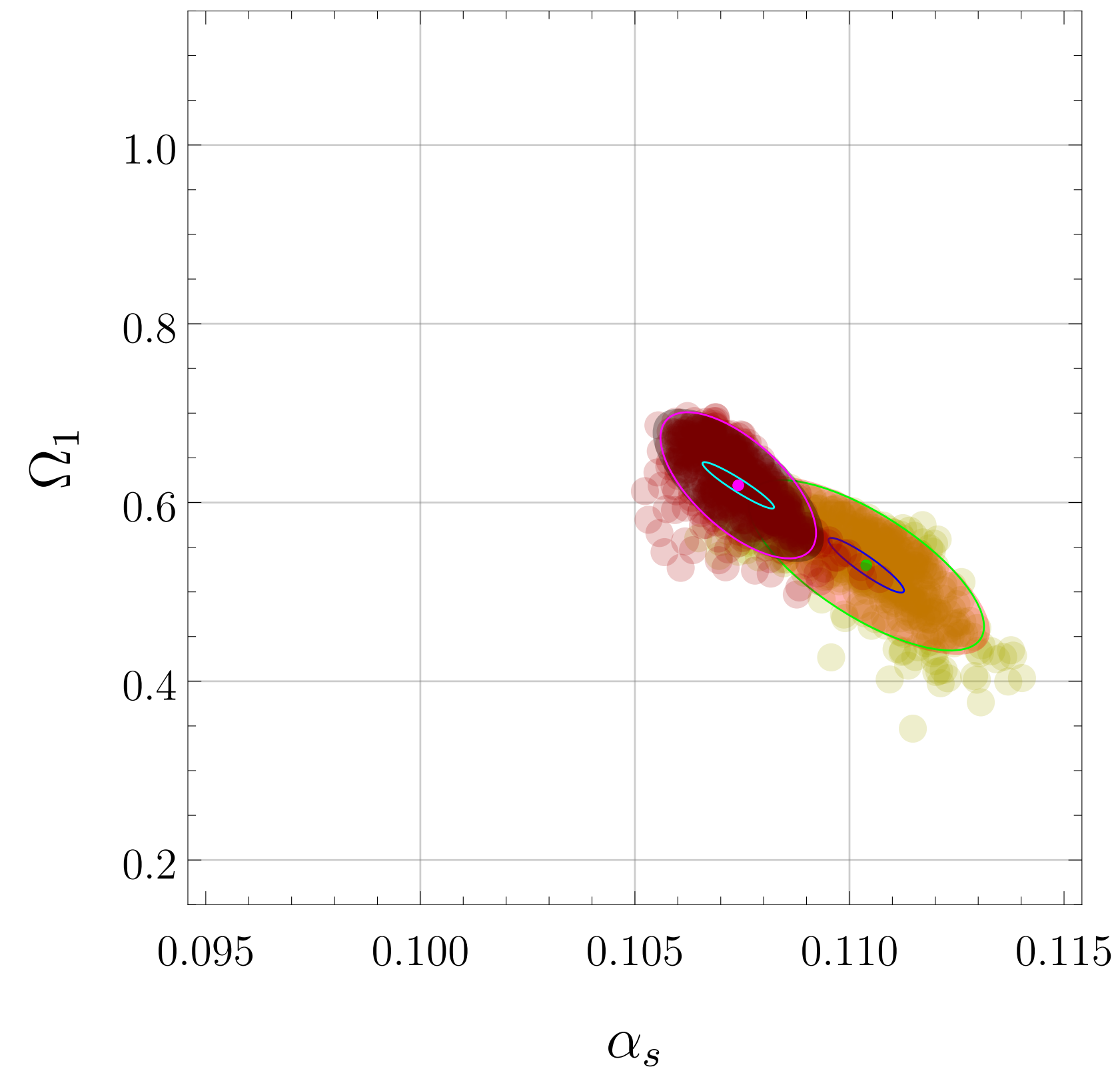


green -> red : several other systematics, e.g. profile functions, no b -mass or QED corrections (for us), slightly different data sets/bins, scale setting in bins...

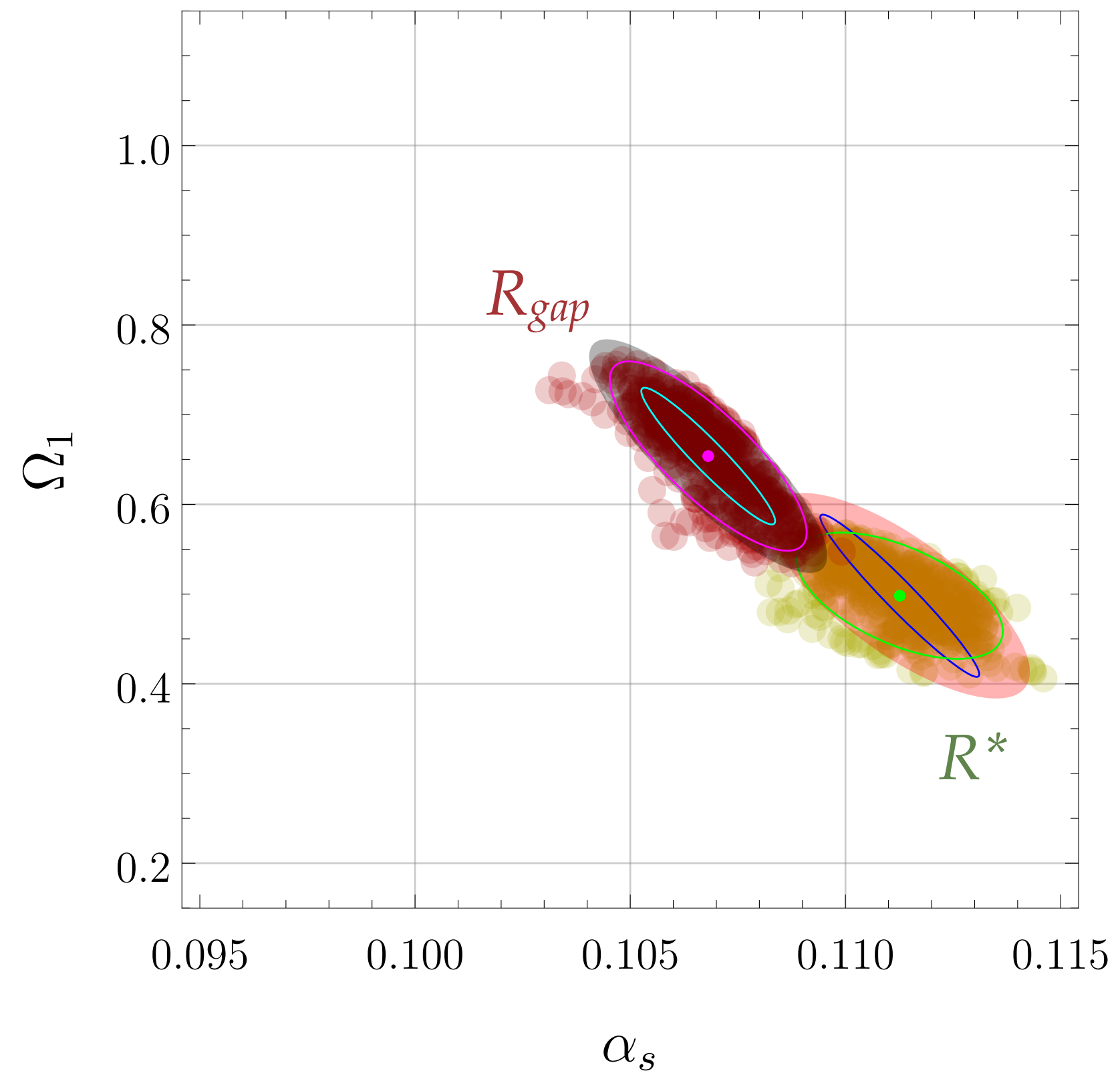
Effect on angularity fits (all a)

[NNLL'+ $\mathcal{O}(\alpha_s^2)$]

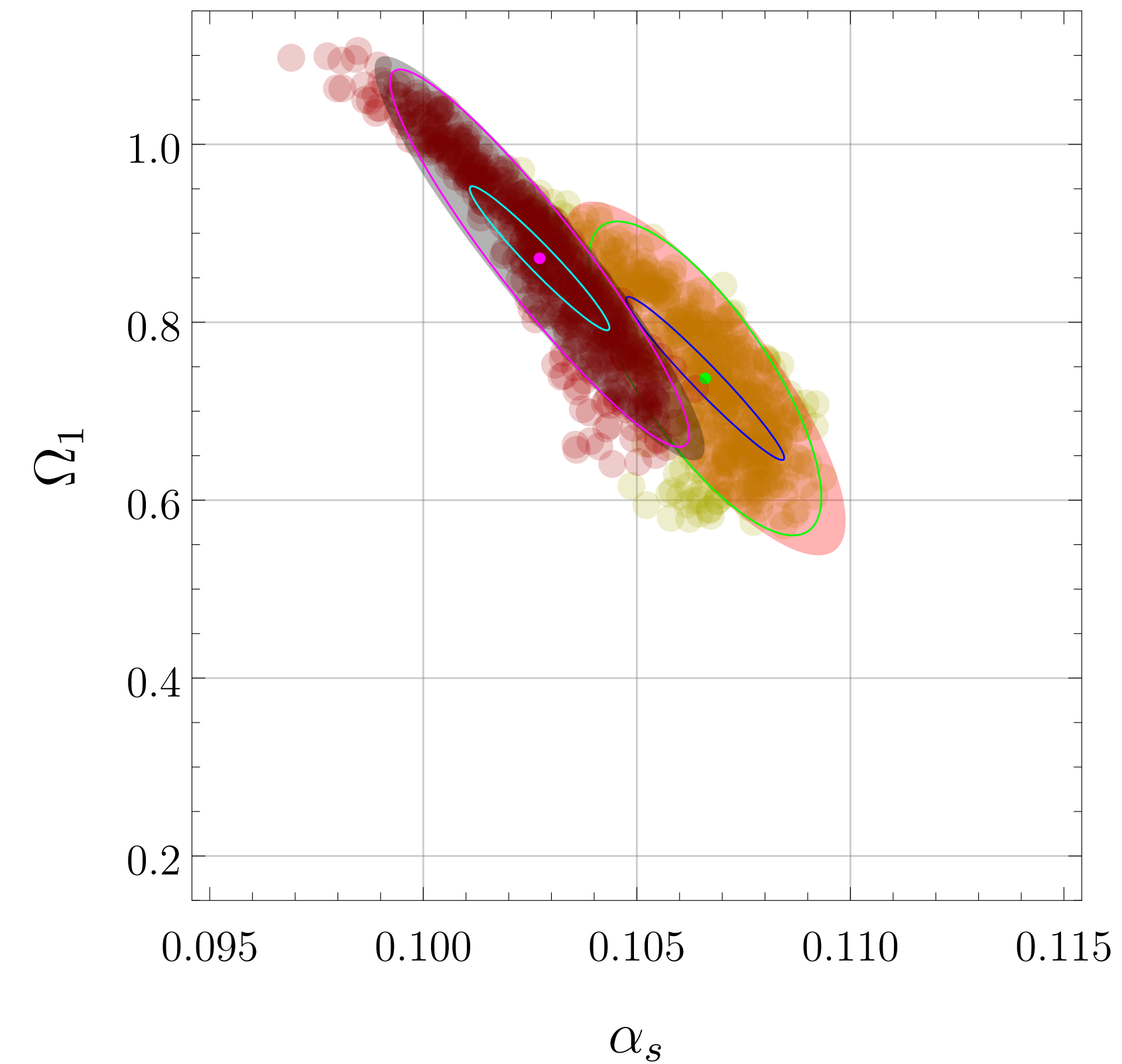
default - 2 (bin choices)



default



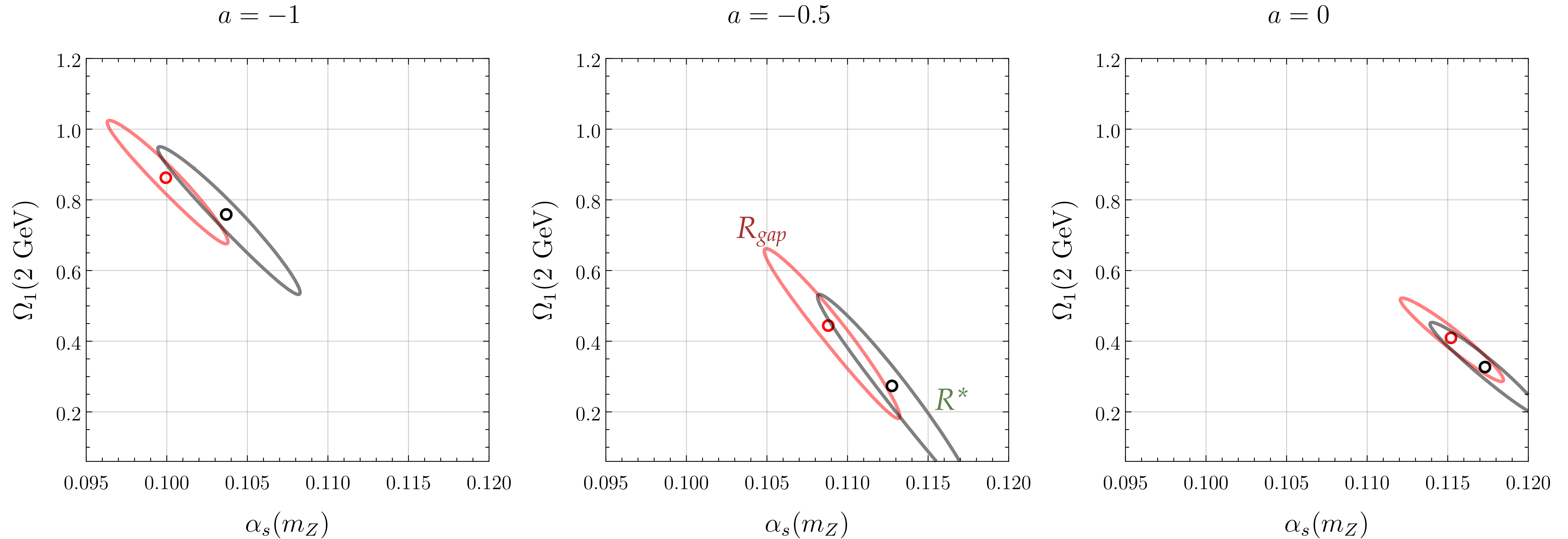
default + 2



small ellipses: experimental error
larger ellipses: theory error
shaded: combined error

Effect on angularity fits (single a 's)

[NNLL'+ $\mathcal{O}(\alpha_s^2)$]



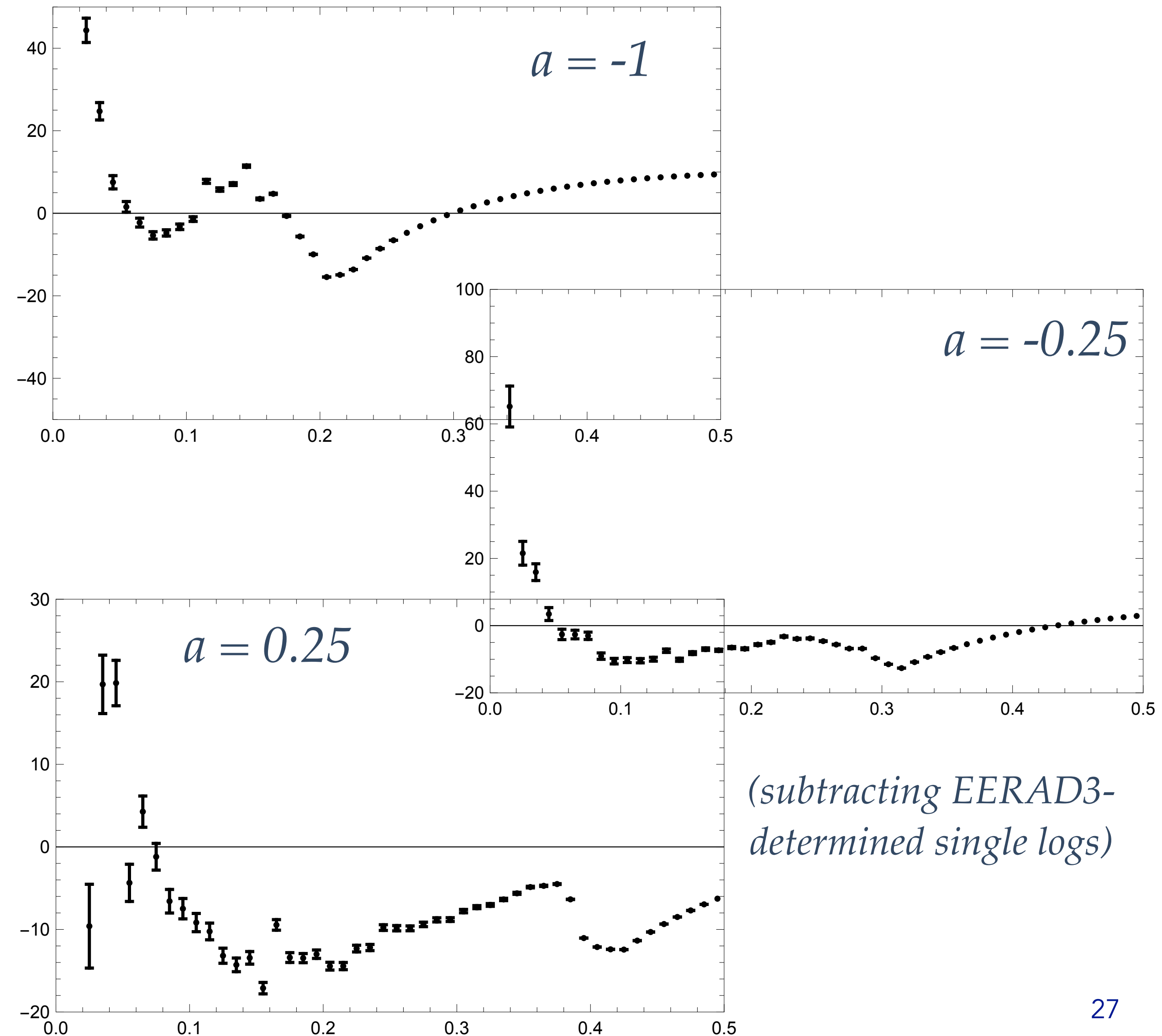
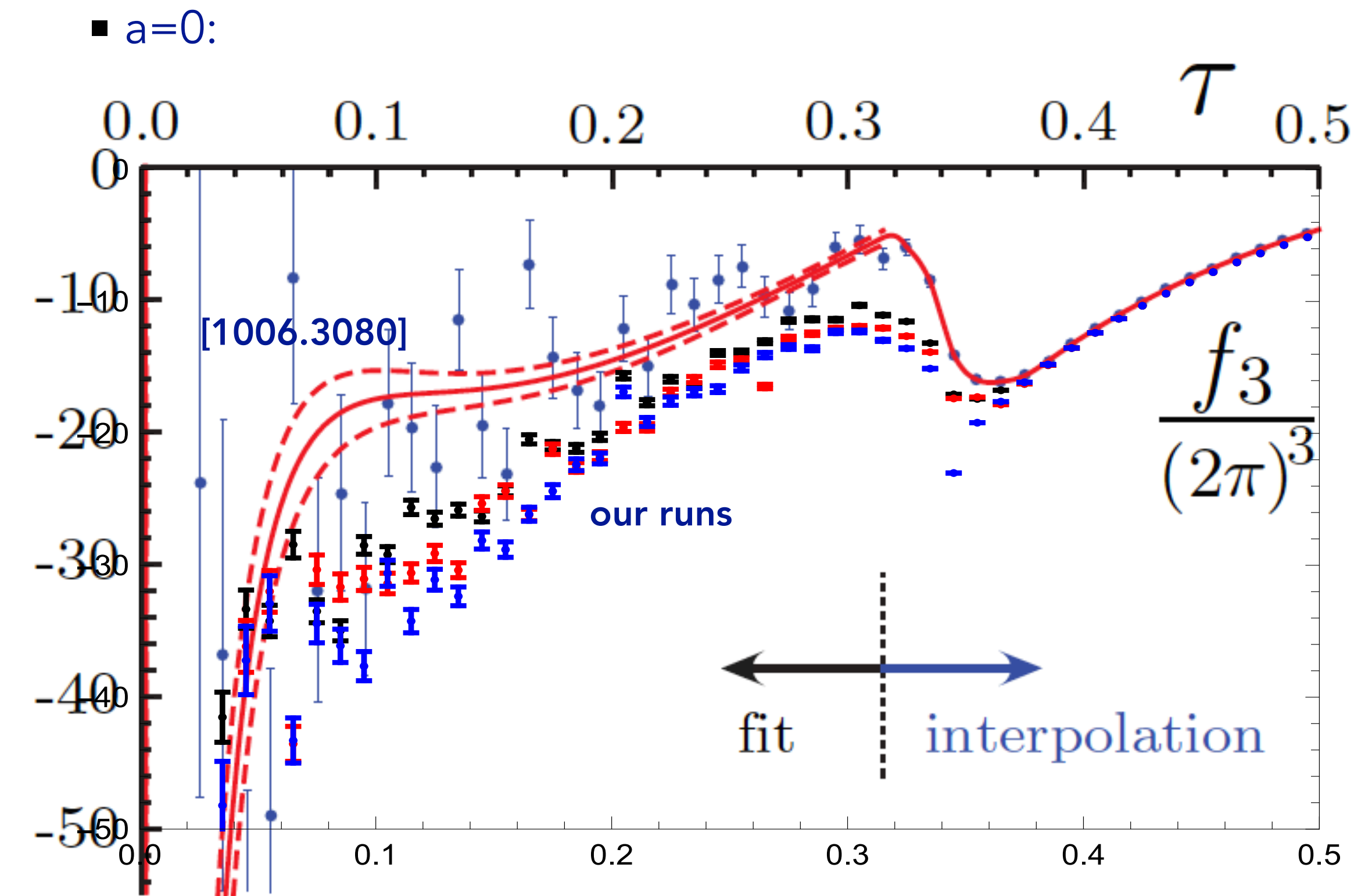
Consistent shift from using R^*

- There are still lots of systematics to consider: fitting regions, choice of profile functions, data sets, scale choice inside bins, etc. **Our illustrations are based on NNLL'+ $\mathcal{O}(\alpha_s^2)$ predictions only, so far.**
- **You (and we!) are not allowed to quote a value of α_s or Ω_1 coming from this talk!!**
- What seems consistent is, when controlling on other systematic choices, a shift in α_s of about a **few percent** when switching from standard R_{gap} to R^* scheme.
- Shifted values are within uncertainties, but might alleviate tension with PDG value.
 - Similar conclusion, from different considerations, as G. Luisoni, P. Monni, G. Salam [2012.00622] who tried varying size of nonperturbative shift in C-parameter distribution as function of C (smaller shifts for large C \Rightarrow larger values of α_s by a few percent)

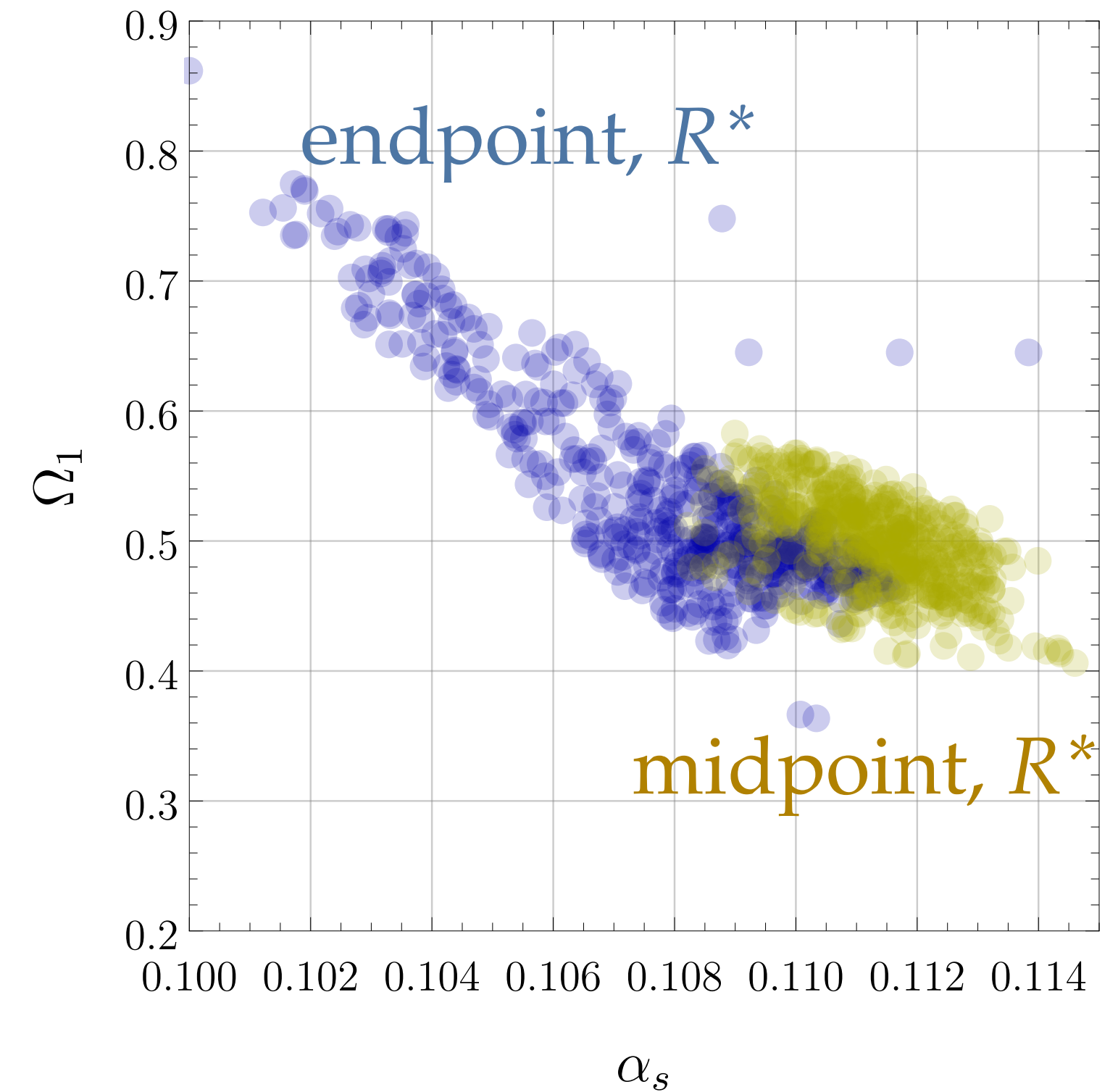
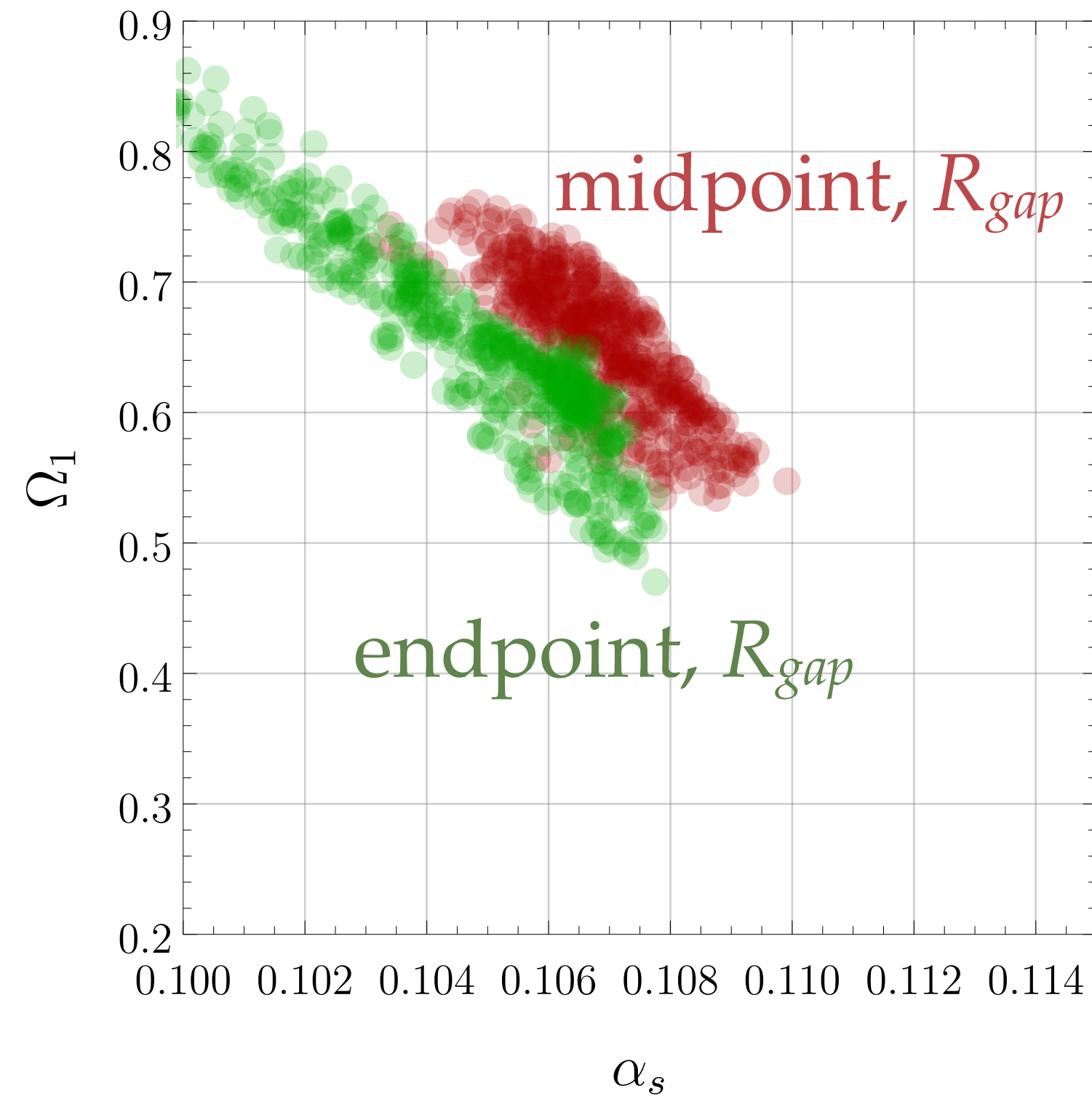
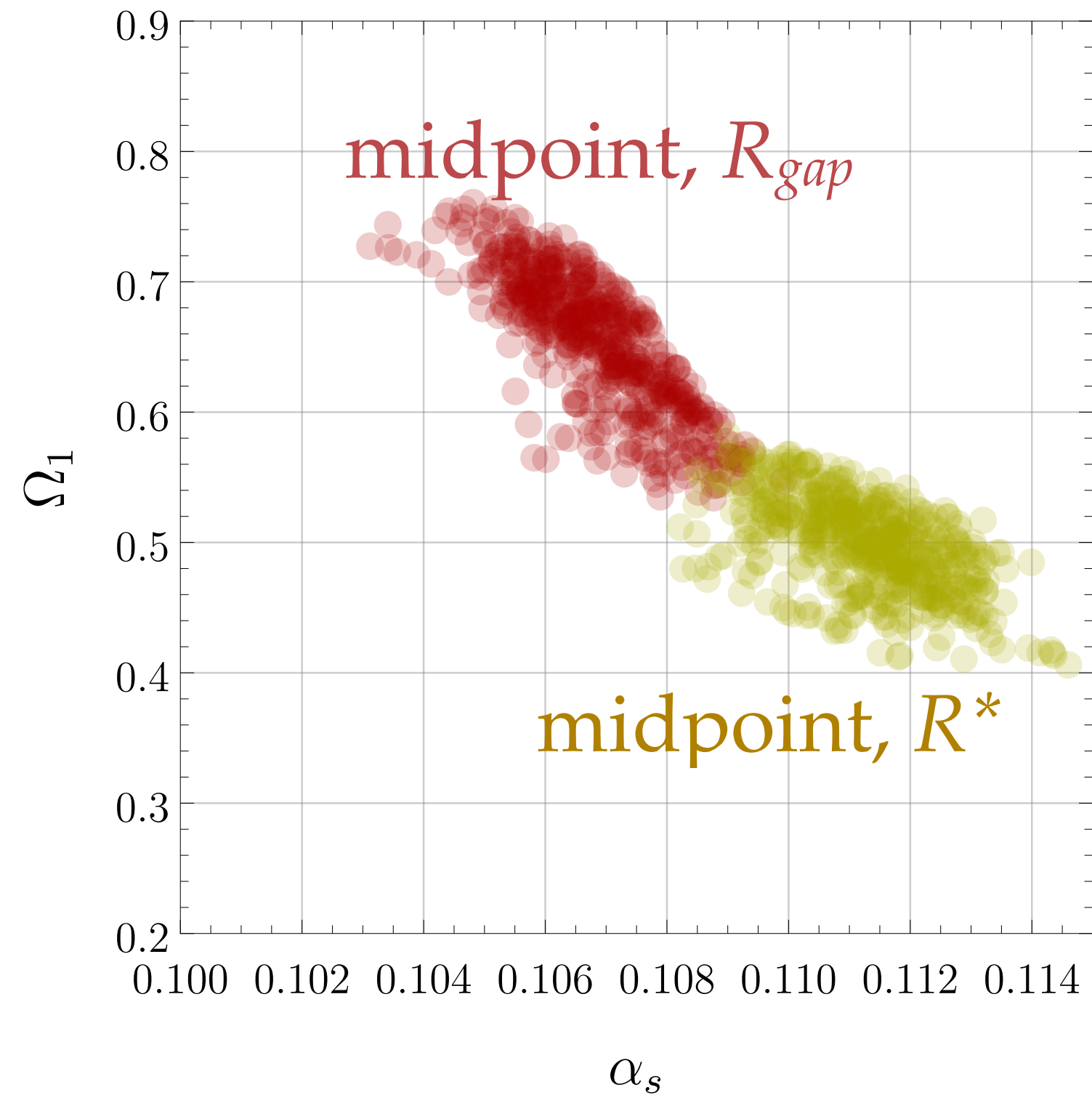
Backups

New remainder functions from EERAD3

using LANL Institutional Computing



Effect of scale setting & R-scheme on angularity fits



- “midpoint” scales

$$\sigma_{\text{bin}}^i = \sigma_c(\tau_{i+1}, \mu_{J,S}(\tau_{\text{mid}})) - \sigma_c(\tau_i, \mu_{J,S}(\tau_{\text{mid}}))$$

may not preserve total cross section (cf. 1401.4460)

- “endpoint” scales

$$\sigma_{\text{bin}}^i = \sigma_c(\tau_{i+1}, \mu_{J,S}(\tau_{i+1})) - \sigma_c(\tau_i, \mu_{J,S}(\tau_i))$$

“spurious” uncertainties (cf. 1006.3080)

- worth exploring “total integral”-preserving scale profiles of Bertolini, Solon, Walsh [1701.07919]

Data sets

■ For thrust:

ALEPH-2004: 133. GeV (7)	L3-2004: 172.3 GeV (12)
ALEPH-2004: 161. GeV (7)	L3-2004: 182.8 GeV (12)
ALEPH-2004: 172. GeV (7)	L3-2004: 188.6 GeV (12)
ALEPH-2004: 183. GeV (7)	L3-2004: 194.4 GeV (12)
ALEPH-2004: 189. GeV (7)	L3-2004: 200. GeV (11)
ALEPH-2004: 200. GeV (6)	L3-2004: 206.2 GeV (12)
ALEPH-2004: 206. GeV (8)	L3-2004: 41.4 GeV (5)
ALEPH-2004: 91.2 GeV (26)	L3-2004: 55.3 GeV (6)
AMY-1990: 55.2 GeV (5)	L3-2004: 65.4 GeV (7)
DELPHI-1999: 133. GeV (7)	L3-2004: 75.7 GeV (7)
DELPHI-1999: 161. GeV (7)	L3-2004: 82.3 GeV (8)
DELPHI-1999: 172. GeV (7)	L3-2004: 85.1 GeV (8)
DELPHI-1999: 89.5 GeV (11)	L3-2004: 91.2 GeV (10)
DELPHI-1999: 93. GeV (12)	OPAL-1997: 161. GeV (7)
DELPHI-2000: 91.2 GeV (12)	OPAL-2000: 172. GeV (8)
DELPHI-2003: 183. GeV (14)	OPAL-2000: 183. GeV (8)
DELPHI-2003: 189. GeV (15)	OPAL-2000: 189. GeV (8)
DELPHI-2003: 192. GeV (15)	OPAL-2005: 133. GeV (6)
DELPHI-2003: 196. GeV (14)	OPAL-2005: 177. GeV (8)
DELPHI-2003: 200. GeV (15)	OPAL-2005: 197. GeV (8)
DELPHI-2003: 202. GeV (15)	OPAL-2005: 91. GeV (5)
DELPHI-2003: 205. GeV (15)	SLD-1995: 91.2 GeV (6)
DELPHI-2003: 207. GeV (15)	TASSO-1998: 35. GeV (4)
DELPHI-2003: 45. GeV (5)	TASSO-1998: 44. GeV (5)
DELPHI-2003: 66. GeV (8)	
DELPHI-2003: 76. GeV (9)	
JADE-1998: 35. GeV (5)	
JADE-1998: 44. GeV (7)	
L3-2004: 130.1 GeV (11)	
L3-2004: 136.1 GeV (10)	
L3-2004: 161.3 GeV (12)	

----- Summary -----
 Total: 516
 Q > 95 : 345
 Q < 88 : 89
 Q ~ MZ : 82

■ For angularities:

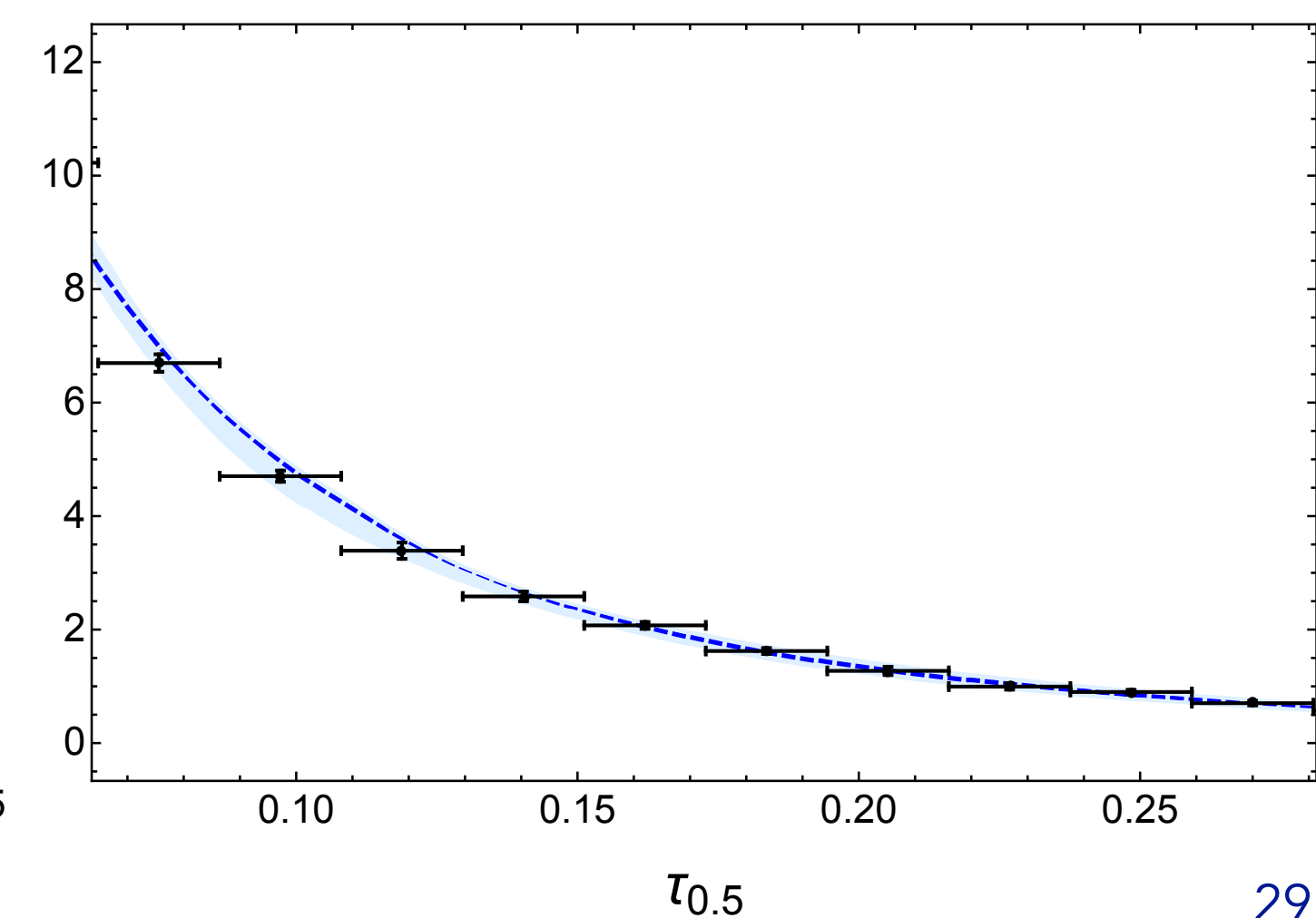
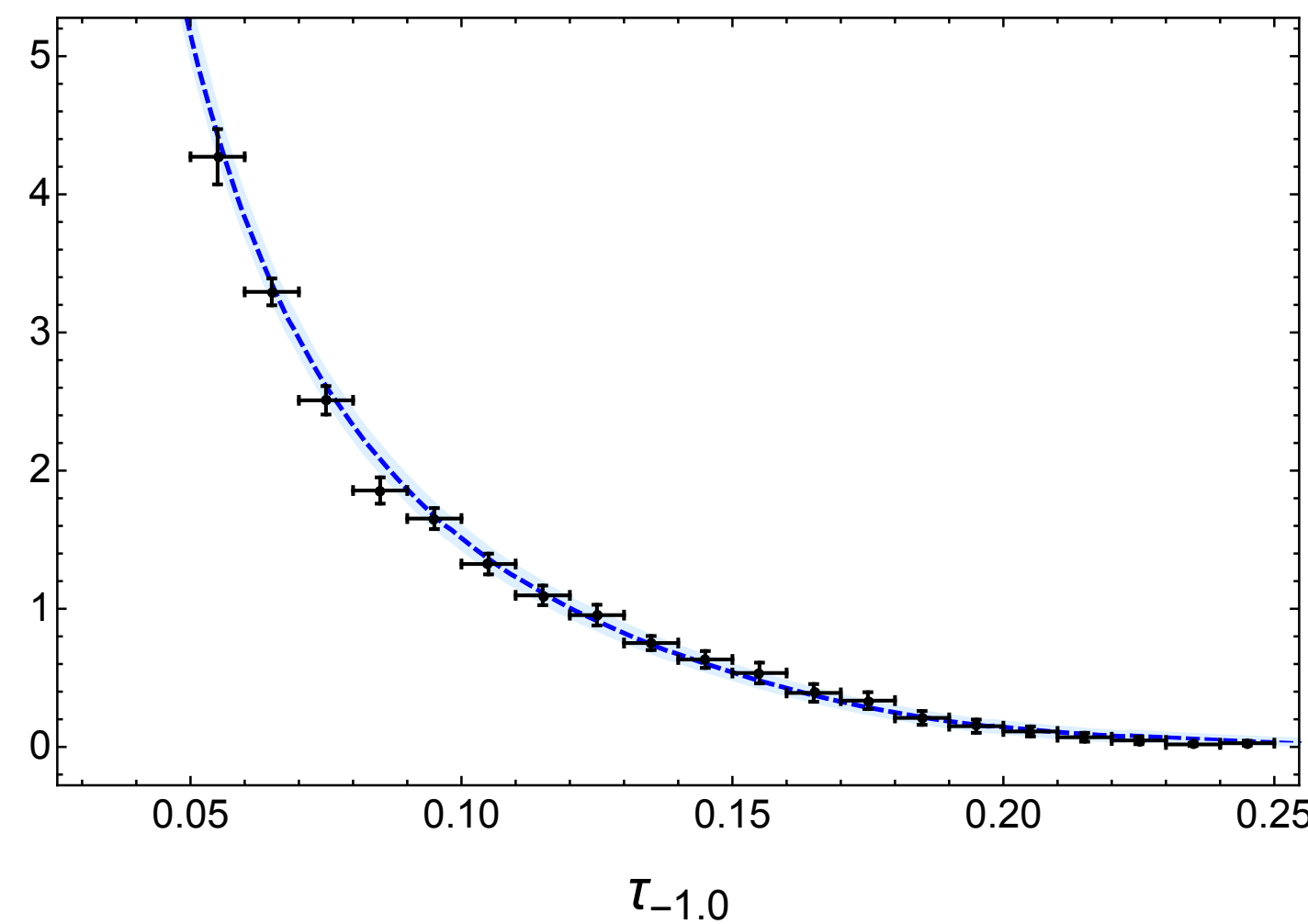
Generalized event shape and energy flow studies in
 e^+e^- annihilation at $\sqrt{s} = 91.2-208.0$ GeV

L3 Collaboration

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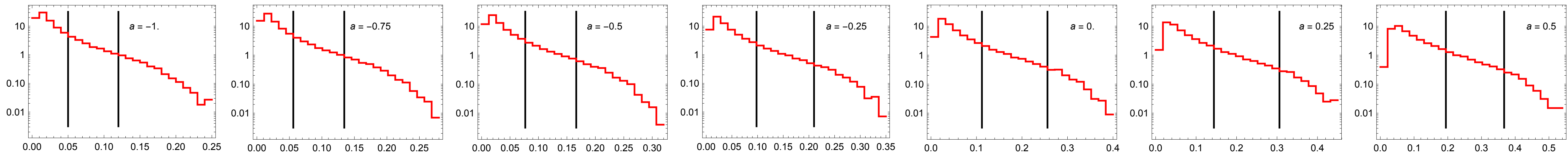
Also see thesis by Pratima Jindal,
 Panjab University, Chandigarh

- Data for $a = \{-1.0, -0.75, -0.5, -0.25, 0.0, 0.25, 0.5, 0.75\}$ at 91.2 and 197 GeV
- Total number of bins = (bins per a) x (number of a) = 25 x 7 = 175 bins @ Q = 91.2 GeV
- e.g. $a = -1$ and 0.5, Q = 91.2 GeV, compared to our NNLL' prediction:

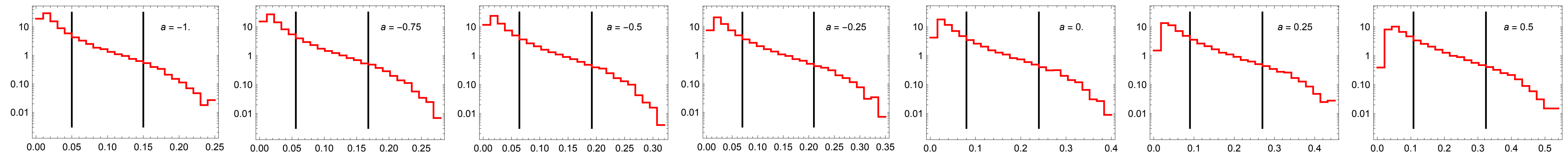


Fitting regions currently used

- For all-angularity fit:

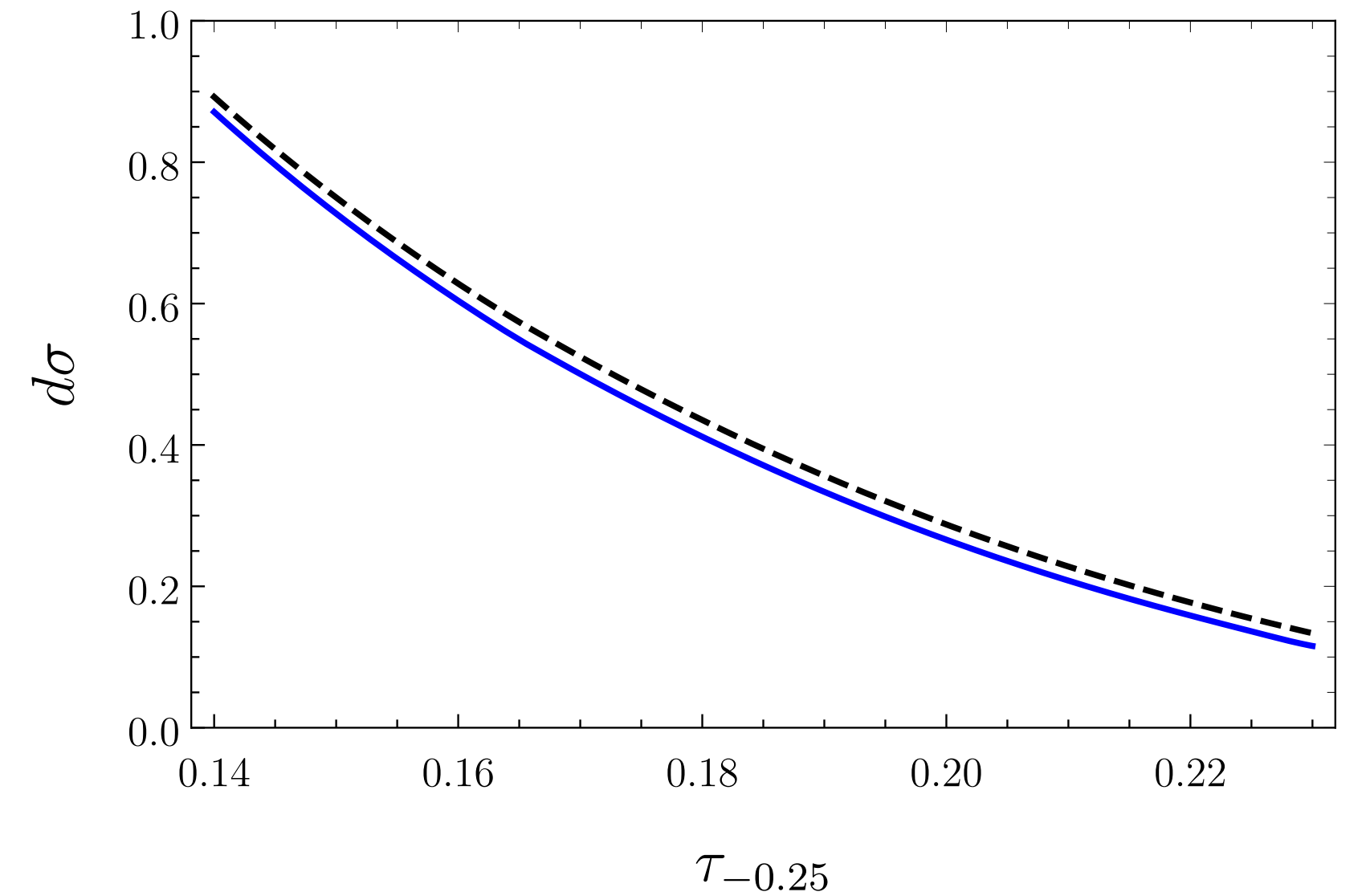
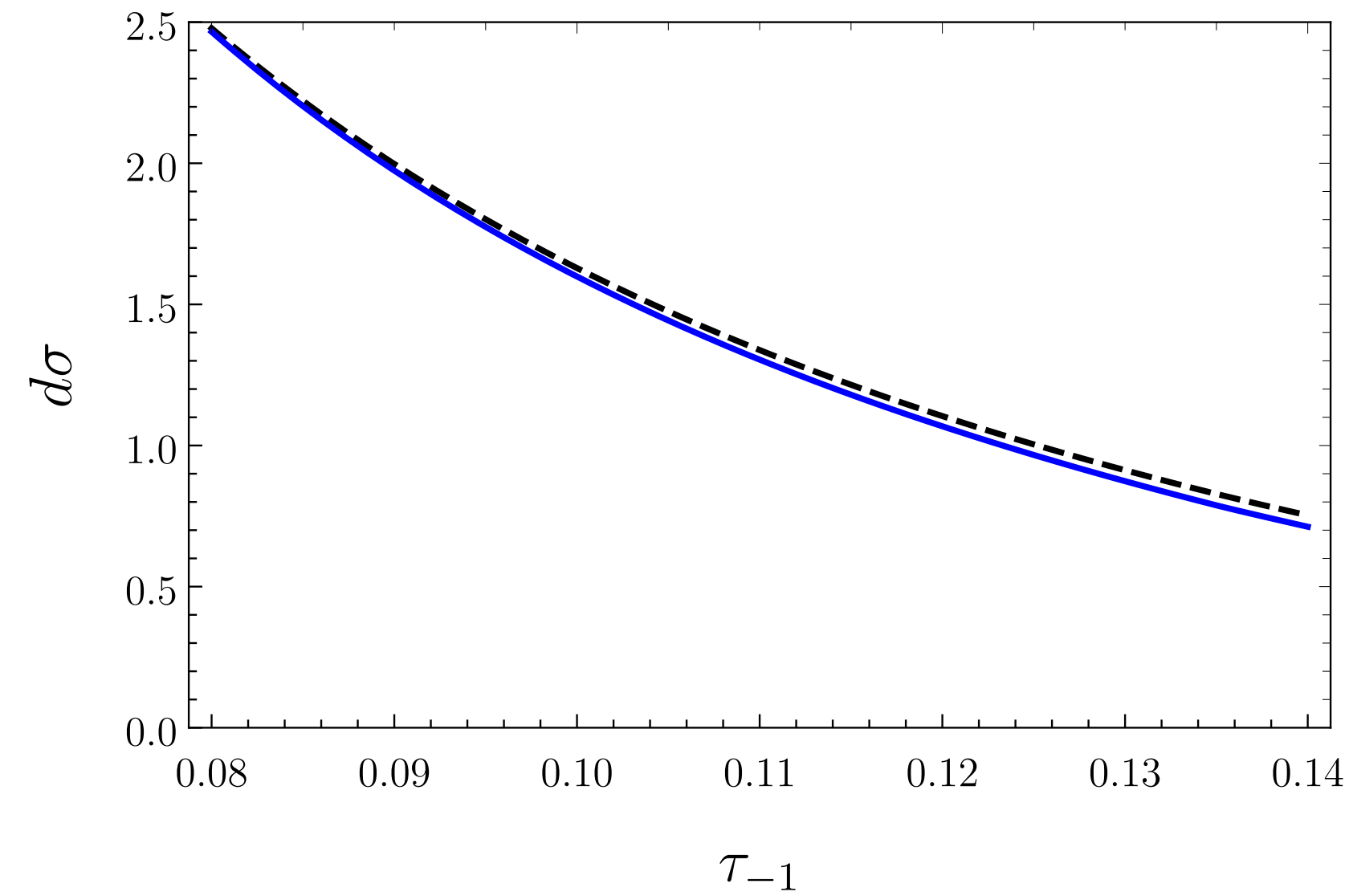


- For single-angularity fits:

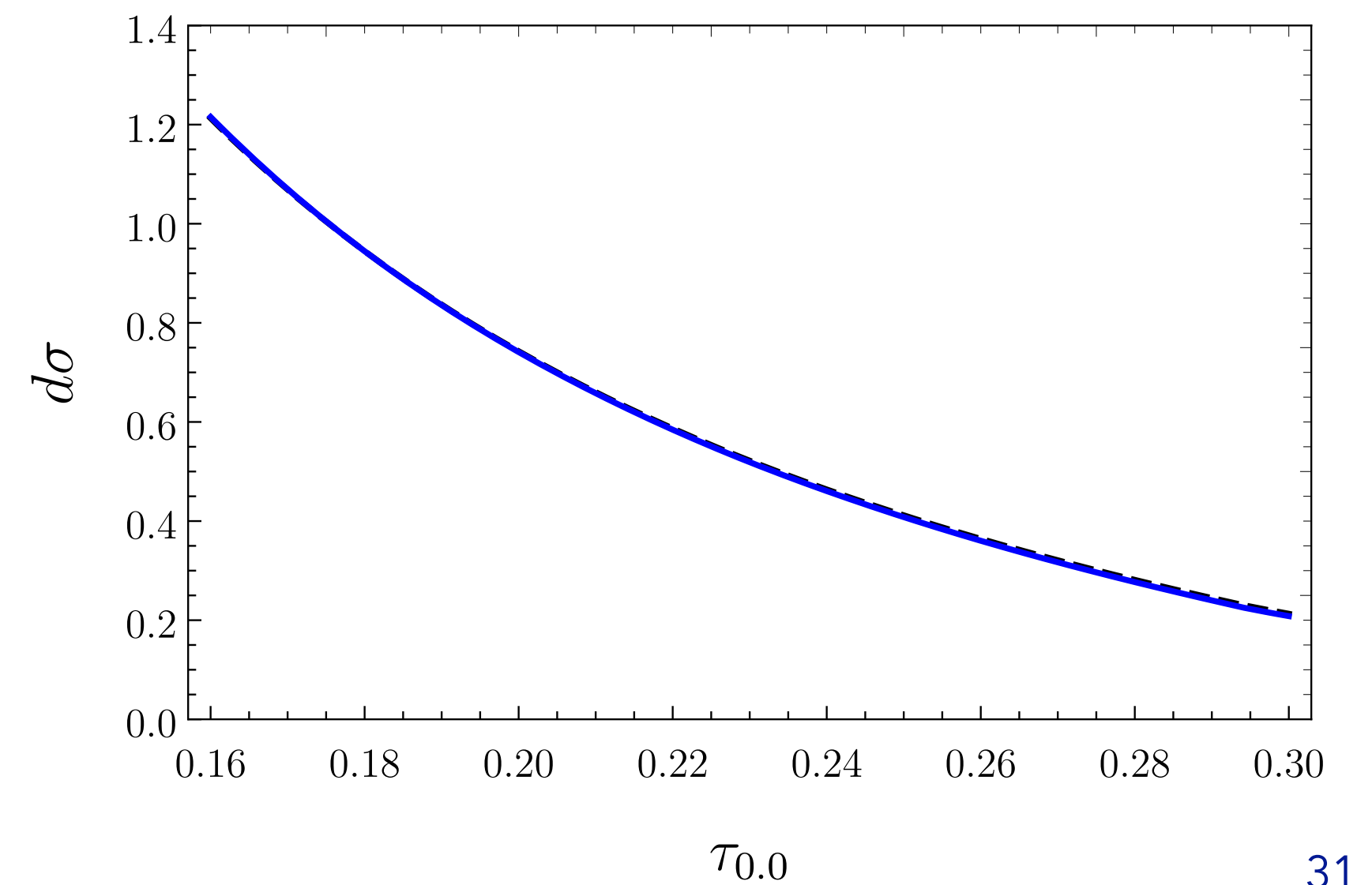
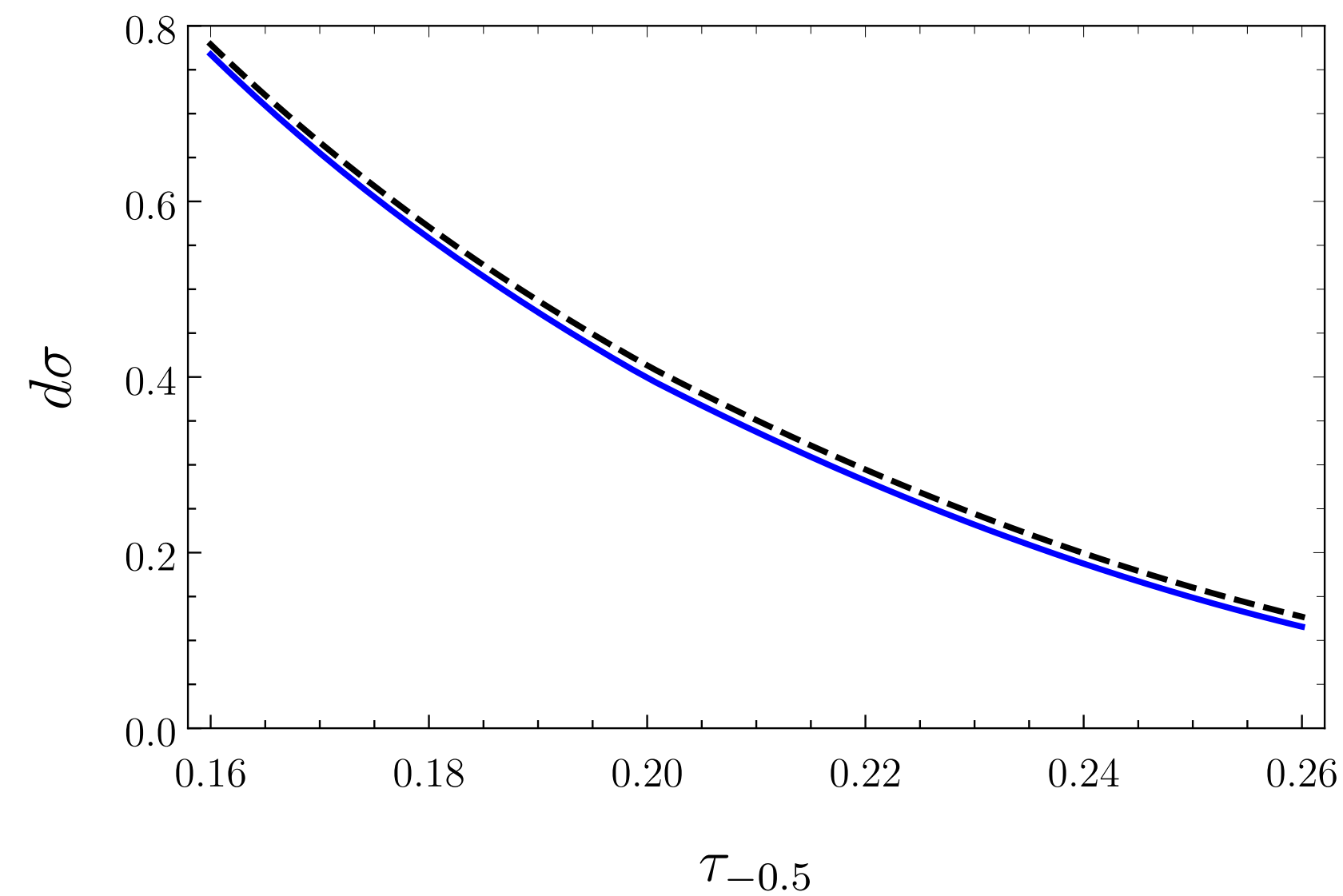


Shift in distributions

- from R_{gap} to R^* scheme, are actually quite small:



- Note these shifts will also grow for larger Q



Correlations among angularities

Estimated from Pythia simulations:

$$\mathbf{T}^k = (\tau_{a=-1}^{(1)}, \tau_{a=-1}^{(2)}, \dots, \tau_{a=-1}^{(i)}, \dots, \tau_{a=-0.75}^{(1)}, \dots, \tau_{a=-0.5}^{(1)}, \dots)^k$$

i^{th} bin k^{th} experiment

$$k = 1, 2, 3, \dots, 10^5$$

each experiment containing
 4.93×10^5 events

$$\mathcal{V}_{ij} = \frac{1}{10^5} (\mathbf{T} - \mu_{\mathbf{T}})^T (\mathbf{T} - \mu_{\mathbf{T}}) =$$

