

Semileptonic $b \rightarrow u$ decays and $|V_{ub}|$

Jonna Koponen
jkoponen@uni-mainz.de

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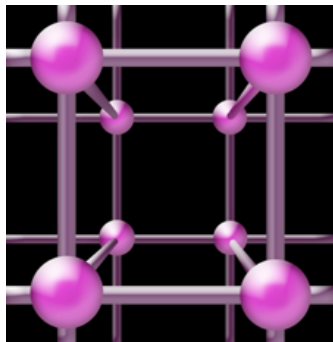


The Cabibbo–Kobayashi–Maskawa (CKM) matrix element $|V_{ub}|$...

- describes the coupling between u and b quarks in the weak interaction
- is one of the fundamental parameters of the Standard Model
- is the focus of a longstanding puzzle: a tension between inclusive & exclusive determination

Both leptonic and semileptonic decays can be used to extract $|V_{ub}|$.

In this talk we will focus on the semileptonic decays and the status of Lattice QCD calculations of the form factors.



Semileptonic decays

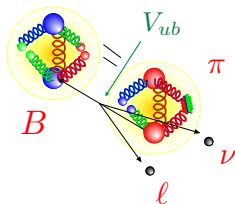
CKM matrix element V_{ub} relates to the differential decay rate

$$\frac{d\Gamma(B \rightarrow \pi \ell \nu)}{dq^2} = \frac{G_F^2 |V_{ub}|^2}{24\pi^3} |k_\pi|^3 |f_+(q^2)|^2$$

- Experimental (partial) branching fraction
- CKM matrix element
- Form factor (from theory, usually Lattice QCD)

k_π = pion momentum

q = momentum transfer



Several decays can be used:

- $B \rightarrow \pi \ell \nu$
Good experimental data
- $B_s \rightarrow K \ell \nu$
Easier on lattice than $B \rightarrow \pi$
Measured by LHCb, 2 wide bins
- $B_c \rightarrow D \ell \nu$
Measurement by LHCb
forthcoming?

Form factors and matrix elements

For pseudoscalar to pseudoscalar decays

$$\langle \pi(k_\pi) | V^\mu | B(p_B) \rangle = f_+(q^2) \left[(p_B + k_\pi)^\mu - \frac{M_B^2 - M_\pi^2}{q^2} q^\mu \right] + f_0(q^2) \frac{M_B^2 - M_\pi^2}{q^2} q^\mu.$$

A useful parametrisation is

$$\langle \pi(k_\pi) | V^\mu | B(p_B) \rangle = 2\sqrt{M_B} [f_{\parallel}(E_\pi) v^\mu + k_{\pi,\perp}^\mu f_{\perp}(E_\pi)].$$

- $p_B = B$ meson four-momentum
- $k_\pi = \pi$ pion four-momentum
- $q^\mu = p_B^\mu - k_\pi^\mu =$ momentum transfer
- $v^\mu = p_B/M_B =$ heavy quark velocity
- $E_\pi = v \cdot k_\pi = (M_B^2 + M_\pi^2 - q^2)/(2M_B) =$ pion energy
- $k_{\pi,\perp}^\mu = k_\pi^\mu - (v \cdot k_\pi) v^\mu =$ projection of the pion momentum in the direction perpendicular to v^μ

Form factors and vector-current matrix elements

The two form factor definitions are related by

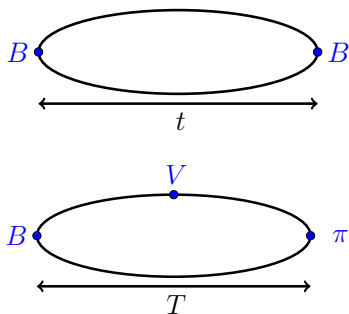
$$f_+(q^2) = \frac{1}{\sqrt{2M_B}} [f_{\parallel}(E_{\pi}) + (M_B - E_{\pi})f_{\perp}(E_{\pi})]$$

$$f_0(q^2) = \frac{\sqrt{2M_B}}{M_B^2 - M_{\pi}^2} [(M_B - E_{\pi})f_{\parallel}(E_{\pi}) + (E_{\pi}^2 - M_{\pi}^2)f_{\perp}(E_{\pi})]$$

The B meson is often kept at rest, and the vector-current matrix elements calculated on the lattice can be directly related to the form factors:

$$f_{\parallel}(E_{\pi}) = \frac{\langle \pi(k_{\pi}) | V^0 | B \rangle}{\sqrt{2M_B}}$$

$$f_{\perp}(E_{\pi}) = \frac{\langle \pi(k_{\pi}) | V^i | B \rangle}{\sqrt{2M_B}} \frac{1}{k_{\pi}^i}.$$

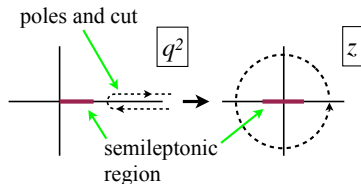


Parametrising q^2 dependence: z -expansion

$$z = z(q^2, t_0) \equiv \frac{\sqrt{t_+ - q^2} - \sqrt{t_+ - t_0}}{\sqrt{t_+ - q^2} + \sqrt{t_+ - t_0}}$$

$$t_{\pm} = (M_B \pm M_{\pi})^2$$

t_0 is a free parameter. The choice
 $t_0 = t_+(1 - \sqrt{1 - t_-/t_+})$ gives $|z| < 0.3$.



BGL (Boyd, Grinstein, Lebed) parametrisation:

$$f_i = \frac{1}{\mathcal{P}_i(q^2)\phi_i(q^2, t_0)} \sum_{n=0}^{N_z} a_n^i z^n, \text{ where } i \in \{+, 0\}, \mathcal{P}_+ = z(q^2, M_{B^*}^2), \mathcal{P}_0 = 1.$$

$\phi_i(q^2, t_0)$ are analytic functions (see [PRD 56 \(1997\) 6895](#)). Often $\phi_0(q^2, t_0) = 1$.

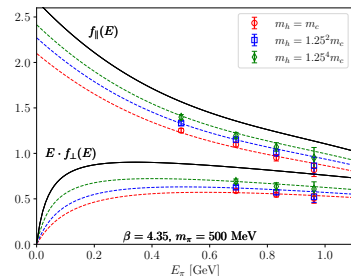
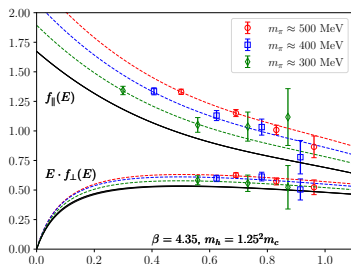
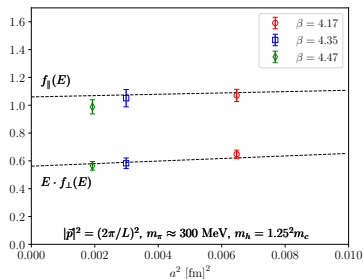
BCL (Bourrely, Caprini, Lellouch) parametrisation (see [PRD 79 \(2009\) 013008](#)):

$$f_+ = \frac{1}{1 - q^2/M_{B^*}^2} \sum_{n=0}^{N_z-1} b_n^+ \left[z^n - (-1)^{n-N_z} \frac{n}{N_z} z^{N_z} \right]; \quad f_0 = \sum_{n=0}^{N_z} b_n^0 z^n$$

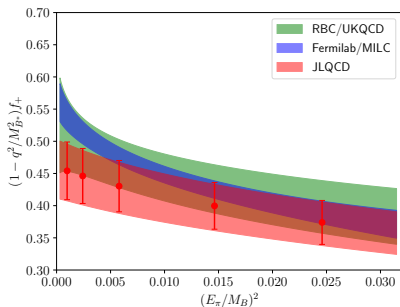
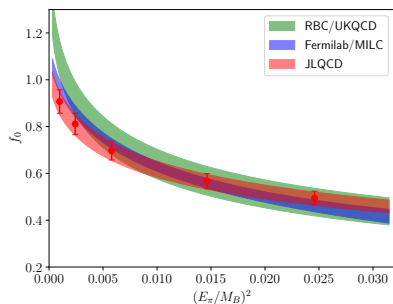
Both of these parametrisations are widely used.

JLQCD: $B \rightarrow \pi \ell \nu$

- 2+1 flavor ensembles generated by JLQCD Collaboration
- all sea and valence quarks use Möbius Domain Wall Fermion action
- heavy quark fully relativistic, extrapolated to physical b mass



B. Colquhoun, S. Hashimoto, T. Kaneko, J. K., see e.g. [arXiv:1912.02409](https://arxiv.org/abs/1912.02409).



Comparison of the JLQCD form factors at physical parameters with RBC/UKQCD ([arXiv:1501.05373](https://arxiv.org/abs/1501.05373)) and Fermilab/MILC ([arXiv:1503.07839](https://arxiv.org/abs/1503.07839)) shows that the shapes are slightly different especially at small E_π . This may hint to some systematics, though the statistical significance is limited.

RBC/UKQCD: $B \rightarrow \pi \ell \nu$ and $B_s \rightarrow K \ell \nu$

- RBC/UKQCD's 2+1 flavor domain-wall fermion + Iwasaki gauge action ensembles
- Domain-wall fermions for up/down, strange, and charm quarks
- Relativistic heavy quark (RHQ) action for b quarks
 - tuned to physical b quark mass, no extrapolation
 - different action for b than for lighter quarks
- Update of the 2015 calculation: add finer lattice spacing, improved analysis and systematics

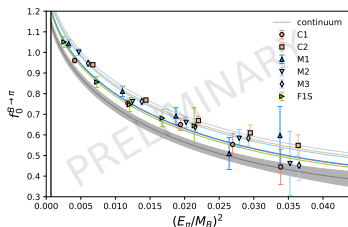
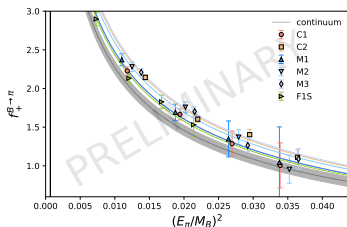
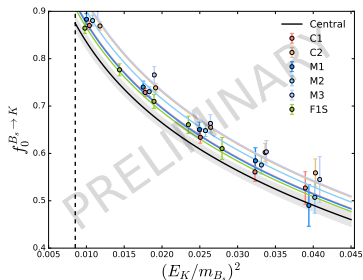
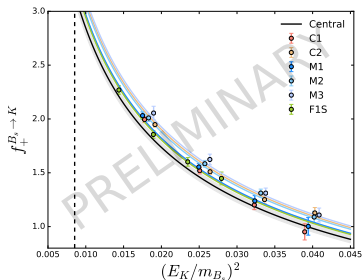
Two parallel talks at this conference:

- ✓ **Thursday 13:45 (US/Eastern) Ryan Hill**
Semileptonic form factors for $B \rightarrow \pi \ell \nu$ decays
- ✓ **Thursday 14:00 (US/Eastern) Jonathan Flynn**
Form factors for semileptonic $B_s \rightarrow K \ell \nu$ and $B_s \rightarrow D_s \ell \nu$ decays

See [arXiv:2012.04323](https://arxiv.org/abs/2012.04323) and [arXiv:1912.09946](https://arxiv.org/abs/1912.09946) for previous reports.

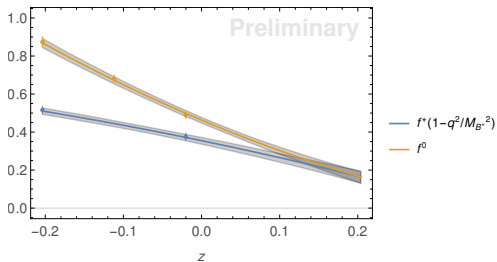
J. Flynn, R. Hill, A. Jüttner, A. Soni, J.T. Tsang, O. Witzel

RBC/UKQCD: $B \rightarrow \pi \ell \nu$ and $B_S \rightarrow K \ell \nu$

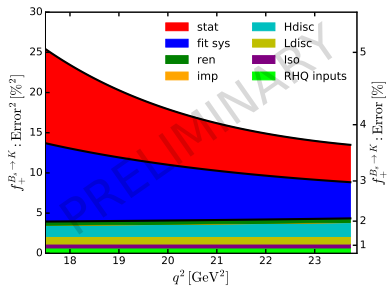
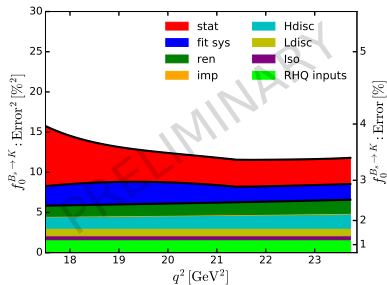


Figures courtesy of Oliver Witzel.

RBC/UKQCD: $B_s \rightarrow K\ell\nu$ z -expansion and error budgets



- Parametrisation of the physical form factors using z -expansion with BCL Ansatz.
- Full error budgets include statistical and all systematic uncertainties.



Figures courtesy of Oliver Witzel.

Fermilab/MILC: $B \rightarrow \pi \ell \nu$ and $B_s \rightarrow K \ell \nu$

- 2+1+1 flavor ensembles generated by the MILC Collaboration
- sea and valence quarks use Highly Improved Staggered Quark (HISQ) formalism
- heavy HISQ method used for b quark, extrapolation to physical B meson mass

Parallel talk:

- ✓ **Thursday 21:00 (US/Eastern) Andrew Lytle**
B-meson semileptonic decays with highly improved staggered quarks

See [arXiv:1912.13358](https://arxiv.org/abs/1912.13358) for previous report by Fermilab/MILC.

C. DeTar, A. El-Khadra, E. Gamiz, S. Gottlieb, W. Jay,
A. Kronfeld, A. Lytle, J. Simone

HPQCD: $B_c \rightarrow D\ell\nu$

- 2+1+1 flavor ensembles generated by the MILC Collaboration
- sea and valence quarks use Highly Improved Staggered Quark (HISQ) formalism
- heavy HISQ method (first used in [arXiv:1004.4285](https://arxiv.org/abs/1004.4285)) for b quark, extrapolation to physical B meson mass

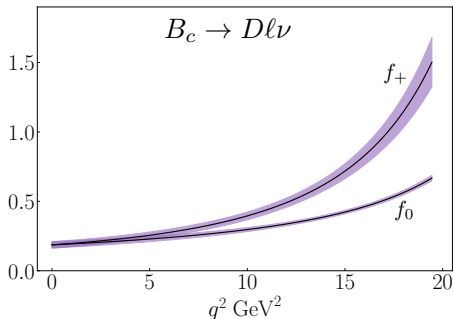


Figure courtesy of Laurence Cooper.

Parallel talk:

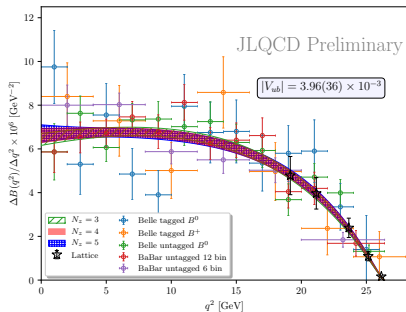
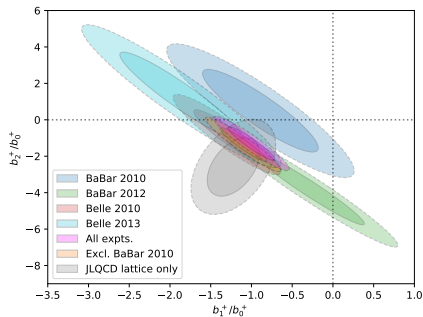
- ✓ **Thursday 6:45 (US/Eastern) Laurence Cooper**
Form factors for $B_c^+ \rightarrow D^0 \ell^+ \nu$ and rare $B_c^+ \rightarrow D_s^+ \ell^+ \ell^-$ with HISQ

L. Cooper, C. Davies, M. Wingate

z -expansion and extracting $|V_{ub}|$

- To compare the shape of the form factors from experiment and lattice, we fit each data set on its own and compare b_1^+/b_0^+ , b_2^+/b_0^+
- To extract $|V_{ub}|$, we fit form factors together with experimental results, integrating over each q^2 bin i :

$$\Delta\Gamma_i(B \rightarrow \pi\ell\nu) = \int_{q_{i,\min}^2}^{q_{i,\max}^2} \frac{G_F^2 |V_{ub}|^2}{24\pi^3} |k_\pi|^3 |f_+(q^2)|^2 dq^2$$



Figures courtesy of Brian Colquhoun.

Unitarity description of the FFs for V_{CKM} extraction

The dispersive matrix (DM) method determines the Form Factors (FFs)

in the whole kinematical range of values of q^2 by using **two inputs**:

- **computations of the FFs on the lattice** in the high- q^2 regime
- **non-perturbative values of the susceptibilities** computing on the lattice the two-point correlation functions of the corresponding quark currents

See **Martinelli et al.:** [arXiv:2105.07851](#) for the $b \rightarrow c$ case

$$M = \begin{pmatrix} \langle \phi f | \phi f \rangle & \langle \phi f | g_t \rangle & \langle \phi f | g_{t_1} \rangle & \cdots & \langle \phi f | g_{t_n} \rangle \\ \langle g_t | \phi f \rangle & \langle g_t | g_t \rangle & \langle g_t | g_{t_1} \rangle & \cdots & \langle g_t | g_{t_n} \rangle \\ \langle g_{t_1} | \phi f \rangle & \langle g_{t_1} | g_t \rangle & \langle g_{t_1} | g_{t_1} \rangle & \cdots & \langle g_{t_1} | g_{t_n} \rangle \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ \langle g_{t_n} | \phi f \rangle & \langle g_{t_n} | g_t \rangle & \langle g_{t_n} | g_{t_1} \rangle & \cdots & \langle g_{t_n} | g_{t_n} \rangle \end{pmatrix}$$

Original idea from L. Lellouch: NPB, 479 (1996)
New developments in Di Carlo et al.: [arXiv:2105.02497](#)

The resulting non-perturbative bands of the FFs will be

- **entirely based on first principles** (LQCD, no perturbative evaluation of the susceptibilities, no series expansion)
- **independent** of any assumption on the functional dependence on the momentum transfer
- **independent** of the experimental determinations of the differential decay widths

See *M. Naviglio's talk*
on July 29th
for further details!

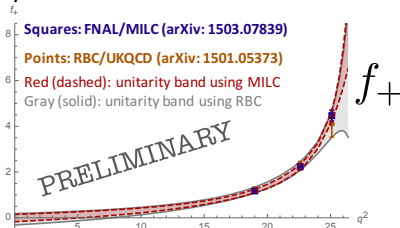
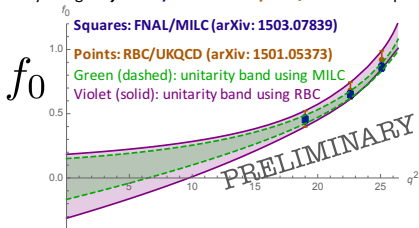


No HQET, no truncation, no perturbative bounds
with respect to the well-known
CLN, BCL and BGL parametrizations

Slide courtesy of Ludovico Vittorio.

Unitarity description of the FFs of the semileptonic $B \rightarrow \pi$ decays

In this way we obtain **unitarity bands** of the FFs as functions of the momentum transfer in the whole kinematical regime. By using **only FNAL/MILC** or **RBC/UKQCD** data as inputs **without experimental data**:



Our extrapolation at zero momentum transfer reads

$$f^\pi(q^2 = 0)|_{\text{RBC/UKQCD}} = -0.06 \pm 0.25$$

$$f^\pi(q^2 = 0)|_{\text{FNAL/MILC}} = -0.01 \pm 0.16$$

Agreement with the BCL extrapolations by:

$$f(0) = 0.01(24) \quad \text{RBC/UKQCD (arXiv: 1501.05373)}$$

$$f(0) = 0.20(14) \quad \text{FNAL/MILC (arXiv: 1503.07839)}$$

$$f(0) = 0.28(3) \quad \text{LCSR (arXiv: 2102.07233)}$$

Slight discrepancy with Light Cone Sum Rules:

Slide courtesy of Ludovico Vittorio.

Exclusive V_{ub} determination through unitarity

Starting from the FFs bands, we use the experimental data

only to compute bin-per-bin

$$|V_{ub}| = \sqrt{\begin{array}{c} \text{Multiplicty factor} \\ C_v \\ \tau_{B\kappa} \end{array}} \times \sqrt{\begin{array}{c} \text{Exper. data} \\ \Delta\mathcal{B}|_{exp} \\ \Delta\zeta \\ \text{Theor. decay width} \end{array}}$$

See L.Vittorio's talk on July 29th
for an analogous study of $BD(*)$ decays

The final weighted mean reads with the MILC inputs (**black band**)

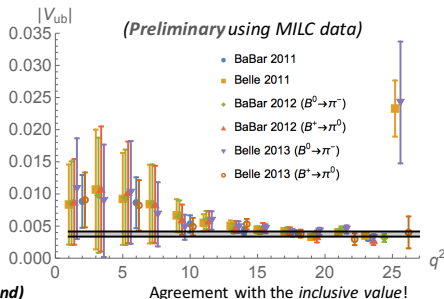
$$|V_{ub}|_{\text{FNAL/MILC}}^{B\pi} \times 10^3 = 3.76(40) \quad \longrightarrow \quad |V_{ub}|_{incl} \times 10^3 = 4.10 \pm 0.09 \pm 0.22 \pm 0.15$$

arXiv:2102.00020

This result comes from a fully non-perturbative and model-independent description of the FFs!!

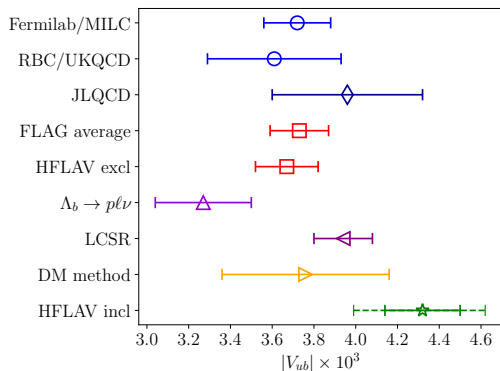
Improvements in precision are expected by considering together the LQCD and experimental data in the DM method:

the complete analysis will be presented in a forthcoming paper!



Slide courtesy of Ludovico Vittorio.

Summary: $|V_{ub}|$



Collaboration	$ V_{ub} \times 10^3$
Fermilab/MILC ¹	3.72(16)
RBC/UKQCD ²	3.61(32)
JLQCD ³	3.96(36)
FLAG average ⁴	3.73(14)
HFLAV exclusive ⁵	3.67(15)
$\Lambda_b \rightarrow p l \nu$ FF ⁶	3.27(23)
Light Cone SR ⁷	3.94(14)
DM method ⁸	3.76(40)
HFLAV incl. GGOU ⁹	4.32(18)

¹[arXiv:1503.07839](https://arxiv.org/abs/1503.07839);

²[arXiv:1501.05373](https://arxiv.org/abs/1501.05373);

³Work in progress;

⁴[arXiv:1902.08191](https://arxiv.org/abs/1902.08191);

⁵<https://hflav.web.cern.ch>;

⁶[arXiv:1503.01421](https://arxiv.org/abs/1503.01421);

⁷[arXiv:2103.01809](https://arxiv.org/abs/2103.01809);

⁸This conference (using Fermilab/MILC form factors);

⁹<https://hflav.web.cern.ch>, the data point is from GGOU analysis, and the second (dashed) error bar shows the spread of values from using different frameworks

Parallel talks at this conference

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- ✓ **Thursday 14:45 (US/Eastern) Manuel Naviglio**
Non-Perturbative Bounds for Semileptonic Decays in Lattice QCD
- ✓ **Thursday 21:00 (US/Eastern) Andrew Lytle (Fermilab/MILC)**
B-meson semileptonic decays with highly improved staggered quarks

Work is also being done on the **inclusive** semileptonic decays.

See parallel talk

- ✓ **Wednesday 21:45 (US/Eastern) Shoji Hashimoto**
Composition of the inclusive semileptonic decay of B meson

G. Bailas, P. Gambino, T. Kaneko, S. Machler. See [arXiv:2005.13730](https://arxiv.org/abs/2005.13730).

Thank you!