

**The semiclassical ensembles of  
instanton-dyons describe deconfinement  
and chiral phase transitions  
in the usual and deformed QCD**

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**Lattice 2021, July 27, virtual at MIT**

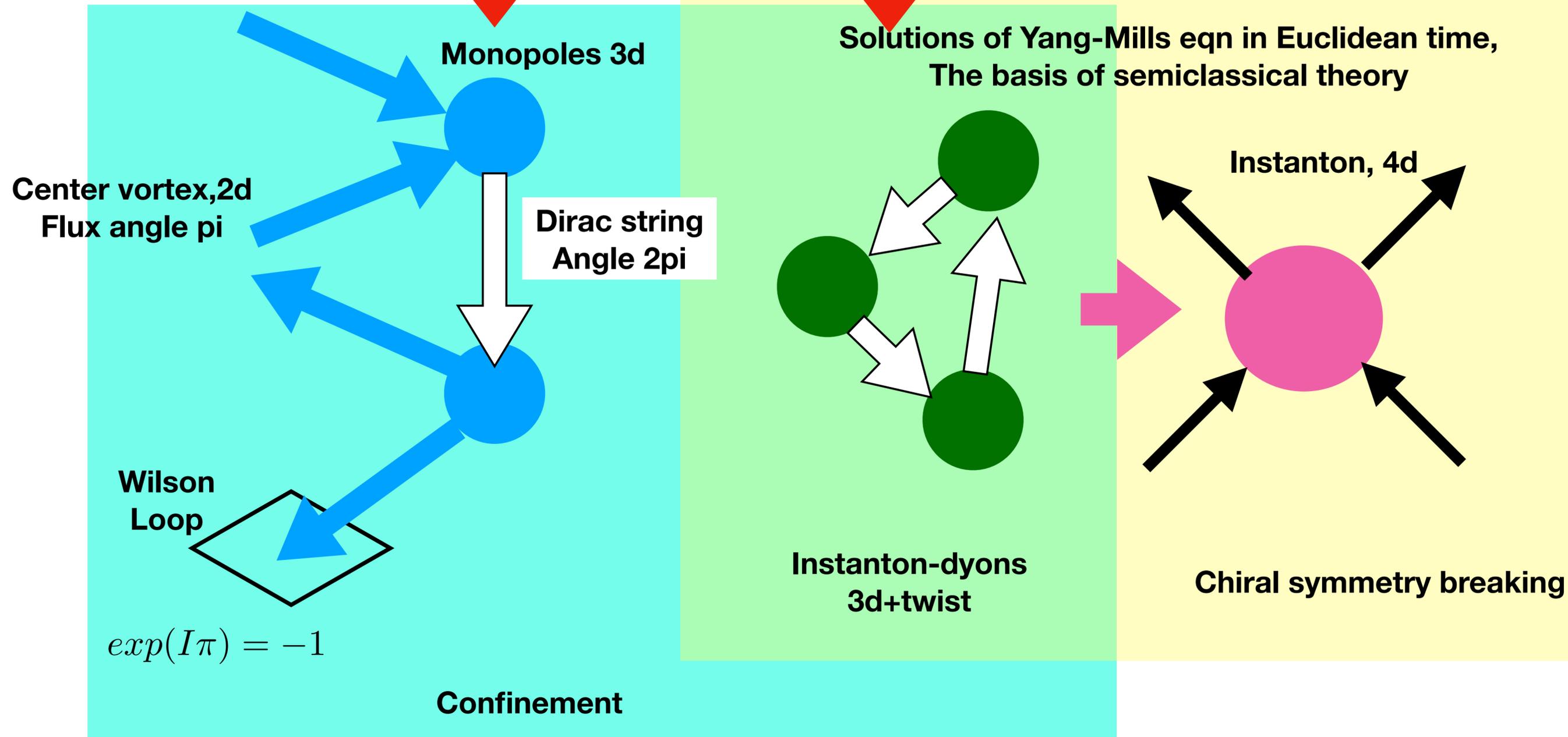
collaborators  
**Dallas DeMartini**  
**Rasmus Larsen**  
**Sayantana Sharma**



# outline

- **map of gauge topology**
- **VEV of Polyakov line and the instanton-dyons**
- **Dirac zero and quasizero fermionic states on the lattice**
- **Deconfinement transition,**
- **QCD deformation via Polyakov line operators**
- **Chiral symmetry breaking**
- **QCD deformation via quark periodicity phases**
- **Poisson duality Instanton-dyons  $\Leftrightarrow$  Monopoles**

# Poisson duality



# Nonperturbative Topological Phenomena in QCD and Related Theories

## Poisson duality

Monopoles 3d

Solutions of Yang-Mills eqn in Euclidean time,  
The basis of semiclassical theory

Dirac string  
Angle  $2\pi$

Instanton, 4d

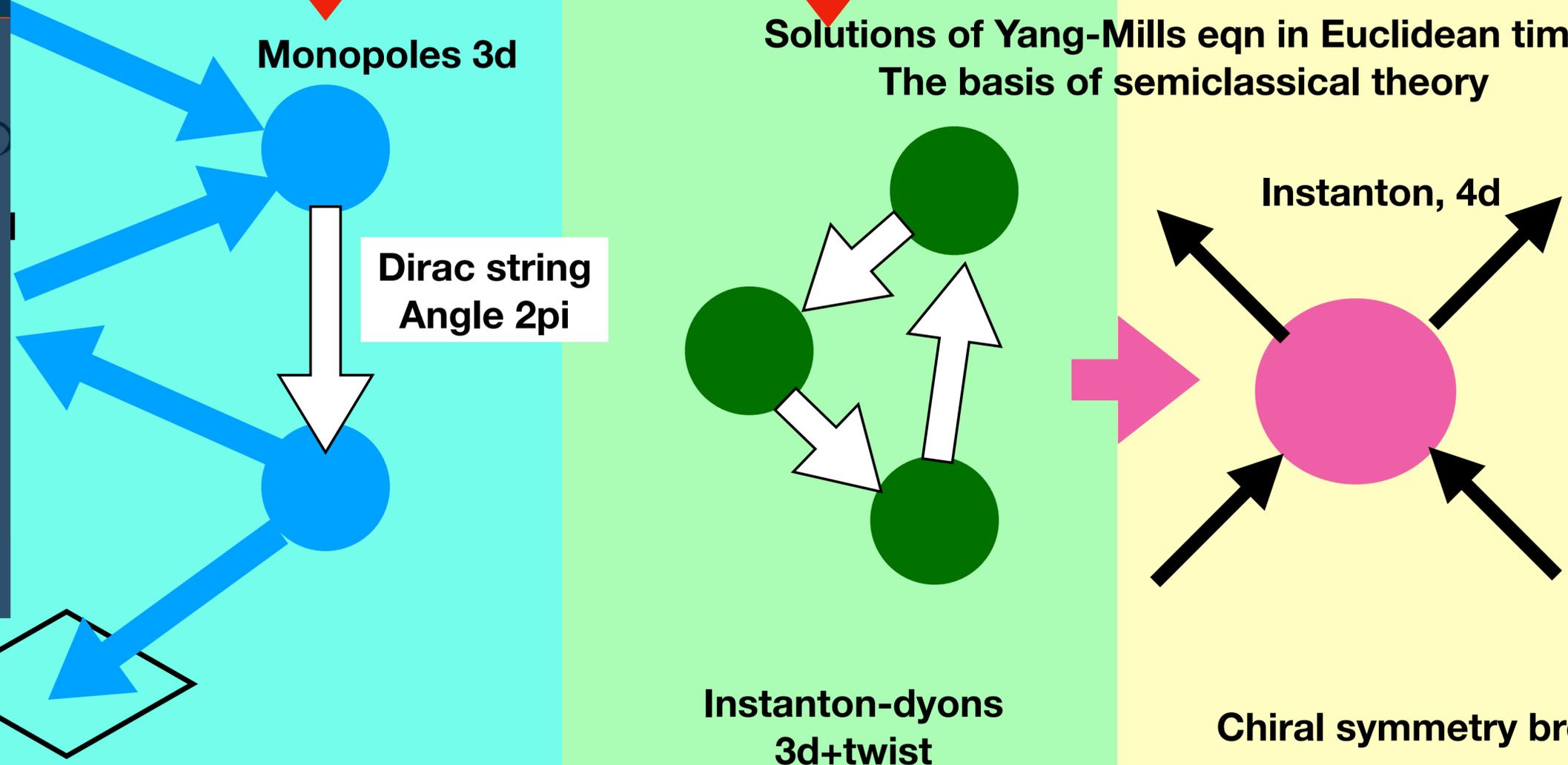
Loop

Instanton-dyons  
3d+twist

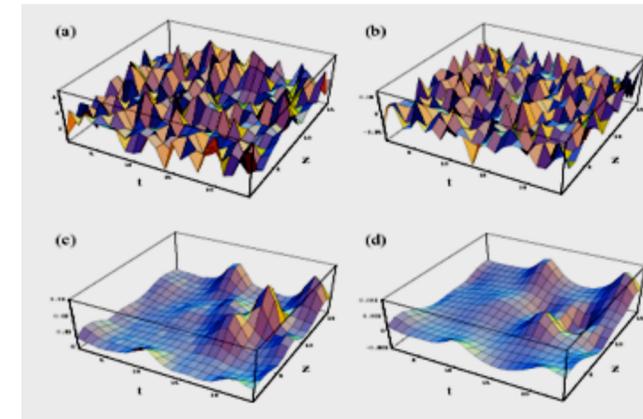
Chiral symmetry breaking

$$\exp(I\pi) = -1$$

Confinement

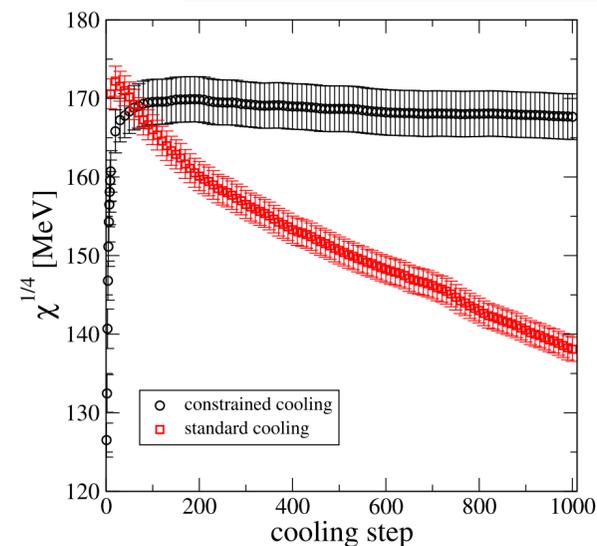


**“action cooling” is known to eliminate gluons and lead to instantons**

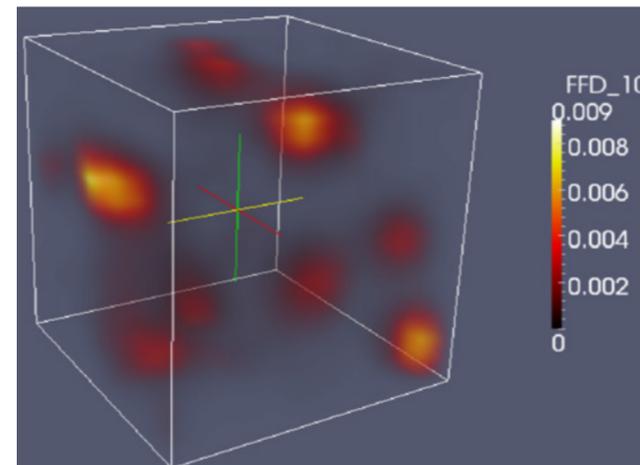


Negele et al, 97

**perhaps dyons were first observed in “constrained cooling” preserving local L**



Langfeld and Ilgenfritz, 2011



**while the total top.charge of the box is always integer, local bumps are not!  
They are all (anti)selfdual  
But top charge and actions  
Were not integers!**

a lot of work on finding instanton-dyons was done by C.Gattringer et al, Ilgenfritz et al

Non-zero Polyakov line splits instantons  
into  $N_c$  instanton-dyons  
(Kraan, van Baal, Lee, Lu 1998)

BPST

Explained mismatch of quark condensate in SUSY QCD

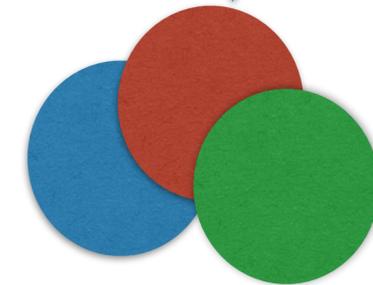
V.Khoze (jr) et al 2001

Explained confinement by back reaction to free energy

D.Diakonov 2012, Larsen+ES, Liu, Zahed+ES 2016

Explain chiral symmetry breaking in QCD  
and in setting with **modified fermion periodicities**

R.Larsen+ES 2017, Unsal et al 2017



Pierre van Baal

**QCD with near-real quark masses,  
at  $T$  slightly above  $T_c$**

the cleanness case:  
domain wall fermions  
Q=1 configurations  
Nt=8, Nx=32, T/Tc=1,1.08

excellent agreement of the shape  
with analytic formulae

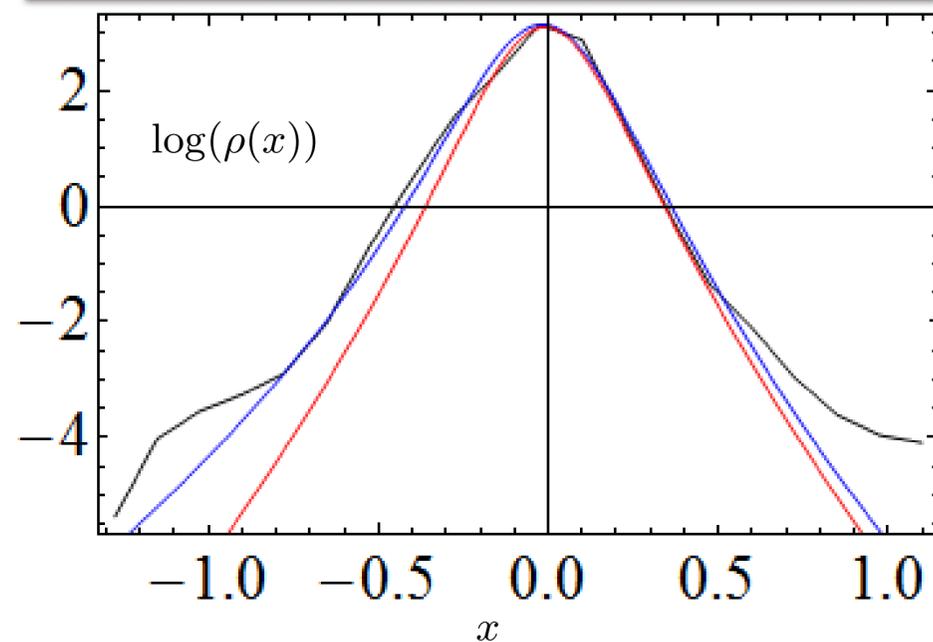
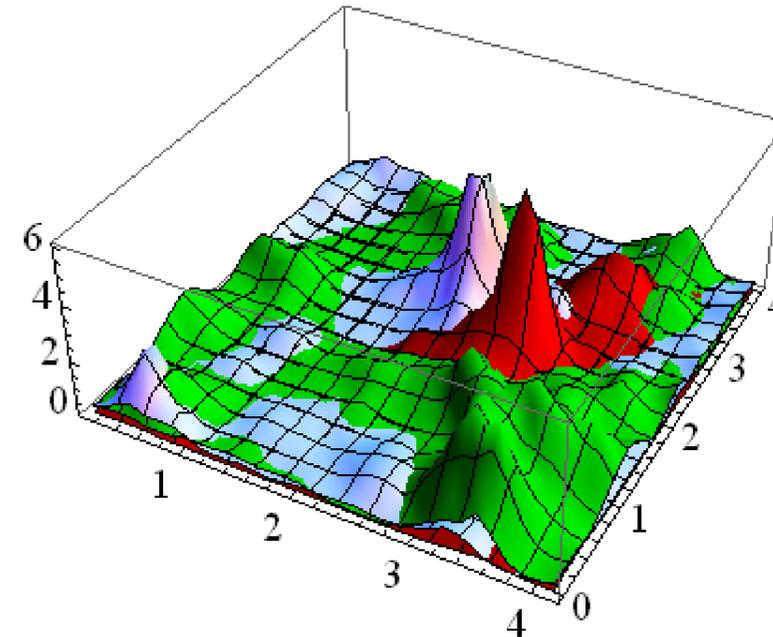


FIG. 8:  $\log(\rho(x))$  of the zero mode of conf. 2960 at  $\phi = \pi$  (black) and the log of the analytic formula for  $P = 0.4$  and  $P = 1$  though the maximum.  $T = 1.08T_c$ . Red peak only has been scaled to fit in height, while blue peak uses the found normalization.

- *Phys.Lett.B* 794 (2019) 14-18 • e-Print: [1811.07914](https://arxiv.org/abs/1811.07914) [hep-lat]
- *Phys.Rev.D* 102 (2020) 3, 034501 • e-Print: [1912.09141](https://arxiv.org/abs/1912.09141)
- \* **correlations with local Polyakov loop, in progress**

• [Rasmus N. Larsen](#), [Sayantan Sharma](#), [Edward Shuryak](#)



extracting the shape of  
the fermionic zero mode  
and modifying the phase  
one can find all 3 dyons

FIG. 17:  $\rho(x, y)$  of the zero mode of conf. 2660 at  $T = T_c$ .  $\phi = \pi$ (red),  $\phi = \pi/3$ (blue),  $\phi = -\pi/3$ (green). Peak height has been scaled to be similar to that of  $\phi = \pi$ .

We found that their fields  
interfere with each other  
the interaction between them  
is in excellent agreement with  
van Baal analytic formulae

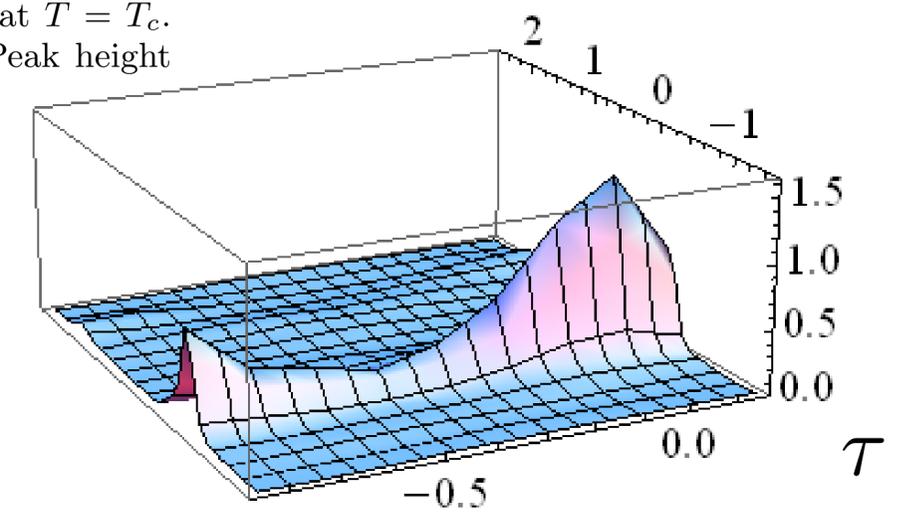
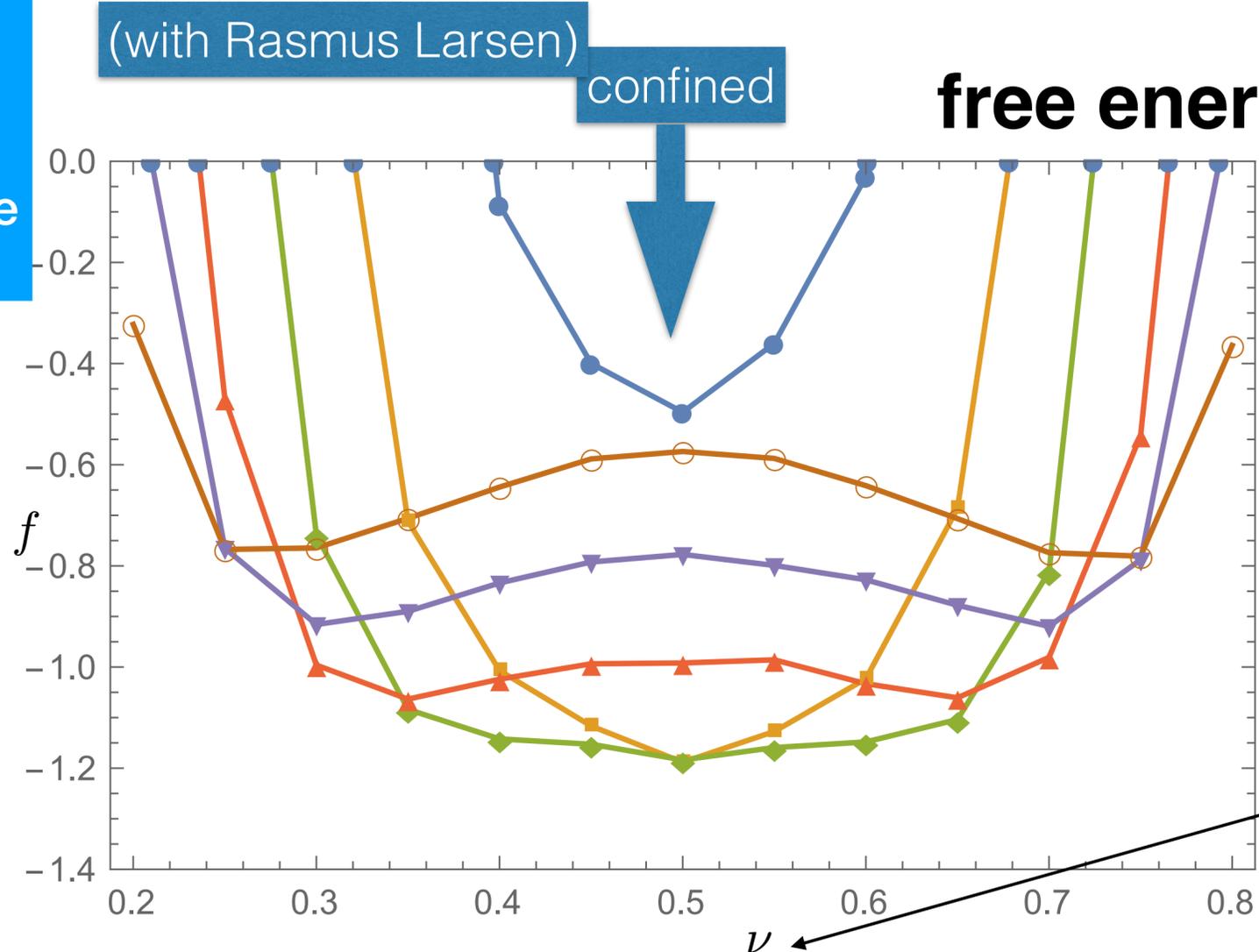


FIG. 3:  $\rho(x, t)$  of the zero mode of conf. 2000 at  $\phi = \pi/3$ .  $T = T_c$ .

SU(2) pure gauge  
deconfinement  
transition  
in the dyon ensemble  
is second order



$$\langle A_4^3 \rangle = v \frac{\tau^3}{2} = 2\pi T v \frac{\tau^3}{2}$$

$$\langle P \rangle = \cos(\pi\nu) \rightarrow 0$$

if  $\nu = 1/2$

holonomy

$\nu = 0$  is the trivial case  
 $\nu = 1/2$  confining

So, as a function of the dyon density  
the potential changes its shape  
and confinement takes place

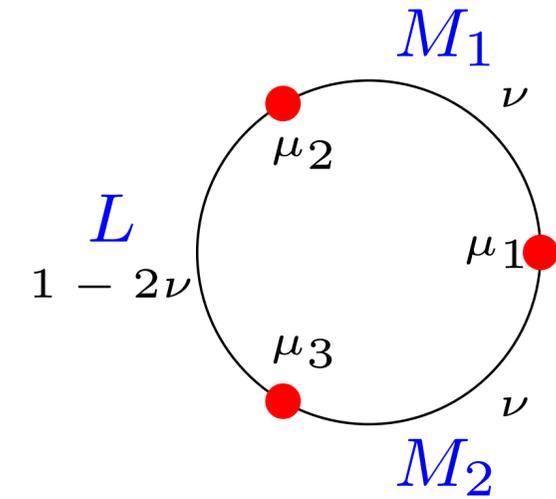
red dots move to the right at higher T

# Deconfinement Phase Transition in the $SU(3)$ Instanton-dyon Ensemble

Dallas DeMartini and Edward Shuryak

*Center for Nuclear Theory, Department of Physics and Astronomy,  
Stony Brook University, Stony Brook NY 11794-3800, USA*

arXiv:2102.11321v1 [hep-ph] 22 Feb 2021



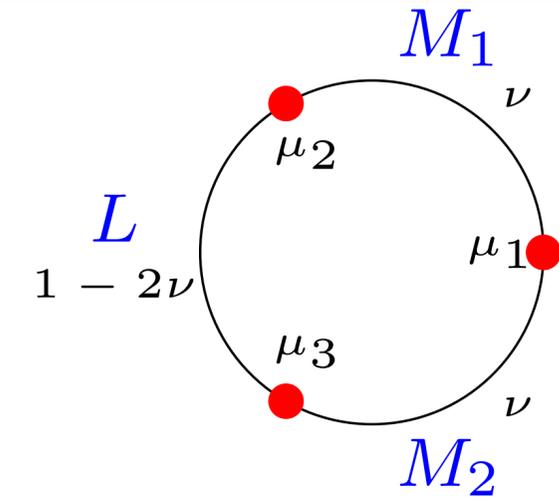
critical:  
jump in  
holonomy

red dots move to the right at higher T

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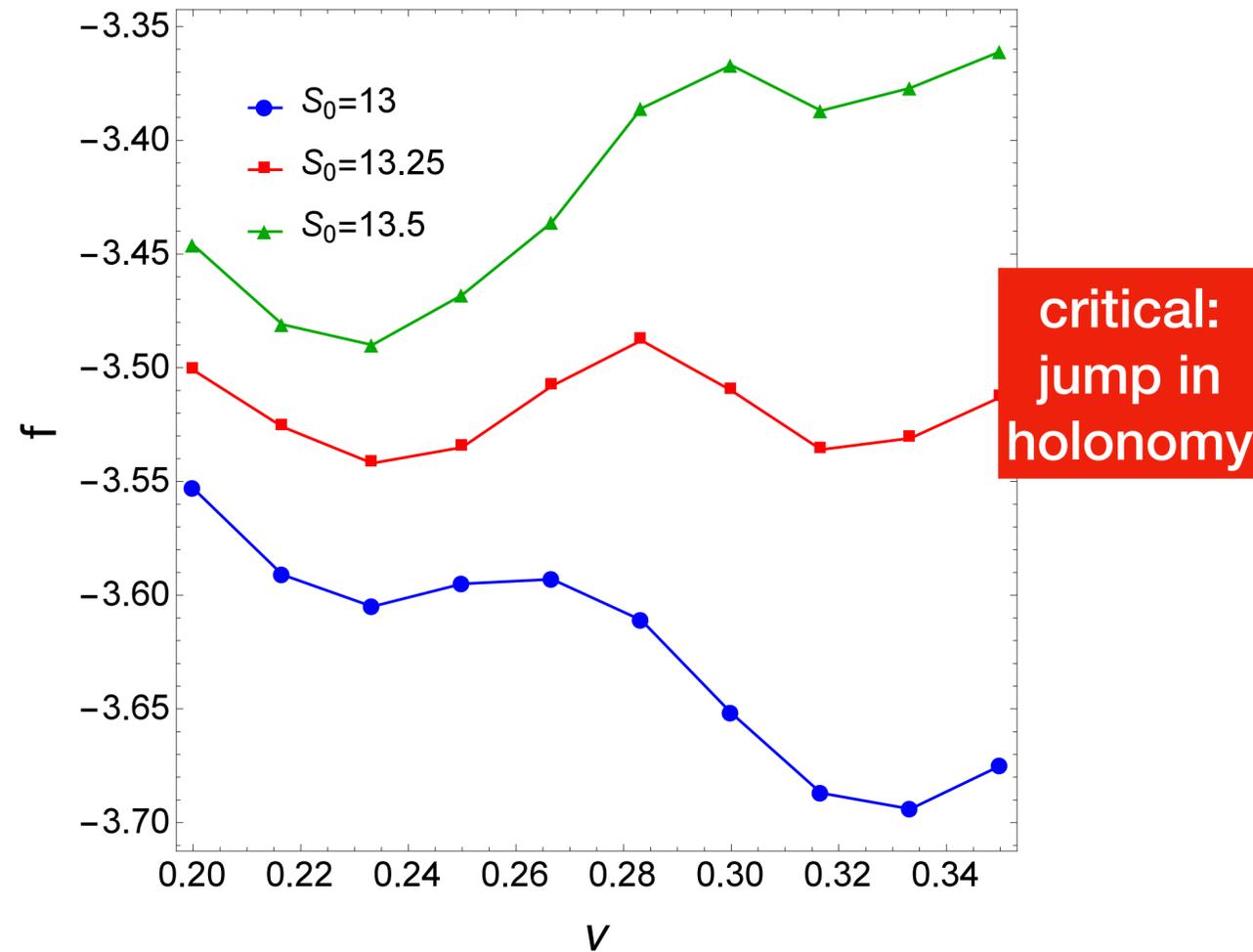


FIG. 4. (Color online) Holonomy dependence of the minimum free energy density near the phase transition. Error bars not

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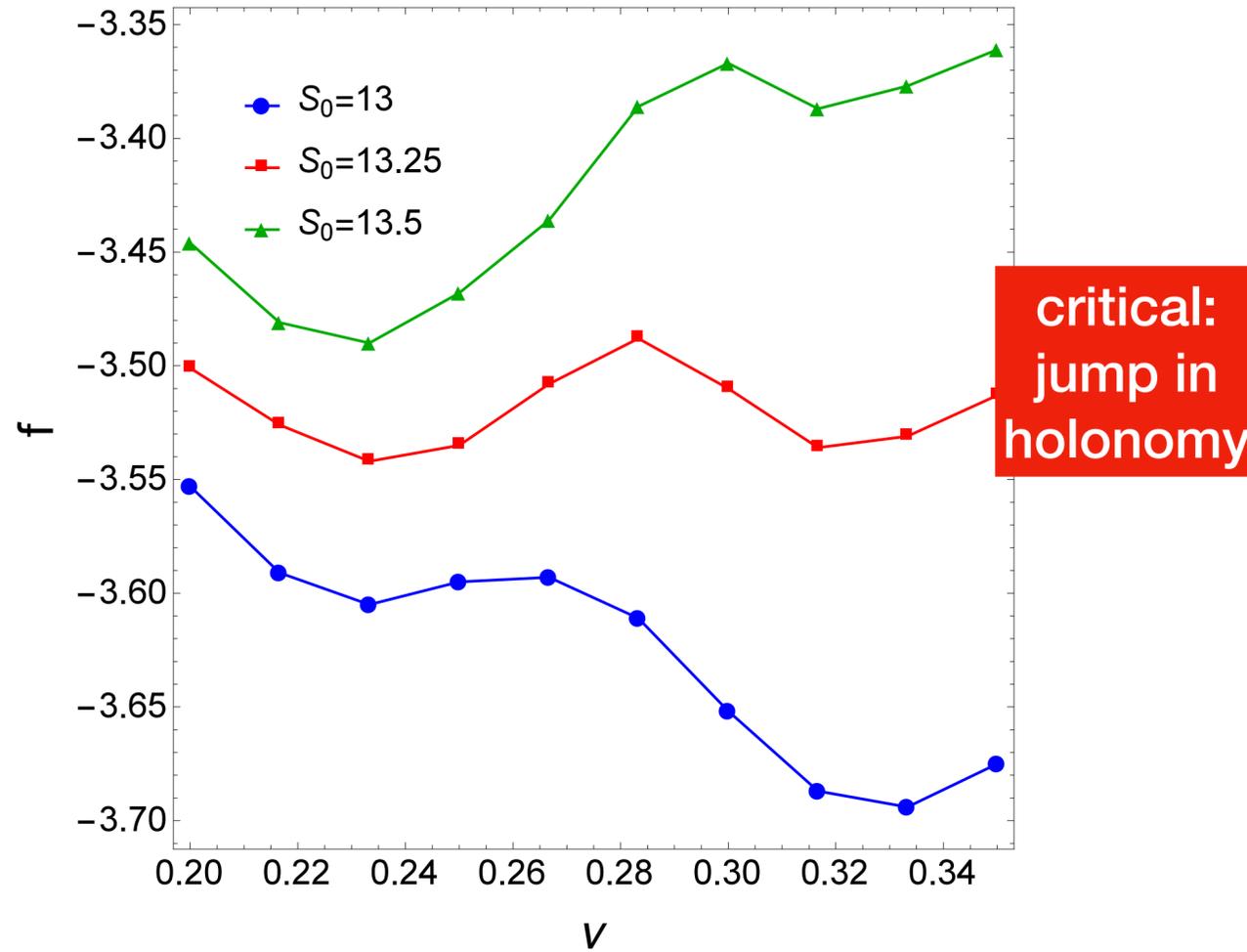
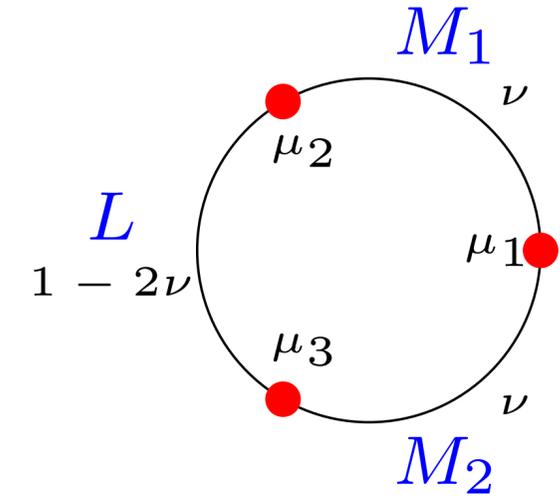


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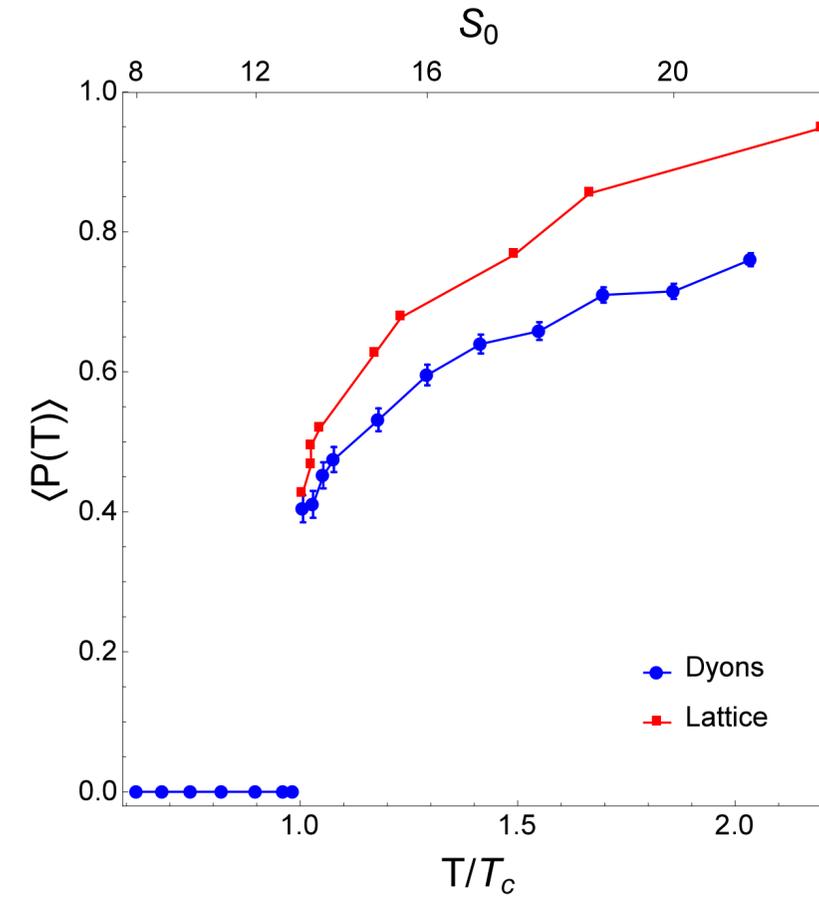
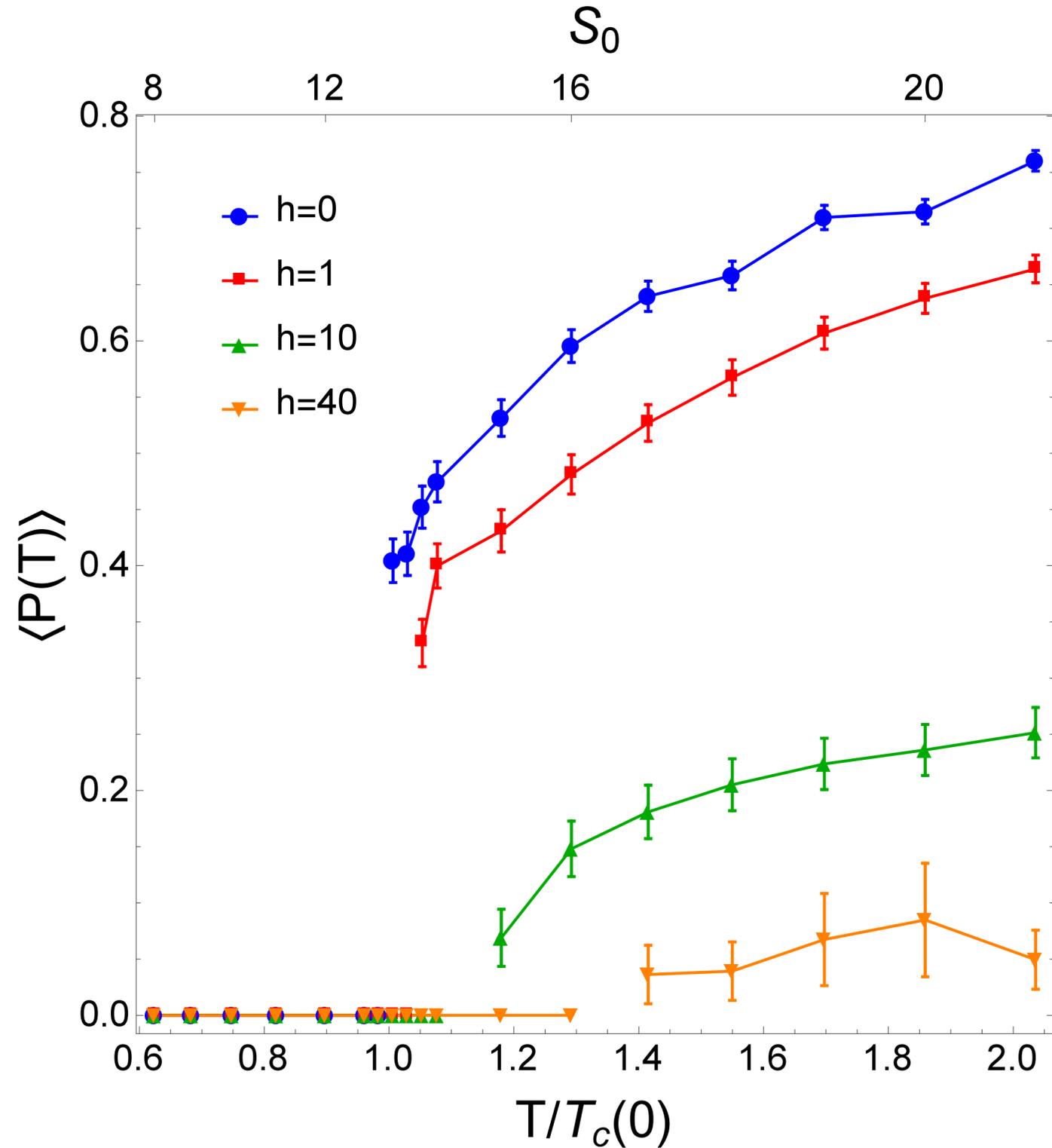
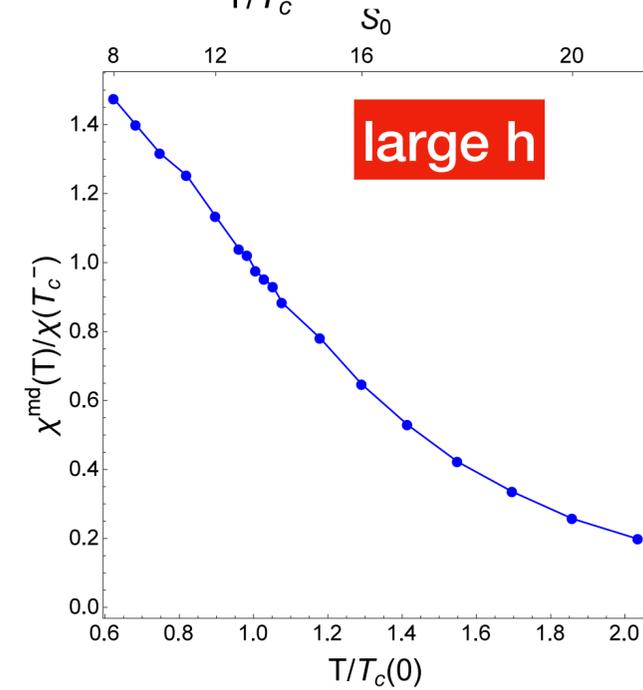
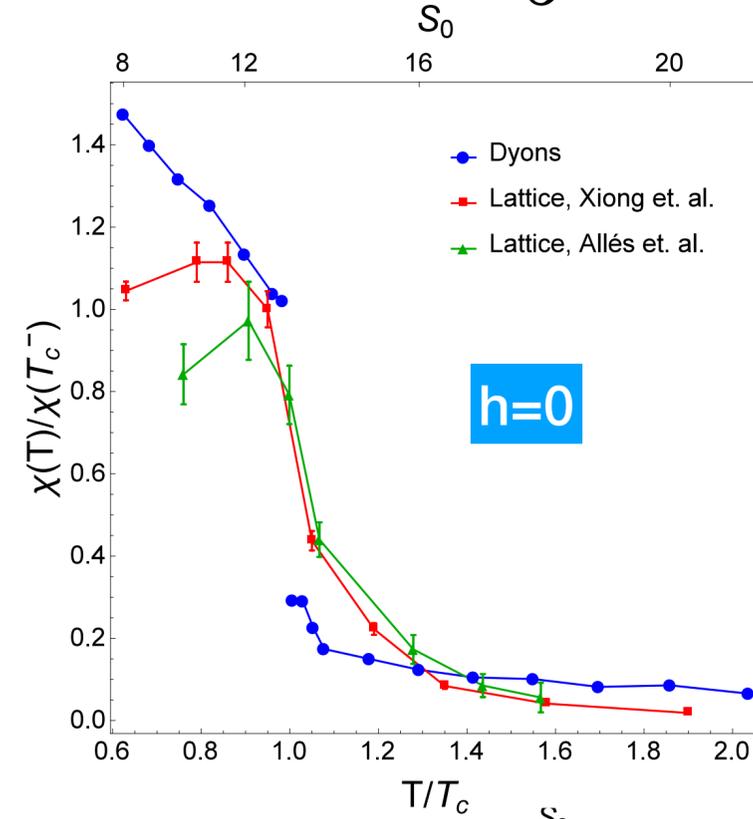


FIG. 6. (Color online) Temperature dependence of the average Polyakov loop of the dyon ensemble. Lattice data taken from Ref. [21] and shown without error bars. Error on lattice data has magnitudes comparable to dyon data.

deformation by powers of  $P$  in the action  
 push deconfinement to **higher T**



$$\Delta S_{def} = h \int d^3x |P(\vec{x})|^2$$



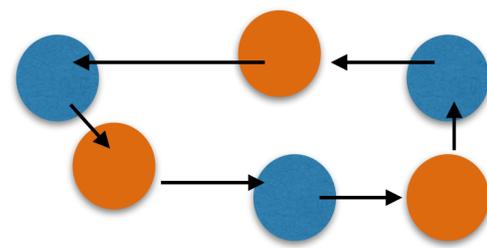
# Instanton-dyon Ensemble with two Dynamical Quarks: the Chiral Symmetry Breaking

Rasmus Larsen and Edward Shuryak

*Department of Physics and Astronomy, Stony Brook University, Stony Brook NY 11794-3800, USA*

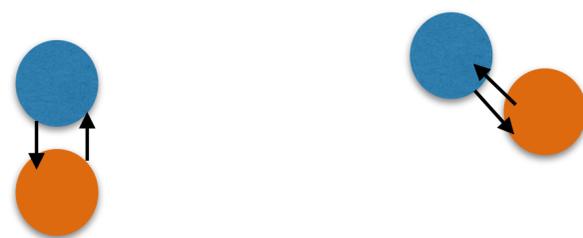
This is the second paper of the series aimed at understanding of the ensemble of the instanton-dyons, now with two flavors of light dynamical quarks. The partition function is appended by the fermionic factor,  $(\det T)^{N_f}$  and Dirac eigenvalue spectra at small values are derived from the numerical simulation of 64 dyons. Those spectra show clear chiral symmetry breaking pattern at high dyon density. Within current accuracy, the confinement and chiral transitions occur at very similar densities.

$$|\langle \bar{\psi}\psi \rangle| = \pi \rho(\lambda)_{\lambda \rightarrow 0, m \rightarrow 0, V \rightarrow \infty}$$



collectivized  
zero mode zone

dip near zero is  
a finite size effect



low density  
unbroken chiral sum

extracting condensate  
is far from trivial...

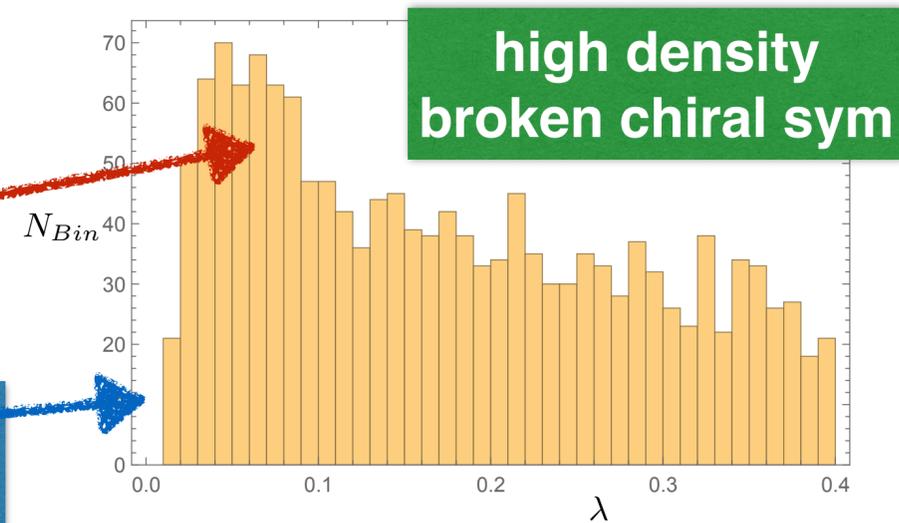


FIG. 1: Eigenvalue distribution for  $n_M = n_L = 0.47$ ,  $N_F = 2$  massless fermions.

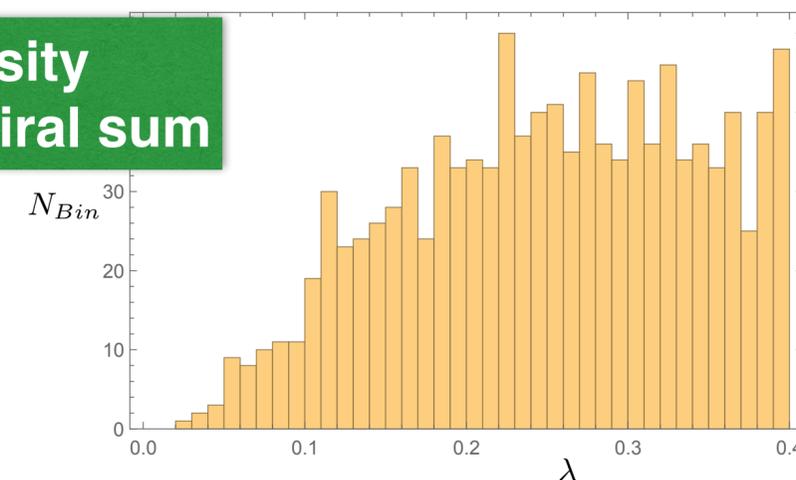


FIG. 2: Eigenvalue distribution for  $n_M = n_L = 0.08$ ,  $N_F = 2$  massless fermions.

# Chiral Symmetry Breaking and Confinement from an Interacting Ensemble of Instanton-dyons in Two-flavor Massless QCD

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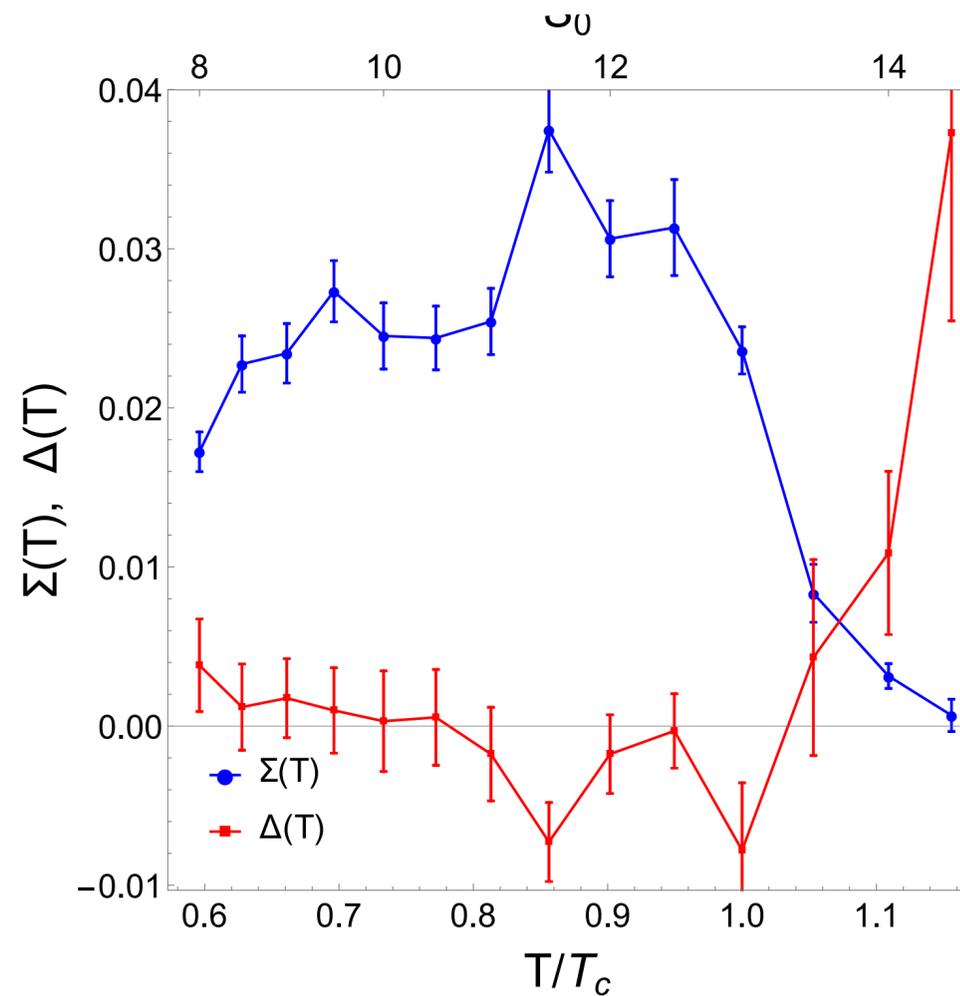


FIG. 8. (Color online) [Preliminary] The chiral quark condensate  $\Sigma(T)$  and the eigenvalue gap  $\Delta(T)$  as functions of the temperature.

$\langle \bar{q}q \rangle$

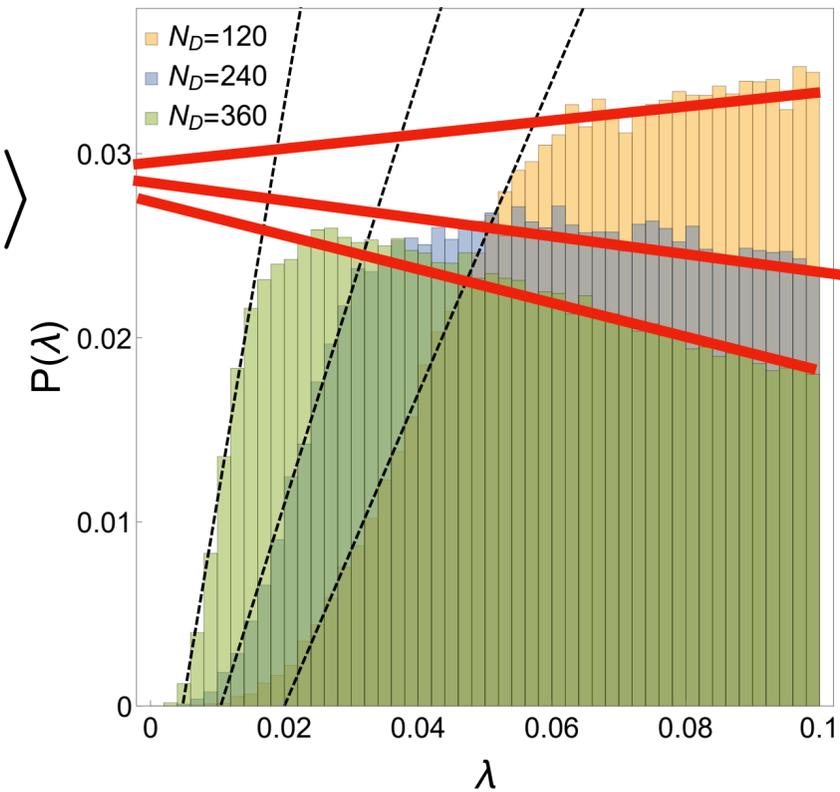


FIG. 7. (Color online) Eigenvalue distributions at  $S_0 = 8.5$  for three different ensemble sizes. Dashed lines represent fits to the approximately-linear portion of the distribution near zero. The eigenvalue gaps are given by the x-intercepts of the fits. Note that the relative normalization of the distributions does not affect results.

**Casher-Banks  
 quark condensate  
 is obtained by linear  
 extrapolation to 0**

**the gap scales  
 as  $1/V$  and  
 is therefore  
 a purely finite  
 volume effect**

# Second deformation: QCD2 and Z2QCD are dramatically different!

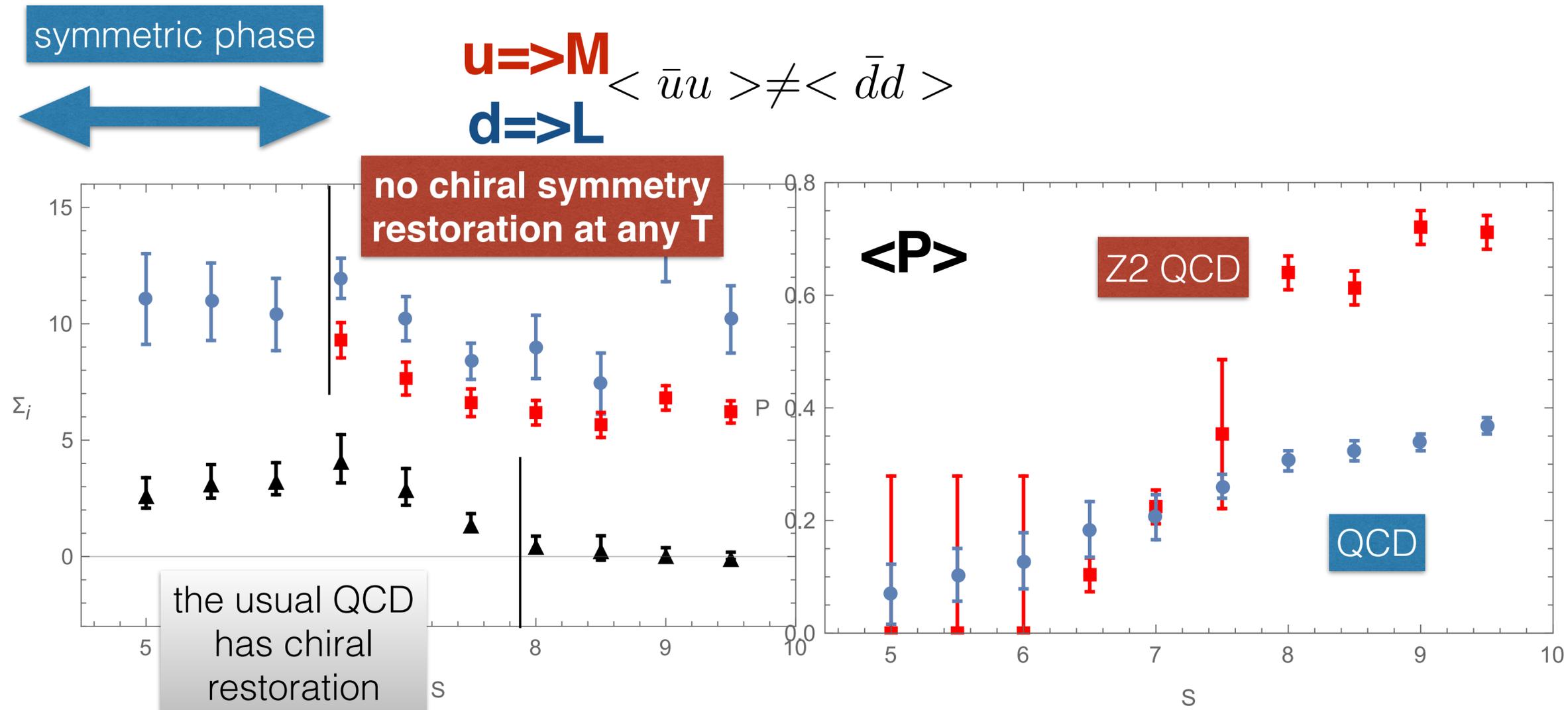


FIG. 6: Chiral condensate generated by  $u$  quarks and  $L$  dyons (red squares) and  $d$  quarks interacting with  $M$  dyons (blue circles) as a function of action  $S$ , for the  $Z_2$ -symmetric model. For comparison we also show the results from II for the usual QCD-like model with  $N_c = N_f = 2$  by black triangles.

**note the condensate is much larger for Z2?**

**confining phase gets much more robust: strong first order mixed phase (flat F) is observed at medium densities**

# Is there any relation between the semiclassical instanton-dyons and QCD monopoles?

Adith Ramamurti,<sup>\*</sup> Edward Shuryak,<sup>†</sup> and Ismail Zahed<sup>‡</sup>

Found first in N=4 SYM theory, by Dorey Parnachev simple toy example in this paper

$$\sum_{n=-\infty}^{\infty} f(\omega + nP) = \sum_{l=-\infty}^{\infty} \frac{1}{P} \tilde{f}\left(\frac{l}{P}\right) e^{i2\pi l\omega/P}$$

## instanton-dyons with winding number n

The twisted solution is obtained in two steps. The first is the substitution

$$v \rightarrow n(2\pi/\beta) - v, \quad (13)$$

and the second is the gauge transformation with the gauge matrix

$$\hat{\Omega} = \exp\left(-\frac{i}{\beta} n\pi\tau\hat{\sigma}^3\right), \quad (14)$$

where we recall that  $\tau = x^4 \in [0, \beta]$  is the Matsubara time. The derivative term in the gauge transformation adds a constant to  $A_4$  which cancels out the unwanted  $n(2\pi/\beta)$  term, leaving  $v$ , the same as for the original static monopole. After “gauge combing” of  $v$  into the same direction, this configuration – we will call  $L_n$  – can be combined with any other one. The solutions are all

$$S_n = (4\pi/g^2)|2\pi n/\beta - v|$$

Poisson summation formula can be used to derive the monopole Z

$$Z_{\text{inst}} = \sum_n e^{-\left(\frac{4\pi}{g_0^2}\right)|2\pi n - \omega|}$$

$$Z_{\text{mono}} \sim \sum_{q=-\infty}^{\infty} e^{iq\omega - S(q)}$$

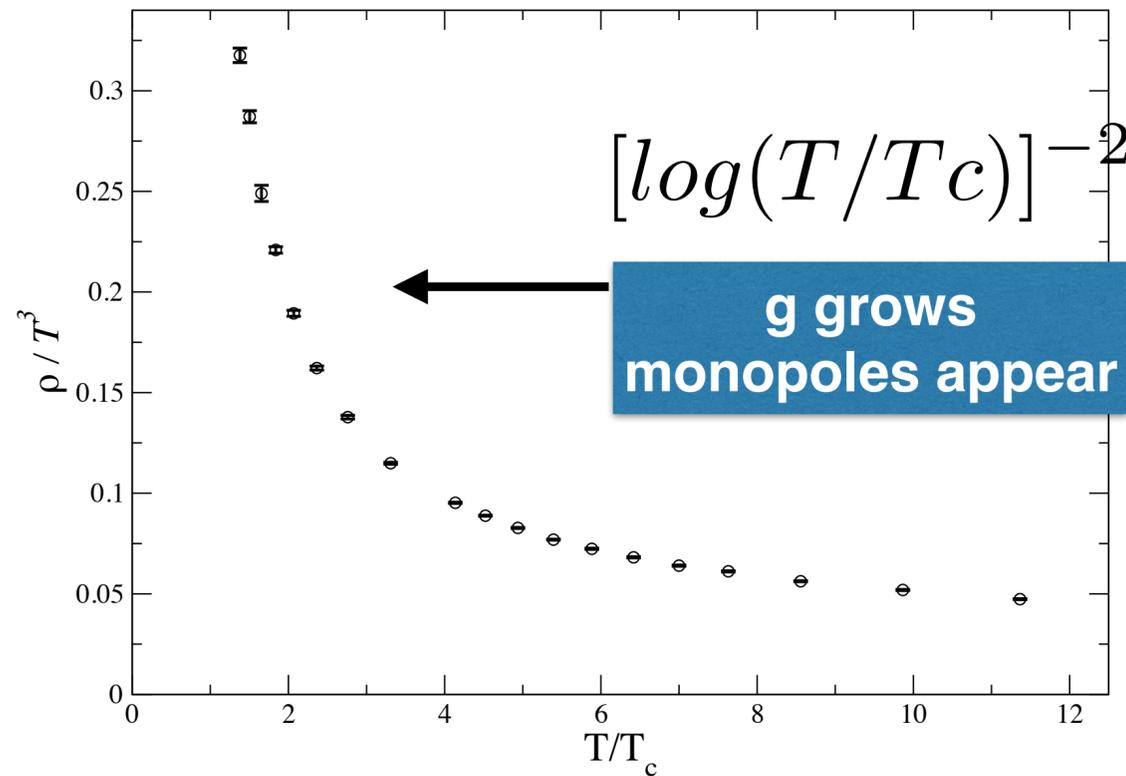

$$S(q) = \log\left(\left(\frac{4\pi}{g_0^2}\right)^2 + q^2\right)$$

$$\approx 2\log\left(\frac{4\pi}{g_0^2}\right) + q^2\left(\frac{g_0^2}{4\pi}\right)^2 + \dots$$

q is angular momentum of rotating monopole, so it is electric charge

Therefore we now understand why  
 The density of monopoles is well fitted by an inverse power of  
 $\log(T)$  , not power of  $T \Rightarrow$   
**It is because they are not really semiclassical objects!**

$$S_{mono} \sim \log(const/g^2) = \log(\log(T/T_c))$$



D'Alessandro, A. and D'Elia, M. (2008).  
 Magnetic monopoles in the high temperature  
 phase of Yang-Mills theories.  
 Nucl. Phys., B799:241–254. 0711.1266.

**For instantons and  
 dyons it is different**

Fig. 2.6 The normalized monopole density  $\rho/T^3$  for the  $SU(2)$  pure gauge theory as a function of the temperature, in units of the critical temperature  $T/T_c$ , above the deconfinement transition.

$$\exp(-S) \sim \exp(-const/g^2) = \exp(-const' * \log(T)) = 1/T^{power}$$

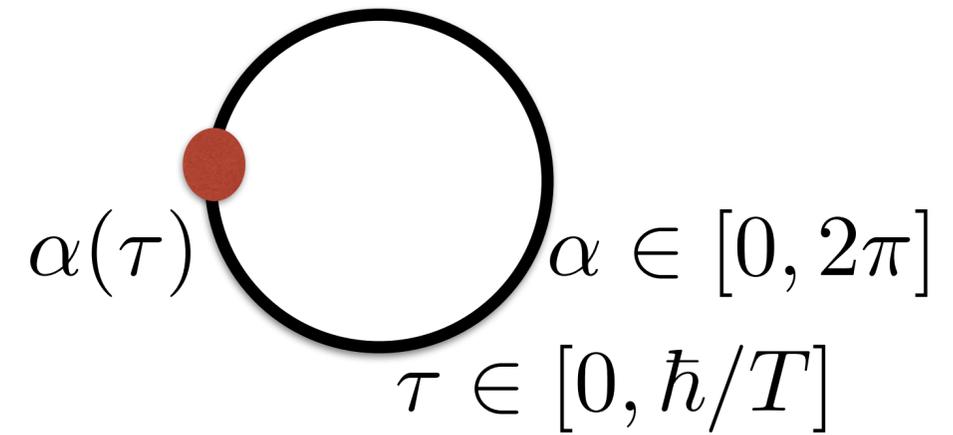
# Summary

- Semiclassical objects at finite  $T$  are **instanton-dyons**, fractions of instantons. Their interactions and **ensembles** for SU(2) and SU(3) gauge theories, with and without quarks studied
- Very cleanly they are **seen in lattice configurations via Dirac zero (and quasizero) eigenmodes**. Even when overlapping, the lattice shapes follow semiclassical formulae very accurately (?)
- in QCD deconfinement and chiral transitions are close, but
- can be moved by deformations: **(1) Polyakov line suppression;**
- **(2) changes of fermion periodicity phases**
- **Poisson duality** for monopoles to instanton-dyons **explains**
- **the monopole density( $T$ )** and **why monopoles are not semiclassical**
-

**extra slides**

# Is there any relation between the semiclassical instanton-dyons and QCD monopoles?

Adith Ramamurti,<sup>\*</sup> Edward Shuryak,<sup>†</sup> and Ismail Zahed<sup>‡</sup>



The same phenomenon in much simpler setting:  
**quantum particle on a circle at finite T**

A Hamiltonian vs Lagrangian approaches

$$Z_1 = \sum_{l=-\infty}^{\infty} \exp\left(-\frac{l^2}{2\Lambda T} + il\omega\right)$$

**moment of inertia**

**Aharonov-Bohm phase**

$$Z_2 = \sum_{n=-\infty}^{\infty} \sqrt{2\pi\Lambda T} \exp\left(-\frac{T\Lambda}{2}(2\pi n - \omega)^2\right)$$

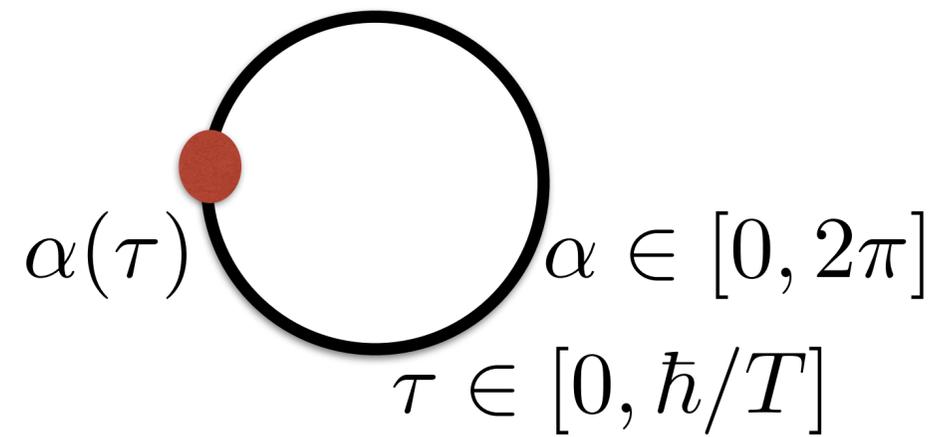
**Matsubara winding number**

**based on classical paths**

$$\alpha_n(\tau) = 2\pi n \frac{\tau}{\beta}$$

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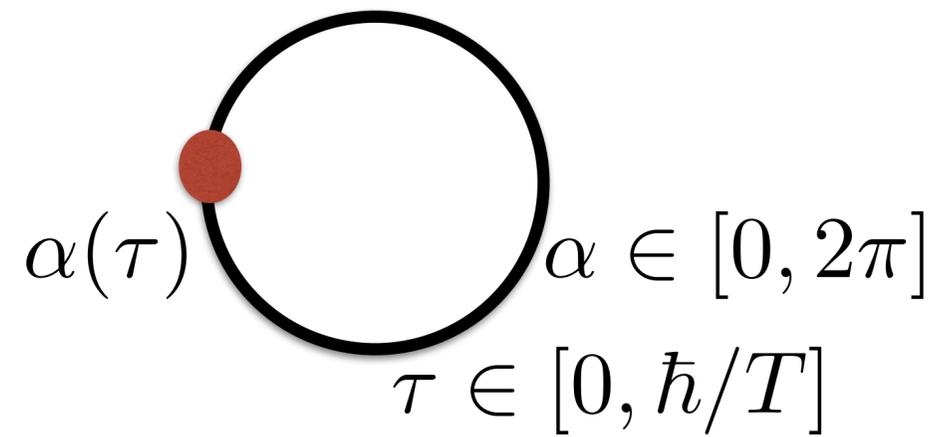
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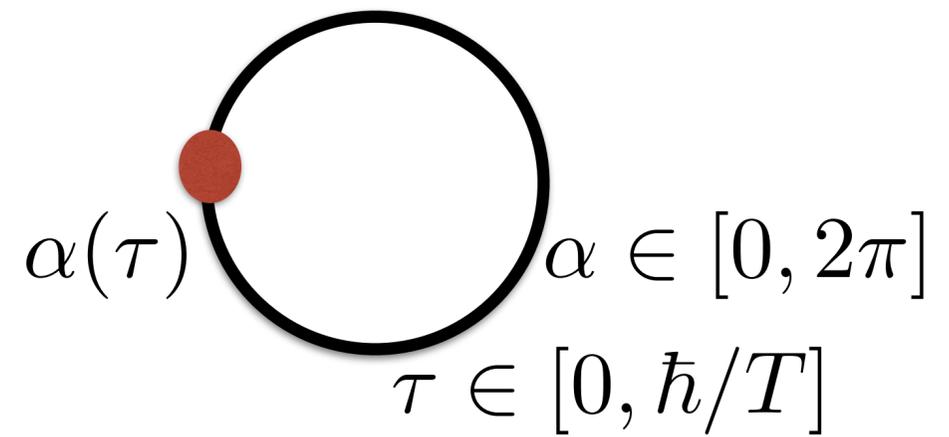
Note completely different dependence on T and holonomy omega

And yet, they are the same!  
 (elliptic theta function of the 3 type)

$$Z_1 = Z_2 = \theta_3\left(-\frac{\omega}{2}, \exp\left(-\frac{1}{2\Lambda T}\right)\right)$$

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