

Nonperturbative excitations in overoccupied gluon plasmas



Kirill Boguslavski



The 38th International Symposium on Lattice Field Theory,
July 29, 2021

Talk mainly based on:

with Kurkela, Lappi, Mace, Peuron, Schlichting,
PRD 98, 014006 (2018), [1804.01966]
JHEP 05, 225 (2021), [2101.02715]
arXiv:2106.11319

Table of Contents

- 1 Motivation
- 2 Method & setup
- 3 Numerically extracted spectral functions
- 4 Conclusion

The goal is to study

Microscopic properties of QCD out of equilibrium from first principles

Spectral functions $\rho(\omega, p)$ of gluons / quarks encode excitation spectrum!

- Our approach: *far from equilibrium, classical real-time lattice*
 - ★ Highly occupied gluon plasma ($A \sim 1/g$), weak coupling ($g^2 \ll 1$)
⇒ Then **nonperturbative** and **perturbative** methods available!
- **Classical-statistical lattice simulations** vs. **HTL, kinetic theory**

class. covariant equ.: $D_\mu F^{\mu\nu} = 0$, HTL: $\Pi_{\mu\nu}^{\text{HTL}}$

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- **Classical-statistical lattice simulations** vs. **HTL, kinetic theory**

$$\text{class. covariant equ.: } D_\mu F^{\mu\nu} = 0, \quad \text{HTL: } \Pi_{\mu\nu}^{\text{HTL}}$$

- Applications:

- ★ Heavy-ion collisions at early times
- ★ Comparison to thermal properties (general features?)
- ★ Nonperturbative extensions of kinetic theory, anisotropic HTL

Table of Contents

- 1 Motivation
- 2 Method & setup**
- 3 Numerically extracted spectral functions
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Considered models and initial conditions

- $SU(N_c)$ Yang-Mills theory (simulations: $N_c = 2$)

$$S_{YM}[A] = -\frac{1}{4} \int d^{d+1}x F_a^{\mu\nu} F_{\mu\nu}^a$$

(in gauge-covariant formulation, with links $U_j \approx \exp(ig a_s A_j)$)

- Models of initial stages (here non-expanding geometry)

① **3+1D**: isotropic 3+1D

② **2+1D**

③ **Glasma-like 2+1D**: add adjoint scalar ϕ to model 1

- Initial conditions (with $E = \partial_0 A$): highly occupied

$$f(t=0, p \lesssim Q) \sim \frac{1}{g^2} \gg 1 \quad \text{with} \quad f(t, p) \propto \frac{\langle |E_T(t, p)|^2 \rangle}{p}$$

- 1 Set **initial conditions**

$$\langle E_T^*(t_0, \vec{p}) E_T(t_0, \vec{q}) \rangle \propto p f(t_0, p) \delta_{jk} (2\pi)^3 \delta(\vec{p} - \vec{q})$$

with $E_T^j p_j = 0$, initially $\langle E_L^*(t_0, \vec{p}) E_L(t_0, \vec{q}) \rangle = 0$, same for A

- 2 Restore Gauss law (algorithm: G.D. Moore, Nucl. Phys. B 480, 657 (1996))

Classical-statistical lattice simulations

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- 2 Restore Gauss law (algorithm: G.D. Moore, Nucl. Phys. B 480, 657 (1996))
- 3 Solve **classical field equations** on the lattice

$$U_j(t + dt/2, \vec{x}) = e^{i dt a_s g E_a^j(t, \vec{x})} U_j(t - dt/2, \vec{x})$$
$$g E_a^i(t + dt, \vec{x}) = g E_a^i(t, \vec{x}) - \frac{dt}{a_s^3} \sum_{j \neq i} \left[U_{ij} \left(t - \frac{dt}{2}, \vec{x} \right) + U_{i(-j)} \left(t - \frac{dt}{2}, \vec{x} \right) \right]_{\text{ah}}$$

Classical-statistical lattice simulations

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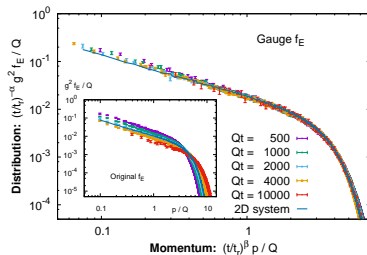
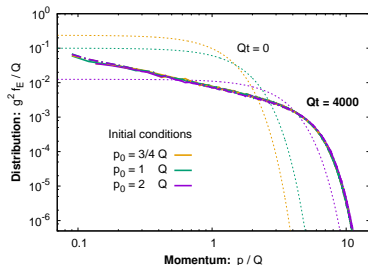
$$U_j(t + dt/2, \vec{x}) = e^{idt a_s g E_a^j(t, \vec{x})} U_j(t - dt/2, \vec{x})$$
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- 4 Evolve each initial configuration $\{U(t_0, \vec{x}), E(t_0, \vec{x})\}$ until $t > t_0$
- 5 Compute observable $O[U, E]$ that depends on the fields

$$O(t) = \frac{1}{\#k} \sum_k O[U(t), E(t)]$$

Self-similar turbulent attractors

Figures: attractor in 2+1D; KB, Kurkela, Lappi, Peuron, PRD 100, 094022 (2019)



- The highly occupied systems approach **self-similar attractors**

$$f(t, p) = (Qt)^\alpha f_s \left((Qt)^\beta p \right)$$

- **Universal exponents** insensitive to details of initial conditions

✓ 2+1D: $\beta = -1/5, \alpha = 3\beta,$

KB, Kurkela, Lappi, Peuron (2019)

✓ 3+1D: $\beta = -1/7, \alpha = 4\beta,$

Berges, Scheffler, Sexty (2009); Kurkela, Moore (2011, 2012); Berges, Schlichting, Sexty (2012); Schlichting (2012); Berges, KB, Schlichting, Venugopalan (2014); ...

- We extract $\rho(t, \omega, p)$ of such typical states, we know their evolution!

Spectral and statistical correlation functions

- **Spectral function** ($\dot{\rho} = \partial_t \rho$, $E = \partial_t A$)

$$\rho(x', x) = \frac{i}{N_c^2 - 1} \left\langle \left[\hat{A}(x'), \hat{A}(x) \right] \right\rangle$$

- **Statistical correlator** $\langle EE \rangle$ ($\equiv \ddot{F}$), in general independent of $\dot{\rho}$

$$\langle EE \rangle(x', x) = \frac{1}{2(N_c^2 - 1)} \left\langle \left\{ \hat{E}(x'), \hat{E}(x) \right\} \right\rangle$$

Spectral and statistical correlation functions

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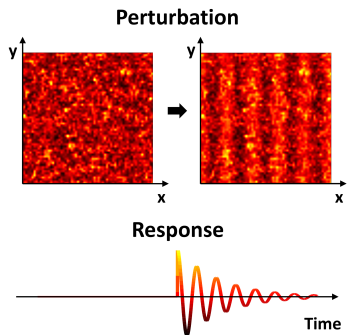
- Fix gauge: temporal $A_0 = 0$ + Coulomb-type $\partial^j A_j|_t = 0$ at time t
- Fourier transf. in $t' - t$ and $\vec{x}' - \vec{x}$ to frequency ω and momentum \vec{p}
Approximation: normally at fixed $\bar{t} = \frac{1}{2}(t + t')$, we hold $t \approx \bar{t}$
- In **classical-statistical** simulations

$$\langle EE \rangle(t', t, p) = \frac{1}{N_c^2 - 1} \left\langle E(t', \vec{p}) E^*(t, \vec{p}) \right\rangle$$

Nonperturbative computation of spectral function ρ

Classical-statistical $SU(N_c)$ simulations + linear response theory

KB, Kurkela, Lappi, Peuron, *PRD 98, 014006 (2018)*, Editors' suggestion



- Split $A(t, \vec{x}) \mapsto A(t, \vec{x}) + \delta A(t, \vec{x})$, perturb $j(t', \vec{p}) = j_0(\vec{p}) \delta(t' - t)$ at t
- Response $\langle \delta A(t', \vec{p}) \rangle = G_R(t', t, \vec{p}) j_0(\vec{p})$
- Linearized EOM for $\delta A(t, \vec{x})$ such that Gauss law conserved (also in gauge-cov. formulation)

Kurkela, Lappi, Peuron, *EUJC 76 (2016) 688*

- $\theta(t' - t) \rho(t', t, p) = G_R(t', t, p)$

Similar methods for scalars:

Aarts (2001); Piñeiro Orioli, Berges (2019); Schlichting, Smith, von Smekal (2020); KB, Piñeiro Orioli (2020); ...

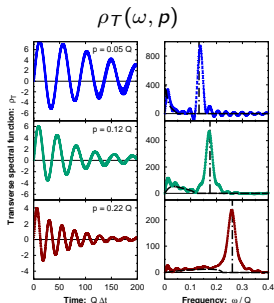
NEW method for fermions: KB, Lappi, Mace, Schlichting, arXiv:2106.11319

Table of Contents

- 1 Motivation
- 2 Method & setup
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Gluon spectral function in isotropic 3+1D plasmas

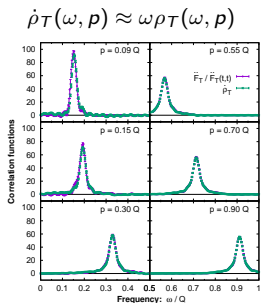
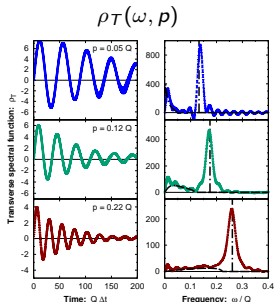
KB, Kurkela, Lappi, Peuron, PRD 98, 014006 (2018)



- **Narrow** Lorentzian q.p. peaks
(position $\omega(p)$, width $\gamma(p)$)
- **HTL** at LO (black dashed)
describes main features well
- Landau cut ($\omega < p$) and q.p.
peak **distinguishable**

Glueon spectral function in isotropic 3+1D plasmas

KB, Kurkela, Lappi, Peuron, PRD 98, 014006 (2018)



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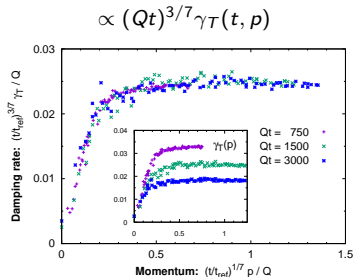
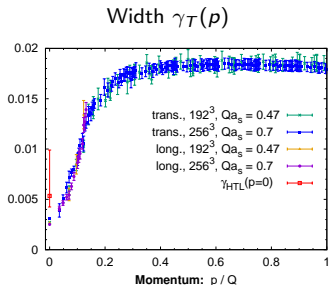
- Generalized fluctuation
dissipation relation (**FDR**)

$$\frac{\langle EE \rangle_{\alpha}(t, \omega, p)}{\langle EE \rangle_{\alpha}(t, \Delta t=0, p)} \approx \frac{\dot{\rho}_{\alpha}(t, \omega, p)}{\dot{\rho}_{\alpha}(t, \Delta t=0, p)}$$

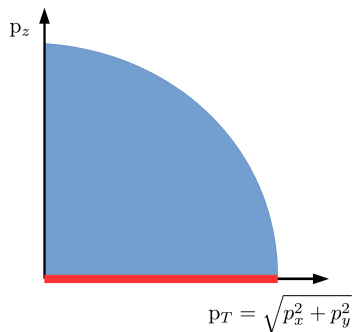
$$\ddot{F} \equiv \langle EE \rangle, \alpha = T, L \text{ polarizations}$$

Damping rate in isotropic 3+1D plasmas

KB, Kurkela, Lappi, Peuron, PRD 98, 014006 (2018)



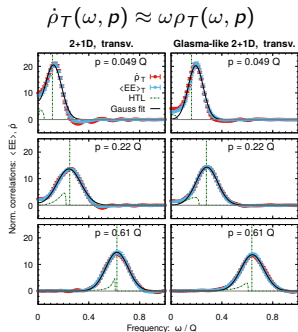
- **Peak width** $\gamma(t, p) \ll \omega(t, p)$ beyond HTL at LO
- First determination of **full p dependence**, finding $\gamma_T(t, p) \approx \gamma_L(t, p)$ (vs. HTL prediction $\gamma(p=0)$, $\gamma(p \rightarrow \infty)$, Braaten, Pisarski (1990); Pisarski (1992))
- Use self-similar scaling $\Rightarrow \frac{\gamma_\alpha(t, p)}{\omega(t, p=0)} \sim (Qt)^{-2/7}$ **decreases**



- Isotropic 3+1D: $f(t, p)$
- 2+1D: $f(t, p_T, p_z=0)$
- can be regarded as extreme momentum anisotropy

Vs. Gluon spectral function in 2+1D plasmas

KB, Kurkela, Lappi, Peuron, *JHEP* 05, 225 (2021)

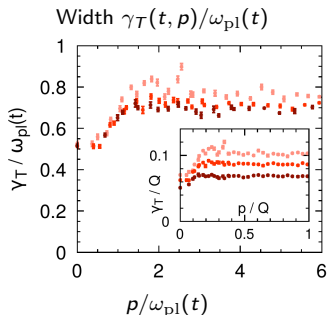
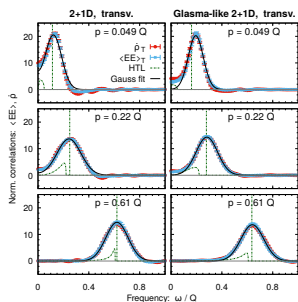


- ✓ Generalized FDR, but:
 - **Broad** non-Lorentzian peaks
 - **HTL** curves (green) **agree poorly**
 - Landau cut and q.p. peak **not distinguishable**

Vs. Gluon spectral function in 2+1D plasmas

KB, Kurkela, Lappi, Peuron, *JHEP* 05, 225 (2021)

$$\dot{\rho}_T(\omega, p) \approx \omega \rho_T(\omega, p)$$

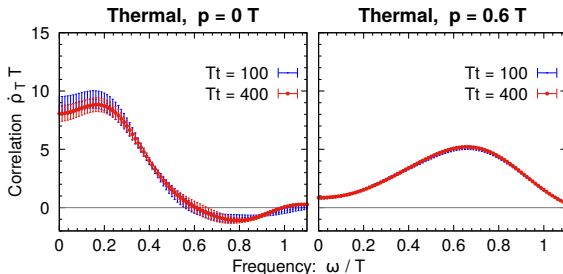


✓ Generalized FDR, but:

- **Broad** non-Lorentzian peaks
 - **HTL** curves (green) **agree poorly**
 - Landau cut and q.p. peak **not distinguishable**
 - **Peak width** $\gamma(t, p) \sim \omega_{pl}(t)$
($\omega_{pl} \equiv \omega_T(p=0)$)
- ⇒ **no quasiparticles for $p \lesssim \omega_{pl}$!**

Gluon spectral function in 2+1D class. thermal equilibrium

KB, Kurkela, Lappi, Peuron, JHEP 05, 225 (2021)



- Classical thermal equilibrium $f(p) \approx \frac{T}{\omega(p)}$
- $\rho(\omega, p)$ qualitatively **similar as far from equilibrium**
 - ✓ Broad gluonic excitations with $\gamma(p) \sim \omega_{pl}$
 - ✓ HTL provides poor description
 - ✓ For $\omega \rightarrow 0$, $\dot{\rho}_T = \omega \rho_T$ finite at low p
- Interpretation: Features seem generic in 2+1D gauge theories

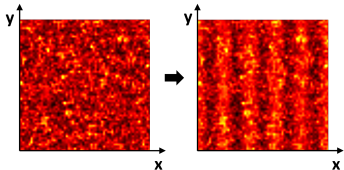
Nonperturbative computation of fermion spectral function

Classical-statistical $SU(N_c)$ simulations + Dirac equation

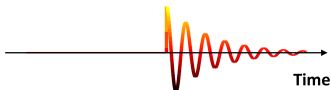
KB, Lappi, Mace, Schlichting, [arXiv:2106.11319](https://arxiv.org/abs/2106.11319)

$$\rho^{\alpha\beta}(x, y) = \left\langle \left\{ \hat{\psi}^\alpha(x), \hat{\psi}^\beta(y) \right\} \right\rangle$$

Perturbation



Response



- **Mode function** expansion of ψ
Aarts, Smit, *Nucl. Phys. B* 555, 355 (1999)
- At time t **plane waves**
- $t' > t$: solve Dirac & Yang-Mills EOM
- Compute fermion spectral function
with spin & color indices $\lambda = 1, \dots, 2N_c$

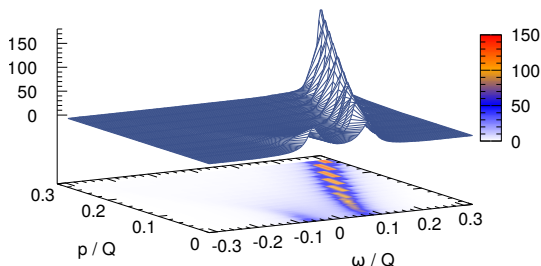
$$\rho^{\alpha\beta}(t', t, \vec{p}) =$$

$$\frac{1}{V} \sum_{\lambda} \left[\tilde{\phi}_{\lambda, \vec{p}}^{u, \alpha}(t', \vec{p}) u_{\lambda}^{\dagger, \gamma}(\vec{p}) + \tilde{\phi}_{\lambda, -\vec{p}}^{v, \alpha}(t', \vec{p}) v_{\lambda}^{\dagger, \gamma}(-\vec{p}) \right] \gamma_0^{\gamma\beta}$$

Fermion spectral function in isotropic 3+1D plasmas

KB, Lappi, Mace, Schlichting, arXiv:2106.11319

Spectral function ρ_+



We find (see Backup or paper):

- Lorentzian quasiparticle peaks + Landau cut ($\omega < p$)
- very good description by **HTL**
- **full p -dependence** of $\gamma_+(t, p)$, where $\gamma_+(t, p=0) \sim m_F(t)$

Table of Contents

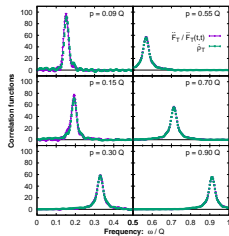
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Conclusion

- We have developed tools to **extract spectral functions** in highly occupied plasmas
- Applied to classical self-similar attractors:
gluonic 3+1D, gluonic 2+1D, fermionic 3+1D
- ρ 's in 3+1D generally well described by HTL + first principles **determination of damping rates**
- Much **broader peaks** in gluonic 2+1D than in 3+1D
 \Rightarrow anisotropic HTL may require nonperturbative contributions

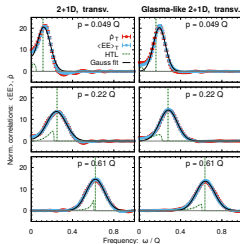
Outlook: applications to heavy-ion collisions

- ρ in Bjorken **expanding systems**
- Anisotropic / expanding **kinetic theory, HTL**
- Effects on **transport coefficients** \Rightarrow talk by J. Peuron, Fr, 06:00



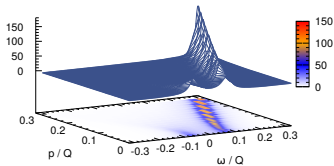
Gluonic 3+1D

Thank you for
your attention!



Gluonic 2+1D

Spectral function ρ_r

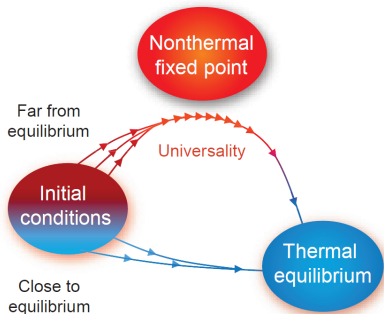


Fermionic 3+1D

Backup slides

Universal (classical) attractors

- Rich nonequilibrium dynamics in gauge and scalar systems
- Share similar universal features:



Nonthermal fixed point (NTFP)

- ★ Large initial occupancy
⇒ may approach attractor
- ★ System ‘forgets’ initial conditions
- ★ Self-similar dynamics

$$f(t, p) = t^\alpha f_s(t^\beta p)$$

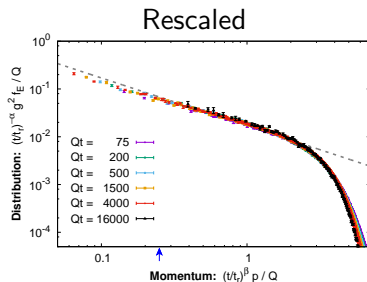
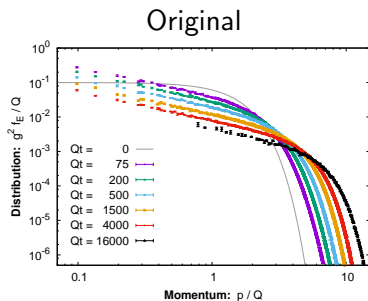
- ★ *Universal* $\alpha, \beta, f_s(p)$

NTFP: Micha, Tkachev (2004); Berges, Rothkopf, Schmid (2008)

Universality: Berges, KB, Schlichting, Venugopalan (2015); Piñeiro Orioli, KB, Berges (2015)

Experimental observations: Prüfer et al., Nature 563, 217 (2018); Erne et al., Nature 563, 225 (2018)

Self-similarity of 2+1D theory (PRD 100, 094022 (2019))



- Self-similar evolution

$$f(t, p) = (Qt)^\alpha f_s \left((Qt)^\beta p \right)$$

- Universal scaling exponents

$$\beta = -\frac{1}{5}, \quad \alpha = 3\beta \quad (\text{energy conserv.})$$

Perturbative computation: HTL results

- Hard loop (HTL) framework requires $m_D/\Lambda \ll 1$;
in thermal equ. for $g \sim m_D/T \ll 1$, Braaten, Pisarski (1990); Blaizot, Iancu (2002); ...
- In 3+1D $m_D^2 = 4N_c \int \frac{d^3p}{(2\pi)^3} \frac{g^2 f(t,p)}{p} \sim g^2 f \Lambda^2 \Rightarrow$ HTL applicable
- In 2+1D soft-soft interactions important

$$m_D^2 \approx d_{\text{pol}} N_c \int \frac{d^2p}{(2\pi)^2} \frac{g^2 f(t,p)}{\sqrt{m^2 + p^2}} \sim g^2 f \Lambda \ln \left(\frac{\Lambda}{m_D} \right)$$

\Rightarrow HTL breaks down already at soft scale $p \sim m_D$

- Comparison to HTL still useful to extract nonperturbative features
- Quasiparticles in $\rho^{\text{HTL}}(\omega, p)$ as $\sim \delta(\omega - \omega_\alpha^{\text{HTL}}(p))$
- All expressions depend only on m_D , computed consistently in HTL

HTL spectral functions in 2+1D

- HTL polarization tensor $\Pi_\alpha(x)$, with $x = \omega/p$

$$\Pi_T(x) = m_D^2 x \left(x - \frac{x^2 - 1}{\sqrt{x+1}\sqrt{x-1}} \right)$$

$$\Pi_L(x) = m_D^2 \left(-1 + x \frac{x^2 - 1}{(x+1)^{3/2}(x-1)^{3/2}} \right)$$

$$\Pi_\phi(x) = m_D^2 = \text{const}$$

- Retarded propagator

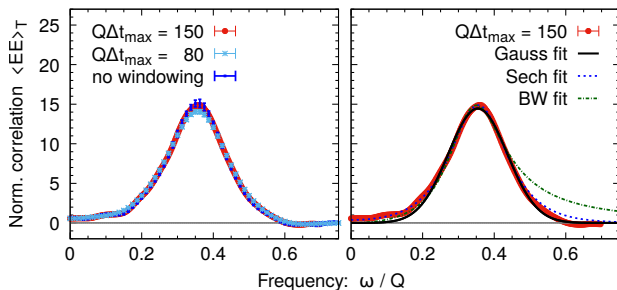
$$G_T^{\text{HTL}}(\omega, p) = \frac{-1}{\omega^2 - p^2 - \Pi_T(\omega/p)}$$

$$G_L^{\text{HTL}}(\omega, p) = \frac{p^2}{\omega^2} \frac{-1}{p^2 - \Pi_L(\omega/p)}$$

$$G_\phi^{\text{HTL}}(\omega, p) = \frac{-1}{\omega^2 - p^2 - m_D^2}$$

- Spectral function $\rho_\alpha^{\text{HTL}}(\omega, p) = 2 \text{Im} G_\alpha^{\text{HTL}}(\omega, p)$

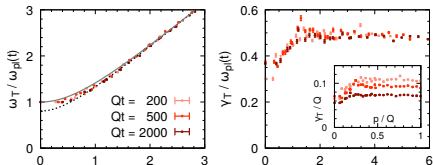
Gluon ρ in 2+1D: Shape of the excitation peak



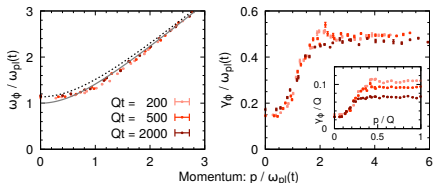
- *Left:* Different ways of computing the Fourier transform are consistent
- *Right:* Peak has non-Lorentzian shape (not Breit-Wigner)

Gluon ρ in 2+1D: Dispersion relations, damping rates

Glasma-like 2+1D, transverse

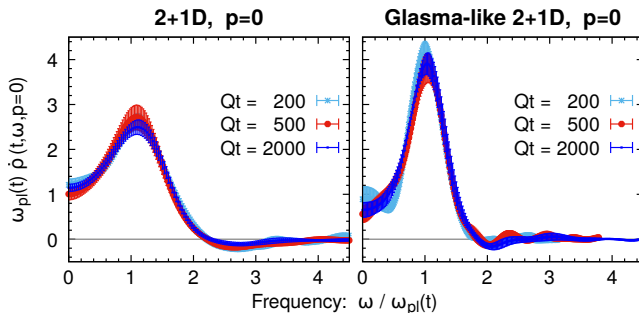


Glasma-like 2+1D, scalar



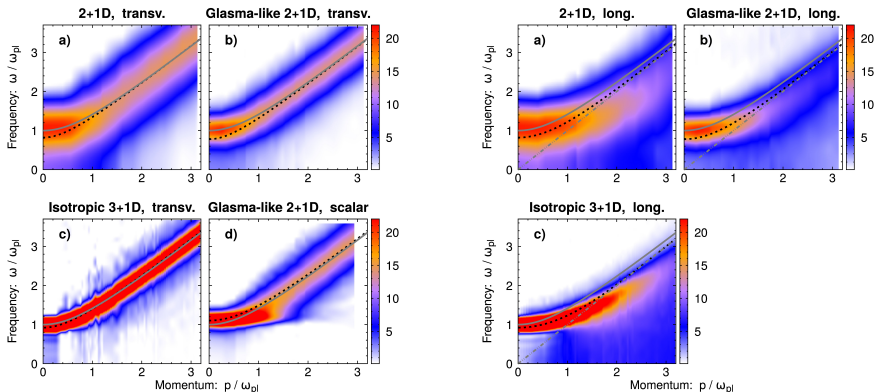
- *Left:* Dispersions $\omega_\alpha(t, p)/\omega_{pl}(t)$
- *Right:* Peak width $\gamma_\alpha(t, p)/\omega_{pl}(t)$
- As functions of $p/\omega_{pl}(t)$ time independent $\Rightarrow \gamma(t, p) \sim \omega_{pl}(t)$
- Scalar excitation narrow for $p \lesssim m_D$, but same t dependence

Gluon ρ in 2+1D: Time dependence



- $\omega_{pl} \dot{\rho}(t, \omega/\omega_{pl}, p/\omega_{pl})$ is time independent
- This implies $\gamma_\alpha(t, p) \sim \omega_{pl}(t) \sim m_D \sim Q(Qt)^{-1/5}$
- No quasiparticles at low p seems to be quite general in 2+1D

Summary of $\langle EE \rangle_\alpha(t=const, \omega, p)$

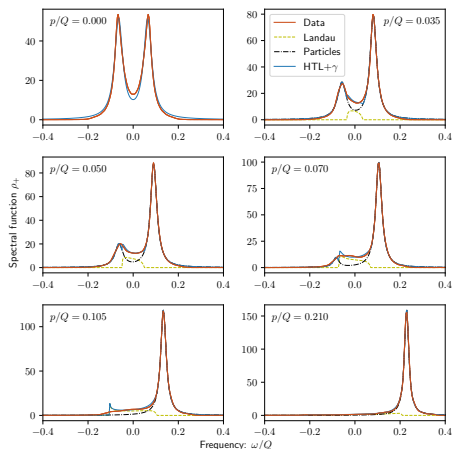


Black dashed: $\omega_\alpha^{\text{HTL}}(p)$,

gray: $\sqrt{\omega_{pl}^2 + p^2}$, with $\omega_{pl} \propto m_D$

Fermion ρ in 3+1D: Comparison with HTL

Spectral function $\rho_+(\omega, p)$



- Landau cut + q.p. peaks:

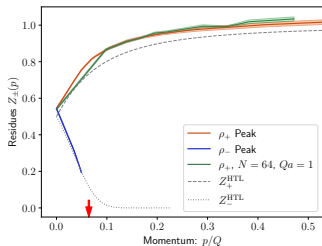
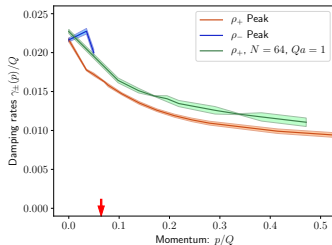
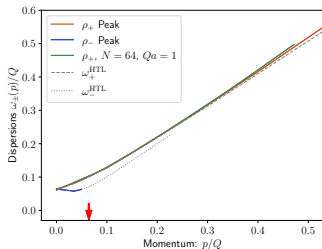
$$\rho_+^{\text{HTL}}(\omega, p) = 2\pi \beta_+(\omega/p, p) + 2\pi [Z_+(p)\delta(\omega - \omega_+(p)) + Z_-(p)\delta(\omega + \omega_-(p))]$$

- HTL+ γ extension

$$\rho_+^{\text{HTL}}(\omega, p) = 2\pi \beta_+(\omega/p, p) + \frac{2Z_+(p)\gamma_+(p)}{(\omega - \omega_+(p))^2 + \gamma_+^2(p)} + \frac{2Z_-(p)\gamma_-(p)}{(\omega + \omega_-(p))^2 + \gamma_-^2(p)}$$

- Very good description, $Z_\alpha(t, p)$, $\omega_\alpha(t, p)$, $\gamma_\alpha(t, p)$ fits

Fermion ρ in 3+1D: $\omega_\alpha, Z_\alpha, \gamma_\alpha$



- HTL dispersions and residues agree well with data
- First principles insights into p -dependence of damping rates