

# $PT$ symmetry & patterns in finite-density QCD

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# The big picture

Finite-density QCD has a  $\mathcal{PT}$ -type symmetry: invariance under  $CK$   
(simultaneous charge and complex conjugation)

## Field of $\mathcal{PT}$ -symmetric physics

- Non-Hermitian Hamiltonians with antilinear symmetries
- Well understood in many contexts
- 1000s of experimental realizations and physical applications



## QCD phase structure

- Non-Hermitian, which causes a sign problem
- Not fully understood at nonzero  $\mu$
- Important theoretical and experimental implications

**Can we apply tools from the field of  $\mathcal{PT}$  symmetry to major open questions of lattice QCD?**

# $\mathcal{PT}$ symmetry

Invariance under any combination of linear & antilinear operators “ $\mathcal{P}$ ” & “ $\mathcal{T}$ ”

(In this context,  $\mathcal{P}$  &  $\mathcal{T}$  need not represent the parity/time operators of particle physics)

## Non-Hermitian but well behaved

- Eigenvalues are either real or part of a complex-conjugate pair
- All real spectrum: “unbroken  $\mathcal{PT}$  symmetry”
- Analogues of traditional Hermitian properties: unitarity, orthogonality, etc.

Applied physics:

Balanced loss & gain

Quantum mechanics:

$$V(x) = V^*(-x)$$

**Finite-density QCD:**

$$\det M(\mu) = \det M^*(-\mu)$$

# Circumventing $\mathcal{PT}$ sign problems

Example  $\mathcal{PT}$   
scalar QFT:

$$S[\chi(x)] = \sum_x \frac{1}{2} (d_\mu \chi(x))^2 + V[\chi(x)] - ih(x)\chi(x)$$

with  
 $V[\chi(x)] = V^*[-\chi(x)]$

**Step 1.** Rewrite kinetic term as:

$$\exp \left[ \frac{1}{2} (\partial_\mu \chi)^2 \right] = \int d\pi_\mu(x) \exp \left[ \frac{1}{2} \pi_\mu(x)^2 + i\pi_\mu(x) \partial_\mu \chi(x) \right]$$

**Step 2.** Take Fourier transform of potential:  $\tilde{V}$

**Step 3.** Do lattice integration by parts to remove  $\chi$ :

$$Z = \int \prod_x d\pi_\mu(x) \exp \left\{ - \sum_x \frac{1}{2} \pi_\mu^2(x) + \tilde{V}(\partial \cdot \pi(x) - h(x)) \right\}$$

Intuitively, take a Fourier transform in the path integral with respect to the field  $\chi$ . (Not the position variable  $x$ .)

The Fourier transform of a  $\mathcal{PT}$ -symmetric function is always real:

$$f(x) = f^*(-x) \leftrightarrow \tilde{f}(\omega) \in \mathbb{R}$$

Caveat: Fourier transform of  $e^{-V}$  is not always positive definite.

(But we're working on it! Stay tuned.)

Complex weights

$\mathcal{PT}$ -symmetric weights

Real, positive weights

The space of  
lattice actions

# Simulating a $\mathcal{PT}\phi^4$ model

$\mathcal{PT}$ -symmetric extension of  $\phi^4$  model:

$$S = \sum_x \frac{1}{2}(\partial_\mu \phi)^2 + \frac{1}{2}(\partial_\mu \chi)^2 + \frac{1}{2}m_\chi^2 \chi^2 - ig\phi\chi + \lambda(\phi^2 - v^2)^2 + h\phi$$

Dual, simulatable form:

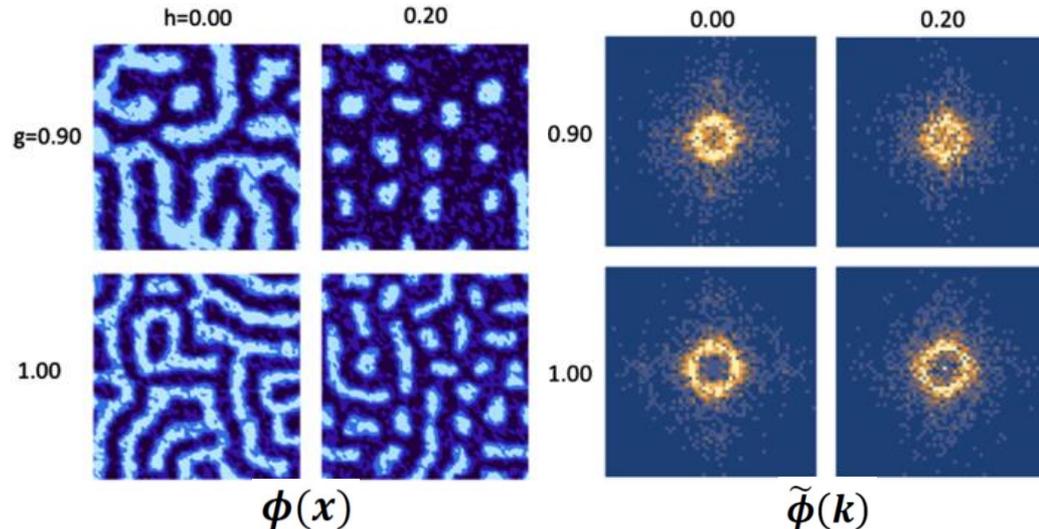
$$\tilde{S} = \sum_x \frac{1}{2} [\partial_\mu \phi(x)]^2 + \frac{1}{2} \pi_\mu^2(x) + \frac{[\partial \cdot \pi(x) - g\phi]^2}{2m_\chi^2} + \lambda(\phi^2 - v^2)^2 + h\phi$$

Patterns are common at all scales in physics. Lattice simulations show their origin in this model:

- Persistent inhomogeneous behavior: violation of spectral positivity
- Ring-shaped Fourier transform: Lifshitz instability

$\mathcal{PT}$  “breaking”  $\rightarrow$  conjugate eigenvalues

- First impulse: look for disorder lines [e.g., Patel, PRD (2012); Akerlund, de Forcrand, & Rindlisbacher, JHEP (2016).]
- Unexpectedly found patterned fields
- Below: patterns for a range of couplings (g, h) at fixed  $\lambda$  and  $m_\chi$



# Calculating $\mathcal{PT}$ -QFT phase structure

- Look at the mass matrix evaluated at a homogeneous solution
- Check for stability

Multi-component case:

$$\mathcal{M} = \left( \begin{array}{cc} \frac{\partial^2 V}{(\partial \phi^a)^2} & \frac{\partial^2 V}{\partial \phi^a \partial \chi^b} \\ \frac{\partial^2 V}{\partial \phi^a \partial \chi^b} & \frac{\partial^2 V}{(\partial \chi^b)^2} \end{array} \right) \Bigg|_{(\phi_0^a, \chi_0^b)}$$

Region	$\det(\mathcal{M})$	Zeros of $\det(q^2 + \mathcal{M})$	Behavior of the propagator
Normal	Positive	All zeros are negative	Exponential decay
$\mathcal{PT}$ broken	Positive	One or more pairs of zeros are complex conjugates	Modulated exponential decay
Patterned	Positive	Even # of positive zeros	Stable to $q^2 = 0$ fluctuations, but unstable to $q^2 > 0$ fluctuations
Unstable	Positive	Odd # of positive zeros	Instability to $q^2 = 0$ fluctuations
Unstable	Negative	—	Instability to $q^2 = 0$ fluctuations

Schindler<sup>2</sup>, Medina, & Ogilvie (2020).

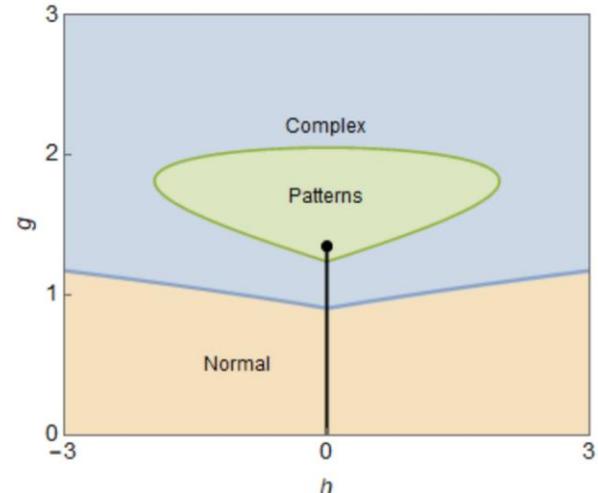
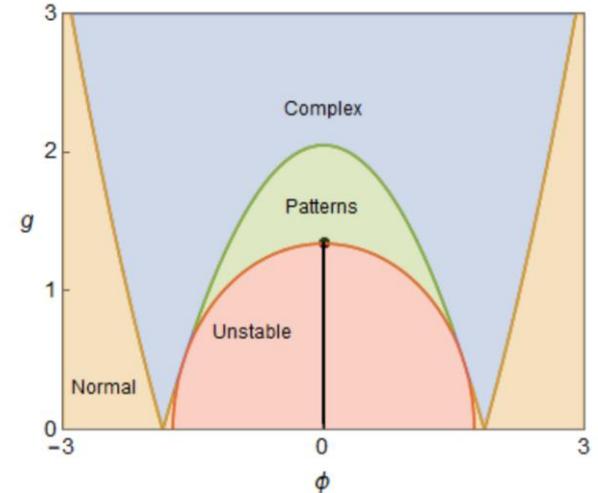
Schindler<sup>2</sup> & Ogilvie (2021).

For  $\mathcal{PT}$  Goldstones, see e.g., A Fring & T Taira, N Phys B (2020).

# $\mathcal{PT}\phi^4$ phase diagram

$$S = \sum_x \frac{1}{2}(\partial_\mu \phi)^2 + \frac{1}{2}(\partial_\mu \chi)^2 + \frac{1}{2}m_\chi^2 \chi^2 - ig\phi\chi + \lambda(\phi^2 - v^2)^2 + h\phi$$

- Tree-level analytics using  $\phi$  as a parameter agree well with lattice simulations using  $h$  as a parameter
- Critical point location
  - ❖  $\phi$ - $g$  plane (top): on boundary of patterned region
  - ❖  $g$ - $h$  plane (bottom): inside patterned region
  - ❖ Why? 1<sup>st</sup> order critical line is branch cut.
- In the  $g$ - $h$  plane, the critical endpoint is inside the patterned region, which in turn is inside the sinusoidally-modulated region, which is bounded by a disorder line

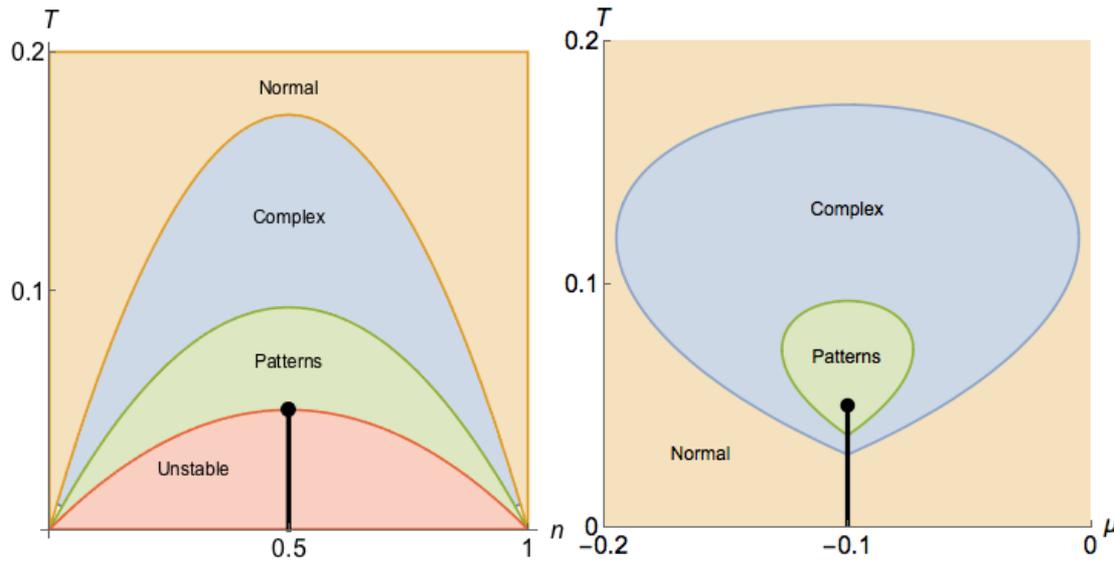


# Applying $\mathcal{PT}$ methods to finite-density QCD

$$S = -2N_C N_F \sum_{\vec{x}} \log [1 + z e^{\chi(\vec{x}) + i\phi(\vec{x})}] + \sum_{\vec{x}} \left[ \frac{1}{2\beta g_\chi^2} [(\nabla\chi)^2 + m_\chi^2 \chi^2] + \frac{1}{2\beta g_\phi^2} [(\nabla\phi)^2 + m_\phi^2 \phi^2] \right]$$

Simple heavy quark model:

- First term is heavy quark determinant, second term from gluonic interactions
- Parametrize Polyakov loop as  $P = e^{\chi+i\phi}$  and  $z = e^{\beta(\mu-M)}$
- Plots for fermion density  $n$  and  $\mu$  vs.  $T = 1/\beta$



Around the critical endpoint, we find:

- Patterns of confined & deconfined phase (green)
- Sinusoidally-modulated phase (blue)
- Disorder line (between blue/orange)
- Universal behavior in  $Z(2)$  pattern formation

Schindler<sup>2</sup> & Ogilvie (2021).

Schindler<sup>2</sup> & Ogilvie (in progress).

See also Y Park and ME Fisher, PRE (1999).

# More general heavy quark model

$$S = -2N_C N_F \sum_{\vec{x}} \log [1 + z e^{\chi(\vec{x}) + i\phi(\vec{x})}] + \sum_{\vec{x}, \vec{y}} \left[ \frac{1}{2\beta} \chi(x) V_A^{-1}(x, y) \chi(y) + \frac{1}{2\beta} \phi(x) V_R^{-1}(x, y) \phi(y) \right]$$

(Same fermion term as before; general gluon potential  $V = V_R + V_A$  with attractive/repulsive pieces)

Simple approximate criterion for stability against pattern formation:

$$1 + \beta \chi_Q \tilde{V}_{qq}(k) > 0$$

( $\chi_Q$  is quark number susceptibility)

- Straightforward calculation
- Easy to extend to the continuum
- **All terms are computable on the lattice**

Towards QCD?

- Started simple; many improvements possible
- Apply to more complicated/complete models
- Extensions of algorithm (other integral transforms, methods for  $i\phi^3$  universality class in progress, etc.)

# Key takeaways

## 1. Finite-density QCD has a $\mathcal{PT}$ -type symmetry

- Manifest in the lattice fermion determinant:  $\det M(\mu) = \det M^*(-\mu)$

## 2. Motivates lattice & analytic methods for finite-density models

- Demonstrated in a complex extension of  $\phi^4$  theory and a heavy quark model

## 3. Potential physical implications

- Patterns of confined/deconfined matter, sinusoidal modulation, disorder lines

$\mathcal{PT}$  symmetry provides a promising new path forward towards understanding the phase structure of finite-density QCD