

# Conjecture about the QCD Phase Diagram

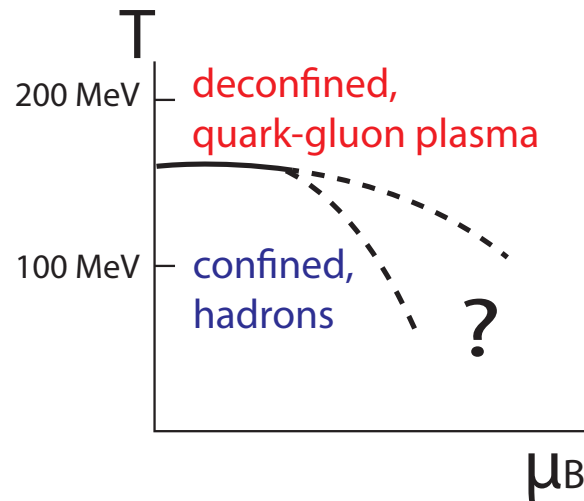
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- **O(4) model as an effective theory for 2-flavor QCD: universality, dimensional reduction, topological charge  $\sim$  baryon number**
- **Cluster algorithm, inclusion of quark mass and baryon chemical potential without sign problem**
- **Phase diagram in the chiral limit, and with light quarks (preliminary)**

## Hypothetical QCD phase diagram:



$\mu_B = 0$ :

- $N_f = 2$ :  $m_u = m_d = 0$ : 2<sup>nd</sup> order phase transition  
 $N_f = 3$ :  $m_u = m_d = 0$ ,  $m_s$  physical:  $T_c \simeq 132$  MeV [Ding et al. '19]  
 $2 + 1 + 1$  flavors:  $T_{pc} \simeq 134$  MeV [Kotov et al. '21]
- $m_u = m_d > 0$  crossover  
 $m_s$  physical: pseudo-critical  $T_x \simeq 155$  MeV  
 [Borsanyi et al. '10, Bhattacharya et al. '14 . . . ], agrees with  $T_{\text{freeze-out}}$

Sign problem at  $\mu_B > 0$  still unsolved.

Conjectures on the phase diagram based on effective theories.

Here: **O(4) non-linear  $\sigma$ -model**

Assumed to be in universality class of  $N_f = 2$  chiral QCD.

[Pisarski/Wilczek '83]

$$S[\vec{e}] = \int d^4x \left[ \frac{F_\pi^2}{2} \partial_\mu \vec{e}(x) \cdot \partial_\mu \vec{e}(x) - \vec{h} \cdot \vec{e}(x) \right]$$
$$\vec{e}(x) \in \mathbb{R}^4, \quad |\vec{e}(x)| \equiv 1$$

$\vec{h}$  external “magnetic field”

$\vec{h} = \vec{0}$ : global O(4) symmetry, can break spontaneously to O(3)

$\vec{h} \neq \vec{0}$  adds explicit symmetry breaking, like quark masses  $m_u = m_d > 0$

Local isomorphy to chiral flavor symmetry:

$$\{ \text{SU}(2)_L \otimes \text{SU}(2)_R = \text{O}(4) \} \longrightarrow \{ \text{SU}(2)_{L=R} = \text{O}(3) \}$$

Same symmetry groups before and after symmetry breaking

Assume  $T = 1/\beta$  high enough for dimensional reduction:

$$S[\vec{e}] = \beta \int_V d^3x \left[ \frac{F_\pi^2}{2} \partial_i \vec{e}(x) \cdot \partial_i \vec{e}(x) - \vec{h} \cdot \vec{e}(x) \right] = \beta H[\vec{e}]$$

3d O(4) model (with periodic b.c.) has topological sectors,  $\pi_3(S^3) = \mathbb{Z}$ .

- [Skyrme '61,'62, Witten '79, Adkins/Nappi/Witten '83, Zahed/Brown '86, . . . ] :

**top. charge  $Q$  corresponds to baryon number  $B$**

$\vec{e}(x)$  pion field, but in this way the model accounts for baryons.

⇒ Baryon chem. potential  $\mu_B \stackrel{\wedge}{=} \text{imaginary vacuum angle } \theta,$

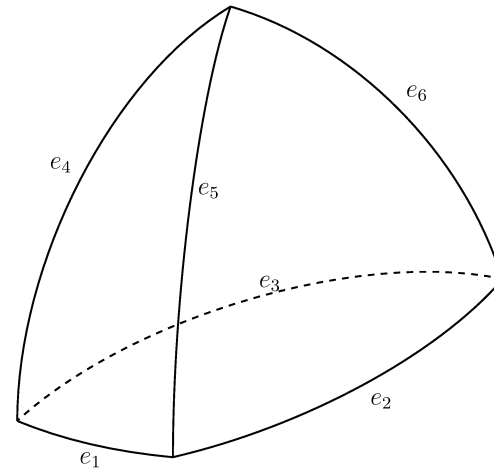
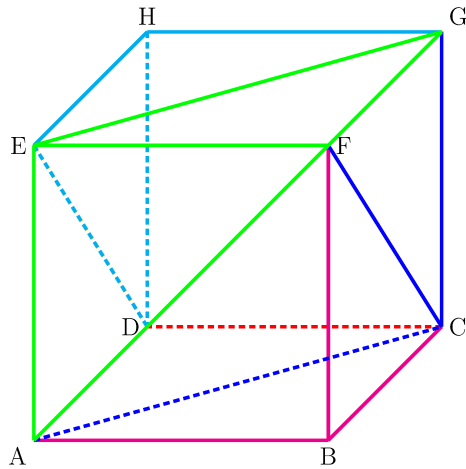
$$H[\vec{e}] = \cdots - \mu_B Q[\vec{e}] \in \mathbb{R}$$

Standard lattice formulation,

$$S_{\text{lat}}[\vec{e}] = -\beta_{\text{lat}} \left( \sum_{\langle x,y \rangle} \vec{e}_x \cdot \vec{e}_y + \vec{h}_{\text{lat}} \cdot \sum_x \vec{e}_x + \mu_{B,\text{lat}} Q[\vec{e}] \right)$$

Topological charge on the lattice: geometric definition:

Split lattice unit cubes into 6 tetrahedra; the 4 spins at the vertices of one tetrahedron,  $(\vec{e}_w, \vec{e}_x, \vec{e}_y, \vec{e}_z)$ , span a **spherical tetrahedron** on  $S^3$  (edges  $e_1 \dots e_6$ : geodesics in  $S^3$ ).



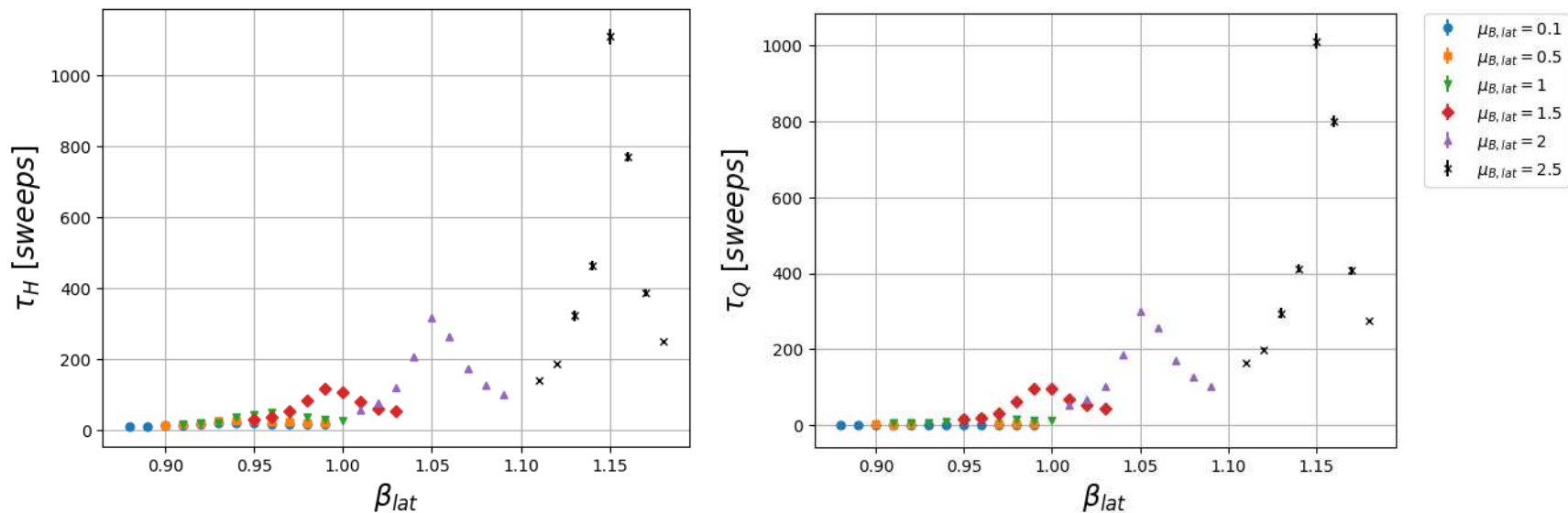
Topological density of a tetrahedron = (normalized) volume of oriented spherical tetrahedron,  $V_{w,x,y,z}[\vec{e}] \in (-1/2, 1/2)$ ,

$$Q[\vec{e}] = \frac{1}{2\pi^2} \sum_{\langle w,x,y,z \rangle} V_{w,x,y,z}[\vec{e}] \in \mathbb{Z}$$

Implicit formulae for  $V_{w,x,y,z}[\vec{e}]$  by Murakami, '12.

Cluster algorithm: another benefit of the  $O(4)$  model as an effective theory.

Still, increasing  $\mu_B$  causes a rapid increase in auto-correlation time  $\tau$ : this limits (so far) the range of reliable simulations to  $\mu_{B,\text{lat}} \leq 2.5$ .



$\tau$  in multi-cluster updates with respect to  $H$  and  $Q$  ( $L = 20, h = 0$ ).

## I. Results in the chiral limit, $h = 0$

Physical units by referring to  $T_c = 1/\beta_c$  at  $\mu_B = 0$  :

$$\beta_{c,\text{lat}} = 0.9359(1) \quad [\text{Oevers, '96}] \Leftrightarrow T_c \approx 132 \text{ MeV} \quad [\text{Ding et al. '19}]$$

$$\mu_B = \frac{\beta_{c,\text{lat}}}{\beta_c} \mu_{B,\text{lat}} \approx 124 \text{ MeV} \mu_{B,\text{lat}}$$

Simulation parameters:

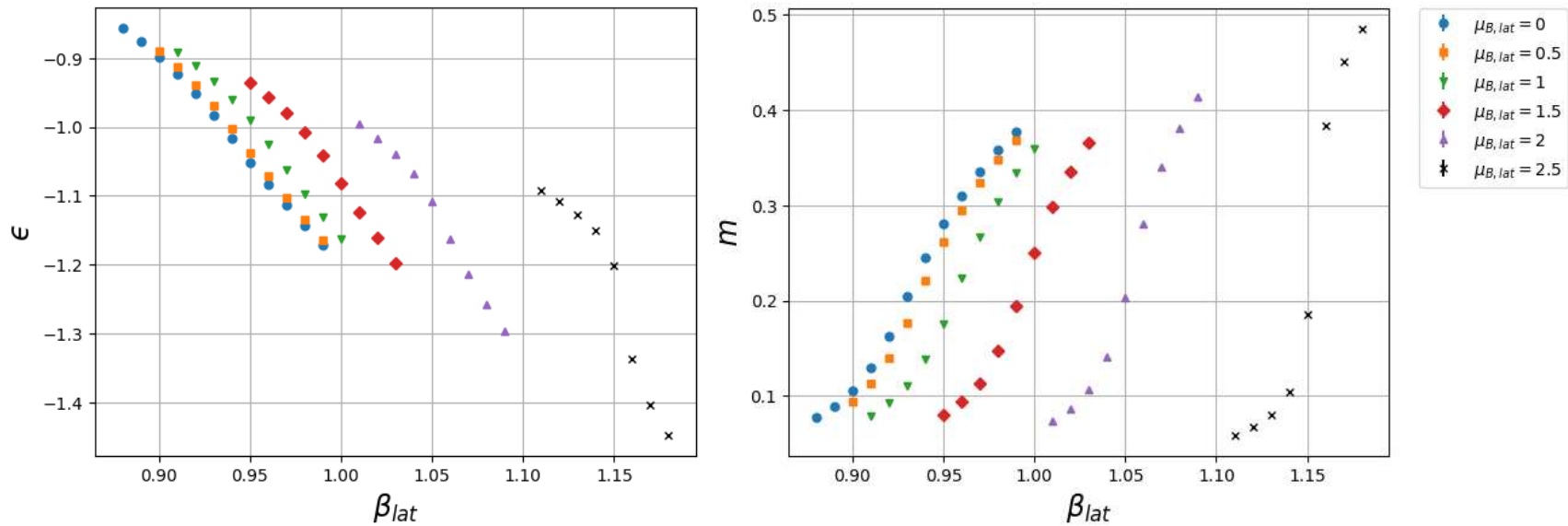
$$\mu_{B,\text{lat}} = 0, 0.1, 0.2, \dots 1.5; 2, 2.5 \Leftrightarrow \mu_B = 0 \dots 309 \text{ MeV}$$

Lattice volumes  $L^3$ , so far  $L = 10, 12, 16, 20$  (problem: huge  $\tau$ )

For each parameter set:  $10^4$  confs., perfectly de-correlated

Observables: 1<sup>st</sup> and 2<sup>nd</sup> derivatives of  $F = -T \ln Z$ .

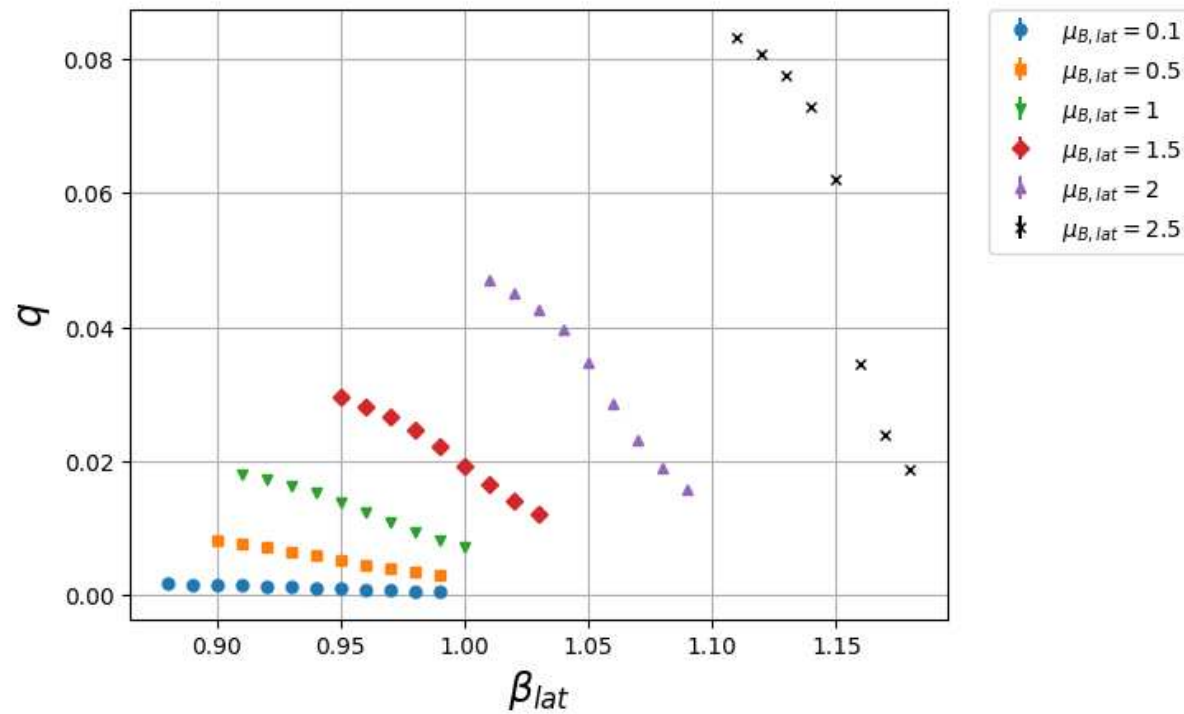




Energy density  $\epsilon = \langle H \rangle / V$  (left) and magnetization density (order parameter)  $m = \langle |\vec{M}| \rangle / V$ ,  $\vec{M} = \sum_x \vec{e}_x$  (right),  $L = 20$ .

Increase  $\mu_{B,lat}$  at fixed  $\beta$ : larger  $\epsilon$ , lower  $m$ ,  
interval of maximal slope moves to larger  $\beta \approx \beta_c$ .

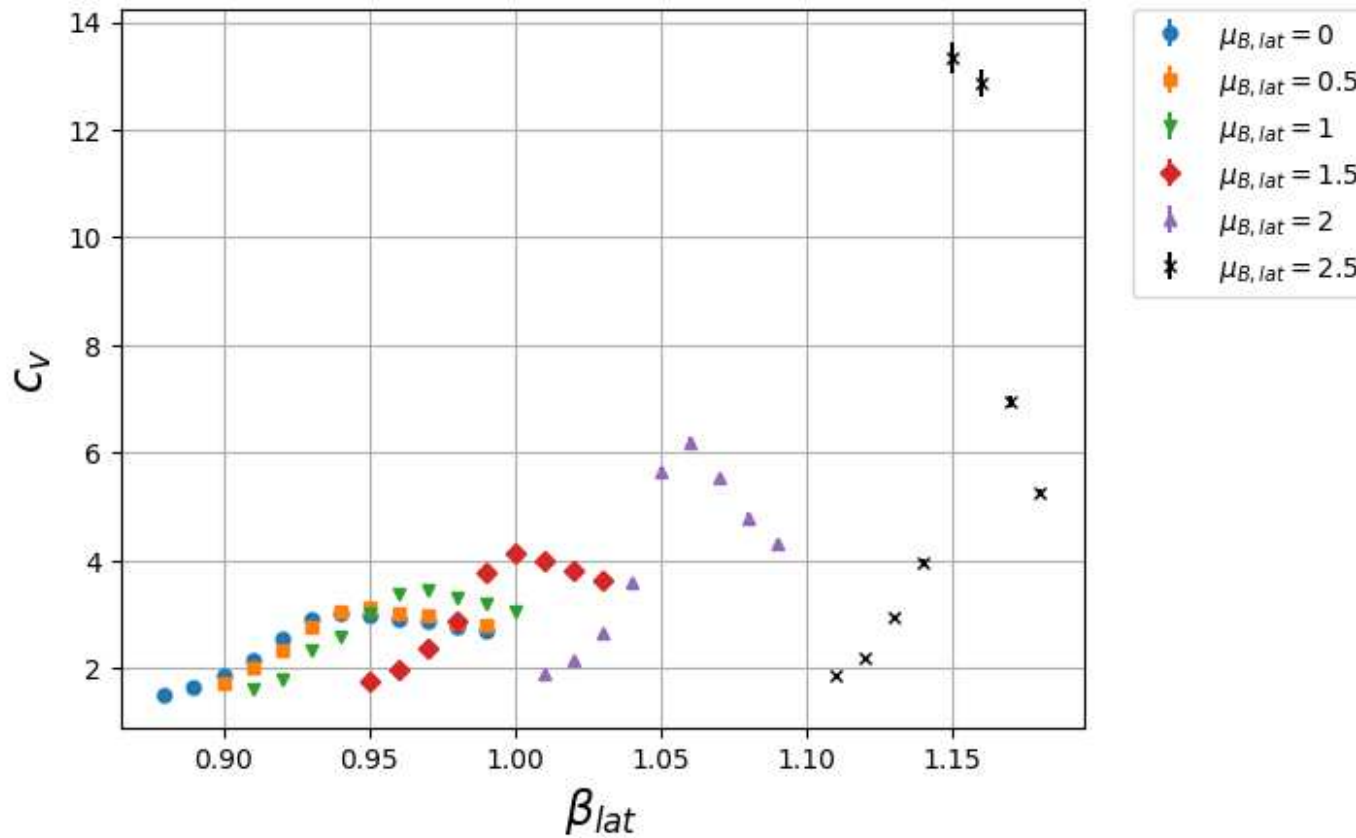
$\mu_{B,lat} = 2.5$ : quasi-jumps, 1<sup>st</sup> order phase transition near-by ?



Top. charge density  $q = \langle Q \rangle / V$

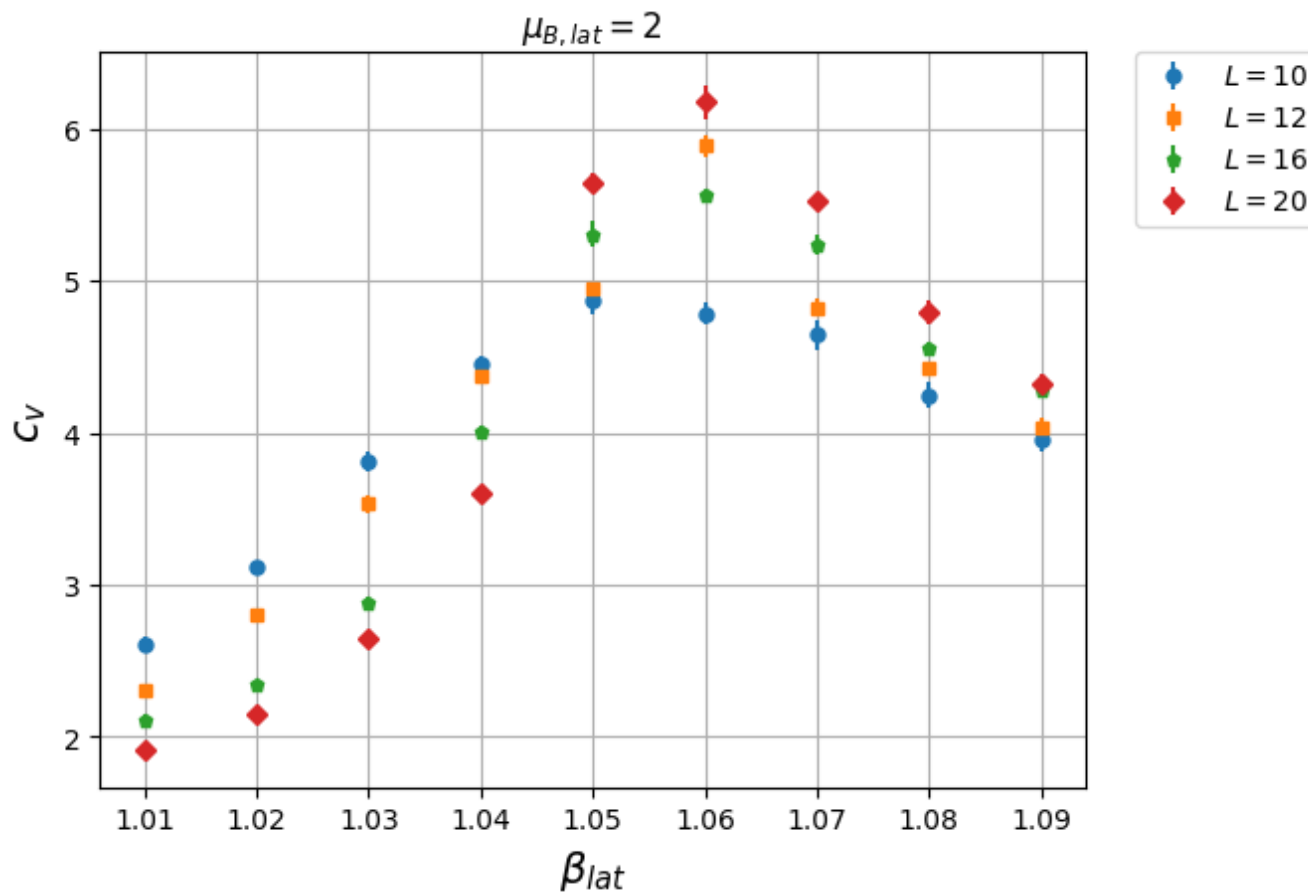
At  $\mu_B = 0$ :  $q = 0$  due to parity symmetry.  $\mu_B > 0$  enhances  $Q > 0$ .

Again: quasi-jump for  $\mu_{B,lat} = 2.5$ , to be clarified by 2<sup>nd</sup> derivatives of  $F$ .



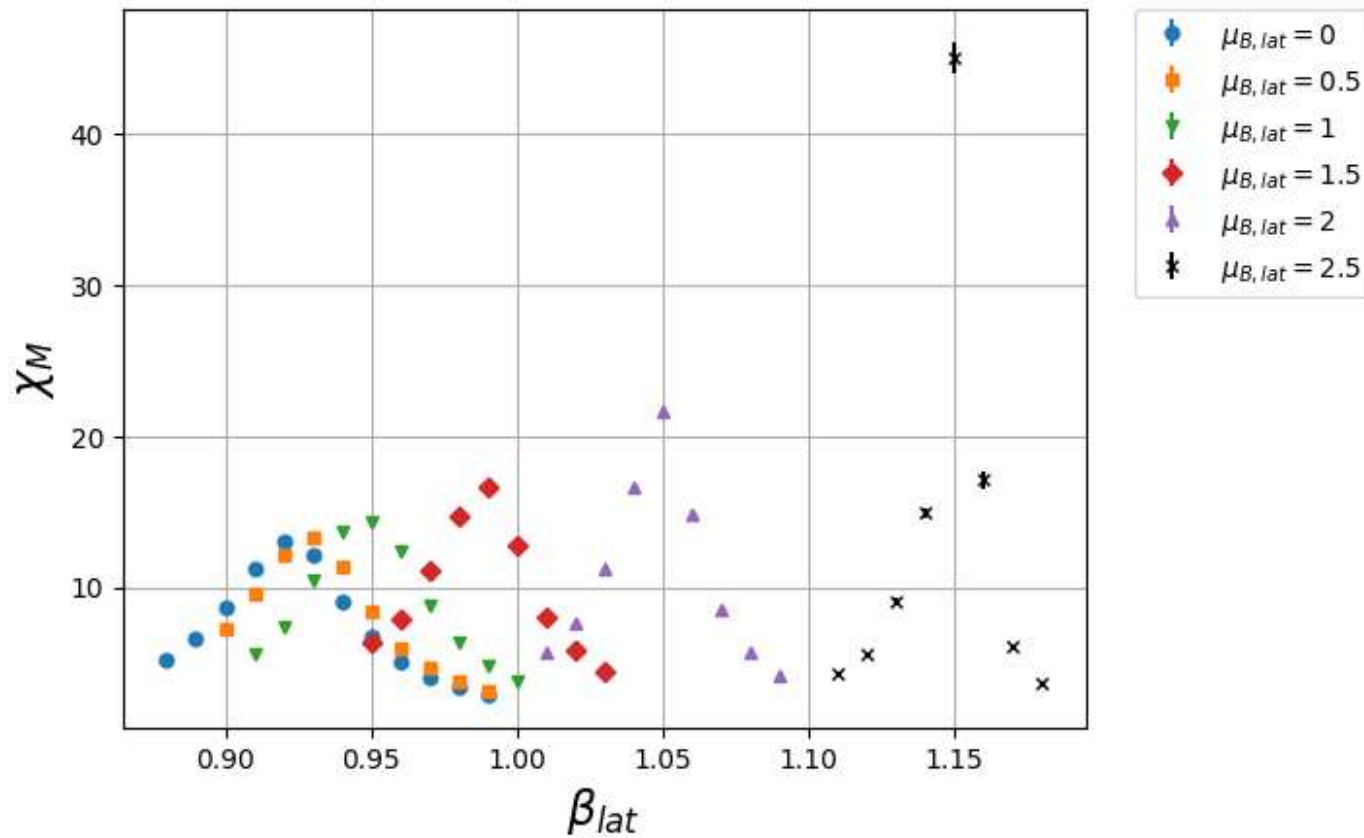
$$\text{Specific heat } c_V = \frac{\beta^2}{V} \left( \langle H^2 \rangle - \langle H \rangle^2 \right)$$

Peak most pronounced at  $\mu_{B,lat} = 2$  and  $2.5$ , likely still 2<sup>nd</sup> order.



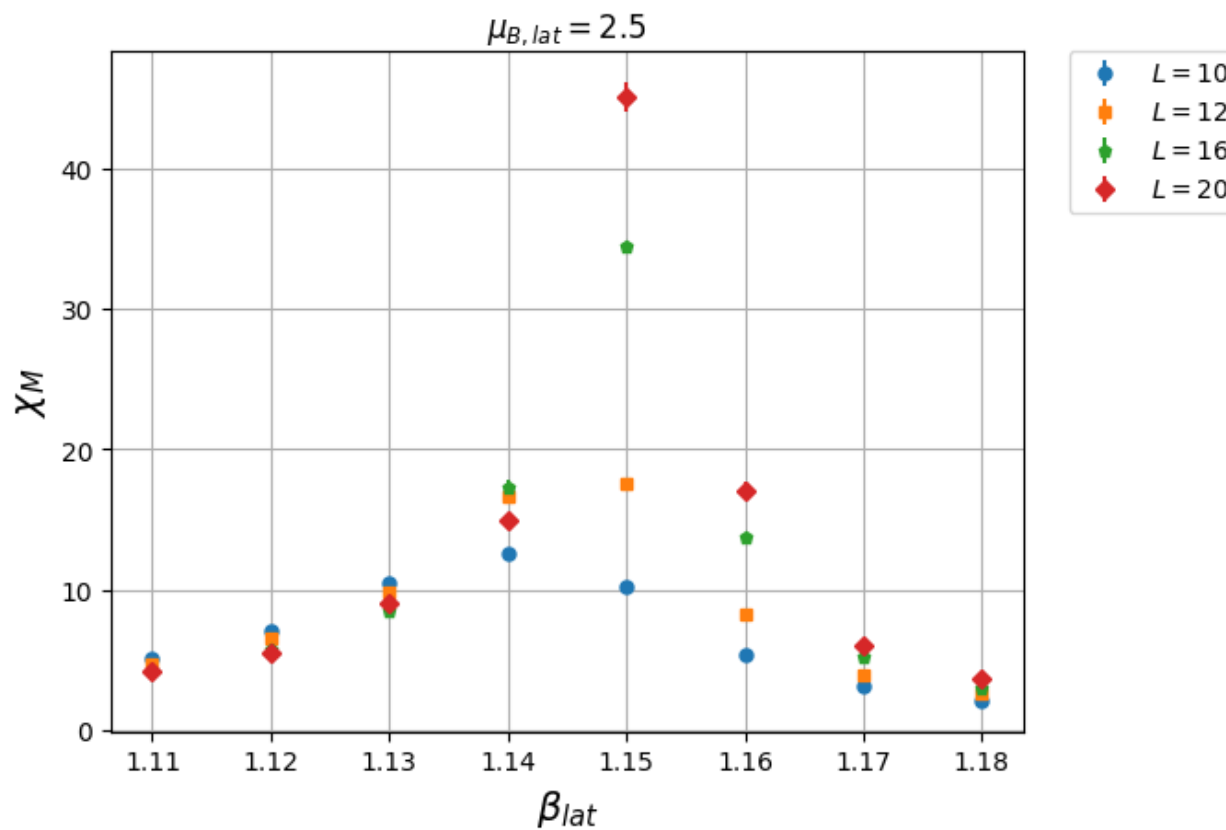
Peak of  $c_V$  hardly moves with  $V$ , extrapolation to  $\beta_c$  simple.

For 2<sup>nd</sup> order we expect (peak height)  $\propto L^{\alpha/\nu}$ ; at  $\mu_{B, \text{lat}} = 2$ :  $\alpha/\nu \approx 0.2$ .



Magnetic susceptibility  $\chi_m = \frac{\beta^2}{V} \left( \langle \vec{M}^2 \rangle - \langle |\vec{M}| \rangle^2 \right) \quad (L = 20)$

Peak most pronounced at  $\mu_{B,lat} \geq 1$ , supports 2<sup>nd</sup> order.

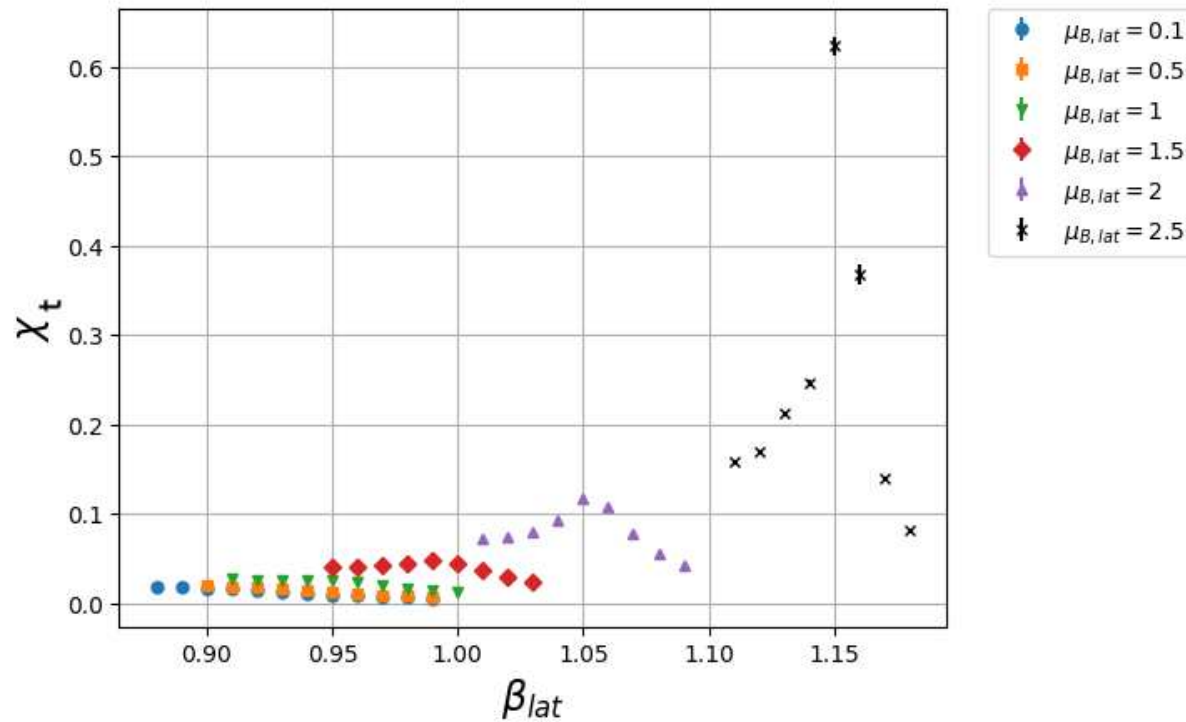


Peak of  $\chi_m$  moves with  $V$ , extrapolation to  $\beta_c$  consistent with other criteria.

2<sup>nd</sup> order: (peak height)  $\propto L^{\gamma/\nu}$ ,  $\frac{\gamma}{\nu}(\mu_{B, \text{lat}}) \in [1.7 \dots 2.1]$

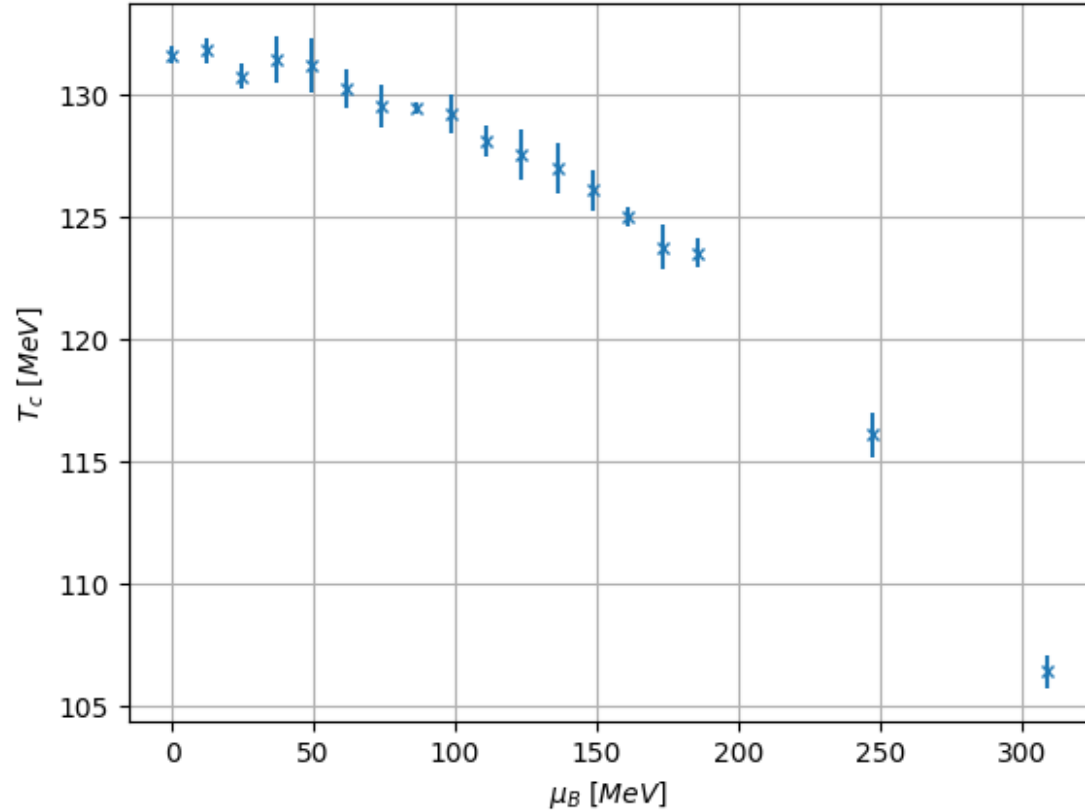
at  $\mu_B = 0$  compatible with 1.970 [Engels/Fromme/Seniuch, '03]

**Strongly supports 2<sup>nd</sup> order.**



Topological susceptibility  $\chi_t = \frac{1}{V} \left( \langle Q^2 \rangle - \langle Q \rangle^2 \right)$

Peak most pronounced at  $\mu_{B,\text{lat}} \geq 1.5$ , supports 2<sup>nd</sup> order, consistent with previous determinations of  $\beta_c$ . Defines critical exponent  $x$ ,  $\chi_t(T_c) \propto L^{x/\nu}$ , e.g.  $\frac{x}{\nu}|_{\mu_{B,\text{lat}}=0} \simeq 0.2$ ,  $\frac{x}{\nu}|_{\mu_{B,\text{lat}}=1} \simeq 0.3$



Combine all determinations of  $\beta_{c,\text{lat}}(\mu_{B,\text{lat}})$  (steepest slopes and peaks, extrapolated  $V \rightarrow \infty$ ), convert to physical units: final phase diagram in the chiral limit. Shape as expected, but no Critical Endpoint — *i.e.* no change to 1<sup>st</sup> order — in the regime  $\mu_B \lesssim 309$  MeV and  $T \gtrsim 106$  MeV.



## II. Results at physical pion mass, $h = |\vec{h}| > 0$

Estimate of physical units

$$\beta_{c,\text{lat}} \simeq 0.9359, \quad T_x \simeq 155 \text{ MeV}$$
$$h = h_{\text{lat}} \frac{\beta_{c,\text{lat}}^4}{\beta_x^4} = h_{\text{lat}} (145 \text{ MeV})^4$$

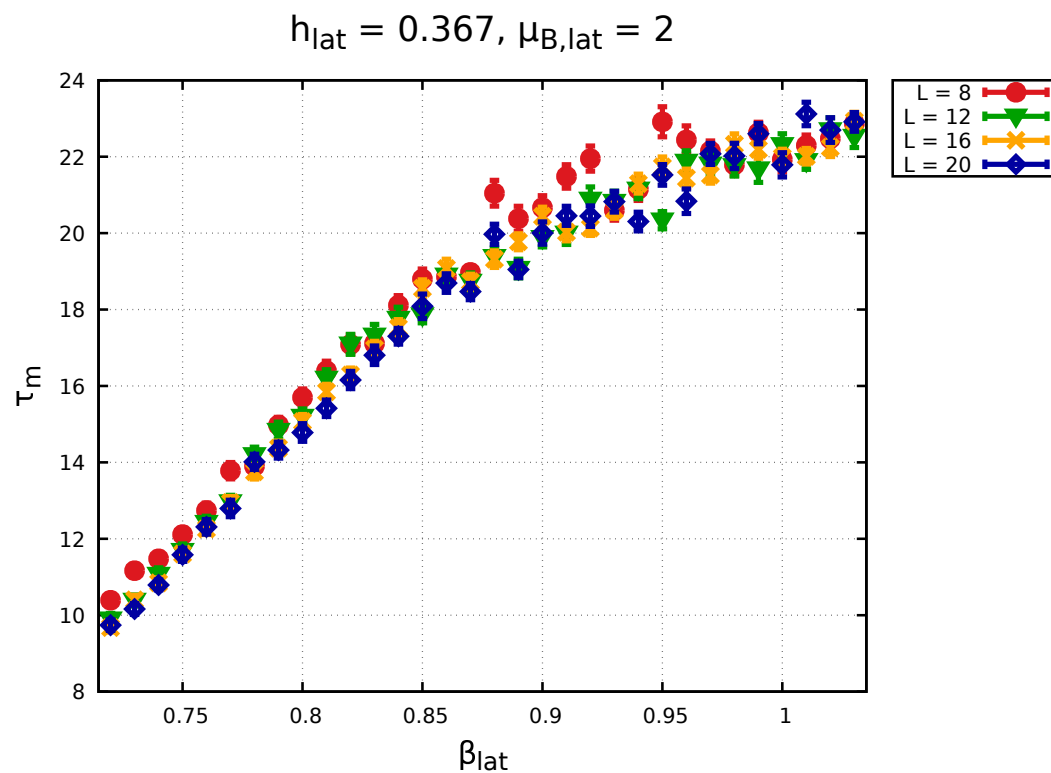
$\beta_{x,\text{lat}} \approx 0.87$  ambiguous, see below.

We fix  $h$  by the Gell-Mann–Oakes–Renner relation:

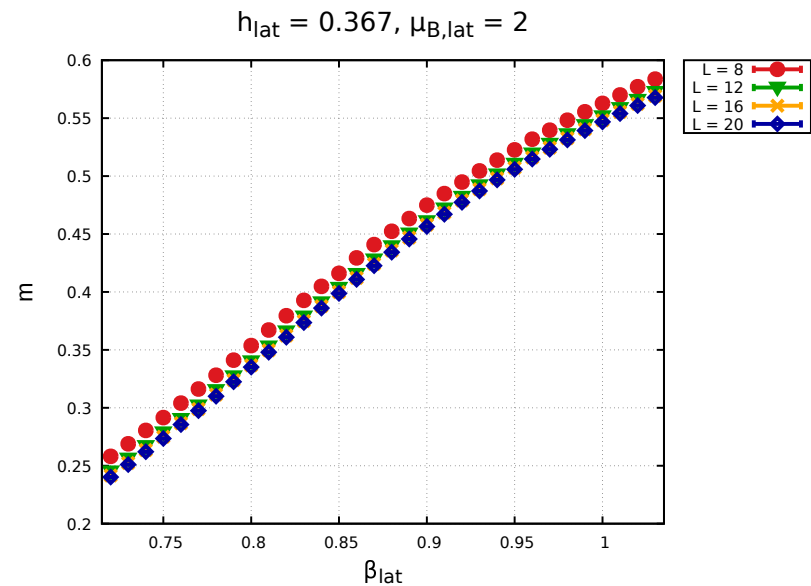
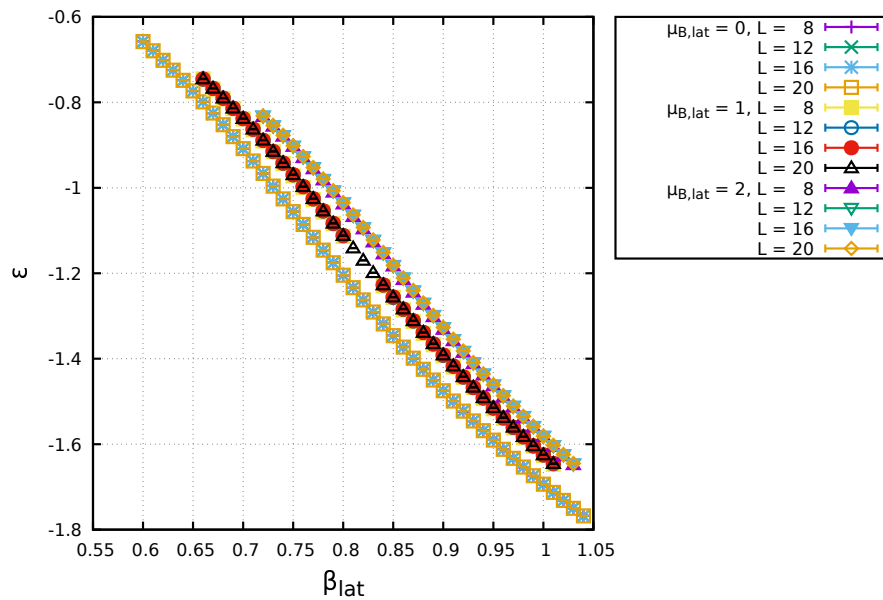
$$h = m_q \Sigma \stackrel{!}{=} F_\pi^2 M_\pi^2 \simeq (92.4 \text{ MeV})^2 (138 \text{ MeV})^2 \Rightarrow h_{\text{lat}} = 0.367$$

Inclusion by *modifying the cluster flip probability, directly or via global “ghost field”*.

Growth of auto-correlation times  $\tau$  is strongly alleviated by crossover:  
 $\tau$  does not diverge at  $\beta_x$ , **no critical slowing down**.

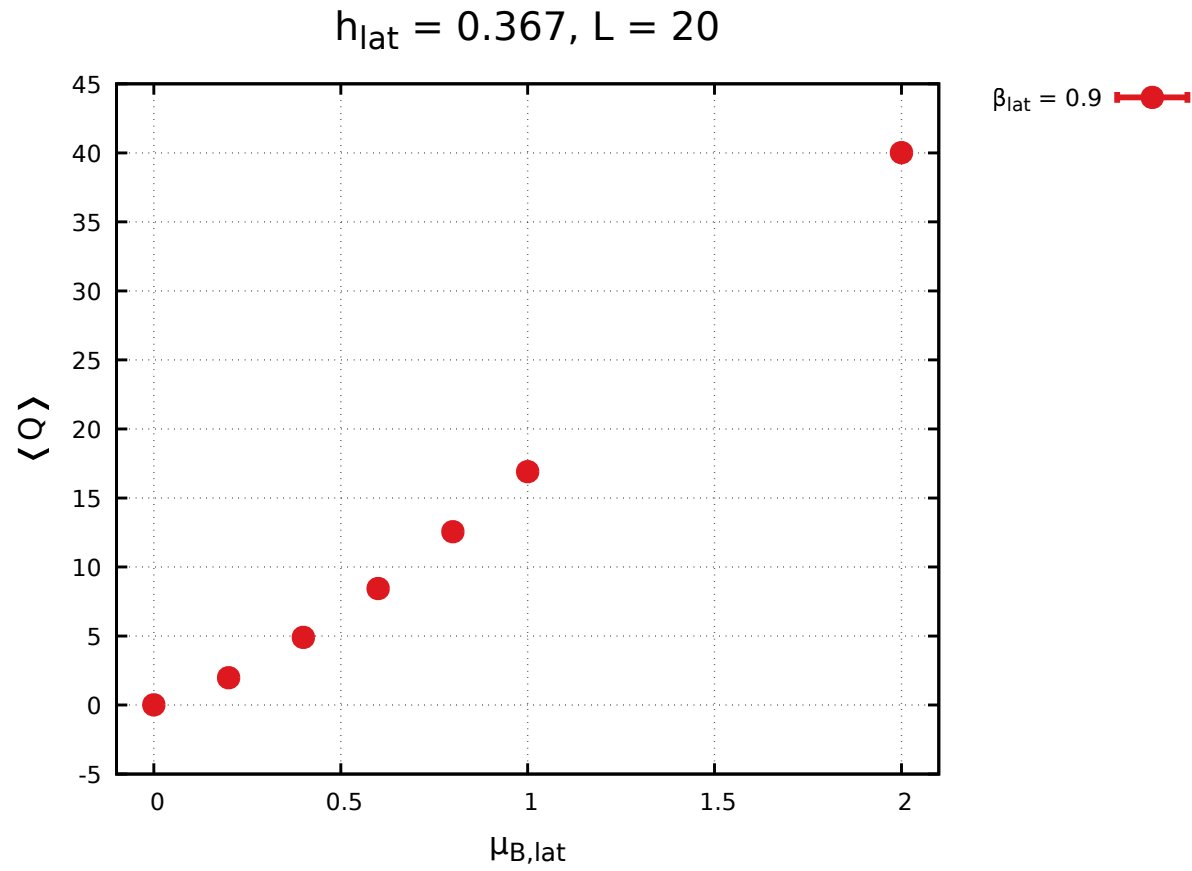


Magnetic auto-correlation time  $\tau_m$

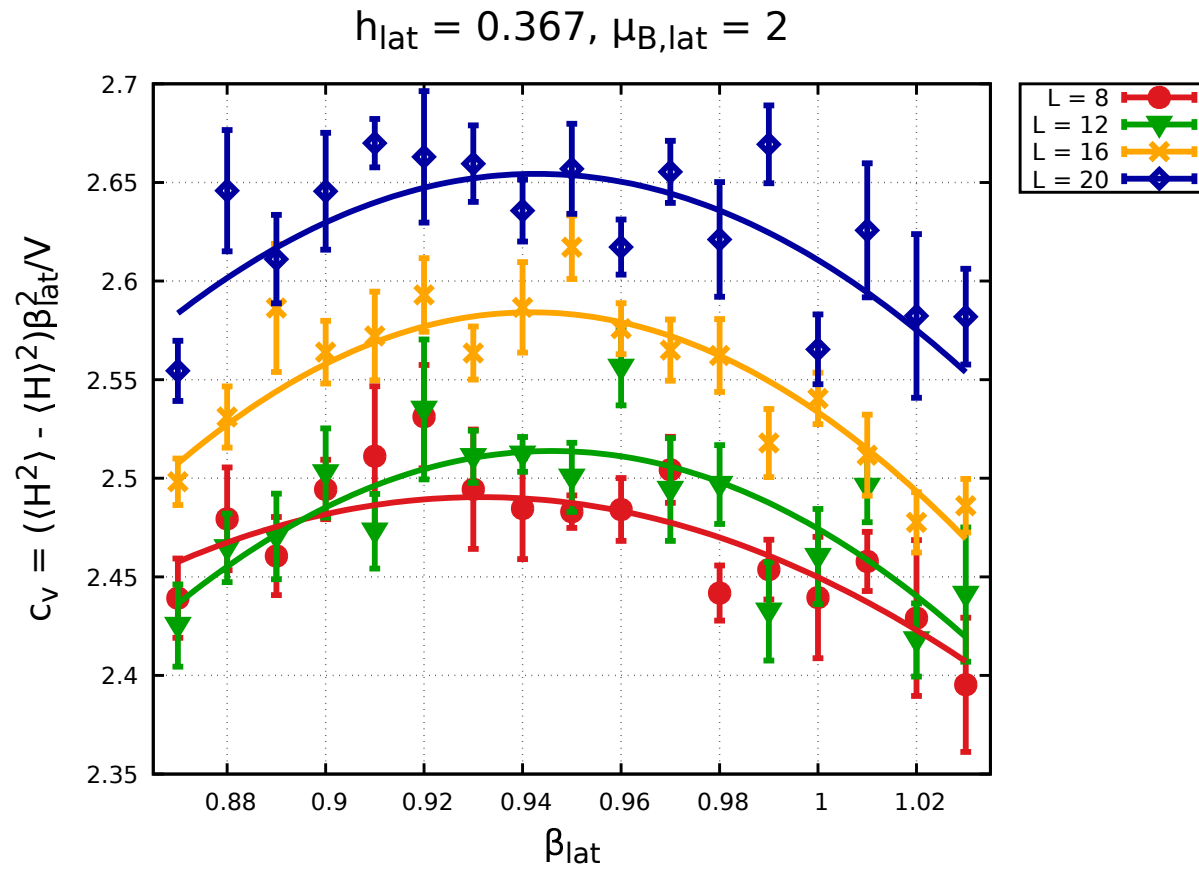


Left: Energy density  $\epsilon = \langle H \rangle / V$  hardly depends on  $L$ . Shift for  $\mu_{B,\text{lat}} = 0, 1, 2$ .  
 Right: Magnetization density  $m = \langle |\vec{M}| \rangle / V$  at  $\mu_{B,\text{lat}} = 2$ . Modest finite-size effects.

No interval of extraordinary slope (as  $L$  grows):  
 $2^{\text{nd}}$  order phase transition smeared out to a crossover.



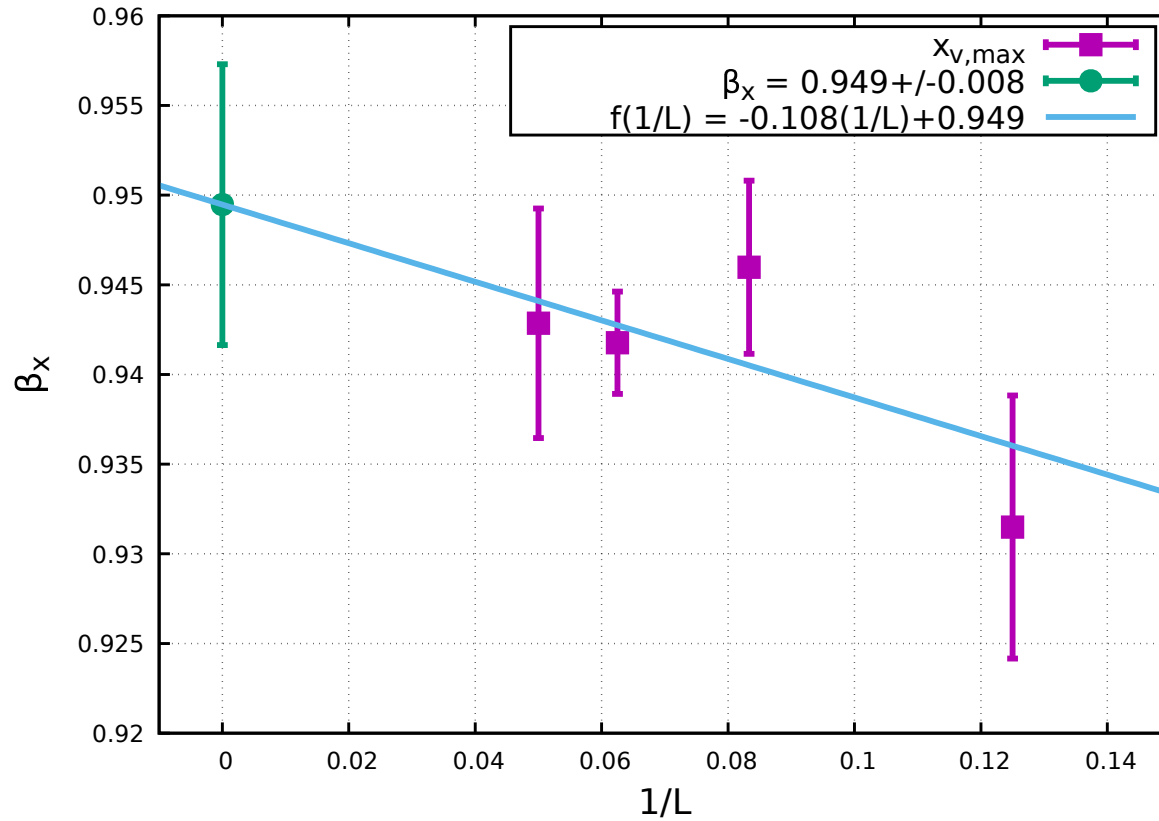
$\langle Q \rangle \hat{=} \langle \text{baryon number} \rangle$ , enhanced by  $\mu_{B,\text{lat}}$



Specific heat  $c_V$

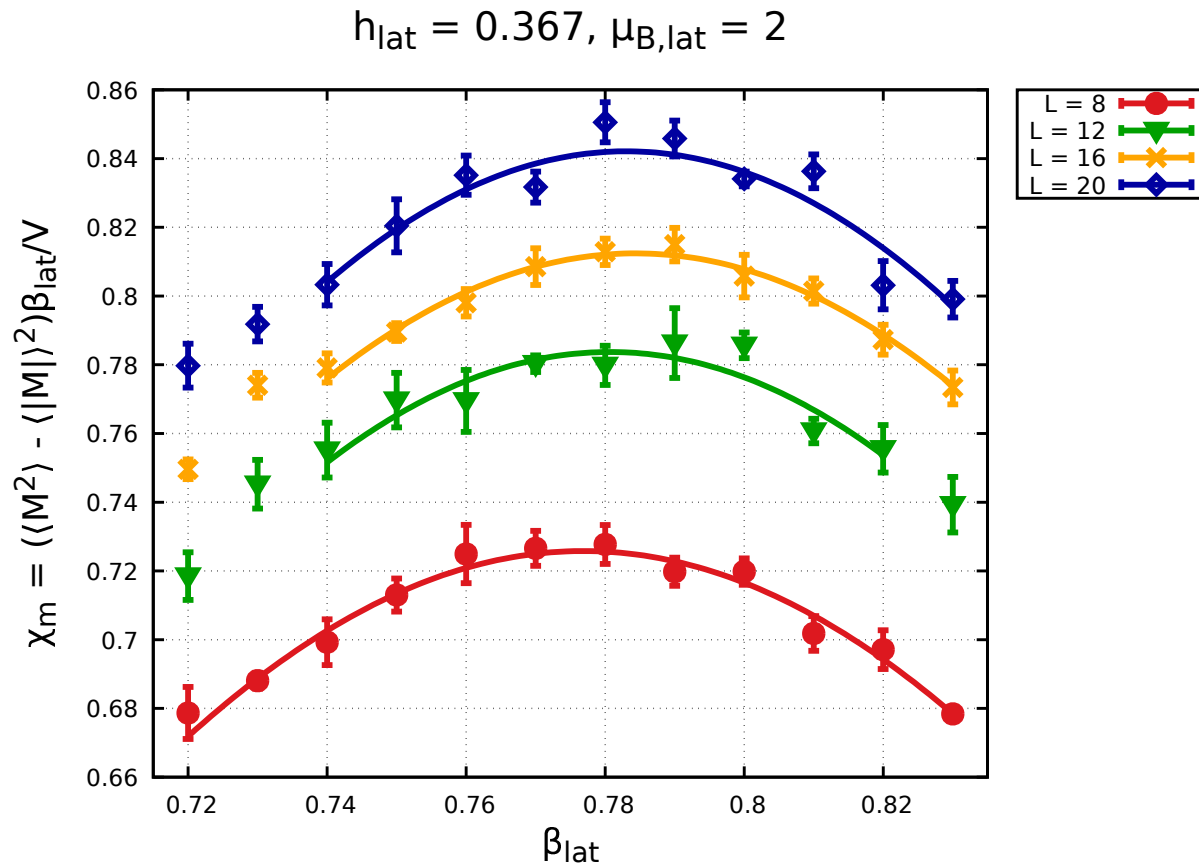
Peak washed out by mass term; located by Gaussian fits

$h_{\text{lat}} = 0.367, \mu_{B,\text{lat}} = 2$



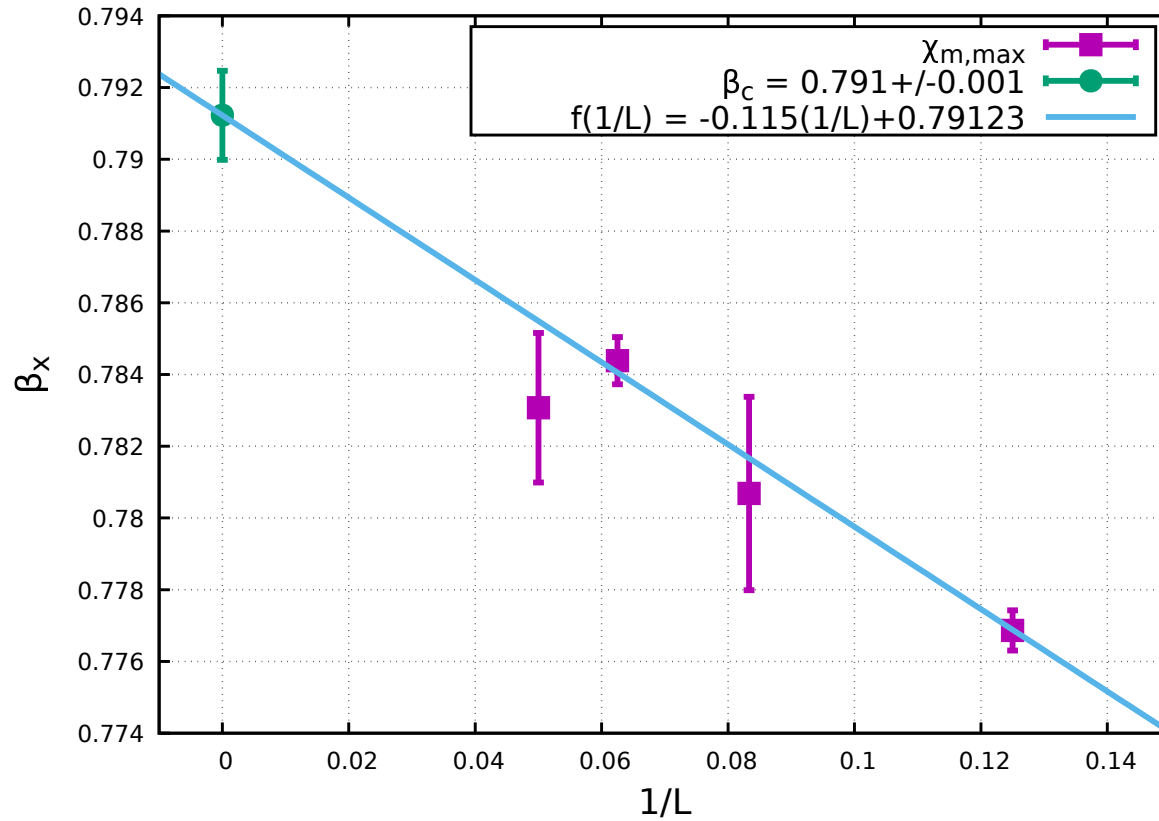
Large- $L$  extrapolation of  $c_V$  peak locations  $\rightarrow \beta_{x,\text{lat}}$

Performed at each  $\mu_{B,\text{lat}}$  to monitor the crossover.



Magnetic susceptibility  $\chi_m$  at  $\mu_{B,\text{lat}} = 2$ . Again: peak washed out, localized by Gaussian fits. Another criterion to search  $\beta_x$ .

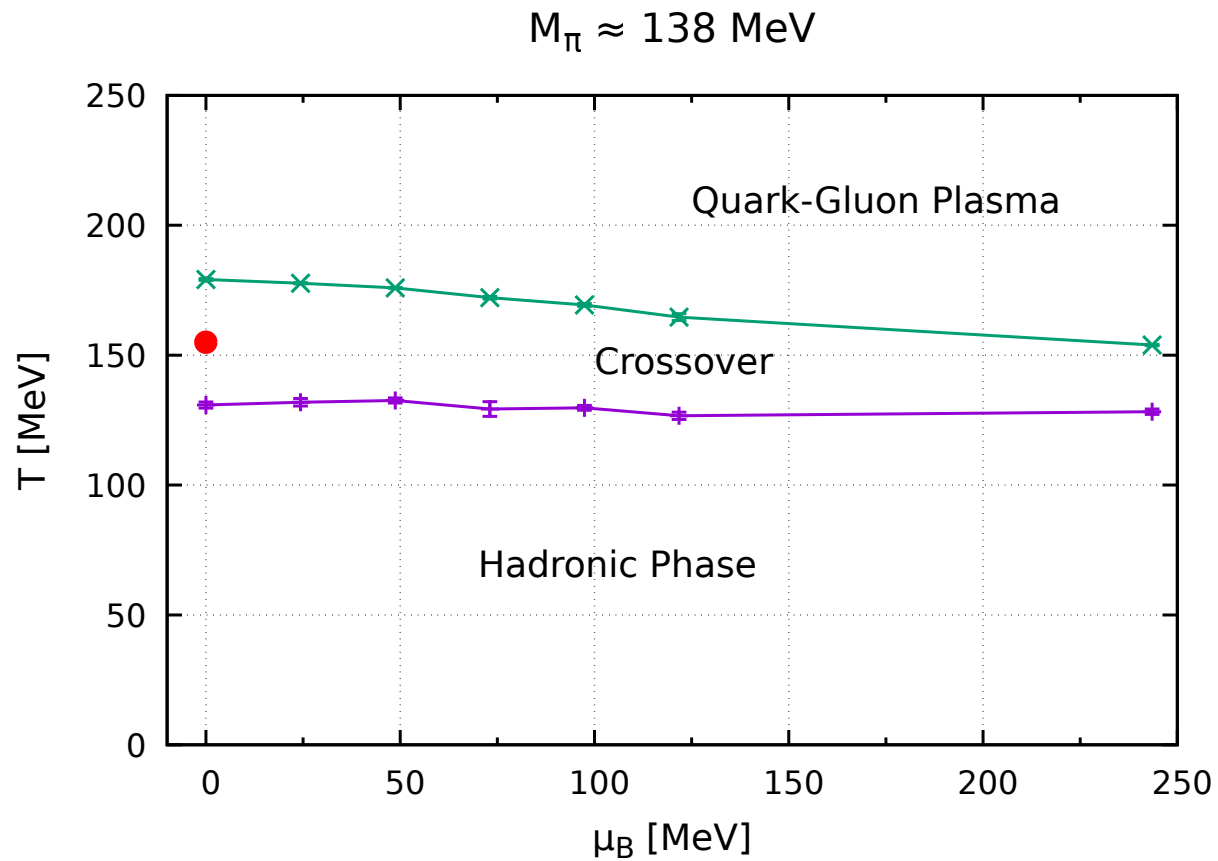
$$h_{\text{lat}} = 0.367, \mu_{\text{B,lat}} = 2$$



Large- $L$  extrapolation of  $\chi_m$  peak locations  $\rightarrow \beta_{x,\text{lat}}$

Below values obtained from  $c_V$ ; typical for a crossover.





**Phase diagram at finite quark mass: broad crossover region;  $T_x$  hardly decreases up to  $\mu_B = 244 \text{ MeV}$ . No indication of a Critical Endpoint.**

## Conclusions

We assume the  $O(4)$  model to be in the universality class of 2-flavor QCD in the chiral limit.

High- $T$  dimensional reduction to 3d  $O(4)$  leads to topological charge, identified with the baryon number.

Model can be simulated with baryon chemical potential, without sign problem, and with a powerful cluster algorithm.

We monitor the critical line up to  $\mu_B \simeq 309$  MeV,  $T_c \simeq 106$  MeV.  $T_c(\mu_B)$  decreases monotonically; no Critical Endpoint found, but hints for it to be near-by.

At physical pion mass:  $T_x$  varies little with  $\mu_B$ , crossover in some  $T$ -interval; up to 244 MeV again no CEP.

[Thanks to Tamer Boz, Arturo Fernández, Miguel Nava, Uwe-Jens Wiese]

