

Localised Dirac eigenmodes and Goldstone's theorem at finite temperature

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LATTICE 2021
Zoom/Gather@MIT

28th July 2021

Based on arXiv:2009.00486

Localised Dirac eigenmodes at finite temperature

Low Dirac modes localised in high- T QCD and other gauge theories

[García-García, Osborn (2007), Kovács, Pittler (2012), . . . , Giordano, Kovács (2021)]

- delocalised mode \rightarrow
 $\|\psi(x)\|^2 \sim 1/V^\alpha$
- localised mode \rightarrow
 $\|\psi(x)\|^2 \sim 1/V_0 \cdot \chi_{R_0}(x)$

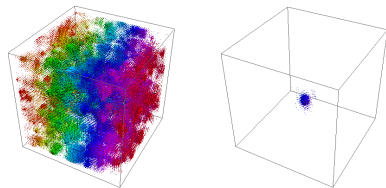
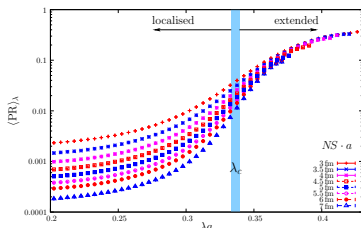


Figure from [Ujfalusi *et al.* (2015)]



$$\text{PR} = \frac{1}{N_t V} \left(\sum_x \|\psi(x)\|^4 \right)^{-1}$$

2+1 QCD at $T = 394$ MeV
Data from [MG *et al.* (2014)]

- low modes localised up to λ_c (“mobility edge”) in deconfined phase of gauge theories, while delocalised in confined phase
- features analogous to disordered condensed matter systems
Anderson transition, multifractal ψ at λ_c
[MG *et al.* (2014), Ujfalusi *et al.* (2015)]

Physical effects of localisation?

Localised modes \approx “order parameter” for deconfinement

- localised modes appear at T_c when transition is sharp [MG *et al.* (2017), Kovács, Vig (2018, 2020), MG (2019), Bonati *et al.* (2021), Baranka, MG (2021)]
- works also in the simplest model: \mathbb{Z}_2 gauge theory in 2+1 dimensions

See G. Baranka's talk on Monday

More direct interpretation possible in the chiral limit where only $\lambda \approx 0$ physically relevant: **what happens if $\rho_{\text{loc}}(0) \neq 0$ when $m \rightarrow 0$?**

1. 2+1 QCD: overlap on HISQ backgrounds

Peak of localised near-zero modes for $m_l \gtrsim m_l^{\text{phys}}$ at $T \gtrsim T_c$ [Dick *et al.* (2015)]; also for $m_l < m_l^{\text{phys}}$

[Kaczmarek *et al.* (2021)], loc. properties unknown

\Rightarrow Does it survive $m_l \rightarrow 0$? Modes localised?

2. $N_f = 2$ massless adjoint fermions

Intermediate, chirally broken ($\rho(0) \neq 0$) but

deconfined phase [Karsch and Lütgemeier (1999)]

\Rightarrow Finite density of near-zero localised modes?

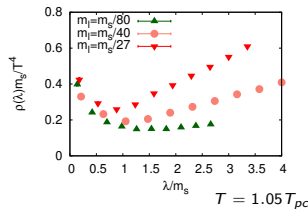


Figure from [Kaczmarek *et al.* (2021)]

Goldstone modes and localisation at $T = 0$

$\rho(0) \neq 0$ at $m = 0 \Rightarrow S_{\chi SB} \Rightarrow$ massless bosons (Goldstone's theorem)

$$\Sigma = \frac{1}{N_f} \langle \mathcal{S}(0) \rangle \xrightarrow{m \rightarrow 0} -\pi \rho(0) \quad \rho(\lambda) = \frac{1}{V_4} \sum_n \langle \delta(\lambda - \lambda_n) \rangle$$

[Banks, Casher (1980)]

If near-zero modes are localised Goldstone bosons disappear

[McKane, Stone (1981), Golterman, Shamir (2003)]

$$-\langle \partial_\mu \mathcal{A}_\mu^a(x) \mathcal{P}^b(0) \rangle + 2m \langle \mathcal{P}^a(x) \mathcal{P}^b(0) \rangle = \delta^{(4)}(x) \delta^{ab} \Sigma$$

$$\xrightarrow{p\text{-space}} ip_\mu \mathcal{G}_{AP\mu}(p) + 2m \mathcal{G}_{PP}(p) = \Sigma$$

$$\delta^{ab} \mathcal{G}_{\mathcal{O}_1 \mathcal{O}_2}(p) = \int d^4x e^{ip \cdot x} \langle \mathcal{O}_1^a(x) \mathcal{O}_2^b(0) \rangle$$

- if $\mathcal{G}_{PP}(p)$ reasonable as $m \rightarrow 0$, $\Sigma \neq 0 \Rightarrow$ pole at $p = 0$ in $\mathcal{G}_{AP\mu}(p)$
- localised modes $\Rightarrow \mathcal{G}_{PP}(p) \propto 1/m$, Goldstone bosons can disappear

$$\mathcal{G}_{AP\mu}(p) \xrightarrow{p \rightarrow 0} -\frac{ip_\mu}{p^2} [\Sigma - R] \quad R = \lim_{m \rightarrow 0} 2m \mathcal{G}_{PP}(0) \propto \rho_{\text{loc}}(0)$$

Goldstone theorem **evaded**: current **not** conserved, “anomalous” ($\sim m \cdot \frac{1}{m}$)
remnant R breaks symmetry explicitly also in chiral limit — **not in QCD!**

Goldstone modes and localisation at $T \neq 0$

Goldstone theorem at $T \neq 0$: spontaneous breaking of continuous symmetry implies **massless quasi-particle** excitations [see Strocchi (2008)]

Similar strategy: relate Euclidean Dirac spectrum and physical spectrum via axial nonsinglet Ward identity (use spectral function [see Meyer (2011)])

$$\begin{aligned} \varrho^{\mathcal{AP}}(\omega, \vec{p} = 0) &= -i \int d^4x e^{i\omega t} \langle\langle [\hat{\mathcal{A}}_0^a(t, \vec{x}), \hat{\mathcal{P}}^b(0)] \rangle\rangle_T \\ &= -2\pi[\Sigma - \mathbb{R}]\delta(\omega) + (\text{regular at } \omega = 0) \end{aligned}$$

[MG (2020)]

$\mathbb{R} \neq 0$ if near-zero modes localised, Goldstone mode **only** if $\Sigma - \mathbb{R} \neq 0$

$$\begin{aligned} \Sigma &\xrightarrow{m \rightarrow 0} -\pi\rho(0) & \mathbb{R} &= \lim_{m \rightarrow 0} 2m\mathcal{G}_{PP}(0) \propto \rho_{\text{loc}}(0) \\ \rho(\lambda) &= \frac{T}{V} \langle \sum_n \delta(\lambda - \lambda_n) \rangle & \rho_{\text{loc}}(\lambda) &= \frac{T}{V} \langle \sum_{n \in \text{loc}} \delta(\lambda - \lambda_n) \rangle \end{aligned}$$

Pseudoscalar correlator

IR divergence from pseudoscalar correlator (continuum):

- expand in Dirac modes $\not{D}\psi_n = i\lambda_n\psi_n$

$$\langle \mathcal{P}_B^a(x) \mathcal{P}_B^b(0) \rangle = -\frac{\delta^{ab}}{2} \left\langle \sum_{n,n'} \frac{\mathcal{O}_{n'n}^{\gamma_5}(x) \mathcal{O}_{nn'}^{\gamma_5}(0)}{(i\lambda_n + m_B)(i\lambda_{n'} + m_B)} \right\rangle = -\delta^{ab} \Pi_B(x)$$

$$\mathcal{O}_{nn'}^{\Gamma}(x) \equiv (\psi_n(x), \Gamma \psi_{n'}(x))$$

- renormalise

$$\Pi(x) = Z_m^2 [\Pi_B(x) - \Pi^{\text{add. div.}}(x)] \quad m = Z_m^{-1} m_B \quad \lambda_n^R = Z_m^{-1} \lambda_n$$

$$\lim_{m \rightarrow 0} 2m \Pi(x) = 2 \lim_{m \rightarrow 0} \int_0^{\frac{x}{m}} dz \left(\frac{C^1(mz; m; x)}{z^2 + 1} + \frac{(1 - z^2) C^{\gamma_5}(mz; m; x)}{(z^2 + 1)^2} \right)$$

Zero modes negligible as $V \rightarrow \infty$
 $|\lambda_n^R| > \mu$ negligible as $m \rightarrow 0$

$$C^{\Gamma}(\lambda; m; x) \equiv \left\langle \sum_{\lambda_n^R \neq 0} \delta(\lambda - \lambda_n^R) \mathcal{O}_{nn}^{\Gamma}(x) \mathcal{O}_{nn}^{\Gamma}(0) \right\rangle$$

Pseudoscalar correlator and localised modes

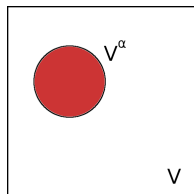
Large- V behaviour of $C_V^\Gamma = \langle \sum_n \delta(\lambda - \lambda_n^R) \mathcal{O}_{nn}^\Gamma(x) \mathcal{O}_{nn}^\Gamma(0) \rangle$

$$|\langle \mathcal{O}_{nn}^\Gamma(x) \mathcal{O}_{nn}^\Gamma(0) \rangle| \leq \langle \|\psi_n(x)\|^2 \|\psi_n(0)\|^2 \rangle \leq \langle \|\psi_n(0)\|^4 \rangle = \frac{T}{V} \overbrace{\langle \int_T d^4x \|\psi_n(x)\|^4 \rangle}^{\text{IPR}_n}$$

$$\int_T d^4x = \int_0^{\frac{1}{T}} dt \int d^3x$$

ψ_n supported in $V^\alpha \Rightarrow \|\psi_n\|^2 \sim \frac{1}{V^\alpha}$ inside R_α

$$\langle \text{IPR}_n \rangle \sim \underbrace{\frac{1}{V^{2\alpha}}}_{\|\psi_n(0)\|^4} \times \underbrace{V^\alpha}_{\text{size of } R_\alpha} \sim \frac{1}{V^\alpha}$$



$$|C_V^\Gamma(\lambda; m; x)| \lesssim \frac{T}{V} \sum_n \langle \delta(\lambda - \lambda_n^R) \text{IPR}_n \rangle \sim \rho(\lambda) \times V^{-\alpha(\lambda)}$$

$C_V^\Gamma \rightarrow 0$ unless $\alpha = 0 \Rightarrow$ only localised modes contribute

$$C^\Gamma = \lim_{V \rightarrow \infty} C_V^\Gamma = C_{\text{loc}}^\Gamma$$

Localised modes and Goldstone excitations

Assume near-zero localised modes in $\lambda \in [0, \lambda_c(m)] \implies C_{\text{loc}}^\Gamma, \rho_{\text{loc}} \neq 0$

$$-R = \int_{\mathcal{T}} d^4x \lim_{m \rightarrow 0} 2m\Pi(x) = \pi\xi \rho_{\text{loc}}(0) \quad \xi = \lim_{m \rightarrow 0} \frac{2}{\pi} \arctan \frac{\lambda_c(m)}{m}$$

$\lambda_c(m)/m$ is renormalisation group invariant
Localised modes \Rightarrow can exchange order of limits

$$g^{AP}(\omega, \vec{p} = 0)|_{\text{singular}} = -2\pi[\Sigma - R]\delta(\omega) = 2\pi^2\rho(0) \left(1 - \xi \frac{\rho_{\text{loc}}(0)}{\rho(0)}\right) \delta(\omega)$$

Localised and delocalised modes usually
do not coexist $\Rightarrow \rho_{\text{loc}}(0)/\rho(0) = 0, 1$

- 1 no near-zero localised modes, $\rho_{\text{loc}}(0) = 0$, or $\rho_{\text{loc}}(0) \neq 0$ but $\xi = 0$
 \Rightarrow Goldstone excitations present if $\rho(0) \neq 0$ (standard scenario)
- 2 near-zero localised modes, $\rho_{\text{loc}}(0) \neq 0$, and $0 < \xi < 1$
 \Rightarrow Goldstone excitations present (but modified “residue”)
- 3 near-zero localised modes, $\rho_{\text{loc}}(0) \neq 0$, and $\xi = 1$ (e.g., $\lambda_c(0) \neq 0$)
 \Rightarrow Goldstone excitations disappear

Summary and outlook

In the presence of a finite density of near-zero **localised** Dirac modes

- pseudoscalar correlator diverges $\sim 1/m$ (unless $\frac{\lambda_c}{m} \rightarrow 0$)
- axial nonsinglet Ward identity in the chiral limit modified by “anomalous” remnant, current **not** conserved (Goldstone theorem evaded)
- spectral function modified, Goldstone excitations disappear if $\frac{\lambda_c}{m} \rightarrow \infty$ (modified residue if $\frac{\lambda_c}{m} \rightarrow \text{finite}$)

Open issues:

- is there an explicit realisation of the non-standard scenarios?
- phenomenological consequences?



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Proof of Goldstone theorem at $T \neq 0$ using WI

$T \neq 0$ Goldstone theorem: spontaneous breaking of continuous symmetry implies **massless quasi-particle excitations** [see Strocchi (2008)]

- axial nonsinglet Ward identity in coordinate space holds also at $T \neq 0$, compact t -direction restricts p_4 to Matsubara frequencies $\omega_n = 2\pi nT$, $n \in \mathbb{Z}$

$$i\omega_n \mathcal{G}_{AP4}(\omega_n, \vec{p}) + i\vec{p} \cdot \vec{\mathcal{G}}_{AP}(\omega_n, \vec{p}) + 2m\mathcal{G}_{PP}(\omega_n, \vec{p}) = \Sigma$$

- take $\vec{p} \rightarrow 0$ and analytically continue to $\Omega \in \mathbb{C}$ (Carlson's theorem)

$$\bar{G}(\Omega) \equiv \bar{\mathcal{G}}_{AP4}(\Omega, \vec{0}) = \frac{1}{i\Omega} [\Sigma - 2m\bar{\mathcal{G}}_{PP}(\Omega, \vec{0})] \equiv \frac{1}{i\Omega} [\Sigma - \bar{R}(\Omega)]$$

$$\vec{p} \cdot \vec{\mathcal{G}}_{AP}(\omega_n \neq 0, \vec{p}) \rightarrow 0 \text{ as } \vec{p} \rightarrow 0 \text{ due to relativistic locality}$$

- zero-momentum A-P spectral function using KMS condition [see Meyer (2011)]

$$\begin{aligned} i\rho^{AP}(\omega, \vec{p} = 0) &= \bar{G}(0^+ - i\omega) - \bar{G}(0^- - i\omega) \\ &= -2\pi i [\Sigma - \bar{R}(0^+)] \delta(\omega) + (\text{regular at } \omega = 0) \end{aligned}$$

- $R(0) = \bar{R}(0^+)$ if transport peak $\rho(\omega) \propto \omega\delta(\omega)$ absent

Not expected and not observed in pseudoscalar channel [Burnier *et al.* (2017)]

- chiral limit: if $R \rightarrow 0$ and $\Sigma \neq 0$ (SSB) \Rightarrow **massless quasi-particle excitation**

- if $R \not\rightarrow 0$, Goldstone mode present **if $\Sigma - R(0) \neq 0$**