

Lattice improvement of nuclear shape calculations using unitary transformations

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Introduction

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[Eur. Phys. J. A 41, 125 \(2009\)](#); [Phys. Rev. Lett. 106, 192501 \(2011\)](#); [Phys. Rev. Lett. 109, 252501 \(2012\)](#);

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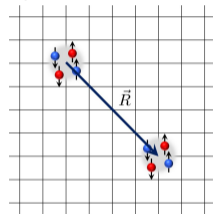
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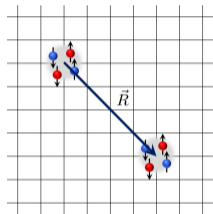
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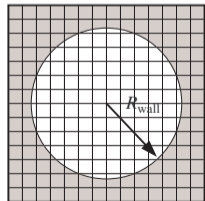
- From this adiabatic Hamiltonian, one can extract phase shifts by applying spherical wall boundary conditions & projection onto partial waves.

(Lüscher's method is not accurate enough here because the error of the Monte-Carlo energy levels is larger than the separation between these levels.)

[Phys. Lett. B 760, 309 \(2016\)](#); [Phys. Rev. C 98, 044002 \(2018\)](#); [Phys. Rev. C 100, 064001 \(2019\)](#)



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- It changes the ground state wave function while leaving the expectation values of the Hamiltonian H (and thus the phase shifts) invariant.
(This property is also used for similarity renormalization group (SRG) transformations.)
- The contribution $\langle \psi | (U^\dagger r^2 U - r^2) | \psi \rangle$ induced by the unitary transformation is interpreted to be missing in the original lattice calculation.

Benchmark toy-model Hamiltonian

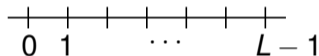
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discretize H using $O(a^4)$ -improved dispersion relation ([Eur. Phys. J. A 34, 185 \(2007\)](#)):

$$\begin{aligned} H = & \frac{49}{12m} \sum_x a^\dagger(x)a(x) - \frac{3}{4m} \sum_x a^\dagger(x)(a(x+1) + a(x-1)) \\ & + \frac{3}{40m} \sum_x a^\dagger(x)(a(x+2) + a(x-2)) \\ & - \frac{1}{180m} \sum_x a^\dagger(x)(a(x+3) + a(x-3)) + \frac{C}{2} \sum_x a^\dagger(x)a^\dagger(x)a(x)a(x) \end{aligned}$$

Unitary transformation for two particles

solve Schrödinger equation $H|\psi\rangle = E|\psi\rangle$ using Lanczos algorithm
to determine ground state $\psi_A(x_1, x_2)$ as eigenvector of H

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modify wave function up to range R : (to tune $\langle r^n \rangle$ order by order)

$$\psi_B(c_{12}, d_{12}) = \psi_A(c_{12}, d_{12}) + \Delta\psi(c_{12}, d_{12}) \quad \text{for } d_{12} = 0, 1, \dots, R$$

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construct U as reflection transformation with $U|u\rangle = -|u\rangle$:

$$U = 1 - 2|u\rangle\langle u| \quad \text{for } |u\rangle = |\Delta\psi\rangle / \sqrt{\langle \Delta\psi | \Delta\psi \rangle} \quad \text{or}$$

$$\langle x_1, x_2 | U | x'_1, x'_2 \rangle = \delta_{x_1, x'_1} \delta_{x_2, x'_2} - 2\delta_{c_{12}, c'_{12}} \frac{\Delta\psi(c_{12}, d_{12}) \Delta\psi(c_{12}, d'_{12})}{\sum_{d''_{12}} |\Delta\psi(c_{12}, d''_{12})|^2}$$

Unitary transformation for three particles

generalization for three particles (with $(i, j, k) = (1, 2, 3), (1, 3, 2), (2, 3, 1)$):

$$\begin{aligned} \langle x_1, x_2, x_3 | U_{3p} | x'_1, x'_2, x'_3 \rangle &= \delta_{x_1, x'_1} \delta_{x_2, x'_2} \delta_{x_3, x'_3} \\ &\quad - 2 \sum_{(i,j,k)} \delta_{c_{ij}, c'_{ij}} \frac{\Delta\psi(c_{ij}, d_{ij}) \Delta\psi(c_{ij}, d'_{ij})}{\sum d''_{ij} |\Delta\psi(c_{ij}, d''_{ij})|^2} \delta_{x_k, x'_k} \end{aligned}$$

not unitary (since additional terms do not cancel in $U_{3p} U_{3p}^\dagger$ as for 2 particles)

Unitary transformation for three particles

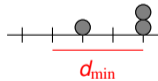
generalization for three particles (with $(i, j, k) = (1, 2, 3), (1, 3, 2), (2, 3, 1)$):

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(This makes the transformation “locally unitary” for the particles i and j .)



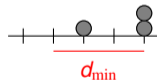
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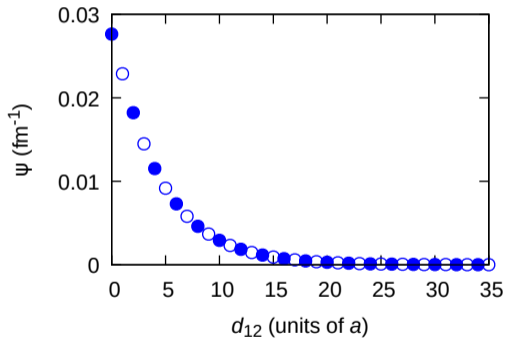
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Results for two particles

plot wave function vs. d_{12} for each c_{12} :

(ψ obtained at even/odd distances separately)

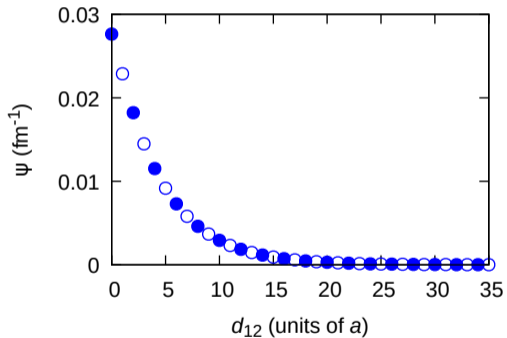


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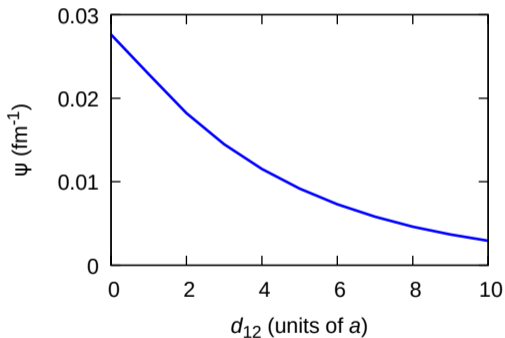
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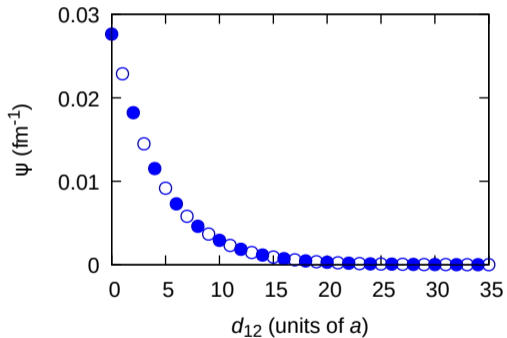
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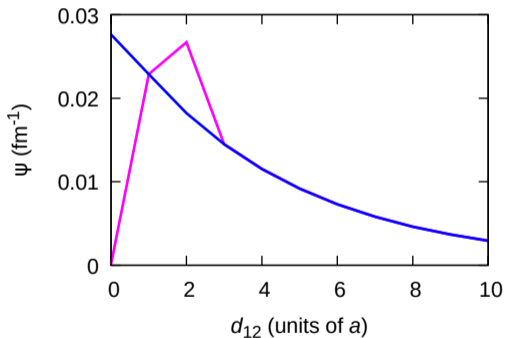
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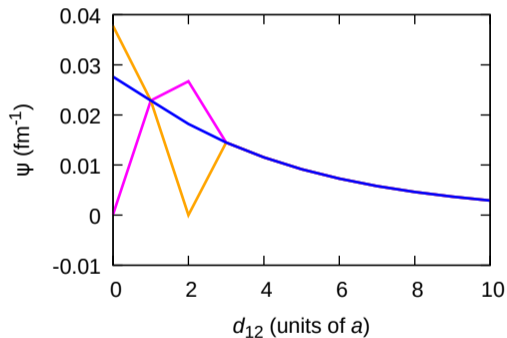
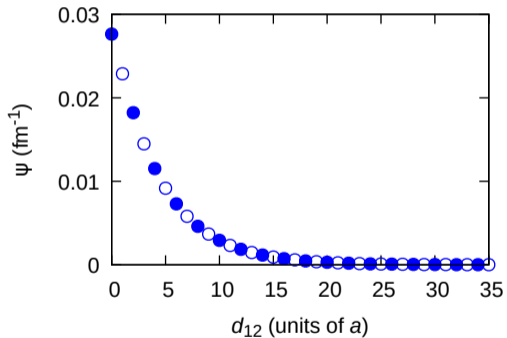
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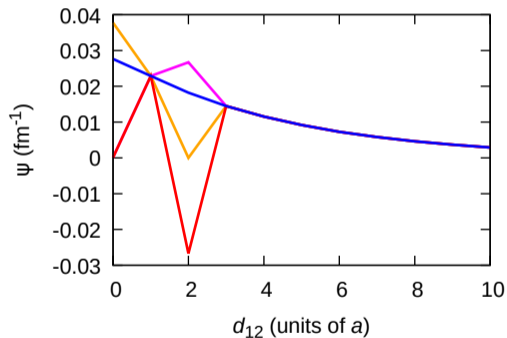
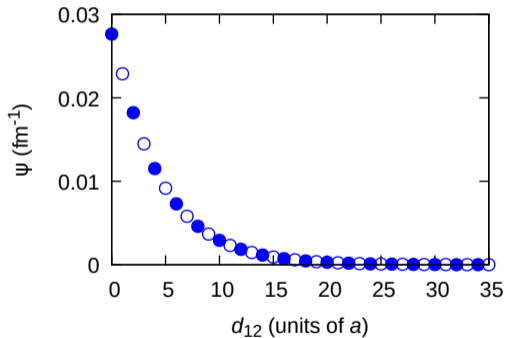
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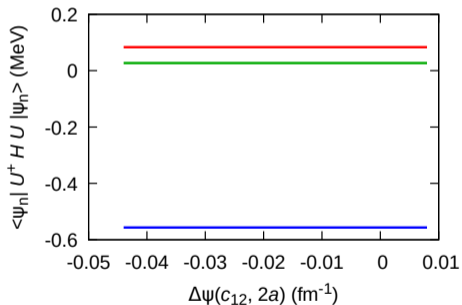
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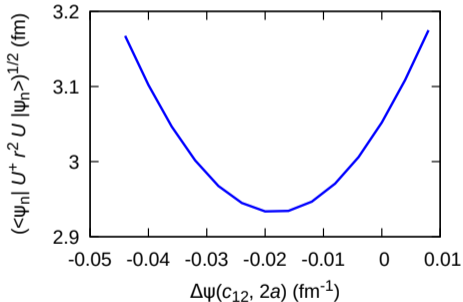
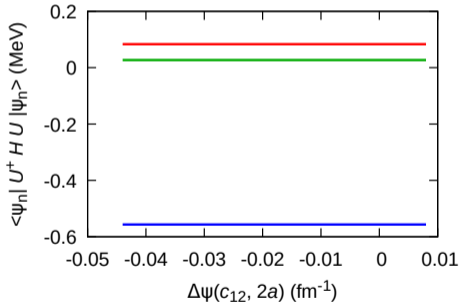
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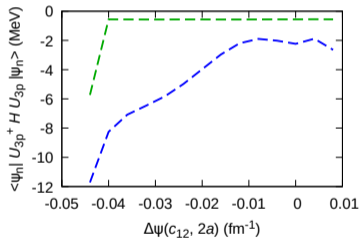
- energies do not change under unitary transformation
- significant dependence of RMS radius on $\Delta\psi(c_{12}, 2a)$



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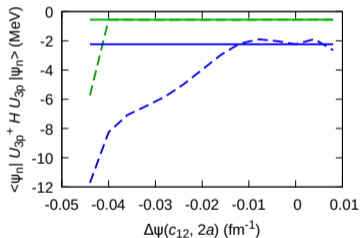
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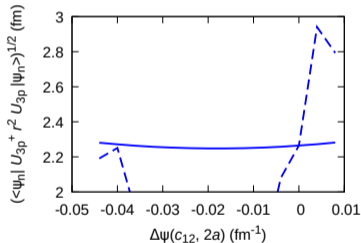
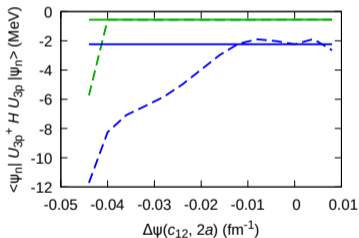
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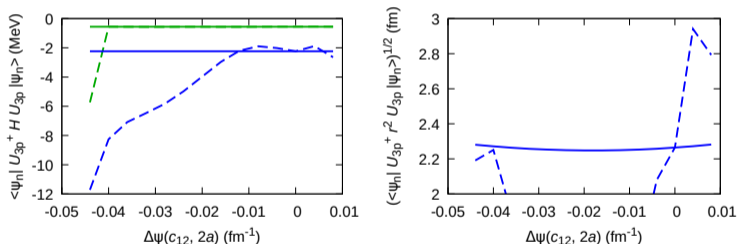
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- prediction for $N > 3$ may be improved by additional three-body term in U :

$$\langle x_1, x_2, x_3 | (U'_{3p} - U_{3p}) | x'_1, x'_2, x'_3 \rangle = \delta_{c_{123}, c'_{123}} f(\{c_{ij}\}, \{c'_{ij}\}, d_{12}, d_{13}, d'_{12}, d'_{13})$$

with $c_{123} = (x_1 + x_2 + x_3)/3$

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- choose smaller L for three particles than for two particles

Thank you for your attention!

Backup slides

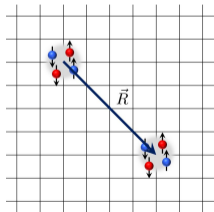
Adiabatic projection method

define two-cluster states (here in 1D):

$$|d\rangle = \sum_{x=0}^{L-1} |x+d\rangle_{\text{cluster 1}} \otimes |x\rangle_{\text{cluster 2}}$$

evolve them in Euclidean time to obtain dressed cluster states $|d\rangle_\tau = e^{-H\tau} |d\rangle$

calculate matrix elements $\tau \langle d | H | d' \rangle_\tau$ of adiabatic Hamiltonian using Monte Carlo simulation



[Phys. Rev. C 92, 054612 \(2015\)](#); [Nature 528, 111 \(2015\)](#)

Modification of H vs. modification of ψ

There are two different points of view on applying the unitary transformation:

- apply U to wave function:

$$(\langle \psi | U^\dagger) H (U | \psi \rangle), \quad (\langle \psi | U^\dagger) r^2 (U | \psi \rangle)$$

- apply U to operators:

$$\langle \psi | (U^\dagger H U) | \psi \rangle, \quad \langle \psi | (U^\dagger r^2 U) | \psi \rangle$$

Notice that combining these two possibilities would cause no effect:

~~$$\langle \psi | U^\dagger U H U^\dagger U | \psi \rangle \equiv \langle \psi | H | \psi \rangle,$$~~

~~$$\langle \psi | U^\dagger U r^2 U^\dagger U | \psi \rangle \equiv \langle \psi | r^2 | \psi \rangle$$~~

Similarity renormalization group (SRG) transformations

make Hamiltonian $H(0)$ more diagonal using a unitary transformation:

$$H(s) = U(s)H(s=0)U^\dagger(s)$$

where the Hamiltonian can be split as

$$H(s) = H_{\text{diag}}(s) + H_{\text{off-diag}}(s) \quad \text{with} \quad H(s) \xrightarrow{s \rightarrow \infty} H_{\text{diag}}(s), \quad H_{\text{off-diag}}(s) \xrightarrow{s \rightarrow \infty} 0$$

solve SRG flow equation to obtain $H(s)$:

$$\frac{dH(s)}{ds} = \left[\frac{dU(s)}{ds} U^\dagger(s), H(s) \right]$$

Operators & states

annihilation/creation operators for distinguishable particles: ((anti)symmetrize later)

$$a^{(\dagger)}(x) = a_1^{(\dagger)}(x) + \dots + a_N^{(\dagger)}(x), \quad \langle x_1, \dots, x_N | y_1, \dots, y_N \rangle = \delta_{x_1, y_1} \dots \delta_{x_N, y_N},$$

$$a_i(x) |x_1, \dots, x_{i-1}, x_i, x_{i+1}, \dots, x_N\rangle = \delta_{x, x_i} |x_1, \dots, x_{i-1}, \text{vac}, x_{i+1}, \dots, x_N\rangle,$$

$$a_i(x) |x_1, \dots, x_{i-1}, \text{vac}, x_{i+1}, \dots, x_N\rangle = a_i^\dagger(x) |x_1, \dots, x_{i-1}, x_i, x_{i+1}, \dots, x_N\rangle = 0,$$

$$a_i^\dagger(x) |x_1, \dots, x_{i-1}, \text{vac}, x_{i+1}, \dots, x_N\rangle = |x_1, \dots, x_{i-1}, x, x_{i+1}, \dots, x_N\rangle$$

r^2 -operator in second quantization:

$$r^2 = \frac{1}{N} \sum_{x=0}^{L-1} a^\dagger(x) a(x) \left(x - \frac{1}{N} \sum_{x'=0}^{L-1} a^\dagger(x') a(x') x' \right)^2$$

states with zero total momentum:

$$|d_1, \dots, d_{N-1}\rangle_{P_{\text{tot}}=0} = \sum_{x=0}^{L-1} |x + d_1, \dots, x + d_{N-1}, x\rangle$$

Center of mass & distance

The periodic boundary conditions must be taken into account in the definition of the center of mass c and the distance d :

$$c_{ij} = \begin{cases} (x_i + x_j)/2 & \text{if } |x_i - x_j| < L - |x_i - x_j| \\ (x_i + x_j - L)/2 \pmod L & \text{if } |x_i - x_j| \geq L - |x_i - x_j| \end{cases},$$
$$d(x, y) = \min(|x - y|, L - |x - y|)$$