

# The decoupling strategy for the determination of $\alpha_s$

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## Reminder of $\Lambda$ -parameter and RGI quark mass

Given  $\bar{g}_s(\mu)$ ,  $\bar{m}_s(\mu)$  in mass independent scheme  $s$ ,  $N_f$  quarks:

$$\Lambda_s^{(N_f)} = \mu \times \varphi_s^{(N_f)}(\bar{g}_s^{(N_f)}(\mu)),$$

$$\varphi_s^{(N_f)}(\bar{g}_s) = (b_0 \bar{g}_s^2)^{-b_1/(2b_0^2)} e^{-1/(2b_0 \bar{g}_s^2)} \times \exp \left\{ - \int_0^{\bar{g}_s} dx \left[ \frac{1}{\beta_s(x)} + \frac{1}{b_0 x^3} - \frac{b_1}{b_0^2 x} \right] \right\}$$

$$M = \bar{m}_s(\mu) [2b_0 \bar{g}_s^2(\mu)]^{-\frac{d_0}{2b_0}} \exp \left\{ - \int_0^{\bar{g}_s(\mu)} \left[ \frac{\tau_s(x)}{\beta_s(x)} - \frac{d_0}{b_0 x} \right] dx \right\},$$

- Scheme dependence:  $\Lambda_s^{(N_f)} / \Lambda_{\overline{\text{MS}}}^{(N_f)}$  *exactly* computable in a 1-loop calculation
- $\beta$ -function,  $\beta(g) = -b_0 g^3 - b_1 g^5 + \dots$ , and quark mass anomalous dimension  $\tau(g) = -d_0 g^2 - d_1 g^4$  have leading coefficients
$$b_0 = (11 - \frac{2}{3} N_f)/(4\pi)^2, \quad d_0/2b_0 = 4/(11 - \frac{2}{3} N_f) \quad (= 4/9 \quad \text{if } N_f = 3).$$
- We define  $m_\star = \bar{m}_{\overline{\text{MS}}}(\bar{m}_{\overline{\text{MS}}})$

# Decoupling in terms of $\Lambda$ , $M$

Athenodorou et al (ALPHA '18) reformulate decoupling in terms of the  $\Lambda$ -parameter:

$$\underbrace{\frac{\Lambda_{\overline{\text{MS}}}(N_f)}{\mu_{\text{dec}}}}_{\text{target}} \times P \left( \underbrace{\frac{M}{\mu_{\text{dec}}} \frac{\mu_{\text{dec}}}{\Lambda_{\overline{\text{MS}}}(N_f)}}_{\text{pert. in } \alpha_{\overline{\text{MS}}}(m_*)} \right) = \underbrace{\frac{\Lambda_{\overline{\text{MS}}}^{(0)}}{\Lambda_s^{(0)}}}_{\text{known constant}} \times \underbrace{\varphi_s^{(0)}(\sqrt{u_M})}_{\text{massive } \bar{g}} + O(M^{-k}), \quad k=?$$

step-scaling  $N_f = 0$   
 (Dalla Brida & Ramos '19)  
 (Nada & Ramos '20)

Decoupling strategy (Dalla Brida et al, ALPHA '19)

- Gradient flow couplings in finite volume,  $s' = \text{GF}$  and  $s = \text{GFT}$  (s. below)
- Define scale  $\mu_{\text{dec}}$  by  $\bar{g}_{s'}^2(\mu_{\text{dec}}) = C_{\text{dec}}$
- ⇒ measure massive coupling  $u_M = \bar{g}_s^2(\mu_{\text{dec}}, M)$  at fixed  $\mu_{\text{dec}}$  and large values of  $z = M/\mu_{\text{dec}}$ .
- Approach to continuum and decoupling limit of  $u_M$ :
  - leading power  $k$  of  $1/M$ ?
  - leading logarithmic corrections to  $a^2$  and  $1/M^k$ ?

Gradient flow couplings:

- GF-scheme: SF boundary conditions at  $x_0 = 0, T$ ; projection to  $Q_{\text{top}} = 0$ :

$$\bar{g}_{\text{GF}}^2(L) = \mathcal{N}^{-1} \sum_{i,j=1}^3 \int d^3\mathbf{x} t^2 \langle \text{tr} \{G_{ij}(t, x) G_{ij}(t, x)\} \rangle \Bigg|_{\substack{x_0=T/2, c=\sqrt{8t}/L \\ T=L, M=0}}$$

- GFT-scheme: same as GF but  $T = 2L$ , i.e. double the distance to the times boundaries at  $x_0 = 0, T$
- flow-time  $t$ , fix the ratio  $\sqrt{8t}/L = c$ ; in practice  $c = 0.3, 0.42$
- Choice of schemes: GF to define  $\mu_{\text{dec}}$ , GFT with  $M \neq 0$  to define  $u_M$ .
- GF couplings are proportional to expectation values  $\langle O_{\text{gf}} \rangle$ .

Assume non-perturbative  $O(a)$  improvement, obtain  $O(a^2)$  effects using the Symanzik expansion for gradient flow observable  $O_{\text{gf}}$  (ignore time boundaries):

$$\langle O_{\text{gf}} \rangle_{\text{lat}} = \langle O_{\text{gf}} \rangle_{\text{cont}} - a^2 \langle O_{\text{gf}} S_2 \rangle_{\text{cont}} + O(a^3)$$

- All  $a^2$  corrections to gradient flow observables arise from insertion of

$$S_2 = \int d^4x \sum_{i=1}^{18} \omega_i O_i^{d=6}$$

- Effective theory can be treated in renormalized continuum PT ( $\overline{\text{MS}}$ -scheme) at the scale  $\mu = 1/a$ , with  $\omega_i = \omega_i(\alpha_{\overline{\text{MS}}}^{(N_f)}(1/a))$ .
- Given the 1-loop anomalous dimension **matrix**,  $\gamma_0$ , pass to RGI operators (Balog, Niedermayer, Weisz '09; Husung, Marquard, Sommer '19-21)

$$(O_{\text{R}})_i(\mu = 1/a) \propto \sum_{j=1}^{18} \left( [\alpha_{\overline{\text{MS}}}^{(N_f)}(1/a)]^{\gamma_0/2b_0} \right)_{ij} O_j^{\text{RGI}} \times (1 + O(\bar{g}^2))$$

- Changing to an operator basis with diagonal  $\gamma_0$  renders the leading  $a^2 [\alpha(1/a)]^{\hat{\Gamma}_i}$  terms explicit (cf. talk by N. Husung).
- Exponents  $\hat{\Gamma}_i$  obtained as eigenvalues of  $\gamma_0/2b_0$ , shifted by 0 or 1, depending on leading order in expansion of  $\omega_i$ 's.
- Gradient flow observables: complete matrix  $\gamma_0$  only known for Yang-Mills theory (Husung '21)

Gluonic observables: quark mass dependence through fermion determinant:

- Ginsparg-Wilson regularization & index theorem (each quark flavour):

$$\det[D_{\text{GW}}(-m)] = (-1)^{Q_{\text{top}}} \det[D_{\text{GW}}(m)]$$

⇒ must hold in continuum theory, too!

- Spurion analysis (either  $N_f$  even or  $N_f > 2$  odd with  $Q_{\text{top}} = 0$  projection):

$$\Rightarrow \quad \langle O_{\text{gf}} \rangle_{(m)} = \langle O_{\text{gf}} \rangle_{(-m)}, \quad \langle O_{\text{gf}} S_2 \rangle_{(m)} = \langle O_{\text{gf}} S_2 \rangle_{(-m)},$$

- Conclusion for GF couplings with Wilson quarks (ignoring boundaries):
  - no  $1/M$  or  $a^2/M$  corrections;
  - no  $a^2 M$ ,  $a^2 M^3$  corrections
  - $a^2 M^2$  effects are the leading cutoff effects, from operators (in  $S_2$ ):

$$m^2 \times \underbrace{\frac{1}{g^2} \text{tr}(F_{\mu\nu} F_{\mu\nu})}_{\equiv \mathcal{B}_0}, \quad m^3 \bar{\psi} \psi \quad (\in S_2)$$

# Decoupling expansion for $\langle O_{\text{gf}} \rangle$

- Effective decoupling theory, action:

$$S_{\text{dec}} = S_{\text{dec},0} + \frac{1}{m^2} S_{\text{dec},2} + O(1/m^4), \quad S_{\text{dec},0} = \int d^4x \frac{1}{2g_0^2} \text{tr} (F_{\mu\nu}(x) F_{\mu\nu}(x))$$

- 2 dimension-6 pure gauge operators:

$$S_{\text{dec},2} = \int d^4x \left\{ \tilde{\omega}_1 \frac{1}{g^2} \text{tr} (D_\mu F_{\mu\nu} D_\rho F_{\rho\nu}) + \tilde{\omega}_2 \frac{1}{g^2} \text{tr} (D_\mu F_{\rho\nu} D_\mu F_{\rho\nu}) \right\}$$

Their one-loop anomalous dimension matrix is known (Husung '21),  
eigenvalues:  $\hat{\gamma}_{1,2} = 0, 7/11$

- In diagonal basis & using  $M/m_\star \propto [\alpha(m_\star)]^{4/9} [1 + O(\alpha)]$ :

$$\langle O_{\text{gf}} \rangle = \langle O_{\text{gf}} \rangle_{\text{dec}} + A_1 \frac{\alpha_{\overline{\text{MS}}}(m_\star)^{-8/9+n}}{M^2} \left( 1 + A_2 \alpha_{\overline{\text{MS}}}(m_\star)^{7/11} + O[\alpha_{\overline{\text{MS}}}(m_\star)] \right)$$

- $A_{1,2}$  are constants and  $\tilde{\omega}_{1,2}(\alpha) = k_{1,2} \alpha^n + O(\alpha^{n+1})$ .
- Note that  $\alpha_{\overline{\text{MS}}}^{(N_f)}(m_\star) = \alpha_{\overline{\text{MS}}}^{(N_f=0)}(m_\star) + O(\alpha^3)$ , so no distinction required.

# Decoupling expansion of $a^2$ terms

- Expanding  $\langle O_{\text{gf}} \underbrace{O_i(\mu)}_{\in S_2} \rangle_{\text{cont}}$  proceeds in 2 steps:

- 1 RG evolve from  $\mu = 1/a$  to  $\mu = m_*$  using leading order  $\gamma_0$ , mixing matrix:

$$\left( [\alpha_{\overline{\text{MS}}}^{(N_f)}(1/a)] / \alpha_{\overline{\text{MS}}}^{(N_f)}(m_*) \right)^{\gamma_0}$$

- 2 At scale  $\mu = m_*$  match to effective  $N_f = 0$  theory:

- 1 effective field for observable:  $[O_{\text{gf}} O_i(\mu)]_{\text{dec}} = \Phi_0 + \Phi_1/m_*^2 + \dots$ ;  
 $\Phi_0$ : 3 possible operators:  $O_{\text{gf}} \times B_{1,2}$  and  $m_*^2 \times O_{\text{gf}} \times B_0$ .  
 $\langle \Phi_0 \rangle_{\text{dec}} \rightarrow a^2 M^2, a^2$
- 2 insertion  $\langle \Phi_0 S_{\text{dec},2} \rangle_{\text{dec}} \rightarrow a^2 M^2 / M^2 = a^2$
- 3  $\langle \Phi_1 \rangle_{\text{dec}}$ : new terms  $\propto a^2 / M^2 \rightarrow$  neglect!

- Continuum extrapolation at fixed values  $m_{*,i}$  (with constants  $c_i, p_{1,2}$ ):

$$u_{M_i} = c_i + p_{1,i} \times a^2 M_i^2 [\alpha_{\overline{\text{MS}}}^{(N_f)}(1/a)]^{\hat{\Gamma}_1} + p_{2,i} \times \frac{a^2}{L^2} [\alpha_{\overline{\text{MS}}}^{(N_f)}(1/a)]^{\hat{\Gamma}_2} + \dots$$

- Leading exponents  $\hat{\Gamma}_{1,2}$  not yet known but likely in the range  $[-1, 1]$  for the CLS action.



# Boundary effects

SF boundary conditions  $\Rightarrow S_{\text{dec}}$  gets additional boundary term at order  $1/m$ :

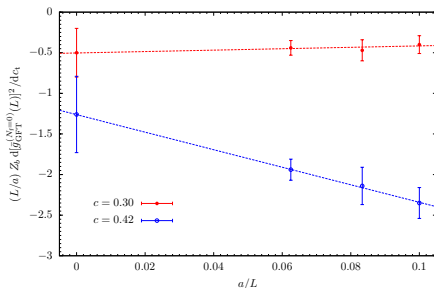
$$S_{\text{dec},1} = \omega_b \int d^3 \mathbf{x} \text{tr} \{ F_{0k}(0, \mathbf{x}) F_{0k}(0, \mathbf{x}) + F_{0k}(T, \mathbf{x}) F_{0k}(T, \mathbf{x}) \}$$

Define & estimate contribution to  $O_{\text{gf}} = \text{GFT coupling}$

$$\begin{aligned} \Delta(z) &= \langle O_{\text{gf}} \rangle - \langle O_{\text{gf}} \rangle_{\text{dec}} = -\frac{1}{m_\star} \langle O_{\text{gf}} S_{1,\text{dec}} \rangle_{\text{dec}} + \dots, \quad z = ML_{\text{dec}} \\ &= \frac{\omega_b}{z} \frac{M}{m_\star} \left( \lim_{a \rightarrow 0} \frac{L_{\text{dec}}}{a} Z_b \frac{d}{dc_t} \left[ \bar{g}_{\text{GFT}}^{(N_f=0)}(L_{\text{dec}}) \right]^2 \right) \end{aligned}$$

- $\omega_b = -0.054 \times N_f \times \alpha_{\overline{\text{MS}}}(m_\star) + \dots$   
from SF coupling (S.& Sommer '95):
- insertion of bare lattice Hamiltonian measurable as  $c_t$ -derivative, use 1-loop renormalization  $Z_b$ .
- Using  $z = 4$  and  $z = 6$  and flowtime parameters  $c = 0.3, 0.42$

$\Rightarrow 1/M$  "contamination" in GFT coupling  $u_M$  is reasonably small compared to statistical error  $\rightarrow$  negligible!



- Theoretical framework of decoupling for gradient flow observables well-developed, based on effective Symanzik and decoupling theories.
- PT only used at the scales  $\mu = m_\star$  (effective decoupling theory) and  $\mu = 1/a$  (Symanzik effective theory)
- With non-perturbatively  $O(a)$  improved Wilson quarks and  $O(a^2)$  improved gradient flow (Zeuthen flow) we find
  - No odd terms in  $M$  in the bulk and up to  $O(a^2)$ ; Leading correction terms:
    - $\propto a^2 M^2$ ,  $a^2$  in the continuum limit;
    - $\propto 1/M^2$  in the decoupling limit.
  - The decoupling limit (like the continuum limit) receives logarithmic correction to  $1/M^2$ , which take the form  $\alpha(m_\star)^{\hat{\Gamma}}/M^2$  with fractional exponents  $\hat{\Gamma}$ .
- SF b.c's: contaminations by  $O(1/M)$  boundary terms below statistical error.
- Given  $u_M = u_\infty + \Delta u$  with  $\Delta u = [\alpha(m_\star)]^{-8/9+n}/M^2 + \dots$ , translate to  $\Lambda$ -parameter:

$$\varphi_s^{(0)}(\sqrt{u_M}) = \varphi_s^{(0)}(\sqrt{u_\infty}) + \Delta u \times \frac{\varphi_s^{(0)}(\sqrt{u_\infty})}{-2\sqrt{u_\infty}\beta_s(\sqrt{u_\infty})} + \dots$$

( $\rightarrow$  talk by Roman Höllwieser)

Thank you!