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Quantum field-theoretic machine learning

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Joint work with Profs. [Gert Aarts](#) and [Biagio Lucini](#).

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Can we view machine learning as part of  
quantum field theory?

And why?

## Probability distribution

A probability distribution is a product of **strictly positive** and appropriately normalized **factors** (or **potential functions**)  $\psi$ :

$$p(\phi) = \frac{\prod_{c \in C} \psi_c(\phi)}{\int_{\phi} \prod_{c \in C} \psi_c(\phi) d\phi},$$

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1. **Factors are the fundamental building blocks of probability distributions.**
2. **By controlling the factors we are able to control the probability distribution.**

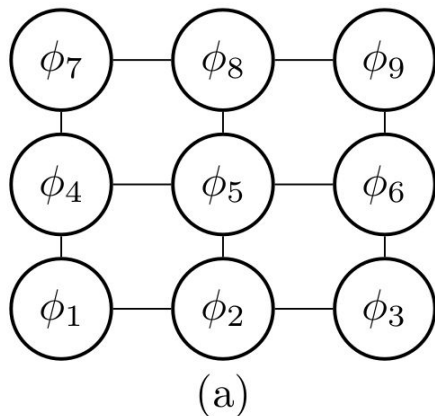
## Representation

We require some form of **representation** to construct the probability distribution. We are going to use a finite set  $\Lambda$  that we express as a **graph**  $\mathcal{G}(\Lambda, e)$  where  $e$  is the set of edges in  $\mathcal{G}$ .

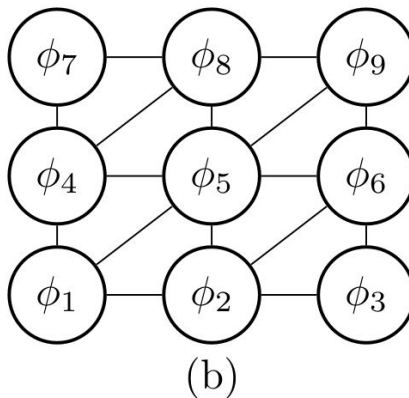
A **clique**  $c$  is a subset of  $\Lambda$  where the points are pairwise connected. A **maximal clique** is a clique where we cannot add another point that is pairwise connected with **all** the points in the subset.

# Representation

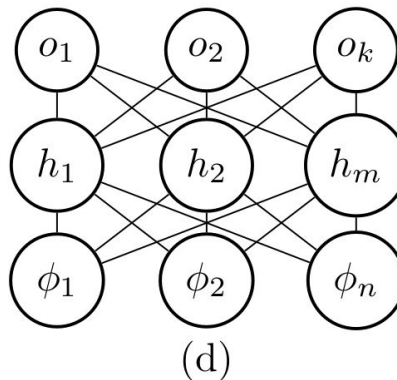
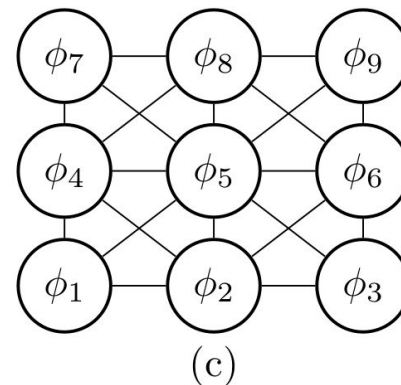
On the **square lattice** a **maximal clique** is an **edge**.



On a **triangular lattice** a **maximal clique** is a **triangle**.



On the **square lattice with both diagonals** a **maximal clique** is a **square**.

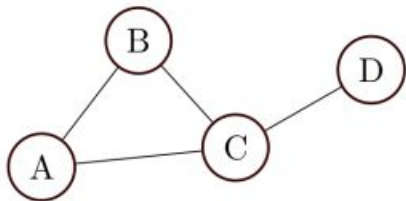


On the **bipartite graph**, which represents standard neural network architectures a **maximal clique** is an **edge**.

## Representation

Given a graph  $\mathcal{G}(\Lambda, \mathbf{e})$ , the random variables  $\phi_i$  at each point  $i$  define a **Markov random field** if they fulfill the **local Markov property** with respect to  $\mathcal{G}$ .

The local Markov property denotes that a random variable  $\phi_i$  depends only on its neighbors and it is conditionally independent of all other random variables in the set:



$$p(\phi_i | (\phi_j)_{j \in \Lambda - \phi_i}) = p(\phi_i | (\phi_j)_{j \in n_i}).$$



## Representation

### Hammersley-Clifford theorem

A strictly positive distribution  $p$  satisfies the local Markov property of an undirected graph  $\mathcal{G}$ , if and only if  $p$  can be represented as a product of strictly positive potential functions  $\psi_c$  over  $\mathcal{G}$ , one per maximal clique  $c$ , i.e.

$$p(\phi) = \frac{1}{Z} \prod_{c \in C} \psi_c(\phi), \quad Z = \int_{\phi} \prod_{c \in C} \psi_c(\phi) d\phi$$

where  $Z$  is the partition function and  $\phi$  are all possible states of the system.

# Representation

There are two different directions to pursue:

1. We can devise potential functions that satisfy the Hammersley-Clifford theorem to construct a Markov random field.

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1. We can devise potential functions that satisfy the Hammersley-Clifford theorem to construct a Markov random field.
2. We can evaluate if known physical systems can be recast within this mathematical framework by verifying instead if they satisfy the theorem.

**We will pursue the second direction.**

# Representation

## 2d $\phi^4$ theory:

$$\mathcal{L}_E = \frac{\kappa}{2} (\nabla \phi)^2 + \frac{\mu_0^2}{2} \phi^2 + \frac{\lambda}{4} \phi^4,$$

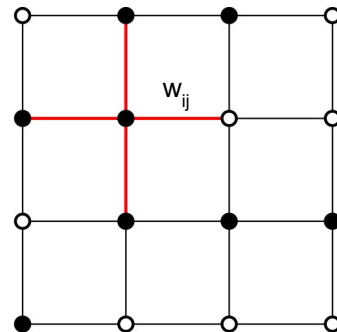
$$S_E = -\kappa_L \sum_{\langle ij \rangle} \phi_i \phi_j + \frac{(\mu_L^2 + 4\kappa_L)}{2} \sum_i \phi_i^2 + \frac{\lambda_L}{4} \sum_i \phi_i^4.$$

$\kappa_L, \mu_L, \lambda_L$  dimensionless parameters

$$w = \kappa_L, \quad a = (\mu_L^2 + 4\kappa_L)/2, \quad b = \lambda_L/4$$

## Inhomogeneous $\phi^4$ theory:

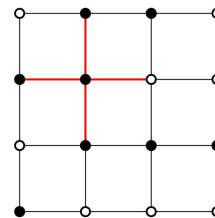
$$S(\phi; \theta) = - \sum_{\langle ij \rangle} w_{ij} \phi_i \phi_j + \sum_i a_i \phi_i^2 + \sum_i b_i \phi_i^4,$$



## Representation

The  $\phi^4$  lattice field theory is, by definition, formulated on a square lattice which is equivalent to a graph  $\mathcal{G}(\Lambda, \mathbf{e})$ . A non-unique choice of potential function per each maximal clique is:

$$\psi_c = \exp \left[ -w_{ij} \phi_i \phi_j + \frac{1}{4} (a_i \phi_i^2 + a_j \phi_j^2 + b_i \phi_i^4 + b_j \phi_j^4) \right],$$



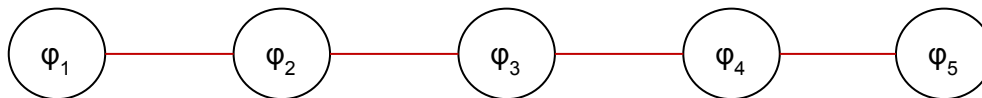
The probability distribution is expressed as a product of strictly positive potential functions  $\psi$ , over each maximal clique:

$$p(\phi; \theta) = \frac{\exp \left[ \sum_{c \in C} \ln \psi_c(\phi) \right]}{\int_{\phi} \exp \left[ \sum_{c \in C} \ln \psi_c(\phi) \right] d\phi} = \frac{1}{Z} \prod_{c \in C} \psi_c(\phi).$$

The  $\phi^4$  theory satisfies Markov properties and it is therefore a Markov random field.

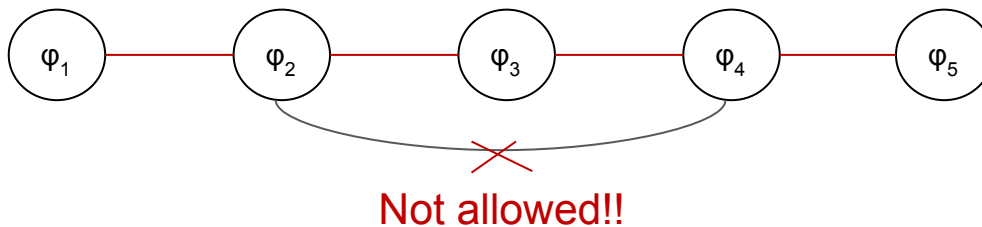
# Representation

The Markov property in a Markov chain



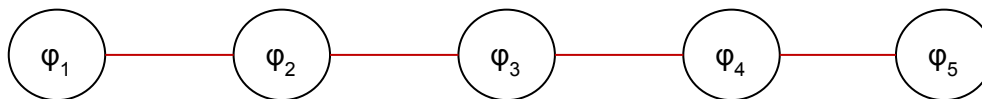
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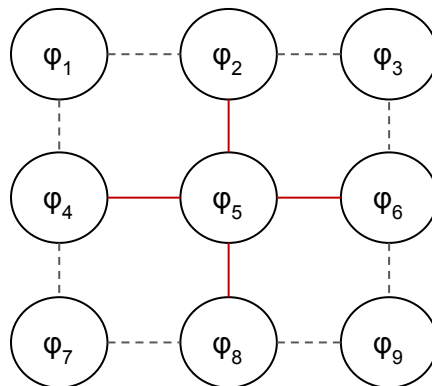


# Representation

The Markov property in a Markov chain



A Markov random field satisfies the Markov property in high-dimensions





## Learning

Having established that certain physical systems are Markov random fields, how do we use them for machine learning?

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Having established that certain physical systems are Markov random fields, how do we use them for machine learning?

Exactly in the same way as any other machine learning algorithm...

# Learning

The  $\phi^4$  theory has a **probability distribution**  $p(\phi; \theta)$  with action  $S(\phi; \theta)$ :

$$p(\phi; \theta) = \frac{\exp[-S(\phi; \theta)]}{\int_{\phi} \exp[-S(\phi, \theta)] d\phi}.$$

We now consider a quantum field theory with action  $\mathcal{A}$  and a **target probability distribution**  $q(\phi)$ :

$$q(\phi) = \exp[-\mathcal{A}] / Z_{\mathcal{A}}$$

## Learning

We can then define an asymmetric distance between the probability distributions  $p(\phi; \theta)$  and  $q(\phi)$ , which is called the **Kullback-Leibler divergence**:

$$KL(p||q) = \int_{-\infty}^{\infty} p(\phi; \theta) \ln \frac{p(\phi; \theta)}{q(\phi)} d\phi \geq 0.$$

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**We want to minimize the Kullback-Leibler divergence.**

By minimizing it we would make the two probability distributions equal. **We can then use the probability distribution  $p(\phi; \theta)$  of action S to draw samples from the target distribution  $q(\phi)$  of action A.**

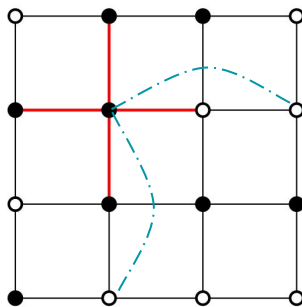
# Learning

A proof-of-principle demonstration is to use the inhomogeneous action S:

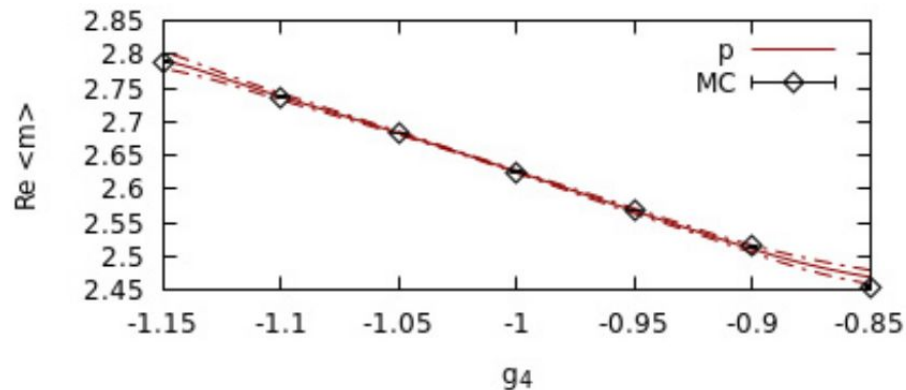
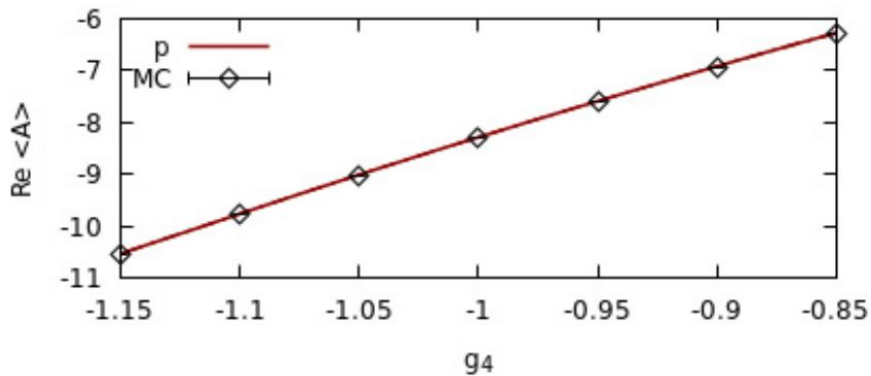
$$S(\phi; \theta) = - \sum_{\langle ij \rangle} w_{ij} \phi_i \phi_j + \sum_i a_i \phi_i^2 + \sum_i b_i \phi_i^4,$$

to learn an action that includes **longer-range interactions**:

$$\mathcal{A}_{\{4\}}(\phi) = - \sum_{\langle ij \rangle} \phi_i \phi_j + 1.52425 \sum_i \phi_i^2 + 0.175 \sum_i \phi_i^4 - \sum_{\langle ij \rangle_{nnn}} \phi_i \phi_j$$



# Learning



The results include reweighting to a complex-valued coupling constant on the mass term and extrapolations in parameter space along the trajectory of the coupling constant  $g_4$  in the longer-range interaction.

$$\mathcal{A}_{\{5\}}(\phi) = - \sum_{\langle ij \rangle} \phi_i \phi_j + 1.52425 \sum_i \phi_i^2 + 0.175 \sum_i \phi_i^4 - \sum_{\langle ij \rangle_{nnn}} \mathbf{g}' \phi_i \phi_j + i0.15 \sum_i \phi_i^2$$

## Learning

What if the target probability distribution  $q(\varphi)$  is unknown?



## Learning

We are searching for the optimal values of the coupling constants in the  $\phi^4$  action that are able to reproduce the data as configurations in the equilibrium distribution.

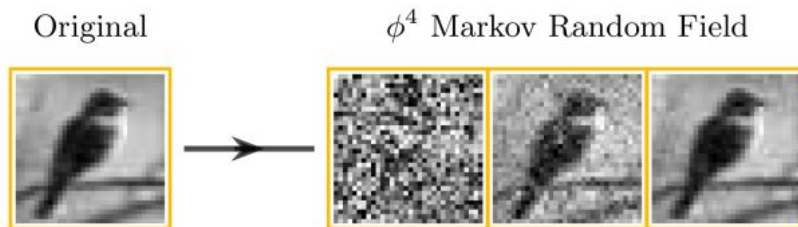
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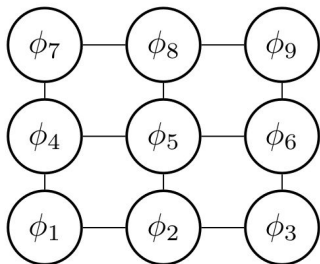
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Case of an image:



# Neural Networks

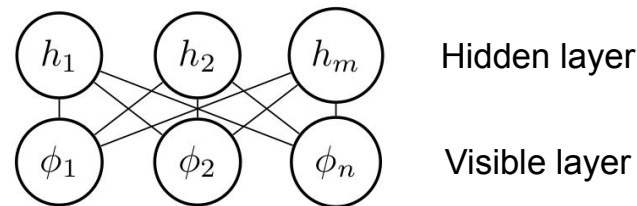
## $\phi^4$ Markov random field



$$S(\phi; \theta) = - \sum_{\langle ij \rangle} w_{ij} \phi_i \phi_j + \sum_i a_i \phi_i^2 + \sum_i b_i \phi_i^4,$$
$$\theta = \{w_{ij}, a_i, b_i\}$$

$$p(\phi; \theta) = \frac{\exp[-S(\phi; \theta)]}{\int_{\phi} \exp[-S(\phi, \theta)] d\phi}.$$

## $\phi^4$ neural network



$$S(\phi, h; \theta) = - \sum_{i,j} w_{ij} \phi_i h_j + \sum_i r_i \phi_i + \sum_i a_i \phi_i^2$$
$$+ \sum_i b_i \phi_i^4 + \sum_j s_j h_j + \sum_j m_j h_j^2 + \sum_j n_j h_j^4,$$
$$\theta = \{w_{ij}, r_i, a_i, b_i, s_j, m_j, n_j\}.$$

$$p(\phi, h; \theta) = \frac{\exp[-S(\phi, h; \theta)]}{\int_{\phi, \mathbf{h}} \exp[-S(\phi, \mathbf{h}; \theta)] d\phi d\mathbf{h}}.$$

# Neural Networks

The  $\varphi^4$  neural network:

$$S(\phi, h; \theta) = - \sum_{i,j} w_{ij} \phi_i h_j + \sum_i r_i \phi_i + \sum_i a_i \phi_i^2 \\ + \sum_i b_i \phi_i^4 + \sum_j s_j h_j + \sum_j m_j h_j^2 + \sum_j n_j h_j^4,$$

is a generalization of other neural network architectures:

**Gaussian-Gaussian**  
restricted Boltzmann  
machine:

$$b_i = n_j = 0$$

**Gaussian-Bernoulli**  
restricted Boltzmann  
machine:

$$b_i = n_j = m_j = 0 \\ h_j \text{ binary}$$

**Bernoulli-Bernoulli**  
restricted Boltzmann  
machine:

$$b_i = n_j = m_j = a_i = 0 \\ \phi_i, h_j \text{ binary}$$

**$\varphi^4$ -Bernoulli** restricted  
Boltzmann machine:

$$m_j = n_j = 0 \\ h_j \text{ binary}$$

$\varphi^4$  equivalence with the Ising model (under an appropriate limit)

# Conclusions

1. What one needs to do machine learning is simply a probability distribution. Lattice field theories therefore emerge as natural machine learning algorithms. We can investigate machine learning as a physical concept within quantum field theory: e.g. what are the phase transitions of quantum field-theoretic machine learning algorithms? How do they behave when they interact with external fields?

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4. Experimental implementations of machine learning based on quantum field theory? An interesting read: [The Hinton in your Neural Network: a Quantum Field Theory View of Deep Learning](#), R. Bondesan, M. Welling, arXiv:2103.04913 (2021).

## Thank you for your attention!

[Quantum field-theoretic machine learning](#), D. Bachtis, G. Aarts and B. Lucini, Phys. Rev. D 103, 074510, (arXiv:2102.09449).



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