

# Instanton effects on chiral symmetry breaking and hadron spectroscopy

**Masayasu Hasegawa**

Joint Institute for Nuclear Research,  
Dubna, Moscow, Russia

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# Instantons and chiral symmetry breaking

- The spontaneous breaking of chiral symmetry causes interesting phenomena in the low energy of QCD [Nambu and Goldstone].
- Once chiral symmetry spontaneously breaks, a massless pion, which is the NG (Nambu-Goldstone) boson, appears, and the chiral condensate, which is an order parameter of chiral symmetry breaking, obtains non-zero values.
- The quarks obtain small masses from the non-zero values of the chiral condensate.
- The pion decay constant is defined as the strength of the coupling constant between the NG boson and the axial-vector current.
- The pion obtains mass by supposing a partially conserved axial current (PCAC) [Weinberg].
- These phenomena are well explained by models concerning the instanton [Belavi, Dyakonov, and Shuryak].
- The models demonstrate that the chiral condensate and the pion decay constant are estimated from the instanton vacuum and that instantons induce the breaking of the chiral symmetry [Dyakonov].

# Our research results

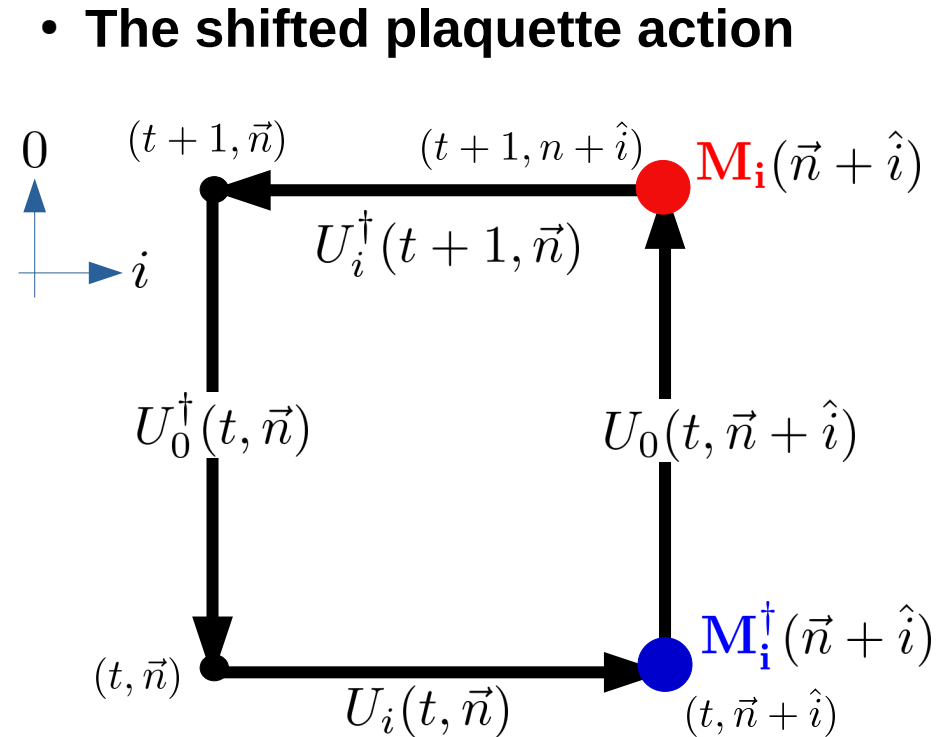
- We add the monopole and anti-monopole into the QCD vacuum of the quenched SU(3) by applying the monopole creation operator [Bonati, and et al. (2012)] and generate the configurations.
- We then calculate the eigenvalues and eigenvectors of the overlap Dirac operator using these configurations.
- We investigate the monopole and instanton effects on chiral symmetry breaking and hadrons.
- We have demonstrated the following results by increasing the number density of the instantons and anti-instantons [JHEP 09 (2020) 113].
  - 1) The chiral condensate decreases.
  - 2) The light quark masses  $((u+d)/2, s)$  become heavy.
  - 3) The masses and decay constants of Pion and Kaon increase.
  - 4) The lifetime of charged Pion becomes shorter than the experiment.
- Lastly, we found the quantitative relations among the number density of the instantons and anti-instantons and these observables.

# Purpose of research

- **To show indications that the effects of magnetic monopoles and instantons can be detected by experiments to reveal the existence of magnetic monopoles and instantons.**
- First, we demonstrate by conducting simulations of lattice QCD:  
**the monopoles in the low energy of QCD induce the chiral symmetry breaking through instantons.**
- Now, we investigate the effects of the finite lattice volume and discretization on the numerical results and evaluate the interpolated results at the continuum limit.
  - 1) **Finite lattice volume effects:  $\beta = 6.000$ ,  $V = 14^4$ ,  $14^3 \times 28$ ,  $16^3 \times 32$ .**
  - 2) **Discretization effects and interpolation:**  
 **$V = 9.868$  [fm<sup>4</sup>],  $\beta = 5.8426$ ,  $5.9256$ ,  $6.000$ ,  $6.05217$ ,  $6.1366$ .**

# Monopole creation operator

- The monopole creation operator  $\bar{\mu} = \exp(-\beta \overline{\Delta S})$  acts on the vacuum.
- The plaquette action  $\mathbf{S}$  is shifted  $\mathbf{S} \rightarrow \mathbf{S} + \overline{\Delta \mathbf{S}}$ ; the pair of static Abelian monopole and its anti-monopole are created [PRD 85 (2012) 065001].
- We use the same creation operator as [PRD 91 (2015) 054512].
- These additional monopoles and anti-monopoles produce the instantons and anti-instantons.



$$\mathbf{M}_i(\vec{n}) = \exp(iA_i^0(\vec{n} - \vec{x}_1))$$

$$\mathbf{M}_i^\dagger(\vec{n}) = \exp(-iA_i^0(\vec{n} - \vec{x}_2)) \quad 5$$

# Simulation parameters

We generate the SU(3) normal configurations and configurations adding the pair of monopole and anti-monopole as follows:

- The magnetic charge of the monopole  $m_c$  (**positive**) are from **0** to **6** and anti-monopole  $-m_c$  (**negative**) are from **0** to **-6**. The magnetic charge  $m_c$  indicates both are added. The electric charge  $g$  is added.
- The distances between monopole and anti-monopole are about 1.1 [fm].
- We perform standard Monte Carlo simulations in which the gauge links are updated using the heat bath and over-relaxation methods.
- We set the scale of the lattice [NPB622 (2002) 328].
- The eigenvalues and eigenvectors of overlap Dirac operators are computed.

$\beta$	$a$ [fm]	$V$	$m_c$	$N_{\text{conf}}$
5.8457	0.1242	$12^3 \times 24$	0-4	$1.0 \times 10^3 \sim 1.2 \times 10^3$
5.9256	0.1065	$14^3 \times 28$	0-5	$8 \times 10^2 \sim 9 \times 10^2$
6.0000	$9.3150 \times 10^{-2}$	$14^4$	0-4	$2.0 \times 10^3 \sim 2.1 \times 10^3$
		$14^3 \times 28$	0-4	$1.7 \times 10^3 \sim 1.8 \times 10^3$
		$16^3 \times 32$	0-5	$8 \times 10^2 \sim 9 \times 10^2$
6.0522	$8.5274 \times 10^{-2}$	$18^3 \times 32$	0-6	$8 \times 10^2$
6.1366	$7.4520 \times 10^{-2}$	$20^3 \times 40$	4-5	$4 \times 10^2$

# Zero modes and instantons

- **Fermion zero modes in the eigenvalues.**

The number of **zero modes** of the **positive chirality is  $n_+$** .

The number of **zero modes** of the **negative chirality is  $n_-$** .

- The exact zero modes  $\lambda_{\text{Zero}}$  are  $|\lambda_{\text{Zero}}| \leq \mathcal{O}(10^{-8})$ .

- We suppose that the Atiyah-Singer index theorem.

The number of **instantons** of the **positive charge is  $n_+$** .

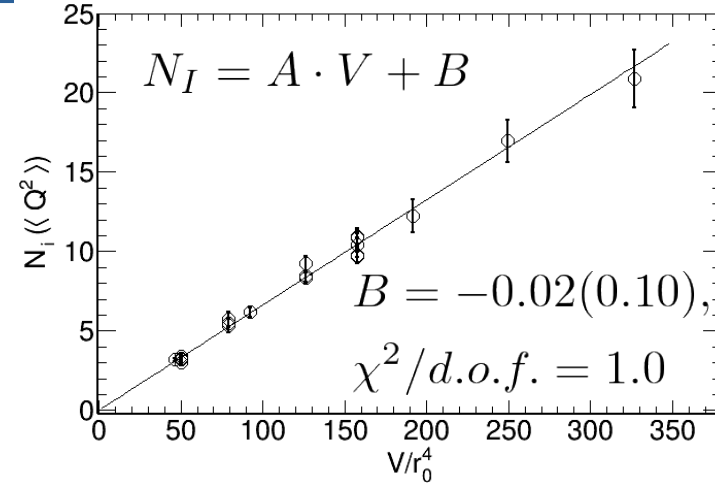
The number of **ant-instantons** of the **negative charge is  $n_-$** .

- We have shown that the number of instantons and anti-instantons  $N_I$  can be calculated from the average square of the topological charges  $Q^2$  [PRD 91 (2015) 054512]:

$$N_I = \langle Q^2 \rangle, \quad Q = n_+ - n_-$$

- **Topological susceptibility is  $\chi = \frac{\langle Q^2 \rangle}{V}$**

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- **Instanton (anti-instanton) density**

$$\begin{aligned} \frac{Ar_0^4}{2} &= \frac{N_I r_0^4}{2V} \\ &= 8.09(17) \times 10^{-4} \text{ [GeV}^4\text{]} \end{aligned}$$

- **E. V. Shuryak [NPB 203 (1982) 93]:**

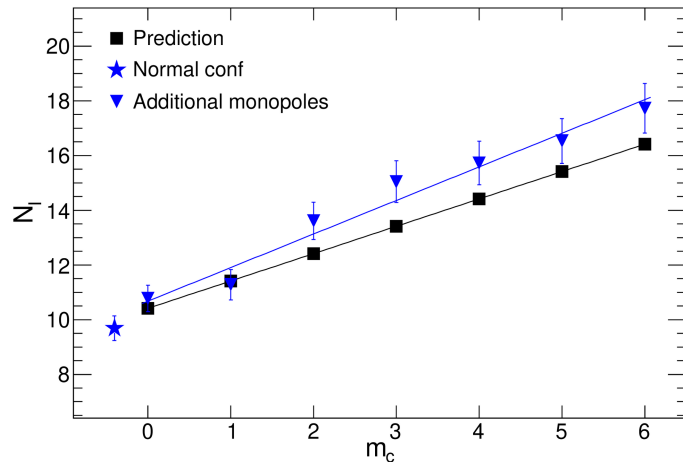
$$n_c = 8 \times 10^{-4} \text{ [GeV}^4\text{]} \quad 7$$

# Monopoles and instantons

The quantitative relation between monopoles and instantons.

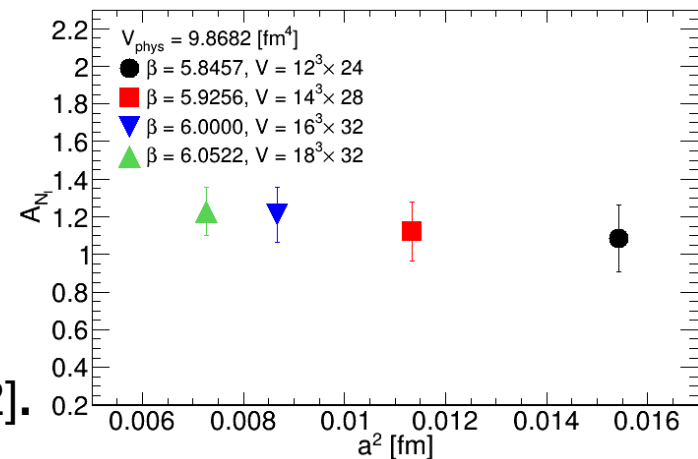
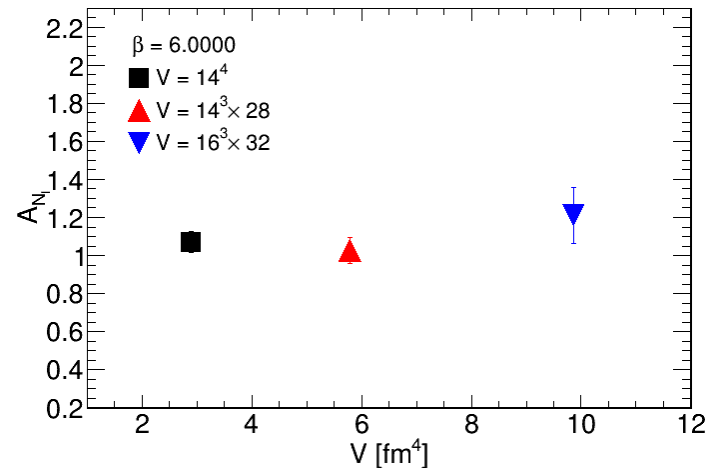
- We fit the following function.

$$N_I = Am_c + B$$



- The monopole of a magnetic charge +1 and the anti-monopole of a magnetic charge -1 makes one instanton or one anti-instanton [PRD 91 (2015) 054512].

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# Making predictions

We make the predictions to demonstrate that the chiral condensate decreases and the decay constant increases.

- **Instanton vacuum**

[Diakonov and Petrov, JTEP 62 (1985) 204]:

$$\langle \bar{\psi}\psi \rangle = -\frac{1}{\bar{\rho}} \left( \frac{\pi N_c}{13.2} \right)^{\frac{1}{2}} \left( \frac{N_I}{V} \right)^{\frac{1}{2}} = -(272.7 \text{ [MeV]})^3.$$

- **The inverse of the average size of instanton**  
[NPB 203 (1982) 93]:

$$\frac{1}{\bar{\rho}} = 6.00 \times 10^2 \text{ [MeV]}.$$

- **Gell-Mann-Oakes-Renner (GMOR) relation:**

$$\langle \bar{\psi}\psi \rangle = -\lim_{\bar{m}_q \rightarrow 0} \frac{(m_\pi F_\pi)^2}{2\bar{m}_q} = -(274_{-8}^{+18} \text{ [MeV]})^3.$$

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- **Prediction of the chiral condensate:**

$$\langle \bar{\psi}\psi \rangle^{Pre} = -\frac{1}{\bar{\rho}} \left( \frac{\pi N_c}{13.2} \right)^{\frac{1}{2}} \left( \frac{N_I^{Pre}}{V} \right)^{\frac{1}{2}},$$

$$N_I^{Pre} = m_c + N_I^{Nor}.$$

- **Prediction of the decay constant at the chiral limit:**

$$F_0^{Pre} = \frac{1}{m_\pi} \left( \frac{2\bar{m}_q}{\bar{\rho}} \right)^{\frac{1}{2}} \left( \frac{\pi N_c}{13.2} \right)^{\frac{1}{4}} \left( \frac{N_I^{Pre}}{V} \right)^{\frac{1}{4}}.$$

- **For  $m_c = 0$  ( $V = 18^3 \times 32$ ):**

$$F_0^{Pre} = 85_{-4}^{+9} \text{ [MeV]}$$

- **Chiral perturbation theory**  
[EPJC 33 (2004) 543]:

$$F_0^{\chi PT} = 86.2(5) \text{ [MeV]}$$

# Operators and correlation functions

- **Quark propagator:**

$$G(\vec{y}, y^0; \vec{x}, x^0) \equiv \sum_i \frac{\psi_i(\vec{x}, x^0) \psi_i^\dagger(\vec{y}, y^0)}{\lambda_i^{mass}}$$

- $\lambda_i^{mass}$  of massive Dirac operator:

$$\lambda_i^{mass} = \left(1 - \frac{a\bar{m}_q}{2\rho}\right) \lambda_i + \bar{m}_q$$

## Light quark masses

- **Pion:**  $\bar{m}_{ud} \equiv \frac{m_u + m_d}{2}$
- **Kaon:**  $\bar{m}_{sud} \equiv \frac{m_s + \bar{m}_{ud}}{2}$

- **Scalar:**  $\mathcal{O}_S = \bar{\psi}_1 \left(1 - \frac{a}{2\rho} D\right) \psi_2$

- **Pseudoscalar:**  $\mathcal{O}_{PS} = \bar{\psi}_1 \gamma_5 \left(1 - \frac{a}{2\rho} D\right) \psi_2$

- **Scalar density:**

$$C_{SS}(\Delta t) = \frac{a^3}{V} \sum_{\vec{x}_1} \sum_{\vec{x}_2, t} \langle \mathcal{O}_S^C(\vec{x}_2, t) \mathcal{O}_S(\vec{x}_1, t + \Delta t) \rangle$$

- **Pseudoscalar density:**

$$C_{PS}(\Delta t) = \frac{a^3}{V} \sum_{\vec{x}_1} \sum_{\vec{x}_2, t} \langle \mathcal{O}_{PS}^C(\vec{x}_2, t) \mathcal{O}_{PS}(\vec{x}_1, t + \Delta t) \rangle$$

- **Correlation function [PRD 69 (2004) 074502]:**

$$C_{PS-SS}(\Delta t) \equiv C_{PS}(\Delta t) - C_{SS}(\Delta t)$$

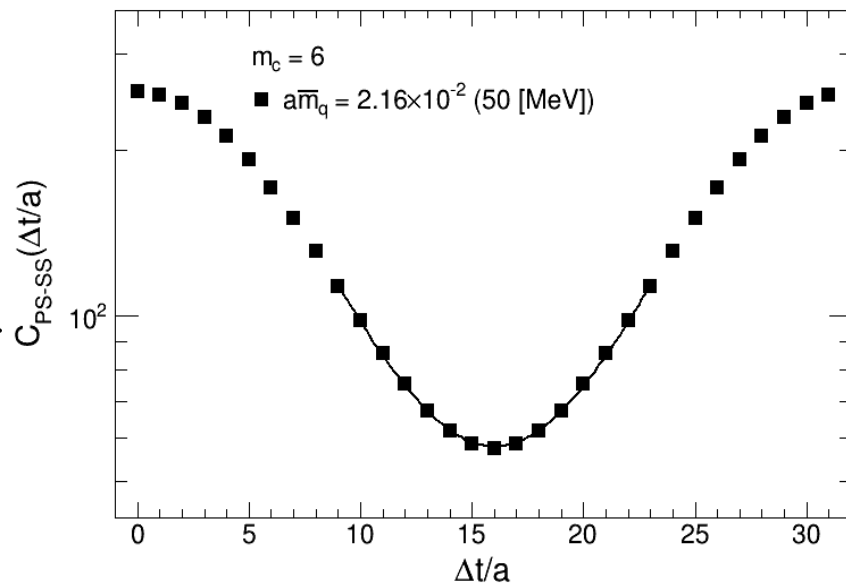
# Fitting to the correlations

- We compute the correlations  $\mathbf{C}_{PS-SS}$  and  $a\mathbf{C}_{AP}$ .
- The mass range of the bar quark is  $a\bar{m}_q = 30 - 150$  [MeV].
- We determine two parameters  $a^4G_{PS-SS}$  and  $am_{PS}$ , by fitting the following function:

$$C_{PS-SS}(t) = \frac{a^4 G_{PS-SS}}{am_{PS}} \exp\left(-\frac{m_{PS}}{2}T\right) \cosh\left[m_{PS}\left(\frac{T}{2} - t\right)\right]$$

- We set the fitting range so that the fitting result of  $\chi^2/\text{d.o.f.}$  becomes approximately 1.
- We then calculate chiral condensate, light quark masses, meson masses, and decay constants using the fitting results  $a^4G_{PS-SS}$  and  $am_{PS}$ .

$$\beta = 6.0522, V = 18^3 \times 32$$



**Fitting result:**  
 $\chi^2/\text{d.o.f.} \approx 0.6$  (FR  $\Delta t/a = 9-23$ ).

# Matching with experiments

[JHEP 09 (2020) 113]

**Determining the normalization factors by matching the numerical results with the experimental results of Pion and Kaon** [NPB 489 (1997) 427, PRD 64 (2001) 114508].

- Fitting function:  $aF_{PS} = a^{-1} A(am_{PS})^2 + aB$ .
- Making two functions:

- **Pion:**

$$aF_{PS} = C_{\pi}^{Exp.} am_{PS}, \quad C_{\pi}^{Exp.} = \frac{F_{\pi^-}^{Exp.}}{\sqrt{2}m_{\pi^{\pm}}^{Exp.}} = \frac{92.277}{139.570}.$$

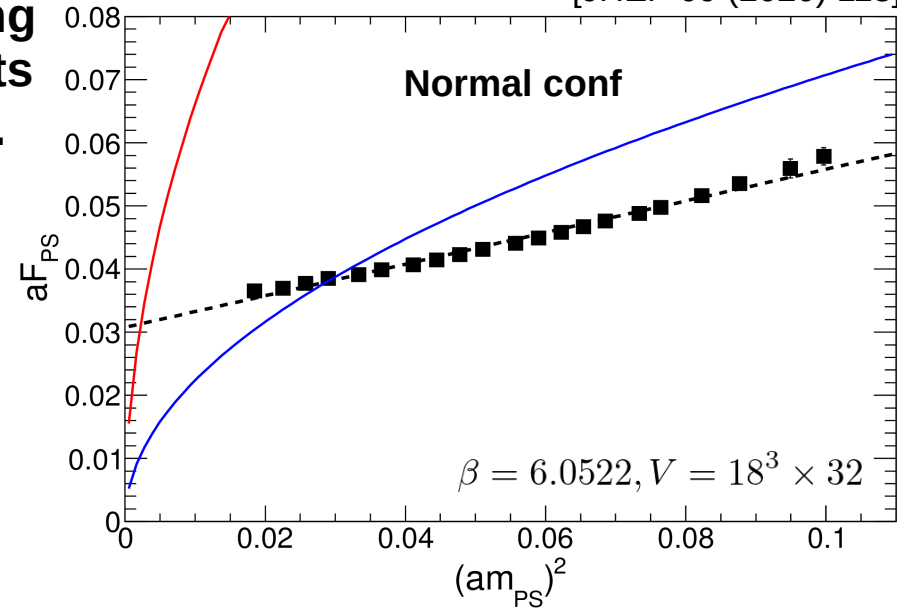
- **Kaon:**

$$aF_{PS} = C_K^{Exp.} am_{PS}, \quad C_K^{Exp.} = \frac{F_{K^-}^{Exp.}}{\sqrt{2}m_{K^{\pm}}^{Exp.}} = \frac{110.11}{493.677}.$$

- Calculating the intersections between these functions (normal conf).

- **Pion:**  $(aF_{PS}^{\pi}, am_{PS}^{\pi}) = (3.13(6), 4.74(8) \times 10^{-2})$ .
- **Kaon:**  $(aF_{PS}^K, am_{PS}^K) = (3.80(10), 0.171(4))$ .

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- **Normalization factors:**

$$Z_{\pi} = \frac{F_{\pi^-}^{Exp.}}{\sqrt{2}F_{PS}^{\pi}} = \frac{m_{\pi^{\pm}}^{Exp.}}{m_{PS}^{\pi}} = 1.27(2)$$

$$Z_K = \frac{F_{K^-}^{Exp.}}{\sqrt{2}F_{PS}^K} = \frac{m_{K^{\pm}}^{Exp.}}{m_{PS}^K} = 1.25(3)_{12}$$

# Instanton effects on chiral condensate

- The chiral condensate is derived using the slope  $\mathbf{aA}^{(2)}$  of the PCAC relation and the decay constant  $\mathbf{F}_0^Z$ .

$$\begin{aligned} a^3 \langle \bar{\psi} \psi \rangle^Z &= - \lim_{a\bar{m}_q \rightarrow 0} \frac{(Z_\pi a m_{PS})^2 (Z_\pi a F_{PS})^2}{2a\bar{m}_q^Z} \\ &= - \frac{aA^{(2)}}{2} (aF_0^Z)^2, \quad (F_0^Z = Z_\pi F_0). \end{aligned}$$

- The renormalized chiral condensate into the MS-bar scheme at 2 [GeV]:

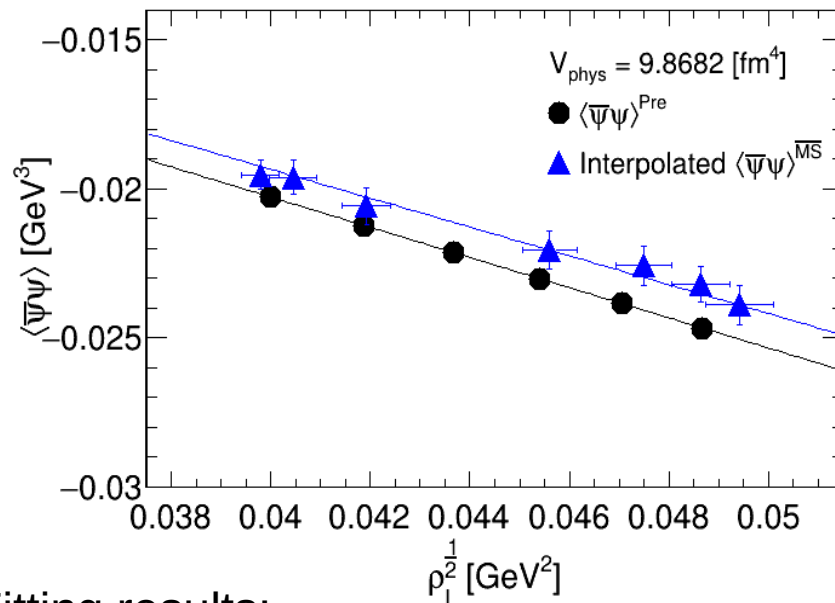
$$\langle \bar{\psi} \psi \rangle_{\overline{MS}}^Z = \frac{Z_S}{0.72076} \langle \bar{\psi} \psi \rangle^Z$$

- The interpolated numerical result is

$$\langle \bar{\psi} \psi \rangle_{\overline{MS}}^Z (2 \text{ [GeV]}) = -(269(2) \text{ [MeV]})^3.$$

- The fitting curve:  $\langle \bar{\psi} \psi \rangle = -A_\chi \left( \frac{N_I}{V} \right)^{\frac{1}{2}}$

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- Fitting results:

$$A_\chi = 0.484(6) \text{ [GeV]}, \quad \chi^2/d.o.f. = 1.0/6.0.$$

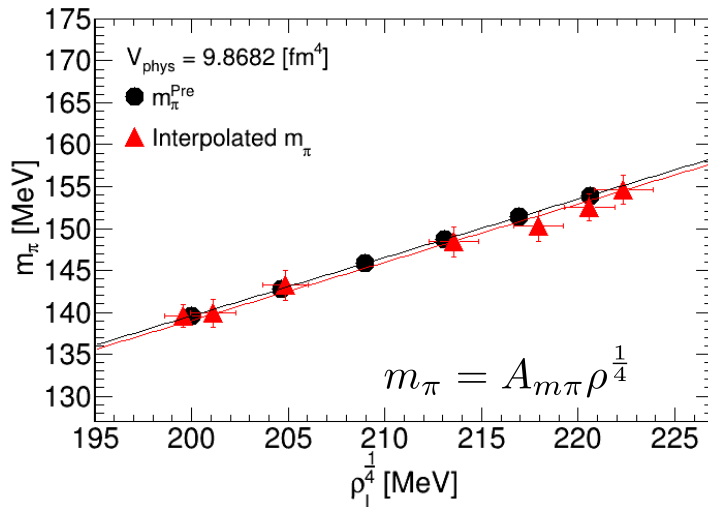
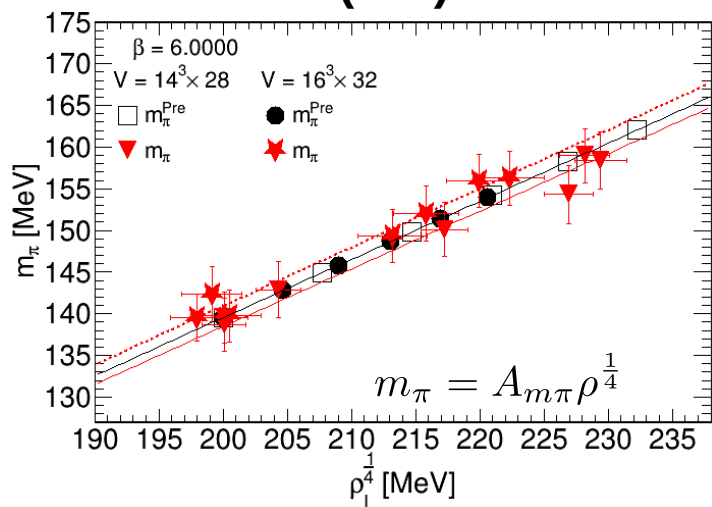
$$A_\chi^{Pre} = 0.5070 \text{ [GeV]}$$

- Inverse of the average size of the instanton:

$$\frac{1}{\bar{\rho}} = 5.72(6) \times 10^2 \text{ [MeV]}.$$

# Instanton effects on $m_\pi$

- From the PCAC relation, the pion (and kaon) masses increase in direct proportion to the one-fourth root of the number density of the instantons and anti-instantons.
- Making the predictions about the pion (and kaon) masses using the experimental results and the ratio  $(R^{Pre})^{1/2}$ .



## Fitting results

Pre:  $A = 0.697853(4)$ ,

$\chi^2/\text{d.o.f.} = 0/5$ .

$14^3 28$ :  $A = 0.693(6)$ ,

$\chi^2/\text{d.o.f.} = 1/6$ .

$16^3 32$ :  $A = 0.705(6)$ ,

$\chi^2/\text{d.o.f.} = 1/6$ .

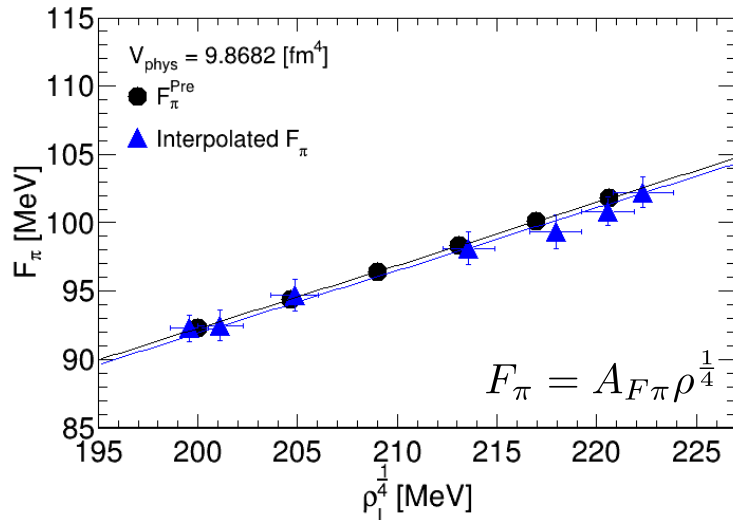
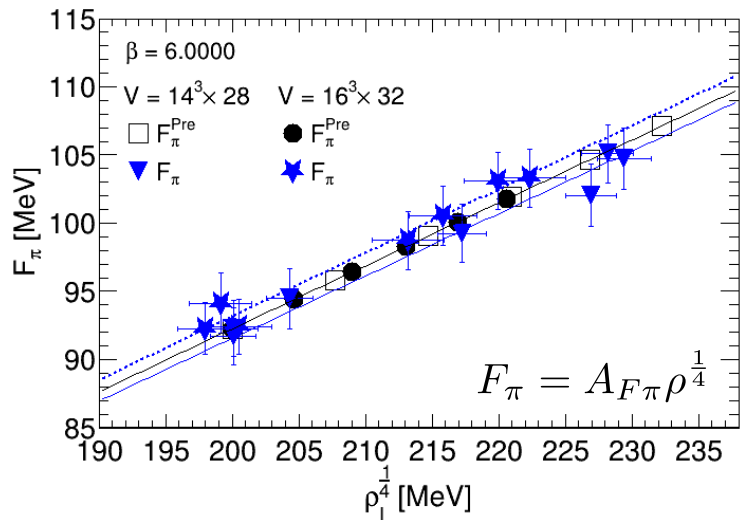
Int.:  $A = 0.695(3)$ ,

$\chi^2/\text{d.o.f.} = 1.1/6.0$ .

- The fitting results agree with the predictions.
- The pion (and kaon) masses increase in **direct proportion** to the **one-fourth root** of the number density of the instantons and anti-instantons.

# Instanton effects on $F_\pi$

- We have confirmed that the formula in the quenched chiral perturbation theory holds.
- The decay constants of the pion (and kaon) are in direct proportion to the one-fourth root of the number density of the instantons and anti-instantons.



## Fitting results

Pre:  $A = 0.46140(17)$ ,

$\chi^2/\text{d.o.f.} = 0/5$ .

$14^3 28$ :  $A = 0.458(4)$ ,

$\chi^2/\text{d.o.f.} = 1/6$ .

$16^3 32$ :  $A = 0.466(4)$ ,

$\chi^2/\text{d.o.f.} = 1/6$ .

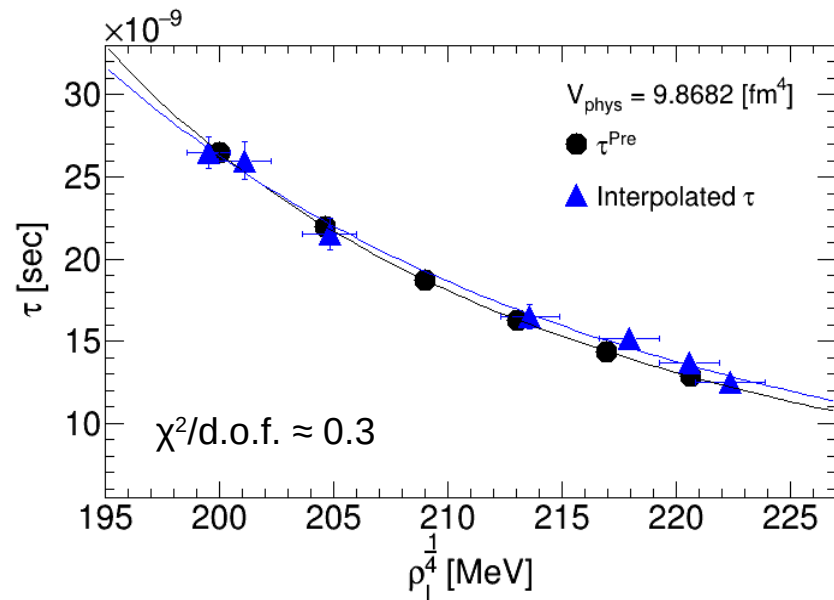
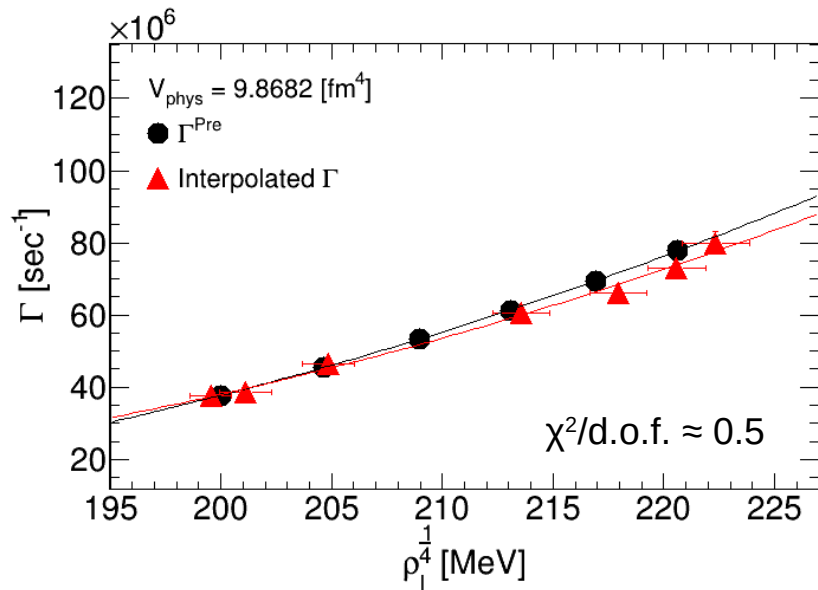
Int.:  $A = 0.460(2)$ ,

$\chi^2/\text{d.o.f.} = 1/6$ .

- The fitting results are consistent with the predictions.
- The decay constants of the pion (and kaon) increase in **direct proportion** to the **one-fourth root** of the number density of the instantons and anti-instantons.

# Catalytic effects on the charged pion

- One charged pion decays to a lepton (an electron or a muon) and a neutrino as follows:  $\pi^+ \rightarrow l^+ + \nu_l$ ,  $\pi^- \rightarrow l^- + \bar{\nu}_l$



- The decay width becomes wider by increasing the number density of the instantons and anti-instantons. The lifetime becomes shorter by increasing the number density of the instantons and anti-instantons.



# Instanton effects on Eta-prime

- **Eta-prime mass** [NPB 628 (2002) 234, PRD 64 (2002) 114501]
- The hairpin diagram of the pseudoscalar density.

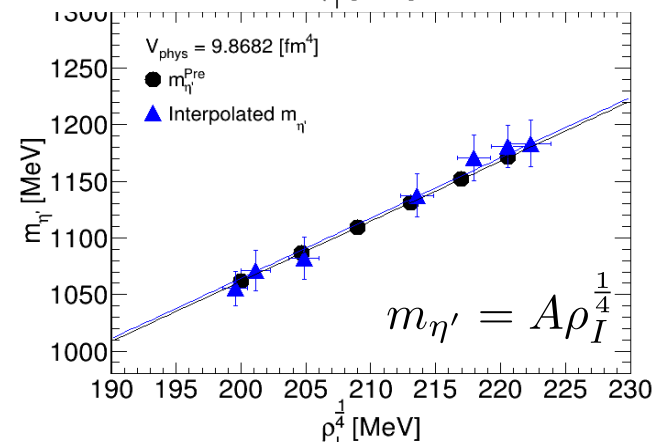
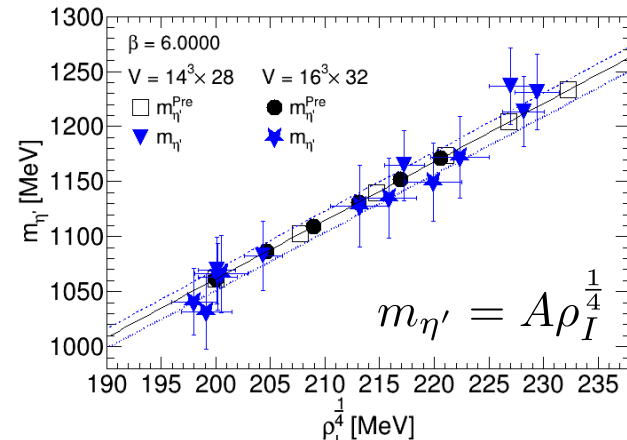
$$\frac{F_\pi^2}{2N_F} m_{\eta'}^2 |_{m_u=0} =$$

$$\int d^4x \left\langle \frac{1}{2} \text{Tr}[\gamma_5 D(x, x)] \text{Tr}[\gamma_5 D(y, y)] \right\rangle$$

- Witten-Veneziano relation:

$$m_{\eta'}^2 = \frac{2N_F}{F_\pi^2} \chi$$

- The eta-prime mass increases in **direct proportion** to the **one-fourth root** of the number density of the instantons and anti-instantons (preliminary result).



$$\chi^2/d.o.f. = 0.7/6.0, A^{int} = 5.32(3)$$

$$A^{Pre} = 5.309(2)$$

# Conclusions

- The monopole with magnetic charge  $m_c = \mathbf{1}$  and the anti-monopole with magnetic charge  $m_c = \mathbf{-1}$  produce one instanton or one anti-instanton.
- The values of the chiral condensate decrease in direct proportion to the **square root** of the number density of the instantons and anti-instantons.
- The mass and decay constant of the pion (and kaon) increase in direct proportion to the **one-fourth root** of the number density of the instantons and anti-instantons.
- The decay width of the charged pion becomes wider than the experiment by increasing the number density of the instantons and anti-instantons.
- The lifetime of the charged pion becomes shorter than the experiment by increasing the number density of the instantons and anti-instantons.
- The eta-prime mass increases in direct proportion to the **one-fourth root** of the number density of the instantons and anti-instantons (preliminary result).

**These are the monopole and instanton effects in QCD.**

# Acknowledgments

- I perform calculations using the supercomputer system SQUID, SX-series, PC-clusters, and XC40 at the Research Center for Nuclear Physics and Cybermedia Center at the Osaka University and the Yukawa Institute for Theoretical Physics at the Kyoto University. I use the storage element of the Japan Lattice Data Grid at the RCNP.
- I appreciate the computer resources and technical supports which these facilities provided.