

Peeking into the θ vacuum of 4d Yang-Mills theory

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in collaboration with

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Based on 2102.08784 [hep-lat]

[Ref. JHEP02, 073 (2021)]

Goal

Clarify the θ dependence of free energy density $f(\theta)$ of 4d YM

$$e^{-Vf(\theta)} = \frac{Z(\theta)}{Z(0)}$$

where $Z(\theta) = \int \mathcal{D}U e^{-S_{\text{YM}} + i\theta Q}$, $Q = \int d^4x q(x)$ and $q(x) = \frac{1}{64\pi^2} \epsilon_{\mu\nu\rho\sigma} F_{\mu\nu}^a F_{\rho\sigma}^a$

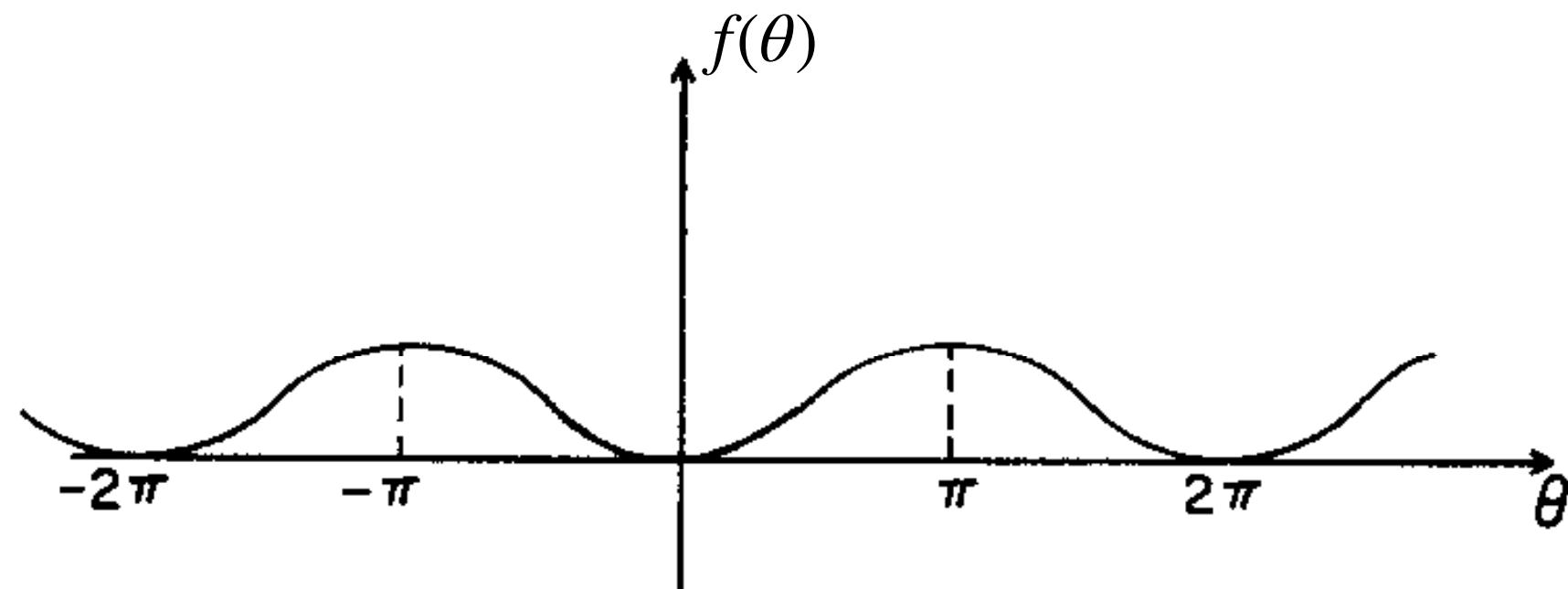
For $SU(N)$ YM theory,

$$\left. \begin{array}{l} Q \in \mathbb{Z} \Rightarrow Z(\theta) = Z(\theta + 2\pi) \Rightarrow f(\theta) = f(\theta + 2\pi) \\ S_{\text{YM}} \text{ is CP even} \Rightarrow Z(\theta) = Z(-\theta) \Rightarrow f(\theta) = f(-\theta) \end{array} \right\} f(\pi - \theta') = f(\pi + \theta')$$

θ dependence and CP violation

Dilute instanton gas approximation (DIGA)

$$\Rightarrow f(\theta) = \chi(1 - \cos \theta)$$

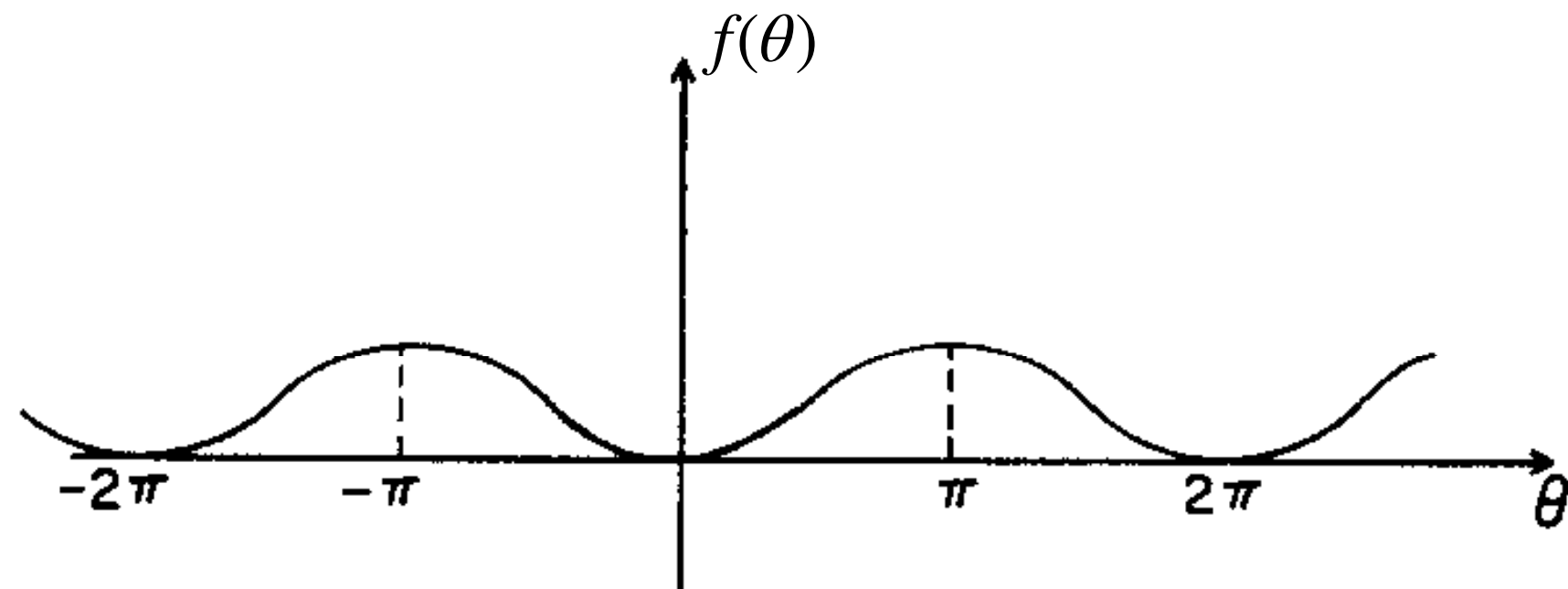


- a single branch
- smooth everywhere

θ dependence and CP violation

Dilute instanton gas approximation (DIGA)

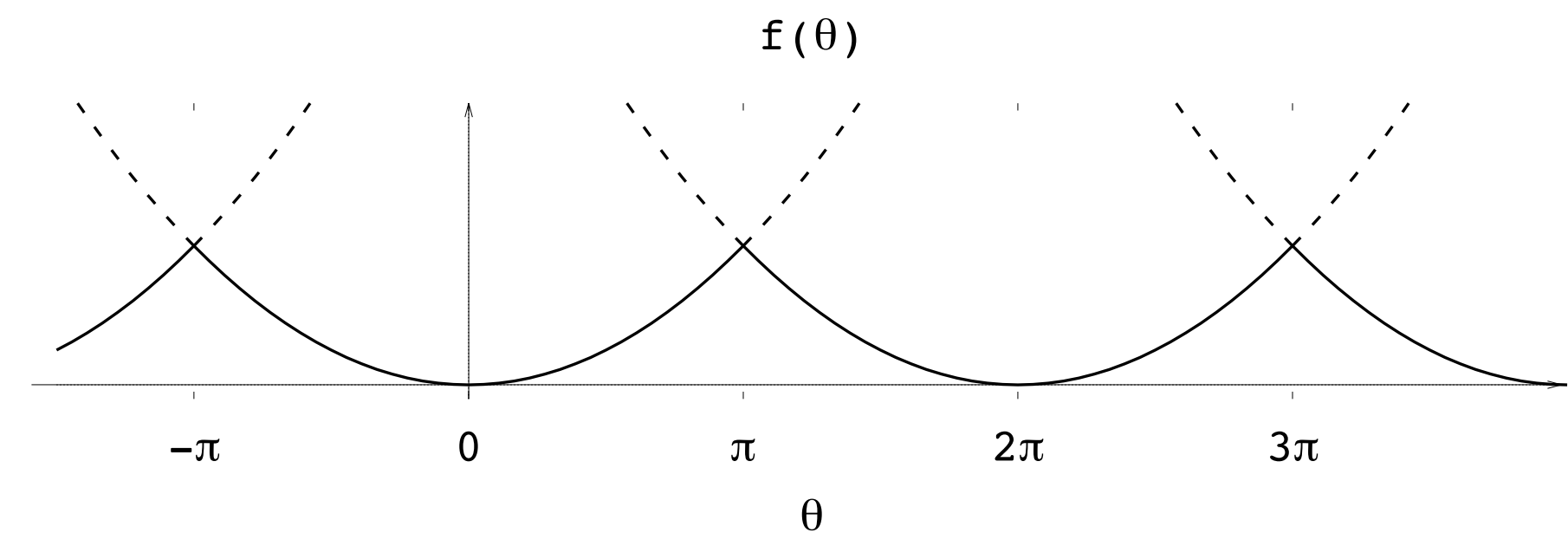
$$\Rightarrow f(\theta) = \chi(1 - \cos \theta)$$



- a single branch
- smooth everywhere

Large N argument [Witten (1980, 1998)]

$$\Rightarrow f(\theta) = \chi/2 \min_{k \in \mathbb{Z}} (\theta + 2\pi k)^2 + O(1/N^2)$$

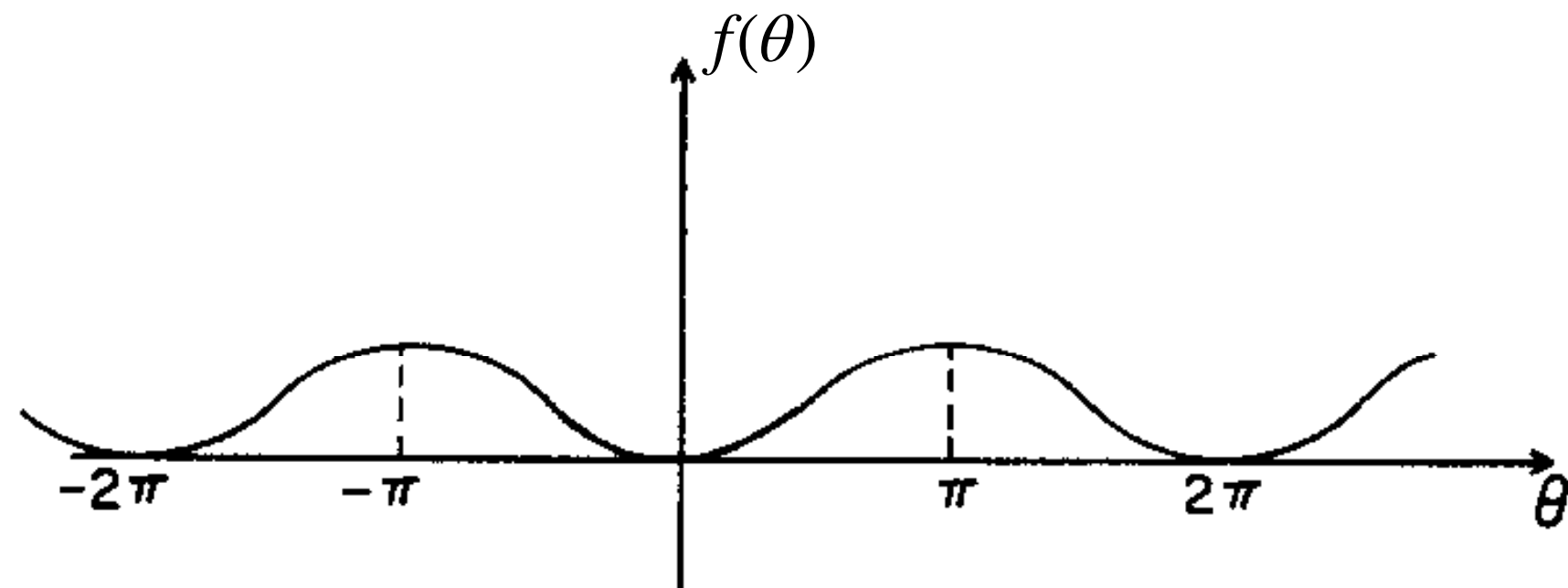


- consists of many branches with crossing
- spontaneous CPV (1st order PT) at $\theta = \pi$ with the order parameter $df(\theta)/d\theta = -i\langle q(x) \rangle$

θ dependence and CP violation

Dilute instanton gas approximation (DIGA)

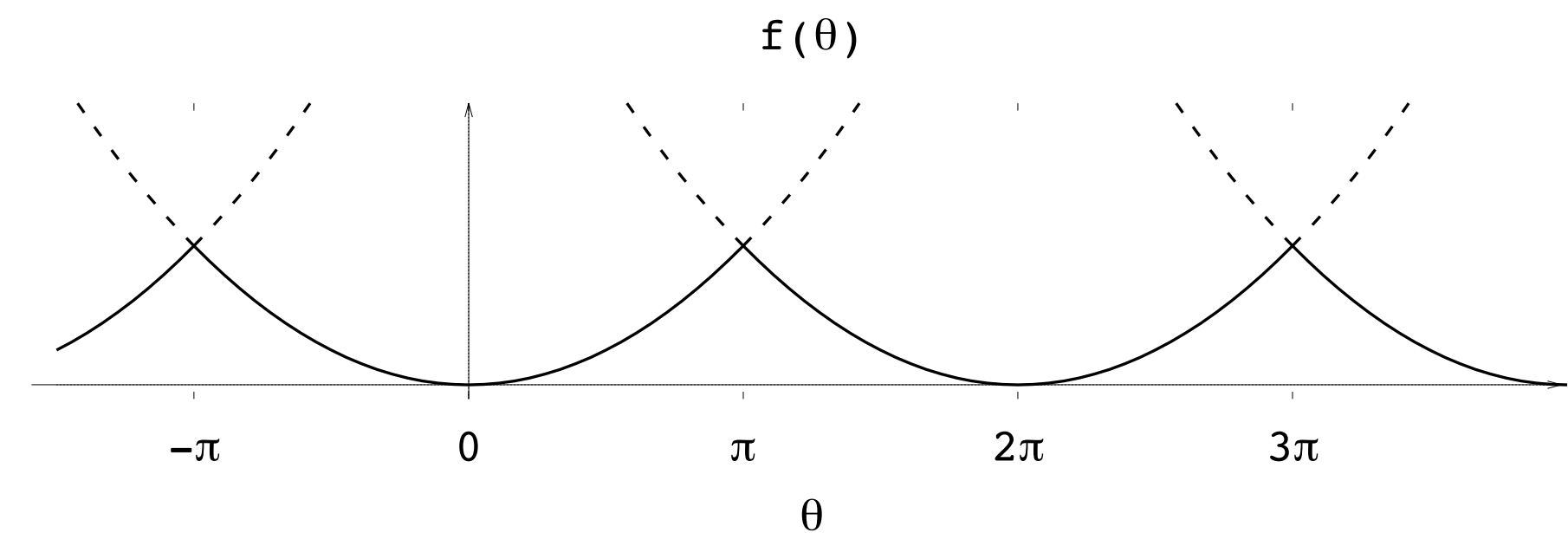
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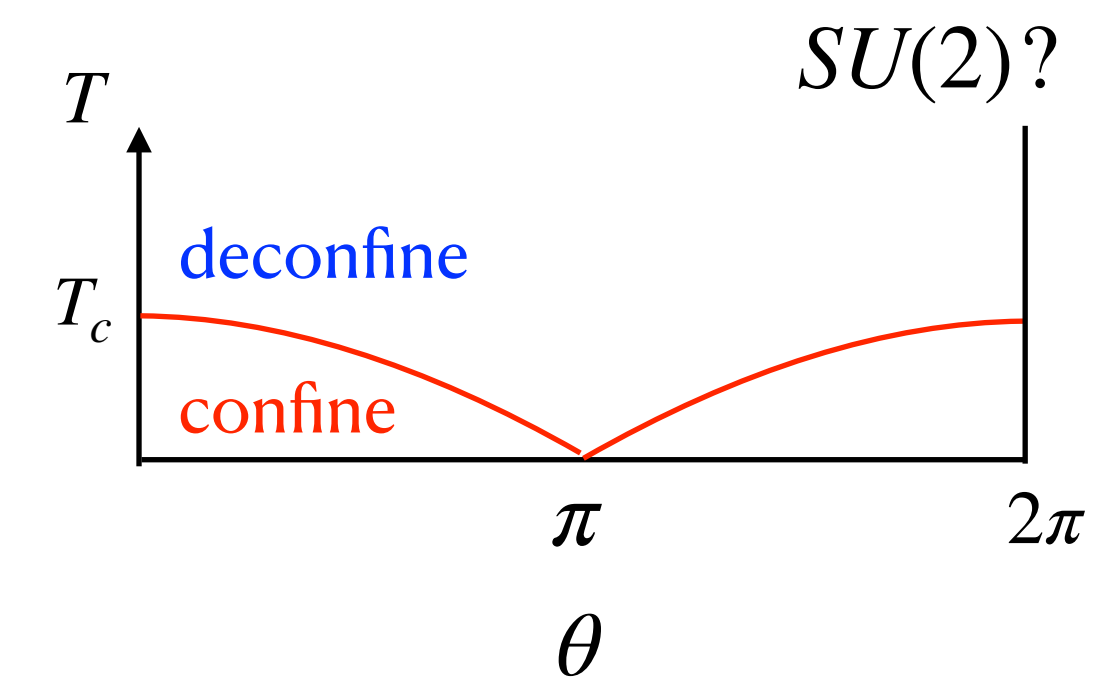
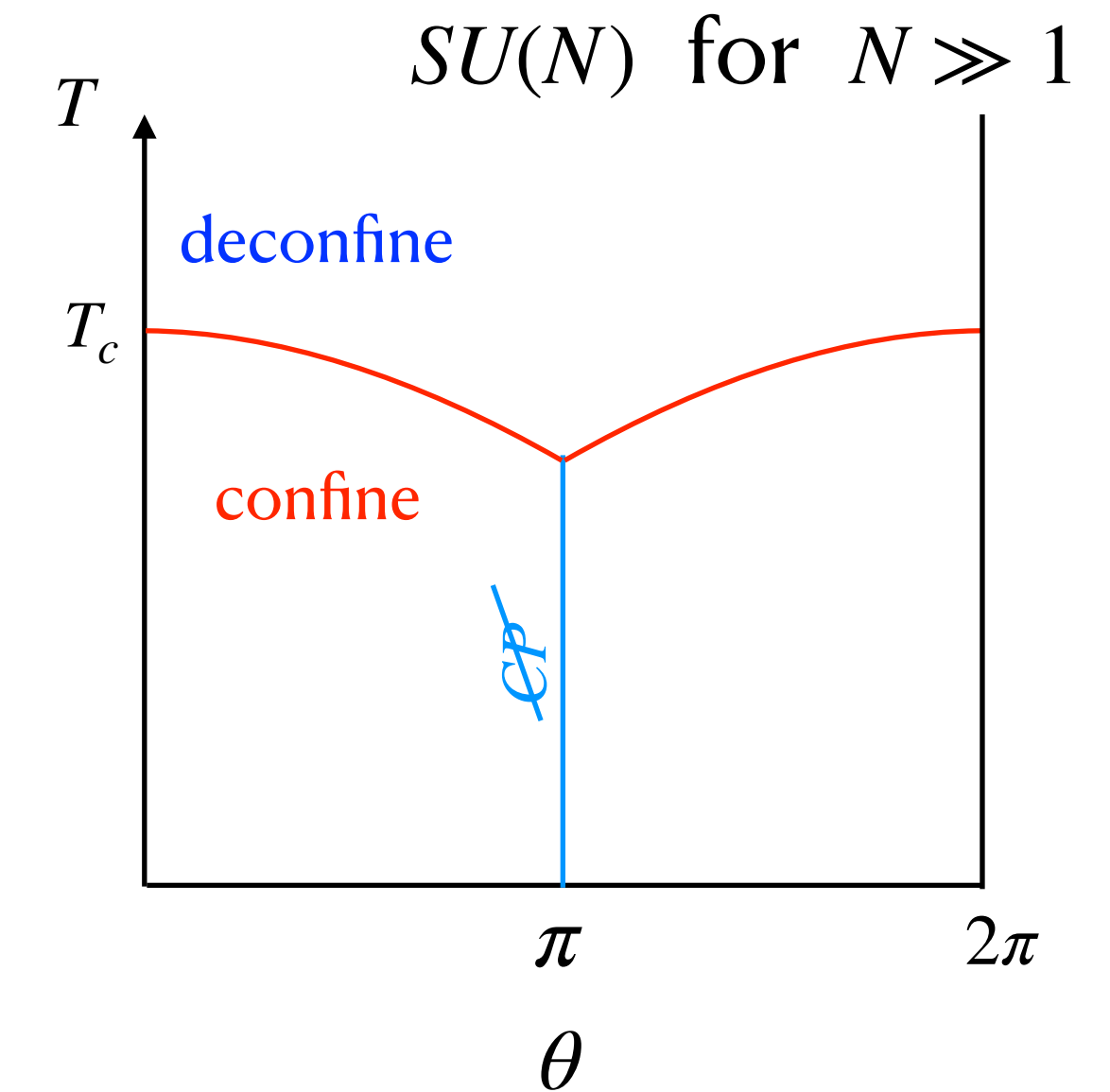


- consists of many branches with crossing
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Interested in $f(\theta)$ around $\theta \approx \pi$ in 4d $SU(N)$ YM theory.

Summary of previous results on $f(\theta)$

- Large N argument seems robust \Rightarrow CPV at $\theta = \pi$ for large N
- Formal arguments tell that, for general N , CP has to be broken at $\theta = \pi$ if the vacuum is in the confining phase. [Gaiotto, et al.(2017)], [Kitano, Suyama, NY(2017)]
- Some numerical evidences of CPV for $N \geq 3$
- What happens to the possible smallest N , i.e. $SU(2)$ YM?
Is it like “large N ” or “2d CP^1 ” ?
 \Rightarrow Lattice numerical simulations (difficult due to sign problem)



New method without any expansion

[Kitano, Matsudo, NY, Yamazaki(2021)]

Generate configurations with $\theta = 0$

Define sub-volume $V_{\text{sub}} = l^4$ and $Q_{\text{sub}} = \sum_{x \in V_{\text{sub}}} q(x) \notin \mathbb{Z}$

$$e^{-V_{\text{sub}} f_{\text{sub}}(\theta)} = \frac{Z_{\text{sub}}(\theta)}{Z(0)} = \frac{1}{Z(0)} \int \mathcal{D}U e^{-S_g + i\theta Q_{\text{sub}}} = \langle e^{i\theta Q_{\text{sub}}} \rangle$$

$$f_{\text{sub}}(\theta) = -\frac{1}{V_{\text{sub}}} \ln \langle \cos(\theta Q_{\text{sub}}) \rangle$$

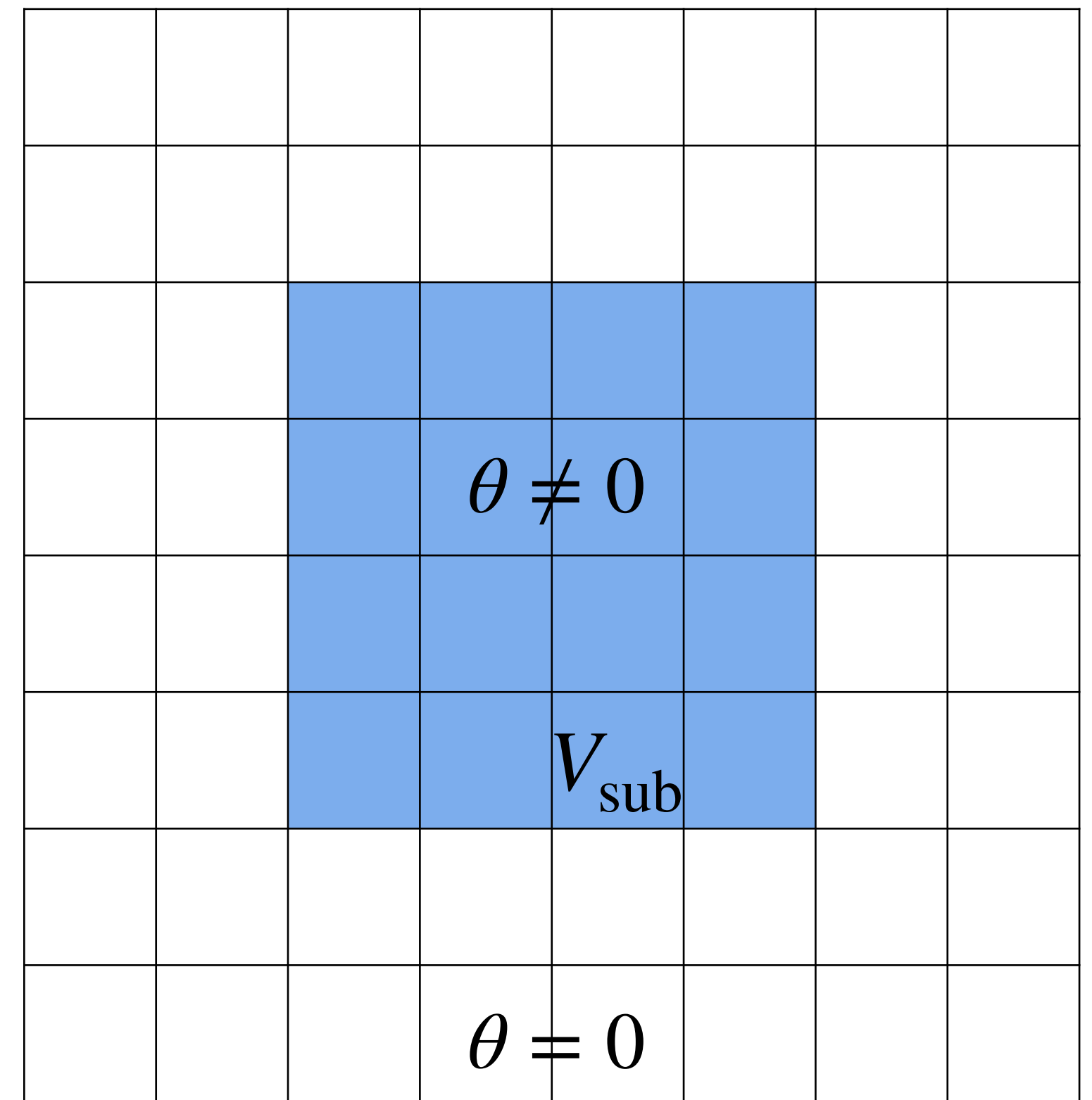
$$f(\theta) = \lim_{V_{\text{sub}} \rightarrow \infty} f_{\text{sub}}(\theta) = \lim_{l \rightarrow \infty} \left\{ f(\theta) + \frac{s(\theta)}{l} + O(1/l^2) \right\} \quad \text{cf) string tension}$$

with $l_{\text{dyn}}^4 \ll V_{\text{sub}} \ll V_{\text{full}}$ (l_{dyn} : dynamical length scale)

$s(\theta)$: surface tension

“sub-volume method”

cf) 2d CP^1 by [Keith-Hynes and Thacker (2008)]



Lattice parameters and observables

- $SU(2)$ YM theory by Symanzik improved gauge action

- $\beta = \frac{4}{g^2} = 1.975$ (relatively fine: $1/(aT_c) = 9.50$)

- $V_{\text{full}} = 24^3 \times \{48, 6, 8\}$ ($T = 0, 1.2T_c, 1.6T_c$)

- Periodic boundary condition in all directions

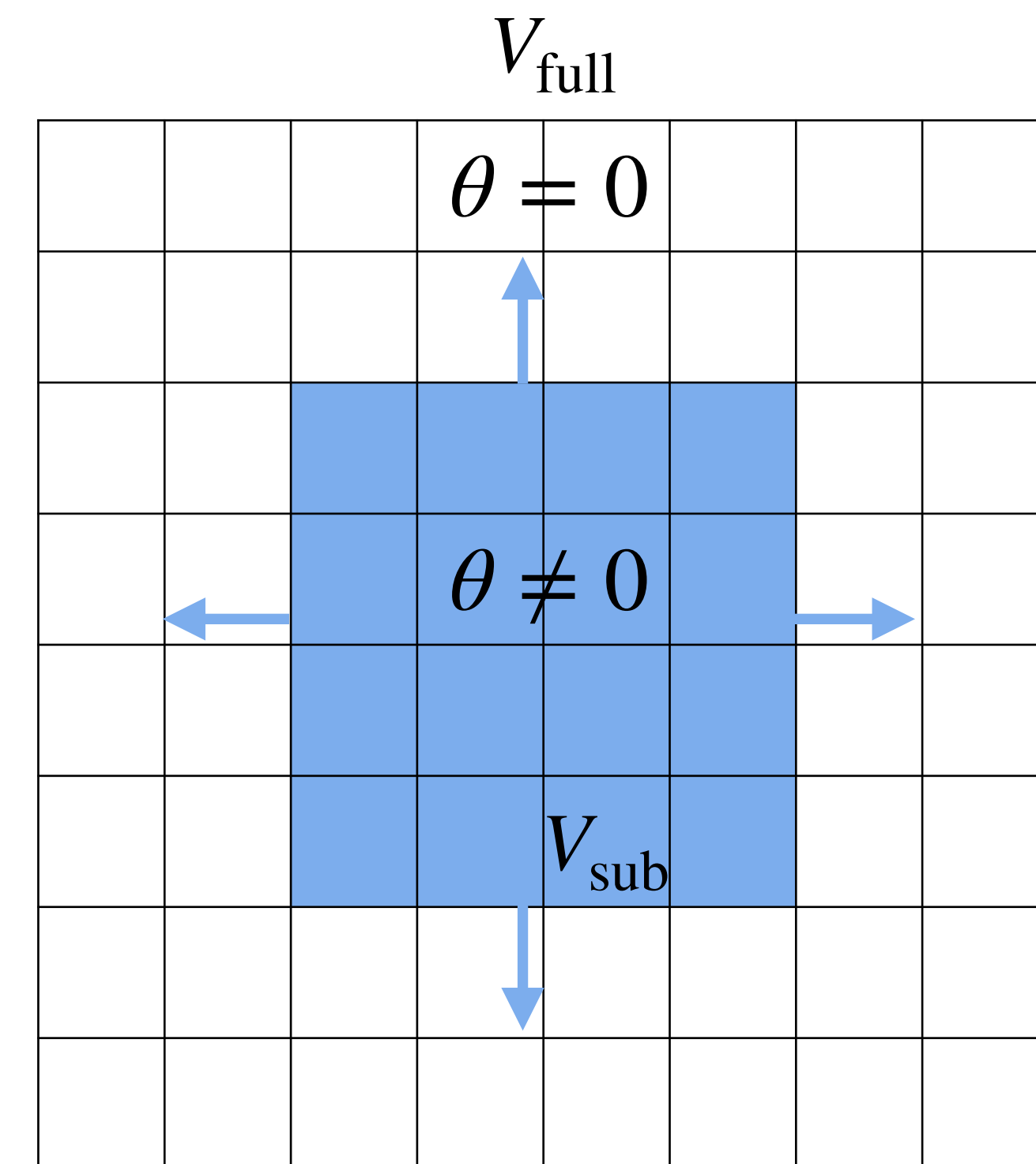
- # of configs = { 68000 , 10000 , 10000 }

- Calculate $Q_{\text{sub}} = \sum_{x \in V_{\text{sub}}} q(x)$ and estimate

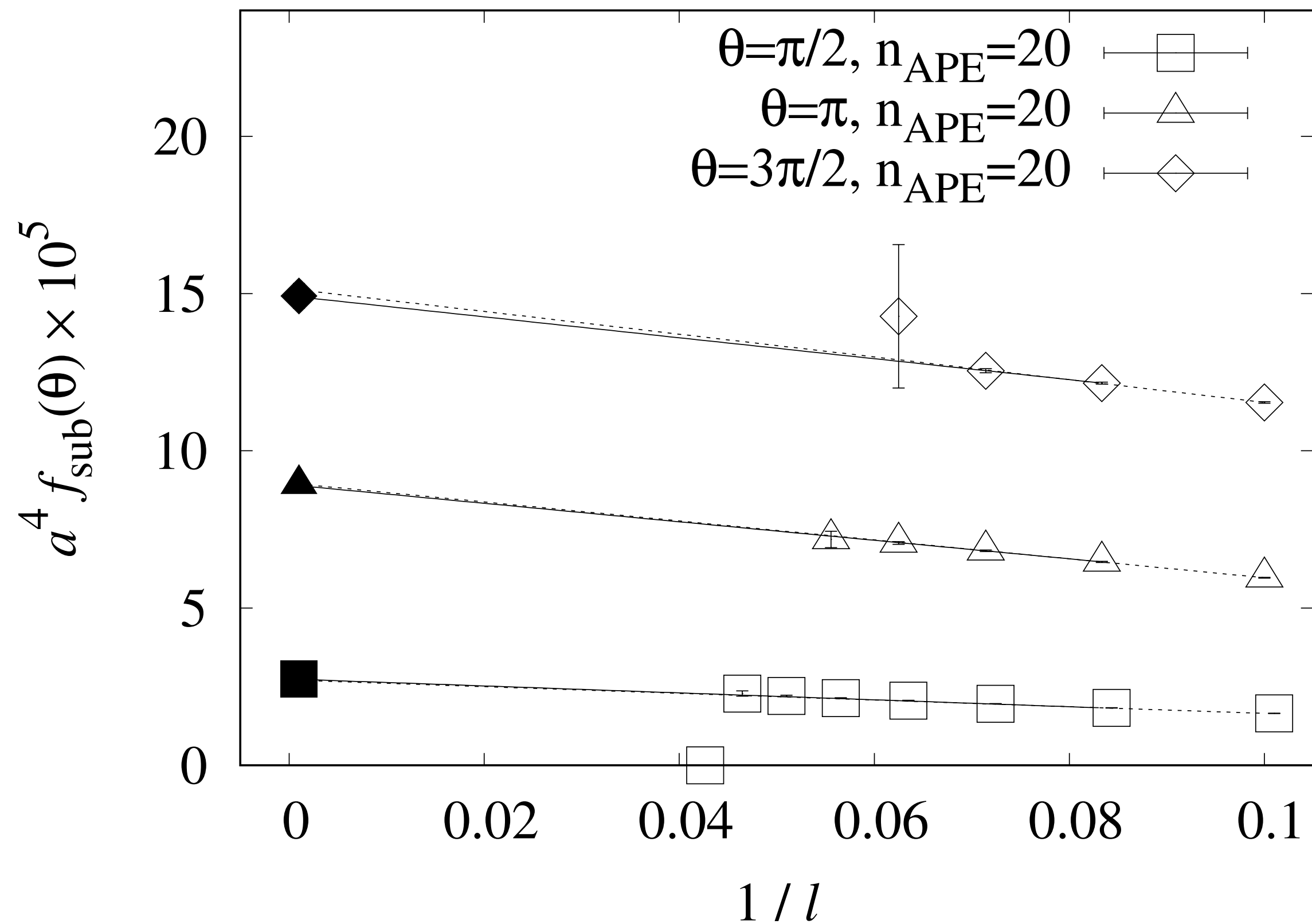
$$\checkmark f(\theta) = - \lim_{V_{\text{sub}} \rightarrow \infty} \frac{1}{V_{\text{sub}}} \ln \langle \cos(\theta Q_{\text{sub}}) \rangle$$

$$\checkmark \frac{df(\theta)}{d\theta} = \lim_{V_{\text{sub}} \rightarrow \infty} \frac{1}{V_{\text{sub}}} \frac{\langle Q_{\text{sub}} \sin(\theta Q_{\text{sub}}) \rangle}{\langle \cos(\theta Q_{\text{sub}}) \rangle}$$

which are used to crosscheck each other



$l \rightarrow \infty$ limit at $T = 0$

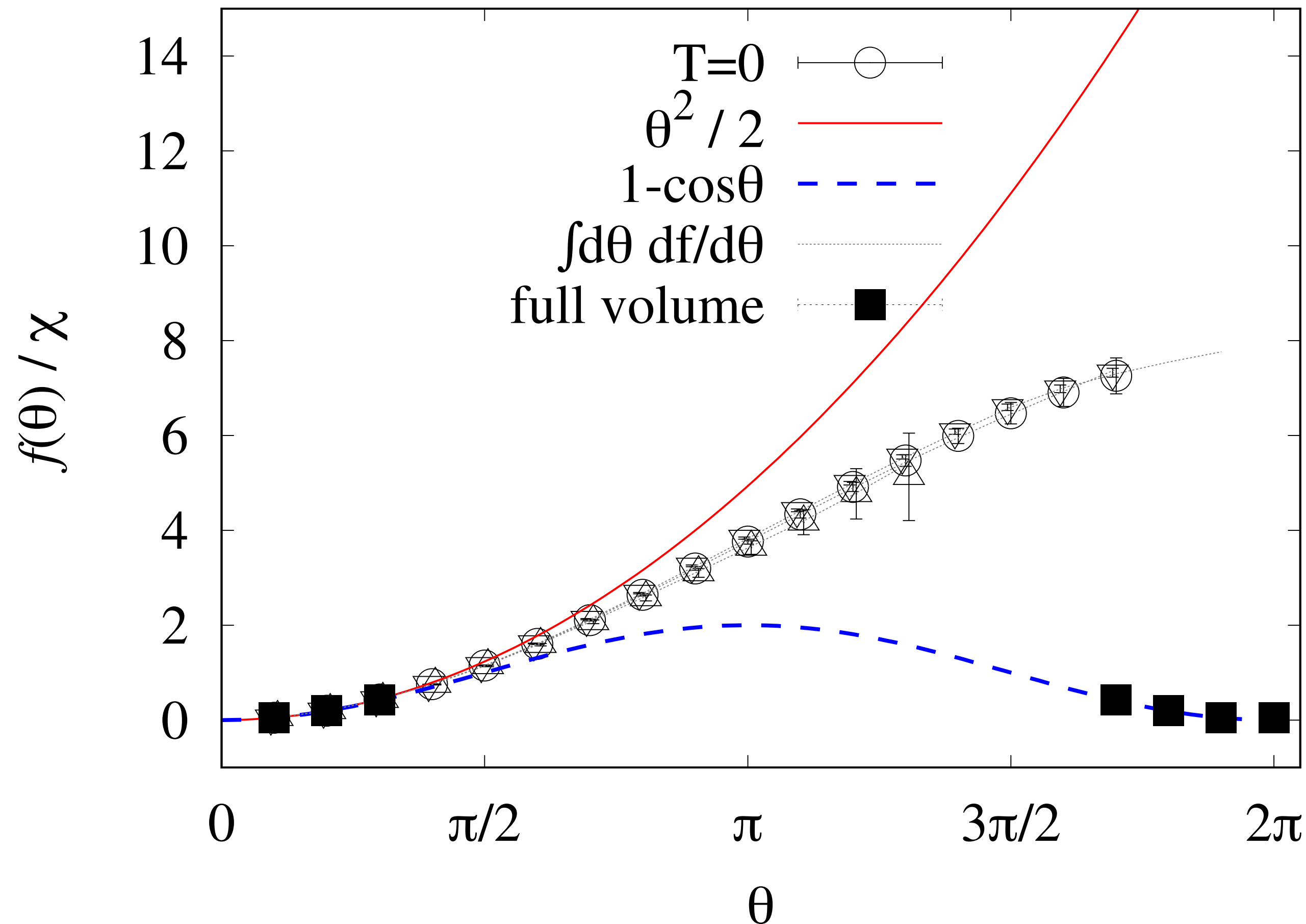


- $V_{\text{sub}} = l^4$ with $l \in \{10, 12, \dots, 24\}$
- Data in the range of $l_{\text{dyn}}^4 \ll V_{\text{sub}} \ll V_{\text{full}}$ are fitted to

$$f_{\text{sub}}(\theta) = f(\theta) + \frac{as(\theta)}{l}$$

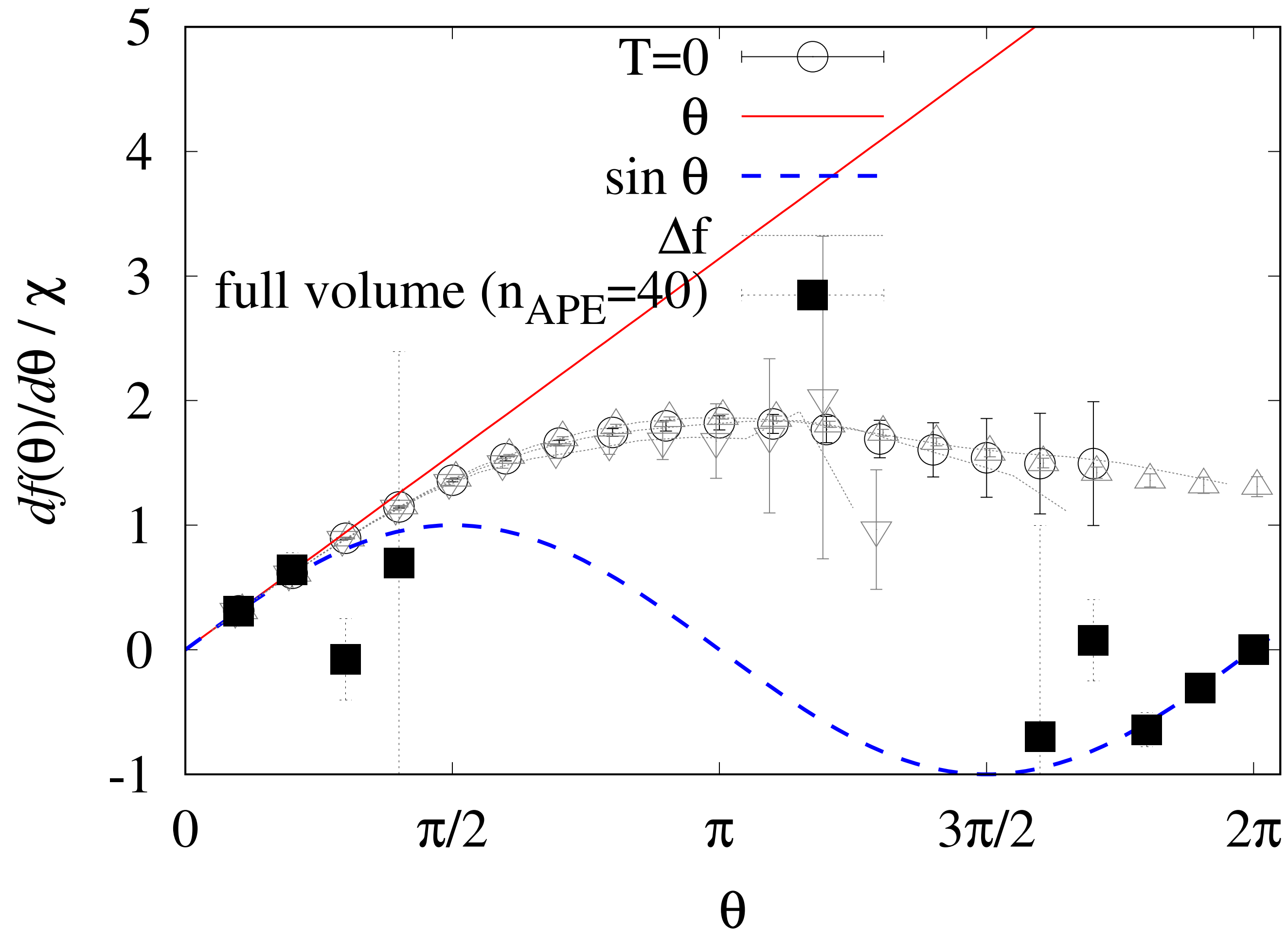
- Linear extrapolation works well.

θ dependence of $f(\theta)$ at $T = 0$



- Succeed to calculate up to $\theta \sim 3\pi/2$
- Monotonically increasing function
- Inconsistent with DIGA
- $f(\pi - \theta) \neq f(\pi + \theta)$ requires explanation.
- Re-weighting (=full volume) method works only around $\theta = 0$.
- Numerical consistency with $\int d\theta \frac{df}{d\theta}$

$df(\theta)/d\theta$ at $T = 0$

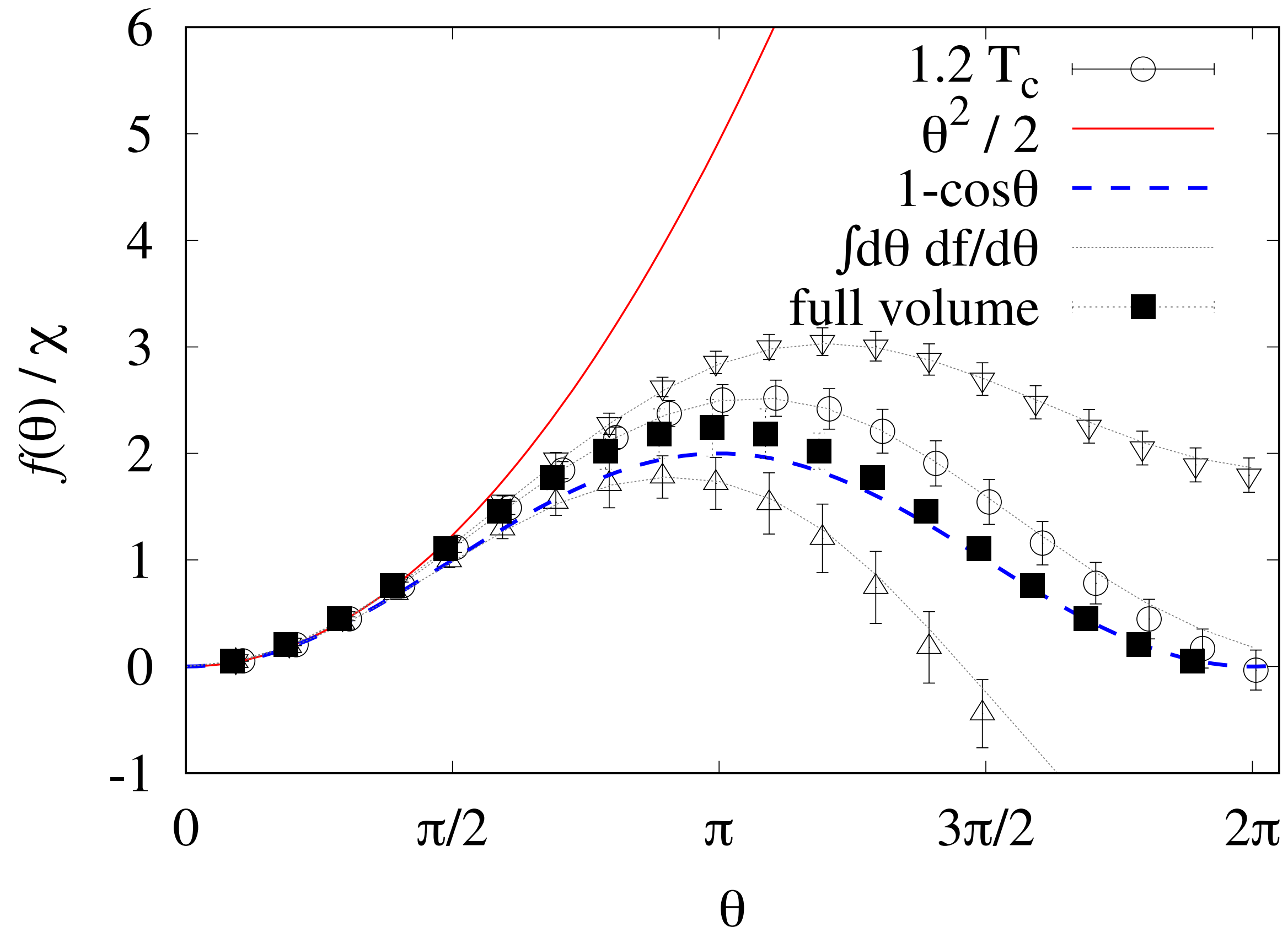


- Order parameter is non-zero

$$df(\theta)/d\theta \Big|_{\theta=\pi} = -i \langle q(x) \rangle_{\theta=\pi} \neq 0$$

\Rightarrow spontaneous CPV at $\theta = \pi$

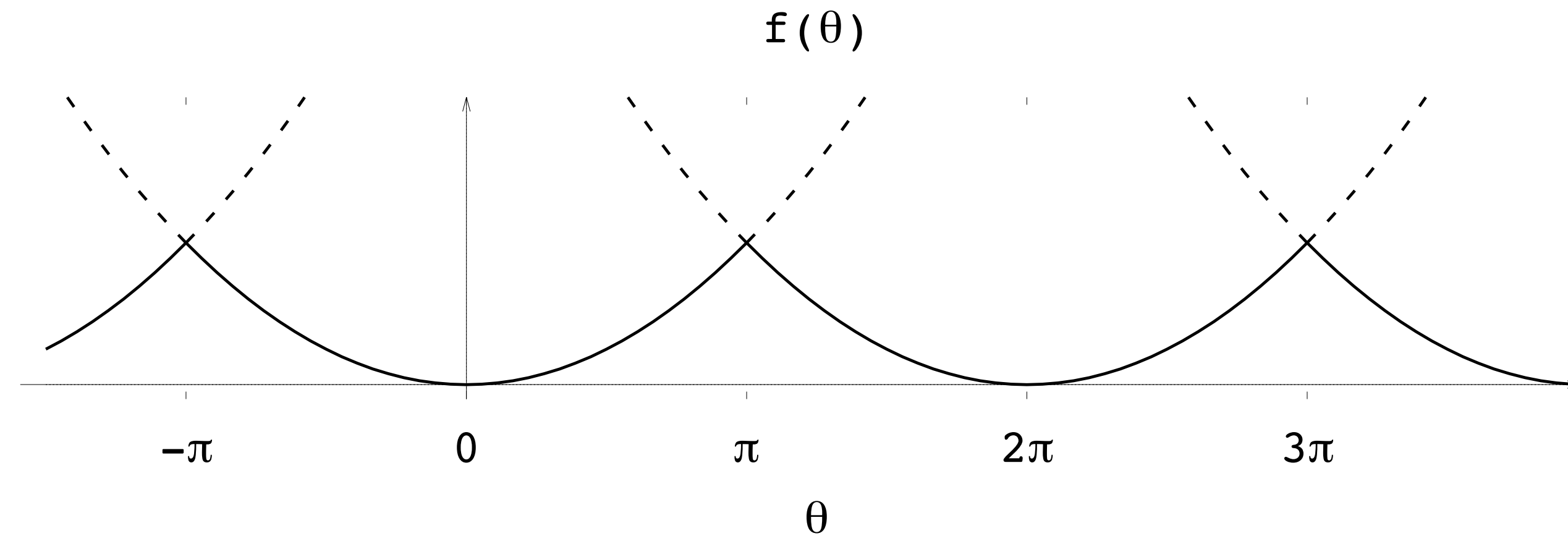
θ dependence of $f(\theta)$ at $T = 1.2T_c$



- Systematic error due to ambiguity of the scaling region is large for $\theta > \pi$
- Within large uncertainty, consistent with the DIGA.
- $df(\theta)/d\theta \Big|_{\theta=\pi} \approx 0 \Rightarrow$ **no CPV** above T_c
- Numerical consistency with $\int d\theta \frac{df}{d\theta}$
- Similar results at $T = 1.6 T_c$

Discussion

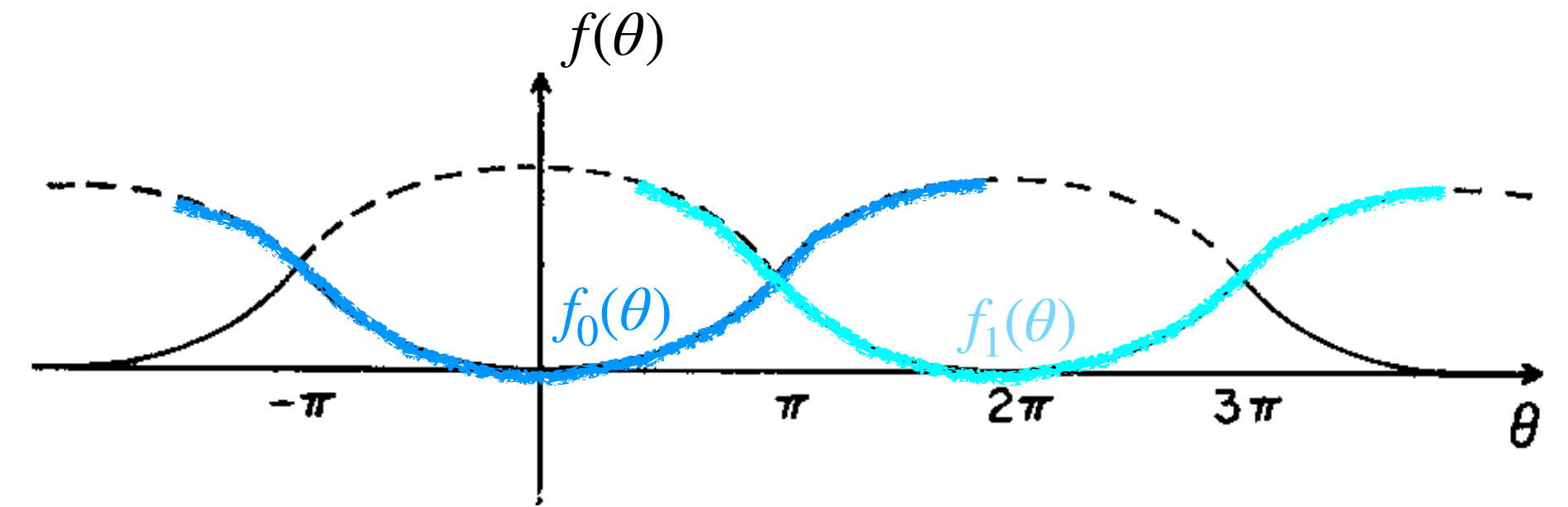
- For $T > T_c$, consistent with $f(\theta) = \chi(1 - \cos \theta)$
- At $T = 0$, $f(\pi - \theta) \neq f(\pi + \theta)$ is not satisfied and it is not like



Why ?

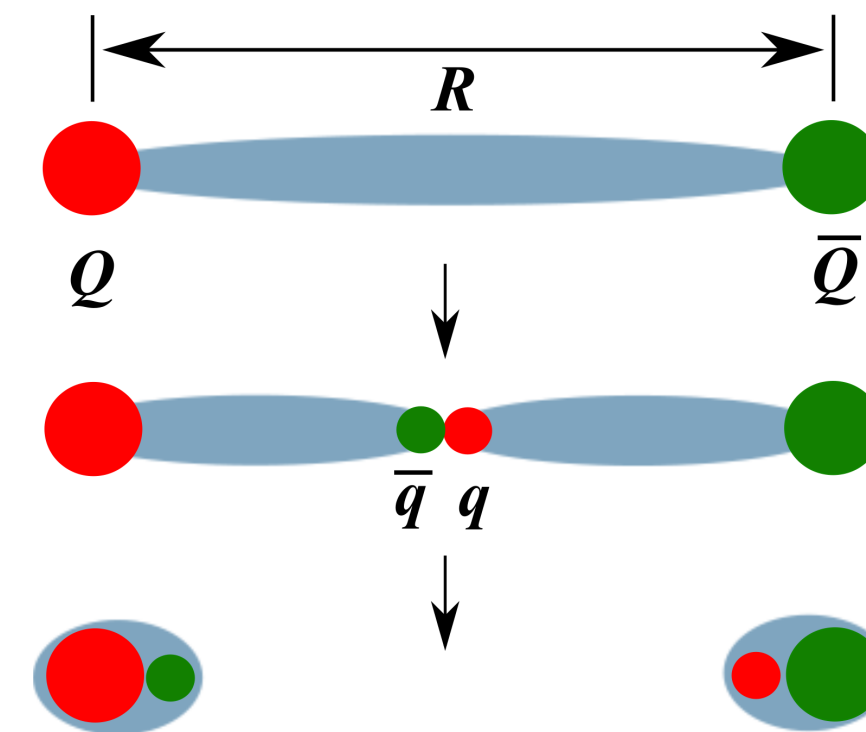
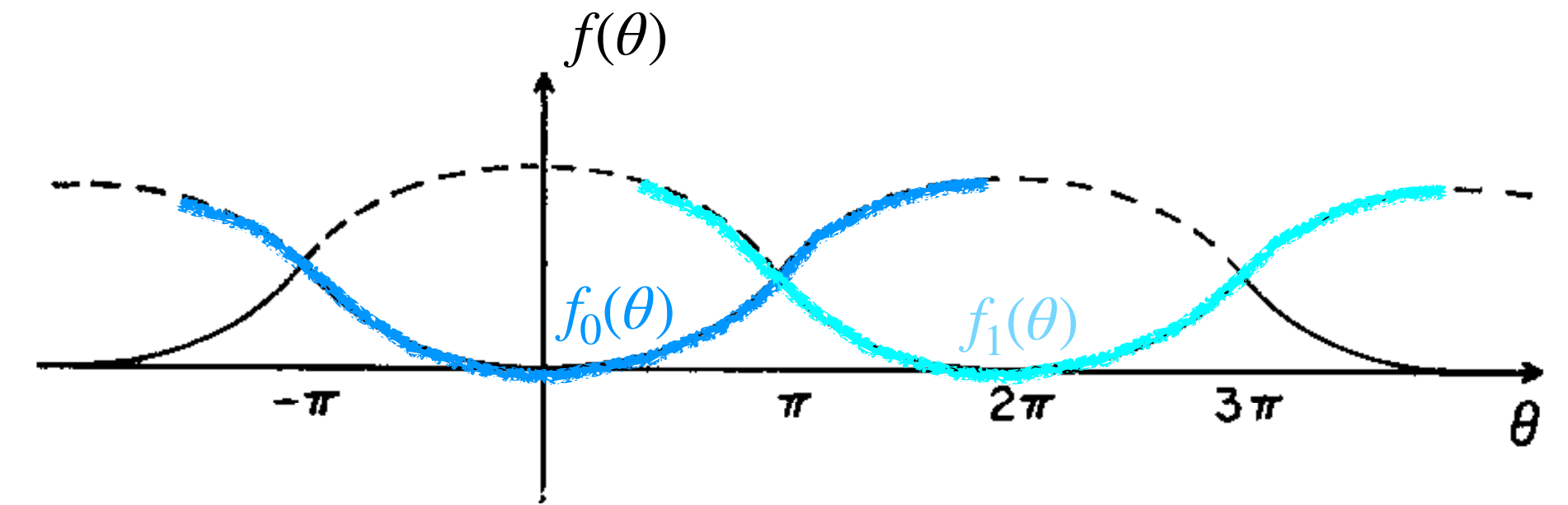
Interpretation

- Sub-volume method seems to trace an original branch even after the crossing point is passed.



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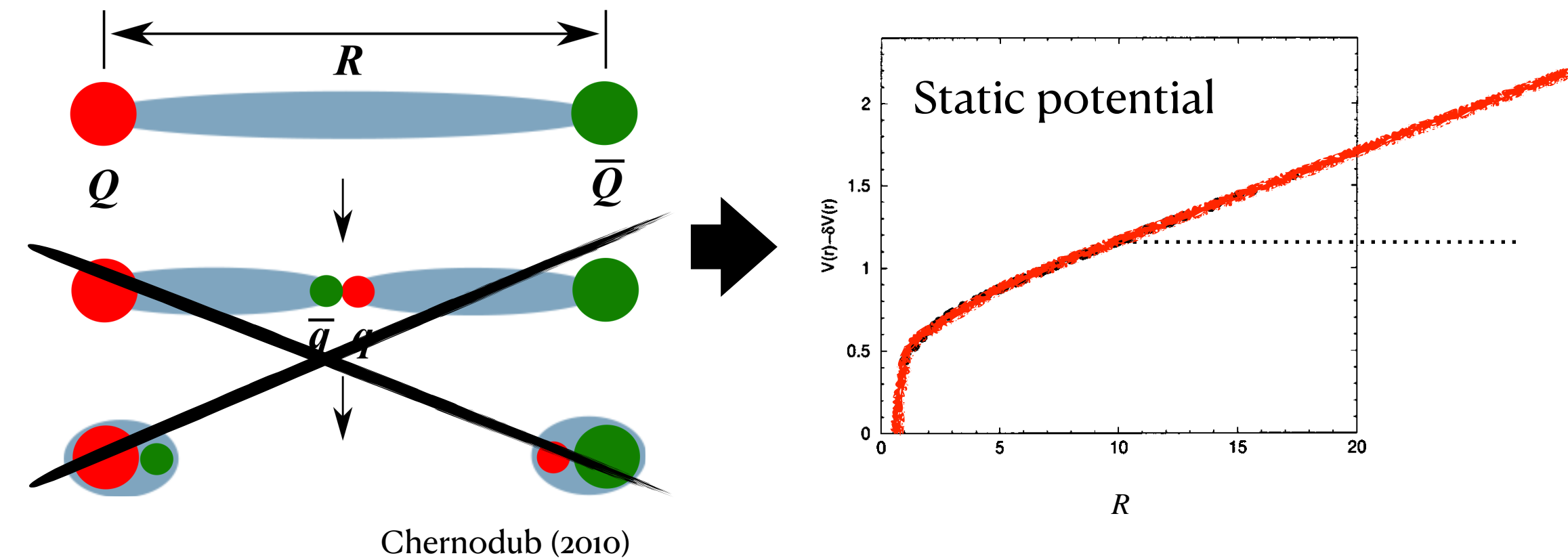
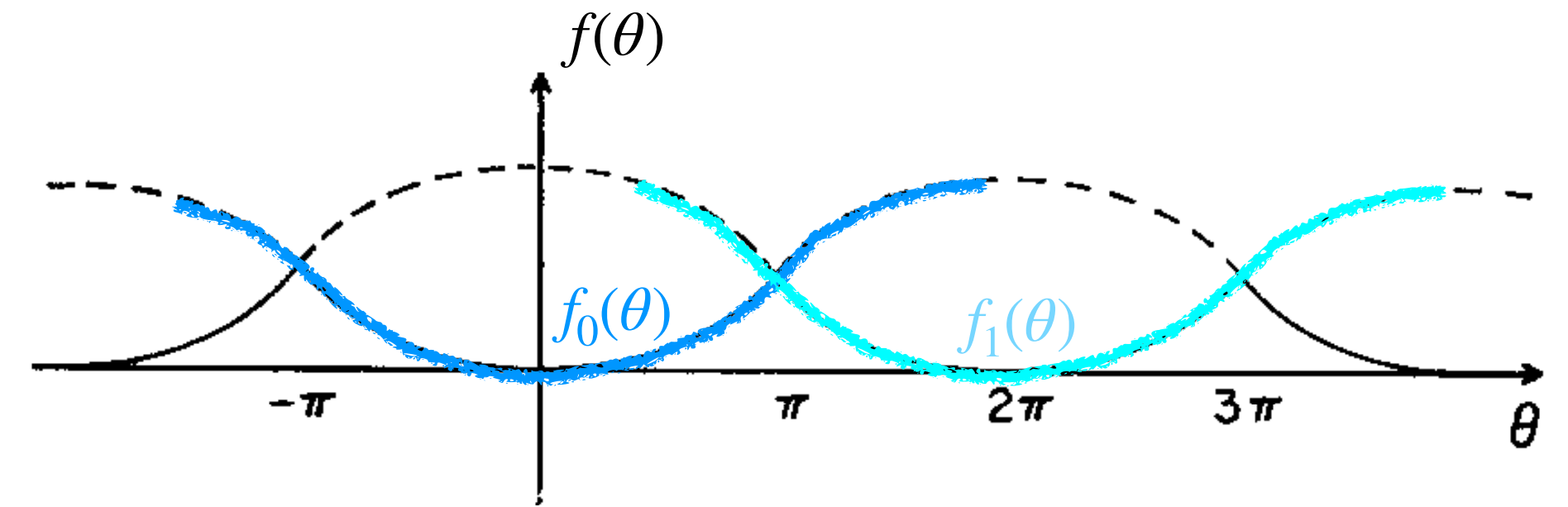
- Sub-volume method seems to trace an original branch even after the crossing point is passed.
- Similar to the calculation of the static potential, where “string breaking” should happen but never occurs.



Chernodub (2010)

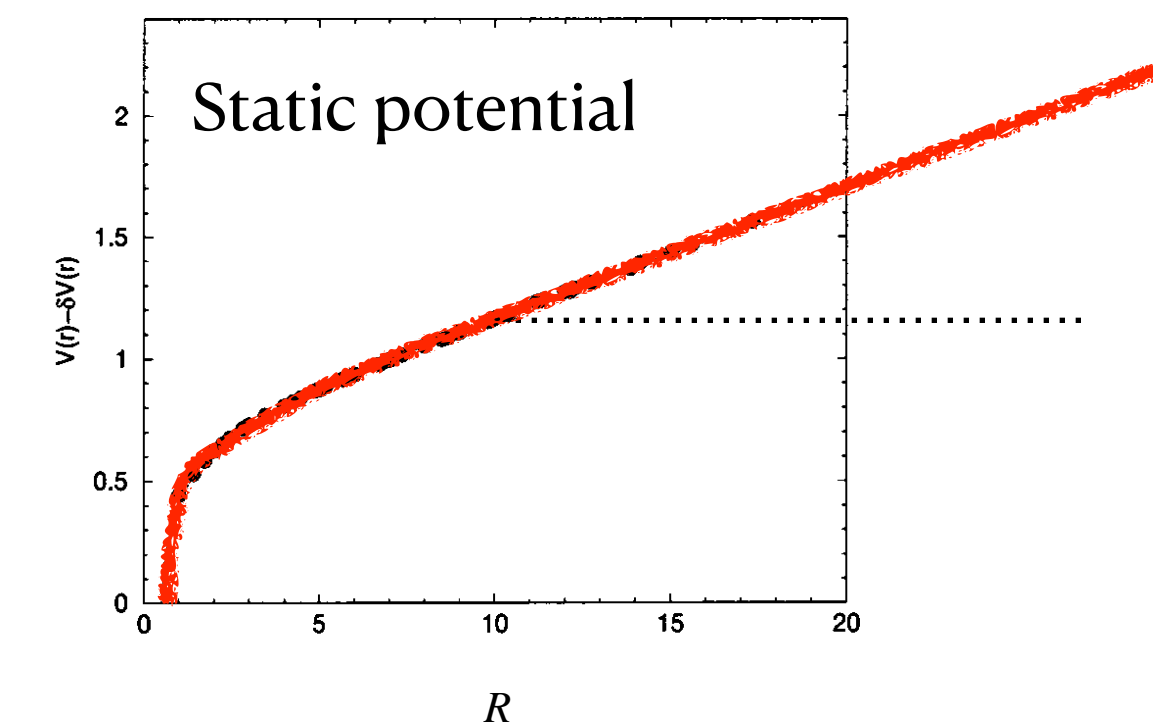
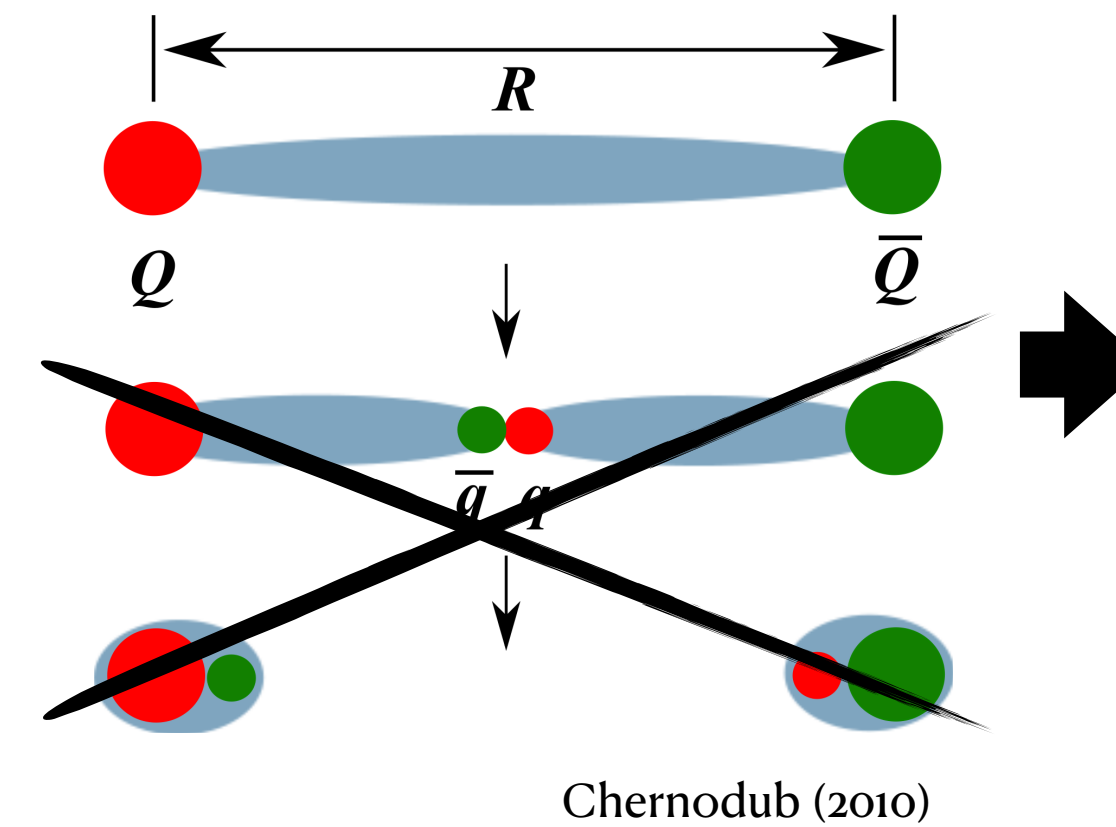
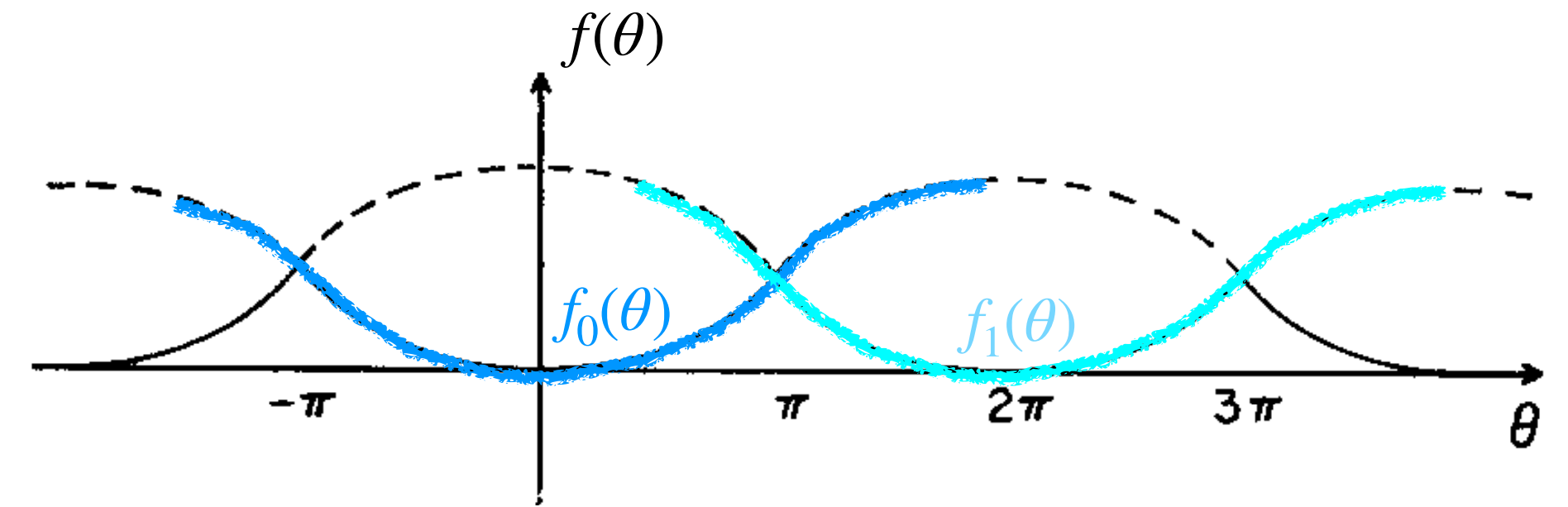
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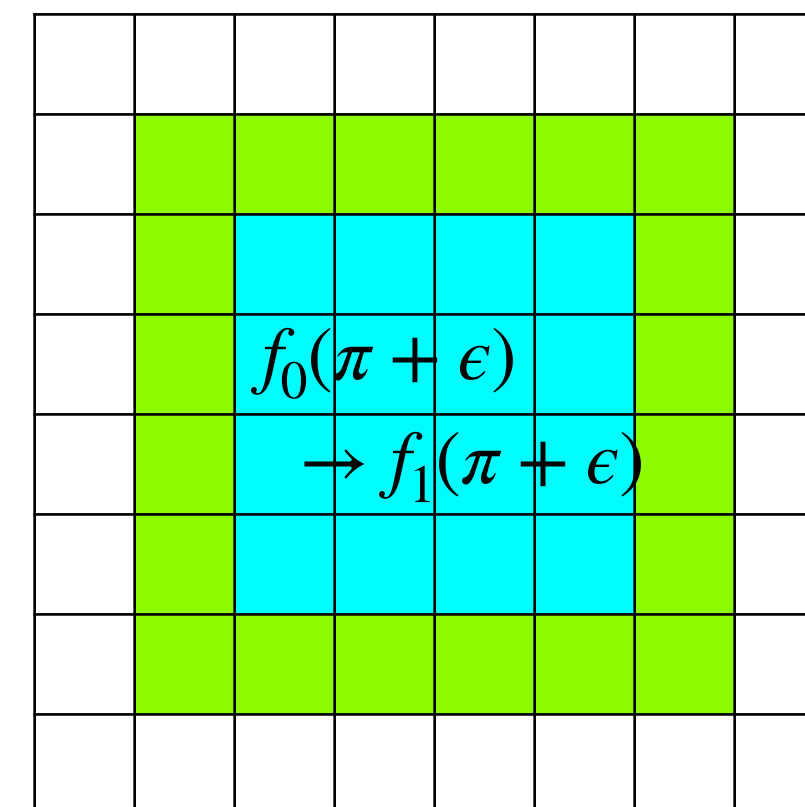
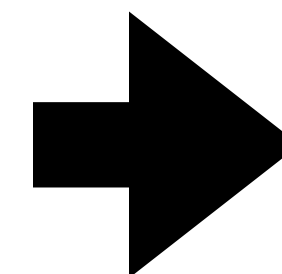
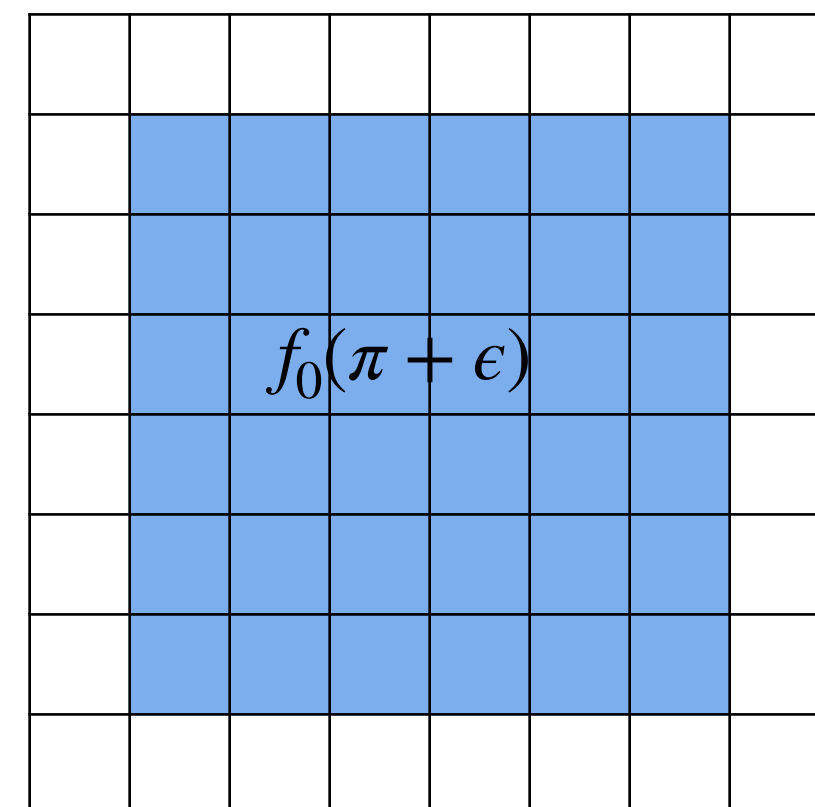
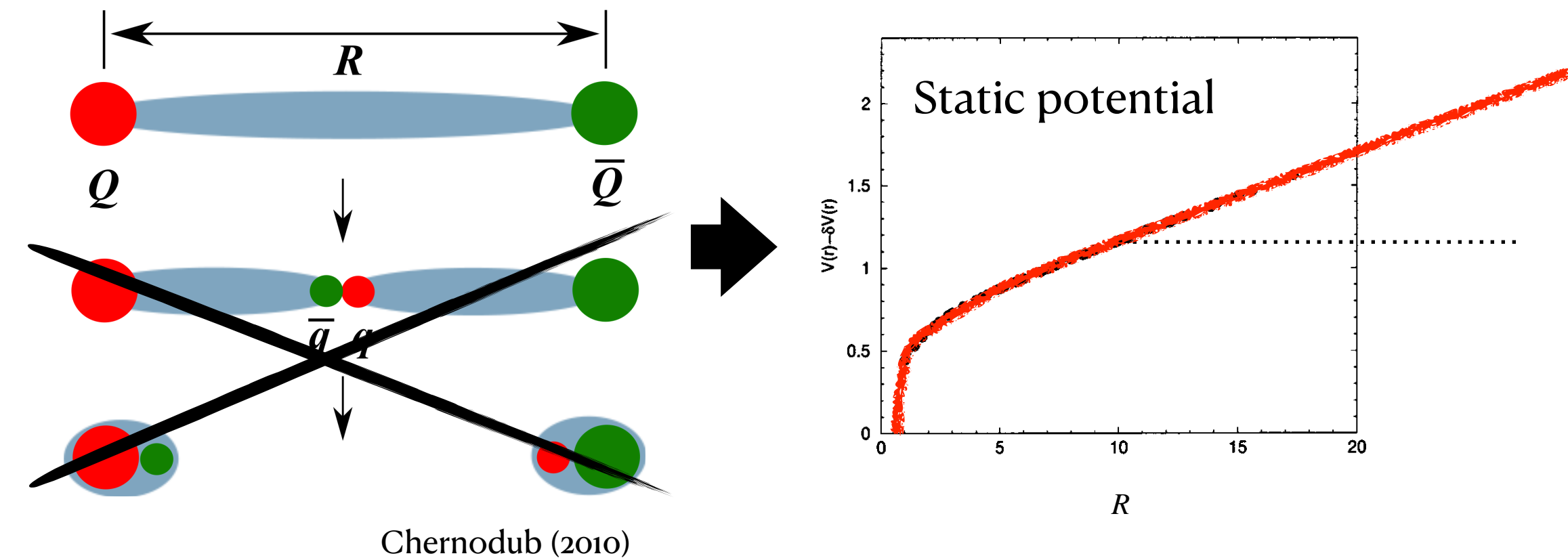
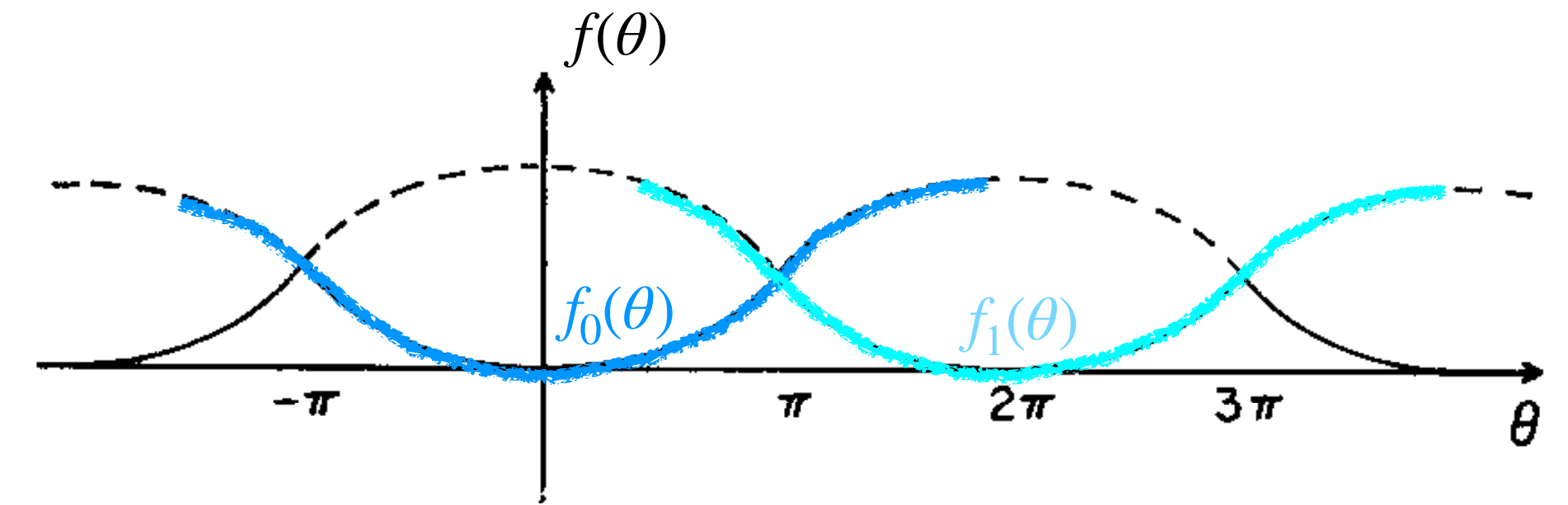
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- Sub-volume method seems to trace an original branch even after the crossing point is passed.
- Similar to the calculation of the static potential, where “string breaking” should happen but never occurs.
- In the present case, the domain to domain-wall transition should occur but does not in this method.



Interpretation

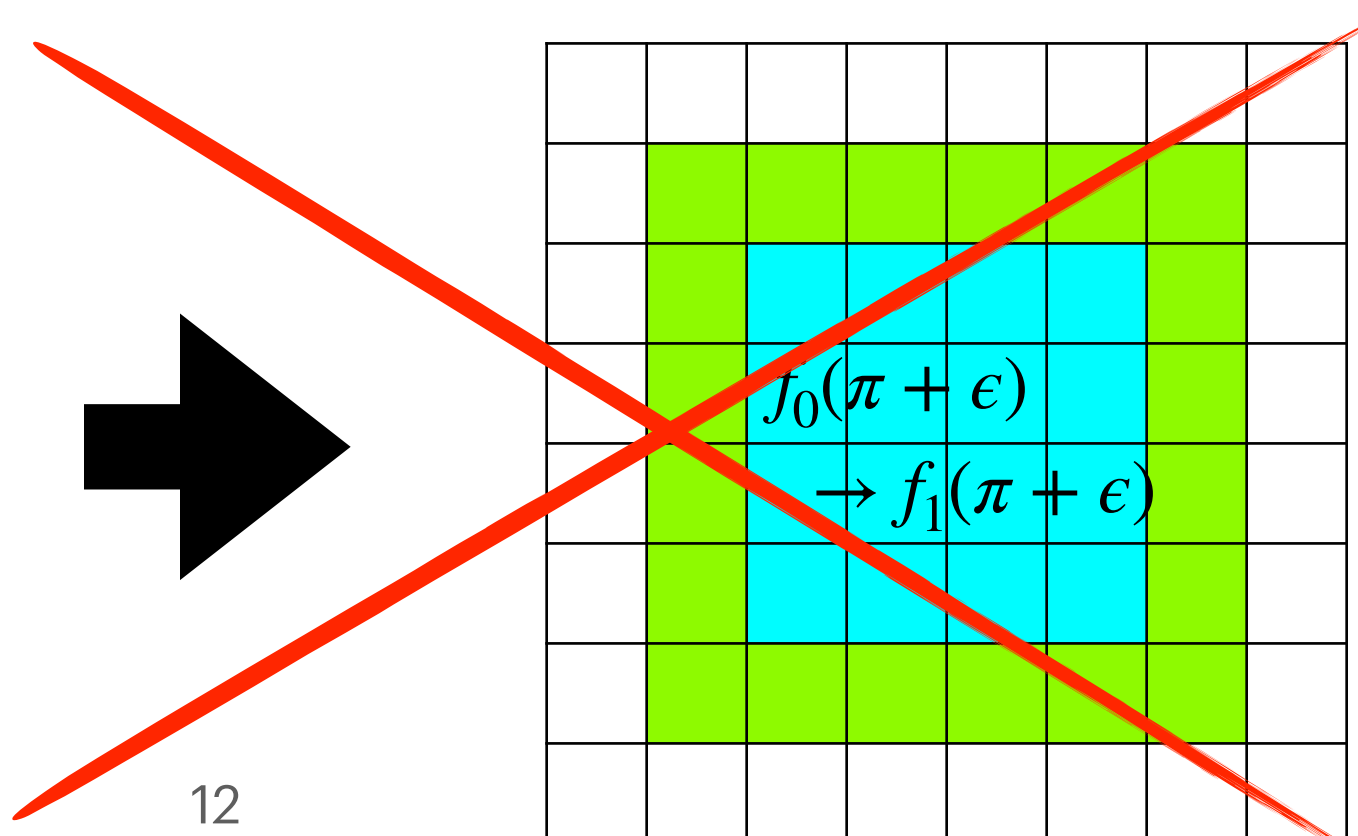
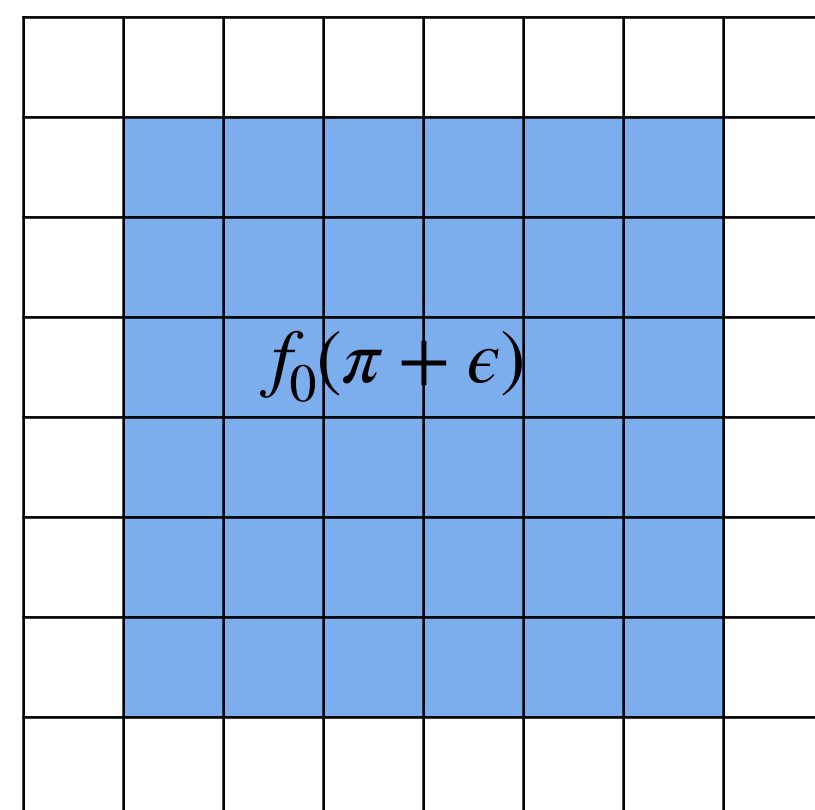
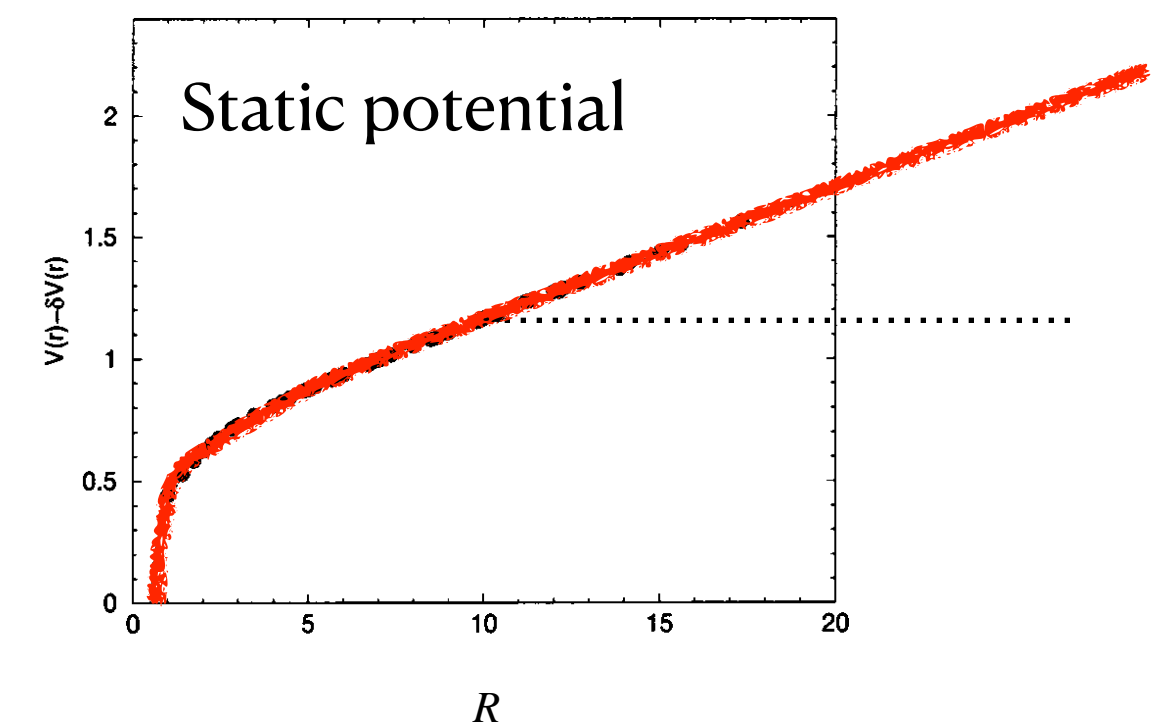
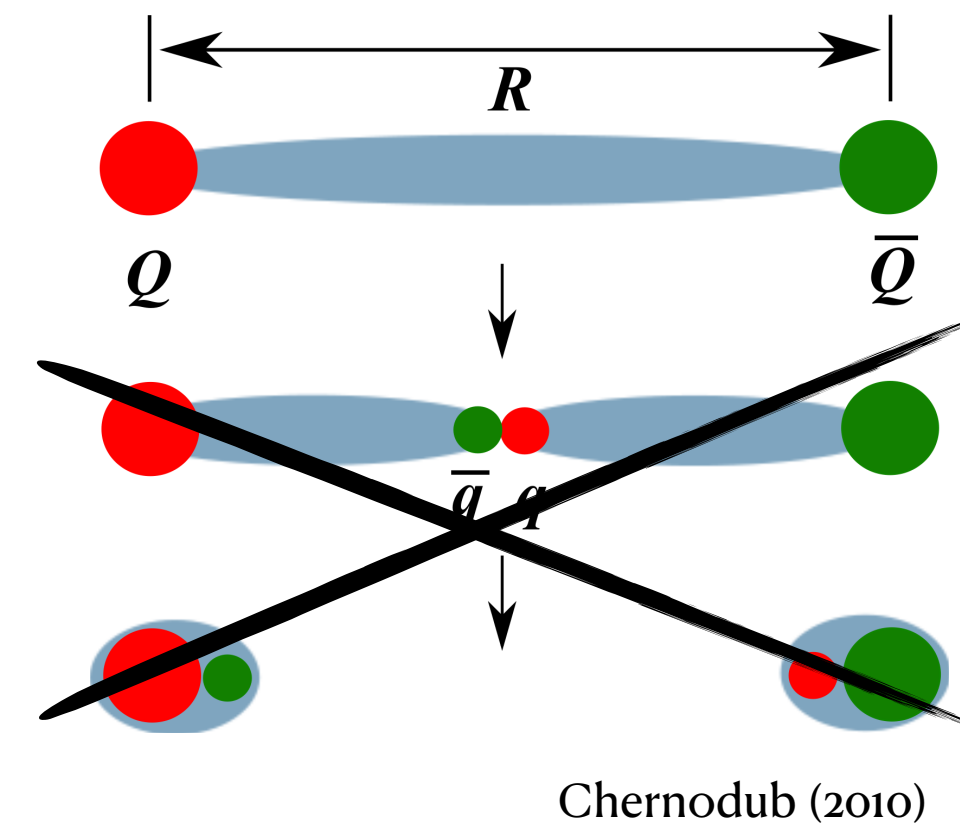
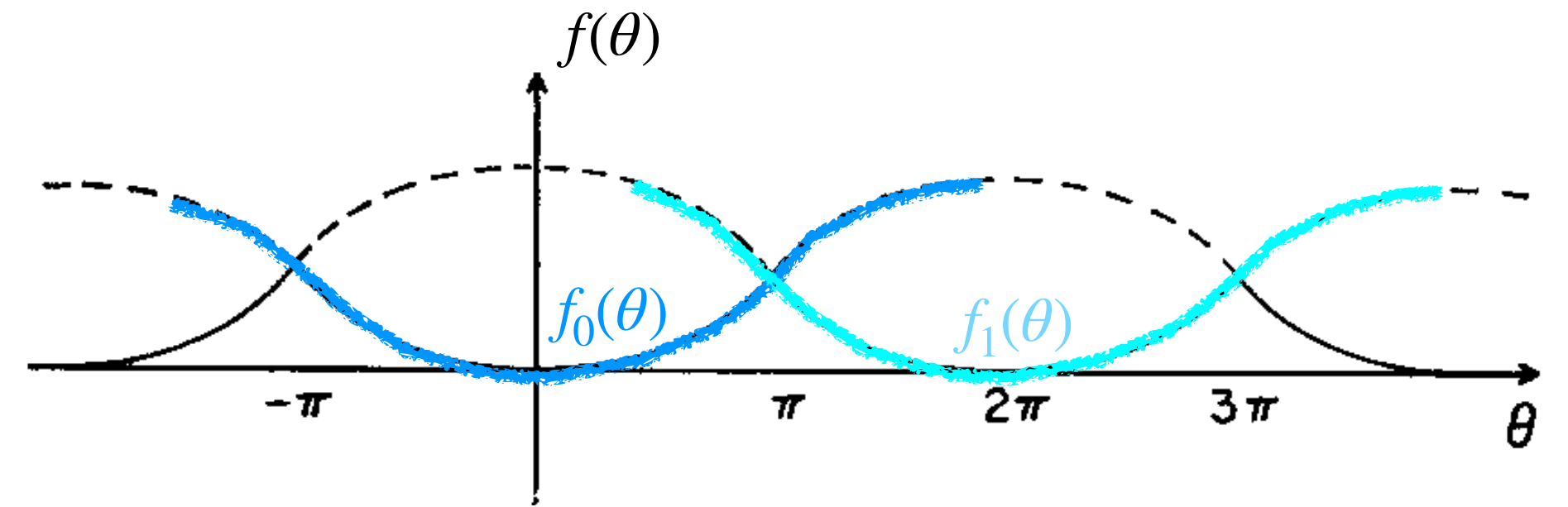
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4d SU(N) YM has a topological object called a bag or a domain-wall [Luscher (1978)].

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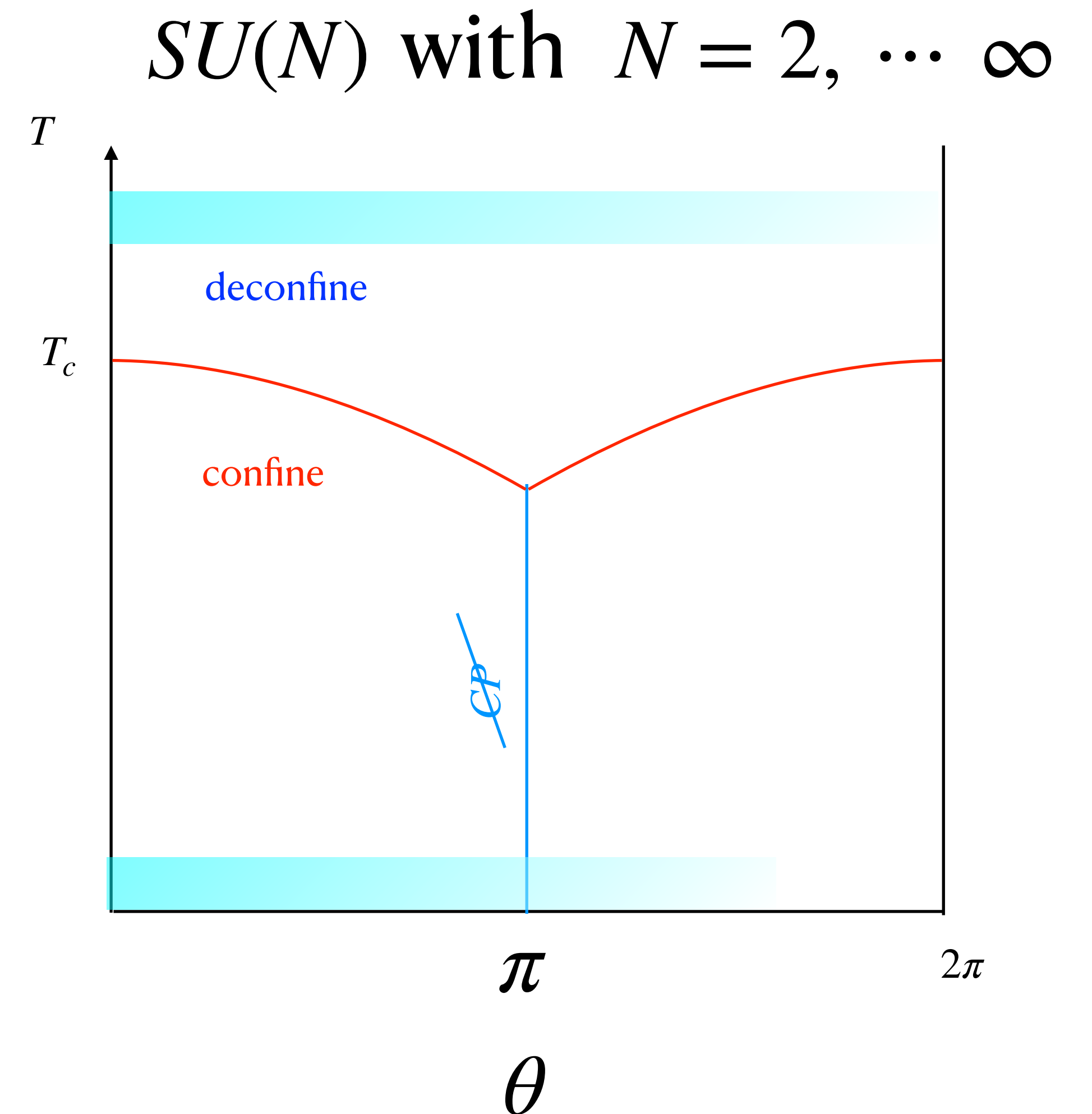
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Summary and conclusion

- We have developed a sub-volume method, which enables us to calculate $f(\theta)$ up to $\theta \sim 3\pi/2$ in SU(2) Yang-Mills theory.
- Combining with the theory requirement $f(\pi - \theta) = f(\pi + \theta)$, our result provides with the evidence for **spontaneous CPV** at $\theta = \pi$ and at $T = 0$.
 \Rightarrow **SU(2) belongs to large N class (not like CP¹ model).**
- The same method roughly reproduces the DIGA result, $f(\theta) \sim \chi(1 - \cos \theta)$, above T_c , which makes the above result more confident.

Future studies

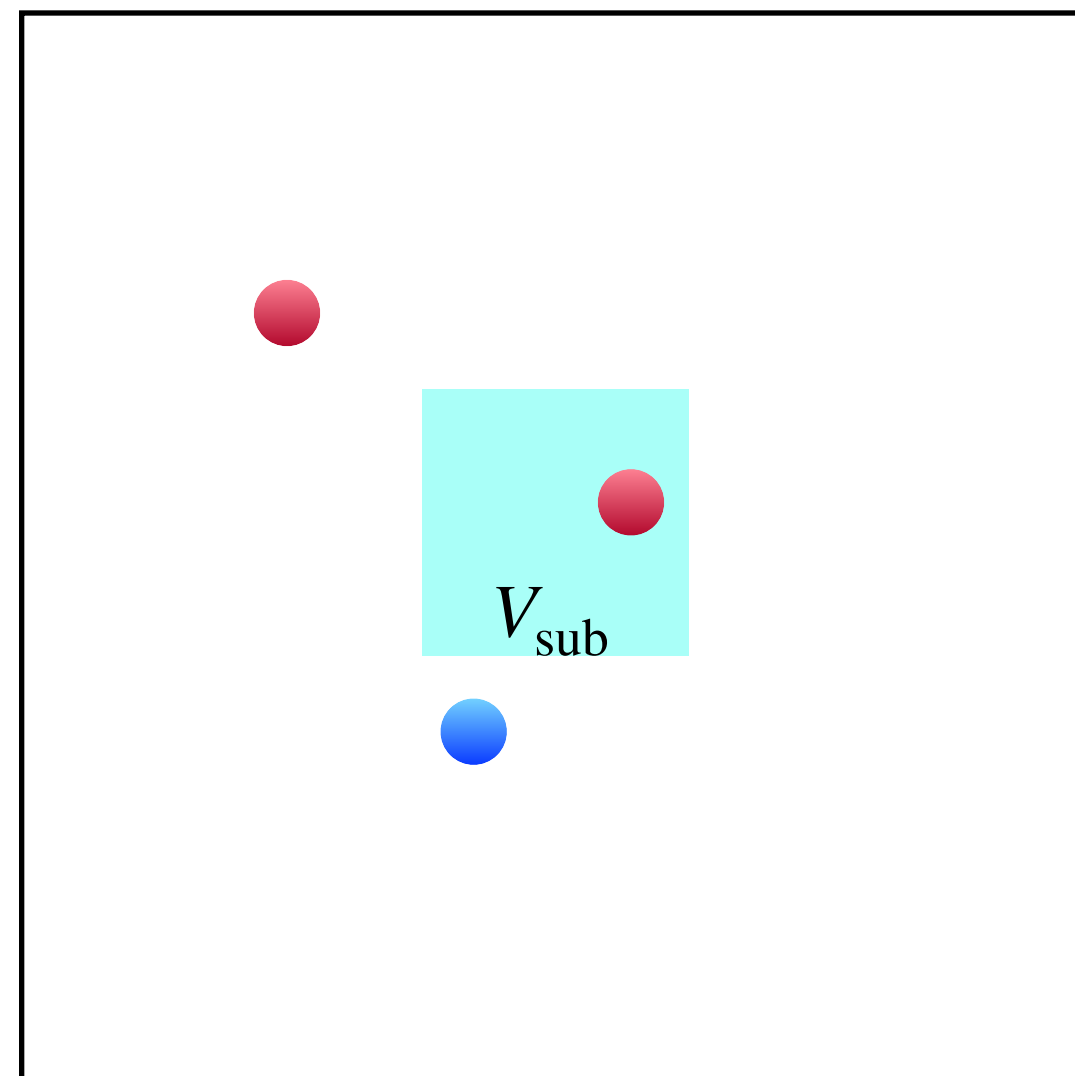
- exploring the location of $T_c(\theta)$
- applying the sub-volume method to the finite density system.



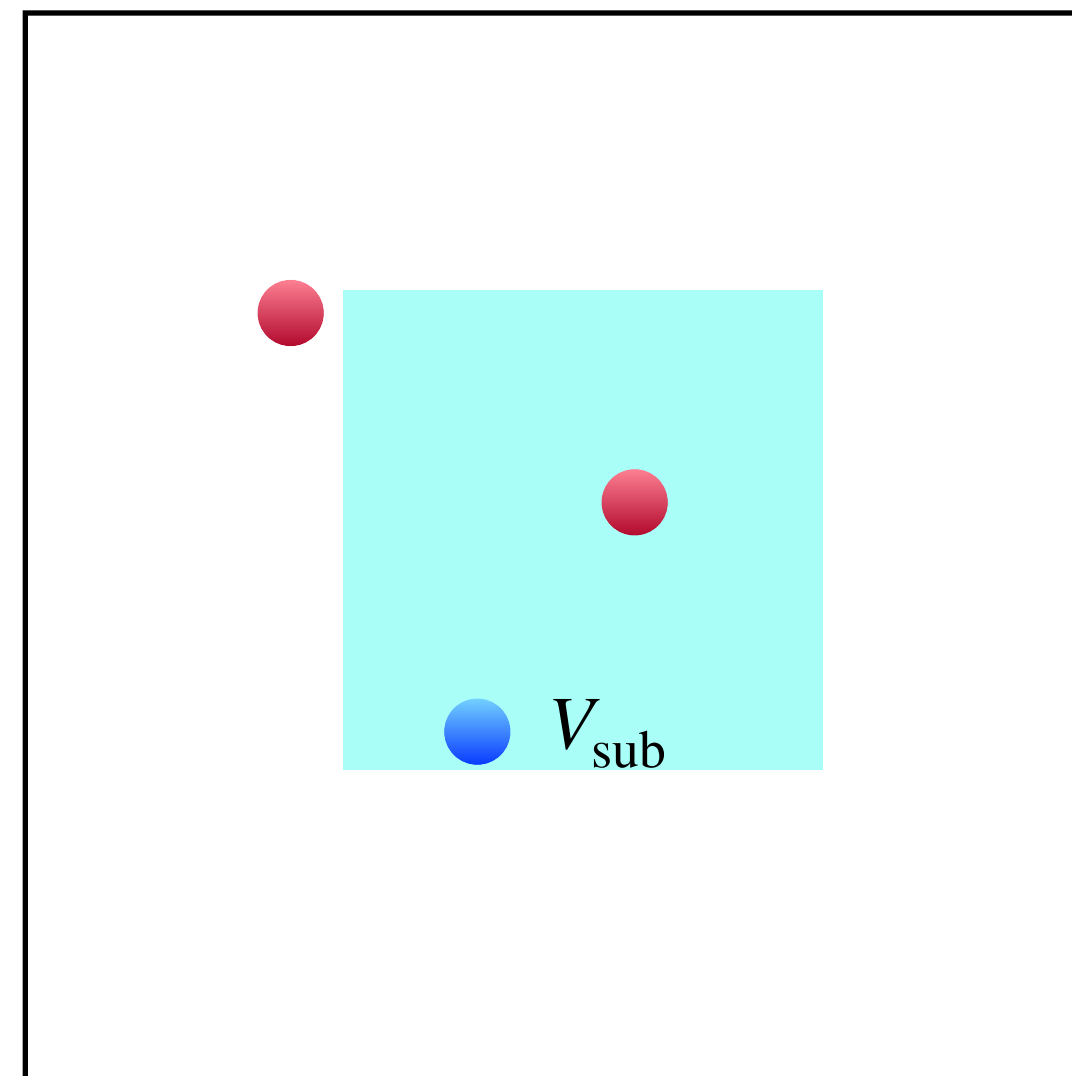
Backup slides

Intuitive understanding of periodic behavior of $f(\theta)$

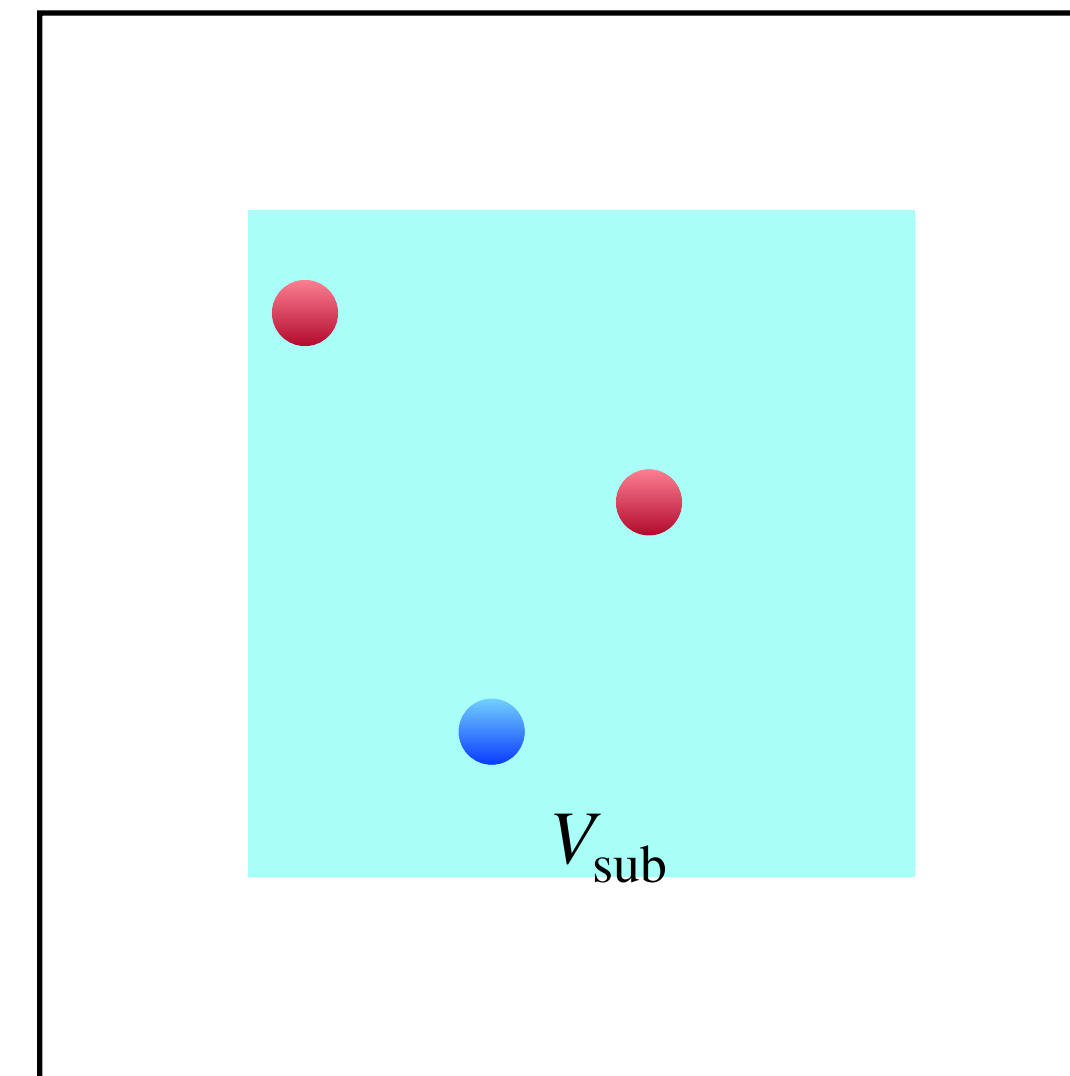
$$f(\theta) = - \lim_{V_{\text{sub}} \rightarrow \infty} \frac{1}{V_{\text{sub}}} \ln \langle e^{-i\theta Q_{\text{sub}}} \rangle = - \lim_{V_{\text{sub}} \rightarrow \infty} \frac{1}{V_{\text{sub}}} \ln \langle \cos(\theta Q_{\text{sub}}) \rangle$$



$$Q_{\text{sub}} = +1$$



$$Q_{\text{sub}} = 0$$



$$Q_{\text{sub}} = +1$$

- : instanton
- : anti-instanton

In this case, Q_{sub} is almost always integer if $\rho_{\text{instanton}}^4 \ll V_{\text{sub}}$.

$\Rightarrow f(\theta) \Big|_{\theta \approx 2\pi} \sim 0 \Rightarrow 2\pi\text{-periodicity can be expected.}$

θ -vacuum

- The vacuum can have an integer winding number, labeled by $|n\rangle$.
- But, this label is changed by gauge transformation, e.g. $U_{(1)}|n\rangle \rightarrow |n+1\rangle$.

- Define $|\theta\rangle = \sum_{n=-\infty}^{+\infty} e^{in\theta} |n\rangle \iff U_{(1)}|\theta\rangle = e^{-i\theta} |\theta\rangle$

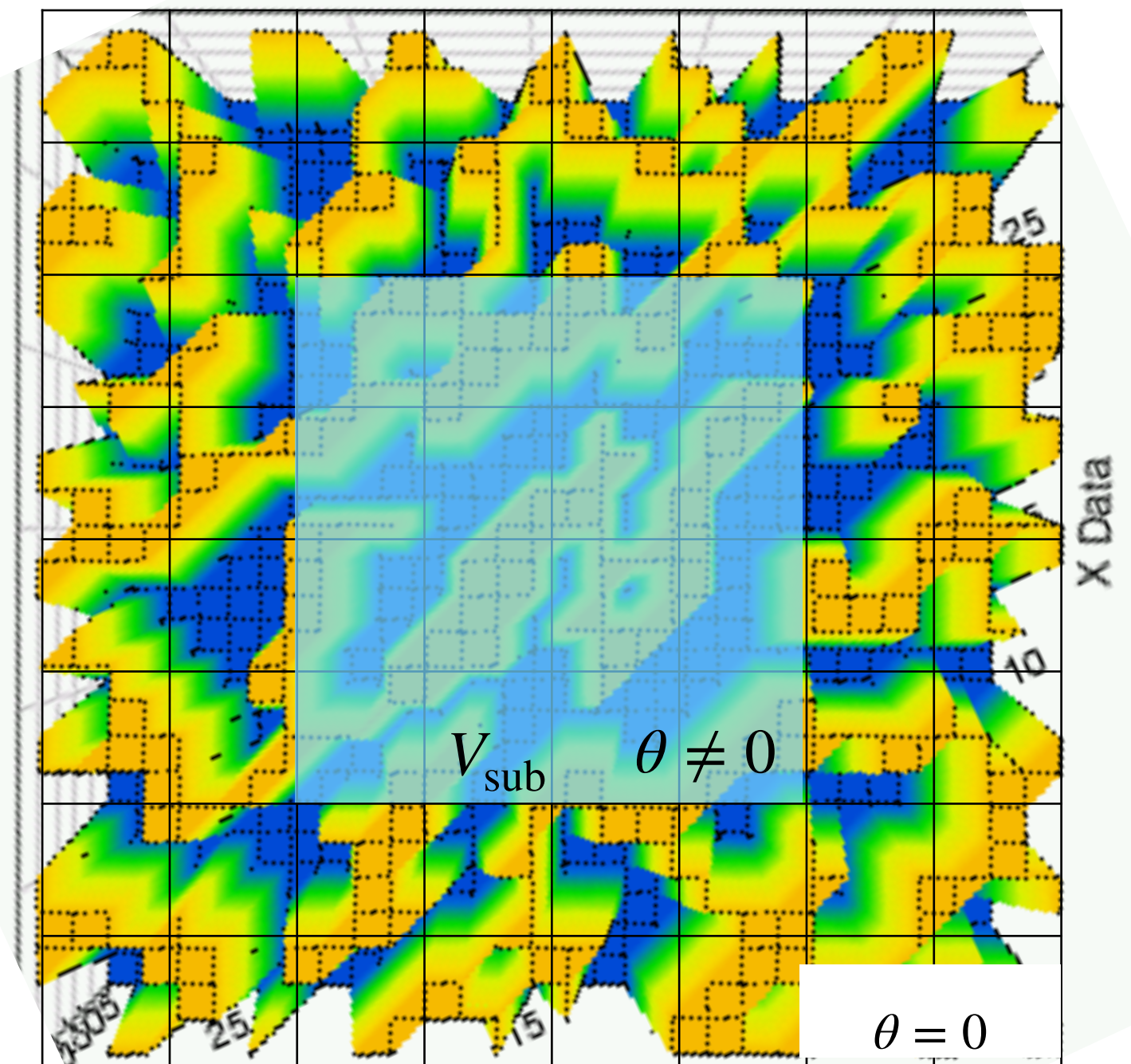
- $\langle\theta_+|\theta_-\rangle_J = \sum_{m,n} e^{in\theta} e^{-im\theta} \langle m_+|n_-\rangle_J = \sum_Q e^{i\theta Q} \sum_m \langle m_+|m_-+Q\rangle_J$

$$= \sum_Q \int_{\mathcal{D}A} e^{-S_g + i\theta Q + \int J \cdot A} \delta\left(Q - \frac{g^2}{32\pi^2} \int d^4x G\tilde{G}\right)$$

$$= \int \mathcal{D}A e^{-S_g + i\theta Q + \int J \cdot A}$$

Expected behavior of $f_{\text{sub}}(\theta)$ as a function of V_{sub}

- It must be $V_{\text{sub}} \gg l_{\text{dyn}}^4$.
- As long as $V_{\text{sub}} \gg l_{\text{dyn}}^4$, $f_{\text{sub}}(\theta)$ is expected to show the scaling behavior, $f_{\text{sub}}(\theta) = f(\theta) + \frac{s(\theta)}{l} + O(1/l^2)$.
- Such a behavior will end as $V_{\text{sub}} \rightarrow V_{\text{full}}$, where $Q_{\text{sub}} \rightarrow Q_{\text{full}} \in \mathbb{Z}$. Thus, $V_{\text{sub}} \ll V_{\text{full}}$ is required.
- On the other hand, the method fails when $|\theta Q_{\text{sub}}| \sim \pi$ because $f_{\text{sub}}(\theta) \propto \ln\langle \cos(\theta Q_{\text{sub}}) \rangle$ becomes ill-defined.
- **Crucial question:**
 V_{sub} satisfying $l_{\text{dyn}}^4 \ll V_{\text{sub}} \ll V_{\text{full}}$ and $|\theta Q_{\text{sub}}| < \pi$ exists?



V_{full}

Ahmad, et al. (2005)

Similarity to the static potential calculation

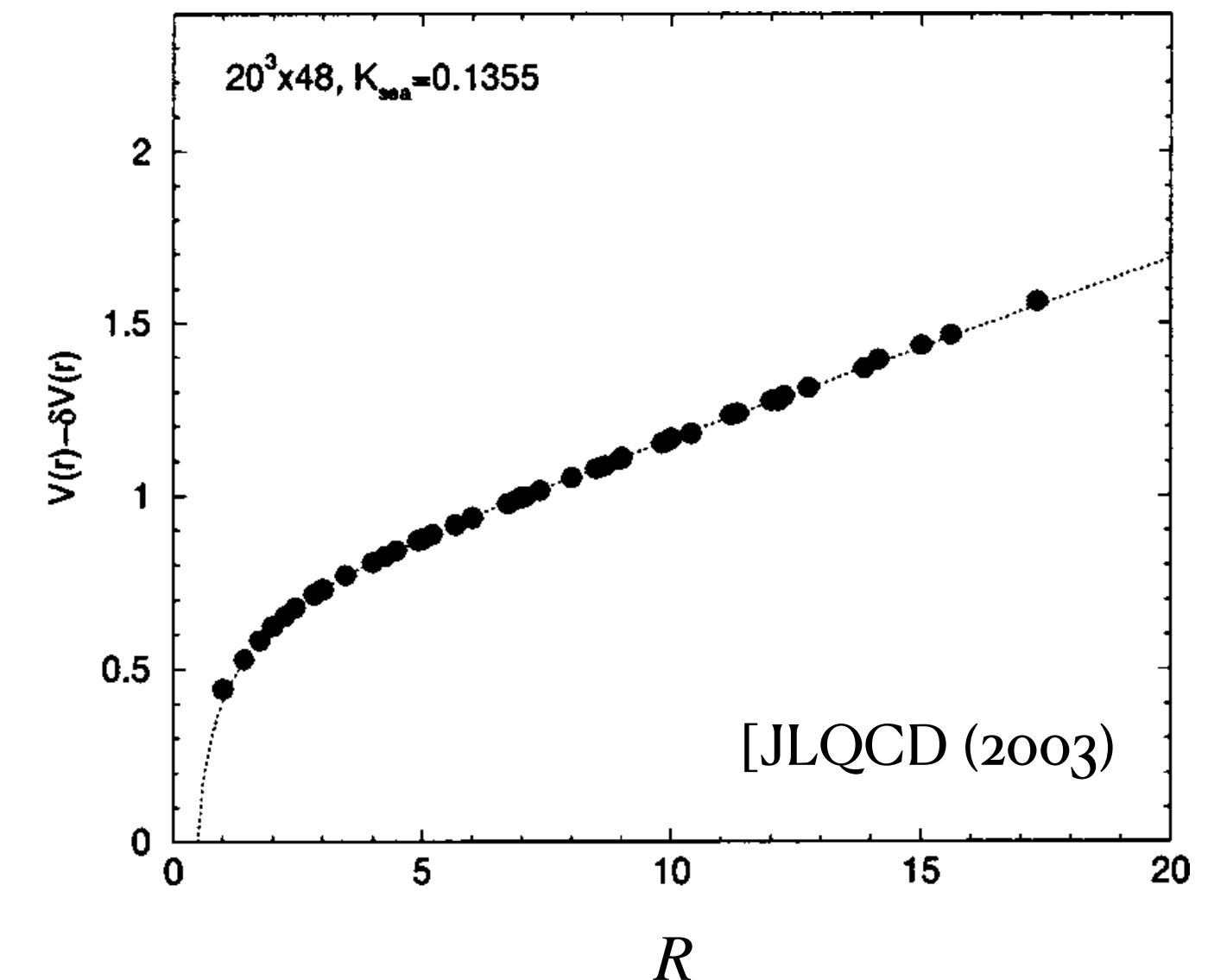
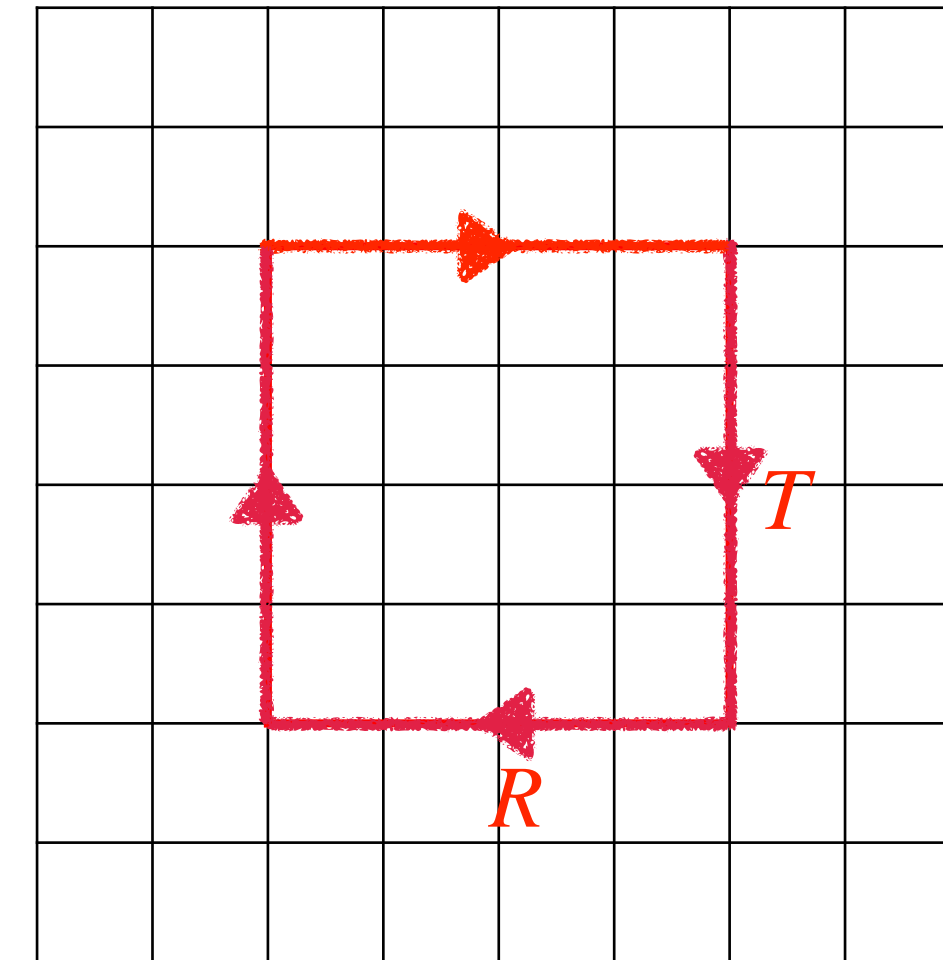
In the static potential calculation, Wilson loop is inserted.

$$\frac{Z(\square)}{Z(1)} = \frac{1}{Z(1)} \int \mathcal{D}U \operatorname{Tr}[e^{i\oint A}] e^{-S_{\text{QCD}}} = \langle \operatorname{Tr}[e^{i\oint A}] \rangle \rightarrow e^{-V(\mathcal{A})}$$

$$V(\mathcal{A}) = - \lim_{\mathcal{A} \rightarrow \infty} \ln \langle \operatorname{Tr}[e^{i\oint A}] \rangle = \sigma \mathcal{A} + \dots$$

In **sub-volume method**, instead a operator extending over subvolume is inserted.

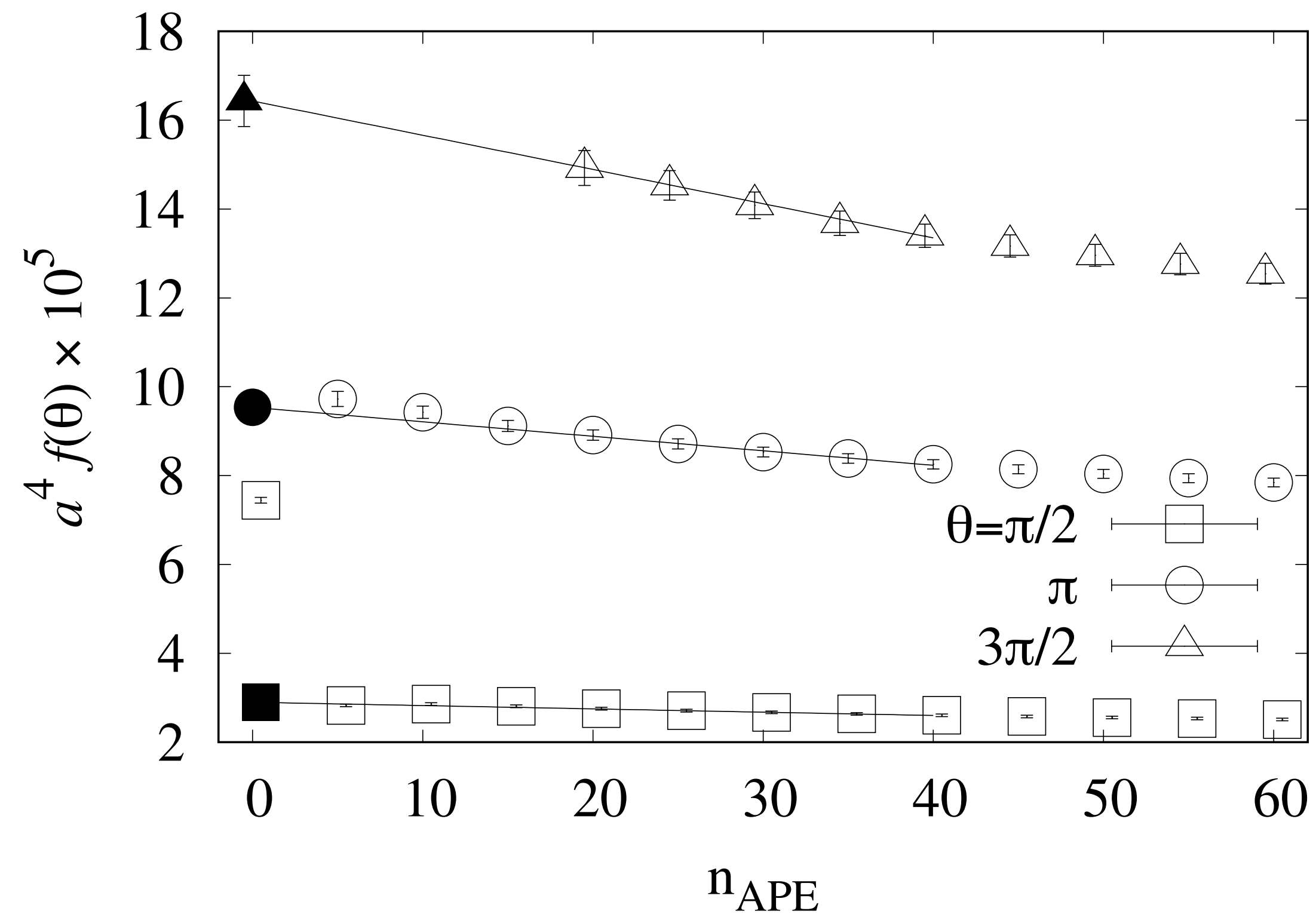
$f(\theta)$ is analogous to σ in the static potential.



About smearing

- Need to numerically calculate $q(x) = \frac{1}{64\pi^2} \epsilon_{\mu\nu\rho\sigma} F_{\mu\nu}^a F_{\rho\sigma}^a$ on the lattice
- Raw configurations are contaminated by local lumps.
- Smearing (= smoothing a configuration) removes such short-distance artifacts.
- However, at the same time, smearing may alter relevant topological excitations, too.
- We studied this point and developed the procedure to restore relevant information.
[Kitano, NY, Yamazaki (2021)]
 - calculate an observable every 5 steps of the smearing
 - extrapolate those back to $n_{\text{APE}} \rightarrow 0$, $\langle O \rangle = \lim_{n_{\text{APE}} \rightarrow 0} \langle O(n_{\text{APE}}) \rangle$

$n_{\text{APE}} \rightarrow 0$ limit at $T = 0$



- Fit range $n_{\text{APE}} = [20, 40]$ determined in [\[Kitano, NY, Yamazaki \(2021\)\]](#).
- Linear fit works well.
- Monotonic function $f(\pi) < f(3\pi/2)$