

Fonds de recherche  
sur la nature  
et les technologies

Québec 



McGill  
UNIVERSITY

  
LATTICE 21  
JULY 26-30 2021, ZOOM/GATHER@MIT

Date: 2021 - July - 27

From lattice QCD (mass & thermal width)  
to in-medium heavy-quark interactions  
via deep learning

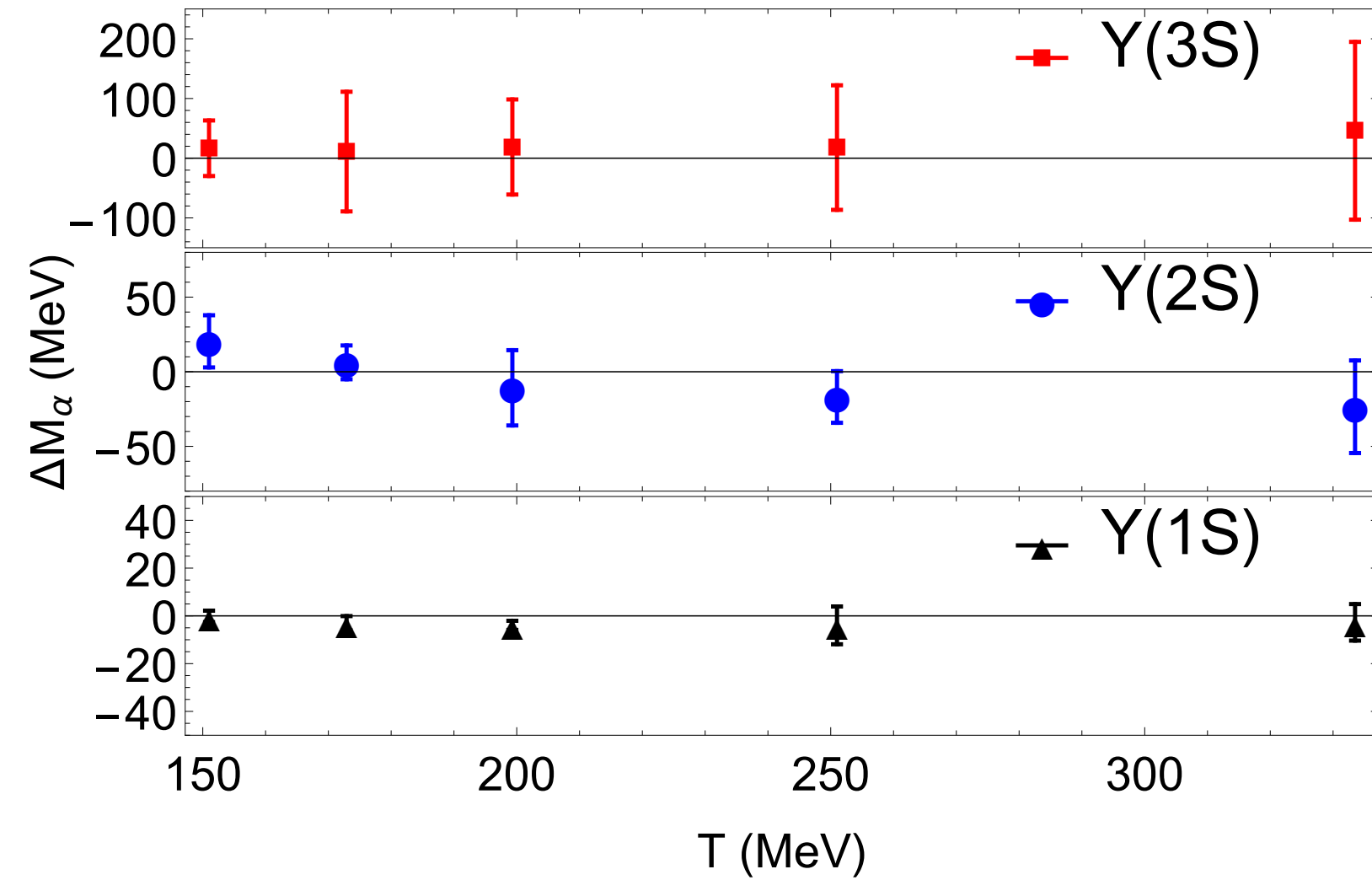
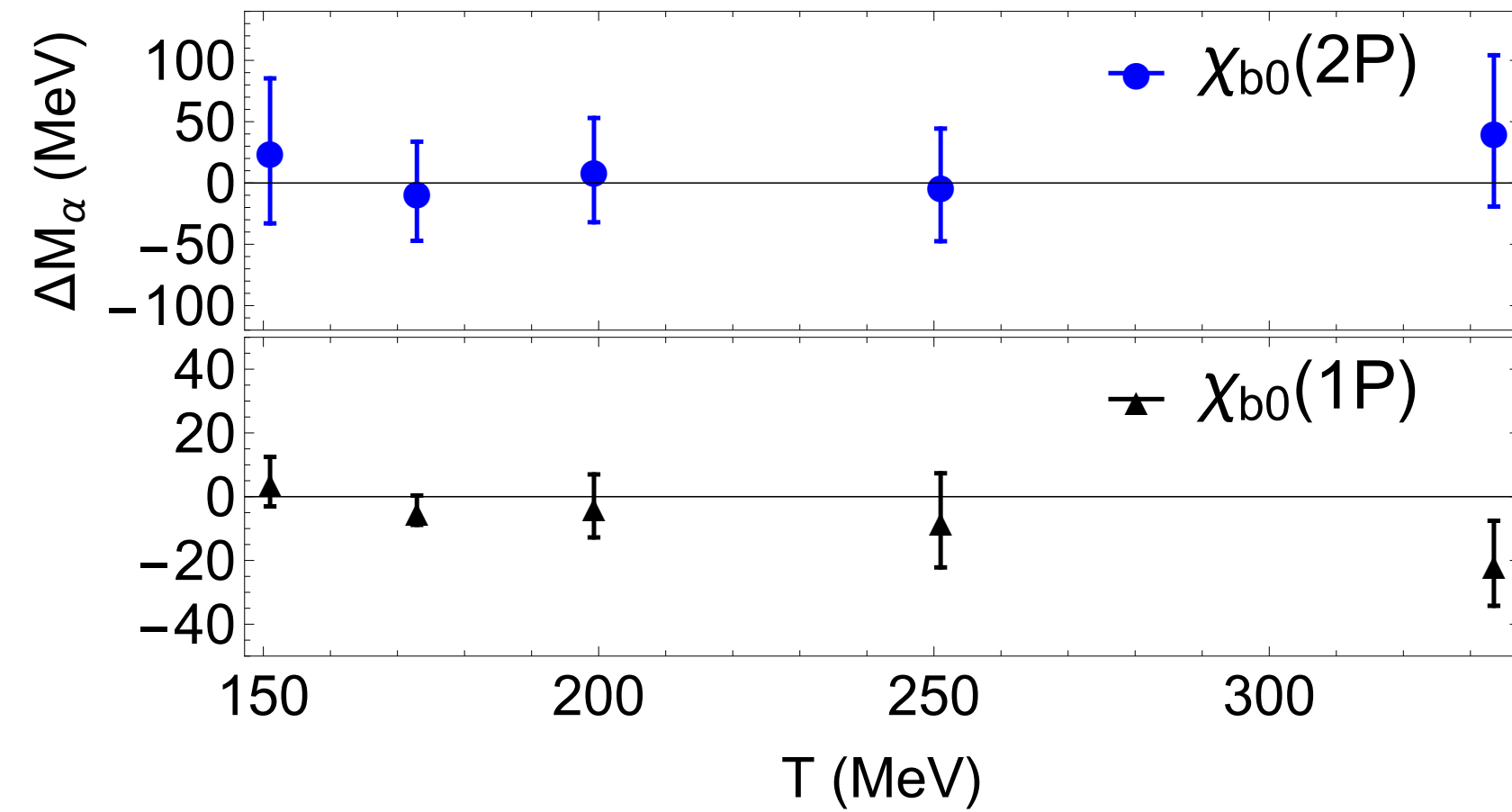
Passenger: Shuzhe Shi (McGill University)

Travel with:  
Kai Zhou, Jiaxing Zhao, Swagato Mukherjee, and Pengfei Zhuang

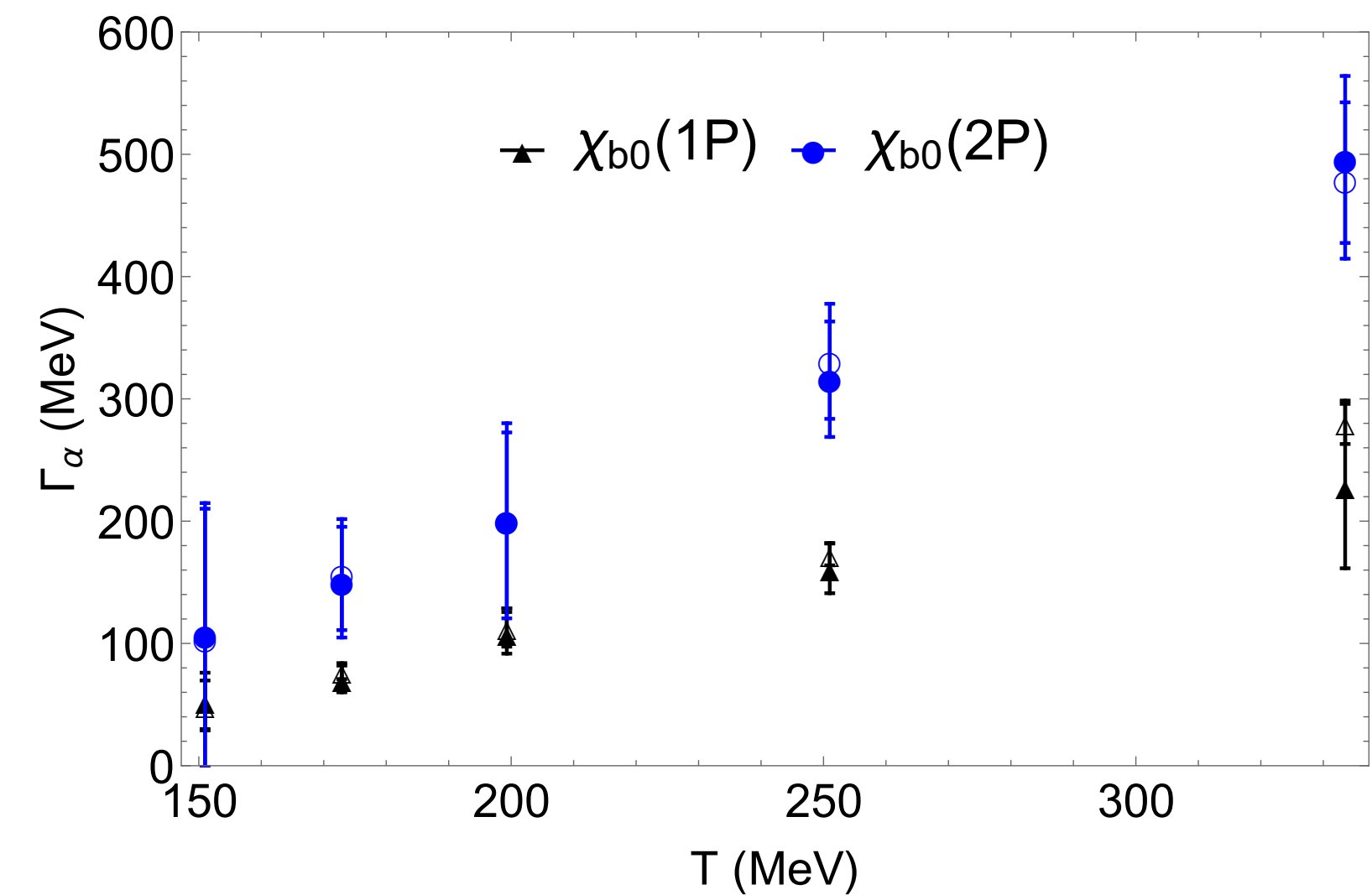
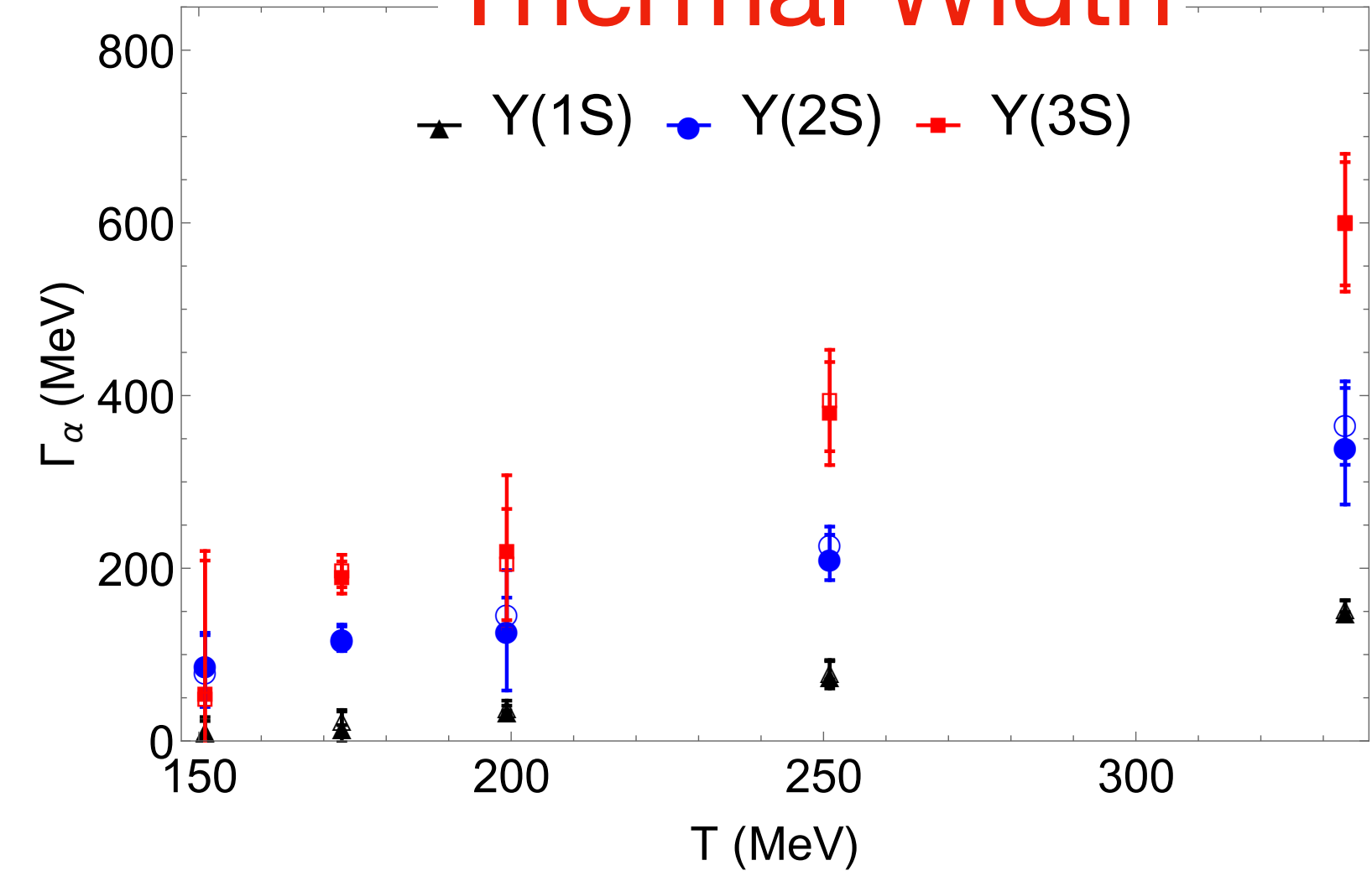
Ticket #: [\(\[arXiv\]\(https://arxiv.org/abs/2105.07862\)\)2105.07862](https://arxiv.org/abs/2105.07862)

- In heavy-ion collisions, quarkonium production serves as a probe of the QGP.
- Accurate understanding of the in-medium heavy-quark interaction?
  - Real potential modified by color-screening
  - Imaginary potential arises due to  $(Q\bar{Q})_1 \rightarrow (Q\bar{Q})_8$ , Landau damping, ...
- Many efforts from the lattice-QCD community (potential for static quarks)  
=> in this work: a new way to extract the potential

## Mass



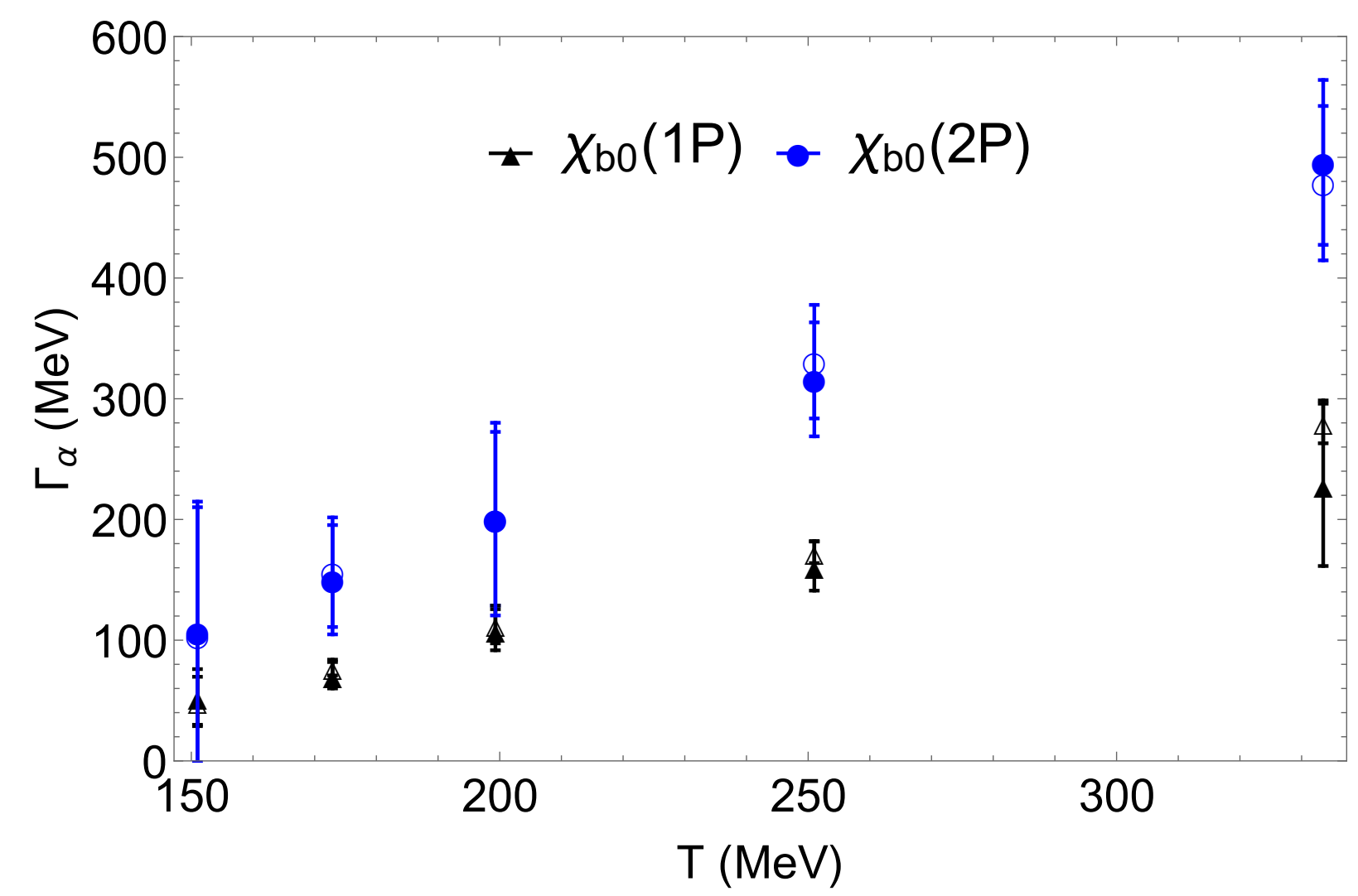
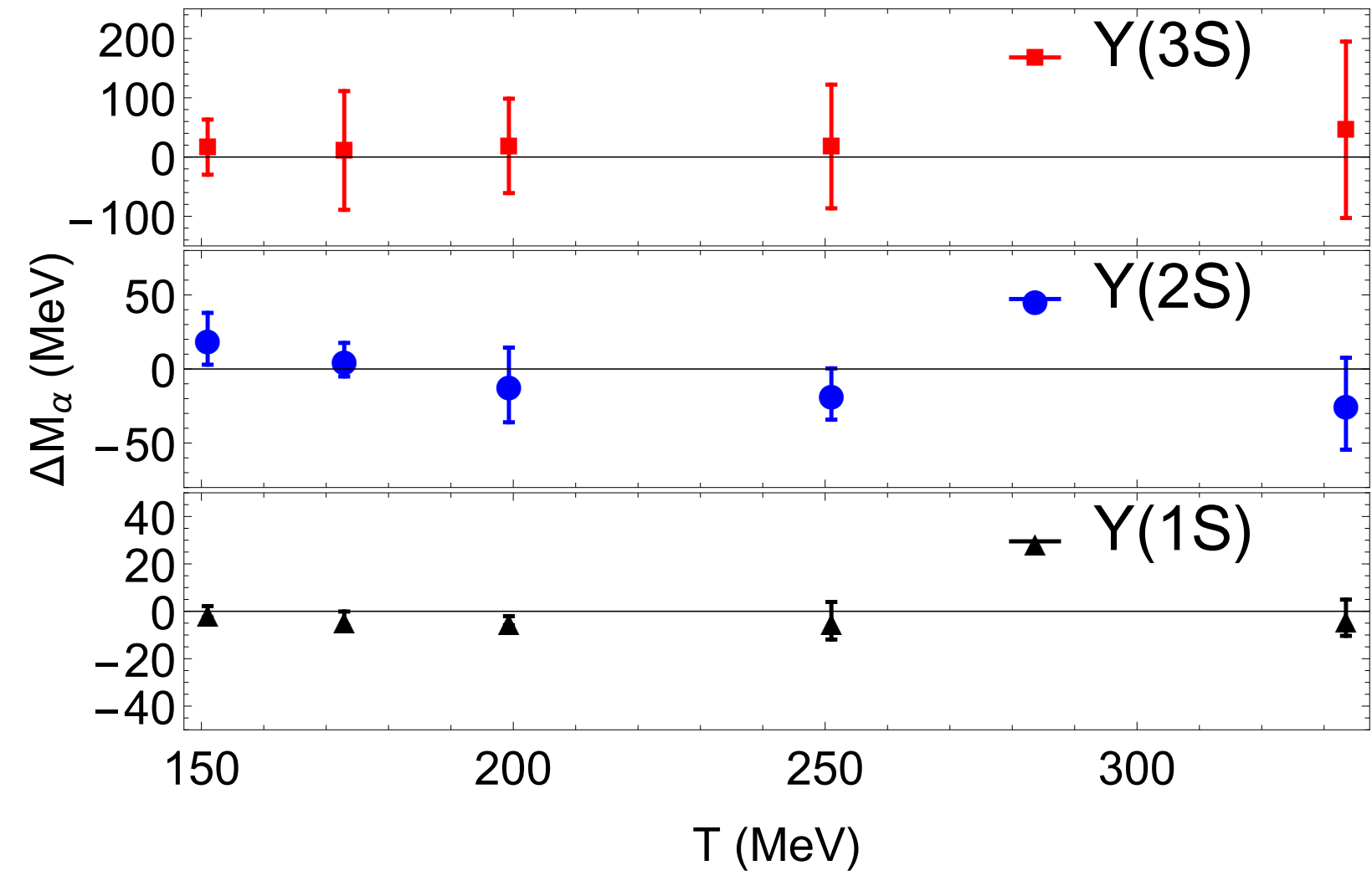
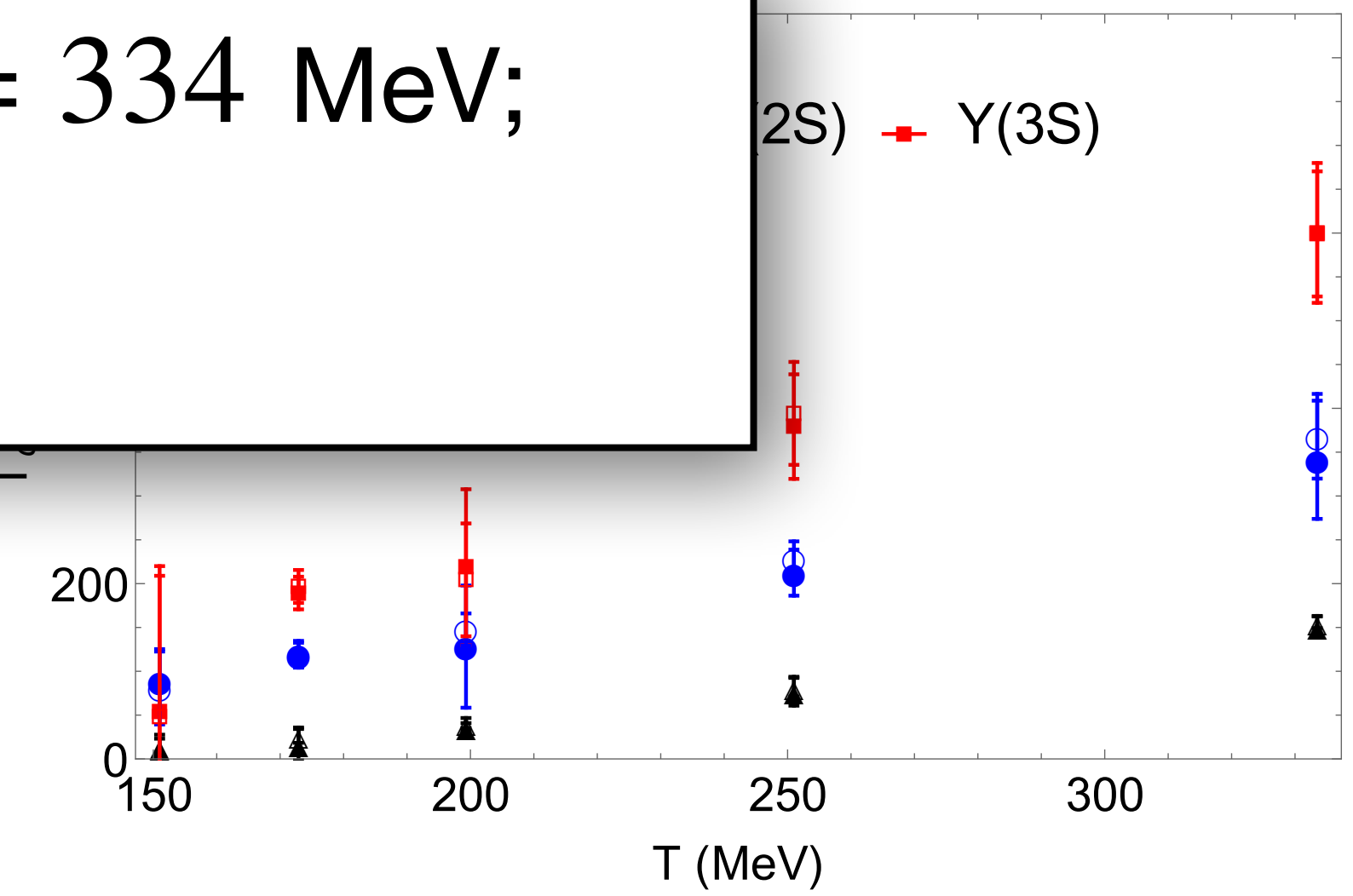
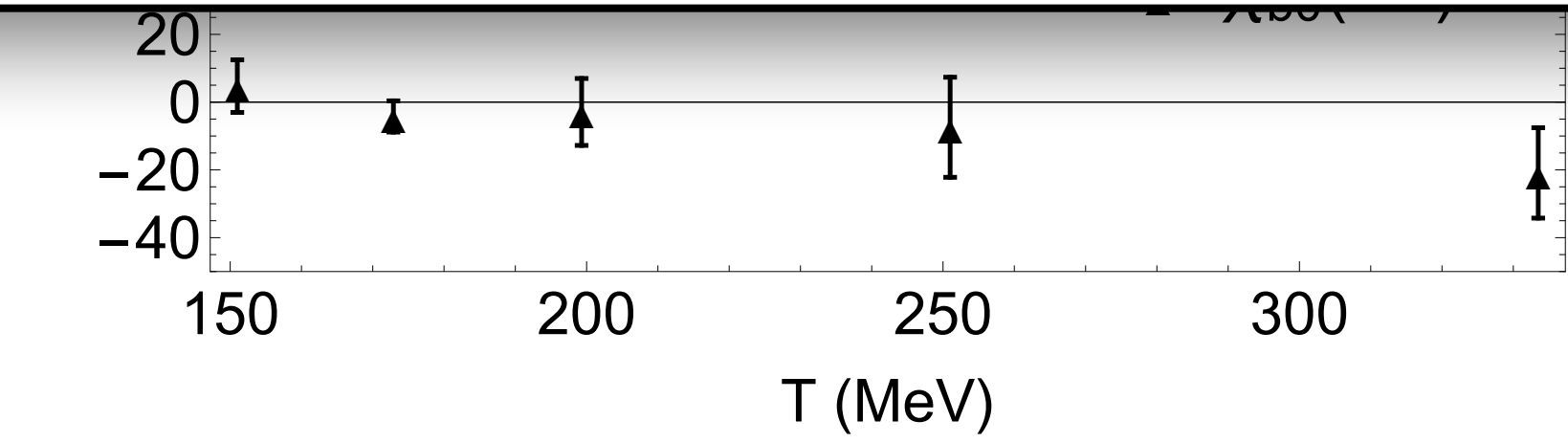
## Thermal Width



R. Larsen, S. Meinel, S. Mukherjee, and P. Petreczky:

Phys.Rev.D100,074506(2019), Phys.Lett.B800,135119(2020), Phys.Rev.D102,114508(2020)

High excitations (2P, 3S) can survive at  $T = 334$  MeV;  
 Mass - mild temperature dependence;  
 Thermal width - quantitatively larger.

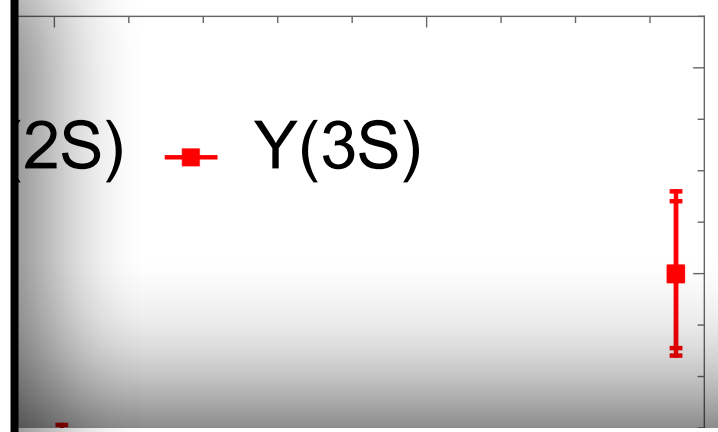
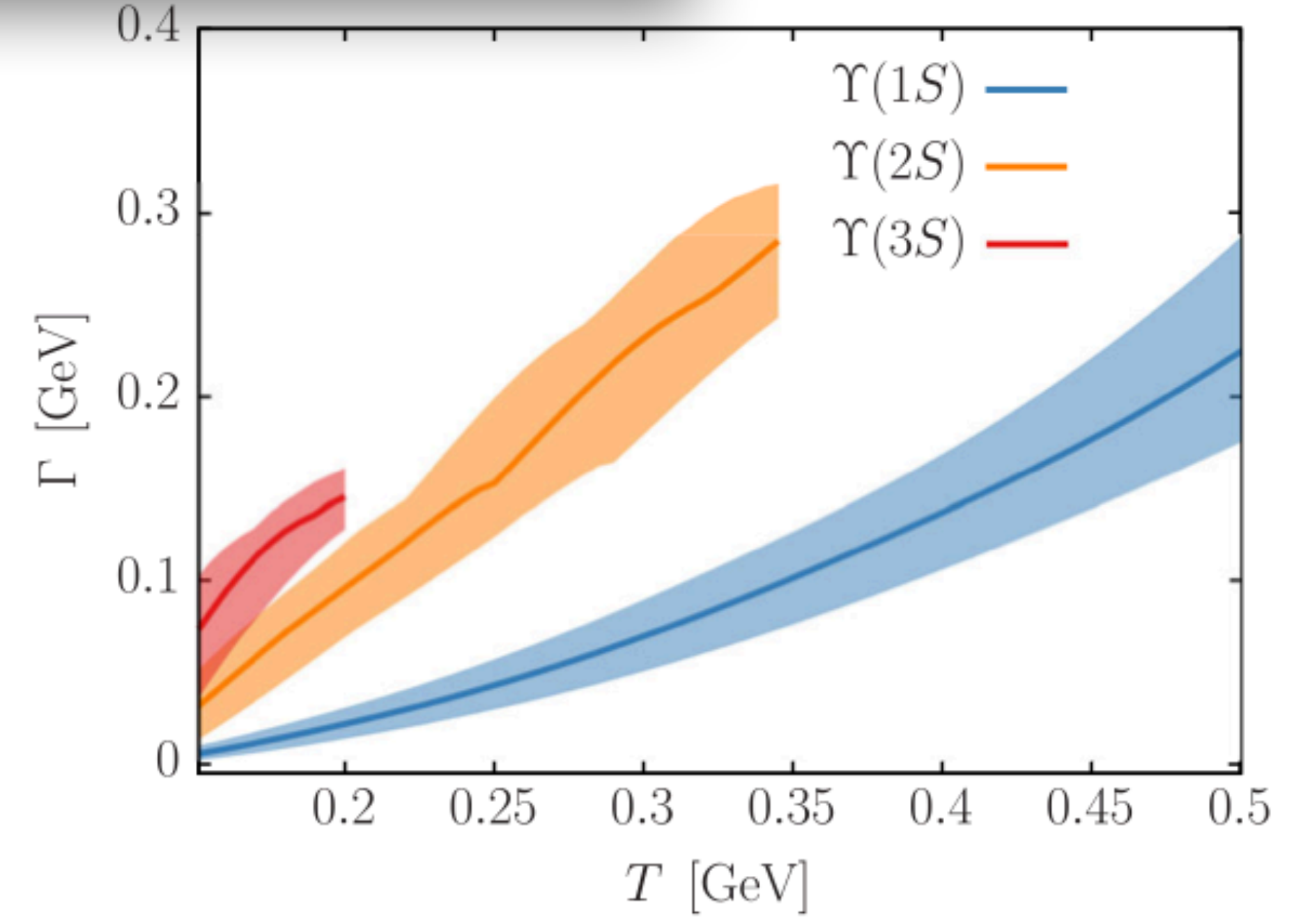
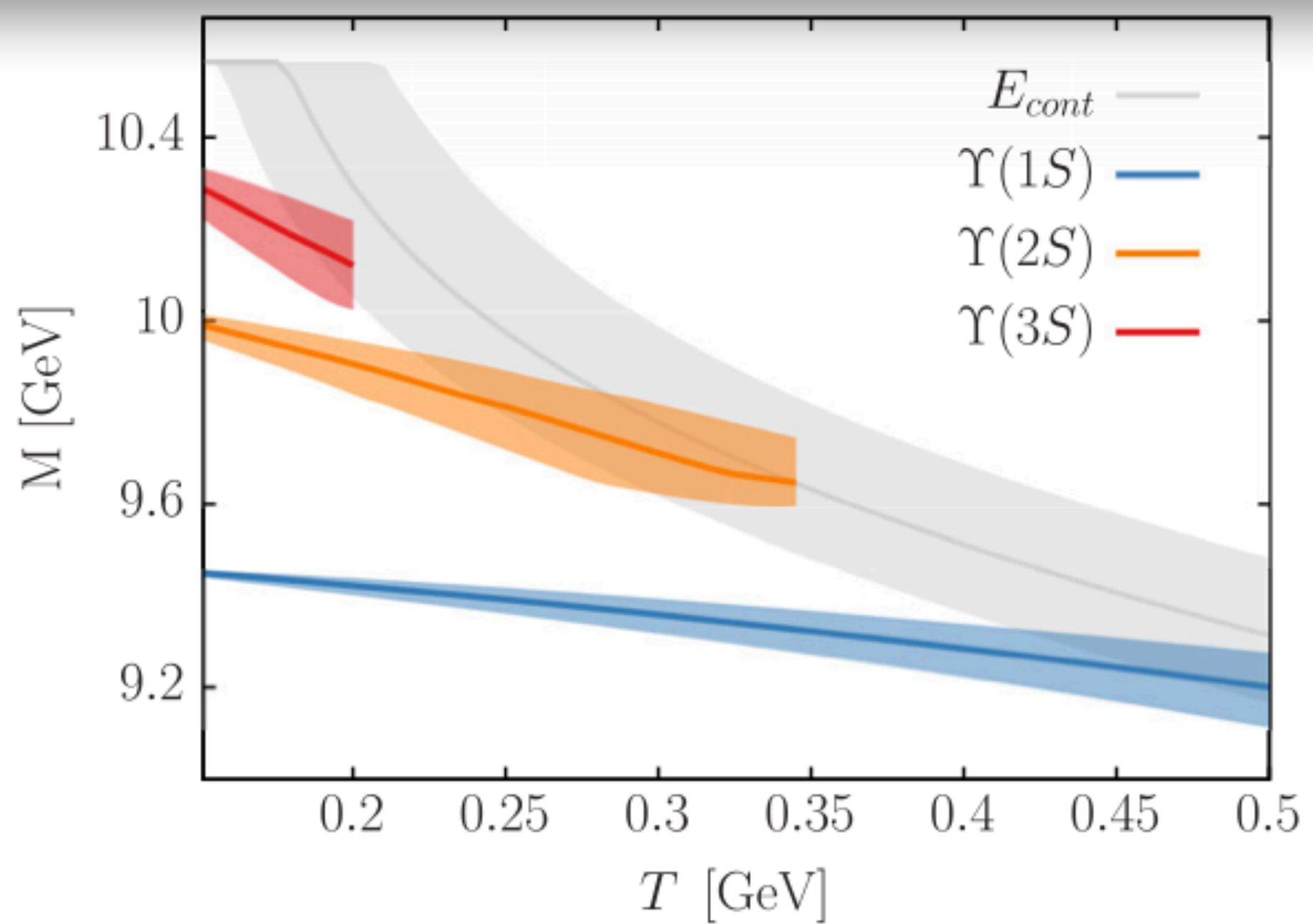
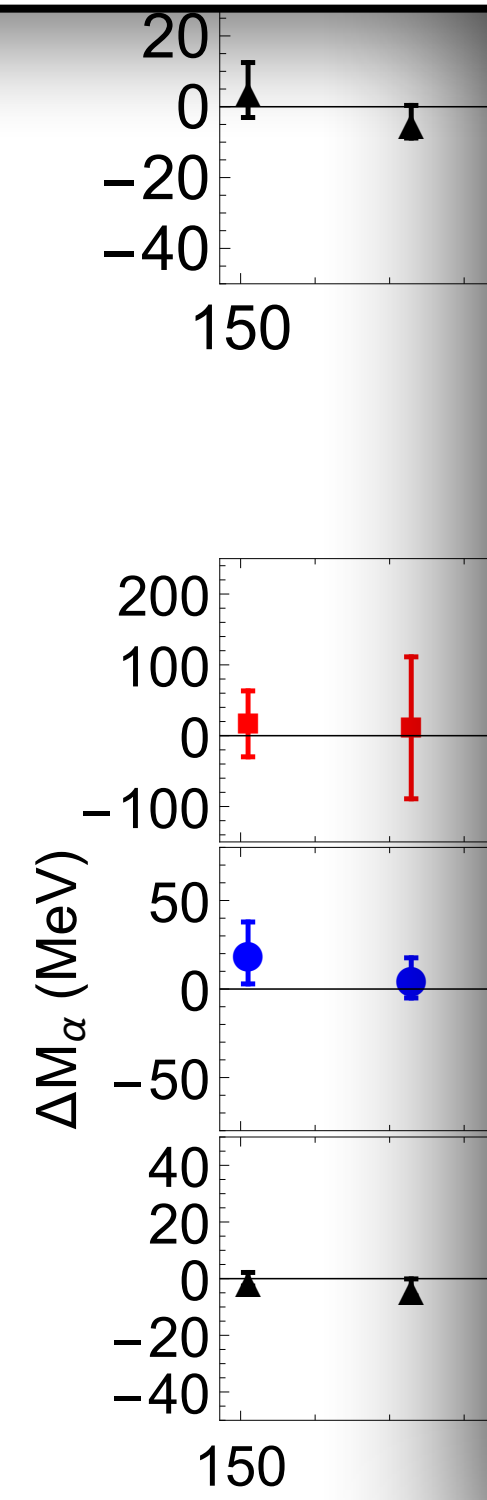


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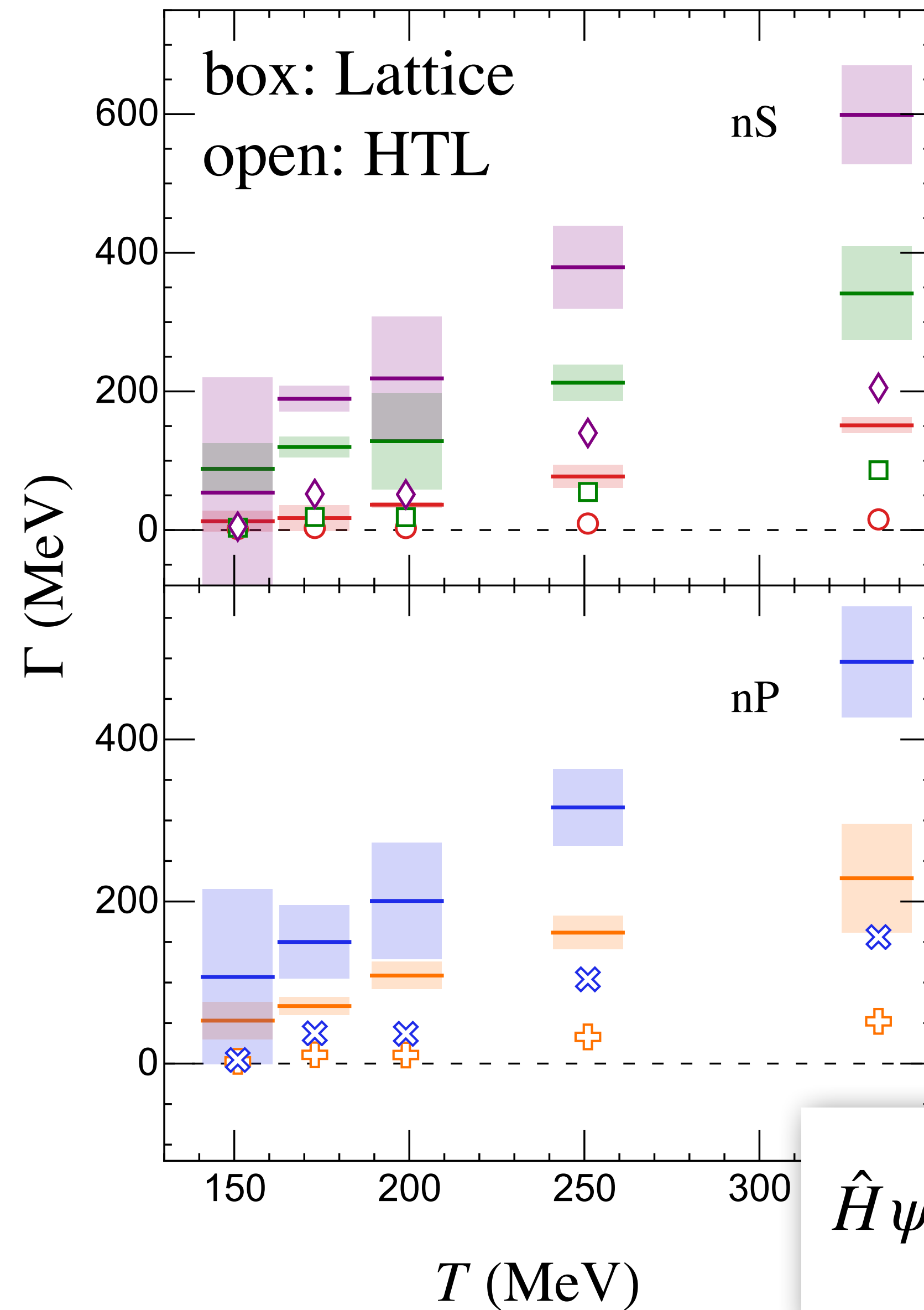
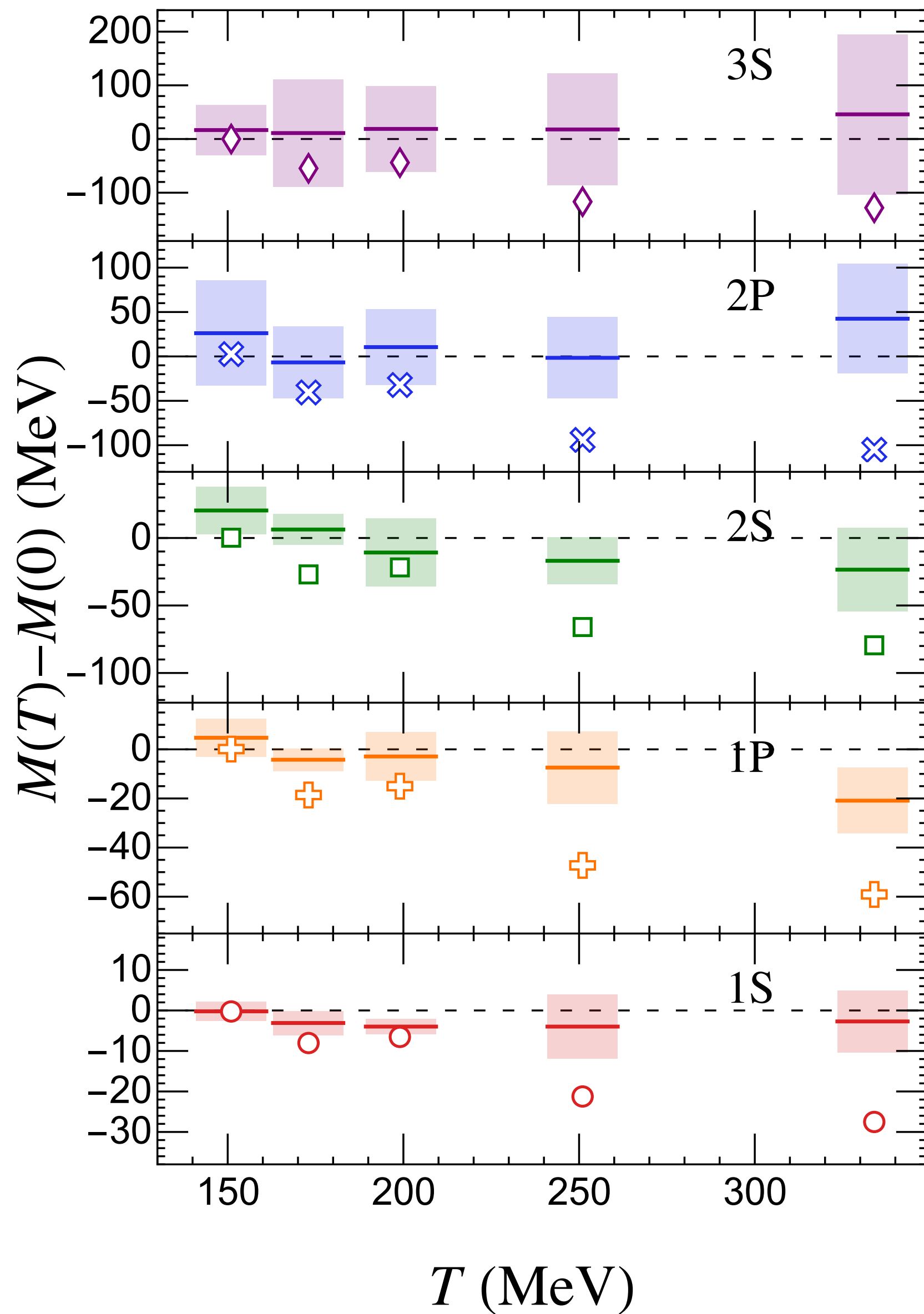


D. Lafferty and A. Rothkopf, PhysRevD.101.056010(2020)

R. Larsen, S. Meinel, S. Mukherjee, and P. Petreczky:

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# Can we understand the new lattice result using Hard Thermal Loop potential?

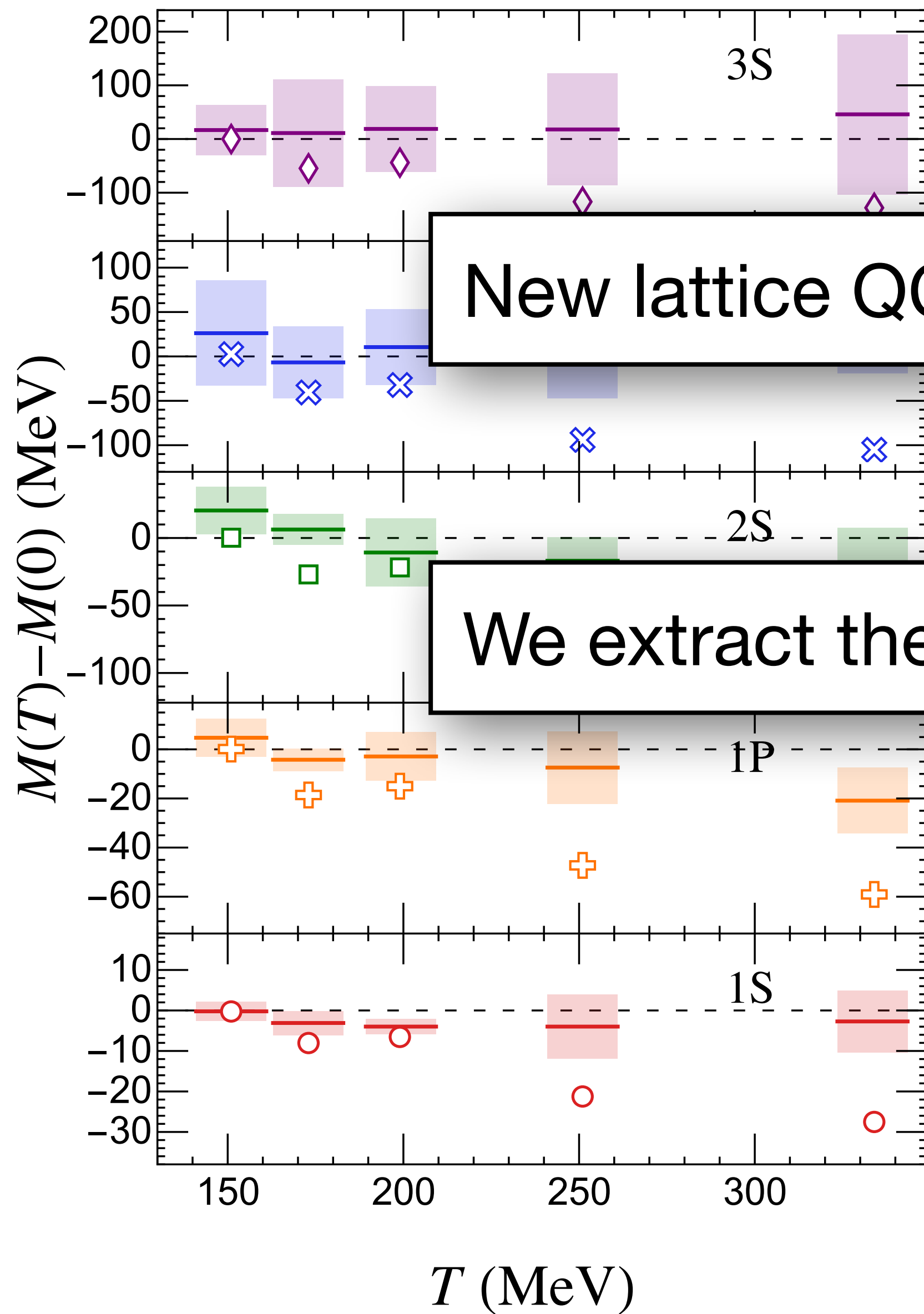


$m_D(T)$  fitted by LQCD

$$V(T, r) = V_R(T, r) + i V_I(T, r)$$

$$\hat{H} \psi_n = -\frac{\nabla^2}{2m_\mu} \psi_n + V(r) \psi_n = E_n \psi_n$$

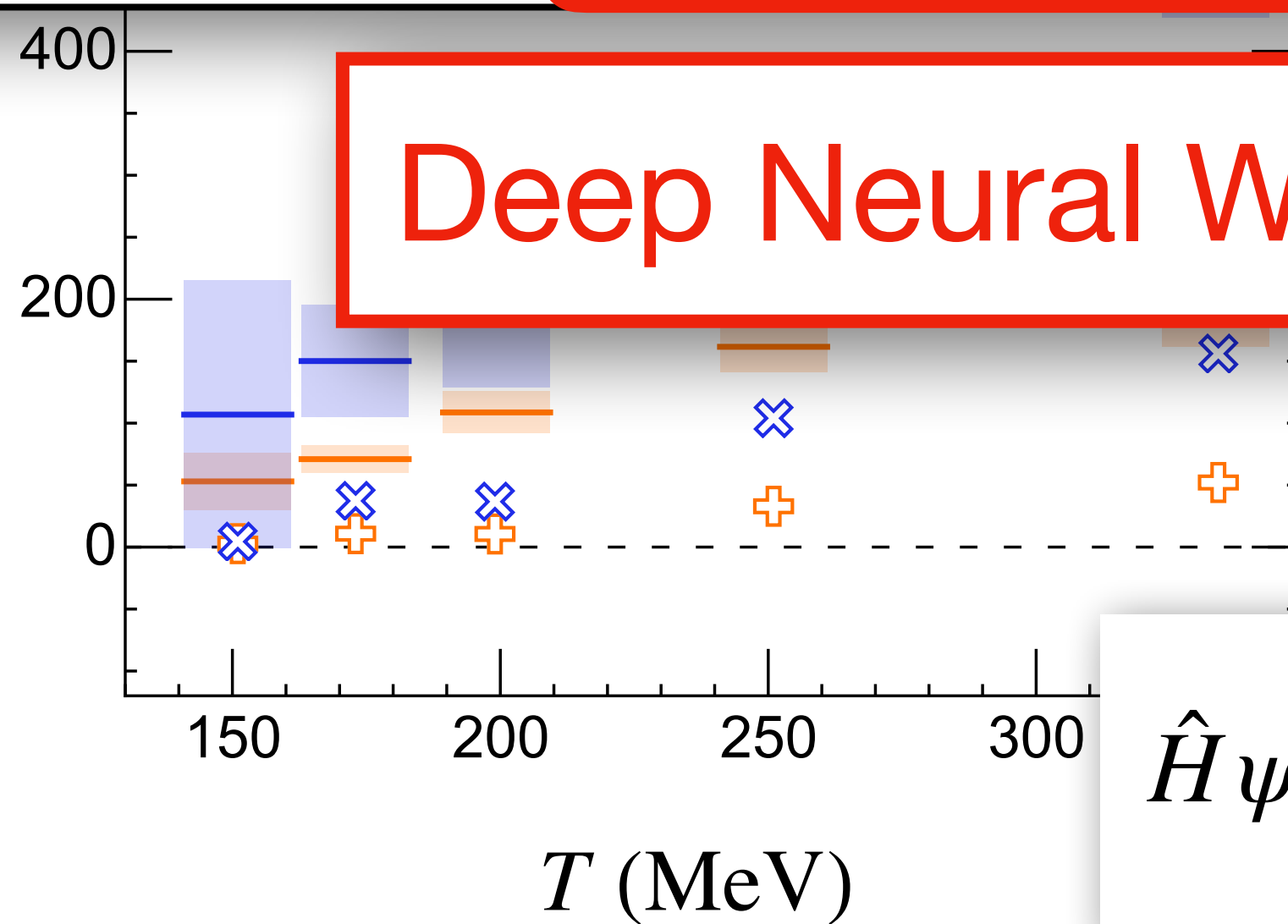
# Can we understand the new lattice result using Hard Thermal Loop potential?



New lattice QCD results cannot be explained by the HTL potential

We extract the potential in a model-independent way

Deep Neural Works (DNN)



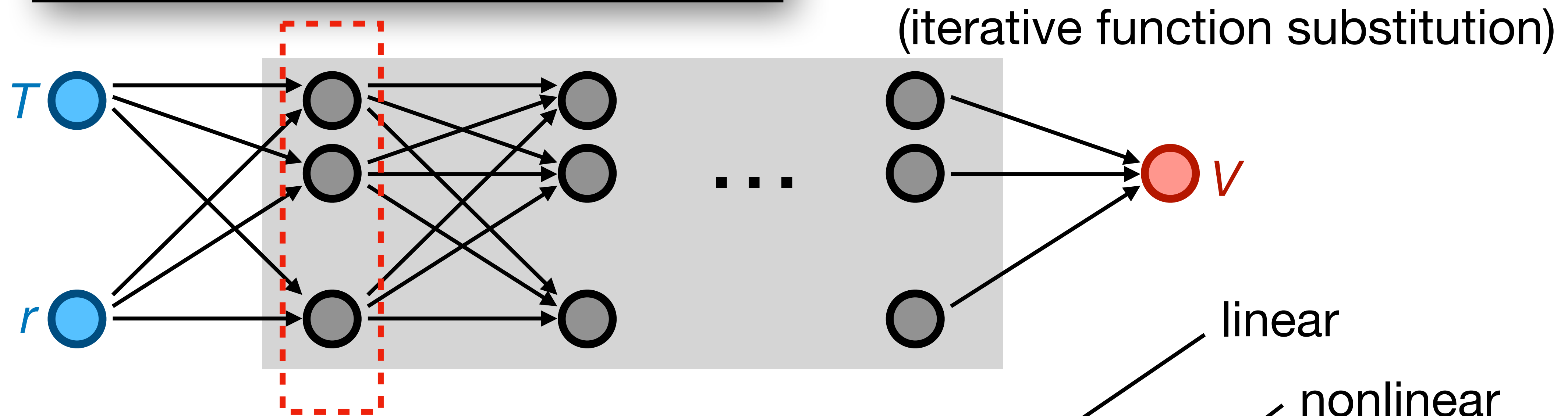
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# What are Deep Neural Networks?

- DNN is a parameterization scheme to approximate continuous functions.

$$V(T, r) \approx V_{\text{DNN}}(T, r \mid \text{parameters})$$



Each  $\bullet$  is an intermediate function  $(a_i^{(l)})$ :

- At the first layer:

$$z_i^{(1)} = b_i^{(1)} + W_{i,1}^{(1)} T + W_{i,2}^{(1)} r,$$

$$a_i^{(1)} = \sigma(z_i^{(1)})$$

linear

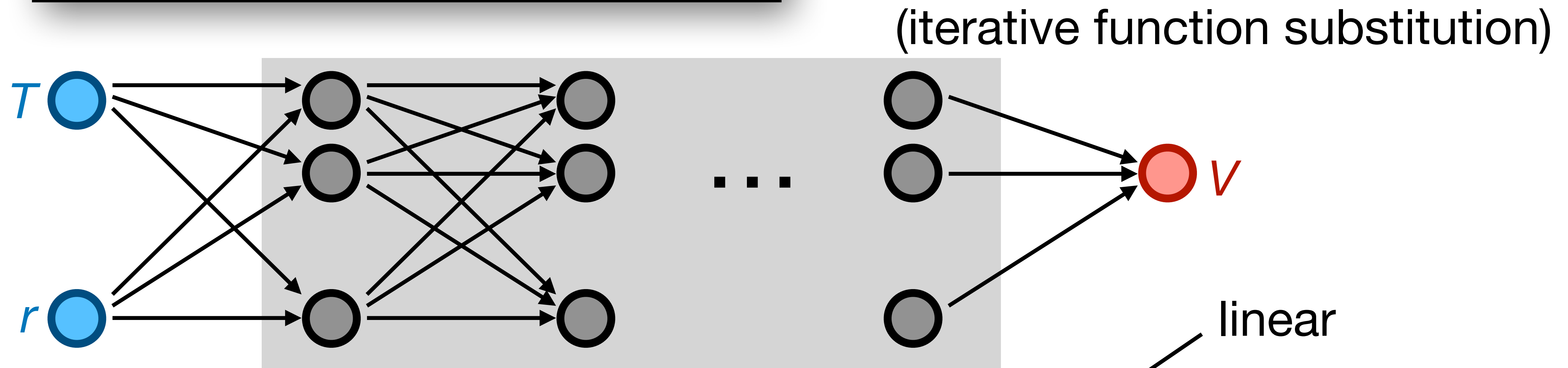
nonlinear



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Each  $\bullet$  is an intermediate function ( $a_i^{(l)}$ ):

- At the first layer:
- At later layers:

$$z_i^{(1)} = b_i^{(1)} + W_{i,1}^{(1)} T + W_{i,2}^{(1)} r, \quad a_i^{(1)} = \sigma(z_i^{(1)})$$

$$z_i^{(l)} = b_i^{(l)} + \sum_j W_{i,j}^{(l)} a_j^{(l-1)}, \quad a_i^{(l)} = \sigma(z_i^{(l)})$$

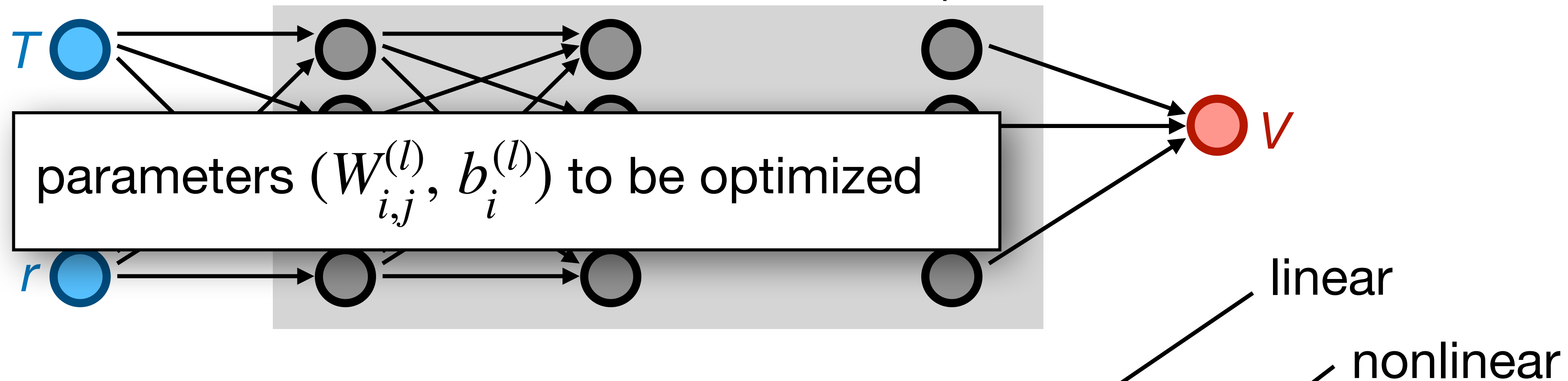
linear  
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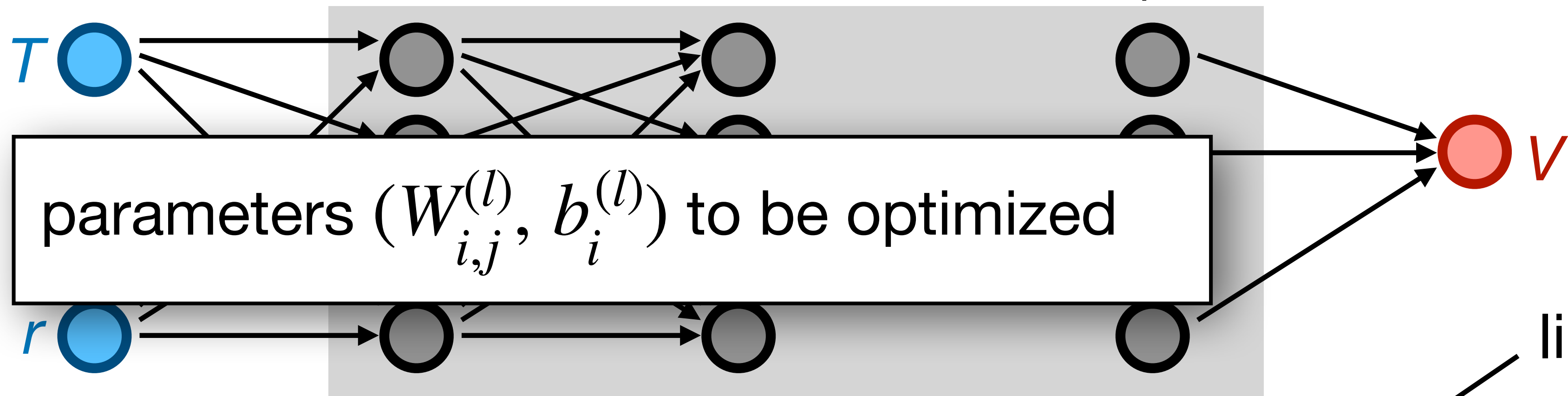
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linear

nonlinear

piecewise (linear) description, see Back-up

- At the first layer:

- At later layers:

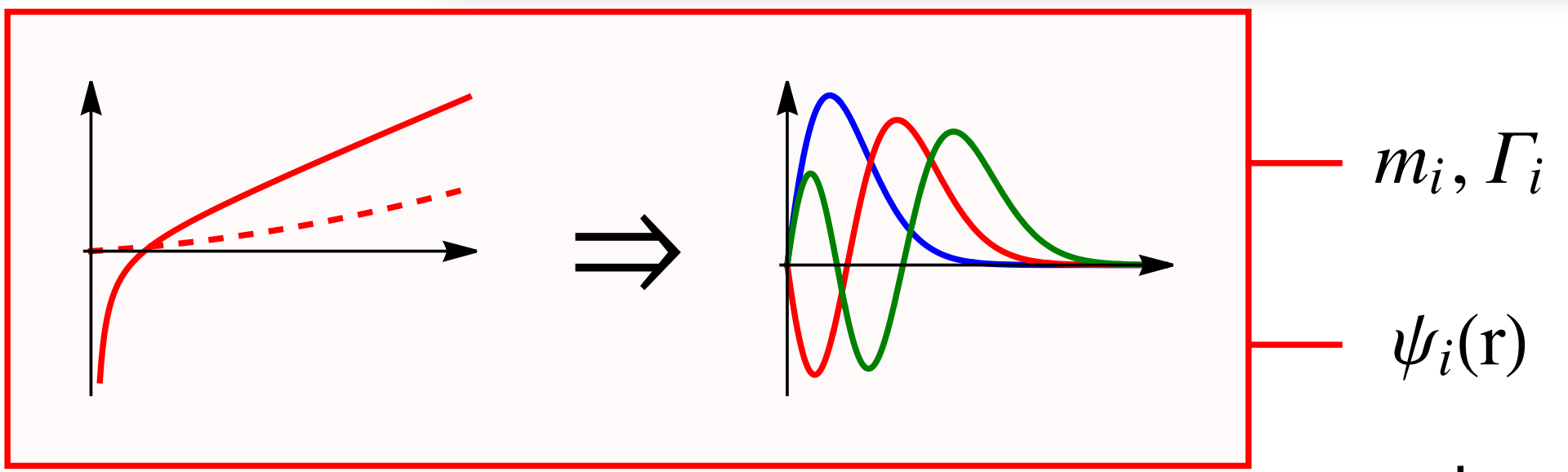
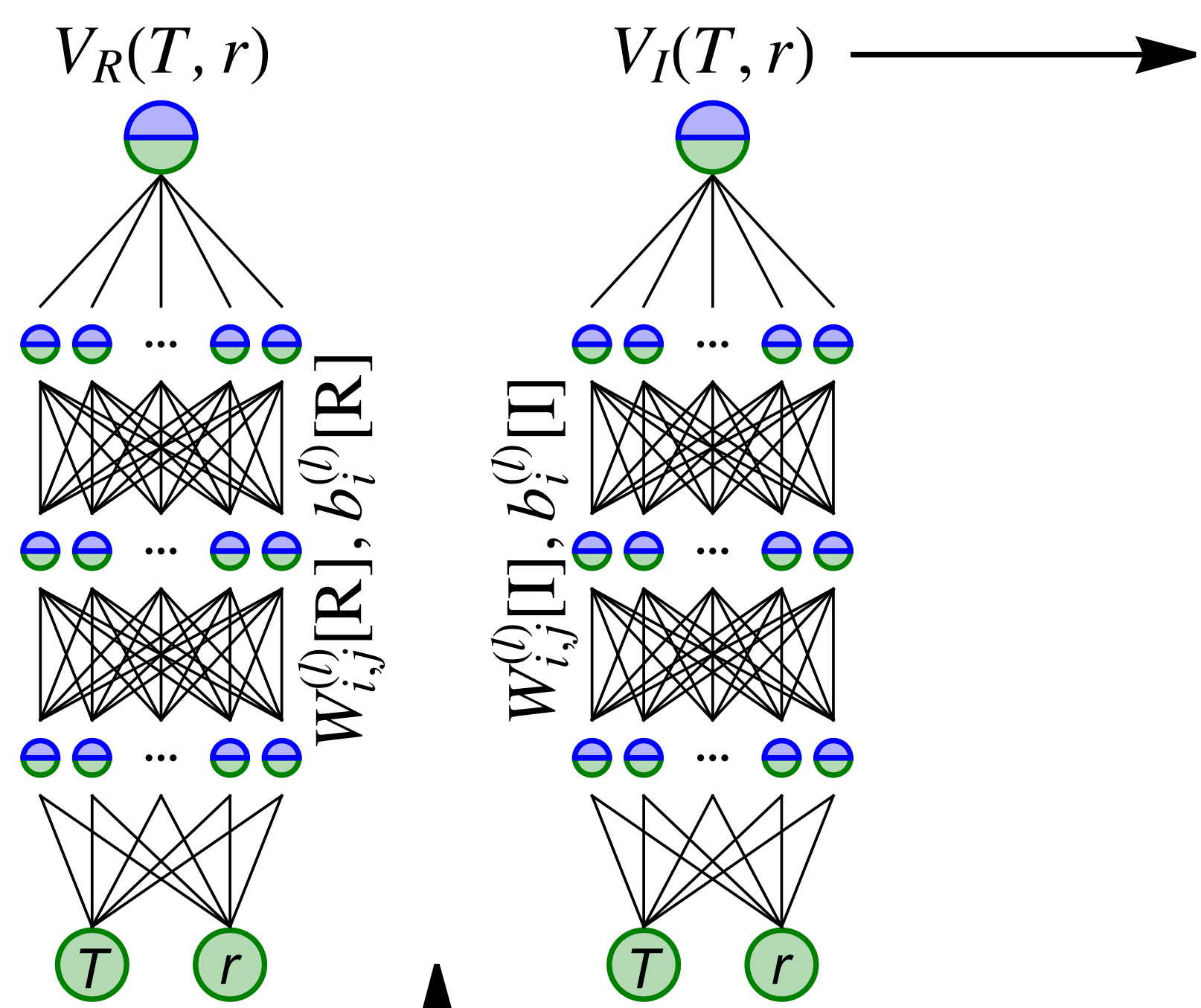
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$$= \sigma(z_i^{(1)})$$

# How to learn potential using DNN?

$$V(T, r) = V_R(T, r) + i V_I(T, r)$$

$$\hat{H} \psi_n = -\frac{\nabla^2}{2m_\mu} \psi_n + V(r) \psi_n = E_n \psi_n$$



Schrödinger Eq. Solver

$$\Delta W_{i,j}^{(l)} \sim -\frac{\partial J}{\partial W^{(l)}}, \quad \Delta b_i^{(l)} \sim -\frac{\partial J}{\partial b^{(l)}} \quad \leftarrow \quad \chi^2, \frac{\delta \chi^2}{\delta V(r)}$$

compare with lattice-QCD

$$\chi^2 = \sum_{T,i} \left( \frac{m_{T,i} - m_{T,i}^{\text{lattice}}}{\delta m_{T,i}^{\text{lattice}}} \right)^2 + \left( \frac{\Gamma_{T,i} - \Gamma_{T,i}^{\text{lattice}}}{\delta \Gamma_{T,i}^{\text{lattice}}} \right)^2,$$

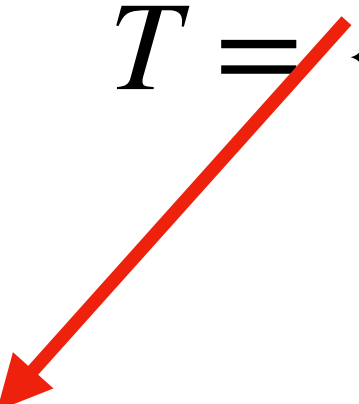
## Closure Test - Can we recover a known complex $V(T, r)$ ?

- Start with a known potential

$$V_R(T, r) = \frac{\sigma}{\mu_D} \left( 2 - (2 + \mu_D r) e^{-\mu_D r} \right) - \alpha \left( \mu_D + \frac{e^{-\mu_D r}}{r} \right) + B,$$

$$V_I(T, r) = -\frac{\sqrt{\pi}}{4} \mu_D T \sigma r^3 G_{2,4}^{2,2} \left( \begin{matrix} -\frac{1}{2}, -\frac{1}{2} \\ \frac{1}{2}, \frac{1}{2}, -\frac{3}{2}, -1 \end{matrix} \middle| \frac{\mu_D^2 r^2}{4} \right) - \alpha T \phi(\mu_D r).$$

- Compute  $\{m_n, \Gamma_n\}$  at

$$T = \{0, 151, 173, 199, 251, 334\} \text{ MeV}$$


- Learn the potential using DNN



# Closure Test - Can we recover a known complex $V(T, r)$ ? -- Yes!

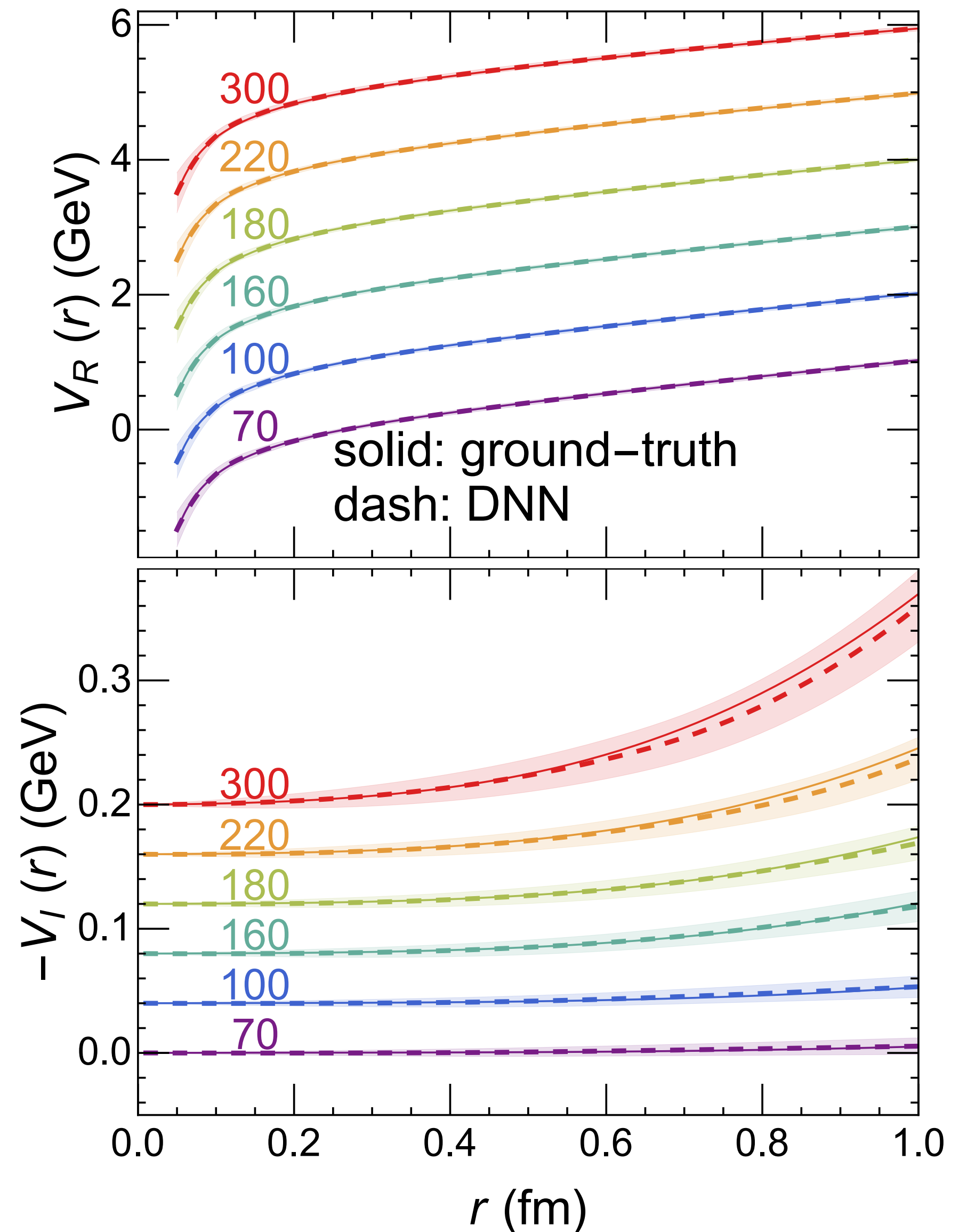
- Start with a known potential (solid line)

$$V_R(T, r) = \frac{\sigma}{\mu_D} \left( 2 - (2 + \mu_D r) e^{-\mu_D r} \right) - \alpha \left( \mu_D + \frac{e^{-\mu_D r}}{r} \right) + B,$$

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- Compute  $\{m_n, \Gamma_n\}$  at  $T = \{0, 151, 173, 199, 251, 334\}$  MeV

- Learn the potential using DNN (dash + band)



# Closure Test - Can we recover a known complex $V(T, r)$ ? -- Yes!

Gray region:  
extrapolations

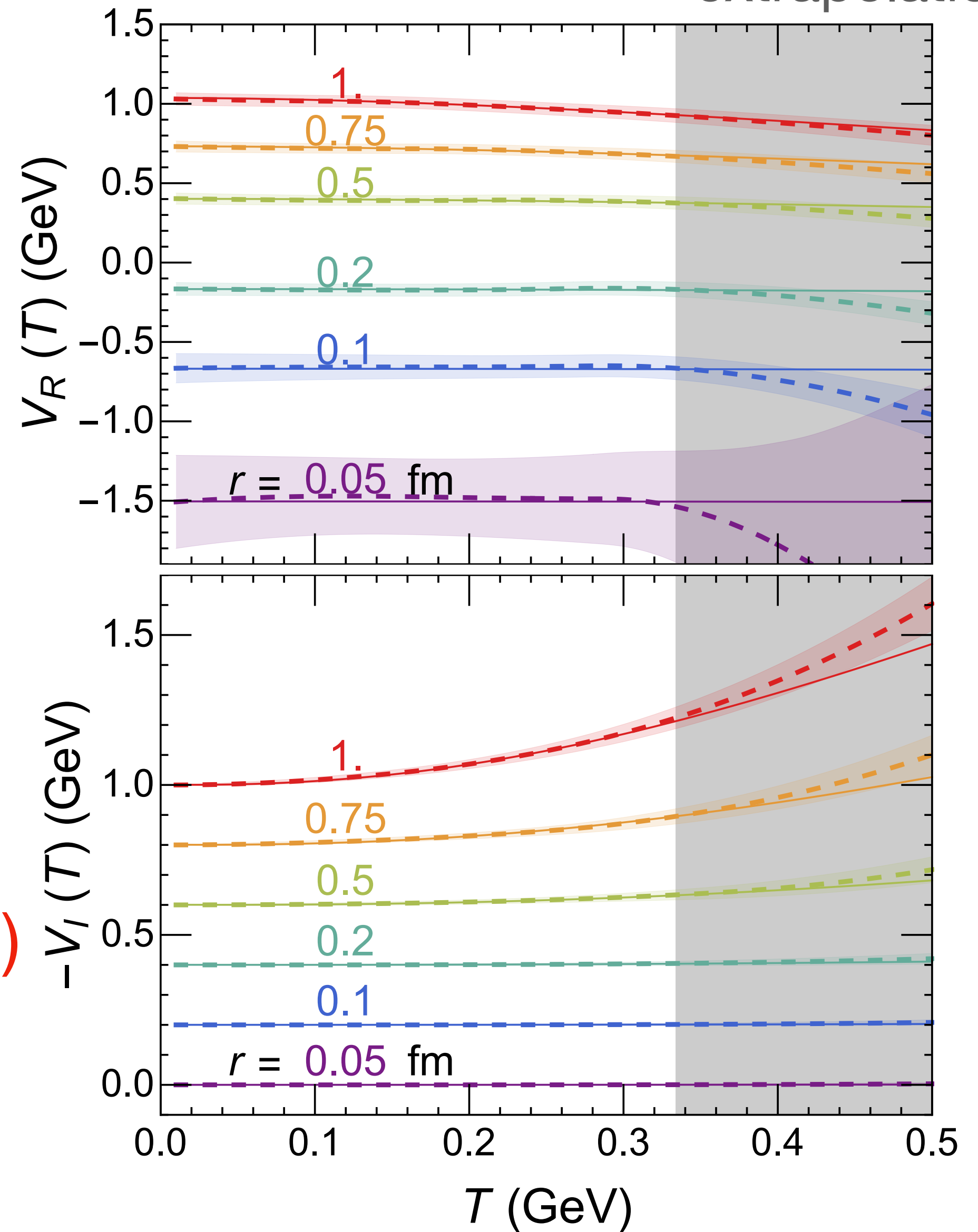
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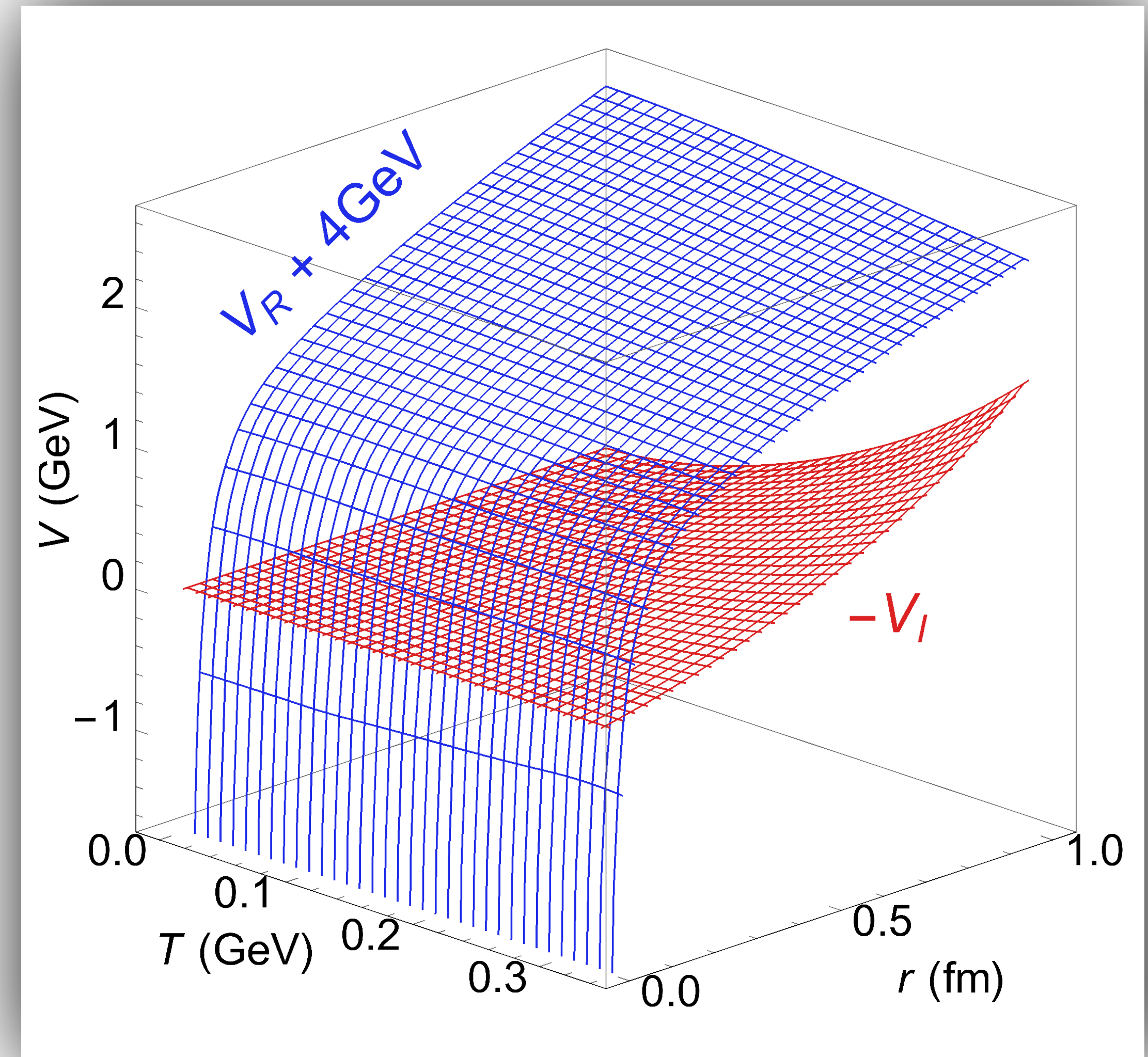
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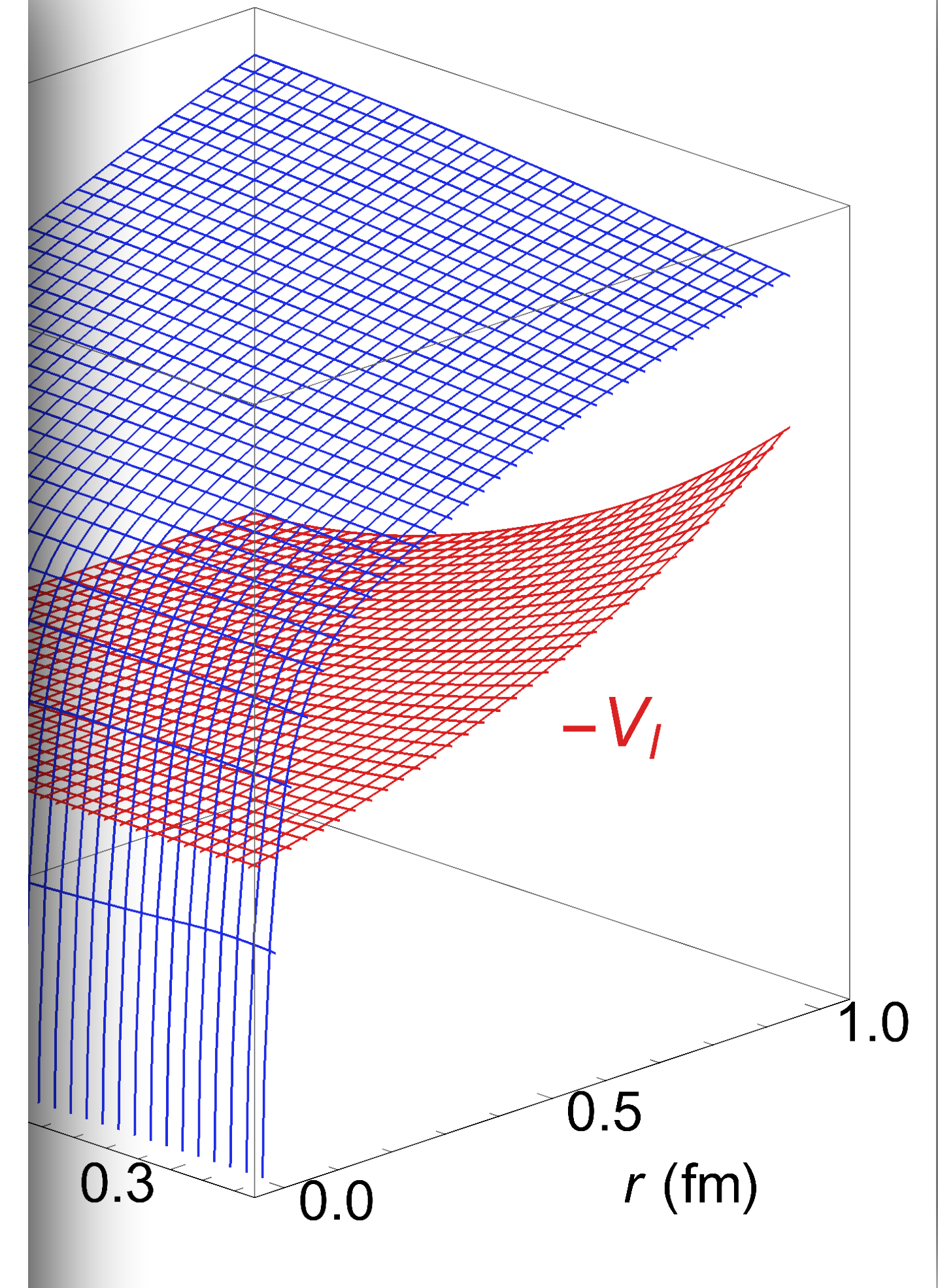
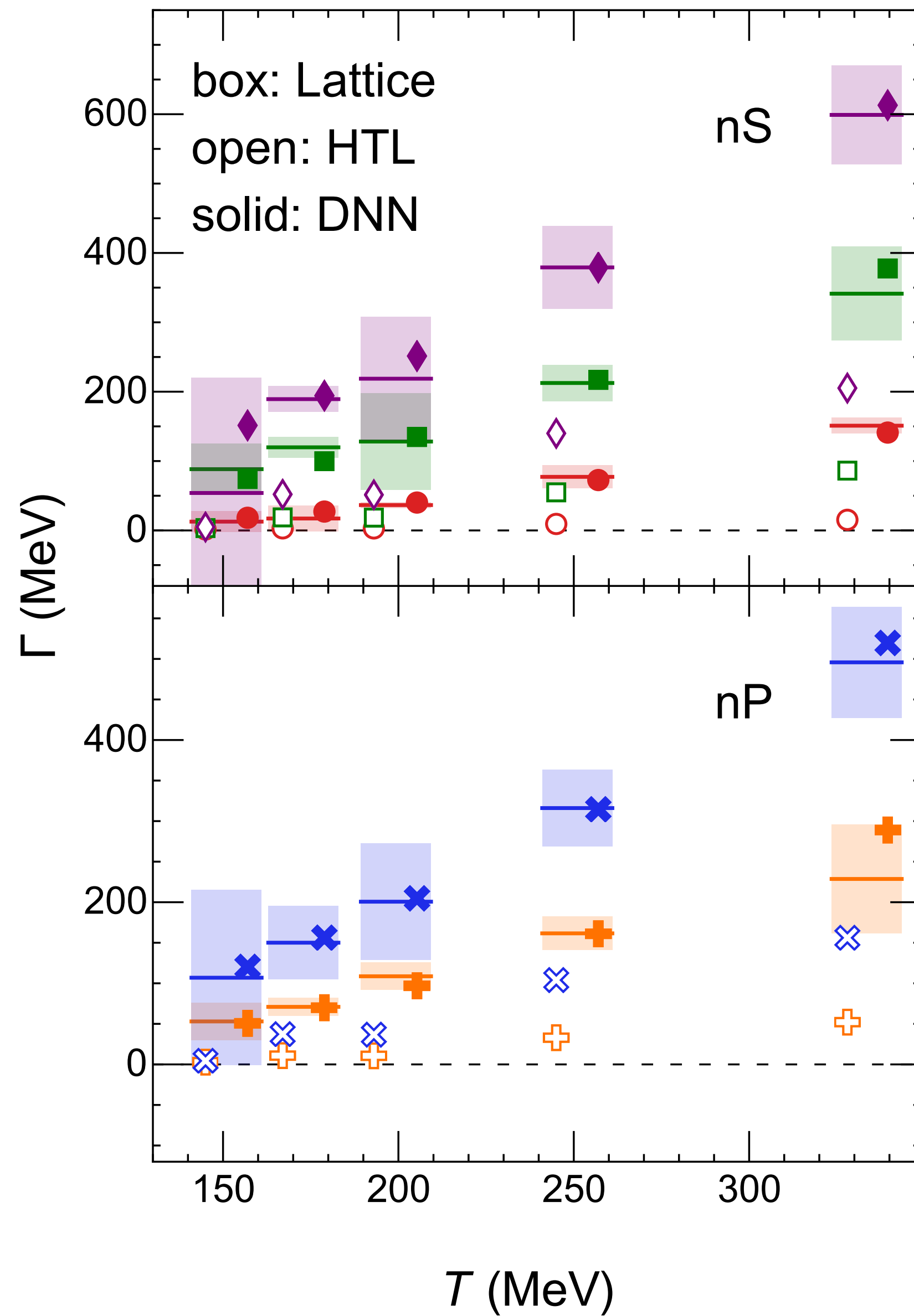
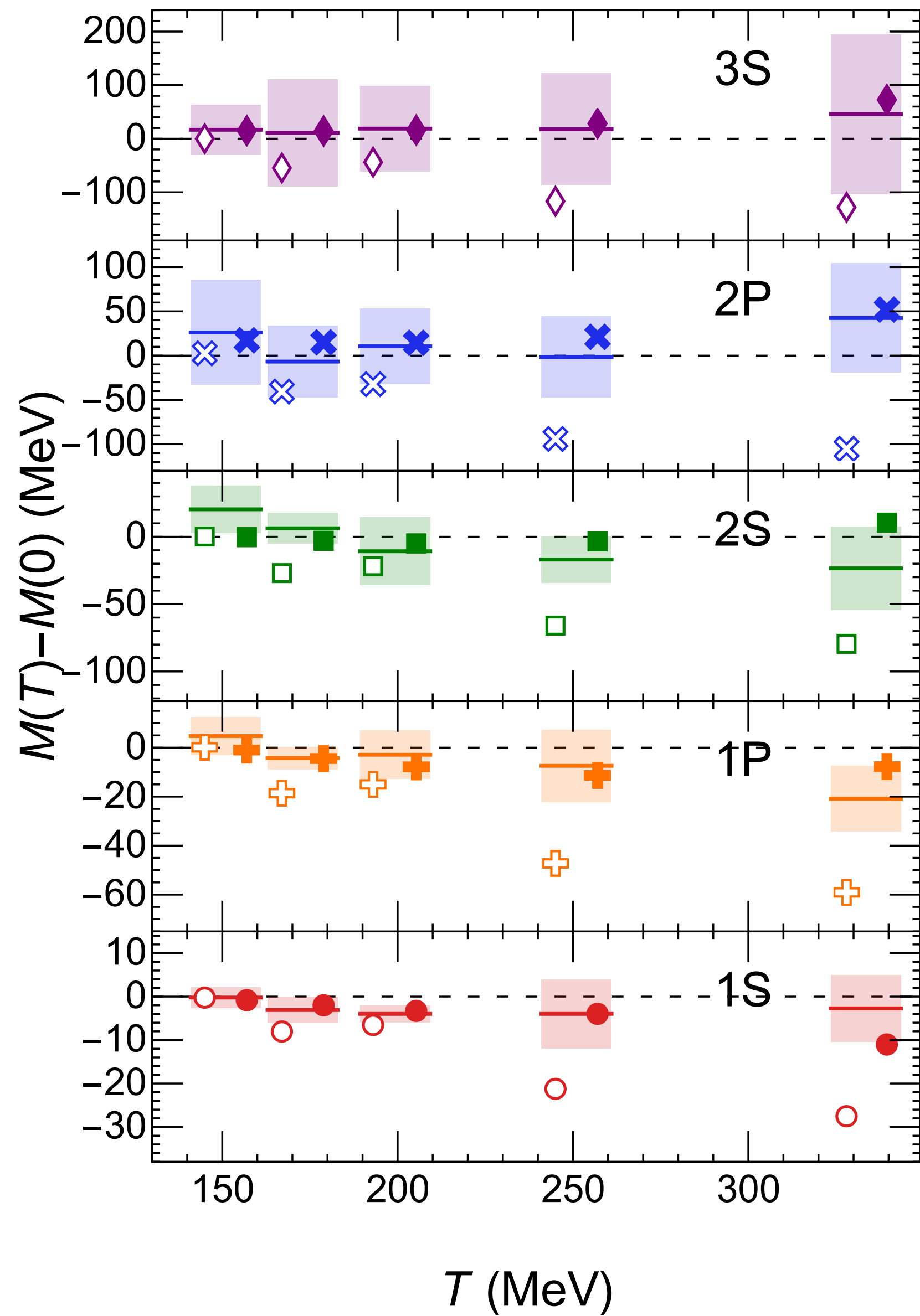
- Compute  $\{m_n, \Gamma_n\}$  at  
 $T = \{0, 151, 173, 199, 251, 334\}$  MeV

- Learn the potential using DNN (dash + band)

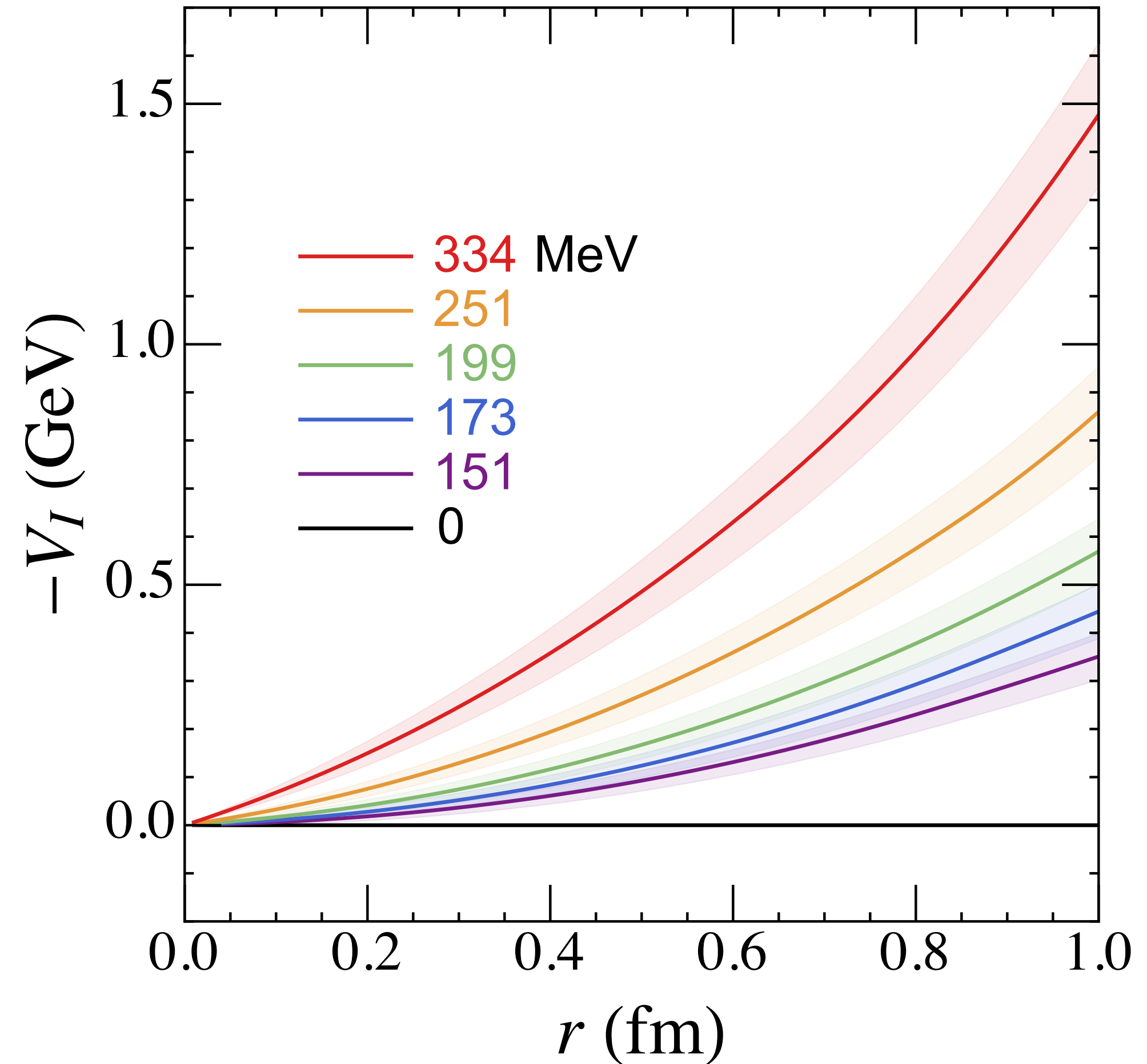
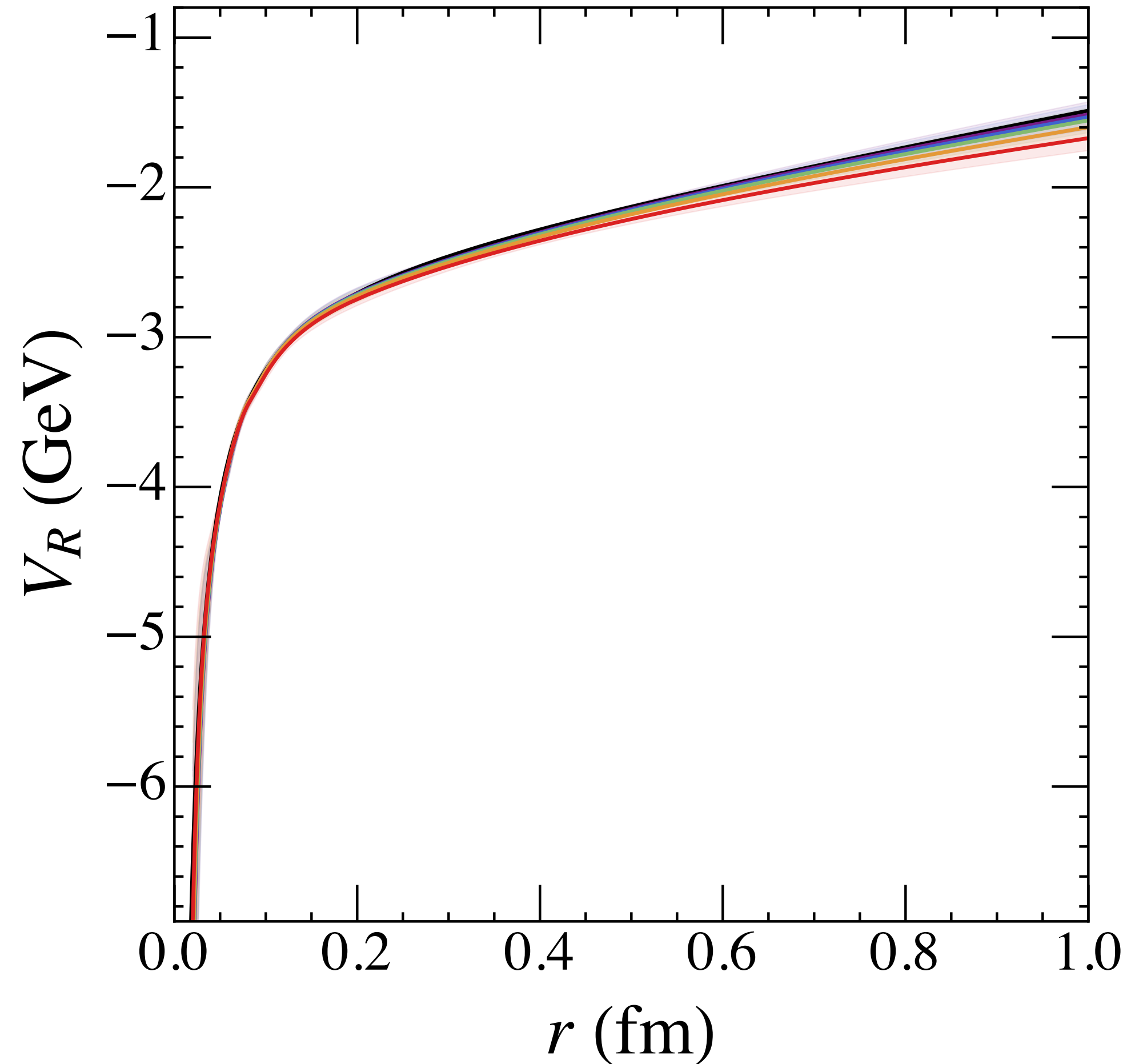








# What physics we have learned from $V_{\text{DNN}}(T, r)$ ?



[quantitatively consistent with LQCD static potential: see [Parker, 07/30](#)]

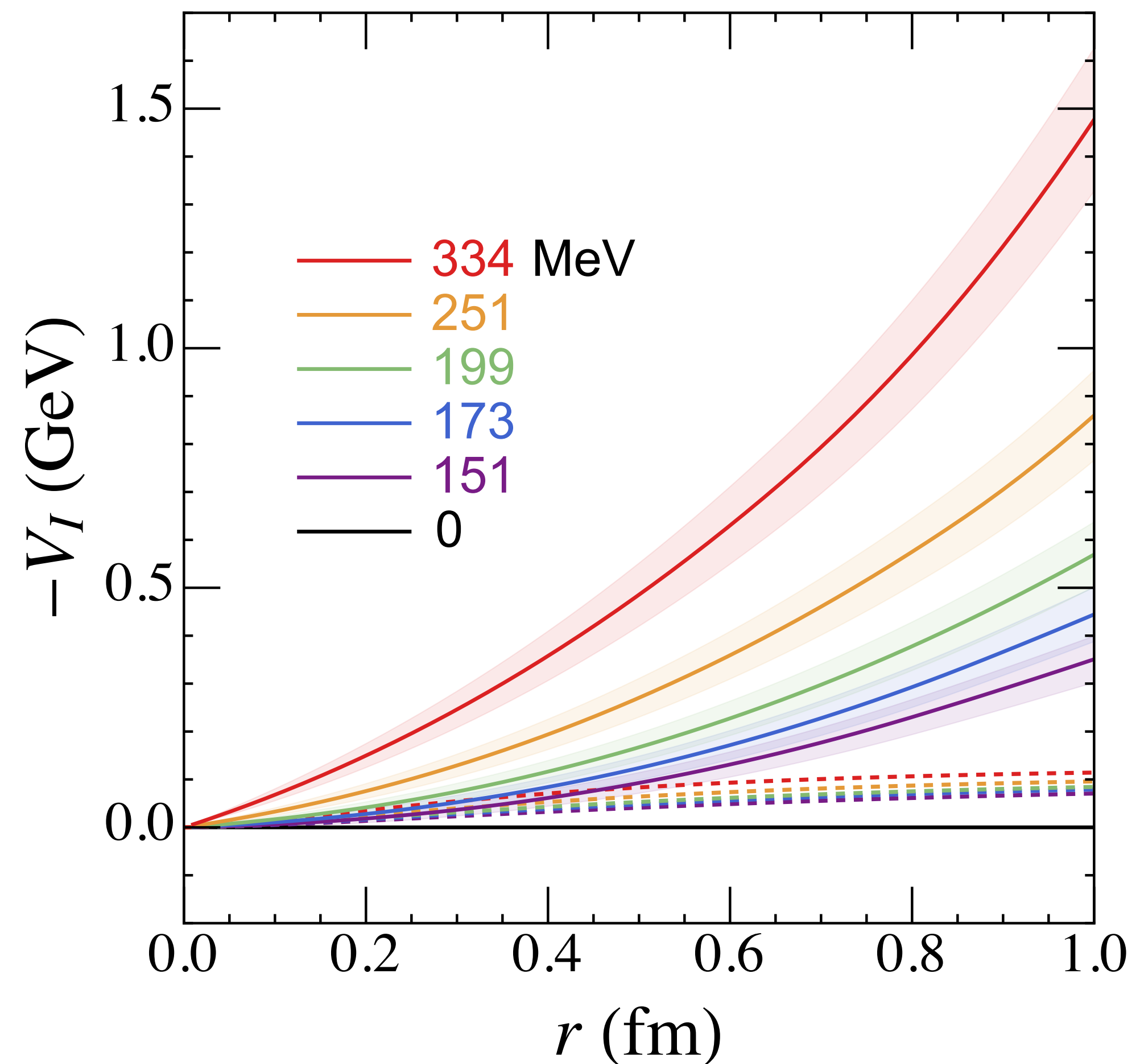
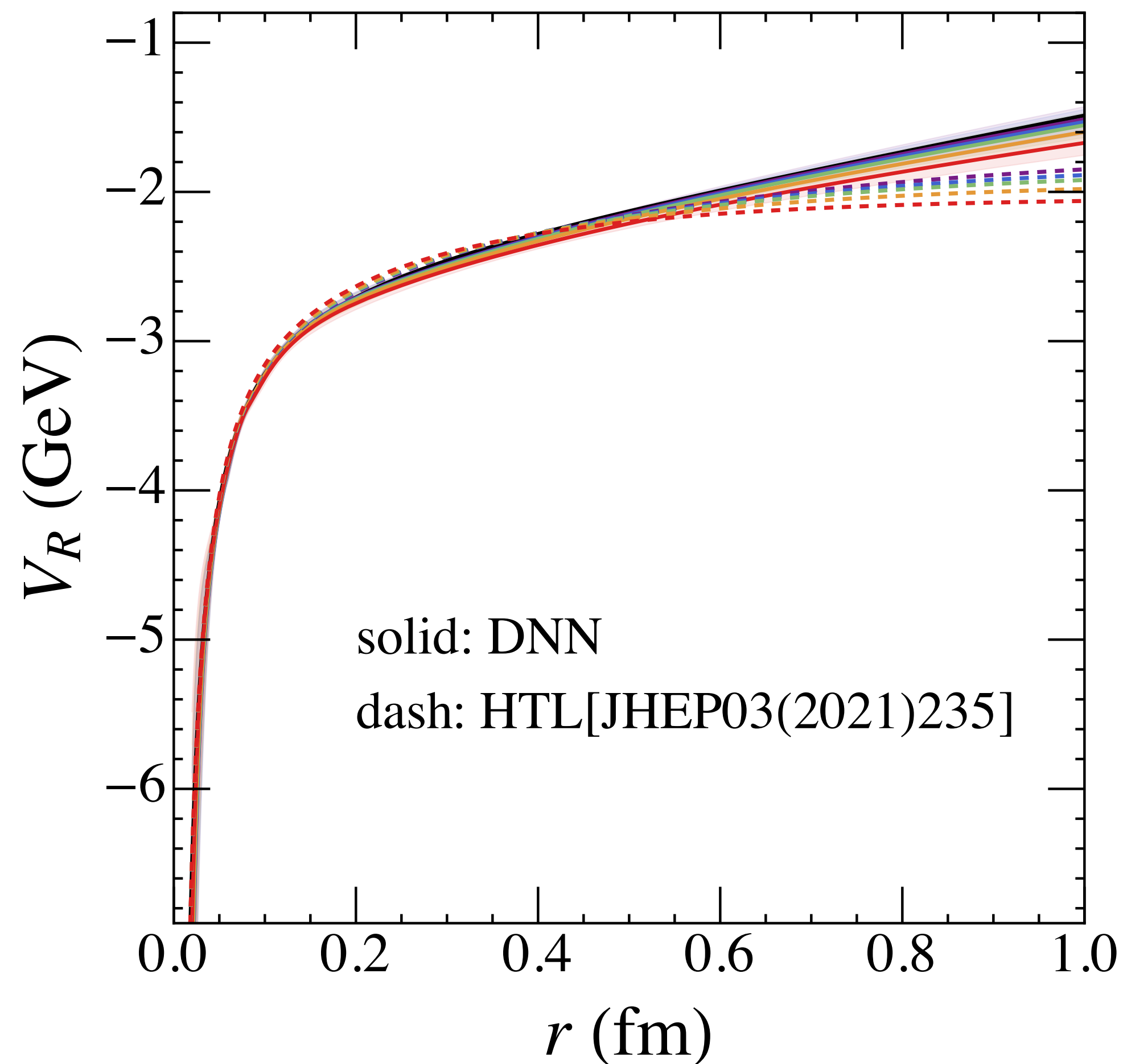


## Summary and Outlook

- Develop new algorithm employing DNN to learn  $V(r)$  from  $\{E_n\}$ .
- Extract HF complex  $V(T, r)$  from LQCD results of bottomonium  $m$  and  $\Gamma$ .
- Phenomenological consequences in heavy-ion collisions?

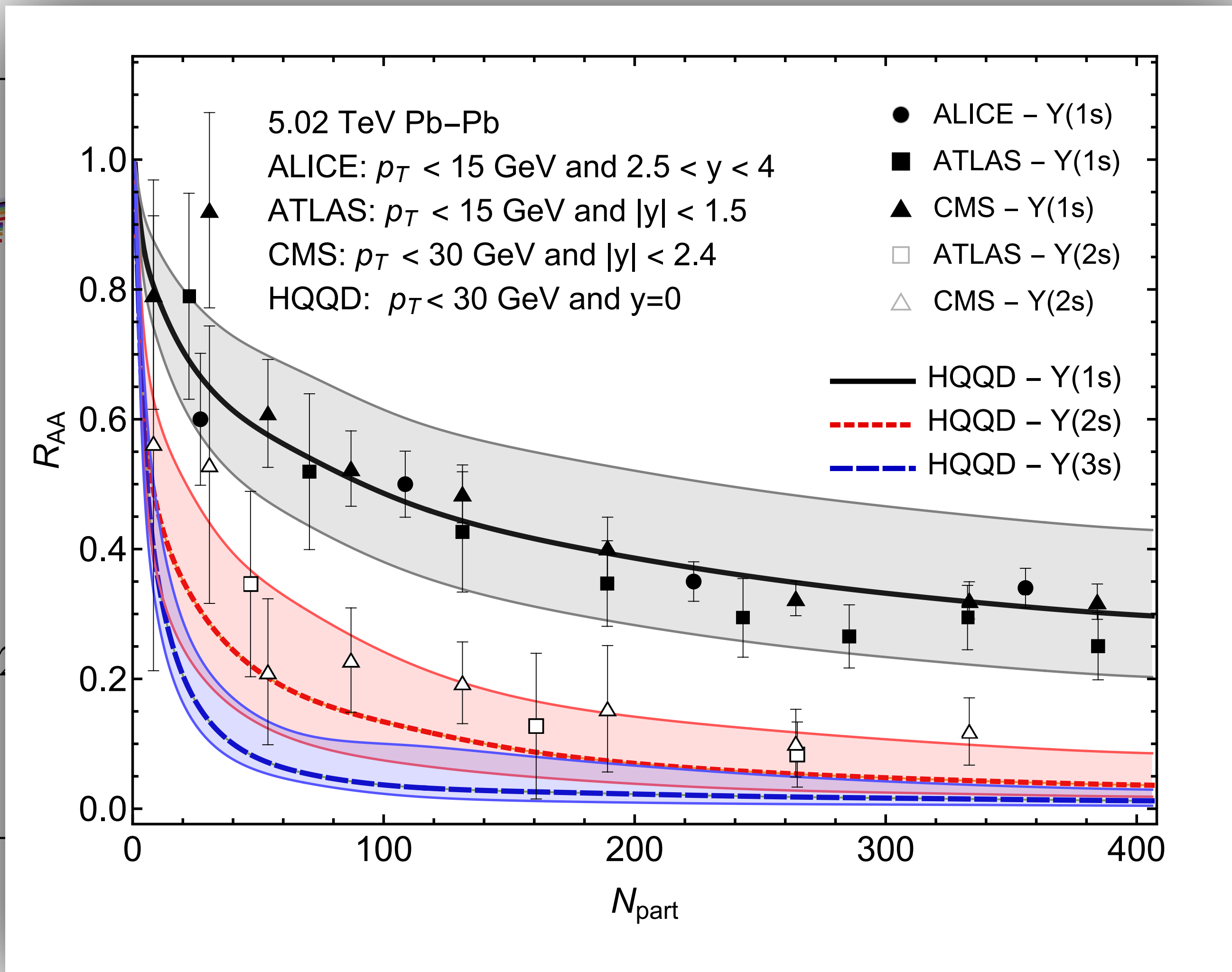
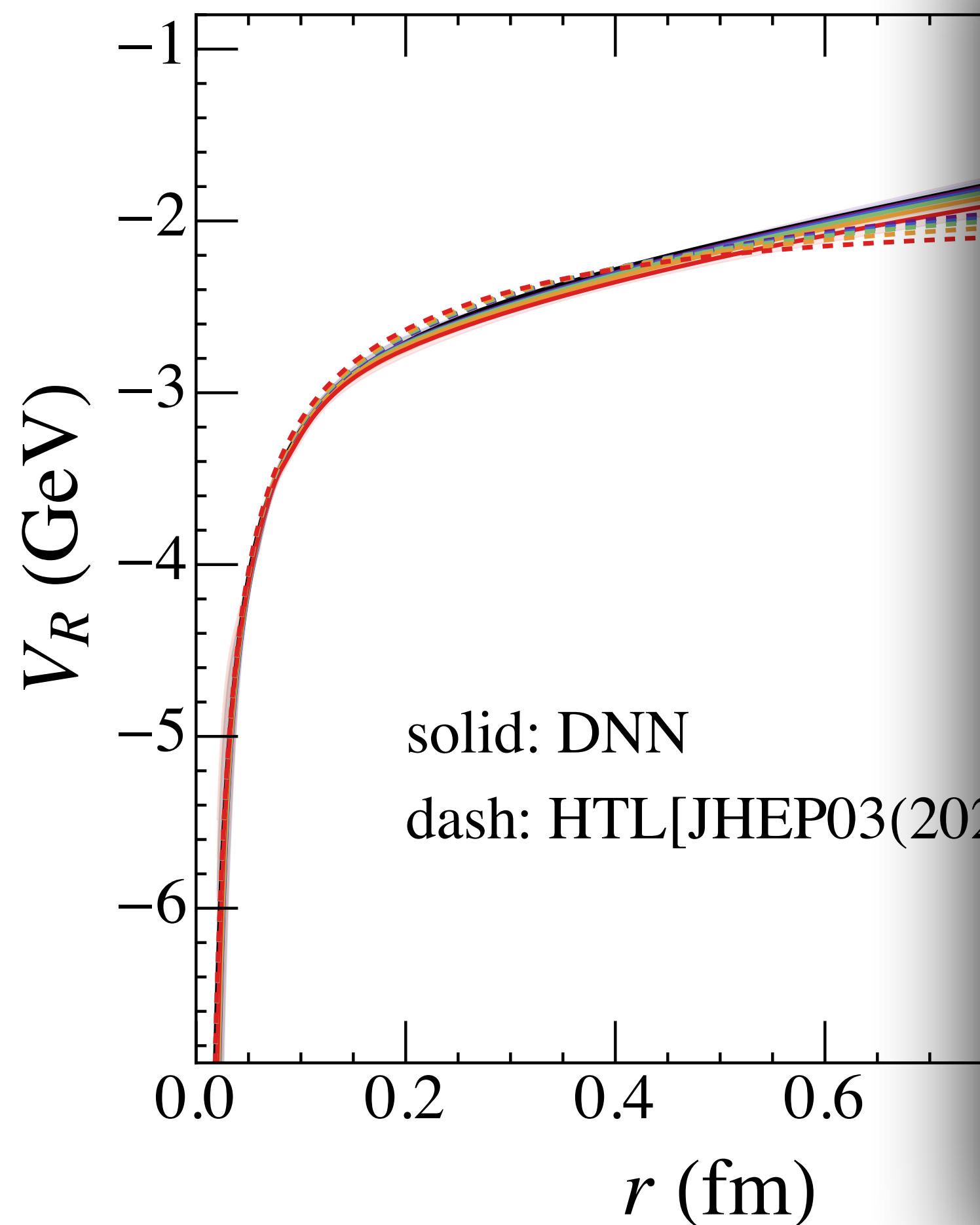
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--- compare with HTL potential used in [1]



# What physics we have learned from $V_{DNN}(T, r)$ ?

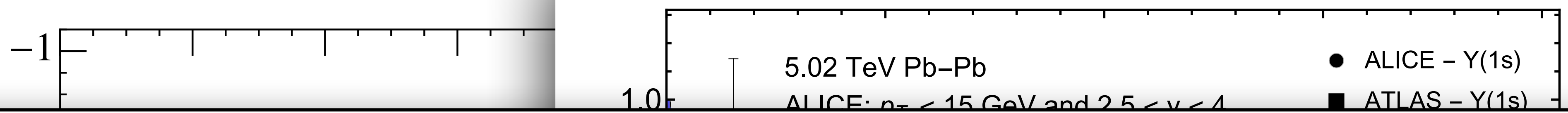
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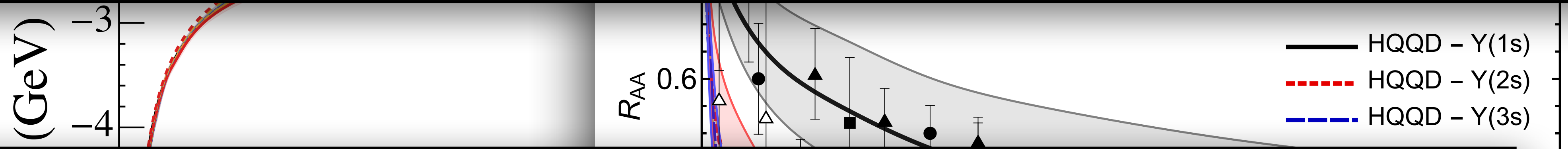
[1] A. Islam and M. Strickland, JHEP03(2021)235

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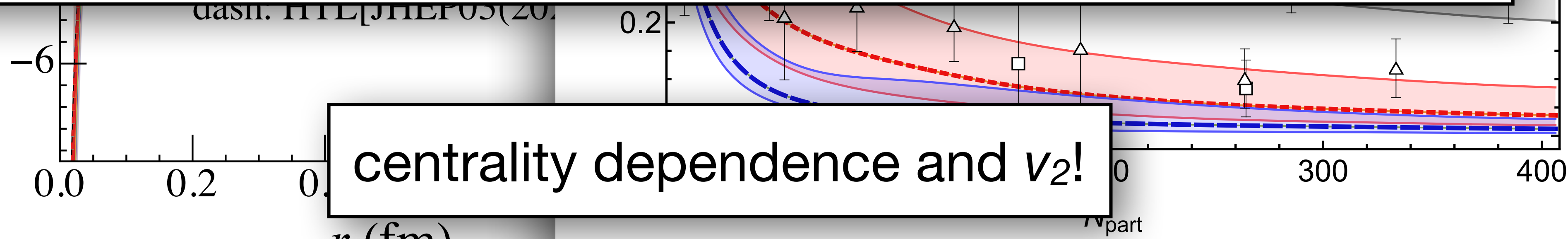
--- compare with HTL potential used in [1]



weaker  $T$  dependence for  $V_R(r) \implies$  higher  $T_{\text{melt}} \implies$  larger  $R_{AA}$



larger magnitude for  $V_I(r) \implies$  larger  $\Gamma \implies$  smaller  $R_{AA}$



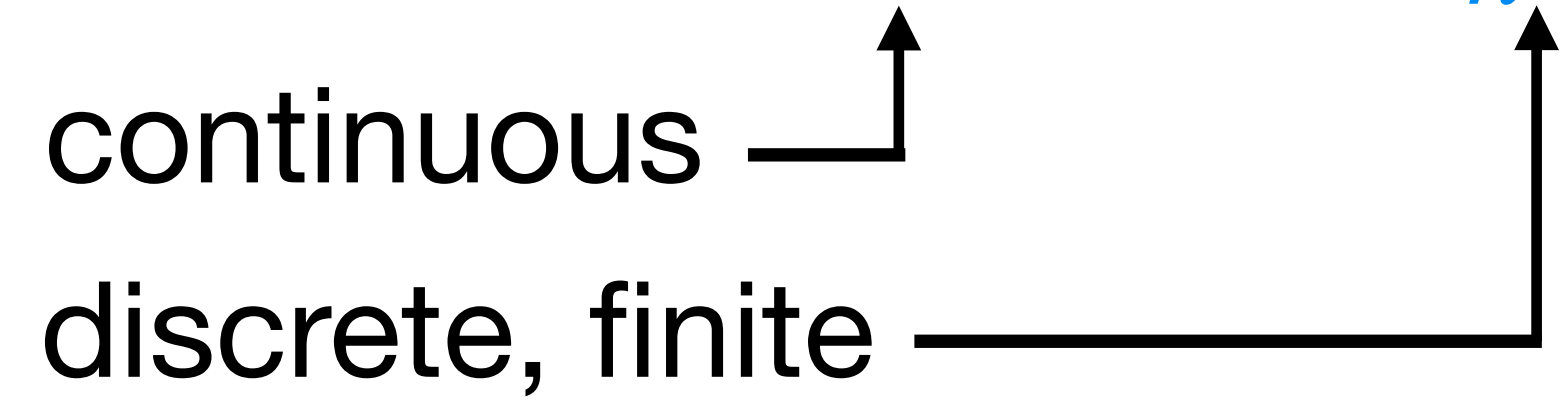
centrality dependence and  $v_2$ !

[1] A. Islam and M. Strickland, JHEP03(2021)235

# **Back-up Slides**



Test - Can we learn  $V(r)$  from  $\{E_n\}$ ?

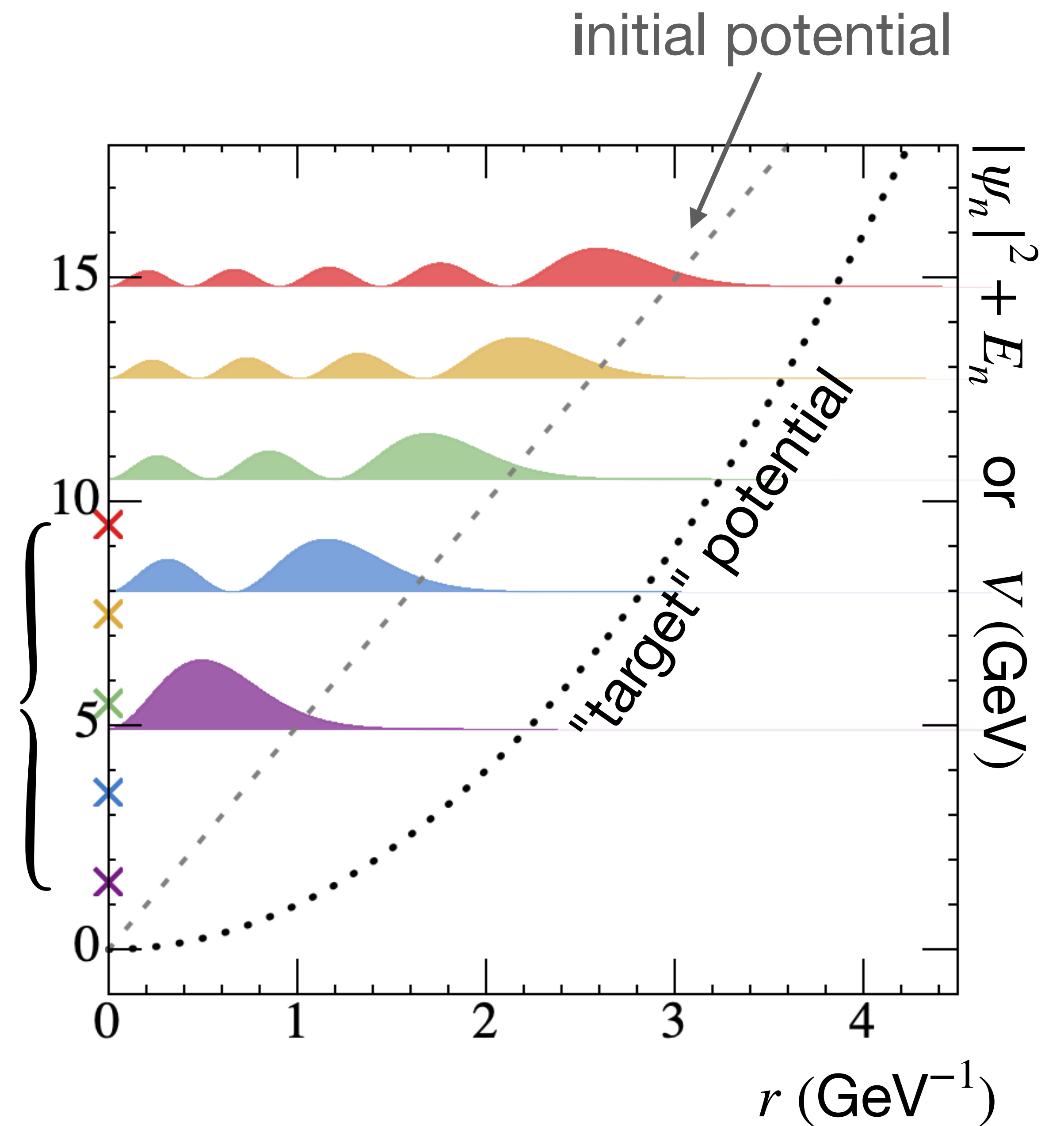


Test - Can we learn  $V(r)$  from  $\{E_n\}$ ?

learn  $V(r)$  according to

$$\{E_n\} = \left\{ \frac{3}{2}, \frac{7}{2}, \frac{11}{2}, \frac{15}{2}, \frac{19}{2} \right\} \text{ GeV}$$

target spectrum

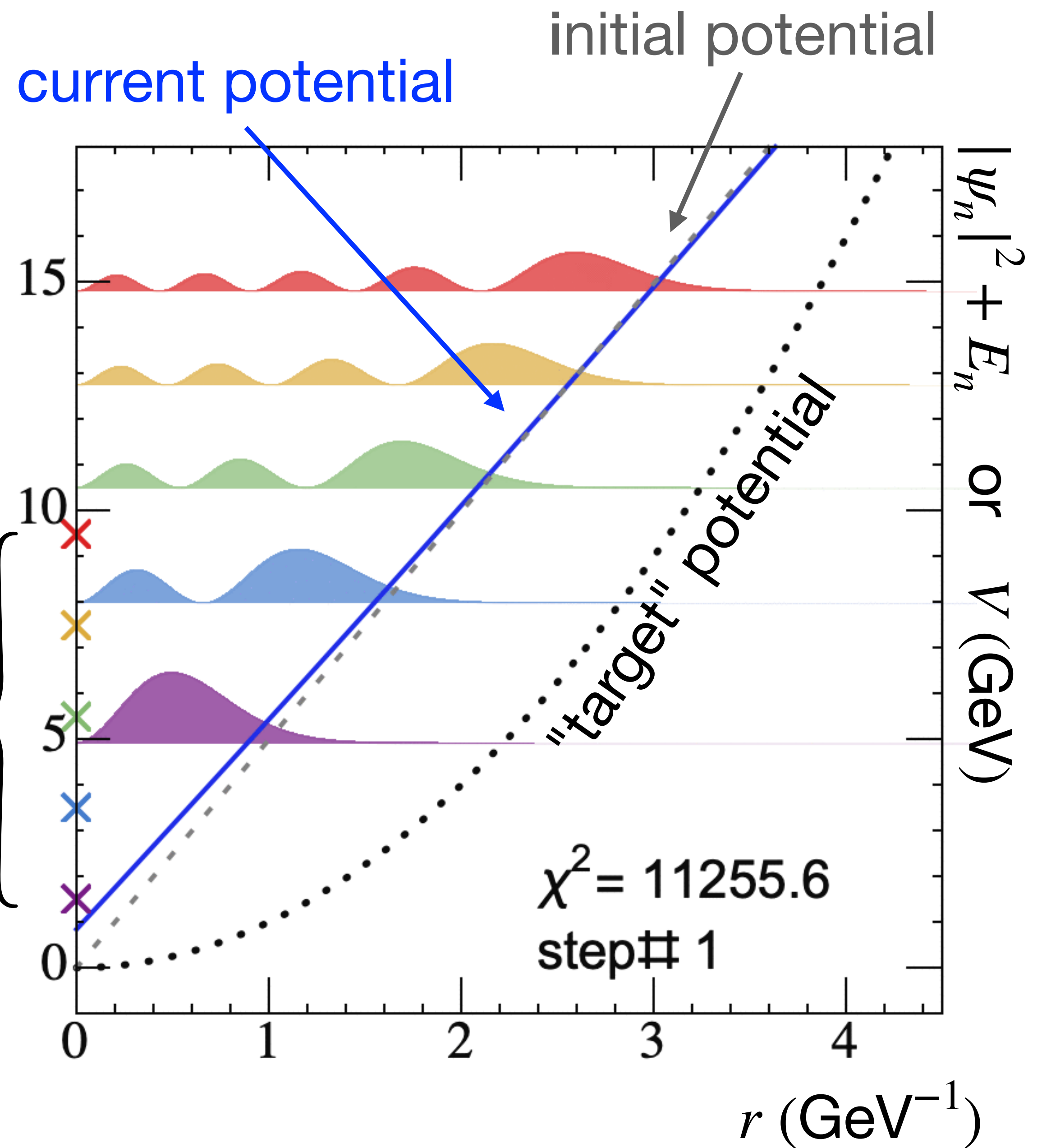


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target spectrum



Test - Can we learn  $V(r)$  from  $\{E_n\}$ ?  
 -- Yes! (for a certain  $r$  range)

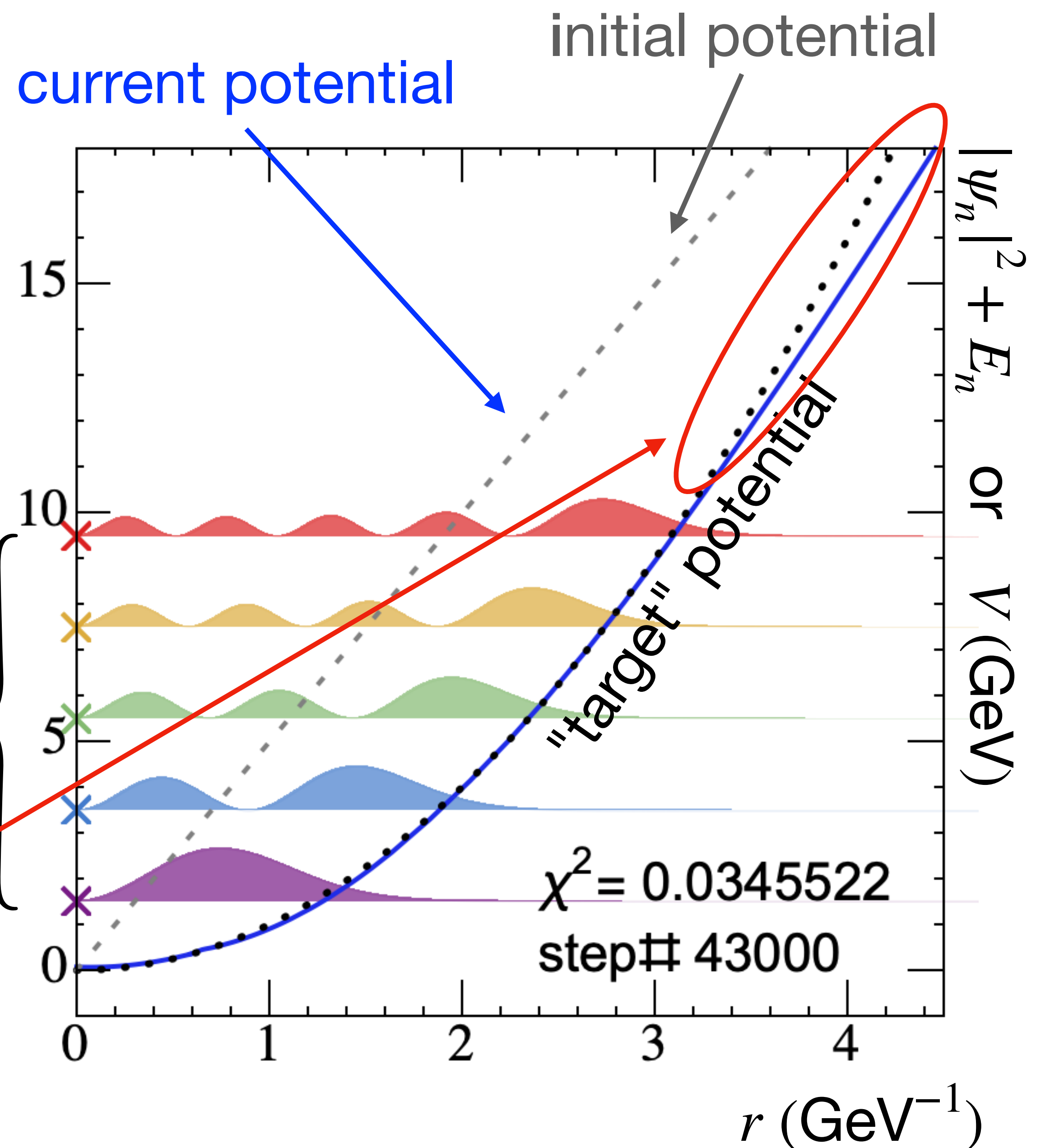
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$$\{E_n\} = \left\{ \frac{3}{2}, \frac{7}{2}, \frac{11}{2}, \frac{15}{2}, \frac{19}{2} \right\} \text{ GeV}$$

target spectrum

Deviate from the exact potential  
 where all  $\psi_n \rightarrow 0$ ,

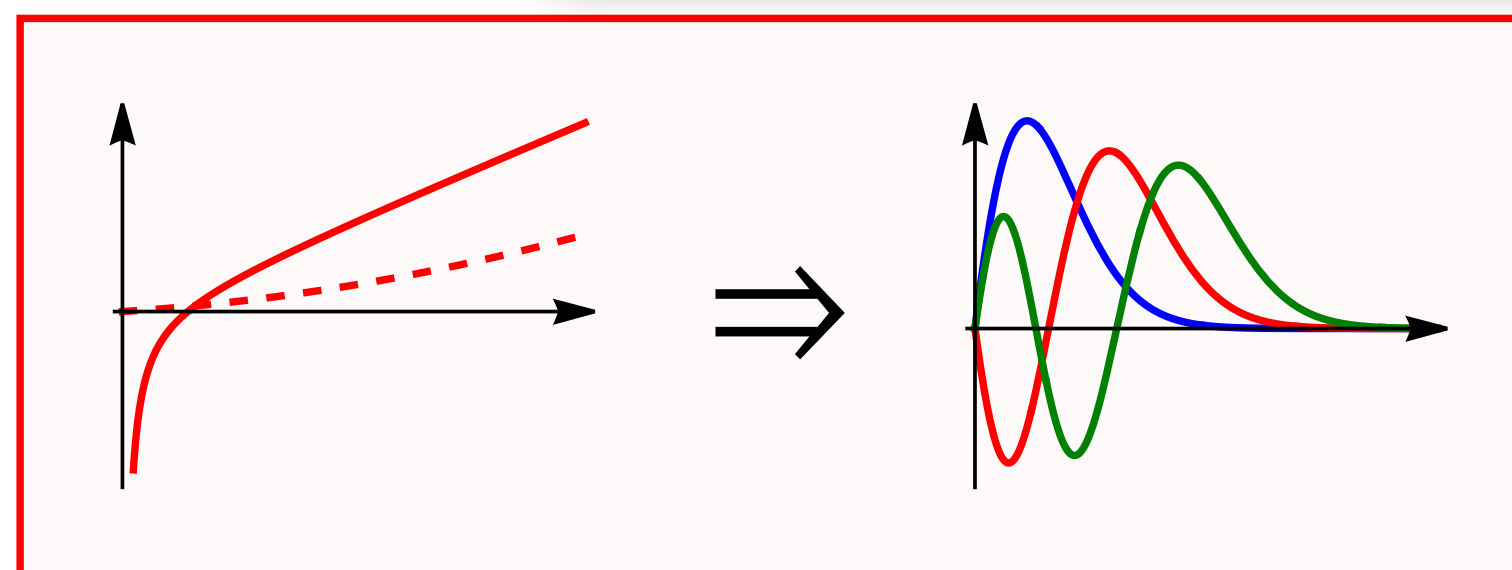
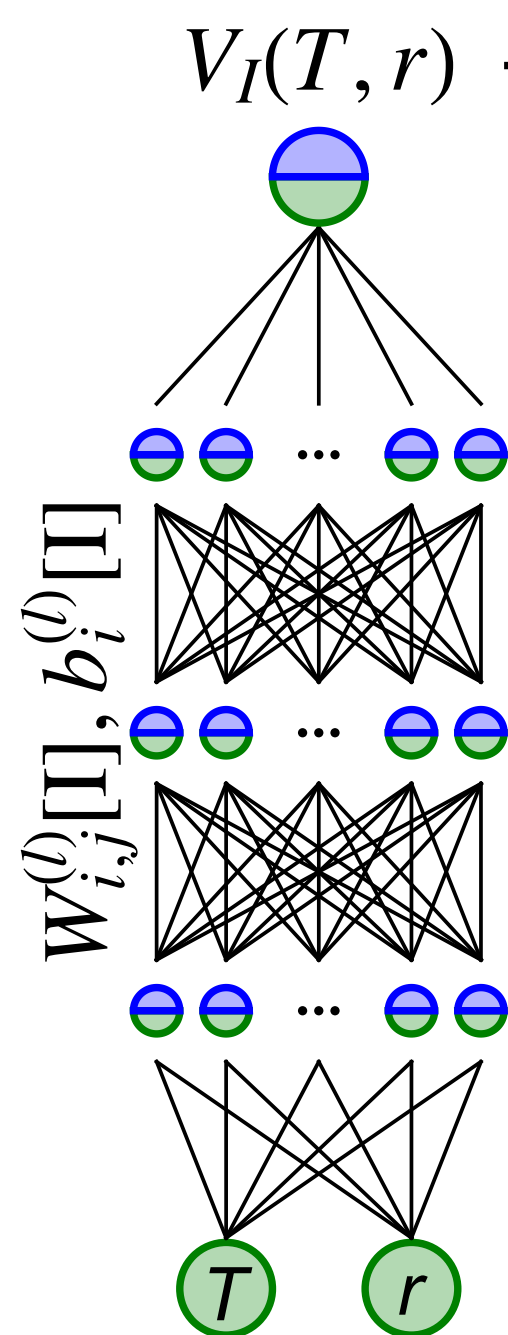
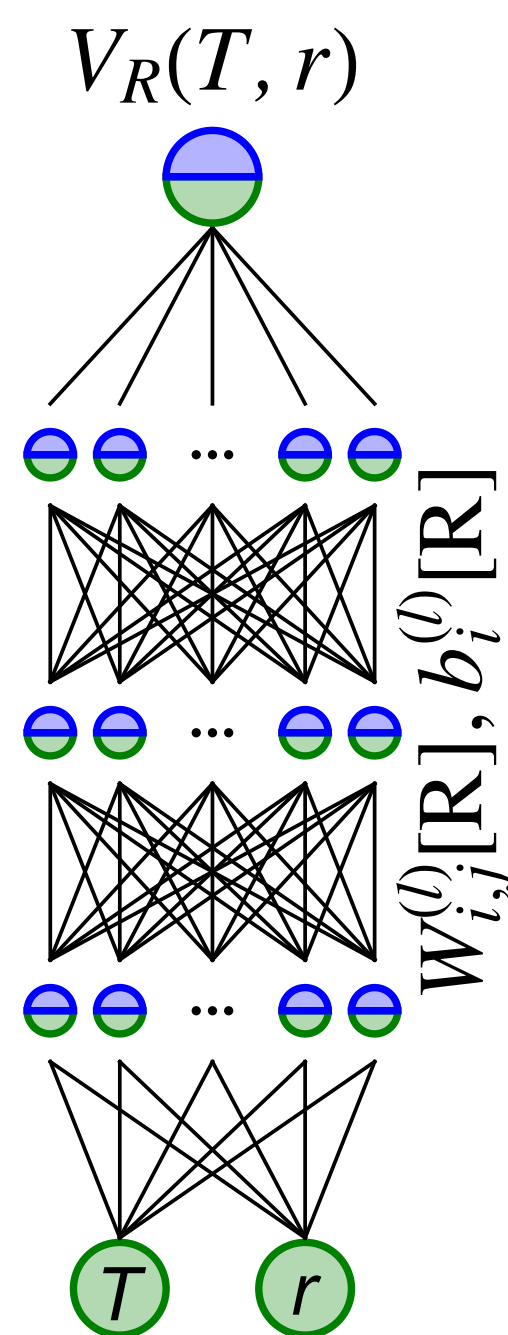
$$\delta E_n = \langle \psi_n | \delta V(r) | \psi_n \rangle$$



# How to learn potential using DNN?

$$V(T, r) = V_R(T, r) + i V_I(T, r)$$

$$\hat{H} \psi_n = -\frac{\nabla^2}{2m_\mu} \psi_n + V(r) \psi_n = E_n \psi_n$$



Schrödinger Eq. Solver

$m_i, \Gamma_i$   
 $\psi_i(r)$

compare with  
lattice-QCD

$$\text{update} \quad \Delta W_{i,j}^{(l)} \sim -\frac{\partial J}{\partial W^{(l)}}, \quad \Delta b_i^{(l)} \sim -\frac{\partial J}{\partial b^{(l)}} \quad \leftarrow \quad \chi^2, \frac{\delta \chi^2}{\delta V(r)}$$

$$\chi^2 = \sum_{T,i} \left( \frac{m_{T,i} - m_{T,i}^{\text{lattice}}}{\delta m_{T,i}^{\text{lattice}}} \right)^2 + \left( \frac{\Gamma_{T,i} - \Gamma_{T,i}^{\text{lattice}}}{\delta \Gamma_{T,i}^{\text{lattice}}} \right)^2,$$



## How to learn potential using DNN?

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In a **typical** Deep Learning problem, true function  $V(T, r)$  is **known**,

$$J = \|V_{\text{DNN}}(T, r) - V_{\text{true}}(T, r)\|^2 + \text{regularizer} \quad (\text{distance})$$

In **this work**, true value for  $V(T, r)$  is **unknown**,

$$J = \chi^2 + \text{regularizer} \quad (\text{implicit function of } W \text{ and } b)$$

$\partial_W J, \partial_b J$  can be computed exactly, thanks to the perturbation theory.

$$\text{update} \quad \Delta W_{i,j}^{(l)} \sim -\frac{\partial J}{\partial W^{(l)}}, \quad \Delta b_i^{(l)} \sim -\frac{\partial J}{\partial b^{(l)}} \longleftarrow \chi^2, \frac{\partial \chi}{\partial V(r)}$$

$$\begin{aligned} \delta m_i &= \langle \psi_i | \delta V_R(r) | \psi_i \rangle, \\ \delta \Gamma_i &= -\langle \psi_i | \delta V_I(r) | \psi_i \rangle. \end{aligned}$$

$$\chi^2 = \sum_{T,i} \left( \frac{m_{T,i} - m_{T,i}^{\text{lattice}}}{\delta m_{T,i}^{\text{lattice}}} \right)^2 + \left( \frac{\Gamma_{T,i} - \Gamma_{T,i}^{\text{lattice}}}{\delta \Gamma_{T,i}^{\text{lattice}}} \right)^2,$$

## Uncertainty Quantification according to Bayesian inference

- Posterior distribution for DNN parameters ( $\boldsymbol{\theta}$ )

$$\text{Posterior}(\boldsymbol{\theta} \mid \text{data}) \propto L(\boldsymbol{\theta} \mid \text{data}) \cdot \text{Prior}(\boldsymbol{\theta}) = N_0 \exp \left[ -\frac{\chi^2(\boldsymbol{\theta})}{2} - \frac{\lambda}{2} \boldsymbol{\theta} \cdot \boldsymbol{\theta} \right].$$

$\chi^2(\boldsymbol{\theta})$  is an implicit function of  $V_{\text{DNN}}(\boldsymbol{\theta}; r)$

- Task #1: find the most optimal parameter set by maximizing Posterior.
- Task #2: at any distance  $r$ , compute the likelihood (density) distribution of  $V_{\boldsymbol{\theta}}$ ,

$$P(V_{\boldsymbol{\theta}})dV = \text{Posterior}(\boldsymbol{\theta} \mid \text{data})d^N \boldsymbol{\theta}$$

## How to compute the likelihood (density) distribution of $V_{\theta}$

$$P(V_{\theta})dV = \text{Posterior}(\boldsymbol{\theta} \mid \text{data})d^N\boldsymbol{\theta}$$

- Method 1)
  - Sample  $\{\boldsymbol{\theta}_i\}$  according to a flat distribution:  $P(\boldsymbol{\theta}) = 1$ ;
  - Each data point corresponds to the element volume  $d^N\boldsymbol{\theta}_i = 1$ ;
  - Compute  $V_{\boldsymbol{\theta}_i}(r)$ ,  $\chi_{\boldsymbol{\theta}_i}^2$ , and  $\text{Posterior}(\boldsymbol{\theta}_i \mid \text{data})$ ;
  - For given  $r$ , histogram  $V_{\boldsymbol{\theta}_i}(r)$  with weights

$$w_i = P(V_{\boldsymbol{\theta}_i})dV_i = \text{Posterior}(\boldsymbol{\theta}_i \mid \text{data})$$

Computationally Expensive!

## How to compute the likelihood (density) distribution of $V_{\theta}$

$$P(V_{\theta})dV = \text{Posterior}(\boldsymbol{\theta} \mid \text{data})d^N\boldsymbol{\theta}$$

- Method 2)
  - Sample  $\{\boldsymbol{\theta}_i\}$  according to the posterior:  $P(\boldsymbol{\theta}) = \text{Posterior}(\boldsymbol{\theta} \mid \text{data})$ ;
  - Each data point corresponds to the element volume  $d^N\boldsymbol{\theta}_i = 1/\text{Posterior}(\boldsymbol{\theta}_i)$ ;
  - Compute  $V_{\boldsymbol{\theta}_i}(r)$ ,  $\chi_{\boldsymbol{\theta}_i}^2$ , and  $\text{Posterior}(\boldsymbol{\theta}_i \mid \text{data})$ ;
  - For given  $r$ , histogram  $V_{\boldsymbol{\theta}_i}(r)$  with weights

$$w_i = P(V_{\boldsymbol{\theta}_i})dV_i = 1$$

Hard to sample according to Posterior!

## How to compute the likelihood (density) distribution of $V_{\theta}$

$$P(V_{\theta})dV = \text{Posterior}(\boldsymbol{\theta} \mid \text{data})d^N\boldsymbol{\theta}$$

- Method 3)
  - Sample  $\{\boldsymbol{\theta}_i\}$  according to a reference distribution:  $P(\boldsymbol{\theta}) = \tilde{P}(\boldsymbol{\theta})$ ;
  - Each data point corresponds to the element volume  $d^N\boldsymbol{\theta}_i = 1/\tilde{P}(\boldsymbol{\theta}_i)$ ;
  - Compute  $V_{\boldsymbol{\theta}_i}(r)$ ,  $\chi_{\boldsymbol{\theta}_i}^2$ , and  $\text{Posterior}(\boldsymbol{\theta}_i \mid \text{data})$ ;
  - For given  $r$ , histogram  $V_{\boldsymbol{\theta}_i}(r)$  with weights

$$w_i = P(V_{\boldsymbol{\theta}_i})dV_i = \text{Posterior}(\boldsymbol{\theta}_i)/\tilde{P}(\boldsymbol{\theta}_i)$$



## How to compute the likelihood (density) distribution of $V_{\theta}$

$$P(V_{\theta})dV = \text{Posterior}(\boldsymbol{\theta} \mid \text{data})d^N\boldsymbol{\theta}$$

- Method 3)

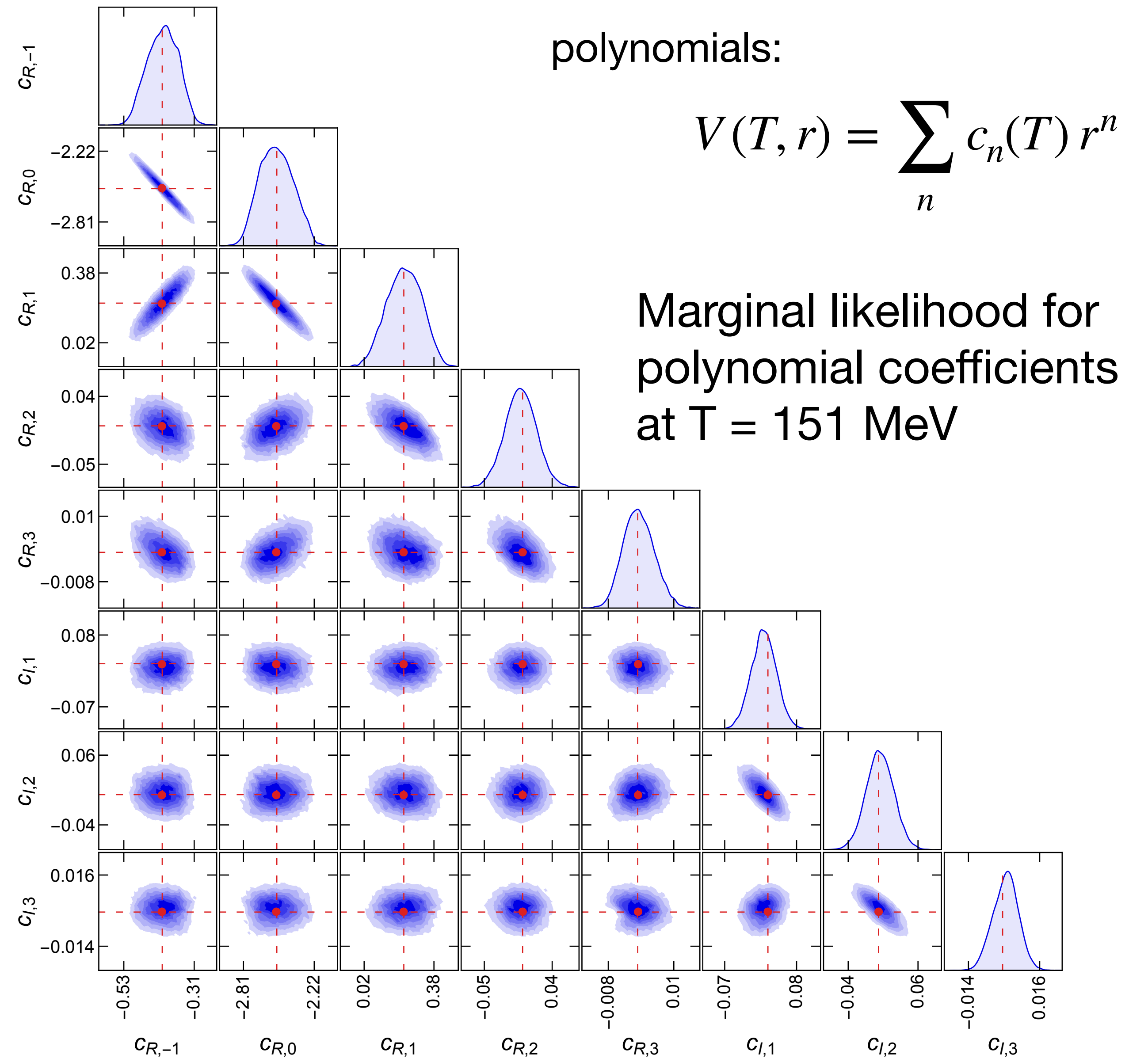
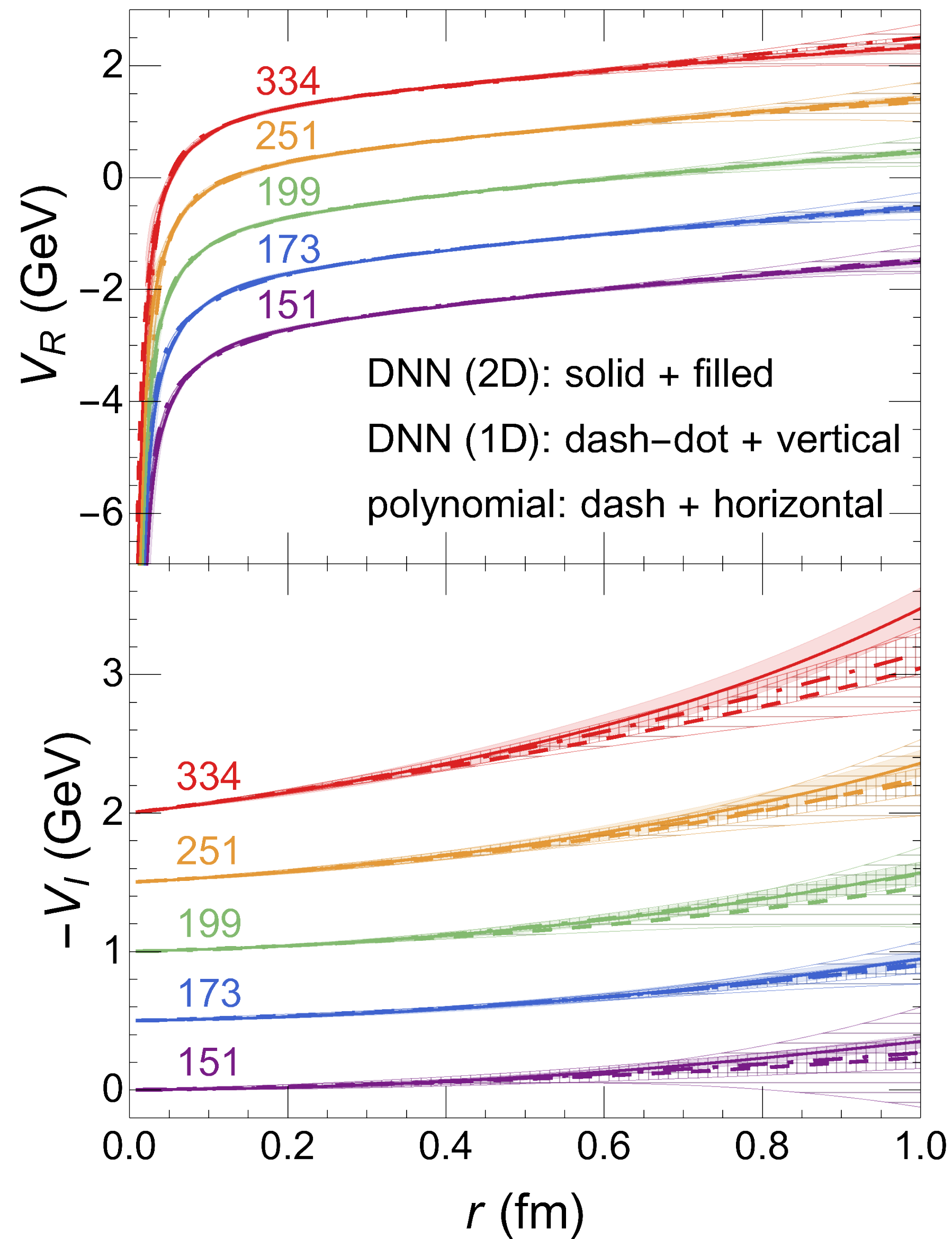
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- In practice:

$$\tilde{P}(\boldsymbol{\theta}) = (2\pi)^{-N_{\theta}/2} \sqrt{\det[\boldsymbol{\Sigma}^{-1}]} \times \exp\left[-\frac{\boldsymbol{\Sigma}_{ab}^{-1}}{2}(\theta_a - \theta_a^{\text{opt}})(\theta_b - \theta_b^{\text{opt}})\right] \quad \boldsymbol{\Sigma}_{ab}^{-1} = \lambda\delta_{ab} + \frac{1}{2} \frac{\partial^2 \chi^2(\boldsymbol{\theta})}{\partial\theta_a \partial\theta_b}$$

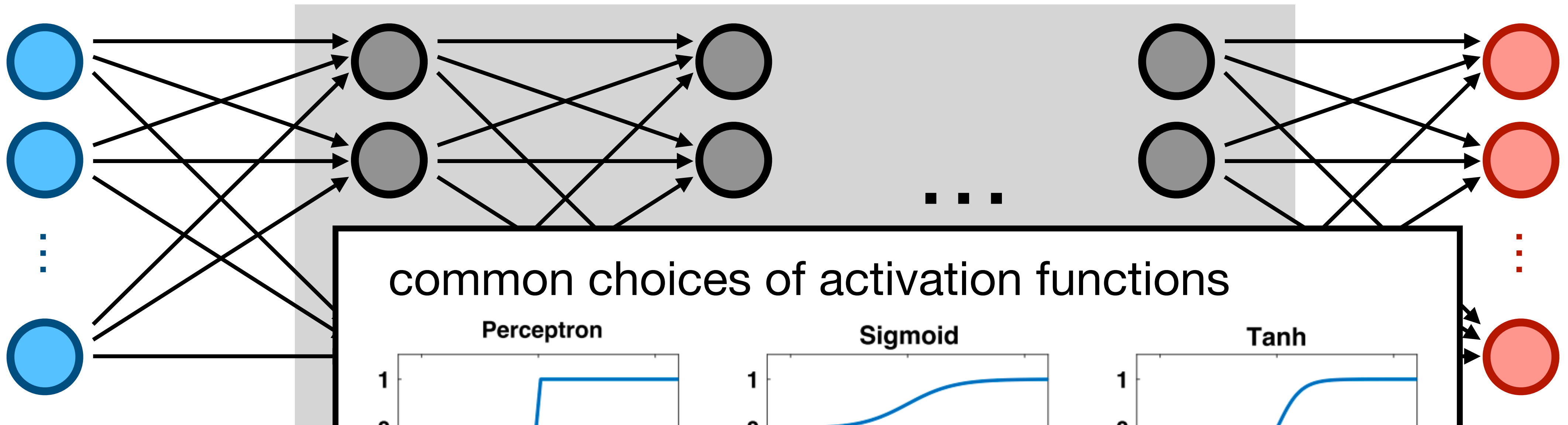
# Consistency test with $T$ -indept. DNN and polynomial



input layer

hidden layers

output layer



- Multiple "hidden

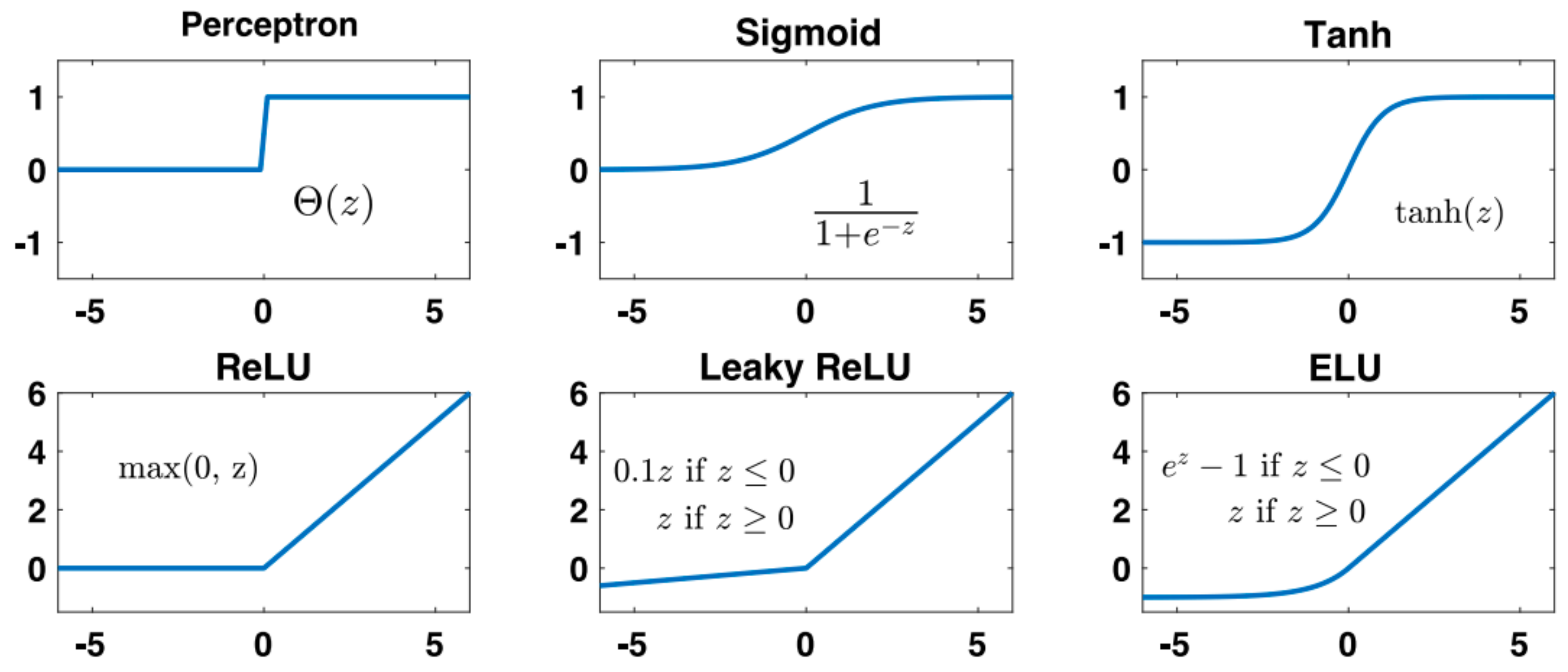
$$v^{(1)}_j = \sigma^{(1)}(\sum v^{(0)}_i w_{ij})$$

$$v^{(2)}_j = \sigma^{(2)}(\sum v^{(1)}_i w_{ij})$$

...

$$y_j = \sigma^{(l+1)}(\sum v^{(l)}_i w_{ij})$$

### common choices of activation functions

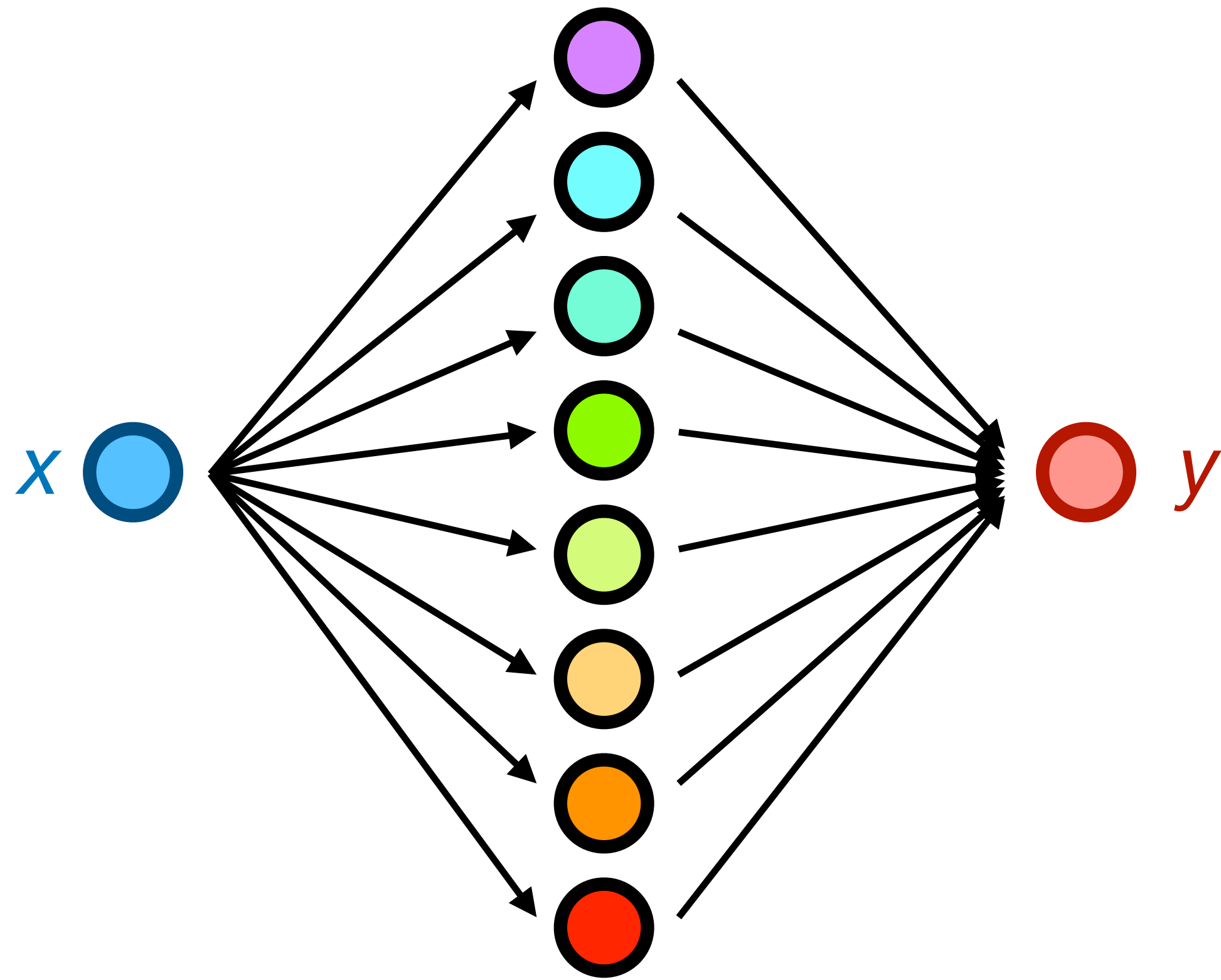


Note2:  $\sigma^{(1)} \dots \sigma^{(l+1)}$  are activation functions.  
They can be the same or different.

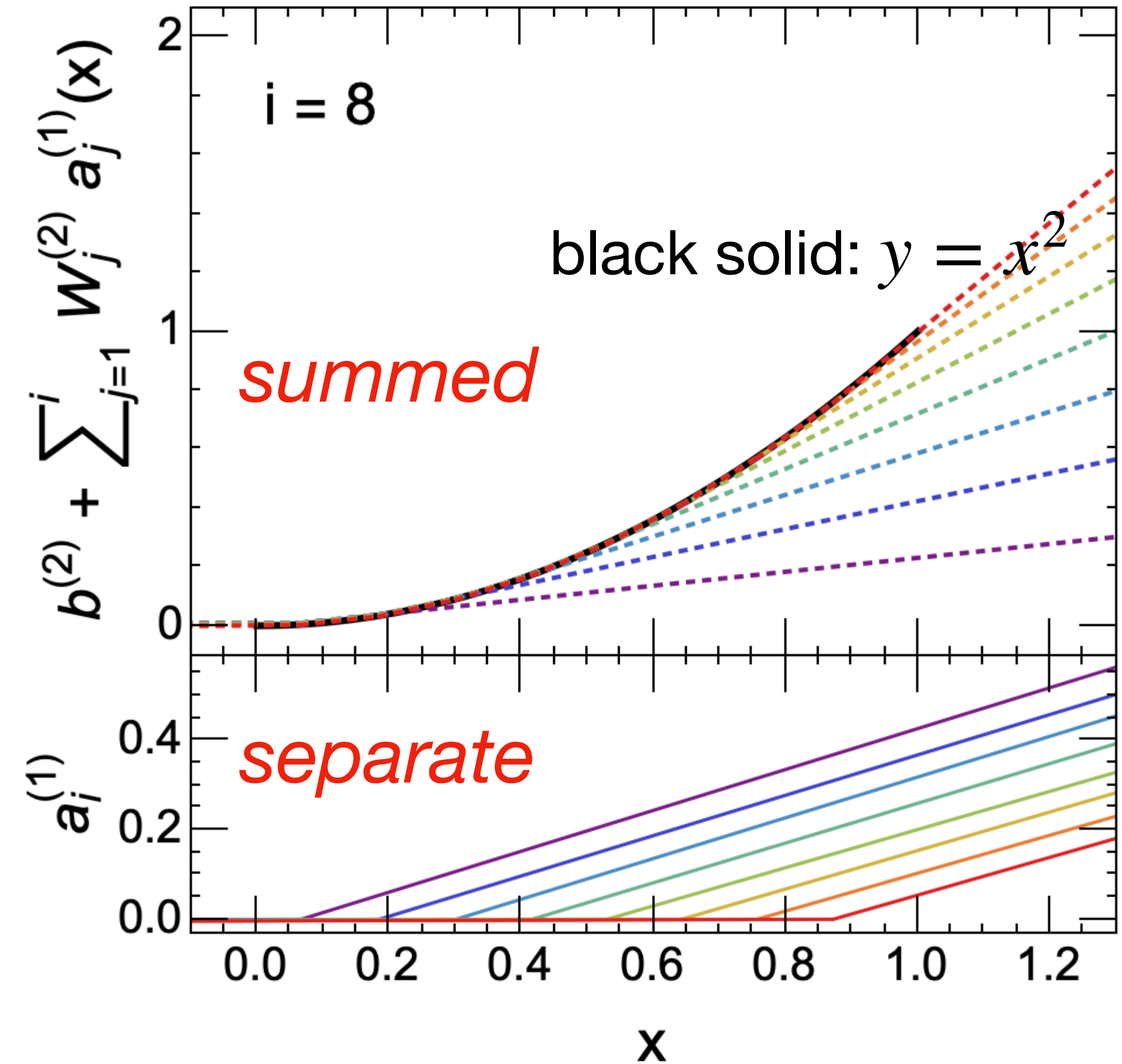
layers/depth of the network  
 $M^{(l)}$  -- number of neurons at layer  $(l)$

# How do Deep Neural Networks work?

example: learn  $y = x^2$  for  $x \in [0,1]$



piecewise linear interpolation!!!



[Using activation function  $\sigma(z) = \max(0,z)$ ]