

# Neural Network Field Transformation and Its Application in HMC

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# Outline

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- Field transformation, a.k.a. change of variables, a.k.a. contour deformation
- Construct gauge covariant field transformation with neural networks
- A test on 2D U(1) pure gauge:
  - Train the Field Transformation (FT) model at a coupling/volume
  - Run FTHMC using the model at other couplings/volumes
- Conclusion

# Field Transformation and Trivializing Maps

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- Change of variables: use a continuously differentiable bijective map  $\mathcal{F}^{-1}$  from **target field**  $U$  to the **mapped field**  $V = \mathcal{F}^{-1}(U)$ , same group manifold for us

$$\langle \mathcal{O} \rangle = \frac{1}{Z} \int \mathcal{D}U \mathcal{O}(U) e^{-S(U)} = \frac{1}{Z} \int \mathcal{D}V \mathcal{O}(\mathcal{F}(V)) e^{-S(\mathcal{F}(V)) + \ln |\mathcal{F}_*|} \quad \text{where } \mathcal{F}_* = \frac{\partial \mathcal{F}(V)}{\partial V}$$

- Sample  $V$  with HMC according to the new action: **Field Transformation HMC (FTHMC)**

$$S_{\text{FT}}(V) = S(\mathcal{F}(V)) - \ln |\mathcal{F}_*(V)|$$

- Lüscher, 2010: construct  $\mathcal{F}$  such that  $S_{\text{FT}}(V) = \text{const}$ , a trivializing map
- More FTHMC tests:
  - $\mathcal{F}$  from stout smearing on 4D SU(3) pure gauge DBW2, Luchang Jin's Poster
  - $\mathcal{F}$  from MIT group's NVP flow (Sebastien Racaniere's Talk), Sam foreman's Poster

# Gauge Covariant Link Update, Generalized for ML

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- We know gauge covariant update, all the time in HMC and stout smearing, with a list of Wilson loops  $W_l$

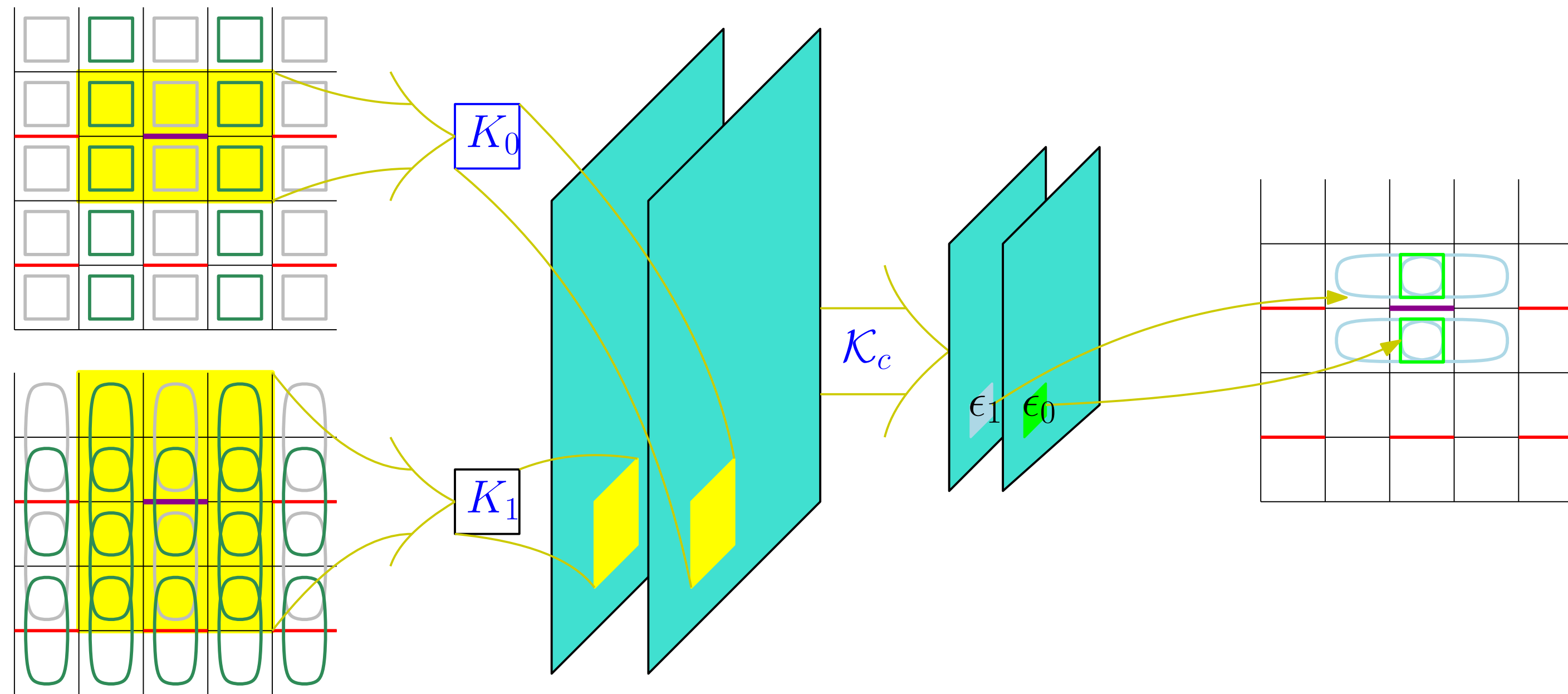
$$U_{x,\mu} \rightarrow U'_{x,\mu} = e^{\Pi_{x,\mu}} U_{x,\mu} \text{ where } \Pi_{x,\mu} = \sum_l \epsilon_l \partial_{x,\mu} W_l$$

- Generalize it for machine learning
  - Use stout smearing as neural networks, Akio Tomiya's talk
  - Make the coefficients arbitrary functions of gauge invariant quantities

$$\epsilon_{x,\mu,l} = c \tan^{-1} [\mathcal{N}_l(X, Y, \dots)]$$

- $X, Y, \dots$  a list of traced Wilson loops local to  $x, \mu$ , and independent of  $U_{x,\mu}$
- $\mathcal{N}$  is a convolutional neural network,  $\mathcal{N}_l$  is one of the output channels
- $c \tan^{-1}[\cdot]$  ensures a positive definite Jacobian

# Localized Coefficients, by Convolutional Neural Networks



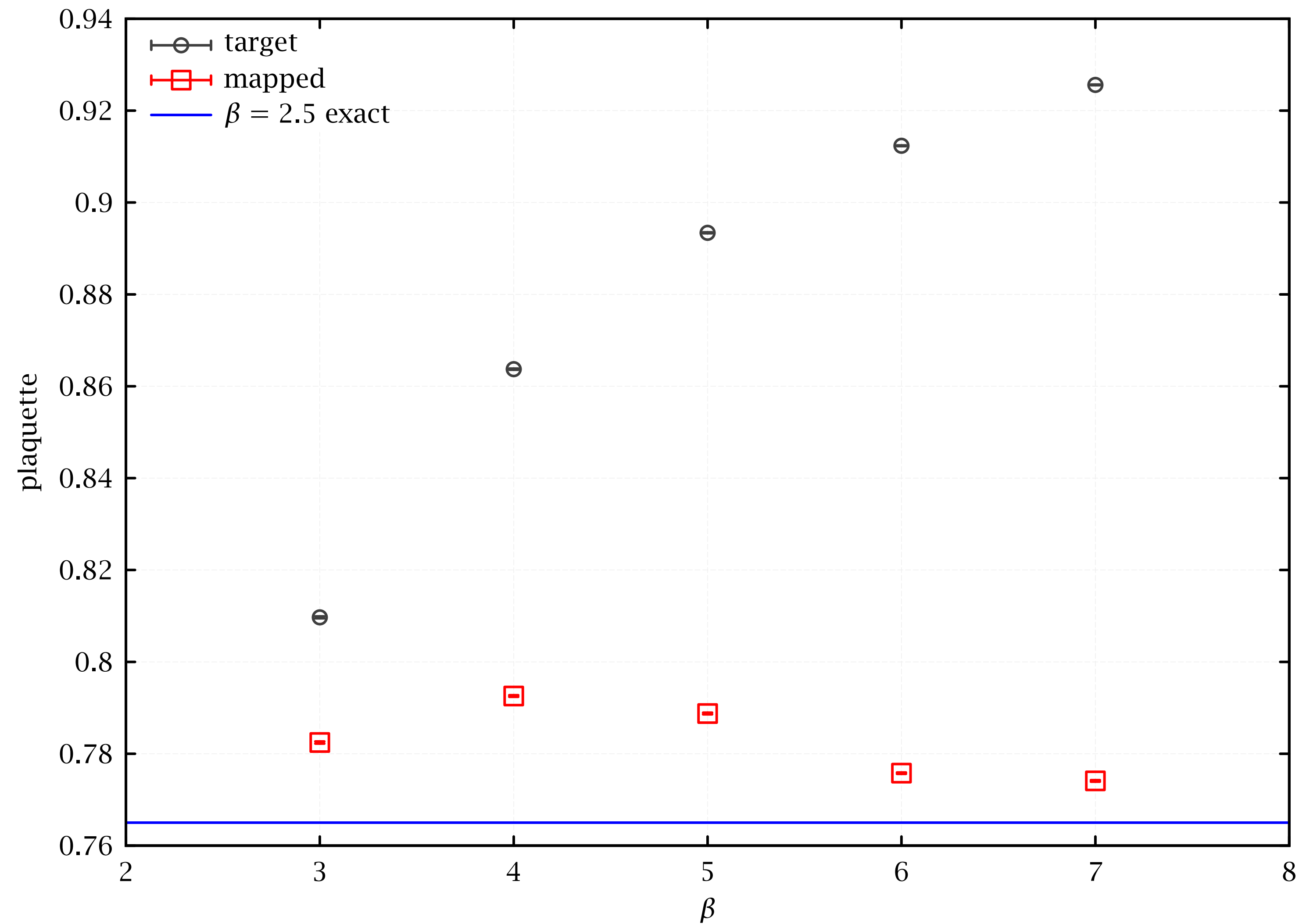
- Pick a subset of gauge links to update at a time (red links)
- Compute Wilson loops independent of the to-be-updated links (green loops)
- Pass through a series of convolutional neural networks and obtain coefficients

# Train Transformations, not Complete Trivializing Maps

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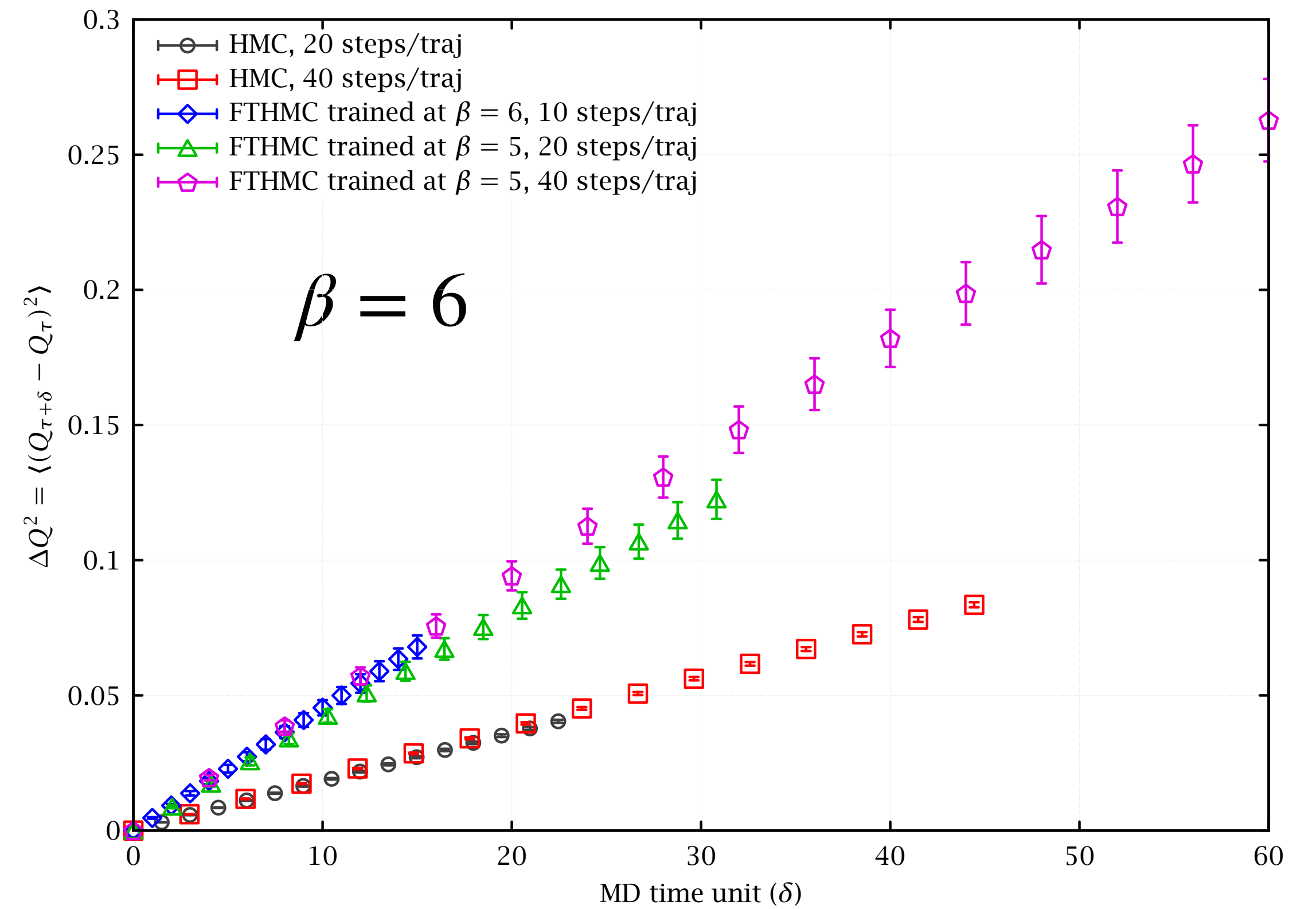
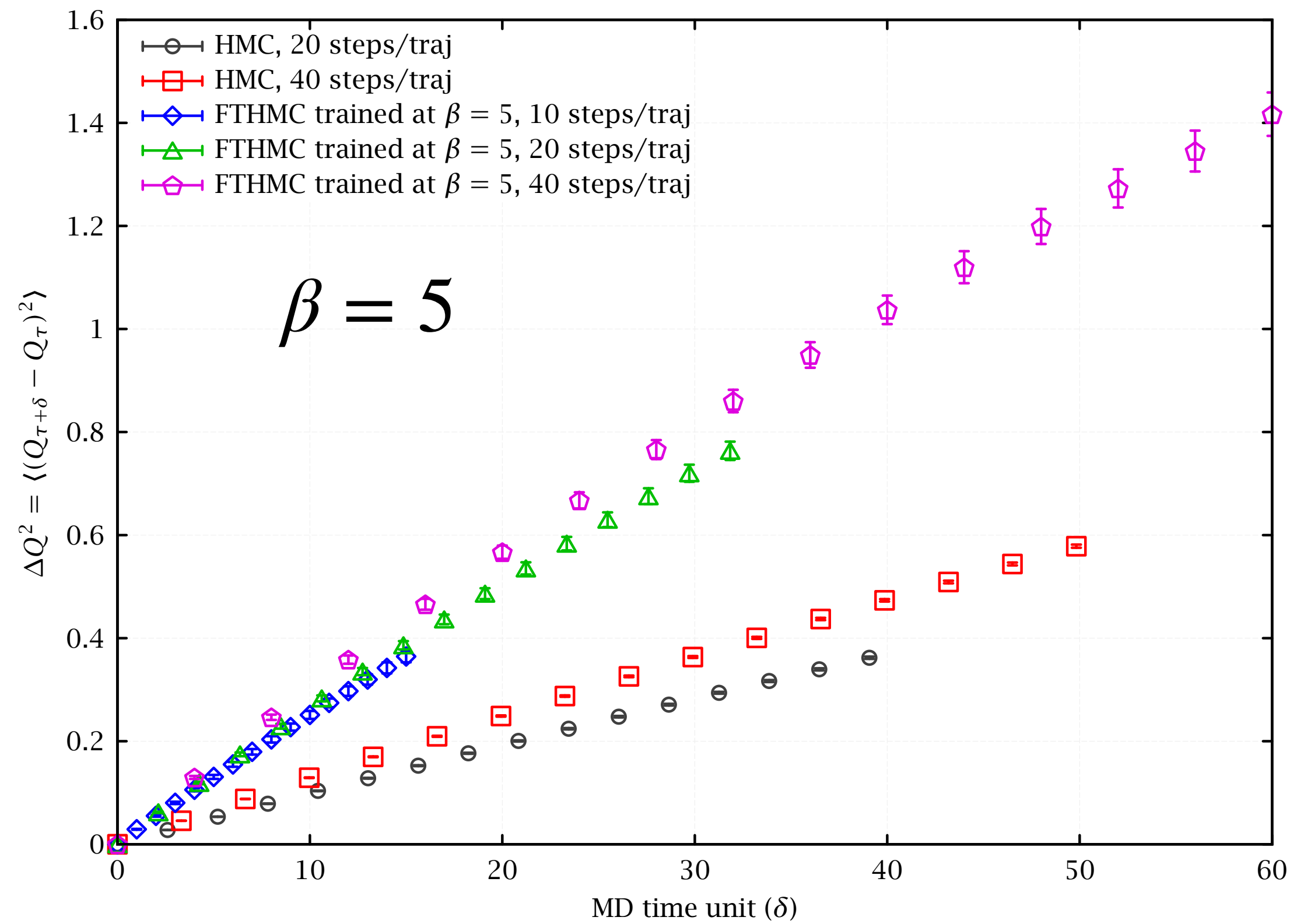
- Do we need a complete trivializing map to improve HMC?
- Our test: training a transformation mapping the target field to a field distribution with the effective action similar to the gauge action at  $\beta_{\text{map}} = 2.5$ 
  - On 2D U(1) pure gauge with the Wilson plaquette action
  - Use HMC to generate configurations at target  $\beta = 3, 4, 5, \dots$
  - Compute the force of the effective action on the generated configurations
  - Minimize L2-norm and L $\infty$ -norm of the difference in the effective force and the force with  $\beta_{\text{map}} = 2.5$
  - Transfer training the trained model at  $\beta = 3$  to  $\beta = 4$ , and so on with increasing  $\beta$

# Plaquette Values with trained models, lattice size $16 \times 16$



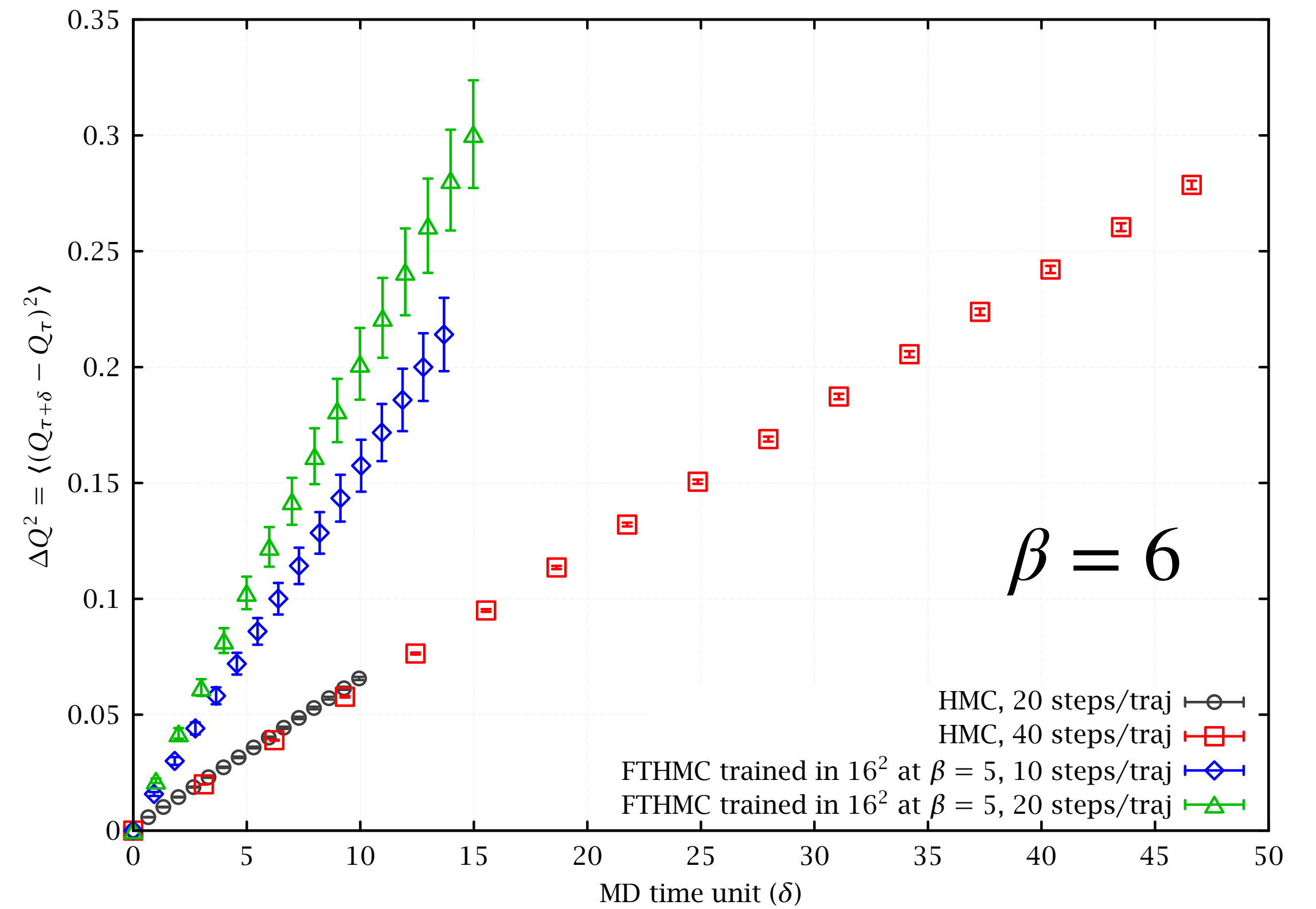
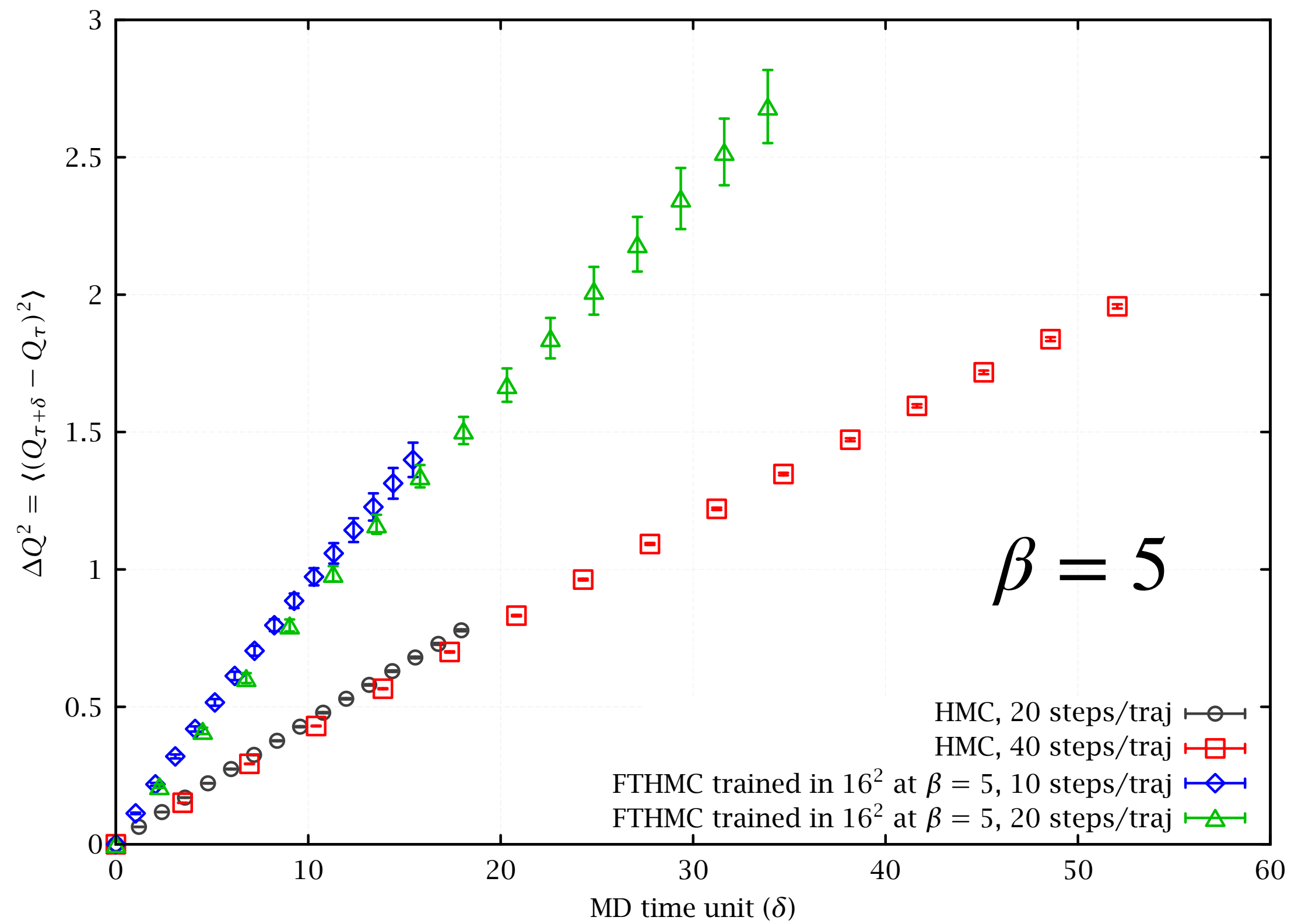


# $\Delta Q^2$ HMC with Neural Network Field Transformation, $16 \times 16$



Performs well with model trained at  $\beta = 5$

# $\Delta Q^2$ FTHMC, lattice size $32 \times 32$ , using model trained in $16 \times 16$



Poorly tuned acceptance rates that vary between 0.7 to 0.95

# Numbers for Geeks

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- Everything ran on 2012 Ivy Bridge i7-3770 at 3.40 GHz, 4 cores, 2 threads/core
- The test model uses 8 link update layers to update the whole lattice
- Each link update layer contains (each Conv2D layer has 2 channels),
  - 1 Conv2D, 3x2 kernel size,  $K_0$ , for traced plaquette loops
  - 1 Conv2D, 3x3 kernel size,  $K_1$ , for traced rectangle (2x1) loops
  - 2 Conv2D, 3x3 kernel size,  $K_c$ , for the combined filter to get coefficients
- For each  $\beta$ , the training uses batch size 64, 1024 training steps, each step takes 5 seconds (HMC included), uses about 8 GB; an inference (FTHMC) trajectory of 10 leapfrog steps takes less than 3 seconds
- The same code with identity transformation (HMC), 1 trajectory of 20 steps takes 0.6 sec for a batch of 2048
- For this code and this model, FTHMC is  $\sim 300x$  slower than HMC

# TensorFlow, the Good, the Bad, and the Ugly

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- Good
  - Fast. Trace compiling of TensorFlow graph optimizes code and remove python overhead. A few factors faster than other ML frameworks.
- Bad
  - Limited. No periodic padding. No Conv4D. Derivatives w.r.t. input do not seem to use optimized code path and are memory intensive.
- Ugly
  - Non-Pythonic. Different semantics and cryptic error messages inside `tf.function`.

# Conclusion and Outlook

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- We propose a general construction of **gauge covariant neural networks that is group-agnostic**.
- We train a simple model to map 2D U(1) gauge configurations to a stronger coupling, and use the trained model in HMC with different values of coupling and lattice sizes and see improvement in tunneling of topological sectors.
- Code and extra goodies: <https://github.com/nftqcd/nthmc>
- Future
  - Careful study of scaling behavior to determine cost-effectiveness.
  - Explore other uses of tunable field transformations.
  - Software: optimize our code; may need to either restrict our field models to existing APIs, or invest in creating an optimized ML framework for lattice fields.