

# A physicist-friendly reformulation of the mod-two Atiyah-Patodi-Singer index

arXiv: 2012.03543

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Lattice 2021

# Message

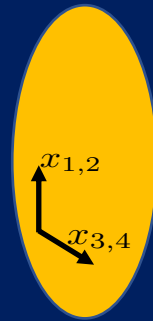
An  $SU(2)$  global anomaly inflow can be reformulated **w/o unphysical** boundary condition

This talk

- Continuum theory
- But our approach is useful in Lattice theory

# Anomaly inflow [Callan and Harvey 1985]

A chiral gauge theory on **4-dim** manifold.



A perturbative gauge anomaly exists

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A perturbative gauge anomaly exists



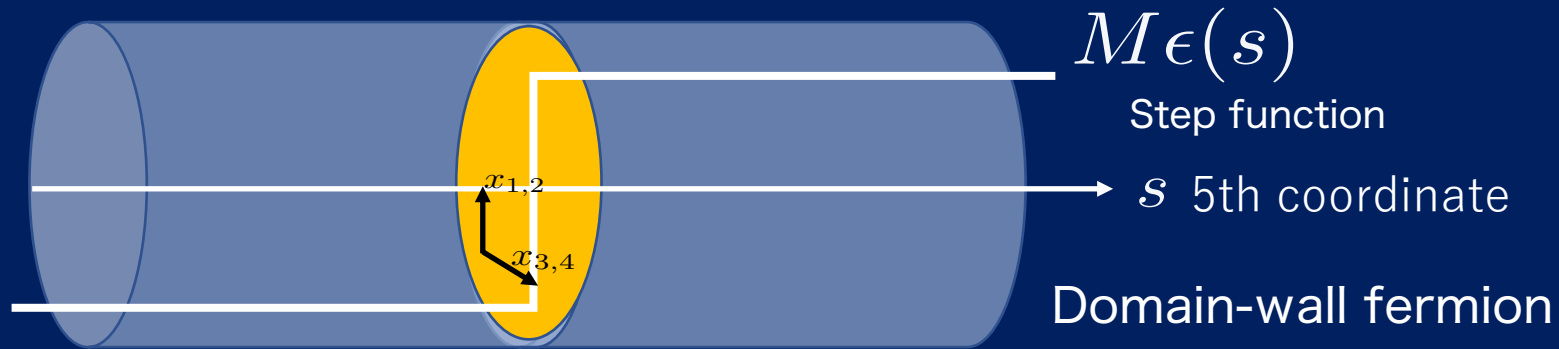
Cancellation mechanism

Embedded into the 5-dimensional theory

**The total system is anomaly-free**

# Anomaly inflow [Callan and Harvey 1985]

A chiral gauge theory on **4-dim** manifold.



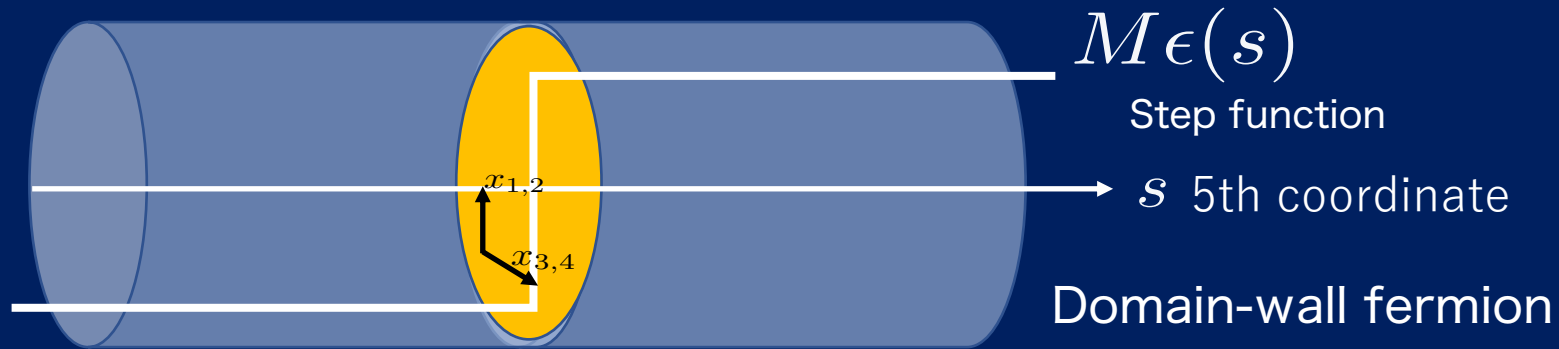
Domain-wall fermion has edge localized modes at the kink

Perturbative anomaly on **edge** + Bulk CS current = Anomaly-free

$$\begin{array}{ccc} Z_{\text{edge}} & \times & Z_{\text{bulk}} & = & Z_{\text{total}} \\ \text{Anomalous} & & \text{Anomalous} & & \text{Anomaly-free} \end{array}$$

# Anomaly inflow [Callan and Harvey 1985]

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## This talk

Application of anomaly inflow to **SU(2) global anomaly**

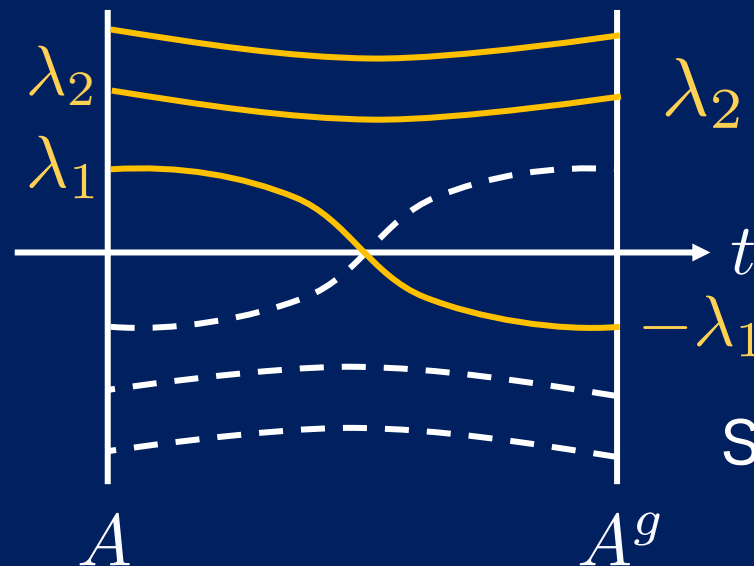
# SU(2) Global anomaly [Witten 1982]

4-dim a single Weyl fermion theory is not consistent

$$Z_{\text{Weyl}} = (\det D^{4D})^{1/2} = \lambda_1 \lambda_2 \cdots$$

$g$  : nontrivial gauge transformation in  $\pi_4[SU(2)]$

$\text{Spec}(D^{4D})$

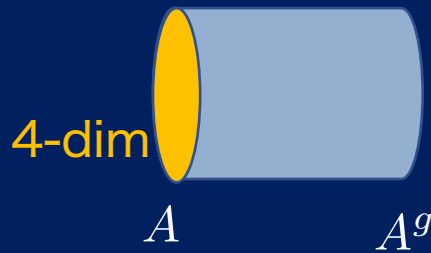


Spectral flow is odd

$$Z_{\text{Weyl}} \rightarrow -Z_{\text{Weyl}}$$

# Mod-two APS index

5-dim.



Identification

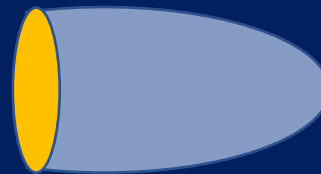


Mod-two AS index

Spec. flow odd =  $Ind_{AS}(D^{5D})$  is one

# of zero-modes of  $D^{5D} \pmod{2}$

Extension [Witten 2015]



**APS b.c.**

Mod-two APS index

$Z_{total} \propto (-1)^{Ind_{APS}(D^{5D})}$

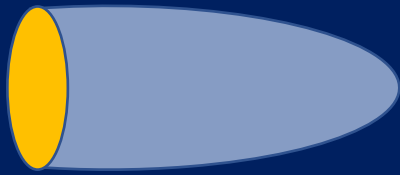
**Gauge invariant**  
**Anomaly-free**

Expectation

The bulk/edge anomaly inflow description for SU(2) global anomaly



# APS b.c. is unphysical



APS b.c.

[Witten 2015, Yonekura 2016]

- Non-local
  - It prohibits the edge-localized mode
- The bulk/edge contributions cannot be easily separated
- Unphysical**

[Witten-Yonekura 2019]

APS b.c. was introduced as a "state" to evaluate the partition function and the unphysical properties are canceled between bra and ket states.

**How can we reformulate WITHOUT APS b.c.?**

# Domain-wall fermion is physical

	APS b.c.	DW fermion
Locality	×	○
Edge-localized mode	×	○
Separation of bulk and edge	×	○

APS index theorem can be physicist-friendlily reformulated with DW fermion

\*This APS index is quite different from the mod-two APS index!

c.f. [Fukaya, Onogi, Yamaguchi 2017]  
[Fukaya, Furuta, Matsuo, Onogi, Yamaguchi, Yamashita 2019 ]  
[Fukaya, Kawai, YM, Mori, Nakayama, Onogi, Yamaguchi 2019 ]

Plenary talk (30 Jul, 02:40(EDT)): APS index on a lattice by H. Fukaya

## Our work

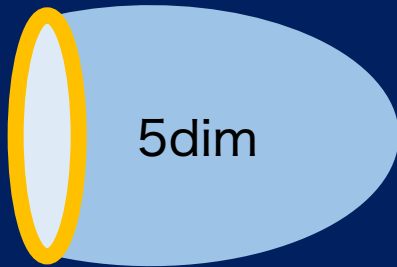
We reformulate the APS index w/o unphysical b.c. to understand bulk/edge anomaly inflow by using DW fermion

# Reformulation

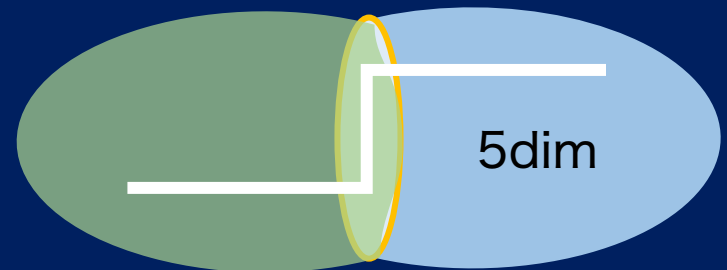
# Our main theorem

We formulate the SU(2) global anomaly inflow in terms of the domain-wall fermion

APS b.c.



=



$$\text{sgn } Z_{total} = (-1)^{Ind_{APS}(D^{5D})}$$

$$\text{sgn } Z_{DW} = \text{sgn Det} \left( \frac{D^{5D} + M\epsilon(s)}{D^{5D} - M} \right)$$

Pauli-Villars det.

$$(-1)^{Ind_{APS}(D^{5D})} = \text{sgn Det} \left( \frac{D^{5D} + M\epsilon(s)}{D^{5D} - M} \right)$$

mod 2 APS index

# Two steps of the proof

$$(-1)^{Ind_{APS}(D^{5D})} = \text{sgn Det} \left( \frac{D^{5D} + M\epsilon(s)}{D^{5D} - M} \right)$$

mod 2 APS index  $Ind_{APS}(D^{5D})$

STEP1

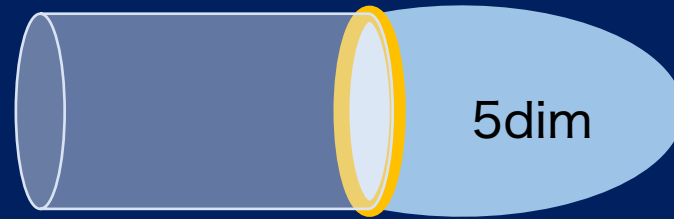
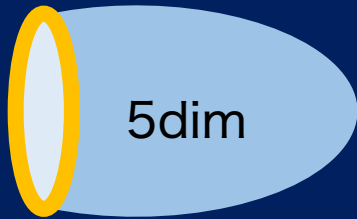
$$Ind_{AS}(D^{6D}) = Ind_{APS}(D^{5D})$$

STEP2

$$(-1)^{Ind(D^{6D})} = \text{sgn Det} \left( \frac{D^{5D} + \epsilon(s)M}{D^{5D} - M} \right)$$

# Two known theorems we use

Thm. I



Semi-infinite cylinder

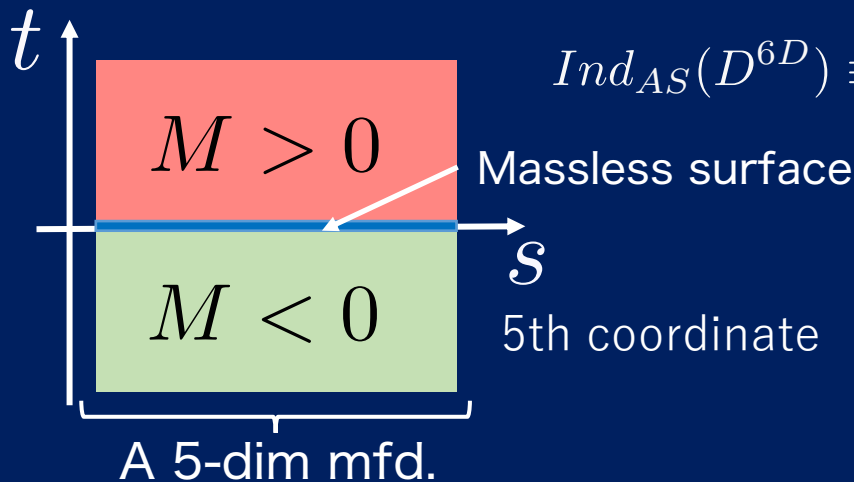
$$Ind_{APS}(D^{5D}) = Ind_{AS}(D^{5D})$$

\*On the cylinder, the gauge field is constant in the extra direction

\* Even if we bend the cylinder, index is unchanged

Thm. II

6th coordinate



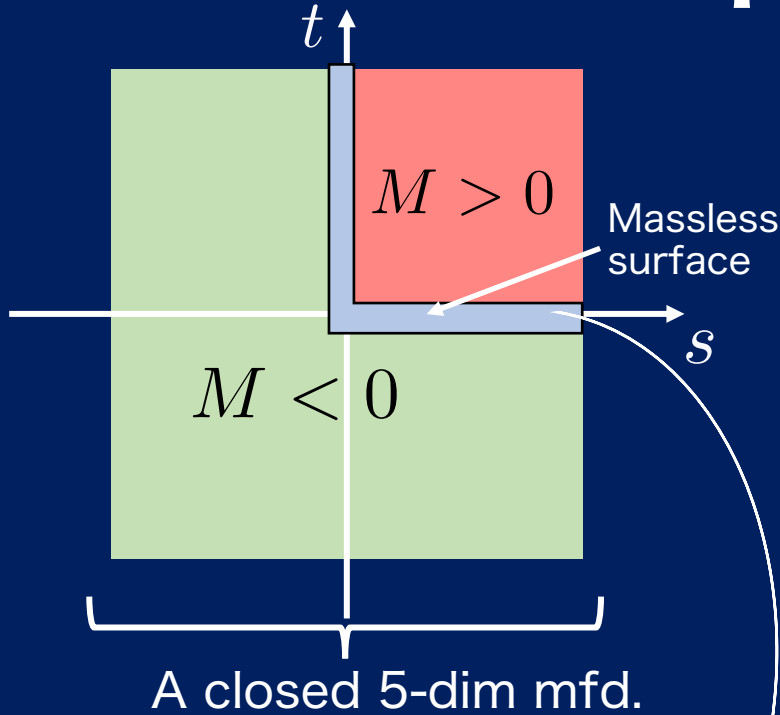
$$Ind_{AS}(D^{6D}) \equiv Ind \begin{pmatrix} \partial_t & D^{5D} + M\epsilon(t) \\ D^{5D} - M\epsilon(t) & -\partial_t \end{pmatrix}$$

$$= Ind(D^{5D}) \times Ind \begin{pmatrix} \partial_t & M\epsilon(t) \\ -M\epsilon(t) & -\partial_t \end{pmatrix}$$

$$\equiv Ind_{AS}(D^{5D}) \cdot Ind_{AS}(D^{1D})$$

# Mathematical proof

STEP1  $Ind(D^{6D}) = Ind_{APS}(D^{5D})$



$$D^{6D} = \begin{pmatrix} \partial_t & D^{5D} + M\kappa \\ D^{5D} - M\kappa & -\partial_t \end{pmatrix} \quad \kappa = \begin{cases} \epsilon(s) & (t > 0) \\ -1 & (t < 0) \end{cases}$$

$$= \begin{pmatrix} 0 & D^{5D} \\ D^{5D} & 0 \end{pmatrix} + \underbrace{\begin{pmatrix} \partial_t & M\kappa \\ -M\kappa & -\partial_t \end{pmatrix}}_{D^{1D}}$$

Thm. II

$$Ind_{AS}(D^{6D}) = Ind_{AS}(D^{5D}) \cdot \underbrace{Ind_{AS}(D^{1D})}_{= 1}$$

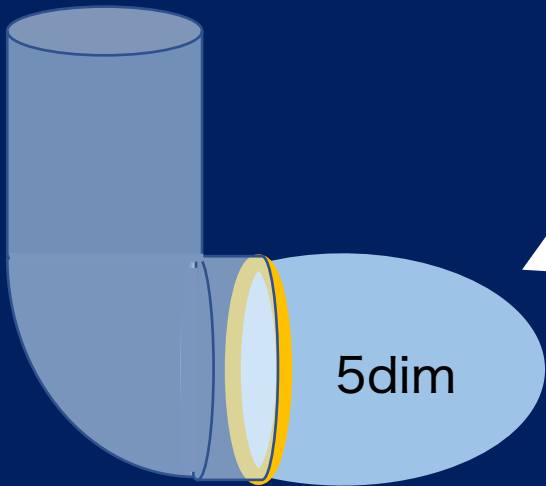
$D^{1D}$  has a single chiral zero-mode

Thm. I

$$Ind_{AS}(D^{5D}) = Ind_{APS}(D^{5D})$$



$$Ind_{AS}(D^{6D}) = Ind_{APS}(D^{5D})$$



# Mathematical proof STEP2 $(-1)^{Ind(D^{6D})} = \text{sgn Det} \left( \frac{D^{5D} + \epsilon(s)M}{D^{5D} - M} \right)$

$$D^{6D} = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \left[ \begin{pmatrix} \partial_t & \\ & \partial_t \end{pmatrix} + \underbrace{\begin{pmatrix} & D^{5D} + M\kappa \\ -D^{5D} + M\kappa & \end{pmatrix}}_{D_t \text{ Hermite}} \right]$$

$D_t$  Hermite

$Spec(D_t)$



[Carey et al 2016]

$$Ind_{AS}(D^{6D}) = \text{Spec. flow of } D_t$$

$$= \frac{1 - \text{sgn Det} A}{2}$$

$$\text{s.t. } D_1 = A^\dagger D_{-1} A$$

$$(\Leftrightarrow (-1)^{Ind(D^{6D})} = \text{sgn Det} A)$$

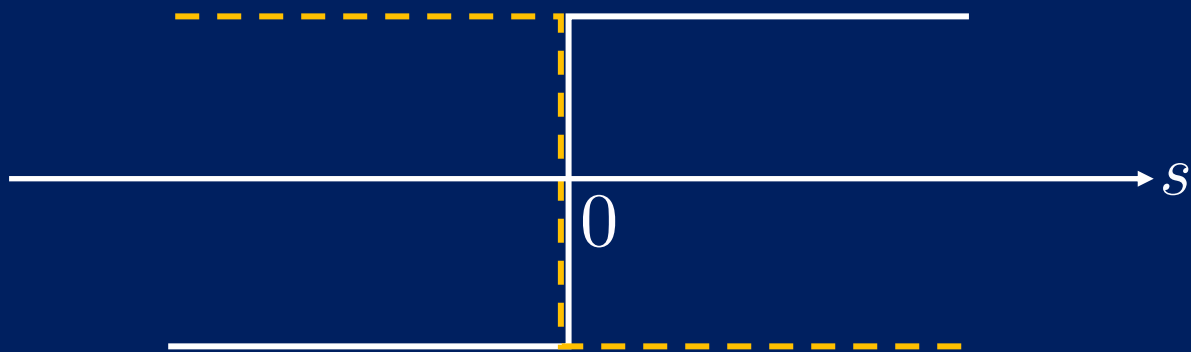
Evaluating det A,  $\text{Det} A = \text{Det} \left( \frac{D^{5D} + \epsilon M}{D^{5D} - M} \right)$

$$(-1)^{Ind(D^{6D})} = \text{sgn Det} \left( \frac{D^{5D} + \epsilon(s)M}{D^{5D} - M} \right)$$



Separation of bulk/edge

# Setup



$\psi$   $SU(2)$  doublet

$\phi$   $SU(2)$  singlet

$D^{5D}$  real anti-symmetric operator  $O(5) \times SU(2)$

$$\frac{\text{Det} \begin{pmatrix} D^{5D} + M\epsilon(s) & 0 \\ 0 & \not{\partial} - M\epsilon(s) \end{pmatrix}}{\text{Det} \begin{pmatrix} D^{5D} - M & 0 \\ 0 & \not{\partial} - M \end{pmatrix}} \propto (-1)^{\text{Ind}_{APS}}$$

**Gauge-invariant**

# Separation of Bulk and Edge

$$\frac{\text{Det} \begin{pmatrix} D^{5D} + M\epsilon(s) & 0 \\ 0 & \not\partial - M\epsilon(s) \end{pmatrix}}{\text{Det} \begin{pmatrix} D^{5D} - M & 0 \\ 0 & \not\partial - M \end{pmatrix}}$$

Gauge breaking term

$$= \frac{\text{Det} \begin{pmatrix} D^{5D} + M\epsilon(s) & 0 \\ 0 & \not\partial - M\epsilon(s) \end{pmatrix}}{\text{Det} \begin{pmatrix} D^{5D} + M\epsilon(s) & \mu \\ \mu & \not\partial - M\epsilon(s) \end{pmatrix}} \cdot \frac{\text{Det} \begin{pmatrix} D^{5D} + M\epsilon(s) & \mu \\ \mu & \not\partial - M\epsilon(s) \end{pmatrix}}{\text{Det} \begin{pmatrix} D^{5D} - M & 0 \\ 0 & \not\partial - M \end{pmatrix}}$$

$$0 \ll \mu \ll M$$

Cut-off for edge-mode

$$\rightarrow \frac{\text{Det} \begin{pmatrix} D^{4D} P_L & 0 \\ 0 & \not\partial P_R \end{pmatrix}}{\text{Det} \begin{pmatrix} D^{4D} P_L & \mu \\ \mu & \not\partial P_R \end{pmatrix}} \cdot \frac{\text{Det} \begin{pmatrix} D^{5D} + M\epsilon(s) & \mu \\ \mu & \not\partial - M\epsilon(s) \end{pmatrix}}{\text{Det} \begin{pmatrix} D^{5D} - M & 0 \\ 0 & \not\partial - M \end{pmatrix}}$$

$$\propto (-1)^{I_{edge}} \cdot (-1)^{I_{bulk}} = (-1)^{Ind_{APS}}$$

# Summary and Outlook

We have reformulated the mod-two APS index in a physicist-friendly way, where **NO unphysical boundary condition** is imposed.

The bulk/edge anomaly inflow is manifest:

$$Ind_{APS}(D^{5D}) = I_{\text{edge}} + I_{\text{bulk}}$$

$$I_{\text{edge}} = \frac{1}{2} \left( 1 - \text{sgn} \frac{\text{Det} \begin{pmatrix} D^{4D} P_L & \not{\partial} P_R \\ \mu & \not{\partial} P_R \end{pmatrix}}{\text{Det} \begin{pmatrix} D^{4D} P_L & \mu \\ \mu & \not{\partial} P_R \end{pmatrix}} \right) \quad I_{\text{bulk}} = \frac{1}{2} \left( 1 - \text{sgn} \frac{\text{Det} \begin{pmatrix} D^{5D} + M\epsilon(s) & \mu \\ \mu & \not{\partial} - M\epsilon(s) \end{pmatrix}}{\text{Det} \begin{pmatrix} D^{5D} - M & \mu \\ \mu & \not{\partial} - M \end{pmatrix}} \right)$$

- **Application to the lattice gauge theory**

Wilson-Dirac operator

$$D_{DW}^{\text{lat}} = D_W + m\epsilon(s) \quad \text{is also real}$$

$$D_W = \gamma_\mu \frac{\nabla_\mu + \nabla_\mu^*}{2} - \frac{\nabla_\mu \nabla_\mu^*}{2}$$