

Semiclassical gravitational collapse of a massless, scalar quantum field

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- How does a black hole evaporate (semiclassically)?

? Is the time evolution unitary?

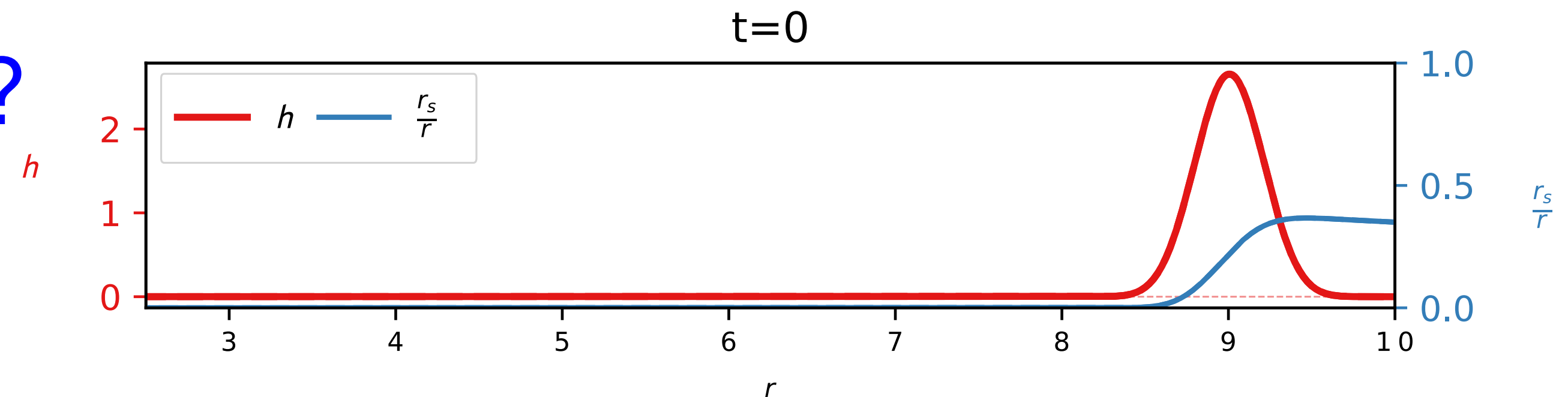
? Does a horizon form?

? Where does Hawking radiation originate from exactly?

- Ideal numerical treatment:

➡ Real time quantum field theory

➡ Self consistency: Field is the only source of curvature



- Real time QFT:
 - ☞ Explicit time evolution in Fock basis
 - ☞ Usual problem: Interesting systems require Hamiltonian truncation
- Massless scalar field, coupled semiclassically to gravity:
 - ✓ Scalar field part of time evolution trivial: No Hamiltonian truncation
 - ✓ Gravitational part of time evolution traceable for coherent states
- Radial symmetry to simplify problem further

- **Metric:** $d\tau^2 = \alpha^2(t, r) dt^2 - a^2(t, r) dr^2 - r^2(d\theta^2 + \sin^2\theta d\varphi^2) d\Omega^2$

Christodou '86, Choptuik '92

- **Scalar field:** $\bar{\phi}(t, r, \theta, \varphi) = \sum_{l=0}^{\infty} \sum_{m=-l}^l \bar{\phi}_{lm}(t, r) Y_{lm}(\theta, \varphi)$

$$\frac{a'}{a} + \frac{\alpha'}{\alpha} = \sum_{l=0}^{\infty} \sum_{m=-l}^l \delta_{\epsilon lm} \left(r \frac{a^2}{\alpha^2} \dot{\bar{\phi}}_{lm}^\dagger \dot{\bar{\phi}}_{lm} + r \bar{\phi}_{lm}^{\dagger'} \bar{\phi}_{lm}' \right)$$

- **Field equations:** $\frac{a'}{a} - \frac{\alpha'}{\alpha} - \frac{1-a^2}{r} = \sum_{l=0}^{\infty} \sum_{m=-l}^l \delta_{\epsilon lm} \frac{a^2}{r} l(l+1) \bar{\phi}_{lm}^\dagger \bar{\phi}_{lm}$

$$\frac{2\dot{a}}{ar} = \sum_{l=0}^{\infty} \sum_{m=-l}^l \delta_{\epsilon lm} (\dot{\bar{\phi}}_{lm}^\dagger \bar{\phi}_{lm}' + \bar{\phi}_{lm}^{\dagger'} \dot{\bar{\phi}}_{lm})$$

$\delta_{\epsilon lm}$... optional regularization factor (e.g. angular point splitting)

- Redefine scalar field: $\phi_{lm} = \bar{\phi}_{lm} r \sqrt{\frac{a_0}{2\alpha_0}}$
- Scalar field Hamiltonian (density):

$$q_{0f} = \begin{pmatrix} -1 & 1/2 & 0 & 0 & 0 & 0 & 0 \\ 0 & -1 & 2/3 & 0 & 0 & 0 & 0 \\ 0 & 0 & -1 & 3/4 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1 & 4/5 & 0 & 0 \\ 0 & 0 & 0 & 0 & -1 & 5/6 & 0 \\ 0 & 0 & 0 & 0 & 0 & -1 & 6/7 \\ 0 & 0 & 0 & 0 & 0 & 0 & -1 \end{pmatrix}$$

$$\mathcal{H}_{lm} = \Pi_{lm} A \Pi_{lm}^\dagger + \phi_{lm}^\dagger A^{-\frac{1}{2}} K_l A^{-\frac{1}{2}} \phi_{lm}$$

$$K_l = q^\dagger q + \frac{\alpha^2}{r^2} l(l+1)$$

$$q = r \sqrt{\frac{\alpha}{a}} \partial_r \frac{1}{r} \sqrt{\frac{\alpha}{a}}$$

$$A = \frac{a_0 \alpha}{\alpha_0 a}$$

- Diagonalize Hamiltonian for initial time: $K_l(t_0) = V_l \omega_l^2 V_l$

- Parameterize time evolution: $\phi_{lm} = \frac{u_l^\dagger(t) b_{lm+}^\dagger + u_l^T(t) b_{lm-}}{\sqrt{2}}$ $\Pi_{lm} = \frac{b_{lm+} v_l(t) - b_{lm-}^\dagger v_l^*(t)}{\sqrt{2}}$
- Time evolution $v_l(t)$ and $u_l(t)$ in coefficients:

$$u_l(t_0) = \frac{1}{\sqrt{\omega_l}} V_l^T \quad v_l(t_0) = \sqrt{\omega_l} V_l^T$$

$$\dot{u}_l = -i v_l A \quad \dot{v}_l = -i u_l \frac{1}{\sqrt{A}} K_l \frac{1}{\sqrt{A}}$$

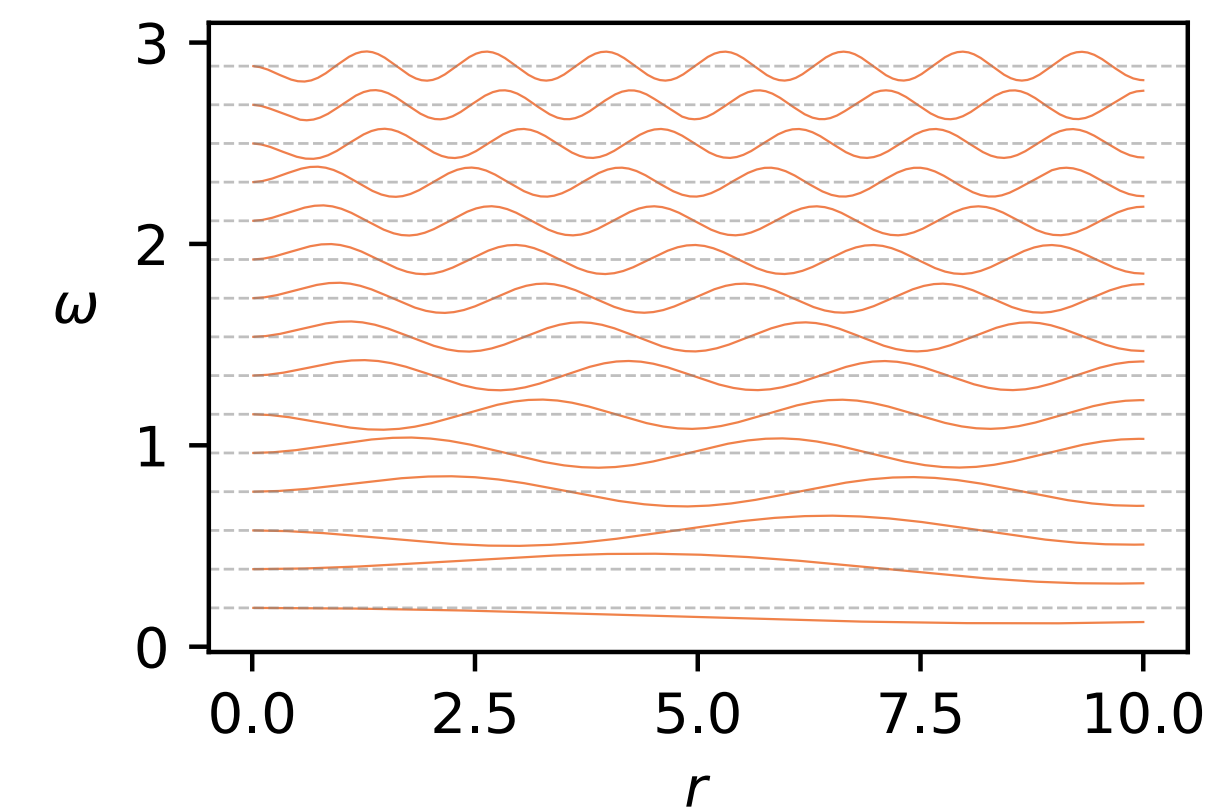
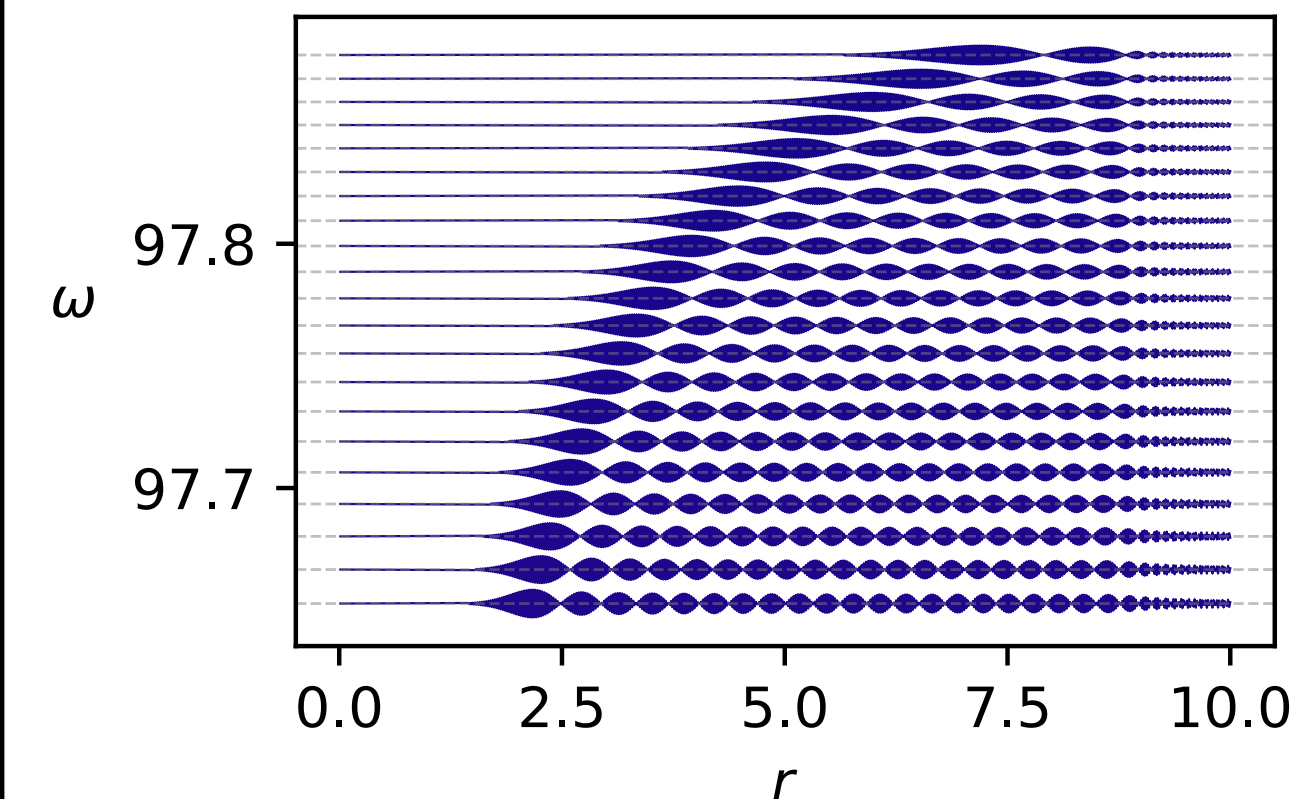
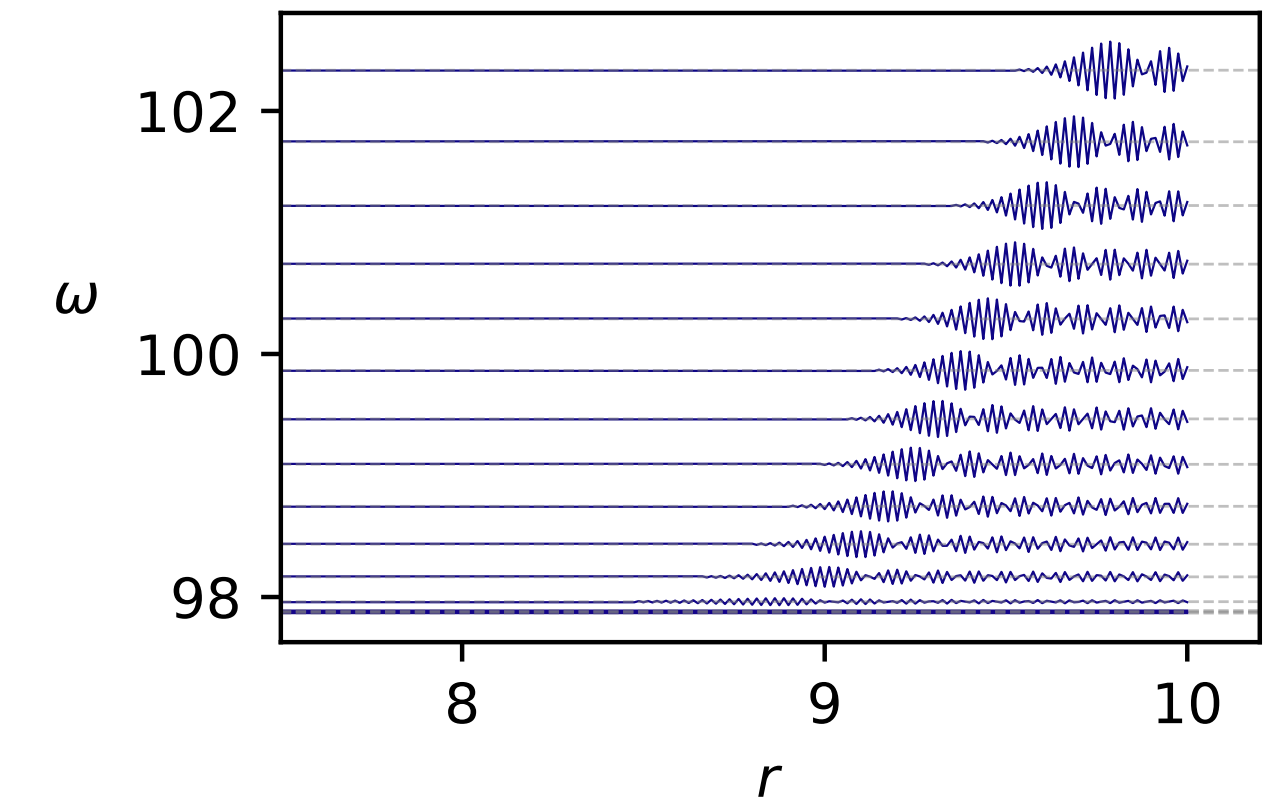
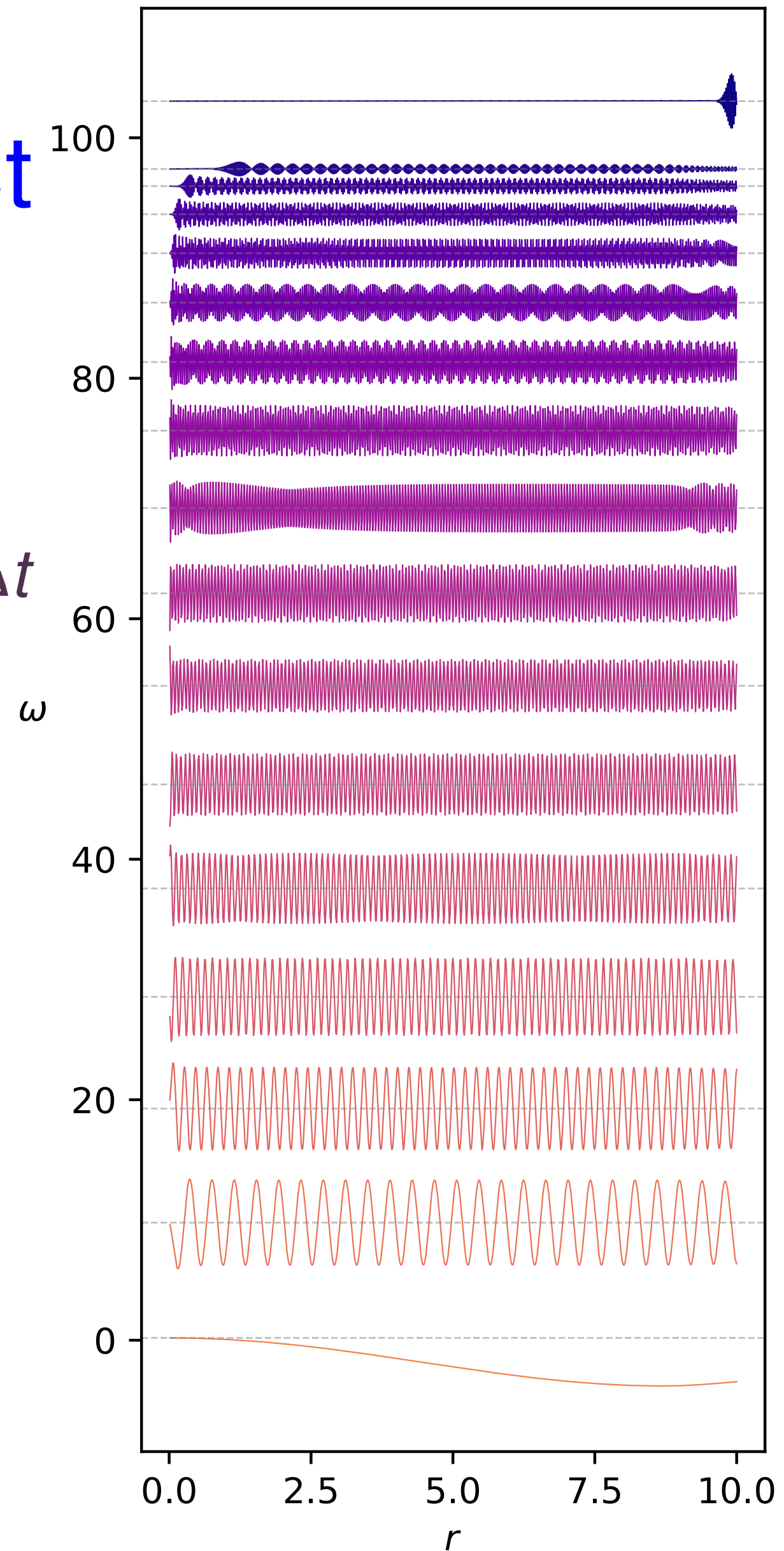
- Bogolyubov identities: $\text{Re}(v_l^\dagger u_l) = \text{Re}(u_l^\dagger v_l) = 1$ $\text{Im}(u_l^\dagger u_l) = \text{Im}(v_l^\dagger v_l) = 0$

- Finite time evolution as exact Bogolyubov transformation:

$$\begin{pmatrix} u_{t+\Delta t} & v_{t+\Delta t} \end{pmatrix} = \begin{pmatrix} u_t & v_t \end{pmatrix} e^{-i \begin{pmatrix} 0 & \frac{1}{\sqrt{A}} K_I \frac{1}{\sqrt{A}} \\ A & 0 \end{pmatrix} \Delta t}$$

- Need explicit diagonalization:

$$K_I = \bar{V}_I \bar{\omega}_I^2 \bar{V}_I$$



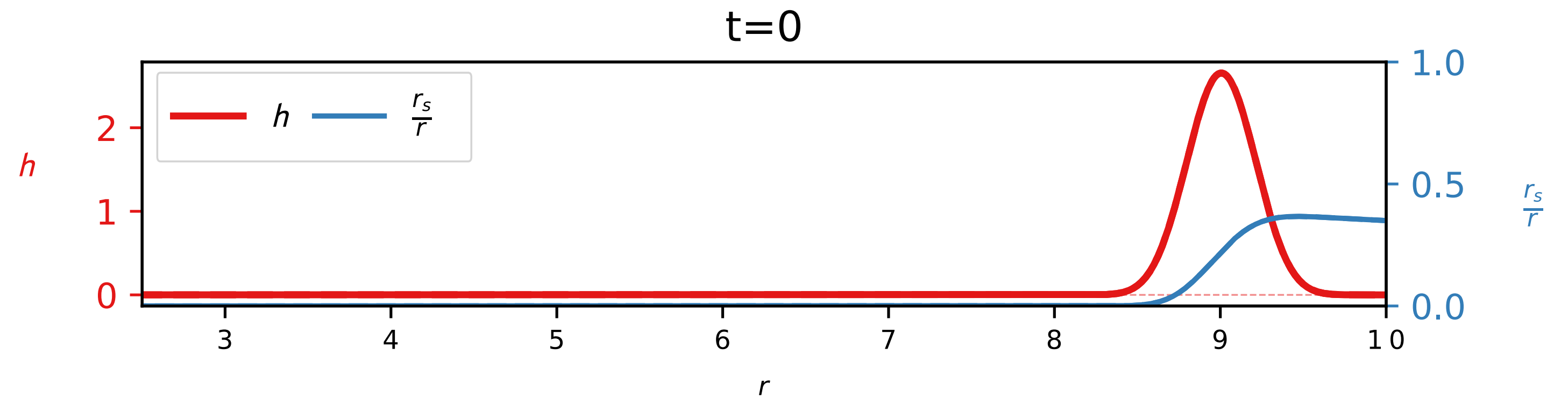
- Vacuum expectation values (e.g. Hamiltonian density):

$$\langle 0 | \mathcal{H}_{lm} | 0 \rangle_r = \frac{N_f}{2} \sqrt{A} \left(q_0 u_l^\dagger u_l q_0^T + u_l^\dagger u_l \frac{l(l+1) \alpha^2}{r^2 A^2} + v_l^\dagger v_l \right)_{rr} \sqrt{A}$$

- Initially coherent state (only $l=0$):

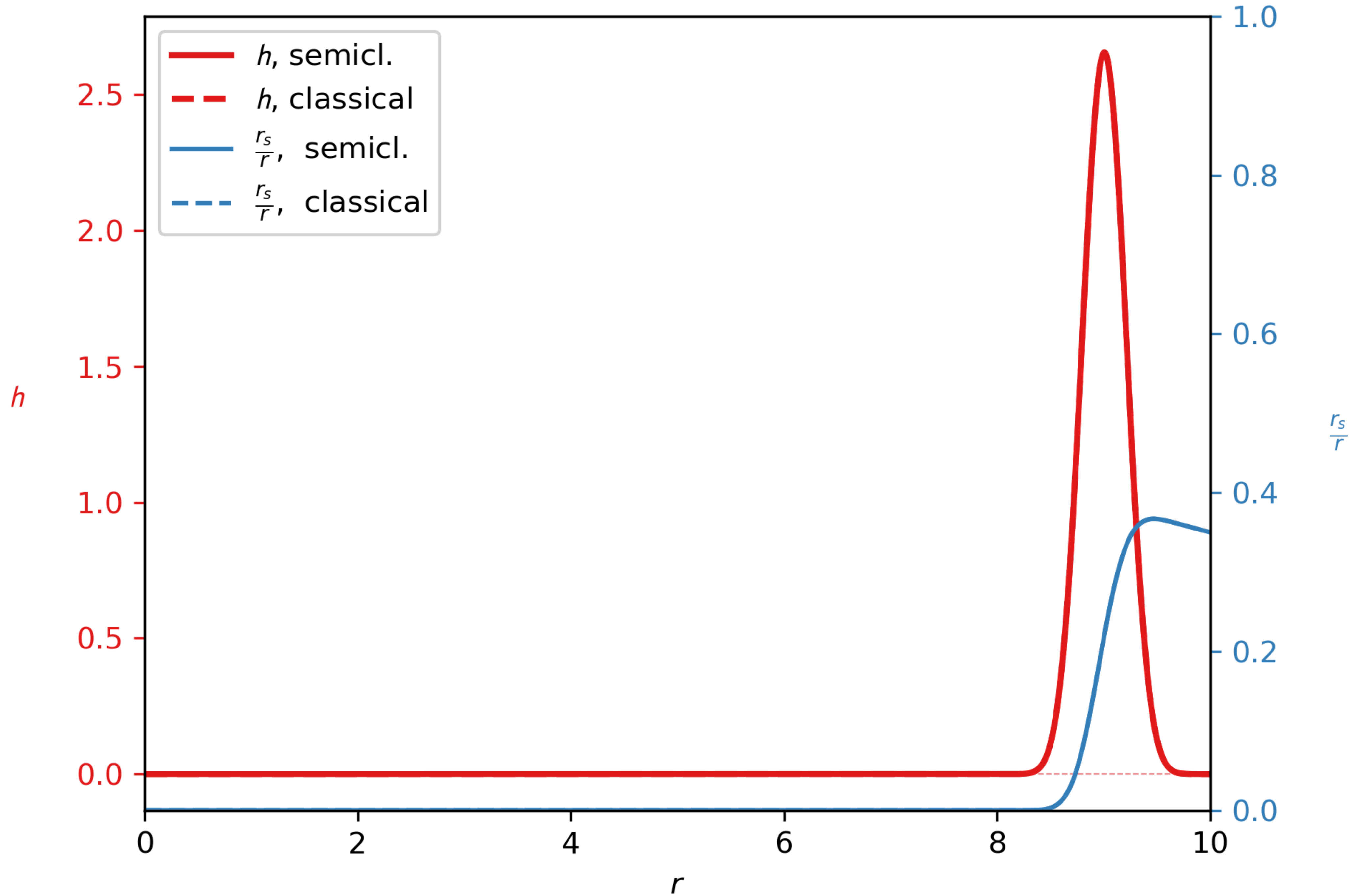
$$h_r = \langle \lambda | \mathcal{H} | \lambda \rangle_r = \langle 0 | \mathcal{H} | 0 \rangle_r + h_r^{\text{class}}$$

- Amplify vacuum effects: only excite one component ϕ_i out of N_f

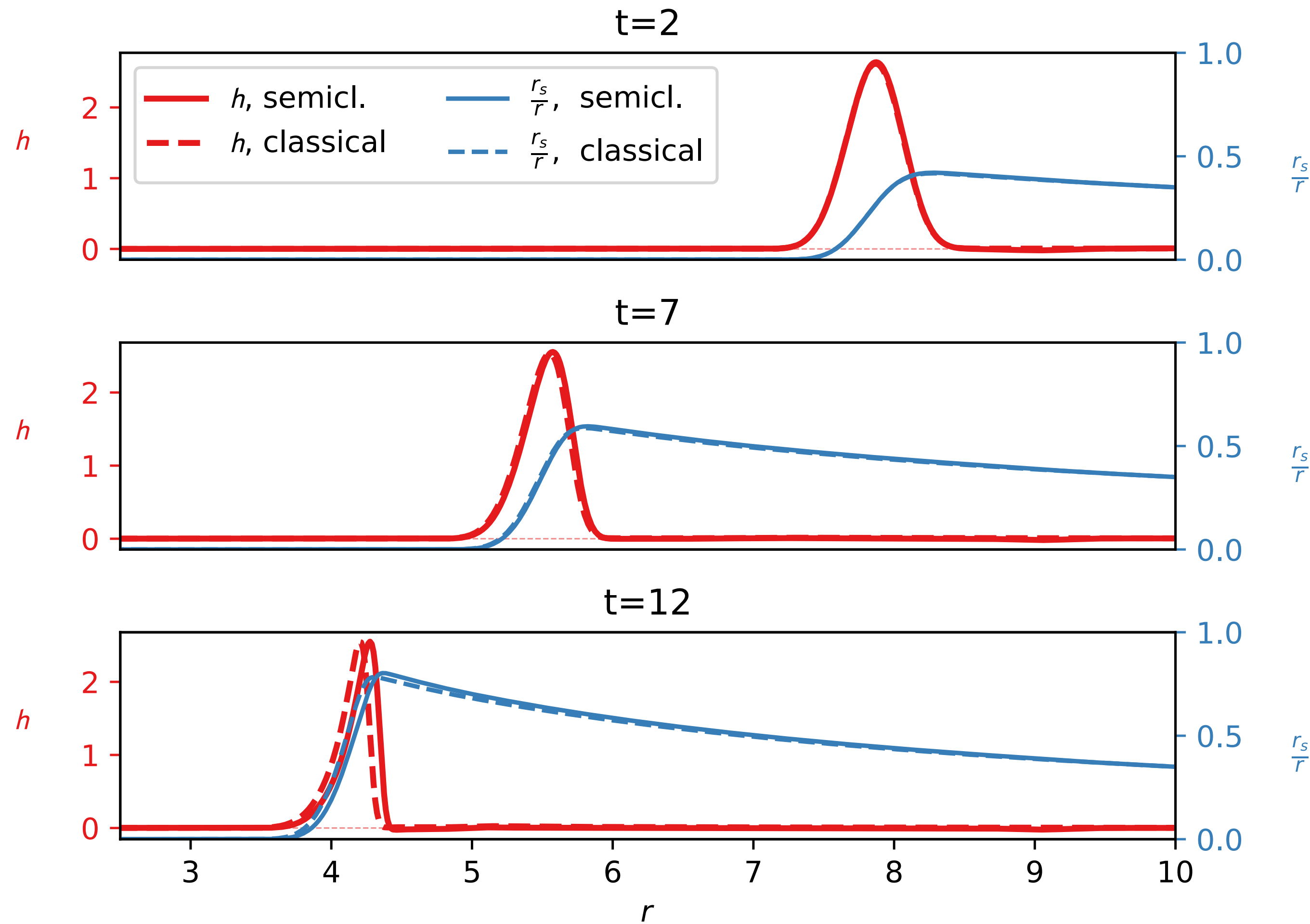


- Truncate to $l = 0$:
 - ☞ Initial coherent state ϕ^{class} and momentum Π^{class} (Nuttall window)
 - ☞ Normal order at initial time
 - ☞ Integrate metric radially from h_r alone
- Leapfrog radial metric integration with scalar field evolution (symplectic)

t=0.040



FIRST ATTEMPT



$$N_r = 800$$

$$\Delta t = 0.004$$

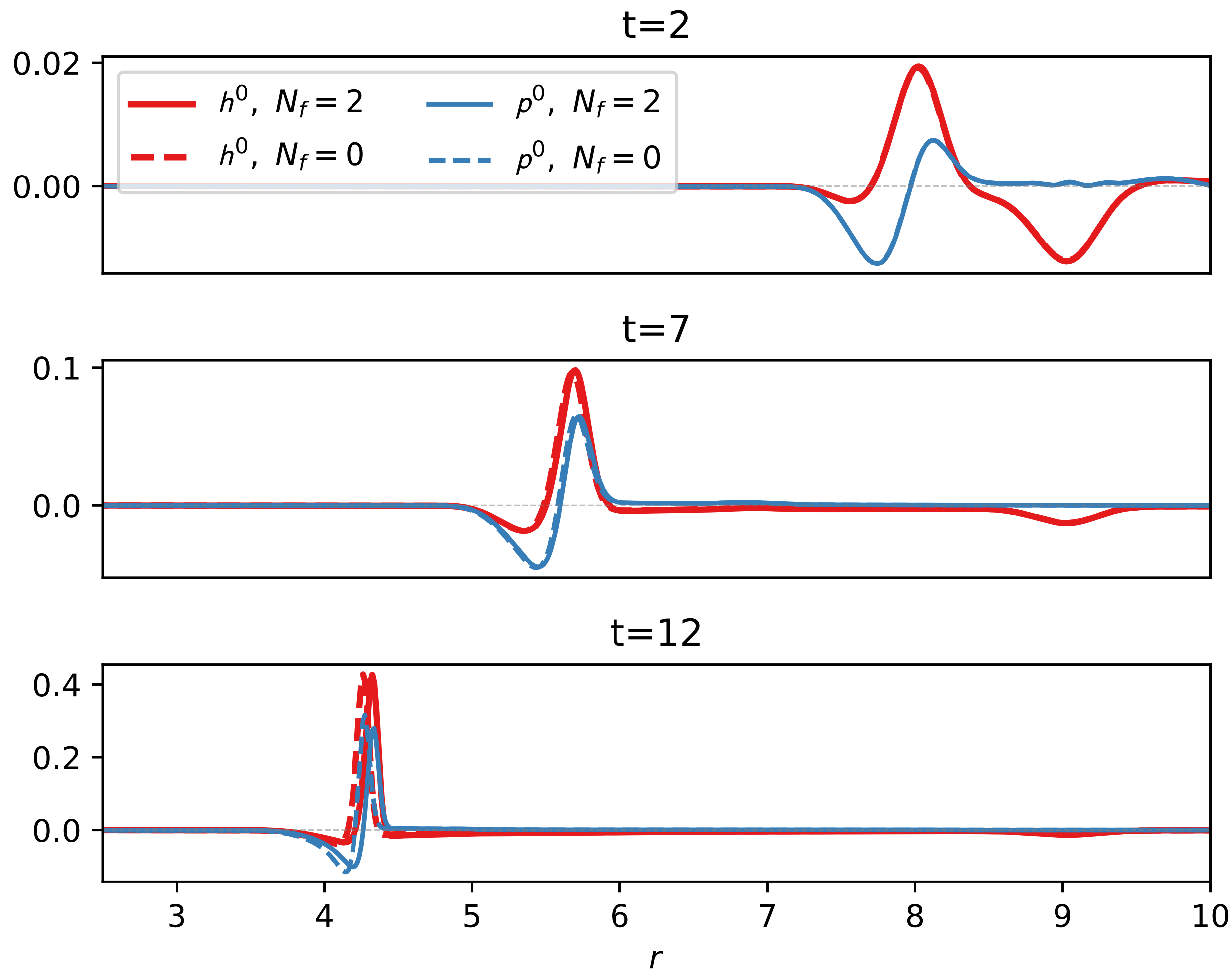
$$N_f = 2$$

$$r_s = 3.5 \text{ (total energy content)}$$

$$r_{\max} = 10$$

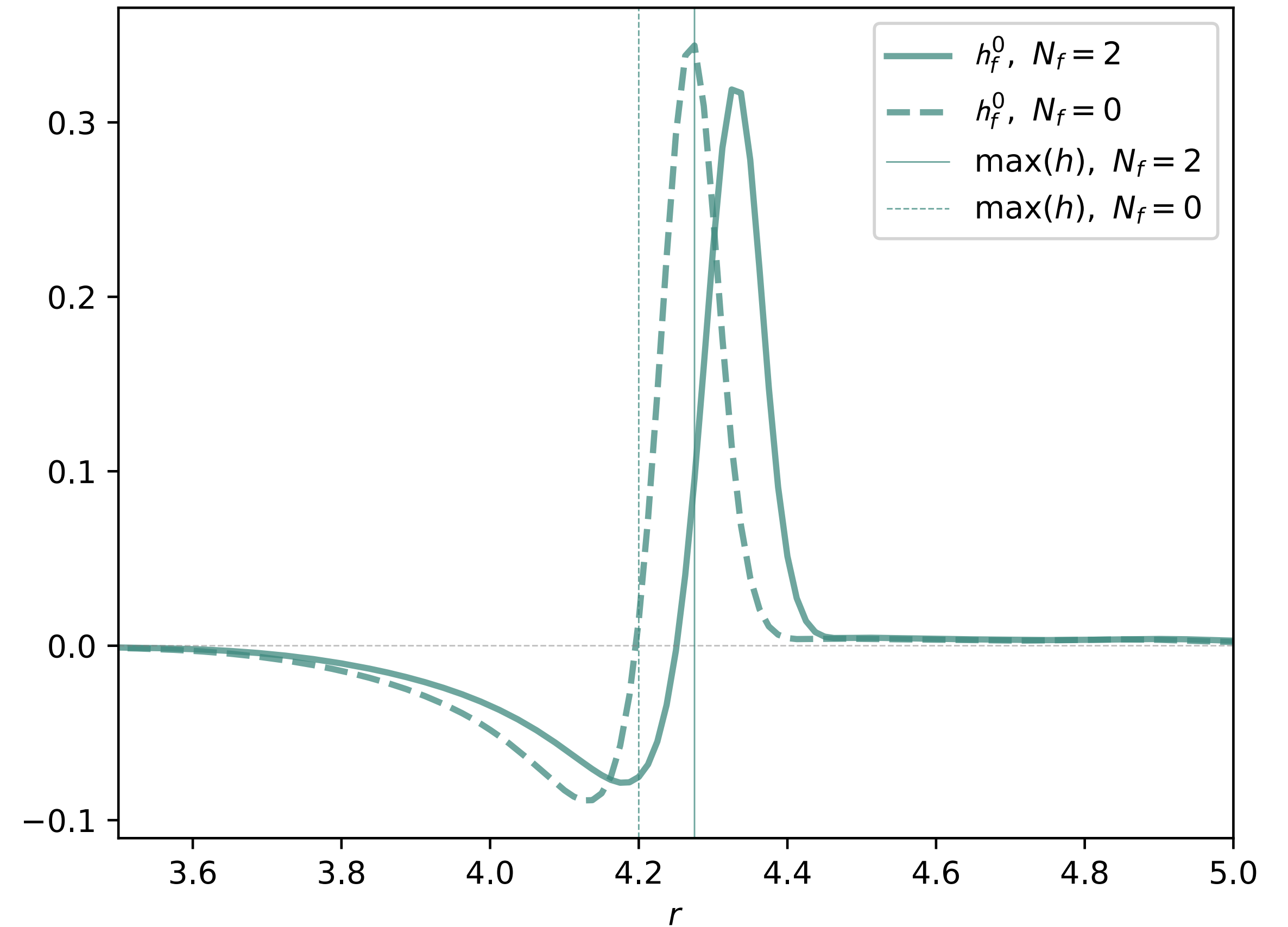
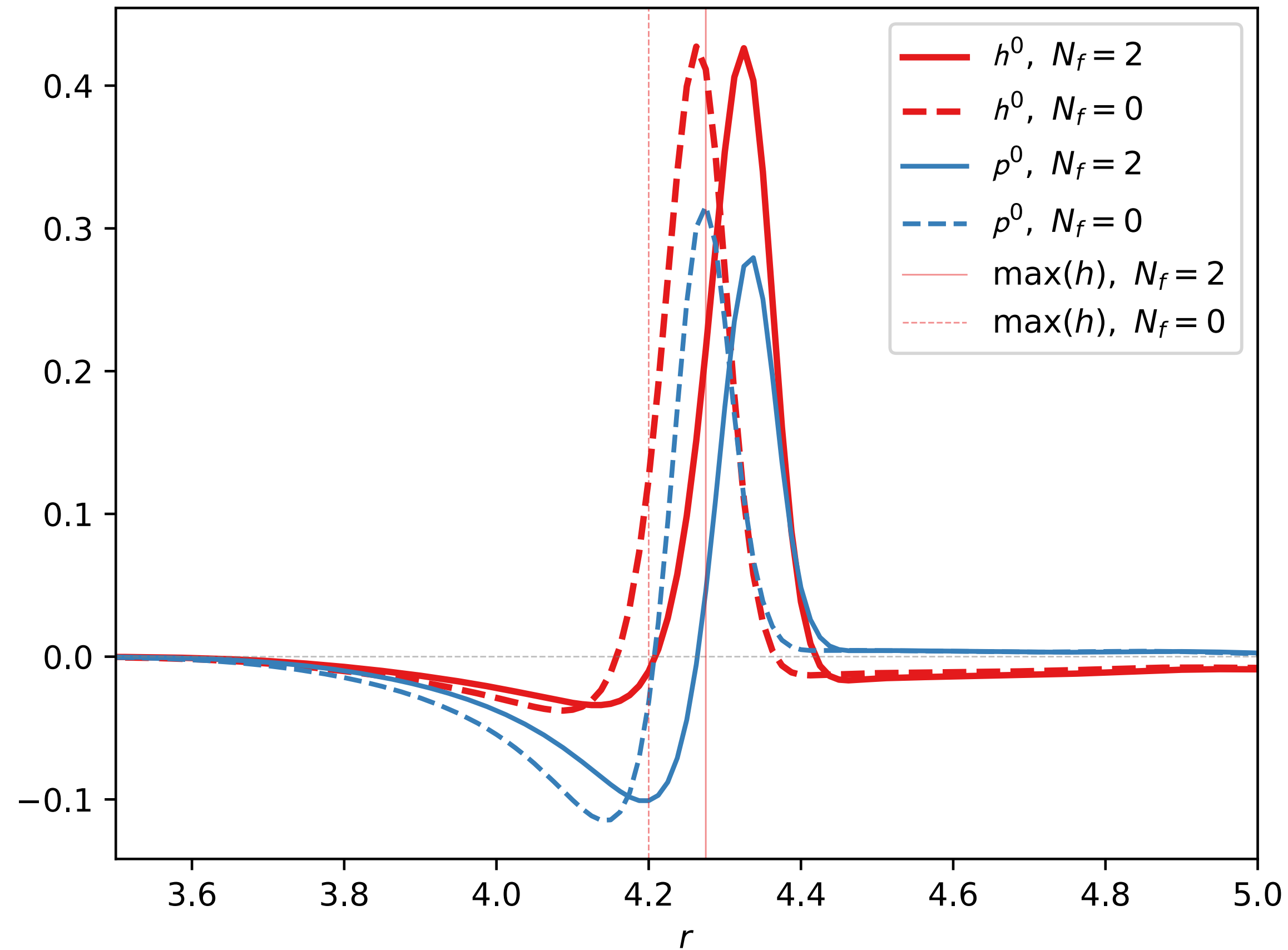
initial bump around $r = 9$

VACUUM CONTRIBUTION



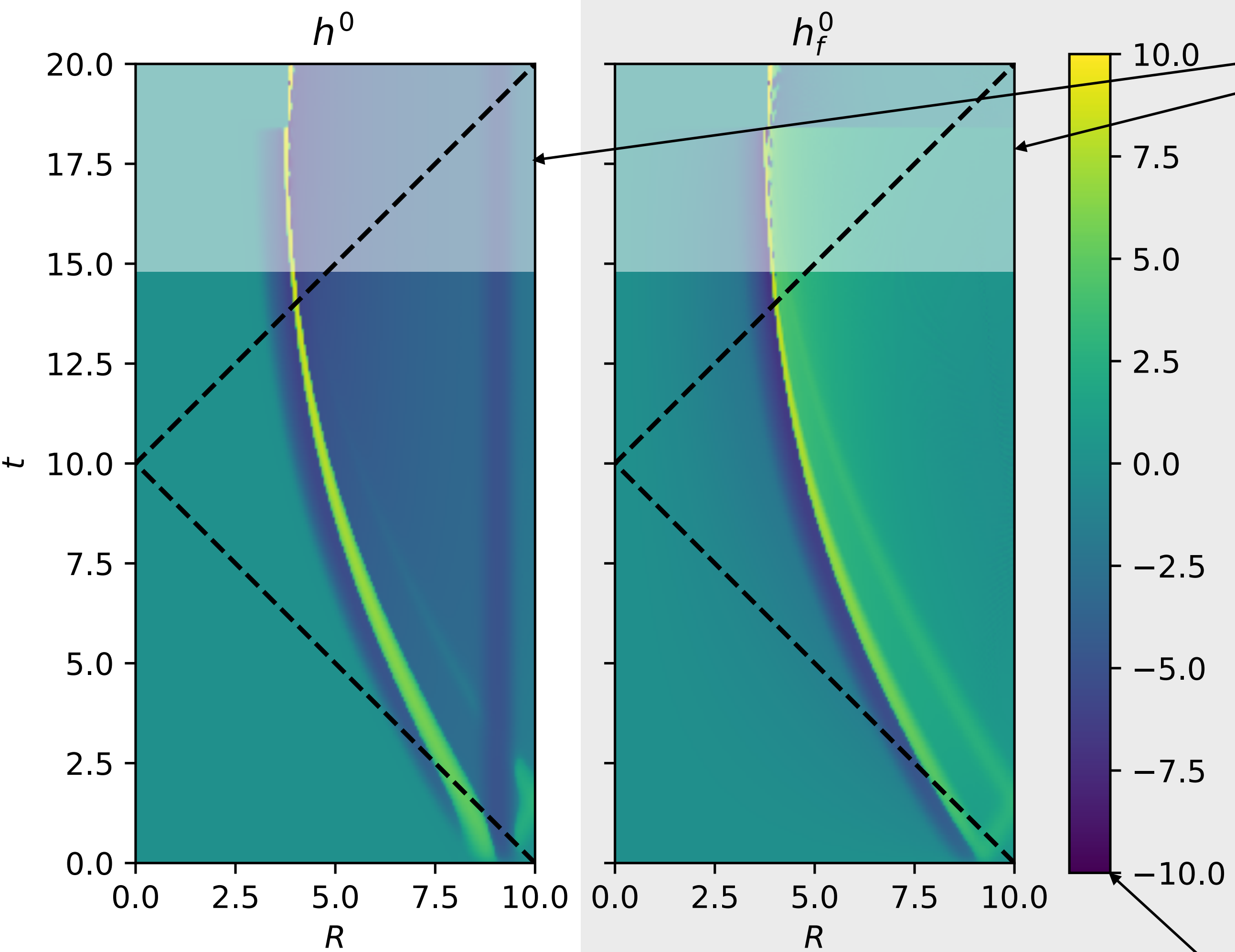
$l=0$ vacuum contribution:
Energy flow (p^0) towards peak
From inside and outside
Horizon formation accelerated
Small backreaction effect

VACUUM CONTRIBUTION ($t = 12$)



Relative to current state vacuum: Only outward transfer

VACUUM CONTRIBUTION



numerically unsafe region

Both energy densities are

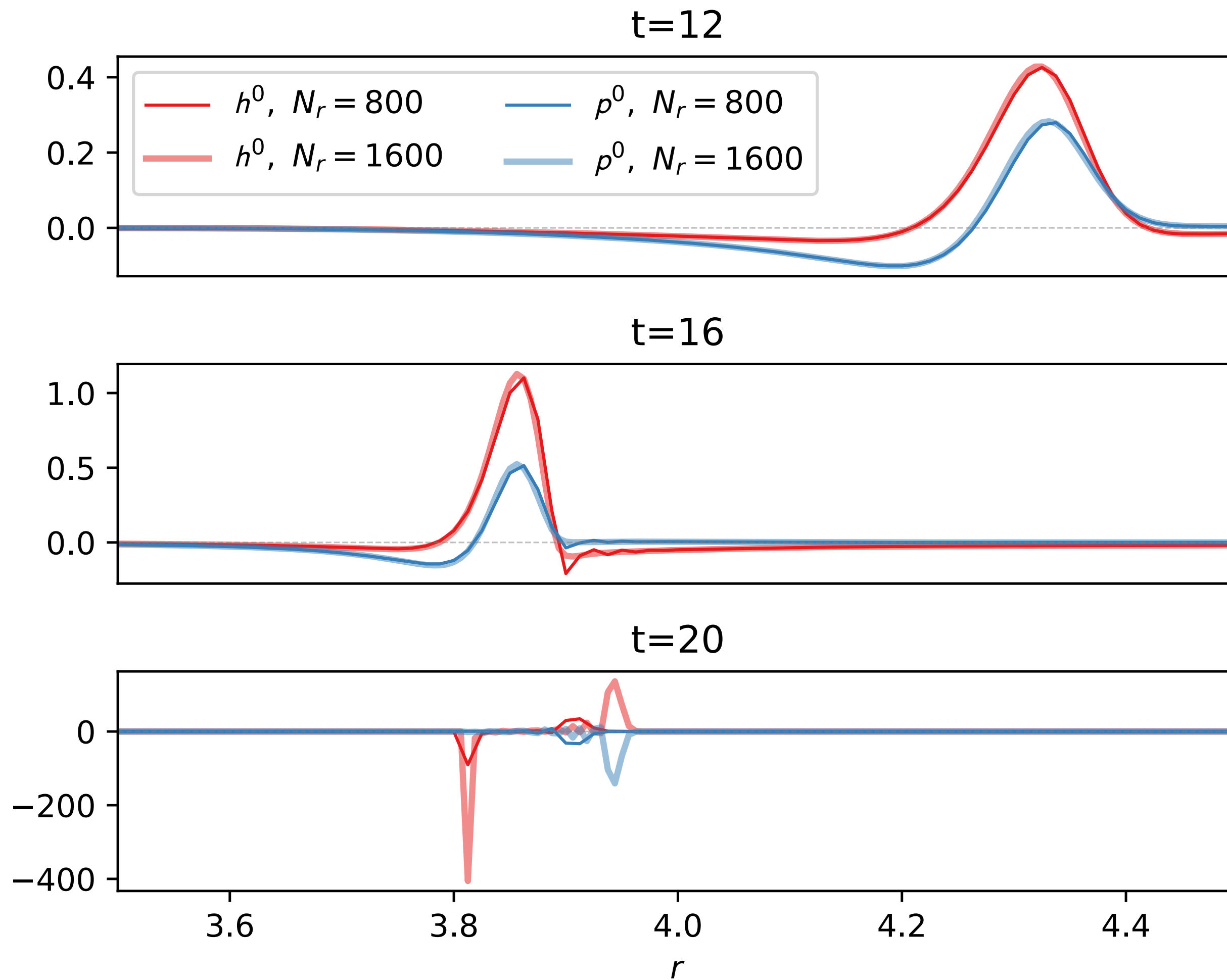
- ✓ nontrivial
- ✓ distinct
- ✓ numerically stable

despite several order of magnitude of vacuum subtraction

relative to initial state vacuum

relative to current state vacuum

log scale: $\text{sign}(x) \ln(1 + 10^4|x|)$



Cutoff effects:

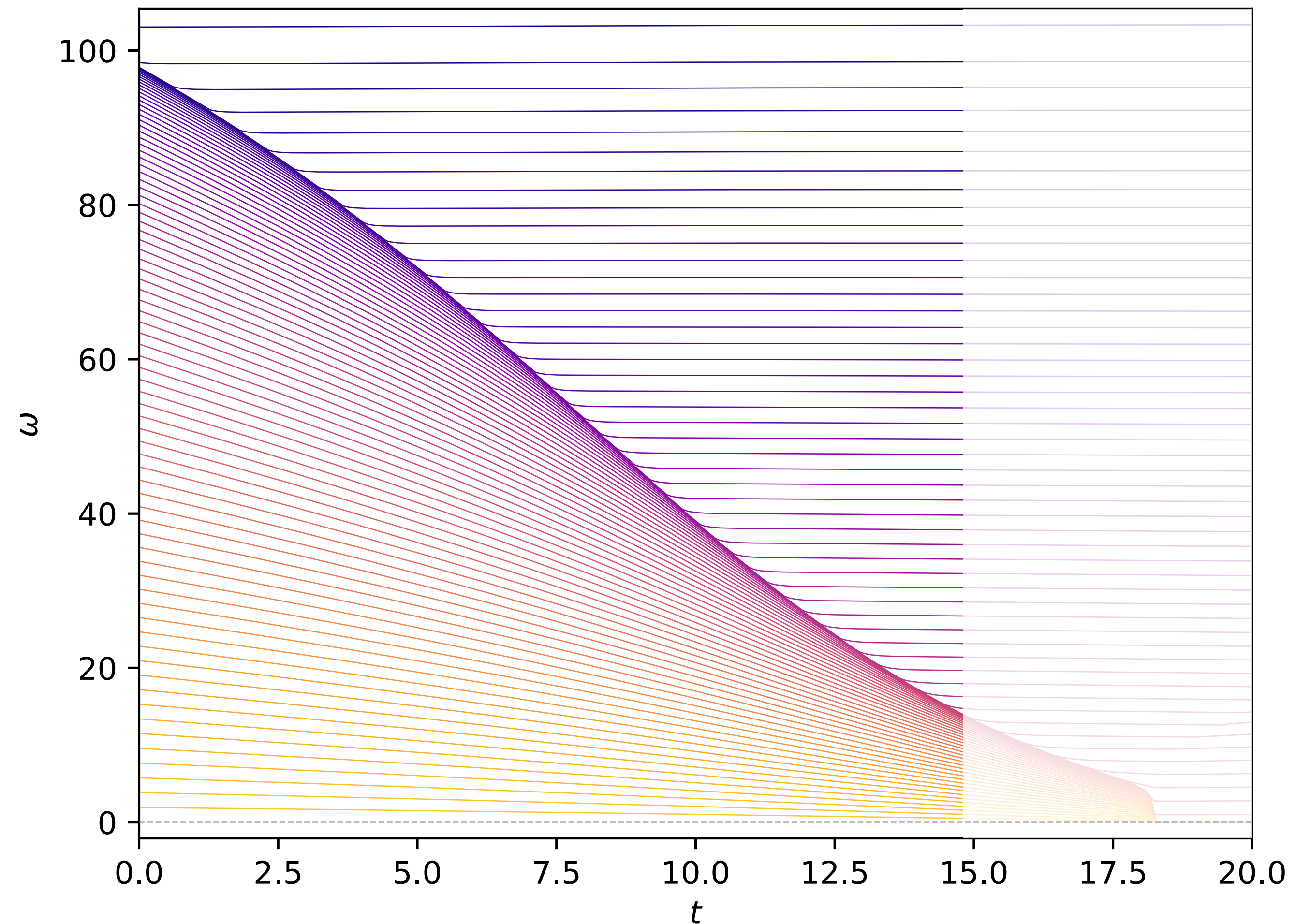
$N_r = 800, 1600$

Also checked (not shown):

- finite V
- absorbing/reflecting BC
- bump shapes/positions
- varying Δt
- initial vacuum subtractions
- various radial integrations
- radial discretizations

Eigenvalues ω of $q^T q$:

- $\omega \rightarrow 0$: horizon formation
- Actual formation:
outside validity range



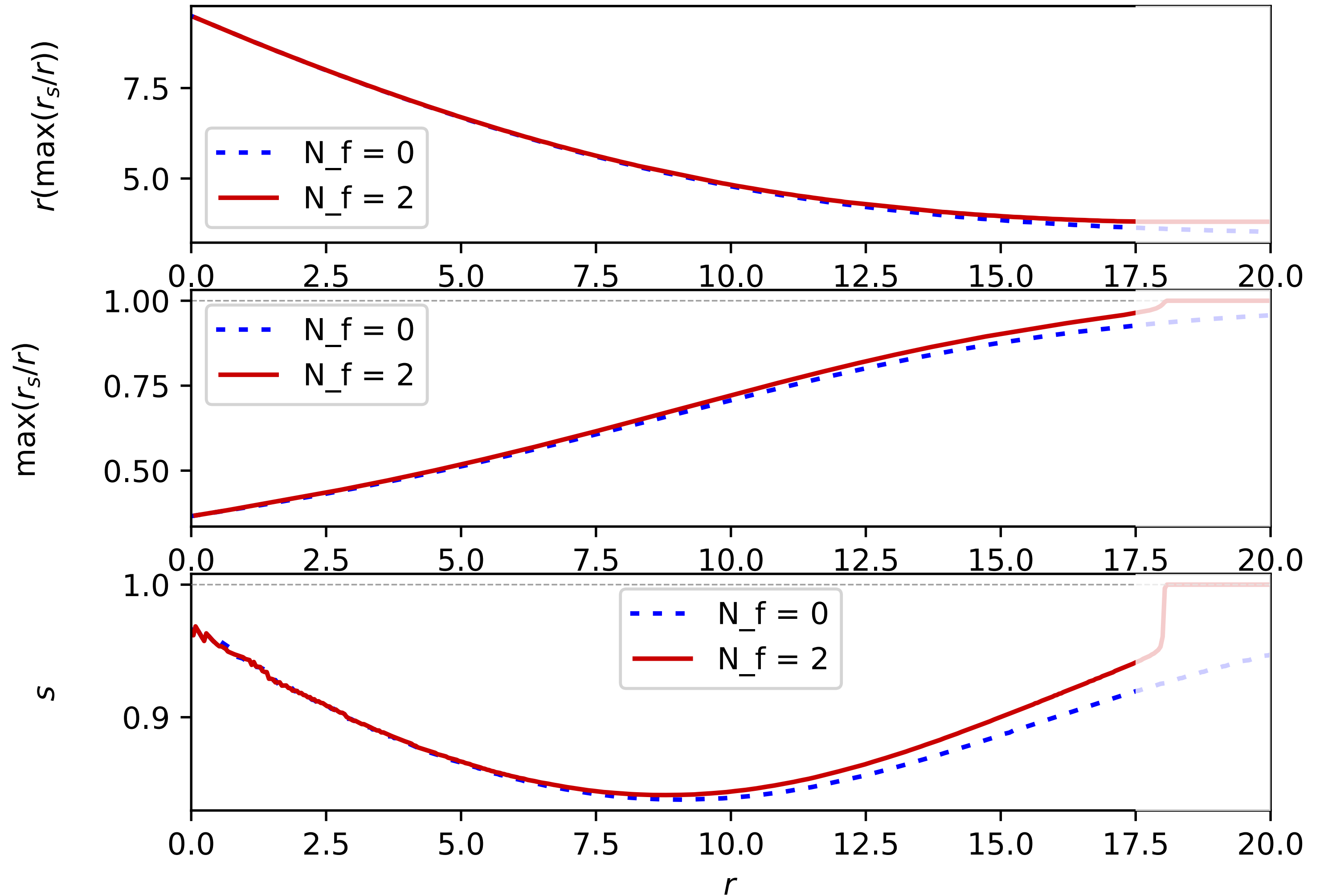
$l=0$ SUMMARY

$l=0$ vacuum effects:

Horizon forms at larger r

Horizon forms more quickly

Modes separate faster

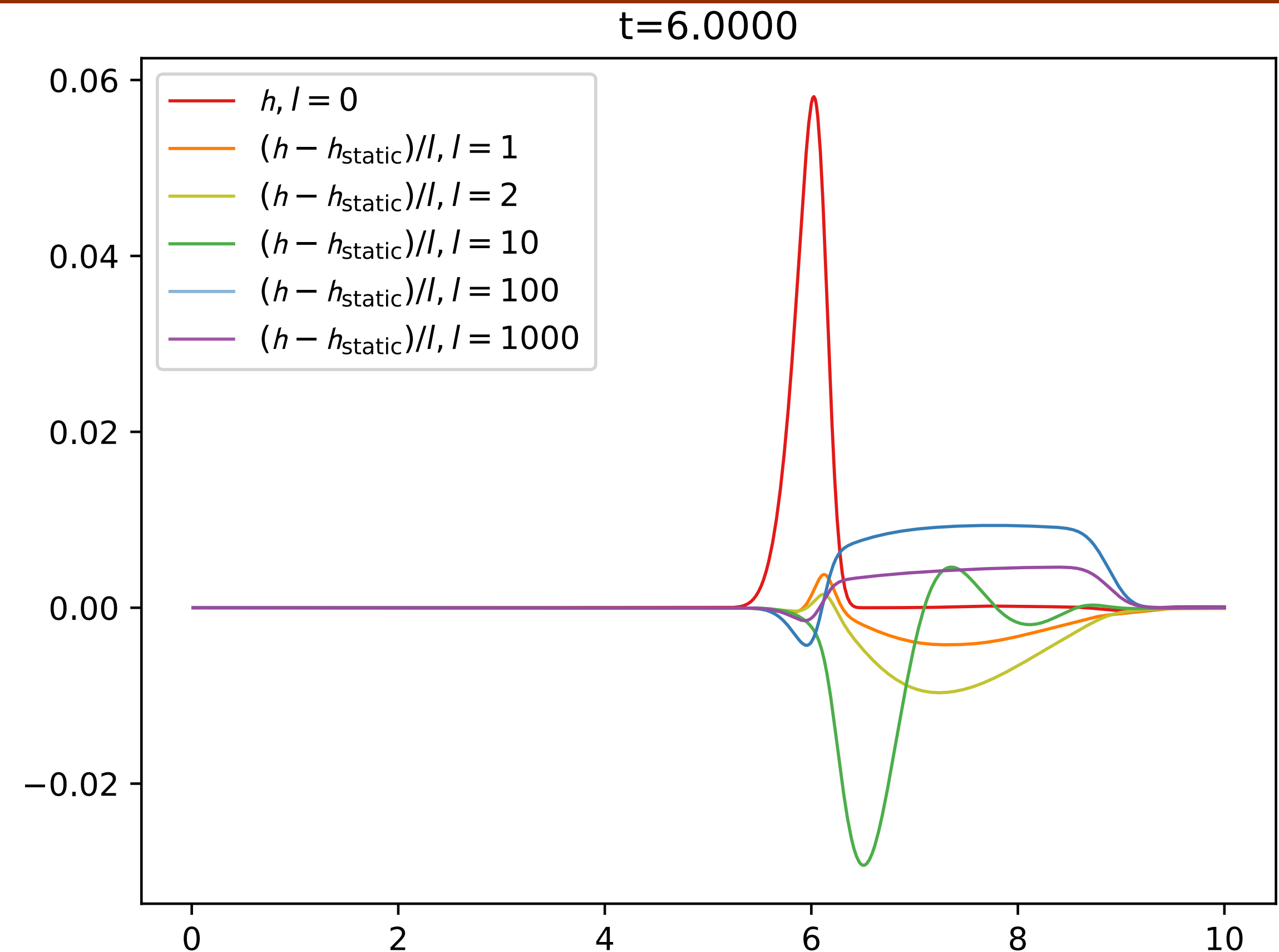


Investigate $l > 0$ modes:

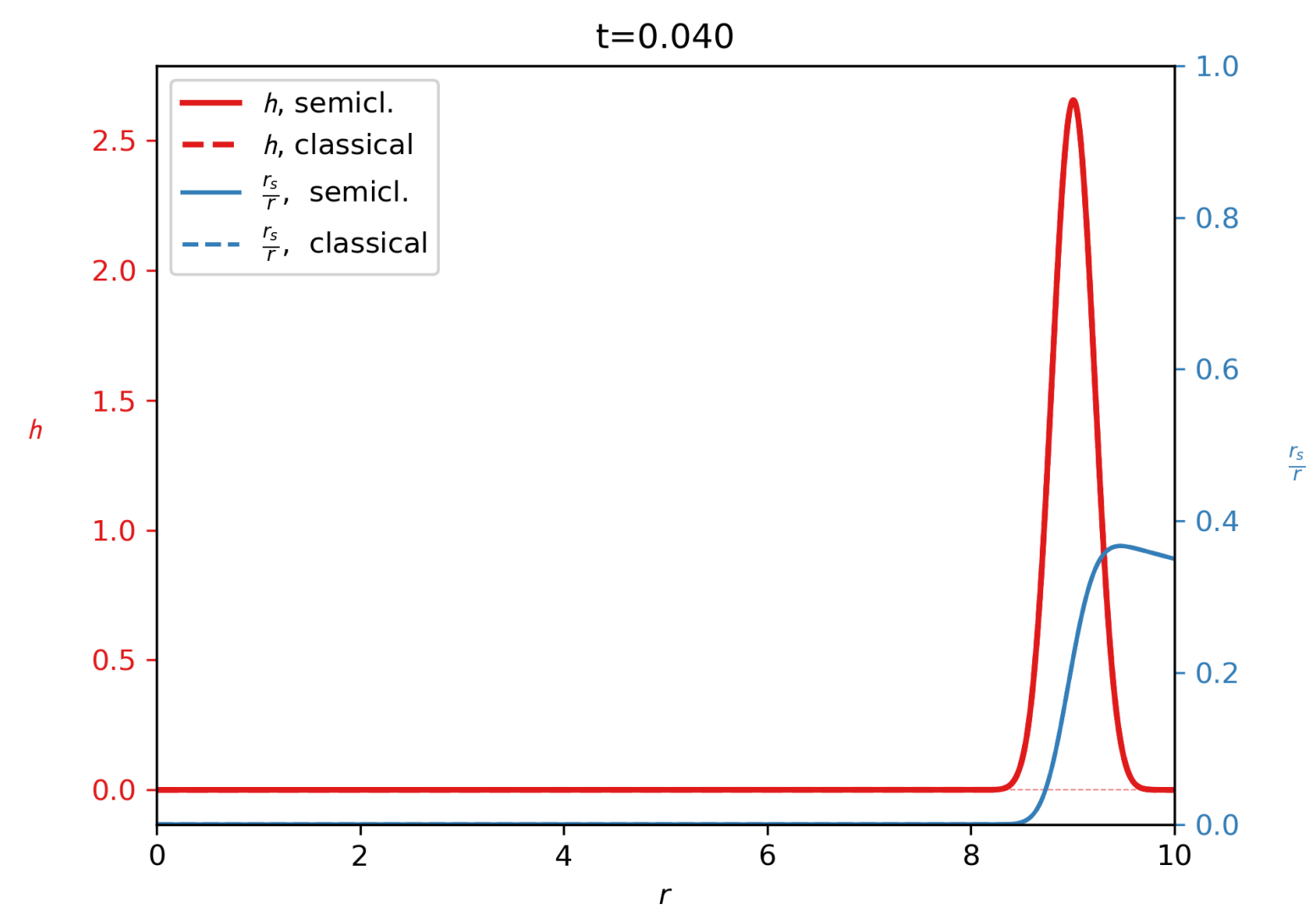
- More complex metric integration
- Vacuum subtraction not sufficient
 - Static term subtraction?
 - Point-split regularization?

More complex scenarios (e.g. no radial symmetry)

Is it unitary? (formation+decay)



THANK YOU



Classical collapse (selection):

D. Christodoulou, *Commun.Math.Phys.* 105 (1986) 337, *Commun. Math. Phys.* 105 (1986) 337

M. W. Choptuik *Phys.Rev.Lett.* 70 (1993) 9

Semiclassical,analytic results (selection):

Akira Tomimatsu, *Phys.Rev.D* 52 (1995) 4540

H. Kawai, Y. Yokokura, *Universe* 6 (2020) 6, 77

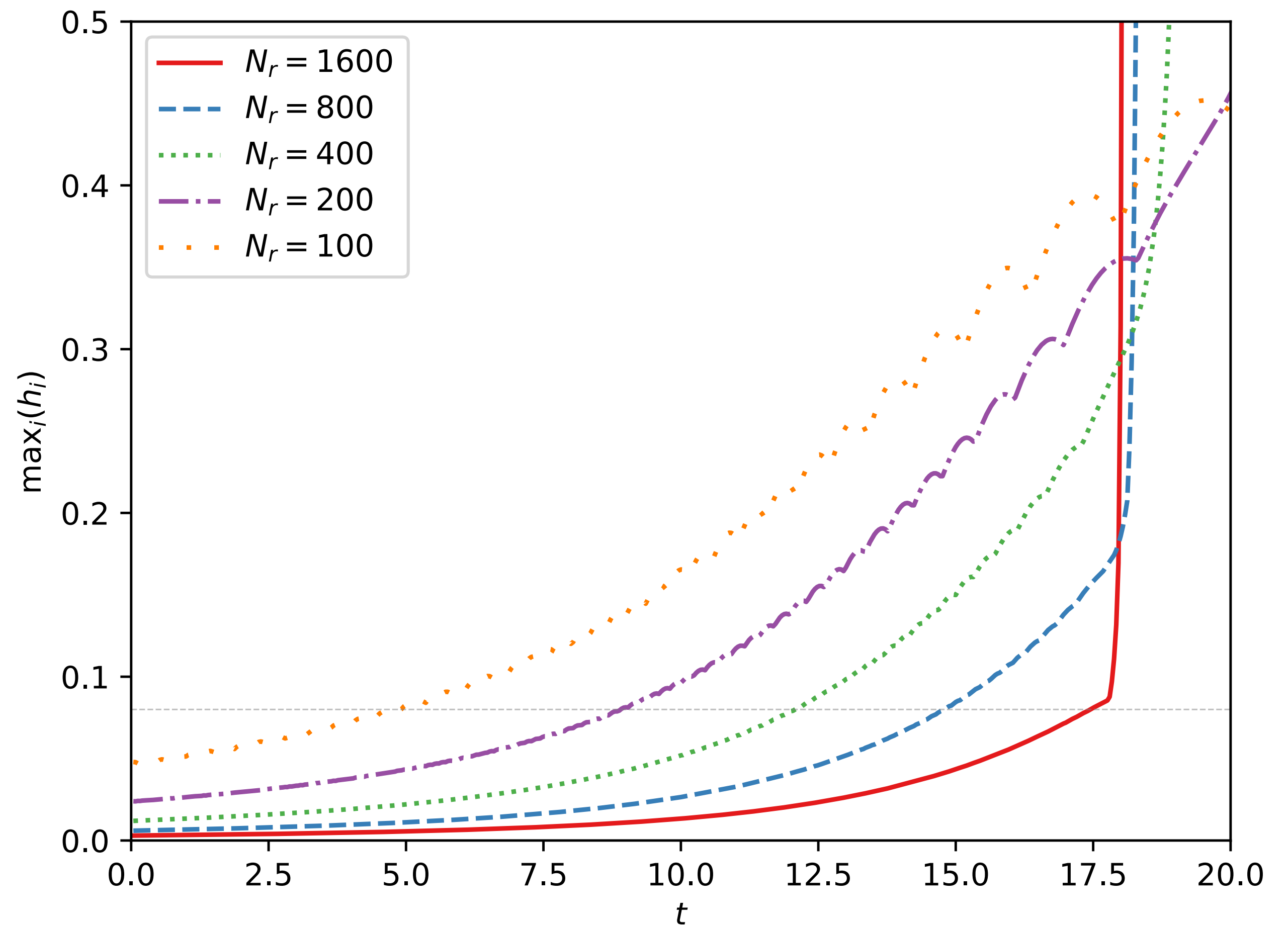
Semiclassical, numerical:

B. Berczi, P. M. Saffin,S. Zhou [2010.10142](https://arxiv.org/abs/2010.10142)

NUMERICAL VALIDITY RANGE

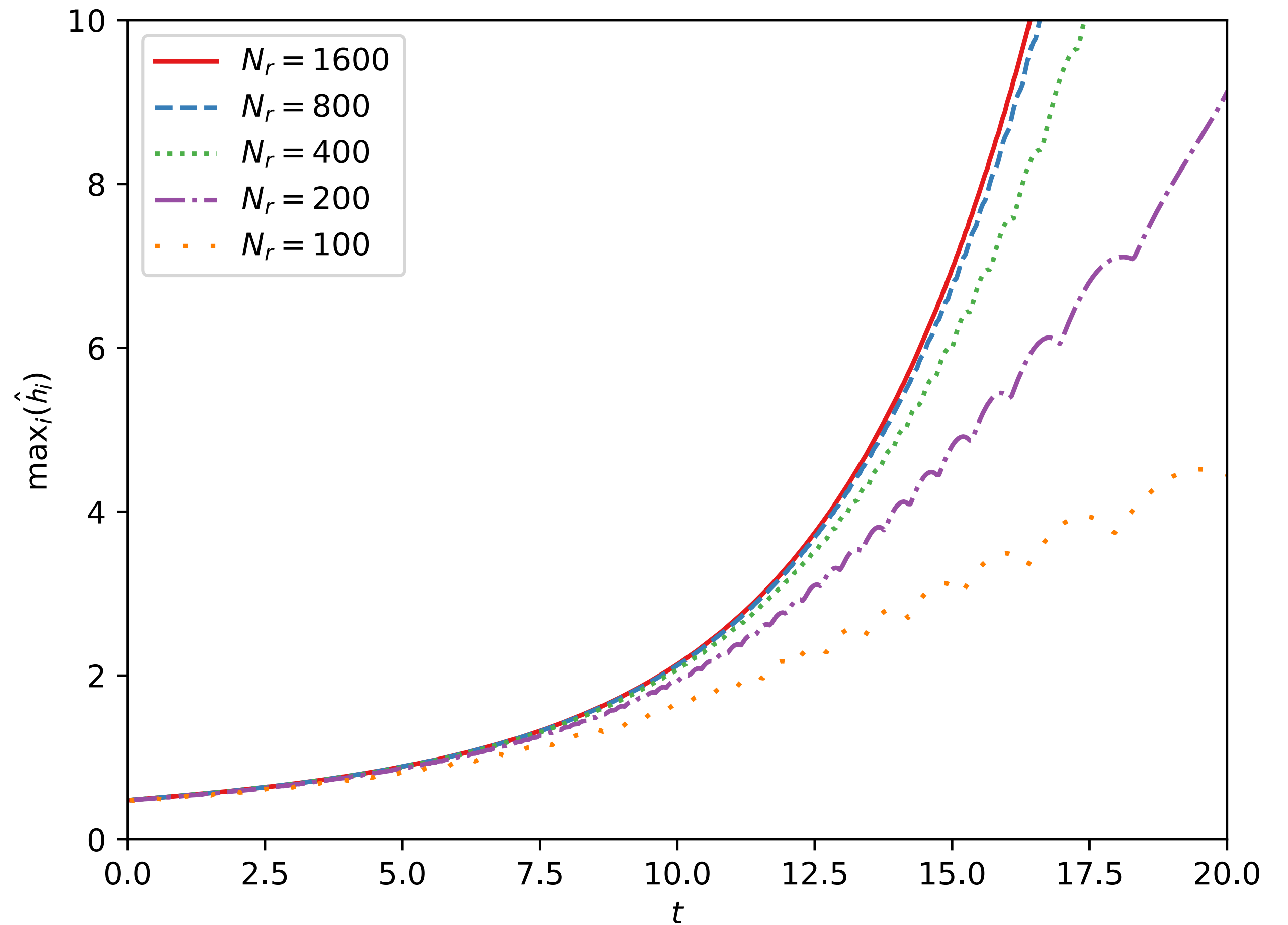
Estimate integration accuracy
via maximum h_r

Allows determination of validity
range from runs themselves



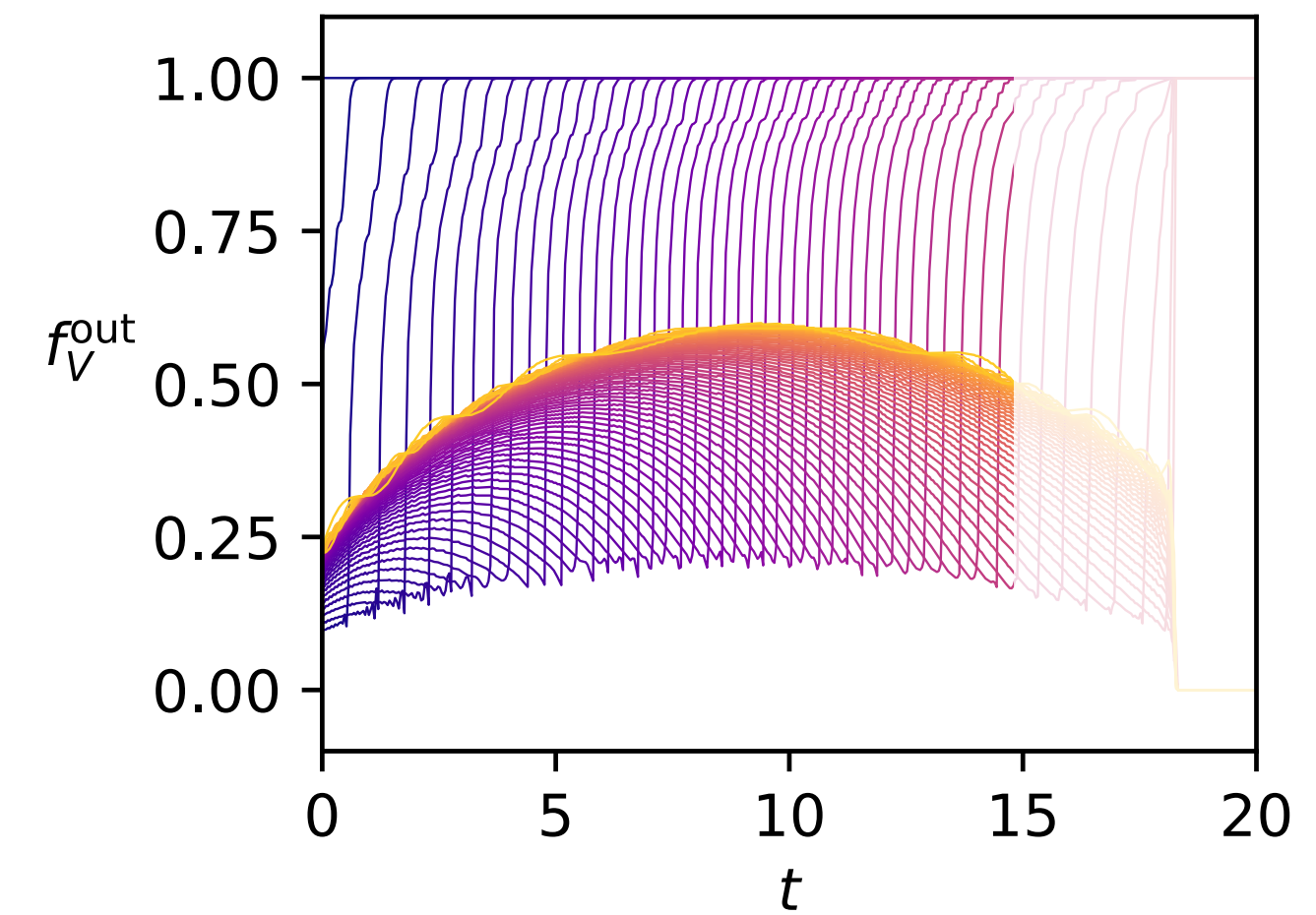
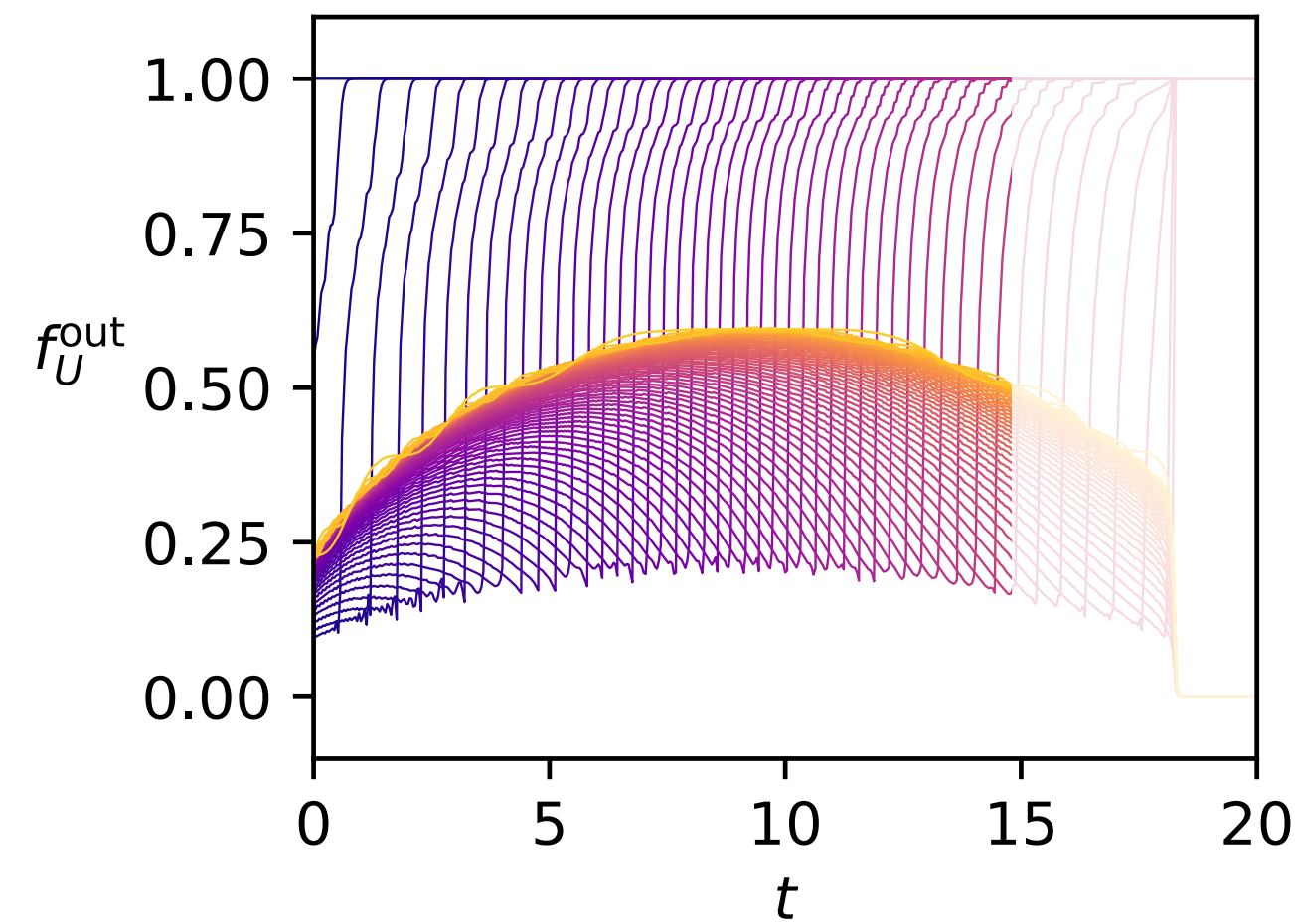
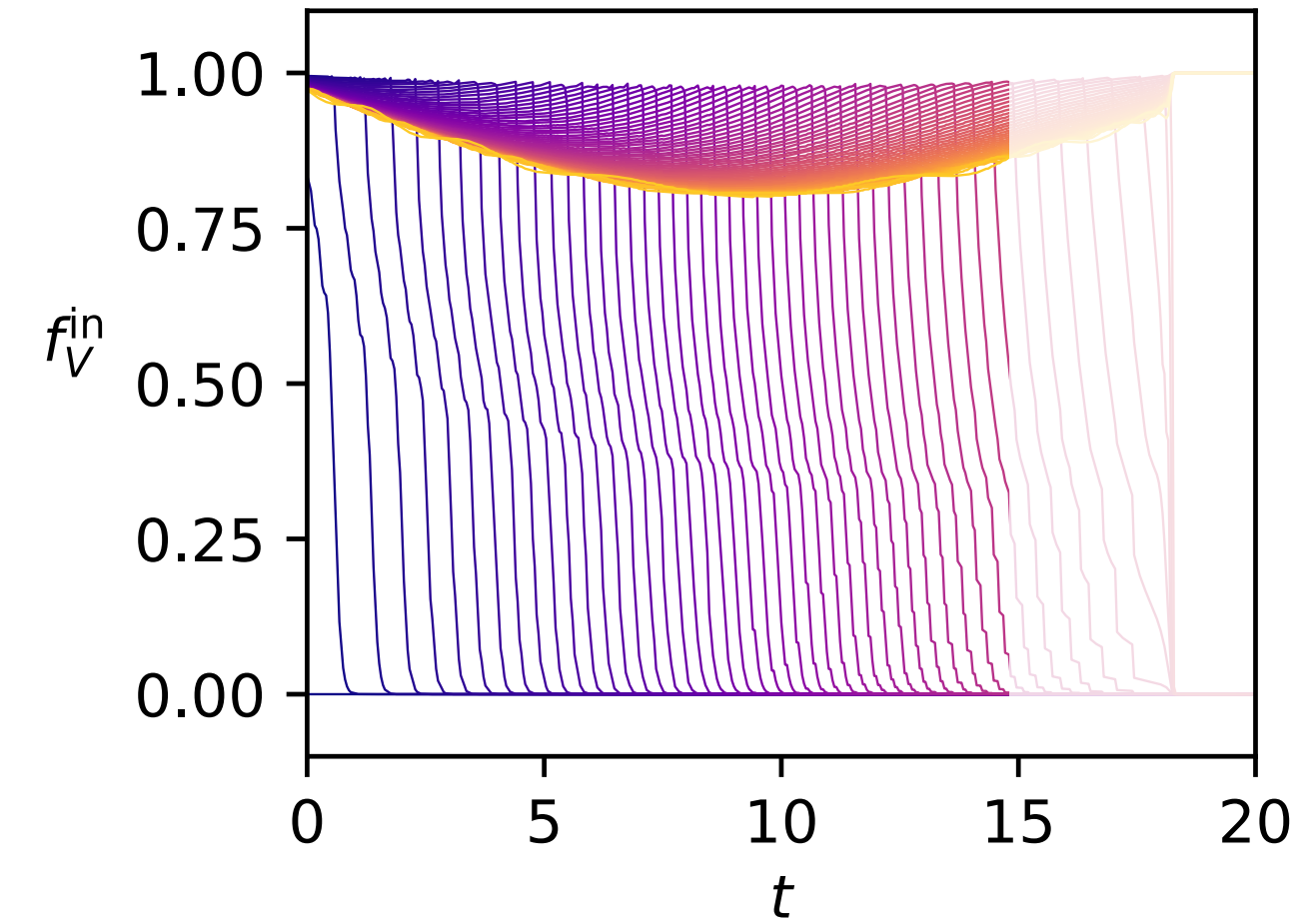
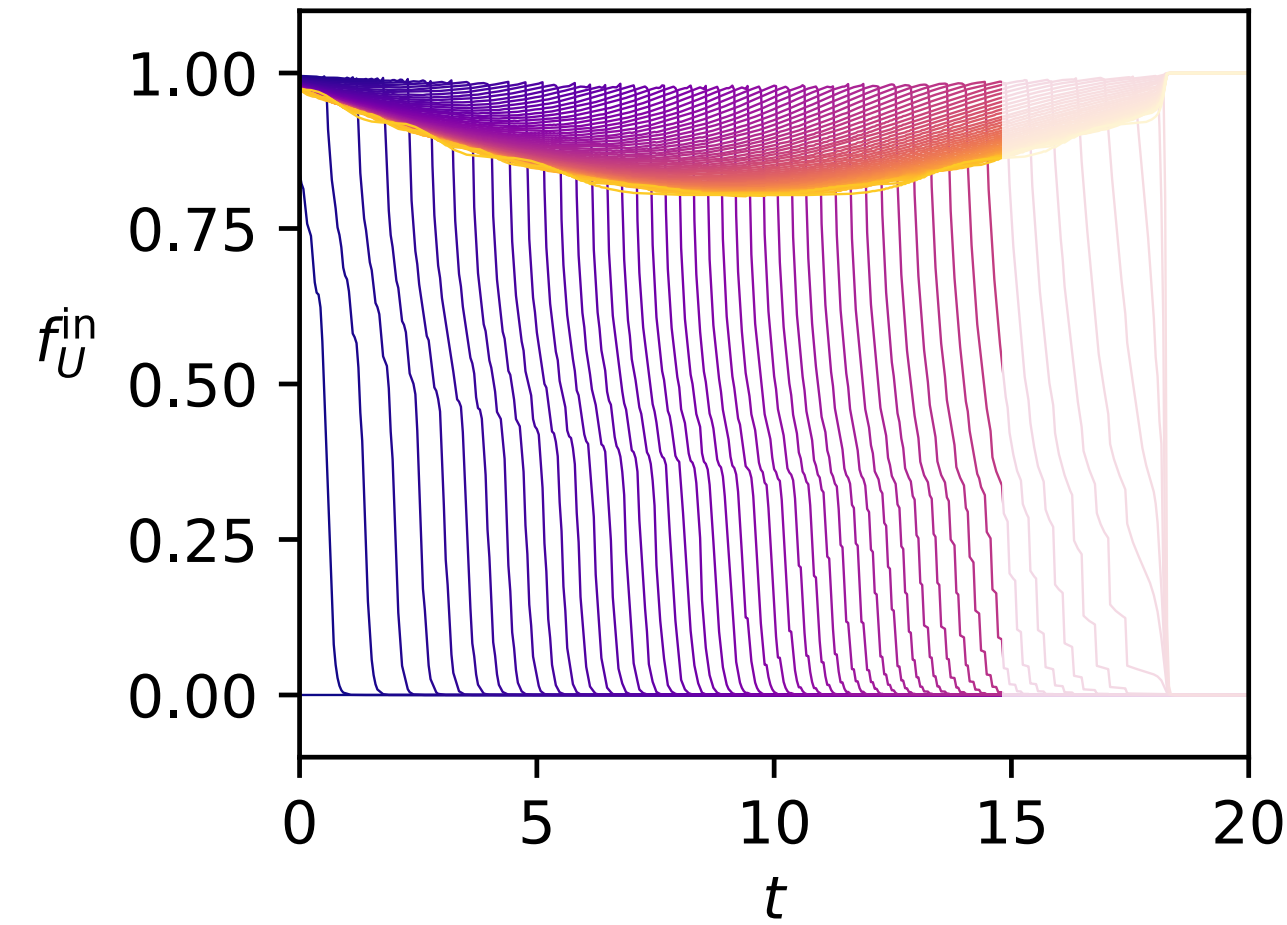
Estimate integration accuracy
via maximum h_r

Allows determination of validity
range from runs themselves



Singular vectors of q :

- "inside" vs. "outside" (relative to peak r_s/r)
- diminishing overlap as horizon forms



$$u_i(t + \Delta t) = \left(u_i(t) A^{-\frac{1}{2}} \bar{V}_i \cos(\bar{\omega}_i \Delta t) - i v_i(t) \sqrt{A} \bar{V}_i \bar{\omega}_i^{-1} \sin(\bar{\omega}_i \Delta t) \right) \bar{V}_i^T \sqrt{A}$$
$$v_i(t + \Delta t) = \left(-i u_i(t) A^{-\frac{1}{2}} \bar{V}_i \bar{\omega}_i \sin(\bar{\omega}_i \Delta t) + v_i(t) \sqrt{A} \bar{V}_i \cos(\bar{\omega}_i \Delta t) \right) \bar{V}_i^T A^{-\frac{1}{2}}$$

CHECK: BIRKHOFF THEOREM

