



Measurement of the $t\bar{t}$ cross-section at 5.02 TeV



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on behalf of the ATLAS Collaboration

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- New ATLAS $t\bar{t}$ x-sec analysis using dilepton decays in 2017 5 TeV data
 - CONF note [ATLAS-CONF-2021-003](#), released for La Thuile 2021
- Brief presentation of the analysis and results
 - Object and event selection, analysis method
 - Results, systematics and comparison to theoretical predictions
- Also briefly discuss the recent CMS result from [PAS TOP-20-004](#)
 - And compare the two analyses' approaches and uncertainties
 - Thanks to Juan Gonzalez (CMS, Oviedo) for assistance

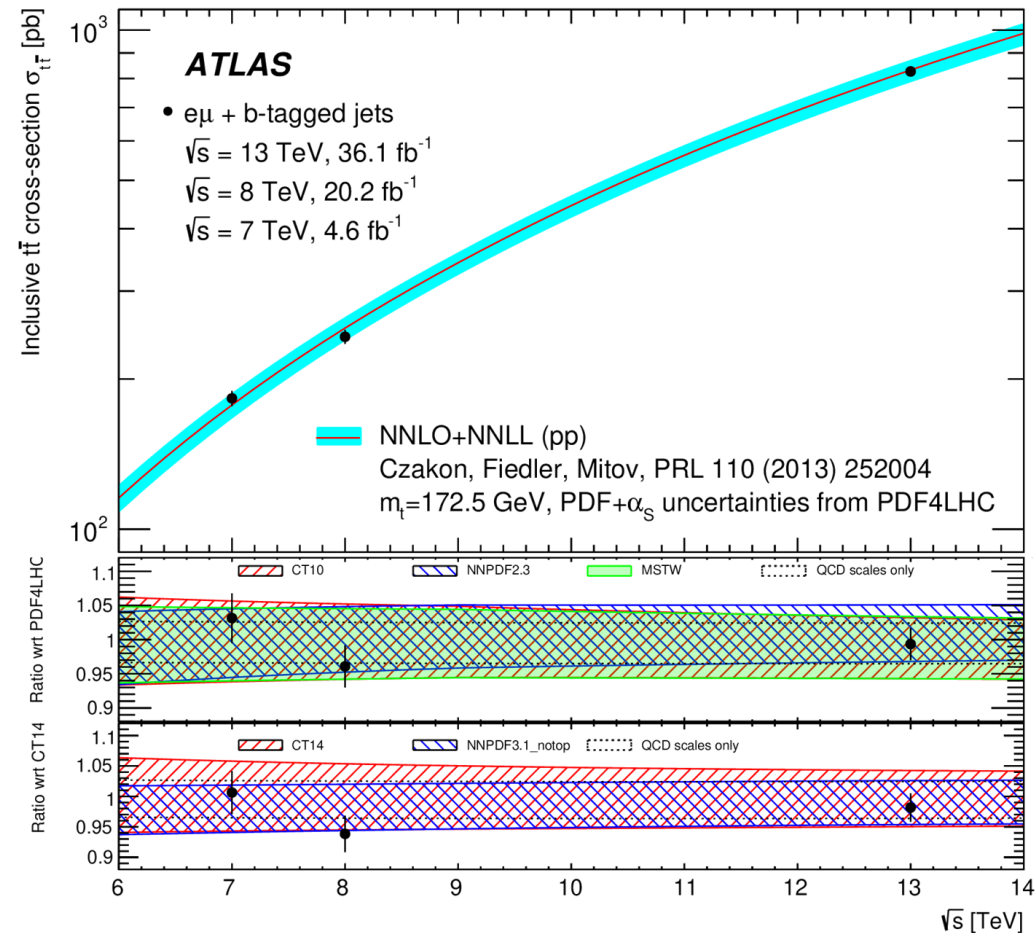


Introduction



- $t\bar{t}$ a 'standard candle' at LHC
 - Tests of QCD at high energies
 - Most precise measurements of inclusive cross-section from $e\mu$
 - In nice agreement with NNLO+NNLL predictions at 7, 8 and 13 TeV
- Small data samples at $\sqrt{s}=5.02$ TeV
 - Add another point to the plot
 - Potential sensitivity to PDFs, through x-sec measurement and ratios
 - CMS $l+jets/dilepton$ measurement using 27 pb^{-1} in 2015
 - Limited precision: $\pm 12\%$
- ATLAS has 257 pb^{-1} from 2017
 - Should be able to improve, even using purely dilepton measurement

From 13 TeV $e\mu$ EPJ C 80 (2020) 528





Dilepton $t\bar{t}$ measurement with low statistics



- 257 pb⁻¹ sample recorded over 10 days in November 2017
 - Primarily pp reference data for heavy ion programme, also useful for SM physics
 - Low- μ data sample, with $0.5 < \mu < 4$, most with luminosity levelled to $\langle \mu \rangle \approx 2$
 - Optimal pileup for W-boson measurements (luminosity vs E_T^{miss} resolution)
 - Low single lepton trigger thresholds, fully efficient for $p_T > 15$ GeV
 - Calorimeter noise thresholds optimised for low pileup, needs dedicated EM calibration
- Adapt the 'standard' $e\mu$ + b-tagged jet analysis for this sample:
 - Lower lepton p_T threshold to 18 GeV (c.f. 20 GeV at 13 TeV)
 - Going even lower brings more non-prompt leptons from b/c decays
 - Looser electron ID and use barrel-endcap transition region $1.37 < |\eta| < 1.52$
 - Electron efficiency/resolution not as good in this region, but we want more events
 - Add same flavour channels $ee + \mu\mu$
 - Include E_T^{miss} cut to fight $Z \rightarrow ll$ + b-jet background (not present in $e\mu$ channel)
- Simulation/event generators very similar to that used at $\sqrt{s} = 13$ TeV
 - Only $t\bar{t}$, Wt , t-channel, Z+jets, W+jets, diboson considered
 - $t\bar{t}V$, $t\bar{t}H$ and other rare processes can be neglected
 - Dedicated low- μ calib. for electrons; muon/jets from 13 TeV but validated at 5 TeV



Event selection



- Object selection
 - Electrons: $p_T > 18$ GeV, $|\eta| < 2.47$ including transition, calorimeter + track isolation
 - Muons: $p_T > 18$ GeV, $|\eta| < 2.5$, calorimeter + track isolation
 - Jets: $p_T > 25$ GeV, $|\eta| < 2.5$, b-tagged with DL1r algorithm with 85% efi for b-jets
 - Highest efficiency WP, rejection of 3 against charm and 40 against light quark/gluon
 - Missing transverse momentum (E_T^{miss}) from electrons, muon, jets and soft tracks
- Require 2 opposite-sign leptons, ≥ 1 matched to single-lepton trigger
 - Redundant trigger gives high efficiency and small systematic uncertainty

Channel	ee	e μ	$\mu\mu$
Dilepton mass m_{ll}	>40 GeV	>15 GeV	>40 GeV
Missing trans. energy E_T^{miss}	>30 GeV	-	>30 GeV

- Count numbers of events with exactly 1 or exactly 2 b-tagged jets
 - And any number (including zero) of un-tagged jets
 - Allows simultaneous measurement of σ_{tt} and jet selection * b-tagging efi, from data
- Same-sign events used to control fake lepton background (in principle)



Numbers of selected events

- Events in each sample, classified by dilepton flavour and 1/2 b-tags (N_1, N_2)
 - Same-flavour events divided into 'off-Z' ($|m_{ll} - m_Z| > 10$ GeV) and 'on-Z'
 - Background predictions come from final fit & include all systematic uncertainties

1 b-tagged jet	Event counts	$N_{1,\text{off-Z}}^{ee}$	$N_{1,\text{on-Z}}^{ee}$	$N_1^{e\mu}$	$N_{1,\text{off-Z}}^{\mu\mu}$	$N_{1,\text{on-Z}}^{\mu\mu}$
	Data	46	109	120	66	92
	Wt single top	4.0 ± 0.6	0.8 ± 0.1	13.9 ± 1.5	4.5 ± 0.5	0.9 ± 0.1
	Z +jets	13.2 ± 1.4	74.9 ± 6.0	6.4 ± 1.6	23.3 ± 2.9	100.5 ± 8.3
	Diboson	0.8 ± 0.2	2.3 ± 0.5	2.6 ± 0.5	0.9 ± 0.2	2.5 ± 0.5
	Misidentified leptons	0.9 ± 0.4	0.1 ± 0.1	1.7 ± 0.9	0.2 ± 0.2	0.2 ± 0.1
Total background		18.9 ± 1.6	78.0 ± 5.9	24.6 ± 2.4	28.9 ± 2.9	104.1 ± 8.3
2 b-tagged jets	Event counts	$N_{2,\text{off-Z}}^{ee}$	$N_{2,\text{on-Z}}^{ee}$	$N_2^{e\mu}$	$N_{2,\text{off-Z}}^{\mu\mu}$	$N_{2,\text{on-Z}}^{\mu\mu}$
	Data	30	13	112	30	15
	Wt single top	0.9 ± 0.2	0.2 ± 0.1	3.0 ± 0.6	1.0 ± 0.3	0.2 ± 0.0
	Z +jets	1.2 ± 1.0	6.8 ± 2.5	0.9 ± 0.4	1.9 ± 0.7	9.1 ± 3.5
	Diboson	0.1 ± 0.0	0.2 ± 0.0	0.2 ± 0.0	0.1 ± 0.0	0.3 ± 0.1
	Misidentified leptons	0.2 ± 0.2	0.0 ± 0.0	0.6 ± 0.6	0.1 ± 0.1	0.0 ± 0.1
Total background		2.3 ± 1.1	7.2 ± 2.5	4.6 ± 0.9	3.1 ± 0.8	9.6 ± 3.5

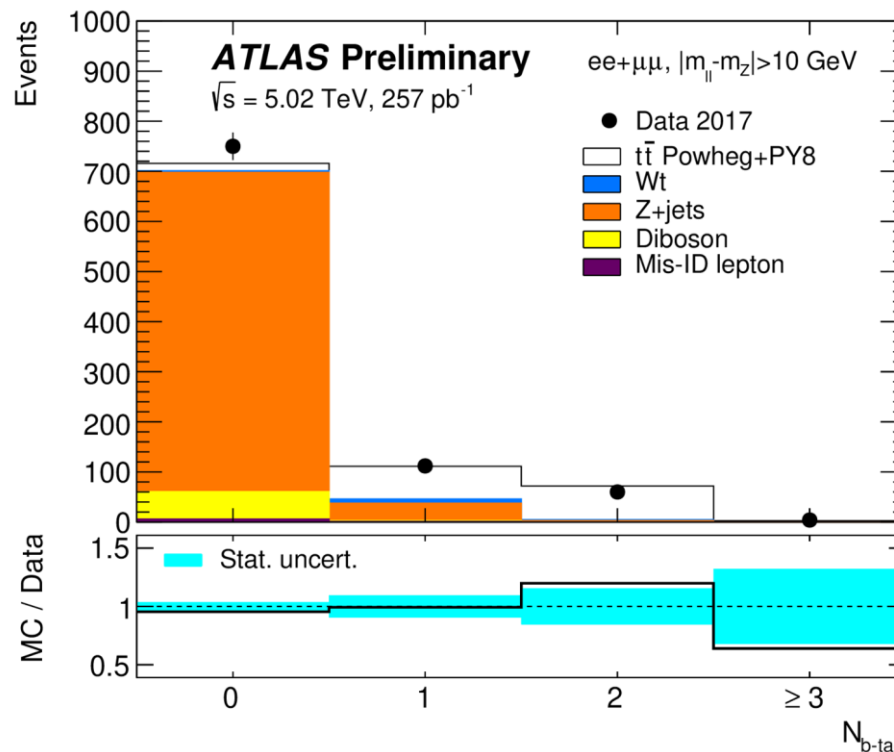
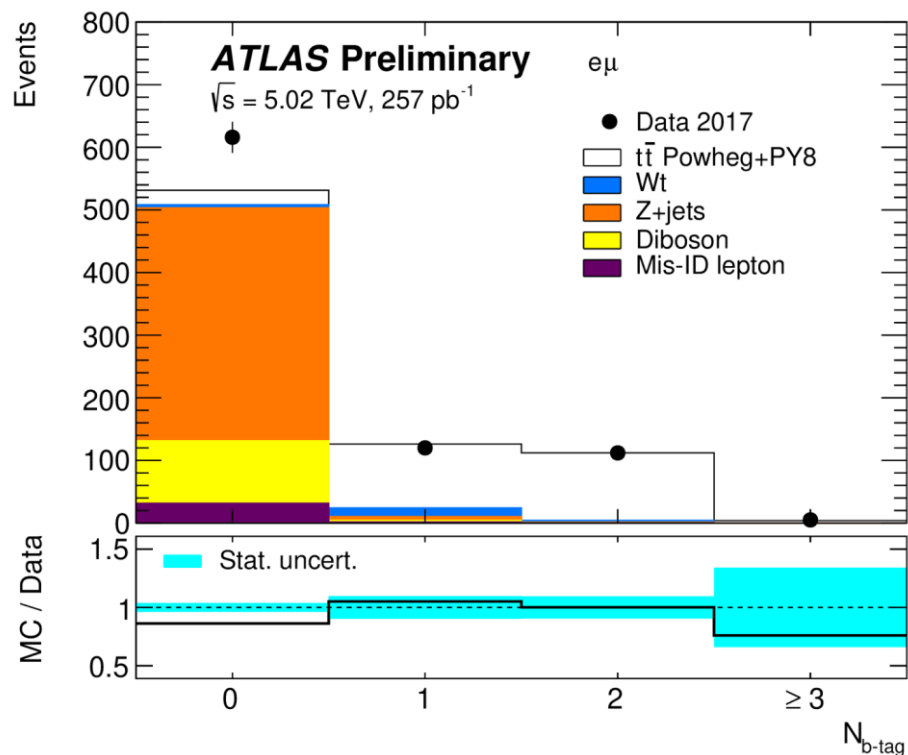
- $e\mu$ channel: 1/2 b-tag samples 80/96% pure $t\bar{t}b\bar{a}r$, main background from Wt
- SF channels off-Z: 1/2 b-tag 60/94% pure $t\bar{t}b\bar{a}r$, backgrounds Z +jets + Wt



Jet multiplicities



- Number of b-tagged jets in selected $e\mu$ (left) and off-Z $ee+\mu\mu$ (right) events



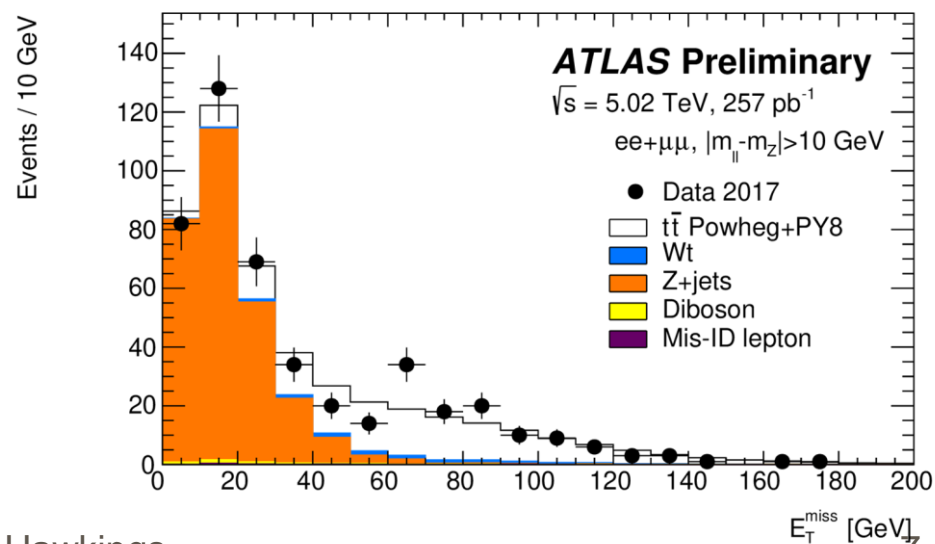
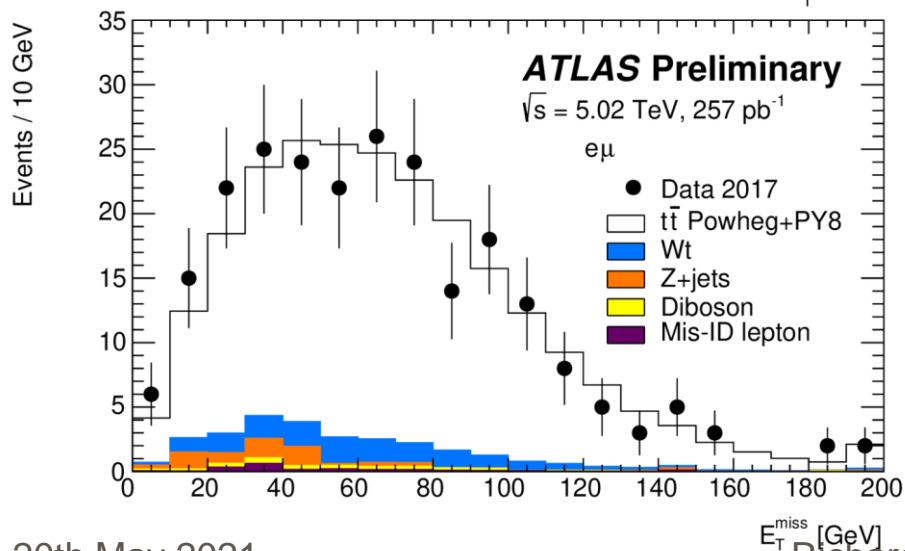
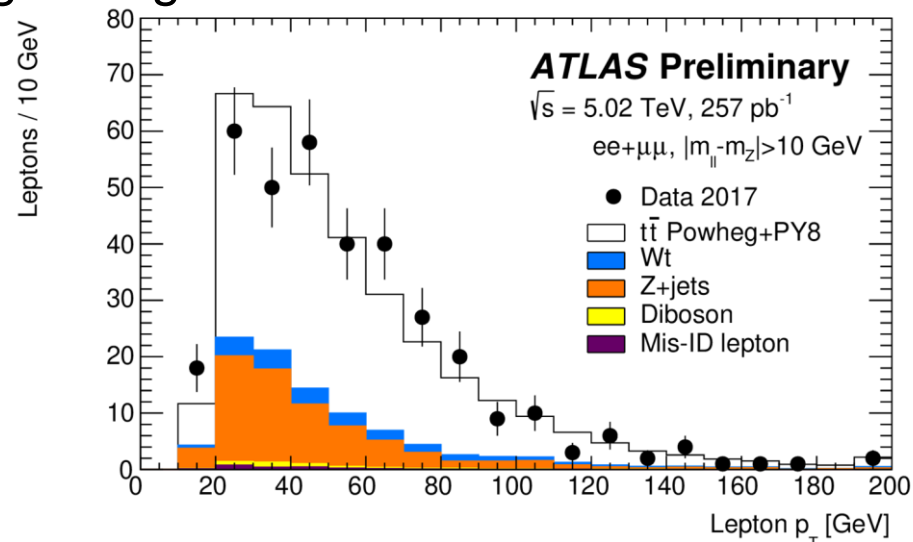
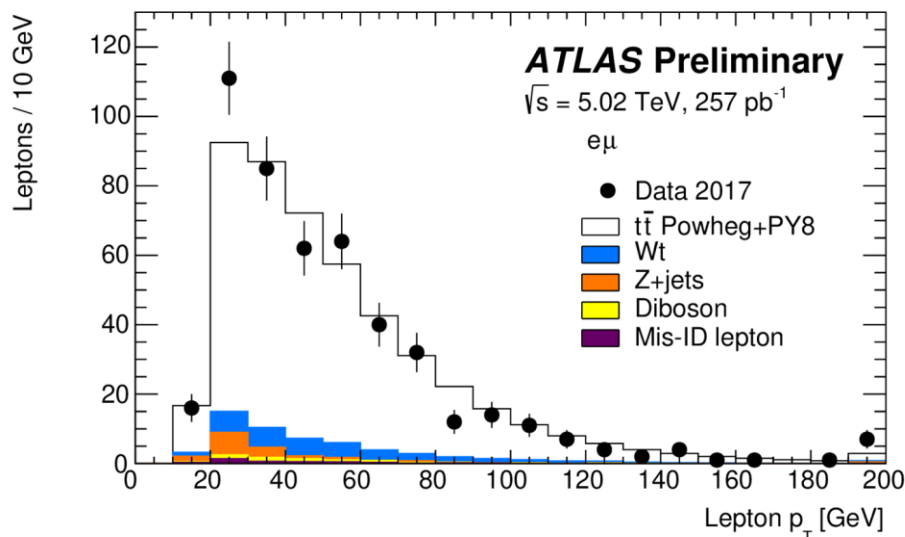
- $t\bar{t}$ prediction uses reference value of 68.2 pb (top++, CT10/MSTW/NNPDF2.3)
- Good agreement with predictions within statistical uncertainty of data
 - Except for 0 b-tag bin, not used in fit
 - Discrepancies also seen at other energies, Z+jets or diboson modelling?



Lepton and event kinematics



- Lepton p_T (top) and E_T^{miss} (bottom) – good agreement within statistics





Fit to extract ttbar cross-section



- For $e\mu$ channel, use same double-tagging formalism as for 7, 8, 13 TeV

$$N_1^{e\mu} = L\sigma_{t\bar{t}} \epsilon_{e\mu} 2\epsilon_b^{e\mu} (1 - C_b^{e\mu} \epsilon_b^{e\mu}) + \sum_{k=\text{bkg}} s_1^k N_1^{e\mu,k}$$

$$N_2^{e\mu} = L\sigma_{t\bar{t}} \epsilon_{e\mu} C_b^{e\mu} (\epsilon_b^{e\mu})^2 + \sum_{k=\text{bkg}} s_2^k N_2^{e\mu,k}$$

- $\epsilon_{e\mu}$ is dilepton selection efficiency, ϵ_b is probability to select and b-tag jet from top
- Tagging correlation $C_b \approx 1$, backgrounds $k = \text{Wt, Z+jets, diboson, misidentified leptons}$ scaled by scale factors s_k
- For $ee/\mu\mu$ channels, split data into 6 mass bins [40,71,81,101,151, ∞ GeV]

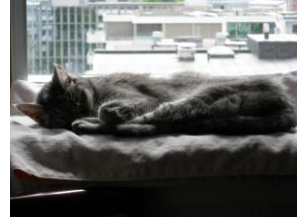
$$N_{1,m}^{\ell\ell} = L\sigma_{t\bar{t}} \epsilon_{\ell\ell} 2\epsilon_b^{\ell\ell} (1 - C_b^{\ell\ell} \epsilon_b^{\ell\ell}) f_{1,m}^{\ell\ell,\bar{t}} + \sum_{k=\text{bkg}} s_1^k f_{1,m}^{\ell\ell,k},$$

$$N_{2,m}^{\ell\ell} = L\sigma_{t\bar{t}} \epsilon_{\ell\ell} C_b^{\ell\ell} (\epsilon_b^{\ell\ell})^2 f_{2,m}^{\ell\ell,\bar{t}} + \sum_{k=\text{bkg}} s_2^k f_{2,m}^{\ell\ell,k}$$

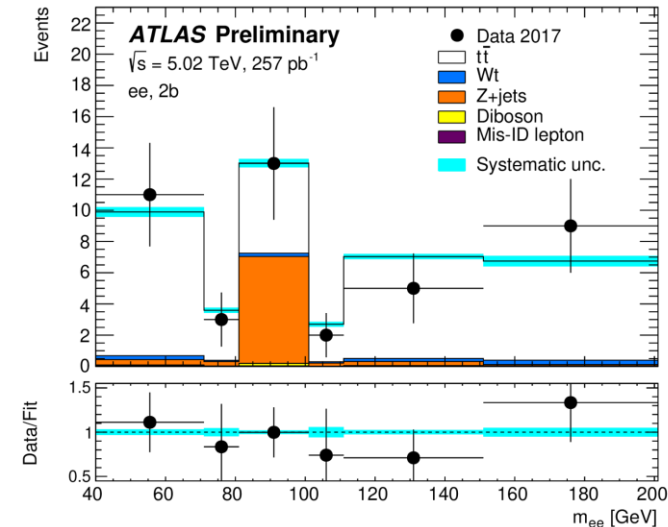
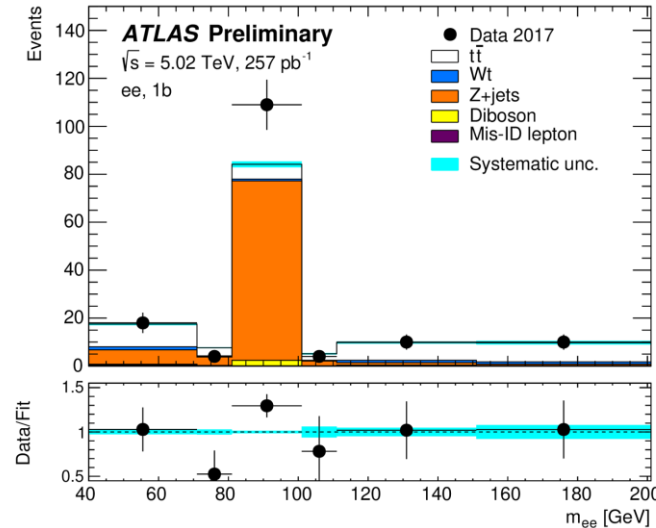
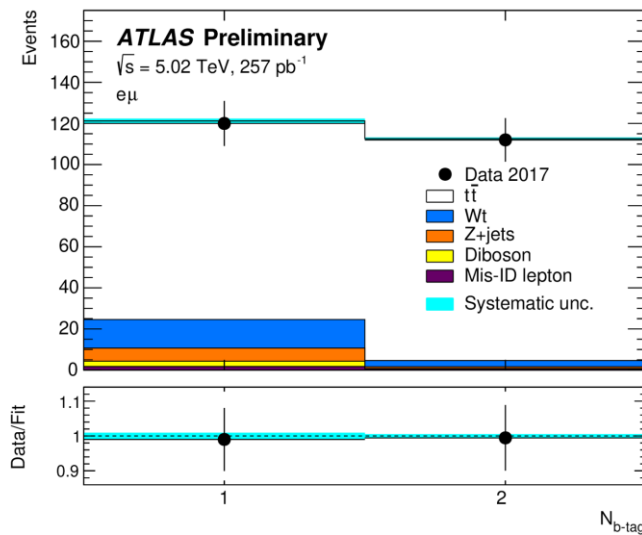
- Normalised distributions $f_{1,m}$ and $f_{2,m}$ distribute event counts as function of $m_{\ell\ell}$
- Fit all channels together, floating $\sigma_{t\bar{t}}$, $\epsilon_b^{\ell\ell}$ and $R_1^Z, R_2^Z \equiv \text{Z+jets scale factors}$
 - Lepton efficiencies $\epsilon_{\ell\ell}$, correlations C_b , mass distributions $f_{i,m}$ and Wt, diboson and misidentified leptons taken from simulation
 - Corresponds to a template fit in $m_{\ell\ell}$ with ttbar and Z+jets components floating



Fit results

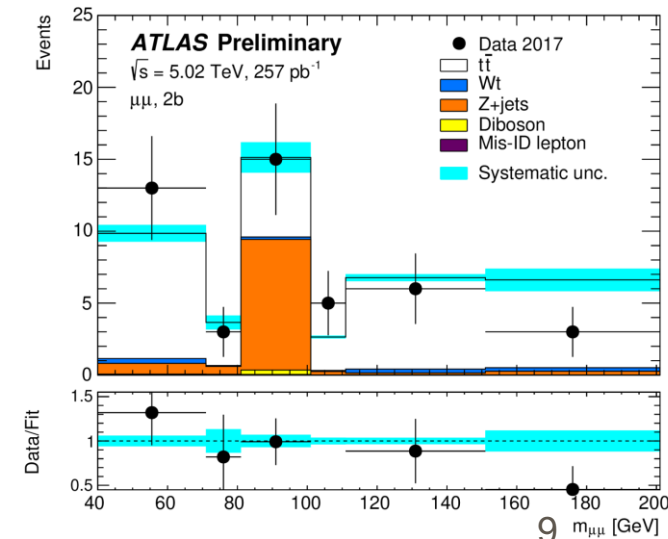
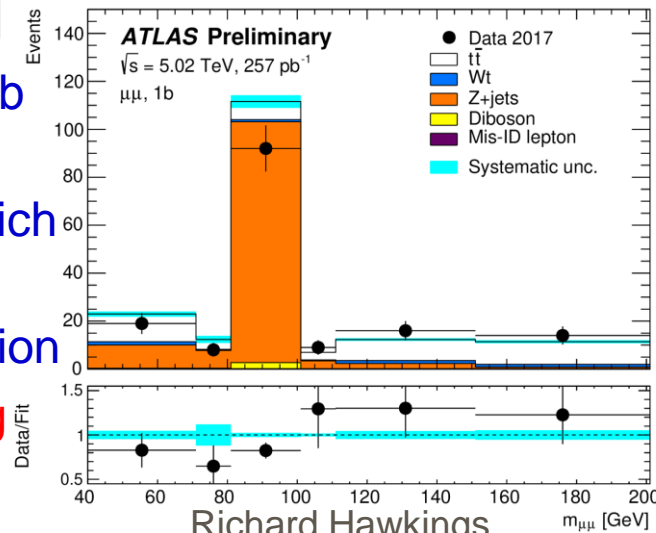


- Data compared to 'post-fit' prediction, cyan bands show systematics



Description generally good

- Some tension in $Z \rightarrow ee + 1b$ vs $Z \rightarrow \mu\mu + 1b$
- But does not affect $t\bar{t}$ -rich region off-Z
- Likely a statistical fluctuation
- Disappears when relaxing cut to $E_T^{\text{miss}} > 20 \text{ GeV}$



20th May 2021

Richard Hawking



Statistical and systematic uncertainties



Uncertainty	σ (%)
Data statistics	6.8
generator	1.2
hadronisation	0.2
Initial/final state radiation	1.0
heavy-flavour production	0.2
Parton distribution functions	0.3
Electron energy scale	0.1
Electron energy resolution	0.1
Electron identification	0.6
Electron charge misidentification	0.0
Electron isolation	0.5
Muon momentum scale	0.1
Muon momentum resolution	0.0
Muon identification	0.3
Muon isolation	0.6
Lepton trigger	0.2
Jet energy scale	0.1
Jet energy scale extrapolation	0.0
Jet energy resolution	0.1
Pileup jet veto	0.0
<i>b</i> -tagging efficiency	0.1
<i>b</i> -tag mistagging	0.1
E_T^{miss} soft particle modelling	0.1
Single-top cross-section	1.0
Single-top/ interference	0.2
Single-top modelling	0.4
Z+jets extrapolation	0.7
Diboson cross-sections	0.3
Misidentified leptons	0.7
Simulation statistics	0.2
Integrated luminosity	1.8
Beam energy	0.3
Total uncertainty	7.5

- Largest uncertainty from data statistics: 6.8%
 - Integrated luminosity: 1.8%
 - $\Delta L/L=1.6\%$ but some backgrounds taken from simulation
 - ttbar generator (aMC@NLO+PY8 vs Powheg+PY8) + initial/final state radiation uncertainties around 1%
 - Background uncertainties
 - Wt cross-section from theory, varied by 9.5%
 - Z+jets rate normalised in $Z \rightarrow ee/\mu\mu$ with E_T^{miss} cut, but extrapolated to $Z \rightarrow \tau\tau \rightarrow e\mu$ without E_T^{miss} cut
 - Misidentified leptons
 - Same-sign dilepton sample too small to constrain it, taken from simulation with 50%/100% (1b/2b) errors
 - Lepton identification and isolation
 - Measured/cross-checked in-situ with 5 TeV $Z \rightarrow ll$
 - Jet uncertainties small by design, jet calibration checked using Z+jet balance studies at 5 TeV
- Total non-lumi systematic 2.4%, total error 7.5%
 - C.f. 1.4% non-lumi systematic at 13 TeV



ttbar cross-section result



Final result:

$$\sigma_{t\bar{t}} = 66.0 \pm 4.5 \pm 1.6 \pm 1.2 \pm 0.2 \text{ pb}$$

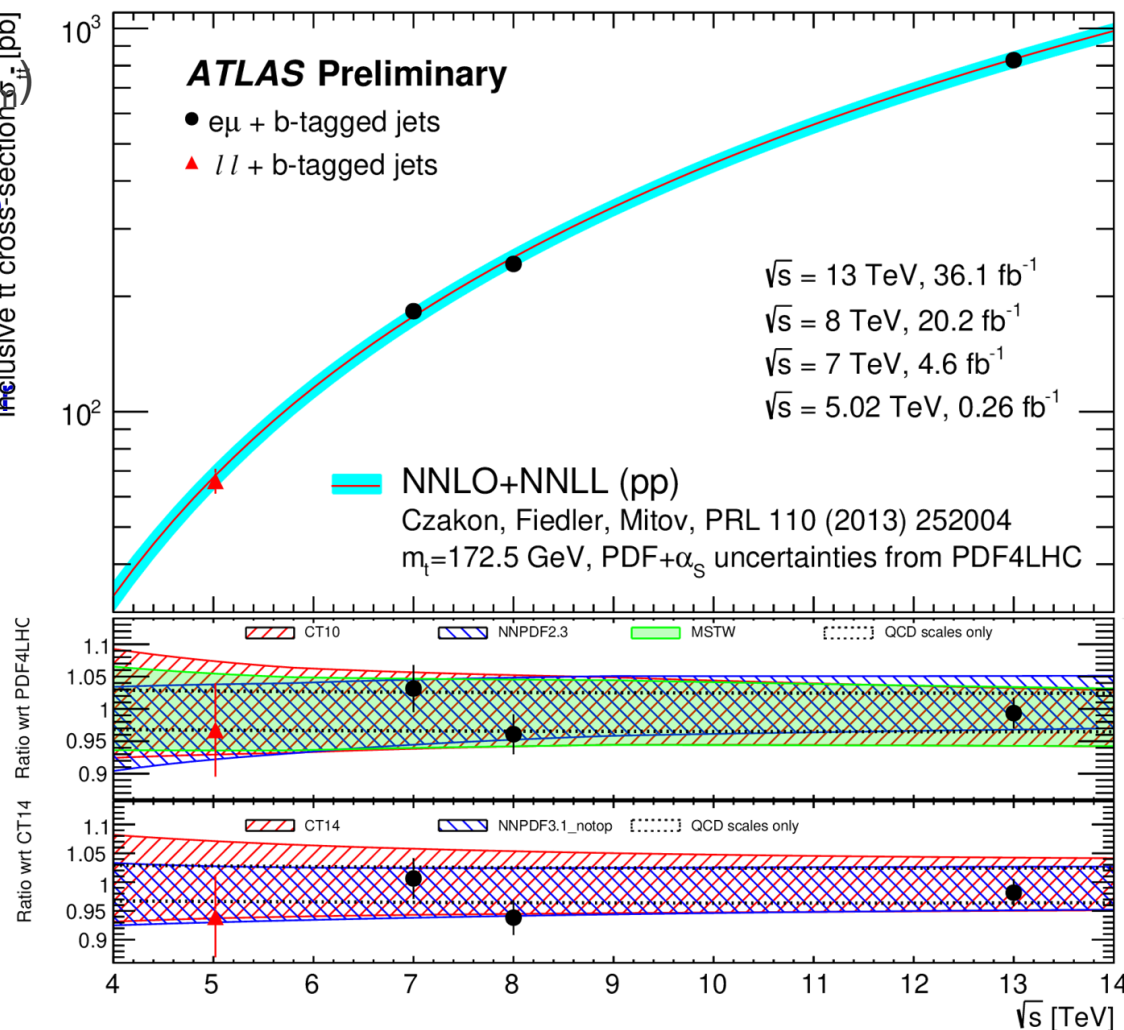
(\pm stat, \pm syst, \pm lumi, \pm E_{beam})

Inclusive tt cross-sections [pb]

- In good agreement with prediction:
 68.2 ± 4.8 (PDF+ α_s) $^{+1.9}_{-2.3}$ (scale) pb
 - NNLO+NLL pred. from top++
 - CT10/MSTW/NNPDF2.3 PDF sets
- QCD predictions agree with data over >1 order of magnitude

Various cross-checks

- Extracted values of ϵ_b , R^Z_1 and R^Z_2 agree with simulation
- Consistent results with $e\mu$ ($\pm 8.5\%$) and $ee+\mu\mu$ ($\pm 13.2\%$) data alone
- Results stable vs E_T^{miss} cut
- Inclusive $Z \rightarrow ee$ and $Z \rightarrow \mu\mu$ yields wrt simulation consistent to 1%
 - Validates lepton efficiencies

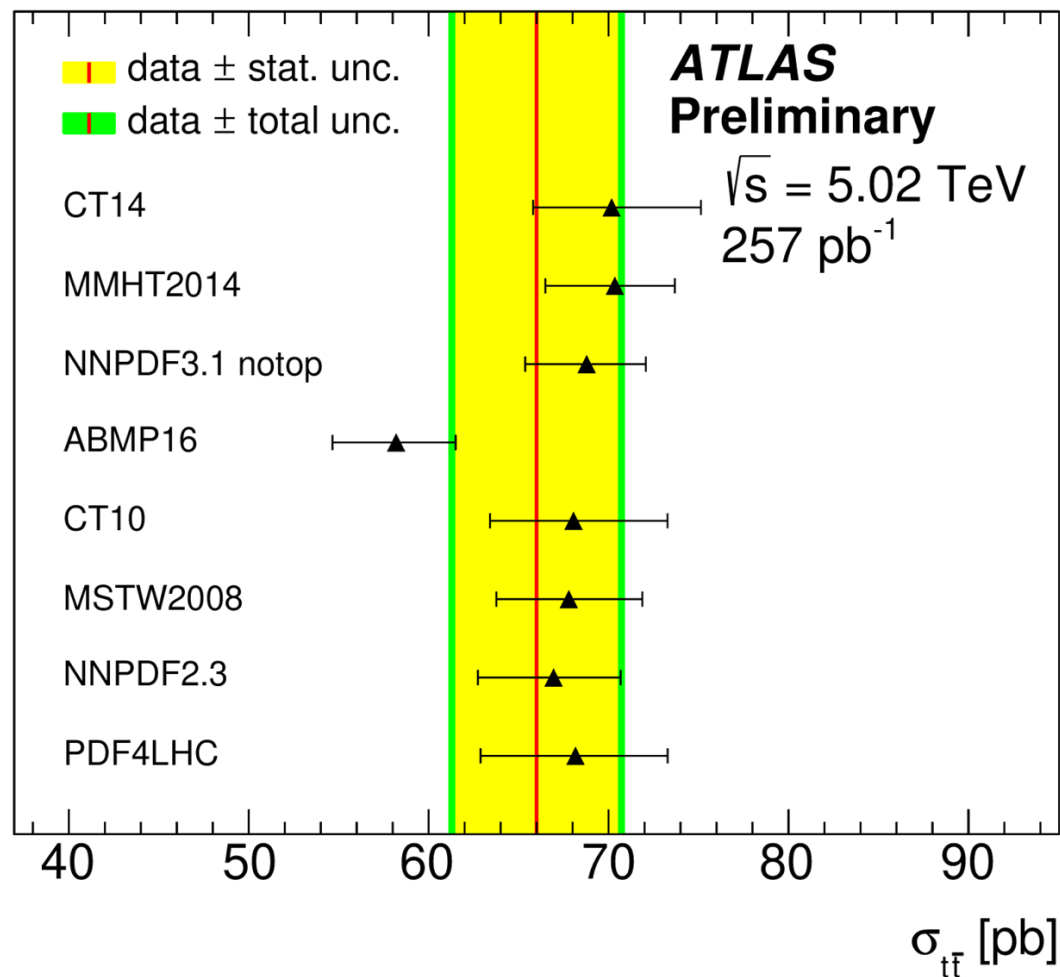




Comparison to PDF predictions



- Comparison with PDF predictions
 - Modern PDFs CT14, MMHT2014, NNPDF3.1_notop and ABMP16
 - Pre-LHC CT10, MSTW2008 and NNPDF2.3 used to make the 'PDF4LHC' envelope cross-section prediction
- Result compatible with all of them
 - Including ABMP16 which gives a lower central value
- Stronger constraints could come from a more precise measurement
 - E.g. add l+jets, combine with CMS?
 - Could also look at ratios to 13 TeV





New CMS measurement with 2017 data

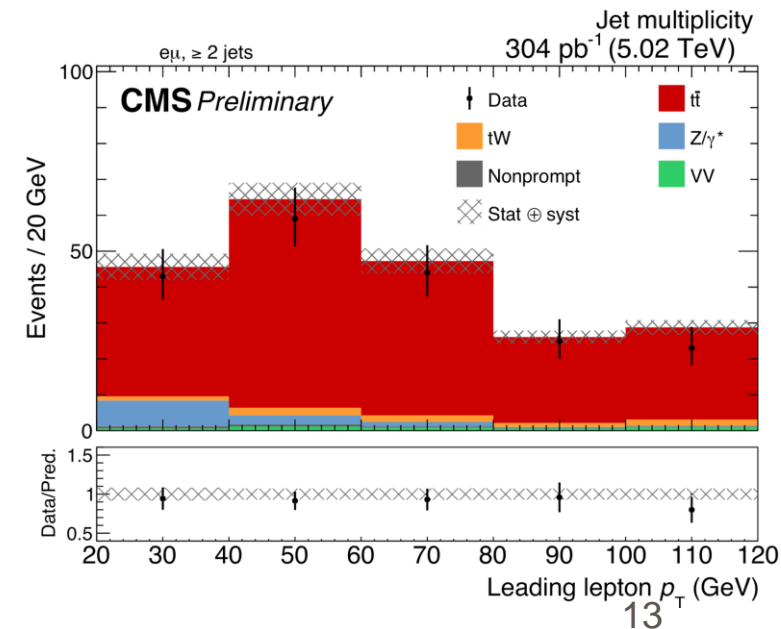
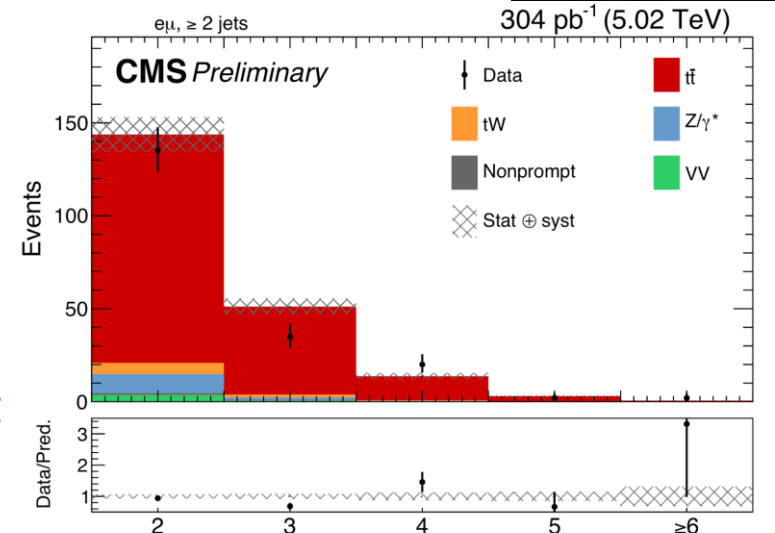


- New result using 304 pb⁻¹ recorded in 2017
 - CMS PAS [TOP-20-004](#)
 - Based on eμ dilepton channel only
- Event selection: OS eμ + 2 jets
 - Leading lepton p_T>20 GeV, other p_T>10 GeV
 - Jets with p_T>25 GeV and |η|<2.4, no leptons in jet
 - No b-tagging requirement
 - Except in lepton+jet overlap removal

- Signal extracted using selected event count:

$$\sigma_{t\bar{t}} = \frac{N - N_{\text{bkg}}}{\varepsilon A B R \mathcal{L}}$$

- ε=signal efficiency, A=acceptance, BR=branching ratio to eμ (including τ contributions), L=lumi
- Z+jets (Drell-Yan) background estimated from data using same flavour events
- Wt, VV, fake lepton backgrounds from simulation





CMS 2017 $e\mu$ result



- Event yield dominated by $t\bar{t}$ (89%):

Process	Event yield
tW	8 ± 0.1 ± 2
Nonprompt leptons	1.7 ± 0.1 ± 0.9
DY	10 ± 0.1 ± 3
VV	4 ± 0.1 ± 1
Total background	24 ± 0.2 ± 4
$t\bar{t}$	187 ± 1 ± 8
Data	194

- Largest systematics from jet energy scale/resl. and Drell-Yan background modelling

- Result:

$$\sigma_{t\bar{t}} = 60.3 \pm 5.0 \text{ (stat)} \pm 2.8 \text{ (syst)} \pm 0.9 \text{ (lumi)} \text{ pb}$$

- 2017 $e\mu$ result is combined with 2015 $l+jets$ measurement on 27 pb^{-1} to give

$$\sigma_{t\bar{t}} = 62.6 \pm 4.1 \text{ (stat)} \pm 3.0 \text{ (syst+lumi)} \text{ pb}$$

- 2017 $e\mu$ result has 73% weight in combination

Source	$\Delta\sigma_{t\bar{t}}/\sigma_{t\bar{t}} \text{ (%)}$
tW	1.0
Nonprompt leptons	0.4
Drell-Yan	1.8
VV	0.8
Trigger efficiency	1.3
L1 prefiring	1.4
Electron efficiency	1.6
Muon efficiency	0.6
JES	2.2
JER	1.2
μ_R, μ_F scales	0.2
PDF $\oplus\alpha_S(m_Z)$	0.3
Final state radiation	1.1
Initial state radiation	< 0.1
h_{damp}	1.0
Underlying event tune	0.7
Total systematic	4.3
Integrated luminosity	1.5
Statistical uncertainty	8.2



Comparison of results and uncertainties



Results	$\sigma_{tt} (\pm \text{stat}, \pm \text{syst+lumi})$
ATLAS 2017 II	$66.0 \pm 4.5 \pm 2.0 \text{ pb}$
CMS 2017 $e\mu$	$60.3 \pm 5.0 \pm 2.9 \text{ pb}$
CMS 2017 $e\mu$ + 2015 l +jets	$62.6 \pm 4.1 \pm 3.0 \text{ pb}$

Uncertainties (%)	ATLAS 2017	CMS 2017
ttbar modelling	1.6	1.7
Background modelling	1.5	2.2
Trigger	0.2	1.9
Lepton efficiency/scale	1.0	1.7
Jets, E_T^{miss} , b-tagging	0.2	2.5
Total expt. systematic	2.4	4.3
Integrated luminosity	1.8	1.5
Data statistics	6.8	8.2
Total uncertainty	7.5	9.1

Results in excellent agreement 😊

- ATLAS dilepton result has significantly smaller systematics
 - In particular for jets (double tag technique), also for leptons and trigger
 - Also slightly smaller statistical uncertainty (use of same-flavour events), which is the dominant effect, as systematics \ll statistical error for both analyses
 - CMS combined measurement has uncertainty of 7.9%, c.f. ATLAS 2017 7.5%
 - Neither measurement has exploited 2017 l +jets data yet



Conclusions and outlook



- Presented a new ATLAS measurement of $t\bar{t}$ cross-section at $\sqrt{s}=5.02$ TeV
 - Based on $e\mu+b$ -tagged jets technique used at 7, 8, 13 TeV, extended to also include same flavour channels, and optimised for low-statistics sample
 - Result has an uncertainty of 7.5%, dominated by data statistical uncertainty
 - Agrees with NNLO+NNLL predictions derived using several modern PDF sets
 - Also agrees with a recent CMS measurement with 7.9% uncertainty, from combination of 2017 $e\mu$ and 2015 $l+jets$ (10x smaller sample)
- To fully exploit the 5.02 TeV data samples, analysis of 2017 $l+jets$ events and combination of ATLAS and CMS results would be useful
 - May then allow discrimination between different PDF sets
 - Ratio measurements e.g. between 13 and 5 TeV could also be useful