



# *The Borexino heritage: pp and CNO solar $\nu$*

University of Manchester - via ZOOMLand  
June 4<sup>th</sup>, 2021

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- Science

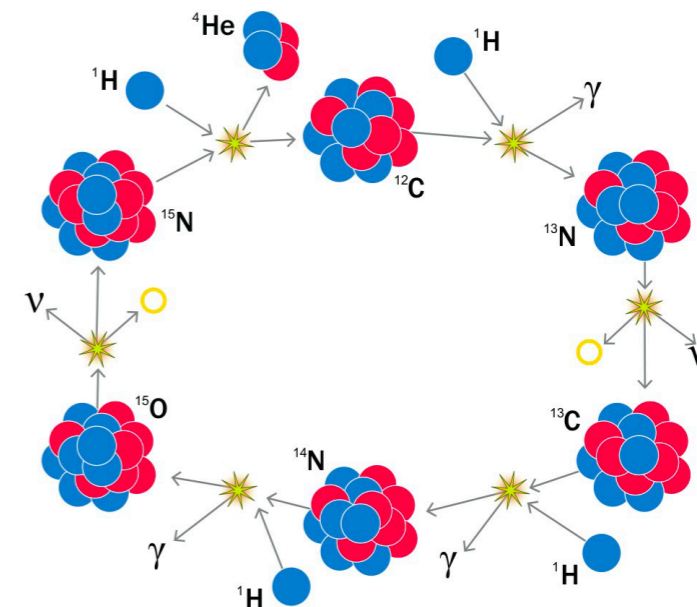
- Solar and geo-neutrinos



- Technology: the most radio-pure detector ever built

- Results

- The *pp chain* and the **MSW mechanism**
- Intermezzo: geo-neutrinos
- The *CNO cycle*
  - Challenges, Strategy, Results



- Conclusions and prospectives

- The question concerning the **energy source feeding the Sun** (and stars) is as ancient as humankind
- Hot scientific debate in the late XIX century
  - Know sources (chemistry, gravity) **cannot sustain the Sun long enough** for **geology** and **biology**
    - Controversy between Lord Kelvin and Charles Darwin



- **1920: Francis Aston** invents the **mass spectrometer** and proves that the  $^4\text{He}$  atom is lighter than 4 H atoms
- **1920:** In a famous address to the British Association for the Advancement of Science **Arthur Eddington** points out that the **conversion of hydrogen into helium would provide easily enough energy**, adding prophetic words:

*‘If, indeed, the sub-atomic energy in the stars is being freely used to maintain their great furnaces, it seems to bring a little nearer to fulfilment our dream of controlling this latent power for the well-being of the human race---or for its suicide’*

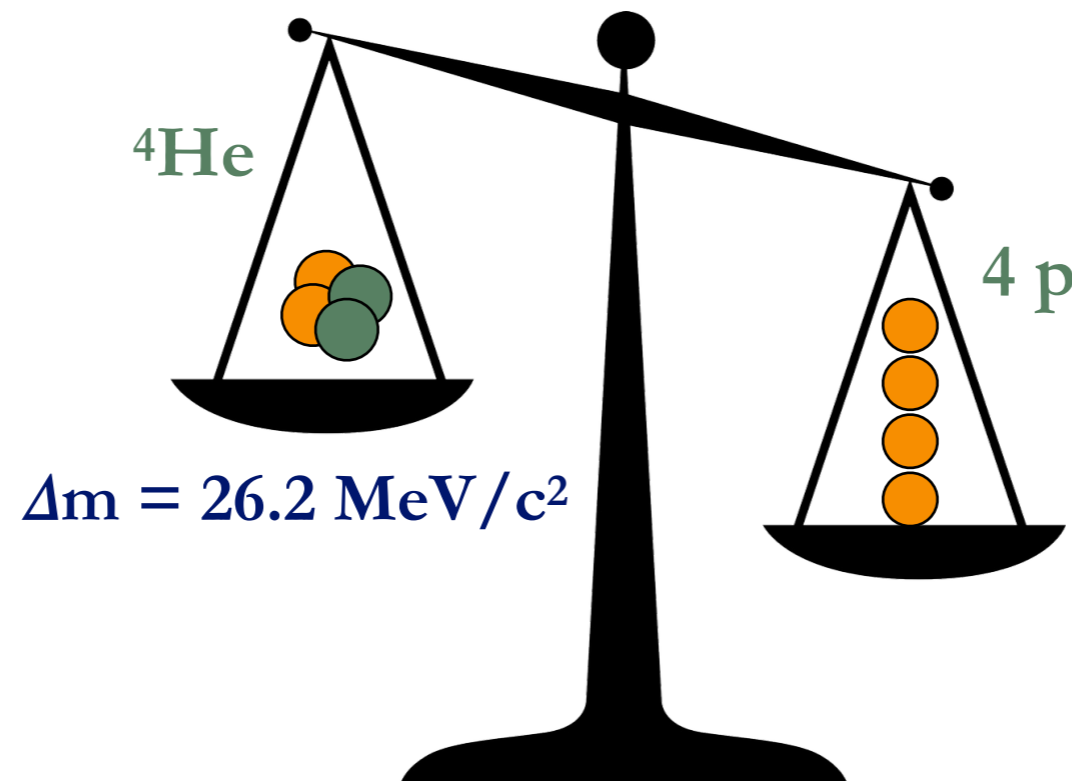
- The long standing debate about **solar** (and other stars) **energy source** was *theoretically* solved in 1938

F. W. Aston - 1920

A.S. Eddington Observatory 43 (1920), Nature (1920)



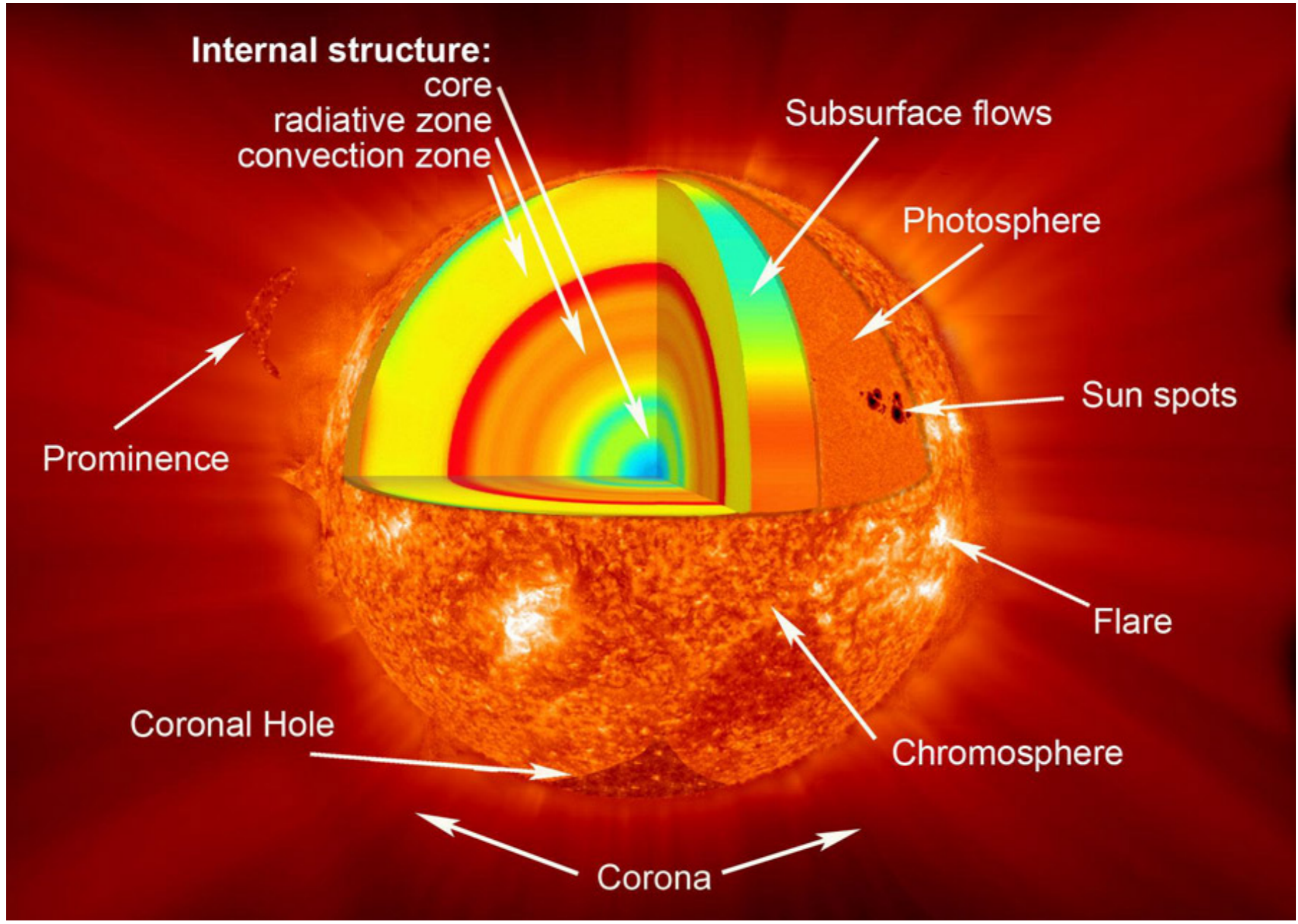
Carl F. von Weizsäcker



Hans Bethe (Nobel 1967)

- **Hydrogen burning** through **two** fusion paths:
  - The **pp chain**, initiated by **direct pp fusion**
  - The **CNO cycle**, **catalysed** by the presence of **C, N and O** in the core
- Initially, CNO was (wrongly) believed to be dominant in the Sun because of the poorly known solar core temperature

# The structure of the Sun

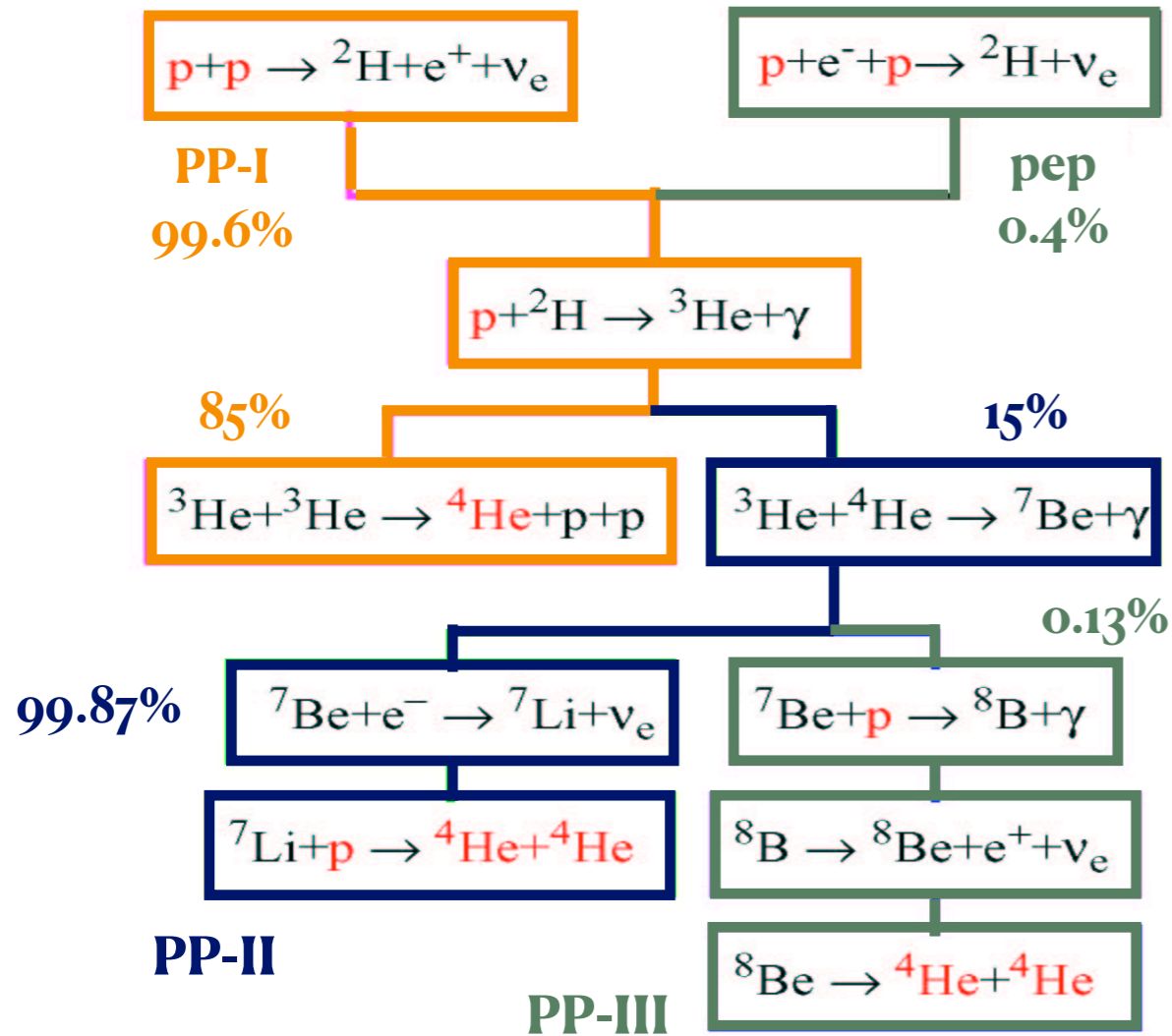


# Solar neutrinos from hydrogen burning

A.S. Eddington Observatory 43 (1920), Nature (1920)

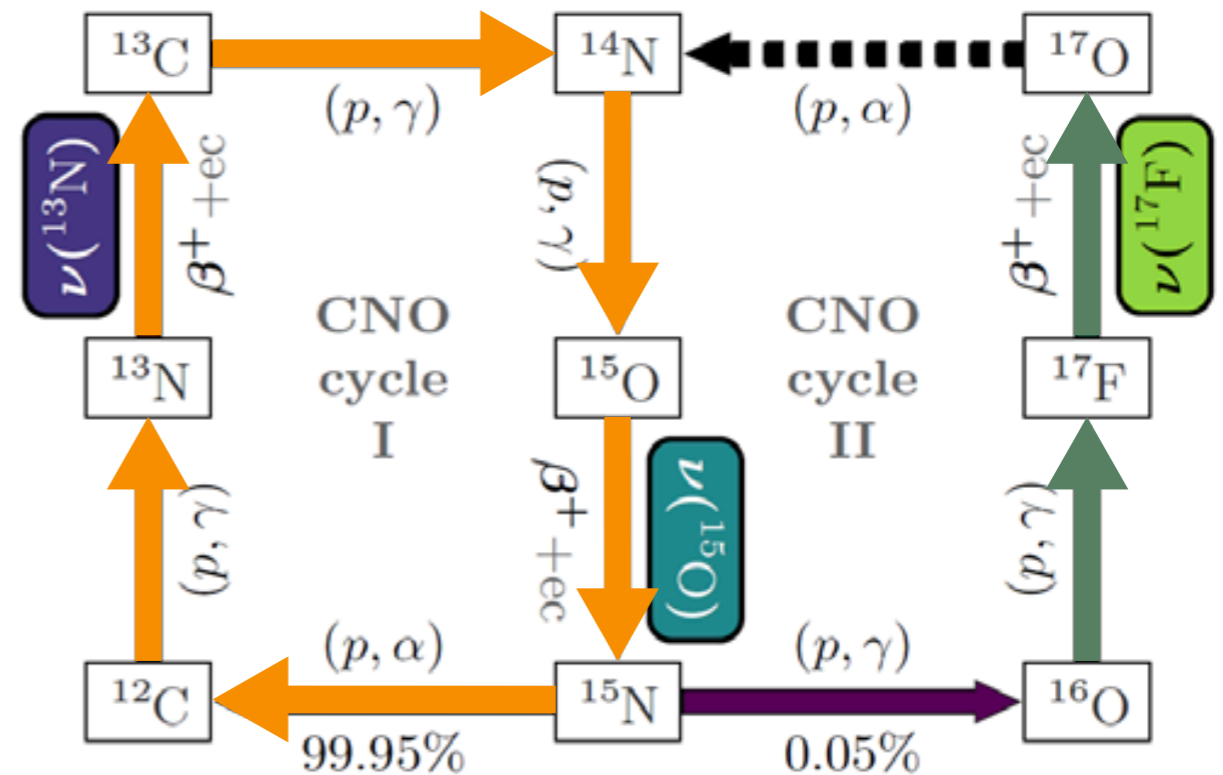
Bethe & Critchfield 1938

## pp chain (99% energy)



## CNO cycle (~ 1% energy)

Weizsäcker (1937, 1938),  
Bethe (1939)



${}^{12}\text{C}$  is the main catalyst  
CNO-II is suppressed in the Sun

### REACTION



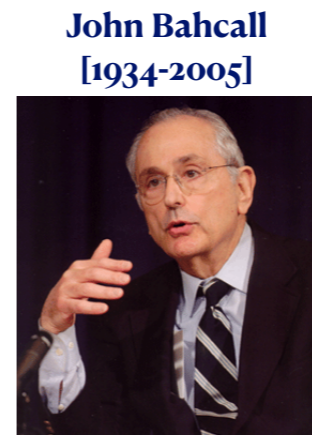
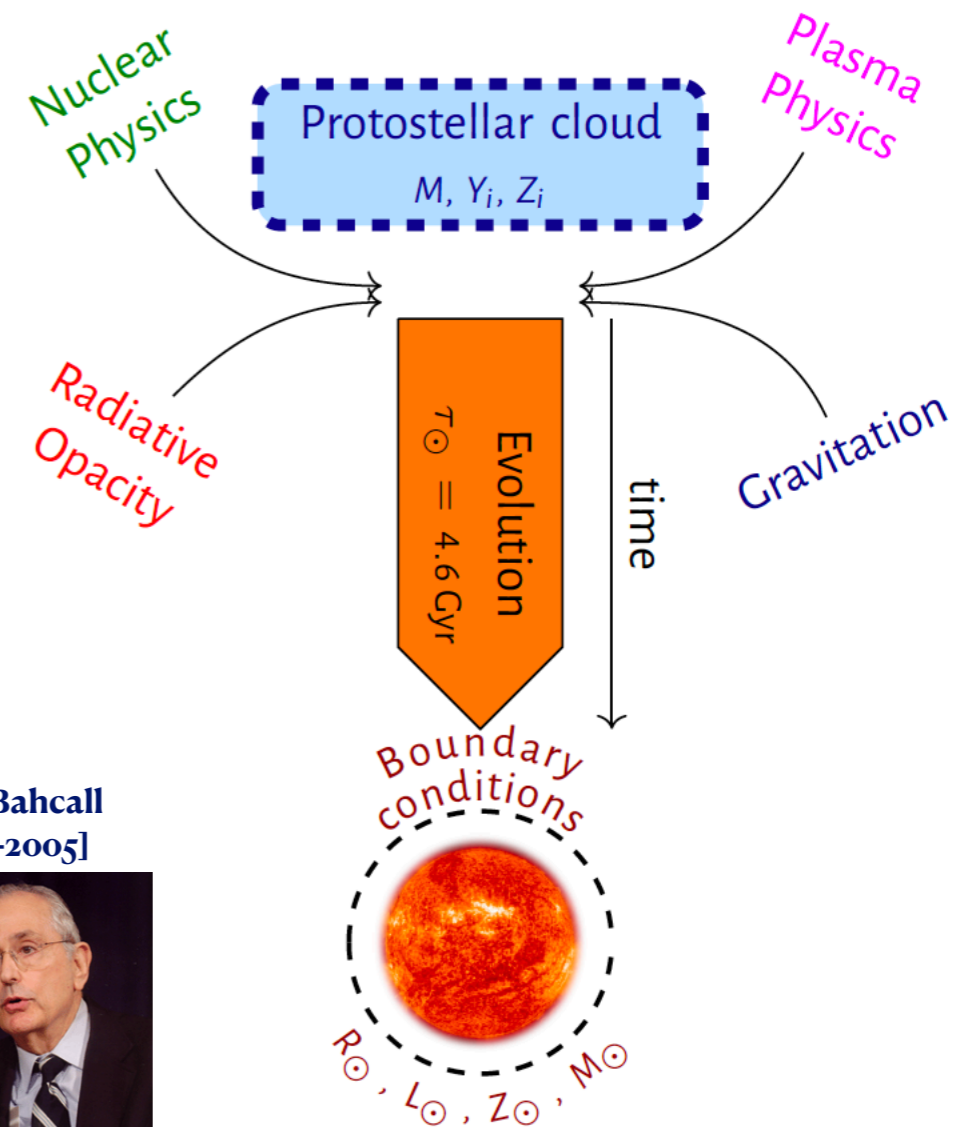
### ENERGY YIELD

$$24.7 \text{ MeV} + 2m_e c^2$$

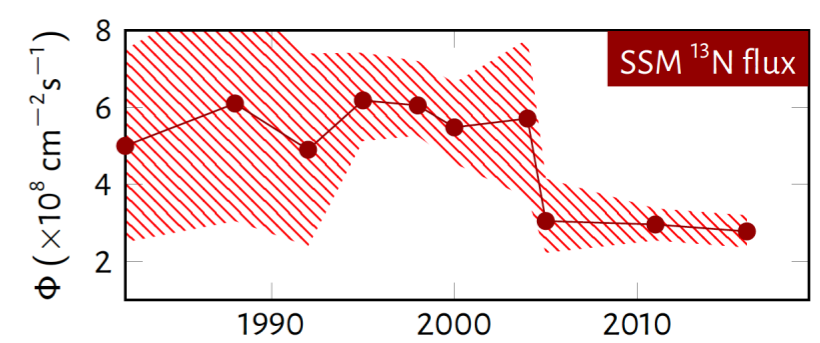
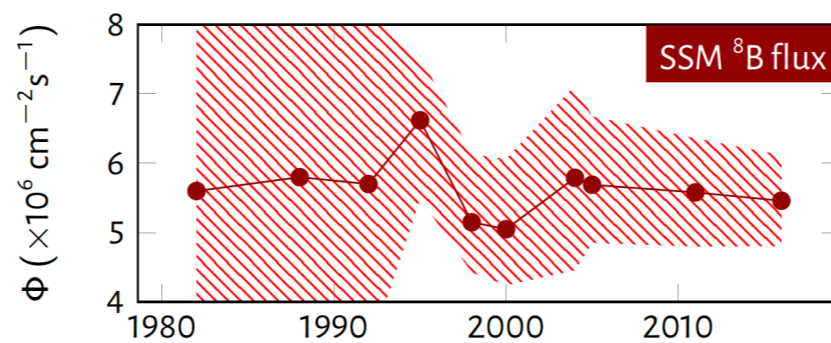
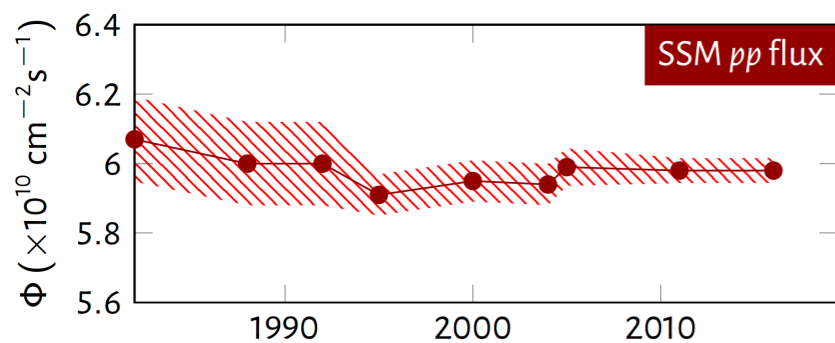
### 2% of E in NEUTRINOS

$$\langle E_\nu \rangle = 0.53 \text{ MeV}$$

- The observable is the Sun we see now, which depends on a **complex evolution process**
  - Gravity
  - **Composition:** X (hydrogen), Y (helium), , Z (“metals”)
  - Radiative **opacity** and plasma physics
  - Temperature and density profiles
  - Energy transport: radiative until  $0.71 R_{\odot}$ , then convective
- Today's conditions act as boundary conditions
  - Two crucial **observables**:
    - Elio-seismology
    - **Solar neutrinos**

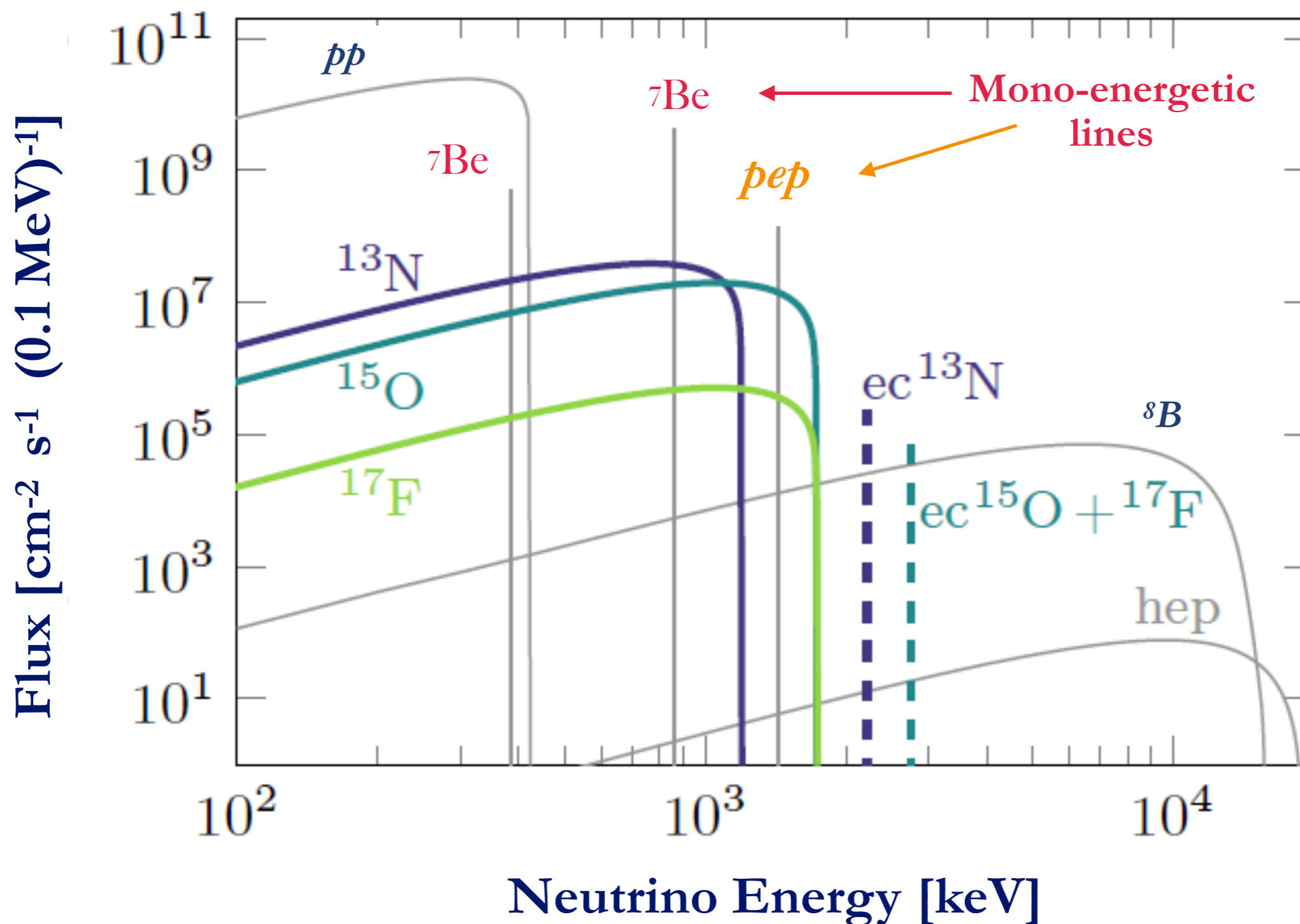


- The model as well has evolved (better cross sections, opacity and diffusion models)



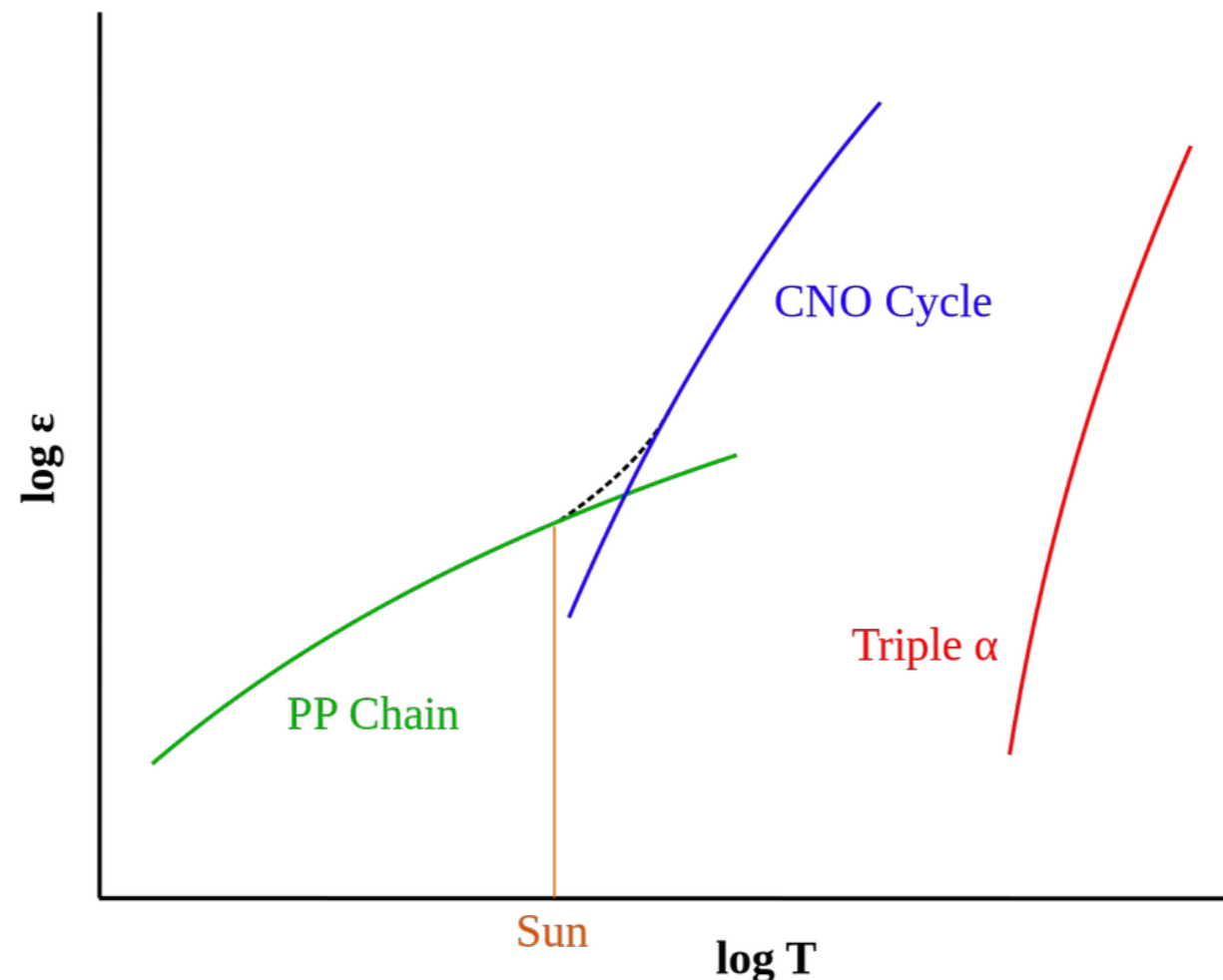
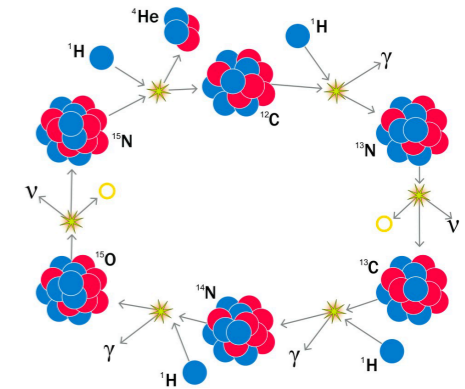
# Neutrino spectrum from the SSM

$$L_{\odot} = 3.846 \pm 0.015 \cdot 10^{26} \text{ W}$$





- **CNO is dominant in stars heavier than  $1.3 M_{\odot}$** 
  - Never directly observed before Borexino
  - Crucial for stellar evolution and nucleosynthesis in the Universe
- Also, a **unique probe** of core's chemical composition
  - CNO role in the Sun poorly understood (“metallicity problem”)

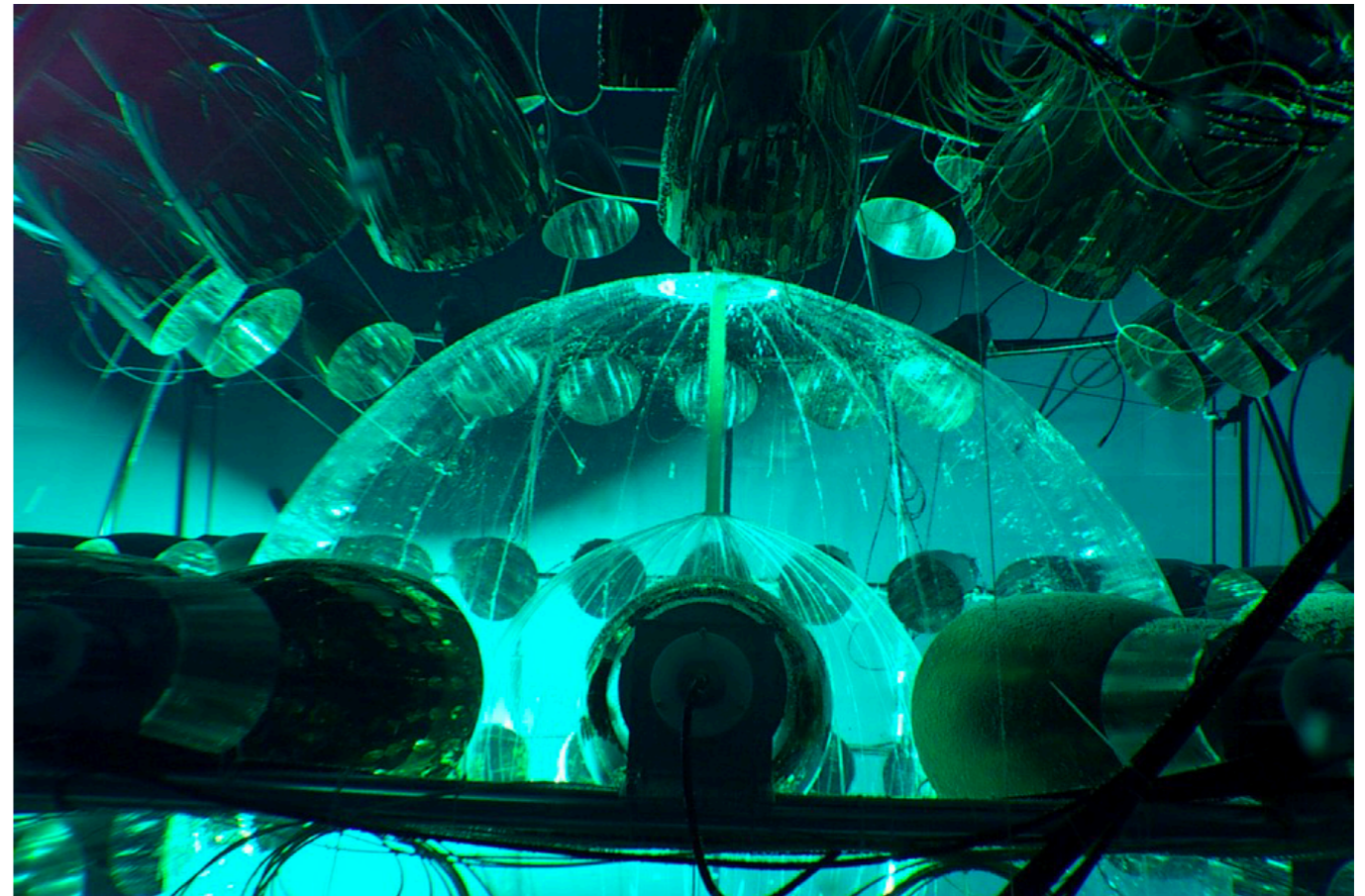


The CNO cycle is the most important mechanism of **hydrogen burning** of the Universe

- **1990: BOREX** idea abandoned, in favour of **elastic scattering on  $e^-$** 
  - A smaller detector was needed, **BOREX(ino)** was born!

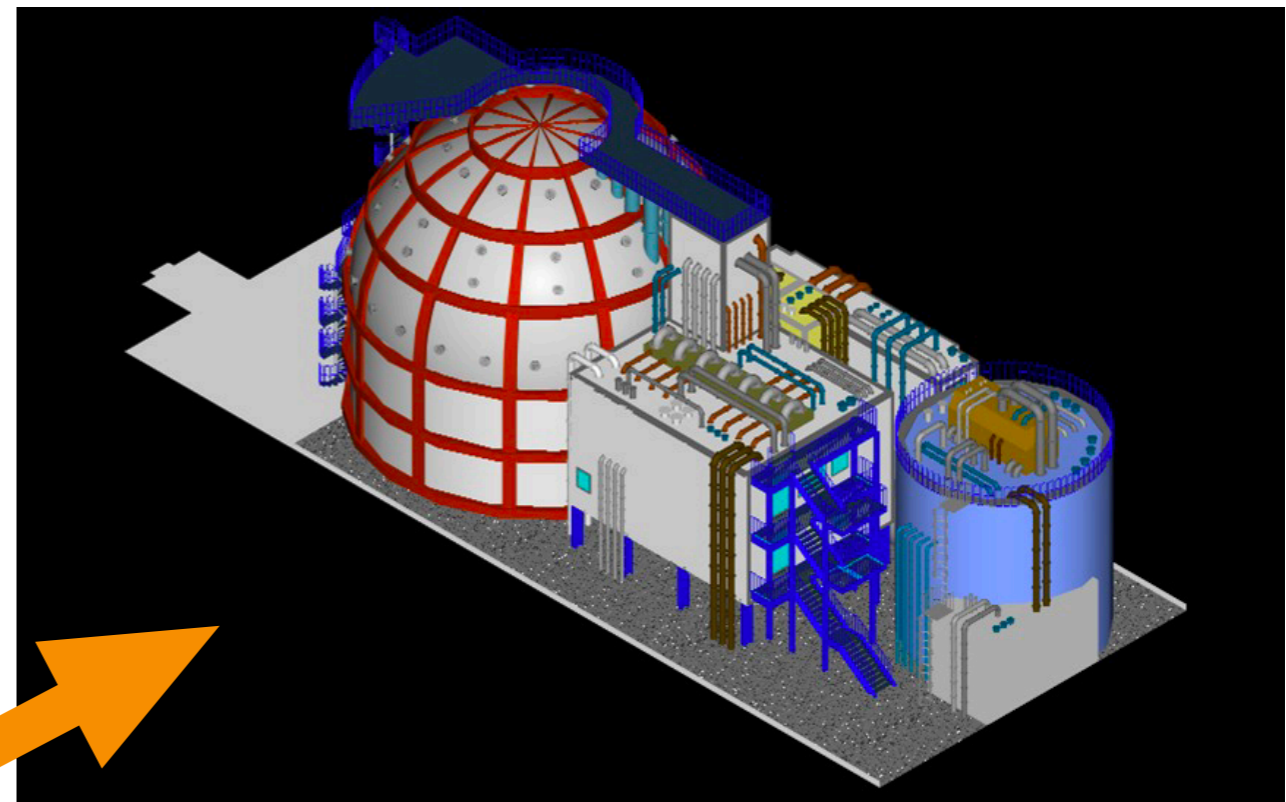
## The Counting Test Facility at LNGS

- **1992-1995:** prototype and R&D
- **1995:** the CTF at LNGS proves that Borexino can be done !
  - Record radio-purity was measured (at that time):
    - $^{238}\text{U}$  and  $^{232}\text{Th}$  **below  $10^{-16}$  g/g**
    - $^{14}\text{C}/^{12}\text{C}$  **below  $10^{-18}$**



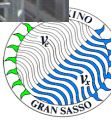
- **1996-2007:** construction, with great care, and some environmental hurdles...
- **2007:** data taking begins. Still continuing today, with no stops.



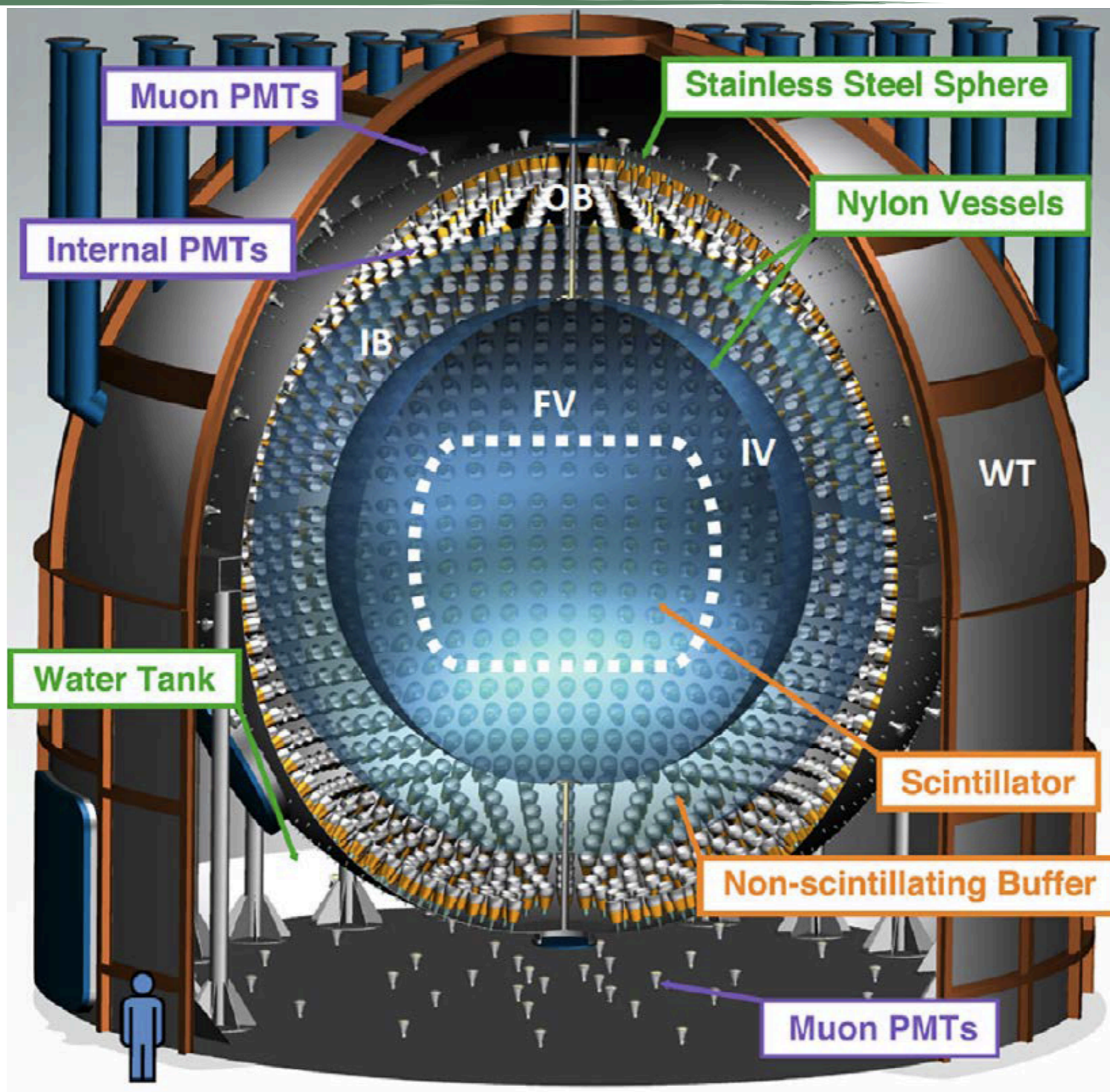


Laboratori Nazionali del Gran Sasso  
(Hall C)

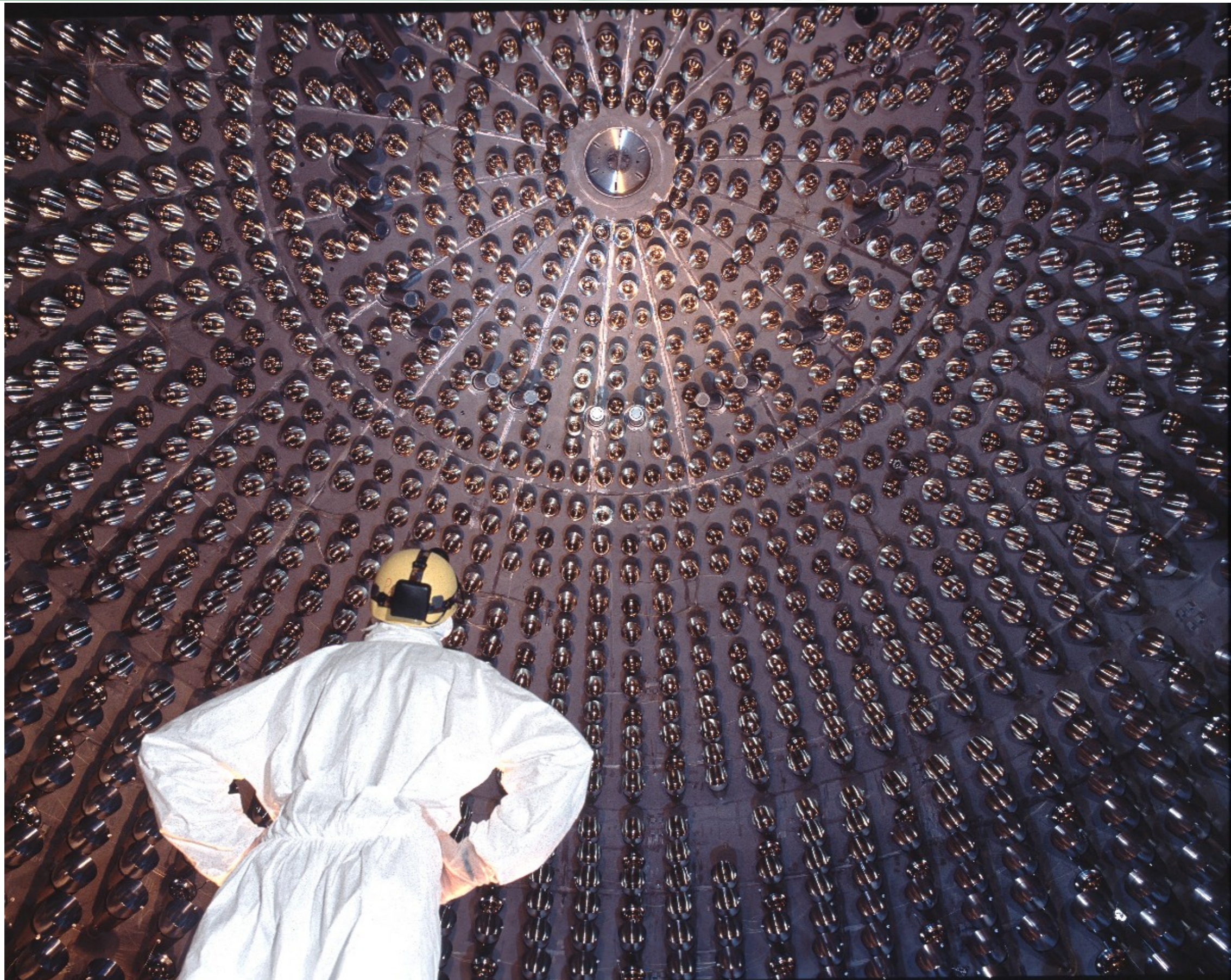
Rock: 3.800 m w.e. – muon flux  $\sim 25 \text{ m}^{-2} \text{ d}^{-1}$



# The detector



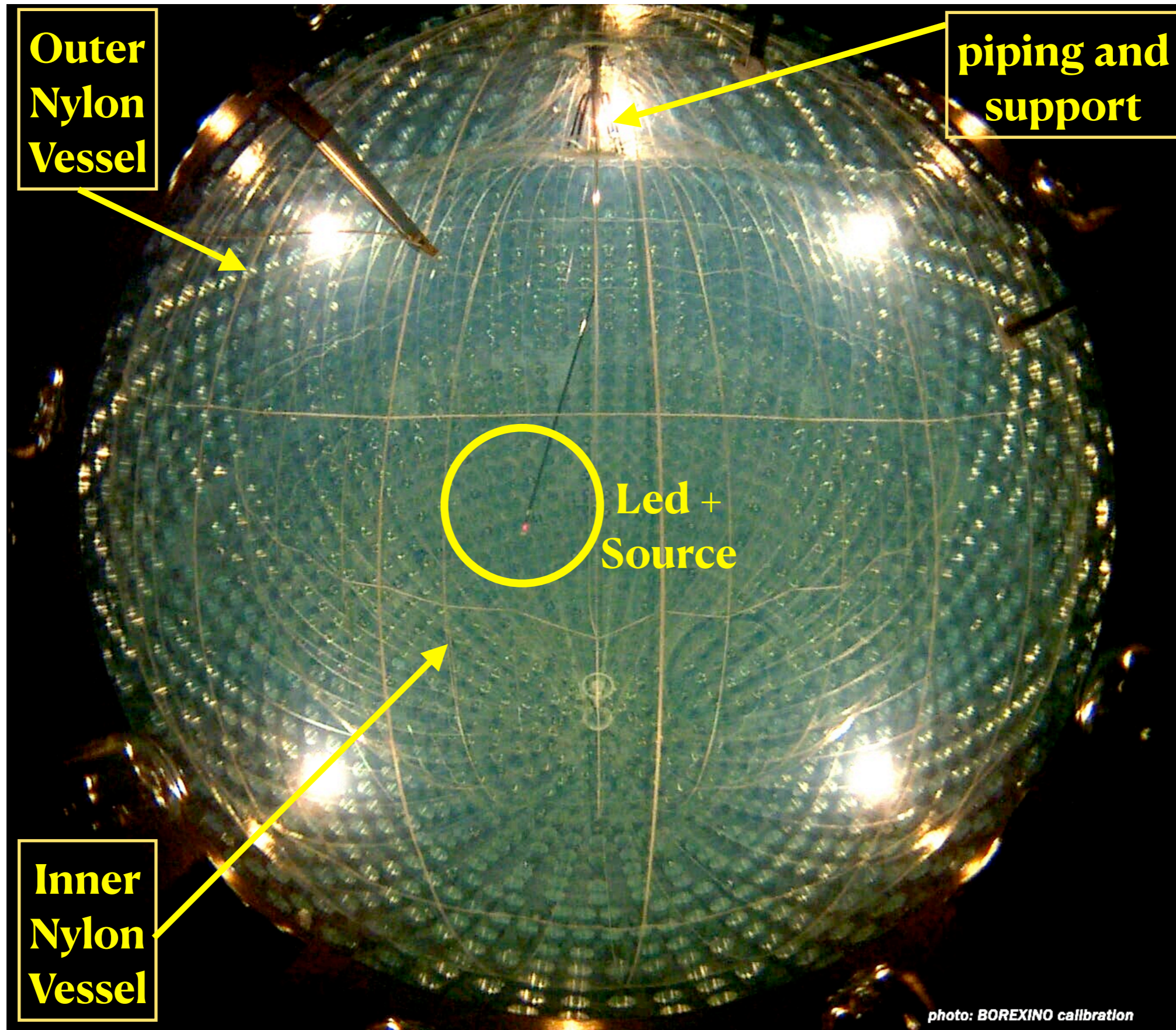
# Internal view, empty



# Internal view: inflated vessels (with N<sub>2</sub>)

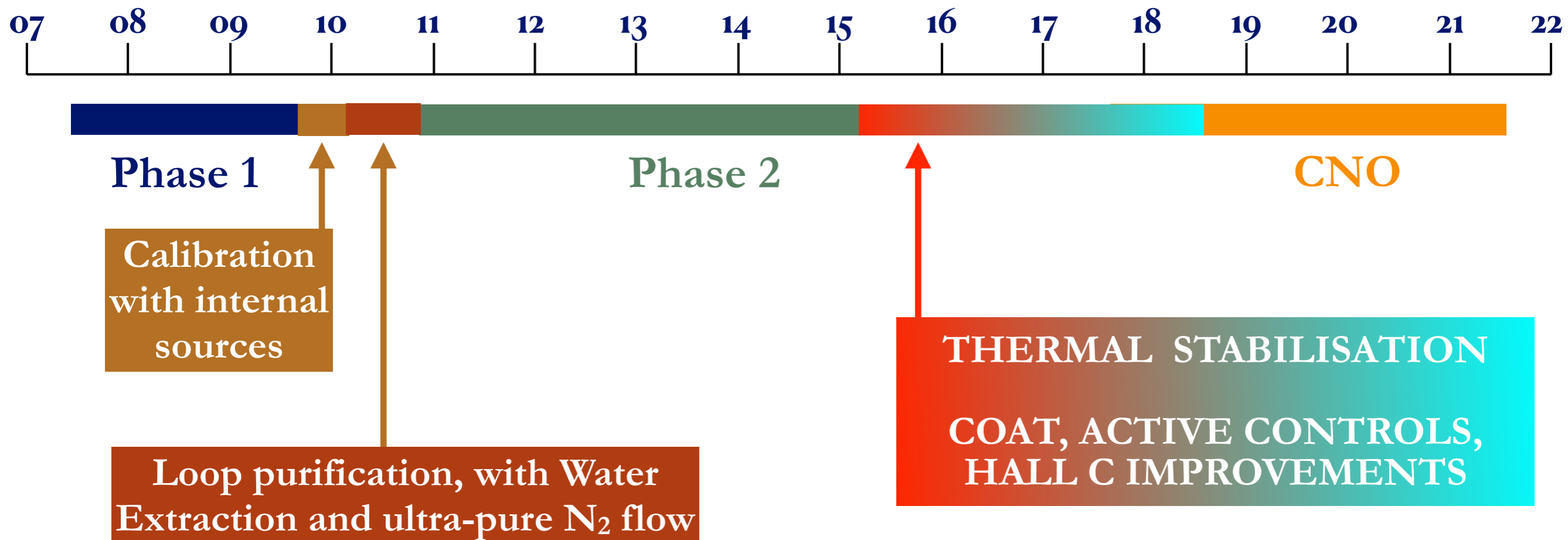


# Internal view, filled, during calibration in 2009

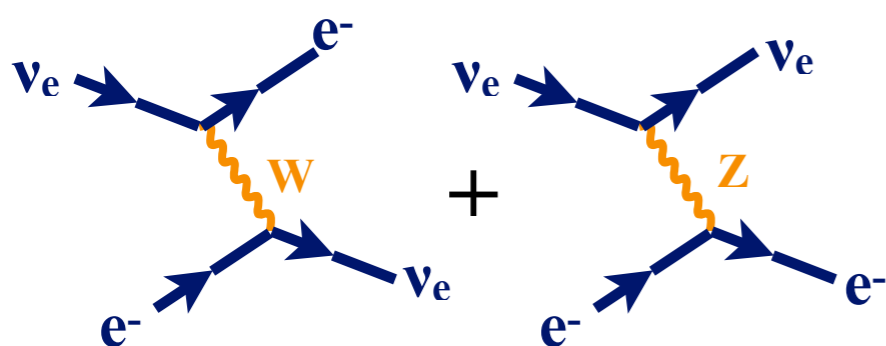




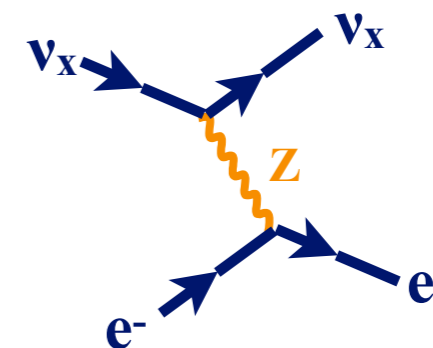
- 3 data taking periods
  - **Phase I** (2007-2009): first detections
  - **Phase II** (2011-2017): pp chain precise measurements
  - **Phase III** (2018-2020): **CNO measurement**



- Elastic scattering on  $e^-$ : detects **all**  $\nu$  flavours, with a **larger cross-section** for  $\nu_e$

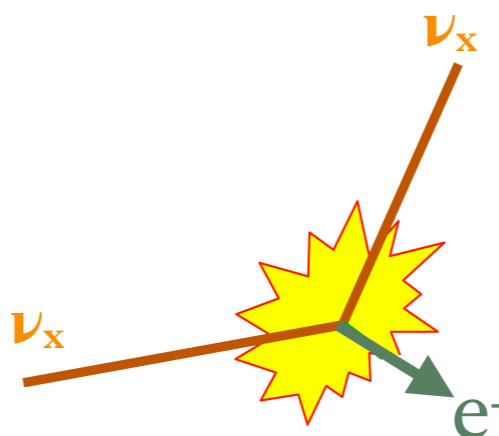


$$\xi = \sin^2 \theta_W \simeq 0.23$$



$$\sigma(\nu_e e^-) = \frac{G_F^2 s}{\pi} \left[ \left( \frac{1}{2} + \xi \right)^2 + \frac{\xi^2}{3} \right]$$

$$9.5 \cdot 10^{-45} \text{ cm}^2 \quad @ 1 \text{ MeV}$$



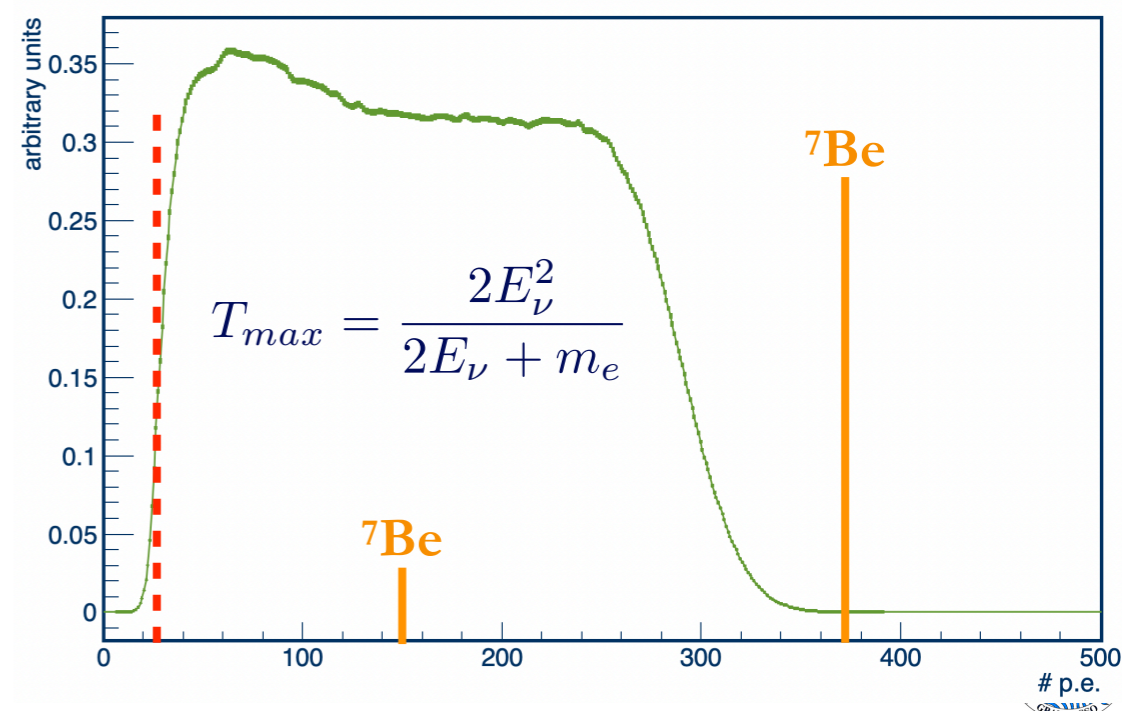
$$\sigma(\nu_x e^-) = \frac{G_F^2 s}{\pi} \left[ \left( \frac{1}{2} - \xi \right)^2 + \frac{\xi^2}{3} \right]$$

$$1.8 \cdot 10^{-45} \text{ cm}^2 \quad @ 1 \text{ MeV}$$

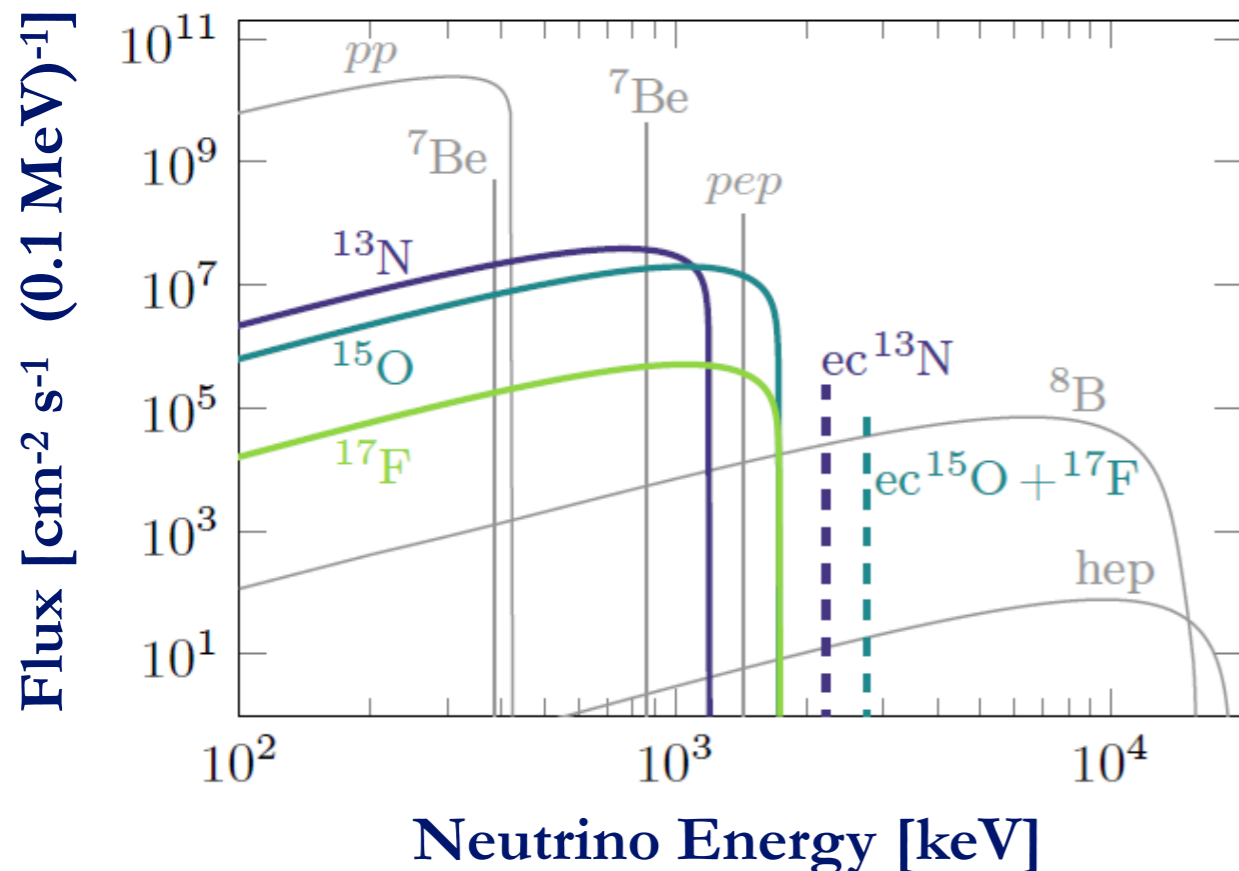
- The  $e^-$  is scattered in the **liquid scintillator**:

- path: few mm
- physics thresh.: very small
- triggering thresh.:  $\sim 40 \text{ keV}$  (dep.)
- analysis thresh.:  $\sim 200 \text{ keV}$

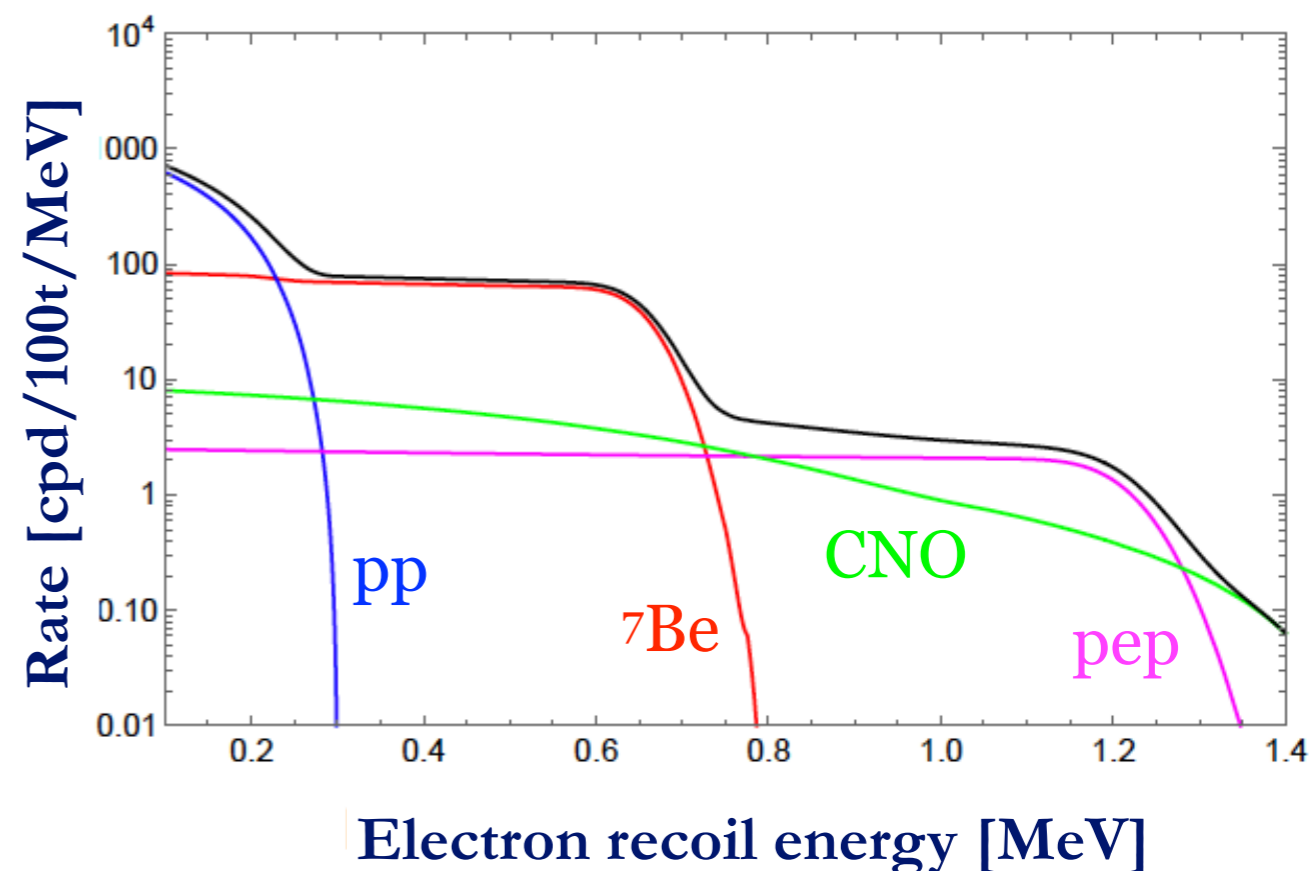
**SIGNATURE: 'Compton' shoulders**



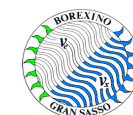
## SOLAR NEUTRINO SPECTRUM



## DETECTION RATE IN BOREXINO

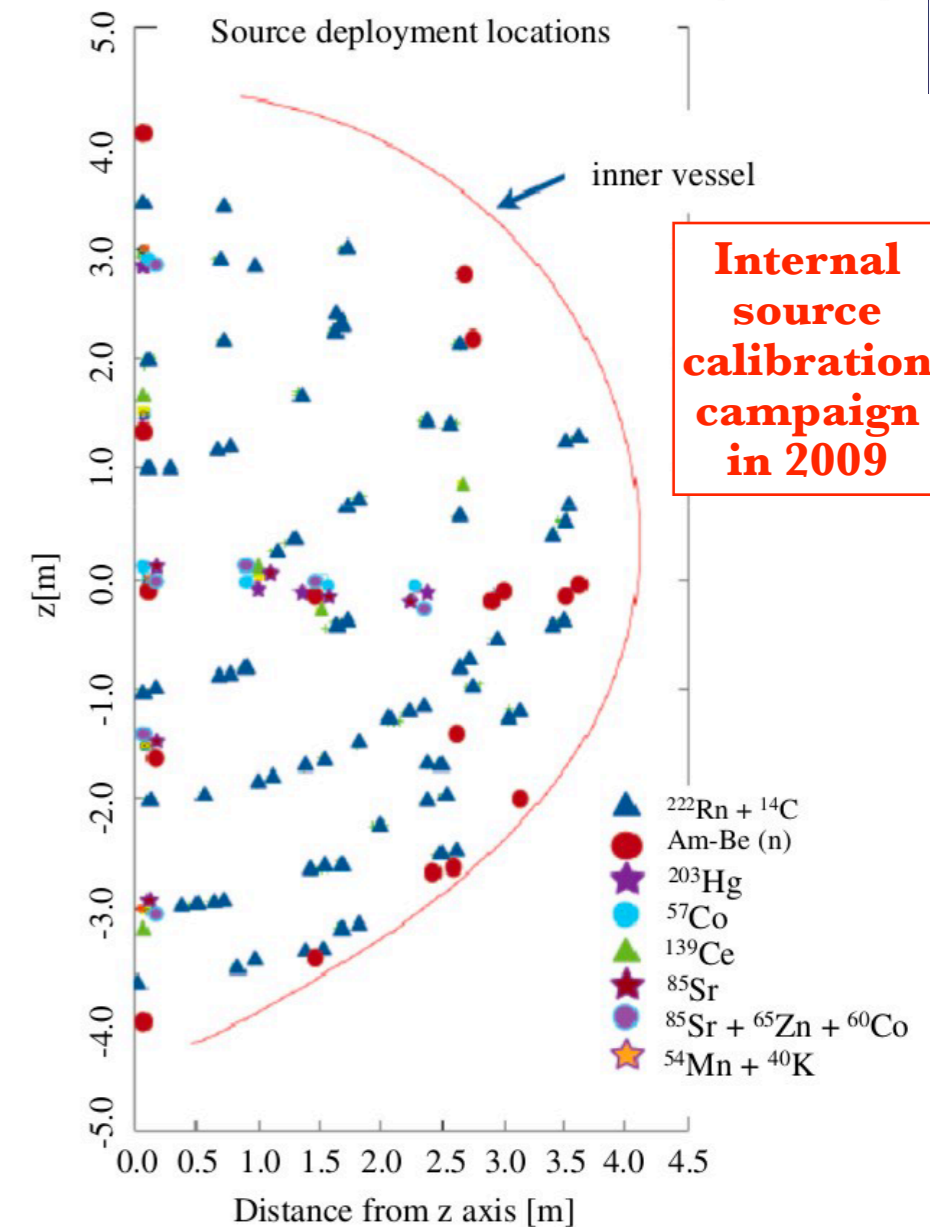


| Source        | Count Rate [cpd/100t/d] | Comments on detection                            | First detection in BX |
|---------------|-------------------------|--|-----------------------|
| $^7\text{Be}$ | ~ 48                    | Clear signature on the shoulder                  | 2007                  |
| $^8\text{B}$  | < 1                     | Small, but high energy, low background           | 2010                  |
| pep           | ~ 3                     | Weak signature on top of $^{11}\text{C}$         | 2012                  |
| pp            | ~ 140                   | Low energy, partially covered by $^{14}\text{C}$ | 2014                  |
| CNO           | ~ 5                     | Small signal, migrating background (see talk)    | 2020                  |
| hep           | Not measurable today    | Signal too low, mostly covered by $^8\text{B}$   | never                 |

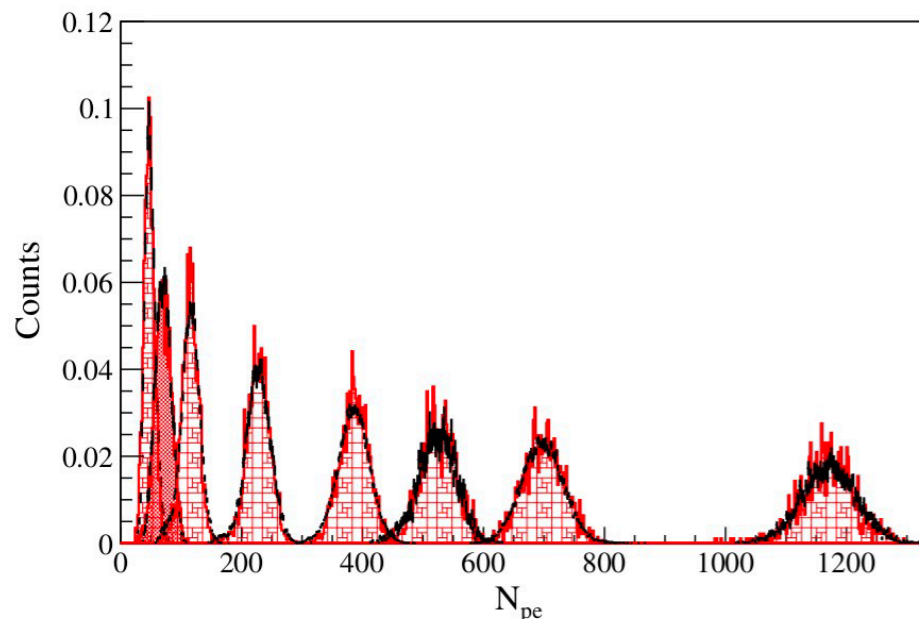


# Detector response

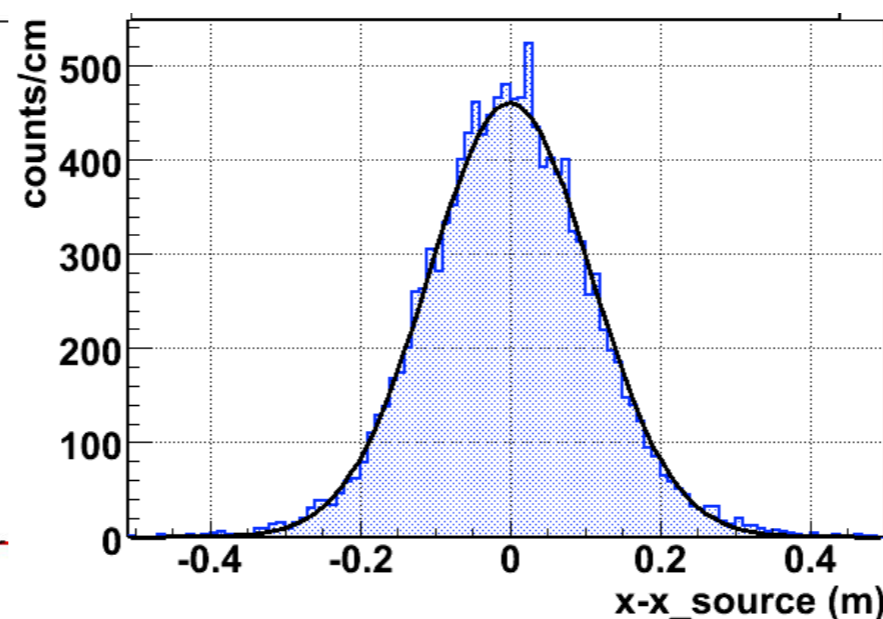
- Large liquid scintillator signal yields:
  - # photo-electrons:
    - energy: **6% @ 1 MeV**
  - time-of-flight:
    - position: **~11 cm @ 1 MeV**
  - pulse shape:
    - very good  $\alpha/\beta$  and (weak)  $\beta^+/\beta^-$  discrimination



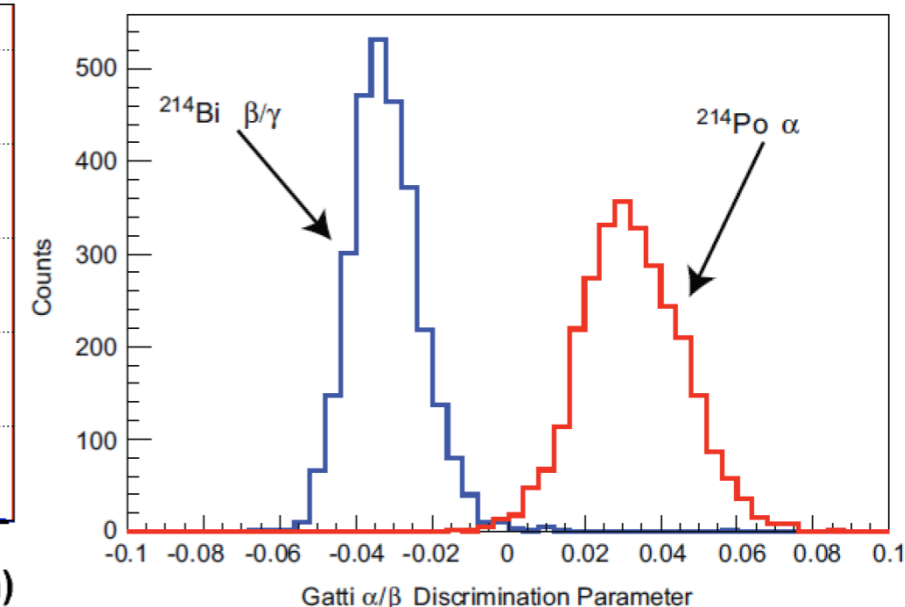
Energy:  $\gamma$  sources



Position:  $^{214}\text{Po}$



$\alpha/\beta$ :  $^{214}\text{Bi} - ^{214}\text{Po}$



## ● Quasi-point-like energy deposits mimic neutrino events

### EXTERNAL

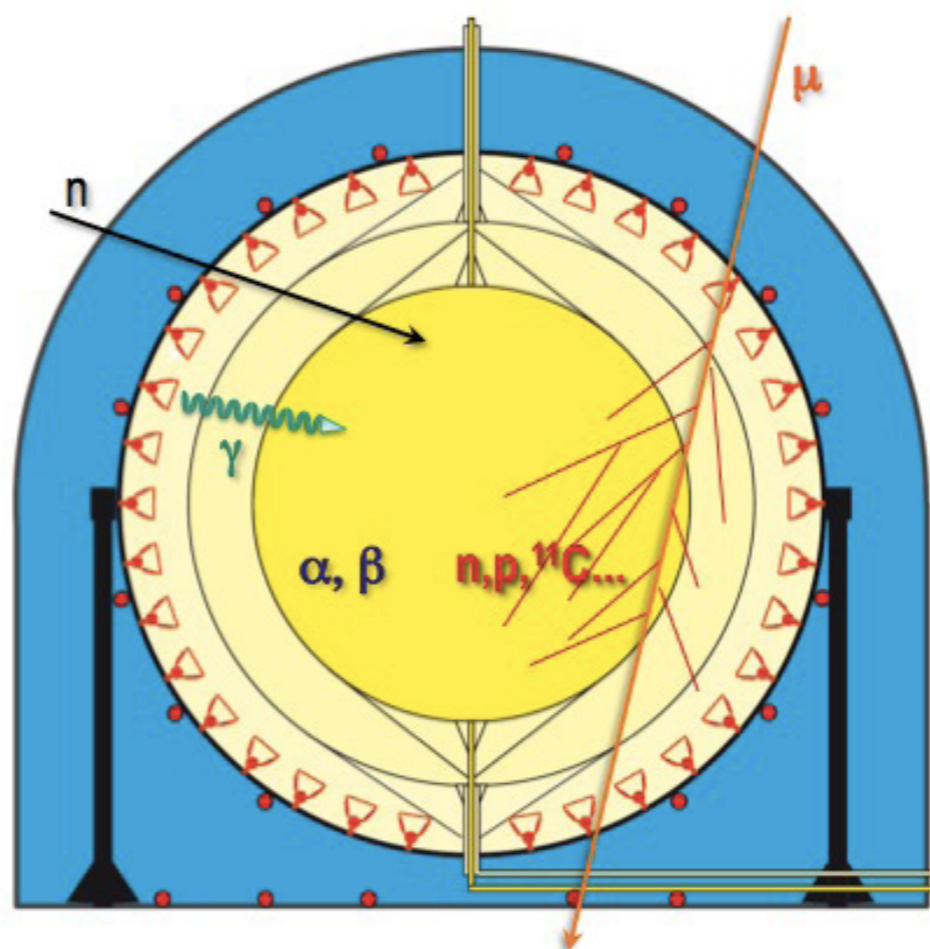
- $\gamma$ s (and n) from environment and detector materials (PMTs and SSS, mostly)

A tiny amount reaches FV

### INTERNAL

- $\alpha$  and  $\beta$  emitters dissolved in the scintillator

$^{14}\text{C}$ ,  $^{238}\text{U}$ ,  $^{232}\text{Th}$ ,  $^{40}\text{K}$ ,  $^{39}\text{Ar}$ ,  $^7\text{Be}$ , ...  
 $^{85}\text{Kr}$ ,  $^{210}\text{Pb}$ ,  $^{210}\text{Po}$



### COSMOGENIC

- Residual muons produce long living isotopes ( $\mu\text{s}$  to days range)

$^{11}\text{C}$ ,  $^8\text{He}$ ,  $^9\text{C}$ ,  $^9\text{Li}$ , ...

### MIGRATING

- Detaching from Nylon Vessel and transported by convection into the FV

$^{210}\text{Po}$ ,  $^{222}\text{Rn}$

## ● Quasi-point-like energy deposits mimic neutrino events

### EXTERNAL

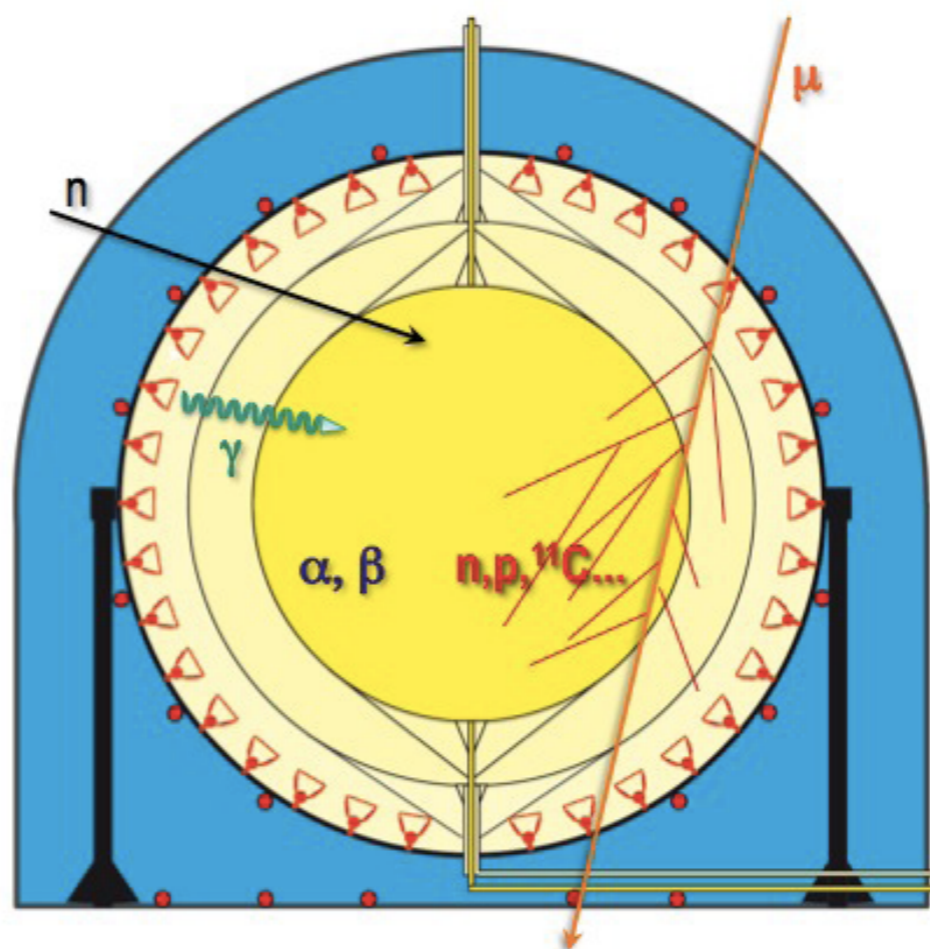
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### MIGRATING

- Detaching from Nylon Vessel and transported by convection into the FV

$^{210}\text{Po}$ ,  $^{222}\text{Rn}$

### FIGHTING STRATEGY

- Shielding, muon tagging and tracking
- Material selection (steel, PMTs, nylon)
- Nylon vessel (material selection, clean construction, no air exposure)

## Quasi-point-like energy deposits mimic neutrino events

### EXTERNAL

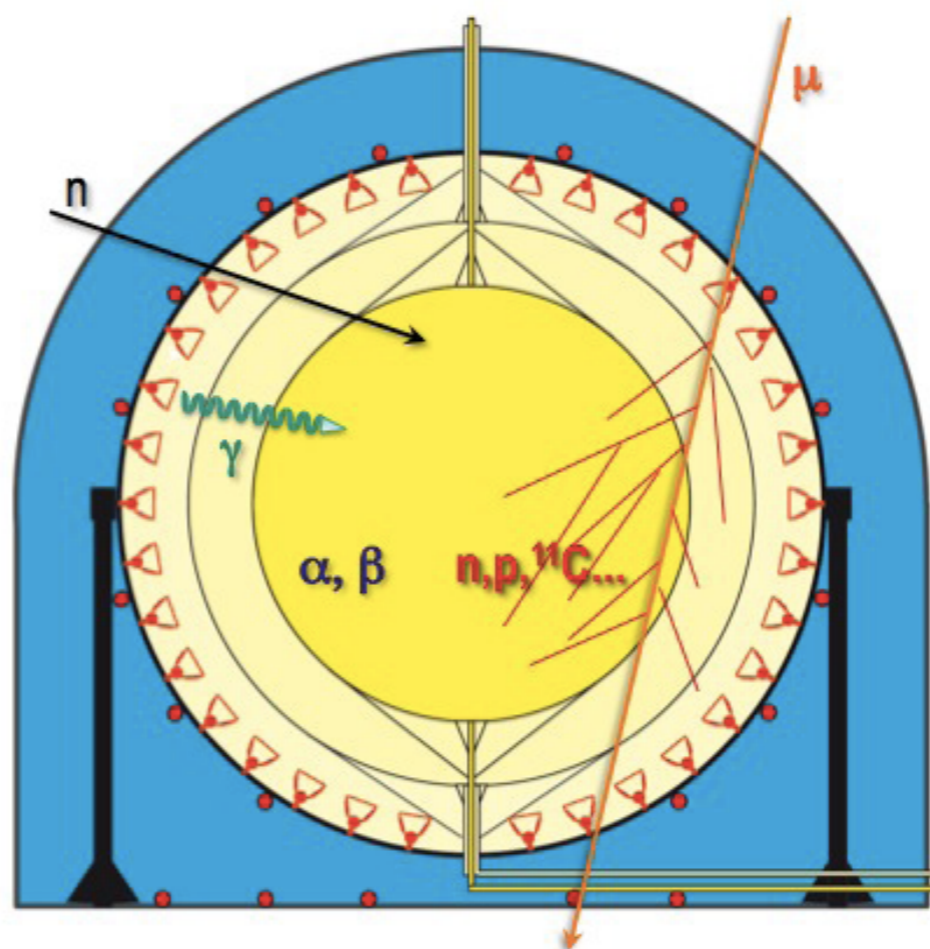
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### MIGRATING

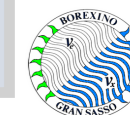
- Detaching from Nylon Vessel and transported by convection into the FV

$^{210}\text{Po}$ ,  $^{222}\text{Rn}$

### FIGHTING STRATEGY

- Selection of PC vendor for low  $^{14}\text{C}$ , dedicated plant, and custom transportation
- **Distillation of PC, Water Extraction of PC+PPO solution**
- Development of **low Ar and Kr  $\text{N}_2$**  to remove dissolved contaminants
- Extreme cleanliness of plants, carefully designed filling procedures

**A long story made short!**



## Quasi-point-like energy deposits mimic neutrino events

### EXTERNAL

- γs (and n) from environment and detector materials (PMTs and SSS, mostly)

A tiny amount reaches FV

### INTERNAL

- α and β emitters dissolved in the scintillator

$^{14}\text{C}$ ,  $^{238}\text{U}$ ,  $^{232}\text{Th}$ ,  $^{40}\text{K}$ ,  $^{39}\text{Ar}$ ,  $^7\text{Be}$ , ...  
 $^{85}\text{Kr}$ ,  $^{210}\text{Pb}$ ,  $^{210}\text{Po}$

### COSMOGENIC

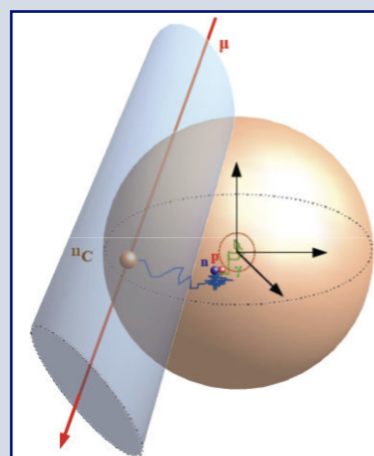
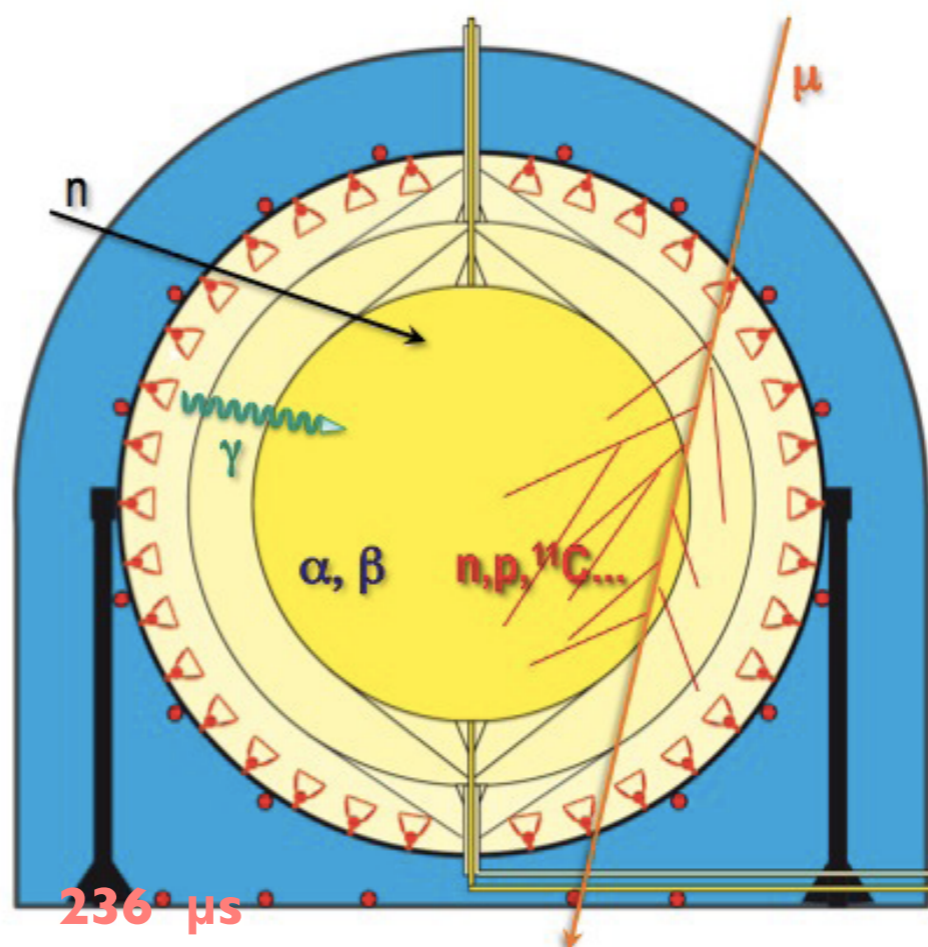
- Residual muons produce long living isotopes (μs to days range)

$^{11}\text{C}$ ,  $^8\text{He}$ ,  $^9\text{C}$ ,  $^9\text{Li}$ , ....

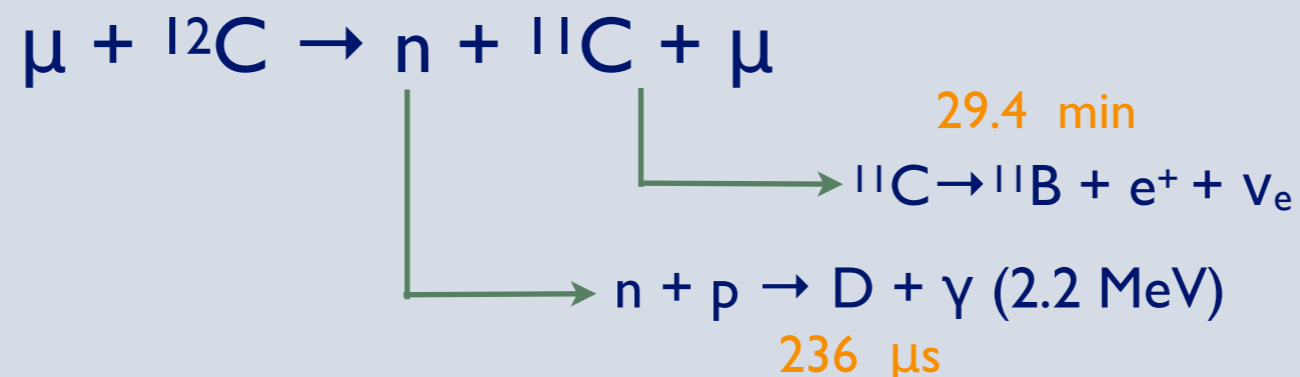
### MIGRATING

- Detaching from Nylon Vessel and transported by convection into the FV

$^{210}\text{Po}$ ,  $^{222}\text{Rn}$



### FIGHTING STRATEGY



Other isotopes: removed by “after muon” veto cuts



## Quasi-point-like energy deposits mimic neutrino events

### EXTERNAL

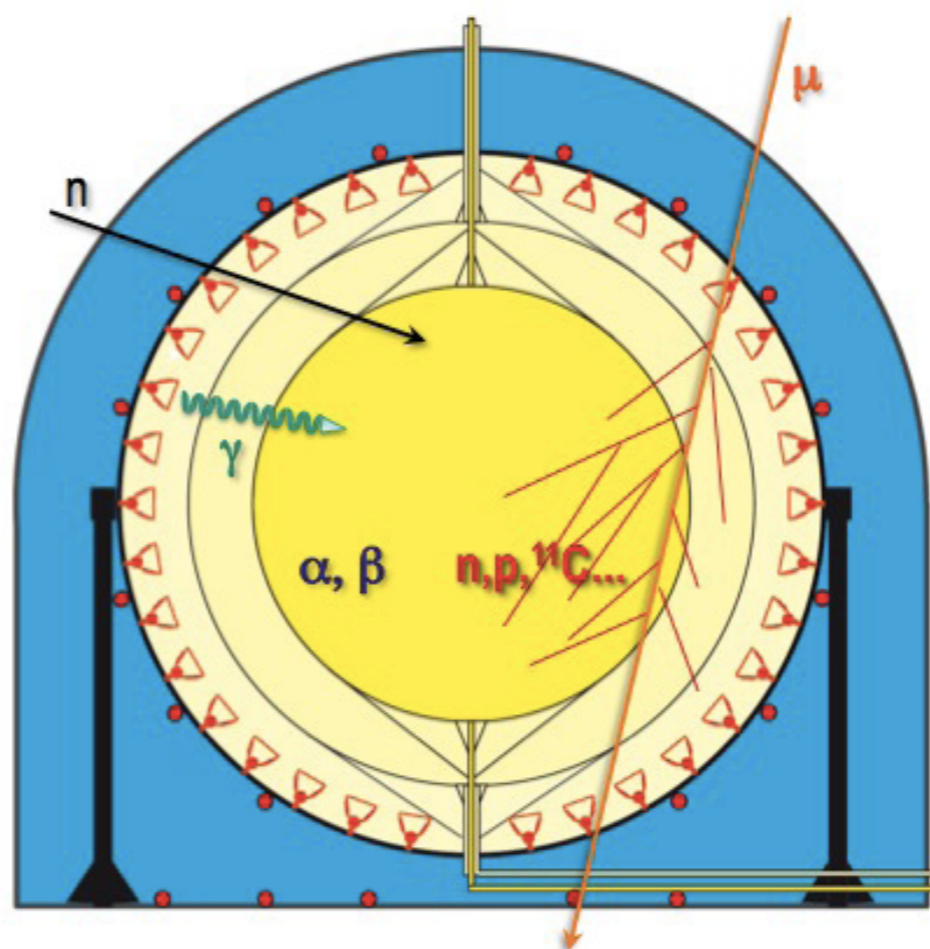
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### MIGRATING

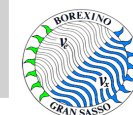
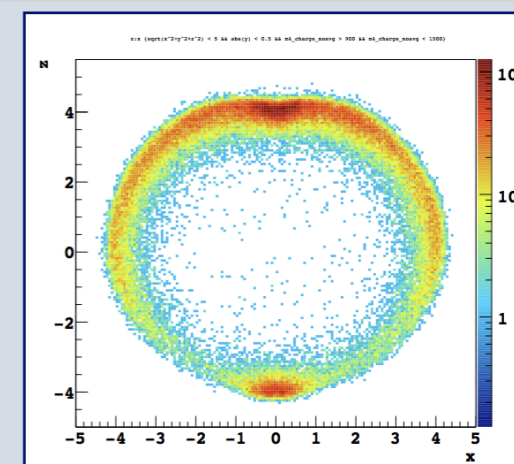
- Detaching from Nylon Vessel and transported by convection into the FV

$^{210}\text{Po}$ ,  $^{222}\text{Rn}$

### FIGHTING STRATEGY

- Isotopes detaching from IV may reach the FV
  - $^{210}\text{Po}$  (chiefly) and  $^{222}\text{Rn}$  daughters
- Leaching rate (chemistry) and speed (convection currents)
  - Only if they live long enough!

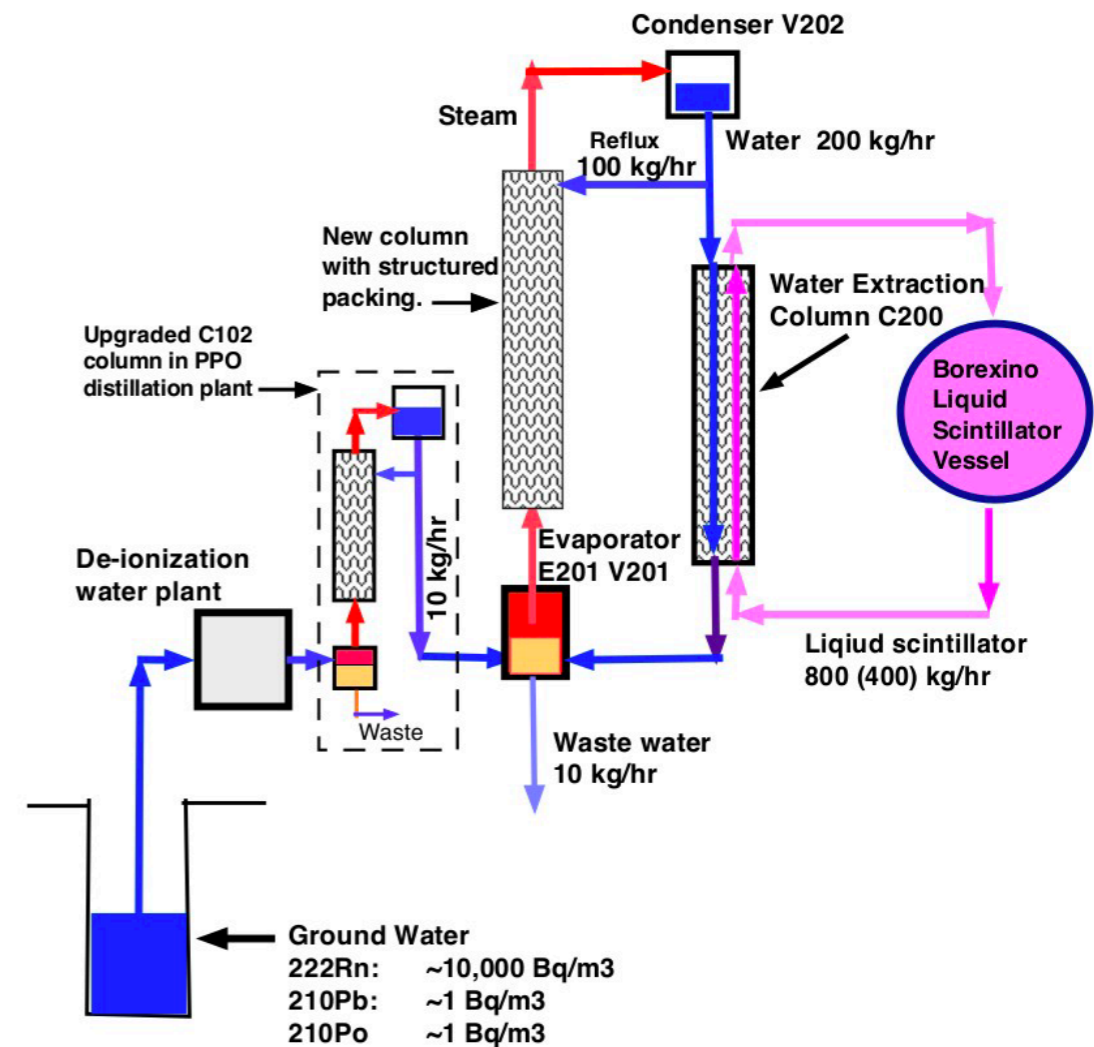
**See later**



- $\nu$  signal:  $\sim 140$  cpd/100 t (pp) and  $\sim 50$  cpd/100 t ( ${}^7\text{Be}$ ).  
The others are smaller. **CNO  $\sim 5$  cpd/100 t;**
- Compare with:
  - Good mineral water, air:  $\sim 10$  Bq/kg  $\Rightarrow 8.6 \cdot 10^{10}$  cpd/100 t  
Must be **10 orders of magnitude** better than “clean” materials.

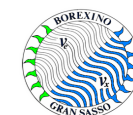
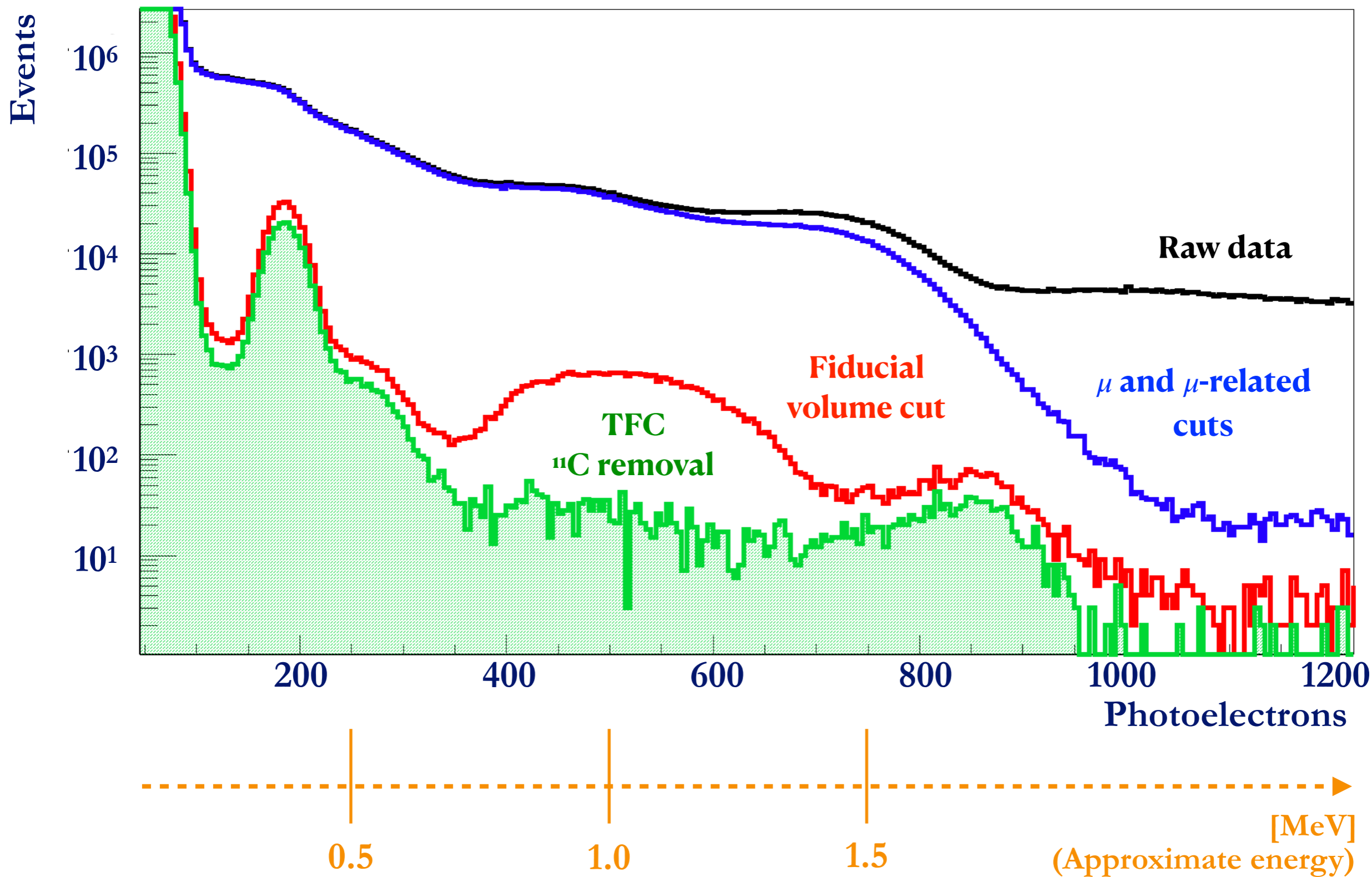
| Isotope                                     | Requirement      | Technique                       | Phase I                          | Phase II                   |
|---|------------------|---------------------------------|----------------------------------|----------------------------|
| ${}^{14}\text{C}$                           | $< 10^{-17}$ g/g | selection                       |                                  |                            |
| ${}^{238}\text{U}$                          | $< 10^{-16}$ g/g | Distillation, W.E.              | $1.6 \pm 0.1 \cdot 10^{-17}$ g/g | $< 9.4 \cdot 10^{-20}$ g/g |
| ${}^{232}\text{Th}$                         | $< 10^{-16}$ g/g | Distillation, W.E.              | $5.1 \pm 1 \cdot 10^{-18}$ g/g   | $< 5.7 \cdot 10^{-19}$ g/g |
| ${}^{85}\text{Kr}$                          | A few cpd/100 t  | $\text{N}_2$ flow               | $\sim 30$ cpd/100 t              | $\sim 5$ cpd/100 t         |
| ${}^{40}\text{K}, {}^{39}\text{Ar}$         | A few cpd/100 t  | Distillation, $\text{N}_2$ flow | Not visible                      | Not visible                |
| ${}^{210}\text{Po}$                         | Not too critical | Distillation, W.E.              |                                  |                            |
| ${}^{210}\text{Bi}$ ( ${}^{210}\text{Pb}$ ) | A few cpd/100 t  | Distillation, W.E.              | $\sim 40$ cpd/100 t              | $\sim 10$ cpd/100 t        |

- A key step toward pp and CNO  $\nu$ 
  - Water Extraction
    - Turbulent mixing with ultra-clean water to remove ions, much more soluble in water
  - Low Ar-Kr N<sub>2</sub> bubbling
    - Turbulent mixing with N<sub>2</sub> flow, to remove dissolved gasses
- Very successful on <sup>238</sup>U, <sup>232</sup>Th (chain heads), <sup>210</sup>Bi and <sup>85</sup>Kr

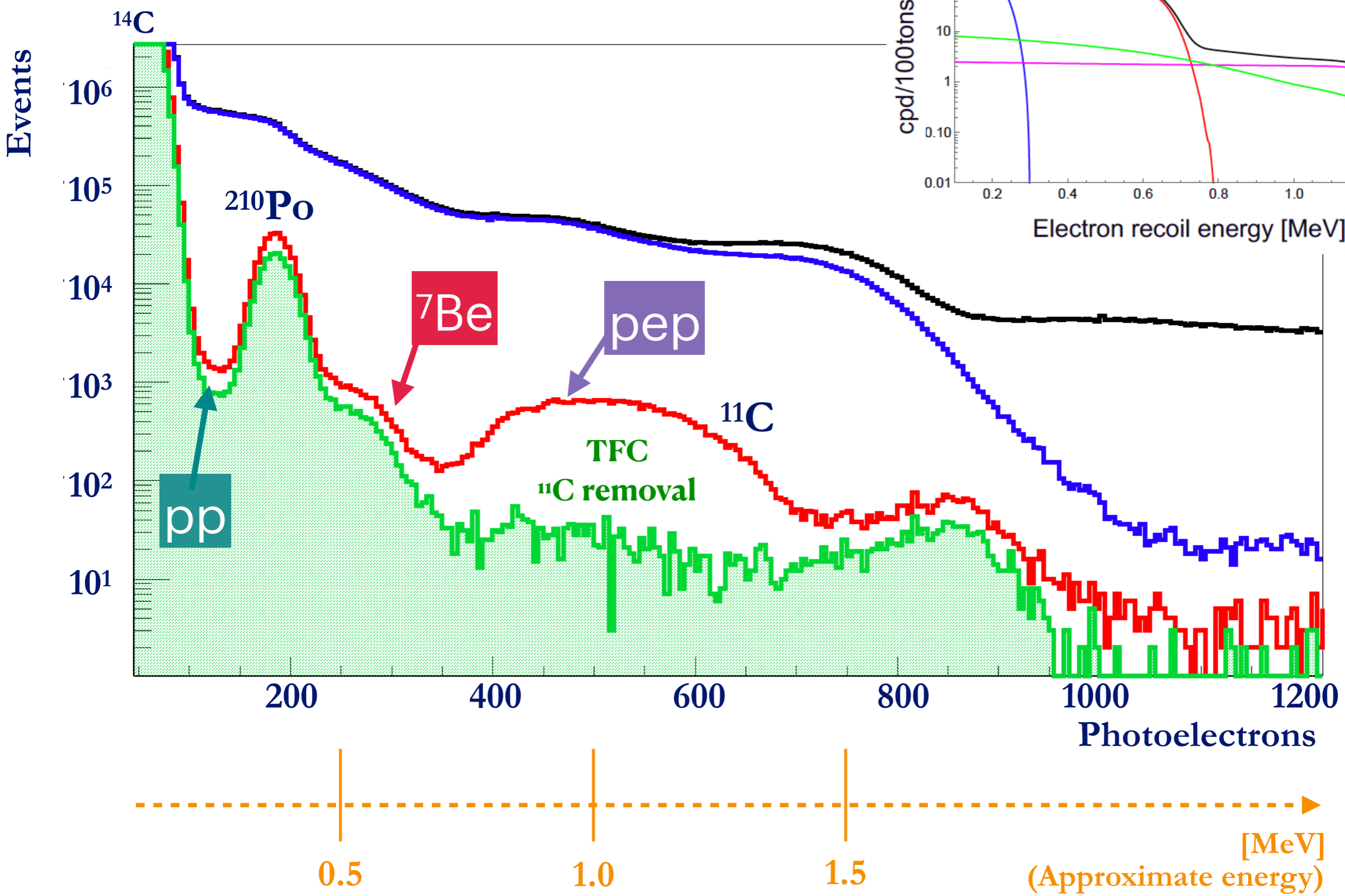


| Isotope           | 2007-2010                       | 2012-2020                   |
|-------------------|---------------------------------|-----------------------------|
| <sup>238</sup> U  | 1.6 ± 0.1 10 <sup>-17</sup> g/g | < 9.4 10 <sup>-20</sup> g/g |
| <sup>232</sup> Th | 5.1 ± 1 10 <sup>-18</sup> g/g   | < 5.7 10 <sup>-19</sup> g/g |
| <sup>85</sup> Kr  | ~ 30 cpd/100 t                  | ~ 5 cpd/100 t               |
| <sup>210</sup> Bi | ~ 40 cpd/100 t                  | ~ 10 cpd/100 t              |

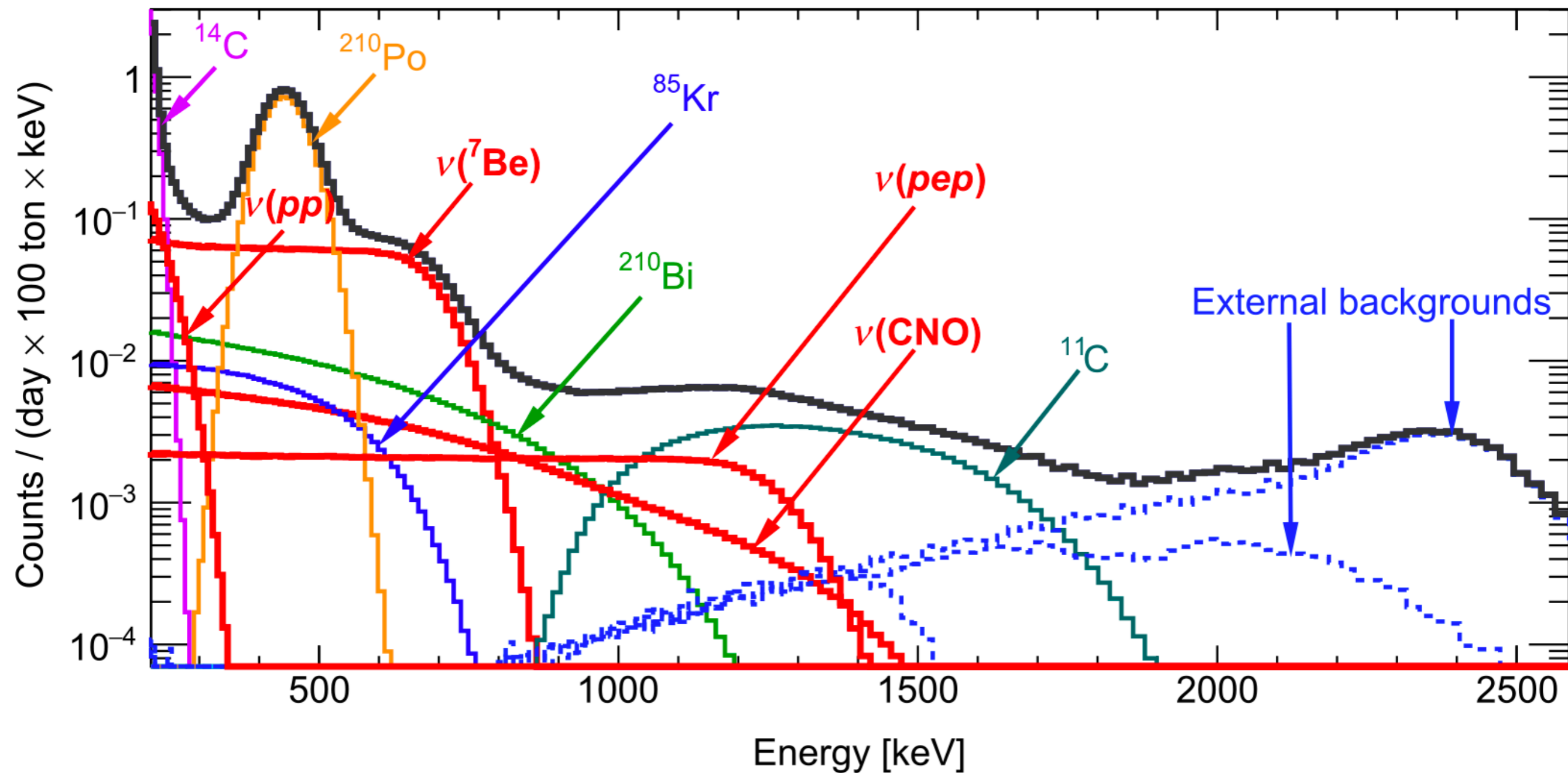
# Understanding the data

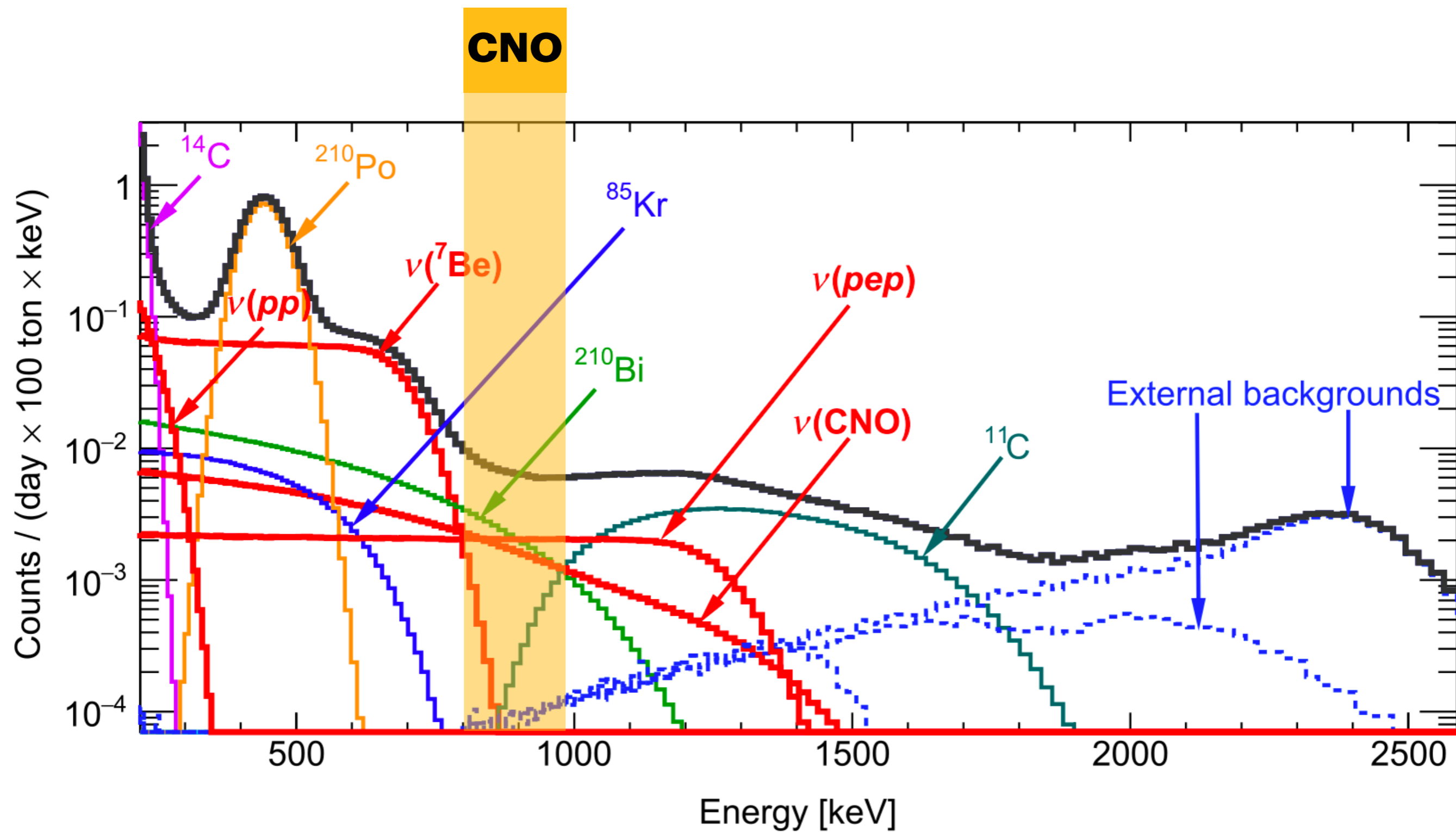


# Understanding the data

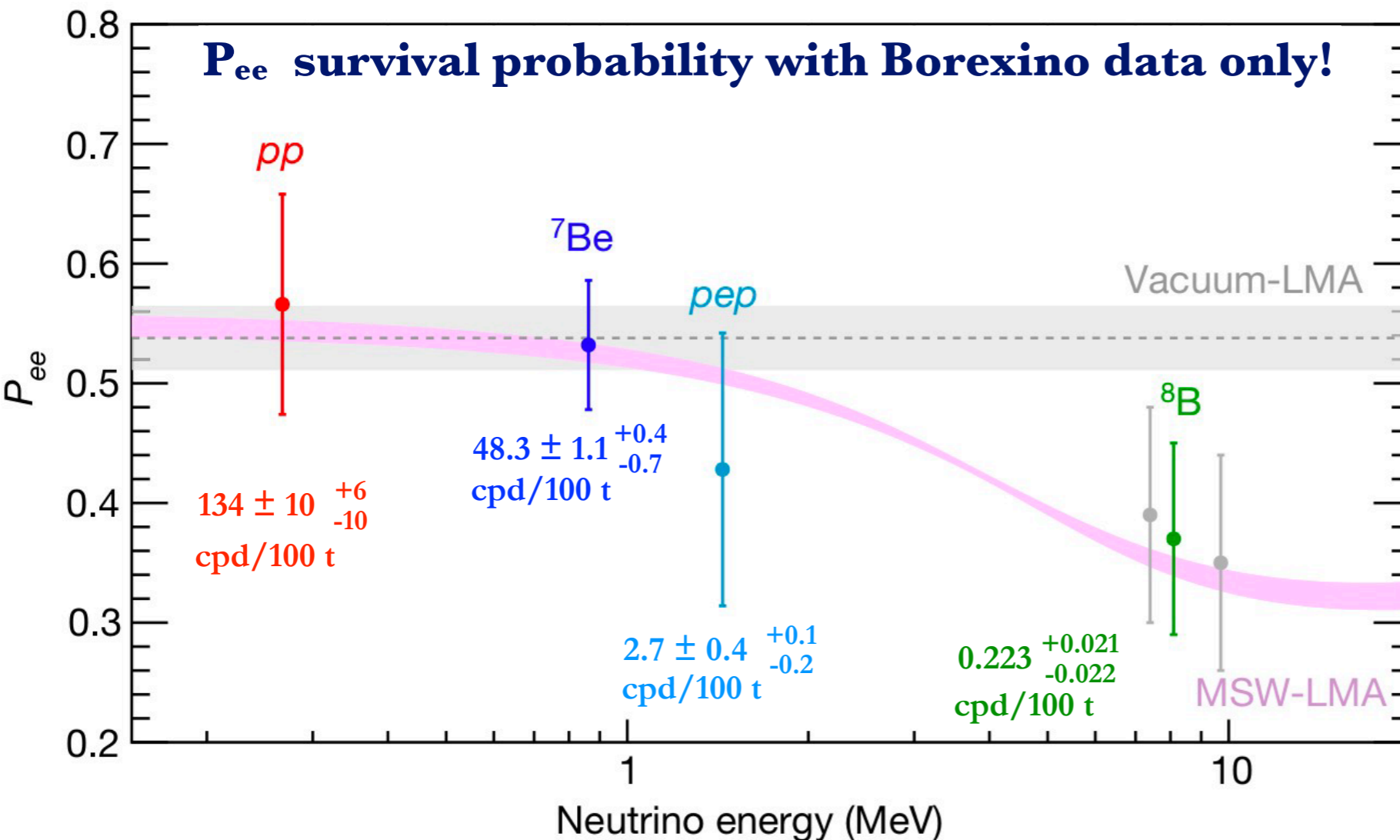


# Spectral components: fit





# Summary of pp chain study (2007-2018)



**Comprehensive chain:**

Nature 562 (2018) 7728, 505.  
Phys. Rev. D (2019)

**pp:**

Nature 512 (2014) 7515, 383.

**$^7\text{Be}$ :**

Phys. Lett. B658 (2008) 101  
PRL 107 (2011) 141302

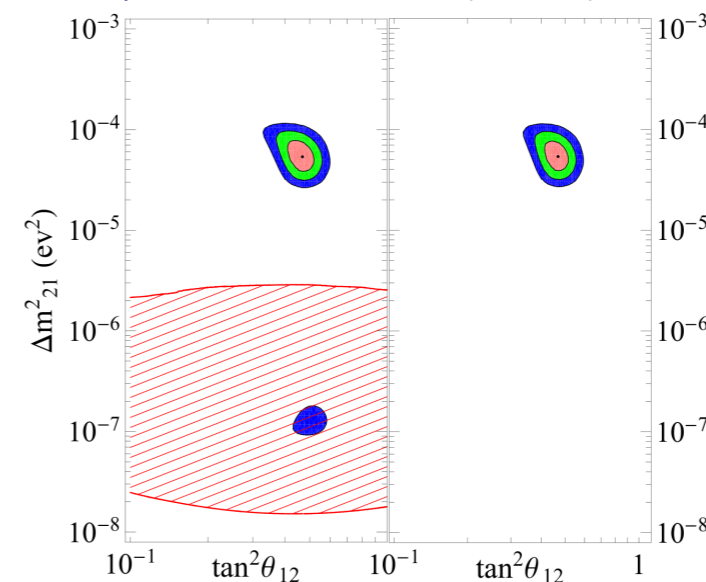
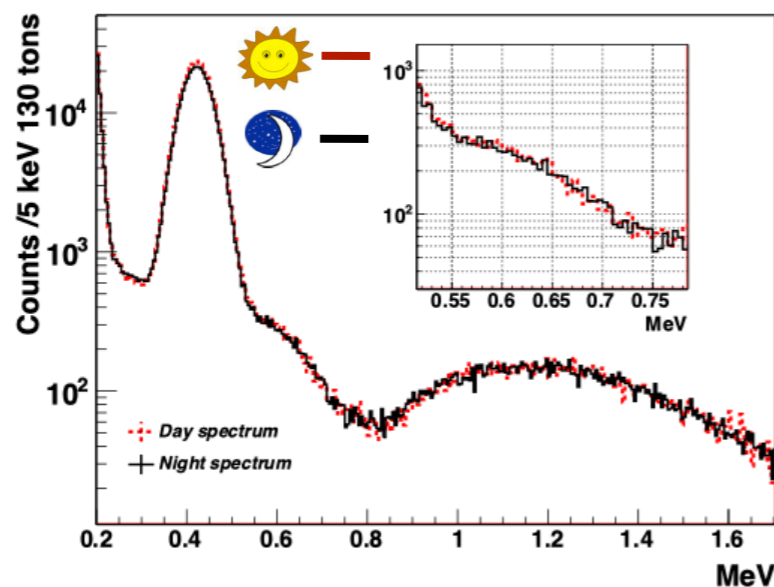
**pep:**

PRL 108 (2012) 051302

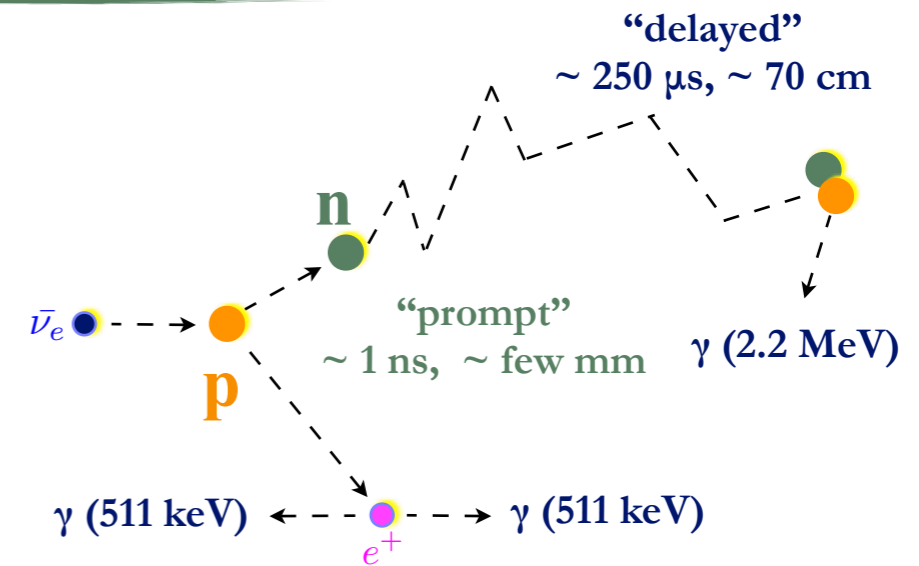
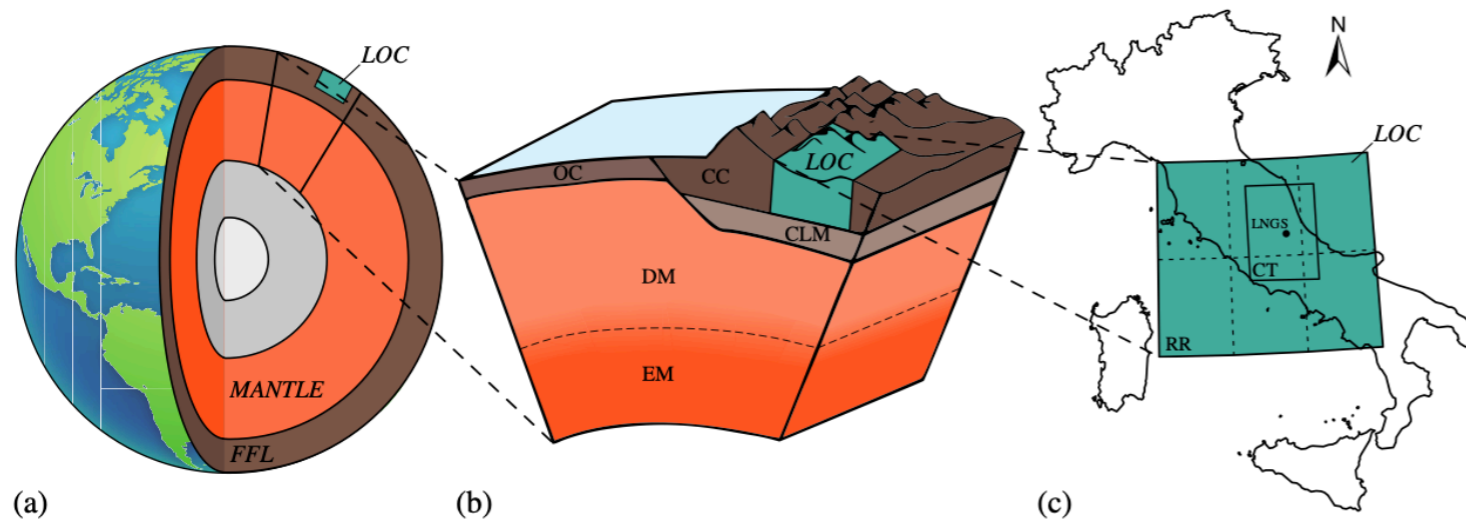
**$^8\text{B}$ :**

Phys. Rev. D82 (2010) 033006

**No day-night  $^7\text{Be}$ : confirmation of LMA** [Phys. Lett. B707 (2012) 401.]





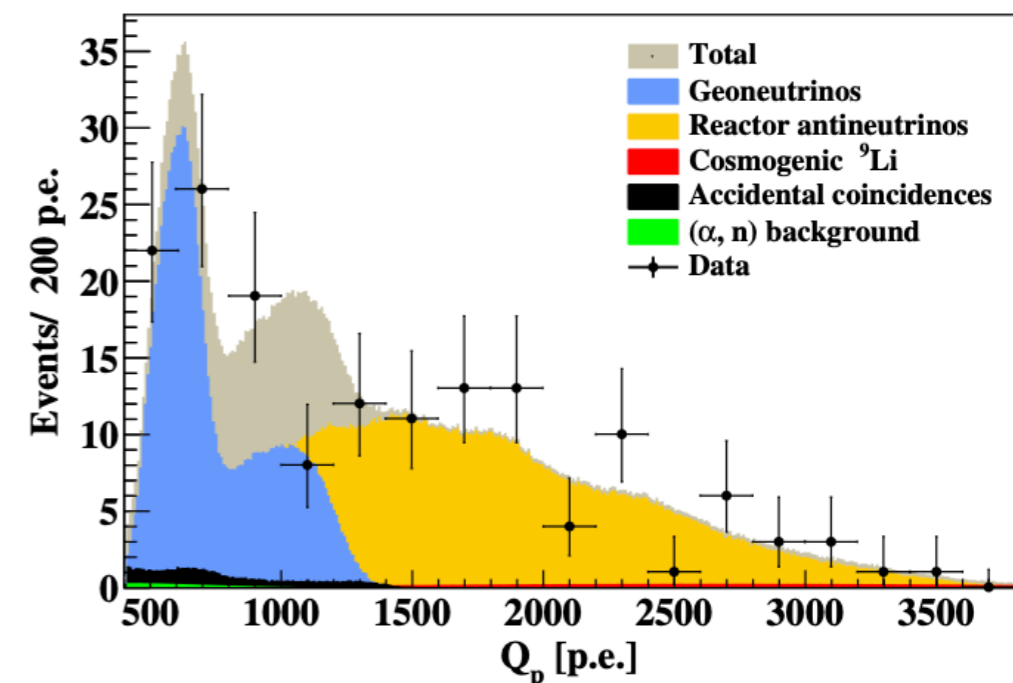
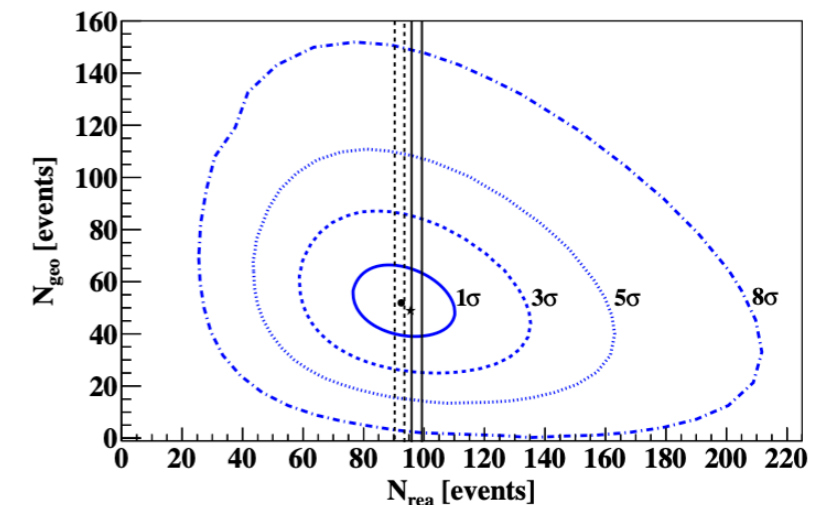


## • Data:

- Exposure: **3262,7 days** ( $1.29 \pm 0.05 \cdot 10^{32}$  protons x year)
- Very low non-neutrino background, thanks to radio-purity
  - Background: **8.3 events TOTAL** in  $\sim$  10 years (cosmogenic and accidentals)
  - Background from reactor anti- $\nu$ , quite low in Italy.

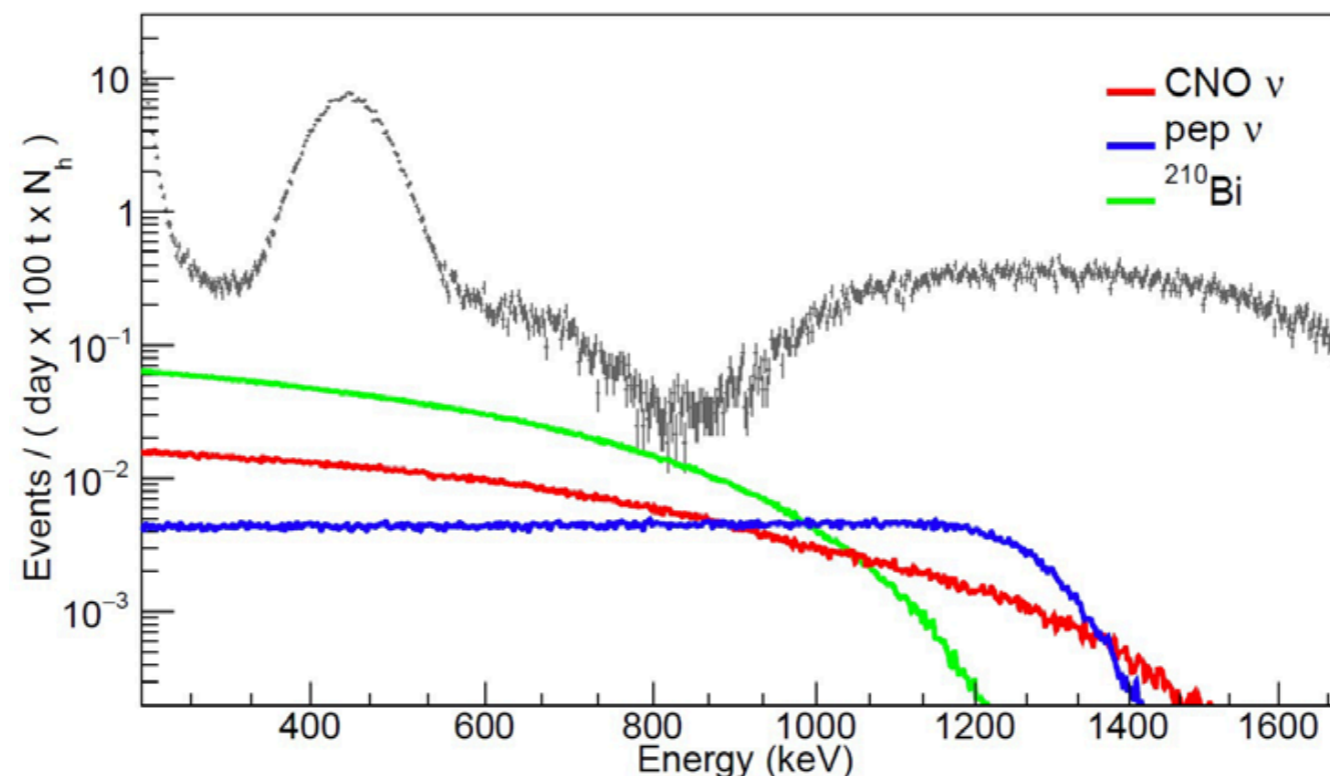
## • Key results:

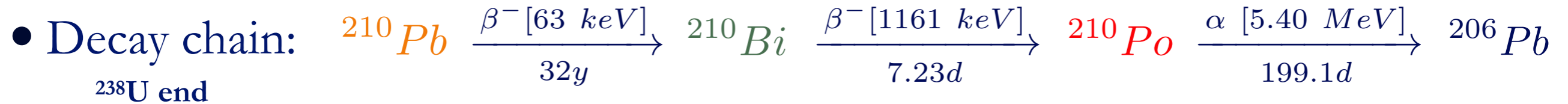
- **Signal:  $52.6^{+9.4}_{-8.6}$  (stat) $^{+2.7}_{-2.1}$  (sys) [ $^{238}\text{U}$  &  $^{232}\text{Th}$ ]** ( $>8 \sigma$ )
- 99% C.L. evidence of **contribution from the mantle**
- **Radiogenic heat from  $^{238}\text{U}$  and  $^{232}\text{Th}$ :  $24.6 \pm 11$  TW**
- No geo-reactor



- CNO measurement was hard:
  - The signal is much smaller than pp and  ${}^7\text{Be}$
  - CNO, pep, and  ${}^{210}\text{Bi}$  are highly correlated in the fit
    - Spectral fit gives CNO+ ${}^{210}\text{Bi}$ , if both left free
  - **To measure CNO, you must independently fix the other two**
    - **pep**: determined at 1.4 % by luminosity; very solid constraint
    - ${}^{210}\text{Bi}$ : **the key point**. You must measure it independently.

J. Bergströmet al.,  
JHEP, 2016:132, 2016

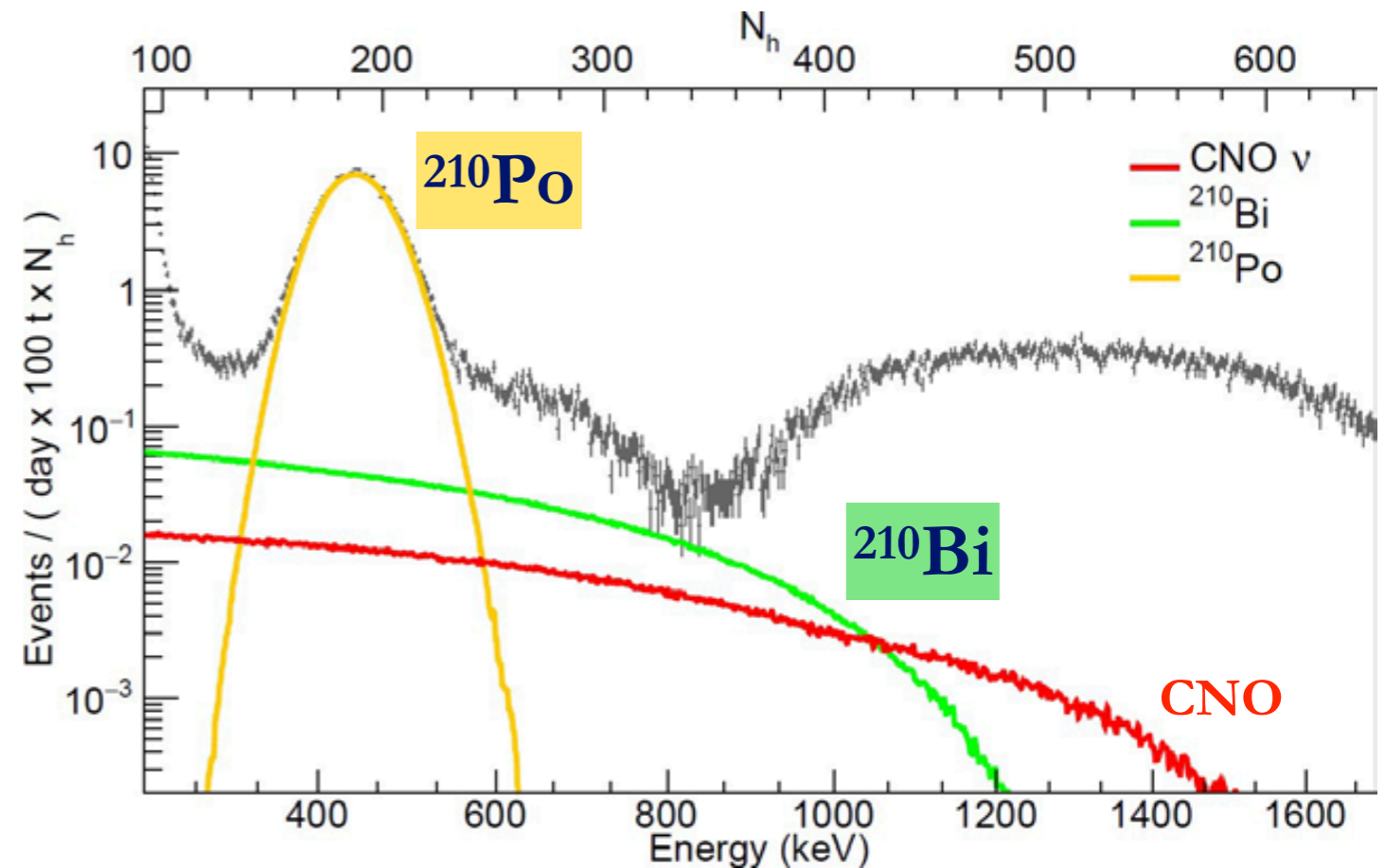




- In principle, it is easy: you measure  $^{210}\text{Po}$  peak and you get  $^{210}\text{Bi}$

• **BUT:**

- $^{210}\text{Po}$  peak was **much higher** than measured  $^{210}\text{Bi}$ 
  - **Out-of-equilibrium  $^{210}\text{Po}$**  background in the scintillator



- $^{210}\text{Po}$  count rate was also not a pure exponential with the expected life-time: there was **evidence of  $^{210}\text{Po}$  migrating from the vessel surface into the FV by convection**

• **Key questions:**

- Is  $^{210}\text{Bi}$  (i.e.  $^{210}\text{Pb}$ ) also migrating ? (N.B.  $^{210}\text{Pb}$  is not visible)
- Can you stop  $^{210}\text{Po}$  migration or make it slow enough ?



- **Basic idea:**

DIFFUSION LENGTH

- diffusion is slow:  $\dot{\rho}(\vec{r}, t) = D \nabla^2 \rho(\vec{r}, t) - \frac{\rho_0}{\tau_{Po}}$   $\lambda = \sqrt{D\tau_{Po}} \simeq 20 \text{ cm}$

- With no convection (i.e. by diffusion only)  $^{210}\text{Po}$  decays before reaching FV
- if you slow down **convective currents** enough, all  $^{210}\text{Po}$  will decay before reaching FV and affect  $^{210}\text{Bi}$  determination

- **Strategy:**

- Allow  $^{210}\text{Po}$  out-of-equilibrium to decay
  - 2010 purification campaign did not remove all  $^{210}\text{Po}$ 
    - It DID reduce  $^{210}\text{Bi}$ , i.e. parent  $^{210}\text{Pb}$
- Act with passive and active systems on the thermodynamics of the detector to slow down convective currents
  - **A coat** (2015): Double layer of mineral wool. Thermal conductivity down to 0.03 W/m/K
  - **Active system controlling the top temperature** (ACTS, 2016)
    - Fine tuning in early 2019
  - Thermal control of **Hall C as well** (late 2019)



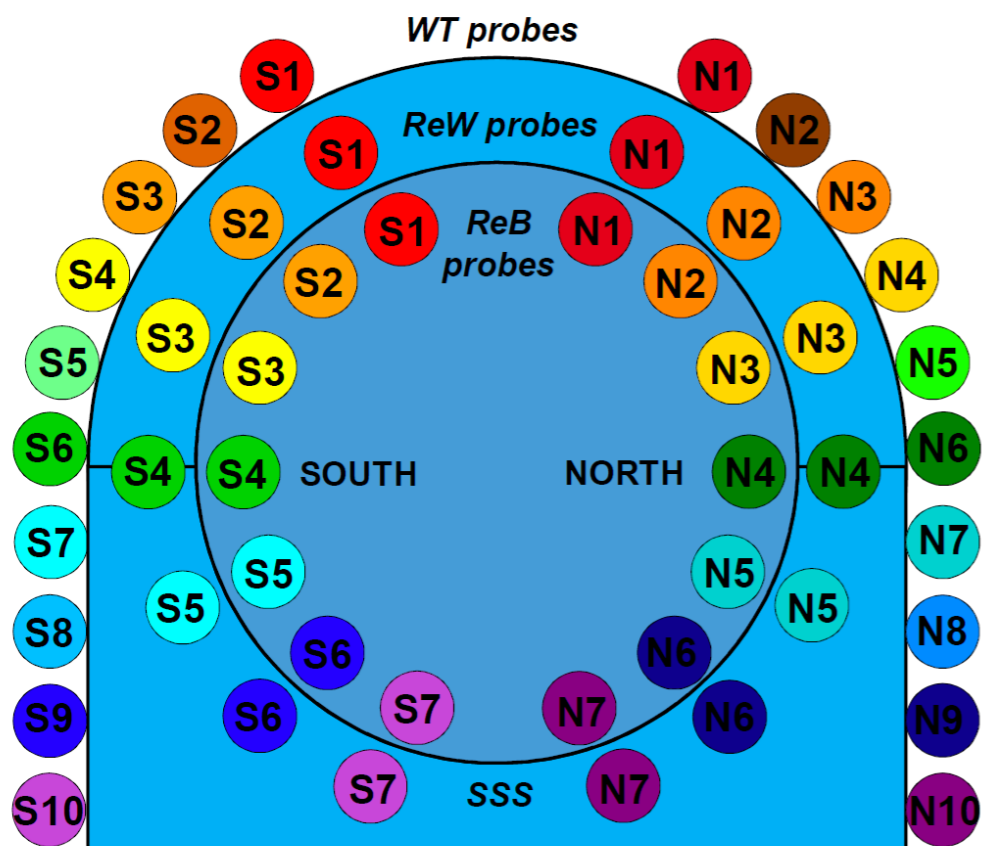
# Thermal insulation (2015)

Before

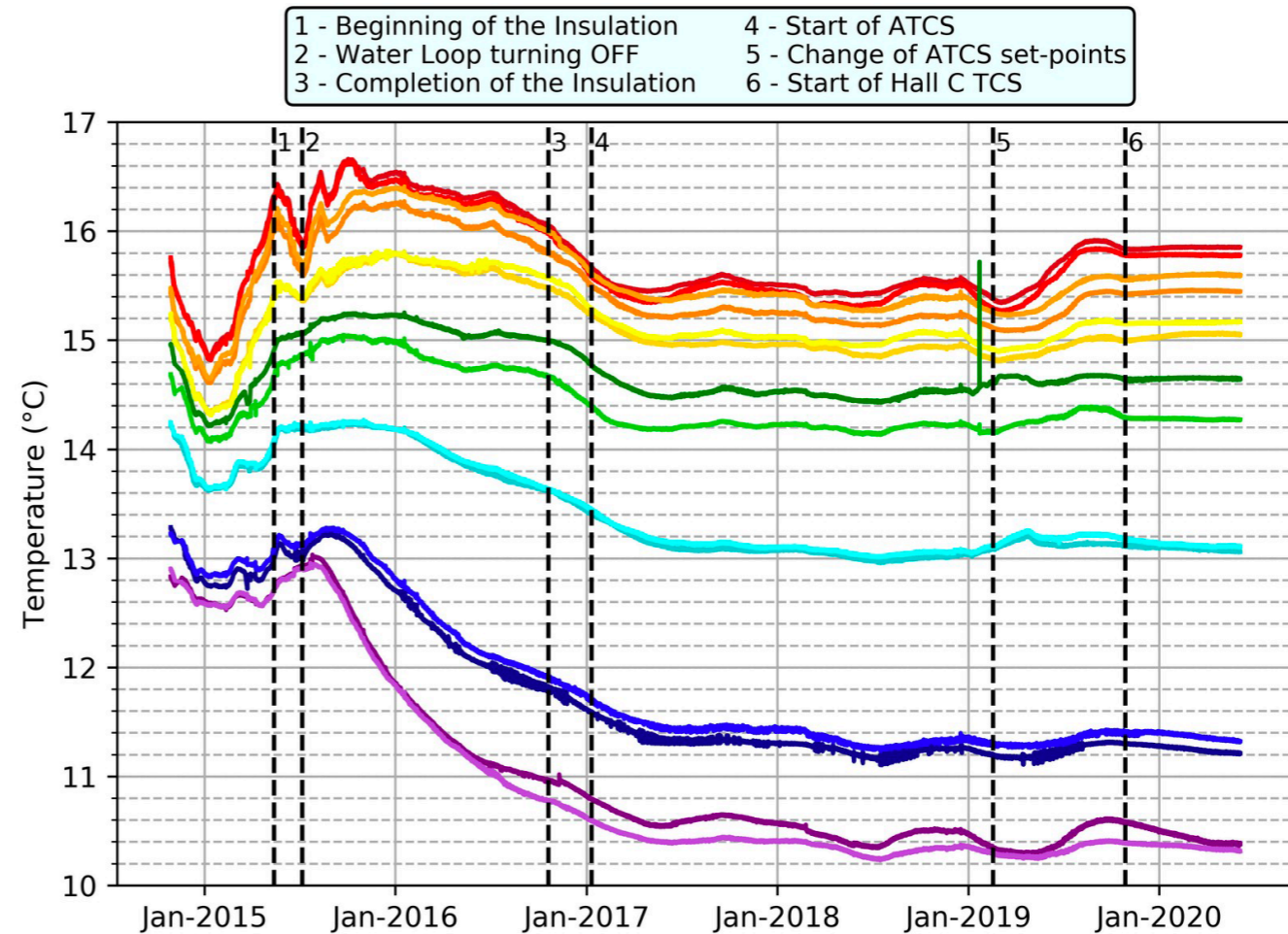
On top: copper coils under  
insulation with controlled  
water flow (2016)

After





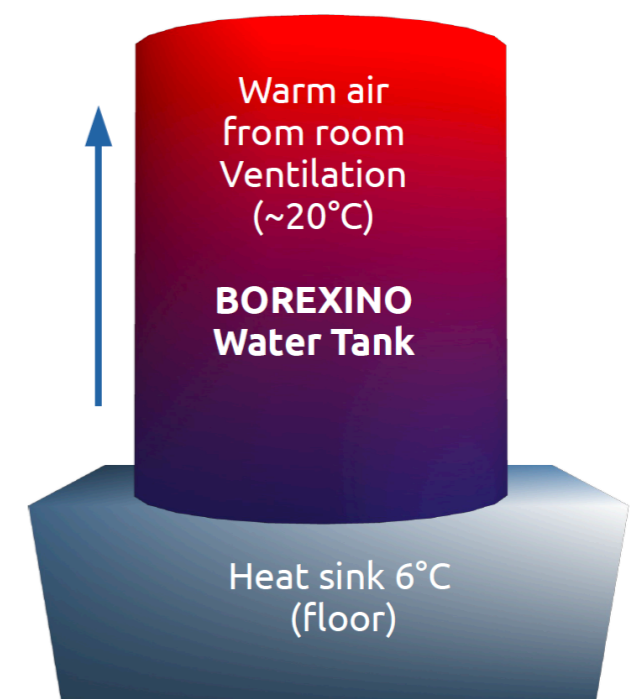
Distribution of T probes



T vs Time

• Two important notes:

- stability was achieved because the **LNGS floor is colder (~ 6 °C) than air (~20 °C in Hall C)**
  - This yields the **right vertical gradient** to stop convection
- the time constant is very slow. Years to get stability, still in progress.



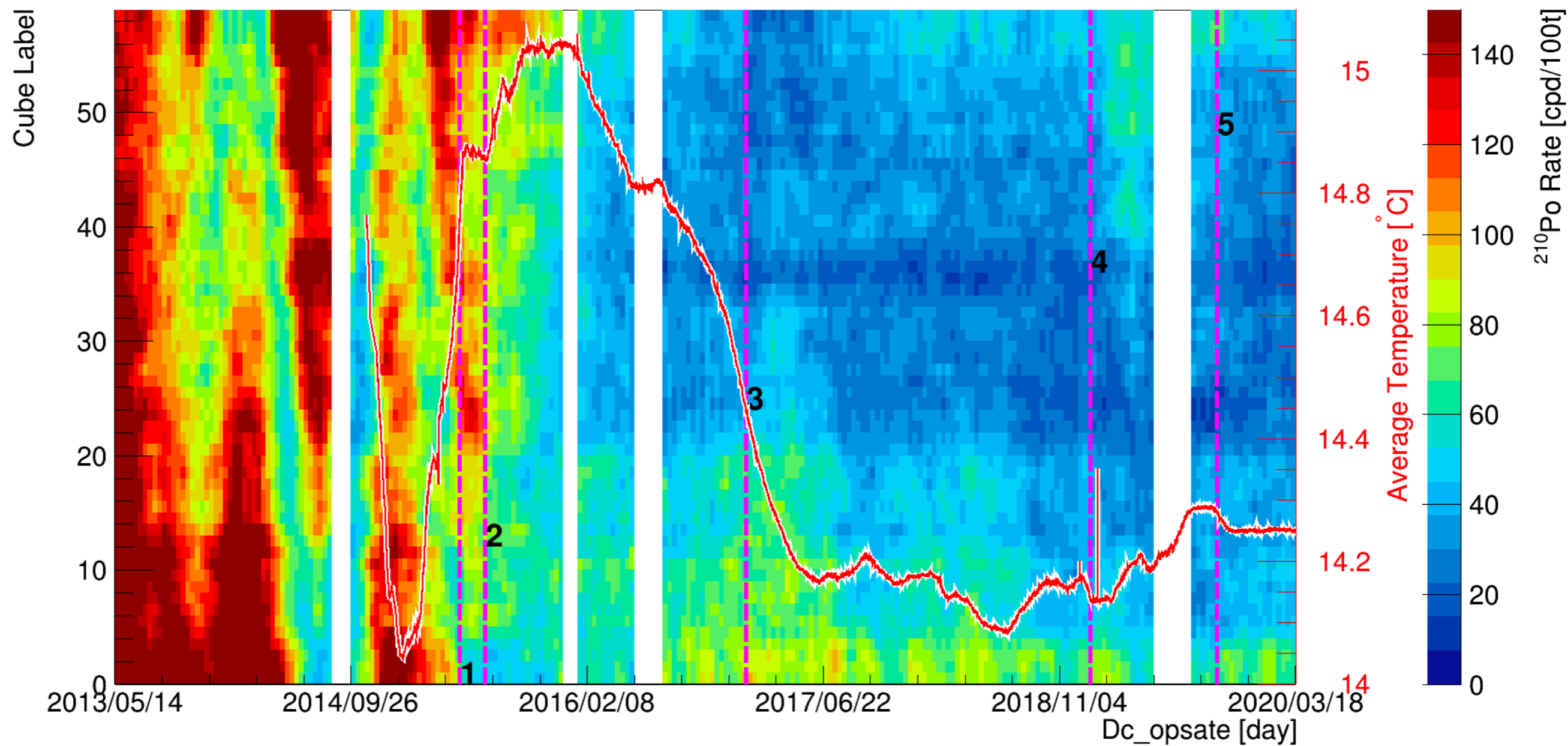
top

equator

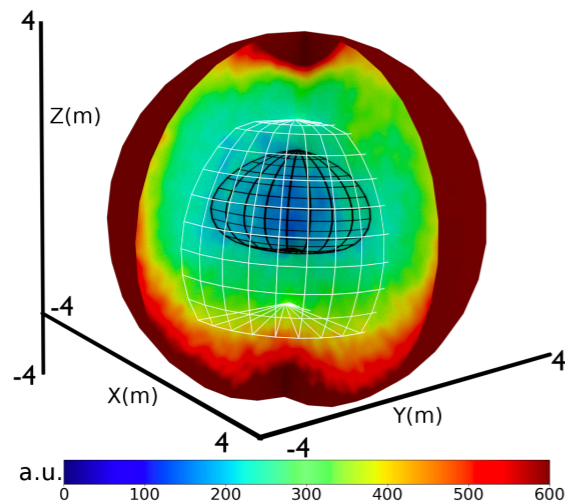
floor



# Evolution of $^{210}\text{Po}$

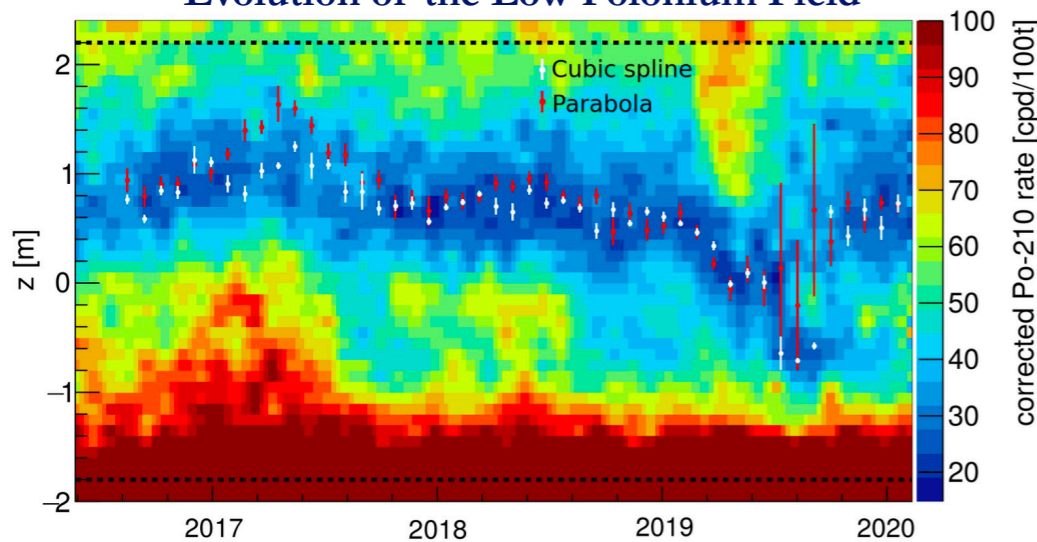


# The low $^{210}\text{Po}$ “field” and $^{210}\text{Bi}$ upper limit

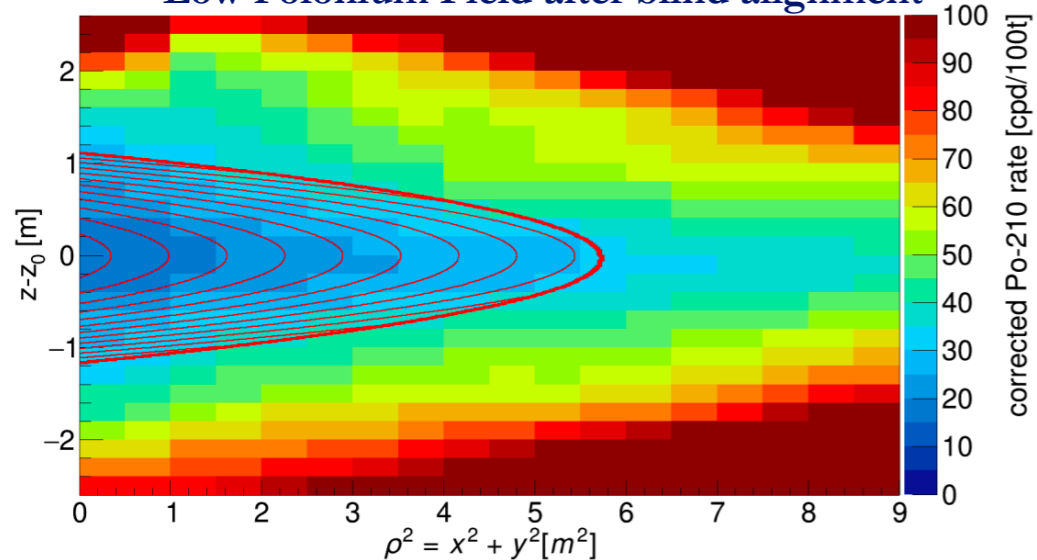


- The **minimum** is at  $z = +80$  cm
- From this minimum, we get an **upper limit on  $^{210}\text{Bi}$**
- To get a measurement, we would need to know that is a “true” minimum, which we cannot claim yet
- **But we can claim observation !**

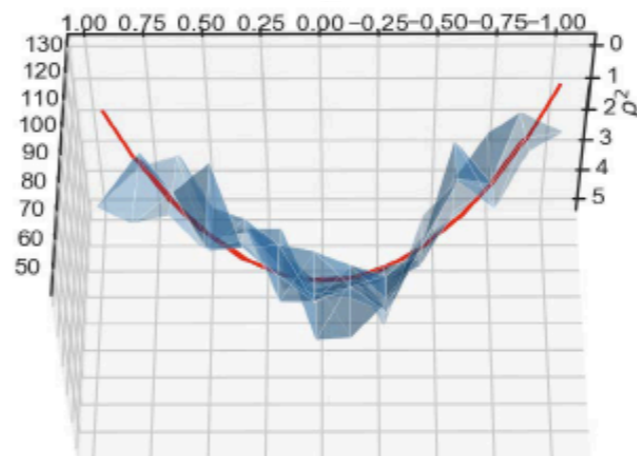
Evolution of the Low Polonium Field



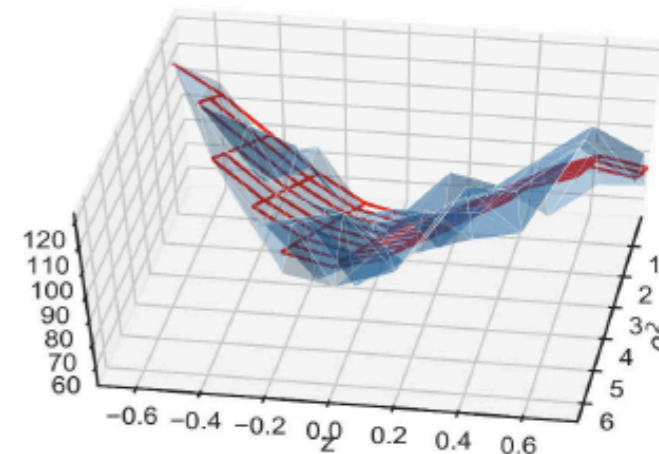
Low Polonium Field after blind alignment



PARABOLOID



SPLINE FIT



$$R_{Po} = R_{min} \epsilon \left[ 1 + \frac{\rho^2}{a^2} + \frac{(z - z_0)^2}{b^2} \right]$$

Two consistent methods for fitting the aligned LPoF and find the minimum

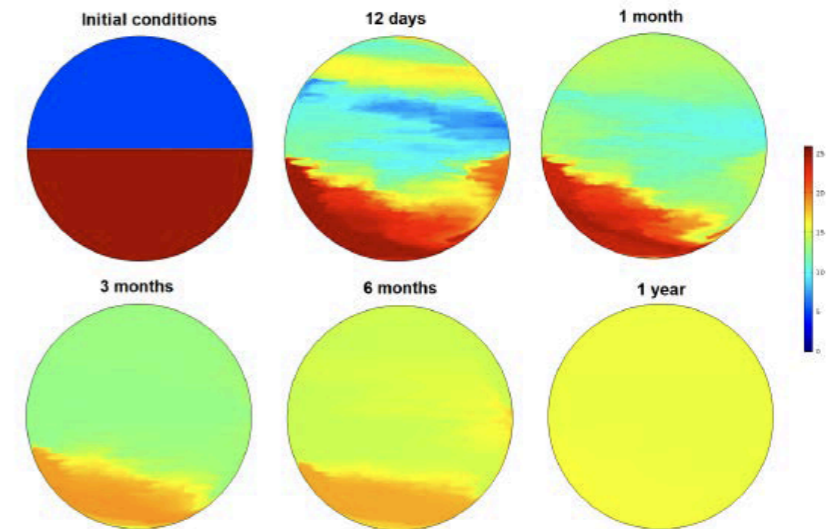
$$^{210}\text{Bi} < 11.5 \pm 1.04 \text{ cpd}/100\text{t} \text{ (stat+sys)}$$



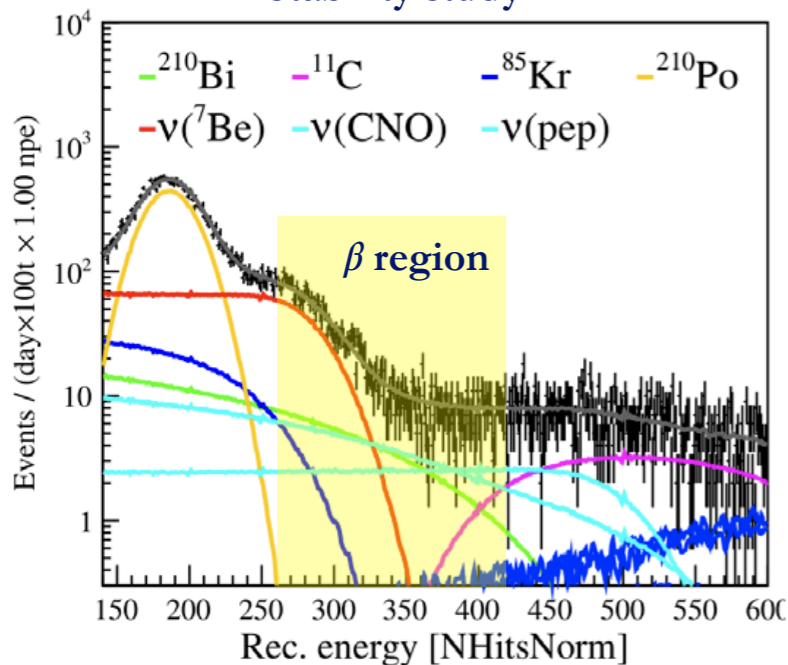
# $^{210}\text{Bi}$ uniformity and stability

- We got the  $^{210}\text{Bi}$  upper limit in the LPoF over a short period of time
  - BUT: CNO fit must be done on the whole FV and more data
- OK if you prove that  $^{210}\text{Bi}$  (i.e.  $^{210}\text{Pb}$ ) is **stable** and **uniform**
  - **Stability:** checked by looking at “ $\beta$  region” over time
    - No evidence of change of  $^{210}\text{Bi}$  component (i.e.  $^{210}\text{Pb}$  leaching from IV)
    - Small variations attributed conservatively to  $^{210}\text{Bi}$
  - **Uniformity:** angular and radial distributions carefully studied
    - Uniform within error. **0.78 cpd/100t** added to error.
    - Fluid dynamics confirms it

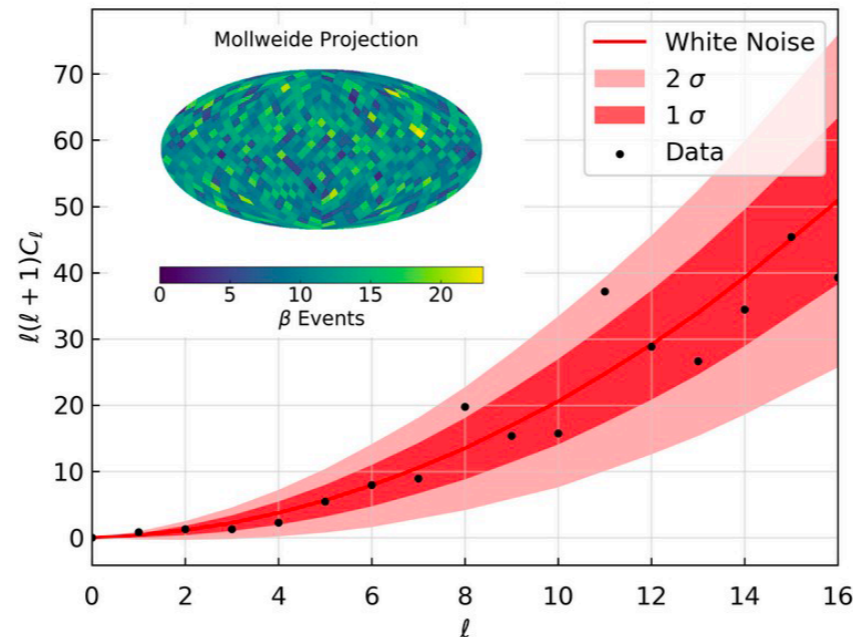
Full uniformity reached  
in 1 y [3D simulation]



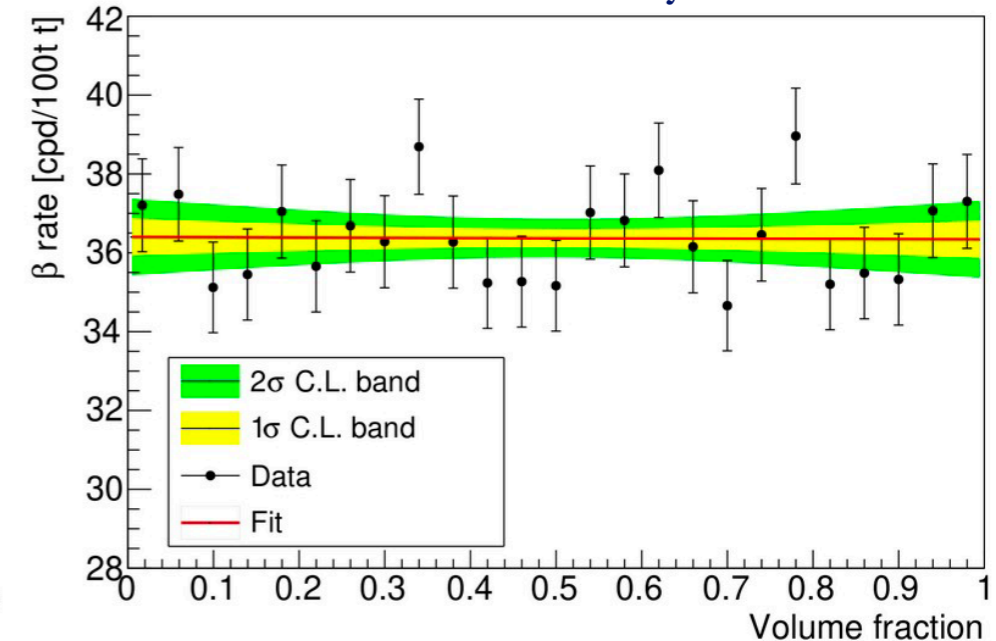
Stability study



Angular uniformity



Radial uniformity

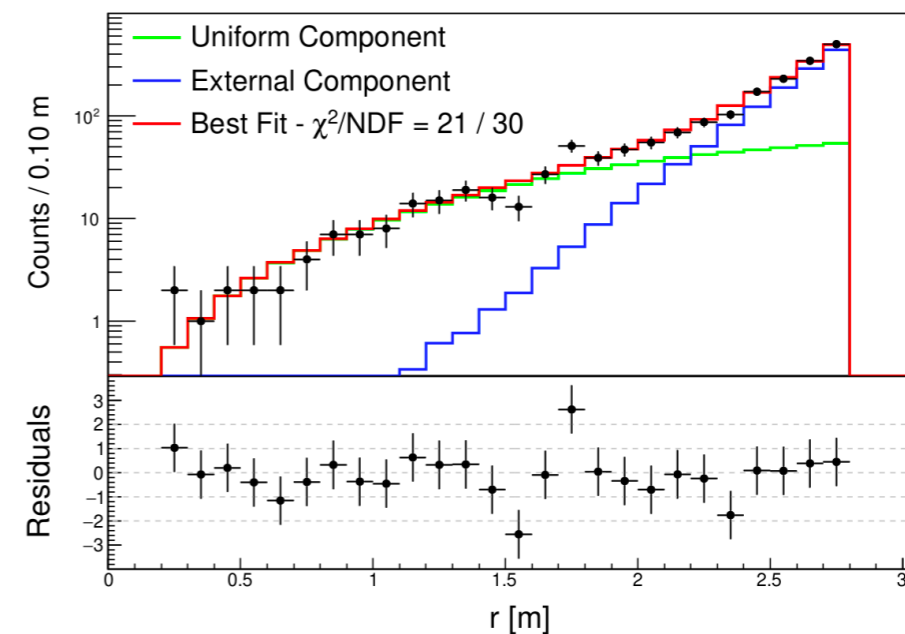
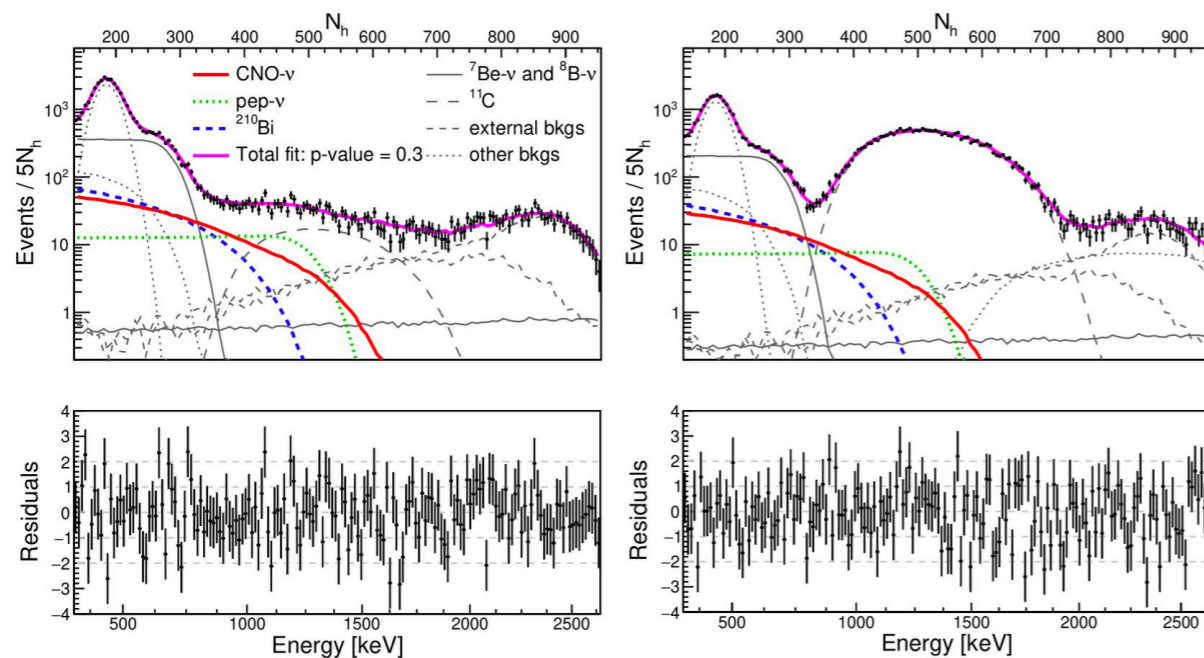
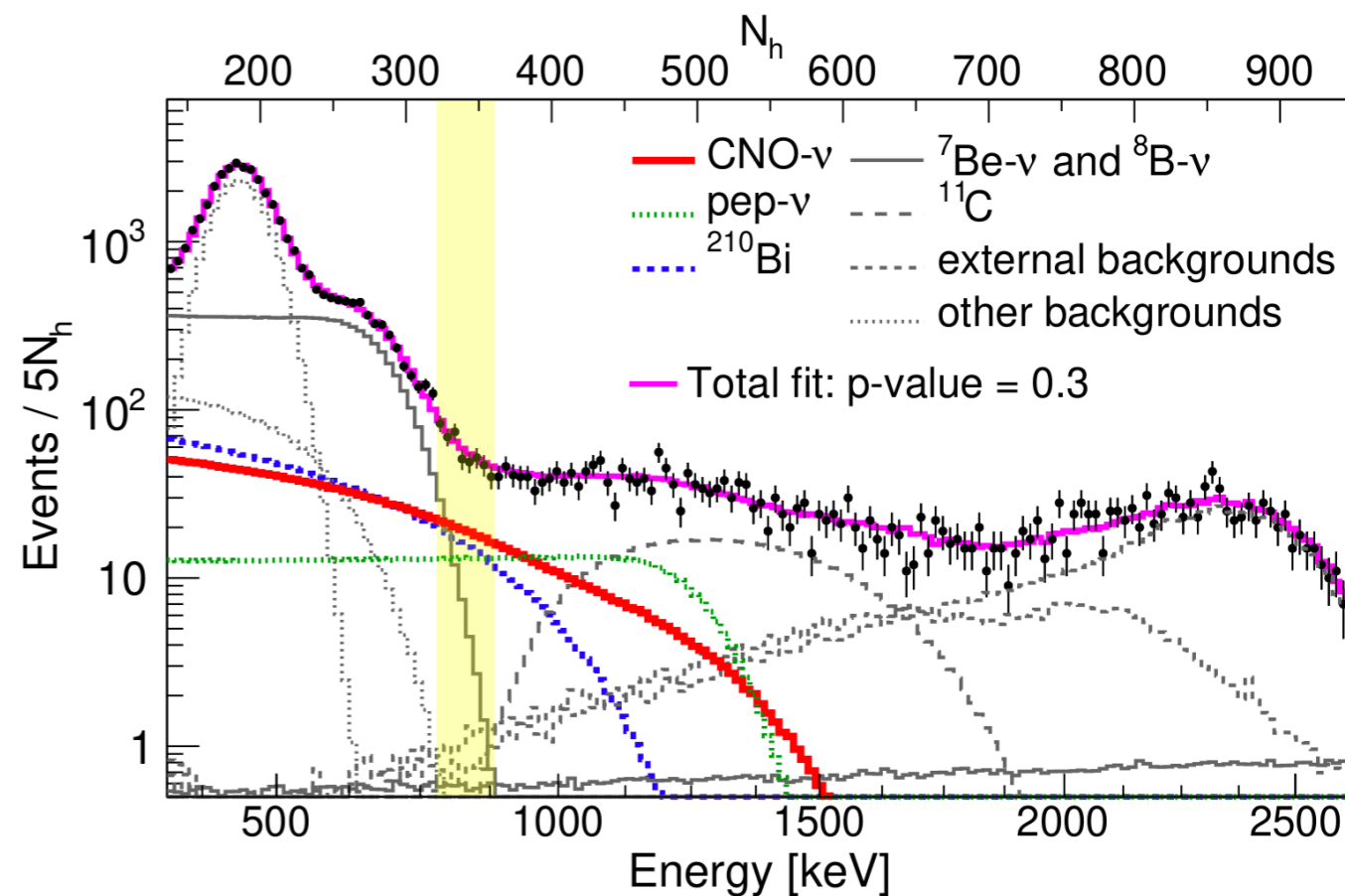


- The final constraints that **breaks degeneracy** with CNO:

$$^{210}\text{Bi} < 11.5 \pm 1.3 \text{ cpd/100t}$$

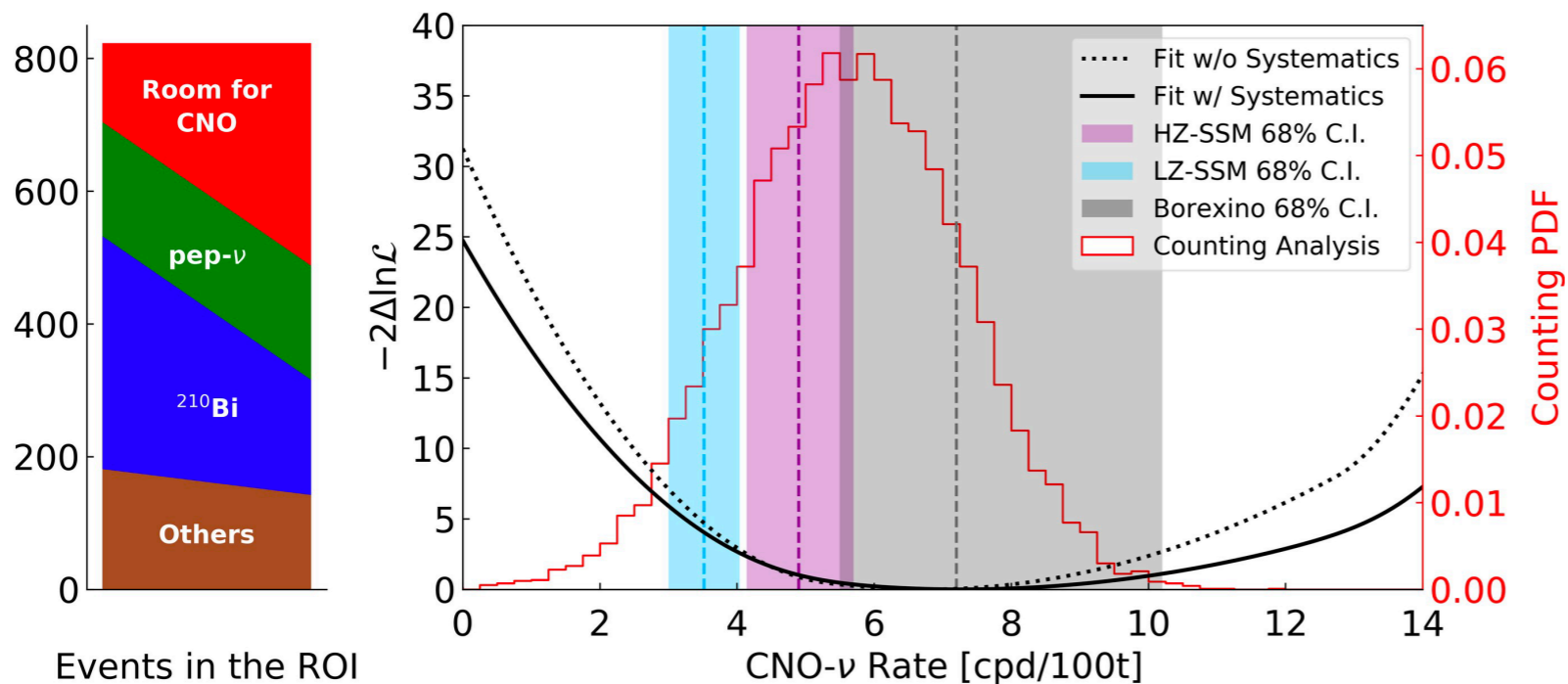
## Key elements

- pep symmetric penalty from luminosity:  $\pm 1.4\%$
- $^{210}\text{Bi}$  asymmetric penalty (upper limit): 11%
- Multivariate fit on MC distributions using:
  - Spectrum
  - TFC subtracted spectrum
  - Radial distribution
- Cross check with simple counting analysis and analytical fits (confirming results)

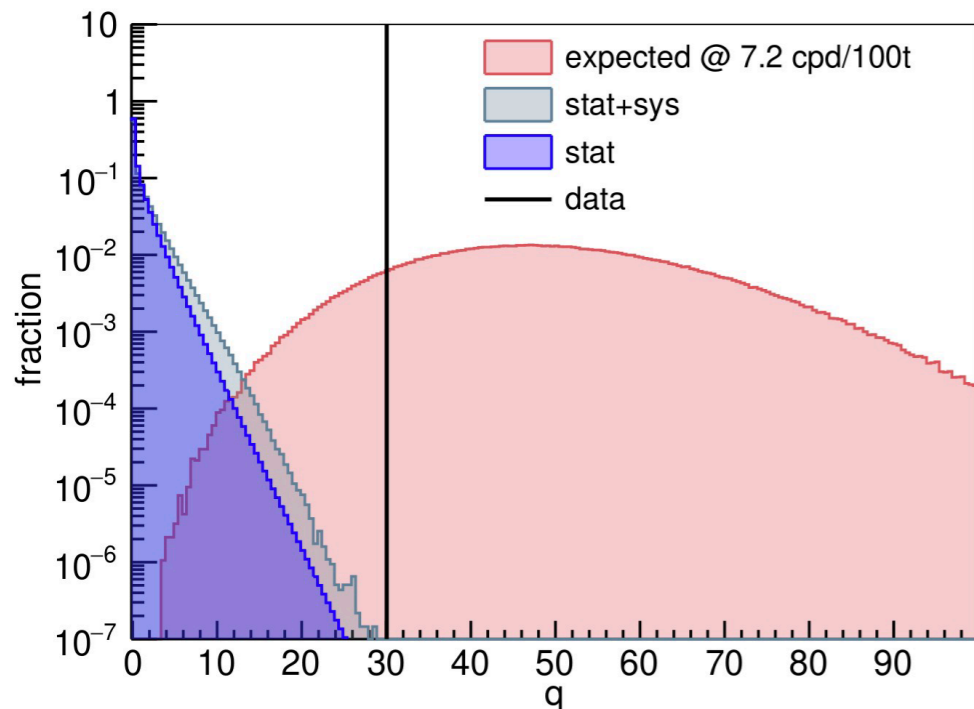


**Result (68% CL stat)  $7.2 - 1.7 + 2.9$  cpd/100t**

# Final result: observation of CNO



**Result (68% CL stat + sys) = 7.2 - 1.7 + 3.0 cpd/100t**



CNO in [5.5 - 10.2] cpd/100 t (68% CL)

- Systematics:
  - Response, resolution, spectral shapes and
  - LY:  $\sigma_L = -0.5$ ,  $\sigma_R = +0.6$  (5.1  $\sigma$  significance)
- Significance
  - Hypothesis test with  $13.8 \times 10^6$  pseudo-experiments
  - Hypothesis CNO=0 excluded at  $5.0\sigma$  (99%CL)
- Model compatibility
  - -  $0.5\sigma$  (HZ)
  - -  $1.3\sigma$  (LZ)
  - - LZ disfavoured at  $2.1\sigma$  including other
- Fluxes from pp-chain (Borexino only)



- It's been a **long journey**, with a hard beginning, a nice “crescendo” of beautiful results, and a great final!
  - All solar neutrino components (except *hep*) either discovered or measured
    - **MSW test** through the *pp chain*
    - Precise test of the standard solar model
    - **CNO neutrinos!**
  - A clean measurement of **geo-neutrinos**, free of reactor background
  - Some interesting upper limits of rare events (not covered in this talk)
- After **30 years** since the beginning, Borexino will be drained in 2021
  - **Leaving a precious heritage**, as I hope I did convince you today.
- We could not make SOX, very unfortunately, but that is science.
- **It was a fantastic journey**

**THANKS !!!**

