

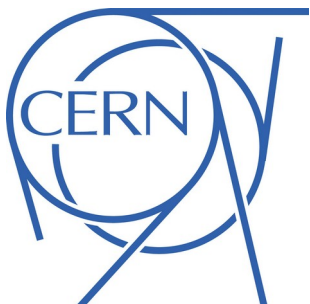
# Vector Boson Scattering at the ATLAS Detector

## Seminar of IPNP

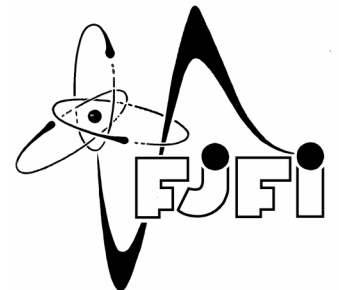
MFF CUNI, Prague  
Czech Republic  
21 April



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on behalf of the ATLAS Collaboration



# Content

- Introduction
  - Theory
  - Vector boson scattering
- Common VBS selections
  - Object selection
  - Event selection
- Analyses
  - WW
  - WZ
  - Semileptonic
- ZZ analysis

# Introduction

# Elastic WW scattering in SM

- Elastic WW scattering

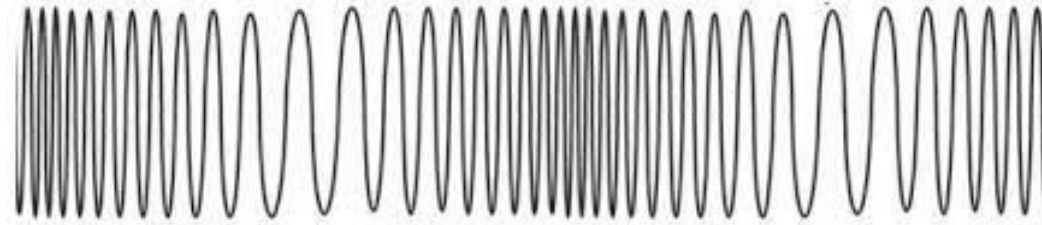
- Draws attention already since establishing of the Intermediate Vector Boson (IVB) theory
- Persists as a difficulty also after the EW unification
- Demands implementation of a scalar field for compensation of residual asymptotic divergences

- The scattering can be generalized as Vector Boson Scattering (VBS)

- Interaction of W and Z bosons
- Bosons possessing of longitudinal polarization

Asymptotic behavior of the scattering in the context of theory evolution

- Electromagnetic interaction of W boson
  - Difficulty of IVB model
  - $M_{res} = O(E^2)$
- EW interaction of W bosons
  - Outcome of EW unification
  - Z boson and QGC interaction
  - $M_{res} = O(E)$
- Higgs interaction of W bosons
  - Outcome of EWSB
  - $M_{res} = O(1)$



- VBS as Goldstone boson scattering (Goldstone Boson Equivalence Theorem)

- $W_{\pm}$  and Z bosons acquire mass spending three Goldstone bosons (angular fields)
- Parametrisation of weak isodoublet ( $a = 1, 2, 3$ )

$$\Phi(x) = \exp\left(\frac{i}{v} \pi^a(x) \tau^a\right) \begin{pmatrix} 0 \\ \frac{1}{\sqrt{2}} (v + H(x)) \end{pmatrix}$$

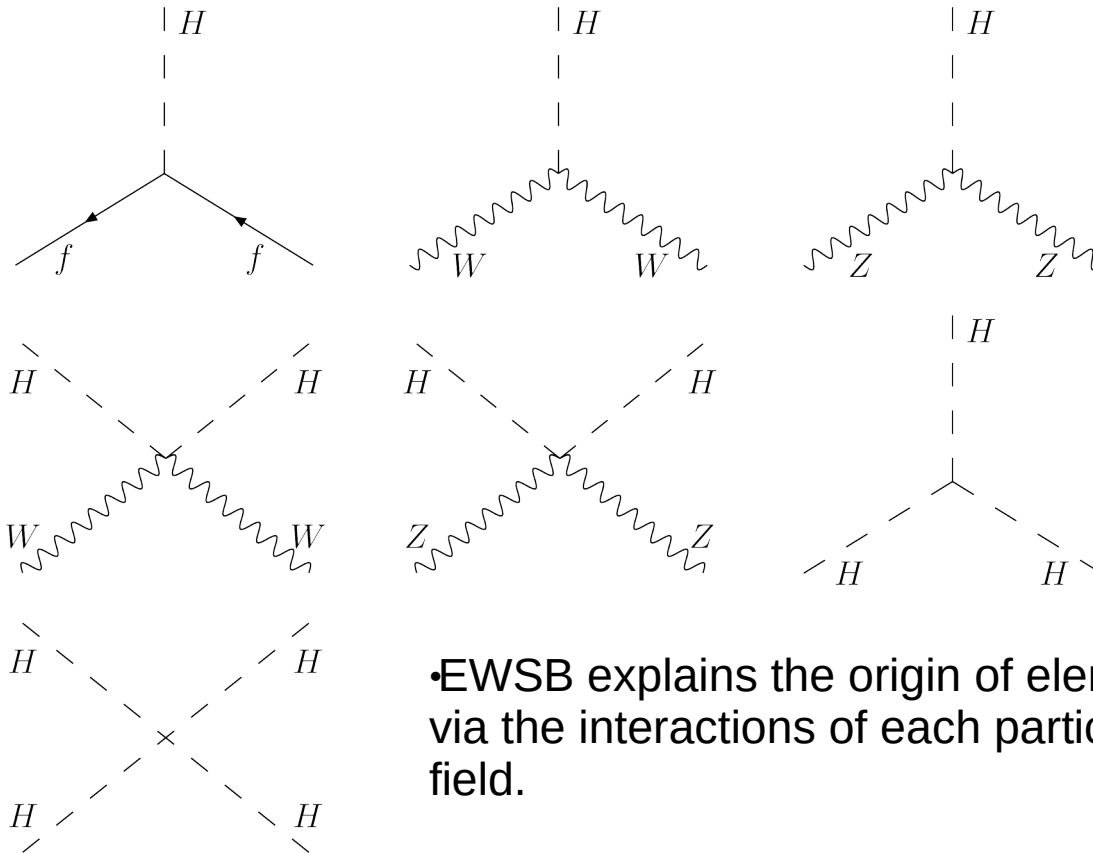
# Electro-weak symmetry breaking

## •Theory model

- Incorporates the Higgs boson to the SM
- Generate boson masses
  - W and Z bosons
  - Higgs boson itself

## •Consequences

- Higgs field gives mass to all elementary particles
  - Through interaction with it (when the Yukawa interaction is employed)
- Gives mass to Higgs boson itself
  - Higgs self interaction

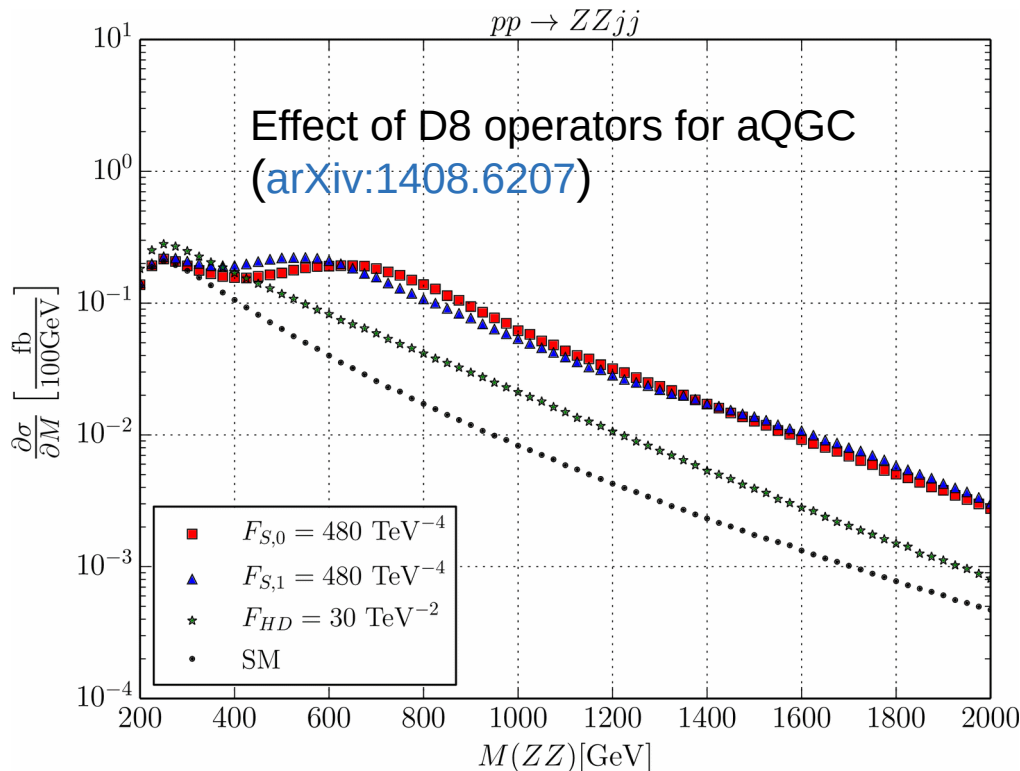
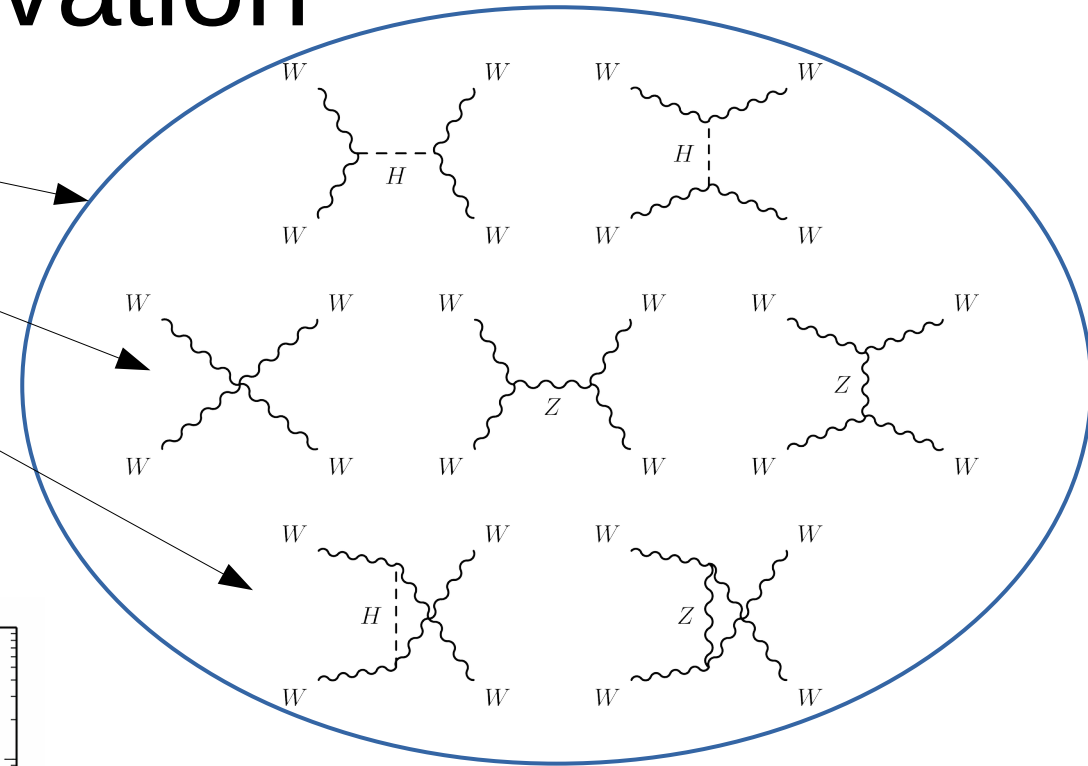


•EWSB explains the origin of elementary particle mass via the interactions of each particle with the Higgs field.

# Motivation

## Vector boson scattering

- Test of Standard Model gauge structure
- Quartic Gauge Coupling (QGC) becomes accessible
  - $WWWW$  and  $WWZZ$
- Better understanding of the nature of EWSB mechanism involving Higgs boson
- Limit settings on effects of the BSM physics



## Anomalous QGC

- By hand addition of not allowed couplings by the SM

## Effective field theory

- Addition of higher order operators to SM
- SM represents a “low” energy limit case of the new model
- Scales beyond the reach of the LHC

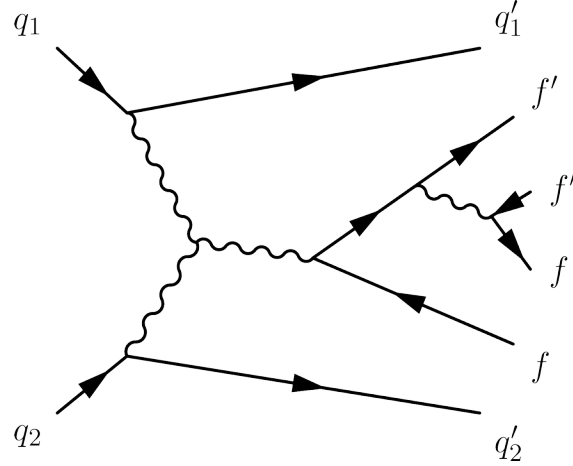
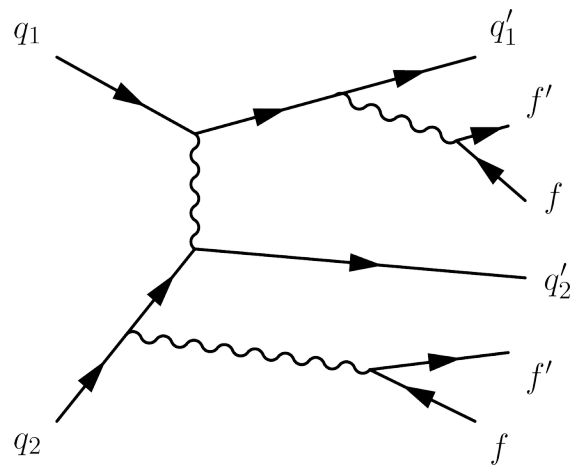
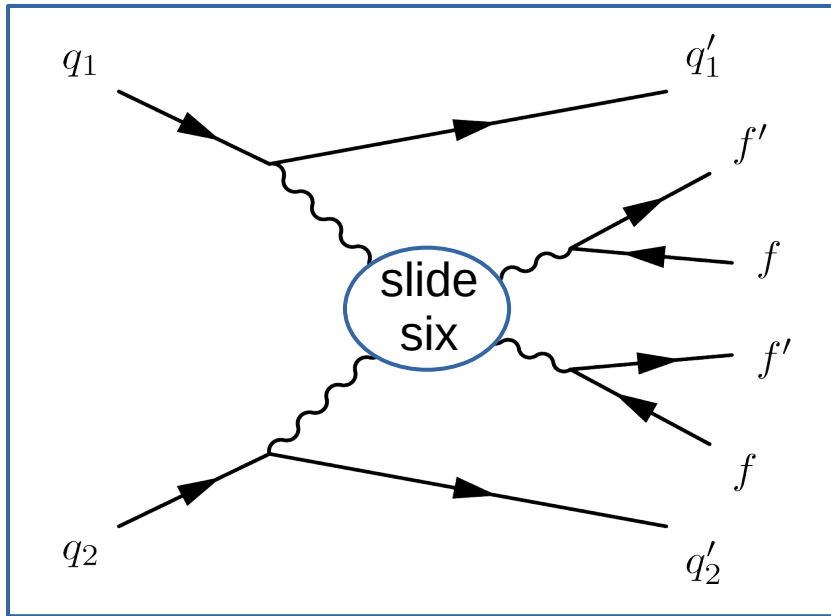
$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{\text{SM}} + \sum_{d \geq 4} \sum_i \frac{\alpha_i^{(d)}}{\Lambda^{d-4}} O_i^{(d)}$$

# VBS at LHC

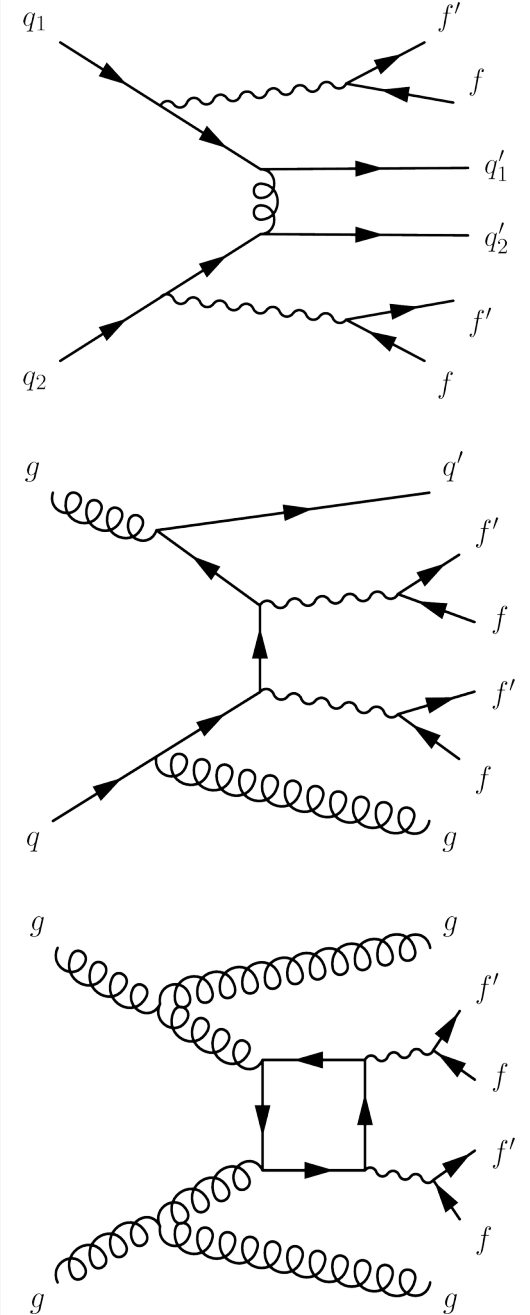
EWK  $W_{ij}$  production

Vector Boson Scattering

Protons interact electro-weakly

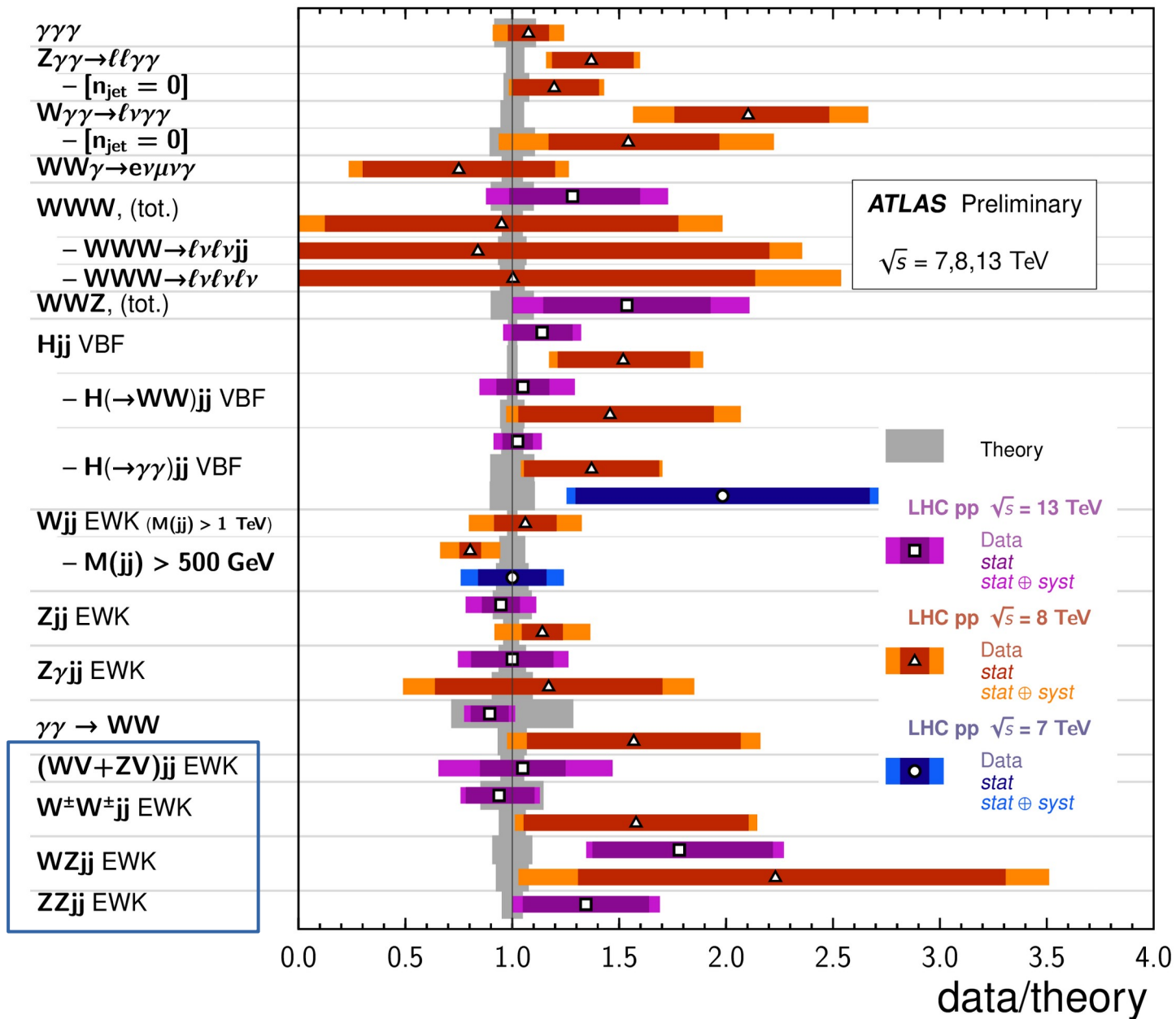


QCD  $W_{ij}$  production



# ATLAS VBS Measurements

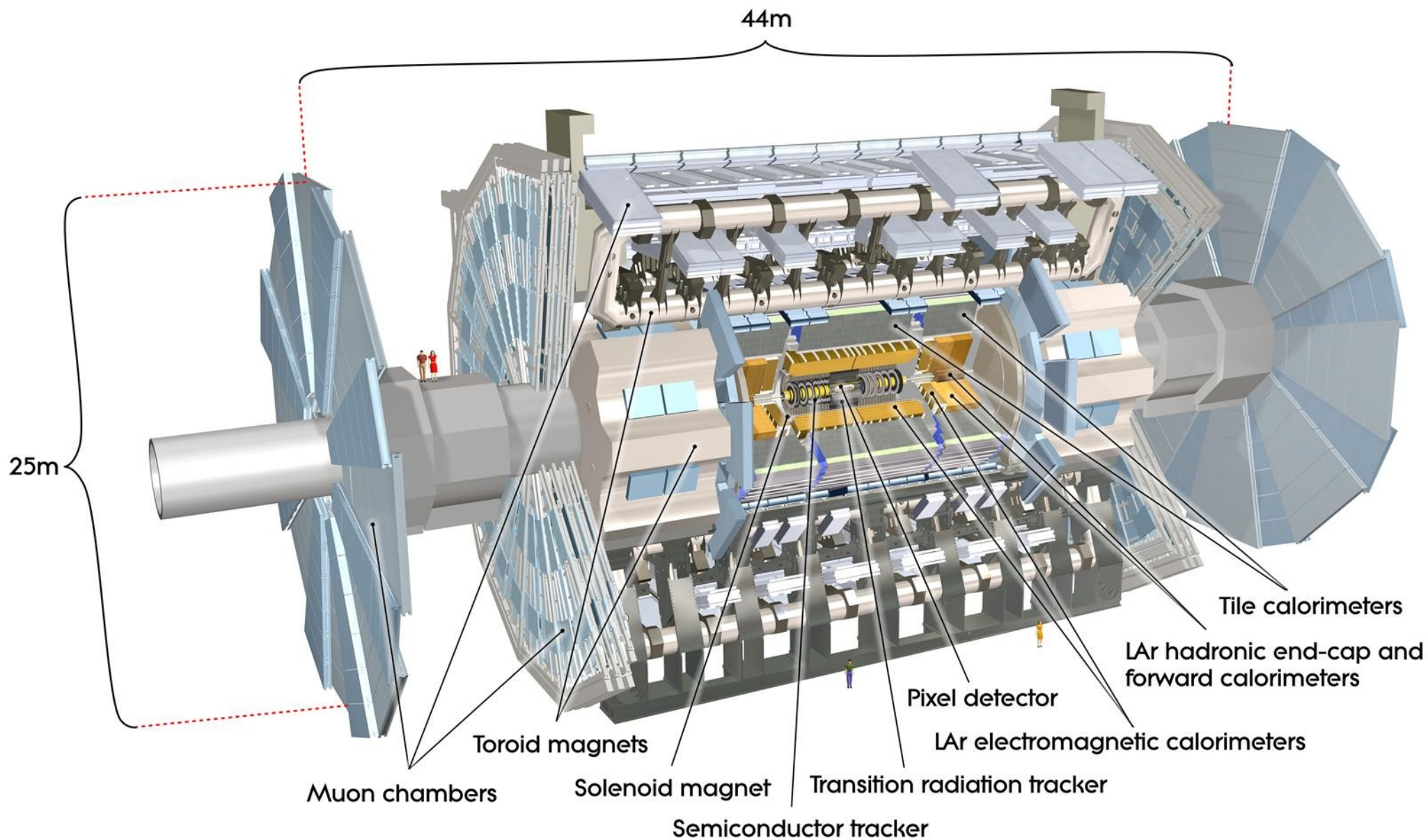
## VBF, VBS, and Triboson Cross Section Measurements Status: March 2021





# Common VBS selections

# ATLAS detector



# Object Selection

## •Leptonic signatures

### •WWjj

• $\nu\ell + \nu\ell + jj$

### •WZjj

• $\nu\ell + \ell\ell + jj$

### •ZZjj

• $\ell\ell + \ell\ell + jj$

• $\nu\nu + \ell\ell + jj$

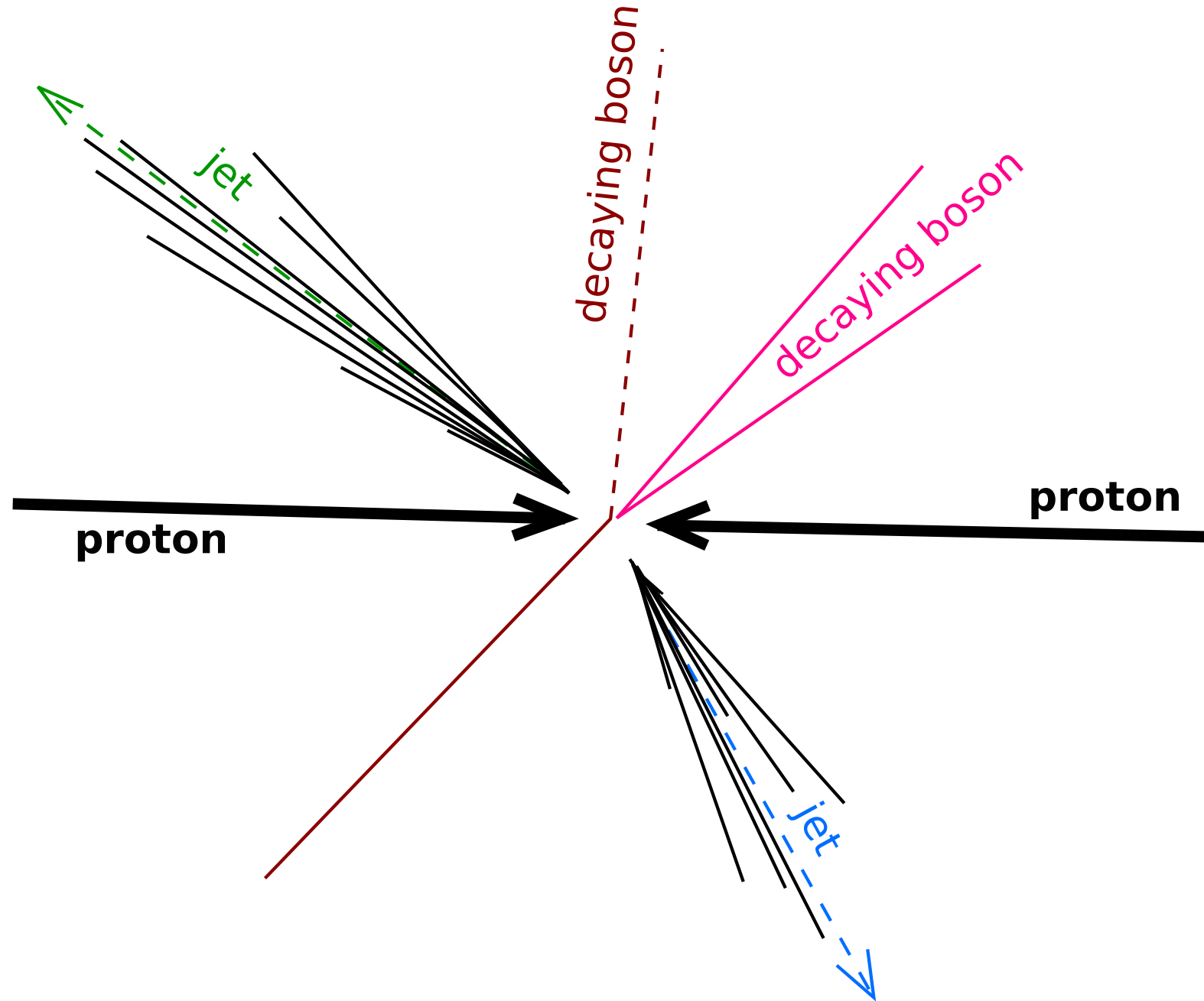
## •Semi-leptonic signatures

### •VVjj

• $\ell\ell + jj + jj$

• $\nu\ell + jj + jj$

• $\nu\nu + jj + jj$



# Object Selection

## •Leptonic signatures

### •WWjj

• $\nu\ell + \nu\ell + jj$

### •WZjj

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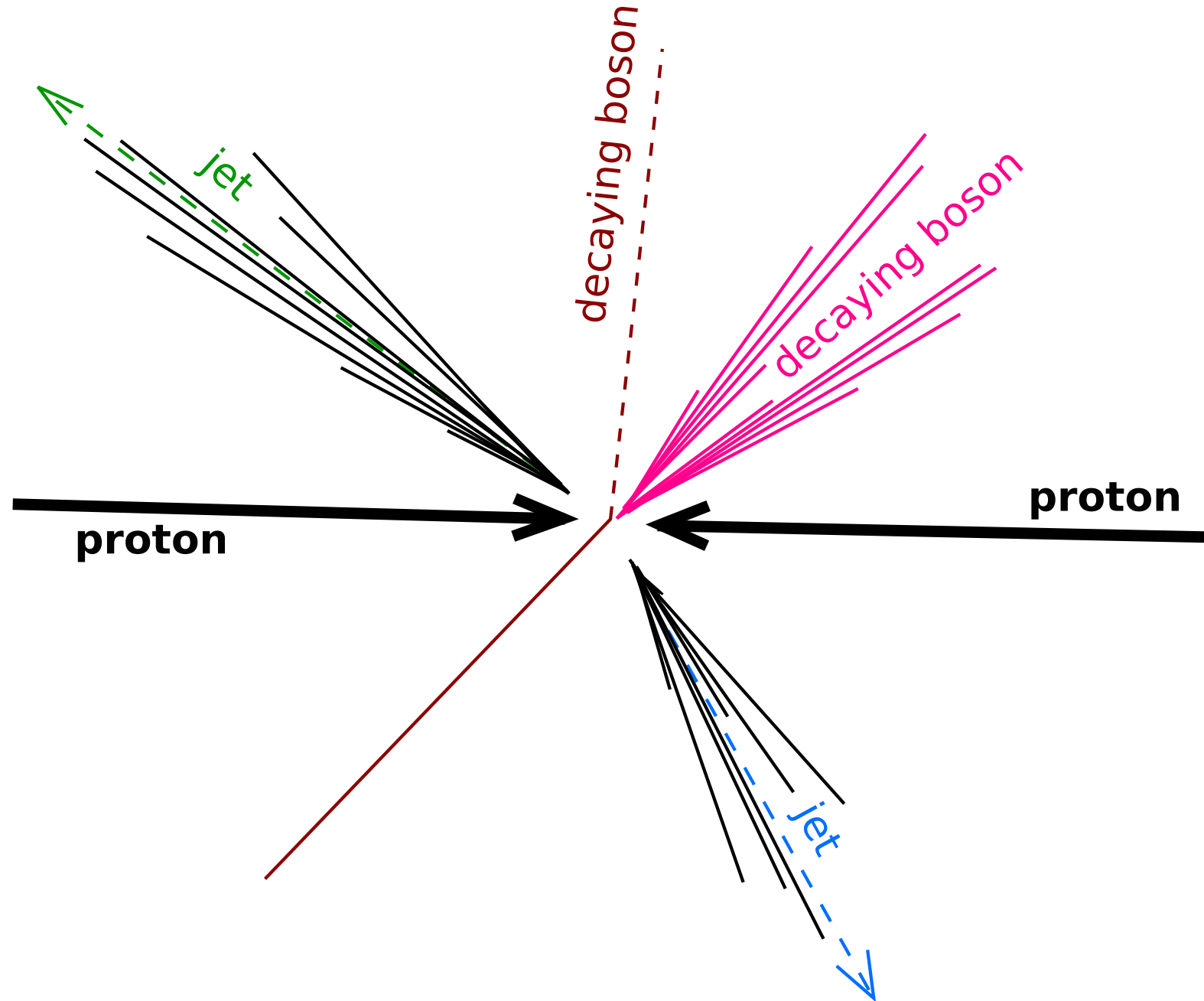
## •Semi-leptonic signatures

### •VVjj

• $\ell\ell + jj + jj$

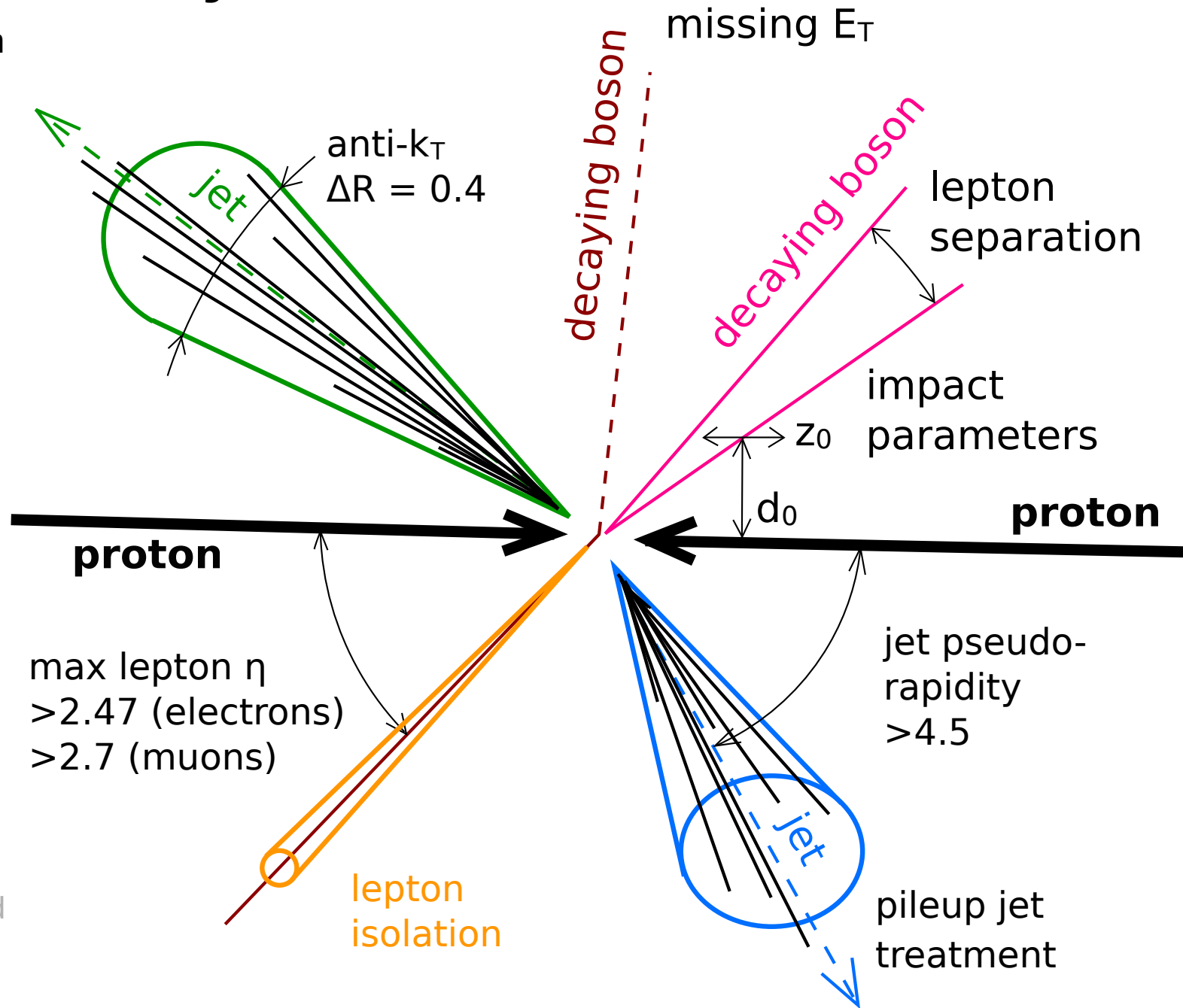
• $\nu\ell + jj + jj$

• $\nu\nu + jj + jj$



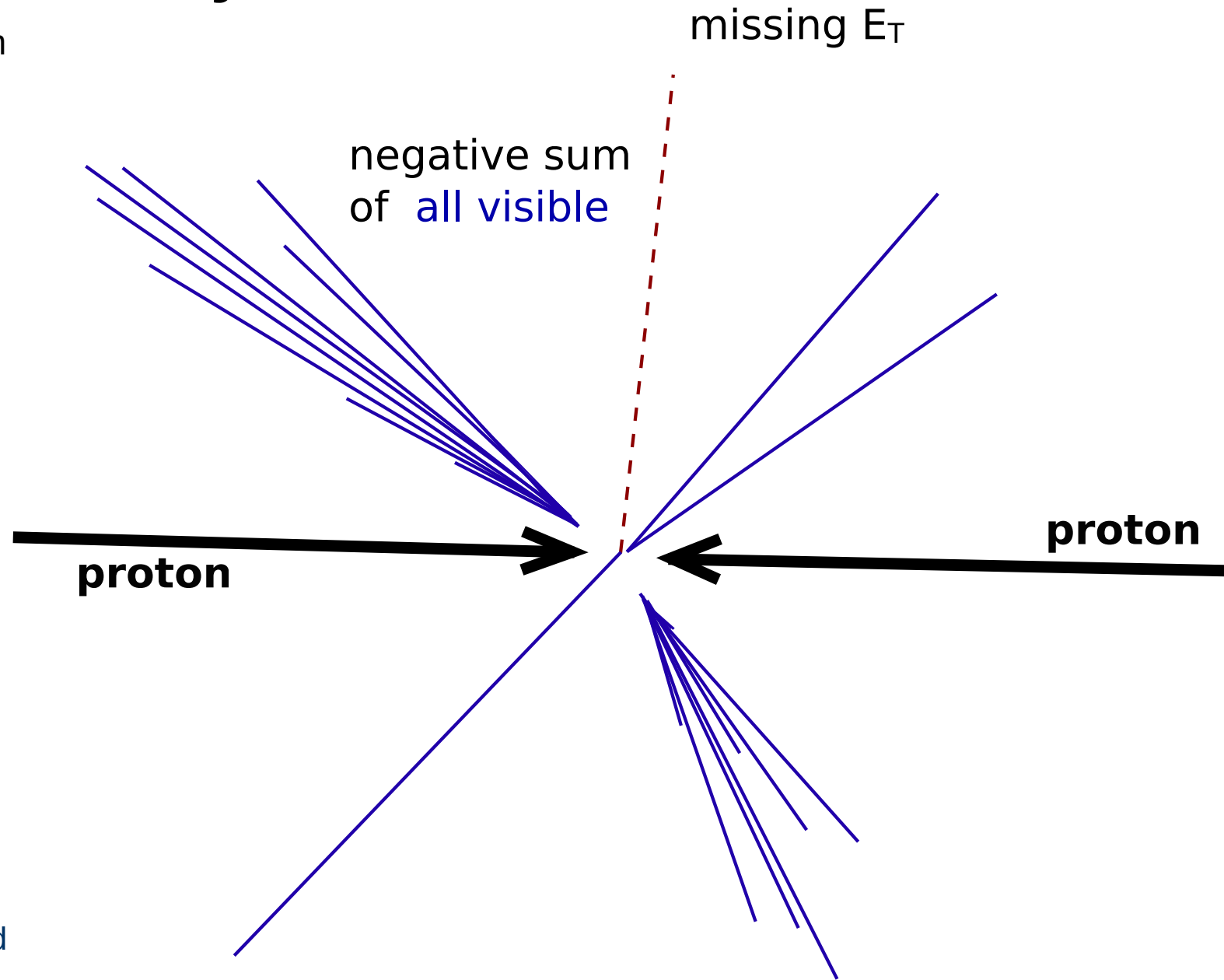
# Object Selection

- Transverse momentum
- Detector limit in pseudorapidity ( $\eta$ )
- Impact parameter
  - Cosmic rejection
  - Secondary vertex
- Overlap removal
  - Electrons, Muons, Jets
- Lepton quality and isolation
- Jet reconstruction
  - Anti- $k_T$
  - Standard jet ( $\Delta R = 0.4$ )
  - Large jet ( $\Delta R = 1.0$ )
  - Track jet ( $\Delta R = 0.2$ )
  - Pileup jet tagging
- Missing transverse momentum
  - Negative global vector sum of all identified objects and unclassified tracks and calorimeter clusters



# Object Selection

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# Event Selection

- Leptonic W boson

- High quality lepton plus missing transverse momentum
- Jet veto

- Leptonic Z boson

- Same flavour opposite charge di-lepton (SFOC)
- Di-lepton mass window

- Hadronic boson

- Two standard jets
- One large jet and jet substructure
- Di-jet mass window

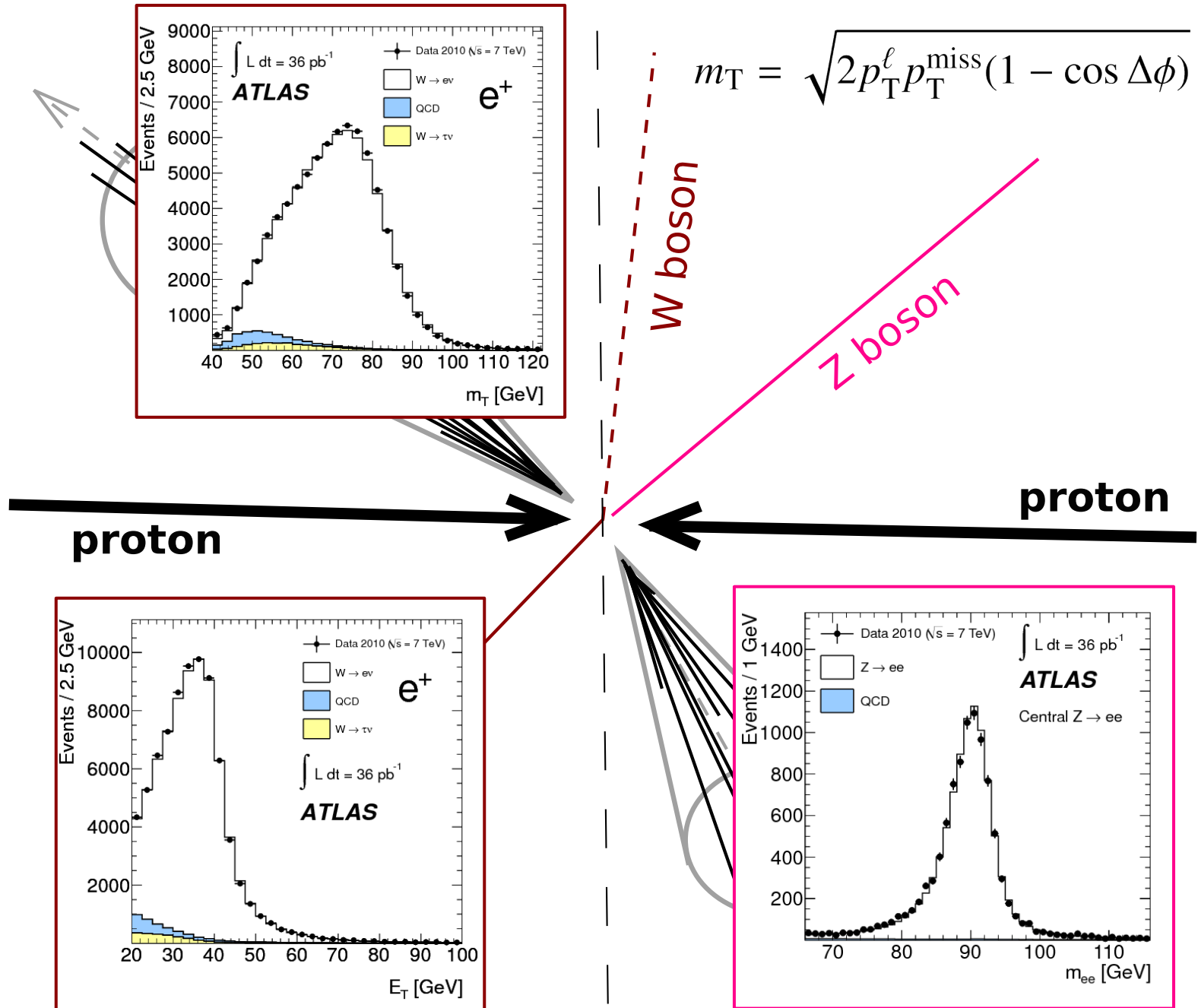
- Invisible boson

- Large missing transverse energy

- Tagging di-jet selection

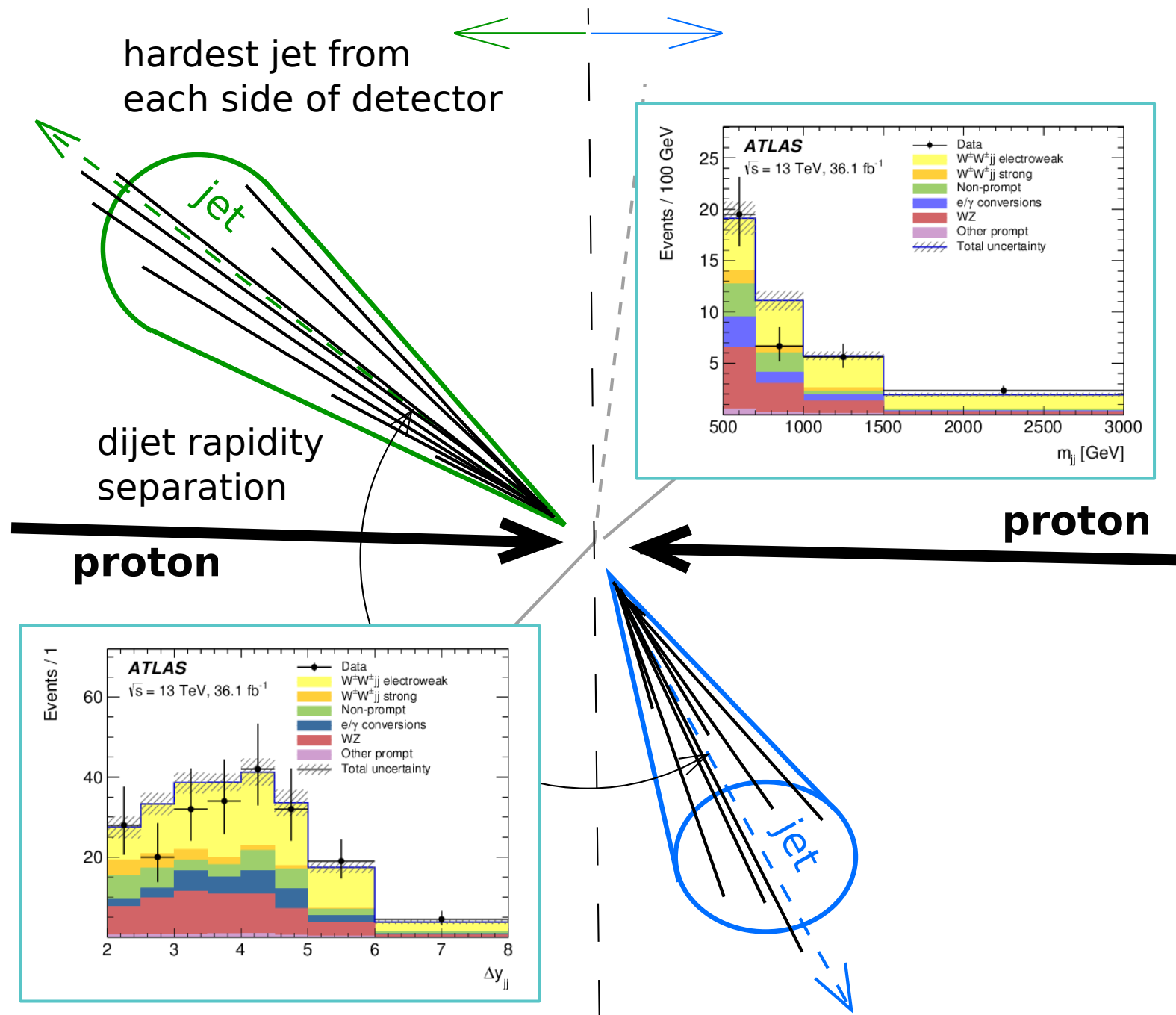
- Hardest jet from opposite side of detector
- Di-jet separation in rapidity
- High di-jet mass requirement

- Jet-lepton centrality



# Event Selection

- Leptonic W boson
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  - Hardest jet from opposite side of detector
  - Di-jet separation in rapidity
  - High di-jet mass requirement
- Jet-lepton centrality

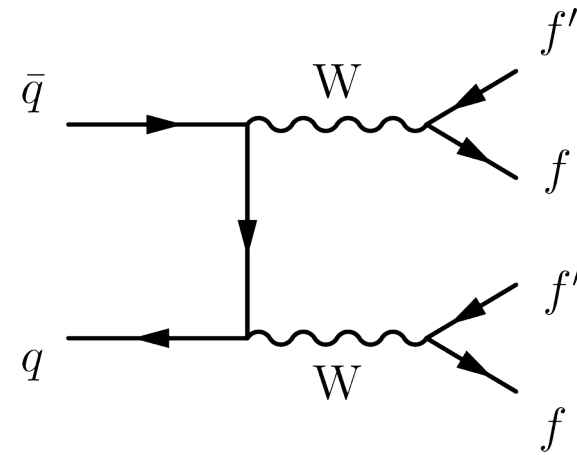




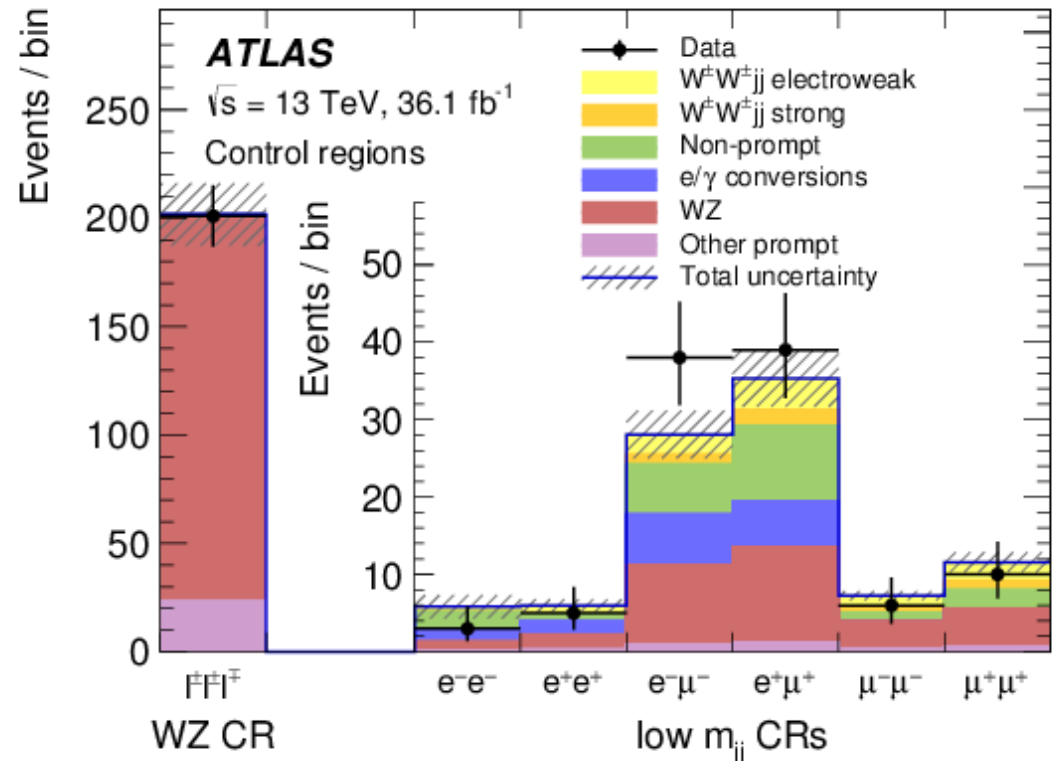
# Analyses

# $W^\pm W^\pm$ - VBS “Discovery” Channel

- VBS final state:  $\nu\ell^\pm\nu\ell^\pm + jj$
- Dataset:  $36.1 \text{ fb}^{-1}$ , 13 TeV
- Expected significance:  $6.5 \sigma$  (Powheg-Box) and  $4.4 \sigma$  (Sherpa)
- Same sign requirement suppress  $q\bar{q}$  production



- Prompt background (MC modeled)
  - $WZ$ +jets (dominant),  $WW$ +jets (QCD),  $ZZ$ +jets, and  $VVV$
- Non-prompt background (data driven)
  - $t\bar{t}$ ,  $WW$ +jets (QCD),  $V\gamma$ +jets,  $W$ +jets,  $t$ +jets
  - Lepton misidentification (photon as electron)
  - Charge misidentification (same sign leptons)



arXiv:1906.03203

# MC simulations for $W^\pm W^\pm$ VBS

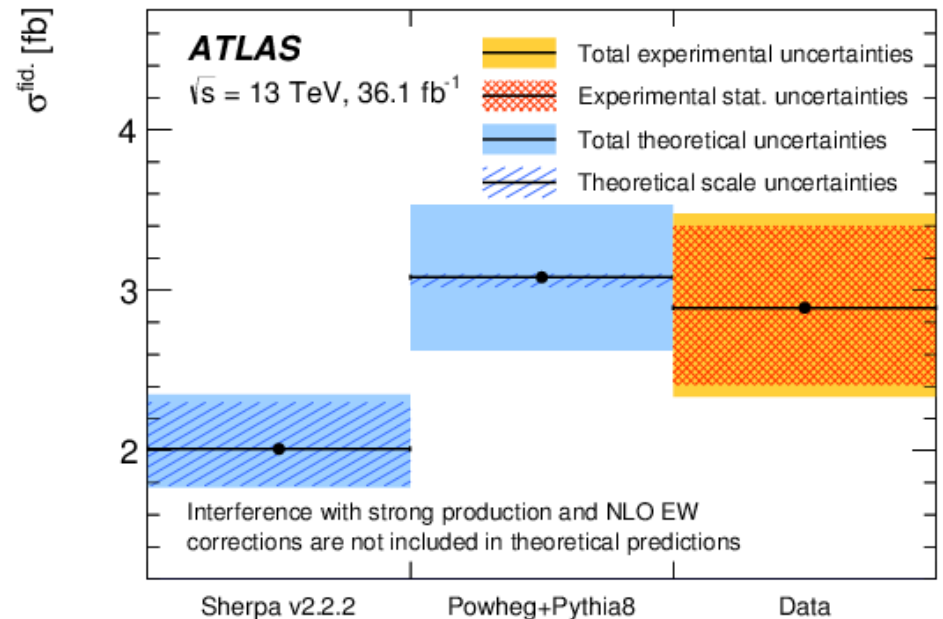
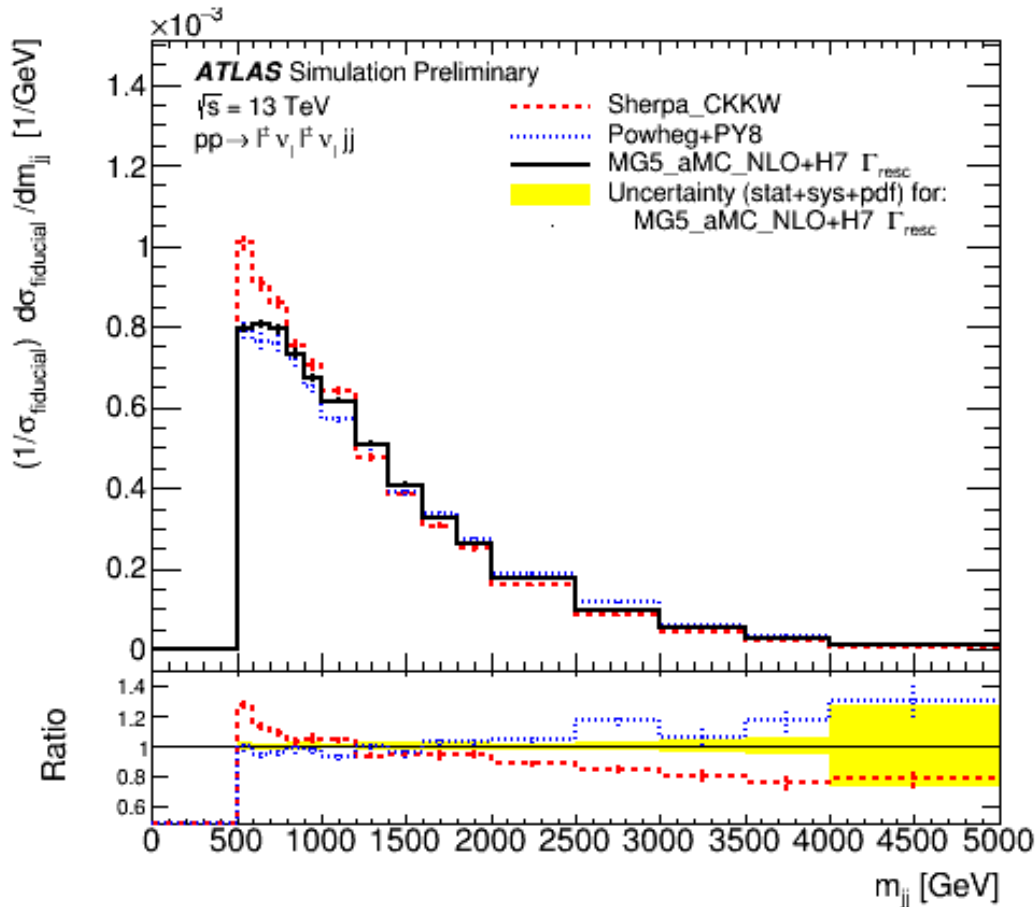
- Extensive MC studies for VBS first evidence channel
- Predicted cross-section and kinematic distribution comparison studies
- Low di-jet mass disagreement

## • Comparison settings

- Generators: MadGraph5\_aMC@NLO, Powheg-Box 2, Sherpa 2
- Parton showering: Pythia 8, Herwig 7, Sherpa 2
- Factorization and renormalization scales effects

•  $W$  mass, di-boson invariant mass,  $\sqrt{p_T^{j1} p_T^{j2}}$

- Non-optimal setting of the color flow for the Sherpa parton shower



ATL-PHYS-PUB-2019-004

# $W^\pm W^\pm$ - Results

- Signal strength (compared to Sherpa)

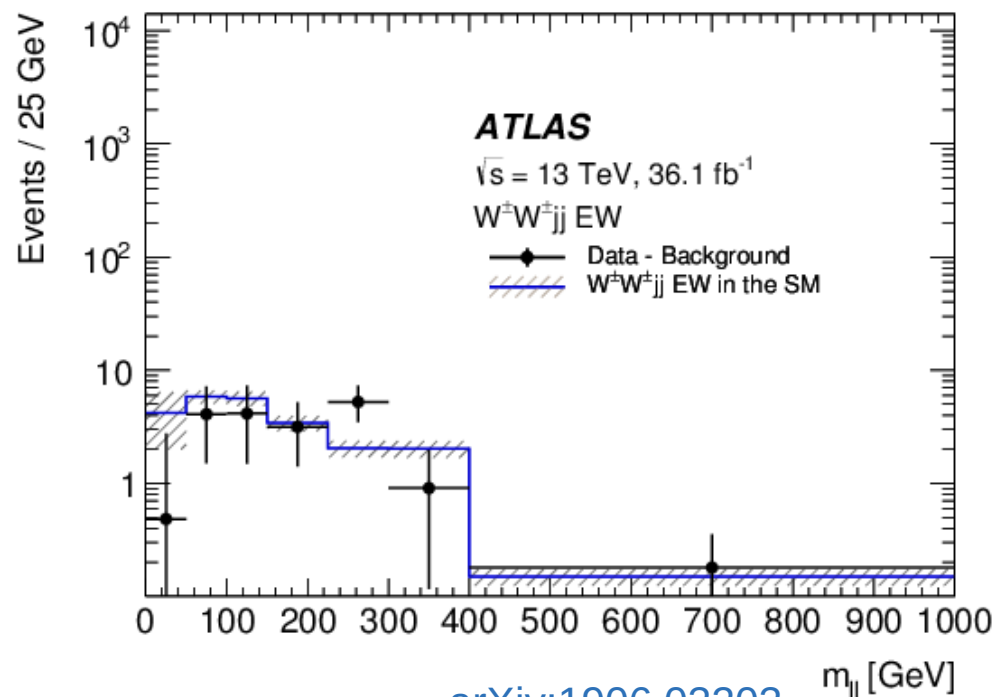
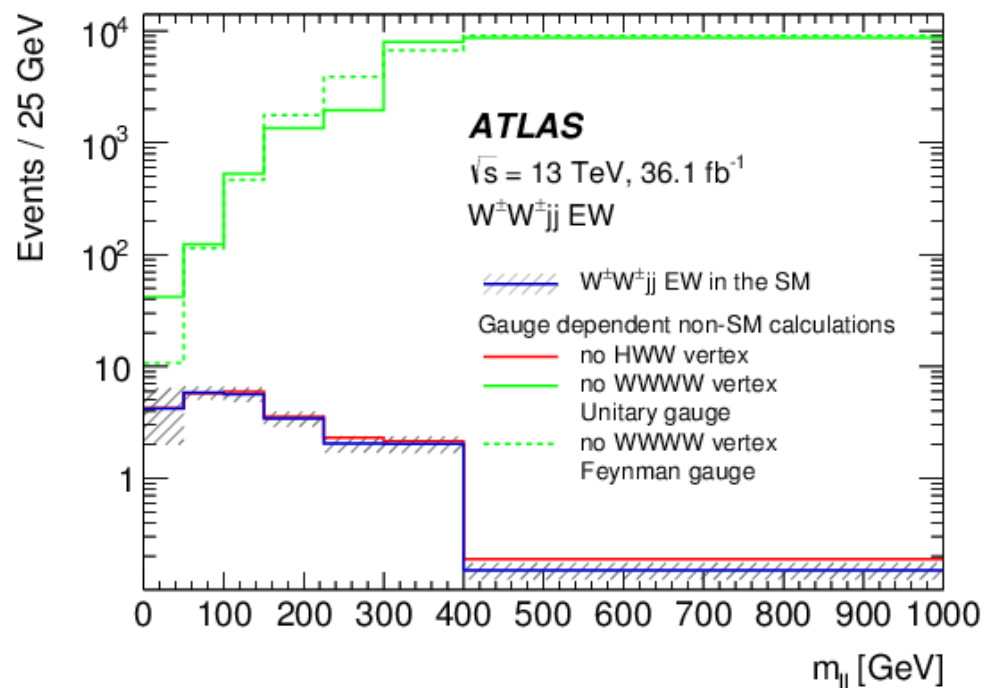
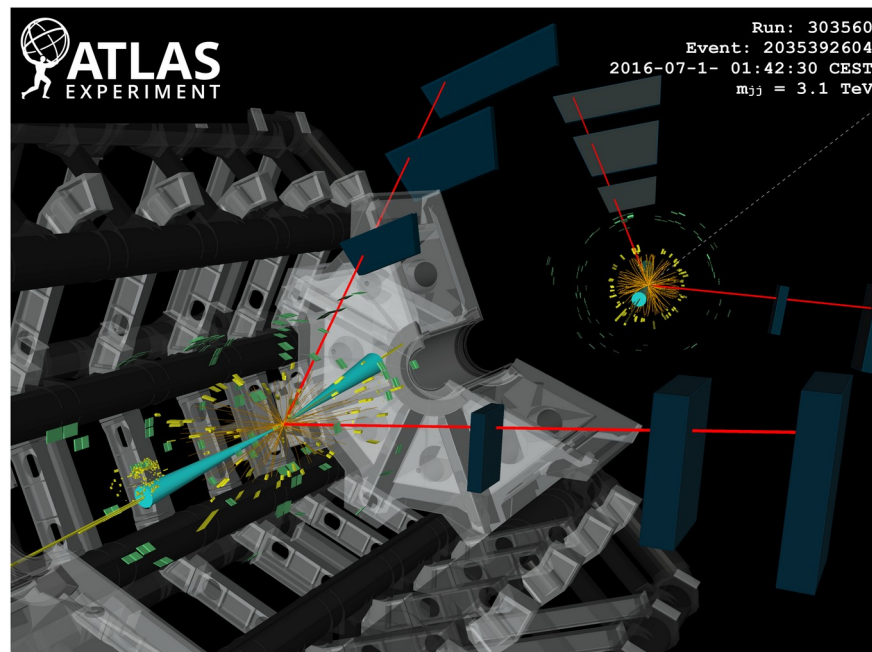
$$1.44^{+0.26}_{-0.24} (\text{stat.})^{+0.28}_{-0.22} (\text{syst.})$$

- Background only hypothesis rejected with significance  $6.5 \sigma$  (expected  $4.4/6.5 \sigma$ )

- EW Fiducial cross-section

$$2.89^{+0.51}_{-0.48} (\text{stat.})^{+0.29}_{-0.28} (\text{syst.}) \text{ fb}$$

- No deviation from SM observed in  $W^\pm W^\pm jj$  EW



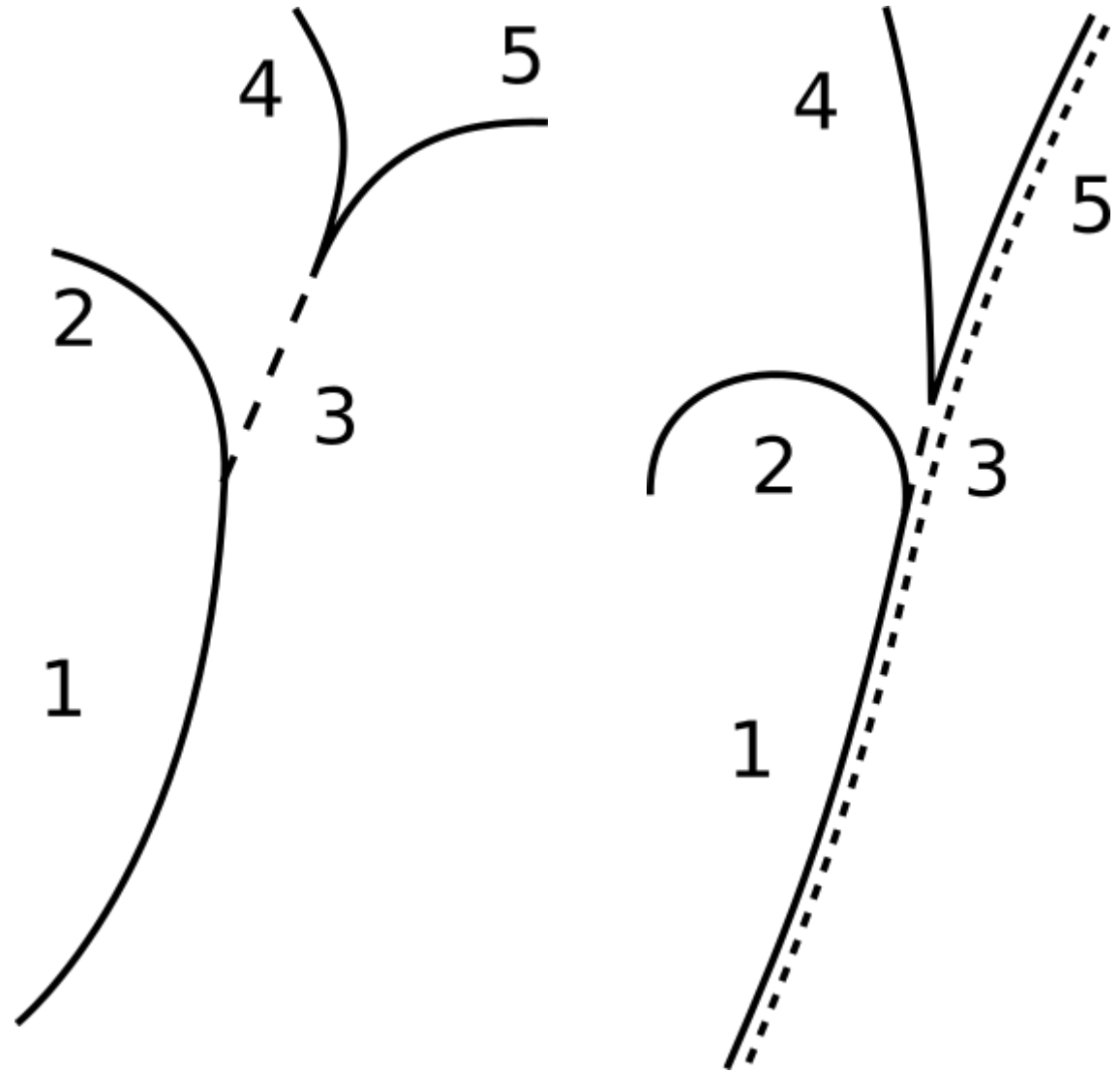
# Troubles with electrons

- 13 TeV centre-of-mass energy

- High energy electrons
- Interaction with the detector

- Detector material interaction

- Bremsstrahlung
- Detector material interaction
- Electron-gamma conversion
- Charge misidentification
- Electron dressing

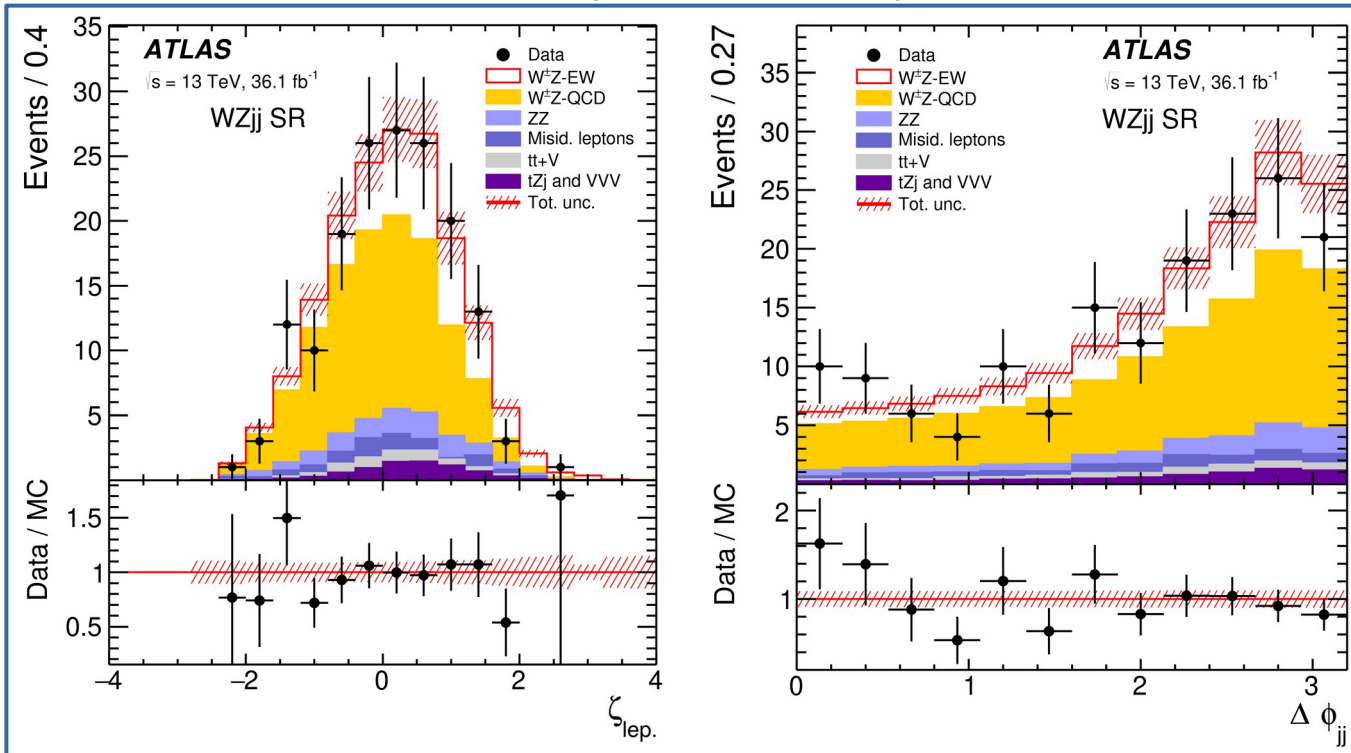


# $W^\pm Z - VBS$ “Mix” Channel

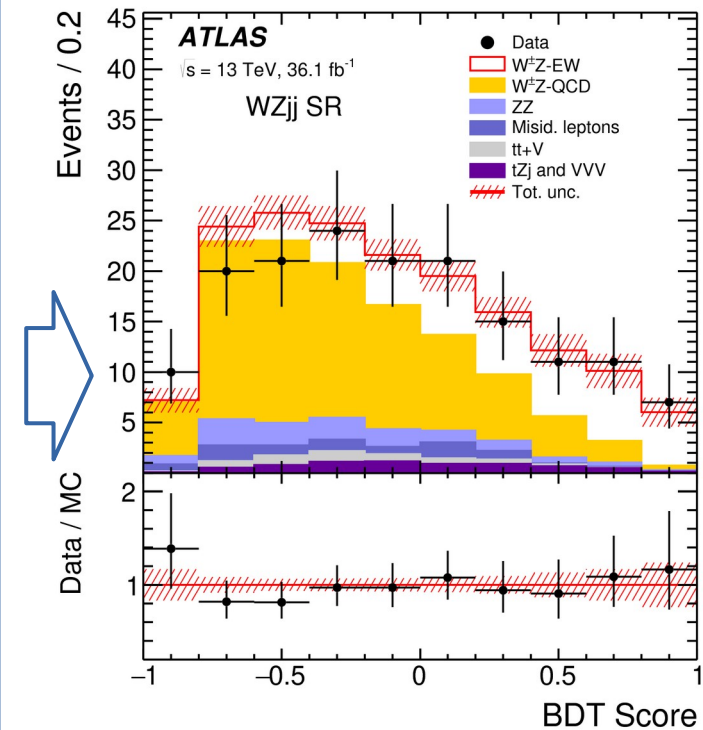
- VBS final state:  $\nu\ell\ell\ell + jj$
- Dataset:  $36.1 \text{ fb}^{-1}$ , 13 TeV
- Expected significance:  $3.2 \sigma$
- MVA: TMVA BDT, 15 variables
- W and Z reconstruction using Resonant Shape algorithm

- Fourth lepton veto
- Prompt background
  - $WZ$ +jets (QCD),  $ZZ$ +jets,  $t\bar{t}V$ ,  $VVV$ ,  $tZ$ +jets
- Non-Prompt background
  - $Z$ +jets,  $Z\gamma$ +jets,  $t\bar{t}$ ,  $Wt$ +jets,  $WW$ +jets
  - Misidentified leptons (data driven)

Example of BDT Input



BDT Score



# $W^\pm Z$ – Results

- EW Signal strength

$$1.77^{+0.44}_{-0.40} (\text{stat.})^{+0.26}_{-0.21} (\text{syst.})$$

- Background only hypothesis rejected with significance  $5.3 \sigma$  (expected  $3.2 \sigma$ )

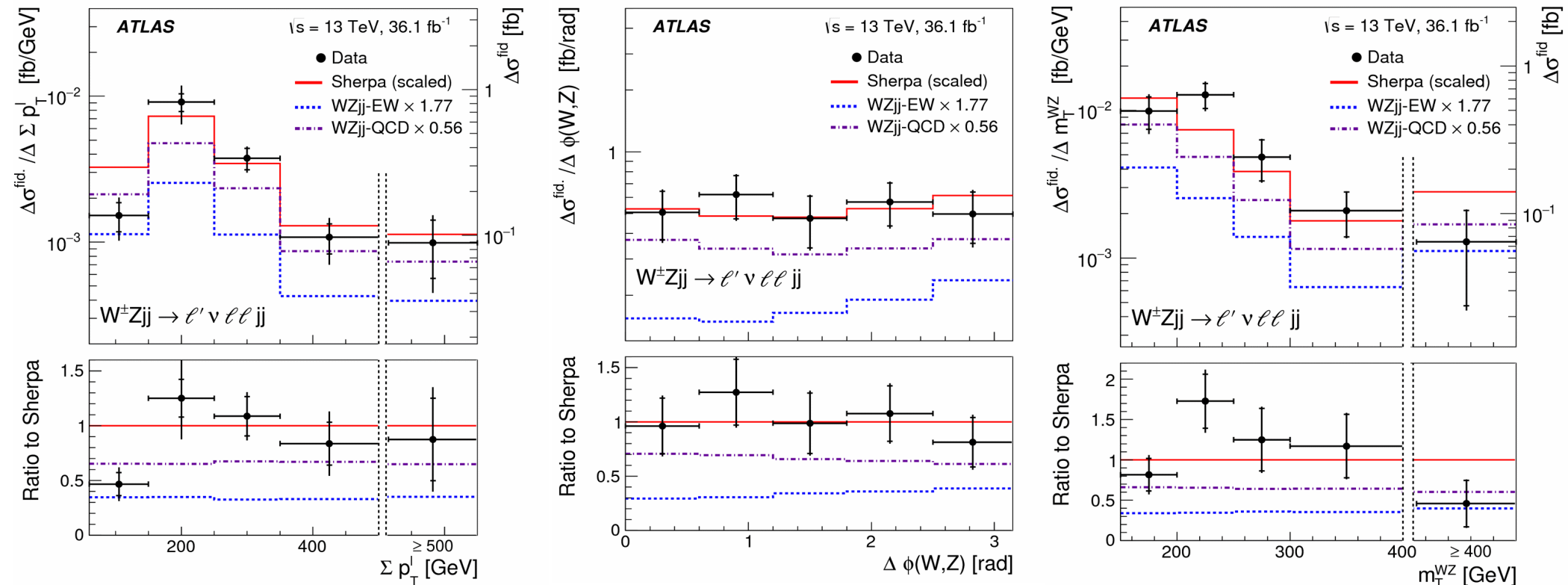
- EW fiducial cross-section

$$0.57^{+0.14}_{-0.13} (\text{stat.})^{+0.07}_{-0.06} (\text{syst.}) \text{ fb}$$

- WZjj EW production **observed**

- Distributions sensitive to anomalous QGC

- Inclusive fiducial phase space (EW + QCD)



# Resonant Shape Algorithm

- Event MC generators do not always provide full information
  - Huge amount of events
  - Storage consumption
- Used for WZ VBS channel [arXiv:1603.02151](https://arxiv.org/abs/1603.02151)
- Based on value of the following estimator

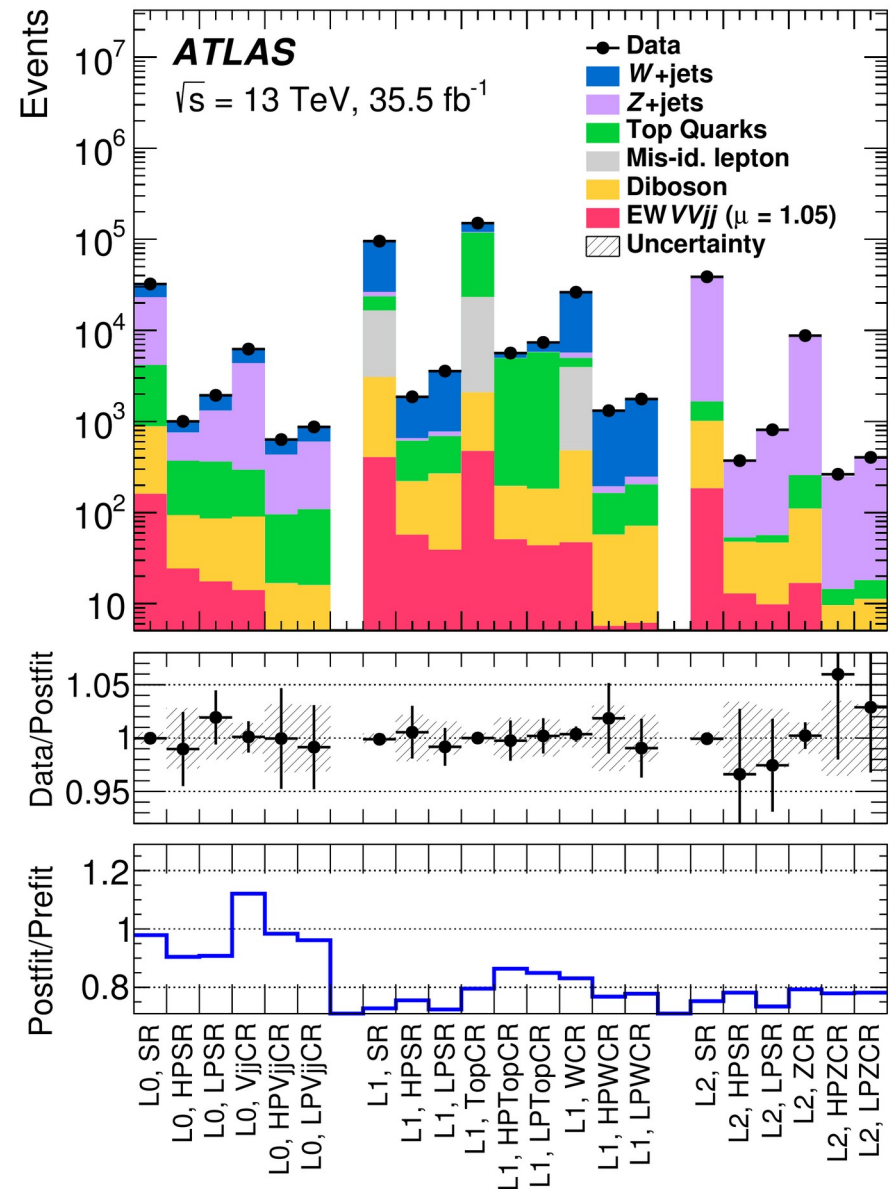
$$P = \left| \frac{1}{m_{(\ell^+, \ell^-)}^2 - (m_Z^{\text{PDG}})^2 + i \Gamma_Z^{\text{PDG}} m_Z^{\text{PDG}}} \right|^2 \times \left| \frac{1}{m_{(\ell', \nu_{\ell'})}^2 - (m_W^{\text{PDG}})^2 + i \Gamma_W^{\text{PDG}} m_W^{\text{PDG}}} \right|^2$$

- Input
  - Mass of all possible di-lepton and neutrino-lepton pairs
  - PDG mass and width of W and Z bosons
- The best evaluated triplet is the WZ candidate
  - Highest  $P$  value
- Monte Carlo independent method
  - Used for all generators



# VV Semi-leptonic – VBS “Jet” Channel

- VBS final states:  $\ell\ell jj + jj$ ,  $\ell\nu jj + jj$ ,  $\nu\nu jj + jj$  (2-, 1-, and 0-lepton channel)
- Dataset:  $35.5 \text{ fb}^{-1}$ , 13 TeV
- Expected significance:  $2.5 \sigma$
- MVA: TMVA BDT, 4 – 16 variables
- 9 signal regions, 12 control regions
  - Working points: resolved, high/low purity merged jets
- Dominant background
  - 2-lepton channel
    - Z+jets
  - 1-lepton channel
    - W+jets, diboson, misidentified lepton
  - 0-lepton channel
    - Z+jets, diboson,  $t\bar{t}$
- Minor background (all channels)
  - $VVjj$  (QCD)



# VV Semi-leptonic – Results

- EW signal strength

$$1.05^{+0.20}_{-0.20} (\text{stat.})^{+0.37}_{-0.34} (\text{syst.})$$

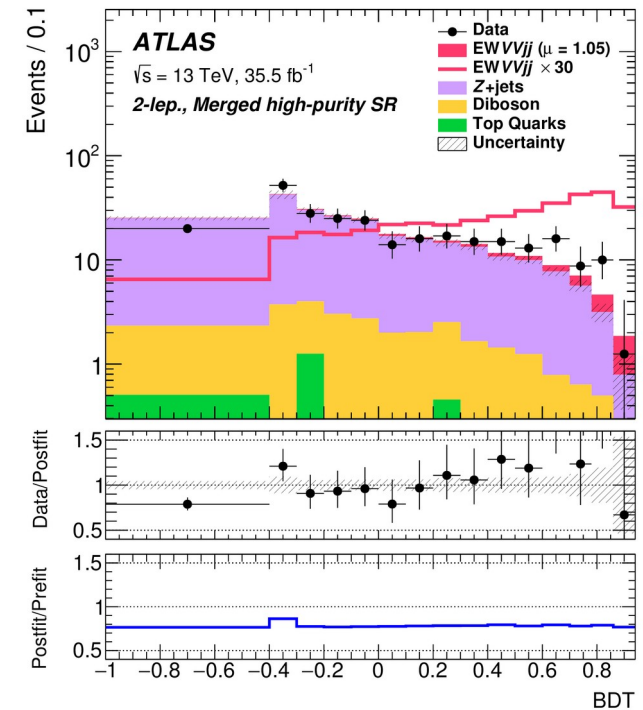
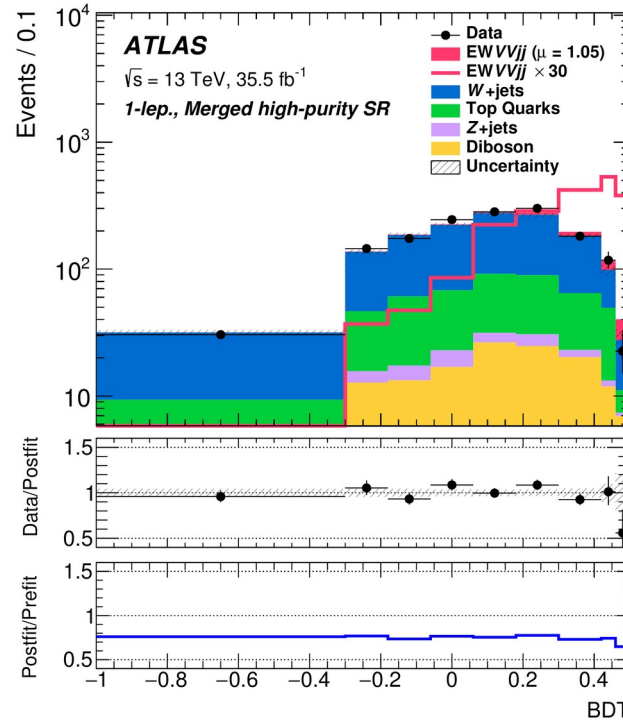
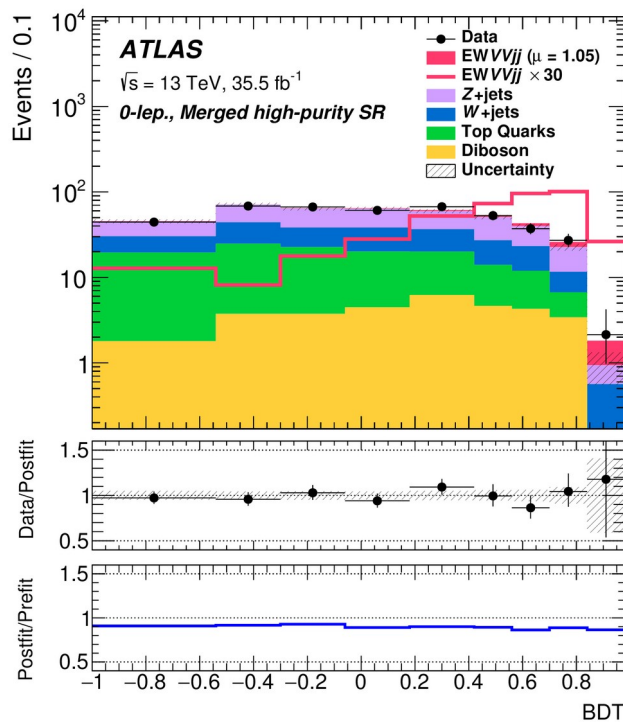
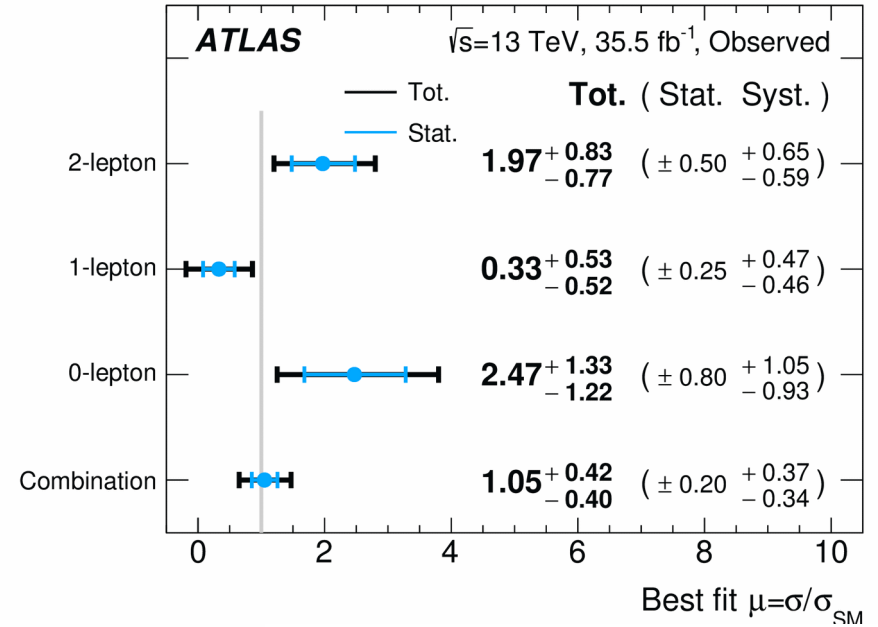
- Background only hypothesis rejected with significance  $2.7 \sigma$  (expected  $2.5 \sigma$ )

- EW fiducial cross-section

$$45.1^{+8.6}_{-8.6} (\text{stat.})^{+15.9}_{-14.6} (\text{syst.}) \text{ fb}$$

- Extensive combined fit (21 signal/control regions)

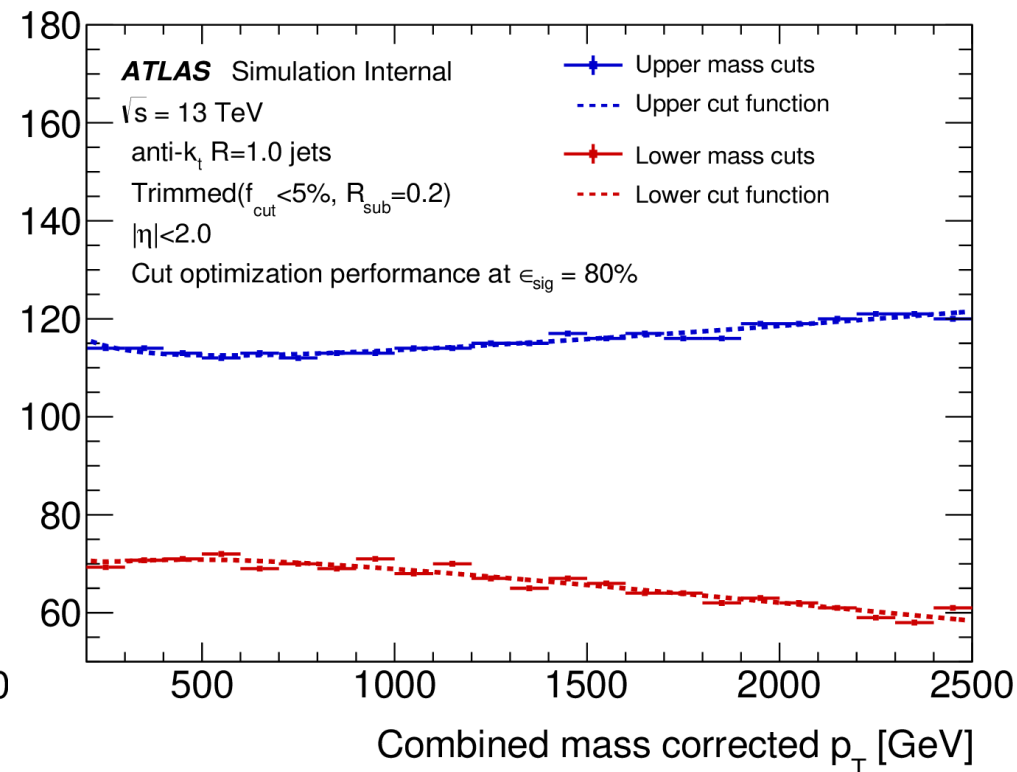
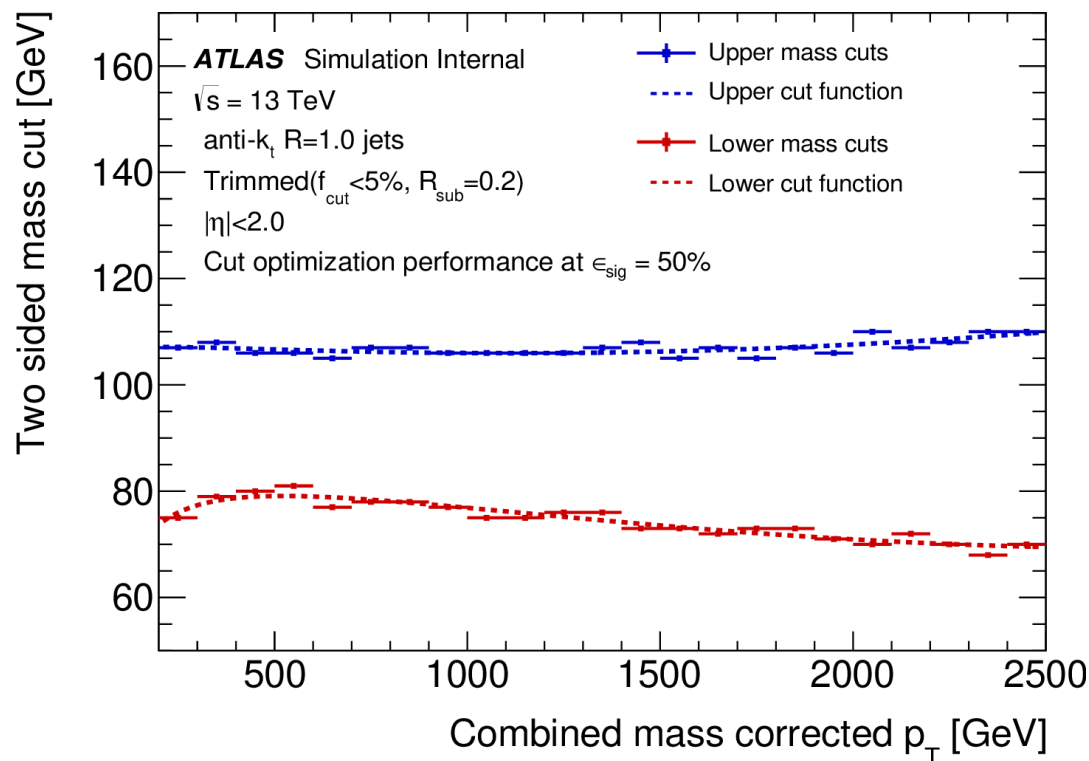
- Still waiting for evidence



# W/Z hadronic tagger

- Vector bosons reconstruction
  - Hadronically decaying and boosted
- Jet substructure
  - Large jet ( $\Delta R = 1.0$ ) are re-clustered with anti-kT algorithm again with smaller radius
  - $D_2(\beta = 1)$  jet substructure variable
    - Two-point to three-point energy correlation function ratio
    - Based on pairwise angular separation of particles and energy clusters within the jet

- Merged working points
  - High purity
    - Pass 50% working point
  - Low purity
    - Fail 50% but pass 80% working point



# ZZ VBS analysis

# ZZ – VBS “Golden” Channel

**NEWEST RESULT!**

- VBS final states:  $llll + jj$ ,  $\nu\ell\ell + jj$
- Dataset:  $139 \text{ fb}^{-1}$ , 13 TeV
  - First VBS analysis of full Run 2 of LHC
- Expected significance:  $4.3 \sigma$
- MVA: TMVA Gradient BDT, 14 variables
- 2 signal regions, 1 control region (only  $llll$ )

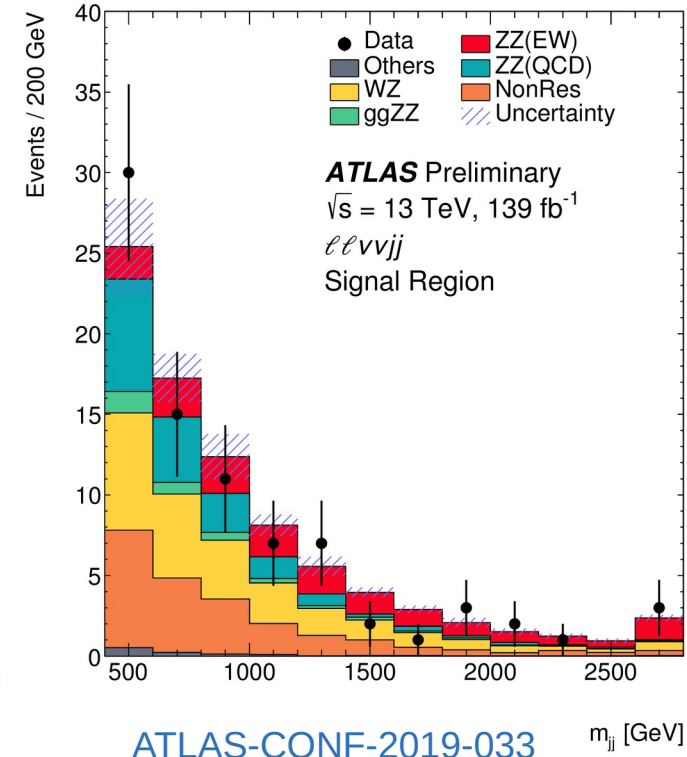
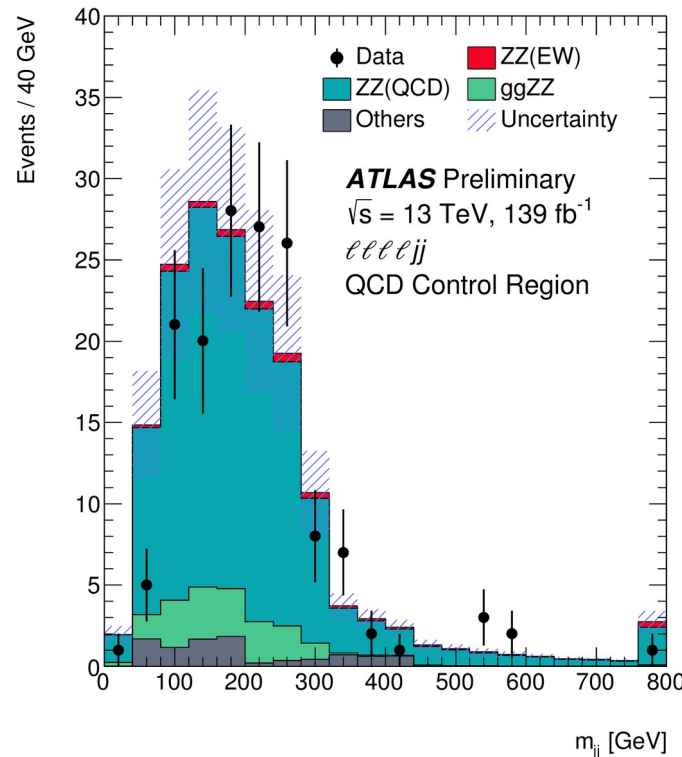
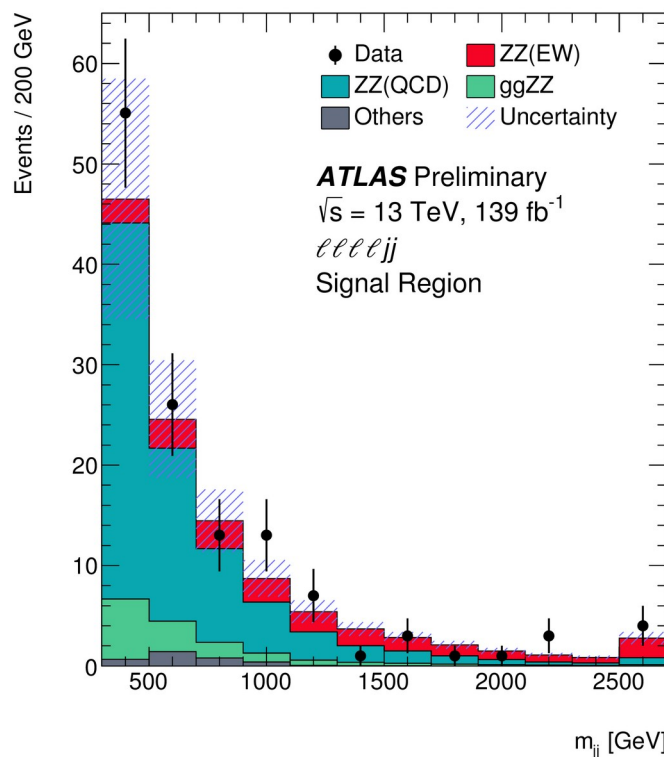
## • Background

•  $llll$

- Dominant: ZZ+jets (QCD)
- Otherwise very clean channel (3%): misidentified leptons, Z+jets,  $t\bar{t}$ , WZ+jets

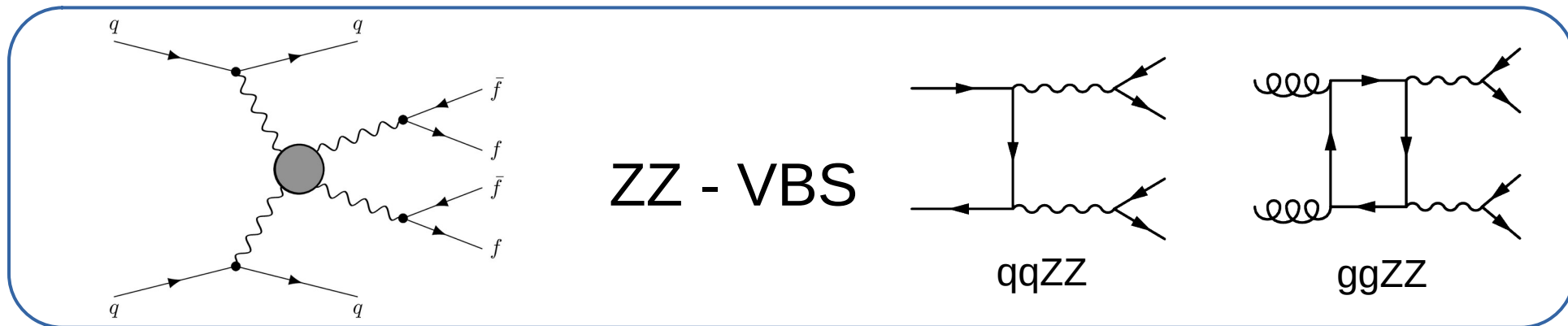
•  $\nu\ell\ell$

- Dominant: ZZ+jets (QCD), WZ+jets, WW+jets
- $t\bar{t}$ , Z+jets



# Analysis Overview

Physics



Selection

VBS pre-selection

- ZZ
  - Dijet (A/C detector side)
    - Mass, jet delta rapidity
- Background estimation

MVA

- Signal/Background
  - EW/QCD
  - BDTG
  - Input observables
- Statistical fit

Results

Inclusive (QCD + EW) ZZ+2j cross-section measurement in VBS enhanced region

EW ZZ+2j production detection significance extraction and ZZ VBS evidence

# Selection ( $\ell\ell\ell\ell$ )

## Electrons

- Identification
  - LH Loose
- $|\eta| < 2.47$
- $p_T > 7$  GeV
- $|z_0 \sin\theta| < 0.5$  mm
- $d_0$  significance  $< 5.0$
- Isolation
  - FixedCutLoose

## Muons

- Quality
  - Loose
- $|\eta| < 2.7$
- $p_T > 7$  GeV (15 GeV for Calo)
- $|z_0 \sin\theta| < 0.5$  mm
- $d_0$  significance  $< 3.0$
- Isolation
  - FixedCutLoose

## Jets

- AntiKt4EMTopo,  $R = 0.4$
- $|\eta| < 4.5$
- Central jets ( $|\eta| < 2.4$ )
  - $p_T > 30$  GeV, JVT  $> 0.59$
- Forward jets ( $2.4 < |\eta| < 4.5$ )
  - $p_T > 40$  GeV
- Loose cleaning
- Lepton favouring overlap removal

## Object Selection

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## Event Selection

### ZZ

- Quadruplet building SFOC pairs
- Hierarchical  $p_T$  cut (20, 20, 10, 7 GeV)
- Quarkonia veto  $m_{\ell\ell} > 10$  GeV
- $< 2$  CaloTagged or StandAlone muons
- $66$  GeV  $< m_{\ell\ell} < 116$  GeV

### Dijet

- $y_{j1} \times y_{j2} < 0$  (different detector sides)
- Highest jet  $p_T$  from each side
- $|\Delta y_{jj}| > 2$ ,  $m_{jj} > 300$  GeV

# • Multivariate analysis ( $\ell\ell\ell\ell$ )

## • Multivariate analysis

- ROOT

## • Variables



- Di-jet: mass, separation, opposite detector sides

- Bosons: mass, momentum ( $p_T$ )

- Single objects:  $p_T$

- Whole system:  $p_T$  to  $H_T$  ratio, boson centrality

## • Comparison

- Signal vs background



## • Boosted decision trees

- Decision trees

- Ensemble of trees

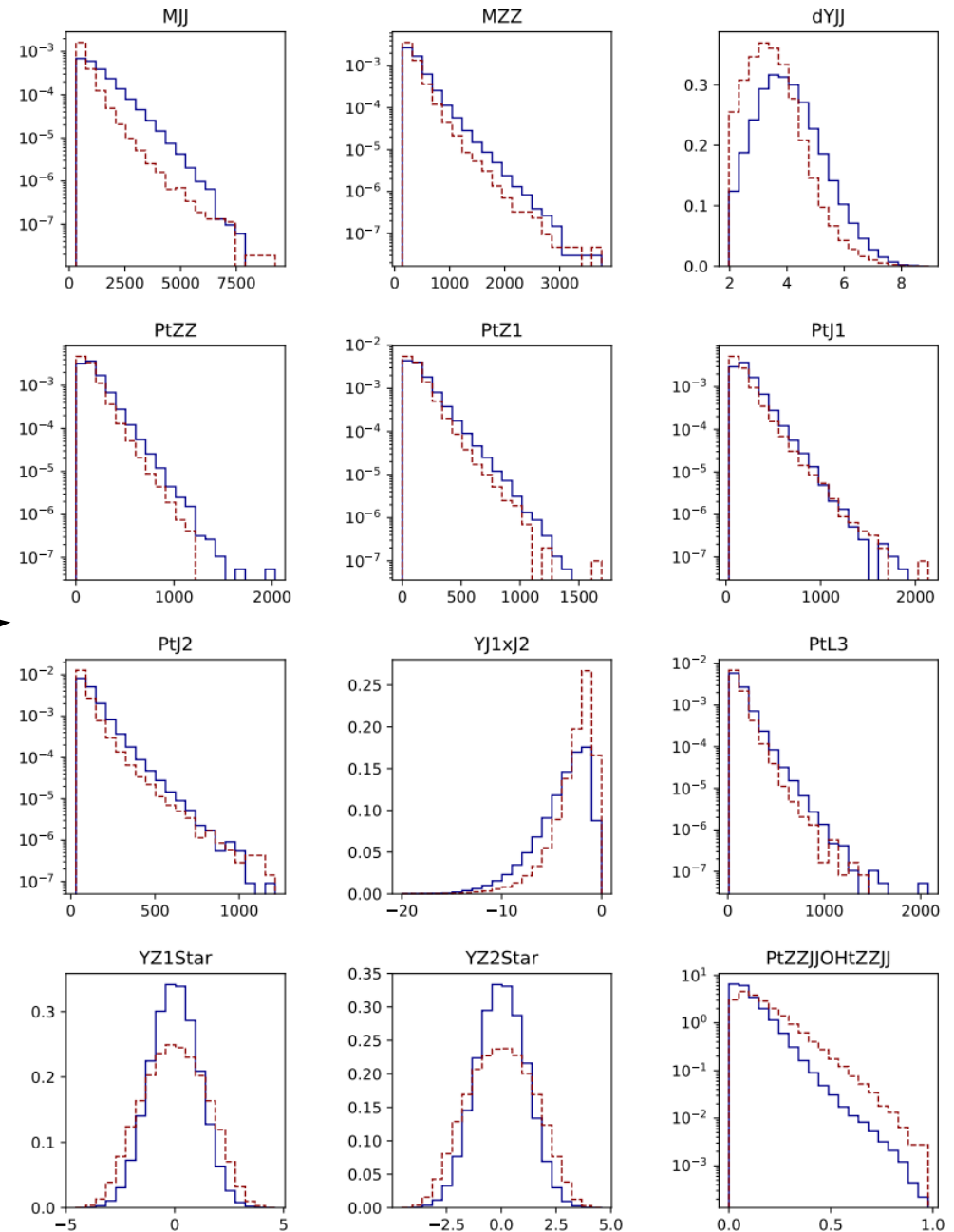
- Gradient Boosting

- Weak learner

- Optimal classifier

- Learning suppression

- Sum of decisions of all trees





# Yields and Background ( $\ell\ell\ell\ell$ )

MC sample	Event yield	
	SR	QCD-CR
EW $ZZjj$	$17.52^{+2.74}_{-2.69}$	$3.22 \pm 0.68$
QCD $ZZjj$ (Quark-induced)	$60.58^{+20.37}_{-14.13}$	$114.81^{+34.31}_{-24.69}$
QCD $ZZjj$ (Gluon-induced)	$11.13^{+5.44}_{-4.22}$	$14.75^{+7.14}_{-5.50}$
$t\bar{t}Z$	$3.86^{+0.35}_{-0.26}$	$8.21^{+0.33}_{-0.38}$
Fakes background	$2.27^{+1.33}_{-1.33}$	$4.75^{+2.55}_{-2.55}$
Tri-boson	$0.61^{+0.20}_{-0.17}$	$0.97^{+0.31}_{-0.26}$
MC Total	$95.97^{+21.31}_{-15.05}$	$146.71^{+35.15}_{-25.44}$
Real data	–	129.00

## •QCD Background

- qqZZ and ggZZ

Dedicated control region

- $|\Delta y_{jj}| < 2$

- $m_{jj} < 300$  GeV

## •Prompt

- $t\bar{t}Z$ , triboson, ZZ to tau,  $t\bar{t}WW$

- MC modeled

## •Misidentified leptons background

- Z+jets,  $t\bar{t}$ , WZ+jets

- Data-driven method

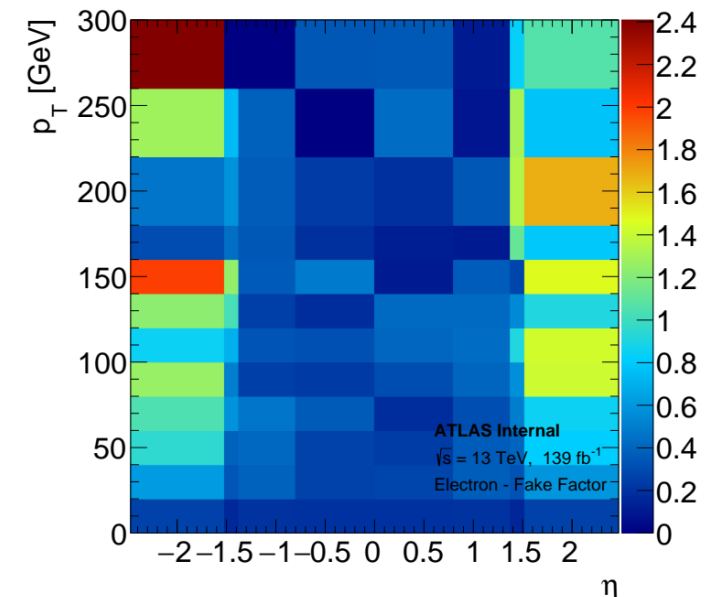
## •Fake factor method

### •Extrapolation of lepton misidentification effect

- From a fake enriched kinematic region in data
- To signal region
- Using loosening of particular lepton criteria
  - Inversion of isolation, identification, and transverse impact parameter

### •Fake factor (2D: $\eta$ , $p_T$ )

- Ratio of probability
  - Fake leptons passing the signal criteria over
  - Fake leptons passing the loosened criteria



# Binned Profile Likelihood Ratio

$$L(\mu, \theta) = \prod_{j=1}^N \frac{(\mu s_j + b_j)^{n_j}}{n_j!} e^{-(\mu s_j + b_j)} \prod_{k=1}^M \frac{u_k^{m_k}}{m_k!} e^{-u_k}$$

## Building of the likelihood

- Finding distribution parameters fitting the observed histograms
- Poisson distribution, Gaussian etc.
- Data choose value of NP (profiling)
- Construct Asimov dataset
  - Internal cross-check if the likelihood is consistent with theory prediction
  - Set all the observed values as the expected ones

## • Observation

- Test statistic of incompatibility of  $\mu$  and data
  - Range (0,1)
$$t_\mu = -2 \ln \lambda(\mu)$$
- Data-hypothesis discrepancy

- Calculate the conditional maximized likelihood function
  - Calculate maximum for each value of POI ( $\mu$ )
  - Varying the NP ( $\theta$ )

$$\lambda(\mu) = \frac{L(\mu, \hat{\theta})}{L(\hat{\mu}, \hat{\theta})}$$

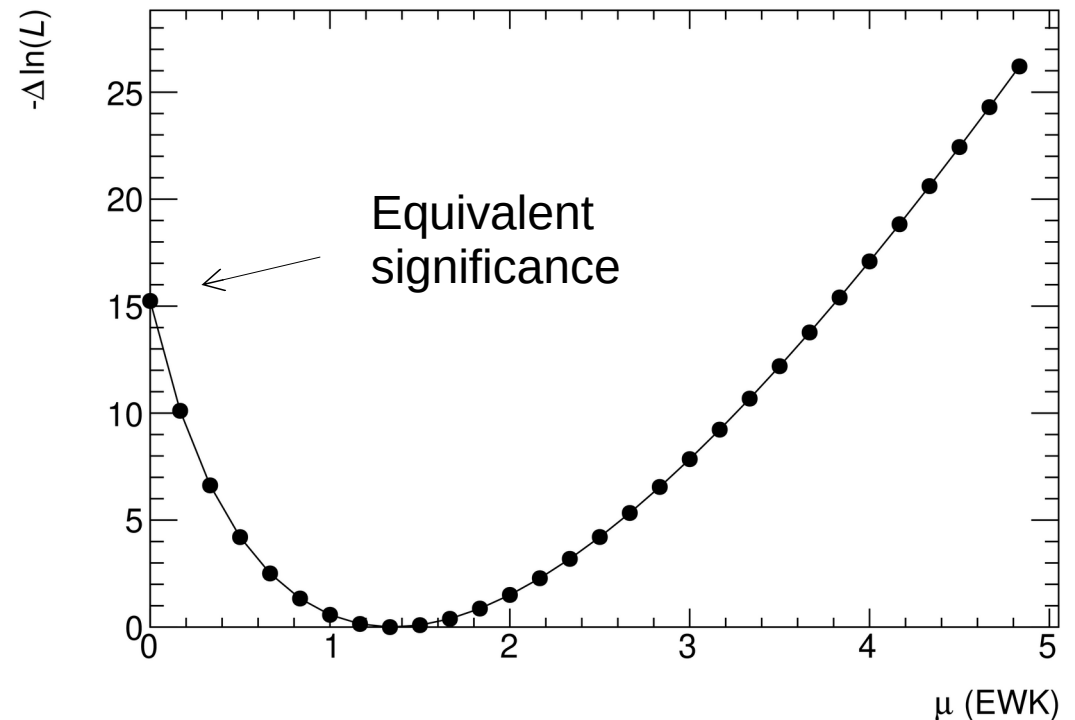
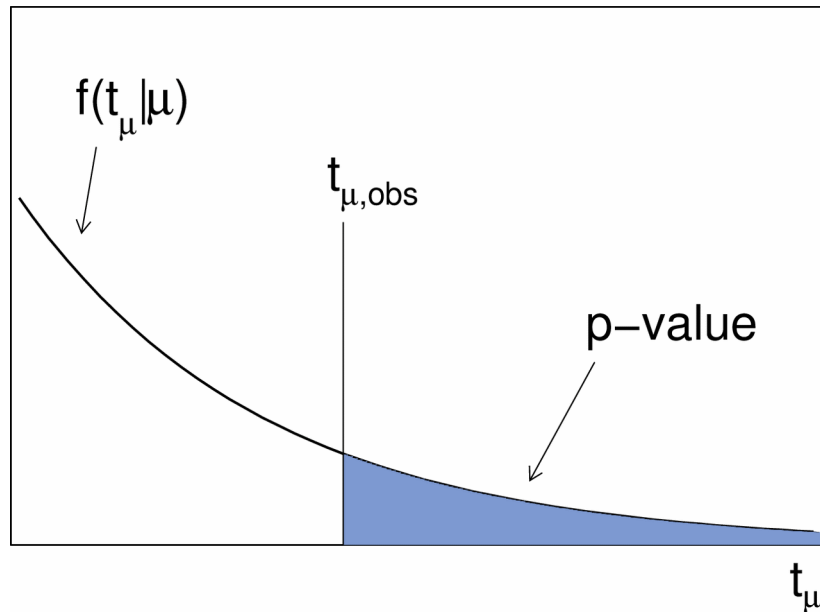
- Calculate the maximized unconditional likelihood function
  - Overall maximum
  - Varying POI ( $\mu$ ) and NP ( $\theta$ )

# Profile likelihood ratio

- Wilks theorem (1939)
  - The profile likelihood ratio  $-2\ln(\lambda)$  asymptotically behaves as the chi-square distribution, under assumption the null hypothesis is true
- Wald theorem (1943)
  - Generalization of the previous to the non-null hypothesis

$$p_\mu = \int_{t_{\mu,\text{obs}}}^{\infty} f(t_\mu|\mu) dt_\mu$$

$$-2 \ln \lambda(\mu) = \frac{(\mu - \hat{\mu})^2}{\sigma^2} + \mathcal{O}(1/\sqrt{N})$$



# ZZ Channels

- Comparison of contributions from  $\ell\ell\ell\ell$  and  $\nu\ell\ell$  channels

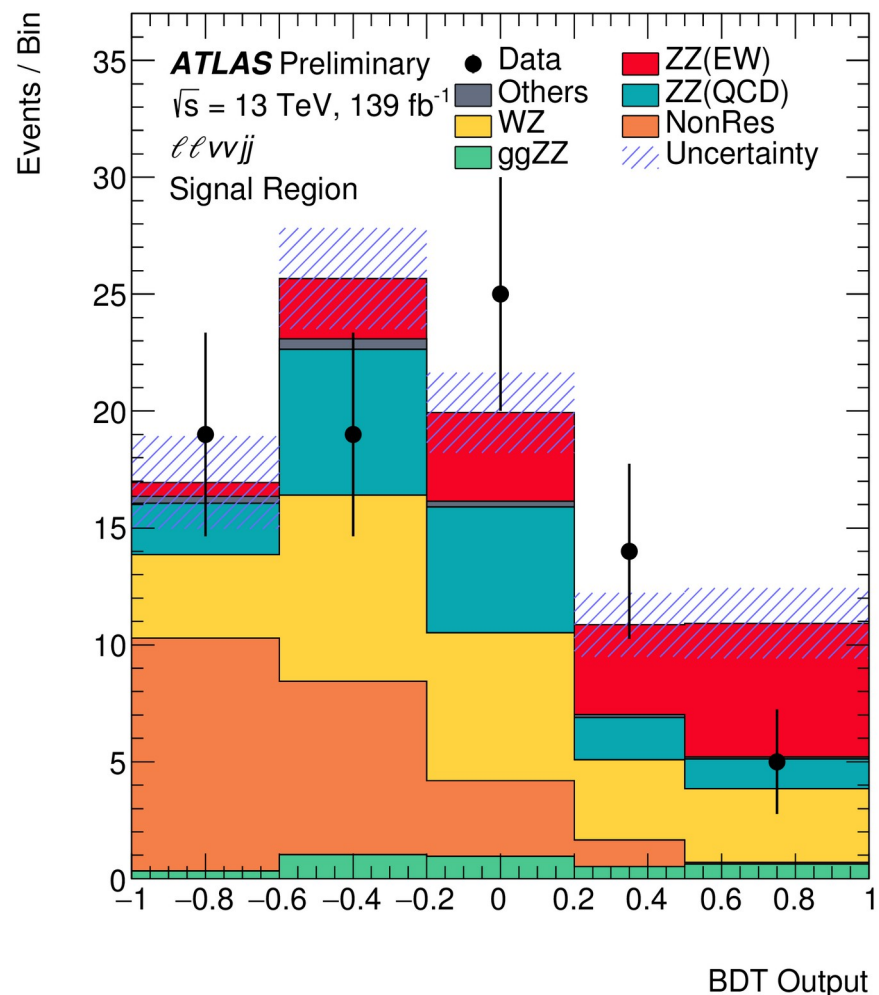
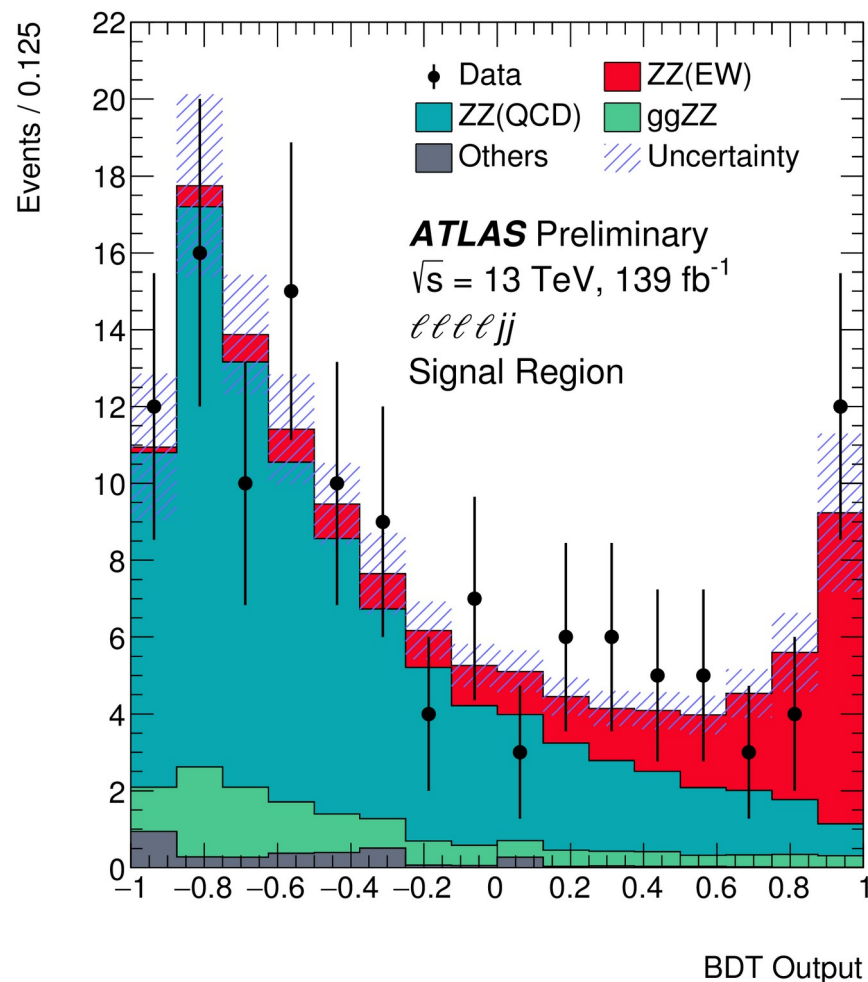
	$\mu_{EW}$	$\mu_{QCD}^{\ell\ell\ell\ell jj}$	Significance Obs. (Exp.)
$\ell\ell\ell\ell jj$	$1.5 \pm 0.4$	$0.95 \pm 0.22$	$5.48 (3.89) \sigma$
$\ell\ell\nu\nu jj$	$0.7 \pm 0.7$	fixed	$1.15 (1.80) \sigma$
Combined	$1.35 \pm 0.34$	$0.96 \pm 0.22$	$5.52 (4.29) \sigma$

- Two-lepton channel not as lucky as four-lepton
  - Two-lepton contributes to the expectation though
  - Makes analysis more “safe”
  - We were blinded at the beginning

	Expected	Observed
4l	$3.86 \sigma$	$5.48 \sigma$
$\ell\ell\nu\nu$	$1.80 \sigma$	$1.15 \sigma$
combined	$4.28 \sigma$	$5.52 \sigma$

# ZZ – Results

- EW signal strength  $1.35 \pm 0.34$
- Background only hypothesis rejected with significance  $5.5 \sigma$  (expected  $4.3 \sigma$ )
- EW fiducial cross-section  $0.82 \pm 0.21 \text{ fb}$
- ZZjj EW production **observed**



# Uncertainties

- The measurement is overall dominated by statistical uncertainty

- Accounts for 88% of the total uncertainty

- Systematic uncertainty is dominated by the theory component

- Theoretical uncertainty

- EW signal

- PDF: 6%

- QCD scales: 6%

- QCD background

- QCD scales: 30 – 35%

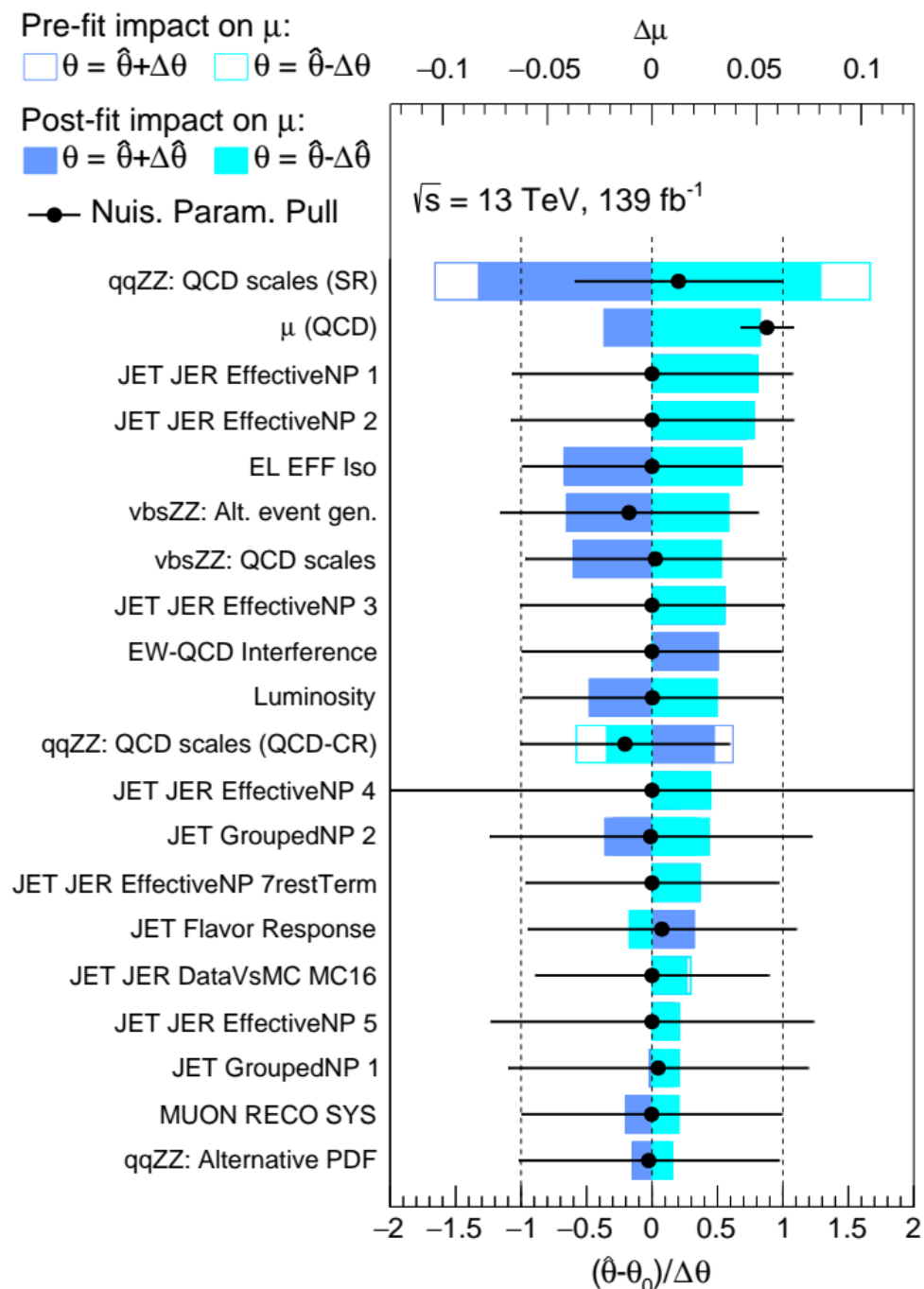
- Experimental uncertainty

- EW signal

- Electron identification: 2%

- QCD background

- Jet energy scale: 9 – 10%



# ZZ Paper

- Conveners said
  - Nice result
  - Choose a journal
- We randomly picked one :-)
  
- Politically interesting choice
  - General afraid: what if we would be rejected
  - Is the channel better than the others, studied by the collaboration
  
- Still in review
  - Stuck at modeling of the QCD background prediction in Powheg
  - Alternative to Sherpa

Not reviewed, for internal circulation only



## ATLAS Paper Draft

STDM-2017-19

Version 1.3

Target journal: Nature Physics

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**Comments are due by: YY XX 2019**

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Supporting internal notes

Support Note: <https://cds.cern.ch/record/2638144>

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## **Observation of electroweak production of two jets and a Z-boson pair with the ATLAS detector at the LHC**

### **Analysis Team**

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# Summary

- ATLAS VBS status

- Observation in **all leptonic channels** WW, WZ, ZZ
- Waiting for evidence in VV semi-leptonic channel
- Latest observation in the ZZ channel in full Run 2 ( $139 \text{ fb}^{-1}$ )

- Outlook

- Full Run 2 still offers the further studies and measurements of the VBS phenomenon
  - Semi-leptonic channel
  - Polarization studies
  - Limit settings on aQGC
  - Channels including gamma

- Beyond the Standard Model

- No obvious disagreement with standard model observed
- Limit settings of the anomalous Quartic Gauge Couplings are ongoing

