

Lecture 1: Neutrino in history and the SM; Neutrino mixing

Aims

Introduce neutrinos and the anomalies which led to the discovery of neutrino oscillations.

Develop the formalism of neutrino mixing.

Introduce theoretical aspects of neutrino oscillations.

Outline

1. Neutrino all around us
2. Neutrino history:
 - initial hypothesis
 - discovery
 - anomalies and discovery of neutrino oscillation
3. Neutrino mixing
 - Intro to neutrino oscillation formalism

Why study neutrinos?

- Neutrino masses imply new physics BSM.

Their origin is a necessary ingredient for the newSM.

- The least know of all SM fermions (a window on the BSM?).
- Their nature (and mass) is related to the fundamental symmetries of nature (lepton number, link with proton decay).
- The most abundant of all fermions in the Universe with strong impact of its evolution.
- Neutrino mass models can explain the baryon asymmetry of the Universe.
- A complementary window on the problem of flavour.

Neutrinos are all around and through us in large amounts.

NEUTRINO FACTORIES

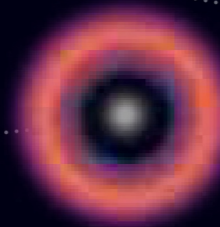
Neutrinos are everywhere, generated by a variety of processes.

Fusion of hydrogen nuclei to form helium in the Sun.



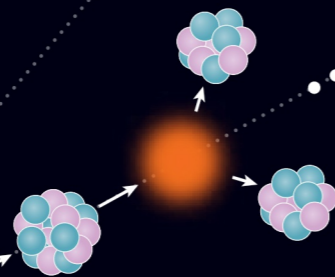
Sun

Supernovae and collisions between cosmic rays and air particles in Earth's atmosphere.

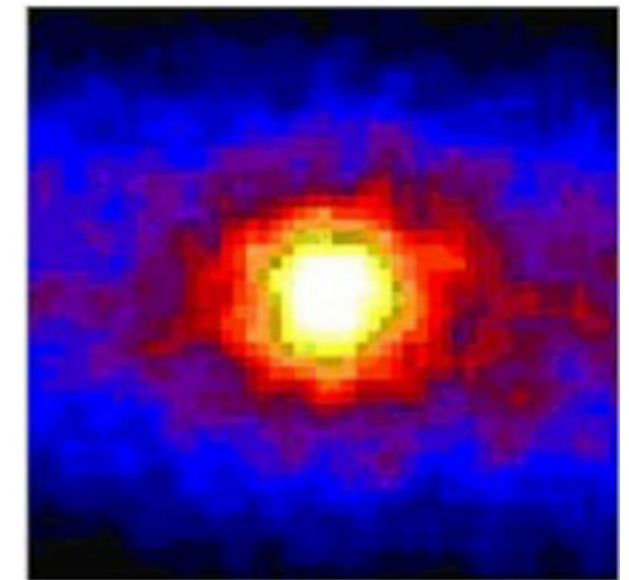


Supernovae

Particle accelerators smashing protons into a target and fission from the radioactive decay of elements inside nuclear reactors.



Nuclear fission



@Super-Kamiokande



FACT: about 65 million neutrinos pass through your thumbnail every second.

Learn Something
New Every Day
LSNED.com

@Nature, 2015

Neutrinoscope



NeutrinoScope 4+

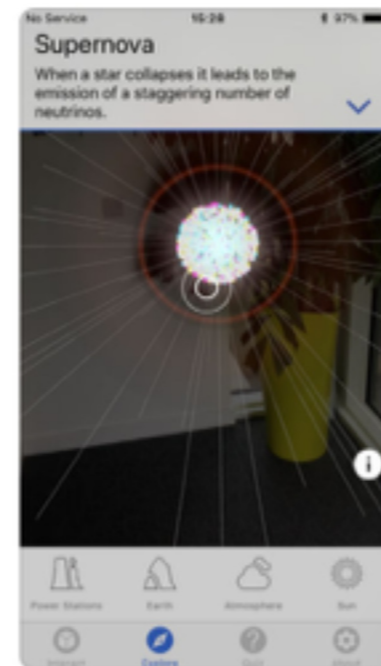
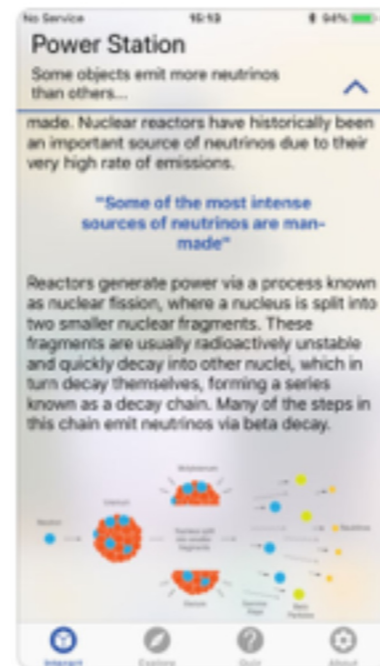
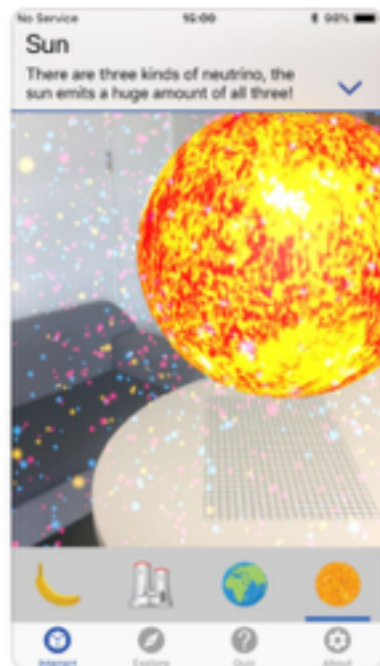
Bring neutrinos alive with AR!

Cambridge Consultants

★★★★★ 5.0, 7 Ratings

Free

Neutrinoscope is a free App for iPhone and iPad developed by Cambridge Consultants and Durham University. It allows to visualise the neutrinos as they are around us.

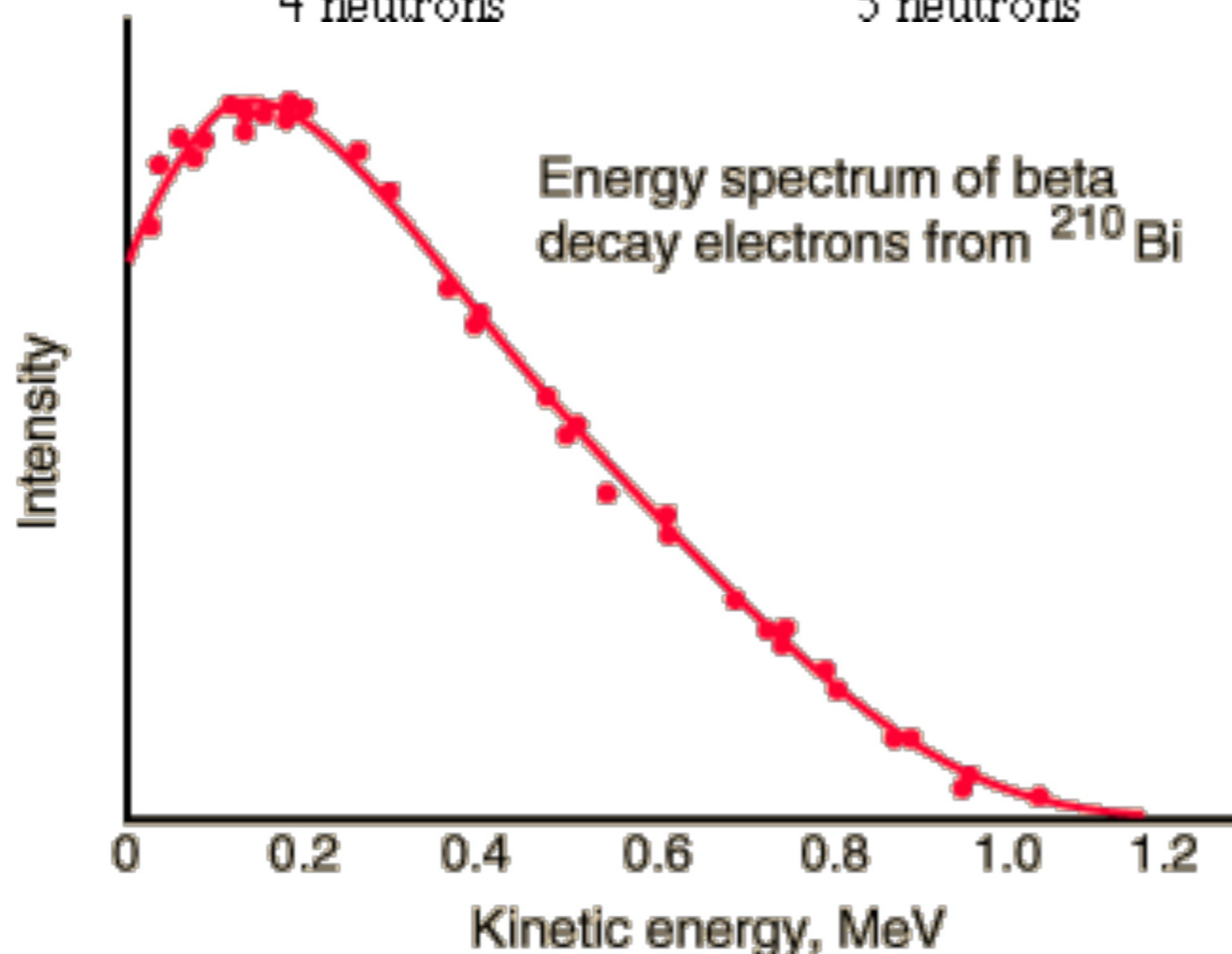
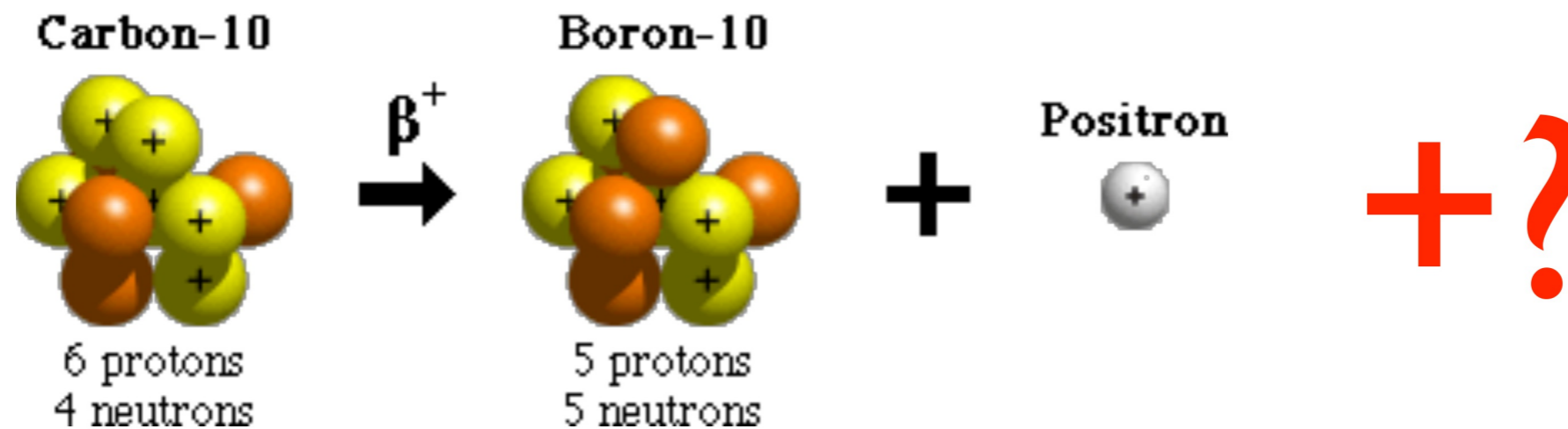


Useful references

- C. Giunti, C.W. Kim, Fundamentals of Neutrino Physics and Astrophysics, Oxford University Press, USA (May 17, 2007)
- M. Fukugita, T. Yanagida, Physics of Neutrinos and applications to astrophysics, Springer 2003
- A. De Gouvea, TASI lectures, hep-ph/0411274
- A. Strumia and F. Vissani, hep-ph/0606054.
- Talks at the Neutrino 2020 conference

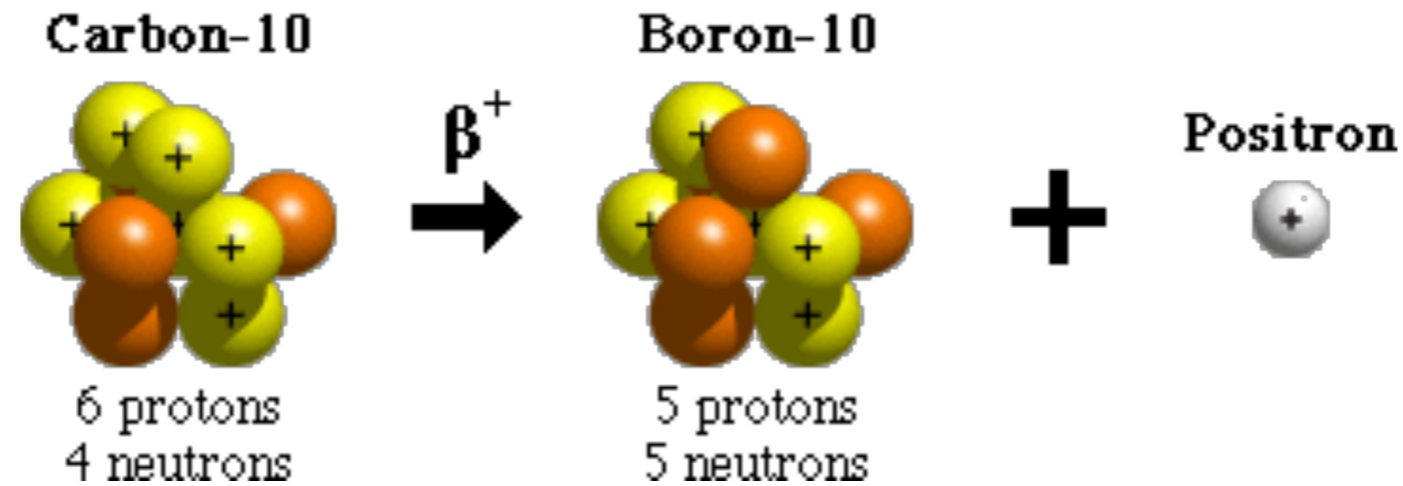
*A brief history of
our knowledge of
neutrinos*

Despite being the **most abundant fermion** in the Universe, we did not even realise they existed till the '30. The idea came about in the study of **beta decays** and a puzzle which troubled physicists for several decades.



The experimental results were puzzling. The positron (= anti-electron) carries away different amounts of energy in each individual observed decay.

G. J. Neary, Roy. Phys. Soc. (London), A175, 71 (1940).



This contradicted the laws of the conservation of energy:

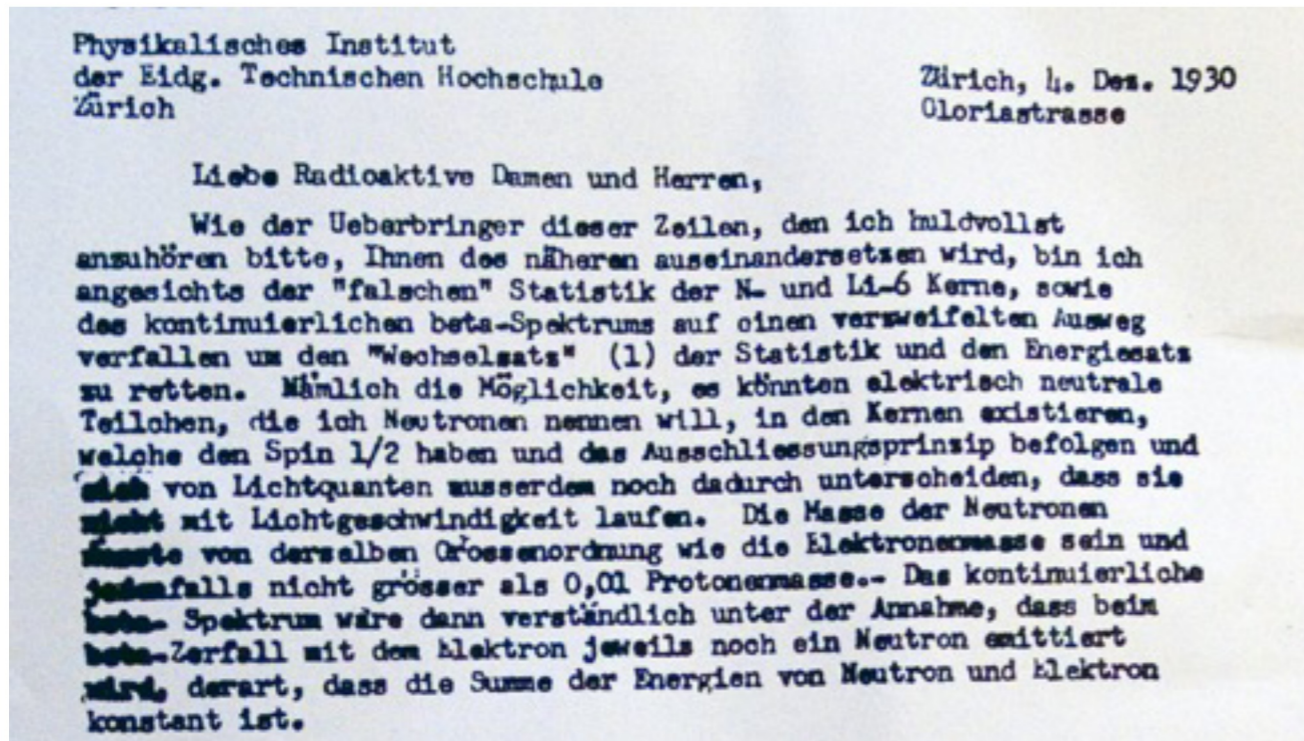
$$E_{\text{positron}} \neq E_{\text{Carbon}} - E_{\text{Boron}}$$

Where does the remaining energy go?

Or

Is conservation of energy not true?

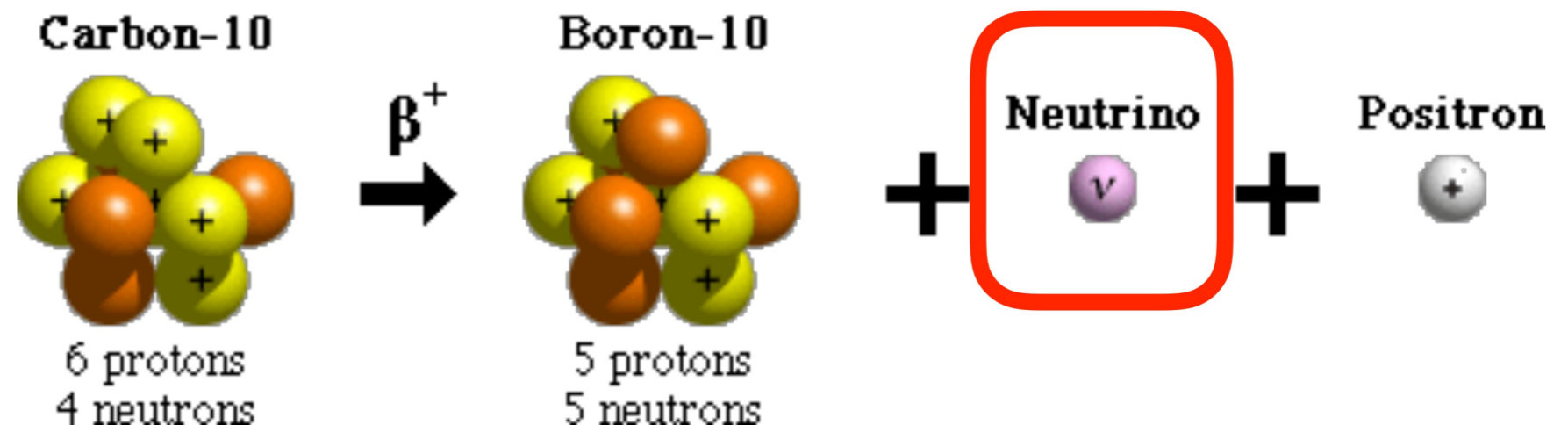
The proposal of the “neutrino” was put forward by W. Pauli in 1930. [Pauli Letter Collection, CERN]



Dear radioactive ladies and gentlemen,

...I have hit upon a **desperate** remedy to save the ... energy theorem. Namely the possibility that there could exist in the nuclei **electrically neutral particles** that I wish to call neutrons, which have **spin 1/2** ... The **mass of the neutron must be ... not larger than 0.01 proton mass.**

...in β decay a neutron is emitted together with the electron, in such a way that the sum of the energies of neutron and electron is constant.

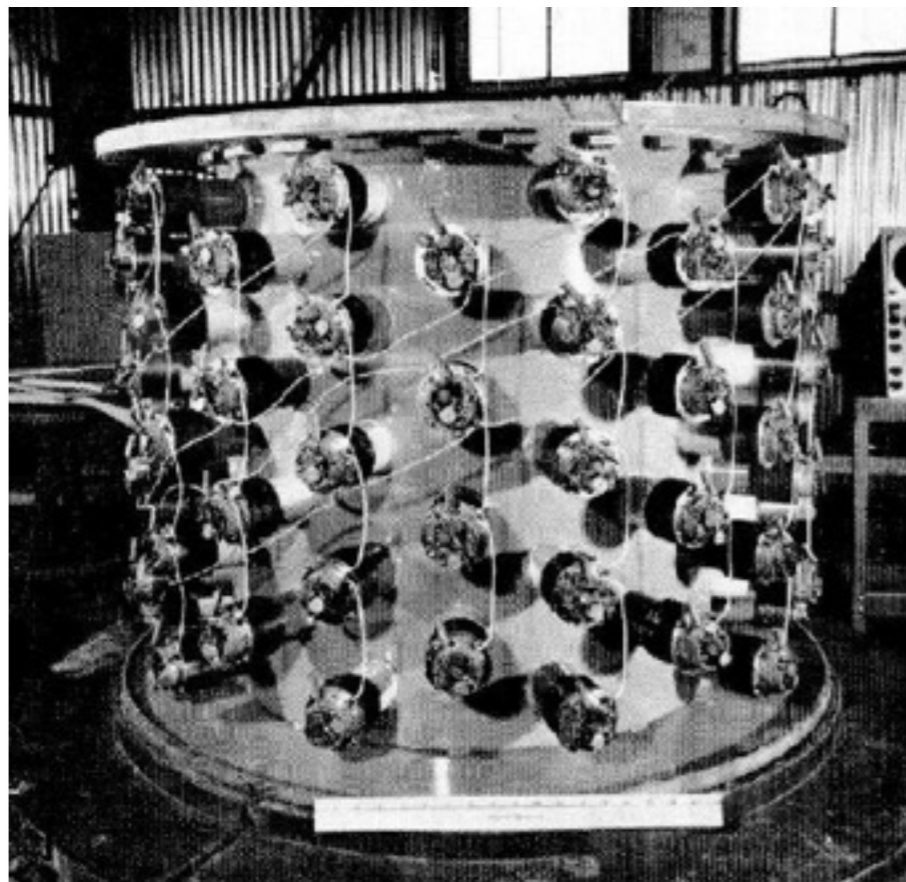


- Since the neutron was discovered in 1932 by J. Chadwick, Fermi, following E. Amaldi, used the name “**neutrino**” (little neutron) and later proposed the Fermi theory of beta decay.

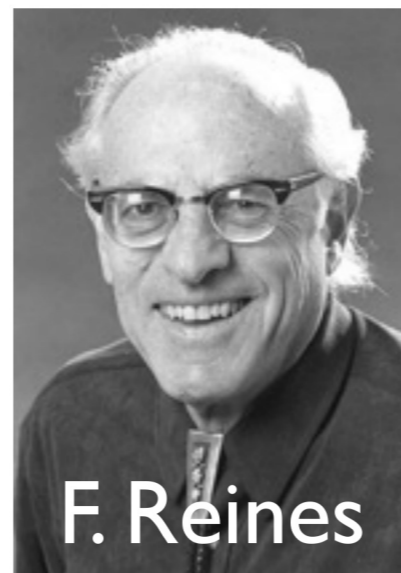


E. Fermi

- Reines and Cowan discovered neutrinos in 1956 using inverse beta decay.

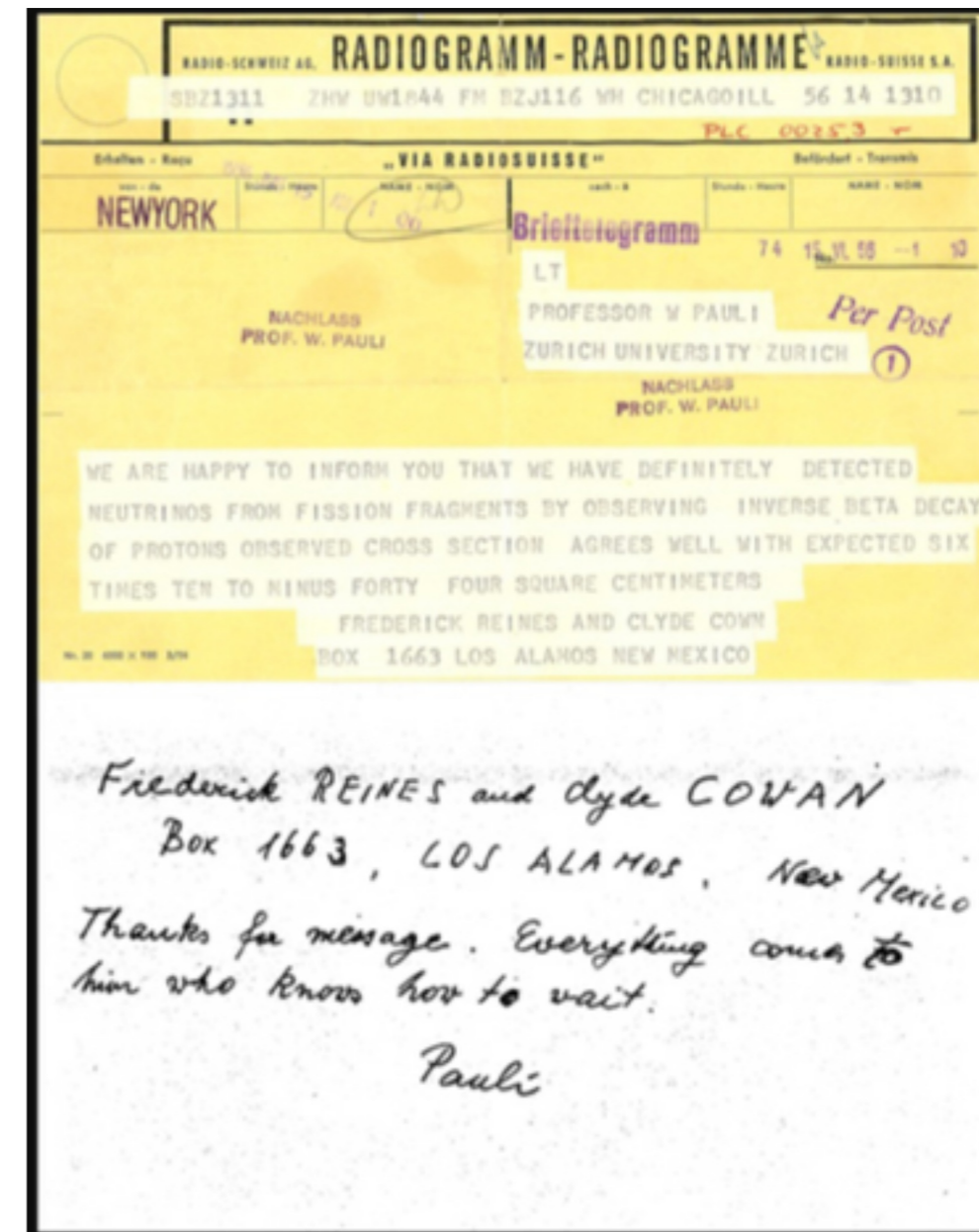


Savannah River experiment

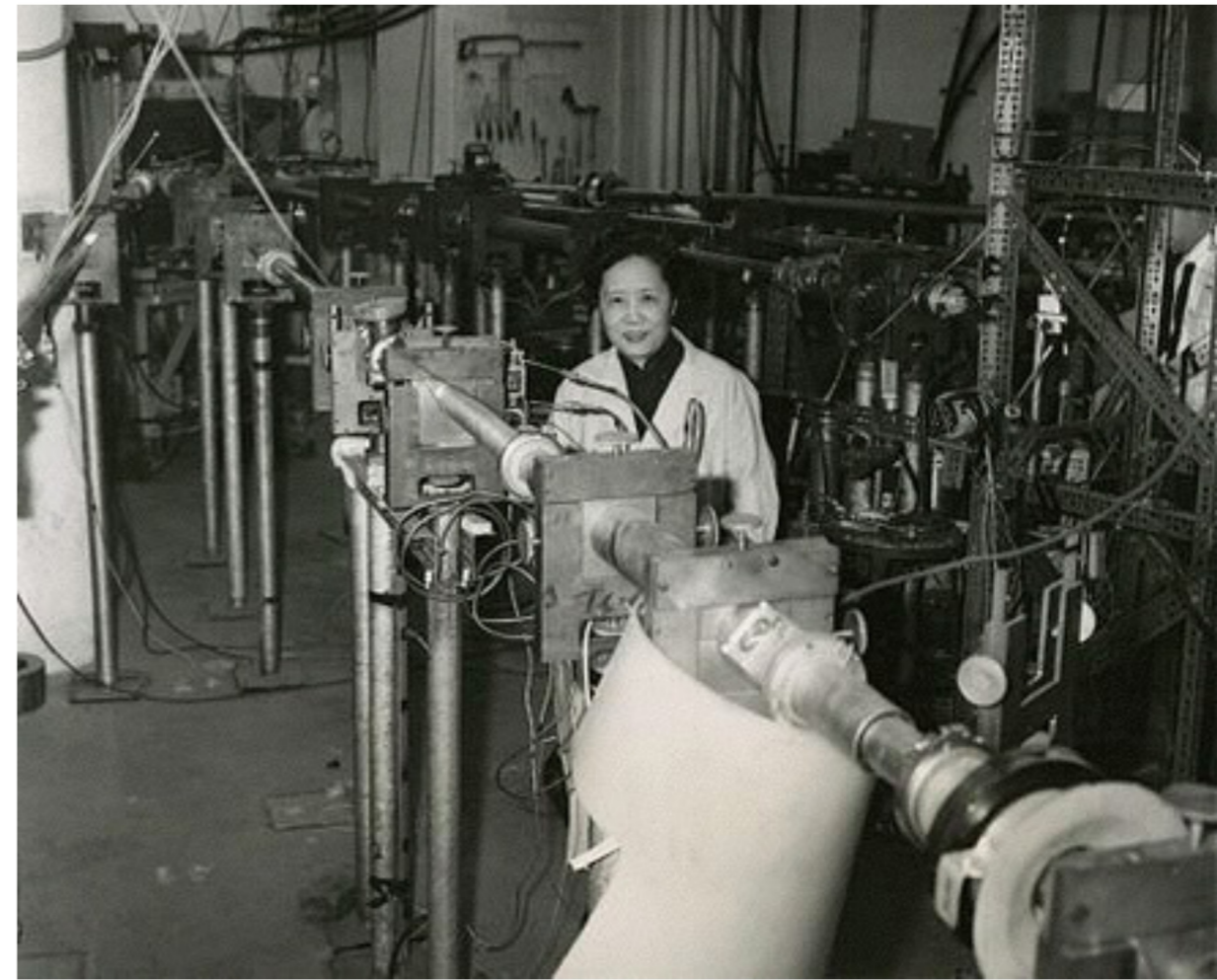


F. Reines

The Nobel Prize in Physics 1995



- Madame Wu in 1956 demonstrated that the parity symmetry is violated in weak interactions. Neutrinos come only as **left-handed** (spin opposite to momentum) differently from all other fermions.

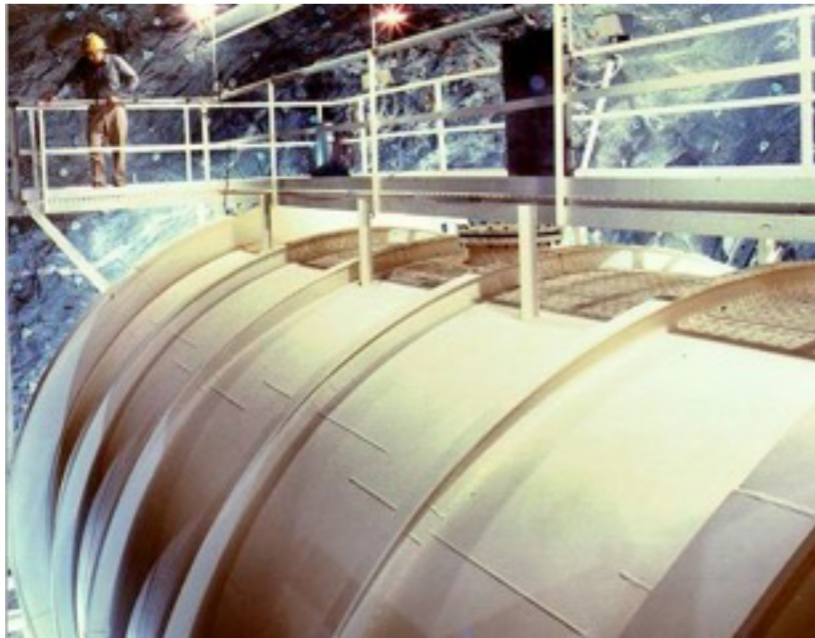


- **Muon neutrinos** were discovered in 1962 by L. Lederman, M. Schwartz and J. Steinberger.



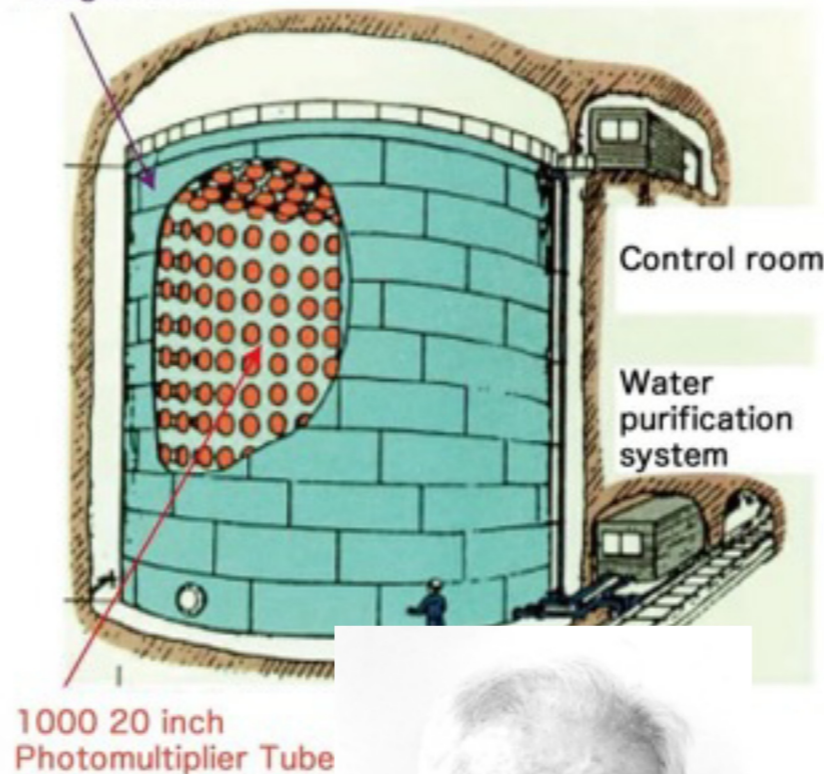
The Nobel Prize in Physics 1988

After their discovery by Cowan and Reines, searches were performed for **astrophysical neutrinos**, produced in the **Sun**, **Supernova** (just by chance) and in the **atmosphere**.



Water tank
Diameter 16 m
Height 16 m

Kamiokande



The
Homes
take
experi
ment.



R. Davis Jr.



M. Koshiba

Nobel prize in 2002

The first
**atmospheric
neutrinos**
were
observed in
1965 by the
**Kolar Gold
Field (KGF)**
and **Reines'**
experiments.

The first idea of **neutrino oscillations** was put forward by B. Pontecorvo in 1957.

BBC Home

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1950: Hunt for missing atomic scientist

The British intelligence service MI5 has been brought into the hunt for the missing atomic scientist Bruno Pontecorvo who has not been seen for about seven weeks.

Professor Pontecorvo and his family arrived in Finland at the beginning of September but they have since disappeared. There is speculation the family may have gone to the Soviet Union.

The professor had recently left his post as a principal scientific officer at Harwell atomic research station in Oxfordshire and was due to begin a new job at Liverpool University in January.



Professor Pontecorvo was last seen seven weeks ago in Finland

In Context

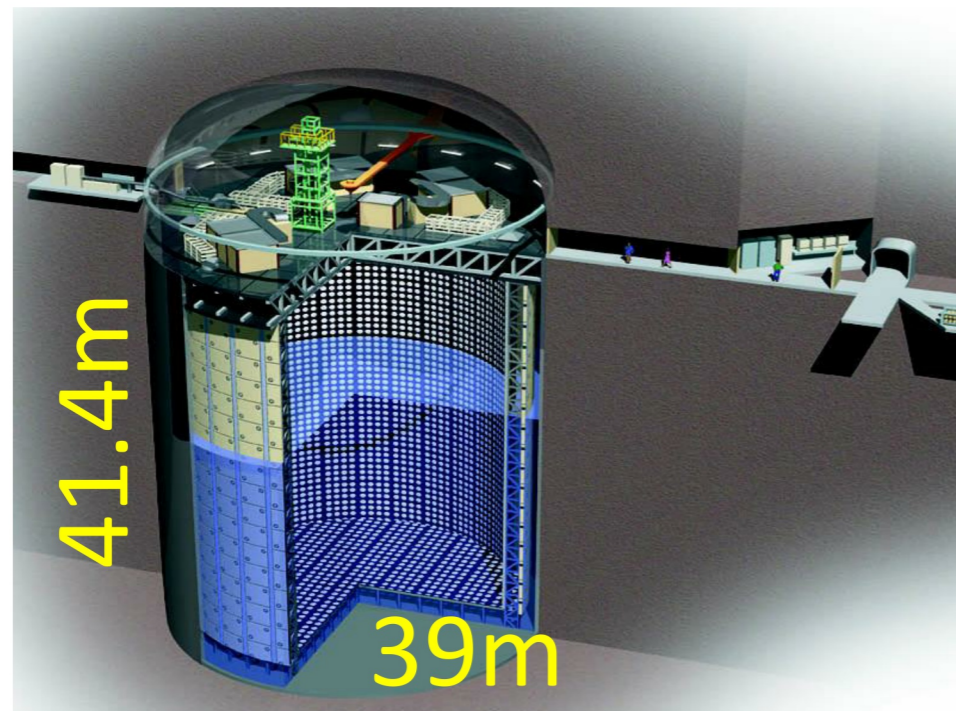
Bruno Pontecorvo's post as Professor of Experimental Physics at the University of Liverpool was cancelled.



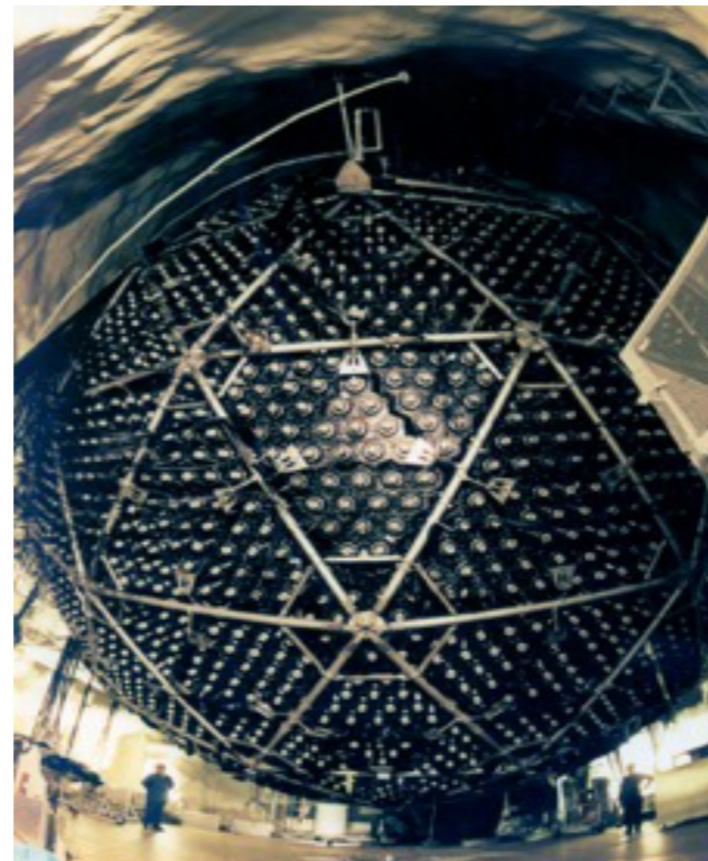
Бруно Понтекорво

Neutrinos seemed to fit well in the picture of the SM which was forming. But soon **some anomalies** started to appear.

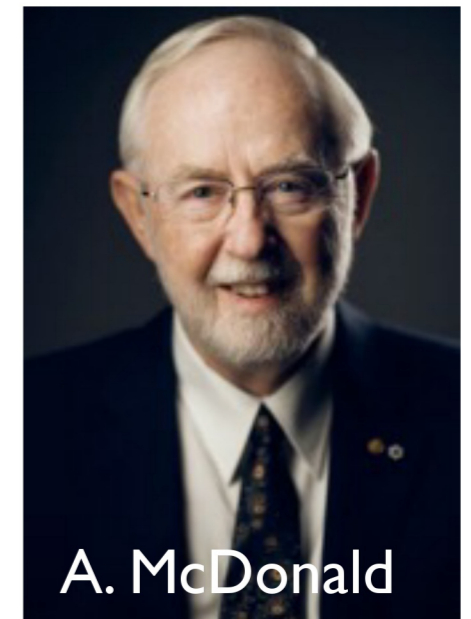
- First indications of ν oscillations came from **solar ν** : **less electron neutrinos were observed than expected. Where did the others go?**



Super-Kamiokande

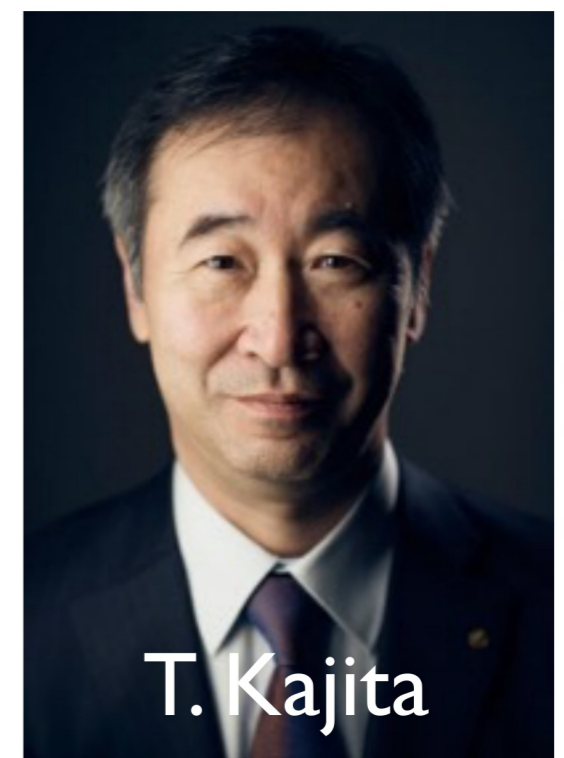
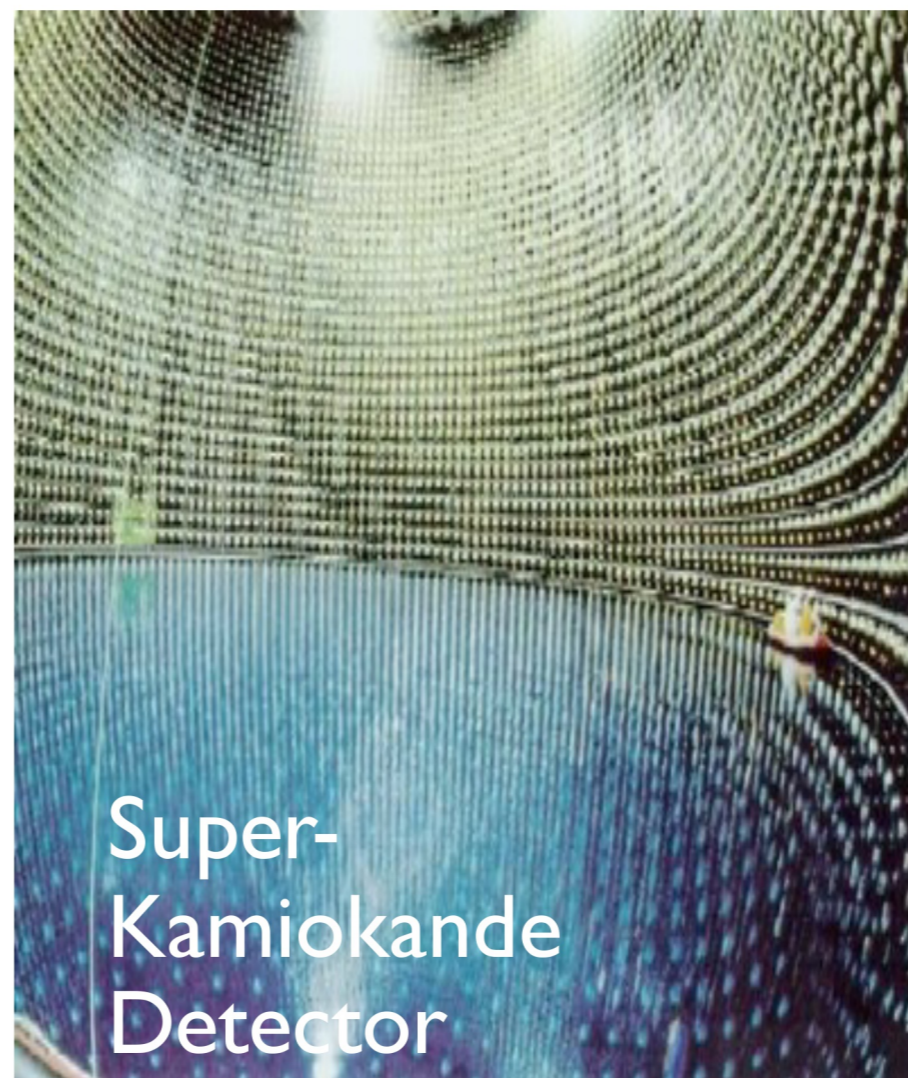
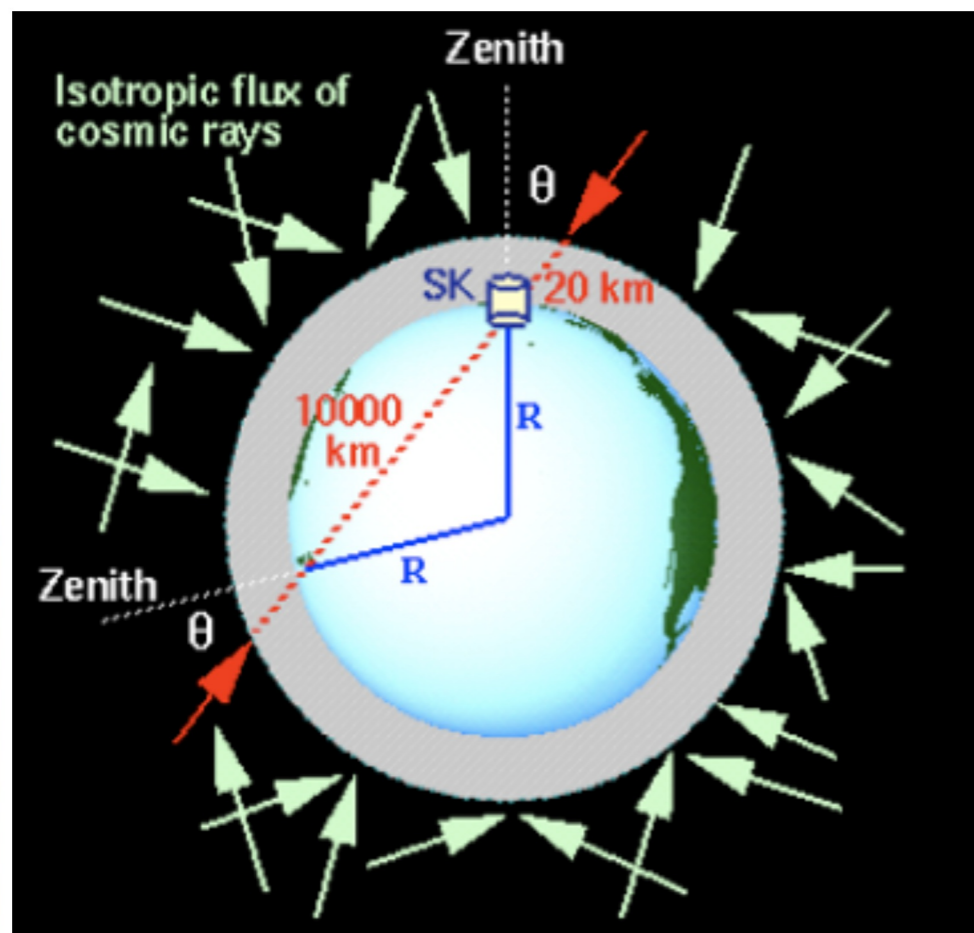


The SNO Detector



Nobel Prize in
Physics 2015

- Indications of an anomaly in atmospheric neutrinos was presented in 1988, subsequently confirmed by MACRO.
- More muon neutrinos were seen going down than coming up from the other side of the Earth.
- Discovery was presented in 1998 by SuperKamiokande.



Nobel Prize in
Physics 2015

What was going on?

These experiments showed that neutrinos oscillate, i.e. that can change flavour (going into flavours that some detectors cannot see).



Neutrinos are chameleon particles.

Neutrinos in the SM

The Standard Model

SM gauge group and EW symmetry breaking

$$SU(3)_c \times SU(2)_L \times U(1)_Y \xrightarrow{\langle H \rangle \neq 0} SU(3)_c \times U(1)_Q$$

Particle content

Standard Model of Elementary Particles

	three generations of matter (fermions)			interactions / force carriers (bosons)	
	I	II	III		
mass	$\approx 2.2 \text{ MeV}/c^2$	$\approx 1.28 \text{ GeV}/c^2$	$\approx 173.1 \text{ GeV}/c^2$	0	$\approx 124.97 \text{ GeV}/c^2$
charge	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$	0	0
spin	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1	0
QUARKS	u up	c charm	t top	g gluon	H higgs
	$\approx 4.7 \text{ MeV}/c^2$	$\approx 96 \text{ MeV}/c^2$	$\approx 4.18 \text{ GeV}/c^2$	0	
	$-\frac{1}{3}$	$-\frac{1}{3}$	$-\frac{1}{3}$	0	
	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1	
	d down	s strange	b bottom	γ photon	
	$\approx 0.511 \text{ MeV}/c^2$	$\approx 105.66 \text{ MeV}/c^2$	$\approx 1.7768 \text{ GeV}/c^2$	$\approx 91.19 \text{ GeV}/c^2$	
	-1	-1	-1	0	
	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1	
LEPTONS	e electron	μ muon	τ tau	Z Z boson	
	$< 1.0 \text{ eV}/c^2$	$< 0.17 \text{ MeV}/c^2$	$< 18.2 \text{ MeV}/c^2$	$\approx 80.39 \text{ GeV}/c^2$	
	0	0	0	± 1	
	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1	
	ν_e electron neutrino	ν_μ muon neutrino	ν_τ tau neutrino	W W boson	

SCALAR BOSONS

GAUGE BOSONS VECTOR BOSONS

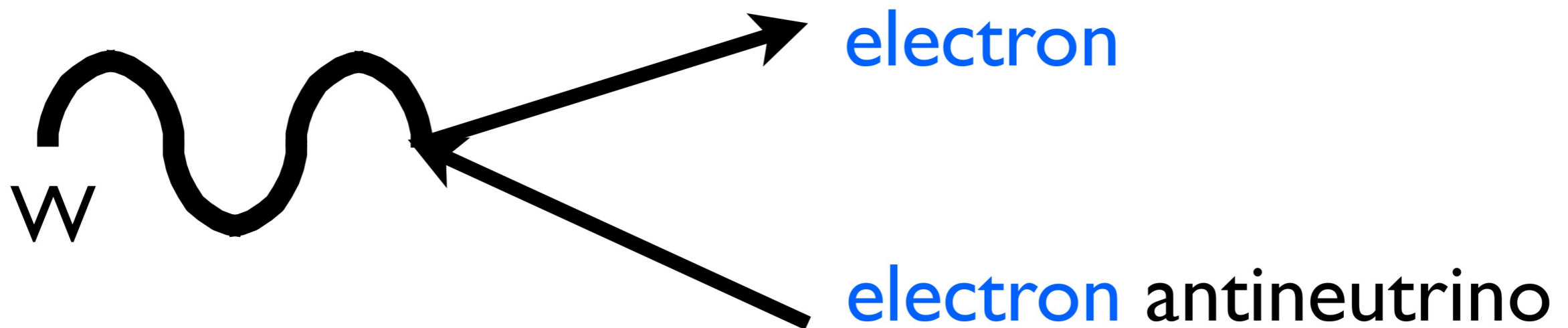
- There are 3 generations of quarks and leptons.
- The theory is chiral as left and right components behave differently.

$$P_L \gamma = \gamma_L$$

$$P_L = \frac{1 - \gamma^5}{2}$$

- Neutrino flavours correspond to each of the charged leptons.

Particles	$SU(3)$	$SU(2)_L$	$U(1)_Y$
Leptons			
$\begin{pmatrix} \nu_e \\ e \end{pmatrix}_L, \begin{pmatrix} \nu_\mu \\ \mu \end{pmatrix}_L, \begin{pmatrix} \nu_\tau \\ \tau \end{pmatrix}_L$	1	2	$-1/2$
e_R, μ_R, τ_R	1	1	-1
Quarks			
$\begin{pmatrix} u \\ d \end{pmatrix}_L, \begin{pmatrix} c \\ s \end{pmatrix}_L, \begin{pmatrix} t \\ b \end{pmatrix}_L$	3	2	$1/6$
u_R, c_R, t_R	3	1	$2/3$
d_R, s_R, b_R	3	1	$-1/3$



- They have hypercharge $-1/2$ and charge 0 .

- Neutrinos in the SM are described by Weyl spinors with left-handed chirality ($P_L = 1 - \gamma_5/2$).
- They are **massless** as ν_R are not present (no Yukawa coupling with the Higgs) and Majorana mass term (of left-handed neutrinos) is not gauge invariant.

See A. De Gouvea's lectures

Note: For massless neutrinos, helicity and chirality correspond as the chiral and helicity operators are the same up to m/E .

Note: LH neutrino states are accompanied by RH antineutrino ones as required by CPT.

Note: Natural units. We will assume $c=1$, $\hbar=1$.

They have charge current (CC) and neutral current (NC) interactions

$$\mathcal{L}_{\text{SM}} = -\frac{g}{\sqrt{2}} \sum_{\alpha=e,\mu,\tau} \bar{\nu}_{\alpha L} \gamma^\mu \ell_{\alpha L} W_\mu - \frac{g}{2 \cos \theta_W} \sum_{\alpha=e,\mu,\tau} \bar{\nu}_{\alpha L} \gamma^\mu \nu_{\alpha L} Z_\mu + \text{h.c.}$$

See S. Dolan's lectures

Number of active neutrinos

The invisible width of the Z (measured precisely at LEP) restricts the number of active neutrinos to

$$N_\nu = \frac{\Gamma_{inv}}{\Gamma_{\bar{\nu}\nu}} = 2.984 \pm 0.008$$

Note: Additional neutrinos can be present but they cannot partake of the SM interactions and are called sterile neutrinos (See later).

Aside: CP violation

Parity $(t, \vec{x}) \rightarrow (t, -\vec{x})$

Time-reversal

$$P \psi(t, \vec{x}) P \rightarrow \gamma^0 \psi(t, -\vec{x})$$

CHARGE - CONJUGATION

$$\psi^c = C \bar{\psi}^T$$

$$C = i \gamma^2 \gamma^0$$

Combination of C and P

$$\psi(\vec{x}, t) \rightarrow i \gamma^2 \gamma^0 \psi^c(-\vec{x}, t)$$

Neutrino mixing

Neutrino mixing

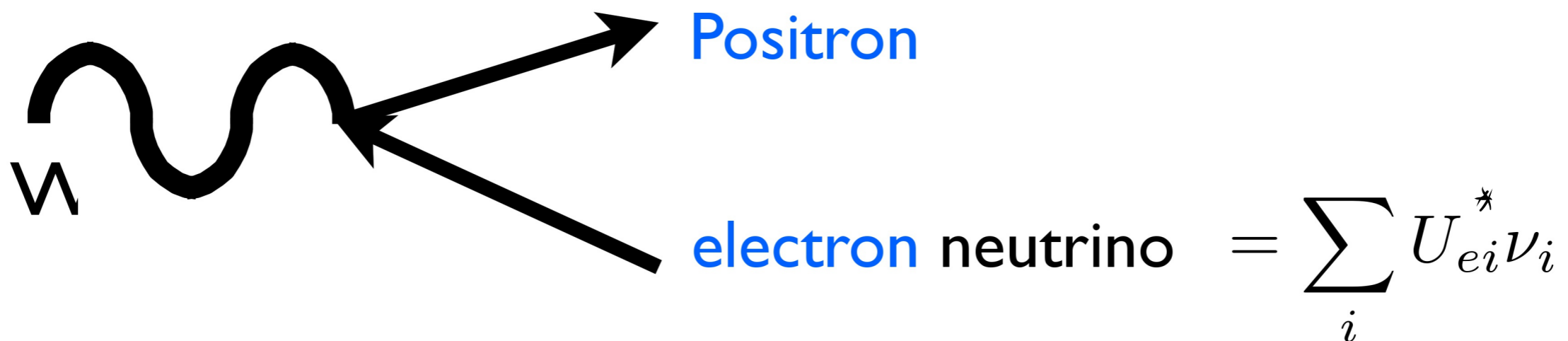
Mixing is described by the *Pontecorvo-Maki-Nakagawa-Sakata* matrix: $\nu_{\alpha} = \sum_i U_{\alpha i} \nu_i$

← Mass field
↑ Flavour field

which enters in the CC interactions

$$\mathcal{L}_{CC} = -\frac{g}{\sqrt{2}} \sum_{k\alpha} (U_{\alpha k}^* \bar{\nu}_{kL} \gamma^{\rho} l_{\alpha L} W_{\rho} + \text{h.c.})$$

This implies that in an interaction with an electron, the corresponding (anti-)neutrino will be produced, as a superposition of different mass eigenstates.



Neutrino mixing

Mixing is described by the *Pontecorvo-Maki-Nakagawa-Sakata* matrix: $\nu_{\alpha} = \sum_i U_{\alpha i} \nu_i$

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which enters in the CC interactions

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Note: this holds in the basis under which the charged lepton mass matrix is diagonal.

Note: A flavour neutrino is produced in a CC interaction as a superposition of mass eigenstates under the assumption that the mass differences can be ignored (= coherence of the final state).

Counting of parameters

$n \times n$ unitary matrix contains

$$2n^2 - n^2 \quad \left(\begin{array}{l} n \text{ unitary conditions} \\ n^2 - n \text{ orthogonality ones} \end{array} \right)$$

$$\frac{1}{2} n (n-1) \text{ angles}$$

$$n^2 - \frac{1}{2} n (n-1) \text{ phases}$$

2×2 U

1 angle, 3 phases

3×3 U

3 angles, 6 phases

- **2-neutrino mixing** matrix depends on 1 angle only. The phases get absorbed in a redefinition of the leptonic fields (a part from 1 Majorana phase).

$$\begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix}$$

- **3-neutrino mixing** matrix has 3 angles and 1(+2) CPV phases.

A generic 3x3 unitary matrix has 3 angle and 6 phases

$$(\bar{\nu}_1 \ \bar{\nu}_2 \ \bar{\nu}_3) e^{i\varphi} \begin{pmatrix} e^{i\varphi_1} & 0 & 0 \\ 0 & e^{i\varphi_2} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \theta_1 & \theta_2 & \theta_3 \\ & \delta & \end{pmatrix} \begin{pmatrix} e^{i\varphi_e} & 0 & 0 \\ 0 & e^{i\varphi_\mu} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} e \\ \mu \\ \tau \end{pmatrix}$$

One can rephrase the fields.

$$\begin{aligned}
 e &\rightarrow e^{-i(\varphi_e + t)} e \\
 \mu &\rightarrow e^{-i(\varphi_\mu + t)} \mu \\
 \tau &\rightarrow e^{-i4} \tau
 \end{aligned}$$

The kinetic, NC and mass terms are not modified. One can choose a rephrasing to eliminate 3 phases from the U mixing matrix in the full Lagrangian. These phases are therefore unphysical.

$\bar{e} \not{d} e$	✓	Dirac ✓	1
$\bar{e}_L e_L$	✓	Majorana ✓	3
$\bar{e}_L e_R$	✓	$\nu^c = \nu$	

For Dirac neutrinos, a similar rephasing can be done eliminating the two phases on the left. Only one phase remains physical.

For Majorana neutrinos, the Majorana condition forbids such rephasing: 2 physical CP-violating phases.

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{-i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\alpha_{21}/2} & 0 \\ 0 & 0 & e^{i\alpha_{31}/2} \end{pmatrix}$$

$$s_{23} \equiv \sin \theta_{23}$$

$$c_{13} \equiv \cos \theta_{13}$$

$$\theta_{23}$$

$$\theta_{13}$$

$$\theta_{12}$$

$$\mathcal{L}_{e\nu} \supset \bar{e}_L \gamma^\mu \omega^\mu \nu_L + \bar{\nu}_L \gamma^\mu e_L \omega^\mu$$



$$\bar{e}_L \gamma^\mu \omega^\mu U \nu_{iL} + \bar{\nu}_{iL} U^\dagger \gamma^\mu e_L \omega^\mu$$



$$\bar{\nu}_{iL} \gamma^\mu U e_L$$

For antineutrinos,

$$U \rightarrow U^*$$

CP-conservation requires

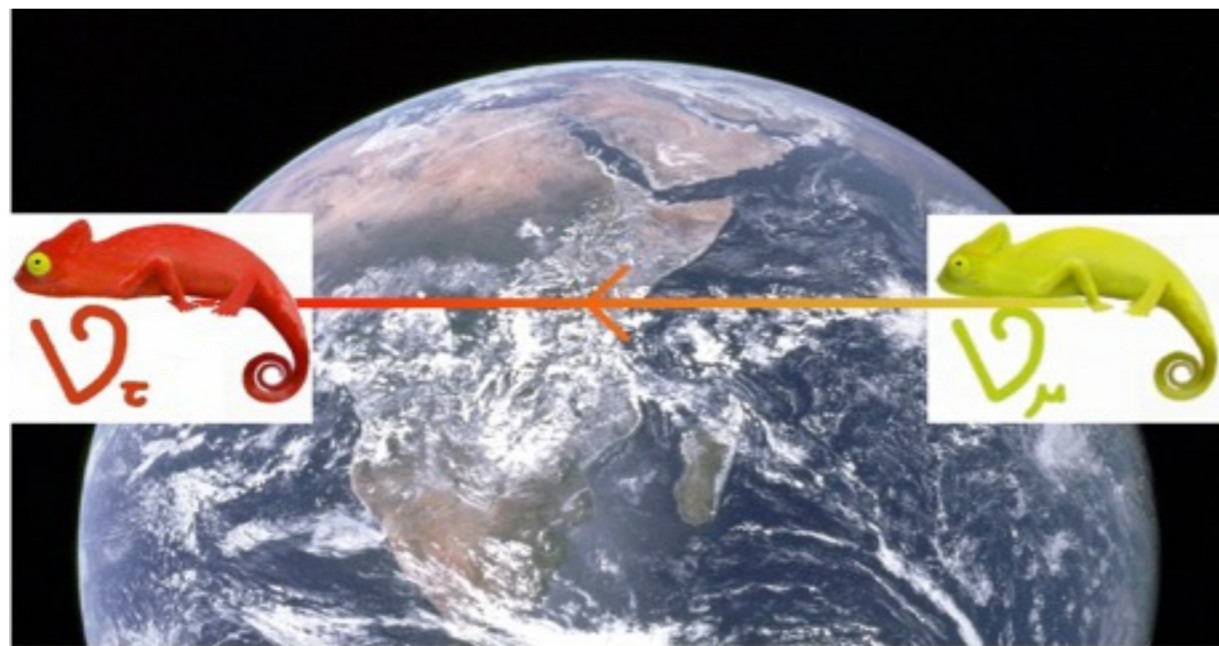
$$U \text{ is real} \Rightarrow \delta = 0, \pi$$

It is useful to express the CP violating effects in a rephrasing invariant manner (Jarlskog invariant):

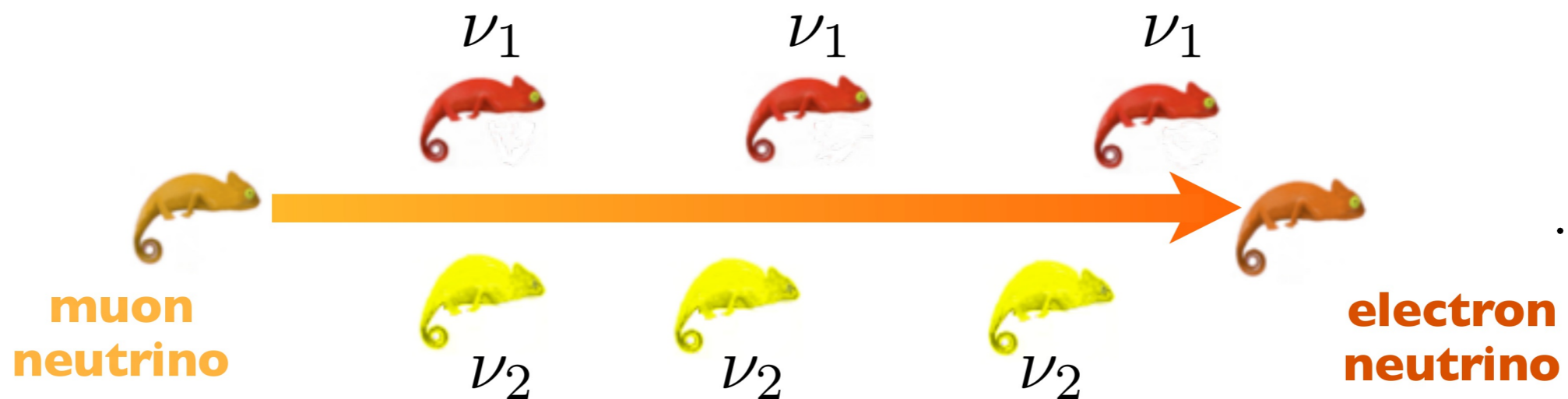
$$J \equiv \Im[U_{\mu 3} U_{e 2} U_{\mu 2}^* U_{e 3}^*] = \frac{1}{8} \sin 2\theta_{12} \sin 2\theta_{23} \sin 2\theta_{13} \cos \theta_{13} \sin \delta$$

*Neutrino
oscillations:
theory*

Neutrinos oscillations: the basic picture

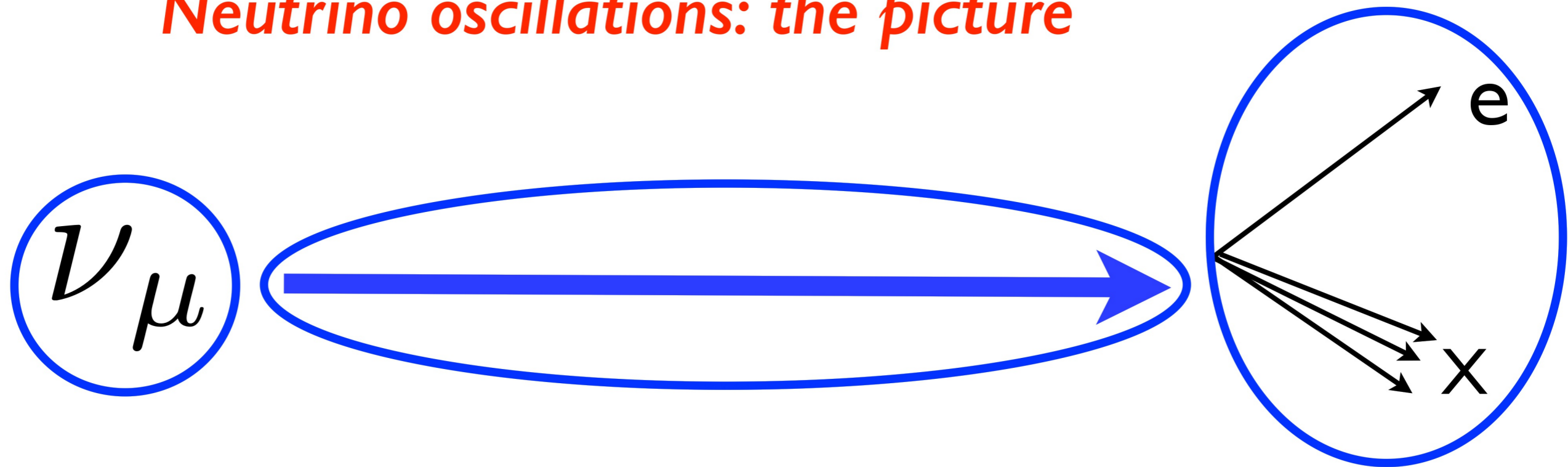


Contrary to what expected in the SM, neutrinos oscillate: after being produced, they can **change their flavour**.



Neutrino oscillations imply that neutrinos have mass and they mix.
First evidence of physics beyond the SM.

Neutrino oscillations: the picture



Production

Propagation

Detection

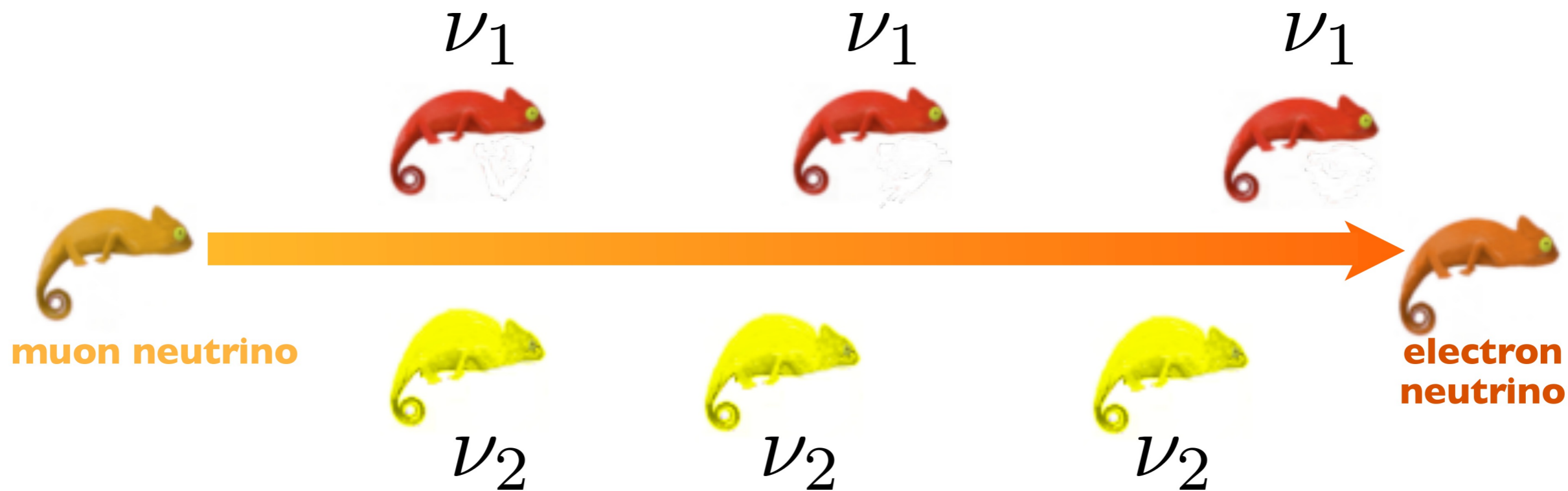
Flavour
states

Massive states
(eigenstates of the
Hamiltonian)

Flavour
states

At production, **coherent superposition** of massive states:

$$|\nu_\mu\rangle = U_{\mu 1}^* |\nu_1\rangle + U_{\mu 2}^* |\nu_2\rangle + U_{\mu 3}^* |\nu_3\rangle$$



Production

$$|\nu_\mu\rangle = \sum_i U_{\mu i}^* |\nu_i\rangle$$

Propagation

$$\begin{aligned} \nu_1 &: e^{-iE_1 t} \\ \nu_2 &: e^{-iE_2 t} \\ \nu_3 &: e^{-iE_3 t} \end{aligned}$$

Detection:
projection over
 $\langle \nu_e |$

As the propagation phases are different, the state evolves with time and can change to other flavours.

Neutrinos oscillations in vacuum: the theory

Let's assume that at $t=0$ a **muon neutrino** is produced

See M. Bishai's lectures

$$|\nu, t=0\rangle = |\nu_\mu\rangle = \sum_i U_{\mu i}^* |\nu_i\rangle$$

The time-evolution is given by the solution of the Schroedinger equation with free Hamiltonian:

$$|\nu, t\rangle = e^{-iHt} |\nu_\mu\rangle = \sum_i U_{\mu i}^* e^{-iE_i t} |\nu_i\rangle$$

In the same-momentum approximation:

$$E_1 = \sqrt{p^2 + m_1^2} \quad E_2 = \sqrt{p^2 + m_2^2} \quad E_3 = \sqrt{p^2 + m_3^2}$$

Note: other derivations are also valid (same E formalism, etc).

At **detection** one projects over the flavour state as these are the states which are involved in the interactions.

The **probability of oscillation** is

$$\begin{aligned}
 P(\nu_\mu \rightarrow \nu_e) &= \left| \langle \nu_e | \nu, t \rangle \right|^2 \\
 &= \left| \sum_{ij} U_{ej} U_{\mu i}^* e^{-iE_i t} \underbrace{\langle \nu_j | \nu_i \rangle}_{\delta_{ij}} \right|^2 \\
 &= \left| \sum_i U_{ei} U_{\mu i}^* e^{-iE_i t} \right|^2 \\
 &= \left| \sum_i U_{ei} U_{\mu i}^* e^{-i \frac{\Delta m_{i1}^2 t}{2E}} \right|^2
 \end{aligned}$$

$\nu_R \nu$

$$E_i \approx p + \frac{m_i^2}{2E}$$

with $\Delta m_{i1}^2 \equiv m_i^2 - m_1^2$

$$\begin{aligned}
 &\Delta m_{21}^2 \\
 &\Delta m_{31}^2
 \end{aligned}$$

Implications of the existence of neutrino oscillations

The oscillation probability implies that

$$P(\nu_\alpha \rightarrow \nu_\beta) = \left| \sum_i U_{\alpha i}^* U_{\beta i} e^{-i \frac{\Delta m_{i1}^2}{2E} L} \right|^2$$

- **neutrinos have mass** (as the different components of the initial state need to propagate with different phases)
- **neutrinos mix** (as U needs not be the identity. If they do not mix the flavour eigenstates are also eigenstates of the propagation Hamiltonian and they do not evolve)

General properties of neutrino oscillations

- Neutrino oscillations **conserve the total lepton number**: a neutrino is produced and evolves with times
- They **violate the flavour lepton number** as expected due to mixing.
- Neutrino oscillations **do not depend** on the overall mass scale and on the Majorana phases.

- **CPT invariance:**
$$P(\nu_\alpha \rightarrow \nu_\beta) = P(\bar{\nu}_\beta \rightarrow \bar{\nu}_\alpha)$$

- **CP-violation:**

$$P(\nu_\alpha \rightarrow \nu_\beta) \neq P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta) \text{ requires } U \neq U^* (\delta \neq 0, \pi)$$

- Appearance channel:

$$\nu_\alpha \rightarrow \nu_\beta \quad \alpha \neq \beta$$

- Disappearance channel:

$$\nu_\alpha \rightarrow \nu_\alpha$$

- The total probability of oscillation is 1:

$$\sum_\beta P(\nu_\alpha \rightarrow \nu_\beta, t) = 1.$$

- The argument of the sin can be expressed as:

$$\frac{\Delta m_{i1}^2}{4E} L = 1.27 \frac{\Delta m_{i1}^2 [\text{eV}^2]}{E [\text{GeV}]} L [\text{km}]$$

Exercise
Derive

Further theoretical issues on neutrino oscillations***

Energy-momentum conservation

Let's consider for simplicity a 2-body decay: $\pi \rightarrow \mu \bar{\nu}_\mu$.

Energy-momentum conservation seems to require:

$$E_\pi = E_\mu + E_1 \quad \text{with } E_1 = \sqrt{p^2 + m_1^2}$$

$$E_\pi = E_\mu + E_2 \quad \text{with } E_2 = \sqrt{p^2 + m_2^2}$$



How can the picture be consistent?

*Further theoretical issues on neutrino oscillations****

Energy-momentum conservation

Let's consider for simplicity a 2-body decay: $\pi \rightarrow \mu \bar{\nu}_\mu$.

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These two requirements seems to be incompatible. Intrinsic quantum uncertainty, localisation of the initial pion lead to an uncertainty in the energy-momentum and allow coherence of the initial neutrino state.

The need for wavepackets***

- In deriving the oscillation formulas we have implicitly assumed that neutrinos can be described by plane-waves, with definite momentum.
- However, production and detection are well localised and very distant from each other. This leads to a momentum spread which can be described by a wave-packet formalism.

Typical sizes:

- e.g. production in decay: the relevant timescale is the pion lifetime (or the time travelled in the decay pipe),

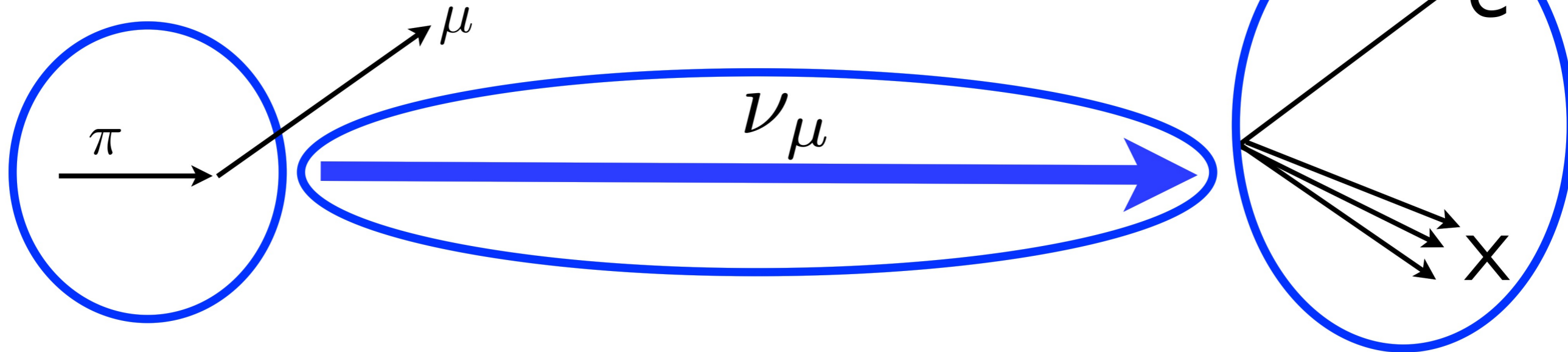
$$\Delta t \sim \tau_{\pi} \Rightarrow \Delta E \Rightarrow \Delta p \quad \Delta x$$

Decoherence and the size of a wave-packet***

- The different components of the wavepacket, v_1 , v_2 and v_3 , travel with slightly different velocities (as their mass is different).
- If the neutrinos travel extremely long distances, these components stop to overlap, destroying coherence and oscillations.
- In terrestrial experimental situation this is not relevant. But this can happen for example for supernovae neutrinos.

QFT treatment***

A QFT approach can be used to derive neutrino oscillation probabilities.



External particles for production and detection centred at the source and detector spatial points, neutrinos are treated as a propagator.

This allows a self-consistent treatment of the process and leads to the same result obtained before.

*Neutrino
oscillations:
in vacuum*

2-neutrino case

Let's recall that the mixing is

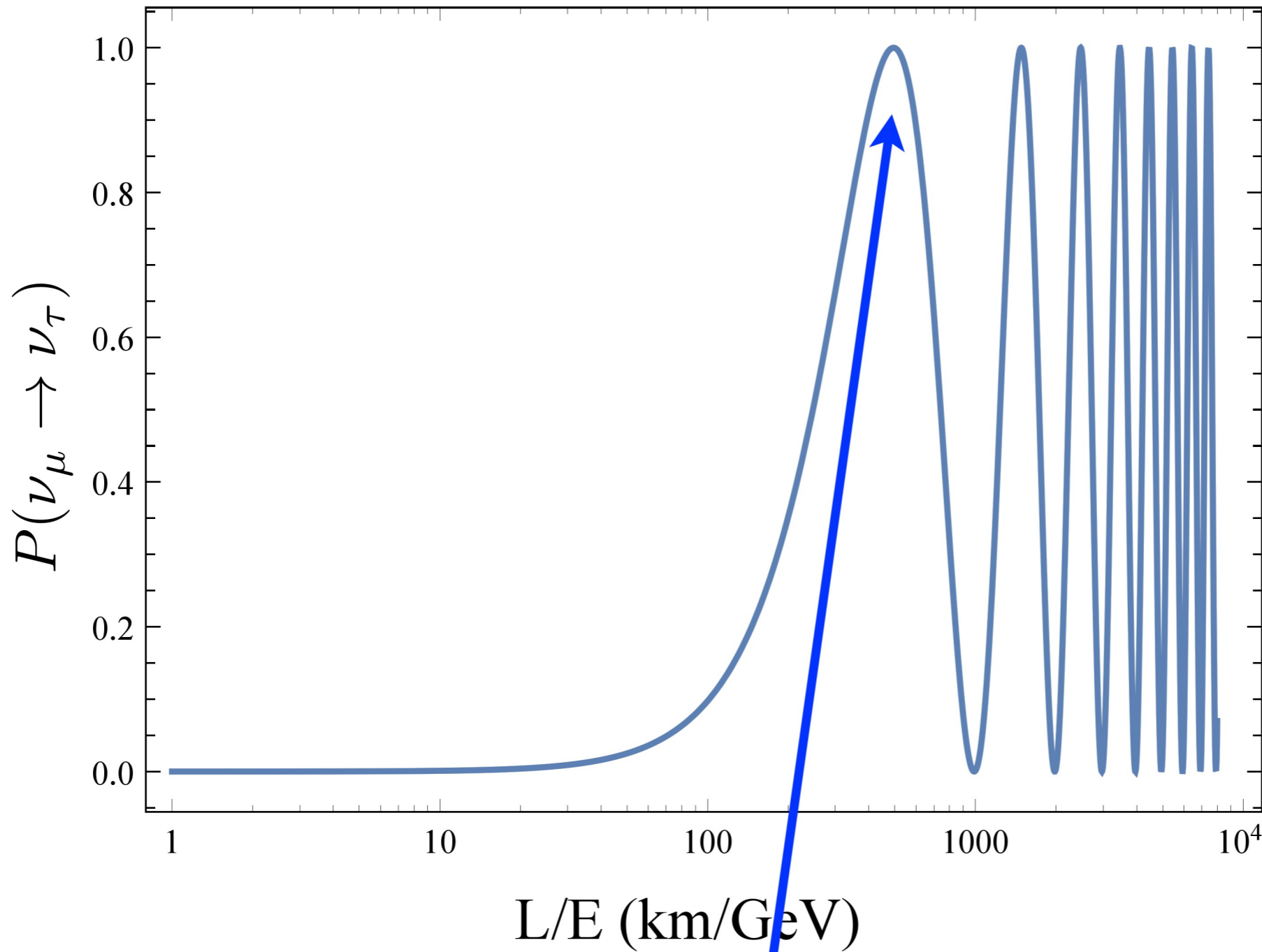
$$\begin{pmatrix} \nu_\alpha \\ \nu_\beta \end{pmatrix} = \begin{pmatrix} \cos\theta & -\sin\theta \\ \sin\theta & \cos\theta \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$$

We compute the probability of oscillation

$$\begin{aligned} P(\nu_\alpha \rightarrow \nu_\beta) &= \left| U_{\alpha 1}^* U_{\beta 1} + U_{\alpha 2}^* U_{\beta 2} e^{-i \frac{\Delta m_{21}^2 L}{2E}} \right|^2 \\ &= \left| \cos\theta \sin\theta - \sin\theta \cos\theta e^{-i \frac{\Delta m_{21}^2 L}{2E}} \right|^2 \\ &= \sin^2\theta \cos^2\theta \left| 1 - e^{-i \frac{\Delta m_{21}^2 L}{2E}} \right|^2 \\ &= \sin^2\theta \cos^2\theta \left(1 - \cos \frac{\Delta m_{21}^2 L}{2E} \right) \\ &= \sin^2 2\theta \sin^2 \frac{\Delta m_{21}^2 L}{4E} \end{aligned}$$

$$P(\nu_\alpha \rightarrow \nu_\beta) \simeq 0$$

$$P(\nu_\alpha \rightarrow \nu_\beta) \simeq \frac{1}{2} \sin^2(2\theta)$$



First oscillation maximum

Properties of 2-neutrino oscillations

- Appearance probability:

$$P(\nu_\alpha \rightarrow \nu_\beta) = \sin^2 2\theta \sin^2 \frac{\Delta m_{21}^2 L}{4E}$$

- Disappearance probability:

$$P(\nu_\alpha \rightarrow \nu_\alpha) = 1 - P(\nu_\alpha \rightarrow \nu_\beta)$$

- No CP-violation as there is no Dirac phase in the mixing matrix

- Consequently, no T-violation (using CPT):

Summary

- Neutrino history
- Neutrinos in the SM
- Neutrino mixing (or better **leptonic** mixing)
- Neutrino oscillation formalism

Mini-projects

- I. Leptonic CP violation

Analyse how CP violation can emerge in the leptonic mixing matrix and study how it can be tested in neutrino oscillations

i) Starting from the propagation of neutrinos in matter with constant density and in the 3-neutrino mixing scheme, derive an approximated formula for the oscillation probability of muon to electron neutrinos.

ii) Use this result to understand how T2K/NOvA and then T2HK and DUNE can gain information on the neutrino mass ordering and on leptonic CP violation.

iii) To this aim, plot the probability in various experimentally relevant situations.

Possible further directions: perform an analysis of T2K or DUNE with the globes code to obtain CPV sensitivity.

- 2. Sterile neutrinos

Study how the existence of light nearly-sterile neutrinos affect neutrino oscillations and confront it with experiments

i) Extend the 3-neutrino standard mixing scheme to include sterile neutrinos. Count the physical parameters.

ii) Derive the neutrino oscillation probabilities in presence of sterile neutrinos in the experimentally relevant situations. $\Delta m^2 \sim 1 \text{ eV}^2$

iii) Confront these results with the experimental situation, identifying the origin of the tension between the hints in SBL experiments and the existing constraints on the parameters

iv) Consider the SBN setup at Fermilab and understand their sensitivity to the sterile neutrino hypothesis.

Possible future directions: sterile neutrinos in the EU