



Making  
Neutrino  
Beams - II

Mary Bishai  
Brookhaven  
National  
Laboratory

Fluxes and  $\nu$   
rates

Measurements  
for DIF Flux  
Estimation

Proton beam  
measurements

Target hadron  
production

Focusing

In-situ flux  
measurements

$\mu$  flux in NuMI

$\mu$  flux in LBNF

$\nu$  flux in ND

Off-axis  
measurements

Conclusions

# Making Neutrino Beams - II

## Neutrino Beam Flux Determination

### International Neutrino Summer School 2021, Aug 2-13, CERN

Mary Bishai  
Brookhaven National Laboratory

Aug 3<sup>rd</sup>, 2021



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Estimation

Proton beam  
measurements

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# Neutrino Beams Contd: Long-Baseline Neutrino Experiment Fluxes and Event Rates



# CP Violation in PMNS (leptons) and CKM (quarks)

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Fluxes and  $\nu$   
rates

Measurements  
for DIF Flux  
Estimation

Proton beam  
measurements

Target hadron  
production

Focusing

In-situ flux  
measurements

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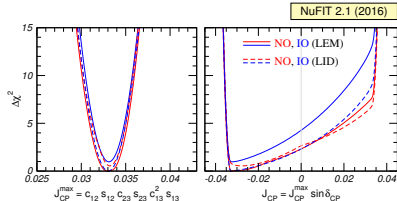
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Conclusions

In 3-flavor mixing the degree of CP violation is determined by the Jarlskog invariant:

$$J_{CP}^{PMNS} \equiv \frac{1}{8} \sin 2\theta_{12} \sin 2\theta_{13} \sin 2\theta_{23} \cos \theta_{13} \sin \delta_{CP}.$$



(JHEP 11 (2014) 052, arXiv:1409.5439)

Given the current best-fit values of the  $\nu$  mixing angles :

$$J_{CP}^{PMNS} \approx 3 \times 10^{-2} \sin \delta_{CP}.$$

For CKM (mixing among the 3 quark generations):

$$J_{CP}^{CKM} \approx 3 \times 10^{-5},$$

despite the large value of  $\delta_{CP}^{CKM} \approx 70^\circ$ .

# $\nu_\mu \rightarrow \nu_e$ Oscillations in the 3-flavor $\nu$ SM

**In the  $\nu$  3-flavor model matter/anti-matter asymmetries in neutrinos are best probed using  $\nu_\mu/\bar{\nu}_\mu \rightarrow \nu_e/\bar{\nu}_e$  oscillations (or vice versa).** With terms up to second order in  $\alpha \equiv \Delta m_{21}^2/\Delta m_{31}^2 = 0.03$  and  $\sin^2 \theta_{13} = 0.02$ , (M. Freund. Phys. Rev. D 64, 053003):

$$P(\nu_\mu \rightarrow \nu_e) \cong P(\nu_e \rightarrow \nu_\mu) \cong \underbrace{P_0}_{\theta_{13}} + \underbrace{P_{\sin \delta}}_{\text{CP violating}} + \underbrace{P_{\cos \delta}}_{\text{CP conserving}} + \underbrace{P_3}_{\text{solar oscillation}}$$

where **for oscillations in vacuum:**

$$P_0 = \sin^2 \theta_{23} \sin^2 2\theta_{13} \sin^2(\Delta),$$

$$P_{\sin \delta} = \alpha 8J_{\text{cp}} \sin^3(\Delta),$$

$$P_{\cos \delta} = \alpha 8J_{\text{cp}} \cot \delta_{\text{CP}} \cos \Delta \sin^2(\Delta),$$

$$P_3 = \alpha^2 \cos^2 \theta_{23} \sin^2 2\theta_{12} \sin^2(\Delta),$$

where  $\Delta = 1.27 \Delta m_{31}^2 (\text{eV}^2) L(\text{km}) / E(\text{GeV})$

For  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ ,  $\underbrace{P_{\sin \delta} \rightarrow -P_{\sin \delta}}_{\text{CP asymmetry}}$

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where **for oscillations in matter with constant density:**

$$P_0 = \sin^2 \theta_{23} \frac{\sin^2 2\theta_{13}}{(A-1)^2} \sin^2[(A-1)\Delta],$$

$$P_{\sin \delta} = \alpha \frac{8J_{\text{CP}}}{A(1-A)} \sin \Delta \sin(A\Delta) \sin[(1-A)\Delta],$$

$$P_{\cos \delta} = \alpha \frac{8J_{\text{CP}} \cot \delta_{\text{CP}}}{A(1-A)} \cos \Delta \sin(A\Delta) \sin[(1-A)\Delta],$$

$$P_3 = \alpha^2 \cos^2 \theta_{23} \frac{\sin^2 2\theta_{12}}{A^2} \sin^2(A\Delta),$$

where  $\Delta = 1.27 \Delta m_{31}^2 (\text{eV}^2) L(\text{km}) / E(\text{GeV})$  and  $A = \sqrt{2} G_F N_e 2E / \Delta m_{31}^2$ .

For  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ ,  $\underbrace{P_{\sin \delta} \rightarrow -P_{\sin \delta}}_{\text{CP asymmetry}}$ ,  $\underbrace{A \rightarrow -A}_{\text{matter asymmetry}}$



# Expected Appearance Signal Event Rates

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ratesMeasurements  
for DIF Flux  
EstimationProton beam  
measurementsTarget hadron  
production

Focusing

In-situ flux  
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Conclusions

**$\nu$  Exercise:** The total number of electron neutrino appearance events expected for a given exposure from a muon neutrino source as a function of baseline is given as

$$N_{\nu_e}^{\text{appear}}(L) = \int \Phi^{\nu\mu}(E_\nu, L) \times P^{\nu\mu \rightarrow \nu_e}(E_\nu, L) \times \sigma^{\nu_e}(E_\nu) dE_\nu$$

Assume the neutrino source produces a flux that is constant in energy and using only the dominant term in the probability (no matter effect)

$$\Phi^{\nu\mu}(E_\nu, L) \approx \frac{C}{L^2}, \quad C = \text{number of } \nu_\mu / \text{m}^2 / \text{GeV} / \text{sec at 1 km}$$

$$P^{\nu\mu \rightarrow \nu_e}(E_\nu, L) \approx \underbrace{\sin^2 \theta_{23} \sin^2 2\theta_{13} \sin^2(1.27 \Delta m_{31}^2 L / E_\nu)}_{P_0}$$

$$\sigma^{\nu_e}(E_\nu) = 0.7 \times 10^{-42} (\text{m}^2 / \text{GeV} / \text{N}) \times E_\nu, \quad E_\nu > 1 \text{ GeV}$$

**Prove that the rate of  $\nu_e$  appearing integrated over a constant range of  $L/E$  is independent of baseline for  $L > 500 \text{ km}$ !**



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Making  
Neutrino  
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Laboratory

Fluxes and  $\nu$   
rates

Measurements  
for DIF Flux  
Estimation

Proton beam  
measurements

Target hadron  
production

Focusing

In-situ flux  
measurements

$\mu$  flux in NuMI

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Off-axis  
measurements

Conclusions

$$N_{\nu_e}^{\text{appear}}(L) \propto \text{constant term} \times \int \frac{\sin^2(ax)}{x^3} dx,$$
$$x \equiv L/E_\nu, \quad a \equiv 1.27 \Delta m_{31}^2 \text{ GeV}/(\text{eV}^2 \cdot \text{km})$$

## $\nu$ Exercise:

$C \approx 1 \times 10^{17} \nu_\mu/\text{m}^2/\text{GeV}/\text{yr}$  at 1 km (from 1MW accelerator)  
 $\sin^2 2\theta_{13} = 0.084, \sin^2 \theta_{23} = 0.5, \Delta m_{31}^2 = 2.4 \times 10^{-3} \text{eV}^2$

**Calculate the rate of  $\nu_e$  events observed per kton of detector integrating over the region  $x = 100 \text{ km/GeV}$  to  $2000 \text{ km/GeV}$ . Use ROOT to do the integral!**



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Making  
Neutrino  
Beams - II

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National  
Laboratory

Fluxes and  $\nu$   
rates

Measurements  
for DIF Flux  
Estimation

Proton beam  
measurements

Target hadron  
production

Focusing

In-situ flux  
measurements

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Off-axis  
measurements

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**Calculate the rate of  $\nu_e$  events observed per kton of detector integrating over the region  $x = 100 \text{ km/GeV}$  to  $2000 \text{ km/GeV}$ . Use ROOT to do the integral!**

$$N_{\nu_e}^{\text{appear}}(L) \approx (2 \times 10^6 \text{ events/kton/yr}) \cdot (\text{km/GeV})^2 \int_{x_0}^{x_1} \frac{\sin^2(ax)}{x^3} dx,$$

$$N_{\nu_e}^{\text{appear}}(L) \sim \mathcal{O}(20 - 30) \text{ events/kton/yr}$$



# Event Rates vs. Baseline Perfect Focusing

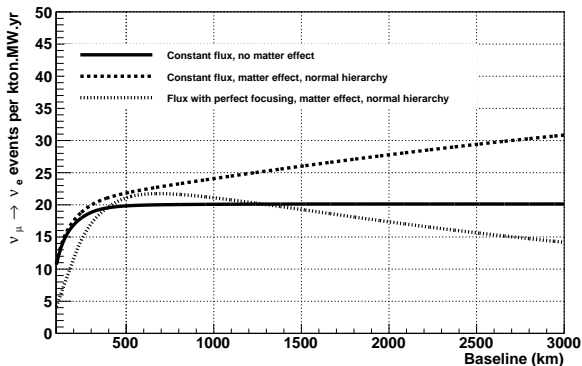
$$\mathcal{R} = \int \Phi_{\text{perfect}}^{\nu\mu}(E_\nu) \times \sigma(E_\nu) \times P(\nu_\mu \rightarrow \nu_e) dE_\nu$$

( $\sin^2 2\theta_{13} = 0.09$ ,  $\sin^2 \theta_{23} = 0.5$ ,  $\delta_{\text{cp}} = 0$ ,  $|\Delta m_{31}^2| = 2.4 \times 10^{-3}$ )

Flux: 120 GeV, perfect focusing,  $\sim 400\text{m}$  decay channel, on-axis

## Normal Hierarchy

Appearance rates versus baseline



How well can we focus/collect the pions?

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rates

Measurements  
for DIF Flux  
Estimation

Proton beam  
measurements

Target hadron  
production

Focusing

In-situ flux  
measurements

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$\mu$  flux in LBNF

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Off-axis  
measurements

Conclusions



# Neutrino Event Rates - Superbeams vs $\nu$ Factories

From arXiv:1307.7335, for 50 kton.years\* of exposure:

Experiment	Super Beams			
	Baseline	$\nu_\mu \rightarrow \nu_\mu$	$\nu_\mu \rightarrow \nu_\tau$	$\nu_\mu \rightarrow \nu_e$
<b>T2K</b>	295km (off-axis)			
30 GeV, 750 kW $9 \times 10^{20}$ POT/year		900	< 1	40 - 70
<b>MINOS LE</b>	735km			
120 GeV, 700 kW $6 \times 10^{20}$ POT/year		11,000	115	230-340
<b>NO<math>\nu</math>A</b>	810km (off-axis)			
120 GeV, 700 kW $6 \times 10^{20}$ POT/year		1500	10	120 - 200
<b>LBNE (LBNF) LE</b>	1,300km			
80 GeV, 1.2MW $1.5 \times 10^{21}$ POT/year		4300	160	350 - 600
<b>LBNE (LBNF) ME</b>	1,300km			
80 GeV, 1.2MW $1.5 \times 10^{21}$ POT/year		12,000	690	290 - 430
Experiment	$\nu$ Factory at Fermilab			
Baseline	$\nu_\mu \rightarrow \nu_\mu$	$\nu_\mu \rightarrow \nu_\tau$	$\nu_e \rightarrow \nu_\mu$	
<b>NuMAX I</b>	1,300km			
3 GeV, 1MW $0.94 \times 10^{20}$ $\mu$ /year (no $\mu$ cooling)	340	30	70 - 120	
<b>NuMAX II</b>	1,300km			
3 GeV, 3MW $5.6 \times 10^{20}$ $\mu$ /year	2000	300	420 - 700	

\* Facility duty factor taken into consideration

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Beams - II

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Fluxes and  $\nu$   
rates

Measurements  
for DIF Flux  
Estimation

Proton beam  
measurements

Target hadron  
production

Focusing

In-situ flux  
measurements

$\mu$  flux in NuMI

$\mu$  flux in LBNF

$\nu$  flux in ND

Off-axis  
measurements

Conclusions



Making  
Neutrino  
Beams - II

Mary Bishai  
Brookhaven  
National  
Laboratory

Fluxes and  $\nu$   
rates

Measurements  
for DIF Flux  
Estimation

Proton beam  
measurements

Target hadron  
production

Focusing

In-situ flux  
measurements

$\mu$  flux in NuMI

$\mu$  flux in LBNF

$\nu$  flux in ND

Off-axis  
measurements

Conclusions

# Measurements for DIF Flux Estimation and Uncertainties



# Measuring the Beam Current and Position

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Fluxes and  $\nu$   
rates

Measurements  
for DIF Flux  
Estimation

Proton beam  
measurements

Target hadron  
production

Focusing

In-situ flux  
measurements

$\mu$  flux in NuMI

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Off-axis  
measurements

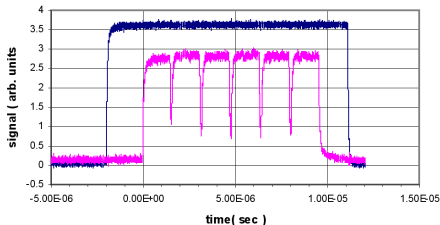
Conclusions

**In-situ measurements of proton  
intensity with high accuracy**

**Characteristics of NuMI Beam Position Monitors:**

- **Software algorithm to search 400  $\mu$ sec to find the beam**
- **NuMI bunches come in 6 batches from booster. Position is measured batch by batch.**
- **Linear over 15-20 mm. 50  $\mu$ m accuracy in pretarget.**
- **11 vertical and 13 horizontal measurements over 360m.**

Tor101 Gate and Beam - 6B



**Feedback from BPMs used to auto-steer the beam to target center**

# Measuring the Beam Profile: NuMI

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Fluxes and  $\nu$   
rates

Measurements  
for DIF Flux  
Estimation

Proton beam  
measurements

Target hadron  
production

Focusing

In-situ flux  
measurements

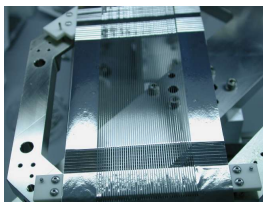
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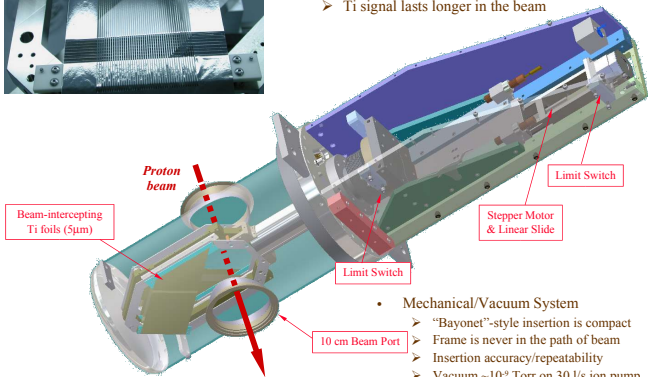
$\nu$  flux in ND

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- Foil Secondary Emission Monitors
  - Beam profile + halo measurement
  - Very low mass ( $5 \mu\text{m}$  Ti)
  - Reduced Beam Heating problems
  - Ti signal lasts longer in the beam



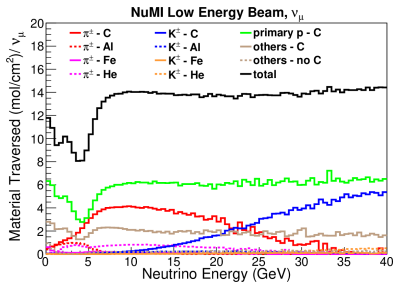
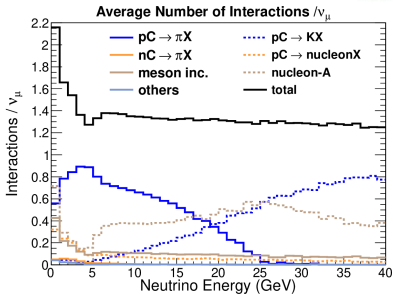
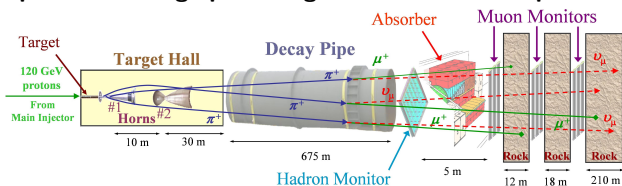
- Mechanical/Vacuum System
  - “Bayonet”-style insertion is compact
  - Frame is never in the path of beam
  - Insertion accuracy/repeatability
  - Vacuum  $\sim 10^{-9}$  Torr on 30 l/s ion pump

**Beam profile at target needs to be measured**

# Hadron production in beamlines

## The NuMI beam measured by MINERvA

120 GeV proton beam, graphite target  $l=95\text{cm}$ , 185 kA pulsed horns (2)



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Beams - II

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Fluxes and  $\nu$   
rates

Measurements  
for DIF Flux  
Estimation

Proton beam  
measurements

Target hadron  
production

Focusing

In-situ flux  
measurements

$\mu$  flux in NuMI

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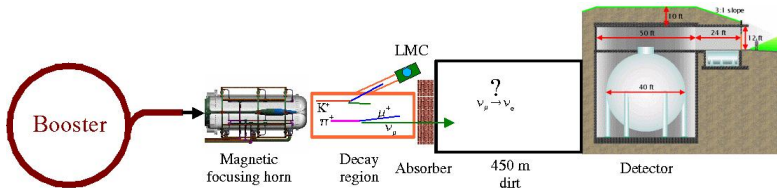
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Off-axis  
measurements

Conclusions

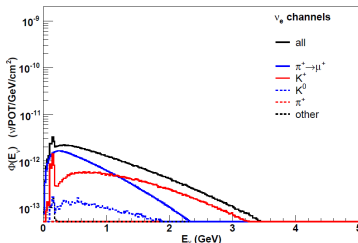
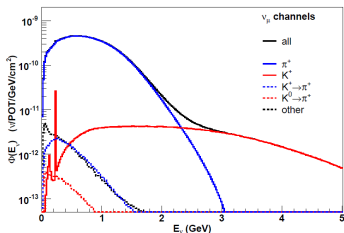
# Hadron production in beamlines

## Short baseline beams - sub-GeV: Booster Neutrino Beam 8 GeV proton, Be target $l=71\text{cm}$ , 174 kA pulsed horn.



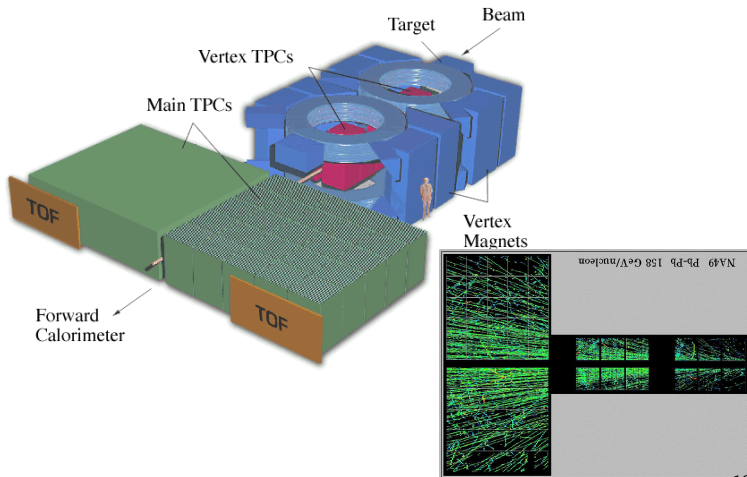
$\nu_\mu$  Flux

$\nu_e$  Flux



# Hadron Production Experiments

Dedicated large acceptance hadron spectrometers are used to measure hadrons produced in p-p and p-A collisions on thin/thick targets. For example the NA49 experiment at CERN:



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Fluxes and  $\nu$   
rates

Measurements  
for DIF Flux  
Estimation

Proton beam  
measurements

Target hadron  
production

Focusing

In-situ flux  
measurements

$\mu$  flux in NuMI

$\mu$  flux in LBNF

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Off-axis  
measurements

Conclusions



Making  
Neutrino  
Beams - II

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Laboratory

Fluxes and  $\nu$   
rates

Measurements  
for DIF Flux  
Estimation

Proton beam  
measurements

Target hadron  
production

Focusing

In-situ flux  
measurements

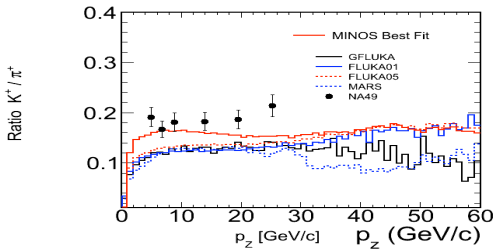
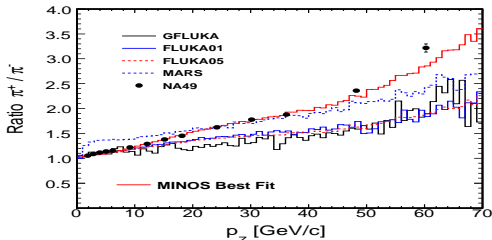
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Off-axis  
measurements

Conclusions



**MC target hadron production must be constrained by external data.**

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## Measuring target hadron production for DUNE/T2K

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Fluxes and  $\nu$   
rates

Measurements  
for DIF Flux  
Estimation

Proton beam  
measurements

Target hadron  
production

Focusing

In-situ flux  
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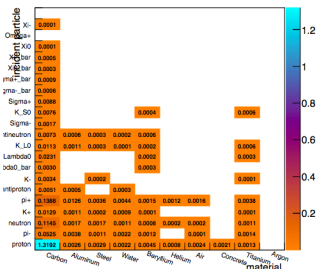
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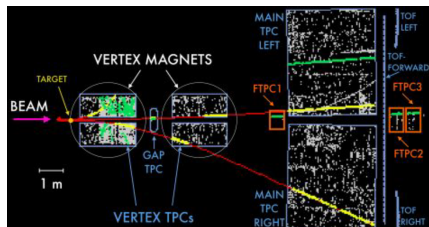
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### Beamline interactions per $\nu$ in DUNE ND

- **2016 dataset:**  $\pi^+$  C/Be at 60 GeV,  $p^+$  C,Be at 120 GeV,  $p^+$  C,Al,Be at 60 GeV. Currently under analysis.
- **2017 dataset:**  $\pi^+$  Al at 60 GeV,  $\pi^+$  Al at 60 GeV,  $\pi^-$  C at 60 GeV,  $p^+$  C,Be at 120 GeV,  $p^+$  C at 90 GeV.



### Event display from NA61



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# Double differential cross-sections on T2K replica target - 2018

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Fluxes and  $\nu$   
rates

Measurements  
for DIF Flux  
Estimation

Proton beam  
measurements

Target hadron  
production

Focusing

In-situ flux  
measurements

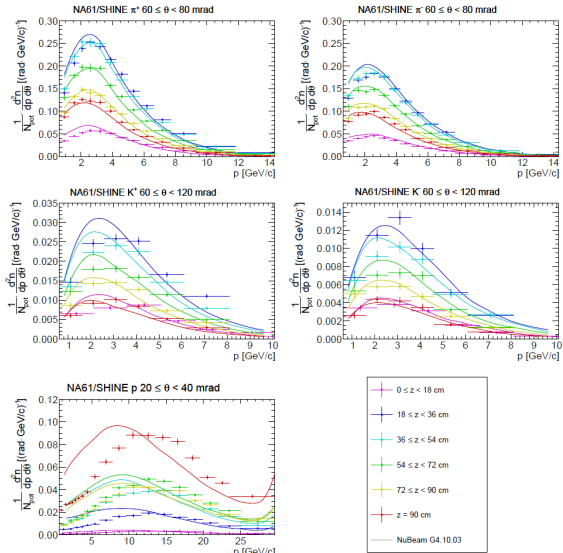
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Off-axis  
measurements

Conclusions



# EMPHATIC at Fermilab

Making  
Neutrino  
Beams - II

Mary Bishai  
Brookhaven  
National  
Laboratory

Fluxes and  $\nu$   
rates

Measurements  
for DIF Flux  
Estimation

Proton beam  
measurements

Target hadron  
production

Focusing

In-situ flux  
measurements

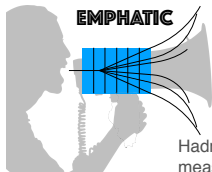
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Off-axis  
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Conclusions



**A new hadron production experiment for improved neutrino flux predictions**

Hadron production measurements to reduce flux uncertainties from secondary and tertiary interactions in the neutrino production target

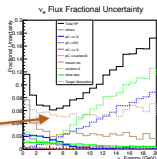
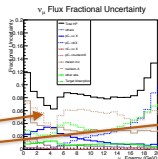
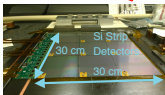
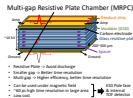
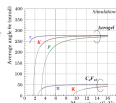
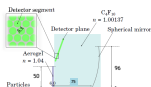
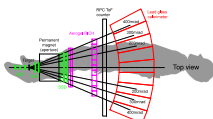
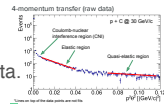


Table-top-sized experiment



2 week-long test run this past January already collected useful data.



See posters by J. Paley, M. Pavin & T. Vladisavljevic, and T. Sugimoto!

## Proof of principal measurements of proton elastic and inelastic scattering cross-sections:

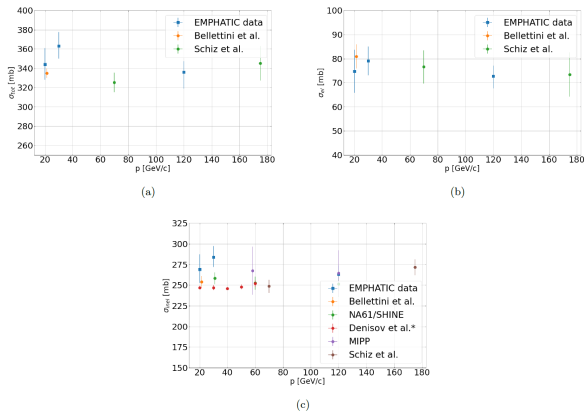


FIG. 16: Comparisons of the total (a), elastic (b), and inelastic cross-section (c) obtained from the fits with older data.

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rates

Measurements  
for DIF Flux  
Estimation

Proton beam  
measurements

Target hadron  
production

Focusing

In-situ flux  
measurements

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$\mu$  flux in LBNF

$\nu$  flux in ND

Off-axis  
measurements

Conclusions



# MiniBooNE 8 GeV p-Be Hadronic Interaction Models

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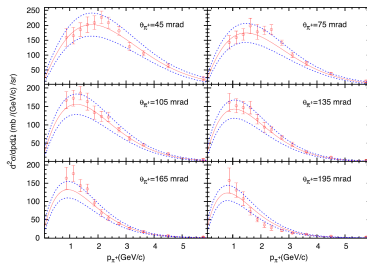
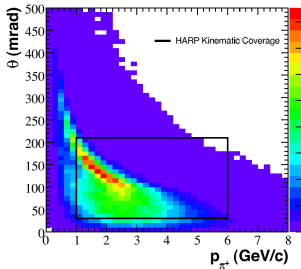
$\mu$  flux in NuMI

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Off-axis  
measurements

Conclusions



**Data:** Use HARP 8.89 GeV/c p-Be and BNL E910 6.4 GeV/c p-Be interactions with best fit to parameteric model.

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Measurements  
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Estimation

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Target hadron  
production

Focusing

In-situ flux  
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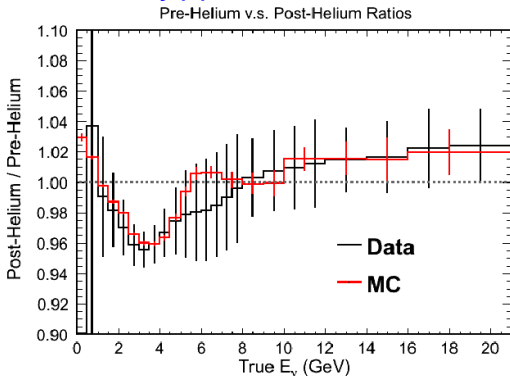
$\mu$  flux in LBNF

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Conclusions

## Helium in the NuMI decay pipe: data and simulations



**Hadron interactions in ALL beamline materials must be considered**



# Transporting Hadrons: BNB Simulation

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measurements

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production

Focusing

In-situ flux  
measurements

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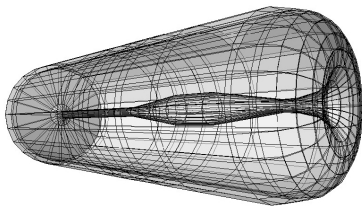
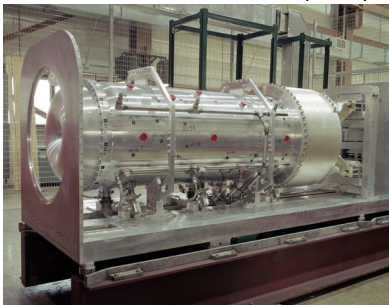
$\mu$  flux in LBNF

$\nu$  flux in ND

Off-axis  
measurements

Conclusions

Phys. Rev. D. 79, 072002 (2009)



- **GEANT4 simulation of beamline geometry. Generation of the primary protons according to expected beam optics.**
- **Simulation of primary p-Be interactions using custom tables for production of p,n, $\pi^{\pm}$ ,  $K^{\pm}$  and  $K^0$  based on external hadro-production data.**
- **GEANT4 propagates particles generated in p-Be, including secondary interactions in the beamline materials.**





# BNB Simulation Uncertainties

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Estimation

Proton beam  
measurements

Target hadron  
production

Focusing

In-situ flux  
measurements

$\mu$  flux in NuMI

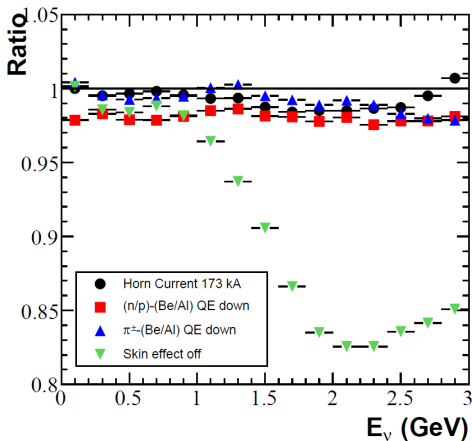
$\mu$  flux in LBNF

$\nu$  flux in ND

Off-axis  
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Conclusions

Ratio to nominal  $\nu_\mu$  flux



Horn focusing simulation large source of absolute flux uncert.

How do we obtain data to constrain this?



# Uncertainties on MiniBooNE $\nu_\mu$ Flux Determination

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Estimation

Proton beam  
measurements

Target hadron  
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In-situ flux  
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Conclusions

Source of Uncertainty	$\nu_\mu$	$\bar{\nu}_\mu$	$\nu_e$	$\bar{\nu}_e$
Proton delivery	2.0%	2.0%	2.0%	2.0%
Proton optics	1.0%	1.0%	1.0%	1.0%
$\pi^+$ production	14.7%	1.0%	9.3%	0.9%
$\pi^-$ production	0.0%	16.5%	0.0%	3.5%
$K^+$ production	0.9%	0.2%	11.5%	0.3%
$K^0$ production	0.0%	0.2%	2.1%	17.6%
Horn field	2.2%	3.3%	0.6%	0.8%
Nucleon cross sections	2.8%	5.7%	3.3%	5.6%
Pion cross sections	1.2%	1.2%	0.8%	0.7%

**Hadron production uncertainties dominate: 15-18%**



# A Spectrometer for Focused Hadron Flux Measurements for LBNF?

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Estimation

Proton beam  
measurements

Target hadron  
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Off-axis  
measurements

Conclusions

## Proposal by Laura Fields:

The **LBNF Spectrometer** is a concept for a thick-target hadron production measurement after the focusing horns. It would involve a replica of the LBNF target and horns in an external beamline at Fermilab. In addition to hadron production in the target, the spectrometer would also measure hadron production and absorption in the horns and the effects of the magnetic fields in the horns.



Detector technology is always challenging

Need to get more people interested and involved to succeed



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Estimation

Proton beam  
measurements

Target hadron  
production

Focusing

**In-situ flux  
measurements**

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Conclusions

# In-situ flux measurements



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Estimation

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measurements

Target hadron  
production

Focusing

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measurements

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measurements

Conclusions

# Muon flux measurements



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Estimation

Proton beam  
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Focusing

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measurements

$\mu$  flux in NuMI

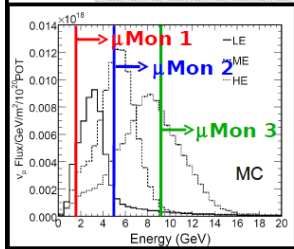
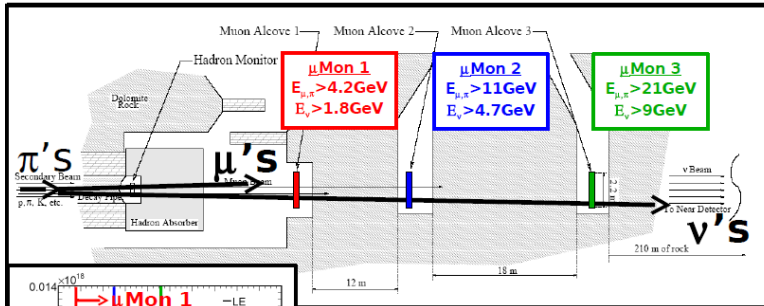
$\mu$  flux in LBNF

$\nu$  flux in ND

Off-axis  
measurements

Conclusions

## NuMI $\mu$ Monitors



- Beam  $\mu$ 's ionize He gas. But also,  $n$ ,  $\delta$ -rays.
- Signal = ionized electrons.
- Sampling  $\mu$  flux = Sampling hadrons off target = Sampling  $\nu$  flux.

# Tuning MC Using $\mu$ Flux Measurements

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measurements

$\mu$  flux in NuMI

$\mu$  flux in LBNF

$\nu$  flux in ND

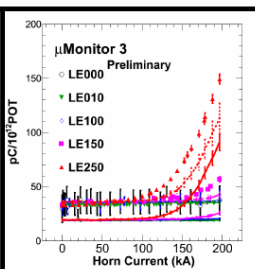
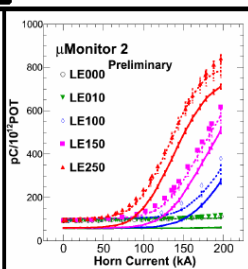
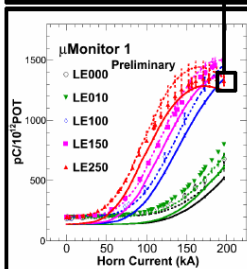
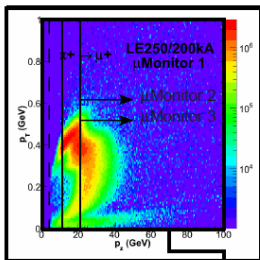
Off-axis  
measurements

Conclusions

## $\mu$ Monitor Tuning

➤ Empirical parameterization for hadron production,  $f(p_T, p_z)$ . Warp  $p_T$  and  $p_z$  to tune default MC to  $\mu$  Monitor data.

- Data
- Monte-Carlo
- - - Tuned Monte-Carlo





# NuMI Flux from Muon Monitors

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production

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In-situ flux  
measurements

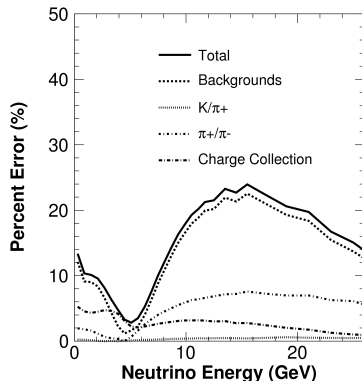
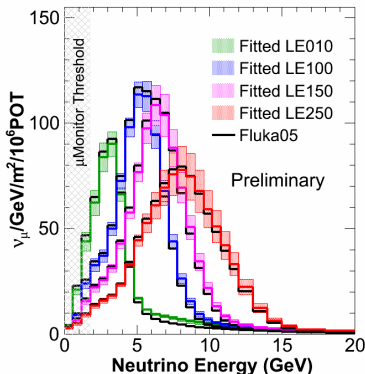
$\mu$  flux in NuMI

$\mu$  flux in LBNF

$\nu$  flux in ND

Off-axis  
measurements

Conclusions



**Accurate  $\nu$  flux measurements from  $\mu$  monitors DIFFICULT**

From Laura Loiacono





# Muon Beam Monitors in LBNF/DUNE

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rates

Measurements  
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Estimation

Proton beam  
measurements

Target hadron  
production

Focusing

In-situ flux  
measurements

$\mu$  flux in NuMI

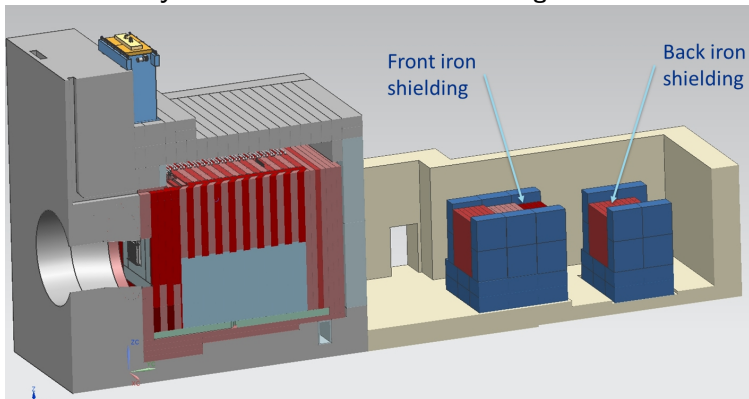
$\mu$  flux in LBNF

$\nu$  flux in ND

Off-axis  
measurements

Conclusions

## Layout of Muon Alcove and Shielding in LBNF



**High intensity makes it difficult to measure  $\mu$  spectrum accurately. With a 2.4 MW beam, the absorber thickness is too large to sample the lower energy muons. But these systems play an essential role in monitoring *flux stability***

# Correlation between neutrino and muon spectrum

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measurements  
Target hadron  
production

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measurements

$\mu$  flux in NuMI

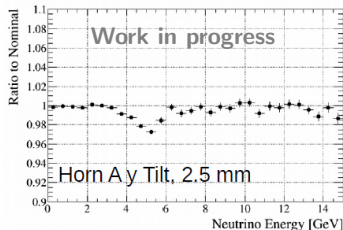
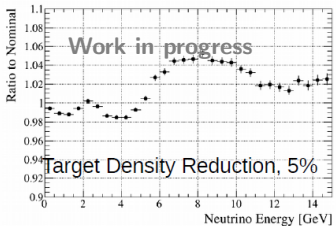
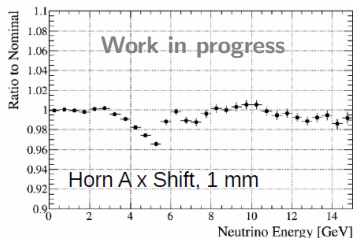
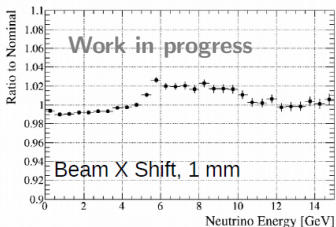
$\mu$  flux in LBNF

$\nu$  flux in ND

Off-axis  
measurements

Conclusions

## $\nu$ Spectrum Changes



# Correlation between neutrino and muon spectrum

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Estimation

Proton beam  
measurements

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Focusing

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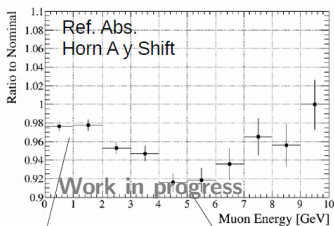
$\mu$  flux in LBNF

$\nu$  flux in ND

Off-axis  
measurements

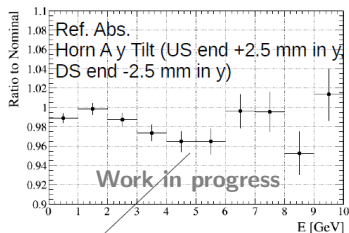
Conclusions

## $\mu$ Spectrum Changes



Reduction in total flux

Shape changes at max near 5 GeV



**Changes are v. small - need novel detector concepts**

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Estimation

Proton beam  
measurements

Target hadron  
production

Focusing

In-situ flux  
measurements

$\mu$  flux in NuMI

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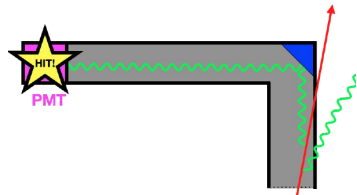
$\nu$  flux in ND

Off-axis  
measurements

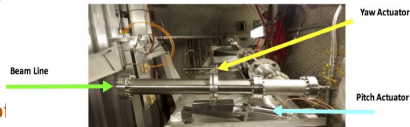
Conclusions

- **Array of ionization detectors:** Measures muon beam center and intensity. Spill by spill monitoring of beam stability. Both diamond and silicon under study
- **Threshold gas Cherenkov detector (R&D):** Uses signal intensity at different gas pressure and angles to extract rough muon spectrum.
- **Stopped muon counters (R&D):** separate stations with steel shielding in between could measure muon flux at several energies. Better measurement of beam flux spectrum and composition.

Gas Cherenkov counter concept:



Prototype in NuMI beamline:



Currently only ionization detectors included in the beam design.



# Stopped Muon Concept

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measurements

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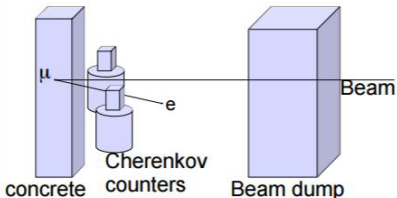
Off-axis  
measurements

Conclusions

From K. Hiraide, *Muon monitor using the decay electrons*, NBI2003 Workshop



## Strategy



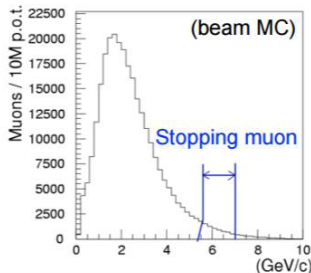
- Counting the decay electrons from muons stopping at the wall of  $\mu$ -pit

- Measuring spatial and time distributions of events

- Energy loss of muons in the beam dump
- Range of electrons in the concrete



We can measure muons of  
**5.2~7.0 GeV/c**  
by counting the decay electrons





# Stopped Muon Prototype

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for DIF Flux  
Estimation

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measurements

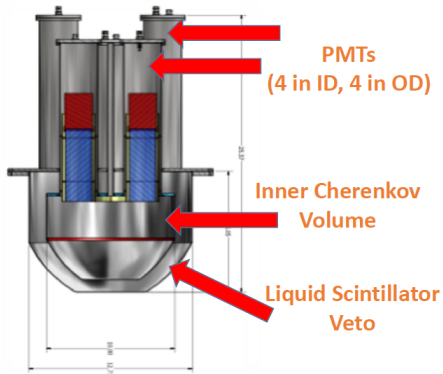
$\mu$  flux in NuMI

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$\nu$  flux in ND

Off-axis  
measurements

Conclusions



Prototypes tested in NuMI beam



## Making Neutrino Beams - II

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rates

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for DIF Flux  
Estimation

Proton beam  
measurements

Target hadron  
production

Focusing

In-situ flux  
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measurements

Conclusions

# Neutrino flux measurements in NDs

# Long Baseline: Near and Far $\nu$ Detectors

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Estimation

Proton beam  
measurements  
Target hadron  
production

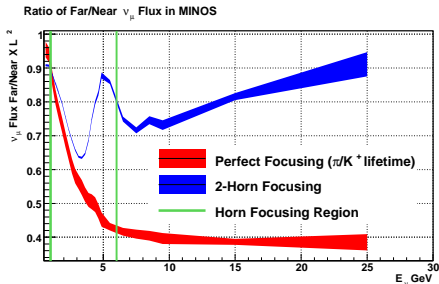
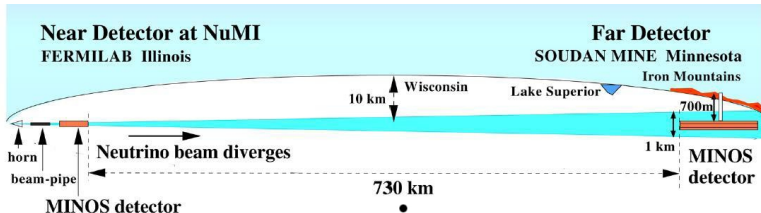
Focusing

In-situ flux  
measurements

$\mu$  flux in NuMI  
 $\mu$  flux in LBNF  
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Off-axis  
measurements

Conclusions



**Near detector neutrino flux not identical to far!**



# Why a Near Detector? (LBNF example)

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$\mu$  flux in NuMI

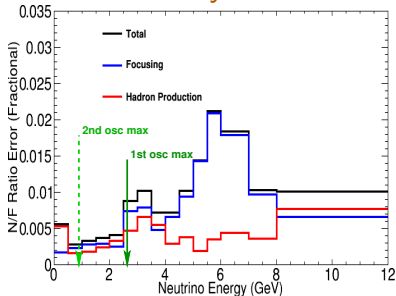
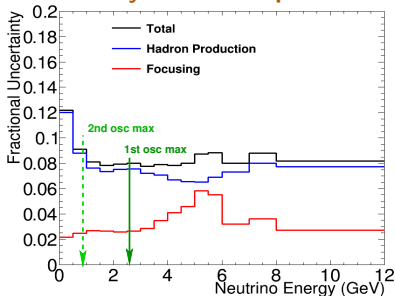
$\mu$  flux in LBNF

$\nu$  flux in ND

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Conclusions

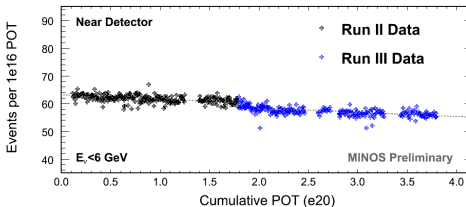
## Uncertainty on FD flux prediction    Residual uncertainty on flux at FD



**Flux uncertainties partially cancel with near/far**

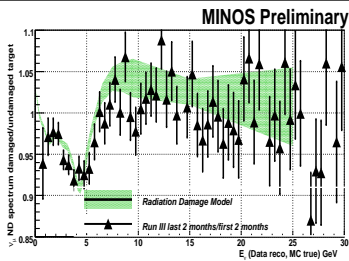
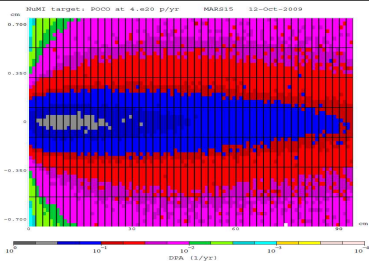
# Flux Stability with High Precision Near Detector

Observe a reduction in the  $\nu$  event rate  $< 6$  GeV in NuMI target 2:



MARS simulation of target damage

Target damage model in FLUKA08



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Estimation

Proton beam  
measurements  
Target hadron  
production

Focusing

In-situ flux  
measurements

$\mu$  flux in NuMI  
 $\mu$  flux in LBNF  
 $\nu$  flux in ND

Off-axis  
measurements

Conclusions



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Fluxes and  $\nu$   
rates

Measurements  
for DIF Flux  
Estimation

Proton beam  
measurements

Target hadron  
production

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# On and off-axis $\nu$ flux measurements



# NuMI in MiniBooNE

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Proton beam  
measurements

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production

Focusing

In-situ flux  
measurements

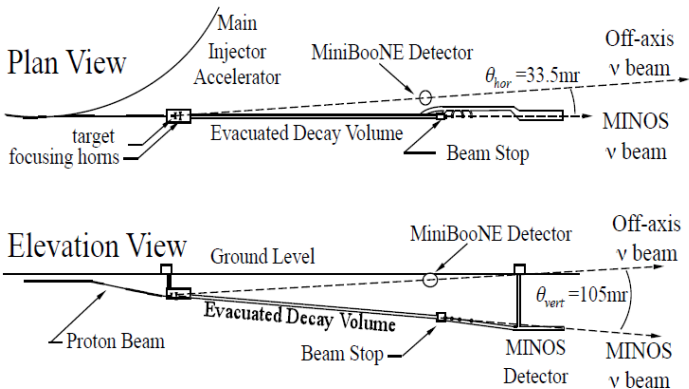
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Off-axis  
measurements

Conclusions





# NuMI in MiniBooNE

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Beams - II

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Fluxes and  $\nu$   
rates

Measurements  
for DIF Flux  
Estimation

Proton beam  
measurements

Target hadron  
production

Focusing

In-situ flux  
measurements

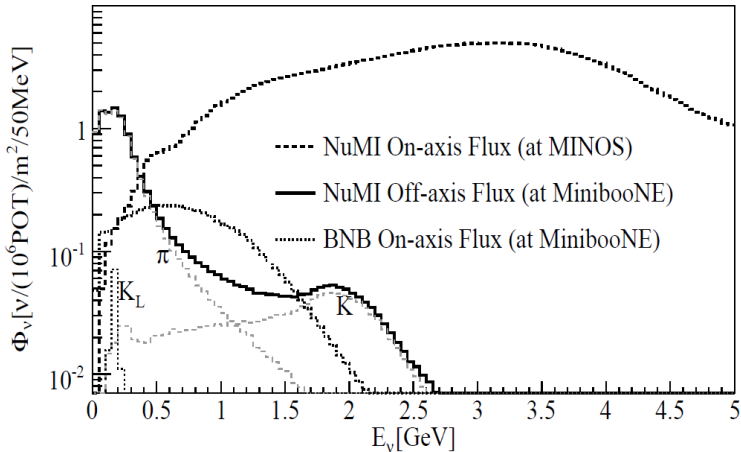
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measurements

Conclusions





# MiniBooNE $\nu$ Interactions from NuMI Beamline - 2010

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Fluxes and  $\nu$   
rates

Measurements  
for DIF Flux  
Estimation

Proton beam  
measurements

Target hadron  
production

Focusing

In-situ flux  
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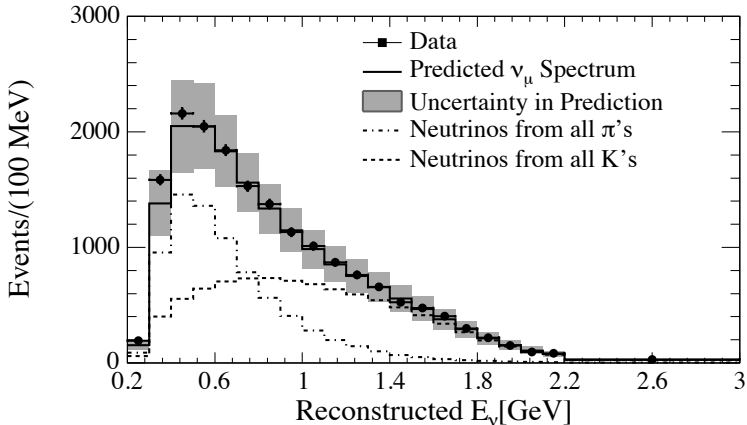
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Off-axis  
measurements

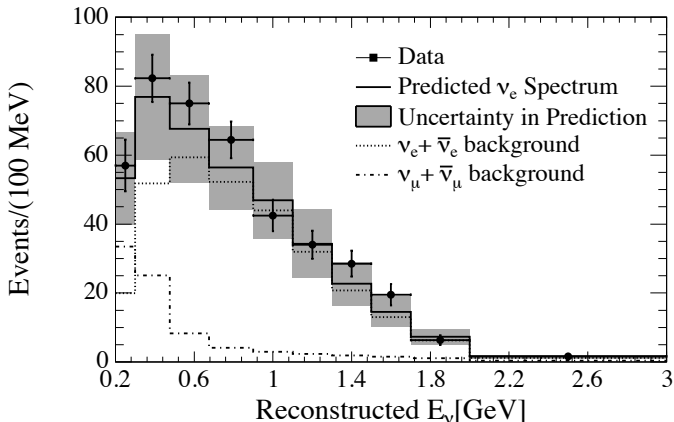
Conclusions

The NuMI simulation tuned to match the MINOS ND event rate was used to predict the  $\nu$  rate in the MiniBooNE detector:



Off-axis  $\nu$  measurements can constrain  $\pi/K$  production

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Off-axis  $\nu$  measurements can constrain  $\pi/K$  production

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Beams - II

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Fluxes and  $\nu$   
rates

Measurements  
for DIF Flux  
Estimation

Proton beam  
measurements

Target hadron  
production

Focusing

In-situ flux  
measurements

$\mu$  flux in NuMI

$\mu$  flux in LBNF

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Off-axis  
measurements

Conclusions



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rates

Measurements  
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Estimation

Proton beam  
measurements

Target hadron  
production

Focusing

In-situ flux  
measurements

$\mu$  flux in NuMI

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$\nu$  flux in ND

Off-axis  
measurements

Conclusions

# Summary and Conclusions





# Summary

Making  
Neutrino  
Beams - II

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Laboratory

Fluxes and  $\nu$   
rates

Measurements  
for DIF Flux  
Estimation

Proton beam  
measurements

Target hadron  
production

Focusing

In-situ flux  
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**Intensity frontier = precision frontier in neutrino physics.  
Measurements of KNOWN parameters with accuracies  $\sim 1\%$**

**New physics could be ANYWHERE  $L/E_\nu = 1 - 1000\text{km/GeV}$**

**A full scale assault on flux measurements is needed from many different directions:**

- **High precision control of proton beams**
- **External target hadron production data**
- **Benchmark measurements of skin depth effect, horn magnetic field?**
- **Simulate every gram of material in the beamline**
- **Measurements of muon flux to better than 5%**
- **REDUCING DETECTOR/CROSS-SECTION SYSTEMATICS in near neutrino measurements.**



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Conclusions



# Thank you