

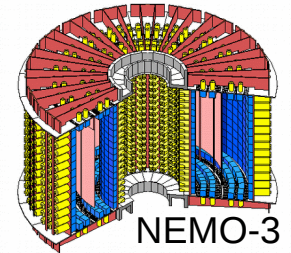
LXXI International conference NUCLEUS – 2021
St. Petersburg, September 20 - 25, 2021

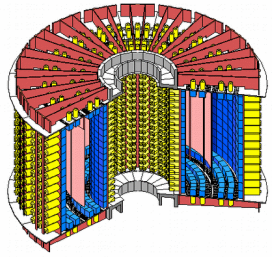


Investigation of Nd-150 $\beta\beta$ decay to excited states of Sm-150 in NEMO-3.



V.I. Tretyak
JINR, Dubna
On behalf of NEMO-3 collaboration

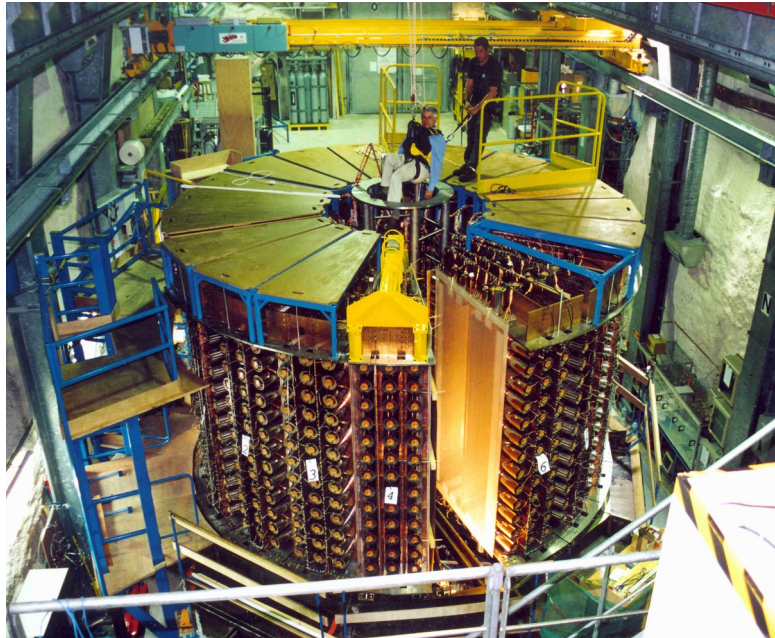
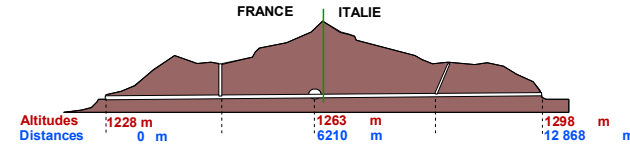




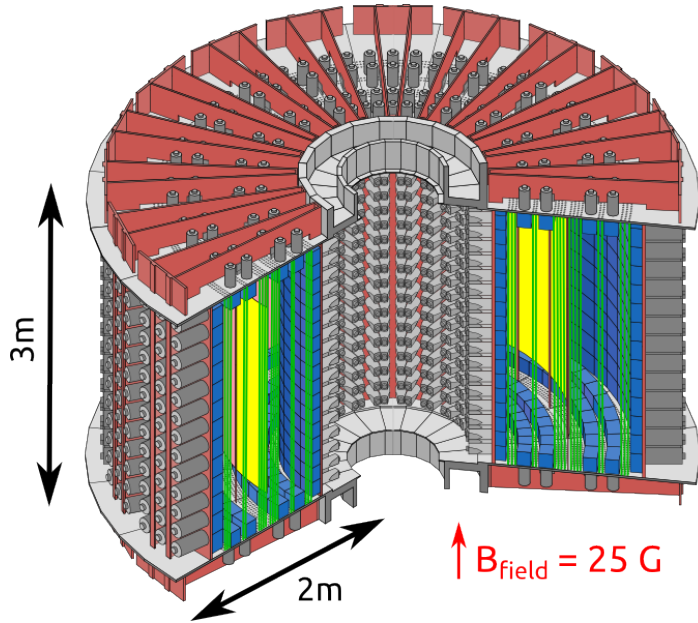
NEMO-3: The Neutrino Ettore Majorana Observatory



The double beta experiment NEMO-3 took data in the underground laboratory of Modane (LSM) from 2003 to 2011.



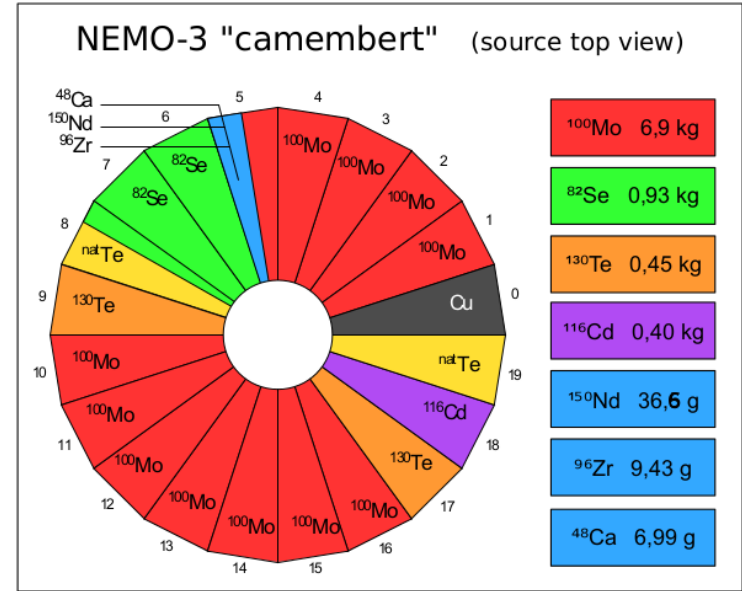
NEMO-3 detector



sources
60 mg/cm² foils
10 kg of $\beta\beta$ isotopes

tracker
6180 Geiger cells
vertex resolution :
 $\sigma_t = 5 \text{ mm}$ $\sigma_z = 1 \text{ cm}$

calorimeter
1940 counters :
polystyren scintillator
+ 3" and 5" PMTs
 $\text{FWHM}_E = 15\% / \sqrt{E_{\text{MeV}}}$
 $\sigma_T = 250 \text{ ps}$



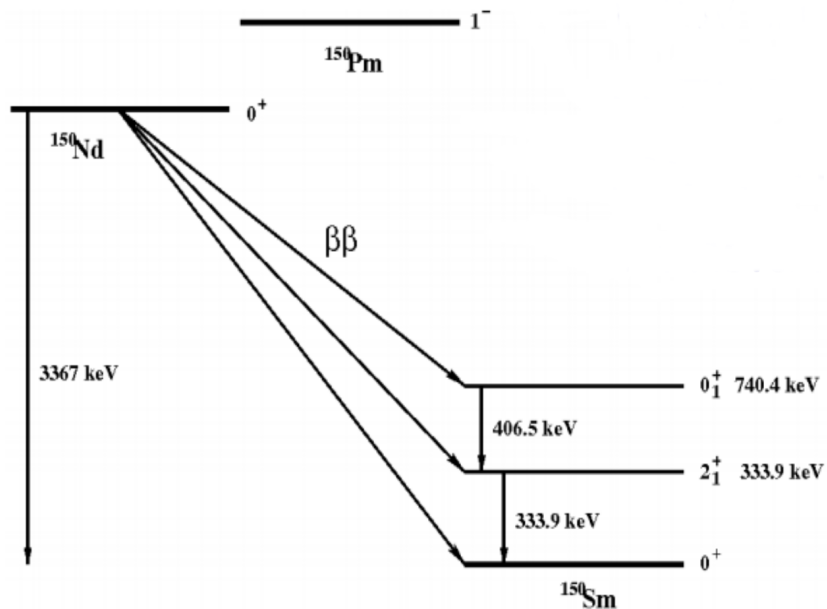
Experimental technique: calorimetry plus tracking

Particle identification: e^- , e^+ , γ and α

Measurement of several final state observables:

- individual energies of electrons and gammas
- electron trajectories and vertices
- track curvature in the magnetic field
- time of flight

^{150}Nd decay scheme and existing results



Results of γ -spectrometry

A.S.Barabash et al. [Phys.Rev. C79 \(2009\)054606](#)

$$0^+_{1}: T_{1/2} = (1.33+0.36-0.23(\text{stat})+0.27-0.13(\text{syst})) \cdot 10^{20} \text{ y}$$

$$S/B = 1/5, \text{ Nsignal} = 177.5, S/\sqrt{(S+B)} = 4.75$$

$$2^+_{1}: T_{1/2} > 2.2 \cdot 10^{20} \text{ y @90\% C.L. (best)}$$

M.F.Kidd et al. [Phys.Rev. C90\(2014\)055501](#)

$$0^+_{1}: T_{1/2} = (1.07+0.45-0.25(\text{stat}) \pm 0.07(\text{syst})) \cdot 10^{20} \text{ y}$$

$$S/B = 1.2, \text{ Nsignal}=21.6, S/\sqrt{(S+B)} = 3.4$$

O.G. Polischuk et al. [Phys.Scr.96\(2021\)08532](#)

$$0^+_{1}: T_{1/2} = (9.7+2.9-1.9(\text{stat}) \pm 1.5(\text{syst})) \cdot 10^{19} \text{ y}$$

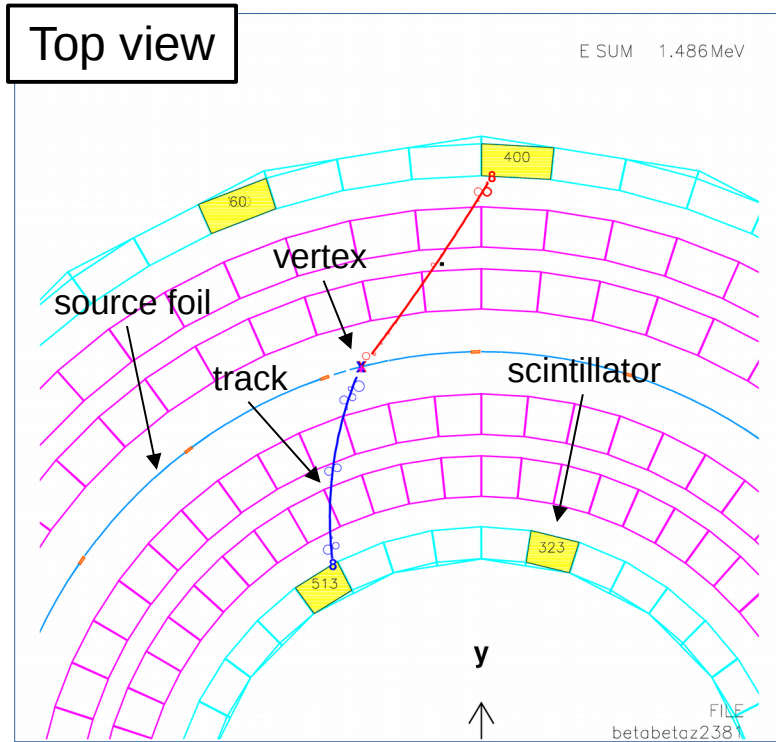
$$\text{No_stat} = 4.34$$

NEMO-3 result for ^{150}Nd $\beta\beta$ decay to the ground state of ^{150}Sm [R. Arnold et al. Phys. Rev. D 94\(2016\)072003](#)

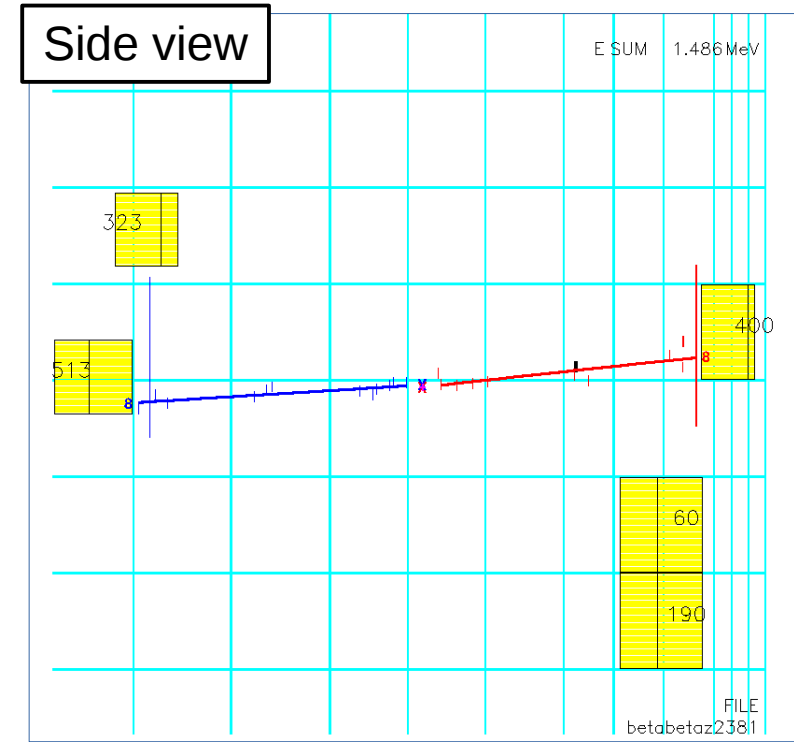
$$T_{1/2}^{2\nu\beta\beta}(\text{g.s.}) = (9.34 \pm 0.22 (\text{stat}) + 0.62-0.60(\text{syst})) \cdot 10^{18} \text{ y} \quad T_{1/2}^{0\nu\beta\beta}(\text{g.s.}) > 2.0 \cdot 10^{22} \text{ y}$$

The same data set is used in the current analysis, 5.25 y with 36.6 g of ^{150}Nd , 0.19 kg·y exposure.

Event selection



- Two electrons $E_e > 150$ keV
- Common vertex in ^{150}Nd foil
- TOF probability $P_{\text{int}}(ee) > 5\%$



- One or two gammas $E_\gamma > 100$ keV
- TOF probability $P_{\text{int}}(ee\gamma) > 5\%$

Backgrounds

- ♦ Internal backgrounds
 - ♦ Impurities in the foil
 - ♦ e.g. Single β -decay + Møller scattering and/or Compton scattering
- ♦ External backgrounds due to high energy gamma rays
 - ♦ Reduced by 4800m water equivalent overburden and extensive passive shielding (wood, iron, borated water)
- ♦ Radon induced backgrounds
 - ♦ e.g. due to ^{214}Bi β -decay in the tracking volume close to the foil
 - ♦ Suppressed by flushing radon-free air around the detector
- ♦ $2\nu\beta\beta$ decay to the ground state
 - ♦ $\beta\beta$ -decay + bremsstrahlung

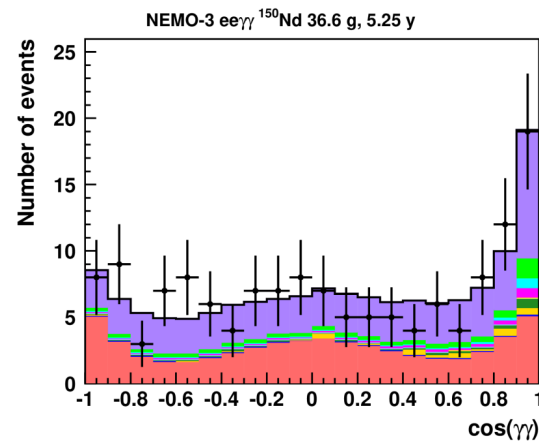
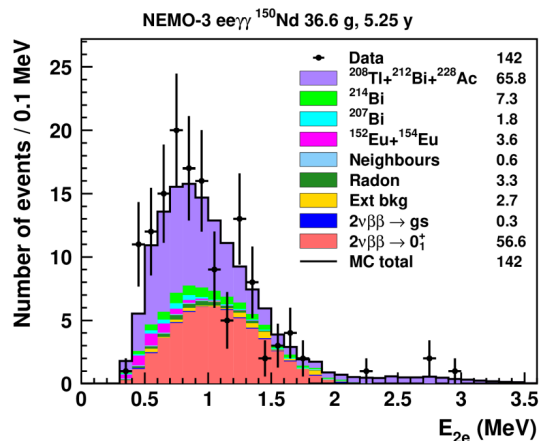
Use the background model described in the NEMO-3 publication
[R. Arnold et al., Phys. Rev. D 94\(2016\)072003.](#)

Results of event selection $ee\gamma\gamma$, $ee\gamma$

$2\nu\beta\beta \rightarrow 0^+_1$ signal in $ee\gamma\gamma$

Data= 142, B=85.4, S=Data-B=56.6, $\epsilon=0.867\%$
 $S/B=0.66$, $S/\sqrt{S+B}=4.75$

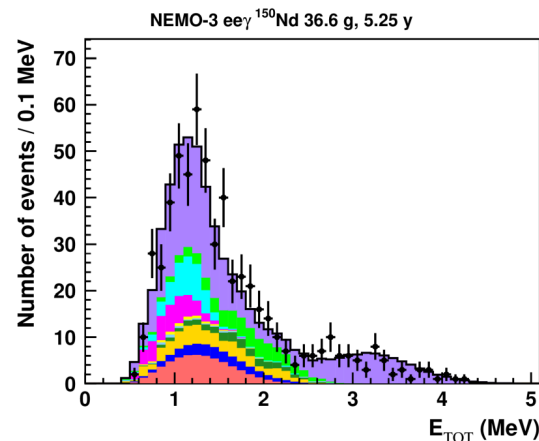
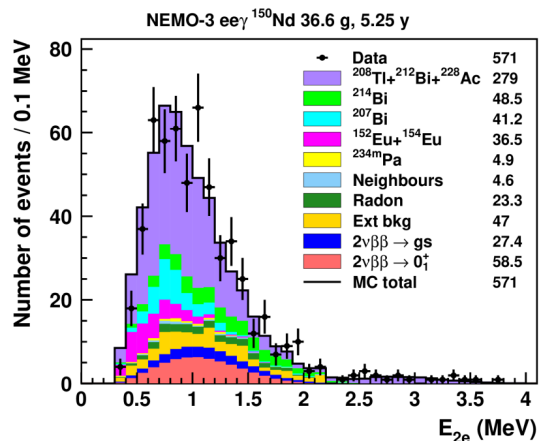
$$T_{1/2}^{2\nu}(0^+_1) = [8.18^{+2.18}_{-1.42} \text{ (stat)}^{+2.03}_{-1.50} \text{ (syst)}] \times 10^{19} \text{ y}$$



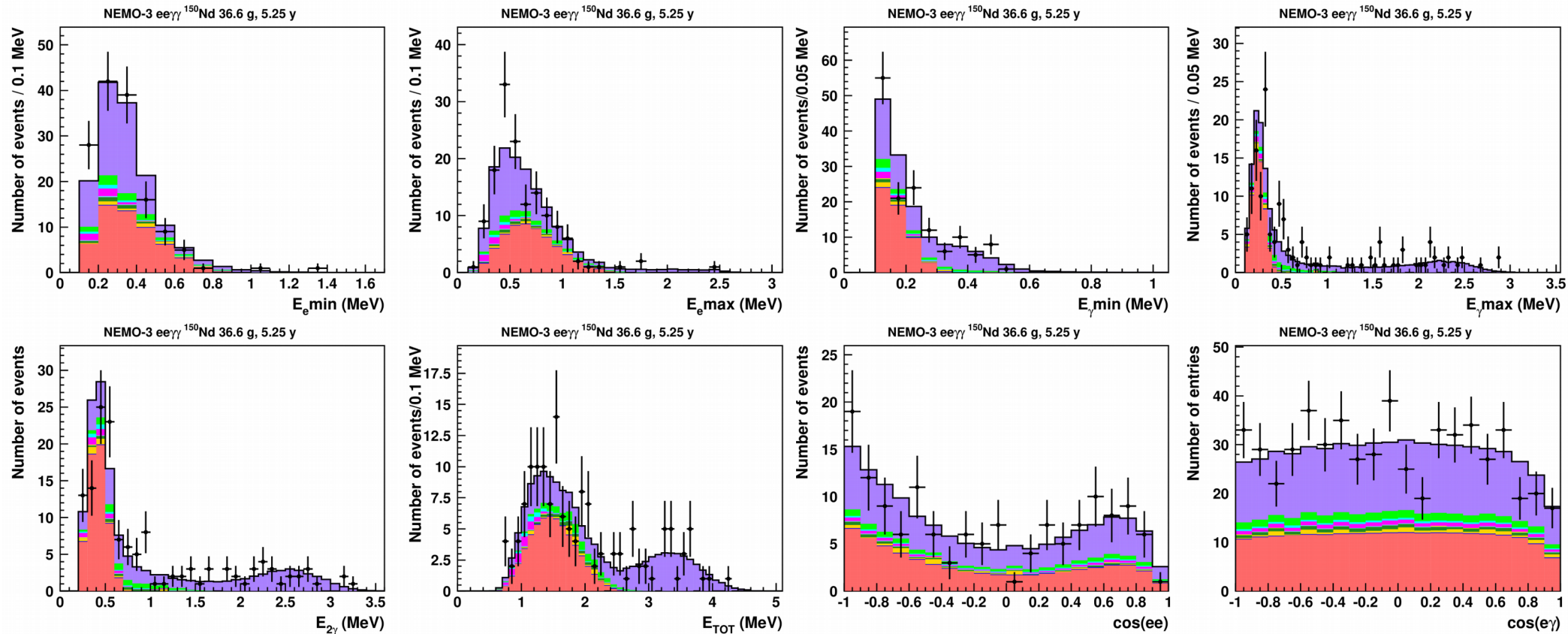
$2\nu\beta\beta \rightarrow 0^+_1$ signal in $ee\gamma$

Data= 571, B=512.5, S=Data-B=58.5, $\epsilon=2.17\%$
 $S/B=0.11$, $S/\sqrt{S+B}=2.44$

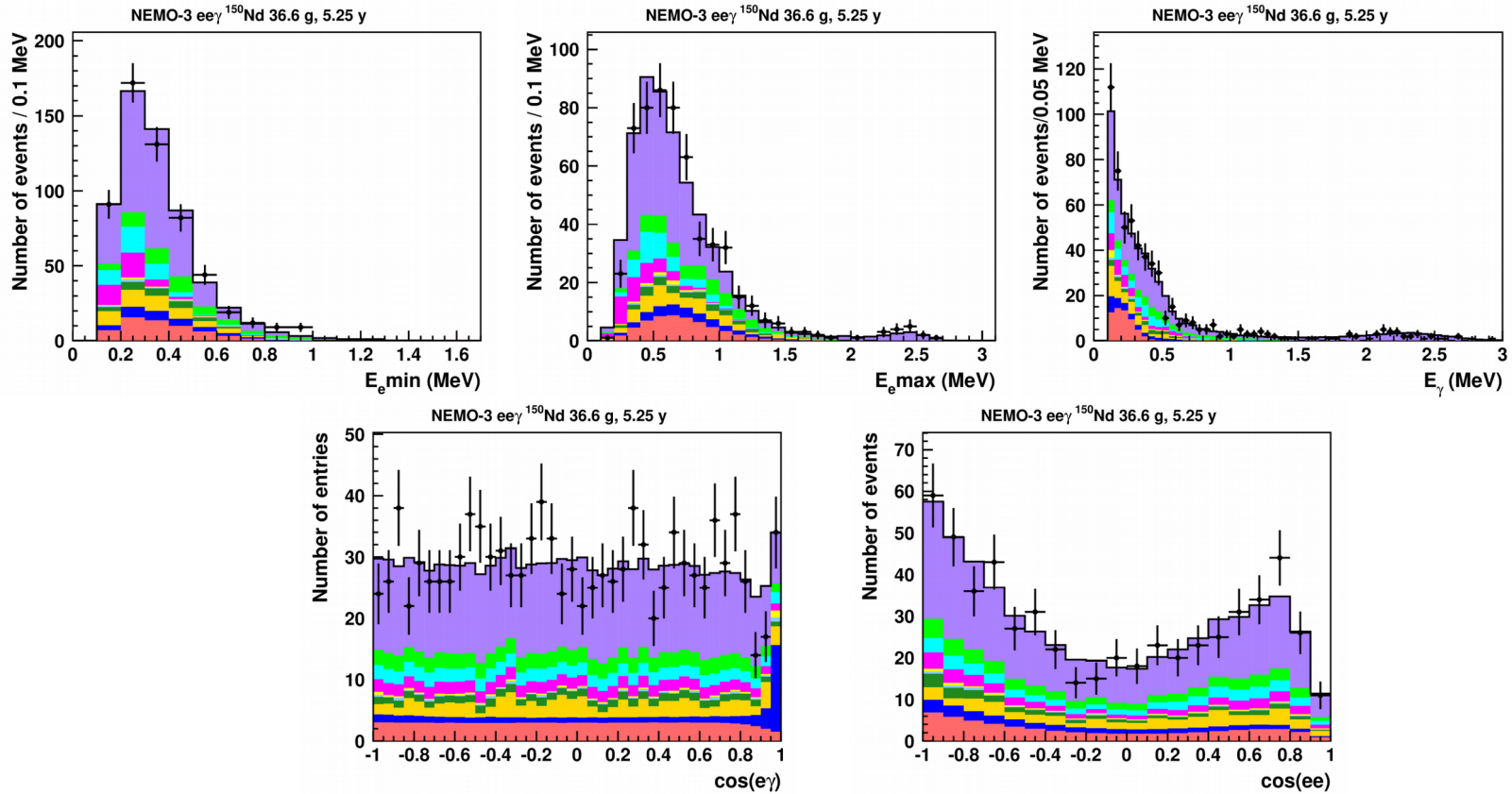
$$T_{1/2}^{2\nu}(0^+_1) = [1.99^{+1.37}_{-0.58} \text{ (stat)}^{+13.35}_{-0.98} \text{ (syst)}] \times 10^{20} \text{ y}$$



Distributions of measured quantities of selected $e\bar{e}\gamma\gamma$ events



Distributions of measured quantities of selected $ee\gamma$ events



Systematic uncertainties

Background contribution	Syst. uncertainty on activity,%
²⁰⁸ Tl internal	± 7
²¹⁴ Bi internal	± 23
²⁰⁷ Bi internal	± 5.6
¹⁵² Eu & ¹⁵⁴ Eu internal	± 14
^{234m} Pa internal	± 10
Neighbour foils	± 23
¹⁵⁰ Nd bb → g.s.	± 7
Radon	± 10
Externals	+ 31 -23

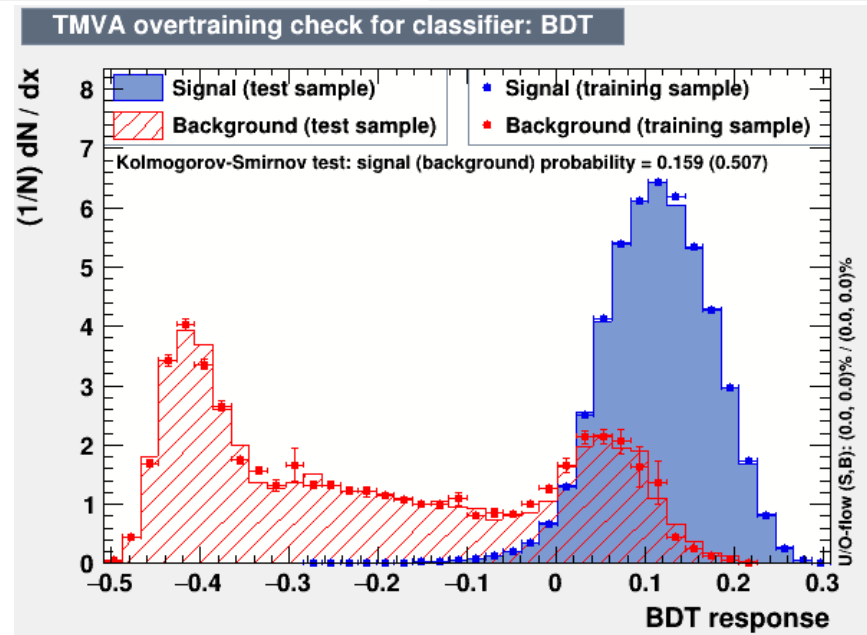
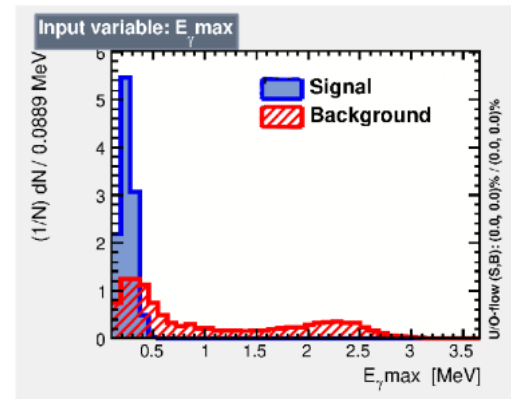
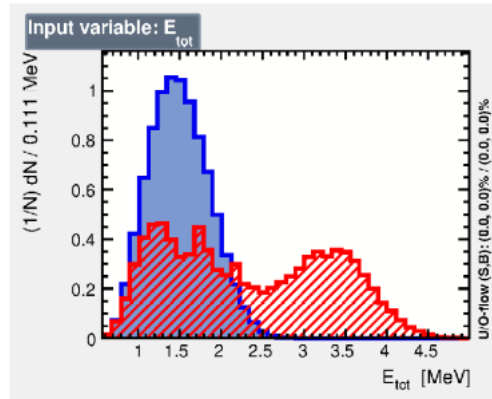
Contribution	Systematic uncertainty,%
Efficiency $e_{e\gamma} / e_{e\gamma}$	± 7.6 / ± 8.1
Energy loss in foil	± 5
Energy calibration	± 1
Bremsstrahlung modelling	± 50
Mass of ¹⁵⁰ Nd	± 0.5

Method of data analysis

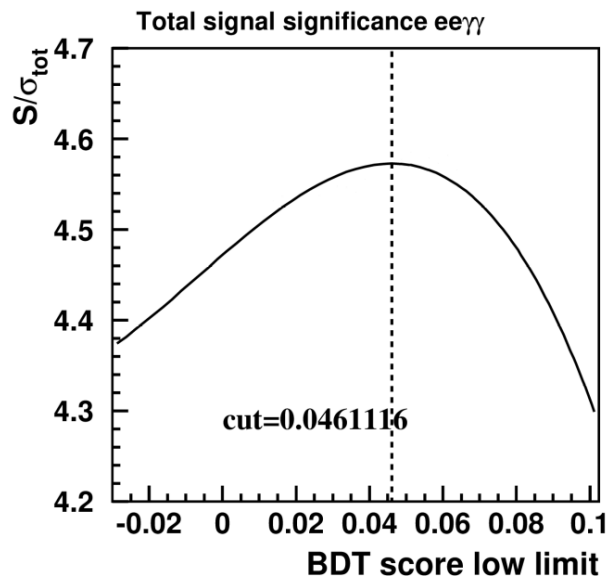
- Classify events (signal against the background) with BDT (TMVA ROOT) considering $2\nu\beta\beta \rightarrow 0^+_{11}$ and $0\nu\beta\beta \rightarrow 0^+_{11}$ in $ee\gamma\gamma$ and $ee\gamma$ channels; $2\nu\beta\beta \rightarrow 2^+_{11}$ and $0\nu\beta\beta \rightarrow 2^+_{11}$ in $ee\gamma$ channel.
- In order to measure $2\nu\beta\beta \rightarrow 0^+_{11}$ transition apply BDT cut to maximize the signal significance.
- For other decay modes, when a signal presence is not evident, evaluate the 90% C.L. limit from the BDT score distribution with Collie program (FNAL DØ experiment) using Modified frequentist method taking into account the systematic uncertainties.

$^{150}\text{Nd } 2\nu\beta\beta \rightarrow 0^+_1$ BTD training, eey γ channel

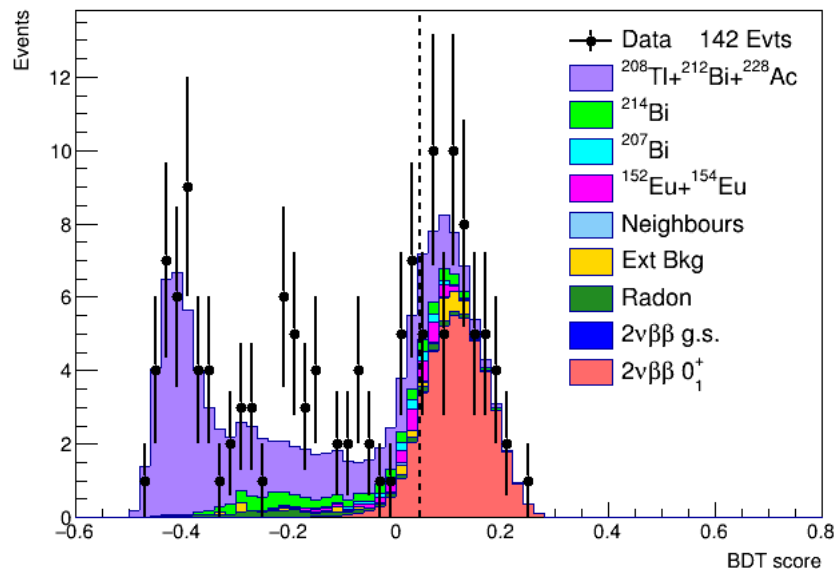
	Variable	Importance
1	E_{TOT}	1.195e-01
2	$E_{\gamma \text{ max}}$	1.108e-01
3	$\cos(\gamma\gamma)$	8.812e-02
4	$\cos(\gamma_{\text{max}} e_{\text{min}})$	8.464e-02
5	$\cos(\gamma_{\text{max}} e_{\text{max}})$	8.083e-02
6	$\cos(\gamma_{\text{min}} e_{\text{min}})$	7.790e-02
7	$\cos(ee)$	7.771e-02
8	$\cos(\gamma_{\text{min}} e_{\text{max}})$	7.676e-02
9	$E_{e \text{ min}}$	6.575e-02
10	$E_{\gamma \text{ min}}$	6.297e-02
11	$E_{e \text{ max}}$	5.857e-02
12	E_{2e}	5.421e-02
13	Sign_{max}	2.377e-02
14	Sign_{min}	1.849e-02



$^{150}\text{Nd } 2\nu\beta\beta \rightarrow 0^+_1$ measurement in $e\bar{e}\gamma\gamma$ channel



NEMO-3 $e\bar{e}\gamma\gamma$ ^{150}Nd , 36.6 g, 5.25 y

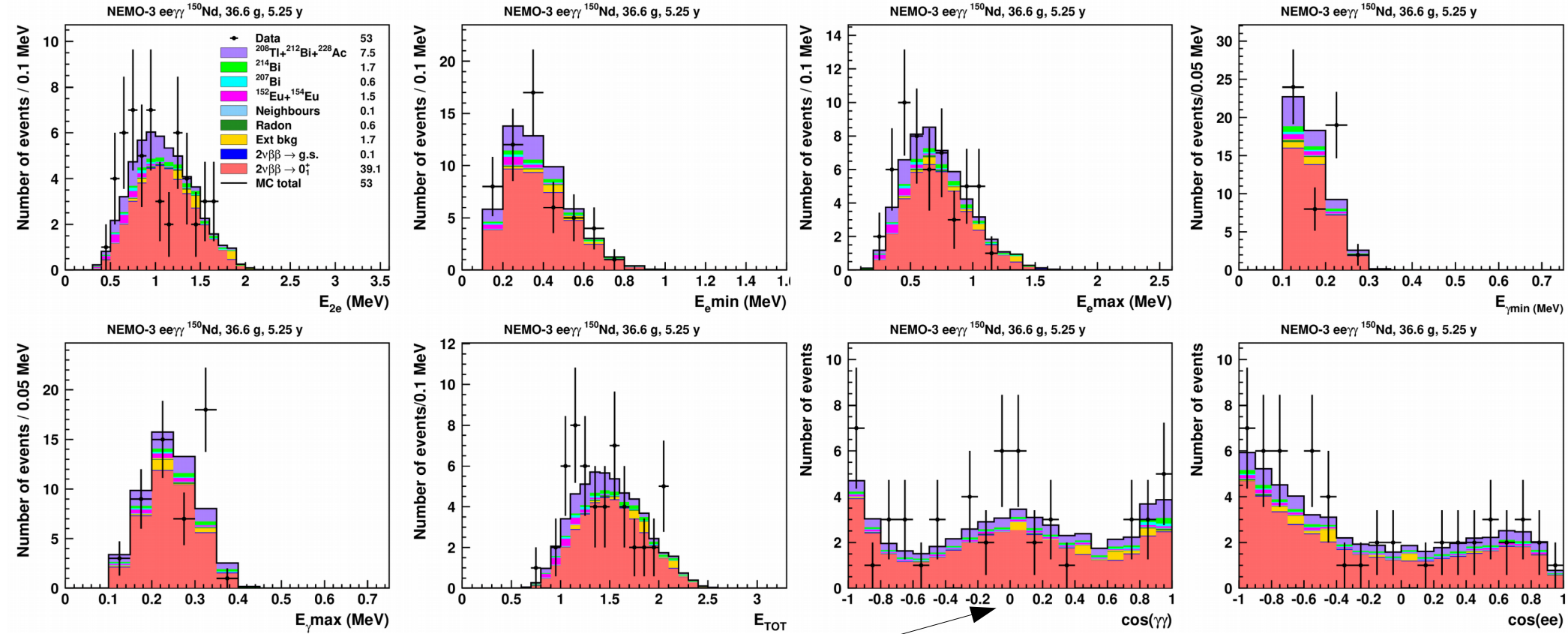


Cut out events with low BDT score values to maximize the signal significance

Data= 53, B=13.9, $\epsilon=0.761\%$, S=Data-B=39.1
 S/B=2.82, $S/\sqrt{S+B}=5.37$,

$$T_{1/2}^{2\nu}(0^+_1) = [1.04^{+0.24}_{-0.16} (\text{stat})^{+0.12}_{-0.11} (\text{syst})] \times 10^{20} \text{ y}$$

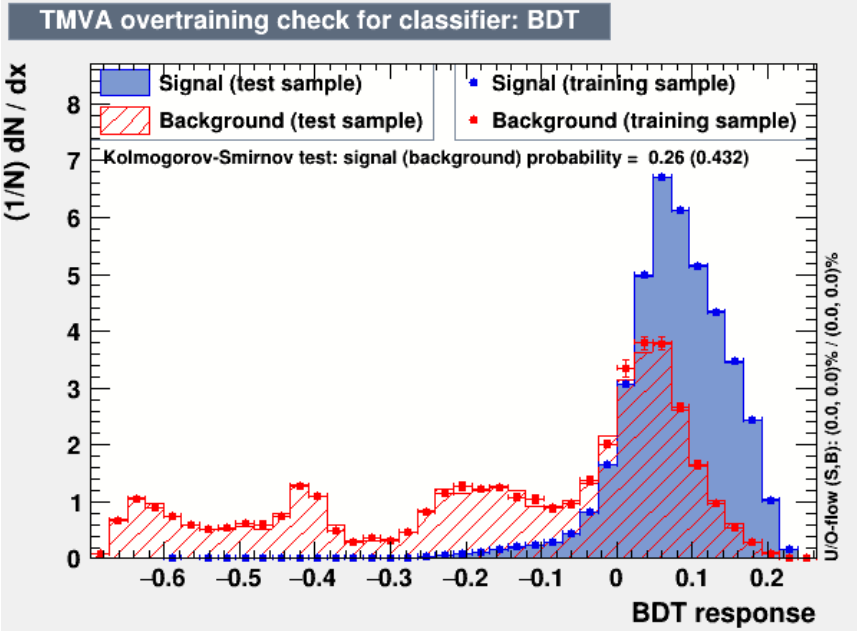
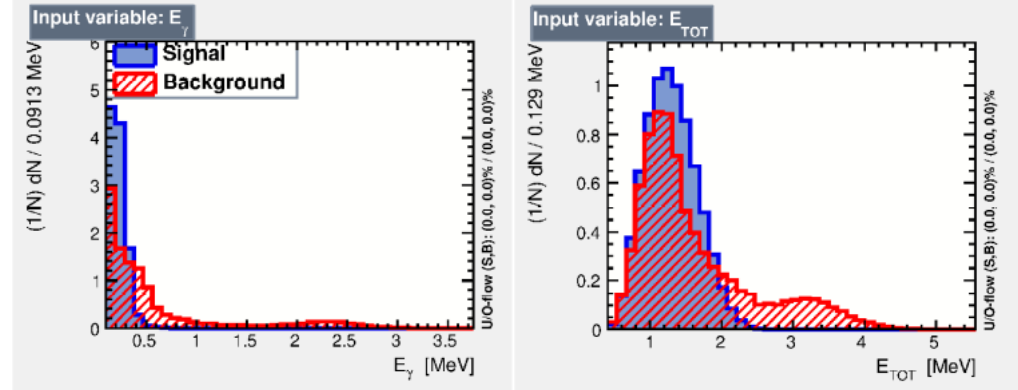
Distributions $ee\gamma\gamma$ events after BDT cut



Angular correlation $\gamma\gamma$ for $0_1^+ \rightarrow 2_1^+ \rightarrow 0_1^+$: $W(\Theta) = 1 - 3\cos^2(\Theta) + 4\cos^4(\Theta)$

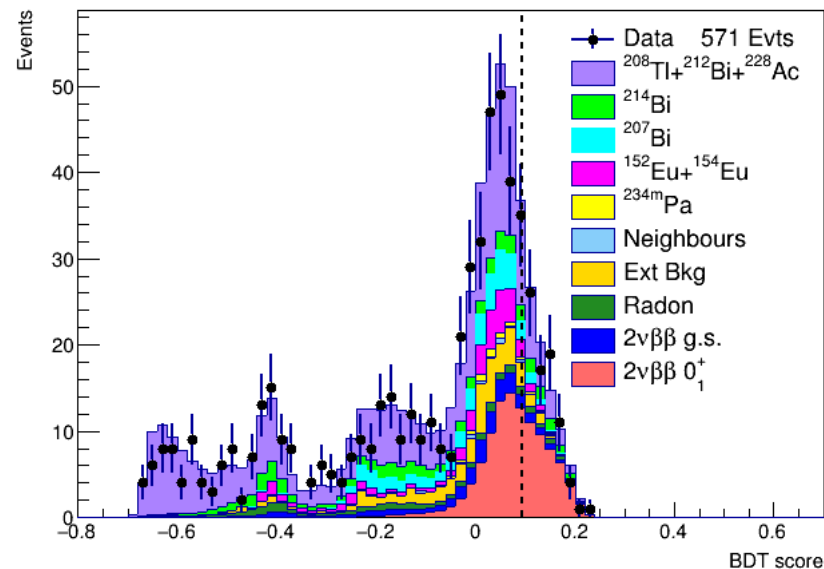
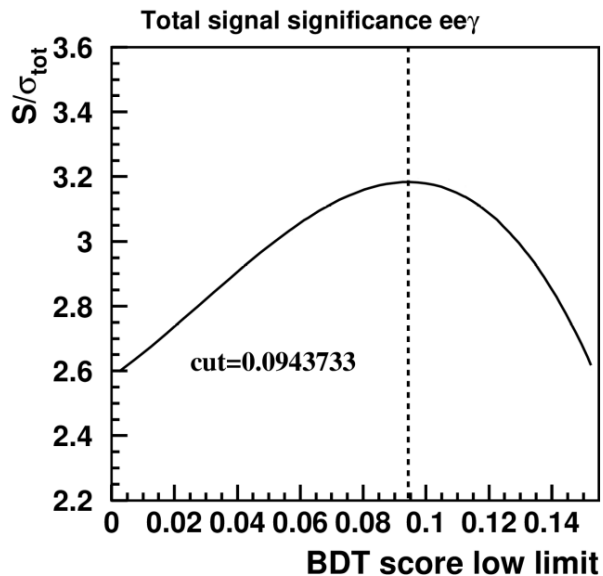
$^{150}\text{Nd } 2\nu\beta\beta \rightarrow 0^+$, BTD training, eey channel

	Variable	Importance
1	E_γ	2.116e-01
2	E_{TOT}	1.255e-01
3	$\cos(\gamma e_{\min})$	9.169e-02
4	$(E_{e\max} - E_{e\min})/E_{2e}$	8.998e-02
5	$\cos(ee)$	8.917e-02
6	$\cos(\gamma e_{\max})$	8.768e-02
7	$E_{e\min}$	8.471e-02
8	E_{2e}	7.729e-02
9	$E_{e\max}$	6.869e-02
10	Sign_{\max}	3.761e-02
11	Sign_{\min}	3.608e-02



$^{150}\text{Nd } 2\nu\beta\beta \rightarrow 0^+_1$ measurement in eey channel

NEMO-3 eey ^{150}Nd , 36.6 g, 5.25 y

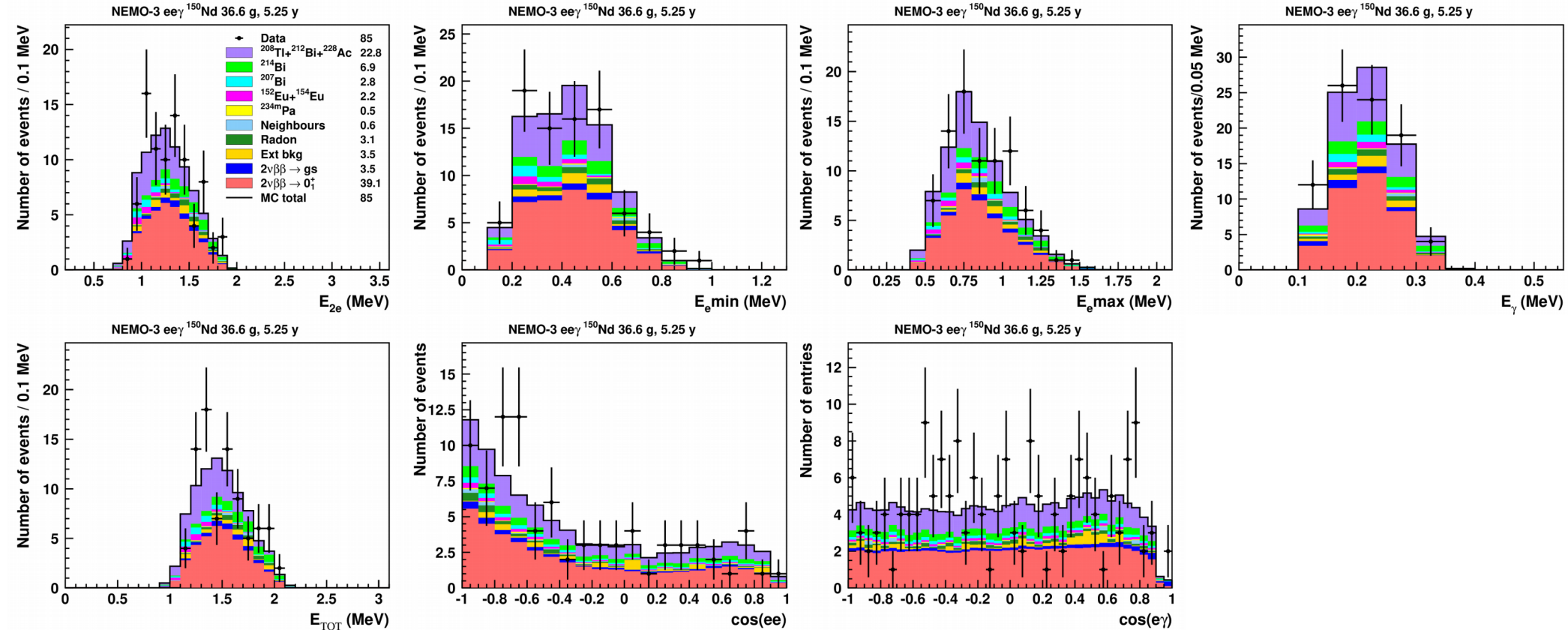


Cut out events with low BDT score values to maximize the signal significance

Data= 85, B=45.9, $\epsilon=0.882\%$, $S=\text{Data}-B=31.9$
 $S/B=0.85$, $S/\sqrt{(S+B)}=4.24$

$$T_{1/2}^{2\nu}(0^+_1) = [1.21^{+0.37}_{-0.23} (\text{stat}) \quad ^{+0.26}_{-0.20} (\text{syst})] \times 10^{20} \text{ y}$$

Distributions $ee\gamma$ events after BDT cut



$^{150}\text{Nd } 2\nu\beta\beta \rightarrow 0^+_1, \text{ eey}\gamma, \text{ eey} \text{ and mean value}$

$$T_{1/2}^{2\nu}(0^+_1) = [1.04^{+0.24}_{-0.16} (\text{stat})^{+0.12}_{-0.11} (\text{syst})] \times 10^{20} \text{ y} \quad \text{eey}\gamma$$

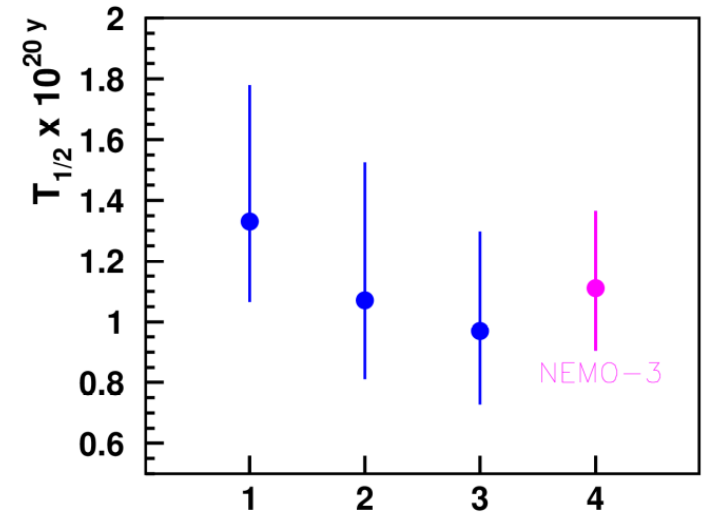
$$T_{1/2}^{2\nu}(0^+_1) = [1.21^{+0.37}_{-0.23} (\text{stat})^{+0.26}_{-0.20} (\text{syst})] \times 10^{20} \text{ y} \quad \text{eey}$$

$$T_{1/2}^{2\nu}(0^+_1) = [1.11^{+0.19}_{-0.14} (\text{stat})^{+0.17}_{-0.15} (\text{syst})] \times 10^{20} \text{ y} \quad \text{mean}$$

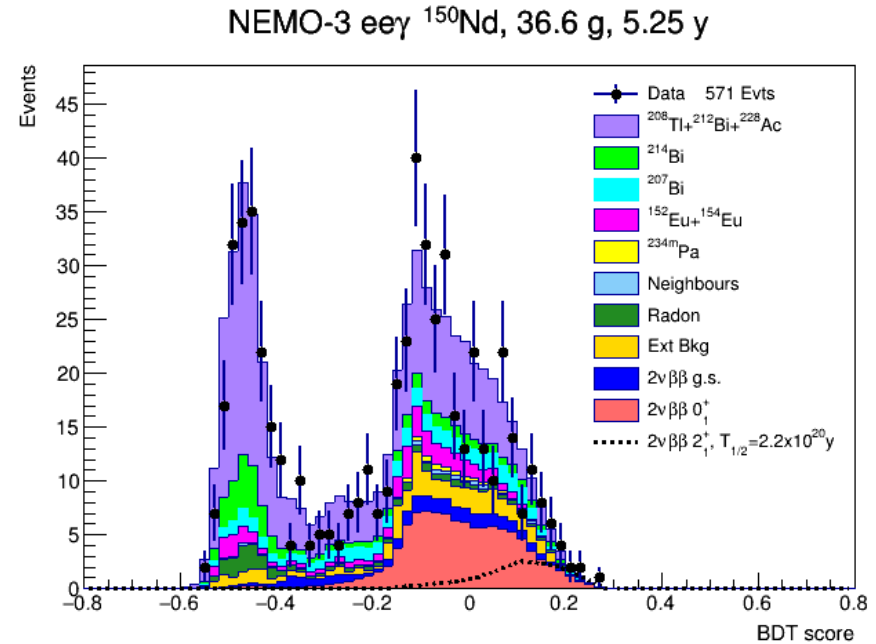
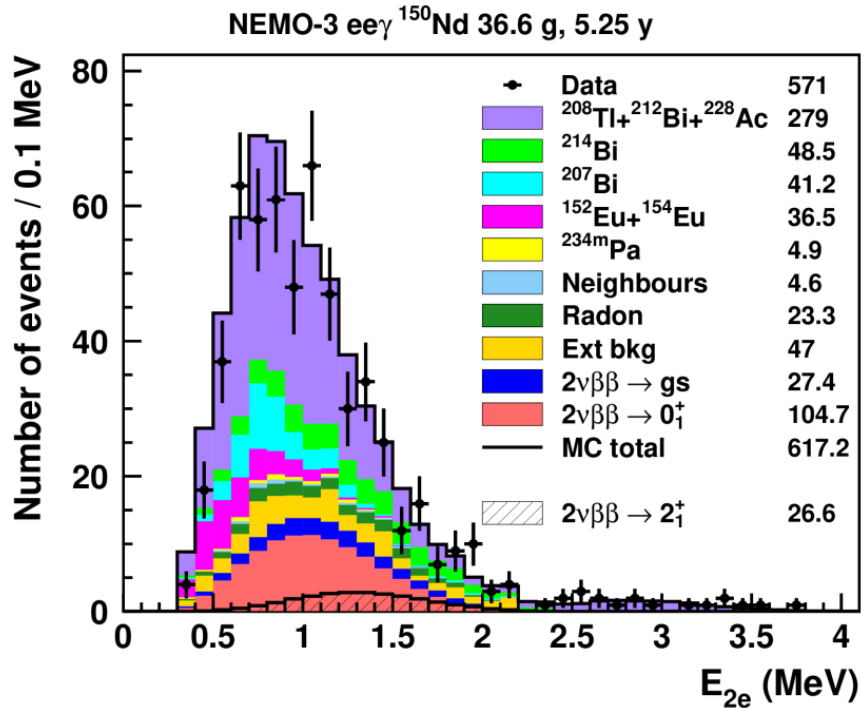
$N\sigma_{\text{tot}}$	$N\sigma_{\text{stat}}$	S/B	Eff, %	Channel
4.73	5.37	2.82	0.761	eey γ
3.39	4.24	0.85	0.882	eey
5.07	6.83	1.31	1.643	eey γ +eey

Compared to HPGe results

- [1] [A.S.Barabash et al, Phys.Rev. C79 \(2009\)054606](#)
- [2] [M.F.Kidd et al, Phys.Rev. C90\(2014\)055501](#)
- [3] [O.G.Polischuk et al, Phys.Scr.96\(2021\)08532](#)



$^{150}\text{Nd } 2\nu\beta\beta \rightarrow 2^+_1, \text{ eey channel}$



$$\text{eff}^{2\nu}(2^+_1) = 1.10 \%$$

Data-MC = -46.2 events

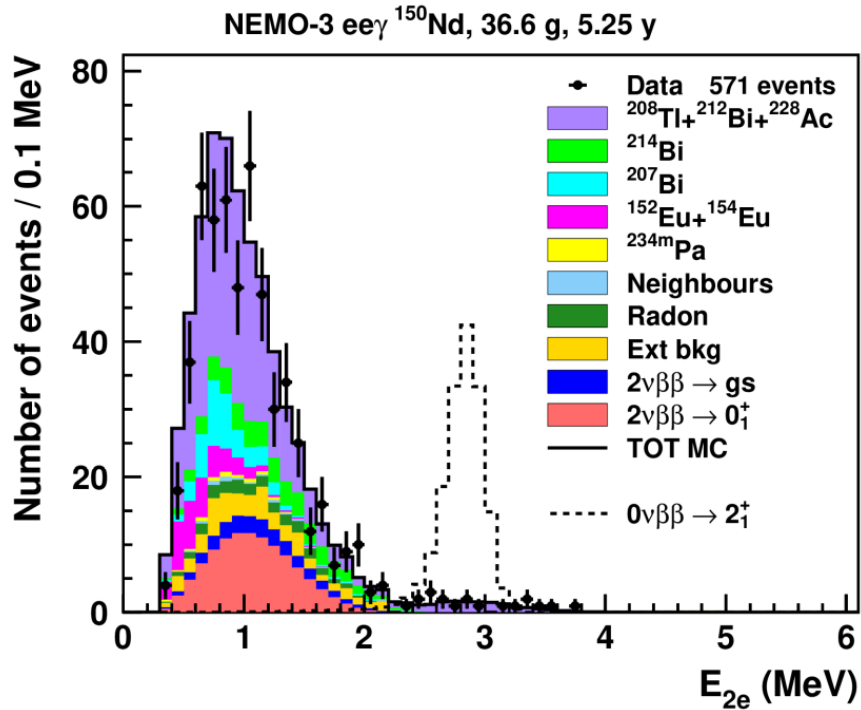
No room for 2^+_1

$$1 - \text{CL}_b = 1 - 0.3996 = 0.6004$$

Expected $T_{1/2} > 2.21 \times 10^{20} \text{ y}$

Observed $T_{1/2} > 2.42 \times 10^{20} \text{ y}$

$^{150}\text{Nd } 0\nu\beta\beta \rightarrow 2^+_1, \text{ eey channel}$

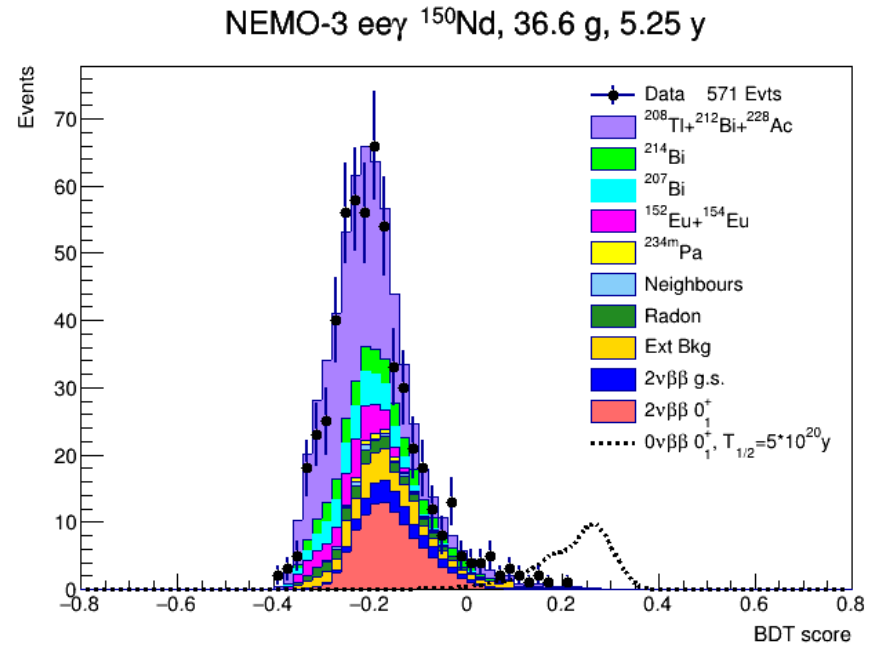


$$\text{eff}^{0\nu}(2^+_1) = 6.89\%$$

$$2.4 \text{ MeV} < E_{2e} < 3.2 \text{ MeV}$$

Data = 12 events

MC = 11.6 events

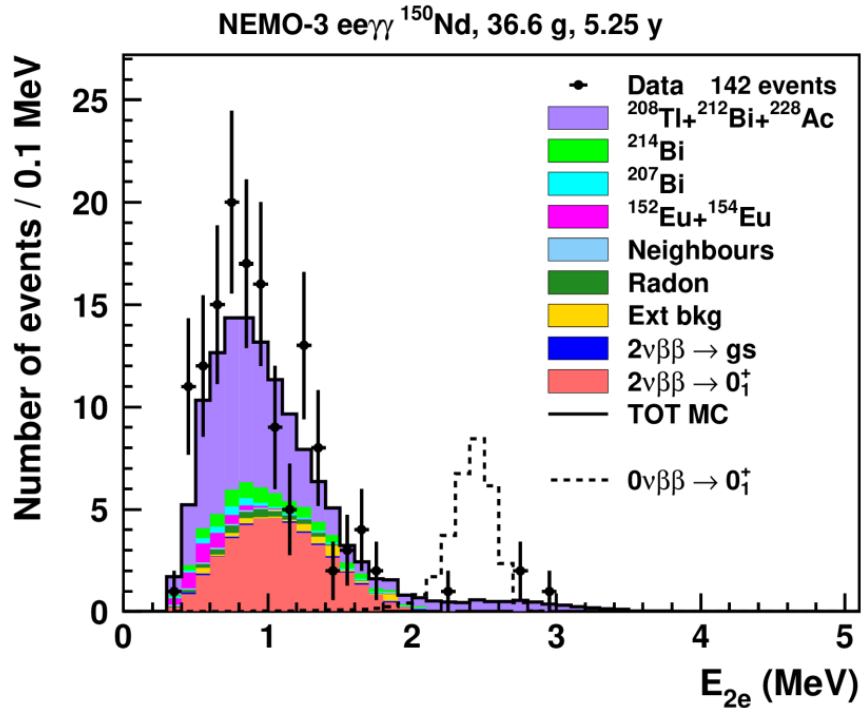


$$1 - \text{CL}_b = 1 - 0.3640 = 0.636$$

$$\text{Expected } T_{1/2} > 1.01 \times 10^{22} \text{ y}$$

$$\text{Observed } T_{1/2} > 1.26 \times 10^{22} \text{ y}$$

Search for $^{150}\text{Nd } 0\nu\beta\beta \rightarrow 0^+_1 e e \gamma \gamma, e e \gamma$

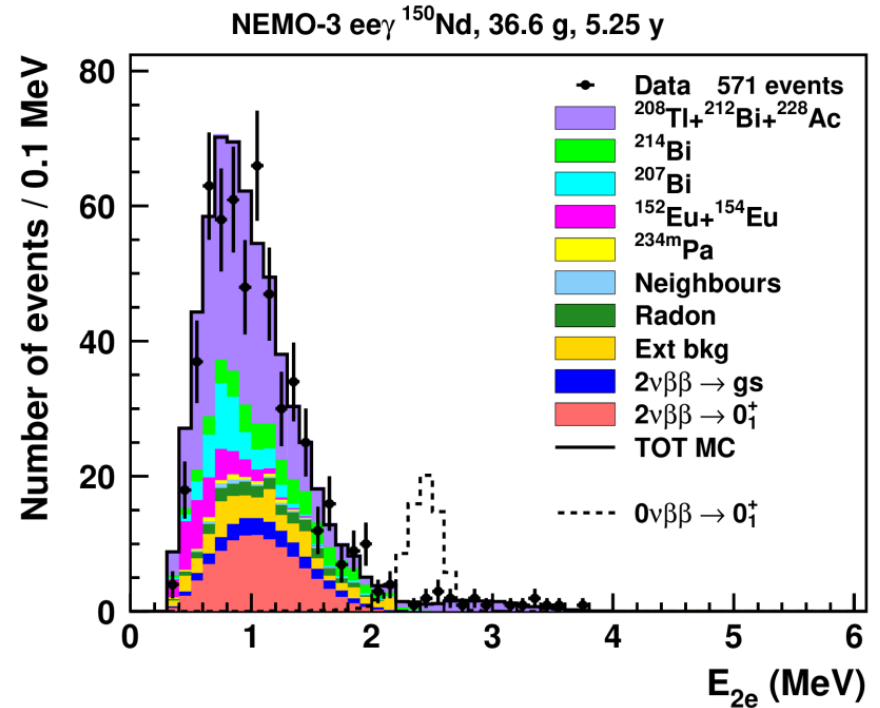


$e e \gamma \gamma$ $\text{eff}^{0\nu}(0^+_1) = 4.89\%$

$2 \text{ MeV} < E_{2e} < 2.8 \text{ MeV}$

Data = 3 events

MC = 4.2 events



$e e \gamma$ $\text{eff}^{0\nu}(0^+_1) = 7.02\%$

$2 \text{ MeV} < E_{2e} < 2.8 \text{ MeV}$

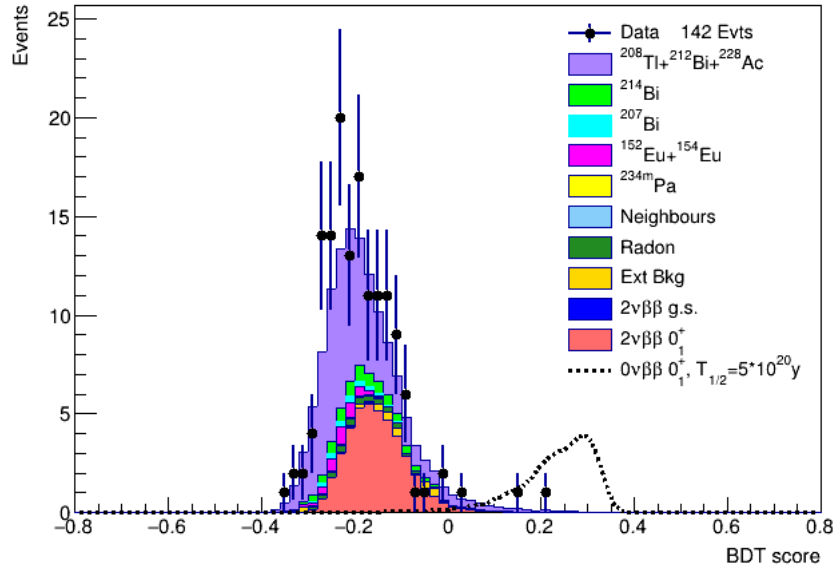
Data = 16 events

MC = 16.1 events

No any data excess in the region of interest for the 0ν signal

90% C.L. limit on $^{150}\text{Nd } 0\nu\beta\beta \rightarrow 0^+_1 e e \gamma \gamma, e e \gamma$

NEMO-3 $e e \gamma \gamma$ ^{150}Nd , 36.6 g, 5.25 y



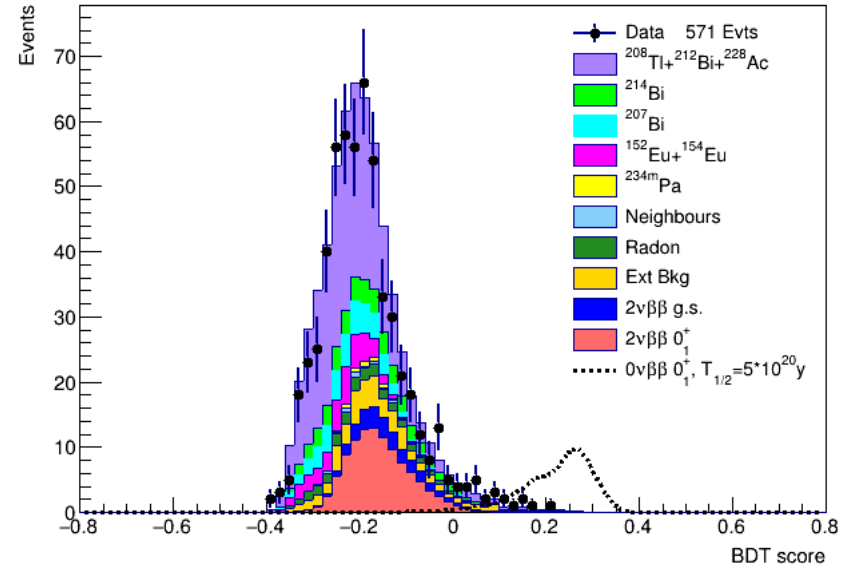
$e e \gamma \gamma$

$$1-CL_b = 1-0.6256 = 0.3744$$

$$\text{Expected } T_{1/2} > 6.0 \times 10^{21} \text{ y}$$

$$\text{Observed } T_{1/2} > 5.2 \times 10^{21} \text{ y}$$

NEMO-3 $e e \gamma$ ^{150}Nd , 36.6 g, 5.25 y



$e e \gamma$

$$1-CL_b = 1-0.6421 = 0.3575$$

$$\text{Expected } T_{1/2} > 9.21 \times 10^{21} \text{ y}$$

$$\text{Observed } T_{1/2} > 9.97 \times 10^{21} \text{ y}$$

$e e \gamma \gamma + e e \gamma$

$$1-CL_b = 1-0.6550 = 0.345$$

$$\text{Expected } T_{1/2} > 1.31 \times 10^{22} \text{ y}$$

$$\text{Observed } T_{1/2} > 1.36 \times 10^{22} \text{ y}$$

Summary

- For the first time the $\beta\beta$ decay of ^{150}Nd to the 0^+_1 excited state of ^{150}Sm has been detected with the signal significance exceeding 5σ . Most accurate for the date measurement of the decay half life has been produced:

$$T_{1/2}^{2\nu}(0^+_1) = [1.11^{+0.19}_{-0.14} \text{ (stat)} \quad ^{+0.17}_{-0.15} \text{ (syst)}] \times 10^{20} \text{ y}$$

- The limit on $2\nu\beta\beta$ decay to the 2^+_1 excited state is slightly improved:

$$T_{1/2}^{2\nu}(2^+_1) > 2.42 \times 10^{20} \text{ y} \quad @ 90\% \text{ C.L.}$$

- No evidence has been found for the neutrinoless $\beta\beta$ decay:

$$T_{1/2}^{0\nu}(0^+_1) > 1.36 \times 10^{22} \text{ y} \quad @ 90\% \text{ C.L.}$$

$$T_{1/2}^{0\nu}(2^+_1) > 1.26 \times 10^{22} \text{ y} \quad @ 90\% \text{ C.L.}$$

Backup slides

90% C.L. limit on $^{150}\text{Nd } 0\nu\beta\beta \rightarrow 0^+_1$

The obtained low half limit $T_{1/2}^{0\nu}(0^+_1) > 1.36 \times 10^{22}$ y corresponds to the upper limit on the effective Majorana neutrino mass

$$\langle m_\nu \rangle < 6 - 43 \text{ eV}$$

According to the NME calculations:

J. M. Yao and J. Engel, Phys. Rev. C 94(2016)014306

L.S. Song et al., Phys. Rev. C 90 (2014) 054309

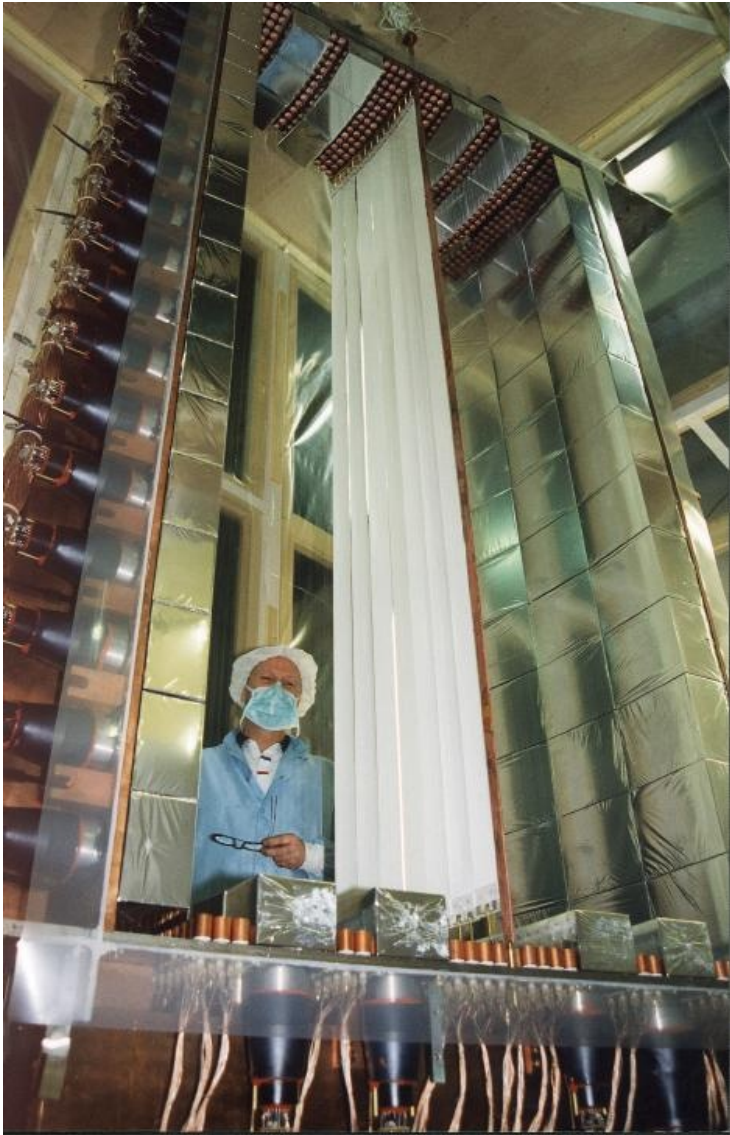
J. Barea, J. Kotila, and F. Iachello, Phys. Rev. C 87(2013)014315

J. Beller et al., Phys. Rev. Lett. 111(2013)172501.

That is less stringent compared to our results for the ground state transition

$$T_{1/2}^{0\nu}(\text{g.s.}) > 2.0 \times 10^{22} \text{ y}, \quad \langle m_\nu \rangle < 1.6 - 5.3 \text{ eV} \quad \textit{R. Arnold et al., Phys. Rev. D 94(2016)072003}$$

NEMO-3 detector



Source foils of Sector 5

46.64 g of Nd_2O_3 powder mixed with 8% of PVA glue were uniformly distributed between two layers of mylar to produce the composite foil with the total mass of 57.15 g. The size of foil was 2484 mm in length, 65 mm in width.

The ^{150}Nd composite strip was located in the NEMO-3 sector 5 at the position of foil 6 between the composite ^{100}Mo (foil 5) and the foil containing ^{96}Zr and ^{48}Ca (foil 7).

The enrichment factor in ^{150}Nd is $91 \pm 0.5 \%$, ^{150}Nd mass = $36.6 \pm 0.2 \text{ g}$

