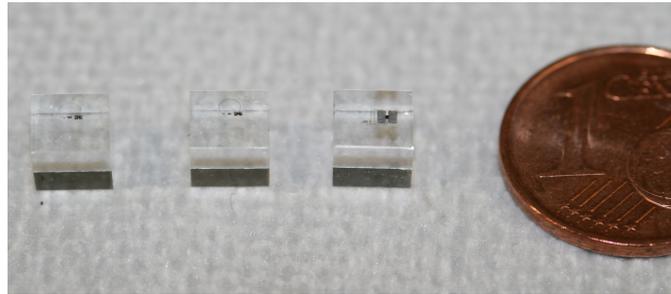


Above-ground operation of 0.5 g Al_2O_3 cryogenic detector prototypes for NUCLEUS

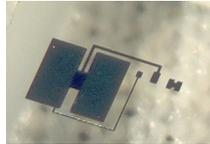


Johannes Rothe,
Technical University Munich

EXCESS workshop, 15-16 June 2021

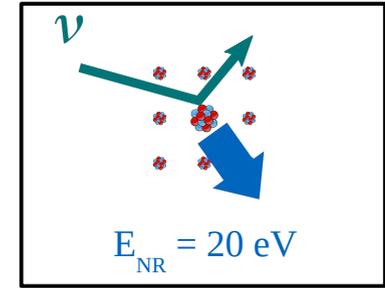
NUCLEUS overview

- Physics goal: study CEvNS of reactor neutrinos (Chooz, France)
- Detectors: gram-scale cryogenic calorimeters
- Sensor technology: tungsten TES + aluminum phonon collectors, $\sim 15\text{mK}$

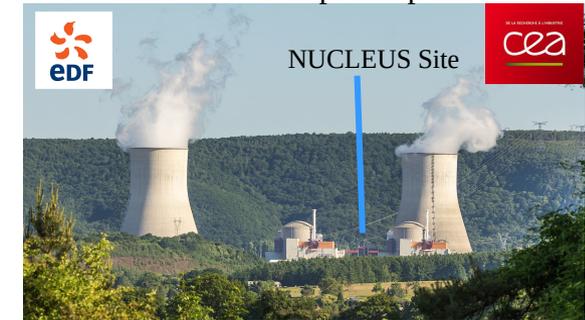


developed within
CRESST experiment

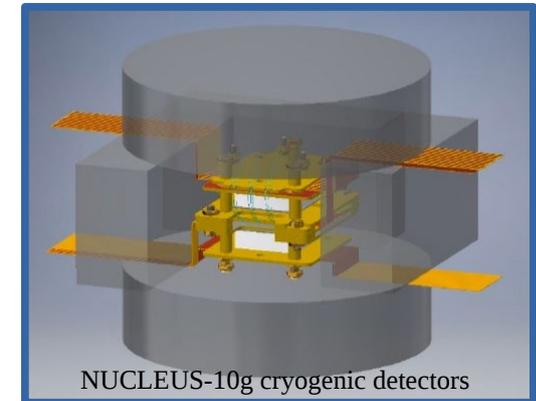
- Prototype performance: 20 eV nuclear recoil threshold
- First physics phase: 2023, 10g target array ($\text{Al}_2\text{O}_3 + \text{CaWO}_4$)



Chooz nuclear power plant



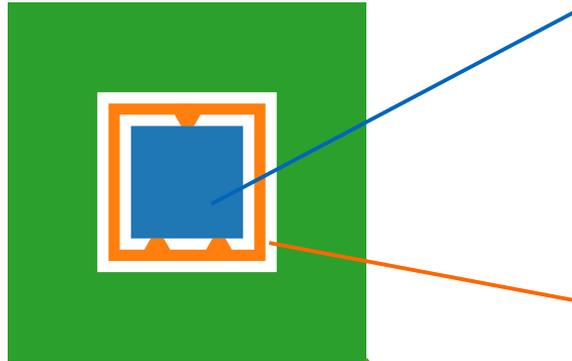
“Very-Near-Site”: 72m & 102m



Detector Concept & Prototypes

NUCLEUS detector concept

“Fiducial Volume Cryogenic Detector”



Gram-scale cryogenic calorimeters:

ultra-low energy threshold

Cryogenic inner veto:

instrumented holder & surface veto

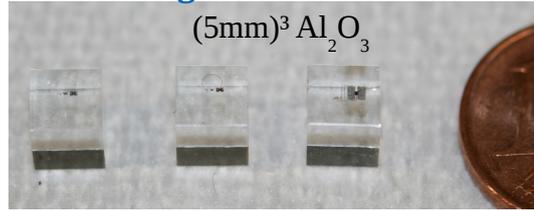
Cryogenic outer veto:

massive anticoincidence veto

NUCLEUS – 1g prototype

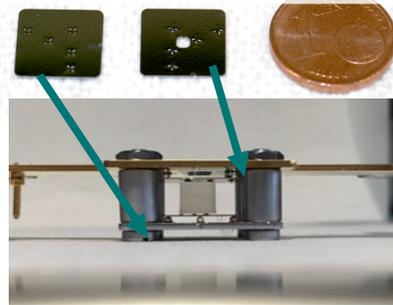
Target calorimeters:

(5mm)³ Al₂O₃



Cryogenic inner veto:

flexible silicon wafers: 200μm



Cryogenic outer veto: (not discussed here)



silicon calorimeters (200g)

Overview of measurements

Run 1		Feb 2017	first NUCLEUS target prototype (no vetos)
Run 2		Jan 2018	silicon supports, calibration + background
Run 3		Mar 2018	outer veto test
Run 4		Mar 2019	active target + active inner veto

References:

Run1:

Phys Rev D, 96(2):022009, 2017, arxiv:1704.04317,
EPJ C, 77(8):506, 2017, arxiv:1704.04320,
EPJ C, 77(9):637, 2017, arxiv:1707.06749,
data public

Run4:

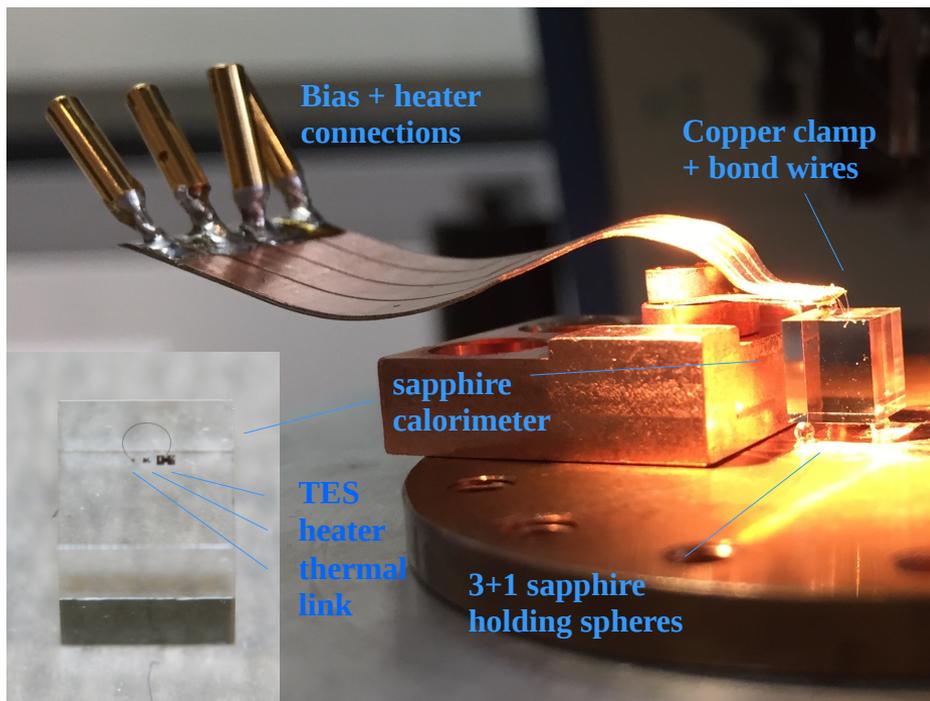
J Low Temp Phys 199, 433–440 (2020)

all runs: described in

J. Rothe, PhD thesis (2021),

mediatum.ub.tum.de/?id=1576351

Run 1 detector configuration



Target detector: $(5\text{mm})^3$ 0.49g Al_2O_3 calorimeter

Operation environment: bare crystal operated in dilution refrigerator, above ground (MPP Munich), held by Al_2O_3 spheres, surrounded by copper surfaces

Calibration source: ^{55}Fe (x-rays 5.9/6.5 keV), implanted metal stripe, kapton tape cover, ~ 0.07 Hz on target

Run 1 analysis procedure

Pulse model:

athermal phonon lifetime:
0.3 ms
TES relaxation time:
3.5 ms
crystal relaxation time:
28.2 ms

Dynamic range:

19.7 eV (threshold)
600 eV (linear range)
12 keV (upper limit of
energy reconstruction)

Energy reconstruction:

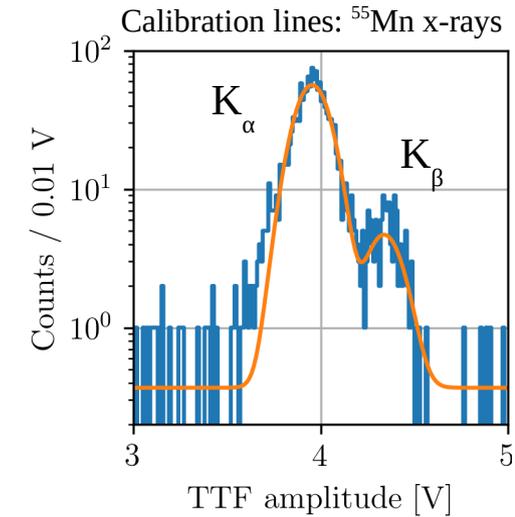
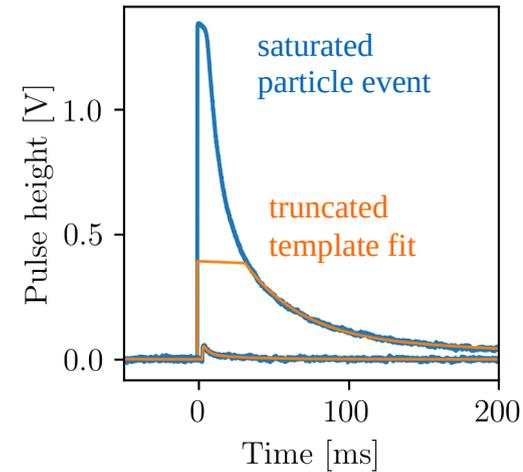
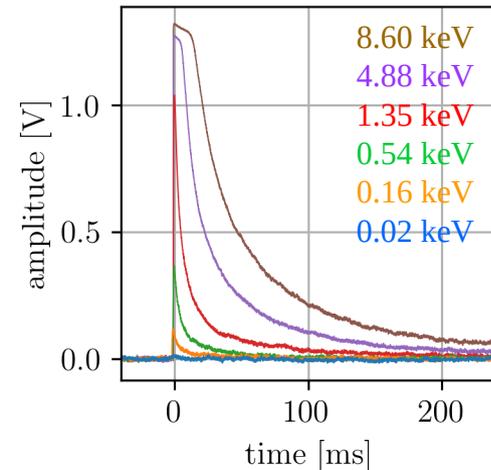
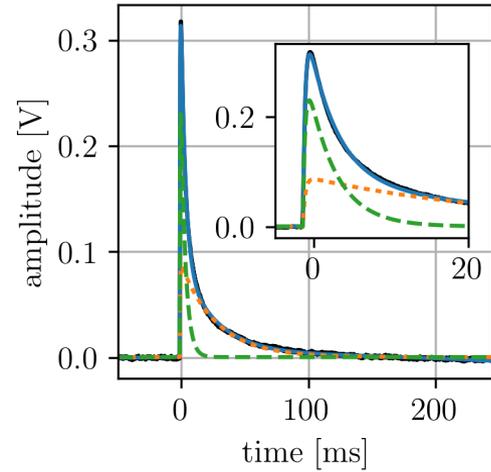
Truncated template fit (TTF):

- fit only pulse samples below “truncation limit” 0.4V = ~600 eV
- allows energy reconstruction into saturation
- used for calibration at 5.9 keV

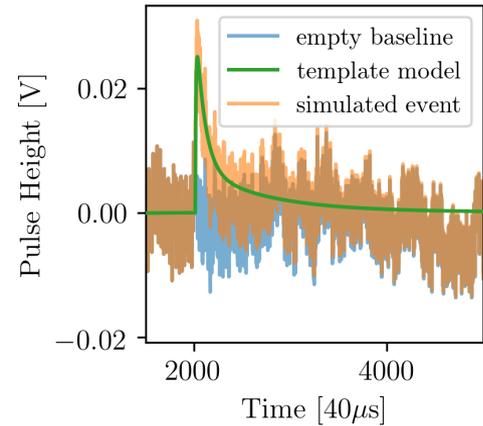
Optimum Filter (OF):

- weights frequency components by S/N ratio
- no treatment of non-linearity
- used for energy reconstruction up to 600eV

- optimum filter zero-energy resolution:
 $\sigma_{\text{OF}} = 3.84 \pm 0.16 \text{ eV}$



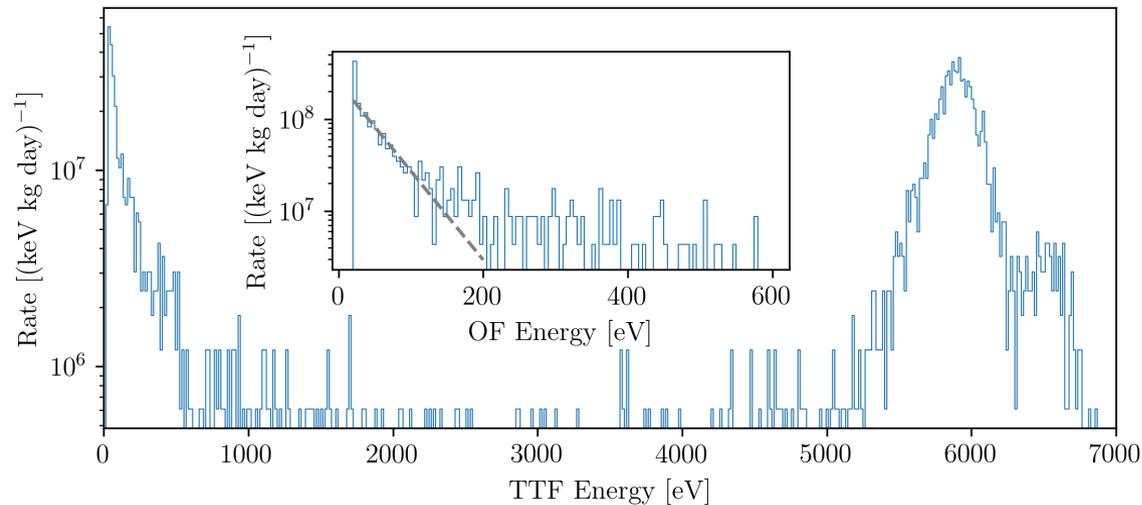
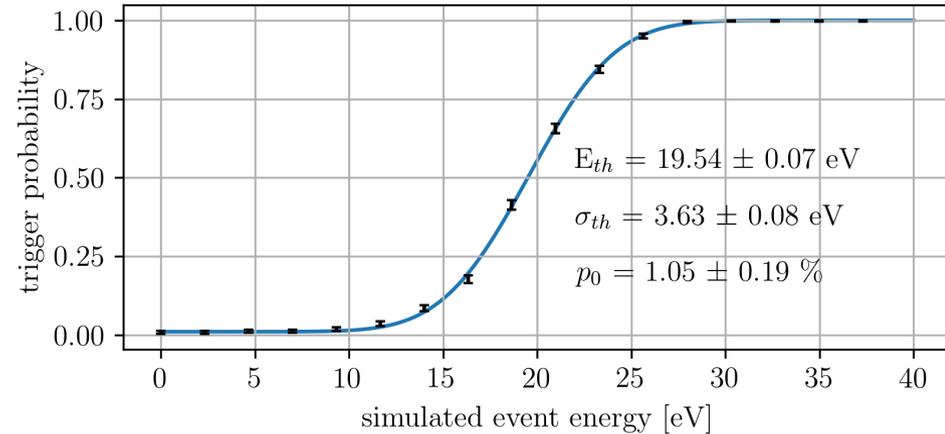
Run 1 spectrum, trigger efficiency



Trigger efficiency simulation:
simulated events from random triggers
("empty baselines")

passed through OF trigger

amplitude scan of simulated events



Final event spectra:

ROI: reconstructed with OF (linear range <600 eV)

"high energy" spectrum: < 10 keV, TTF reconstruction

Low energy rise parameters ("guide for the eye")

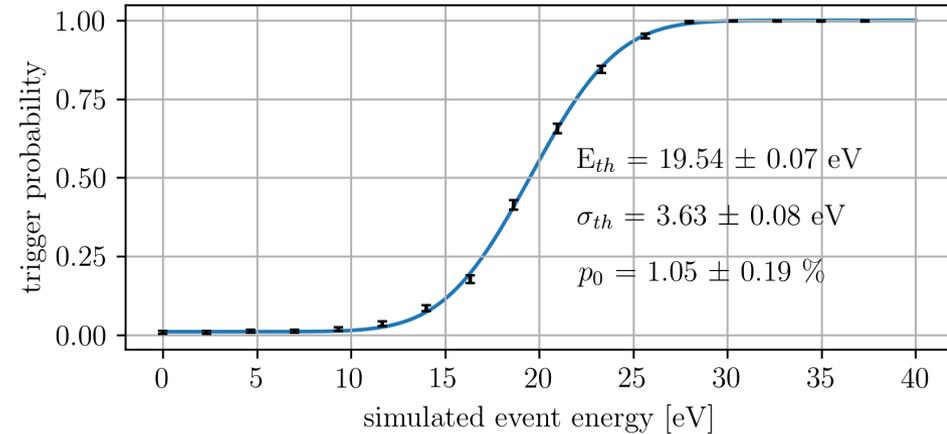
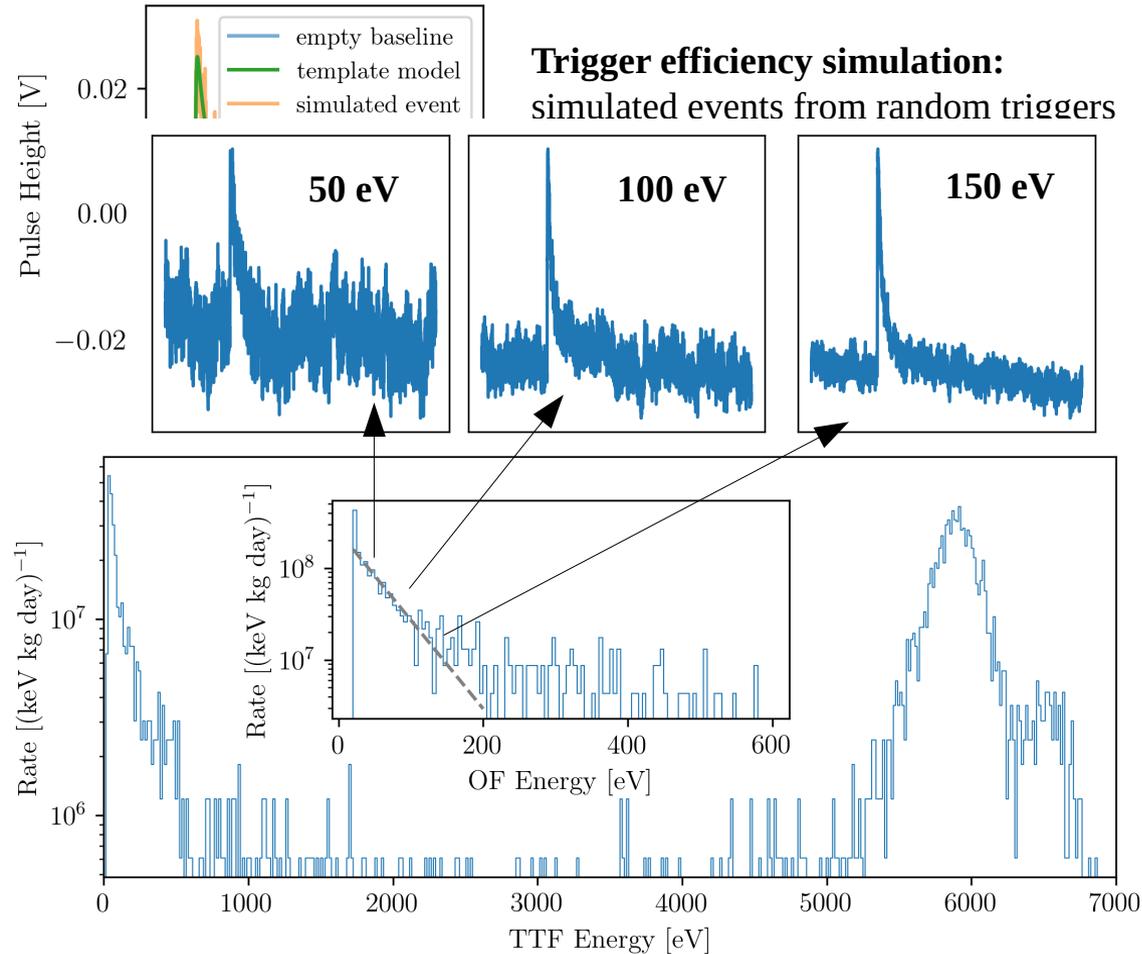
single exponential: $R/E_0 \cdot \exp(-E/E_0)$

Slope: $E_0 \sim 45$ eV,

Integral rate: $R \sim 10^4$ /g/day, or ~ 130 /kg/s, or $\sim 1/(16$ s)

→ dark matter search: **CRESST surface 2017**,
EPJ C, 77(9):637, 2017. arxiv:1707.06749

Run 1 spectrum, trigger efficiency



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→ dark matter search: **CRESST surface 2017**,
EPJ C, 77(9):637, 2017. arxiv:1707.06749

Run 1, ambient gamma simulation

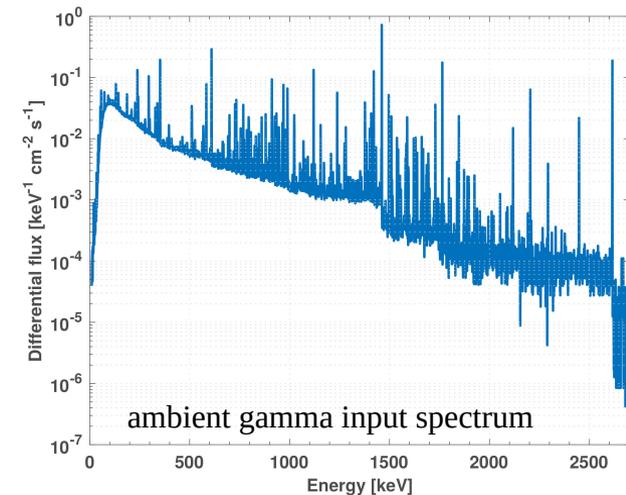
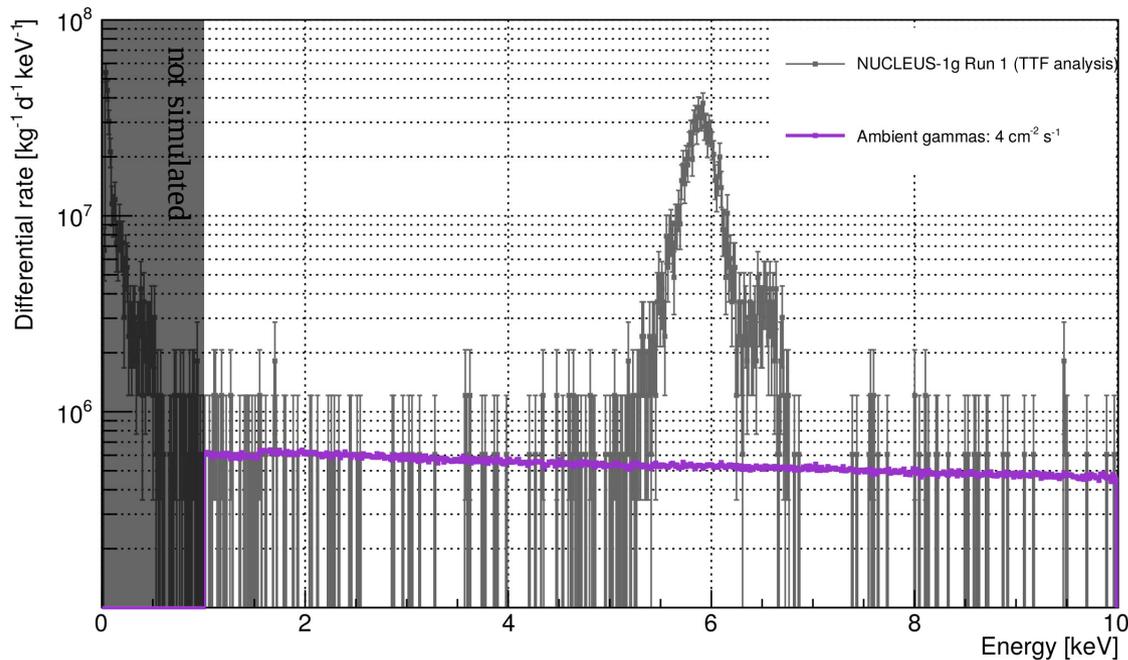
Geant4 simulation:

simulated geometry: bare crystal only, no holder/cryostat

gamma spectrum: similar to surface measurements

energy range: above 1 keV (below: dependence on setup geometry)

normalisation adjusted to match background rate above and below iron source: $4 \text{ } \gamma/\text{cm}^2/\text{s}$



Conclusions:

Obtained ambient gamma flux matches typical values at sea level

absolute fluxes of muons and nucleonic cosmic ray secondaries (n,p) are $>100\times$ smaller

Background above 1 keV most likely dominated by ambient gamma rays

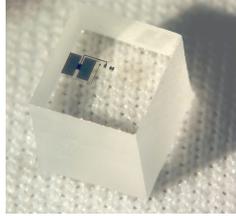
sub-keV background:
more simulation and data needed

Run 2 detector layout

Silicon detector holder



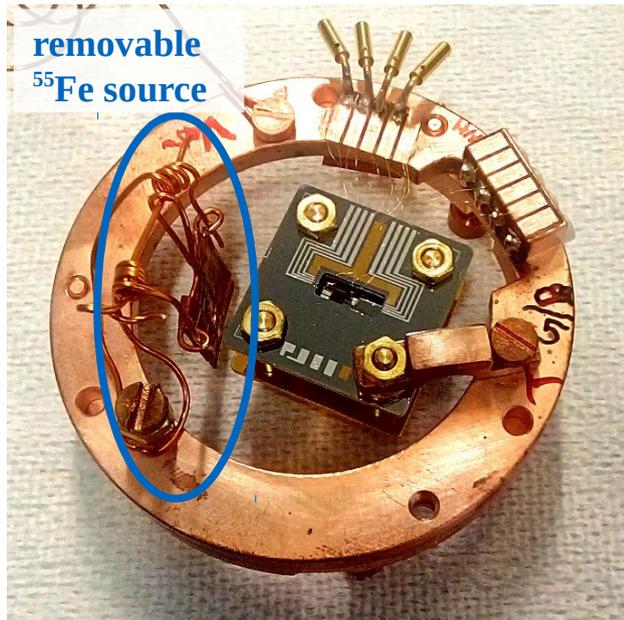
Large-area TES



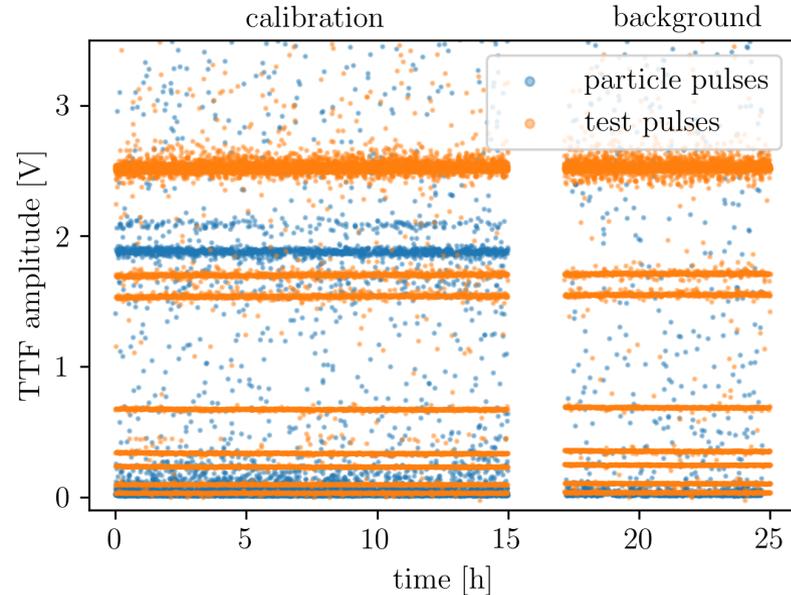
Operation environment: similar cryogenic setup at MPP Munich

New detector holder: silicon structure (covering $\sim 66\%$ of solid angle)
inner veto detectors not instrumented

New TES design: zero-energy resolution $\sigma_{\text{OF}} = 2.92 \pm 0.11$ eV

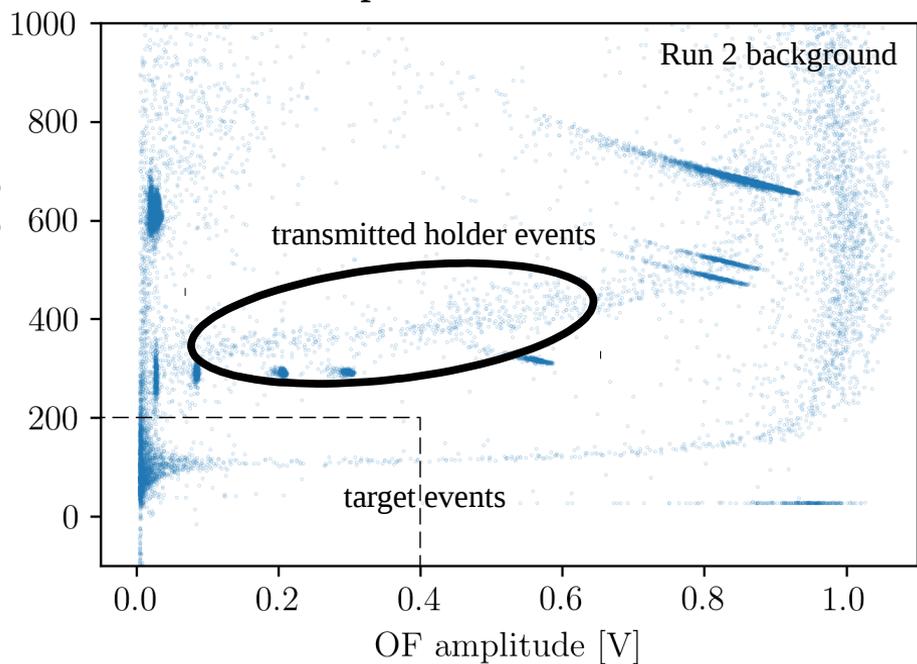


Removable calibration source: pull-string mechanism



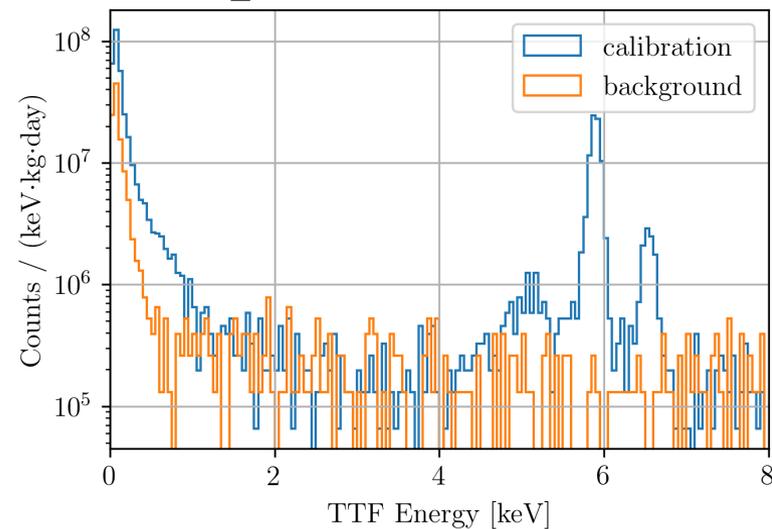
Run 2 calibration & background spectra

New event class: phonon transmission from holder

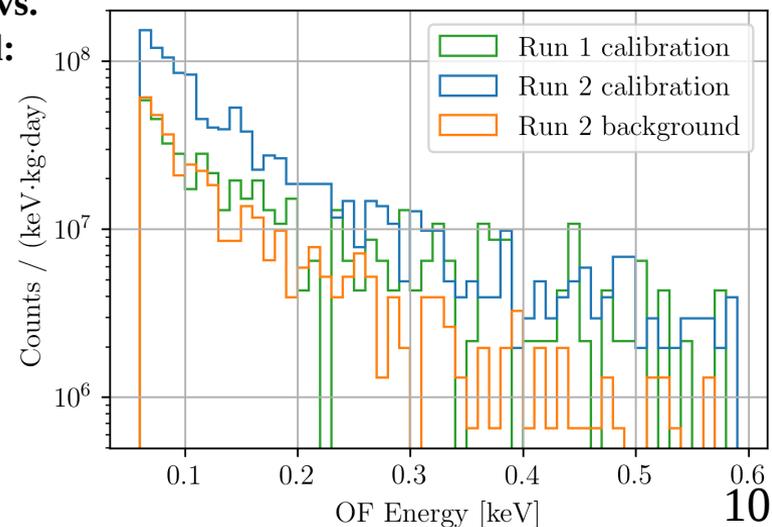


Run 2 calibration vs. background:

same cuts, no simulation of efficiencies



Run 1 calibration vs. Run 2 background:



- Calibration source not responsible for low-energy background
- Some source configurations do contribute to low-energy events

Run 4: target + inner veto

Operation environment: same as Run1

Detector holder: same as Run 2

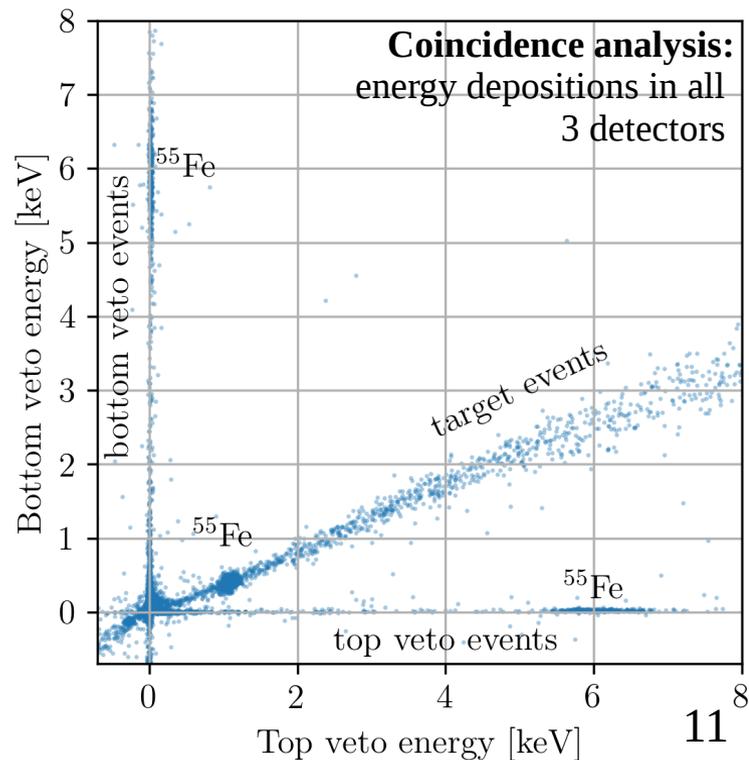
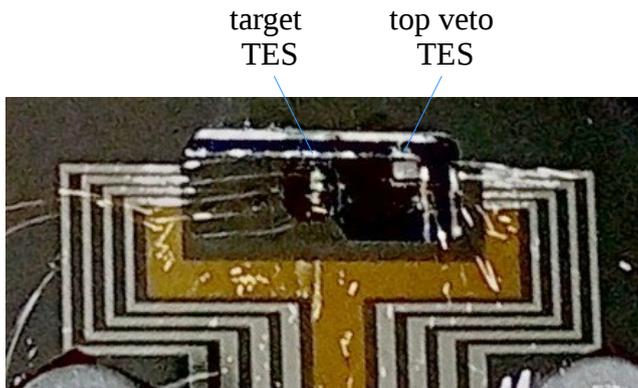
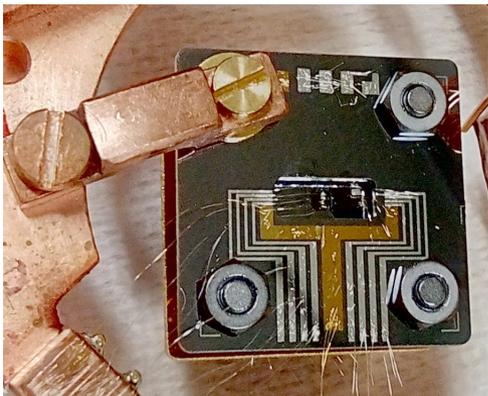
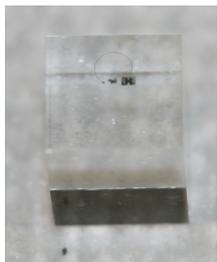
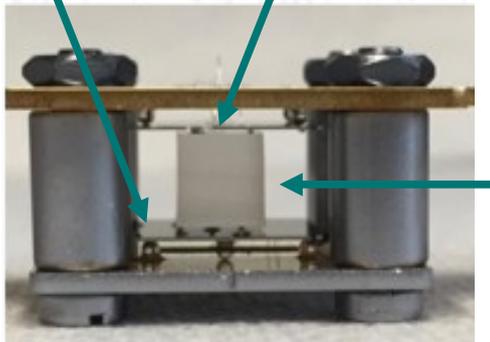
Operating calorimeters: target, veto top, veto bottom

Anticoincidence veto: external low-energy particle and surface background

Zero-energy resolutions:

$$\sigma_{\text{OF}} = (9.6, 14.7, 8.3) \text{ eV}$$

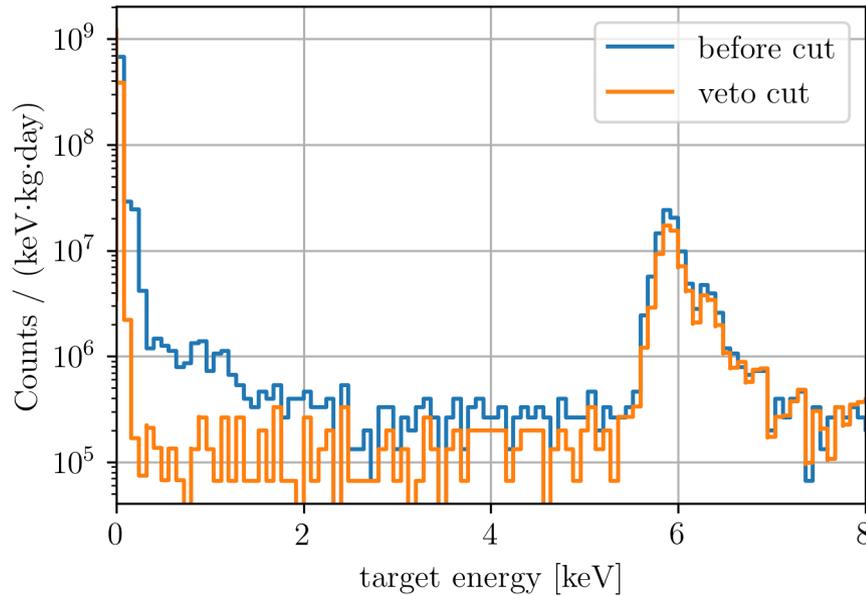
→ 3x threshold < 100 eV



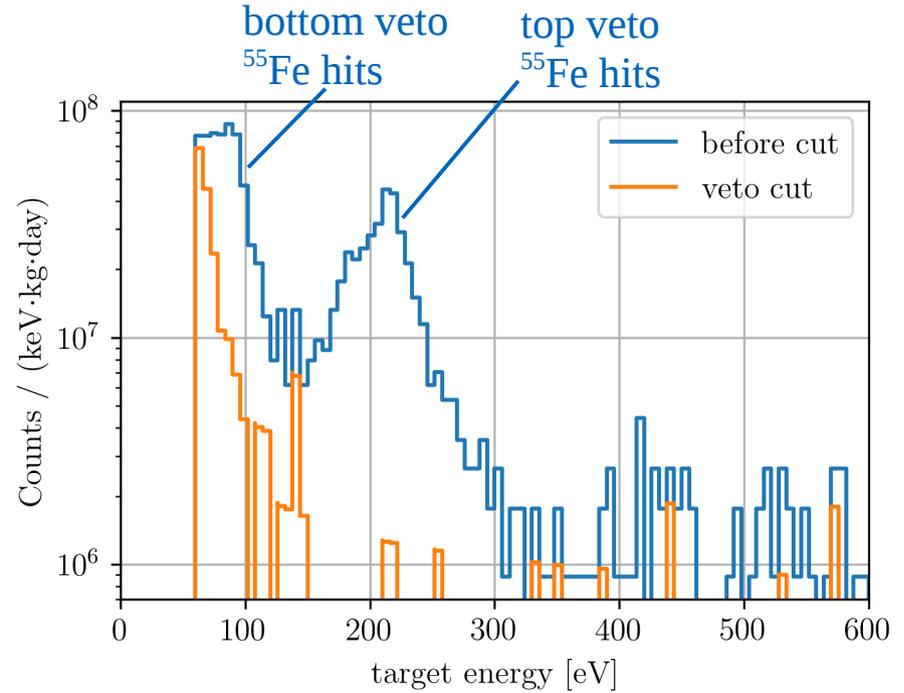
Run 4 spectra, effect of vetos

Anticoincidence-cut effect on sub-keV spectrum:

incl. simulated veto cut efficiency: $\sim 50\%$ @150eV, $\sim 20\%$ @60eV



Inner veto cleans spectrum significantly:
flat from 5 keV down to ~ 150 eV



Features from ⁵⁵Fe events in vetos removed
Sharp rise remains below ~ 100 eV

Conclusions

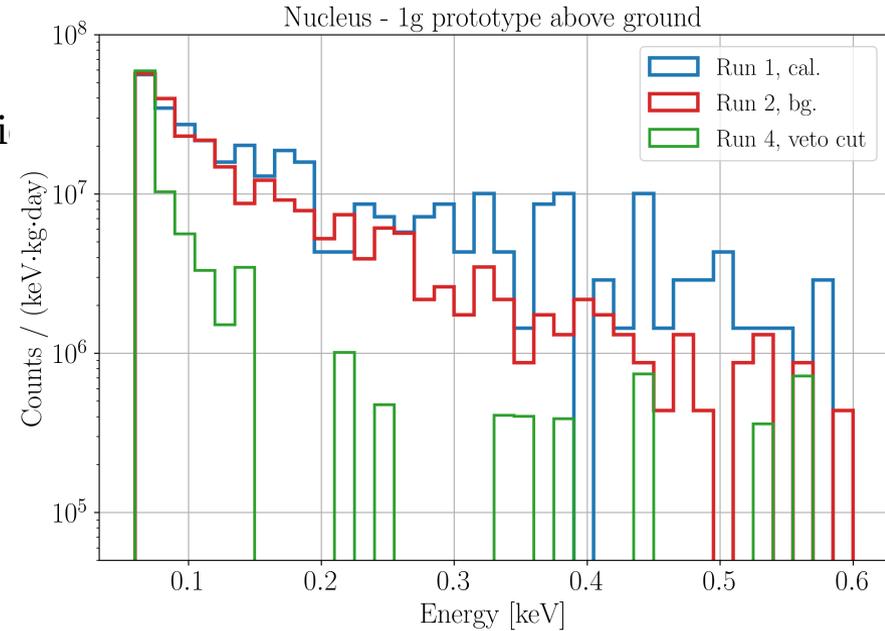
NUCLEUS early prototype measurements, above ground, no shielding

Run 1:

- bulk of keV-scale events explainable by ambient gamma radiation
- sharp rise in event rate below ~ 250 eV

Run 2: calibration source is not responsible for these events, visible also in background data

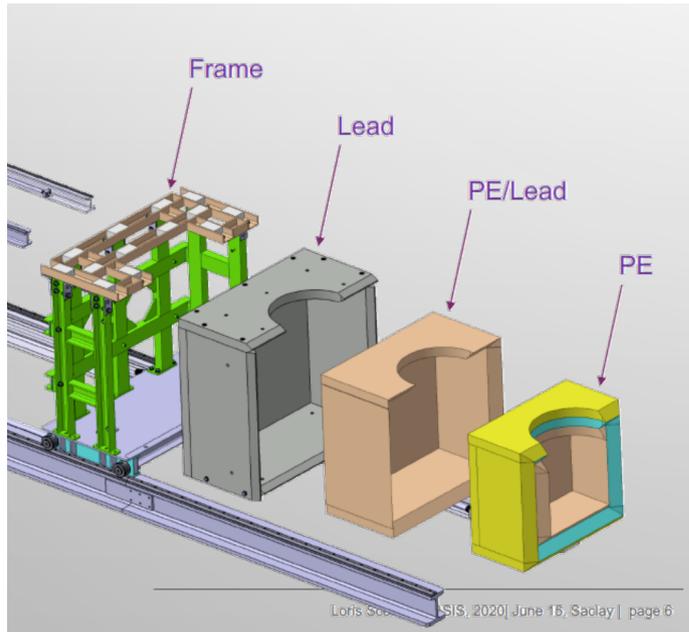
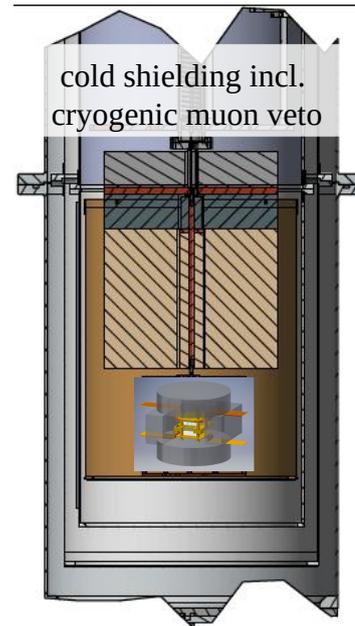
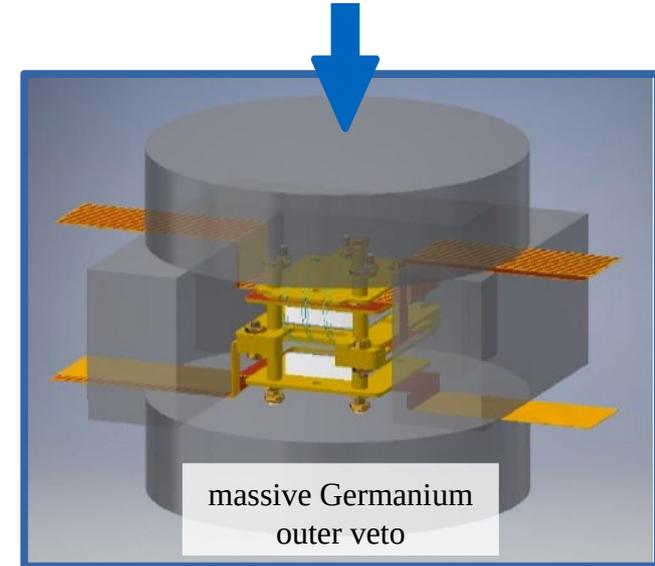
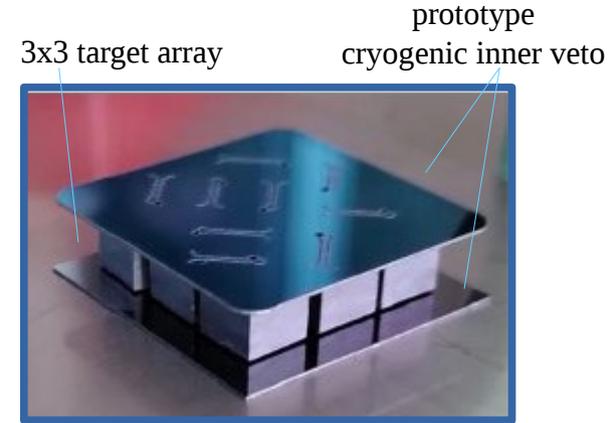
Run 4: active surface veto (partial coverage) appears to push onset below ~ 150 eV, but does not remove rise towards threshold



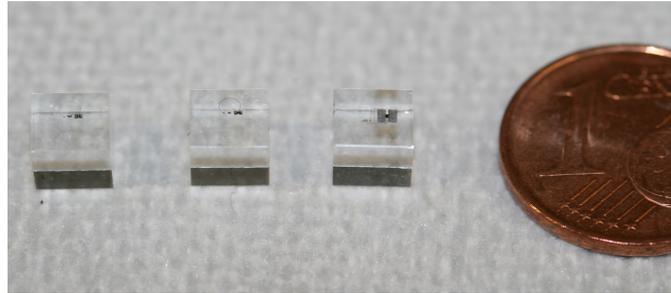
Outlook

**Developments for NUCLEUS-10g
crucial to investigation of low-energy background:**

- 4π surface veto (cryogenic inner veto)
- Ge anticoincidence veto (cryogenic outer veto)
- Setup with dedicated Pb+PE shielding
- High-efficiency muon veto
- “blank assembly” in shallow underground lab at TUM
- background simulations for the full setup



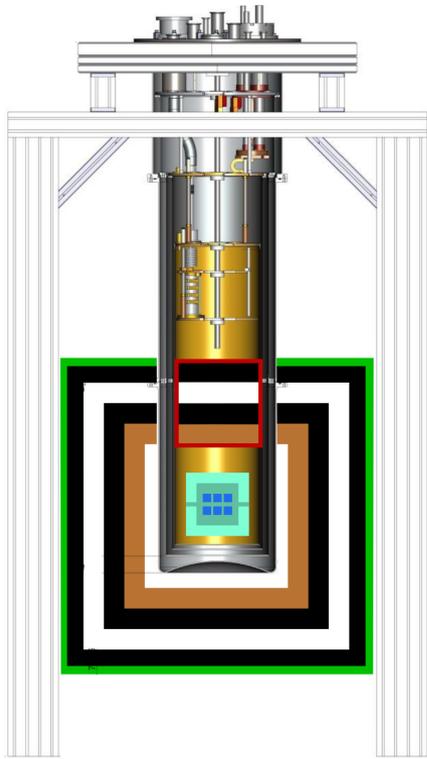
Thank you for your attention!



Johannes Rothe,
Technical University Munich

EXCESS workshop, 15-16 June 2021

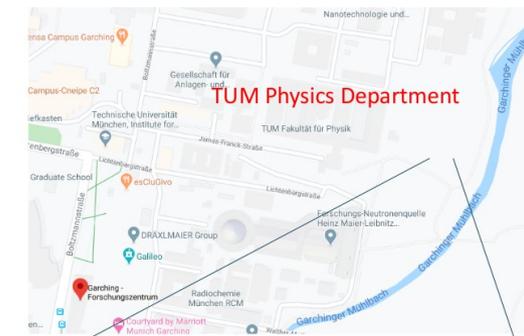
NUCLEUS Schedule



2021: commissioning of individual components

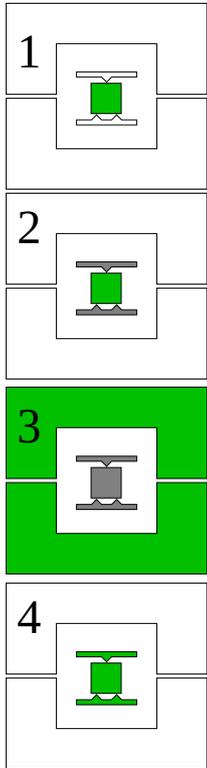
2022: blank assembly of NUCLEUS-10g at TUM UGL shallow underground laboratory

2023: relocation to Chooz (Very-Near-Site) and first physics run



Overview of measurements, prototype components

Components tested:



Run 1	Feb 2017	first NUCLEUS target prototype (no vetos)
Run 2	Jan 2018	silicon supports, calibration + background
Run 3	Mar 2018	outer veto test
Run 4	Mar 2019	active target + inner veto

→ Arxiv: 1704.04317, 1704.04320, 1707.06749, data public

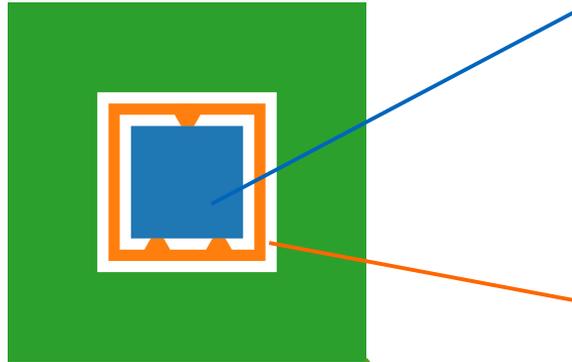
→ J Low Temp Phys 199, 433–440 (2020)

all runs described in J. Rothe, PhD thesis (2021),
mediatum.ub.tum.de/?id=1576351

Detector Concept & Prototypes

NUCLEUS detector concept

“Fiducial Volume Cryogenic Detector”



Gram-scale cryogenic calorimeters:

ultra-low energy threshold

Cryogenic inner veto:

instrumented holder & surface veto

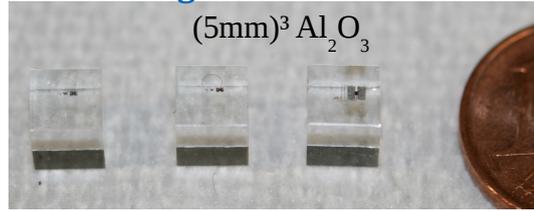
Cryogenic outer veto:

massive anticoincidence veto

NUCLEUS – 1g prototype

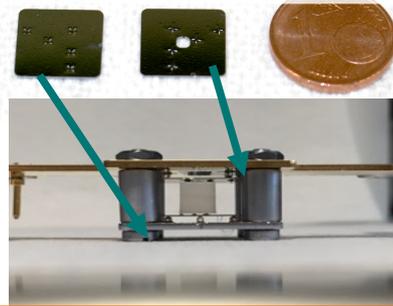
Target calorimeters:

$(5\text{mm})^3 \text{Al}_2\text{O}_3$



Cryogenic inner veto:

flexible silicon wafers: 200 μm



Cryogenic outer veto:

(not discussed here)

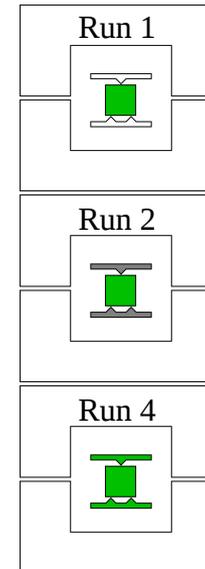


silicon calorimeters (200g)

Overview of measurements

Run	Date	Measurement
Run 1	Feb 2017	first NUCLEUS target prototype (no vetos)
Run 2	Jan 2018	silicon supports, calibration + background
Run 3	Mar 2018	outer veto test
Run 4	Mar 2019	active target + inner veto

Components tested:



References:

Run1:
arxiv: 1704.04317,
1704.04320,
1707.06749,
data public

Run4:
J Low Temp Phys 199,
433–440 (2020)

all runs described in:
J. Rothe, PhD thesis (2021),
mediatum.ub.tum.de/?id=15763518

Backup: analysis details

Run 1 analysis steps: pulse shape, NPS

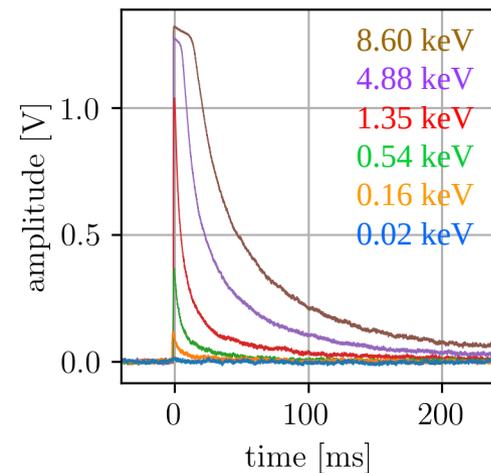
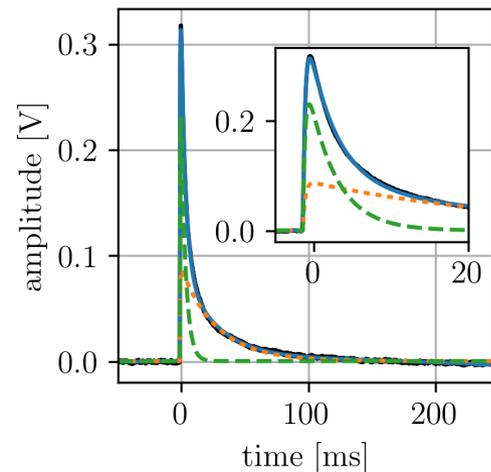
Pulse time-scales:

athermal phonon lifetime: 0.3 ms

TES relaxation time: 3.5 ms

crystal relaxation time: 28.2 ms

plots from: J. Rothe, PhD thesis (2021),
mediatum.ub.tum.de/?id=1576351



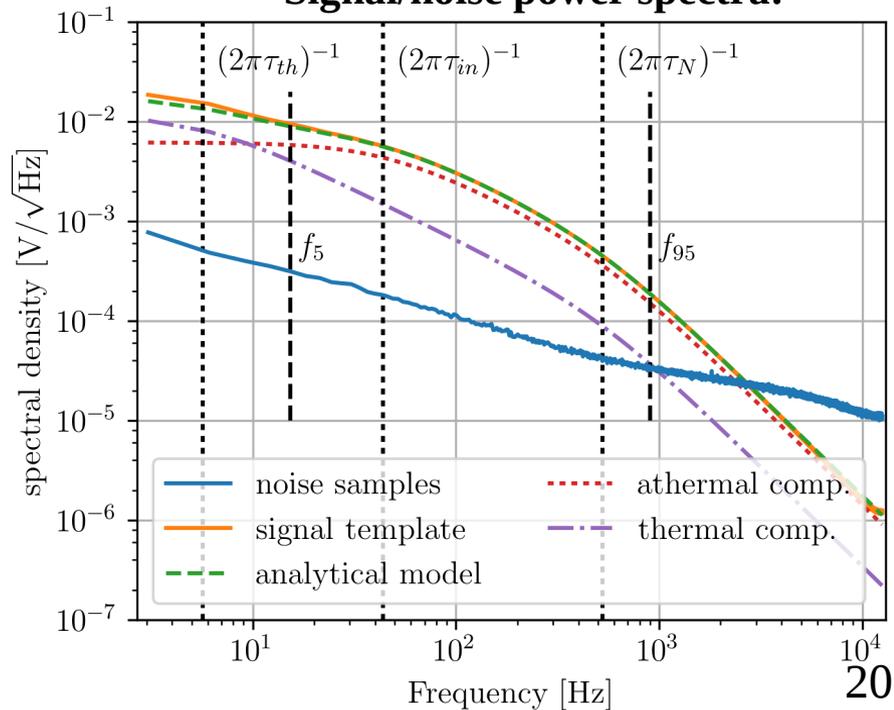
Dynamic range:

19.7 eV (threshold)

600 eV (linear range)

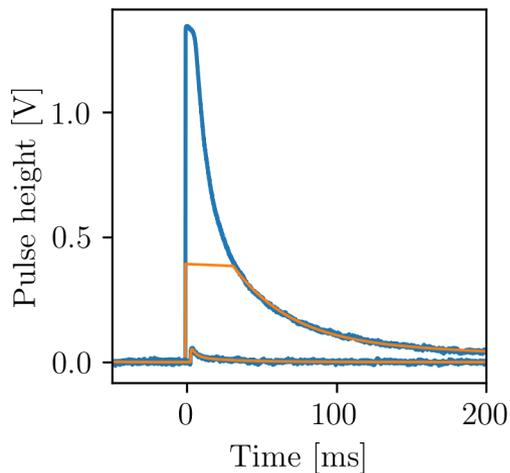
12 keV (upper limit of energy reconstruction)

Signal/noise power spectra:

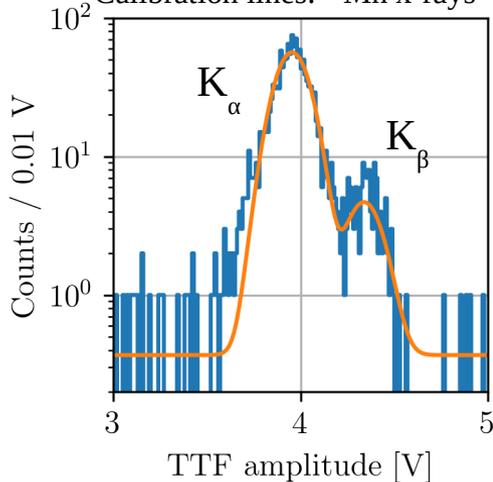


Run 1 analysis steps: energy reconstruction

Truncated fit of saturated event:



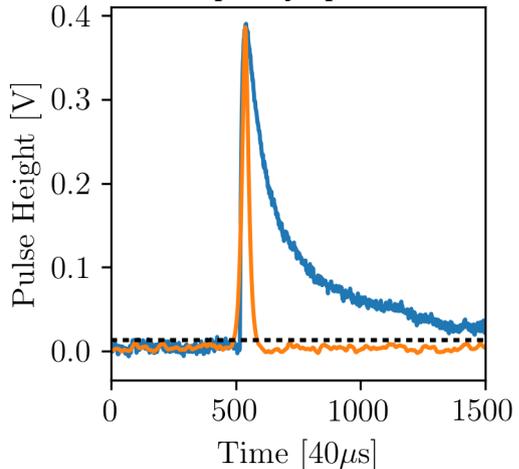
Calibration lines: ^{55}Mn x-rays



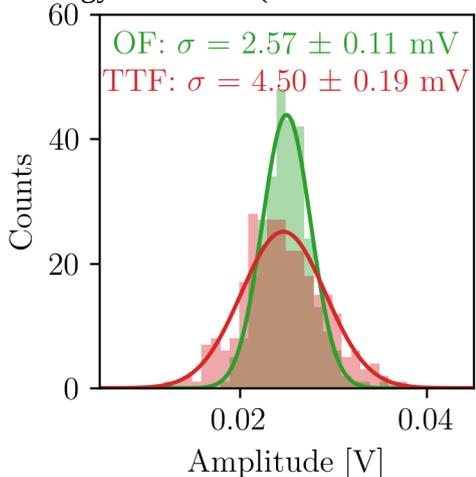
Truncated template fit (TTF):

fits only pulse samples below “truncation limit” (600 eV)
allows energy reconstruction well into saturated region
used for calibration at 5.9 keV

Event shaped by optimum filter:



Energy resolution (simulated events):



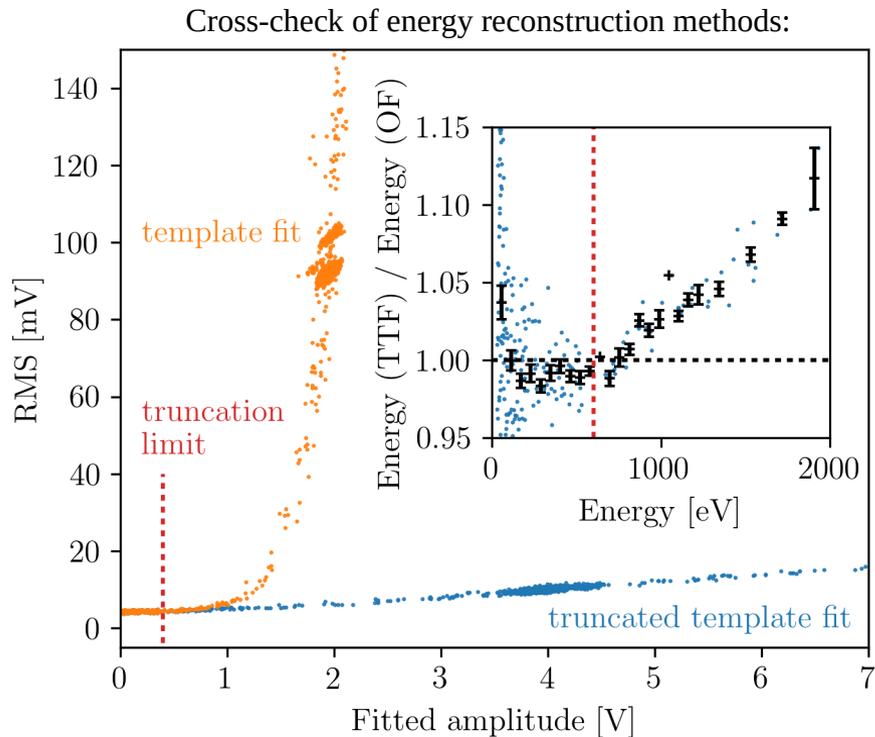
Optimum Filter (OF):

matched filter weights frequency components by S/N ratio
best energy resolution
no treatment of non-linearity
used for energy reconstruction in the Region Of Interest (ROI) up to 600eV

→ optimum filter zero-energy resolution:

$$\sigma_{\text{OF}} = 3.84 \pm 0.16 \text{ eV}$$

Run 1 analysis steps: energy reconstruction



Truncated template fit (TTF):

fits only pulse samples below “truncation limit” (600 eV)
allows energy reconstruction well into saturated region
used for calibration at 5.9 keV

Optimum Filter (OF):

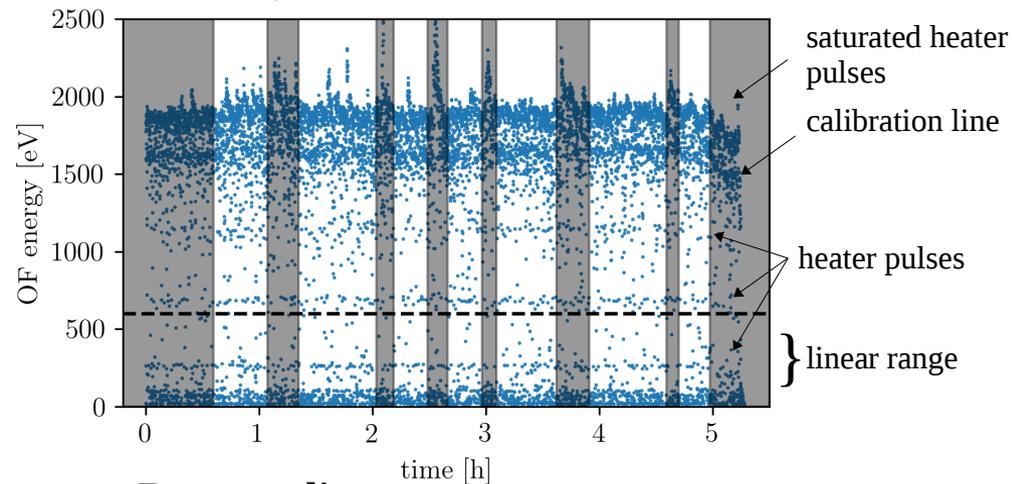
matched filter weights frequency components by S/N ratio
best energy resolution
no treatment of non-linearity
used for energy reconstruction in the Region Of Interest (ROI)

→ optimum filter zero-energy resolution:

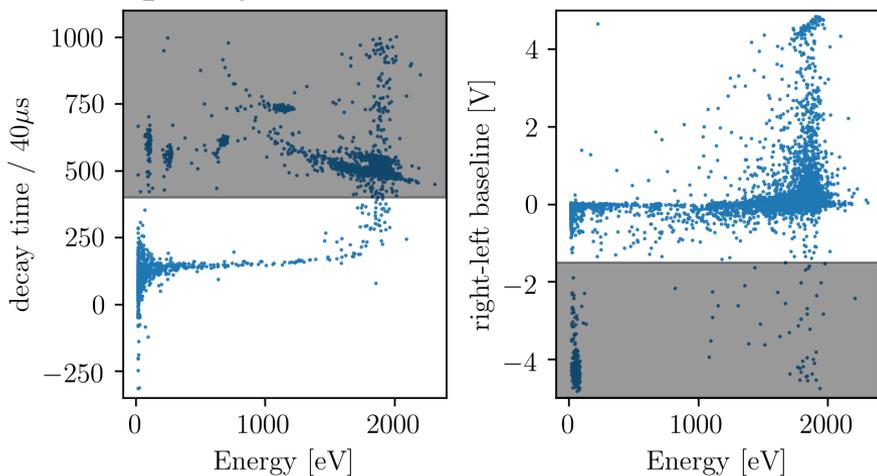
$$\sigma_{\text{OF}} = 3.84 \pm 0.16 \text{ eV}$$

Run 1 analysis steps: data quality cuts

Stability cut:

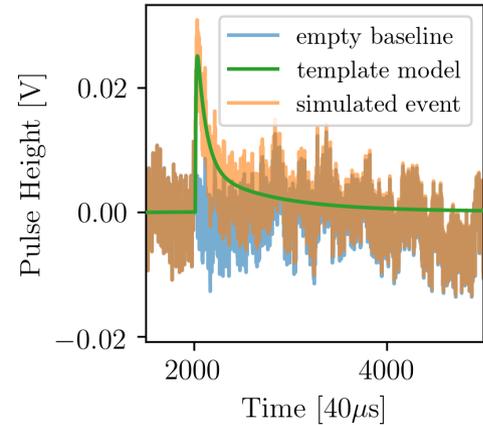


Data quality cuts:



- Detector is actively held at its operating temperature (resistive heater and feedback loop)
- Periods of unstable detector temperature are identified by saturation height and removed
- Live time reduction: 5.31 h \rightarrow 3.26 h
- Cut on decay time removes heater pulses and misreconstructed saturated pulses
- Cut on “right-left baseline difference” removes artifacts (SQUID resets and pile-up)
- Loose quality cuts do not affect particle events, removed events are counted as dead time
- Live time reduction: 3.26 h \rightarrow 2.27 h
- Final exposure (ROI): 0.046 g day

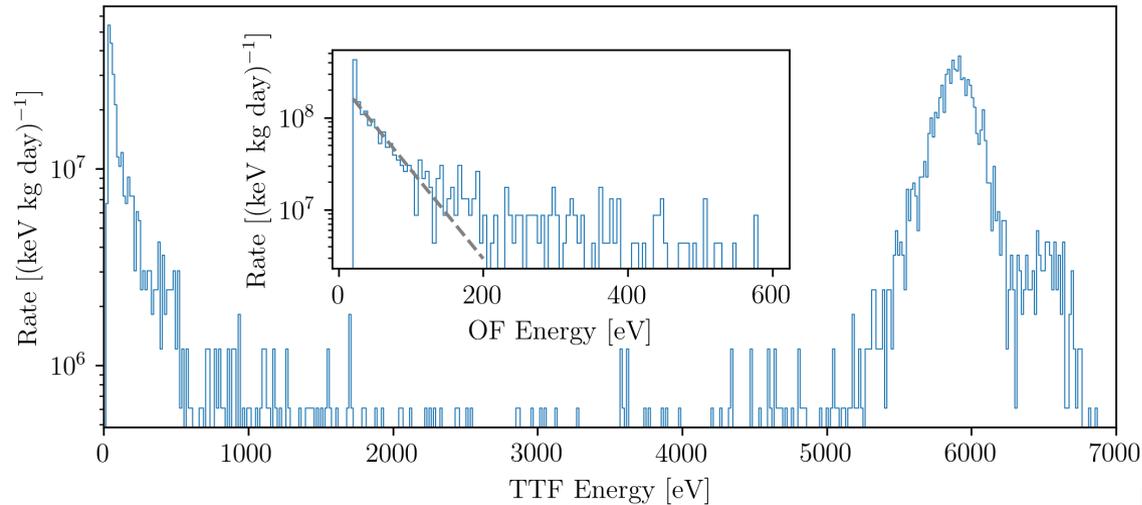
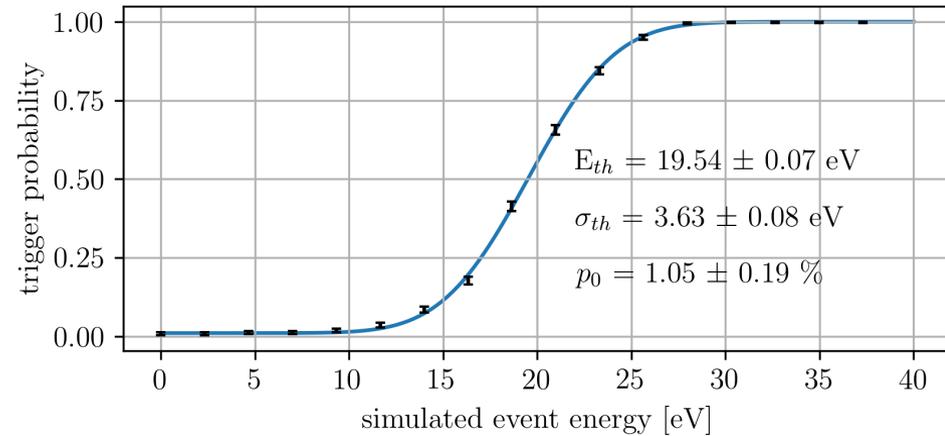
Run 1 spectrum, efficiency, data products



Trigger efficiency simulation:
simulated events from random
triggers (“empty baselines”)

passed through OF trigger

amplitude scan of simulated events



Final event spectra:

ROI: reconstructed with OF (linear range <600 eV)

“high energy” spectrum: < 10 keV, TTF reconstruction

Low energy rise parameters (“guide for the eye”)

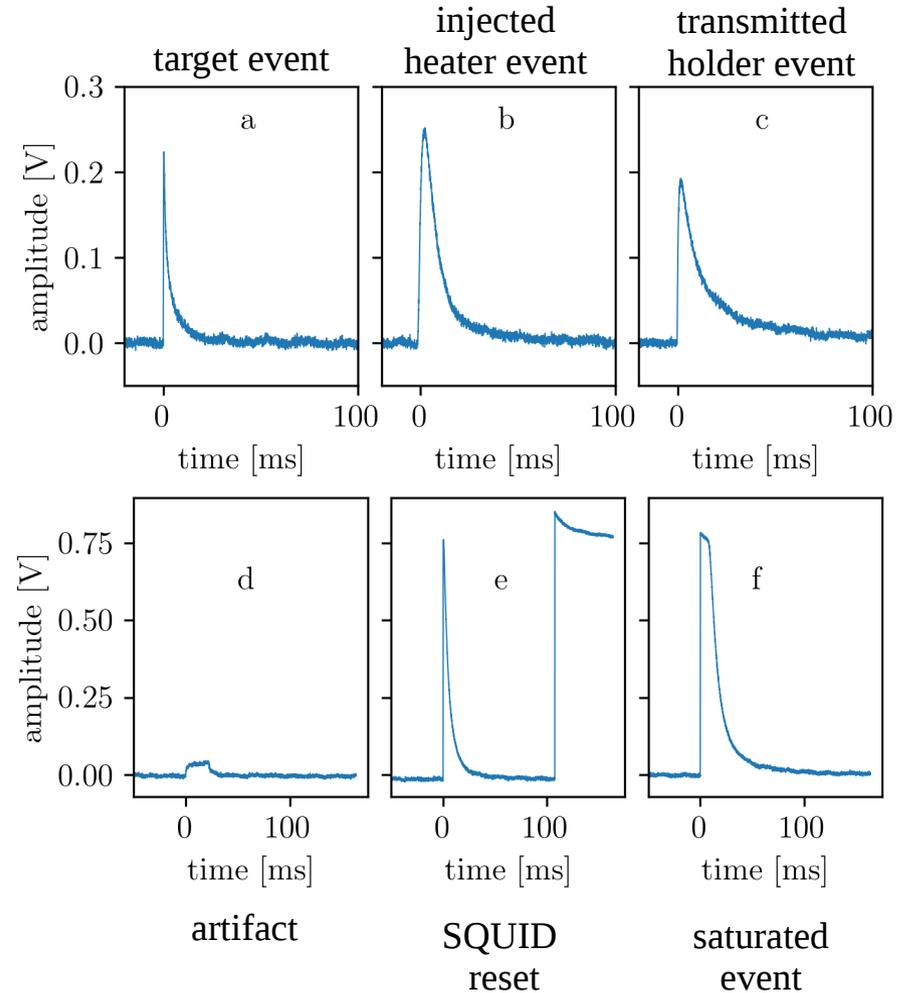
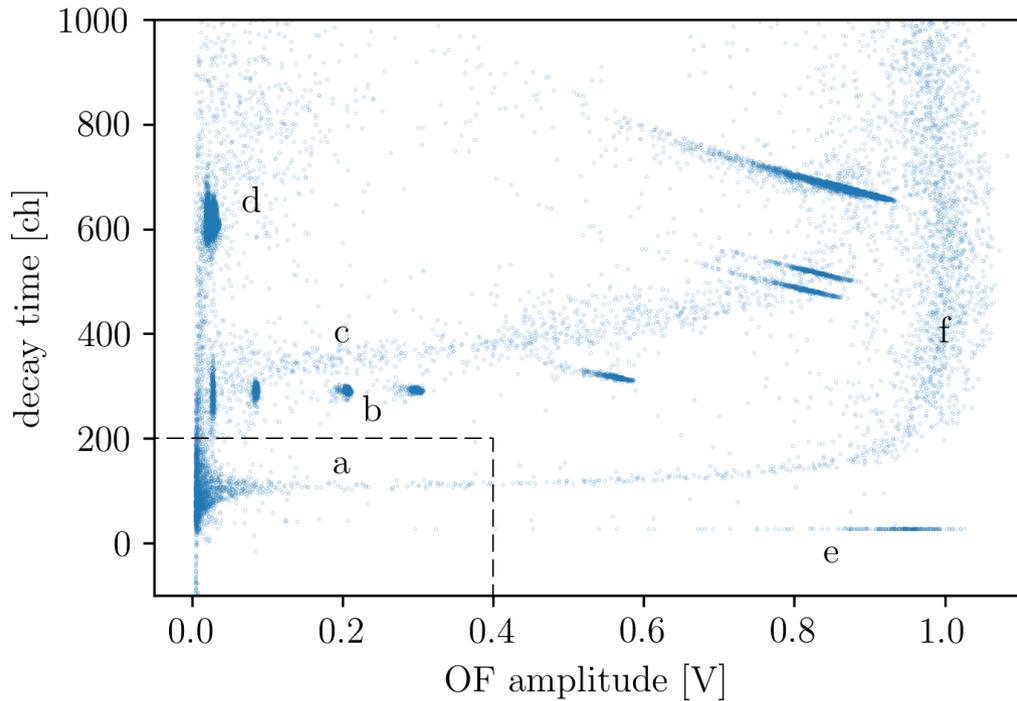
single exponential: $R/E_0 \cdot \exp(-E/E_0)$

Slope: $E_0 \sim 45$ eV,

Integral rate: $R \sim 10^7$ /kg/day, or ~ 130 /kg/s, or $\sim 1/(16s)$

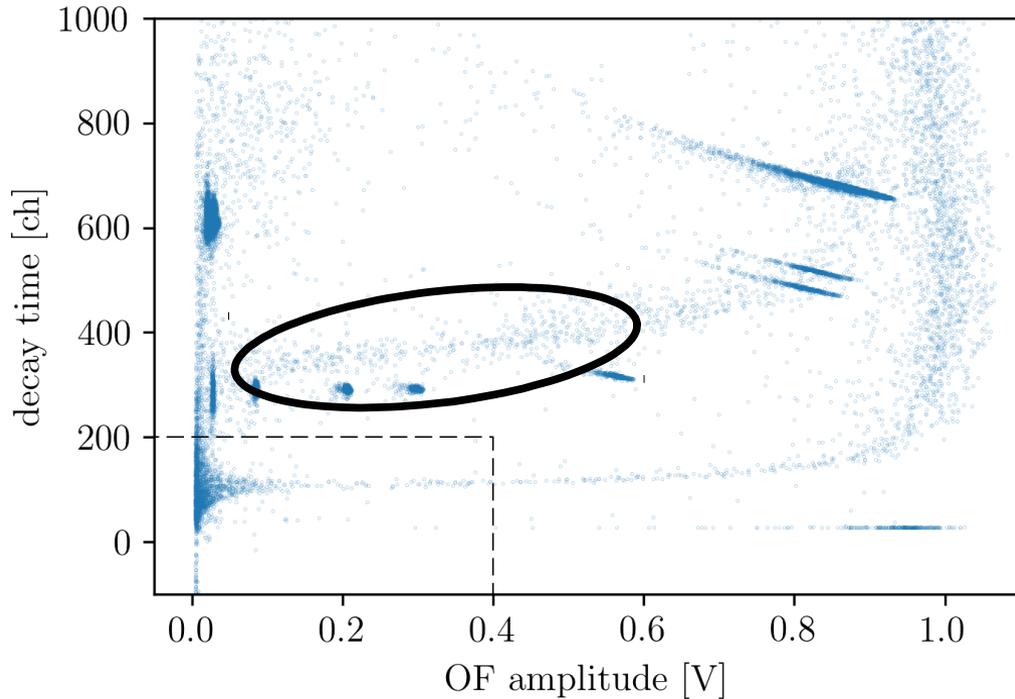
→ dark matter search: **CRESST surface 2017**,
EPJ C, 77(9):637, 2017. arxiv:1707.06749

Run 2 event classes



Run 2 calibration & background spectra

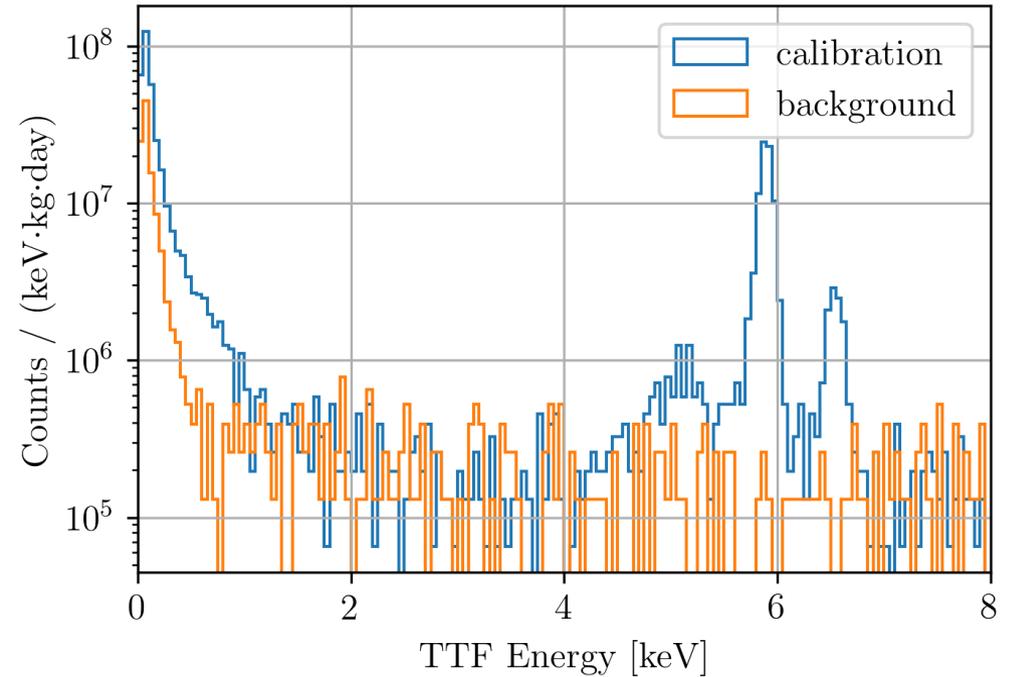
New event class (separated in decay time parameter):
phonon transmission from holder



Run2 calibration vs. background:

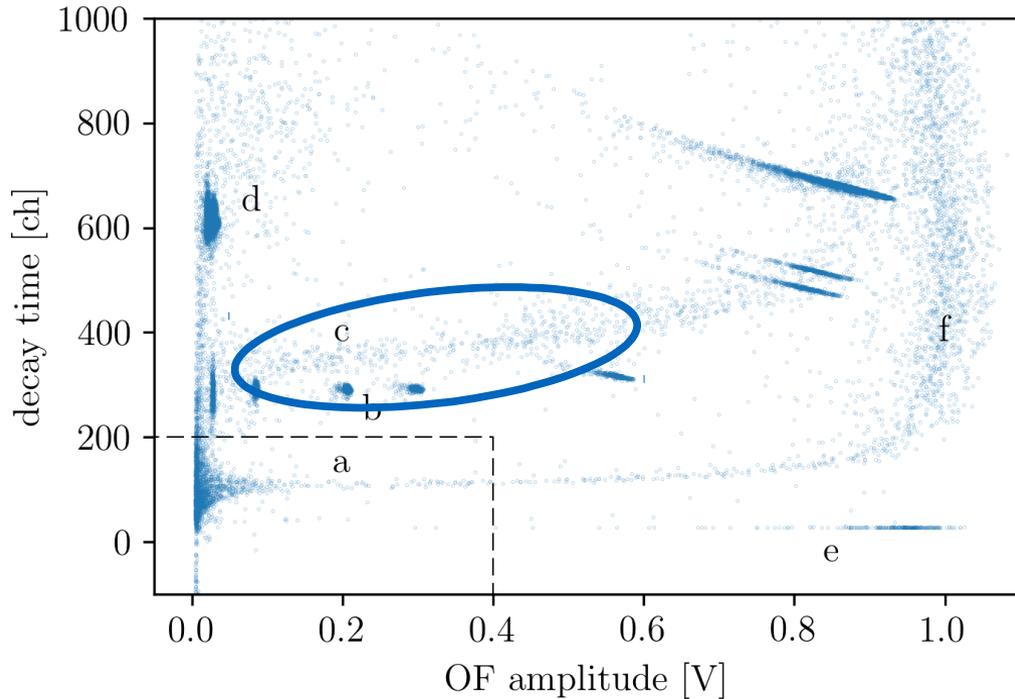
(without simulation of cut efficiencies)

→ ^{55}Fe contributes to sub-keV background

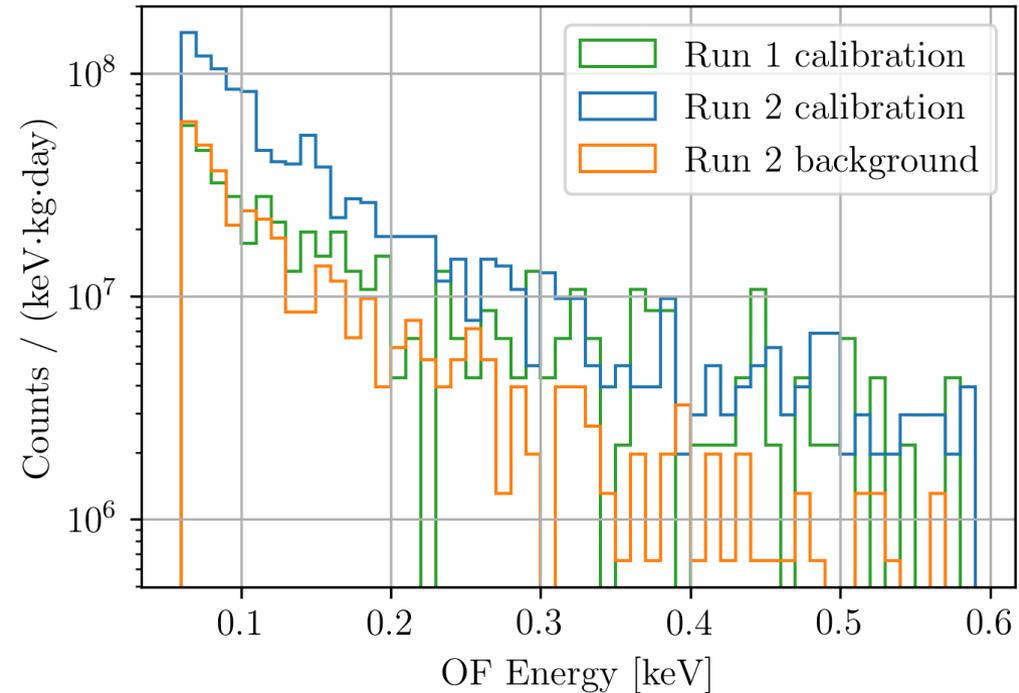


Run 2 calibration & background spectra

New event class (separated in decay time parameter):
phonon transmission from holder



Run1 cal. vs Run2 background:
comparable sub-keV event rate



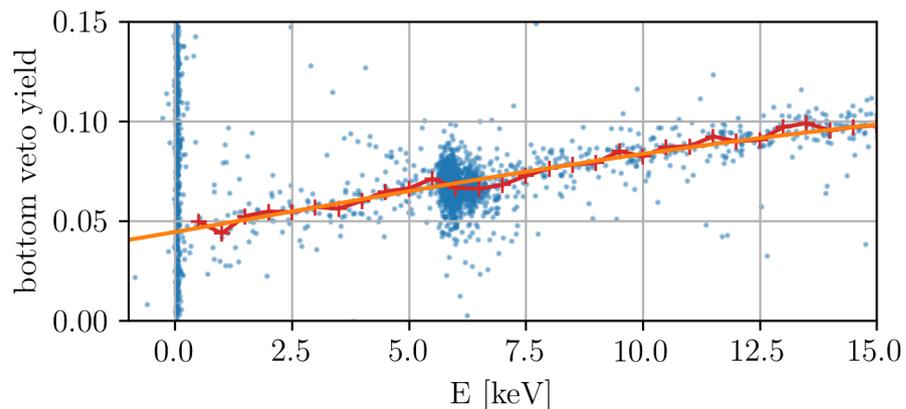
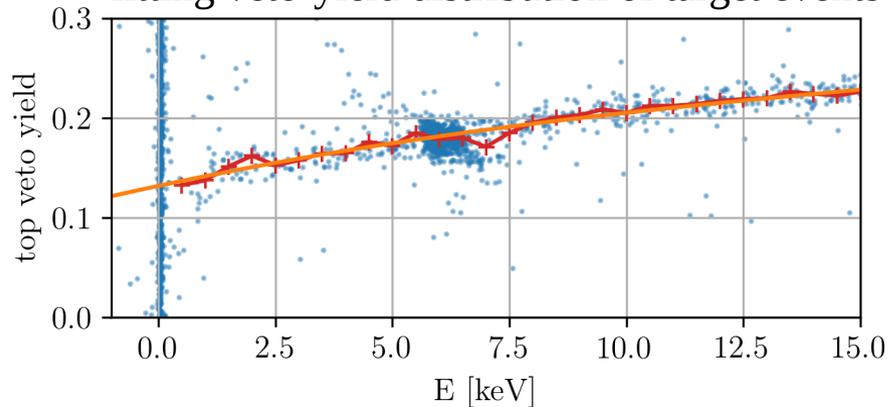
- Calibration source not responsible for low-energy background
- Some source configurations do contribute to low-energy events

Run 4, veto anticoincidence cut

Anticoincidence-cut with phonon transmission:

“veto yield” = veto amplitude / target amplitude

fitting veto-yield distribution of target events



Determination of veto cut efficiency:

Simulated 3-channel events according to distribution

Cut survival probability evaluated on simulated events

