



Science & Technology  
Facilities Council

# Axion searches in rare Higgs decays at ATLAS

Adam Ruby

LIV.

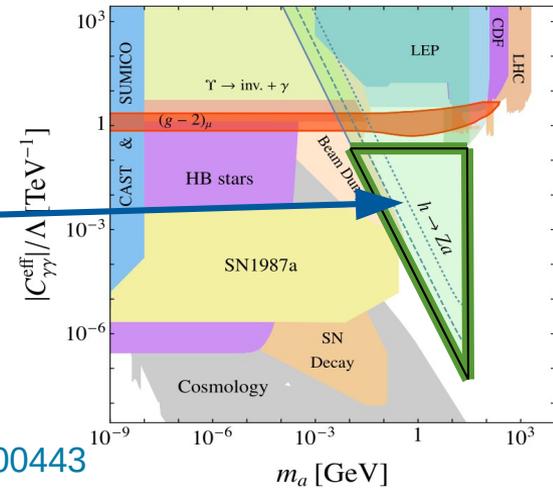
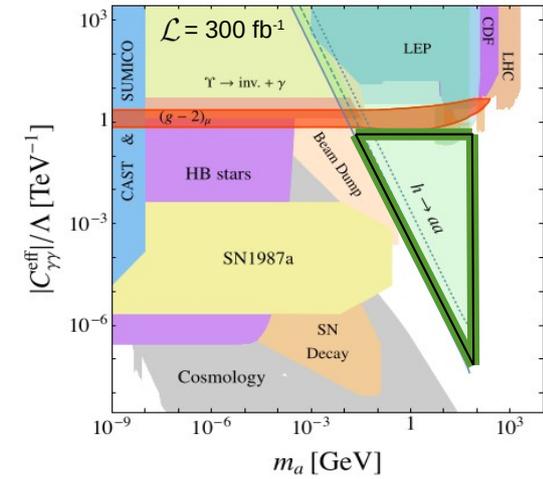
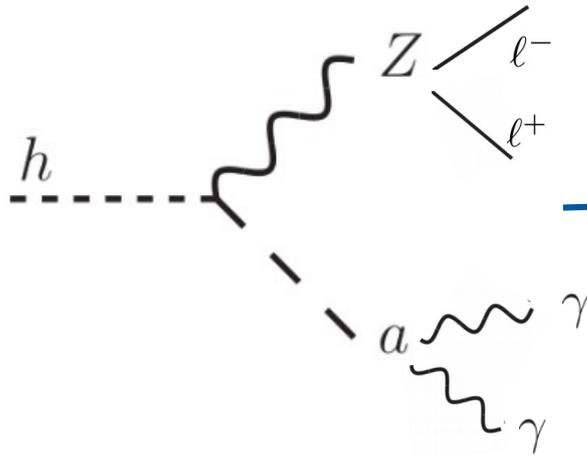
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1001 01011	11101 11011	0101
0101 0000	010101001010	1100
0011 111001	01011010010011	0110
10101011100011	0110 0011	0101
0010100000	0001 1000	1100



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# Theoretical background & Motivation

- Standard Model allows CP violation in strong interaction however no CP violation has been observed experimentally - the strong CP problem
- Axion or axion-like particles (ALPs) could solve the strong CP problem.
- Possible ALPs could couple to the Higgs boson in new parameter spaces.



arXiv:1708.00443



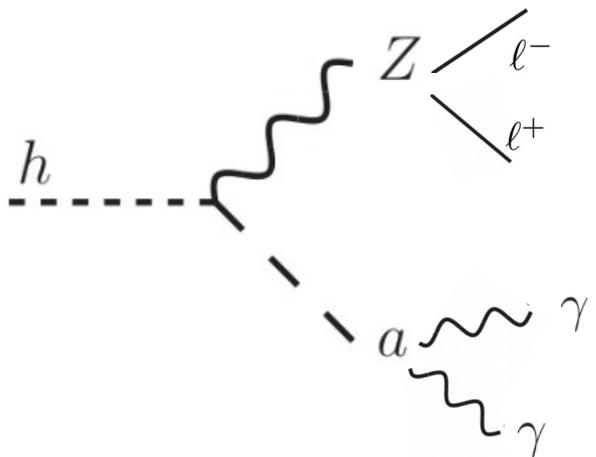
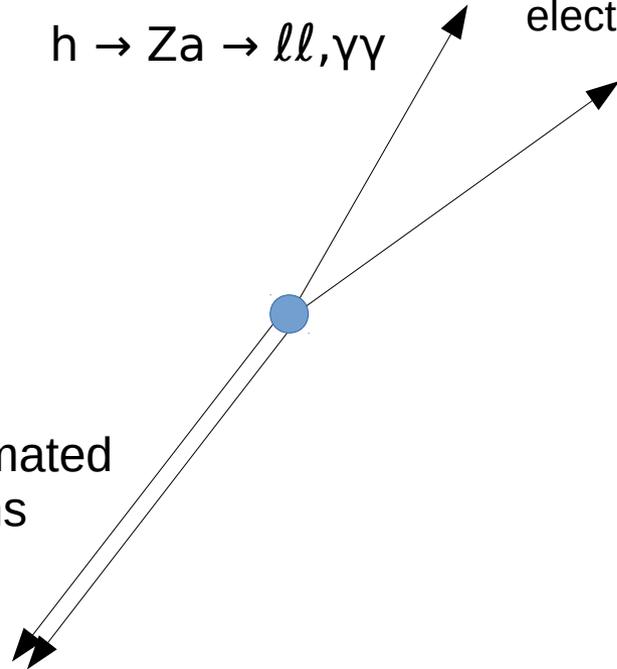
# Signature

- Signature: 2 leptons + 2 collimated photons

$$h \rightarrow Za \rightarrow ll, \gamma\gamma$$

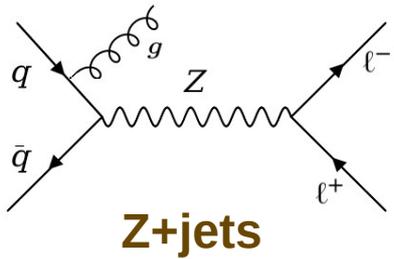
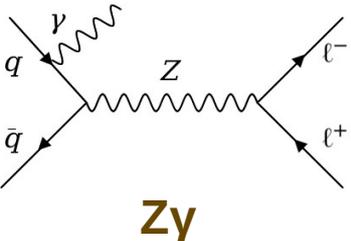
2 leptons:  
electrons or muons to trigger

2 collimated photons

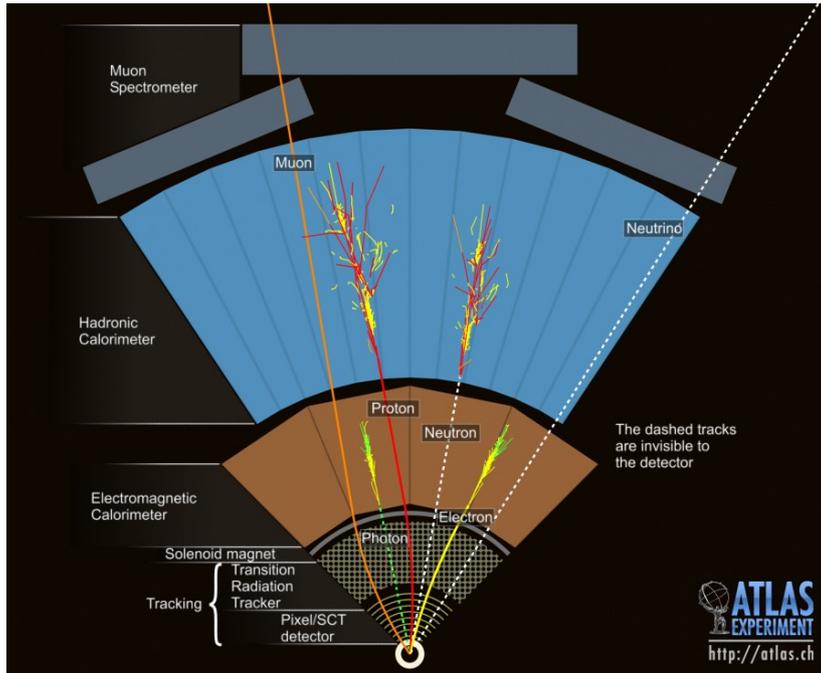


- ALP may be long-lived, focus on prompt decays for now

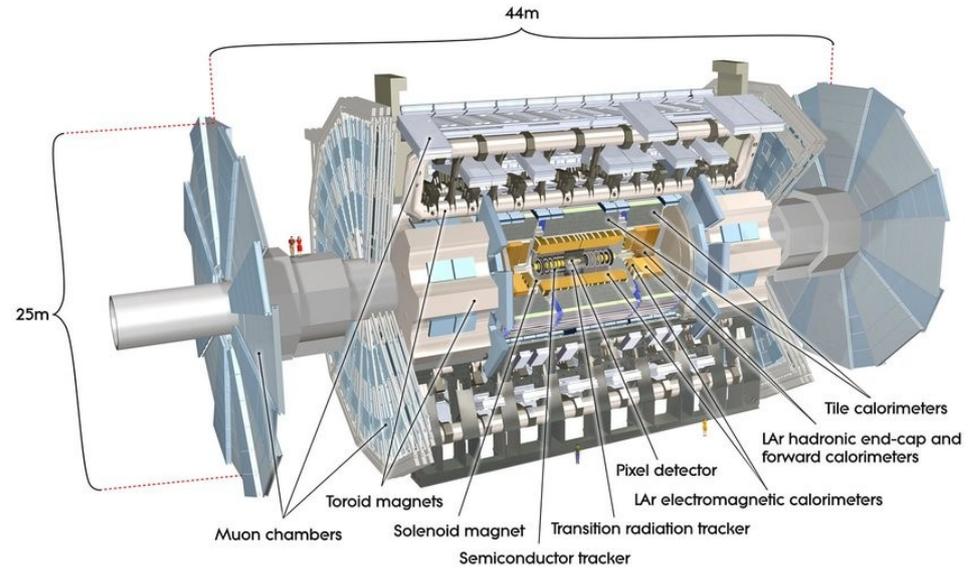
Main backgrounds Z+jets & Z+gamma



# ATLAS detector



ATLAS Public pages



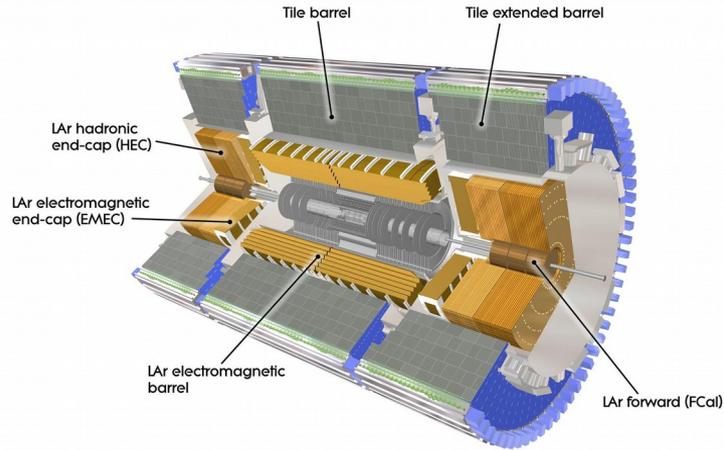
- The detector is made up of several large subsystems:
  - Inner detector (ID)
  - Electromagnetic (ECAL) and Hadronic calorimeters (HCAL)
  - Muon spectrometer



# Photon Reconstruction & Identification

**Reconstruction:** Matching energy clusters of neighbouring EM calorimeter cells to inner detector tracks.

Photon **Isolation** & **Identification (ID)** criteria applied to reduce effects from background photons, such as from pile-up.



**Isolation:** cuts on transverse energy in a cone,  $\Delta R$ .

**ID:** rectangular cuts on calorimetric variables for separation from fake signatures.

**Loose ID:** Middle layer + hadronic leakage variables.

**Tight ID:** + Strip layer variables.

$$E_T^{\text{iso}} \Big|_{\Delta R < 0.2} < 0.065 \cdot E_T$$

$$p_T^{\text{iso}} \Big|_{\Delta R < 0.2} < 0.05 \cdot E_T ;$$

**Loose Isolation criteria**

$f_1 = \frac{E_{S_1}}{E_{\text{tot}}}$

$f_{\text{side}} = \frac{E_7^{S_1} - E_3^{S_1}}{E_3^{S_1}}$

$R_\eta = \frac{E_{3 \times 7}^{S_2}}{E_{7 \times 7}^{S_2}}$

$R_\phi = \frac{E_{3 \times 3}^{S_2}}{E_{3 \times 7}^{S_2}}$

$R_{\text{had}} = \frac{E_T^{\text{had}}}{E_T}$

*Strips  $S_1$*   
*Second layer  $S_2$*   
*Hadronic*

$w_{\eta_2} = \sqrt{\frac{\sum E_i \eta_i^2}{\sum E_i} - \left(\frac{\sum E_i \eta_i}{\sum E_i}\right)^2}$

width in a  $3 \times 5$  ( $\Delta \eta \times \Delta \phi$ ) region of cells in  $S_2$

$w_s = \sqrt{\frac{\sum E_i (i - i_{\text{max}})^2}{\sum E_i}}$

$w_{s_3}$  uses  $3 \times 2$  strips ( $\eta \times \phi$ )  
 $w_{s_{\text{tot}}}$  is defined similarly but uses  $20 \times 2$  strips

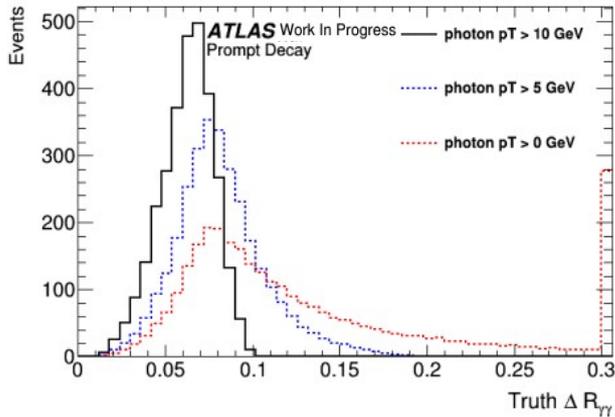
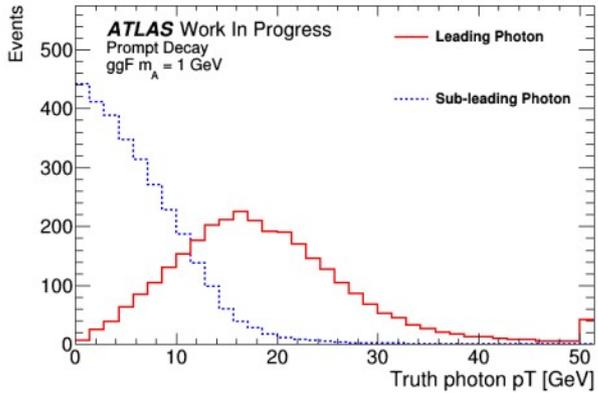
$\Delta E = E_{\text{max},2}^{S_1} - E_{\text{min}}^{S_1}$

$E_{\text{ratio}} = \frac{E_{\text{max},1}^{S_1} - E_{\text{max},2}^{S_1}}{E_{\text{max},1}^{S_1} + E_{\text{max},2}^{S_1}}$

**Photon ID discriminating variables**



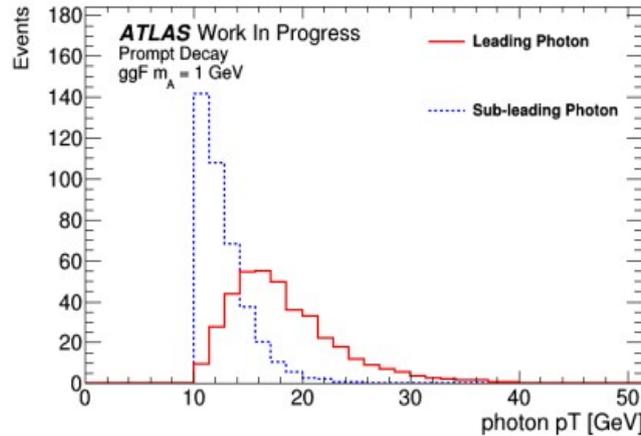
## Truth level



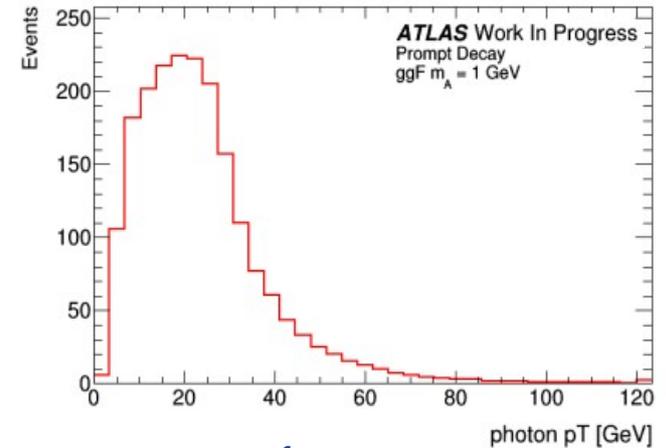
## At low photon $p_T$ , many signal di-photon pairs are merged together

- Events asymmetric in photon  $p_T$
- Low truth level separation,  $\Delta R$

## Reco level



2 reco  
photons



1 reco  
photon

Plots for Gluon-fusion  $m_A = 1$  GeV

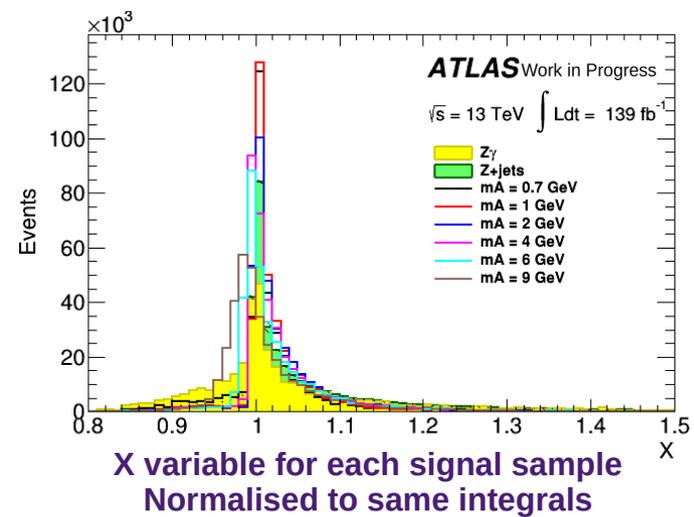


# Event Categorisation

$$X = \Delta R_{\gamma\gamma} \frac{p_{T\gamma\gamma}}{2m_{\gamma\gamma}}$$

From kinematics, define variable X.

A common property of each signal sample for selection



## Categorisation

**Resolved Category:** Events for which the di-photon pair with X closest to 1 satisfies  $0.96 < X < 1.2$ . Both photons  $p_T > 10 \text{ GeV}$  and satisfying  $\Delta R_{\gamma\gamma} < 1.5$

**Merged Category:** The event fails Resolved category and it includes a photon with  $p_T > 20 \text{ GeV}$ .

**Isolation cuts and Photon ID are not used during categorisation but are included afterwards with all photons required to pass loose isolation cuts and Loose PID.**

# Photon Selection

Photons must pass loose isolation cuts & Loose PID.

Efficiency x Acceptance

## Resolved

**Low** mass points: 0.3% - 5%

**High** mass points: 28% - 43%

## Merged

**Low** mass points: 40% - 57%

## Low mass points:

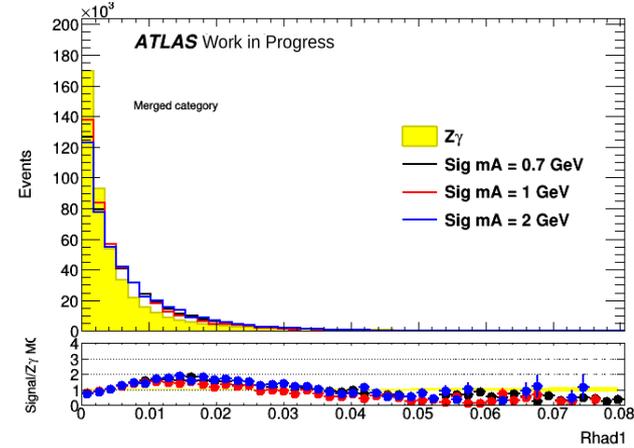
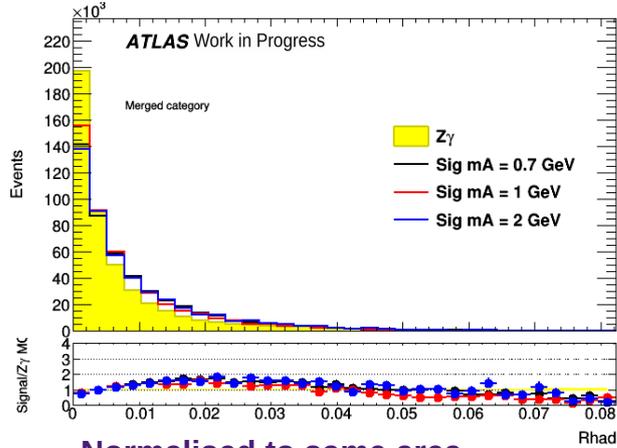
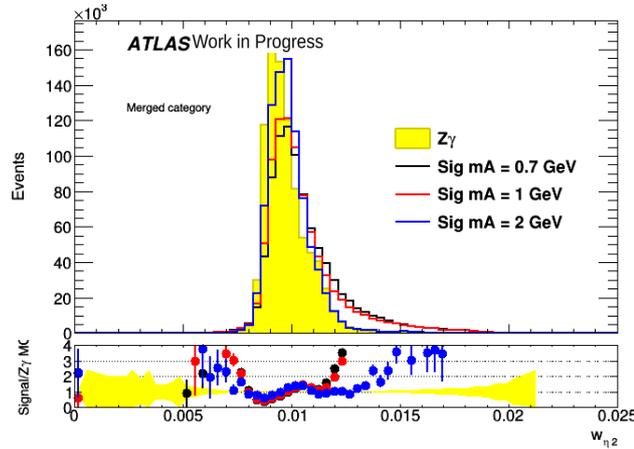
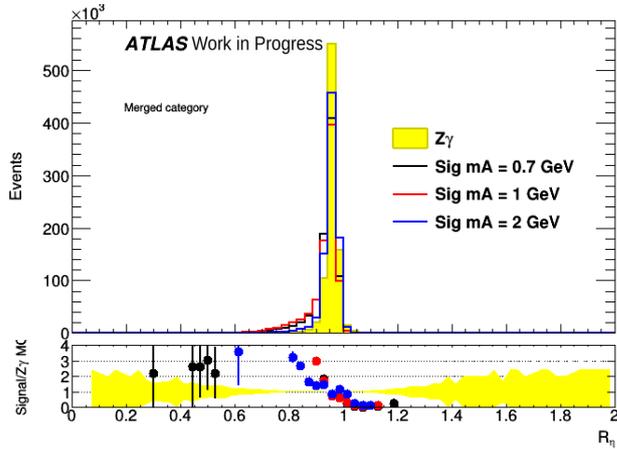
mA = 0.7 GeV, 1 GeV or 2 GeV

## High mass points:

mA = 4 GeV, 6 GeV, 9 GeV

Split categories so **Resolved** for **high** mass and **Merged** for **low** mass

# Merged category: Photon ID Loose PID variables



Normalised to same area

Two photons reconstructed as one

Isolation already applied.

Main differences seen in variables  $R_\eta$  and  $w_{\eta 2}$

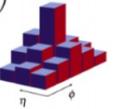
Signal has longer tail: explaining relative drop in efficiency.

$$R_\eta = \frac{E_{3 \times 7}^{S_2}}{E_{7 \times 7}^{S_2}}$$

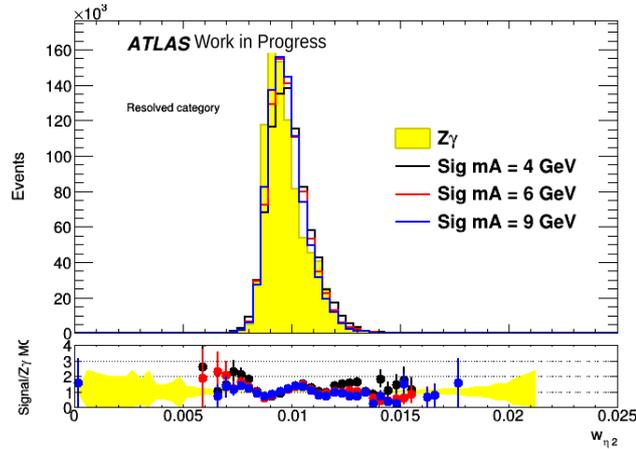
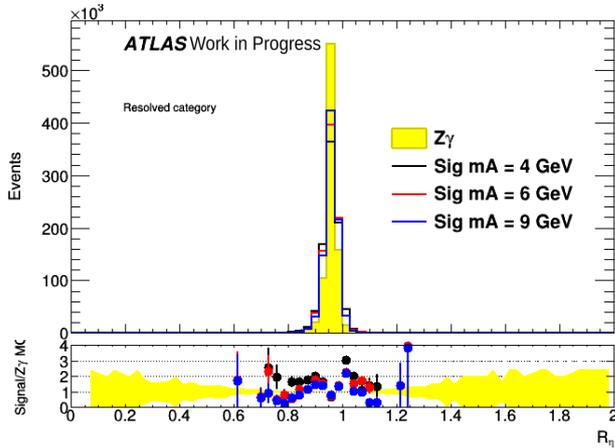
$$R_{had} = \frac{E_T^{had}}{E_T}$$

$$w_{\eta 2} = \sqrt{\frac{\sum E_i \eta_i^2}{\sum E_i} - \left(\frac{\sum E_i \eta_i}{\sum E_i}\right)^2}$$

width in a  $3 \times 5$  ( $\Delta\eta \times \Delta\phi$ ) region of cells in  $S_2$



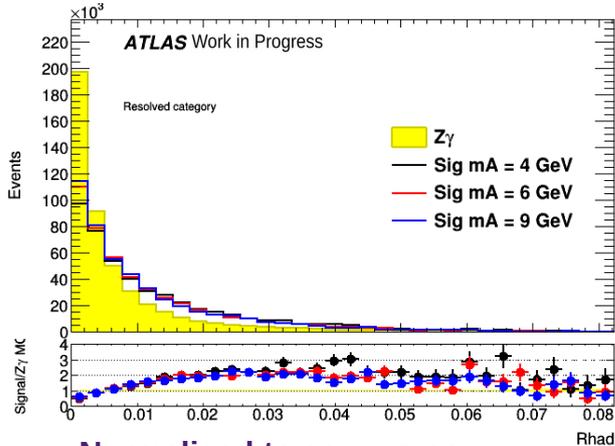
# Resolved category: Photon ID Loose PID variables



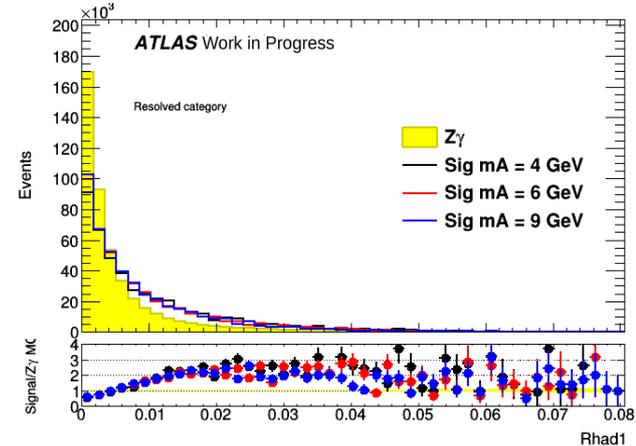
Both photons reconstructed separately

Isolation already applied.

Smaller discrepancies.



Normalised to same area



Shapes more comparable to  $Z\gamma$  distributions.



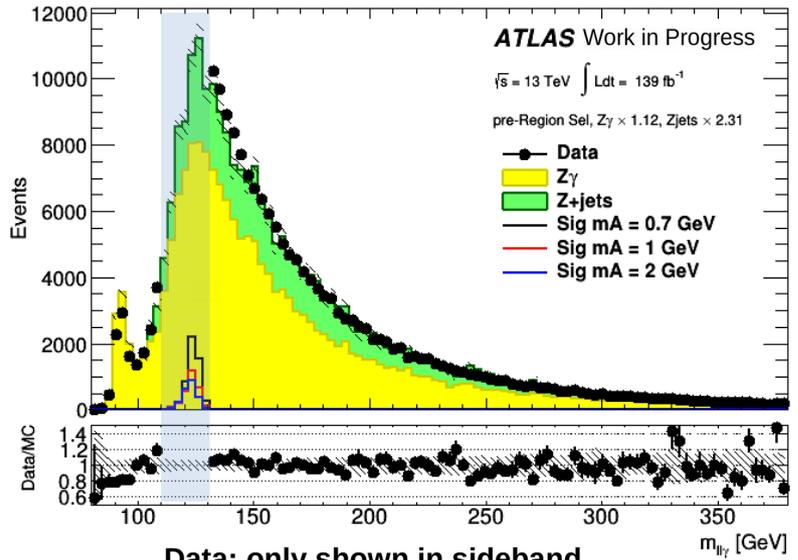
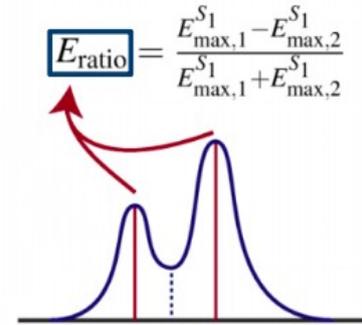
# Merged Category

axion-particle candidate reconstructed as one photon

**Signal region:**  $110 \text{ GeV} < m_{ll\gamma} < 130 \text{ GeV}$ ,

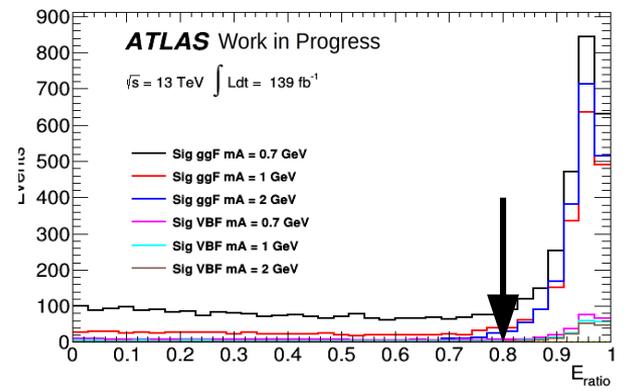
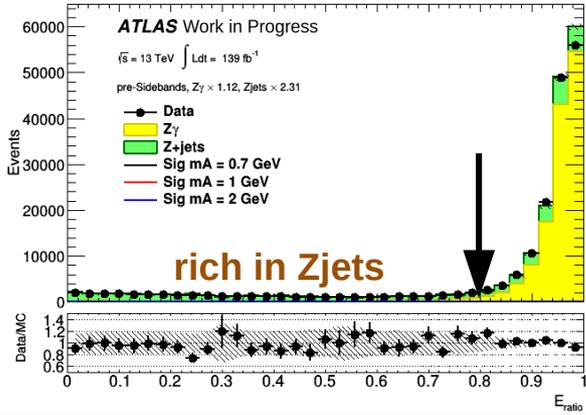
**Eratio used in sideband to fix normalisation of Z+jets & Z $\gamma$  backgrounds**

Eratio: Ratio of largest and second largest energy deposit over sum of total.



Data: only shown in sideband

Region	Selection
pre-SR	$m_{ll\gamma}$ window
pre-SB	$m_{ll\gamma}$ sideband
SR	pre-SR, $E_{ratio} > 0.8$
SB	pre-SB, $E_{ratio} > 0.8$



# Resolved category

Two reconstructed photons from  $a \rightarrow \gamma\gamma$  decay.

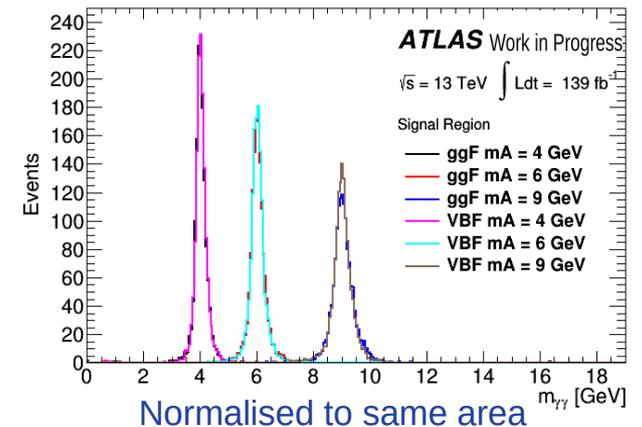
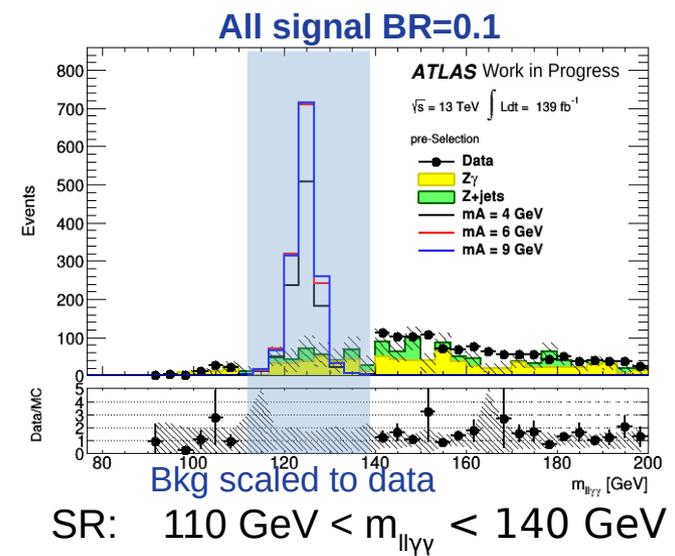
## Strategy Overview

- Use  $m(l\ell\gamma\gamma)$  to define signal (SR) and sideband (SB) regions
- Fit the  $m(\gamma\gamma)$  distribution to extract signal

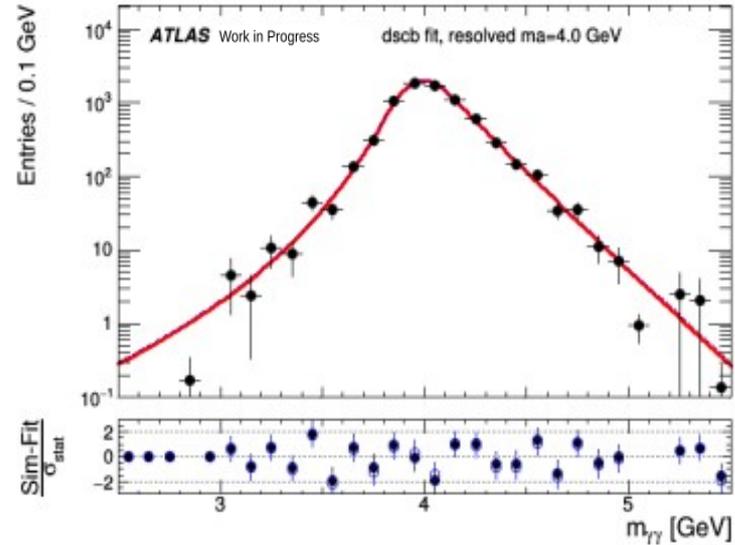
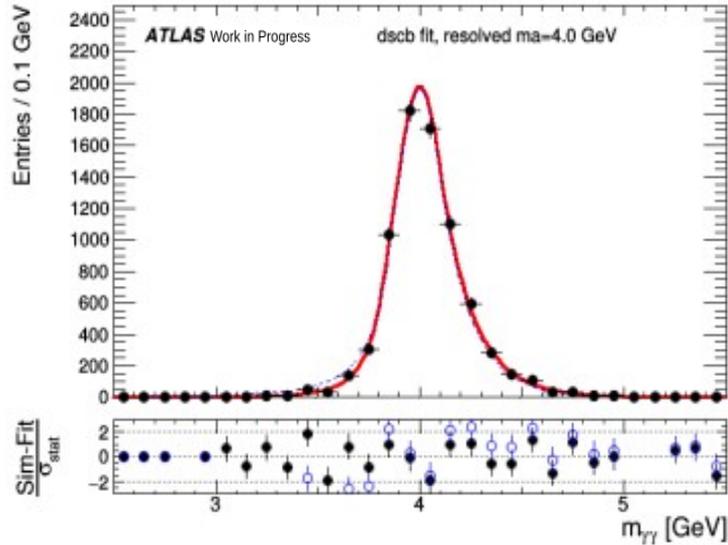
But

- Cannot extrapolate from SB to SR because the kinematics are different
- Suffer from MC statistics
  - Try a data driven approach instead.

No background MC scale factors in plots



# Resolved category: Signal Parameterization



**Black** points = Monte Carlo simulated signal for  $m_A = 4$  GeV

Double Sided Crystal Ball used to model signal mass point distributions that are not simulated (**red**) and interpolated using fits between simulated mass points (**blue**).



# Conclusion

- › Axion searches in Higgs decay  $h \rightarrow Za \rightarrow \ell\ell, \gamma\gamma$  at the ATLAS detector.
- › Merged signal photons is a real challenge, especially at low axion mass, and requires splitting search into two categories; called Merged & Resolved.
- › Work still on-going. Several options for improvements but right now prioritizing robustness.

**Thank you**



# Back-up



# Photon Selection: Resolved Category

Photons must pass at least FCLoose isolation and Loose PID.

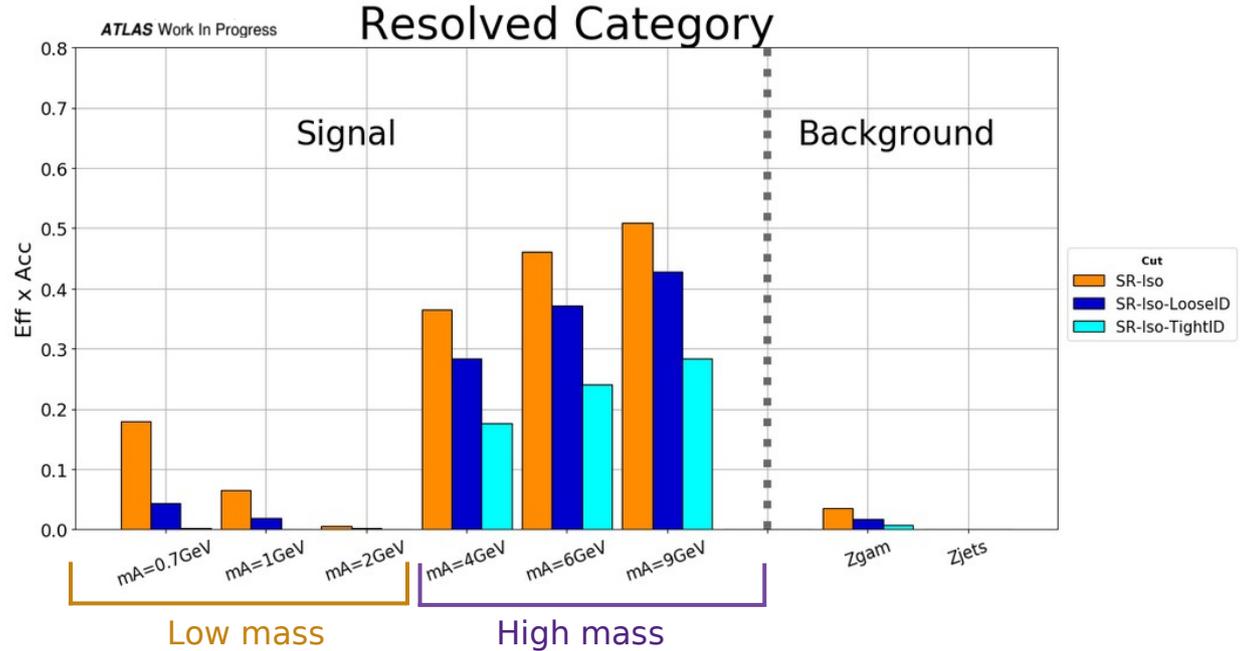
## Low mass points:

$m_A = 0.7 \text{ GeV}, 1 \text{ GeV}$  or  $2 \text{ GeV}$

## High mass points:

$m_A = 4 \text{ GeV}, 6 \text{ GeV}, 9 \text{ GeV}$

**Background** efficiencies  $< 2\%$

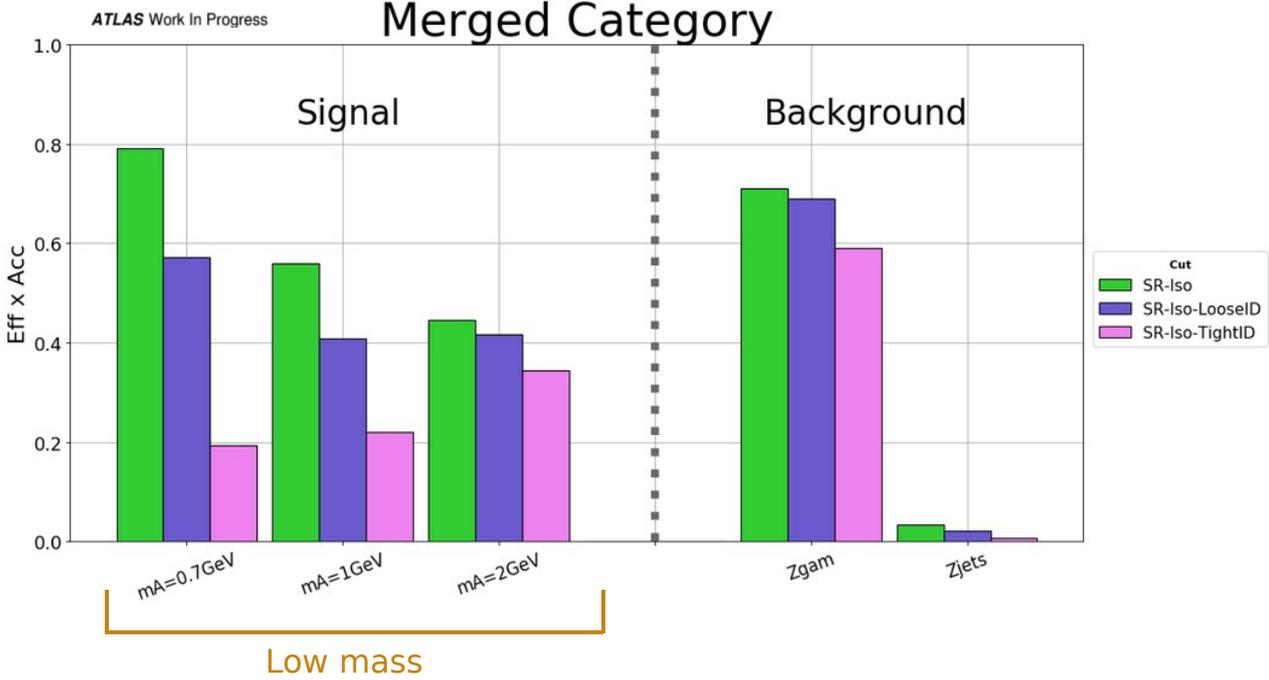


Passes Loose ID (**blue bar**) for final selection



# Photon Selection: Merged Category

For merged category, **Low mass points** passing Loose ID have efficiencies ranging 40-60%.



# Photon Selection: Resolved Category, isolation

Isolation variable distributions of high mass points match real photons better than in low mass.

FCLoose includes a topocone20/ $p_T$  cut  $< 0.065$ .

Low mass values peak higher than high mass points.

2 GeV affected the most, explaining why Isolation cut efficiency is lowest.

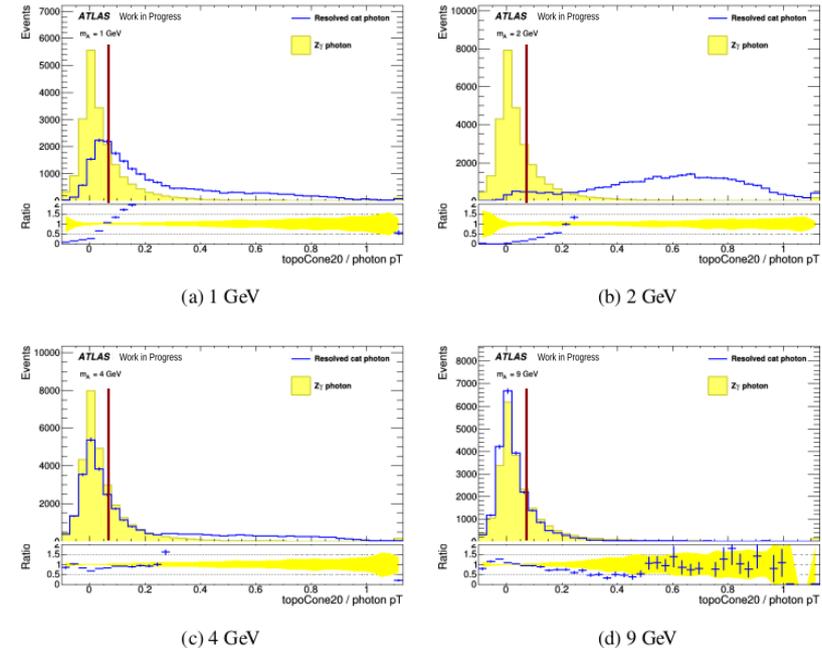
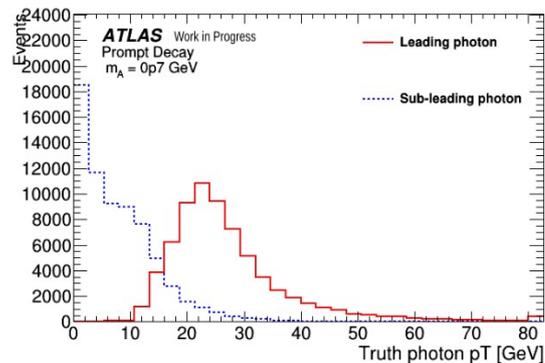


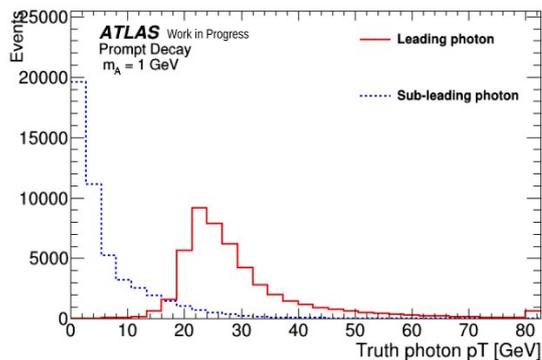
Figure 16: Resolved category signal leading photon distribution (line) comparison with photon from  $Z\gamma$  (shaded) for calorimeter isolation topocone with  $\Delta R$  cone 0.2 divided by photon  $p_T$ , for different signal mass points. No isolation cuts applied and photon  $p_T > 10$  GeV. Photons are truth matched. All shapes normalised to same integral and the last bin includes also the histogram overflow.



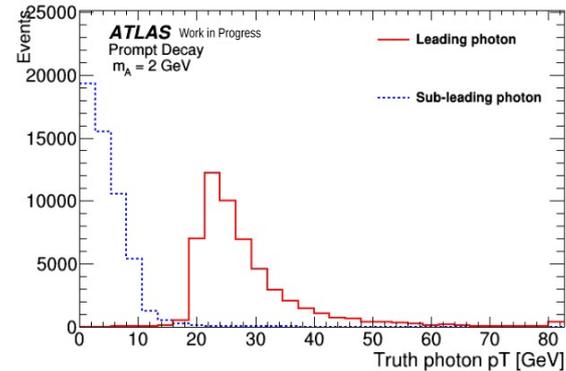
# Merged category: Truth Photon pT



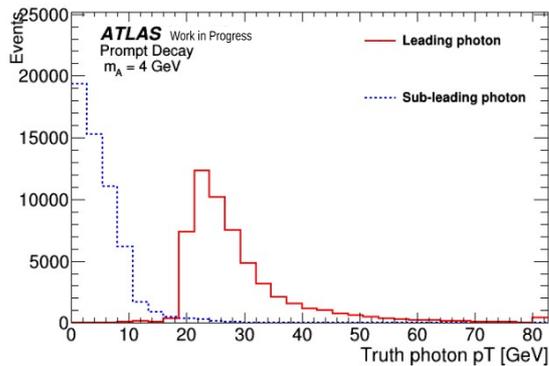
(a) 0.7 GeV



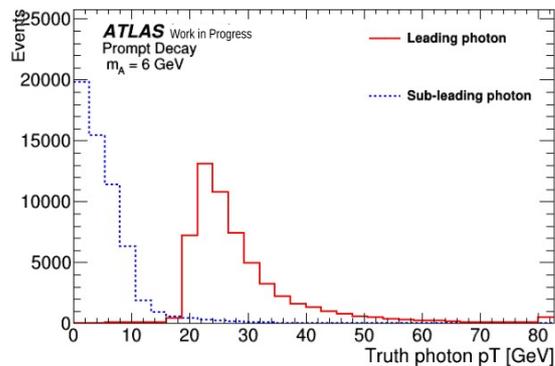
(b) 1 GeV



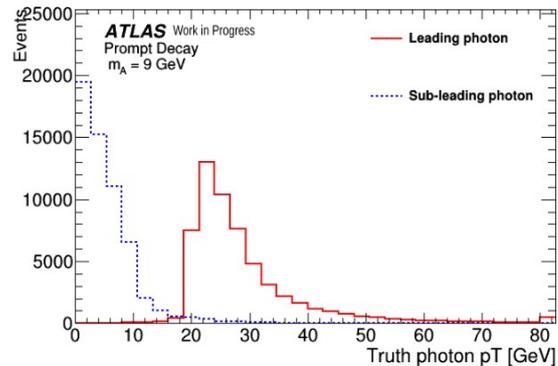
(c) 2 GeV



(d) 4 GeV



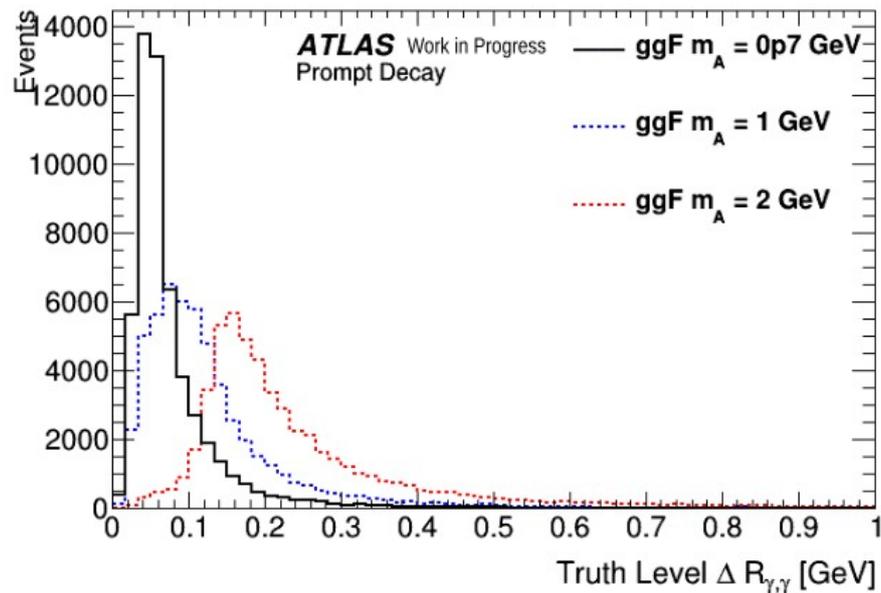
(e) 6 GeV



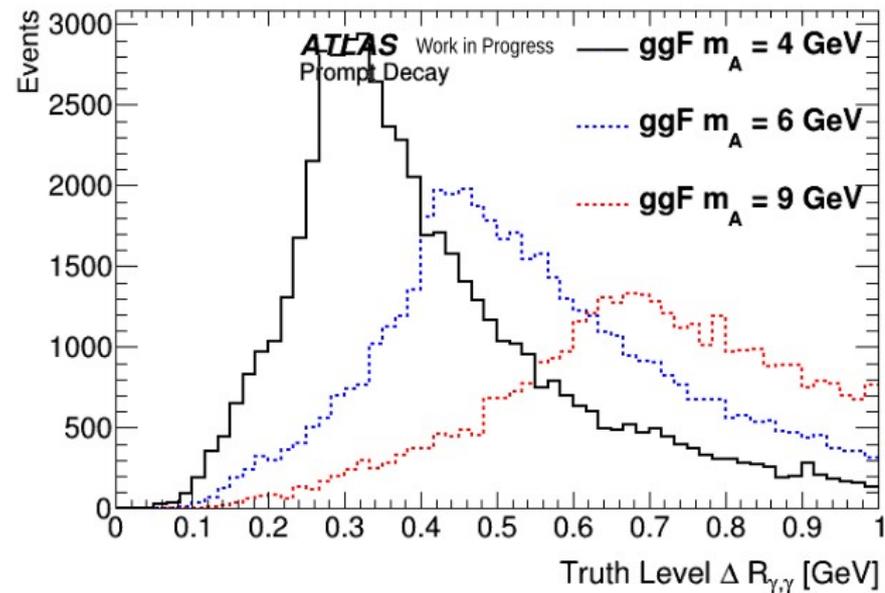
(f) 9 GeV



# Merged category: Truth Photon $\Delta R_{\gamma\gamma}$



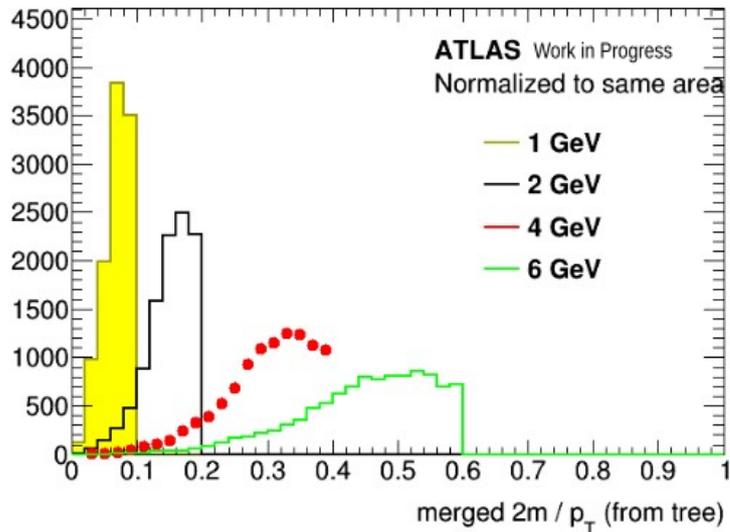
(a) 0.7 – 2 GeV



(b) 4 – 9 GeV



# Merged category: Isolation discussion

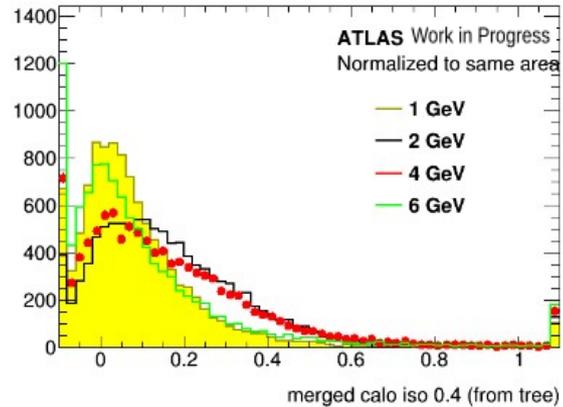
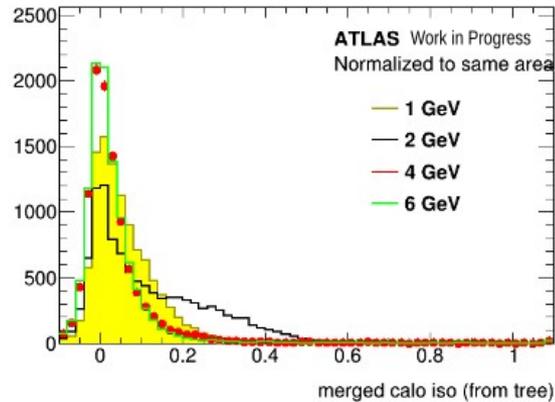


Quantity approx equal to DR between the 2 photons from the axion

Isolation cone  $\sim 0.1 - 0.2$   
- it should be 1 GeV, 4 and 6 GeV similar

Isolation cone  $\sim 0.1 - 0.4$   
- it should be 1, 6 similar, and 2, 4 similar

This is what we observe!



# Photon ID variables

## Strip Layer

Category	Description	Symbol	<i>loose</i>	<i>tight</i>
EM Strip layer	$w_{s3} = \sqrt{\frac{\sum E_i(i-i_{\max})^2}{\sum E_i}}$ <p>Shower width in <math>\eta</math> for EM 1<sup>st</sup> layer using three strip cells centered on the largest energy deposit. <math>E_i</math> is the energy of strip cell <math>i</math> and <math>i_{\max}</math> the cell ID value with the largest energy.</p>	$w_{s3}$		✓
	<p>Similar to <math>w_{s3}</math> but for all cells in a window <math>\eta \times \phi = 20 \times 2</math> in cell units.</p>	$w_{stot}$		✓
	$F_{side} = \frac{E(\pm 3) - E(\pm 1)}{E(\pm 1)}$ <p>Lateral containment of the shower along the <math>\eta</math> direction. <math>E(\pm n)</math> is the energy <math>\pm n</math> strip cells around cell with largest energy.</p>	$F_{side}$		✓
	$\Delta E = [E_{2^{nd} \max}^{S1} - E_{\min}^{S1}]$ <p>The difference between the energy of the strip with the second largest energy, <math>E_{2^{nd} \max}^{S1}</math>, and the energy in the strip cell with the lowest energy between the largest and second largest energy, <math>E_{\min}^{S1}</math>. Equal to 0 when there is no second maxima.</p>	$\Delta E$		✓
	$\Delta E = \frac{E_{1^{st} \max}^{S1} - E_{2^{nd} \max}^{S1}}{E_{1^{st} \max}^{S1} + E_{2^{nd} \max}^{S1}}$ <p>Relative difference between energy strip cell with largest energy, <math>E_{1^{st} \max}^{S1}</math>, and strip cell with second largest energy, <math>E_{2^{nd} \max}^{S1}</math>. Equal to 1 when there is no second maxima.</p>	$E_{ratio}$		✓



# Photon ID variables

## Middle Layer

Category	Description	Symbol	<i>loose</i>	<i>tight</i>
EM Middle layer	$R_\eta = \frac{E_{3 \times 7}^{S2}}{E_{7 \times 7}^{S2}}$ <p>The ratio of the sum <math>E_{3 \times 7}</math> of the energies in the second layer cells of the EM calorimeter contained in a <math>3 \times 7</math> rectangle in <i>eta</i> <math>\times</math> <i>phi</i> space of cell unit <math>0.025 \times 0.0245</math> to sum of energies <math>E_{7 \times 7}</math> in a <math>7 \times 7</math> cell rectangle, centered around the photon cluster.</p>	$R_\eta$	✓	✓
	$w_{\eta 2} = \sqrt{\frac{\sum E_i \eta_i^2}{\sum E_i} - \left(\frac{\sum E_i \eta_i}{\sum E_i}\right)^2}$ <p><math>E_i</math> is the energy deposit and <math>\eta_i</math> the position of cell <math>i</math>. Shower lateral width in the EM middle layer, using all cells in a window <math>\eta \times \phi = 3 \times 5</math> in cell units</p>	$w_{\eta 2}$	✓	✓
	$R_\phi = \frac{E_{3 \times 3}^{S2}}{E_{3 \times 7}^{S2}}$ <p>Like <math>R_\eta</math> but in <math>\phi</math> direction. Behaves differently for unconverted and converted photons since for converted the electrons and positrons bend in <math>\phi</math> when immersed within a magnetic field.</p>	$R_\phi$		✓



# Photon ID variables

## Hadronic Leakage

Category	Description	Symbol	<i>loose</i>	<i>tight</i>
Acceptance	$ \eta  < 2.37$ with $1.37 <  \eta  < 1.52$ excluded	-	✓	✓
Hadronic leakage	$R_{had} = \frac{E_T^{had}}{E_T}$ The transverse energy $E_T^{had}$ deposited in the all cells of hadronic calorimeter whose center is in a window $\Delta\eta \times \Delta\phi = 0.24 \times 0.24$ behind the photon cluster, ratio to the total transverse energy $E_T$ of the photon candidate. Used for $ \eta $ region between 0.8 and 1.37	$R_{had}$	✓	✓
	$R_{had1} = \frac{E_T^{had,1}}{E_T}$ The transverse energy $E_T^{had,1}$ deposited in the cells of hadronic calorimeter first layer whose center is in a window $\Delta\eta \times \Delta\phi = 0.24 \times 0.24$ behind the photon cluster, ratio to the total transverse energy $E_T$ of the photon candidate. Used for $ \eta $ region that $R_{had}$ does not.	$R_{had1}$	✓	✓

