

# SMEFT results in Higgs sector from CMS experiment

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- Introduction to effective field theory (EFT) already covered in previous talks
- Can Higgs measurements bring anything new?

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A class of dimension-6 operators can only be probed using Higgs field:  $\sim H^2 L_{SM}$

Gupta, Pomarol, Riva (2014)

$\mathcal{L}_6^{(2)} - H^6$	
$Q_H$	$(H^\dagger H)^3$
$\mathcal{L}_6^{(3)} - H^4 D^2$	
$Q_{H\Box}$	$(H^\dagger H)\Box(H^\dagger H)$

$\mathcal{L}_6^{(4)} - X^2 H^2$	
$Q_{HG}$	$H^\dagger H G_{\mu\nu}^a G^{a\mu\nu}$
$Q_{H\tilde{G}}$	$H^\dagger H \tilde{G}_{\mu\nu}^a G^{a\mu\nu}$
$Q_{HW}$	$H^\dagger H W_{\mu\nu}^i W^{i\mu\nu}$
$Q_{H\tilde{W}}$	$H^\dagger H \tilde{W}_{\mu\nu}^i W^{i\mu\nu}$
$Q_{HB}$	$H^\dagger H B_{\mu\nu} B^{\mu\nu}$
$Q_{H\tilde{B}}$	$H^\dagger H \tilde{B}_{\mu\nu} B^{\mu\nu}$

$\mathcal{L}_6^{(5)} - \psi^2 H^3$	
$Q_{eH}$	$(H^\dagger H)(\bar{l}_p e_r H)$
$Q_{uH}$	$(H^\dagger H)(\bar{q}_p u_r \tilde{H})$
$Q_{dH}$	$(H^\dagger H)(\bar{q}_p d_r H)$

Brivio (2020)

Operators written in Warsaw basis  
[Grzadkowski et al. (2010)]

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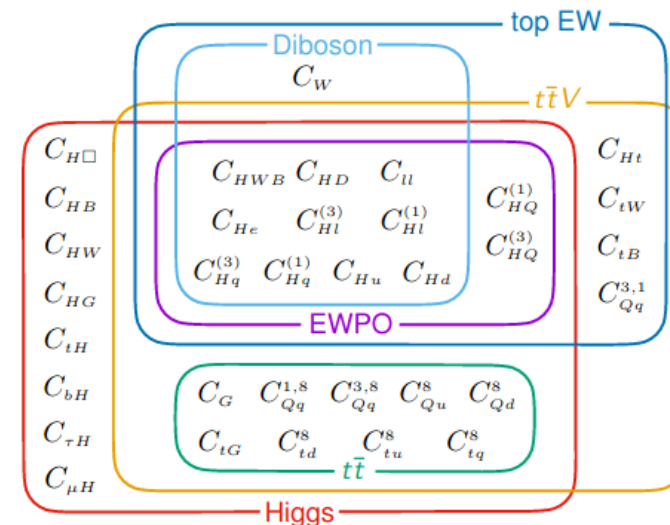
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Synergy with top & electroweak measurements strengthens pinning down other operators too (& symmetry assumptions)



Ellis et al. (2020)

# Pathways to probe EFT operators

Reinterpretation of existing measurements

- Use unfolded/detector-level distributions of observables in SM measurements
- Parameterize  $\sigma$  in terms of Wilson coefficients (WCs)
- Extract bounds on WC

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Dedicated EFT measurements

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- Parameterize  $\sigma$  in terms of Wilson coefficients (WCs)
- Extract bounds on WC

- Identify processes sensitive to particular set of WCs
- Simulate events with EFT hypotheses
- Perform direct measurements in terms of WC

# Pathways to probe EFT operators

Reinterpretation of existing measurements

Dedicated EFT measurements



Easy to combine different measurements



Doesn't take into account acceptance effects due to EFT operators



Observables already fixed → May miss subtle EFT effects



Can design object & event selection conditions depending on EFT operators



Construct dedicated observables sensitive to particular operators



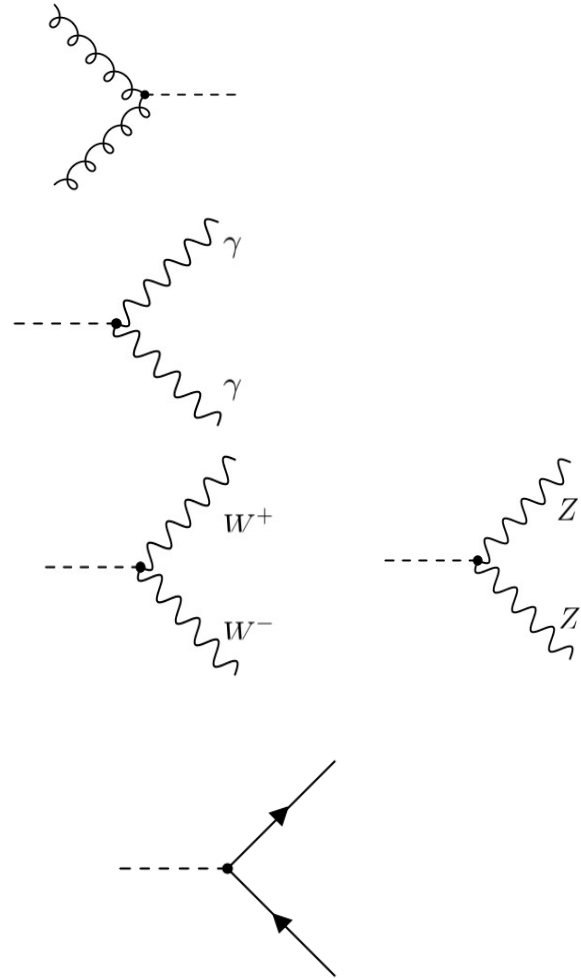
Can be non-trivial to combine with other measurements

CMS-PAS-HIG-19-005

arXiv: [2104.12152](https://arxiv.org/abs/2104.12152)

(Accepted by PRD for publication)

Input data for EFT analysis



Analysis	Decay tags	Production tags	Luminosity ( $\text{fb}^{-1}$ )
$H \rightarrow \gamma\gamma$	$\gamma\gamma$	ggH, $p_T(H) \times N\text{-jet bins}$ VBF, $p_T(H jj)$ bins ttH	77.4 35.9, 41.5
$H \rightarrow ZZ^{(*)} \rightarrow 4\ell$	$4\mu, 2e2\mu/2\mu2e, 4e$	ggH, $p_T(H) \times N\text{-jet bins}$ VBF, $m_{jj}$ bins VH hadronic VH leptonic, $p_T(V)$ bins ttH	137
$H \rightarrow WW^{(*)} \rightarrow \ell\nu\ell\nu$	$e\mu/\mu e$ $ee+\mu\mu$ $e\mu+jj$ $3\ell$ $4\ell$	ggH $\leq 2\text{-jets}$ VBF ggH $\leq 1\text{-jet}$ VH hadronic WH leptonic ZH leptonic	35.9
$H \rightarrow \tau\tau$	$e\mu, e\tau_h, \mu\tau_h, \tau_h\tau_h$	ggH, $p_T(H) \times N\text{-jet bins}$ VH hadronic VBF VH, high- $p_T(V)$	77.4 35.9
$H \rightarrow bb$	$W(\ell\nu)H(bb)$ $Z(\nu\nu)H(bb), Z(\ell\ell)H(bb)$ $bb$	WH leptonic ZH leptonic ttH, $t\bar{t} \rightarrow 0, 1, 2\ell + \text{jets}$ ggH, high- $p_T(H)$ bins	35.9, 41.5 77.4 35.9
ttH production with $H \rightarrow \text{leptons}$	$2\ell ss, 3\ell, 4\ell,$ $1\ell+2\tau_h, 2\ell ss+1\tau_h, 3\ell+1\tau_h$	ttH	35.9, 41.5



## Higgs effective Lagrangian (HEL)

$$\mathcal{O}_g = (H^\dagger H) G_{\mu\nu}^a G^{a\mu\nu}$$

$$\mathcal{O}_\gamma = (H^\dagger H) B_{\mu\nu} B^{\mu\nu}$$

$$\mathcal{O}_{HW} = i(D^\mu H)^\dagger \sigma^a (D^\nu H) W_{\mu\nu}^a$$

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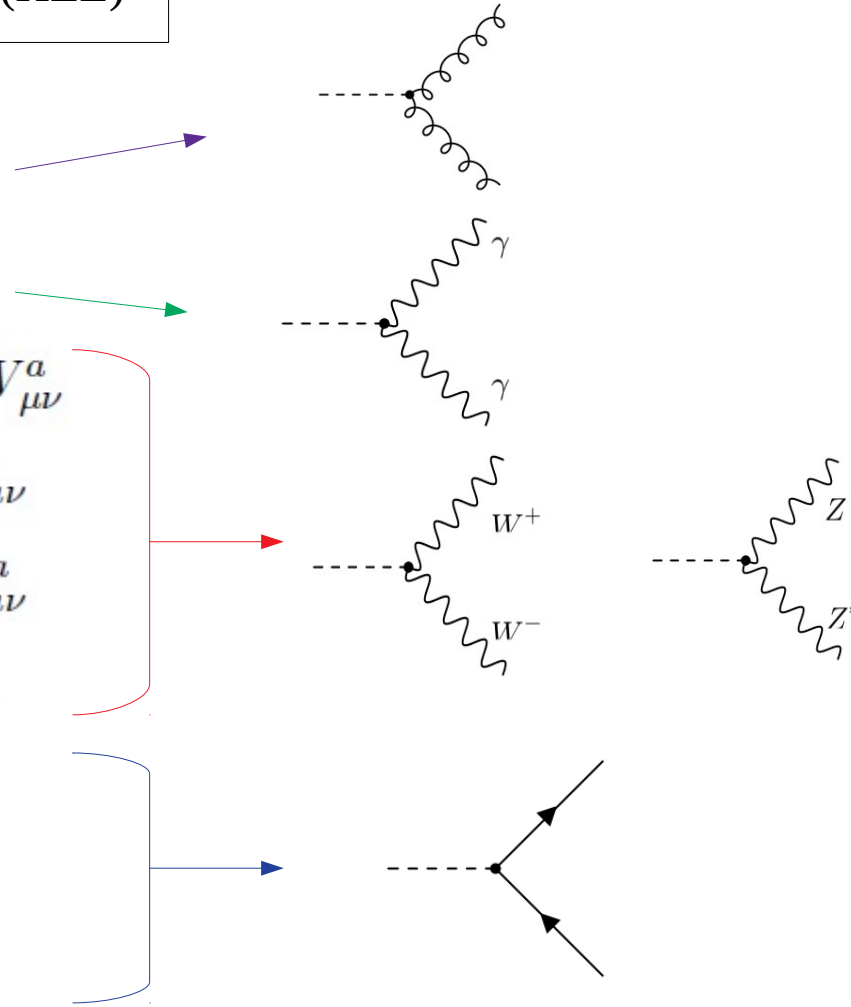
$$\mathcal{O}_W = i(H^\dagger \overleftrightarrow{D}^\mu H) D^\nu W_{\mu\nu}^a$$

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$$\mathcal{O}_u = (H^\dagger H) \bar{Q}_L H u_R$$

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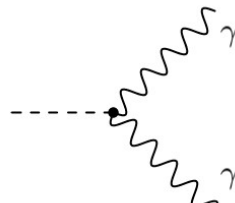
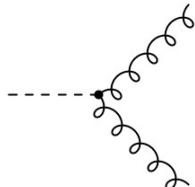
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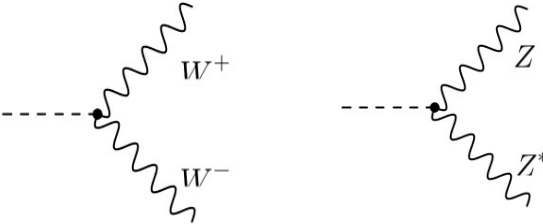


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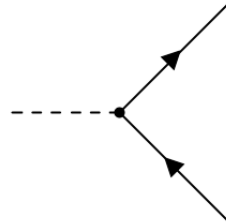
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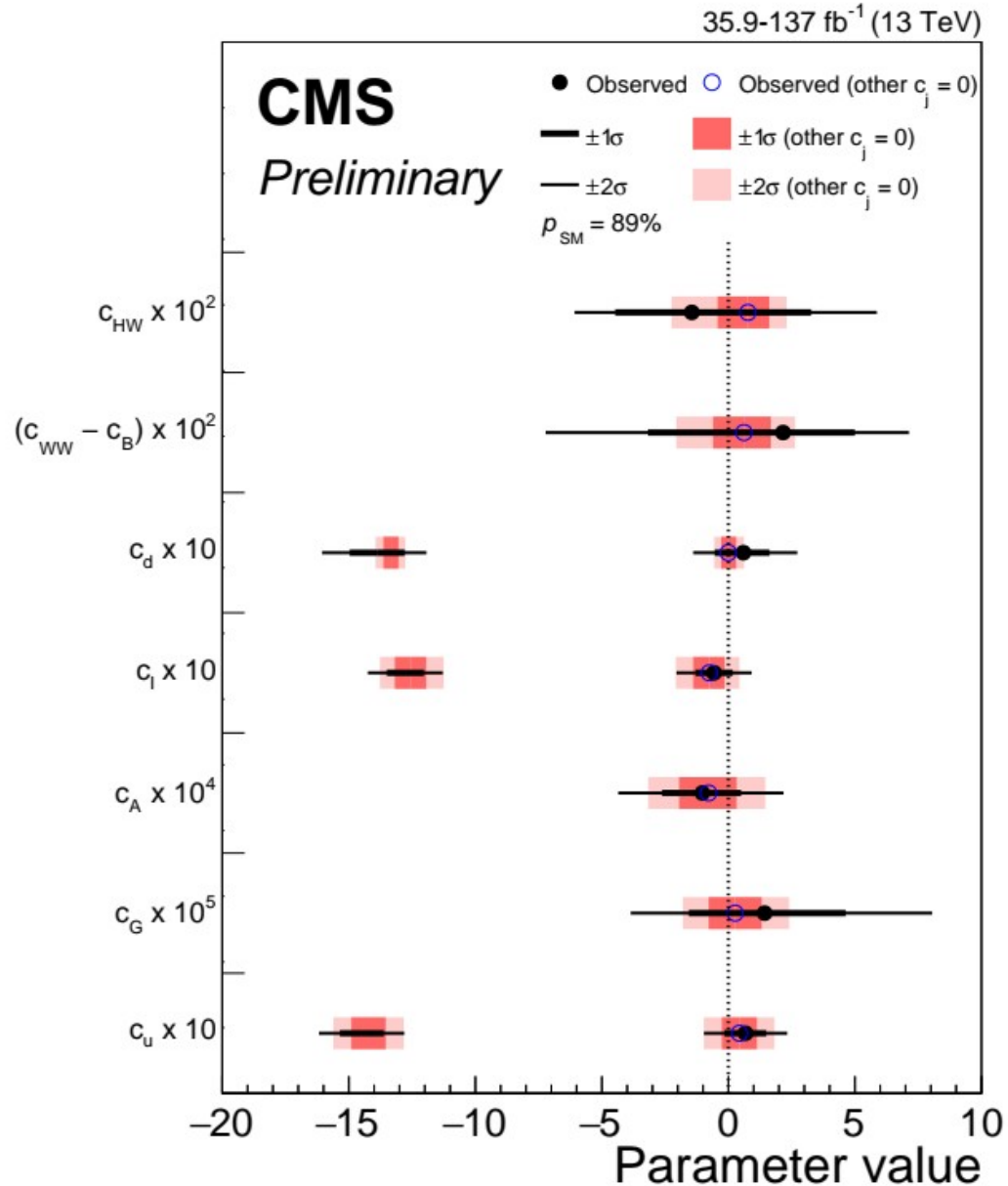


Alloul, Fuks, Sanz (2013)

HEL Parameters	Definition
$c_A \times 10^4$	$c_A = \frac{m_W^2}{g'^2} \frac{f_A}{\Lambda^2}$
$c_G \times 10^5$	$c_G = \frac{m_W^2}{g_s^2} \frac{f_G}{\Lambda^2}$
$c_u \times 10$	$c_u = -v^2 \frac{f_u}{\Lambda^2}$
$c_d \times 10$	$c_d = -v^2 \frac{f_d}{\Lambda^2}$
$c_\ell \times 10$	$c_\ell = -v^2 \frac{f_\ell}{\Lambda^2}$
$c_{HW} \times 10^2$	$c_{HW} = \frac{m_W^2}{2g} \frac{f_{HW}}{\Lambda^2}$
$(c_{WW} - c_B) \times 10^2$	$c_{WW} = \frac{m_W^2}{g} \frac{f_{WW}}{\Lambda^2}, c_B = \frac{2m_W^2}{g'} \frac{f_B}{\Lambda^2}$

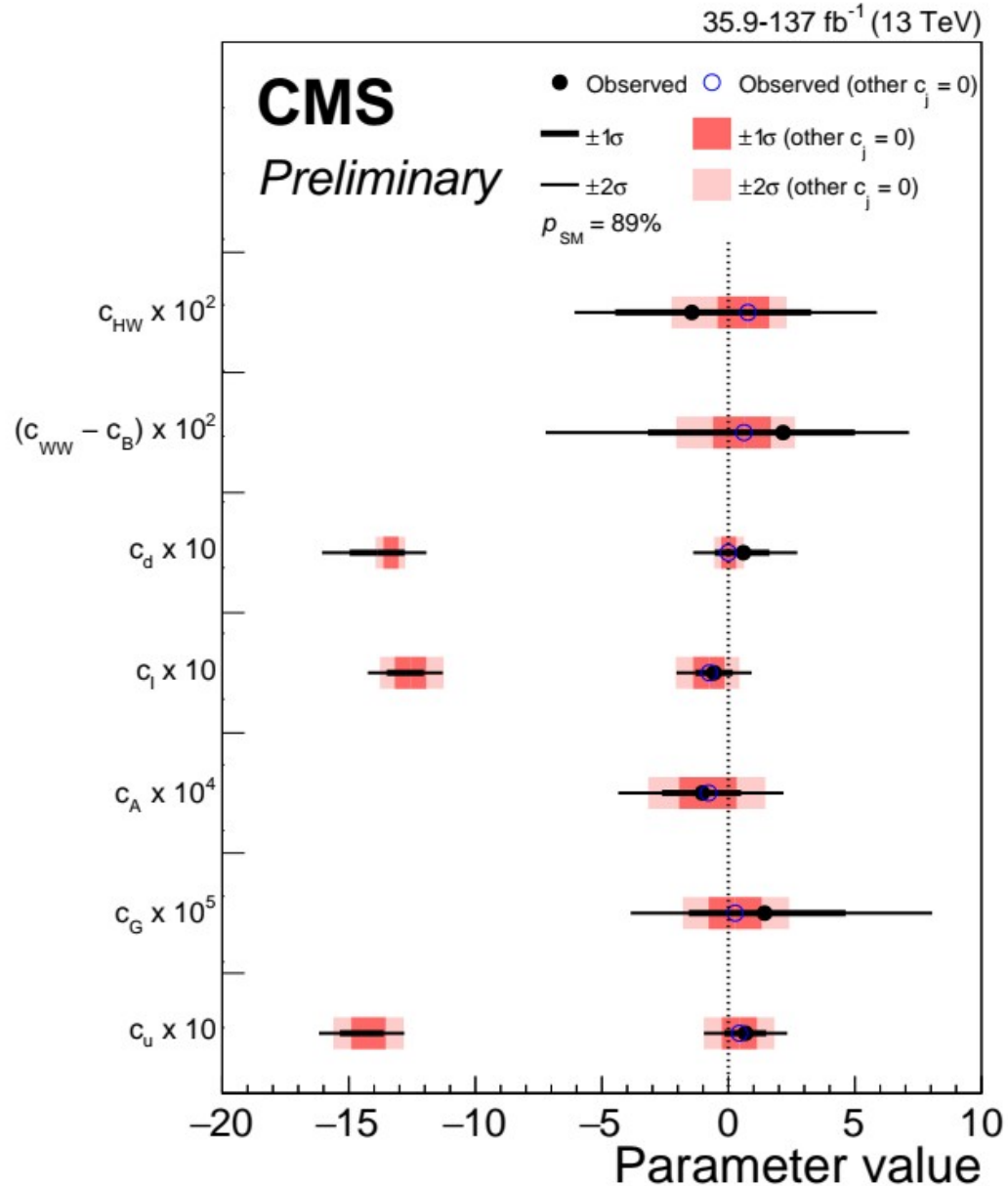
f ← Wilson coefficient

$(c_{WW} + c_B) \leftarrow$  constrained to 0 by EWPD



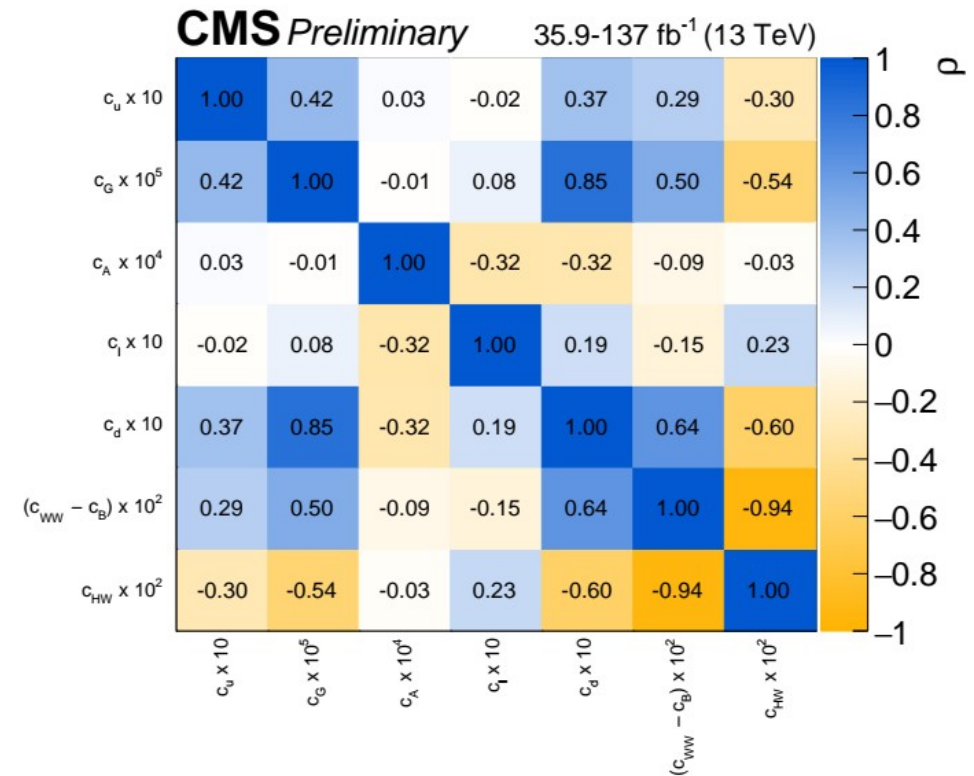
Two bounds reported for each WC:

1. Putting all other WCs to 0 → generally results to stronger bound
2. Profiling other WCs → gives a sense of correlation between operators



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Large correlation between operators affecting H-V coupling

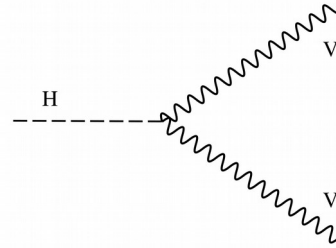
Significant correlation between  $c_G$  and many other WCs

(increase in rate due to production and decay width can not be separated)

# Anomalous couplings in $H \rightarrow 4$ leptons

## Probing

- 4 HZZ couplings (HWW couplings related by symmetry)
- 2 Hgg couplings (1 CP-even + 1 CP-odd)
- 2 Htt couplings (1 CP-even + 1 CP-odd)



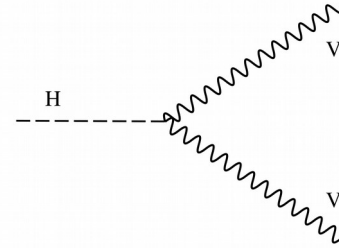
$$A(HV_1V_2) = \frac{1}{v} \left[ a_1^{VV} + \frac{\kappa_1^{VV} q_{V1}^2 + \kappa_2^{VV} q_{V2}^2}{(\Lambda_1^{VV})^2} + \frac{\kappa_3^{VV} (q_{V1} + q_{V2})^2}{(\Lambda_Q^{VV})^2} \right] m_{V1}^2 \epsilon_{V1}^* \epsilon_{V2}^* \\ + \frac{1}{v} a_2^{VV} f_{\mu\nu}^{*(1)} f^{*(2),\mu\nu} + \frac{1}{v} a_3^{VV} f_{\mu\nu}^{*(1)} \tilde{f}^{*(2),\mu\nu},$$

$$f^{(i)\mu\nu} = \epsilon_{Vi}^\mu q_{Vi}^\nu - \epsilon_{Vi}^\nu q_{Vi}^\mu, \quad \tilde{f}^{(i)} = \frac{1}{2} \epsilon_{\mu\nu\rho\sigma} f^{(i),\rho\sigma}$$

$$A(Hff) = -\frac{m_f}{v} \bar{\psi}_f (\kappa_f + i\tilde{\kappa}_f \gamma_5) \psi_f, \quad \text{SM: } a_1^{WW} = a_1^{ZZ} = 2, \kappa_f = 1, \text{ others } 0$$

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$$a_1^{gg} = \kappa_1^{gg} = \kappa_2^{gg} = 0$$

$$a_1^{VV} = \kappa_1^{VV} = \kappa_2^{VV} = 0$$

$$\kappa_1^{ZZ} = \kappa_2^{ZZ}$$

$$\kappa_1^{WW} = \kappa_2^{WW}$$

$$\kappa_3^{VV} = 0$$

Requirements of  
Gauge invariance

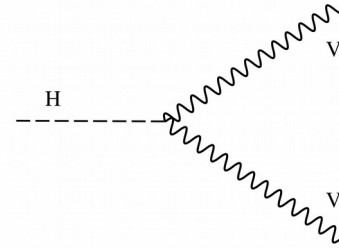
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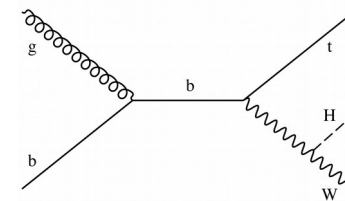
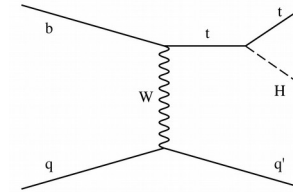
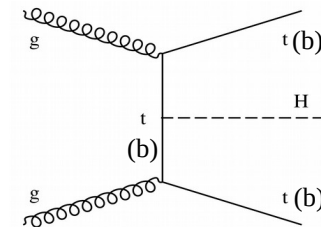
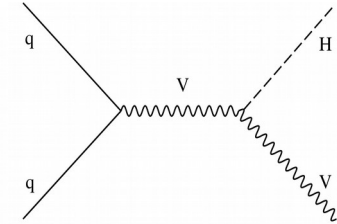
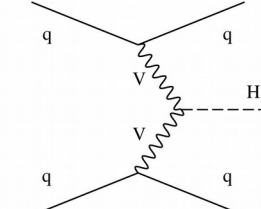
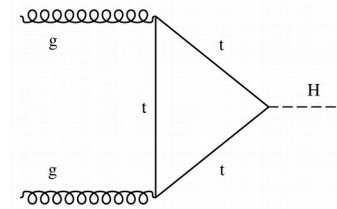
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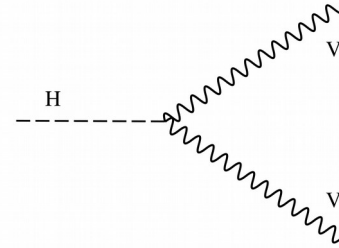
## Production mechanisms included:



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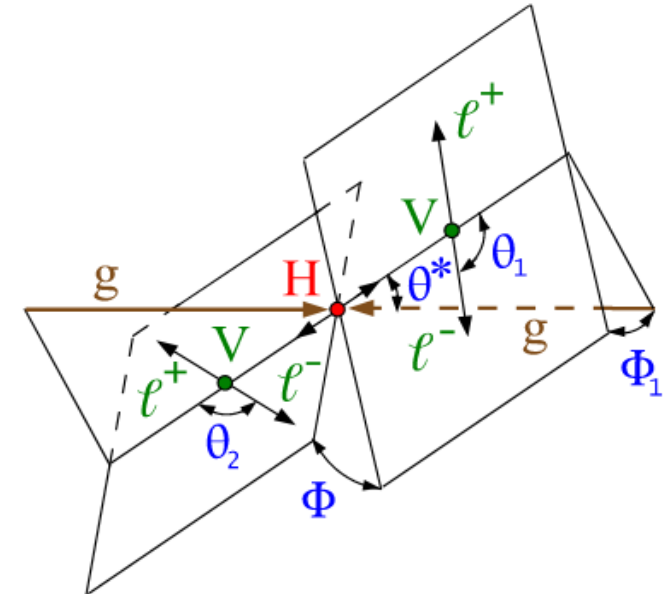
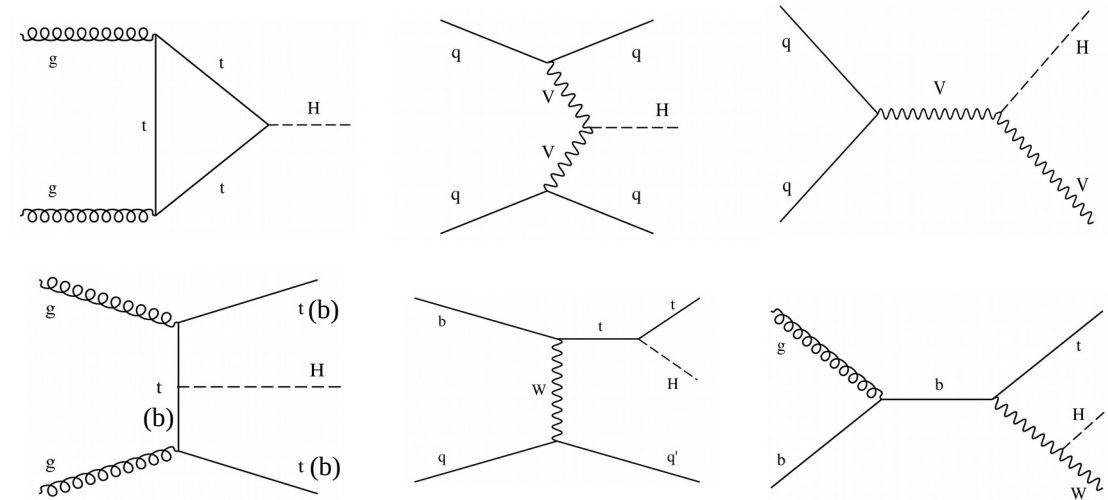
Matrix element likelihood estimator (MELA) used to build discriminants sensitive to individual anomalous coupling including CP-sensitive observables

BDT used to separate CP-even & CP-odd Htt couplings



Exploiting maximum information from event kinematics

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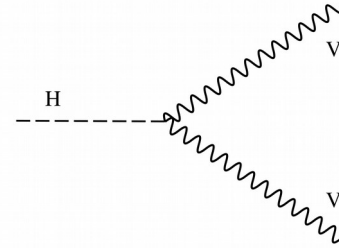




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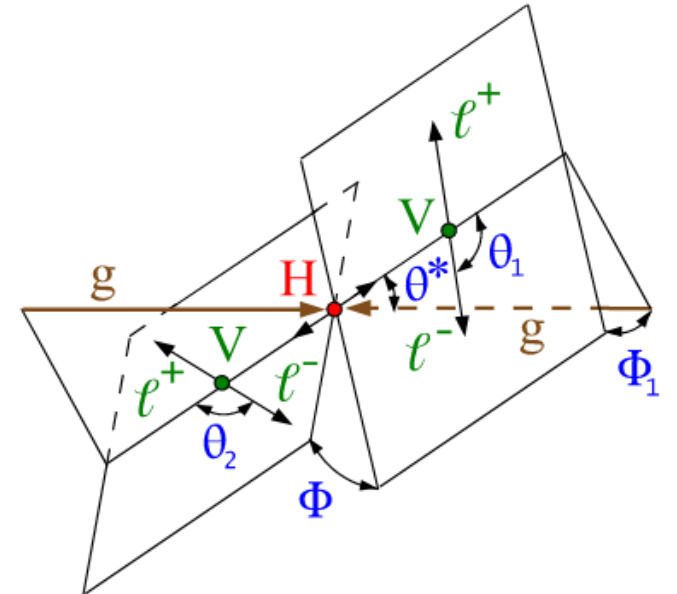
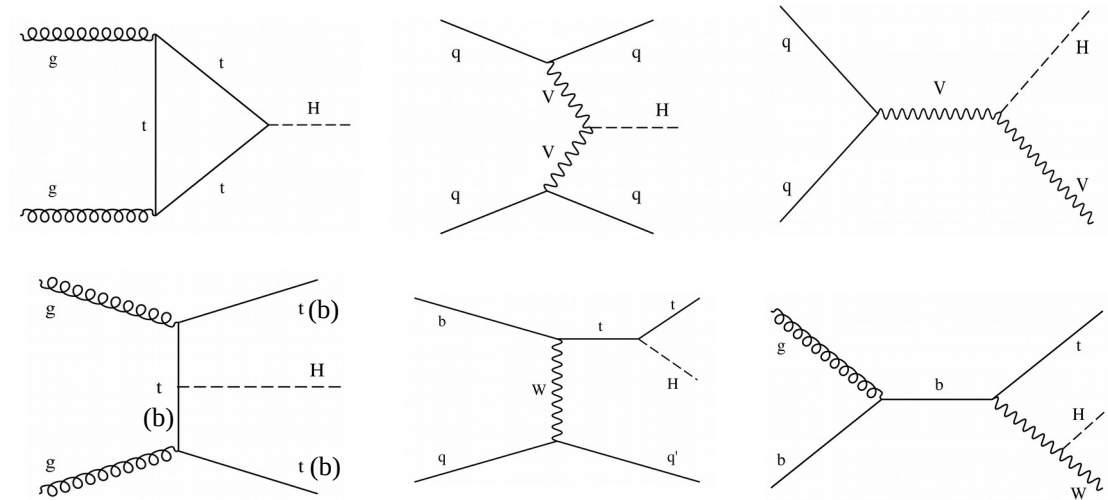
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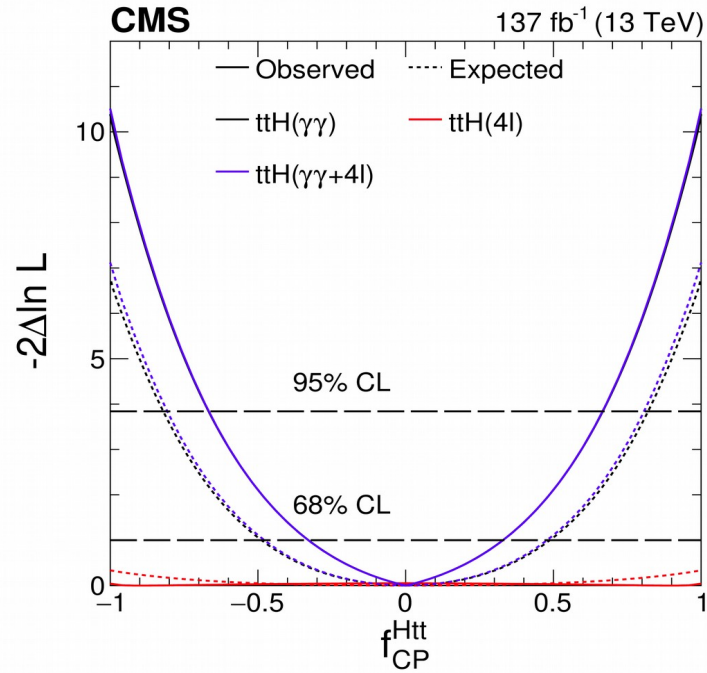
## EFT Results

Reported in Higgs basis under  $SU(2) \times U(1)$  symmetry  $\rightarrow$  translated into Warsaw basis

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Results from  
ttH+tH categories

H-t coupling

Sensitivity to fermionic couplings parameterized in terms of

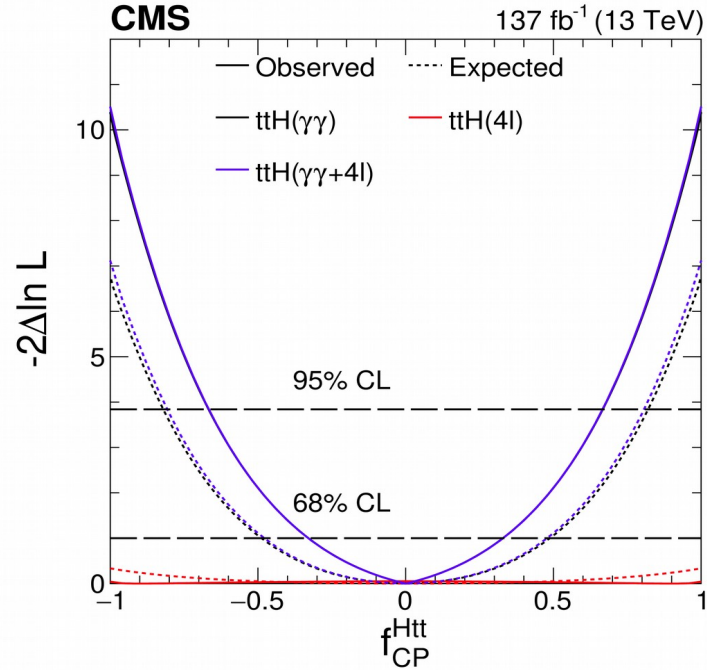
$$\sigma/\sigma_{SM} \ \& \ f_{CP}^{Hff} = \frac{|\tilde{\kappa}_f|^2}{|\kappa_f|^2 + |\tilde{\kappa}_f|^2} \text{sign} \left( \frac{\tilde{\kappa}_f}{\kappa_f} \right)$$

Results combined with ttH( $\rightarrow \gamma\gamma$ )

→ Significant improvement in sensitivity

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ttH+tH categories



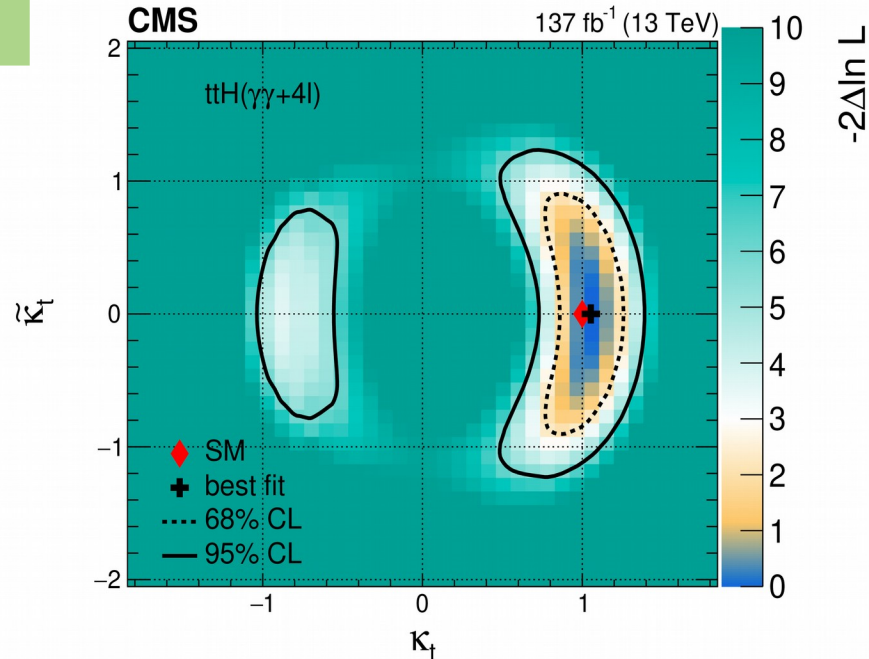
Sensitivity to fermionic couplings parameterized in terms of

$$\sigma/\sigma_{SM} \ \& \ f_{CP}^{Hff} = \frac{|\tilde{\kappa}_f|^2}{|\kappa_f|^2 + |\tilde{\kappa}_f|^2} \text{sign} \left( \frac{\tilde{\kappa}_f}{\kappa_f} \right)$$

Results combined with  $ttH(\rightarrow \gamma\gamma)$

→ Significant improvement in sensitivity

H-t coupling



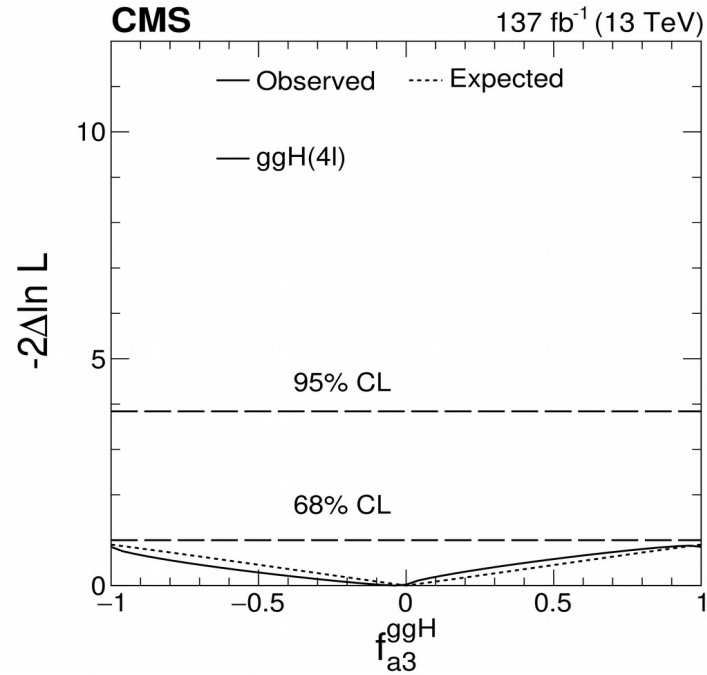
Data consistent with SM prediction

Measuring only yield  $(\kappa_t^2 + \tilde{\kappa}_t^2)$  produces a ring in 2-D scan of log-likelihood

← CP-sensitive measurement resolves regions within ring



# Anomalous couplings in $H \rightarrow 4$ leptons



Sensitivity to bosonic couplings parameterized in terms of

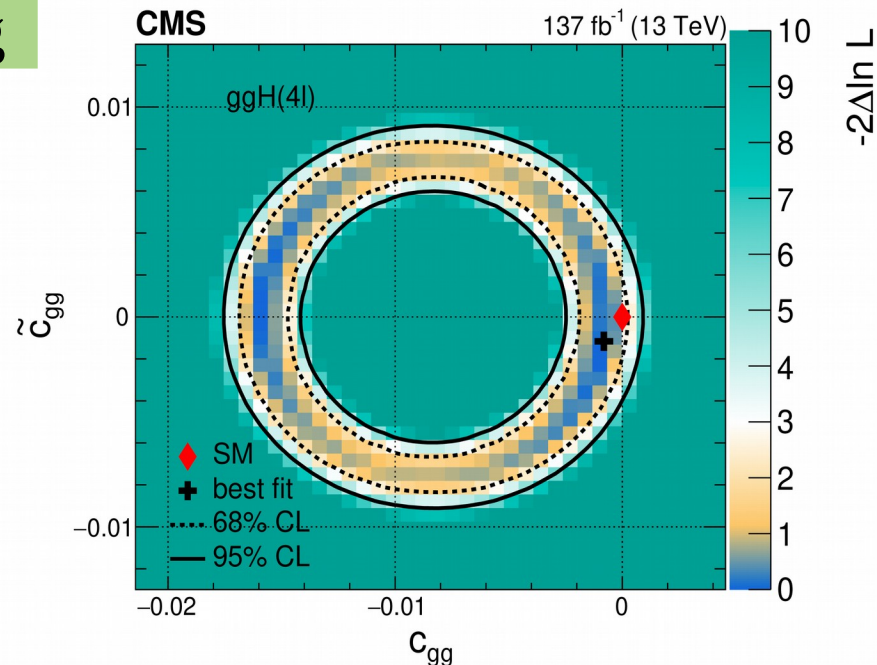
$$\sigma/\sigma_{SM} \ \& \ f_{a3}^{ggH} = \frac{|a_3^{gg}|^2}{|a_2^{gg}|^2 + |a_3^{gg}|^2} \text{sign} \left( \frac{a_3^{gg}}{a_2^{gg}} \right)$$

Anomalous couplings expressed in terms of WC in Higgs basis

$$c_{gg} = -\frac{1}{2\pi\alpha_S} a_2^{gg},$$

$$\tilde{c}_{gg} = -\frac{1}{2\pi\alpha_S} a_3^{gg},$$

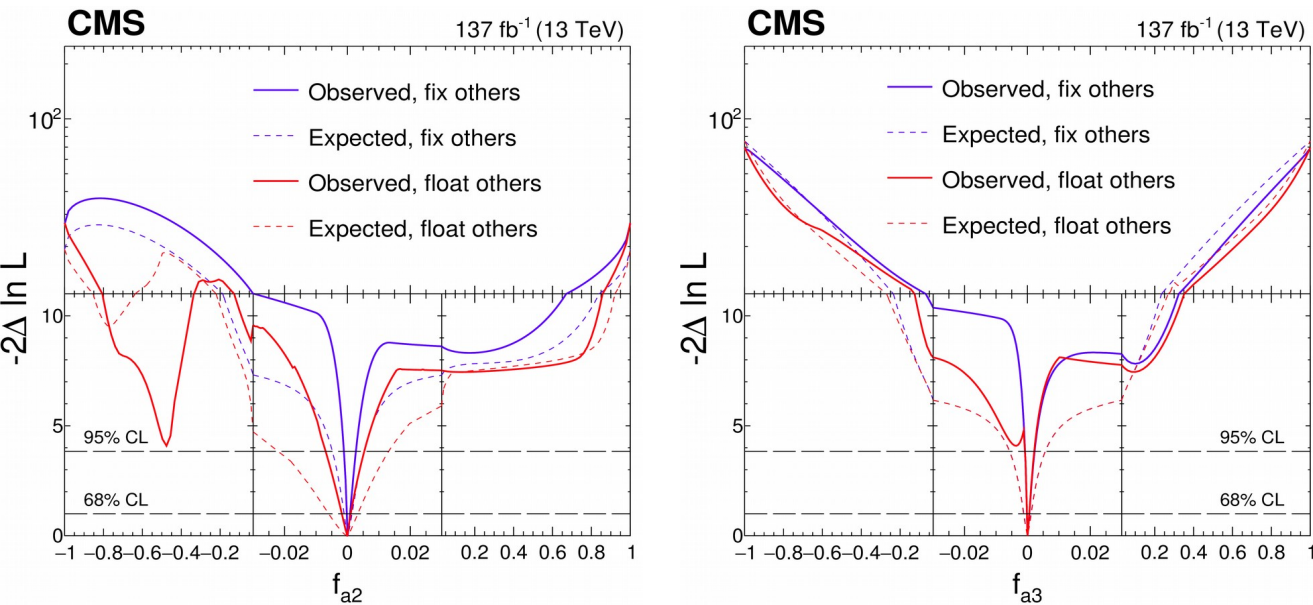
H-g coupling



Data consistent with SM prediction

Small sensitivity worsens resolution within ring





Sensitivity to bosonic couplings parameterized in terms of

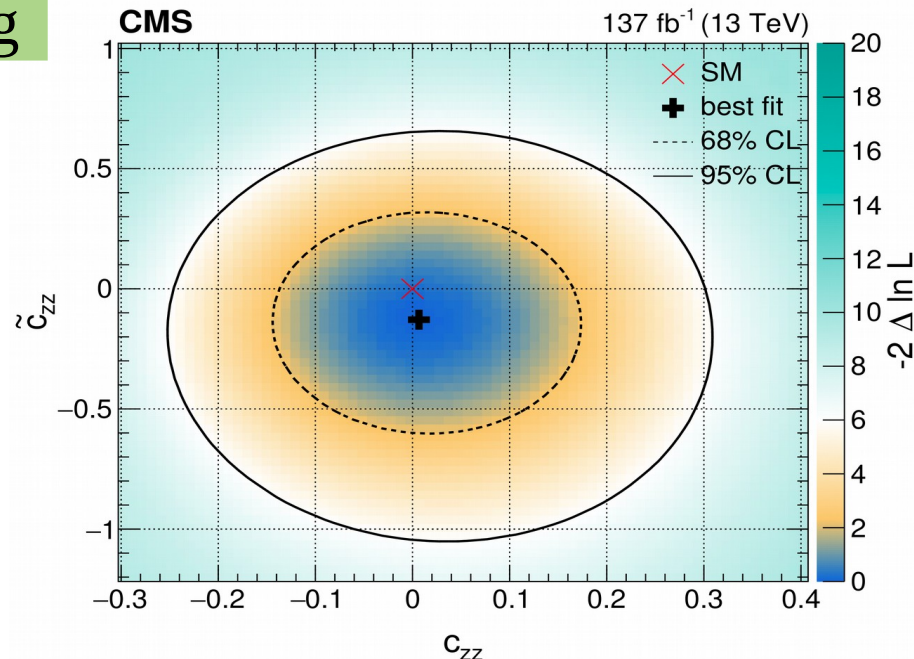
$$\sigma/\sigma_{\text{SM}} \ \& \ f_{ai}^{VV} = \frac{|a_i^{VV}|^2 \alpha_{ii}^{(2e2\mu)}}{\sum_j |a_j^{VV}|^2 \alpha_{jj}^{(2e2\mu)}} \text{sign} \left( \frac{a_i^{VV}}{a_1} \right)$$

Anomalous couplings expressed in terms of WC in Higgs basis

$$c_{zz} = -\frac{s_w^2 c_w^2}{2\pi\alpha} a_2,$$

$$\tilde{c}_{zz} = -\frac{s_w^2 c_w^2}{2\pi\alpha} a_3.$$

## H-Z coupling

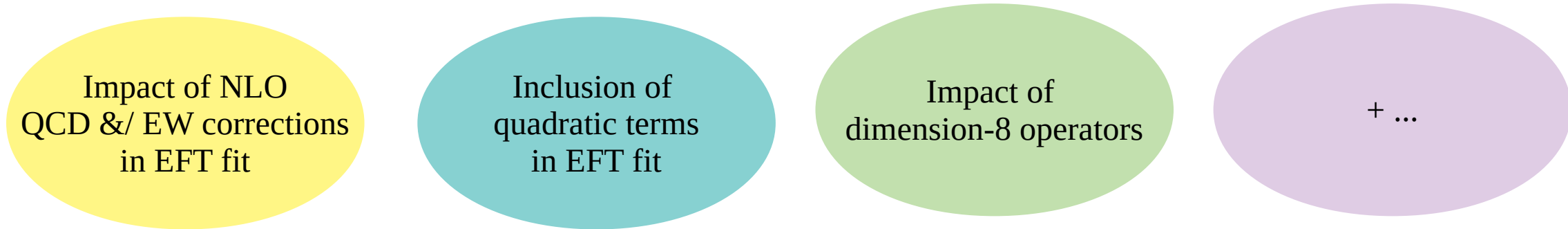


Data consistent with SM prediction

Correlation with other WCs washes out the ring

# Conclusion & Outlook

- Area of EFT measurements emerging as a new center of attention
- Increasing interest by both communities: theory & experiment
- Still a number of places to reach consensus upon



- LHC provides an unique opportunity of EFT measurements in Higgs sector (along with other sectors)
- Follow the latest happenings in [LHC EFT working group](#)

# Conclusion & Outlook

- Area of EFT measurements emerging as a new center of attention
- Increasing interest by both communities: theory & experiment
- Still a number of places to reach consensus upon

Impact of NLO  
QCD &/ EW corrections  
in EFT fit

Inclusion of  
quadratic terms  
in EFT fit

Impact of  
dimension-8 operators

+ ...

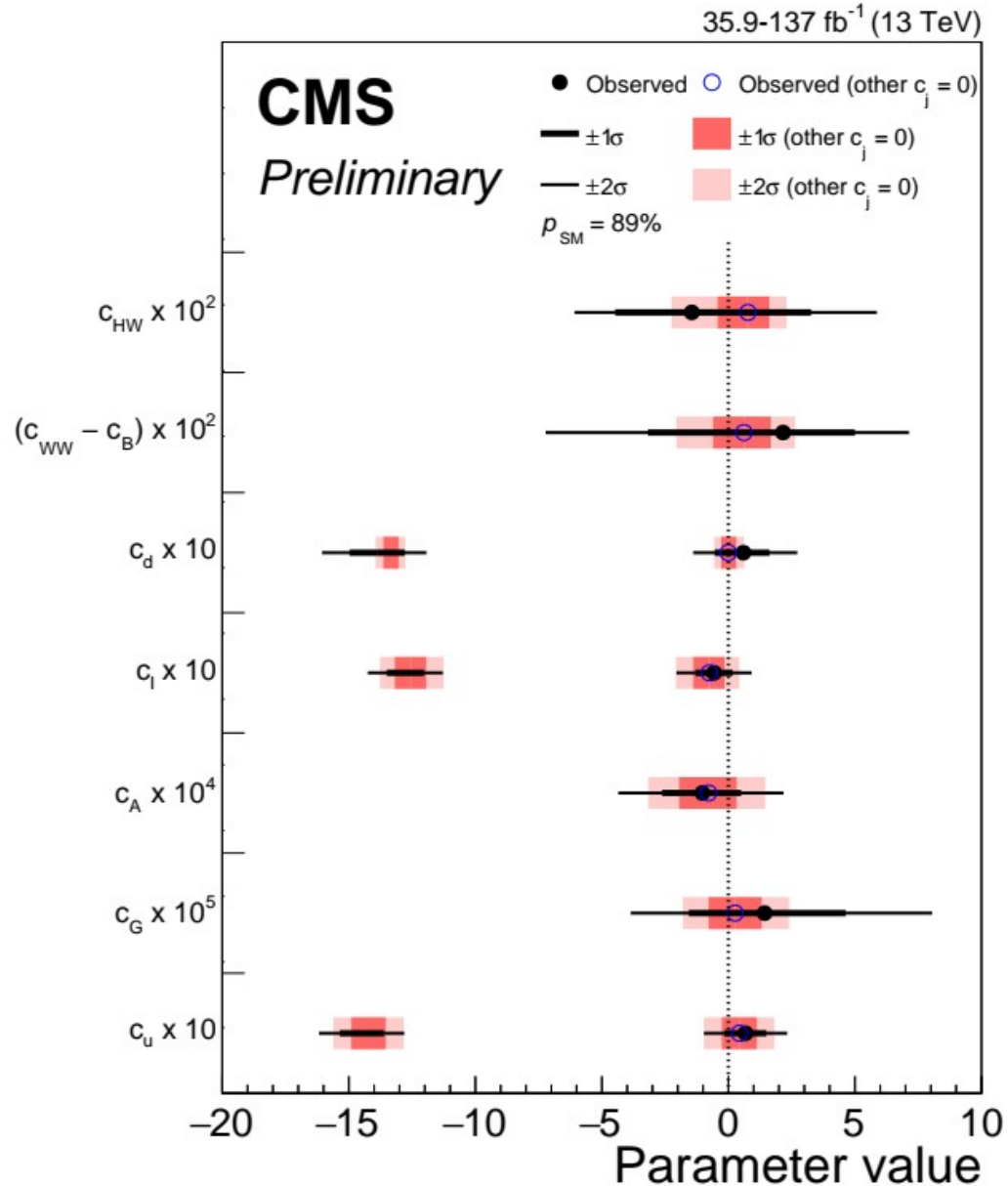
- LHC provides an unique opportunity of EFT measurements in Higgs sector (along with other sectors)
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# Extra material



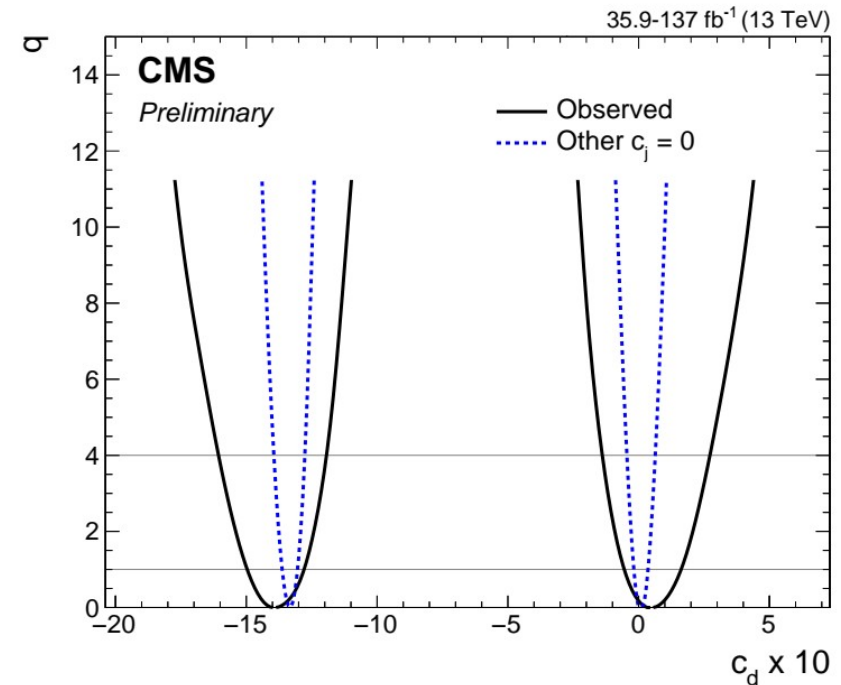
# Combined measurement of Higgs properties



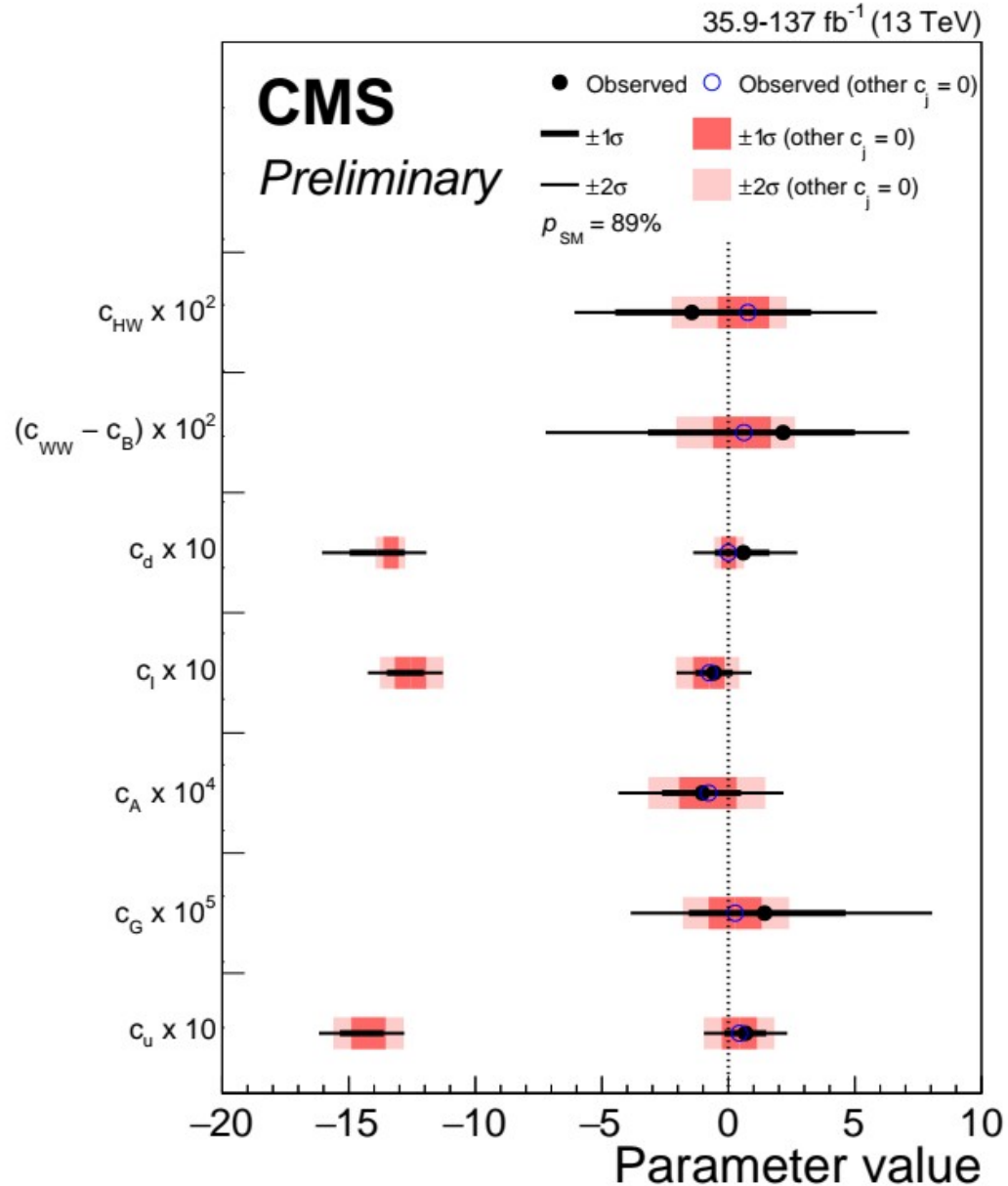
Two bounds reported for each WC:

1. With putting all other WCs to 0 → generally results to stronger bound
2. Profiling other WCs → gives a sense of correlation between operators

Not sensitive to sign of ‘Yukawa-like’ operators



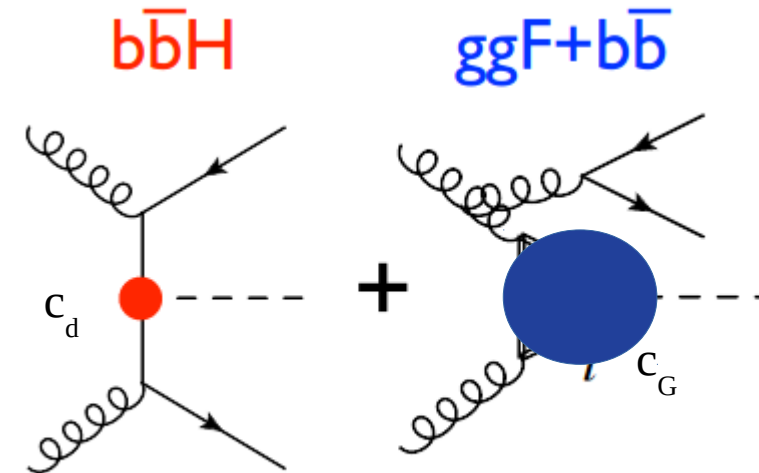
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Including  $bbH$  measurements can help to alleviate sign ambiguity for  $c_d$

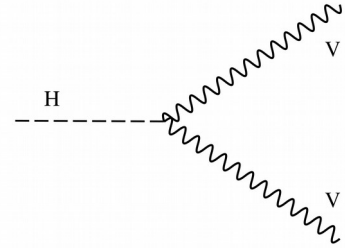
# Measurement of anomalous couplings in $H \rightarrow 4$ leptons

## Probing

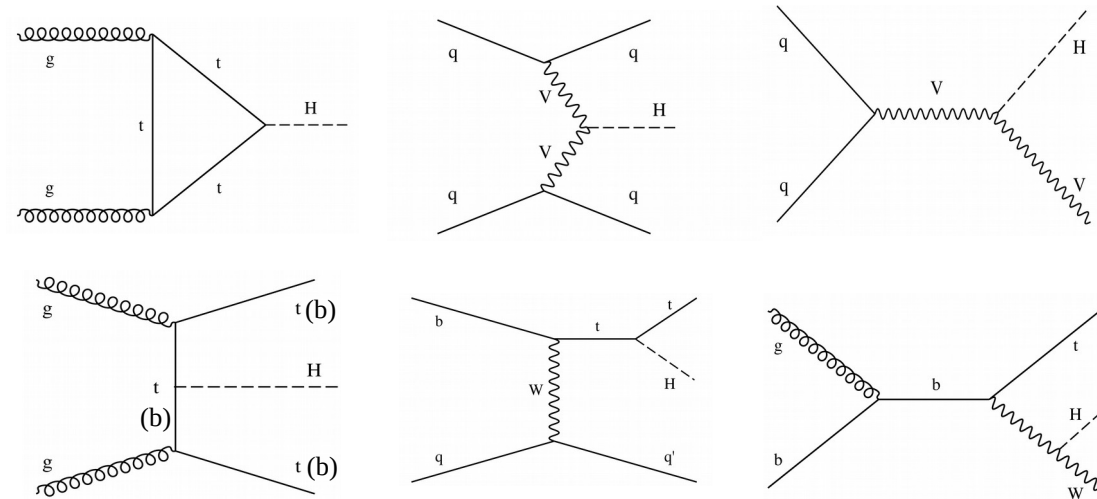
Up to 5 HZZ couplings

2 Hgg couplings (1 CP-even + 1 CP-odd)

2 Htt couplings (1 CP-even + 1 CP-odd)



## Production mechanisms included:



$$A(HV_1V_2) = \frac{1}{v} \left[ a_1^{VV} + \frac{\kappa_1^{VV} q_{V1}^2 + \kappa_2^{VV} q_{V2}^2}{(\Lambda_1^{VV})^2} + \frac{\kappa_3^{VV} (q_{V1} + q_{V2})^2}{(\Lambda_Q^{VV})^2} \right] m_{V1}^2 \epsilon_{V1}^* \epsilon_{V2}^* + \frac{1}{v} a_2^{VV} f_{\mu\nu}^{*(1)} f^{*(2),\mu\nu} + \frac{1}{v} a_3^{VV} \tilde{f}_{\mu\nu}^{*(1)} \tilde{f}^{*(2),\mu\nu},$$

$$A(Hff) = -\frac{m_f}{v} \bar{\psi}_f (\kappa_f + i\tilde{\kappa}_f \gamma_5) \psi_f.$$

$$a_1^{gg} = \kappa_1^{gg} = \kappa_2^{gg} = 0$$

$$a_1^{VV} = \kappa_1^{VV} = \kappa_2^{VV} = 0$$

$$\kappa_1^{ZZ} = \kappa_2^{ZZ}$$

$$\kappa_1^{WW} = \kappa_2^{WW}$$

$$\kappa_3^{VV} = 0$$

Requirements of Gauge invariance

SU(2)xU(1) symmetry relates W, Z couplings

$$a_1^{WW} = a_1^{ZZ} + \frac{\Delta m_W}{m_W},$$

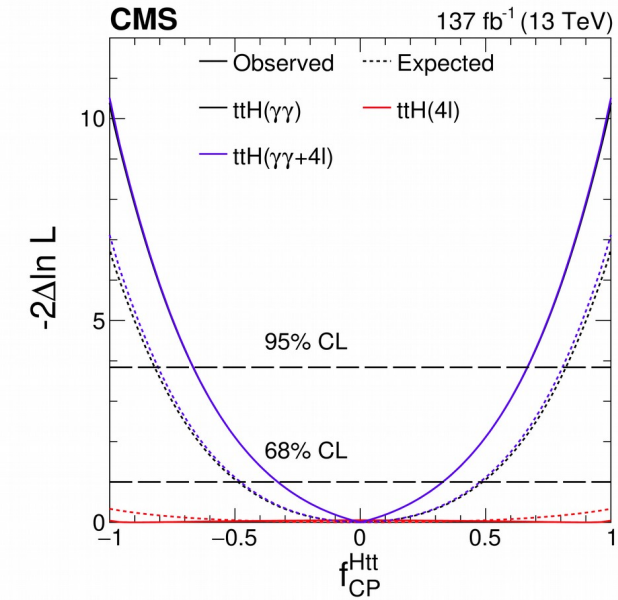
$$a_2^{WW} = c_w^2 a_2^{ZZ} + s_w^2 a_2^{\gamma\gamma} + 2s_w c_w a_2^{Z\gamma},$$

$$a_3^{WW} = c_w^2 a_3^{ZZ} + s_w^2 a_3^{\gamma\gamma} + 2s_w c_w a_3^{Z\gamma},$$

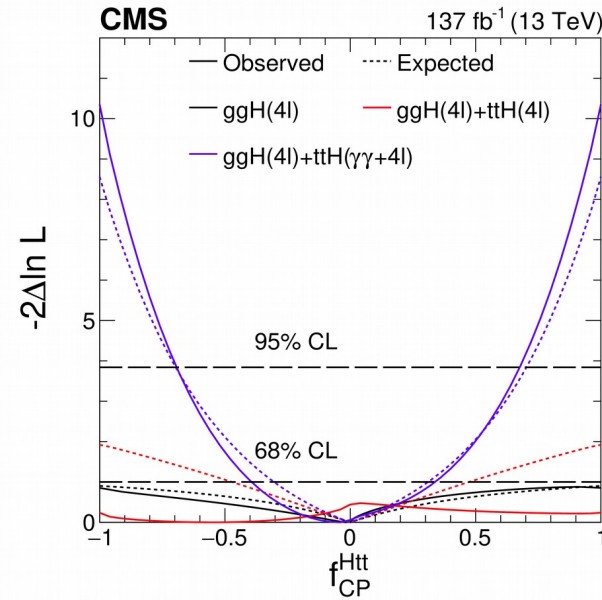
$$\frac{\kappa_1^{WW}}{(\Lambda_1^{WW})^2} (c_w^2 - s_w^2) = \frac{\kappa_1^{ZZ}}{(\Lambda_1^{ZZ})^2} + 2s_w^2 \frac{a_2^{\gamma\gamma} - a_2^{ZZ}}{m_Z^2} + 2 \frac{s_w}{c_w} (c_w^2 - s_w^2) \frac{a_2^{Z\gamma}}{m_Z^2},$$

$$\frac{\kappa_2^{Z\gamma}}{(\Lambda_1^{Z\gamma})^2} (c_w^2 - s_w^2) = 2s_w c_w \left( \frac{\kappa_1^{ZZ}}{(\Lambda_1^{ZZ})^2} + \frac{a_2^{\gamma\gamma} - a_2^{ZZ}}{m_Z^2} \right) + 2(c_w^2 - s_w^2) \frac{a_2^{Z\gamma}}{m_Z^2},$$

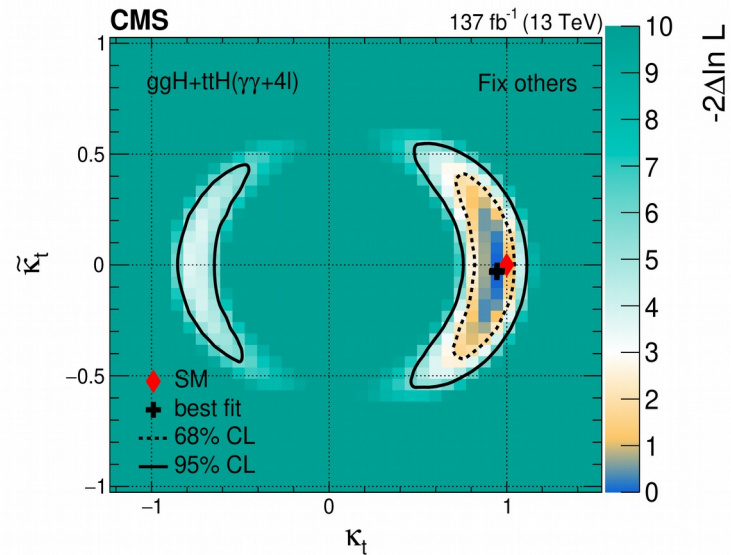
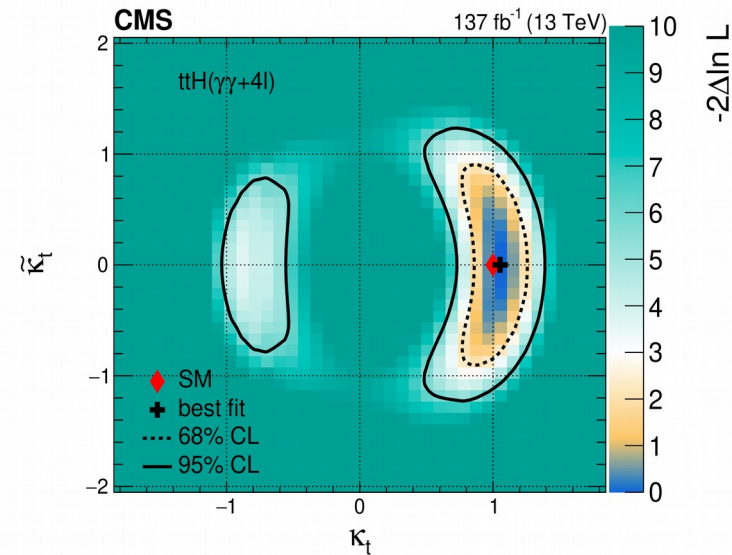
# Measurement of anomalous couplings in $H \rightarrow 4$ leptons



ttH



ggH + ttH



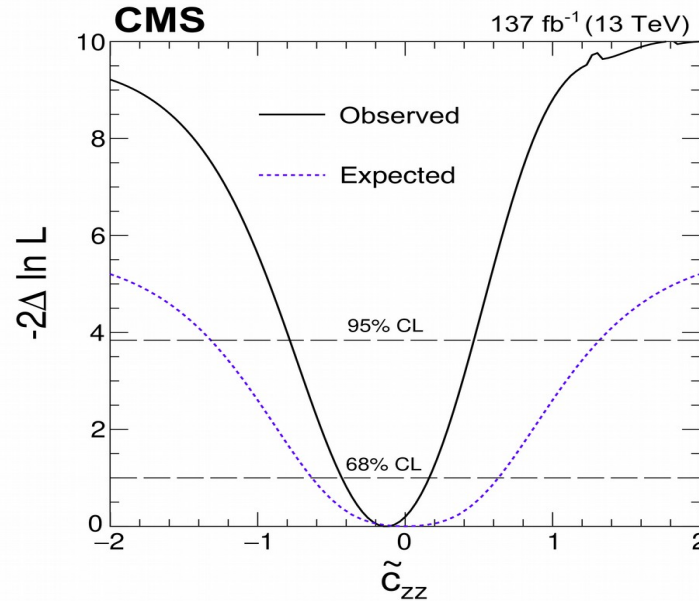
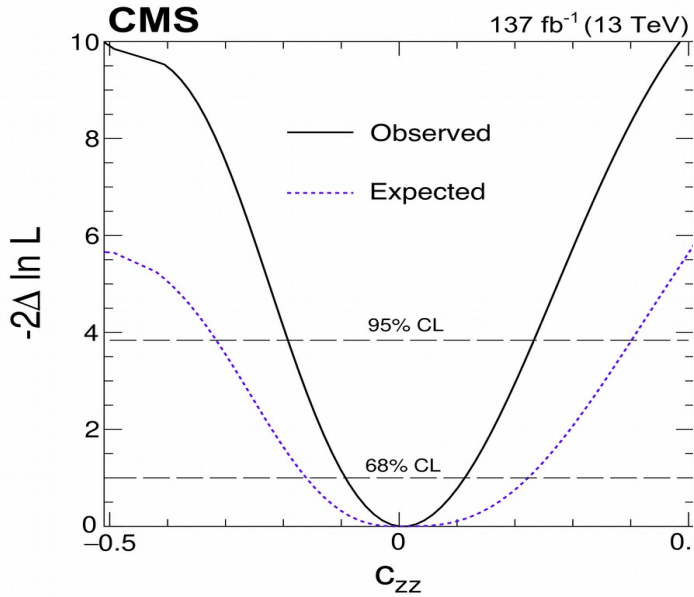
Sensitivity to fermionic couplings parameterized in terms of

$$f_{CP}^{Hff} = \frac{|\tilde{\kappa}_f|^2}{|\kappa_f|^2 + |\tilde{\kappa}_f|^2} \text{sign} \left( \frac{\tilde{\kappa}_f}{\kappa_f} \right)$$

$$\sigma(\tilde{\kappa}_f = 1) / \sigma(\kappa_f = 1) \quad \begin{matrix} 2.38 \text{ for } ggH \\ 0.39 \text{ for } ttH \end{matrix}$$

6.08 for  $f_{CP}^{ttH}=1$  w.r.t.  $f_{CP}^{ttH}=0$  (SM)

# Measurement of anomalous couplings in $H \rightarrow 4$ leptons

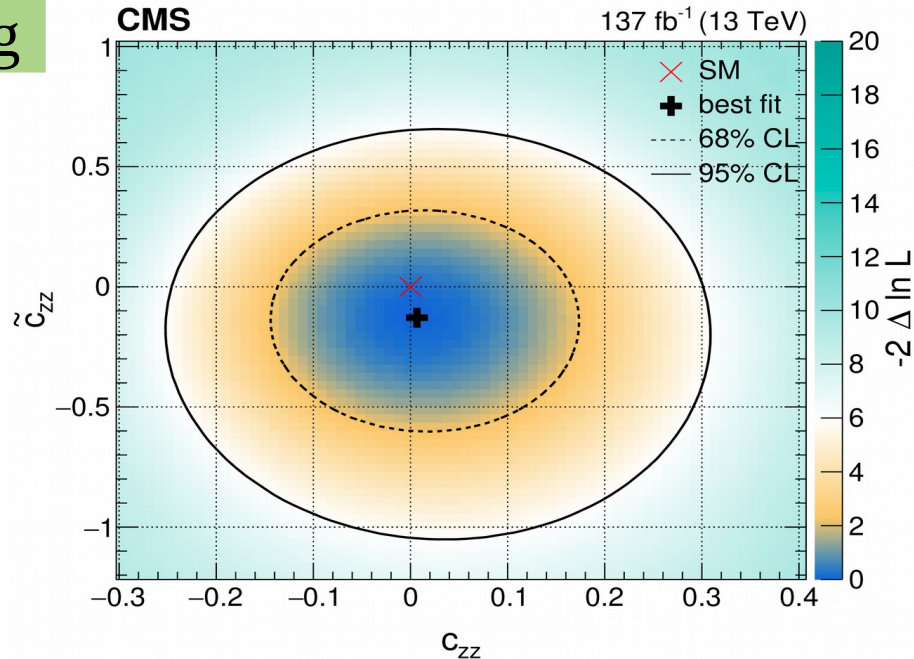


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Anomalous couplings expressed in terms of WC in Higgs basis

H-V coupling



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Correlation with other WCs washes out the ring